

WoDiM 2014: 18th Workshop on Dielectrics in Microelectronics
9-11 June 2014, Kinsale, Ireland

Zero Bias Resonant Tunnelling Diode for Use in THz Rectenna

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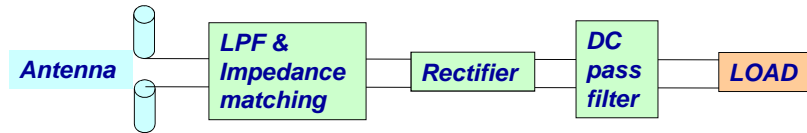
Outline

- **Motivation: Harvesting THz energy by rectennas**
- **Rectifiers needs:**
 - Small signal rectification with zero bias
 - High degree of non-linearity around zero volt
 - Small dynamic resistance
- **A design model for resonant tunneling at zero bias MIIM diodes**
 - Theory
 - Results
- **Conclusions**



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Rectenna (Rectifying Antenna)



- Capture the EM waves in broad-band antennas.
- Can be used for solar energy harvesting (feasible range: IR).
- For solar energy harvesting the technology far less expensive than photovoltaics.
- Very high efficiencies with full wave rectification (> 80%).
- Absorption at all frequencies.
- Omnidirectional.

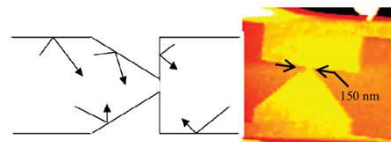
3



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Rectifiers: the Biggest Challenge

- **p-n junction diodes:**
 - Switching speed is limited by minority carrier charge storage.
 - Maximum frequency: 0.2 THz.
- **Schottky diodes:**
 - Are faster since minority carrier storage is negligible.
 - Switching speed is limited by parasitic capacitance.
 - Maximum frequency: 5 THz.
- **MIM and MIIM diodes:**
 - Transport is limited by tunneling rate.
 - Transit time $\sim 1/\text{tunneling probability}$ (circa fs).
 - Maximum frequency: 100 THz (MIM), > 100 THz (MIIM).
- **Geometric diode:**
 - Small diode capacitance.
 - Graphene a promising candidate due to its long electron mean free path.
 - Maximum frequency: 28 THz.



4



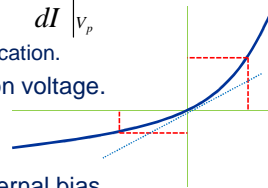
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Small Signal Rectification

- In large signal rectification the high forward (on) current usually starts after a turn-on voltage (usually a fraction of a volt in typical diodes).
- Large signal rectification ~ on/off (forward/reverse) current ratio.
- In rectenna signal amplitude captured by antenna: mV and even μV .
 - Large signal rectification out of scope.
- Small signal rectification is realized by nonlinearity of device.
 - (Square law rectification using the first two terms in Taylor's expansion.)
- **Responsivity** defined as the ratio of rectified dc current to input ac power:

$$Resp = \frac{I_{dc}}{P_{in}} = \frac{1}{2} \frac{I''}{I'} \bigg|_{V_p} = \frac{1}{2} \frac{dr_d/dV}{r_d} \quad r_d = \frac{dV}{dI} \bigg|_{V_p}$$

- A good measure of non-linearity in small signal rectification.
- The highest degree of non-linearity is around turn-on voltage.
- Poor rectification at zero volts
 - Minimum degree of non-linearity.
- Not efficient for THz signal in rectennas without external bias.



5



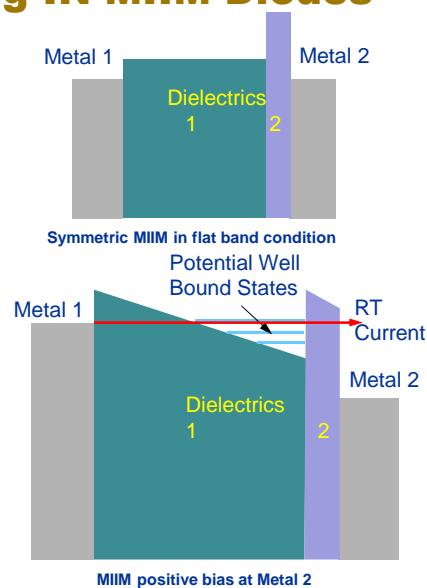
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Resonant Tunneling IN MIIM Diodes

- Tunneling probability is increased by bound states in the potential well at positive bias to Metal 2.
- Resonant tunneling through bound states enhances the current and transit speed.
- No resonant tunneling in reverse bias: Improves large signal rectification.
- Largest responsivity at onset of resonant tunneling.

However:

- **The onset of resonant tunneling is at voltages larger than barrier height of Metal 1 to dielectric (typically > 0.25 V).**
- **Not efficient for signal in rectennas without external bias.**

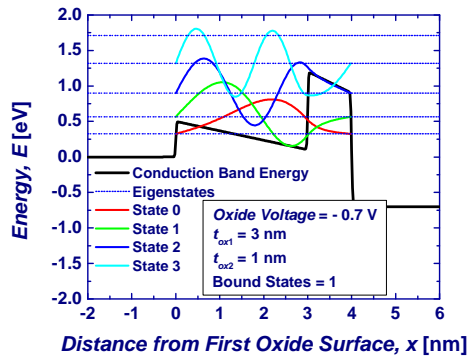
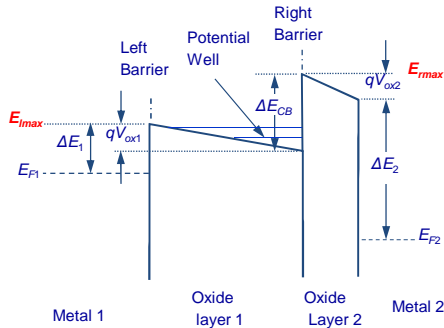


6



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Calculation of Bound States



- Hamiltonian matrix is made using a set of localized base states in the stack.
- Eigenstates /energy levels are found by diagonalization or solving time independent Schrödinger equation.
- Only states localized in the potential well (lower than E_{lmax} and E_{rmax}) are considered.
- For each bound state in the 1D well, there are also a set of transverse excitations which generate a band of closely spaced states.

Current Density Calculation

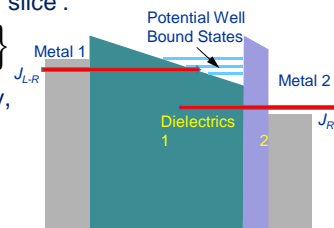
- A modified multi-barrier Tsu-Esaki method* is used.

$$J = J_{L \rightarrow R} - J_{R \rightarrow L} = \frac{m^* q k T}{2\pi^2 \hbar^3} \int_0^\infty T_{coeff}(E_x) \ln \left\{ \frac{1 + \exp[(E_x - E_{FL})/kT]}{1 + \exp[(E_x - E_{FR} - qV_{app})/kT]} \right\} dE_x$$

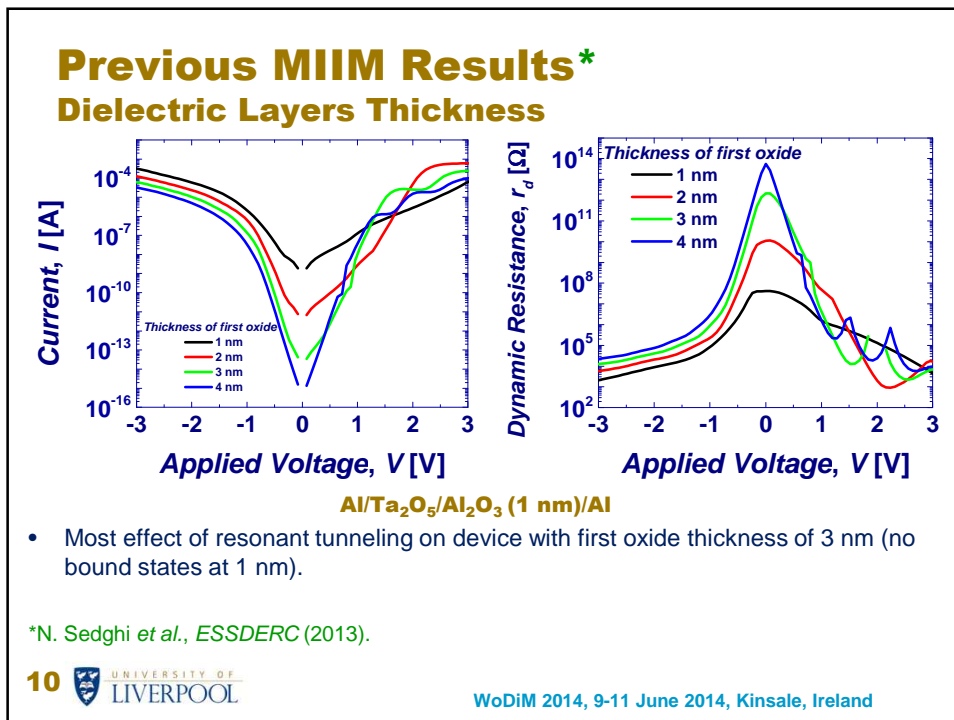
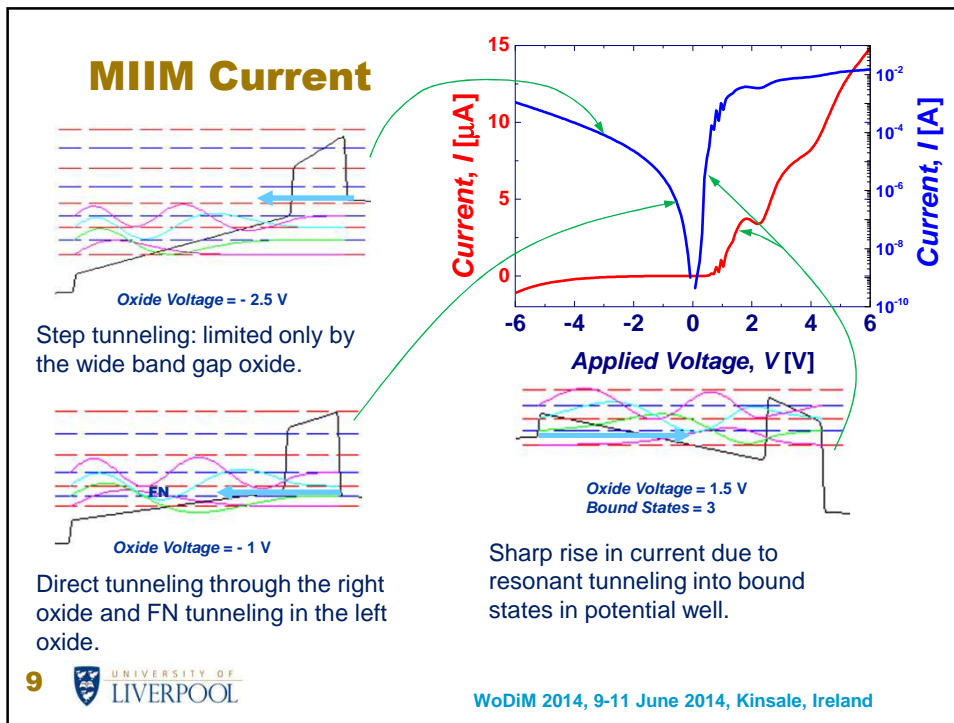
- **Dielectric stack:** multiple slices of oxide with different barrier heights.
- J depends on DoS (E) and average occupancy of each state (uses F-D).
- Transmission probability T_{coeff} calculated by transfer matrix (TM) model for tunneling through multiple barriers, containing resonant states.
- Uses WKB for wave-function at each 'slice' through a potential barrier by constructing a piecewise constant TM for each 'slice'.

$$P_{Tj} = \exp \left\{ -2 \left[m^* \left(q \phi_{Bj} - E_{xj} \right)^{1/2} \right] d_j \right\}$$

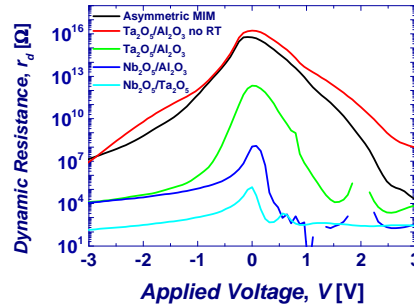
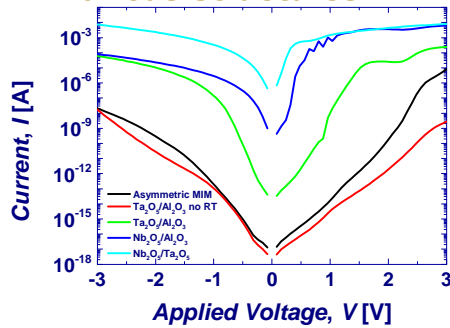
- T_{coeff} hence J depend on barrier height, energy, and distance, or the area under CB.



*R. Tsu and L. Esaki, *Appl. Phys. Lett.* **22**, 562 (1973).

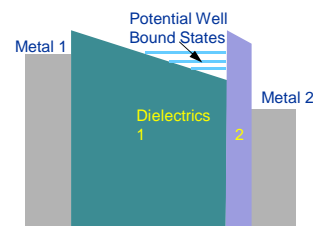


Previous MIIM Results* Various Structures

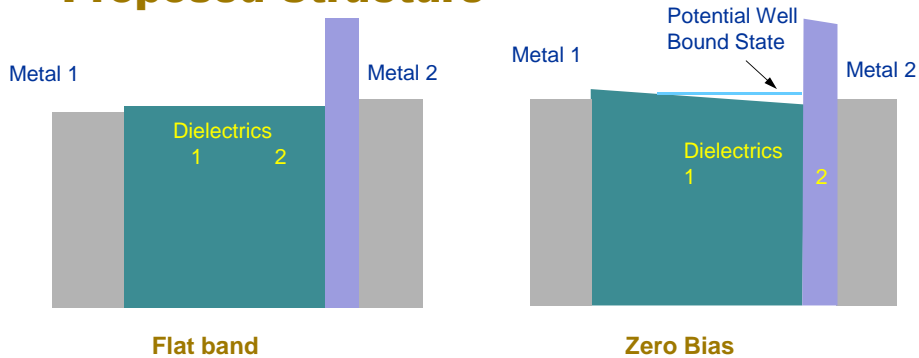


- All devices have the total thickness of 4 nm.
- **Device with no resonant tunneling** has no advantage over asymmetrical MIM.
- **Nb₂O₅/Al₂O₃** has the highest band offset between oxide layers and lowest barrier to the left metal, hence the largest effect of RT.

*N. Sedghi *et al.*, *ESSDERC* (2013).



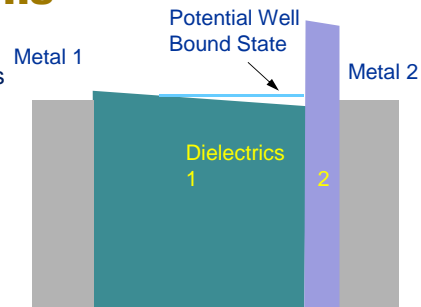
Proposed Structure



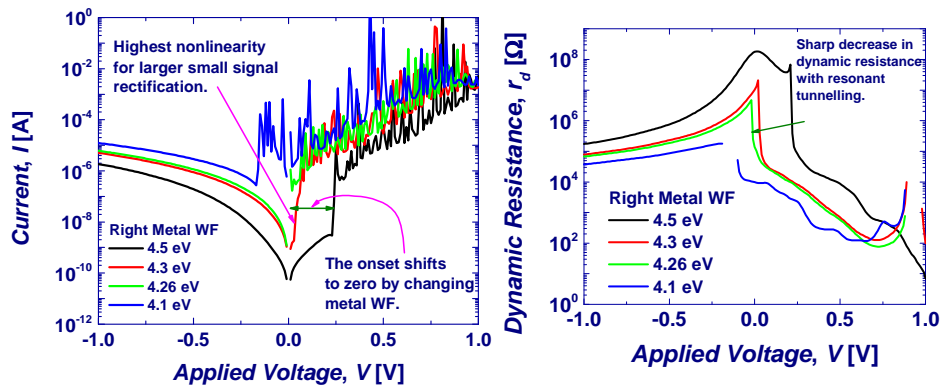
- Work function of Metal 2 slightly lower than Metal 1.
- Bound states exist at zero bias when the band offset between two dielectrics is high enough.
- Even at very small positive voltage at Metal 2 the current is by resonant tunneling.
- At negative voltage to Metal 2 the bound states leak to the left: no resonant tunneling.

Design Considerations

- Large band offset between two dielectrics increases the number of bound states in the potential well.
- A good choice: $\text{Nb}_2\text{O}_5/\text{Al}_2\text{O}_3$.
- Small barrier height at left:
 - Resonant tunneling at very small positive voltage,
 - Bound states leak to the left at very small negative voltage.
- A good choice: $\text{Al}/\text{Nb}_2\text{O}_5$.
- Wide choice of metals with close values of work function to fine tune the device: Al , Ti , V , Cr , Fe , Cu , Zn , Mo , Nb , Ag , W , and Ta .
- For more precise work function tuning: alloy of above metals, TaN , or TiN .
- Thickness of dielectric layers can also shift the onset of resonant tunneling.



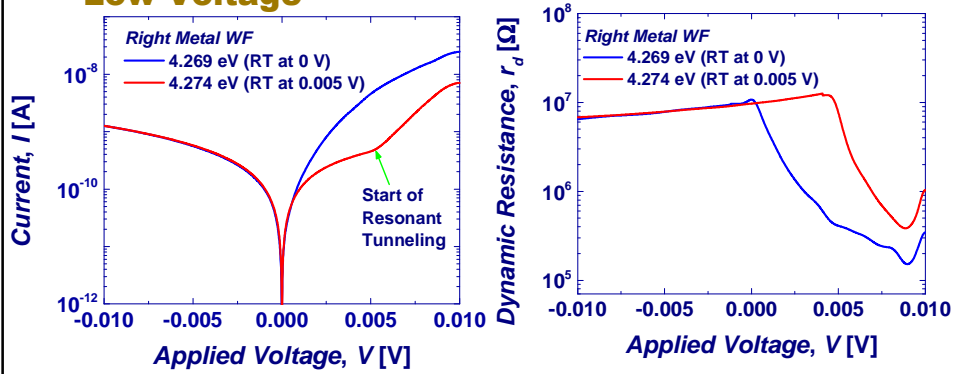
Simulation Results



- The work function of left contact is kept at 4.5 eV and the work function of right contact is varied.
- The onset of resonant tunneling on symmetric device is at 0.25 V.
- For right metal contact work function of 4.26 eV the onset of resonant tunneling is at zero volts.

Simulation Results

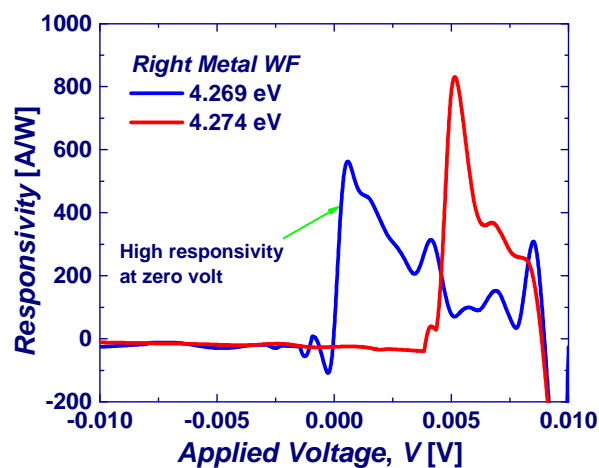
Low Voltage



- Simulation at very low voltages (up to 10 mV) with step size of 10 μ V.
- Percentile and adjacent average algorithms used to smooth the resonant peaks.
- Sharp rise in current after start of resonant tunneling.

Device Nonlinearity (Responsivity)

- Rectification depends on device nonlinearity.
- Highest degree of nonlinearity (largest responsivity) at start of resonant tunneling.
- The start of resonant tunneling can be brought to zero volts.
- Large rectification for very small amplitude signals (μ V) without bias.



Practical Considerations

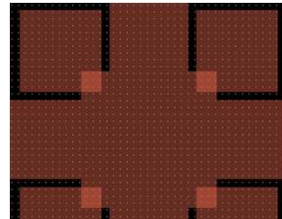
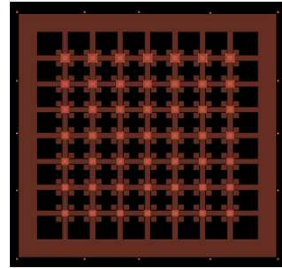
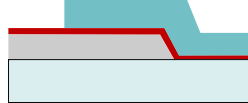
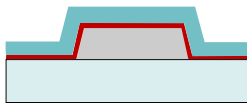
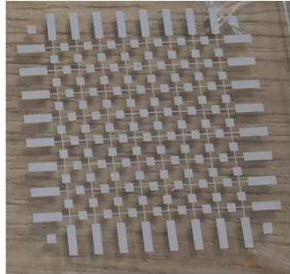
- Selection of right metals and fine tuning the work functions.
 - This is not always straightforward.
- Stored charge at the interface of two dielectrics gives shift to the IV characteristics.
- The right barrier heights might not be achieved due to Fermi pinning.
- Native oxide on the bottom metal electrode is a big issue.
 - Its thickness is close to that of main dielectrics.
- ...

Solutions? 

Practical Considerations Possible Solutions

- The onset of resonant tunneling can be fine tuned practically on trial samples.
 - Metal work functions, oxide layers thicknesses, dielectric type, nitrogen or forming gas annealing.
- The IV characteristics shift due to stored charge is insignificant for low voltage applications.
- Native oxide on metal electrodes can be removed by dry etching prior to oxide deposition.
- Metals with insignificant native oxide thickness can be used.

Fabrication Process



Shadow Mask

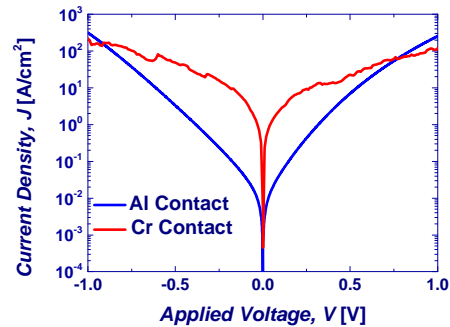
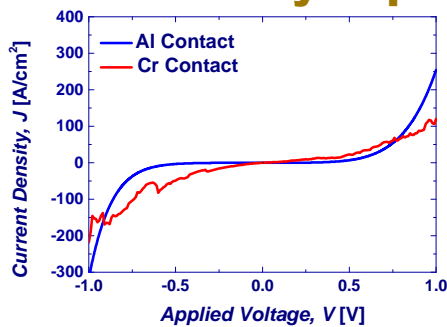
Photolithography

- Cross over structure by shadow mask, overlap structure by lithography.
- Device dimensions: 5-100 μm .
- Single or double dielectric layers deposition by ALD or rf sputtering.



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Preliminary Experimental Results*



- MIIM device with Ta_2O_5 as the main dielectric and native oxide as the second dielectric.
- Device with Cr contacts has larger current than one with Al contacts
 - Smaller barrier to Cr_2O_3 than Al_2O_3 .
- Responsivity of 8 A/W on sample with Al contacts (close to state-of-the-art values for MIIM with resonant tunneling).

*Don Weerakkody, Electrical Engineering and Rob Treharne, Stephenson's Institute, University of Liverpool are acknowledged for device fabrication.



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Conclusions

- Rectenna has the potential of harvesting THz energy.
- MIIM diodes benefit from resonant tunneling within bound states, increasing the operating frequency to a few 100 THz, in the range of light spectrum.
- Signals captured by antenna have very small amplitude and large signal rectification concept is not applicable.
- IN MIIM diodes the highest degree of nonlinearity is at the onset of resonant tunneling (a fraction of volt in conventional symmetric MIIM diodes).
 - Poor rectification around 0 V for small signals.
- An MIIM device configuration is proposed which can bring the onset of resonant tunneling to 0 V.
 - Large degree of nonlinearity for rectification of very small amplitude signals.
- Responsivity values of a few hundreds A/W around 0 V.
 - Typical values without resonant tunneling few tens of A/W.

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Thank you for your attention.