

# A Compact Spike-Timing-Dependent-Plasticity Circuit for Floating Gate Weight Implementation

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*Abstract*—Spike timing dependent plasticity (STDP) forms the basis of learning within neural networks. STDP allows for the modification of synaptic weights based upon the relative timing of pre- and post- synaptic spikes. A compact circuit is presented which can implement STDP, including the critical plasticity window, to determine synaptic modification. A physical model to predict the time window for plasticity to occur is formulated and the effects of process variations on the window is analysed. The STDP circuit is implemented using two dedicated circuit blocks, one for potentiation and one for depression where each block consists of 4 transistors and a polysilicon capacitor. SpectreS simulations of the back-annotated layout of the circuit and experimental results indicate that STDP with biologically plausible critical timing windows over the range 10 $\mu$ s to 100ms can be implemented. Also a floating gate weight storage capability, with drive circuits, is presented and a detailed analysis correlating weights changes with charging time is given.

## I. INTRODUCTION

Significant research over the last two decades has been undertaken on studying biological neural networks. Specifically this research has focused on how neural networks learn and adapt to their ever changing environment together with the translation of this into biologically inspired hardware neural networks [1-2]. A neural network (NN) consists of interconnecting neurons, with each neuron connecting to another via a synapse. Within the human brain there are in excess of 10<sup>11</sup> neurons, with each one having up to 10<sup>3</sup> synaptic connections [3].

In a NN, the effect that one neuron has upon another will vary depending upon input stimuli and synaptic weight. The synapse is responsible for adaption and learning within a NN [4], through long term potentiation (LTP) or long term depression (LTD), depending on the temporal ordering of the pre- and post-synaptic spikes. Additionally weight modification can also be a short term potentiation (STP) or a short term depression (STD).

Hebb's theory [5] describes how the synaptic weight is allowed to change based upon the inputs and outputs of each neuron within the NN. A further development of the Hebbian learning concept was the introduction of spike timing dependent plasticity (STDP) in 1983 [6]. STDP is concerned with increasing or decreasing the weight of a synapse based upon the relative timings of pre- and post-synaptic spikes. In biology two STDP functions are commonly reported and referred to as symmetric and asymmetric [4, 6-12]. In this paper we focus on asymmetric STDP as this type of plasticity is known to occur more frequently in biological NN, [4, 7, 11-12]. It is also worth noting that the exponential functions commonly depicted, are not a pre-requisite for STDP but rather a mathematical convenience. What is important however is the relative timings between pre and postsynaptic spikes as this temporal ordering dictates whether potentiation or depression occurs [46, 47]. In asymmetric STDP, weight potentiation (a pre-post spiking event) occurs if a pre-synaptic spike precedes the post-synaptic spike and this leads to LTP;  $\Delta t_s$  is positive. Likewise, the weight is decreased if a post-synaptic spike occurs prior to a pre-synaptic spike, giving rise to LTD (a post-pre spiking event,  $\Delta t_s$  is negative). The critical timing window [7, 14-18] typically occurs over the range 10-100msec and outside of this window, no potentiation or depression will occur [7, 14-20]. The critical timing window is implemented in this work and is programmable.

It has been shown that STDP can be implemented in hardware, and while the majority of these circuits are biologically plausible, their footprints are large [21-30] requiring up to and, in some cases, exceeding thirty MOSFETs. Other solutions require dedicated microprocessors. A key requirement of hardware neural networks (HNN) is that they are scalable and therefore the designs for neurons, synapses and synaptic modification circuits must be compact, low-powered, while at the same time maintain biological plausibility.

It is proposed here that an STDP circuit with critical time window can be implemented using two dedicated circuit blocks each consisting of 4 MOS transistors, and a polysilicon capacitor. The paper is organized as follows; in section II an overview of theoretical operation of the compact STDP circuit is presented. Section III presents experimental and simulation results undertaken in AMS 0.35 $\mu$ m CMOS process and SpectreS in the Cadence environment respectively. All simulations are conducted on back-annotated layouts, thus incorporating all parasitic elements. A discussion of results relating to the circuit properties is presented in section IV and conclusions drawn in section V.

## II. CIRCUIT OPERATION

This section provides an overview of the operation of the proposed STDP weight potentiation and depression circuits. Also a model for the critical timing window is given together with its dependency on process variations.

## II.A WP and WD Circuits

The WP circuit is presented in Fig. 1(a). The circuit will cause an increase of the synaptic weight by increasing the amount of negative charge stored on the floating gate (FG) of a non-volatile memory device. This device is represented by its equivalent capacitance  $C_{FG}$ . The weight increase occurs during a pre-post spiking event. The WD circuit is identical to that of the WP block except that the pre and post spike input terminals are swapped. The WD circuit decreases the synaptic weight by removing charge on the FG during a post-pre spiking event.

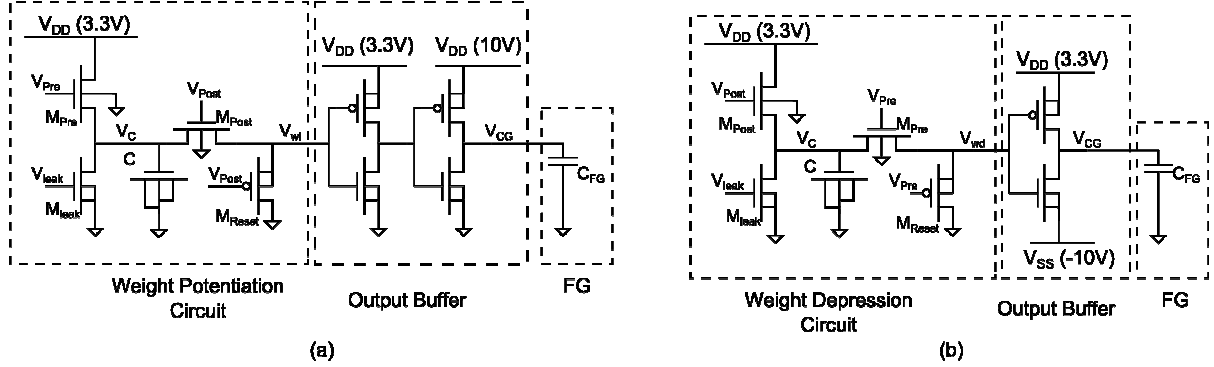


Fig. 1 (a) WP and (b) WD circuit block with FG device and driver buffer circuit. Voltages indicated are relative to ground.

The WP and WD circuits each consist of 3 NMOSTs,  $M_{Pre}$ ,  $M_{Post}$  and  $M_{Leak}$ , a PMOST,  $M_{Reset}$  and a MOS capacitor,  $C$ . Transistor  $M_{Reset}$  is used to ensure that,  $V_{wi}$  and  $V_{wd}$  are pulled low in the absence of  $V_{Post}$  and  $V_{Pre}$  respectively. When  $V_{Post}$  and  $V_{Pre}$  are high,  $M_{Reset}$  is off and will not significantly affect  $V_{wi}$  or  $V_{wd}$ . The operation of the WP circuit is now outlined. The initial conditions when no pre- or post- synaptic spikes occur are that  $V_{wi}$ ,  $V_{Pre}$  and  $V_{Post}$  are low, node  $V_C$  is pulled low by  $M_{Leak}$  and  $C$  is discharged.

Consider a pre-post spiking event where a pre-synaptic spike ( $V_{Pre}$ ), increases  $V_C$  to its maximum value ( $= 3.3V - V_{TMpre}$ ):  $V_{TMpre}$  is the threshold voltage of  $M_{Pre}$ . When the pre-synaptic pulse ends,  $C$  starts to discharge via  $M_{Leak}$ , and  $V_C$  decreases at a rate determined by voltage  $V_{Leak}$ . Voltage  $V_{Leak}$  thus controls the timing window in which a post-synaptic spike must occur in order to cause the synaptic weight to be increased. When the post-synaptic spike ( $V_{Post}$ ) occurs, the nodes with voltages  $V_C$  and  $V_{wi}$ , are connected and  $V_{wi}$  is pulled up to  $V_C - V_{TMpost}(V_{wi})$ ;  $V_{TMpost}(V_{wi})$  is the threshold voltage associated with  $M_{Post}$ . The synaptic weight will be increased, while  $V_{wi}$  is greater than the trigger voltage of the output buffer.

The WP output buffer is constructed using two CMOS inverters with 3.3V and 10V  $V_{DD}$  rails, as shown in Fig. 1(a). The MOSFETs are sized so as to produce the following operation; if  $V_{wi}$  is greater than the trigger voltage of the first CMOS inverter then the output from the second inverter,  $V_{CG}$ , will be pulled up to 10V. If  $V_{wi}$  is below the trigger voltage of the first CMOS inverter, then the output from the second inverter is held at ground. The pulse-width,  $\tau_{cg}$ , and magnitude of  $V_{CG}$  determines how much charge is injected and stored on the FG. As  $\Delta t_s \rightarrow \Delta t_{s \min}$ ,  $\tau_{cg} \rightarrow \max \tau_{cg}$ . Similarly as  $\Delta t_s \rightarrow \Delta t_{s \max}$ ,  $\tau_{cg} \rightarrow \min \tau_{cg}$ . Finally for a post-pre spiking event no update of the synaptic weight occurs since  $V_C$  and  $V_{wi}$  are low, regardless of when the presynaptic occurs.

The operation of the WD block is similar to that of the WP block, with post-pre spiking causing a decrease in synaptic weight. The WD output buffer is constructed using a single CMOS inverter with 3.3V and -10V supply rails, as shown in Fig. 1(b). The inverter MOSFETs are sized so as to produce the following operation; when  $V_{wd}$ , is greater than the threshold voltage, the output of the buffer is pulled down to -10V. If  $V_{wd}$  is less than the threshold voltage of the inverter, then the output is 0V. For the case of pre-post spiking, the pre-synaptic spike causes  $V_C$  and  $V_{wd}$  to be pulled low and there is no update of the synaptic weight. It should be noted that if  $\Delta t_s = 0$  (a pre- and post-synaptic spike occurring at the same time) then  $\Delta w = 0$  because both the WP and WD circuits will be ‘on’ during this event causing node  $V_{CG}$  (Fig.1) to be set at 0V. This is consistent with biophysical experiments where it has been reported [50, 51] that synaptic communication between pre- and post-synaptic neurons is inherently delayed by axons or dendrite latencies and thus the actual strongest and weakest synapse efficacy does not occur at the absolute temporal difference ( $\Delta t_s = 0$ ).

## II.B Critical Timing Window

The critical timing window (CTW) is crucial in biology because it determines the time window over which synaptic modification can occur and is typically 20-25ms for potentiation and depression [7, 9]. However, in hardware the computational speed is greatly accelerated, with average spike train frequencies in the MHz range. We therefore implement an equivalent timing window of 20-25 $\mu$ s in this work although, as will be shown, the window can be programmed to accommodate a wide temporal range. We define here, the critical timing window,  $t_{cw}$ , as the time it takes for  $V_C$  to fall from 90% to 10% of its initial value for both the WP and WD blocks. The rate at which the sub-threshold current reduces  $V_C$  is set by  $V_{leak}$  and the aspect ratio of  $M_{leak}$ ,  $S_{Mleak}$ . The sub-threshold current,  $I_{leak}$  is constant for  $V_{DS} = V_C > 3kT/q$ ;

$$I_{leak} = \mu_{eff} C_o S_{Mleak} (m - 1) \left[ \frac{kT}{q} \right]^2 \exp \left[ \frac{q(V_{leak} - V_t)}{m k T} \right] \quad (1)$$

where  $V_t$  is the threshold voltage of  $M_{leak}$ ,  $q$  is the charge of an electron,  $k$  is the Boltzmann constant and  $T$  is absolute temperature. The sub-threshold slope parameter,  $m = 1 + C_d / C_o$  with  $C_d$  being the depletion layer capacitance,  $C_o$  is the capacitance of the oxide per unit area and  $\mu_{eff}$  is the effective channel mobility. The dynamic operation of the capacitor charging is governed by  $dt = -\frac{C}{I_{leak}} dV$ , with  $I_{leak}$  given by Eqn.(1). Performing the integration with voltage limits,  $0.9V_M$  and  $0.1V_M$  gives equation (2) which can be used to determine the critical timing window,  $t_{cw}$ :  $V_M (= 3.3V - V_{TMpos})$  is the maximum value of  $V_C$ . The window can be adjusted using  $V_{leak}$  according to:

$$t_{cw} = 0.8 \frac{CV_M}{I_{leak}} \quad (2)$$

Substituting equation (1) into (2) and rearranging allows a value for  $V_{leak}$  to be calculated for the required  $t_{cw}$ . In this study,  $t_{cw}$  is chosen to be 20 $\mu$ s, giving  $V_{leak} = 410mV$ .

The important effects of process variation upon the critical timing window are now considered. Process variation can affect most parameters of the MOSFET and these can conveniently be represented by the transconductance factor ( $\beta$ ) and threshold voltage,  $V_t$  [31-43]. Subthreshold MOSFETs are particularly sensitive to process variation because of the exponential relationship between drain current and gate voltage (equation 1). The threshold voltage is also strongly related to several device parameters which are prone to variation during the fabrication process.

For  $M_{leak}$  operating in subthreshold, only  $V_t$  is considered, [35, 38, 43-44] as this incorporates variations in both off-current and subthreshold slope, as shown in equation (3), for an n-channel device, where  $N_a$  is the acceptor doping concentration,  $t_{ox}$  the oxide thickness,  $\phi_F$  the Fermi potential,  $\Phi_{MS}$  the work function difference,  $Q_t$  the trapped oxide charge density,  $C_o$  the oxide capacitance and  $\epsilon_0$ ,  $\epsilon_s$ ,  $\epsilon_{ox}$  are the permittivity of free space, relative permittivity of silicon and silicon dioxide respectively.

$$V_{t0} = t_{ox} \frac{\epsilon_s}{\epsilon_{ox}} \sqrt{\frac{2qN_a(2\phi_F)}{\epsilon_0\epsilon_s}} + 2\phi_F + \Phi_{MS} + \frac{Q_t}{C_o} \quad (3)$$

The variation in  $V_t = V_{t0} \pm \Delta V_t$  where  $V_{t0}$  is the nominal threshold voltage for the AMS process,  $V_{t0} = 0.48$ , and  $\pm \Delta V_t$  is the change in  $V_t$  due to process variations. For the AMS process  $\Delta V_t = \pm 17.5mV$ . A simple model for the effect of process variation on  $t_{cw}$ , can therefore be written as:

$$\Delta t_{cw} = \frac{0.8V_M C}{I_0 \exp \left[ \frac{q}{m k T} (V_{leak} - [V_{t0} \pm \Delta V_t]) \right]} \quad (4)$$

Monte Carlo analysis was undertaken in Cadence to assess the effects of inter-die/die-to-die process variation on the critical timing window and results are presented in Fig. 4. The results of Fig. 4, compare the Monte-Carlo simulations with equation (4), and good agreement is apparent with  $\Delta V_t = \pm 17.5mV$ . The results also show a considerable change in the critical timing window,  $t_{cw}$ , from the ideal value of 20 $\mu$ s, due to process variation for  $V_{leak} = 410mV$ . For  $\Delta V_t = +17.5mV$ ,  $t_{cw} = 30.86\mu$ s, and for  $\Delta V_t = -17.5mV$ ,  $t_{cw} = 12.21\mu$ s.

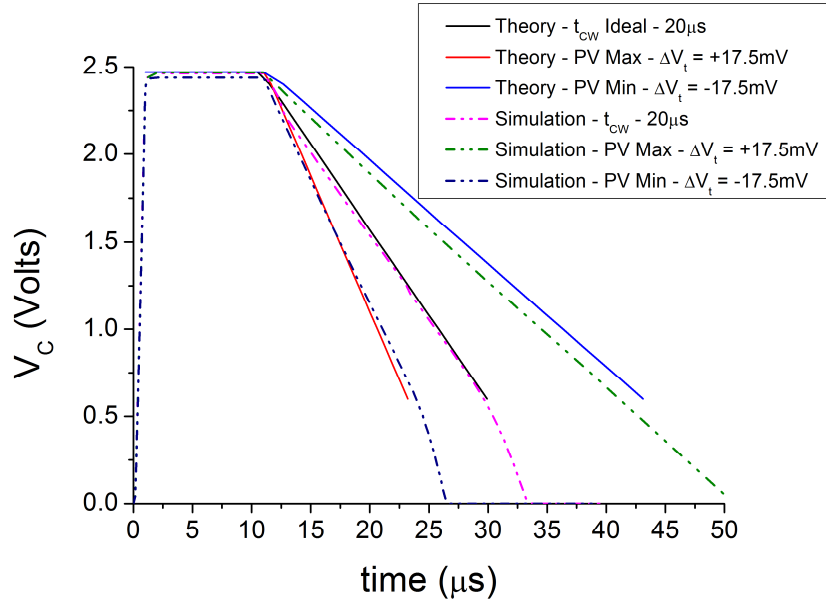


Fig. 2  $t_{cw}$  variation (max, min and ideal) for  $V_{leak} = 400mV$ .

The effects of process variation on  $t_{cw}$  is presented later where it will be shown (Fig. 19) that this variation can be offset by adjusting the learning duration.

### III. RESULTS AND DISCUSSION

Simulation and experimental results for the WP block under post-pre spiking conditions are presented in section III.A. Simulated results for the WD block under post-pre spiking conditions are presented in section III.B In both sections III.A and III.B,  $V_{leak}$  is set to 410mV,  $C$  is 100fF ( $4.7\mu m \times 4.7\mu m$ ) and  $S_{Mleak} = 1$  giving  $t_{cw} = 20\mu s$  from equation (5). Additional parameters for the circuit are;  $W_{Mpre} = L_{Mpre} = 0.5\mu m$ ,  $W_{Mreset} = L_{Mreset} = 0.5\mu m$ ,  $W_{Mpost} = 0.4\mu m$   $L_{Mpost} = 0.35\mu m$ .

#### III.A WP Results

Fig. 3(a) presents simulation and measured results of a post-pre spiking event, where the pre-synaptic spike occurs 5 $\mu s$  after the end of the post-synaptic spike,  $\Delta t_s = 5\mu s$ . In this case no weight update occurs. This is because  $C$  is initially discharged with  $V_C = 0V$  due to the occurrence of the post spike before the pre spike. Results are now presented in Fig. 3(b), Fig. 4 and Table 1, for a series of pre-post spiking events where the time difference,  $\Delta t_s$ , between pre- and post- synaptic spike is increased from 1 $\mu s$  to 15 $\mu s$ . Fig. 3(b) indicates that  $V_{pre}$  causes  $C$  to be charged to voltage  $V_C = V_M$ , and then discharges to give  $t_{cw} = 20\mu s$ . Voltage  $V_{wi}$  tracks  $V_C$  after  $V_{post}$  occurs, triggering a weight update. It should be noted that  $V_{wi}$  is only pulled down to about  $V_t$ . For  $\Delta t_s = 1\mu s$ , the maximum weight update occurs,  $\Delta w = \Delta w_{max}$ . This occurs as  $V_{wi}$  is above the trigger voltage of the output buffer, while  $V_{post}$  is still high. Thus  $V_{CG}$  is at its maximum pulse width,  $\tau_{cg} = 10.91\mu s$  (simulation) and has a measured value of  $\tau_{cg} = 10.75\mu s$ . In both cases  $V_{CG}$  has a magnitude of 10V. Fig. 5(b) shows that the measured value for  $V_C$  shows good agreement with the simulation results.

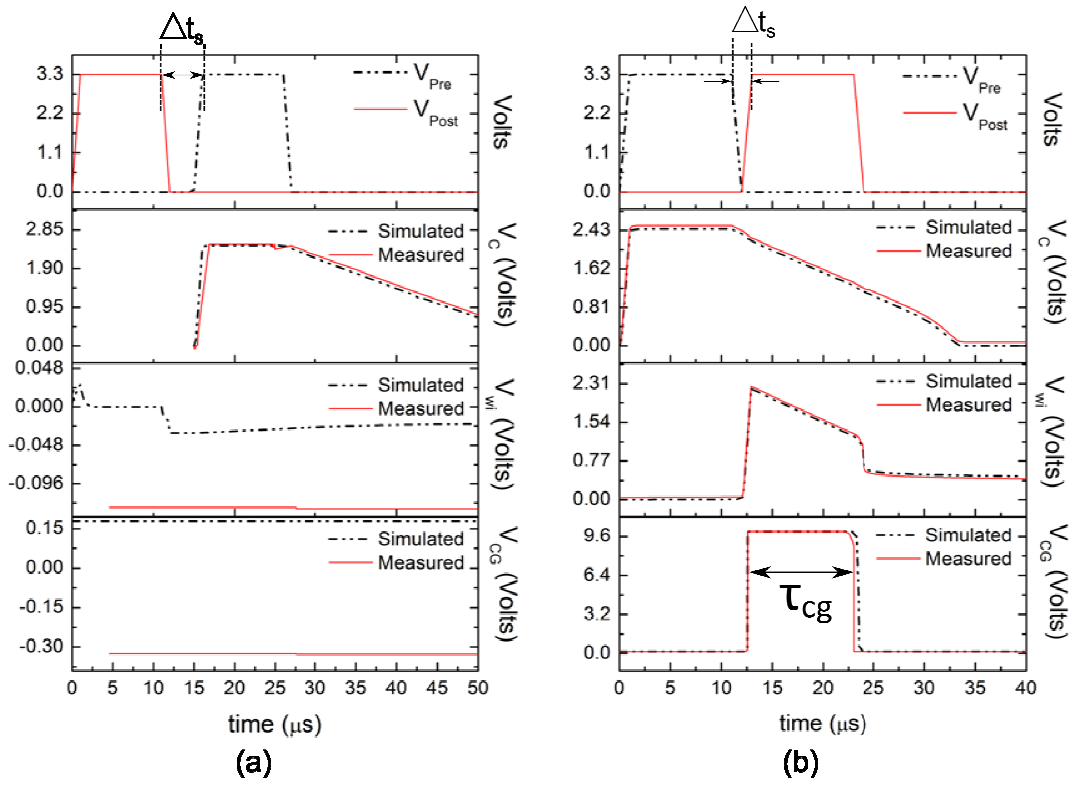


Fig. 3 – (a) Post-Pre Spiking Event -  $\Delta t_s = -5\mu s$  (b) Pre-Post Spiking Event -  $\Delta t_s = 1\mu s$

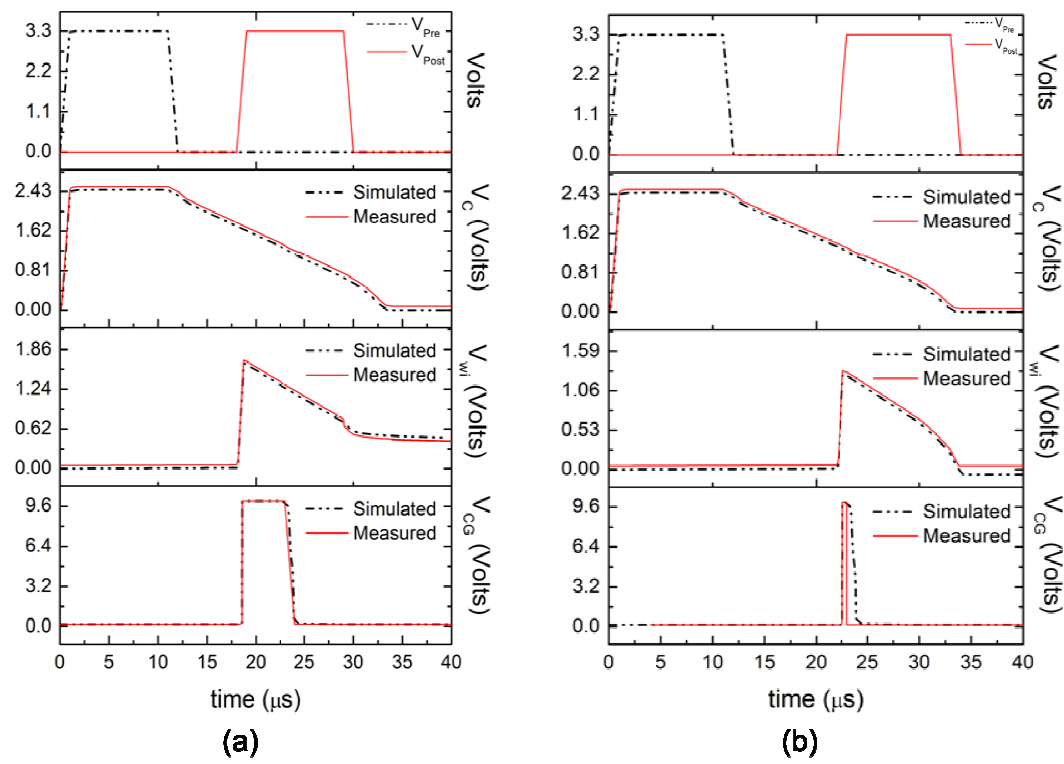


Fig. 4 (a) Pre-Post Spiking Event -  $\Delta t_s = 7\mu s$  (b) Pre-Post Spiking Event -  $\Delta t_s = 11\mu s$

In Fig. 4(a),  $\Delta t_s$  is increased to  $7\mu s$ , again  $V_{CG}$  is pulled high to  $10V$ . However  $\tau_{cg}$  is reduced compared to  $\Delta t_s = 1\mu s$ ,  $\tau_{cg}$  is now  $4.92\mu s$  (simulated) and  $4.60\mu s$  (measured). The reduction in  $\tau_{cg}$  occurs because  $V_{post}$  coincides with the linearly decreasing  $V_C$ . Voltage  $V_{wi}$  now tracks the decreasing  $V_C$ , until, eventually  $V_{wi}$  is pulled below the trigger voltage of the first CMOS inverter, while  $V_{post}$  is still high, Fig. 4(a). Finally in Fig. 4(b)  $\Delta t_s = 11\mu s$  further reduces  $\tau_{cg}$  to  $0.91\mu s$  and  $0.65\mu s$  for simulation and measured respectively. The magnitude of  $V_{CG}$  is slightly reduced to  $9.6V$ . This corresponds to the minimum weight update  $\Delta w = \Delta w_{min}$ .

Table 1 presents the results of increasing  $\Delta t_s$  on  $\tau_{cg}$  for both simulation and experimental results. Table 1 indicates that once  $\Delta t_s \geq 12\mu s$  then no update in the synaptic weight takes place as  $V_{CG} \approx 0$  due to  $V_{wi}$  being less the threshold voltage of the first CMOS inverter when  $V_{post}$  is high. The results presented in Table 1 represented the upper left hand quadrant of the STDP curve presented later in Fig. 6.

$\Delta t_s$ ( $\mu s$ )	$\tau_{cg}$ ( $\mu s$ ) (Simulation)	$\tau_{cg}$ ( $\mu s$ ) (Experimental)	$V_{CG}$ (V)
1	10.91	10.75	10
2	9.91	9.60	10
3	8.91	8.62	10
4	7.90	7.62	10
5	6.90	6.61	10
6	5.90	5.59	10
7	4.92	4.60	10
8	3.91	3.60	10
9	2.90	2.61	10
10	1.89	1.60	10
11	0.91	0.65	9.6
12	0	0	0

Table 1 Effect of positive  $\Delta t_s$  on  $\tau_{cg}$  and  $V_{CG}$

### III.B WD Results

As the WD circuit block is identical to the WP circuit with the exception of the application of  $V_{pre}$  and  $V_{post}$  its operation is also identical. Fig. 5(a) presents simulation and measured results of a pre-post spiking event, where the post-synaptic spike occurs  $5\mu s$  after the end of the pre-synaptic spike,  $\Delta t_s = 5\mu s$ . In this case no weight update occurs. Table 2 present the simulation results for a series of post-pre spiking events upon the WD circuit.  $|\Delta t_s|$  is once again increased from  $1\mu s$  to  $15\mu s$ . Referring to Fig. 5(b),  $\Delta t_s = -7\mu s$ ; as  $V_{post}$  is pulled high C is charged to voltage  $V_M = 2.43V$ . As  $V_{pre}$  goes low, C discharges (initially) linearly via  $M_{leak}$ . When  $V_{pre}$  goes high, nodes  $V_C$  and  $V_{wi}$  are connected such that  $V_{wi} \approx 1.70V$ . A weight decrease is triggered as  $V_{CG}$  is pulled down to  $-10V$ .  $V_{pre}$  goes low, both  $V_{wi}$  and  $V_{CG}$  are pulled back to  $0V$ , ending the synaptic weight update. This is consistent with the theoretical operation outlined previously.

For  $\Delta t_s = -1\mu s$ , the maximum value of the weight decrease occurs,  $\Delta w = \Delta w_{max}$ .  $V_{CG}$  is at its maximum pulse width;  $\tau_{cg} = -11.31\mu s$  and magnitude,  $V_{CG} = -10V$ . Table 2 shows that by further increasing  $\Delta t_s$ , to  $\Delta t_s = -5\mu s$ ,  $\Delta t_s = -7\mu s$ ,  $\Delta t_s = -8\mu s$ . causes  $\tau_{cg}$  to be reduced to  $8.14\mu s$ ,  $6.16\mu s$  and  $5.15\mu s$  respectively. For  $\Delta t_s = -13\mu s$   $\tau_{cg} \approx 0.53\mu s$ , and the magnitude of  $V_{CG}$  is slightly reduced to  $-9.6V$ . This corresponds to the minimum weight update  $\Delta w = \Delta w_{min}$ . Table 2 indicates that once  $\Delta t_s \geq 14\mu s$  then no update in the synaptic weight takes place as  $V_{CG} \approx 0$  due to  $V_{wd}$  being less the threshold voltage of the CMOS inverter when  $V_{pre}$  is high. The results presented in Table 1 represented the lower right hand quadrant of the STDP curve presented later in Fig. 6.

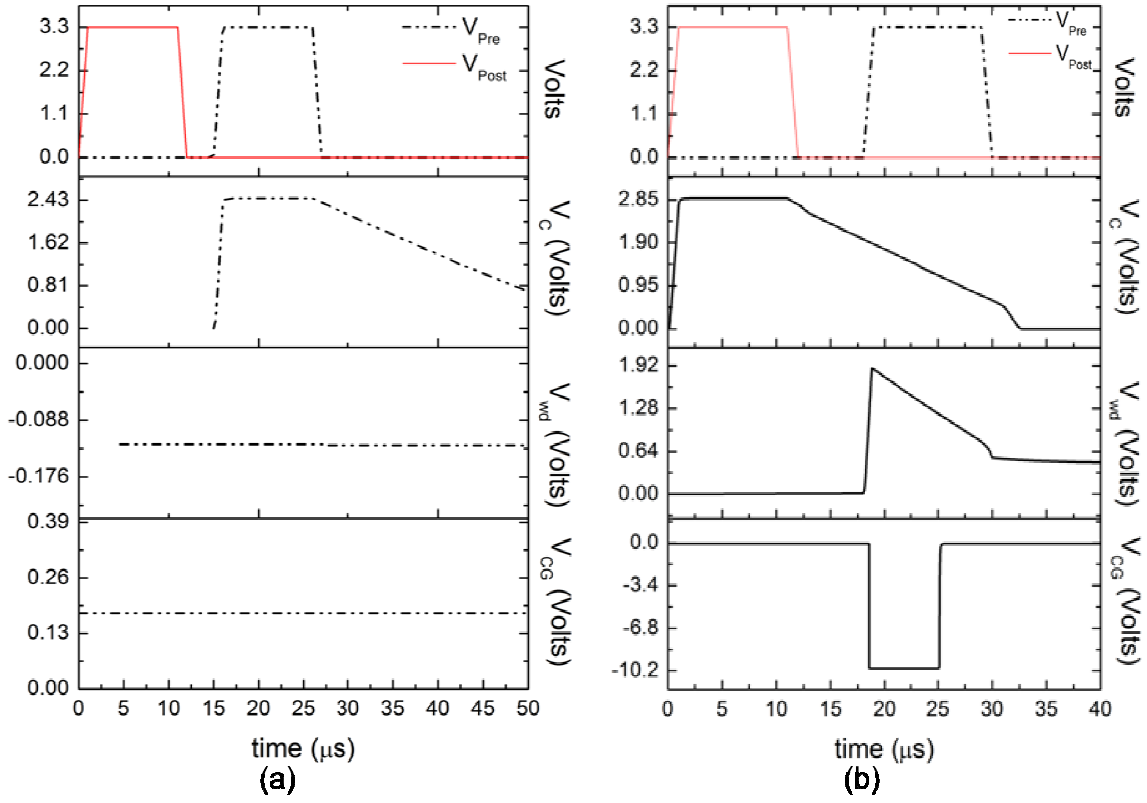


Fig. 5 – (a) Pre-Post Spiking Event -  $\Delta t_s = 5\mu s$  (b) Post-Pre Spiking Event  $\Delta t_s = -7\mu s$

$\Delta t_s$ ( $\mu s$ )	$\tau_{cg}$ ( $\mu s$ ) (Simulation)	$V_{CG}$ (V)
-1	11.31	-10
-2	10.92	-10
-3	10.18	-10
-4	9.19	-10
-5	8.14	-10
-6	7.15	-10
-7	6.16	-10
-8	5.15	-10
-9	4.14	-10
-10	3.12	-10
-11	2.06	-10
-12	0.96	-9.6
-13	0.53	-9.6
-14	0	0

Table 2 Effect of negative  $\Delta t_s$  on  $\tau_{cg}$  and  $V_{CG}$

Fig. 6 is a plot of  $\tau_{cg}$  against  $\Delta t_s$  which represents the full STDP curve, shown as the insert. Note that as  $\Delta t_s$  is increased from  $1\mu s$  to  $15\mu s$ ,  $\tau_{cg}$  decreases from  $11.31\mu s$  to  $\approx 1\mu s$  (simulation), from  $10.75\mu s$  to  $\approx 0.65\mu s$  (measured). Similarly as  $\Delta t_s$  is decreased from  $-1\mu s$  to  $-15\mu s$   $\tau_{cg}$  decreases from  $11.31\mu s$  to  $\approx 0.5\mu s$  (simulation). This behaviour is characteristic of the STDP function since  $\tau_{cg} \propto \Delta w$ , where  $Q_{inj} \propto \Delta w$ . Note  $-\tau_{cg}$  indicates a reduction in the synaptic weight.

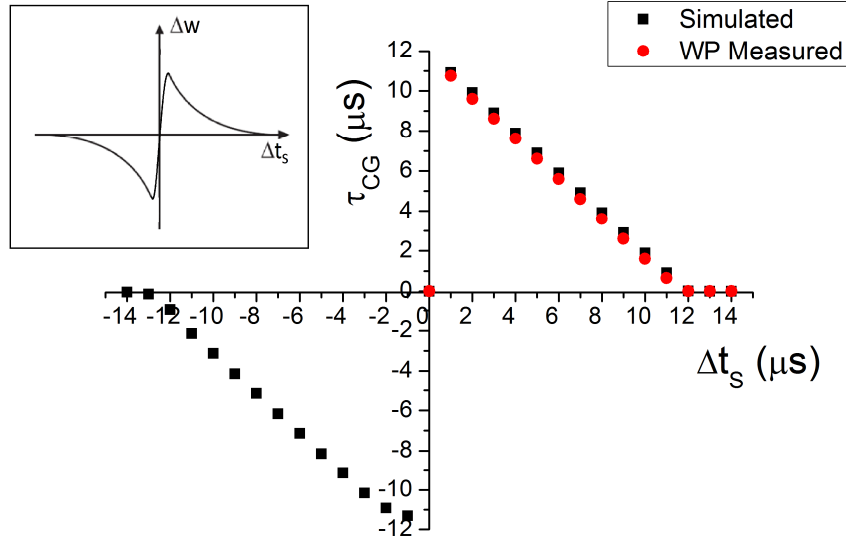


Fig. 6 STDP curve from simulation and experimental results. Insert Asymmetric STDP Curve

#### IV. PHYSICAL MODELLING OF WEIGHT STORAGE

The STDP circuit is to be used with FG devices, therefore we next consider the sensitivity of the weight charge injection to the FG, in relation to the STDP curve presented in Fig. 6 and charging time. The charge injected onto the FG  $Q_{inj}$  represents the change in the associated weight;  $Q_{inj} \propto \Delta w$ . The charge is injected by the Fowler-Nordheim mechanism [48].

$$J_{FN} = A E_{ox}^2 \exp\left(\frac{-B}{E_{ox}}\right) \quad (5)$$

where  $A = 1.54 \times 10^{-6} \frac{m_o}{m_{ox}} \frac{1}{\phi_B} A/V^2$ ,  $B = 6.83 \times 10^7 \sqrt{\frac{m_{ox}}{m_o}} \phi_B^{3/2} V/cm$ ,  $m_o$  is the mass of an electron at rest,  $m_{ox}$  is the effective mass of an electron in the insulator and  $\phi_B$  is the barrier height for injection from semiconductor to oxide. It should be noted that the constants A, B are strictly for tunneling from a metal contact but are similar to the case of injection from a semiconductor [49] and serve our purpose for illustrating the model and method.

Fig. 7 presents the cross-section of a FG device constructed using a poly-silicon and MOS capacitor. The charge injected onto the FG,  $Q_{inj}$ , can be found from consideration of the current in the thin tunneling oxide,  $t_{ox}$  over a time step,  $\Delta t$ . We now derive a model to allow the determination of  $Q_{inj}$  ( $\Delta w$ ) and the associated potential of charge stored on the FG,  $V_{\Delta w}$ .

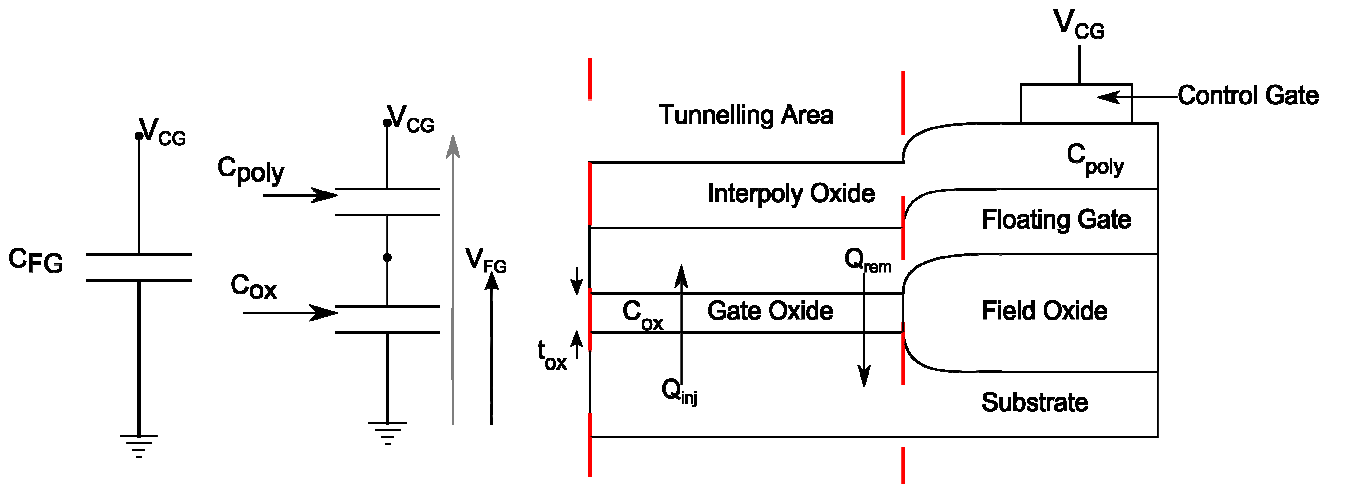


Fig. 7 Equivalent capacitor diagram of FG device,  $C_{FG}; C_{FG} = (C_{poly}^{-1} + C_{ox}^{-1})^{-1}$  where  $C_{poly}$  is the capacitance of the interpoly oxide,  $C_{ox}$  is the capacitance of the tunneling oxide.  $V_{CG}$  and  $V_{FG}$  are the voltages applied to the control gate and coupled onto the FG respectively. Cross section of FG device, constructed using polysilicon and MOS capacitors.  $Q_{inj}$  represents the charge stored on the FG and  $Q_{rem}$  represents the charge removed from the FG, both due to FN tunneling.



The capacitively coupled voltage,  $V_{FG}$  which falls across  $t_{ox}$  is shown in Fig. 7, and given by  $V_{FG} = \alpha V_{CG}$ , where  $\alpha$  is the capacitive coupling coefficient, defined as  $\alpha = \frac{C_{poly}}{C_{ox} + C_{poly}}$ . The electric field in the oxide,  $E_{ox}$  is given as  $E_{ox} = \frac{V_{FG} - \phi_s}{t_{ox}}$ , where it is assumed that there is no parasitic charge in the oxide or initially stored on the FG.  $V_{FG}$  is the potential of the FG and  $\phi_s$  is the surface potential at the oxide-semiconductor interface. The field at successive time steps,  $\Delta t$ , can be found from equation (6) (see appendix for derivation).

$$E_{ox(i+1)} = B \left[ \ln \left( \Delta t \frac{AB}{t_{ox} C_0} + \exp \left( \frac{B}{E_{ox}(i)} \right) \right) \right]^{-1} \quad (6)$$

The associated change in potential is calculated by finding the difference between successive steps of field:

$$V_{\Delta w} = t_{ox}(E_{ox}(i) - E_{ox}(i+1)) \quad (7)$$

The charge per unit area injected onto the FG for the duration of the pulse width  $\Delta t$  is then found as  $\Delta w \propto Q_{inj} = C_0 V_{\Delta w}$ .

Fig. 8 presents plots of (a)  $Q_{inj}$  against  $\Delta t_s$  and (b)  $V_{\Delta w}$  against  $\Delta t_s$ . Fig. 8 (a) presents the STDP curve for increasing tunneling area. The increment of charge injected decreases for increasing  $\Delta t$  because the stored charge serves to reduce the electric field.. Similarly as  $\Delta t_s$  is decreased below  $-1\mu s$ , the amount of charge removed is also decreased.

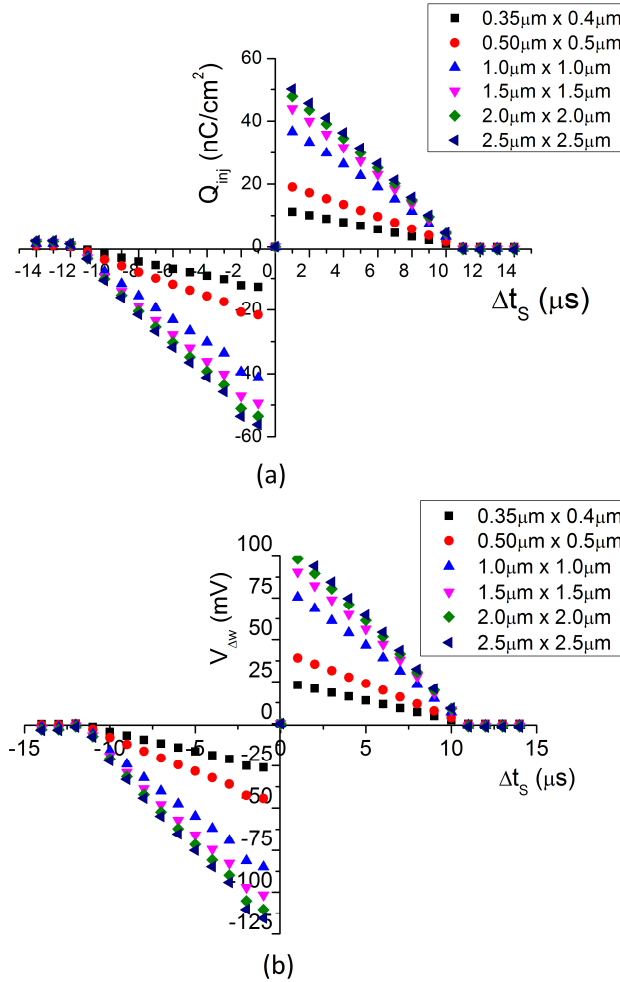


Fig. 8 STDP Curve – (a)  $Q_{inj}$  ( $\Delta w$ ) (b)  $V_{\Delta w}$

The results indicate that  $Q_{inj}$  (and  $V_{\Delta w}$ ) tracks  $\tau_{cg}$  due to the similar shape of the  $Q_{inj}$  ( $V_{\Delta w}$ )  $v$   $\Delta t_s$  and  $\tau_{cg} v \Delta t$  STDP plots. Increasing the device tunneling area causes a shift in the STDP curve. Specifically this is a shift in the magnitude of the charge injected/removed for the same  $\Delta t$  value.

The effect of process variation (PV) on the STDP curves is now considered. Fig. 9 shows the effect of PV upon the output

characteristics of the STDP circuit,  $\tau_{CG}$  against  $\Delta t_s$ . The plot concurs with the earlier statement that PV can either increase or decrease  $t_{CW}$ . The effect of this is to cause a shift in the ideal  $\tau_{CG}$  against  $\Delta t_s$  curve. If PV causes  $t_{CW} < t_{CWideal}$  (20 $\mu$ s) the curve is shifted to the left. Conversely if  $t_{CW} > 20\mu$ s the curve is shifted to the right.

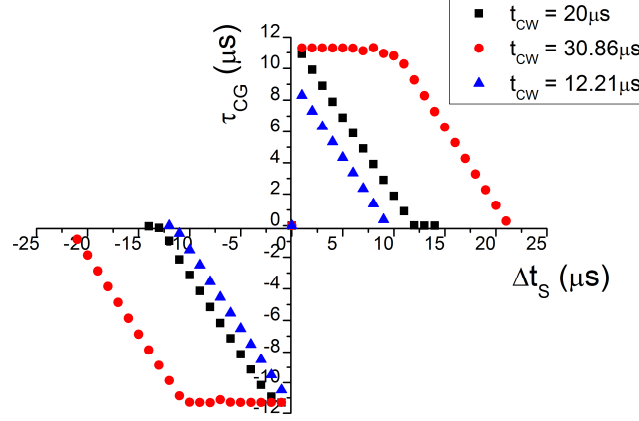


Fig. 9  $\tau_{CG}$  v  $\Delta t_s$  STDP curves showing effect of process variation (max, min and ideal)

The effect of PV is to vary the amount of charge (hence potential of charge) injected/removed from the FG. For  $t_{CW} < 20\mu$ s  $\Delta w$  ( $V_{\Delta w}$ ) curve is shifted to the left. Conversely if  $t_{CW} > 20\mu$ s  $\Delta w$  ( $V_{\Delta w}$ ) curve is shifted to the right. Specifically there is no overall change in the magnitude of  $\Delta w$ ,  $Q_{inj}$ . Rather there is a shift in the magnitude of the charge injected/removed for the same  $\Delta t_s$  value. This does not affect the overall operation of the STDP circuit in that it still follows the STDP rule. However, the amount of charge injected can be compensated for by altering the learning duration.

## V. CONCLUSION

Compact STDP circuit blocks have been proposed, which can control weight increase and decrease within a hardware neural network. Simulation and experimental results of the WP circuit are presented which indicate that for a post-pre spiking event, no update of the synaptic weight occurs. A pre-post spiking event will however cause the synaptic weight, which is represented as charge on the FG of the synapse, to be increased. The amount, by which the synaptic weight is changed,  $\Delta w$ , is determined by the duration that  $V_{wi}$  is greater than 1.2V and by the magnitude of  $V_{CG}$ . The maximum weight,  $\Delta w_{max}$  is obtained when  $V_{CG}$  has a pulse width of  $\approx 11\mu$ s and a constant magnitude of 10V. The minimum weight,  $\Delta w_{min}$ , prior to  $V_{wi}$  being less than 1.2V is achieved when  $V_{CG}$  has a pulse width of  $0.9\mu$ s and magnitude of 9.6V.

Furthermore, the critical timing window within which synaptic modification takes place can also be controlled with voltage,  $V_{leak}$ . The key issue of the significant influence of process variations for devices operating in subthreshold has been modeled. We show that process variations do not adversely affect the learning dynamics because the weight changes depend on the temporal difference within the STDP window. Also changes in charging/discharging duration can be compensated for within the learning algorithm. Additionally a model correlating charge alterations within the FG as a function of the charging/discharging duration was presented and this relationship was extended to show the dependency of the weight changes on the temporal difference between pre and post synaptic spikes.

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## APPENDIX

Equation 6 is derived as follows.

We start with the FN Equation:

$$J_{FN} = C_0 \frac{dV_{ox}}{dt} = AE_{ox}^2 \exp\left(\frac{-B}{E_{ox}}\right) \quad (A.1)$$

Define the time derivative of electric field as:

$$\frac{dE_{ox}}{dt} = \frac{1}{t_{ox}} \frac{dV_{ox}}{dt} \quad (A.2)$$

hence

$$J_{FN}(E_{ox}) = C_0 t_{ox} \frac{dE_{ox}}{dt} \quad (A.3)$$

Separate variables:

$$J_{FN}(E_{ox})dt = C_0 t_{ox} dE_{ox} = A E_{ox}^2 \exp\left(\frac{-B}{E_{ox}}\right) dt \quad (A.4)$$

$$C_0 t_{ox} \frac{1}{A E_{ox}^2 \exp\left(\frac{-B}{E_{ox}}\right)} dE_{ox} = dt \quad (A.5)$$

$$\frac{C_0 t_{ox}}{A} \int_{E_{ox}(i)}^{E_{ox}(i+1)} \left[ E_{ox}^{-2} \exp\left(\frac{B}{E_{ox}}\right) \right] dE_{ox} = \int_{t(i)}^{t(i+1)} dt \quad (A.6)$$

Where  $t(i+1) - t(i) = \Delta t$ , the time step. Integrating, putting in limits and re-arranging gives

$$\ln \left[ \Delta t \frac{AB}{C_0 t_{ox}} + \exp\left(\frac{B}{E_{ox}(i)}\right) \right] = \left( \frac{B}{E_{ox}(i+1)} \right) \quad (A.7)$$

And finally,

$$E_{ox}(i+1) = B \left[ \ln \left( \Delta t \frac{AB}{t_{ox} C_0} + \exp\left(\frac{B}{E_{ox}(i)}\right) \right) \right]^{-1} \quad (A.8)$$

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