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Subject: Integrated genomics and post-genomics approached in microbial ecology

1 **Running Title: Prepartum and Postpartum Rumen Microbiome**

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3 **Prepartum and postpartum rumen microbiomes correlate with production traits in**
4 **dairy cows**

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15 Subject: Integrated genomics and post-genomics approaches in microbial ecology

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16 **Abstract**

17 Microbes present in the rumen of dairy cows are essential for degradation of cellulosic and
18 non-structural carbohydrates of plant origin. The prepartum and postpartum diets of high-
19 producing dairy cows are substantially different, but in what ways the rumen microbiome
20 changes in response and how those changes may influence production traits are not well
21 elucidated. Here, we sequenced the 16S and 18S rRNA genes using the MiSeq platform to
22 characterize the prepartum and postpartum rumen fluid microbiomes in 115 high-producing
23 dairy cows, including both primiparous and multiparous animals. Discriminant analysis
24 identified differences between the microbiomes of prepartum and postpartum samples and
25 between primiparous and multiparous cows. 18S rRNA sequencing revealed an
26 overwhelming dominance of the protozoan class Litostomatea, with over 90% of the
27 eukaryotic microbial population belonging to that group. Additionally, fungi were relatively
28 more prevalent and Litostomatea relatively less prevalent in prepartum samples compared
29 with postpartum ones. The core rumen microbiome (common to all samples) consisted of 64
30 bacterial taxa, of which members of the genus *Prevotella* were the most prevalent. The Chao1
31 diversity index was greater for prepartum multiparous cows than for postpartum multiparous
32 cows. Multivariable models identified bacterial taxa associated with increased or reduced
33 milk production, and general linear models revealed that a metagenomic-based prediction of
34 productivity is highly associated with production of actual milk and milk components. In
35 conclusion, the structure of the rumen fluid microbiome shifts between the prepartum and
36 postpartum periods and its profile can accurately predict production traits.

37 **Keywords:** microbiome/milk production/prepartum/postpartum/rumen.

38 Introduction

39 High-throughput sequencing technologies have opened new frontiers in microbial
40 analysis by allowing cost-effective characterization of complex microbial communities in
41 biological samples, and have significantly improved our knowledge of bovine rumen
42 microbial diversity. Over 27,000 carbohydrate-active genes, 50 proteins with enzymatic
43 activity against cellulosic substrates, and 15 uncultured microbial genomes were revealed in a
44 study of rumen samples using high-throughput sequencing (Hess et al, 2011). Diet can be a
45 significant factor shaping the microbial diversity of the rumen content of dairy cows (de
46 Menezes et al, 2011) and beef cows (Petri et al, 2013). Variation in the rumen microbiome of
47 dairy cattle has also been linked to levels of methane emission (Ross et al, 2013a), and
48 metagenomic profiling of the rumen microbiome can actually be used to predict phenotypes
49 related to enteric methane gas production (Ross et al, 2013b).

50 Jami & Mizrahi (2012) suggested the presence of a core rumen microbiome, but also
51 reported significant variability in bacterial genera abundances among animals. Using
52 pyrosequencing of ruminal metagenomic DNA they described the bacterial communities
53 across five different age groups (from 1-day-old calves to 2-year-old cows) (Jami et al, 2013).
54 The same group of researchers recently showed the potential role of the bovine rumen
55 microbiome in modulating milk composition (Jami et al, 2014). They were able to identify
56 connections between milk fat yield and the Firmicutes to Bacteroides ratio. Interesting
57 correlations were also present at the genus level. However, only 15 primiparous animals, one
58 diet, and one sample per animal were used in that study, suggesting that additional work
59 evaluating variation across diets and animals might improve the characterization of potential
60 relationships between the rumen microbiome and production traits.

61 The transition period (usually defined as the three weeks before and the three weeks
62 after calving) is undeniably the most challenging period for a high-producing Holstein dairy

63 cow. During these six weeks, the cow undergoes physiological stress as she prepares for and
64 then recovers from parturition, dramatically altering her metabolism so as to supply the
65 mammary gland with nutrients necessary for milk synthesis, while often consuming
66 insufficient dry matter that leads to negative energy balance and immunosuppression.
67 Adaptation of the rumen microbiota to dietary changes during this period is of paramount
68 importance and is best elucidated with the use of metagenomic tools. Koren et al, (2012)
69 showed dramatic changes in pregnant women's gut microbiota and suggested the existence of
70 important host-microbial interactions that impact host metabolism during pregnancy. Similar
71 findings await description in dairy cattle. In this study, we characterize the rumen
72 microbiomes of prepartum and postpartum high-producing Holstein cows and investigate
73 their associations with productivity.

74

75 **Materials and methods**

76 *Animal handling, data and sample collection*

77 The experimental procedures used in this study were reviewed and approved by the
78 Institutional Animal Care and Use Committee of Cornell University (Protocol number: 2013-
79 0082). The study was conducted at a single commercial dairy farm milking 2,800 Holstein
80 cows near Ithaca, NY, USA. One week before the expected calving date and one week after
81 parturition, rumen fluid samples were collected from primiparous (n = 48) and multiparous (n
82 = 67) cows. We opted to sample the rumen using a non-invasive procedure with the aid of a
83 scientifically evaluated and commercially available oro-ruminal sampling device (Flora
84 Rumen Scoop, profs-product, Guelph, Canada)(Geishauser et al, 2012). After sample
85 collection, an aliquot (50 mL) was stored in a sterile conical tube and kept on ice until
86 transported to the laboratory in Ithaca, NY, where samples were preserved in a -80°C freezer.

87 Data regarding daily milk yield were recorded using the DeLaval ALPRO™ milk
88 point controller 780 (Kansas City, MI, USA) and later data were retrieved from DairyComp
89 305 (Tulare, CA, USA) database. Daily milk production for each cow was averaged to a
90 weekly basis, and milk fat and protein percentages were recorded on a monthly basis.
91 Quartiles for average milk production, and milk fat and protein percentages for the first 150
92 days postpartum were determined for all cows and later used as ordinal categorical data in the
93 statistical models.

94 Prepartum cows were fed a diet with high fiber (NDF = 38.2%, ADF = 43.3%) and
95 low energy density (1.39 Mcal/kg), whereas postpartum cows were fed a diet of low fiber
96 (NDF = 24.1%, ADF = 30.1%) and high energy density (1.69 Mcal/kg) derived from higher
97 starch and fat supplementation (Supplementary Table S1).

98

99 *DNA extraction*

100 Rumen fluid samples were thawed and homogenized by vortexing for 3 min. A 1-ml
101 aliquot of each rumen fluid sample was centrifuged for 10 min at room temperature at 13,200
102 rpm (16,100 rcf) in an Eppendorf 5415R centrifuge. The supernatant was discarded and the
103 remaining pellet was resuspended in 400 ml of nuclease-free water. Isolation of genomic
104 DNA was performed by using a QIAamp DNA minikit (Qiagen) according to the
105 manufacturer's instructions, except that 400 mg of lysozyme was added to the bacterial
106 suspension and incubated for 12 h at 56°C to maximize bacterial DNA extraction. DNA
107 concentration and purity were evaluated by optical density using a NanoDrop ND-1000
108 spectrophotometer (NanoDrop Technologies, Rockland, DE, USA) at wavelengths of 230,
109 260 and 280 nm.

110

111 *DNA amplification, purification, and quantification of the 16S rRNA and 18S rRNA genes*

112 The 16S rRNA and 18S rRNA genes were amplified by PCR from individual
113 metagenomic DNA samples using barcoded primers. For amplification of the V4
114 hypervariable region of the bacterial/archaeal 16S rRNA gene, primers 515F and 806R were
115 used accordingly to a previously described method (Caporaso et al, 2012) optimized for the
116 Illumina Miseq platform. Likewise, for amplification of the V9 hypervariable region of the
117 18S rRNA gene (Amaral-Zettler et al, 2009), primers 1391F and 1510R were used following
118 (Caporaso et al, 2012) optimized for the Illumina Miseq platform. The earth microbiome
119 project (<http://www.earthmicrobiome.org/>; (Gilbert et al, 2010) was used to select 140
120 different 12-bp error-correcting Golay barcodes for the 16S rRNA gene and another 140
121 different 12-bp error-correcting Golay barcodes for 18S rRNA gene, as described by
122 (Caporaso et al, 2012). The 5'-barcoded amplicons were generated in triplicate using 12–300
123 ng DNA template (isolated from rumen samples), 1× GoTaq Green Master Mix (Promega,
124 Madison, WI), 1 mM MgCl₂, and 10 μM of each primer. The PCR conditions for the 16S
125 rRNA gene consisted of an initial denaturing step of 94°C for 3 min, followed by 35 cycles of
126 94°C for 45 s, 50°C for 1 min, and 72°C for 90 s, and the final elongation step of 72°C for 10
127 min. The PCR conditions for the 18S rRNA gene consisted of an initial denaturing step of
128 94°C for 3 min, followed by 35 cycles of 94°C for 45 s, 57°C for 1 min, and 72°C for 90 s,
129 and the final elongation step of 72°C for 10 min. Replicate amplicons were pooled and
130 purified with a QIAquick PCR Purification Kit (Qiagen, Valencia, CA, USA), and visualized
131 by electrophoresis through 1.2% (wt/vol) agarose gels stained with 0.5 mg/ml ethidium
132 bromide before sequencing. Blank controls, in which no DNA was added to the reaction,
133 were performed. In all cases these blank controls failed to produce visible PCR products;
134 these samples were not analyzed further. Purified amplicon DNA was quantified using the
135 Quant-iT™ PicoGreen® dsDNA Assay Kit (Life Technologies Corporation, Carlsbad, CA,
136 USA).

137

138 *Sequences library analysis and statistical analysis*

139 Amplicon aliquots were standardized to the same concentration and then pooled into
140 one of three different runs (140 samples per run) according to individual barcode primers of
141 the 16S rRNA gene. The same procedure was conducted for the 18S rRNA amplicons. Final
142 equimolar libraries were sequenced using the Miseq reagent kit V2-300 cycles on the MiSeq
143 platform (Illumina, Inc., San Diego, CA, USA). The 16S rRNA and 18S rRNA gene
144 sequences obtained from the MiSeq platform were processed through the open source
145 software pipeline Quantitative Insights Into Microbial Ecology (QIIME) version 1.7.0-dev
146 (Caporaso et al, 2010). Sequences were filtered for quality using established guidelines
147 (Bokulich et al, 2013). Sequences were binned into Operational Taxonomic Units (OTUs)
148 based on 97% identity using UCLUST (Edgar, 2010) against the Greengenes reference
149 database (McDonald et al, 2012) May 2013 release. Low-abundance clusters were filtered
150 and chimeric sequences were removed using USEARCH (Edgar, 2010). Representative
151 sequences for each OTU were compared against the Greengenes database for taxonomy
152 assignment and only full-length, high-quality reads ($-r=0$) were used for analysis.

153 The OTU results obtained from the analysis above were used to determine the core
154 microbiome for the prepartum and postpartum periods. The core microbiome was defined as
155 all taxa found to be ubiquitous across all samples. A multivariable model was built using JPM
156 Pro 11 (SAS Institute Inc., NC) to evaluate correlations between bacterial taxa in the core
157 microbiome at the prepartum and postpartum periods. Using the obtained OTU information,
158 we evaluated each sample's richness using the Chao1 index, which is a nonparametric
159 estimator of the minimum richness (number of OTUs) and is based on the number of rare
160 OTUs (singletons and doublets) within a sample. The Chao1 index means (\pm SD) were then

161 compared using a general linear model with JMP Pro 11 with time relative to calving, parity
162 and milk quartiles as independent variables.

163 The prevalences of different bacterial taxa in each sample were used as covariates in
164 stepwise discriminant analysis models built in JMP Pro 11. Variables were removed in a
165 stepwise manner until only variables with a P value < 0.001 were retained in the final model.
166 *Time relative to calving* and *parity* were used as categorical variables. In this way, differences
167 in microbiome structure during the transition period of primiparous and multiparous cows
168 were illustrated. A series of analyzes was performed to investigate how prepartum and
169 postpartum microbial diversity relates to production traits. A screening analysis using JMP
170 Pro 11 was performed to determine which bacterial taxa were associated with increased or
171 decreased average milk production, and with average milk fat and protein percentages for the
172 first 150 days in milk stratified by period relative to calving and by parity. Linear correlation
173 matrixes (Pearson correlation coefficient) were generated to illustrate the level of correlation
174 of the bacterial taxa selected by the screening model and the weekly milk averages.
175 Metagenomic-based production predictions were estimated using multivariable generalized
176 linear mixed models using JMP Pro 11; bacterial taxa that were found to be significantly
177 associated with milk production (P value < 0.001) based on the variable screening model
178 were offered to the model as independent variables and the variable of interest was the
179 repeated weekly measurements of milk production. To control for repeated measures, the
180 variable “animal identification” was included in the models as a random variable. Similar
181 models were built for monthly average of milk fat percent and milk protein percent for the
182 first 5 months following parturition.

183

184 **Results**

185 *Sequencing results, core microbiome description, and genera prevalence*

186 Quality-filtered reads for 16S sequences were demultiplexed, yielding 24,863,354
187 sequences in total with a median sequence length of 301 bases per read, and an average
188 coverage of 108,102 sequences per sample. Similarly, quality-filtered reads for 18S
189 sequences were demultiplexed, yielding 22,592,149 sequences in total with a median
190 sequence length of 129 bases per read, and an average coverage of 98,226 sequences per
191 sample.

192 The rumen fluid core microbiome was composed of 64 bacterial taxa. The core
193 microbiome represented 89.6% and 91.2% of all bacterial genera present in the rumen in the
194 prepartum and postpartum periods, respectively. The mean prevalence of each bacterial taxon
195 present in the core microbiome is illustrated in Supplementary Table S2, and the prevalences
196 of core microbiome bacterial genera for all cows are illustrated in supplementary Figure S1
197 (prepartum) and supplementary Figure S2 (postpartum). Taxa that could not be assigned to a
198 genus but were present in all samples are still displayed based on the highest taxonomic level
199 that could be assigned to them. Data analysis identified 2,132 different bacterial species;
200 however, these represented only 46% of the sequences identified for all samples and therefore
201 they were not included in further models to determine the core microbiome and associations
202 with production traits. Twelve bacterial species that had an average prevalence of 1% and
203 were consistently the most prevalent among prepartum and postpartum multiparous and
204 primiparous cows are depicted in supplementary Figure S3.

205 The core microbiome in the prepartum period was predominantly composed of
206 *Prevotella* (19.5% \pm 0.82), Ruminococcaceae 2 (7.3% \pm 0.21), Bacteroidales (7.2% \pm 0.21),
207 Lachnospiraceae 2 (5.4% \pm 0.16), *Ruminococcus* (4.8% \pm 0.18), Clostridia 2 (4.1% \pm 0.17),
208 Clostridiales 2 (3.5% \pm 0.12), Christensenellaceae (3.3% \pm 0.16), Bacteroidales 2 (3.2% \pm
209 0.08) and *Succiniclasicum* (3.1% \pm 0.12). In comparison, the core microbiome in the
210 postpartum period consisted of predominantly *Prevotella* (21.3% \pm 1.20), Ruminococcaceae

211 2 (8.0% ± 0.34), *Ruminococcus* (7.3% ± 0.38), Bacteroidales (5.7% ± 0.24), Lachnospiraceae
212 2 (5.7% ± 0.16), Clostridia 2 (3.8%± 0.15), family S24-7 (3.8% ± 0.02), *Succiniclasicum*
213 (3.4% ± 0.17), Clostridiales 2 (2.9% ± 0.11), and Bacteroidales 2 (2.7% ± 0.24). The
214 prevalence of each bacterial phylum for each sample evaluated is depicted in Figure 1.
215 Twenty-eight phyla were identified in at least 20 samples across the prepartum and
216 postpartum samples, and 13 phyla composed the core microbiome. The two major phyla
217 present in rumen samples were Firmicutes and Bacteroidetes. The mean prevalences of
218 Firmicutes for the prepartum-primiparous, prepartum-multiparous, postpartum-primiparous,
219 and postpartum-multiparous samples were 45.1%, 42.5%, 49.65% and 42.8%, respectively.
220 The mean prevalences of Bacteroidetes for the prepartum-primiparous, prepartum-
221 multiparous, postpartum-primiparous, and postpartum-multiparous samples were 36.9%,
222 38.4%, 33.6% and 40.7%, respectively. Other major phyla with prevalences over 1% include
223 Verrucomicrobia, Euryarchaeota, Tenericutes and Proteobacteria. The mean prevalences of
224 eukaryotic organisms based on 18S sequencing are presented in Figure 2. The protozoan class
225 Litostomatea was the dominant eukaryotic taxon, its members accounting for more than 90%
226 of the eukaryotes present in the rumen samples. An unclassified-metazoan OTU was the
227 second most prevalent eukaryotic taxon, followed by a series of fungi (*Saccharomyceta*,
228 Unclassified-Fungi, Agaricomycotina, Neocallimastigales) and a few other protozoa
229 (Unclassified-Ciliophora, Unclassified-Intramacronucleata and Unclassified-Alveolata).
230 Litostomatea and Unclassified-Alveolata prevalence decreased from the prepartum to
231 postpartum period, whereas Unclassified-Metazoa, *Saccharomyceta*, Unclassified-Fungi,
232 Agaricomycotina, Neocallimastigales, Mitosporic fungi, Pucciniomycotina, Unclassified-
233 Basidiomycota and Unclassified-Parabasalia increased in prevalence over the same transition.
234 In general, the fungal types identified showed variation similar to that of the Unclassified-
235 Metazoa, having an increased prevalence from the prepartum to the postpartum period.

236

237 *Discriminant analysis results*

238 Differences in rumen microbial diversity between the prepartum and postpartum
239 periods are mainly illustrated by Canonical 1 (Figure 3), whereas differences between
240 primiparous and multiparous cows are mainly illustrated by Canonicals 2 and 3 (Figure 3).
241 The canonical scores for each bacterial taxon used to discriminate rumen microbiomes
242 according to period relative to calving and primiparous cows from multiparous cows are
243 presented in Figure 4.

244

245 *Richness indexes and association of the Firmicutes-Bacteroidetes ratio with production traits*

246 Chao1 index means for pre- and postpartum samples for multiparous and primiparous
247 cows stratified by milk production quartiles are illustrated in Figure 5. The Chao1 index
248 dropped significantly between the prepartum and postpartum periods in multiparous cows for
249 both the lower milk production quartile (1) and the higher milk production quartile (4).

250 The Firmicutes-Bacteroidetes ratio for cows within milk quartile 2 was significantly
251 higher in primiparous-postpartum cows versus multiparous-prepartum cows (Figure 6).
252 Likewise, the Firmicutes-Bacteroidetes ratio for cows within milk quartile 4 was significantly
253 higher for primiparous-postpartum cows compared to multiparous-prepartum, multiparous-
254 postpartum and primiparous-prepartum cows (Figure 6). The Firmicutes-Bacteroidetes ratio
255 was not correlated with milk fat percentage (Pearson $R = -0.03$, P value = 0.38) or milk
256 protein percentage (Pearson $R = -0.83$, P value = 0.40).

257

258 *Metagenomic-based production traits*

259 Bacterial taxa associated with either increased or reduced average milk production for
260 the first 150 days postpartum were obtained from screening analyses performed according to

261 the period relative to calving and parity. Those bacterial taxa were used in a multivariable
262 model to evaluate correlations between the prevalence of these bacterial taxa and weekly
263 average milk yield for the first 12 weeks postpartum. Primiparous-prepartum microbiome
264 correlation patterns varying from -0.60 (negative correlation with milk production) to 0.50
265 (positive correlation with milk production) are illustrated in Figure 7 A. The bacterial taxon
266 Micrococcaceae was consistently the most positively correlated with weekly milk production
267 throughout the first 12 weeks postpartum, whereas *Ureibacillus* was the most negatively
268 correlated throughout the same period (Figure 7 A). A similar pattern was observed for the
269 primiparous-postpartum microbiome, the correlation varying from -0.60 to 0.60, with
270 Deltaproteobacteria being the most negatively correlated with weekly average milk
271 production and Erysipelotrichaceae the most positively correlated (Figure 7 B). Likewise,
272 bacterial taxa in samples from multiparous-prepartum cows showed correlations with weekly
273 milk production throughout the first 12 weeks postpartum that ranged from -0.60 to 0.40,
274 with *Faecalibacterium* and *Virgibacillus* being the most negatively and most positively
275 associated, respectively (Figure 8 A). Lastly, the multiparous-postpartum microbiome
276 correlations also ranged from -0.60 to 0.40, with Prevotellaceae 2 being the most positively
277 correlated with weekly milk production throughout the first 12 weeks postpartum, and R4-
278 41B the most negatively correlated (Figure 8B).

279 Additionally, a multivariable regression model was built that used bacterial taxa
280 significantly associated with average milk production in the first 150 days postpartum to
281 predict weekly average of milk production compared to actual milk production. The
282 microbiome-predicted milk production according to period relative to calving and parity was
283 significantly correlated with actual weekly averages of milk production as illustrated in
284 Figures 9A to 9D. Similar models were built for milk fat percent and milk protein percent and
285 added to our supplemental data (Supplementary Figures S4 and S5).

286 A final multivariable model was built to evaluate correlations between the prepartum
287 and postpartum core microbiomes for the most prevalent bacteria, and revealed strong
288 correlations between the predominant core bacterial genera before and after parturition
289 (Figure 10).

290

291 **Discussion**

292 We showed here that differences exist between the prepartum and postpartum rumen
293 microbiomes in primiparous and multiparous Holstein cows and that these differences can be
294 used to predict, with relatively high accuracy, certain production traits. Rumen microbes have
295 an essential role in the deconstruction of plant lignocellulosic material (Hess et al, 2011) by
296 enabling cows to harness the solar energy stored in plant fibers via their conversion into milk
297 and meat, both important sources of high-quality protein and energy for human consumption.
298 The transition from a prepartum high-fiber, low-energy diet to a postpartum low-fiber, high-
299 energy diet represents the most common feeding scenario on dairy farms with high-producing
300 dairy cows, and understanding its effects on the rumen microbiome and potential
301 relationships with production is of great interest.

302 Use of the MiSeq Illumina sequencing platform generated a great number of
303 sequences per read (108,102), exceeding the 80,000 sequences per sample estimated to be
304 required for full coverage of all OTUs in rumen samples across different diets (Jami &
305 Mizrahi, 2012). Indeed, 88.3% of all samples evaluated in the present study were above the
306 threshold of 80,000 sequences per read, representing increased coverage and depth than those
307 of previous studies that used 454 Roche pyrosequencing (16,000 to 36,000 sequences per
308 sample; Jami & Mizrahi, 2012; Li et al, 2012; Petri et al, 2013).

309 Prepartum and postpartum rumen samples were readily distinguished by discriminant
310 analysis based on bacterial profiles (Figure 3). These results are comparable to recent

311 findings describing rapid alterations of the gut microbiome in humans (David et al, 2014) and
312 cattle (Petri et al, 2013) in a diet-dependent manner. Many well-known cellulolytic,
313 amylolytic and acidophilic bacteria (*Fibrobacter*, *Ruminobacter*, *Selenomonas*, *Butyrivibrio*,
314 *Succinivibrio*) were significant in discriminating the prepartum from the postpartum
315 microbiome. Other significant bacteria distinguishing these two microbiomes were
316 uncultured-unidentified rumen bacterial clones *YRC22* and *RFP12* and, previously unreported
317 in rumen, bacteria such as *Solibacillus* and *Sporanaerobacter*, all with completely unknown
318 and unexplored functions in rumen physiology. Bacteria from the family Christensenellaceae
319 have previously been reported in human feces; these are strictly anaerobic, non-motile, non-
320 spore-forming, gram-negative species which produce acetic acid and a small amount of
321 butyric acid as fermentation end-products (Morotomi et al, 2012). Considering the high
322 significance that Christensenellaceae had in our discriminant analysis model, it is likely that
323 these bacteria play an important role in rumen dynamics, and their further investigation is
324 warranted.

325 Discriminant analysis models also revealed that rumen samples derived from
326 primiparous cows were readily distinguished from multiparous cows based on their
327 microbiomes (Figure 3). A clear age effect on the rumen microbiome was described by Jami
328 et al, (2013), in which diversity and within-group similarity increased with age. Similar
329 results of increased microbial diversity and convergence toward a mature bacterial
330 composition with age were also reported in a study of the gut microbiome of human
331 populations from different geographical locations across different age groups (Yatsunenکو et
332 al, 2012). Heifers at one week before the expected calving date are considered as adult
333 animals. However, they are fed a high-fiber, low-energy diet that differs dramatically from
334 the low-fiber, high-energy diet fed to multiparous cows during the previous lactation period.
335 The group of bacteria that largely distinguishes primiparous from multiparous cows is the

336 amylolytic/acidophilic bacteria (*Butyrivibrio*, *Succinivibrio*, *Selenomonas* and
337 *Ruminobacter*). Nonetheless, some unusual bacterial types also featured in this
338 discrimination, such as the candidate phylum SR1, which includes bacteria found in marine
339 and terrestrial high-temperature environments (Davis et al, 2009), in mammalian digestive
340 tracts (Ley et al, 2008), or in the oral cavity of humans (Dewhirst et al, 2010). Until now,
341 these bacteria were not known to be present in the rumen of dairy cows.

342 The notion of diet influencing microbial diversity in cattle is a long-standing one,
343 (Hungate et al, 1964) supported more recently by the use of molecular techniques to
344 investigate rumen dynamics, function and the effects of diet (Mackie et al, 2003;
345 Malmuthuge et al, 2012; Petri et al, 2013). As discussed above, use of the MiSeq Illumina
346 platform can propel studies of rumen microbiology even further. Sequencing the 18S rRNA
347 gene allowed us to identify rumen fungal and protozoan species that have also been shown to
348 play important roles in rumen physiology (Bauchop, 1979; William & and Coleman, 1997).
349 We showed here that over 90% of the sequences belonged to the protozoan class
350 Litostomatea, ciliated protists that until recently were divided into two groups, the Haptoria
351 and the Trichostomatia (Gao et al, 2008). The Trichostomatia subclass contains one of most
352 studied ruminal protozoan taxa, the *Entodinium spp.*, which are able to engulf starch and
353 attach to amylolytic bacteria (Dennis et al, 1983); these protozoans have greater relative
354 abundance in cows fed a low-fiber diet compared to cows fed a high-fiber diet (Carberry et
355 al, 2012). These results are in line with our findings of increased relative abundance of
356 Litostomatea in the postpartum period, corresponding to a low-fiber, high-energy diet. Also
357 in line with our findings was the consistently increased abundance of fungal types in the
358 prepartum period compared to the postpartum period. Generally, fungi present in the rumen
359 can penetrate both the cuticle and the cell wall of lignified material, thus playing an essential
360 role in fiber degradation (Hobson & Stewart, 1997).

361 The concept of a ‘core’ microbiome developed for the human gut implies that there is
362 a population of microbes that remains stable independent of host genetics and diet; however,
363 deviation from this core population might indicate occurrence of metabolic unbalance and
364 disease (Ley et al, 2006; Turnbaugh et al, 2009; Turnbaugh et al, 2006). The same concept
365 has been recently applied to the bovine rumen (Jami & Mizrahi, 2012; Li et al, 2012; Petri et
366 al, 2013). Jami & Mizrahi (2012) identified 32 genera across 16 cows fed an *ad libitum* diet
367 for many months. Li et al (2012) identified 45 genera that were common to 4 calves being fed
368 milk-replacer. However, a study by Petri et al (2013) found that only the genus *Prevotella*
369 was ubiquitous in 8 heifers fed either a forage diet, a forage-concentrate diet, a concentrate
370 diet, or an acidosis-inducing diet. In our much larger sample population and across two
371 different diets, the core rumen microbiome in the present study is defined by 64 bacterial
372 taxa, suggesting that the description of the core rumen microbiome is perhaps influenced by
373 sequencing coverage and depth. Evidence in support of this possibility comes from the work
374 of Petri et al (2013), who reported prevalences of 32.3% and 43.2% for the two major phyla
375 Bacteroidetes and Firmicutes, respectively, percentages comparable to ours despite
376 differences in animal category, diets and methodology between the two studies. Many of the
377 core rumen microbiome bacterial types identified in the present study belong to these two
378 phyla and could potentially be present in other samples studied by Petri et al (2013). Use of
379 the MiSeq platform allows greater throughput per run and smaller errors rates compared to
380 454 pyrosequencing, which ultimately leads to greater depth and breadth of coverage and
381 potential identification of higher numbers of microbial genera (Loman et al, 2012; Frey et al,
382 2014). Petri et al (2013) reported an average of 3,260 to 6,832 sequences per sample
383 depending upon diet/treatment and mentioned that a plateau was not reached for any of the
384 dietary treatments, indicating that additional sequencing would be necessary to fully describe
385 rumen bacterial communities under those conditions.

386 Recently, Jami et al (2014) reported that milk yield and composition were highly
387 correlated with abundance of various bacterial members of the rumen microbiota. A strong
388 correlation between the Firmicutes to Bacteroidetes ratio and milk-fat yield was shown.
389 Considering the essential role of rumen bacteria in the breakdown of plant polysaccharides
390 (Flint et al, 2008) and that volatile fatty acids produced by this breakdown are a major source
391 of energy and have a direct effect on milk production (Brulc et al, 2009; Hurtaud et al, 1993),
392 it is plausible that rumen microbiome profiles in the prepartum and early postpartum periods
393 help determine production traits. Indeed, our screening analysis revealed that several bacterial
394 taxa in prepartum and postpartum samples were associated with increased or reduced average
395 milk production, milk fat percent, and milk protein percent for the first 150 days postpartum.
396 We built many models using bacterial taxa significantly associated with production traits in
397 an attempt to evaluate correlations between the rumen microbiome and weekly milk
398 production or monthly milk fat and protein percentages. Bacteria significantly correlated with
399 milk production were used to generate microbiome predictions for milk production, milk fat
400 percent and milk protein percent. Although we were unable to replicate the strong correlation
401 between the Firmicutes to Bacteroidetes ratio and milk fat percentage reported by Jami et al
402 (2014), we did identify bacterial groups (stratified by parity and period relative to calving)
403 that are highly correlated with production traits. In general, moderate to high correlations (R
404 square = 0.42 to 0.82) of microbiome predictions for production traits were identified by our
405 models. Some of the bacteria with the highest positive correlation with milk production are
406 well-known rumen bacteria such as *Butyrivibrio* and Prevotellaceae 2, and their role on
407 rumen function is already well described. *Butyrivibrio* undertake biohydrogenation of fatty
408 acids (Polan et al, 1964), which generates conjugated linoleic acid as an intermediate (Kepler
409 et al, 1966). Prevotellaceae 2 is the most prevalent bacterial family in the rumen of adult
410 cattle, and some of the species within this family such as *Prevotella bryantii* when used as

411 probiotics decreased lactate production and increased milk fat percentages during the weeks
412 following inoculation (Chiquette et al, 2008). Conversely, other bacteria with high positive
413 correlations with milk production such as *Micrococcus*, Enterobacteriaceae,
414 Erysipelotrichaceae, *Virgibacillus*, Anaeroplasmatales 2, Thermoplasmata, and
415 Rhodobacteriaceae are very poorly characterized or unreported in rumen. Among all
416 production traits, milk production had the highest correlations with bacterial types and could
417 be more accurately predicted by microbiome profiles.

418

419 **Conclusions**

420 As expected, moving from a high-fiber, low-energy diet to a low-fiber, high-energy
421 diet led to a shift of the rumen microbiome. Differences between the prepartum and
422 postpartum rumen microbiomes included different prevalences of classic cellulolytic and
423 amylolytic bacteria coupled with variations in several other bacterial taxa previously
424 uncultured, unreported or with unknown function in the rumen. Moreover, the prepartum
425 microbiome was characterized by increased prevalence of fungi, which then shifted at the
426 immediate postpartum period to a pattern of increased prevalence of protozoa associated with
427 starch digestion. Milk production was predicted with relatively high accuracy by the rumen
428 microbiome; nonetheless, it remains to be determined how microbiome profiles are
429 associated with or indeed shape production traits. Future research will need to investigate the
430 validity of the microbiome predictions of this study across different environments in an
431 integrative manner that incorporates host genetics and metatranscriptomic information of the
432 rumen microbiome.

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435 allowing access to their animals and facilities and for assistance during the study.

436 **Conflict of interest statement**

437 The authors declare no conflict of interest.

438

439 Supplementary information is available at ISME website.

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553 Supplementary information is available at ISME website.

554 **Titles and legend to figures**

555 **Figure 1:** Aggregate microbiome composition at the phylum level for 16S rRNA sequences
556 according to period relative to calving (prepartum and postpartum) and parity (multiparous
557 and primiparous) for each cow evaluated in the study. The y axis represents the relative
558 abundance of OTUs for all samples evaluated within the specific period relative to calving
559 and parity.

560

561 **Figure 2** Graph bars illustrating the microbial taxa prevalence for 18S rRNA gene sequences.
562 The mean microbial prevalence according to period relative to calving (prepartum and
563 postpartum) and parity (multiparous and primiparous) is represented by x axis values. Error
564 bars represent standard errors.

565

566 **Figure 3** Discriminant analysis of rumen microbiome samples. Different OTU prevalences in
567 each sample were used as covariates and *time relative to calving* and *parity* were used as
568 categorical variables. Differences in the ruminal microbial profiles of primiparous (prepartum
569 = red dots, postpartum = green dots) and multiparous (prepartum = blue dots, and postpartum
570 = orange dots) are illustrated by Canonical 1, 2 and 3.

571

572 **Figure 4** Canonical scores 1, 2 and 3 for bacterial taxa that were found to be significant for
573 the discriminant analysis displayed in Figure 3.

574

575 **Figure 5** Bar graphs illustrating the mean Chao1 index for different periods relative to
576 calving and milk quartiles for primiparous cows (a) and multiparous cows (b). Error bars
577 represent standard errors. * $P < 0.01$.

578 **Figure 6** Bar graph illustrating the Firmicutes-Bacteroidetes ratio for periods relative to
579 calving, parity and milk quartiles. Error bars represent standard errors. $*P < 0.01$.

580

581 **Figure 7** Heatmaps illustrating correlations between bacterial taxa significantly associated
582 with milk production and weekly average of milk production. The color and intensity of each
583 square represent the value of the correlation between bacteria generally significantly
584 associated with milk production and weekly average of milk production. Panel **a** represents
585 correlations for the primiparous-prepartum cow microbiomes. Panel **b** represents correlations
586 for the primiparous-postpartum cow microbiomes. The letters in front of the bacterial names
587 identify the lowest level of classification (k=kingdom, p=phylum, c=class, o=order, f=family,
588 and g=genus).

589

590 **Figure 8** Heatmaps illustrating correlations between bacterial taxa significantly associated
591 with milk production and weekly average of milk production. The color and intensity of each
592 square represent the value of the correlation between bacteria generally significantly
593 associated with milk production and weekly average of milk production. Panel **a** represents
594 correlations for the multiparous-prepartum cow microbiomes. Panel **b** represents correlations
595 for the primiparous-postpartum cows microbiomes. The letters in front of the bacterial names
596 identify the lowest level of classification (k=kingdom, p=phylum, c=class, o=order, f=family,
597 and g=genus).

598

599 **Figure 9** Linear regression illustration of microbiome predicted milk production and actual
600 milk production. The x axis represents the microbiome-predicted milk production according
601 to bacterial taxa that significantly affected milk production for weekly values, and the y axis
602 represents the actual average of weekly milk production. The legend shows the quartiles of
603 milk production.

604

605 **Figure 10** Heatmap illustrating correlations between the most prevalent core microbiome
606 components prepartum and postpartum. The color and intensity of each square represent the
607 value of the correlation between bacteria observed at the prepartum and postpartum periods.
608 The color legend represents the values of the correlations. The letters in front of the bacterial
609 names identify the lowest level of classification (k=kingdom, p=phylum, c=class, o=order,
610 f=family, and g=genus).

611

612 Supplementary information is available at ISME website.

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Figure 1.

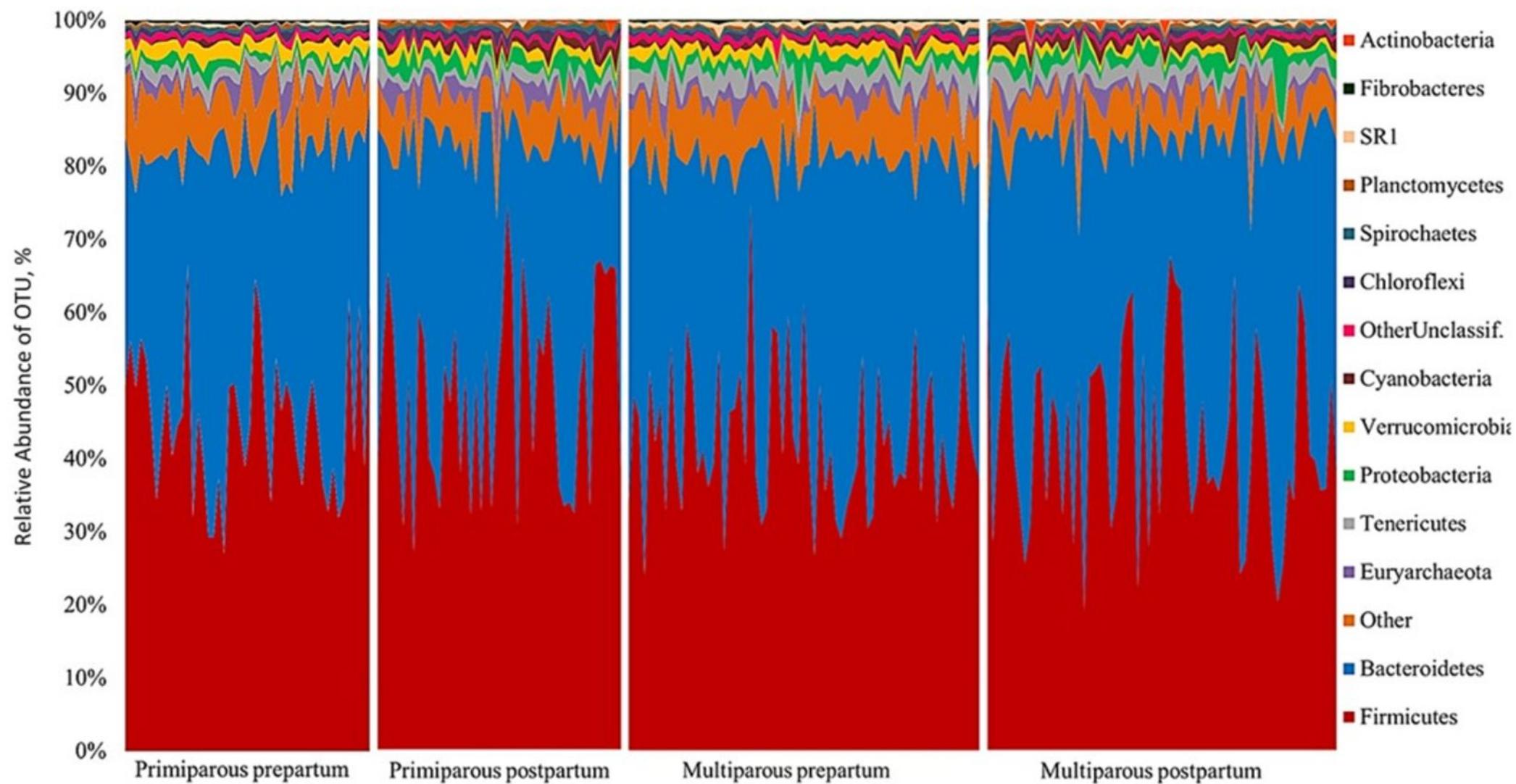


Figure 2.

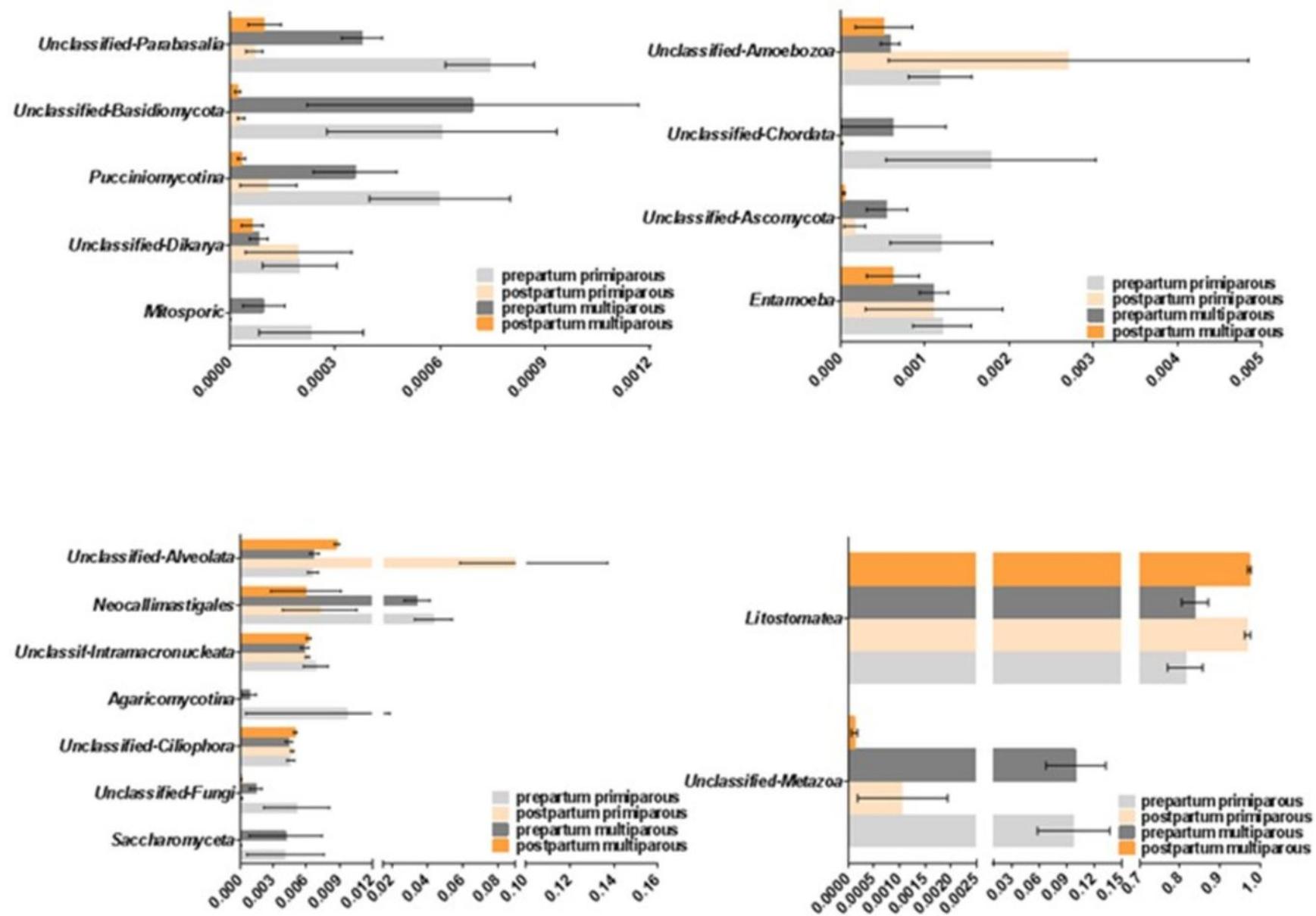


Figure 3.

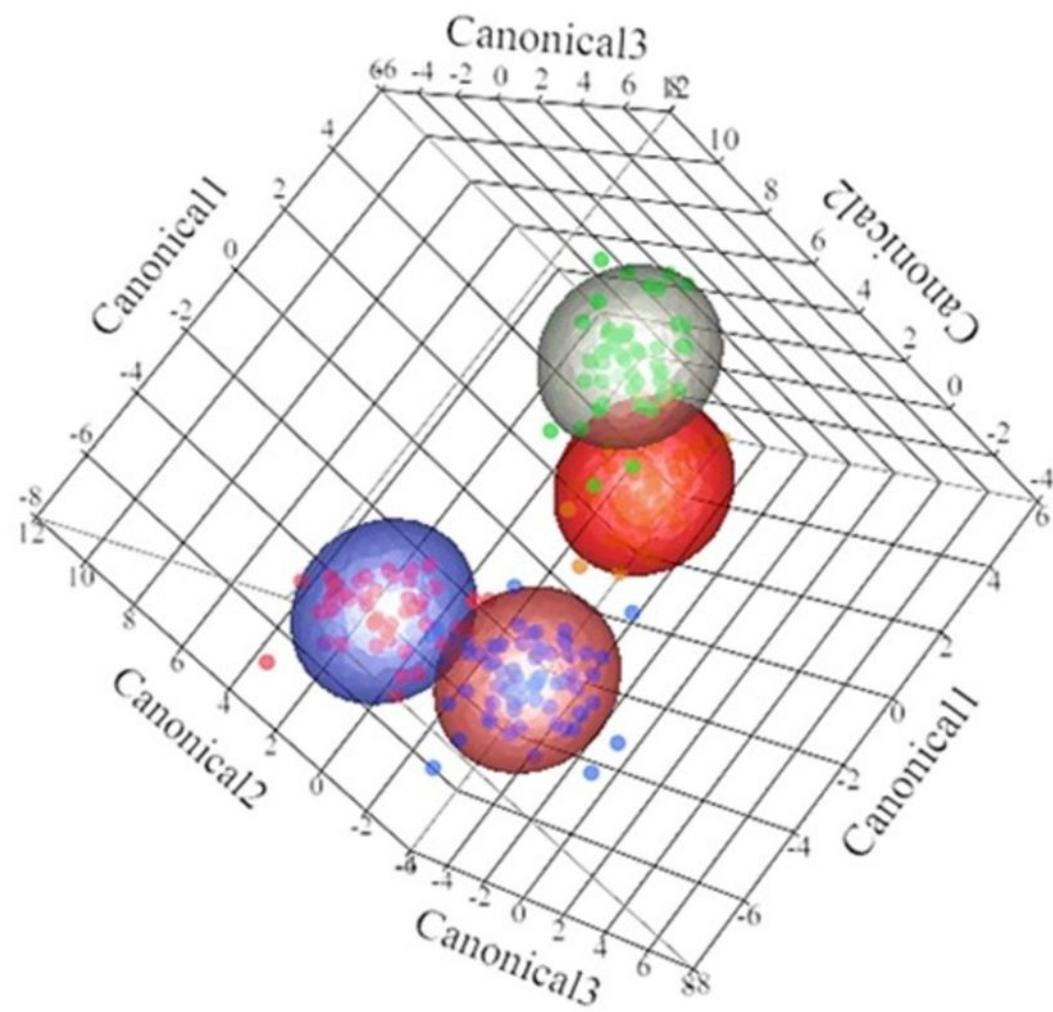


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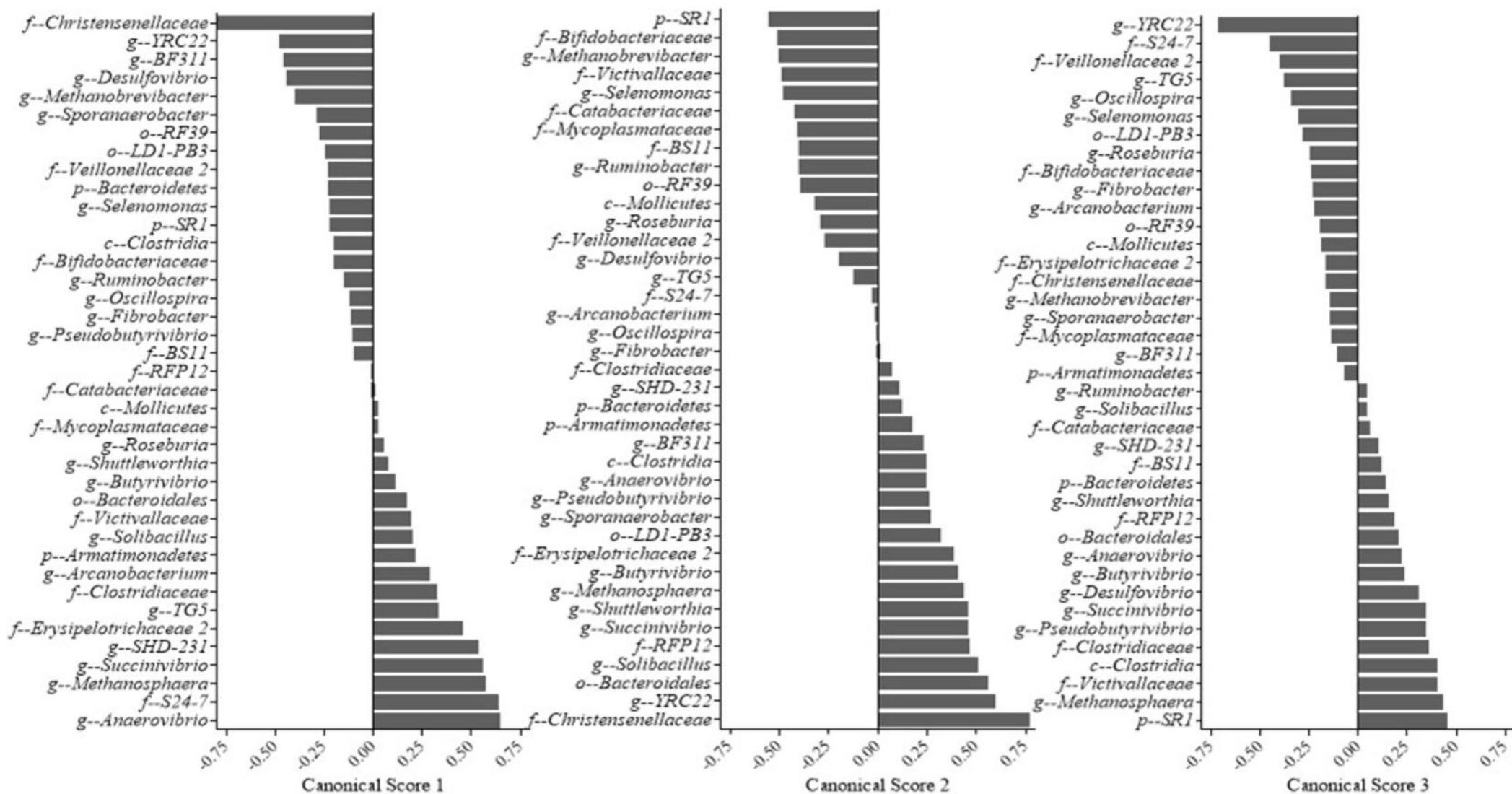


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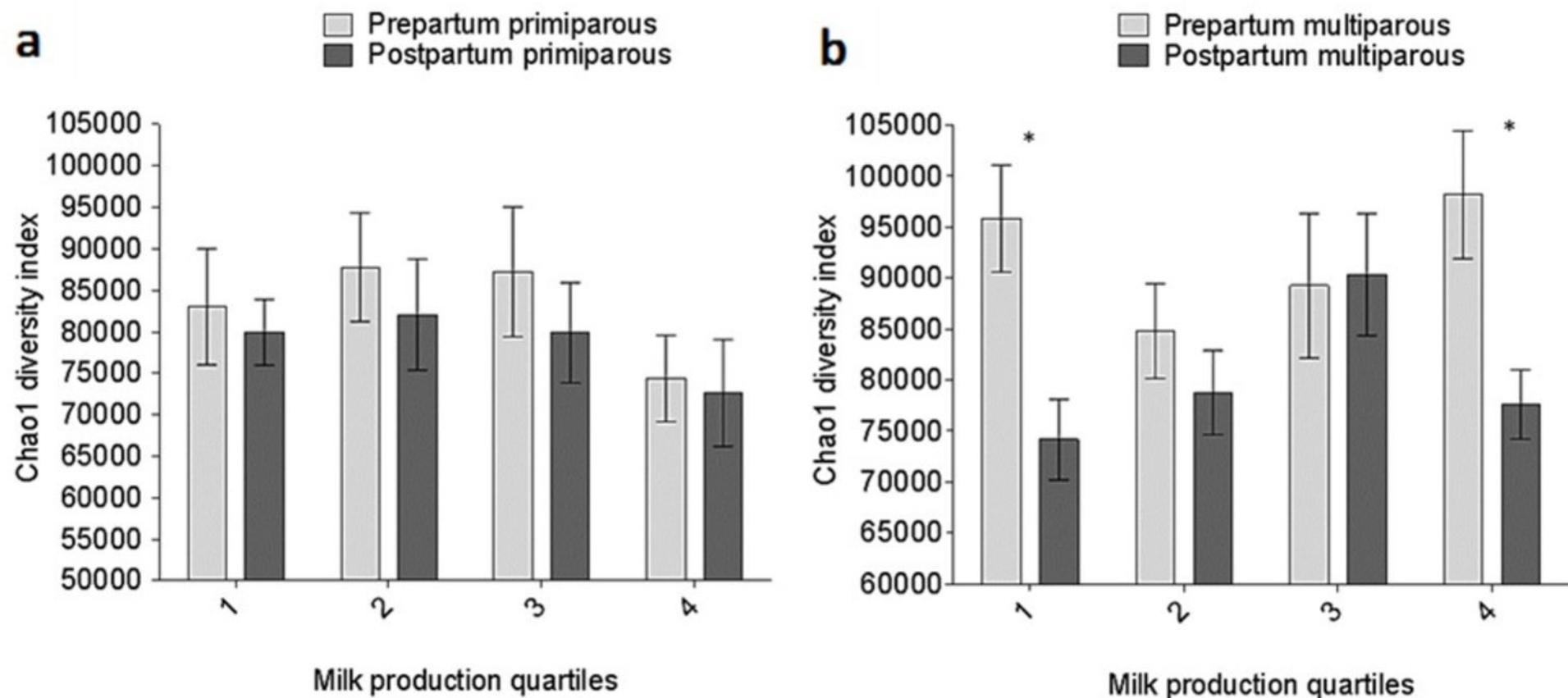


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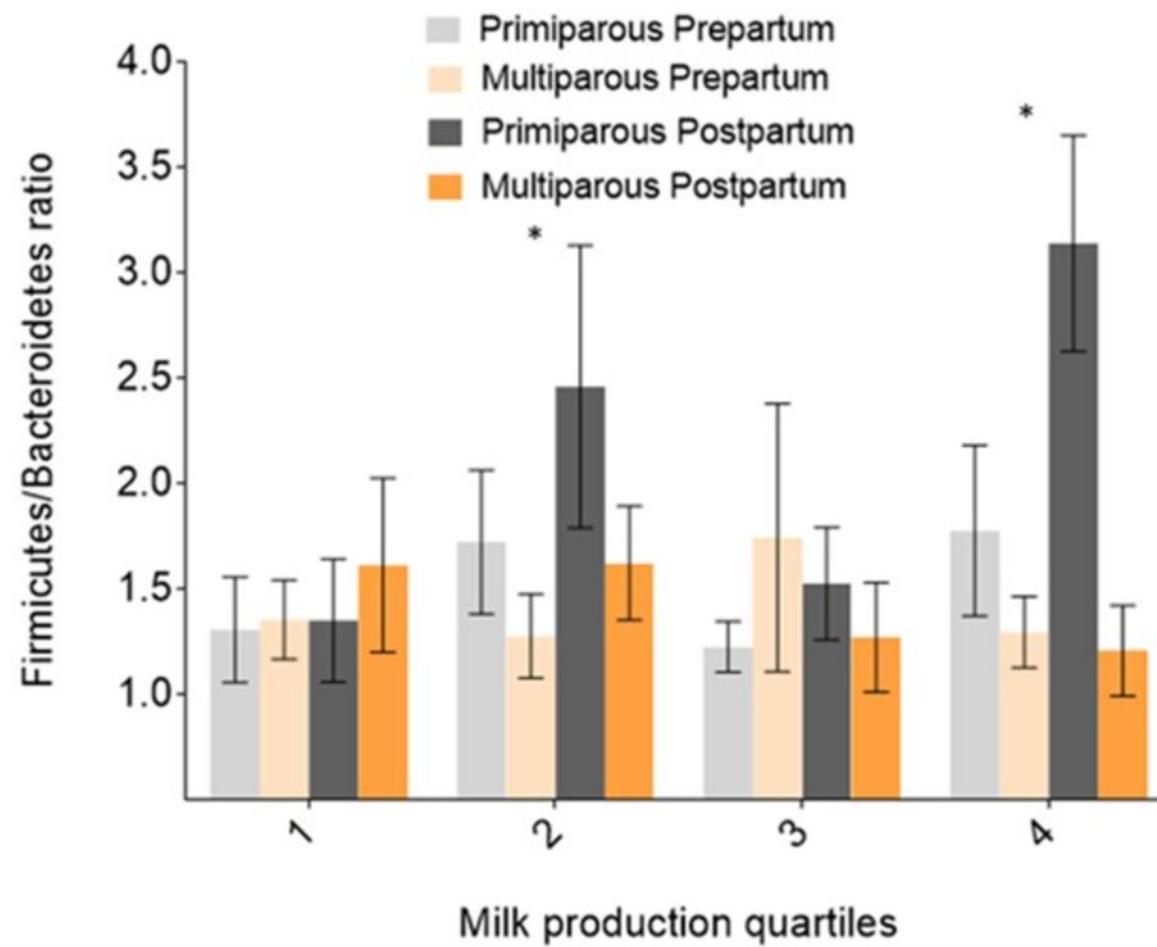


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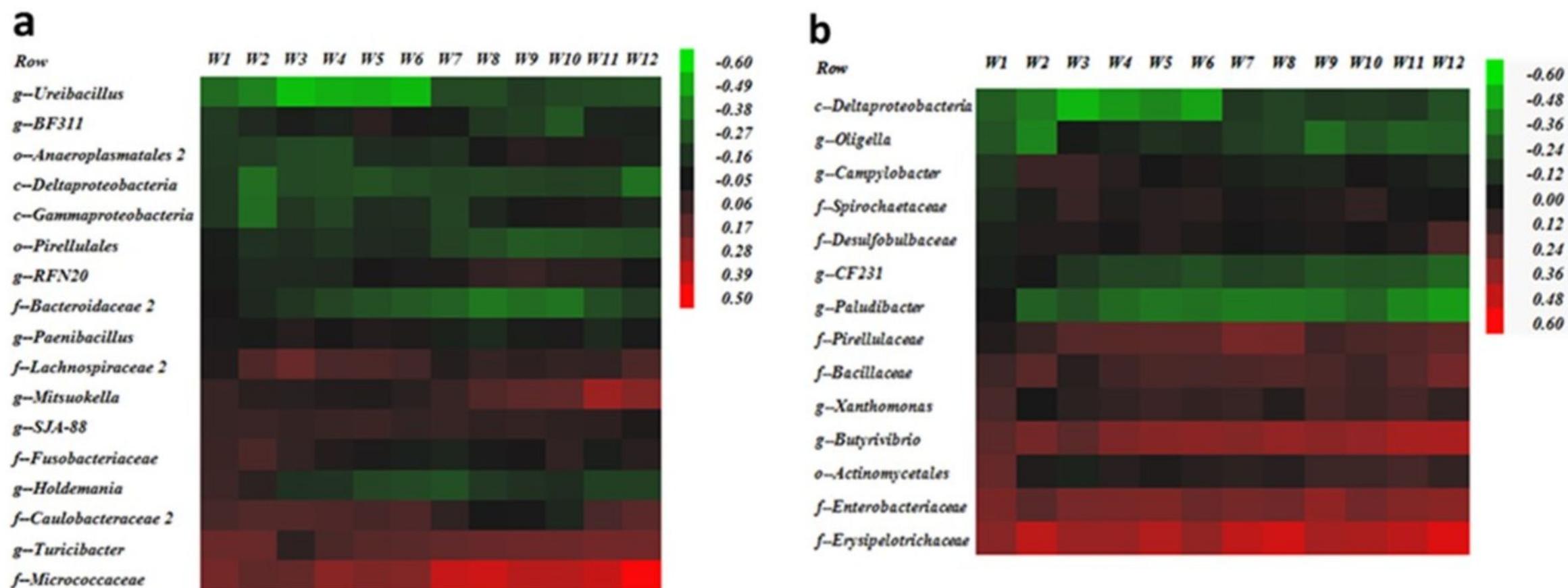


Figure 8.

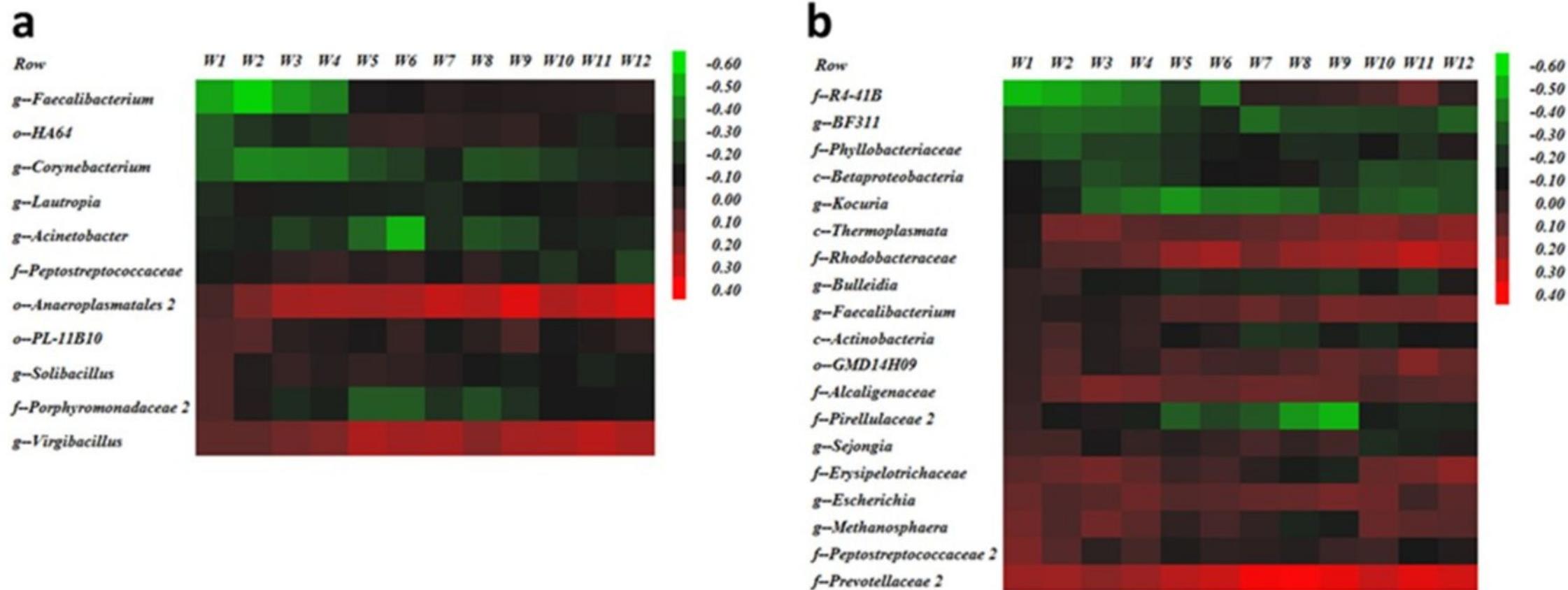


Figure 9.

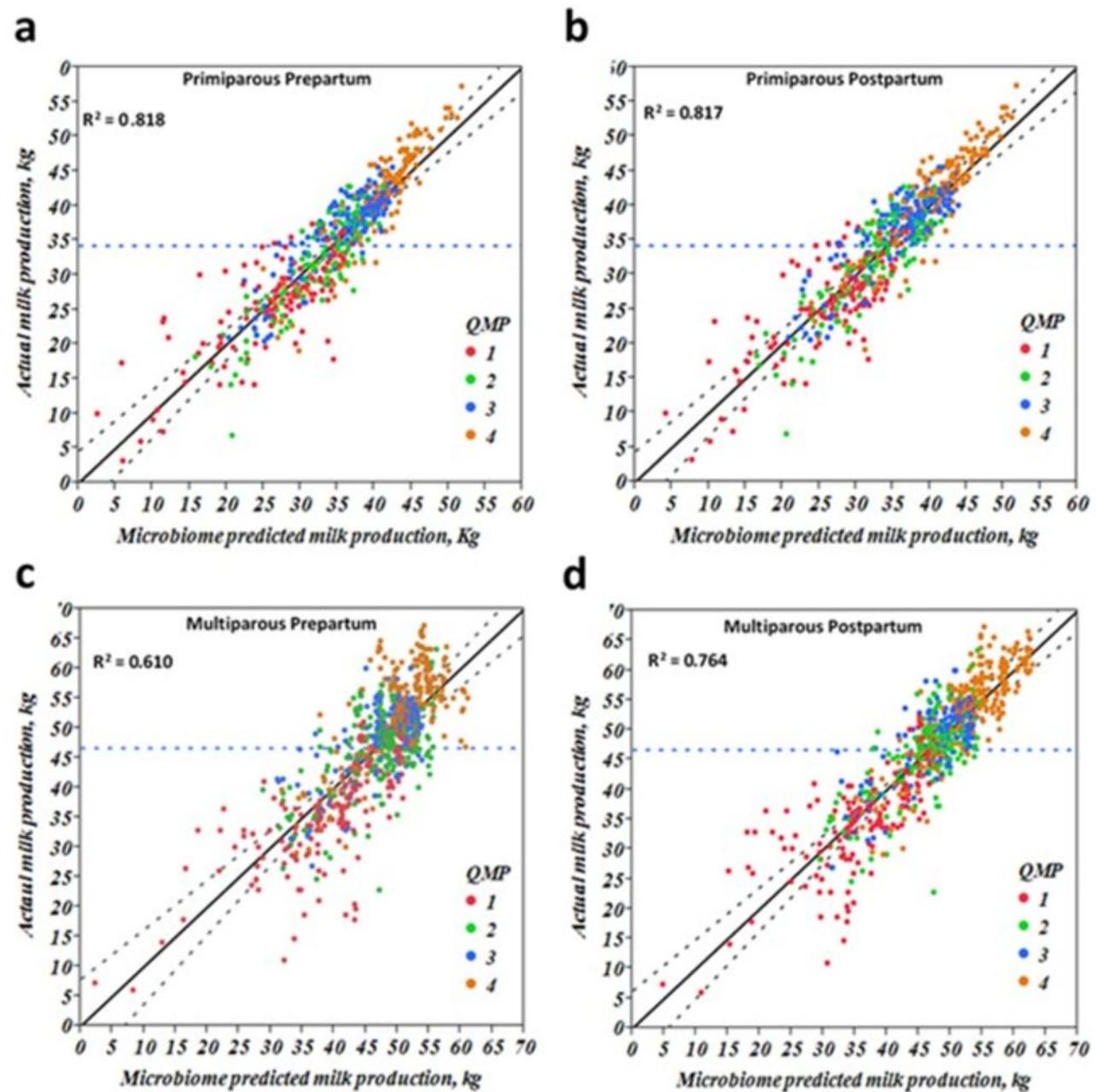


Figure 10.

