

Conversion of CO₂ in a cylindrical dielectric barrier discharge reactor:

Effects of plasma processing parameters and reactor design

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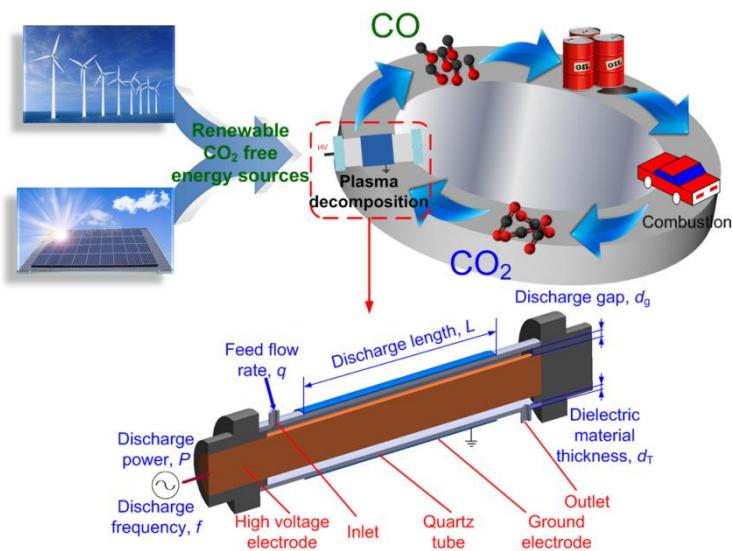
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Graphical abstract



1 Abstract

2 Direct conversion of CO₂ into CO and O₂ was carried out in a cylindrical dielectric barrier

3 discharge (DBD) reactor at atmospheric pressure and low temperatures. The influence of plasma

4 processing parameters (e.g. discharge frequency, CO₂ flow rate, discharge power, discharge length,

5 discharge gap, and dielectric material's thickness) on the plasma CO₂ conversion and energy efficiency of

6 the process was investigated. The major products of this reaction were CO and O₂, which suggests the

7 stoichiometric conversion of CO₂ into CO and O₂ was achieved. The results indicate that the frequency of

8 discharge has a negligible influence on the conversion of CO₂ at a constant discharge power. Increasing

9 discharge power or decreasing feed flow rate enhanced CO₂ conversion but lowered the energy efficiency

10 when keeping other parameters constant. In addition, decreasing discharge gap and dielectric material's

11 thickness, or enlarging discharge length can increase both the conversion of CO₂ and process efficiency.

12 Two regression models for the plasma process were developed to elucidate the relative significance of

13 these plasma processing parameters on the plasma conversion of CO₂. It is found that the flow rate of

14 CO₂ and discharge power play the most important role in CO₂ conversion, while the energy efficiency is

15 most significantly influenced by the discharge power. Modification of the plasma design was explored by

16 using different inner electrodes and outer electrodes in the DBD system. The combination of the Al foil

17 outer electrode with the stainless steel (SS) screw-type inner electrode showed the enhanced CO₂

18 conversion and energy efficiency compared to other electrode forms, leading to the maximum CO₂

19 conversion of 27.2% and maximum energy efficiency of 10.4% in this work. The use of the SS screw-

20 type inner electrode could initiate enhanced local electric field near the sharp edge of the electrode, while

21 the use of Al foil as an outer electrode could enlarge the effectiveness of discharge area compared to the

22 mesh electrode. Both effects contributed to the enhanced efficiency of the plasma CO₂ conversion.

23

24 **Keywords:** non-thermal plasma; dielectric barrier discharge; CO₂ conversion; energy efficiency; reactor

25 design

26

27 1. Introduction

28 The increase of energy demand in the modern society has significantly increased the consumption
29 of carbon-containing fossil fuels on an unprecedented scale, which unfortunately releases a large amount
30 carbon dioxide into the atmosphere. CO₂ has been considered as the main contributor to greenhouse gas
31 effect and global warming. The concentration of CO₂ in the atmosphere increased to ~ 400 ppm in 2013,
32 which is 42% more than that of preindustrial level [1]. The growing CO₂ concentration has caused
33 catastrophic effect to the ecosystem, including the increase of surface temperature and sea-level, the
34 change of hydrological and vegetation patterns, and the occurrence of disastrous weather. From this
35 perspective, it is of primary importance to reduce the emission of CO₂, especially from anthropogenic
36 sources. To limit global temperature rise to less than 2.0 °C, the worldwide CO₂ emission must have a
37 reduction of 40-70% by 2050 versus that of 2010 [2]. The UK government has committed to reduce CO₂
38 emission by at least 80% by 2050 from the 1990 level [3].

39 Carbon capture and storage (CCS) was proposed to reduce CO₂ emission from human activities. It
40 involves carbon capturing from industrial processes, injection and storage in different forms (e.g.
41 geological, ocean and mineral storages) [4]. Although CCS is considered technically feasible, it is not a
42 permanent solution to the carbon emission due to the high cost, the low public acceptance and the risk of
43 leakage during or after injection [5]. Therefore, it is urgent to develop other sustainable alternatives which
44 have little or no environmental impacts and zero CO₂ emissions. Carbon capture and utilization (CCU) is
45 a promising approach to sustainably reduce carbon emissions. Rather than being treated as a waste, the
46 captured and separated CO₂ from industrial processes can be used as a feedstock to produce value-added
47 chemicals and fuels (e.g. CO, CH₄, methanol, and DME) [6, 7]. In recent years, different technologies
48 have been proposed for the conversion of CO₂ into valuable chemicals and fuels, including
49 thermochemical conversion [8], electrochemical conversion [9], and photochemical conversion [10].
50 Among them, direct conversion of CO₂ to CO and O₂ (Eq.1) has gained significant attention as CO is a
51 very important chemical feedstock for the synthesis of organic acid, aldehydes and alcohols [11].
52 Moreover, CO is also a critical C₁ feedstock for the Fischer-Tropsch process which delivers liquid
53 hydrocarbons, synthetic petroleum and oxygenates [12].

54 However, CO₂ is a stable and inert molecule and it is a great challenge to convert CO₂ energy

55 efficiently and cost-effectively. Significant amount of energy is required to overcome thermodynamic

56 barrier for CO₂ activation. Previous thermodynamic equilibrium calculation reported that a very high

57 temperature (3000-3500 K) is required for the reasonable conversion of CO₂ (~ 60%), which will

58 definitely incur high energy cost in thermal decomposition of CO₂ [13].



60 Non-thermal plasma (NTP) technology offers a promising and attractive alternative solution to the

61 effective conversion of CO₂ into valuable chemicals and fuels. The gas kinetic temperature in non-

62 thermal plasmas can be as low as room temperature, while the produced energetic electrons have high

63 electron temperatures (1-10 eV), which can activate inert molecules (e.g. CO₂) and produce a cascade of

64 reactive species (e.g. free radicals, excited atoms, ions and molecules) for chemical reactions [14]. This

65 non-equilibrium characteristic enables thermodynamically unfavourable reactions to occur at low

66 temperatures. In this regard, non-thermal plasma has been used for the synthesis of fuels and chemicals

67 [14-25]. In addition, the compactness and flexibility (instant start-up and switch off) of non-thermal

68 plasma processes provides great potential to integrate with renewable energy sources (e.g. solar and wind

69 energy) and serve as a potential chemical energy storage for the surplus electricity from renewable energy

70 during the peak moment on the grid [26]. The integrated process could in turn create a carbon-neutral

71 network. Various NTP systems have been proposed for the direct conversion of CO₂, including dielectric

72 barrier discharge (DBD) [13, 27-46], gliding arc discharge [47-49], corona discharge [50, 51], microwave

73 discharge [52, 53] and glow discharge [54, 55]. The use of DBD for the conversion and utilization of CO₂

74 has attracted significant interest due to the following advantages: (i) A DBD plasma system has a simple

75 structure and can be scaled up for industrial applications, which have been demonstrated in ozone

76 production and gas clean-up [56]; (ii) Catalysts can be combined with a DBD plasma to generate a hybrid

77 plasma-catalytic process, which has great potential to produce a synergy, thereby enhancing the

78 conversion of CO₂, the yield and selectivity of target products and energy efficiency of the process. This

79 has been demonstrated in the plasma-catalytic processes for energy production and gas cleaning processes

80 using DBD plasmas [14, 57-59].

81 The performance of plasma CO₂ conversion using DBD plasmas is strongly related to a range of
82 plasma processing parameters (e.g. frequency, discharge power, dielectric materials, and feed flow rate)
83 [27, 30, 31, 35-37]. However, most of previous works were concentrated on the investigation of a few
84 plasma processing parameters (e.g. 1-4 parameters) on the conversion of CO₂ rather than the exploration
85 of a wide range of operating parameters used in a DBD reactor. In addition, the relative significance of
86 these plasma processing parameters on the plasma conversion of CO₂ is also not clear [35], which is key
87 for the optimization of the plasma processing of CO₂. One of the major challenges in the use of plasma
88 for CO₂ conversion is how to further enhance the energy efficiency of plasma process and make this
89 process more competitive and attractive. The combination of DBD with suitable catalysts could be one
90 promising option to achieve this objective. However, it is difficult to find cost-effective and highly active
91 catalysts for the plasma-catalytic processing of CO₂ into CO and O₂. Modification of DBD plasma reactor
92 or developing new design concept might open a new route to enhance the energy efficiency of plasma
93 process for CO₂ conversion. To the best of our knowledge, very limited work has been dedicated to
94 evaluate the effect of different reactor configurations or designs on the plasma chemical reactions
95 especially the conversion of CO₂.

96 In this work, the effect of a wide range of plasma processing parameters (e.g. discharge frequency,
97 CO₂ flow rate, discharge power, discharge length, discharge gap and dielectric material thickness) on the
98 conversion of CO₂ and efficiency of the plasma process has been evaluated in a cylindrical DBD reactor.
99 Regression models for CO₂ conversion and energy efficiency based on these plasma operating parameters
100 were developed to understand the relative significance of these parameters on the plasma CO₂ conversion.
101 To get a better understanding of the influence of the reactor design on the plasma conversion of CO₂,
102 different types of inner electrode (stainless steel (SS) rod electrode or SS screw-type electrode) and outer
103 electrode (SS mesh or Al foil) were used to form different reaction configurations.

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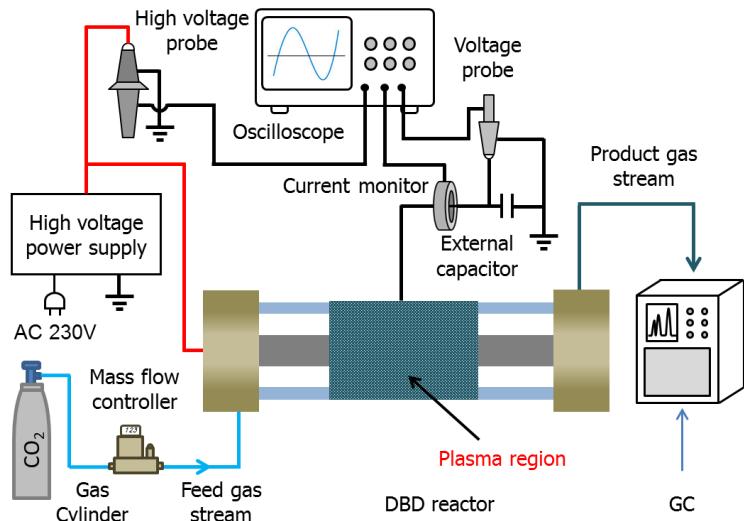
106 2. Experimental

107 2.1 Experimental setup

108 The experiments were carried out in a cylindrical DBD reactor, as shown in Fig. 1 (a). An outer
109 electrode was wrapped over a quartz tube with an external diameter (D_o) of 25 mm and an inner diameter
110 (D_i) of 20-22 mm. The length of the outer electrode can be varied from 60 to 140 mm to adjust the
111 discharge length (L). An inner electrode with an outer diameter (d_o) of 15-17 mm was placed in the center
112 of the quartz tube. The outer electrode was connected to the ground via an external capacitor C_{ext} (0.47 μ F)
113 and the inner electrode was powered by a high voltage output. Pure CO₂ was used as the feed gas with a
114 flow rate of 25-125 mL/min. The DBD reactor was connected to a high voltage AC power supply with a
115 maximum peak voltage of 30 kV and a frequency of 5-20 kHz. The applied voltage (U_a) was recorded by
116 a high voltage probe (Testec, HVP-15HF), while the current (I_t) was measured by a current monitor
117 (Bergoz CT-E0.5). The voltage (U_c) on the external capacitor was used to determine the charge generated
118 in the DBD. All the signals were recorded by a four-channel oscilloscope (TDS2014). A homemade
119 control system was developed for the measurement of the discharge power via the area calculation of the
120 Lissajous figure in real time.

121 To understand the influence of the reactor design on the plasma conversion of CO₂, different types
122 of inner electrode (SS rod electrode or SS screw-type electrode) and outer electrode (SS mesh or Al foil)
123 were used to form different reactor designs. A design with a SS rod inner electrode and a SS mesh outer
124 electrode was used as a reference DBD reactor. The experimental conditions of the plasma reaction with
125 different reactor configurations are listed in Table 1.

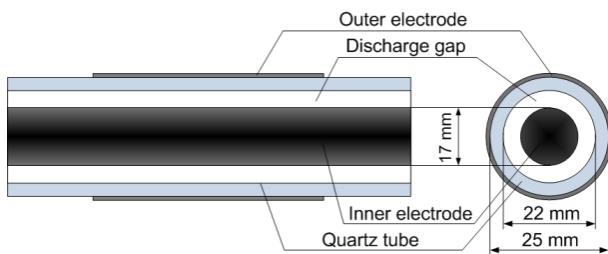
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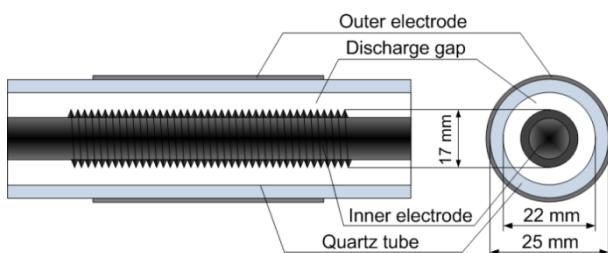
(a)



129

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(b)



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132

(c)

Fig. 1. Schematic diagram of the experimental system: (a) Experimental setup; (b) DBD reactor with a SS rod inner electrode; (c) DBD reactor with a SS screw-type inner electrode

135

136

Table 1 Configurations of the DBD reactor and different experimental conditions

	Discharge frequency (f, kHz)	Discharge power (P, W)	Feed flow rate (q, mL/min)	Discharge gap (d_g , mm)	Discharge length (L, mm)	Dielectric material thickness (d_T , mm)	Inner electrode	Outer electrode
Effect of f	8, 9, 10, 11	40	25	2.5	100	1.5	SS rod	SS mesh
Effect of P	9	10, 20, 30, 40, 50	25	2.5	100	1.5	SS rod	SS mesh

Effect of q	9	50	25, 31.2, 41.2, 62.5, 125	2.5	100	1.5	SS rod	SS mesh
Effect of d_g	9	10, 20, 30, 40, 50	25	2.5, 3.0, 3.5	100	1.5	SS rod	SS mesh
Effect of L	9	10, 20, 30, 40, 50	25	2.5	60, 100, 140	1.5	SS rod	SS mesh
Effect of d_T	9	10, 20, 30, 40, 50	25	2.5	100	1.5, 2.0, 2.5	SS rod	SS mesh
	9	10, 20, 30, 40, 50	25	2.5	100	1.5	SS rod	Al foil
Effect of electrode configuration	9	10, 20, 30, 40, 50	25	2.5	100	1.5	SS screw	SS mesh
	9	10, 20, 30, 40, 50	25	2.5	100	1.5	SS screw	Al foil

137

138 2.2 Gas analysis and parameter definition

139 The feed and gas products were analyzed by a gas chromatography (GC, Shimadzu GC2014)
 140 equipped with a thermal conductivity detector (TCD) and a flame ionization detector (FID) and. Each
 141 measurement was repeated three times when the reaction reached to a steady state. The conversion of CO₂
 142 (C), CO selectivity (S) and carbon balance (B) are defined as follows:

$$143 C_{CO_2} (\%) = \frac{CO_2 \text{ converted (mol/s)}}{CO_2 \text{ introduced (mol/s)}} \times 100 \quad (2)$$

$$144 S_{CO} (\%) = \frac{CO \text{ produced (mol/s)}}{CO_2 \text{ converted (mol/s)}} \times 100 \quad (3)$$

$$145 B_{Carbon} = \frac{CO_2 \text{ output (mol/s)} + CO \text{ produced (mol/s)}}{CO_2 \text{ introduced (mol/s)}} \quad (4)$$

146 The specific energy input (SEI) and energy efficiency of the plasma process (η) are determined by

$$147 SEI (\text{kJ/l}) = \frac{\text{Discharge power (W)}}{CO_2 \text{ flow rate (ml/s)}} \quad (5)$$

$$148 \eta (\%) = \frac{CO_2 \text{ flow rate (ml/s)} \cdot C_{CO_2} (\%) \cdot \Delta H (\text{kJ/mol})}{22.4 \times \text{Discharge power (W)}} \quad (6)$$

149 where ΔH is the reaction enthalpy (280 kJ/mol) of pure CO₂ decomposition (shown in Eq. (1)).

150 The transferred charge in the DBD was obtained from the Q-U Lissajous figure. The details can be

151 found in our previous works [13, 60].

152

153 3. Results and discussions

154 3.1 Effect of discharge frequency

155 Fig. 2 shows the effect of discharge frequency on the conversion of CO₂ and energy efficiency of

156 the plasma process. Both CO₂ conversion and energy efficiency were slightly decreased when increasing

157 the discharge frequency from 8 to 11 kHz at a constant SEI of 96 kJ/L. Ozkan et al reported that

158 increasing discharge frequency from 16.2 to 28.6 kHz slightly decreased both CO₂ conversion (22.0% to

159 17.5%) and energy efficiency (15.3% to 12.5 %) at a discharge power of 55 W and a CO₂ flow rate of 200

160 mL/min in a DBD reactor [37]. They found that the decrease in CO₂ conversion by rising the frequency of

161 discharge was not induced by the produced microdischarge, as the change of the discharge frequency did

162 not change the number and lifetime of the microdischarge in the reaction. They believed that the drop in

163 CO₂ conversion was mainly resulted from the decrease in the gas voltage by increasing the discharge

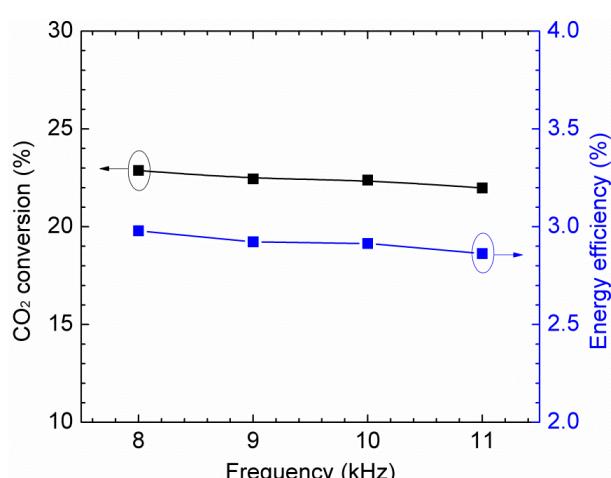
164 frequency [37]. Liu et al demonstrated that the discharge frequency was the least important operating

165 parameter affecting the plasma conversion of CH₄ in a DBD reactor [21]. They found that the conversion

166 of CH₄ slightly decreased (by 10%) when rising the frequency from 20 to 50 kHz at a fixed discharge

167 power of 45 W and a CO₂ flow rate of 100 mL/min [21].

168



169

170 Fig. 2. Effect of discharge frequency on CO₂ conversion and energy efficiency (SEI: 96 kJ/L; feed flow

171 rate: 25 mL/min; discharge length: 100 mm; discharge gap: 2.5 mm).

172

173 Fig. 3 shows the effect of discharge frequency on the selectivity of CO and carbon balance.

174 Increasing the discharge frequency from 8 to 11 kHz showed a negligible effect on the CO selectivity.

175 The selectivity of CO reached nearly 100%, which indicated that CO was the major product from CO₂

176 decomposition and the stoichiometric conversion of CO₂ into CO was achieved. Moreover, the carbon

177 balance was also independent of the frequency and changed between 98.7% and 99.2%. Carbon

178 deposition was not observed and detected during the plasma CO₂ reaction. It is noticeable that the CO

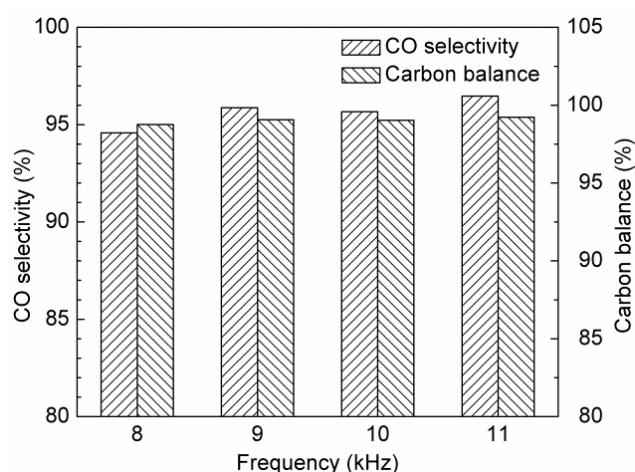
179 selectivity and carbon balance were also independent of the other processing parameters (e.g. CO₂ flow

180 rate, discharge power, discharge gap, discharge length and thickness of dielectric material). Therefore, the

181 influence of plasma processing parameters on the plasma conversion of CO₂ will be only discussed in

182 terms of the conversion of CO₂ and energy efficiency in the following sections.

183



184

185 Fig. 3. Effect of discharge frequency on CO selectivity (SEI: 96 kJ/L; feed flow rate: 25 mL/min;

186

discharge length: 100 mm; discharge gap: 2.5 mm).

187

188 3.2 Effect of discharge power and feed flow rate

189 Fig. 4 shows the influence of discharge power on the conversion of CO₂ and energy efficiency.

190 Increasing discharge power increased the conversion of CO₂, but decreased the energy efficiency. CO₂

191 conversion increased from 17.4% to 22.4% as the plasma power changed from 10 to 50 W. The discharge

192 power was controlled by changing the applied voltage at a constant frequency. The increase of the plasma

193 power increased both the magnitude and number of the current pulses, as shown in Fig. 5. This

phenomenon indicated that the number of microdischarges was increased by increasing the discharge power, suggesting the production of more chemical reaction channels and reactive species for CO₂ processing, resulted in the enhanced CO₂ conversion. This finding was observed in previous works related to plasma chemical reactions [35, 61, 62]. Although higher power led to the enhanced CO₂ conversion, the efficiency of the reaction was decreased from 9.0% to 2.3% when changing the plasma power from 10 to 50 W.

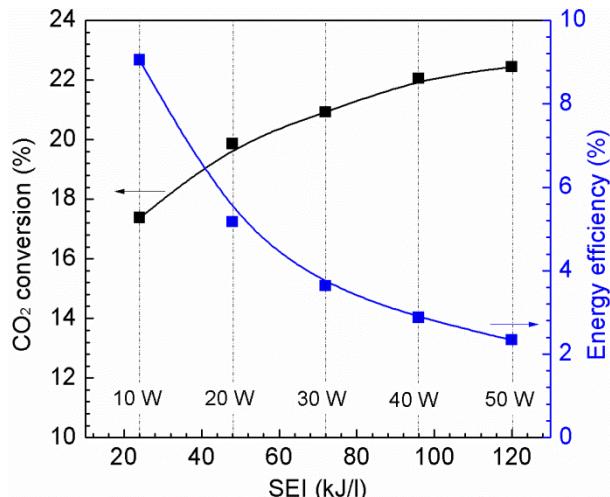


Fig. 4. Effect of discharge power and SEI on CO₂ conversion and energy efficiency (CO₂ flow rate: 25 mL/min; discharge length: 100 mm; discharge gap: 2.5 mm).

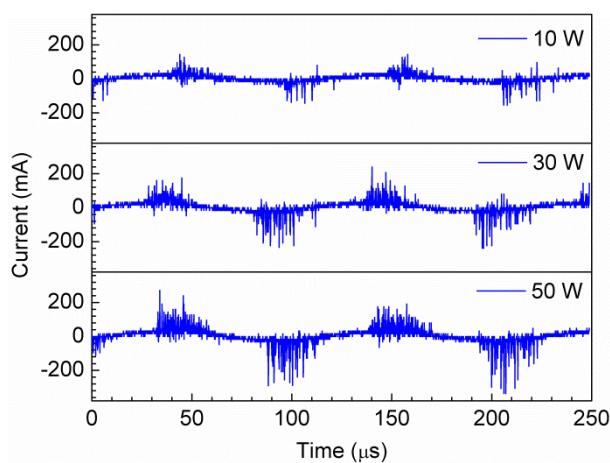
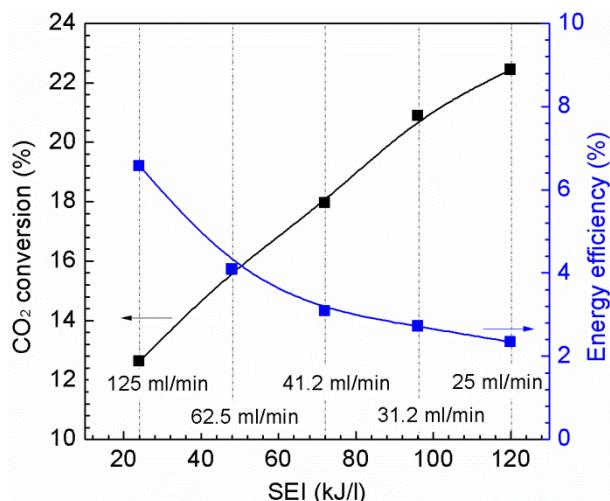


Fig. 5. Effect of discharge power on the current signals (feed flow rate: 25 mL/min; discharge length: 100 mm; discharge gap: 2.5 mm).

209 The effect of CO₂ flow rate on CO₂ conversion and process efficiency is shown in Fig. 6. Clearly,
210 a maximum CO₂ conversion of 22.4% was obtained at the minimum CO₂ flow rate of 25 mL/min. With
211 the other processing parameters fixed, increasing CO₂ flow rate reduced the residence time of the
212 reactants in the plasma, which decreased the possibility for CO₂ decomposition through the collisions
213 with electrons and chemically reactive species, and consequently lowered the conversion of CO₂. For
214 example, the residence time of CO₂ in the DBD decreased from 36.8 to 7.4 s when the CO₂ flow varied
215 from 25 to 125 mL/min, which decreased the conversion of CO₂ from its maximum value to 12.6%.
216 **Although increasing the feed flow rate decreased the CO₂ conversion, the concentration of converted CO₂**
217 **increased.** Therefore, the energy efficiency of the reaction was increased from 2.3% to 6.6% by increasing
218 the feed flow rate from 25 to 125 mL/min.
219



220
221 Fig. 6. Effect of feed flow rate and SEI on CO₂ conversion and energy efficiency (discharge power: 50W;
222 discharge length: 100 mm; discharge gap: 2.5 mm).
223

224 From the definition of SEI (Eq. (5)), the variation of SEI can be achieved by changing the
225 discharge power and/or feed flow rate. In Fig. 4 and Fig. 6, the increase of SEI from 24 to 120 kJ/L was
226 obtained by two approaches: (1) increasing the plasma power from 10 to 50 W at a constant CO₂ flow rate
227 of 25 mL/min; (2) decreasing the CO₂ flow from 125 to 25 mL/min by fixing the discharge power at 50
228 W. Clearly, how do we change the SEI has a different influence on the CO₂ conversion and reaction
229 efficiency. For example, the CO₂ conversion was increased by 28.7% (from 17.4% to 22.4%) when

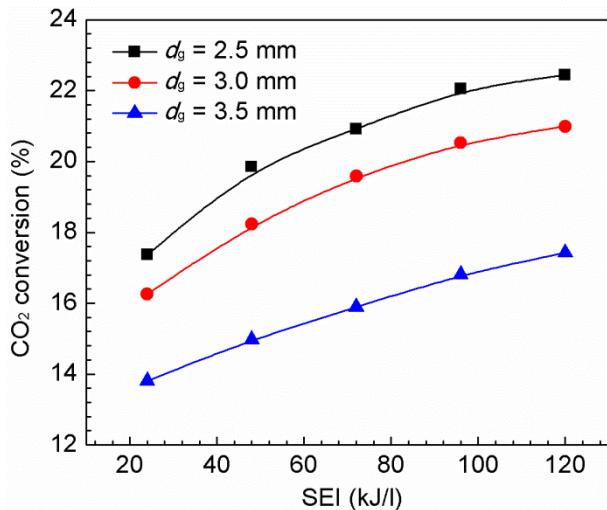
increasing the SEI from 24 to 120 kJ/L by changing the discharge power (Fig. 4), whilst the conversion of CO₂ was enhanced by 77.8% (from 12.6% to 22.4%) when changing the SEI using the second approach (Fig. 6). Clearly, the residence time of CO₂ in the discharge was quite different using these two approaches. These results clearly showed that changing the SEI by changing the CO₂ flow has a more pronounced influence on CO₂ conversion compared to the change of discharge power. By contrast, the plasma power plays a more important role in determining the efficiency of the plasma CO₂ conversion. Therefore, both plasma power and CO₂ flow rate should be considered when pursuing a suitable SEI for high CO₂ conversion and energy efficiency simultaneously.

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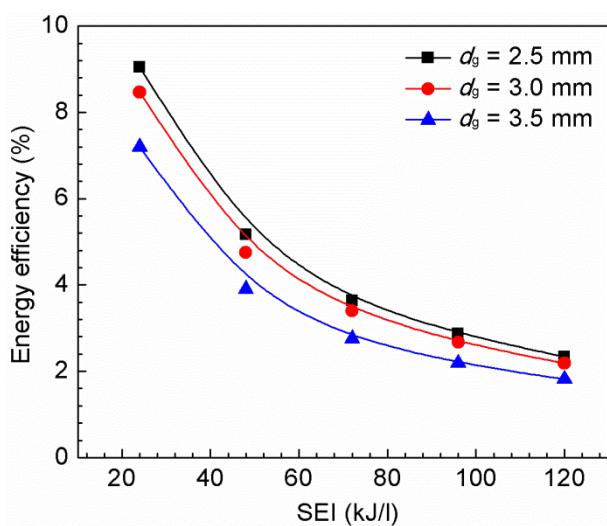
239 3.3 Effect of discharge gap and discharge length

Fig. 7 shows the effect of changing discharge gap on the conversion of CO₂. At a constant SEI, increasing the discharge gap reduced both energy efficiency and CO₂ conversion. For example, the conversion of CO₂ decreased from 22.1% to 16.8% when the discharge gap increased from 2.5 to 3.5 mm. Similarly, the energy efficiency for plasma CO₂ conversion was decreased by 25% when changing the discharge gap from 2.5 to 3.5 mm. Increasing discharge gap could increase the residence time of the reactants in the plasma, which can have a positive effect on the CO₂ conversion. However, increasing the discharge gap decreases the power density (defined as discharge power/discharge volume) at a constant discharge power due to the increased plasma volume. At a larger gap, it is more likely to form a “partial discharging” rather than a “fully-bridged” discharge as the streamers cannot fully cover the discharge volume, resulted in a reduced CO₂ conversion. In this study, this negative effect is more prominent in the conversion of CO₂ compared to the positive effect due to the increased residence time. In addition, increasing the gap from 2.5 to 3.5 mm slightly decreased the transferred charge, and consequently reduced the production of electrons and reactive species, as shown in Fig. 8 [63].

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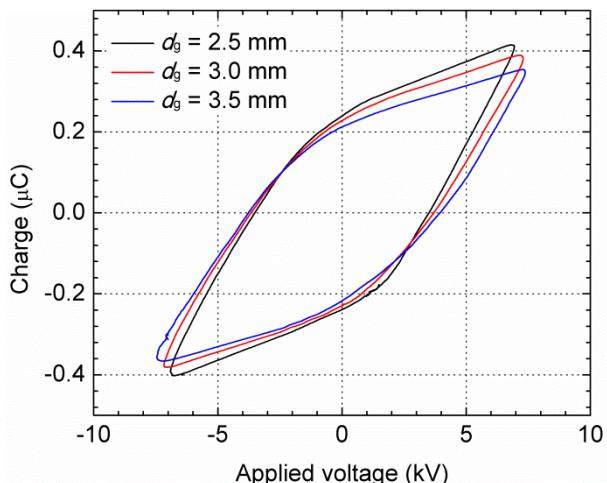


255 (a)



257 (b)

258 Fig. 7. Effect of discharge gap on CO₂ conversion and energy efficiency (feed flow rate: 25 mL/min;
259 discharge length: 100 mm).



262 Fig. 8. Lissajous figures of the CO₂ DBD with different discharge gaps at a constant SEI of 96 kJ/L

263 (discharge length: 100 mm, CO₂ feed flow rate: 25 mL/min; frequency: 9 kHz).

264

265 The influence of SEI and discharge length on plasma processing of CO₂ is displayed in Fig. 9.

266 Both CO₂ conversion and energy efficiency increased by around 27% as the discharge length increased

267 from 60 to 140 mm at a SEI of 120 kJ/L. The influence of discharge length on the CO₂ conversion can be

268 attributed to two competing effects. Firstly, increasing the discharge length from 60 to 140 mm

269 significantly increased the residence time of CO₂ in the plasma by 133.3%, which positively affect the

270 conversion of CO₂ (e.g. enhancing CO₂ conversion) due to the increased probability of CO₂ molecules

271 colliding with highly energetic electrons and reactive species. However, increasing the discharge length

272 from 60 to 140 mm increased the surface area of the dielectric material (i.e. quartz tube) from 47.1 to

273 110.0 cm², leading to the increased energy loss due to the heat dissipation [64]. Moreover, increasing

274 discharge length also reduced the plasma power density owing to the enlarged plasma volume (from 9.2

275 to 21.4 cm³) at a fixed discharge power [64]. These effects tend to negatively affect the conversion of

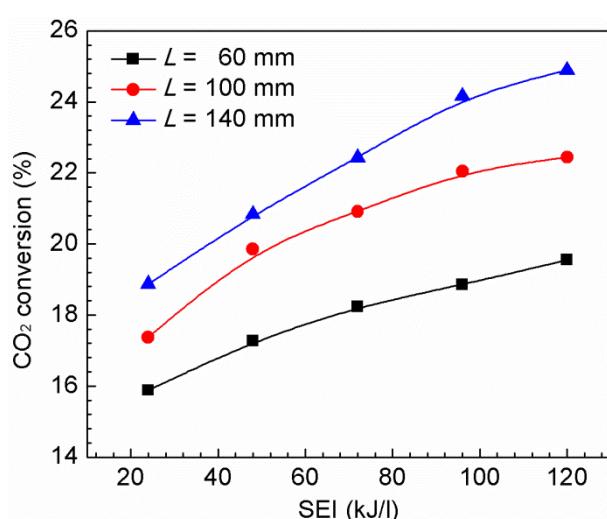
276 CO₂. In this study, increasing the discharge length significantly increased the residence time of CO₂ in the

277 reaction, which plays a more dominant role in the conversion of CO₂ compared to the negative effects

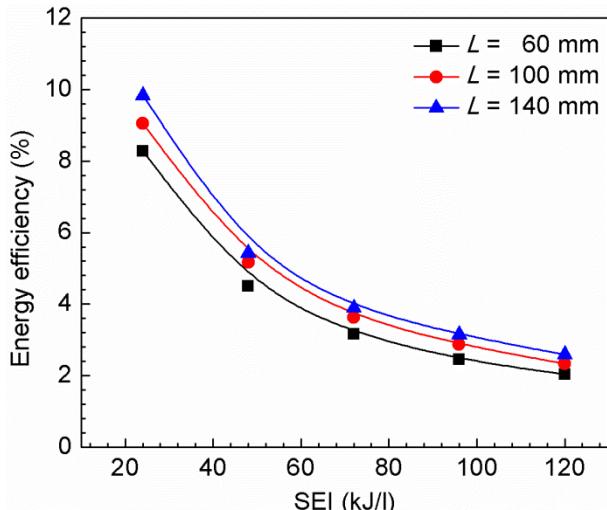
278 (e.g. reduced power density and increased energy loss) caused by the increased discharge length, thus

279 increasing the CO₂ conversion as shown in Fig.9.

280



281
282 (a)

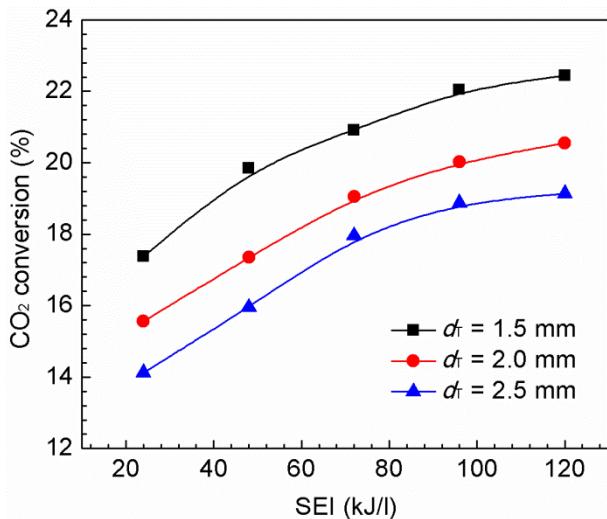


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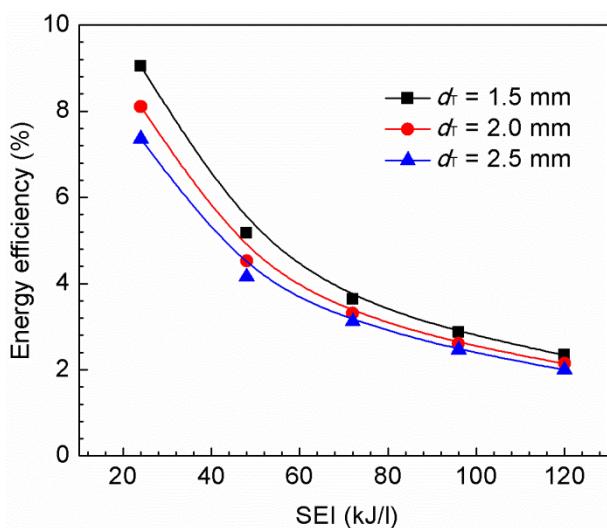
Fig. 9. Effect of discharge length on CO₂ conversion and energy efficiency (feed flow rate: 25 mL/min; discharge gap: 2.5 mm).

3.4 Effect of thickness of dielectric material

Fig. 10 shows the influence of thickness of dielectric material on the plasma CO₂ conversion as a function of SEI. Clearly, increasing the thickness of the quartz tube decreased the conversion of CO₂ and reaction efficiency at a fixed SEI. For example, both the CO₂ conversion and energy efficiency were decreased by ~15.0% when changing the thickness of dielectric material from 1.5 to 2.5 mm at a SEI of 120 kJ/L. In this study, the plasma gap was maintained at 2.5 mm by simultaneously changing the dimension of the quartz tube and inner electrode with a constant external diameter of the quartz tube. In this way, the discharge volume was decreased by 10.3% when increasing the thickness of dielectric material from 1.5 to 2.5 mm, resulted in the decreased residence time of CO₂ in the DBD. Furthermore, we find that increasing the thickness of quartz tube (from 1.5 to 2.5 mm) decreased the transferred charge by 19.9%, which decreased the generation of electrons for CO₂ conversion [63]. Therefore, the decreased residence time and transferred charge by increasing the thickness of dielectric material is of greater importance to determine the conversion of CO₂ in our DBD reactor.



(a)



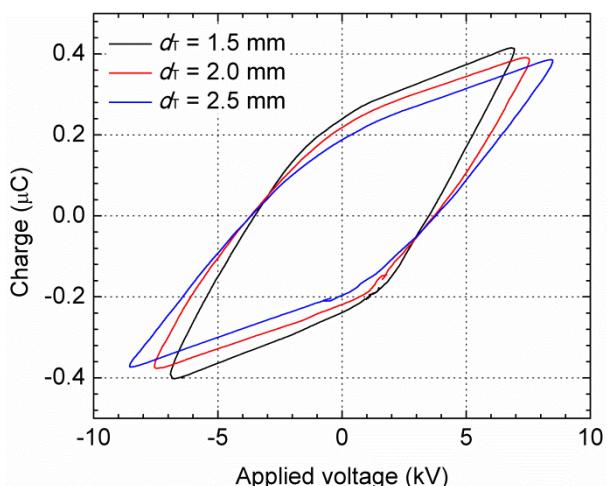
(b)

304

305 Fig. 10. Effect of the thickness of dielectric material on CO₂ conversion and energy efficiency (feed flow
306 rate: 25 mL/min; discharge gap: 2.5 mm; discharge length: 100 mm).

307

308



310 Fig. 11. Lissajous figures of the CO₂ DBD with different thicknesses of dielectric material at a constant
311 SEI of 96 kJ/L (discharge gap: 2.5 mm, discharge length: 100 mm; frequency: 9 kHz).

312

313 3.5 Regression models for the plasma process performance estimation

314 The performance of CO₂ conversion in a DBD plasma system is closely associated with a variety

315 of plasma and operating parameters. In this work, the regression models were developed to determine

316 how these different plasma and operating parameters affect the plasma processing of CO₂. In these

317 models, the dependent variables were CO₂ conversion (C_{CO_2}) and energy efficiency (η), while the

318 independent variables were discharge frequency (f), discharge power (P), feed flow rate (q), discharge

319 length (L), discharge gap (d_g) and thickness of dielectric material (d_T), as shown in Eq. (7) and Eq. (8).

320

$$C_{CO_2} = K_1 [f]^{a_1} [P]^{b_1} [q]^{c_1} [L]^{d_1} [d_g]^{e_1} [d_T]^{f_1} \quad (7)$$

321

$$\eta = K_2 [f]^{a_2} [P]^{b_2} [q]^{c_2} [L]^{d_2} [d_g]^{e_2} [d_T]^{f_2} \quad (8)$$

322 where K₁ and K₂ are the constants that correlate the reaction performance (CO₂ conversion and energy
323 efficiency) with the processing parameters. a_i, b_i, c_i, d_i, e_i, and f_i (i=1 and 2) are the exponent of the term
324 related to each processing parameter. The value of these constants and indexes were calculated by the
325 regression analysis method using IBM SPSS statistics version 24. The empirical models are expressed in
326 Eq. (9) and Eq. (10). These two models were determined based on the experimental results under the
327 following operating conditions: f = 8-11 kHz, P = 10-50 W, q = 25-125 mL/min, L = 60-140 mm, d_g =
328 2.5-3.5 mm and d_T = 1.5-2.5 mm. The experimental results agreed with the predicted data with a
329 maximum relative error of ~5.7% for the conversion of CO₂ and process efficiency. This high accuracy
330 can be confirmed by the high value of R² (0.9872 for CO₂ conversion; 0.9947 for energy efficiency).

331

$$C_{CO_2} = 34.3401 \times [f]^{-0.0448} [P]^{0.1634} [q]^{-0.3839} [L]^{0.2527} [d_g]^{-0.6999} [d_T]^{-0.3593}, R^2=0.9872 \quad (9)$$

332

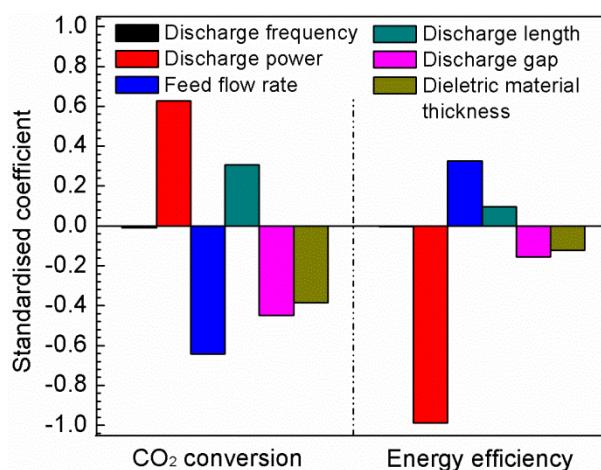
$$\eta = 6.4876 \times [f]^{-0.0415} [P]^{-0.8367} [q]^{0.6158} [L]^{0.2531} [d_g]^{-0.7004} [d_T]^{-0.3605}, R^2=0.9947 \quad (10)$$

333 The standardized coefficient can be used to understand the relative significance of a parameter on
334 the plasma CO₂ conversion. It indicates the average standard change in the conversion of CO₂ and process
335 efficiency of conversion reaction associated with a standard change in one processing parameter while

336 keeping other parameters constant [65]. The positive standardized coefficient suggests that the conversion
337 of CO₂ and efficiency of the reaction increase by increasing the corresponding processing parameter.

338 Fig. 12 shows the standardized coefficient of each processing parameter in the regression models
339 for the conversion of CO₂. Clearly, the plasma power and discharge length positively affected the
340 conversion of CO₂; while the discharge frequency, feed flow rate, discharge gap and dielectric material
341 thickness had a negative influence on CO₂ conversion. The absolute value of the standardized coefficient
342 for the feed flow rate was comparable to that for the discharge power, and followed by these for the
343 discharge gap, discharge length, thickness of dielectric material and discharge frequency. Therefore, the
344 relative significance of the processing parameters on CO₂ conversion follows the order of q ≈ P > d_g > L >
345 d_T > f.

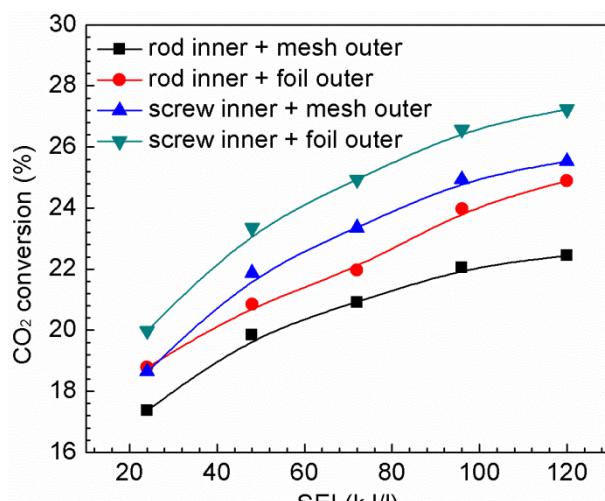
346 As shown in Fig. 12, the energy efficiency for the conversion of CO₂ was positively correlated to
347 CO₂ flow rate and discharge length, but was negatively affected by the discharge power, discharge gap
348 and dielectric material thickness. The influence of the discharge frequency on the efficiency of plasma
349 CO₂ conversion was negligible. Due to the highest absolute value of the standardized coefficient, the
350 plasma power showed a more significant effect on the energy efficiency for CO₂ splitting compared with
351 other processing parameters. Briefly, the relative significance of these processing parameters on energy
352 efficiency is P > q > d_g > d_T > L > f.



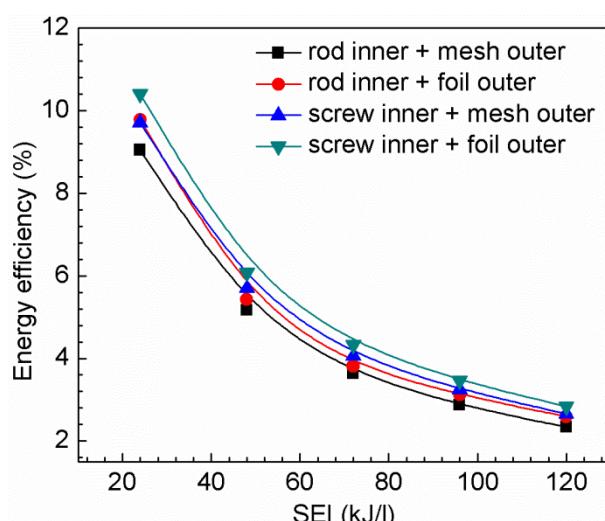
354
355 Fig. 12. Standardized coefficients of different processing parameters for CO₂ conversion (left) and energy
356 efficiency (right)

358 3.6 Effect of reactor design on CO₂ conversion

359 Fig. 13 shows the influence of different inner and outer electrodes on the conversion of CO₂. The
 360 CO₂ decomposition and process efficiency were enhanced by around 10.8% at a SEI of 120 kJ/L when
 361 the SS mesh outer electrode was replaced by Al foil. Compared to SS mesh, the use of Al foil as the outer
 362 electrode covered the outer surface of the quartz tube more uniformly and increases the effective
 363 discharge area. As a result, the number of micro-discharges generated in the discharge increased, which
 364 contributes to the enhanced CO₂ decomposition and process efficiency.



365 (a)

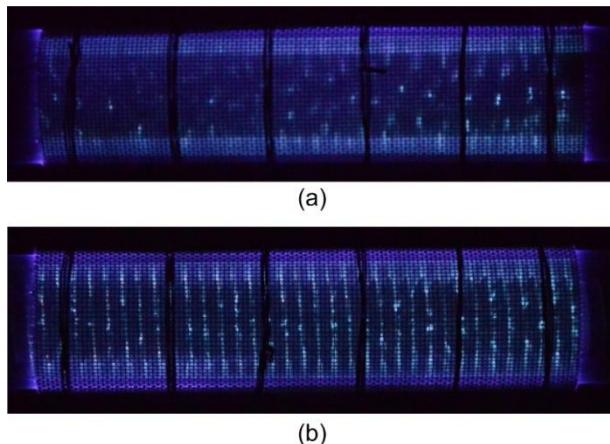


368 (b)

369 Fig. 13. Effect of inner and outer electrode forms on CO₂ conversion and energy efficiency (feed flow
 370 rate: 25 mL/min; discharge gap: 2.5 mm; discharge length: 100 mm).

372 Clearly, increasing the SEI from 24 to 120 kJ/L increased the conversion of CO₂ regardless of the
373 electrodes used. Compared to the SS rod electrode, the use of the SS screw-type inner electrode enhanced
374 the CO₂ conversion and energy efficiency by 12-14% at a fixed SEI of 120 kJ/L (Fig. 14). The sharp edge
375 of the SS screw-type electrode could distort the electric field in the discharge and enhance the local
376 electric field near the inner electrode, and consequently generates more intensified filaments near the
377 shape edge of the screw electrode (Fig. 14). This effect could generate more reaction channels which
378 makes a significant contribution to the enhanced reaction performance (e.g. CO₂ conversion).

379



380

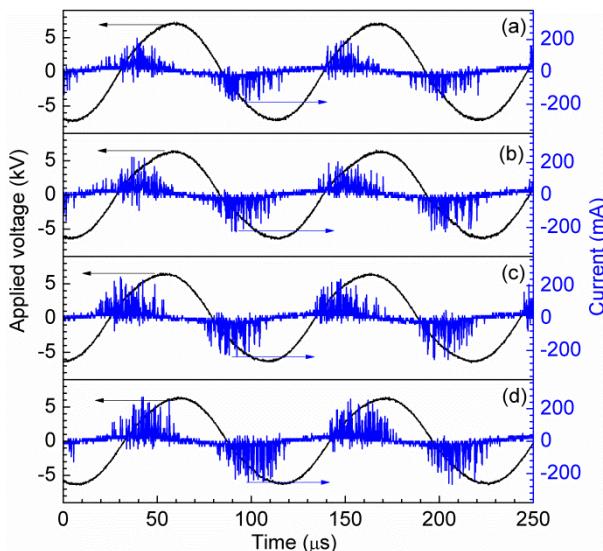
381 Fig. 14. Images of the CO₂ DBD plasma (exposure time: 25 ms): (a) SS rod inner electrode; (b) SS screw-
382 type inner electrode (discharge power: 40 W; discharge gap: 2.5 mm, discharge length: 100 mm; CO₂
383 feed flow rate: 25 mL/min; frequency: 9 kHz; outer electrode: SS mesh).

384

385 The combination of the SS screw-type inner electrode and Al foil outer electrode showed the
386 highest CO₂ conversion and energy efficiency in our DBD reactor: 27.2% for CO₂ conversion and 2.8%
387 for energy efficiency at a SEI of 120 kJ/L. The maximum energy efficiency of 10.4% was achieved at a
388 low SEI of 24 kJ/L using these two electrodes. Fig. 15 shows the voltage and current signals of CO₂
389 discharges with different electrodes at a SEI of 96 kJ/L. Clearly, the currents were quasi-sinusoid with a
390 large number of current peaks in each half cycle. These current peaks were reflected by the formation of
391 transient filamentary microdischarges in the CO₂ DBD. When the SS mesh outer electrode was replaced
392 by the Al foil, the filaments extended across the electrode gap due to larger effective discharge area,
393 evidenced by increased number and amplitude of the current pluses (Fig. 15 (b)). When the SS screw-type

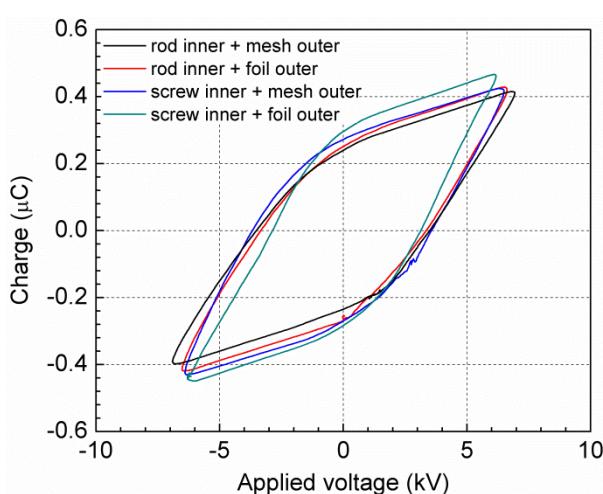
394 inner electrode was used, the current pulses were also intensified due to the formation of higher local
395 electric field resulting from the sharp edge of the screw-type electrode (Fig. 15 (c)). The amplitude and
396 number of the current pulses and microdischarges, as well as the transferred charge were further enhanced
397 by the combination of the Al foil outer electrode and SS screw-type inner electrode in the DBD reactor
398 (Fig. 15 (d) and Fig. 16), creating more reaction channels to further enhanced the reaction performance of
399 the plasma CO₂ conversion.

400



401

402 Fig. 15. Electrical signals of the CO₂ DBD: (a) SS mesh outer electrode and SS rod inner electrode; (b) Al
403 foil outer electrode and SS rod inner electrode; (c) SS mesh outer electrode and SS screw-type inner
404 electrode; (d) Al foil outer electrode and SS screw-type inner electrode (SEI: 96 kJ/L; discharge gap: 2.5
405 mm, discharge length: 100 mm; CO₂ feed flow rate: 25 mL/min; frequency: 9 kHz).



406

407 Fig. 16. Lissajous figures of the CO₂ DBD with different electrodes (SEI: 96 kJ/L; discharge gap: 2.5 mm;

408 discharge length: 100 mm; frequency: 9 kHz).

409

410 3.7 Discussion

411 Table 2 compares the maximum conversion of CO₂ and energy efficiency of the plasma process
412 using different atmospheric plasma sources. The conversion of highly diluted CO₂ in the atmospheric
413 pressure plasmas was not included in Table 2 due to the use of expensive dilution gas, especially He. In
414 the plasma process, a trade-off effect exists between the conversion of CO₂ and reaction efficiency [35].
415 In general, higher SEI generates higher CO₂ conversion but lowers the energy efficiency of plasma
416 process, while higher energy efficiency with lower CO₂ conversion can be obtained at a lower SEI. To
417 solve this problem, further modification of the plasma system and optimisation of the plasma process is
418 required. One such modification could be the improvement of plasma system design, as this work shows
419 the combination of the Al foil outer electrode and SS screw-type inner electrode can improve both CO₂
420 conversion and energy efficiency. In this work, the highest energy efficiency of 10.4% was achieved in
421 the conversion of CO₂ with a CO₂ conversion of 20% at a plasma power of 10 W and a CO₂ flow of 50
422 mL/min. The other improvement of the reaction performance might be achieved through the addition of a
423 suitable catalyst into the plasma, as a hybrid plasma-catalytic process has significant potential to form a
424 low temperature synergistic effect, resulted in higher CO₂ conversion whilst maintaining low energy
425 consumption, creating a more attractive process for the utilization of CO₂ on an industrial scale. However,
426 very limited work has been devoted to investigate the plasma-catalytic decomposition of CO₂ into CO
427 and oxygen as very limited knowledge of such catalysts can be found from conventional catalytic
428 processes. Our recent work successfully demonstrated the combination of DBD with BaTiO₃ and TiO₂
429 photocatalysts significantly enhanced the decomposition of CO₂ and process efficiency for CO₂
430 conversion at low temperatures [45]. All the photocatalysts were placed along the bottom of the DBD
431 reactor in the plasma discharge area, resulted in effective plasma-catalyst interactions. The results showed
432 that electron induced photocatalytic reaction might play an important role in the enhancement of the
433 process performance besides the positive physical effect due to the interactions between the catalysts and
434 plasma such as the enhancement of the local electrical field and average electric field. Chen et al reported

435 that CO₂ conversion and energy efficiency could be doubled when using a plasma pretreated NiO/TiO₂
 436 catalyst in a pulsed-wave sustained microwave discharge [53]. Modification of metal electrodes with the
 437 coating of Cu, Rh, Pt, Au and Pd were investigated in the conversion of CO₂ diluted with He, Ar or N₂ in
 438 a glow discharge [55, 66, 67]. The maximum CO₂ conversion of 36.4% was obtained with the Rh coated
 439 electrode [55]. Non-catalytic packing materials (e.g. Al₂O₃) have shown the enhanced reaction
 440 performance in plasma-assisted environmental clean-up such as the removal or oxidation of gas pollutants.
 441 However, these materials have a very limited effect on the plasma synthesis of fuels and chemicals such
 442 as methane conversion or CO₂ conversion. Previous works also showed changing the dielectric material
 443 in a DBD reactor might open a new route to tailor the formation of a range of active species and change
 444 the chemical reactions. Li et al evaluated the effect of Ca_{0.7}Sr_{0.3}TiO₃ (as a dielectric material) on CO₂
 445 conversion in a DBD reactor [38, 68, 69]. Intensified microdischarges with stronger current pulses were
 446 generated due to high permittivity of Ca_{0.7}Sr_{0.3}TiO₃ ceramic, leading to a higher CO₂ conversion
 447 compared to that of the plasma process using alumina and silica glass. Wang et al carried out similar
 448 research using Ca_{0.8}Sr_{0.2}TiO₃ added with CaO-B₂O₃-SiO₂ (CBS) glass as a dielectric material. The
 449 maximum CO₂ conversion of 48.7% was achieved with an addition of 5% CBS [39].

450

451 Table 2. Comparison of CO₂ conversion and energy efficiency using different atmospheric pressure
 452 plasma sources.

Plasma type	Packing materials/ Catalysts	Maximum CO ₂ conversion			Maximum energy efficiency			Ref
		SEI (kJ/L)	CO ₂ conversion (%)	Energy efficiency (%)	SEI (kJ/L)	CO ₂ conversion (%)	Energy efficiency (%)	
DBD	-	120	27.2	2.8	24	20.0	10.4	This work
DBD	-	229.0	34.0	1.9	4.3	3.1	8.0	[30]
DBD	-	297.6	46.6	2.0	1.6	2.3	17.7	[33]
DBD	-	60.0	18.2	1.7	20.0	9.6	3.8	[31]
GAD	-	15.4	17.4	14.1	9.8	15.2	19.3	[47]
DBD	-	22.2	24.3	12.6	11.7	16.0	15.7	[36]
DBD	-	240.0	30.0	1.6	45.1	14.0	3.9	[27]
Corona	-	80	10.9	1.7	5.2	3.1	7.5	[50]
Microwave	NiO/TiO ₂ (Ar plasma)	-	-	-	30	41.3	17.2	[53]

		treated)						
DBD	BaTiO ₃	-	-	-	28	38.3	16.6	[45]
Packed bed DBD	CaO	75.8	41.9	5.7	45.5	32.9	7.1	[42]
Packed bed DBD	ZrO ₂	240	42.3	4.6	36	9.6	9.6	[43]
Packed bed DBD	CaTiO ₃	52.9	20.5	4.8	32.4	15.8	6.1	[40]
Packed bed DBD	BaTiO ₃	60.0	28.2	5.9	24.0	13.7	7.1	[13]

453

454 4. Conclusions

455 The effects of different plasma and processing parameters (plasma power, discharge length, discharge
 456 frequency, CO₂ flow rate, electrode gap, and thickness of dielectric material) and reactor design on the
 457 conversion of CO₂ were investigated in a coaxial DBD reactor. The discharge frequency showed a weak
 458 effect on CO₂ decomposition and process efficiency. Higher CO₂ conversion can be obtained by
 459 increasing the discharge power and/or decreasing the feed flow rate; while the decrease in discharge
 460 power and/or increase in feed flow rate led to a higher energy efficiency. Clearly, a trade-off effect exists
 461 between the conversion of CO₂ and efficiency of the plasma process. In addition, decreasing the discharge
 462 gap and thickness of dielectric material and/or enlarging discharge length positively affected both CO₂
 463 decomposition and energy efficiency. From the regression models, we can find that the feed flow rate and
 464 discharge power are the key for CO₂ conversion; while the discharge power significantly affects the
 465 process efficiency for CO₂ conversion. In addition, the use of the Al foil outer electrode and SS screw-
 466 type inner electrode significantly enhanced the conversion of CO₂ and energy efficiency compared to
 467 other electrode forms. The highest CO₂ conversion (27.2%) was achieved at a SEI of 120 kJ/L, while the
 468 maximum process energy efficiency (10.4%) was obtained at a SEI of 24 kJ/L. The use of the SS screw-
 469 type inner electrode could initiate enhanced local electric field near sharp edge of the electrode, while the
 470 use of Al foil as an outer electrode could enlarge the effectiveness of discharge area compared to a mesh
 471 electrode. Both effects contributed to the enhanced process performance for CO₂ conversion and
 472 utilization.

473

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477

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