Non-tumour Bone Marrow Lymphocytes Correlate with Improved Overall Survival in Childhood Acute Lymphoblastic Leukaemia

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Abbreviations

ALL	Acute lymphoblastic leukaemia
BM	Bone marrow
CD	Cluster of differentiation
IQR	Inter-quartile range
LFS	Leukaemia free survival
MHC	Major histocompatibility complex
NK	Natural killer
OS	Overall survival
ROC	Receiver operating characteristic
WCC	White cell count

Abstract

Composition of tumour immune cell infiltrates correlate with response to treatment and overall survival (OS) in several cancer settings. We retrospectively examined immune cells present in diagnostic bone marrow aspirate from paediatric patients with B-cell acute lymphoblastic leukaemia. Our analysis identified a sub-group (~30% of patients) with \geq 2.37% CD20 and \geq 6.05% CD7 expression that had 100% OS, and a sub-group (~30% of patients) with \leq 2.37% CD20 and \leq 6.05% CD7 expression at increased risk of treatment failure (66.7% OS, *p*<0.05). Immune cell infiltrate at diagnosis may predict treatment response and could provide a means to enhance immediate treatment risk stratification.

1 Introduction

Conventional chemotherapeutic regimens cure a significant proportion of paediatric B cell acute 2 3 lymphoblastic leukaemia (B-ALL) patients. Improvements in survival rates observed over recent decades can be attributed to the introduction of efficient molecular testing for minimal residual 4 5 disease during treatment, and new combinations of chemotherapeutics. However, considerable 6 adverse effect profiles are associated with regimens used to treat paediatric B-ALL. Strategies to 7 identify patients where lower dose treatment would be clinically effective or treatment 8 intensification would enhance survival, represent attractive avenues to reduce long term 9 cytotoxicity and/or enhance overall survival (OS). 10 Recent advances in our understanding of tumour immunology suggest that an individual's 11 immune response, pre-therapy against their own tumour, significantly influences disease progression.[1] Several parameters associated with the composition of immune infiltrate in solid 12 13 tumours have been shown to correlate with prognosis, and in some instances, to predict patient 14 survival more accurately than any other parameter. [2,3] In B-ALL, two recent reports examining

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the immunological composition of bone marrow (BM) at diagnosis demonstrate correlation

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16 between CD4+ T lymphocytes and favourable early response in paediatric patients[4] and CD8+ 17 T lymphocytes and improved OS in adult patients.[5] In this study, we examined the 18 composition at diagnosis, of non-malignant lymphocytes in the BM of paediatric B-ALL 19 patients. We aimed to identify whether non-malignant lymphocytes routinely measured in BM 20 aspirate by flow cytometry are associated with OS. 21 **Methods & Results** 22 We reviewed the medical records of 153 children diagnosed with ALL at Alder Hey Children's 23 Hospital, Liverpool between 2002 and 2009 in accordance with NHS Health Research Authority 24 and Royal College of Pathologists' guidelines. All patients had been treated in accordance with 25 UKALL 97/99 or UKALL 2003 trial protocol. In 55 cases, flow cytometry data from clinical 26 diagnostic BM aspirate could be recovered in List Mode data format and re-analysed to 27 enumerate data related to the non-tumour cells present. All 55 patients analysed were diagnosed with common ALL (*de novo* precursor B-ALL), patient characteristics are show in Table I. 28 29 Patients with Philadelphia chromosome positive B-ALL, common ALL with aberrant CD20 30 tumour expression or Down syndrome were excluded from this study. There were 8 deaths and 31 the remaining 47 patients were alive and well at the time of last follow up, although 4 had 32 experienced relapse, but were treated successfully with salvage chemotherapy. 33 We assessed the measured relative frequency values of CD markers in diagnostic BM aspirate 34 between survivors and non-survivors. Tumour lymphocytes (CD19+ CD10+) and non-malignant 35 B-lymphocytes (CD19+ CD10-) were equivalent between study groups however, an increased 36 relative frequency of the mature B lymphocyte marker, CD20, was observed in survivors 37 (p=0.0295; Figure 1A). T lineage cells (CD2+) were equivalent between study groups however,

- 38 an increased relative frequency of the mature T lymphocyte and NK cell marker, CD7, was
- 39 observed in survivors (*p*=0.0447; Figure 1B). Following associated Receiver Operating
- 40 Characteristic (ROC) analysis, cut-offs of 2.37% CD20 expression (sensitivity 88%, specificity
- 41 56%) and 6.05% CD7 expression (sensitivity 88%, specificity 53%) were selected to
- 42 differentiate between patients with high and low expression. Comparing patients with high
- 43 CD20 expression (>2.37%; n=28) to those with lower expression ($\leq 2.37\%$; n=27) we observed
- 44 significantly increased OS of 96.4% compared to 74.1% (*p*=0.030; Figure 1C), and improved
- 45 leukaemia free survival (LFS) of 92.9% compared to 66.7% (*p*=0.024; Figure 1D). In a similar
- 46 analysis of CD7, we observed increased OS of 96.0% in patients with high CD7 expression
- 47 (>6.05%; n=25) compared to 76.7% in those with low expression ($\leq 6.05\%$; n=30; p=0.041;
- 48 Figure 1E) although no improvement in LFS was noted (Figure 1F). Combining these factors,
- 49 we observed patients with high CD20 expression and high CD7 expression (n=16), had 100%
- 50 OS compared to 66.7% in patients with low expression of both markers (n=18; Figure 1G;
- 51 p=0.013), and improved LFS of 87.5% compared to 61.1% (p=0.045; Figure 1H).

52 **Discussion**

- 53 Our retrospective analysis of CD marker expression in diagnostic BM aspirate identified a group
- of patients (16 out of 55), that could be characterised at diagnosis according to high CD20 and
- high CD7 expression, that experienced 100% OS. Conversely, patients that could be
- 56 characterised according to low CD20 and low CD7 expression (18 out of 55) experienced
- 57 significantly reduced OS, 66.7%, suggesting that the BM immune infiltrate at diagnosis is
- 58 indicative of, or can be correlated with, their response to treatment.
- 59 An increasing body of literature exists linking immune system parameters at diagnosis with
- 60 prognosis in cancer patients.[1] Immune cell infiltrate may represent an ongoing but ineffective

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61	antitumour immune response, or a collection of tumour promoting cells recruited into the tumour
62	microenvironment. This so called 'tumour immune contexture' may be relevant to
63	understanding a patients' response to treatment[6] and the induction of an antitumour immune
64	response has the potential to enhance survival prospects. Lymphocytes perform immune
65	surveillance and may recognise malignant cells as immunogenic.[7] CD20 is expressed on
66	mature B cells with the exception of terminally differentiated plasmablasts or plasma cells.
67	Resting B cells express surface Ig as a receptor,[8] antigen engagement of surface Ig can activate
68	B cells, and leads to internalisation of antigen and presentation of peptides complexed with MHC
69	class II at the cell surface for interaction with CD4+ T cells.[8,9] Lymphocytes are also immune
70	effector cells, and may mediate tumour cell death through granule exocytosis or death receptor
71	signalling, cytotoxic T cells and NKs are key effectors in these mechanisms. Interaction between
72	B and T cells serves to augment adaptive immune responses through cross-priming of T cells,
73	reciprocal enhancement of activation signalling in each cell type, and the production of
74	immunostimulatory cytokines.[9,10] Thus, the collaboration of different types of lymphocyte
75	may confer the capacity to develop a robust adaptive immune response against autologous
76	tumour cells.
77	Our findings, combined with those reported by Lustfeld et al. (2014), provide the first
78	information as to the relevance of the tumour immune contexture in paediatric ALL. An
79	increased frequency of mature immune cell components within the BM tumour
80	microenvironment is strongly associated with both early treatment response[4] and successful
81	chemotherapeutic treatment. Further, elucidating the relationships between immune infiltrate
82	components at diagnosis and treatment response may provide a means to enhance immediate
83	treatment risk stratification. Of the 8 children that died in this study, 3 had no clinical high risk

84	features and were treated according to Regimen A. All 3 of these children had low CD20		
85	expression levels at diagnosis, and 2 of these 3 also had low CD7 expression levels. Reliable		
86	identification of very good risk, and very high risk patients at diagnosis potentially offers the		
87	opportunity to reduce or intensify therapy from induction onward. Our data clearly define two		
88	important patient sub-groups; firstly one for which the chemotherapeutic treatment regimen was		
89	100% successful, and secondly one where patients were at significantly increased risk of		
90	treatment failure. Notwithstanding the limitations of this single centre retrospective study of		
91	small numbers, it seems relevant that the tumour immune contexture in paediatric ALL receive		
92	future attention. Both to confirm our observations and to investigate mechanistically, the benefit		
93	to patients of mature immune cell BM infiltrate at treatment commencement.		
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97	Conflict of interest		
98	The authors declare they have no competing interests.		
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- 130 Legends
- 131 Figure 1. CD20+ lymphocytes were increased in survivors compared to non-survivors (A),
- 132 CD7+ lymphocytes were increased in survivors compared to non-survivors (B). Enhanced OS
- (C) and LFS (D) was observed in paediatric B-ALL patients with >2.37% CD20+ lymphocytes. 133
- 134 Enhanced OS (E) but not LFS (F) was observed in paediatric B-ALL patients with >6.05%
- 135 CD7+ lymphocytes. 100% OS was observed in patients with >2.37% CD20+ and >6.05% CD7+
- 136 lymphocytes (G). 87.5% LFS was observed in patients with >2.37% CD20+ and >6.05% CD7+
- 137 lymphocytes compared to 61.1% LFS in patients with $\leq 2.37\%$ CD20 and $\leq 6.05\%$ CD7
- 138 expression (H).

Table I. Patient Characteristics

	Study cohort n=55	Total patients $n=153$
Median age in years, n (IQR)	5.0 (2.5 - 7.5)	4.0 (1.5 - 6.5)
Male gender, n (%)	30 (54.5)	83 (54.2)
Age groups: <10 years, n (%) ≥10 years, n (%)	44 (80.0) 11 (20.0)	125 (81.7) 28 (18.3)
WCC at diagnosis: $<50 \times 10^9 L^{-1}$, n (%) ≥50 x 10 ⁹ L ⁻¹ , n (%)	46 (83.6) 9 (16.4)	129 (84.3) 24 (15.7)
Initial treatment protocol: Regimen A (low risk), n (%) Regimen B (high risk), n (%)	36 (65.5) 19 (34.5)	103 (67.3) 50 (32.7)

IQR, inter-quartile range; WCC, white cell count.

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Figure 1. CD20+ lymphocytes were increased in survivors compared to non-survivors (A), CD7+ lymphocytes were increased in survivors compared to non-survivors (B). Enhanced OS (C) and LFS (D) was observed in paediatric B-ALL patients with >2.37% CD20+ lymphocytes. Enhanced OS (E) but not LFS (F) was observed in paediatric B-ALL patients with >6.05% CD7+ lymphocytes. 100% OS was observed in patients with >2.37% CD20+ and >6.05% CD7+ lymphocytes (G). 87.5% LFS was observed in patients with >2.37% CD20+ and >6.05% CD7+ lymphocytes compared to 61.1% LFS in patients with \leq 2.37% CD20 and \leq 6.05% CD7 expression (H). 189x282mm (300 x 300 DPI)