Ultra-High Step-up DC-DC Converter for Distributed Generation by Three Degrees of Freedom (3DoF) Approach

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Abstract—This paper proposes a novel DC-DC converter topology to achieve an ultra-high step-up ratio while maintaining a high conversion efficiency. It adopts a three degree of freedom (3DoF) approach in the circuit design. It also demonstrates the flexibility of the proposed converter to combine with the features of modularity, electrical isolation, soft-switching, low voltage stress on switching devices, and is thus considered to be an improved topology over traditional DC-DC converters. New control strategies including the two-section output voltage control and cell idle control are also developed to improve the converter performance. With the cell idle control, the secondary winding inductance of the idle module is bypassed to decrease its power loss. A 400-W DC-DC converter is prototyped and tested to verify the proposed techniques, in addition to a simulation study. The step-up conversion ratio can reach 1:14 with a peak efficiency of 94% and the proposed techniques can be applied to a wide range of high voltage and high power distributed generation and DC power transmission.

Index Terms—Boost converter, control strategy, DC-DC power conversion, degrees of freedom (DoF), high step-up converter, modularization.

I. INTRODUCTION

Distributed Generation (DG) is playing an increasingly important role in reducing greenhouse gas emissions and improving the quality of human lives. In these systems, power converters are a key component to control power flow within the system. In particular, high step-up DC-DC converters are widely used in solar power generation, fuel cells, electric vehicles and uninterrupted power supplies (UPS) [1]-[13]. The features of DC-DC conversion are also essential to off-shore wind power transmission through HVDC power systems

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Y. Li is with the Department of Electronic and Electrical Engineering, University of Strathclyde, Glasgow, UK. [14][15]. In these applications, high voltage gain and high conversion efficiency of DC-DC converters are highly desirable.

When isolated topologies are utilized, a high voltage gain is traditionally achieved by manipulating the transformer's turns ratio, the PWM duty ratio or phase-angle shift. The duty ratio of high-frequency switching devices is often considered as one design freedom while the turns ratio of transformers is another [16]-[28]. When both are employed to achieve a high voltage conversion ratio, it is termed the two degrees of freedom (2DoF) design [17][21][23]. Furthermore, soft-switching can also be a useful feature when an active or passive clamping circuit is implemented [4]-[6]. An active clamping circuit consists of one active switching device and one clamping capacitor, while a passive clamping circuit uses some passive switching devices (e.g. diodes) for the same purpose. In theory, the leakage inductance is proportional to the square of the turns ratio [29]. As a result, a very high turns ratio is generally avoided in the transformer design since it can reduce the efficiency of the transformer. A typical topology of high step-up converters uses only one switching device [30][31] while their converter ratings are low. Due to the size of capacitors, the power density of these converters decreases as the voltage gain increases [32]-[34]. In the literature, some high step-up conversion ratios are also reported by combining the features of turns ratio, multi-level and duty ratio in the converter design [35]-[41]. For instance, paper [41] integrates a coupled inductor with a switching capacitor in the high step-up converter using one switching device. The input-parallel output-series structure can also provide a high voltage gain and a high power level [42][43]. In paper [42], coupled inductors are used to achieve a high voltage gain but electrical isolation is absent. Alternatively, the use of a cascaded converter structure can provide a high voltage gain [44][45]. However, the topology in [44] is limited in converter power ratings due to the high current in the switching devices. In order to increase the power level, a modular multilevel converter is presented in [45] but it can only regulate the duty ratio and cell number (i.e. 2DoF). Clearly, these reported topologies do not provide electrical isolation and sufficient flexibility for further expansion.

The voltage gain of a typical 2DoF converter is expressed as:

$$G = \frac{2N}{1 - D} \tag{1}$$

where N is the turns ratio and D is the duty ratio.

Obviously, a small change in the duty ratio can lead to a significant change in the voltage gain. This poses a challenge to

the converter control so that the accurate regulation of the output voltage becomes difficult. To tackle the problem, this paper proposes a novel ultra-high step-up DC-DC converter, which utilizes the features of modularity, multi-levels and electrical isolation. In effect, this is a three degree of freedom (3DoF) design of DC-DC converters.

II. PROPOSED 3DOF CONVERTER TOPOLOGY

A conventional interleaved flyback-forward DC-DC converter is presented in Fig. 1(a). The voltage gain can be found by:

$$G = \frac{V_{out}}{V_{in}} = \frac{2N}{1 - D} \cdot \frac{1}{1 + \sqrt{\frac{2L_{LK} \cdot f_s}{(1 - D)^2 R}}}$$
(2)

where L_{LK} is the leakage inductance, f_s is the switching frequency, and *R* is the load resistance. Clearly, the voltage gain is determined by the leakage inductance of the coupled inductor, switching frequency, load resistance, in additional to turns ratio and duty ratio.



Control freedom: turn ratio Control freedom: turn ratio

(a) Typical Flyback-forward converter [6]



(b) Proposed flyback-forward DC-DC converter (using two cells)

Fig. 1 DC-DC converter topologies with flyback-forward cells. If the secondary side of the flyback-forward DC-DC converter is seen as a cell, more cells can be added up in series, as shown in Fig. 1(b). By doing so, a multi-level output voltage can be obtained. The corresponding voltage gain in an idea condition is given by:

$$G = \frac{2m \cdot N}{1 - D} \tag{3}$$

where *m* denotes the number of voltage levels.

This paper develops a two-cell high step-up DC-DC converter as an example and its topology is shown in Fig. 1(b), where S_I - S_4 are four main switches. Active clamping circuits including clamp switches S_{cI} - S_{c4} and clamping capacitors C_{cI} - C_{c4} are employed to limit the voltage stress on the main switches. Four coupled inductors L_I - L_4 , are used to form two

power cells (L_1 and L_2 for cell-1, L_3 and L_4 for cell-2). The primary and secondary winding turns for the four coupled inductors are represented by n_1 and n_2 , respectively, and their turns ratio is $N = n_2/n_1$. The coupling references are remarked with "*", " \bigcirc ", " \square " and " \blacksquare ". L_{LKI} - L_{LK4} are the leakage inductances for coupled inductors L_1 - L_4 , respectively. In this figure, the rectifier diodes D_{o1} - D_{o2} and the output capacitors C_1 - C_2 also form a voltage-doubling rectifier circuit.

The proposed converter is built on basic cells; each of them consists of two coupled inductors and a power switch. Typical steady-state waveforms of this converter are shown in Fig. 2. The active clamping switches S_{CI} - S_{C4} are complementary to the main switches S_I - S_4 , respectively. The outputs of cell-1 and cell-2 are V_{cell-1} and V_{cell-2} , respectively. The switches S_I - S_4 can be regulated by earthier duty ratio control or phase angle shift control. The waveforms of V_{cell-1} and V_{cell-2} are identical.



Fig. 2 Waveforms of the proposed converter.

The proposed converter has eight operational stages, as shown in Fig. 3.

State 1 [t_0 - t_1]: During this stage, $S_1 \sim S_4$ are turned on and the corresponding clamping switches are off. All the coupled inductors operate in flyback mode to store energy. The outputs of cell-1 and cell-2 are zero and the output rectifier diodes are both reverse-biased. The output capacitors C_{01} and C_{02} supply the energy to the load.

State 2 $[t_1-t_2]$: At t_1 , S_2 and S_4 receive a turn-off gate signal, increasing their drain-source voltage across the parasitic capacitor of the main switches in an approximate linear manner. Due to the low parasitic capacitance and the large current in the primary coupled inductor, this period is very short.

State 3 $[t_2-t_3]$: At t_2 , the drain-source voltage of S_2 and S_4 increases to conduct the output rectifier diodes D_{o2} . During this interval, L_1 (in cell-1) and L_3 (in cell-2) operate in forward

mode while L_2 and L_4 in a flyback mode to transfer energy to the load.

State 4 $[t_3-t_4]$: In this stage, the voltage across the parasitic capacitor of S_2 and S_4 increases to the corresponding voltage of clamp capacitors C_{c2} and C_{c4} , the anti-parallel diodes of S_{c2} and S_{c4} begin to conduct.

State 5 $[t_4-t_5]$: At t_4 , S_{c2} and S_{c4} are switched on with zero-voltage switching (ZVS). Then a current flows in the anti-parallel diode. During this interval, cell-1 and cell-2 provide a continuous current to the load. i.e.,

$$i_{LK1}(t) = \frac{N \cdot V_{cc2} + N \cdot V_{cc4} - V_{Co1}}{L_{LK1} + L_{LK2} + L_{LK3} + L_{LK4}} \cdot (t - t_4) \quad (4)$$

where V_{Cc2} and V_{Cc4} are the voltage across capacitors C_{c2} and C_{c4} , respectively.

State 6 [t_5 - t_6]: At t_5 , S_{c2} and S_{c4} receive a turn-off signal. Because of the parallel capacitors C_{s2} and C_{s4} , the voltage across S_2 and S_4 decreases in an approximately linear manner and that in S_{c2} and S_{c4} increases nearly linearly. Over this period, S_{c2} and S_{c4} are turned off with ZVS.

State 7 [t_6 - t_7]: At t_6 , the drain-source voltage of S_2 and S_4 decrease to zero owing to the capacitor-inductance resonant. Then, the corresponding anti-parallel diode conducts.

State 8 $[t_7-t_8]$: At t_7 , S_2 and S_4 turn on with ZVS. The leakage currents of cell-1 and cell-2 decrease to zero and D_{02} turns off with zero-current switching (ZCS). The following equations can be obtained.

$$i_{LK1}(t) = I_{LK}(t_5) - \frac{V_{Co1}}{L_{LK1} + L_{LK2} + L_{LK3} + L_{LK4}} (t - t_5)$$
(5)

$$I_{LK}(t_5) = \frac{N \cdot V_{_{Cc2}} + N \cdot V_{_{Cc4}} - V_{_{Co1}}}{L_{_{LK1}} + L_{_{LK2}} + L_{_{LK3}} + L_{_{LK4}}} (1 - D)T_s$$
(6)

$$t_8 - t_5 = \frac{N \cdot V_{_{Cc2}} + N \cdot V_{_{Cc4}} - V_{_{Co1}}}{V_{_{Co1}}} (1 - D)T_s$$
(7)

During the period $t_2 - t_8$, the electrical charge on the secondary side of cell-1 and cell-2 is given by

$$Q_{Co1} = \frac{1}{2} \cdot I_{LK1}(t_5) \cdot (t_8 - t_2) = \frac{(N \cdot V_{Co1} + N \cdot V_{Co4} - V_{Co1})^2}{2 \cdot V_{Co1} \cdot (L_{LK1} + L_{LK2} + L_{LK3} + L_{LK4})} (1 - D)^2 \cdot T_s^2$$
(8)

Because of the symmetrical structure, the charging and discharging processes during t_8 - t_{16} are identical to these during t_0 - t_7 .





Fig. 3 Eight operational stages of the proposed converter (using two cells).

III. STEADY STATE ANALYSIS

In order to simplify the analysis of the proposed converter, the following assumptions are made: i) all the four coupled inductors are identical; ii) all the clamping capacitors are identical; iii) the voltage of the clamping capacitors is constant; iv) the dead-time between the main switches and clamping switches is neglected.

A. Voltage stress

The voltage stress on switching devices is equal to the voltage across the clamping capacitors.

$$V_{DSi} = V_{Cci} = \frac{V_{in}}{1 - D} \tag{9}$$

where V_{Cci} is the voltage of the active clamping switch, V_{DSi} is the voltage of the main switch, and V_{in} is the input voltage. According to the symmetrical waveforms of V_{ab} , the voltage stress on the output diodes can be found by:

$$V_{co1} = V_{co2} = V_{out} \tag{10}$$

B. Voltage gain

However, the leakage inductance of the coupled inductor can also impact on the voltage gain. In a two-level high step-up converter, the electrical charge of C_{ol} is half of the total electrical charge due to the symmetry of the rectifier circuit.

$$Q_{Co1} = \frac{1}{2} \cdot \frac{V_{out}}{R} \cdot T_s \tag{11}$$

From (8), (9) and (11), the voltage gain can be expressed as

$$G = \frac{4 \cdot N}{1 - D + \sqrt{\frac{2 \cdot f_s \cdot (L_{LK1} + L_{LK2} + L_{LK3} + L_{LK4})}{R}}}$$
(12)

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where *R* is the load resistance.

Due to the series connection of the secondary side of the high step-up power cells, the turns ratios of the coupled inductors in power cells can be different. Under normal conditions, the total voltage gain of M cells is:

$$G = \frac{2 \cdot (\sum_{i=1}^{m} N_i)}{1 - D + \sqrt{\frac{2 \cdot f_s \cdot (\sum_{i=1}^{m} L_{LKi})}{R}}}$$
(13)

where N_i is the turns ratio of the *i*th cell; and L_{Lki} is the leakage inductance of the *i*th cell.

C. Soft-switching

Soft-switching of power devices can reduce the switching power loss and thus improve the energy efficiency of the converter. In order to realize ZVS for the clamp switches, the antiparallel diodes of clamp switches should conduct prior to the turn-on of the switches. For the main switches, the energy stored in parasitic capacitors should be lower than that stored in the leakage inductor. The ZVS turn-on condition for the main switches is

$$\frac{1}{2} \frac{L_{LKi}}{N_i^2} I_i^2 \ge \frac{1}{2} C_{DSi} V_{DSi}^2$$
(14)

where I_i is the primary input current of the power step-up cell and C_{DSi} is the parasitic capacitor voltage.

Owing to the series connection of the secondary side of high step-up power cells, the leakage inductance can be easily increased for soft-switching at the expense of the voltage gain, as presented in (12).

IV. CONTROL STRATEGIES FOR THE PROPOSED CONVERTER

There are two control strategies developed to control the output voltage of the proposed converter: the two-section output voltage control and the module idle control.

A. Two-section output voltage control

In the proposed converter, the output voltage is built up by connecting several voltage sources in series, similar to Fig. 1(b). Therefore, the total voltage gain is the sum of individual cells. The output voltage of m cells includes two parts: the output voltage of m-1 cells, and power cell m (used for minor adjustment of the output voltage to limit the duty ratio change).

Moreover, the phase-angle shift with respect to the turn-on signal of S_1 (see Fig. 2) can be employed to adjust the output voltage of each cell. This has two conditions: controllable and uncontrollable, as illustrated in Fig. 4. The output voltage control under $D \ge 0.5$ and D < 0.5 is further presented in Fig. 5. At a shift angle of 180°, the output voltage peaks. In the power cell, the two main switches are usually of 180° shift angle, which is in an uncontrollable range, as shown in Figs. 4 and 5. When all cells use the same duty ratio, the phase-angle shift can be employed to control the converter output voltage.

Fig. 6 illustrates the proposed converter control strategy. ΔV_{ref} is the threshold value of voltage error. For a given voltage error, major and minor adjustments can be decided. If a major adjustment is needed, all the high step-up power cells are involved and a new duty ratio is assigned. In a minor adjustment, only the m^{th} power cell is involved. If the voltage error is positive, the duty ratio of associated main switches needs to be increased. When the voltage error is negative, the phase-angle shift is employed to control the output voltage.



Fig. 4 The phase-angle shift control.



Fig. 6 Schematic diagram of the proposed control strategy.

B. Cell idle control

In the proposed topology, power cell idle conditions can be employed to adjust the voltage gain. If the primary main switching devices are idle, the secondary winding inductor changes from an alternating square voltage source to an inductor. Since the secondary windings of the coupled inductor are series connected; the winding inductance of the idle power cell blocks the current, which is generated from other cells. In this paper, a shielding control strategy is developed for idle power cells by controlling the coupled inductor output with reverse polarity. It is needed to send a turn-off signal to the main switching devices and a turn-on signal to the idle power cells, as presented in Fig. 7. The output of V_{cell-2} is zero during $[t_1-t_2]$ and $[t_3-t_4]$ that ensures the energy flow from V_{cell-1} to the load. The equivalent circuit of the idle power cell is shown in Fig. 8. Both L_3 and L_4 operate in flyback mode, and the secondary side voltage sources are effectively reverse connected. At this condition, the secondary inductor of the idle module is bypassed. In the idle cells, the power losses (associated with the wire resistance and on-state conducting loss of clamp switches) are very low. In effect, cell-1 can operate at the rated output power so as to improve the converter efficiency.



Fig. 7 Waveforms of the shielding control under modular power cell idle conditions.



Fig. 8 Equivalent circuit of the idle modular power cell.

In order to study the mechanism of the cell idle mode, the PSIM simulation software is employed to model the converter. In Fig. 9, a two-cell topology is used as an example. The input voltage is 15 V and the turn ratio is 2. One cell is idle and the other cell is operational. The output voltage of the operating cell is 60 V while the peak output voltage of the idle cell is 3 V, which is associated with the leakage inductance.



Fig. 9 Simulation results for the cell idle control.

V. EXPANDABLE CHARACTERISTIC AND PERFORMANCE COMPARISON

The proposed 3DoF topology is flexible and expandable. First, it can combine with the interleaved structure to expand the power level, as shown in Fig. 10. Furthermore, with the development of high voltage silicon carbine (SiC) devices [46], the topology can be applied to high voltage DC (HVDC) power transmission for offshore wind power. In the simulation, the input voltage is 100 V, converter power is 100 kW, switching frequency is 50 kHz, each winding arm has 4 cells. Simulation results are shown in Fig. 11. The output voltage reaches 6.35 kV (the voltage gain is 63.5). The voltage stress on the primary switching devices is only 200 V and the peak current is 125 A. Furthermore, more diode bridges and winding arms can be added up for a higher output power.



Currently, the input-parallel output-series structure is widely used to achieve a high voltage output. However, it needs a large number of diodes and capacitors to connect the secondary sides of all cells in series after the rectifier circuit, increasing connection complexity and fault possibilities. Furthermore, the structure cannot be used to achieve a high power output. In this paper, a new solution is to combine with the input-parallel output-series structure, as shown in Fig. 12. In this case, the 3DoF topology can be seen as a cell in the traditional input-parallel output-series topology to build up a high-voltage-gain converter. Clearly, the features of interleaved structure and input-parallel output-series structure can be used in the proposed 3DoF to increase both power and voltage, as shown in Fig. 13. Therefore, by introducing a new design freedom, the proposed topology can incorporate features of traditional input-parallel output-series converters to increase voltage (via series-connection) and power (via parallel-connection) to meet the requirements.

Based on the above analysis, a performance comparison of different DC-DC converter topologies is presented in Table I. Compared with the topologies in [42][47], the proposed topology has a higher power density. Compared with the converter in [48], the proposed topology can provide electrical isolation and soft-switching. Furthermore, the secondary diodes can be achieved by connecting low-voltage diodes in series and parallel connections [49]-[50].



(a) Output voltage and winding arm output.



(b) Voltage and current of the primary switching devices Fig. 11 Simulation results for the 3DoF with the interleaved structure.







voltage-gain output.

Topology	Converter in [42]	Converter in [47]	Converter in [48]	Proposed converter
Modular structure	No	Yes	No	Yes
Power density	Medium	Low	Medium	High
Stressed devices	No	Transformer	Main switching devices	Secondary-side diode
Switching devices voltage stress	$\frac{V_{in}}{1-D}$	Depending on cell voltage	$\frac{2}{1-D}V_{in}$	$\frac{V_{in}}{1-D}$
Expandability	No	Yes	No	Yes
Soft-switching	Yes	No	No	Yes
Electrical isolation	No	Yes	No	Yes
Power rating	Low	High	Low	High

Table I. PERFORMANCE COMPARISON

VI. EXPERIMENTAL VERIFICATION

A converter with two cells is designed and fabricated to verify the effectiveness of the proposed converter. The system parameters are tabulated in Table II. The coupled inductor is constructed from a Koolmu magnetic core (0077109A7). Table III lists the parameters of four coupled inductors.

Value	
400 W	
5~10 V	
44 Ω	
50~100 V	
50 kHz	
FDP047AN	
FDP047AN	
FEP30DP	
4.7 μF	
470 μF	
40:10	

TABLE II. SPECIFICATIONS OF THE PROPOSED CONVERTER

TABLE III. SPECIFICATIONS OF THE COUPLED INDUCTORS

	Primary inductance	Primary leakage	Secondary inductance	Secondary leakage		
		inductance		inductance		
L_{I}	28.65 μH	1.041 µH	442.9 µH	7.651 μH		
L_2	29.27 μH	0.991 µH	453.5 μH	7.684 μH		
L_3	28.88 µH	1.002 µH	447.3 μH	7.684 μH		
L_4	28.81 µH	0.980 µH	446.5 μH	7.886 μH		

Experimental results are presented in Fig. 14 for a 5 V input voltage, 0.5 duty ratio, and 180° shift angle. Fig. 14(a) presents the cell output voltage under normal conditions using the same duty ratio and phase angle shift for cell-1 and cell-2 where each cell generates half of the output voltage. Fig. 14(b) and (c) show test results for cell-2 idle, without and with the shielding control, respectively. In Fig. 14(b), the input current is limited so that the converter cannot transfer energy to the load. The power generated from the operating module cell is largely absorbed by the idle power cell so that little power is transferred to the load. With the shielding control strategy (see Fig. 14(c)), the energy can be transferred to the secondary side without incurring a voltage drop across the idle windings and the input current increases dramatically. Fig. 14(d) presents the gate signal with the two-section output voltage control where the phase-angle shift control is employed to realize minor voltage adjustment. In cell-1 of Fig. 14(d), S_1 and S_2 are with a 50% duty ratio and an 180° phase angle shift. In cell-2, S_3 and S_4 are with also with a 50% duty ratio but a 150° phase angle shift. Compared with S_{l} , there is a 30° delay for S_3 . S_2 and S_4 have the same phase shift angle and their output voltage is 72 V. Fig. 14(e) shows the output voltage of main switch S_3 with a shift angle of 30°, 60° and 90°; the corresponding output voltage are 72V, 70V and 67V, respectively. The output voltage is fairly smooth with the phase-angle control. Fig. 14(f) presents the voltage and current waveforms for the output rectifier diode. Clearly, the rectifier diode reverse-recovery problem is alleviated.



(d) Gate signal with the phase-angle control



The soft-switching performance of the main switch (S_l) and clamping switch (S_{Cl}) is demonstrated in Fig. 15. Due to the symmetry of the topology, all the switches have the same current and voltage profiles. Experimental results from the load transient tests are shown in Fig. 16. Fig. 16(a) shows the response to a step load change from 44 to 24 Ω and Fig. 16(b), step load increase from 24 to 44 Ω . With the closed-loop control, the output voltage can quickly return to the set voltage, showing excellent robustness of the system. The single cell efficiency, one-cell-operating one-cell-idle efficiency and overall converter efficiency are calculated and presented in Fig. 17. In the power cell idle condition, due to the parasitic resistance in the primary-side capacitors and inductors and secondary winding resistance of the idle cell, the converter efficiency is lower than that for a single cell working condition; but is higher than the two-cell operating condition. By using power cells in an idle mode, the converter can maintain a relatively high efficiency over a wide output power range.





Fig. 17 Measured efficiency of the proposed converter at different conditions.

VII. CONCLUSION

This paper has presented an ultra-high step-up DC-DC topology based on a 3DoF topology. Through theoretical analysis and experimental tests, the proposed converter is proven to be advantageous.

- A 3DoF design is achieved to improve the converter performance. The electrical isolation and modular structure of high step-up power cells are combined to increase the output voltage.
- ii) The voltage stress on primary switching devices of the coupled inductors is limited and soft-switching of primary-side switches is achieved. The proposed 3DoF converter can use low-voltage power devices to generate a high output voltage. In addition, the reverse-recovery issue with secondary rectifier diodes is also alleviated.
- iii) The two-section output voltage control and module idle control are developed to improve the controllability of the output voltage and converter efficiency over a wide power range.

In summary, the proposed converter is featured with electrical isolation, modularity, multi-level structure, controllable turns ratio and duty ratio, and flexible control strategies to provide high system performance. The developed techniques can be applied widely to high-voltage and high-power DC systems.

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