



High-throughput, low-loss, low-cost, and label-free cell separation using electrophysiology-activated cell enrichment

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Currently, cell separation occurs almost exclusively by density gradient methods and by fluorescence- and magnetic-activated cell sorting (FACS/MACS). These variously suffer from lack of specificity, high cell loss, use of labels, and high capital/operating cost. We present a dielectrophoresis (DEP)-based cell-separation method, using 3D electrodes on a low-cost disposable chip; one cell type is allowed to pass through the chip whereas the other is retained and subsequently recovered. The method advances usability and throughput of DEP separation by orders of magnitude in throughput, efficiency, purity, recovery (cells arriving in the correct output fraction), cell losses (those which are unaccounted for at the end of the separation), and cost. The system was evaluated using three example separations: live and dead yeast; human cancer cells/red blood cells; and rodent fibroblasts/red blood cells. A single-pass protocol can enrich cells with cell recovery of up to 91.3% at over 300,000 cells per second with >3% cell loss. A two-pass protocol can process 300,000,000 cells in under 30 min, with cell recovery of up to 96.4% and cell losses below 5%, an effective processing rate >160,000 cells per second. A three-step protocol is shown to be effective for removal of 99.1% of RBCs spiked with 1% cancer cells while maintaining a processing rate of ~170,000 cells per second. Furthermore, the self-contained and low-cost nature of the separator device means that it has potential application in low-contamination applications such as cell therapies, where good manufacturing practice compatibility is of paramount importance.

dielectrophoresis | DEP | lab on a chip | FACS | MACS

Since the separation of red and white blood cells was first reported in 1974 (1), the technology has become fundamental in the biomedical sciences. From isolation of diseased tissue to the identification of cells for therapeutic uses and the potential for regenerative cell-based therapies, cell-separation techniques are increasingly integrated into other fields such as biochemistry, electrical engineering, physics, and materials science (2). Three methods dominate cell separation. Density gradient methods exploit differences in density between populations such as red/white blood cells. Fluorescently activated cell sorting (FACS) uses fluorophore-conjugated antibodies as a discriminator; cells are launched in droplets, each containing one cell, through a fluorescence detection system to determine the cell type and are then electrostatically diverted into different output receptacles (3). FACS can sort up to 50,000 cells per second, with higher rates achievable at the cost of purity. Finally, magnetically activated cell sorting (MACS) uses magnetic microbeads conjugated with antibodies. These bind to targets on cell surfaces, which then can be extracted by applying a magnetic field (4).

However, the three methods have drawbacks. Only density gradient does not require the use of chemical labels; the others use fluorescent chemicals or antibodies to indicate the target population. These are expensive and may have limited specificity; in the case of MACS, the target protein must be present on

the surface of the cells. Following separation, the labels may also persist in the cells, limiting their usefulness. Cell losses in FACS and MACS can exceed half the population, particularly at high sorting rates (5, 6). FACS machines (particularly high-throughput devices) are very expensive and are not easily cleaned, rendering cell populations separated by this method noncompliant with good manufacturing practice (GMP). Finally, as the cell preparation includes a period during which labels are added and then given sufficient time to conjugate, the total time to perform the sort can extend over several hours.

There have been many attempts to develop cell-separation techniques that are affordable to buy and use, do not require labels, and are able to retain significant numbers of cells. An alternative separation technique is dielectrophoresis (DEP). A polarizable particle suspended in a nonuniform electric field (7) interacts with the field gradient, inducing a dipole. The interaction of dipole and field gradient produces different Coulomb forces on either pole, inducing motion up or down the high field gradient according to the polarity of the dipole. The magnitude and polarity of the dipole itself are governed by the electrical properties of the cell (resistance and capacitance of membrane and cytoplasm) and medium, and the frequency of the electric

Significance

Cell separation is a fundamental process in biomedicine, but is presently complicated, cumbersome, and expensive. We present a technique that can sort cells at a rate equivalent to or faster than gold-standard techniques such as fluorescence- and magnetic-activated cell sorting, but can do it label-free and with very low cell loss. The system uses dielectrophoresis to sort cells electrostatically, using an electrode chip that eschews microfabrication in favor of a laminate drilled with 397 electrode-bearing wells. This high level of parallelization makes the system immune to the bubbles that limit labs-on-chip, while also increasing capacity and throughput to levels comparable with high-throughput FACS and MACS, whereas the chip is cheap enough to be disposable, preventing interseparation contamination.

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Conflict of interest statement: The authors declare a conflict of interest. K.F.H. and M.P.H. are named on a patent (US Patent 8864973, granted 2014) describing the use of 3D multilayer laminate electrodes to construct DEP electrodes. This technology was used to construct the electrode chips used in this project.

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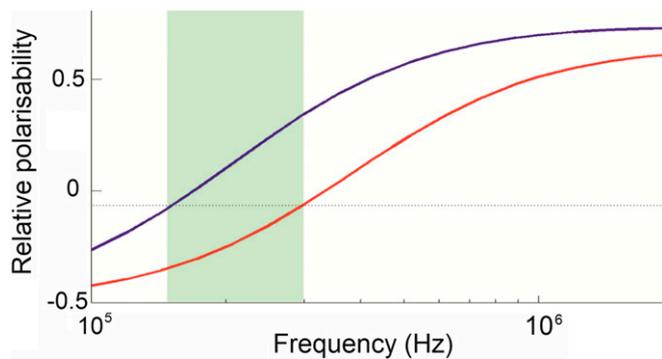


Fig. 1. DEP spectra of two arbitrary cell types, whose properties are identical save for one (blue line) having a membrane capacitance twice that of the other (red line). In the frequency band (highlighted), the polarizabilities (and hence direction of force) of the two cells is different, enabling the cells to be separated.

field. DEP can be used both to characterize and separate cells according to the passive electrical properties, where two cell types experience a field gradient at a frequency such that one cell type is attracted to the electrodes, one repelled. The repelled cells pass through the device unaffected, but the others are attracted to, and retained by, the electrodes acting as an “electrostatic filter.” When the field is removed, these cells are released and can be collected separately. For example, Fig. 1 shows spectra of two identical cell types, save that one has double the membrane capacitance of the other. The highlighted frequency range indicates where one cell type experiences positive DEP, the other negative. DEP spectra can be determined by commercial devices such as the DEPTech 3DEP, and exploitable differences can be readily identified by visual inspection.

DEP separation of live and dead yeast cells was first demonstrated in 1966 (8). Subsequent research demonstrated separation of cancerous and healthy cells, Gram-negative and Gram-positive bacteria, stem-cell subpopulations, and different types of viruses for sample preparation, whereas differences between cells indicated the potential for DEP to fractionate leukocyte subpopulations (9–20). However, few approaches to DEP have been able to compete with MACS or FACS: (i) implementations often suffer from cells being trapped in interconnecting tubing, devices requiring small chamber heights to ensure cells pass close to the electrodes, restricting throughput and ultimately limiting total cell capacity; and (ii) the high cost of manufacturing, making it difficult to mass-produce the separator as a reliable, disposable component. There are exceptions; the ApoStream method (21) can

isolate significant numbers of circulating tumor cells from 12 million nucleated peripheral blood cells in 60 min (5,000 cells per second). Hu et al. (22) reported separating 10,000 cells per second, but required the use of chemical labeling. Lee et al. (23) reported a rate of 616 cells per second. Markx et al. (24) processed live and dead yeast cells at 10^7 cells per milliliter with purity in excess of 93%; however, the total capacity of the device was only 50 μL , limiting overall throughput. An alternative approach to DEP separation used 3D chips constructed from interleaved conducting and insulating sheets with holes or “wells” drilled through (25). The first prototype sorted 50:50 live and dead yeast to 86:14 at 0.4 mL min^{-1} . A subsequent design (26) included remixing by passing through multiple wells, producing high cell recovery (>90) but low throughput ($25 \mu\text{L min}^{-1}$).

To be comparable to FACS or MACS requires cell sorting at rates in excess of 10^5 s^{-1} with minimal cell loss, high purity, low cost, and highly robust. Here we describe an electrophysiology-activated cell enrichment (EPACE) method using a chip with 397 holes of 400- μm -diameter drilled through a laminate of 12 conducting layers separated by insulators, through which 397 wells of 400- μm -diameter were drilled. As shown in Fig. 2, this creates 397 parallel paths through the chip, each with 12 electrodes along the bore. This high degree of parallelization, and the fact that all cells are no more than 200 μm from the electrodes, allows very high cell-processing rates while minimizing cell loss, and creates immunity from bubbles (which will, at worst, block only one channel). Consequently, the system can separate cells at rates substantially in excess of $320,000 \text{ cells s}^{-1}$ measured across all cell types studied, separating populations in excess of 10^8 cells into output receptacles in under 30 min with cell losses as low as 0.3%, and separation efficiencies as high as 96.4%, achieving more than 30 \times higher throughput of previous DEP devices.

Results

Cell Enrichment Using a Single-Pass Protocol. To assess separation efficacy, binary mixtures of cells were processed through the device in 5–15-mL units, processed at between 15,000 and 33,000 cells per second, the results of which are summarized in Fig. 3. Live and dead yeast showed mean recovery (the proportion of cells output in the correct outlet) of 81.3% and 89.5%, respectively; vole blood and fibroblasts showed separation efficiencies of 87.3% and 73.9%, respectively. Purities (the proportion of required cells in the output population) at the output for the above populations were 91.3% and 78.2% for live and dead yeast, and 75.6% and 86.4% for vole RBCs and fibroblasts. Whereas these values are low when considering the technique as a separation per se, they represent sufficiently high values to constitute effective cell enrichment. It is also significant that for the above

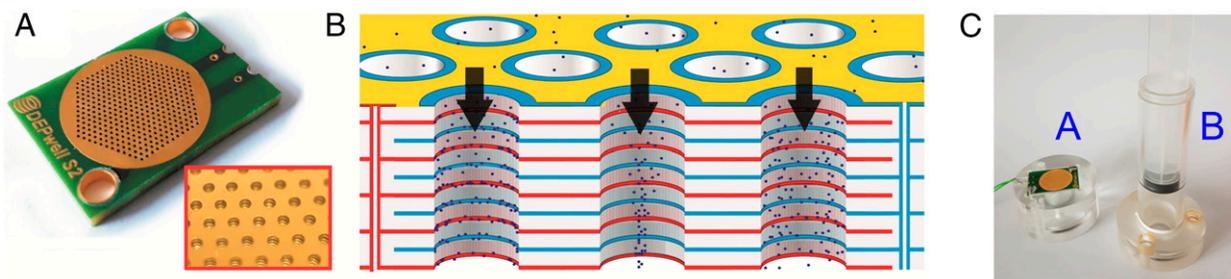


Fig. 2. (A) Photograph of the DEP separation chip. The chip size is 30 mm \times 20 mm. (Inset) Red section shows a close-up of the chip, showing the electrodes along the inside of the wells. The section of the chip in the image is $\sim 5 \text{ mm}$ square. (B) Schematic of the chip, showing the three modes of DEP behavior. Cells flow from top to bottom through the wells; in the left well, cells can be seen experiencing positive DEP, are attracted to the electrodes, and held; in the center well, cells experience negative DEP, are repelled into the center of the well, and pass through. In the third well, cells experience no DEP force. In reality, cells of the same type will experience the same mode of behavior in all wells on the chip, but two different cell populations can exhibit behaviors different from each other. If one subpopulation experiences positive DEP and the others exhibit negative or neutral behavior, they can be separated. (C) The chip is loaded into a fluidic cartridge comprising two parts; an upper part B contains both housing and plunger, whereas a lower part A collects the cell solution. The chip fits between the two, sealed on both sides by O rings, and is clamped together by three Allen bolts.

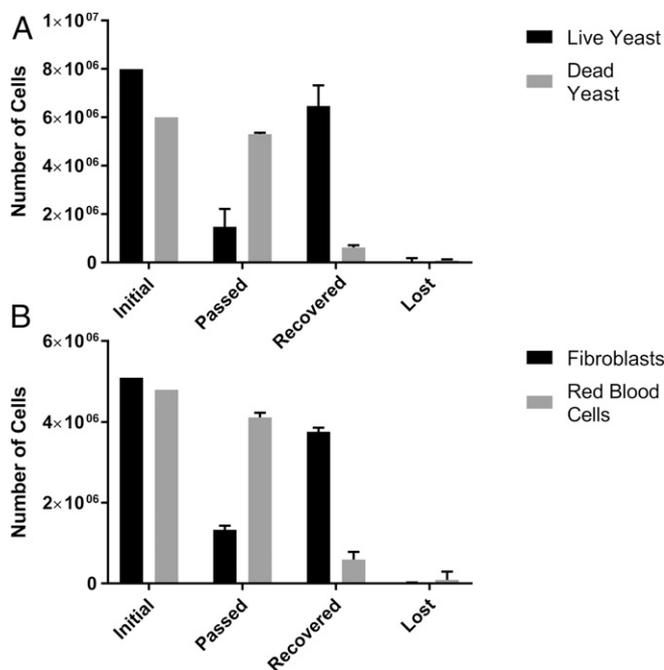


Fig. 3. Average ($n = 3$) results of the enrichment of mixtures of (A) live and dead yeast and (B) RBCs and fibroblasts, showing the total cell number in each case. "Initial" refers to the numbers at the start of separation, "passed" represents the cells collected during the separation, "recovered" represents those cells collected by positive DEP and subsequently removed, and "lost" is those unaccounted for. Cells were processed through the device at ~ 1 million cells in 1 mL/min.

population sorts, the mean cell losses (cells which were input but are unaccounted for at the output, due to damage, adherence to the electrodes or device casing, or being left in residual liquid in the device at the end of the experiment) were 1.4%, 2.7%, 4%, and 0.3%, respectively. These low cell losses are important for two reasons; first, multiple passes can be used to improve performance without significantly degrading cell numbers; second, unlike methods such as FACS, where a significant number of cells being separated are destroyed by the separation process (6), this protocol does not reduce cell number—an important issue where initial cell numbers are low. Experiments at 0.5, 0.8, and 1.0 mL min^{-1} yielded similar results, suggesting these metrics represented a plateau of trapping efficiency, and that the device was working well within its performance limit.

To assess the viability of cells postsort, collected fibroblasts were suspended in fibroblast-growing medium, divided into two T75 flasks, and placed in the incubator to assess growth potential. The cells were observed 1 and 3 d after incubation and were found to have adhered to the flask and reached confluency, respectively. A DEP spectrum of the cells was obtained and a further passage performed to check for abnormalities; none was observed.

To identify the upper-throughput limit, live yeast cells were captured in the device in increasing concentrations, as it is the fraction of cells experiencing positive DEP that is limited by the capacity and efficacy of the DEP electrodes (the other, repelled, population simply passing through the device unaffected). Retaining the 1-mL min^{-1} flow rate, the concentration of cells per milliliter was increased from 10^6 mL^{-1} to $\sim 2 \times 10^6$, 5×10^6 , 10^7 , 2×10^7 , and 10^8 cells per milliliter. Chip saturation was monitored in two ways: first, we measured the total number of cells retained in the chip and subsequently recovered. Second, cells were aliquoted in 1-mL fractions and analyzed to determine the cell ratio to observe trap saturation. Saturation can then be detected by the appearance of a higher proportion of live cells in the output

stream. Any saturation effect would only affect cells collected by positive DEP on the electrodes; cells experiencing zero or negative DEP pass through the chip unimpeded and are collected in the receptacle below, and as a consequence there is no saturation limit on such cells.

At concentrations up to $2.7 \times 10^7 \text{ mL}^{-1}$ the device worked as for $1 \times 10^6 \text{ mL}^{-1}$. When concentrations of $2.7 \times 10^7 \text{ mL}^{-1}$ were used, the aliquots were normal and the mean cell recovery was 279 ± 12.2 million cells. When the input concentration was $5.9 \times 10^7 \text{ mL}^{-1}$, the number of cells increased dramatically (by over 300%) at the sixth aliquot, and the mean total of recovered cells was 321 ± 9.0 million cells; when $1.07 \times 10^8 \text{ mL}^{-1}$ were used, the device became saturated after the third aliquot (rising by 38% in aliquot 4, rising to >100% in aliquot 7 and later), and recovery indicated that the trap contained $359 \pm 13.3 \times 10^6$ cells. After the separation run was completed, the cells collected in the chip were flushed and counted. In the three instances where the chip was saturated, the number of cells retained before the chip was saturated, with upper limits of $\sim 350 \times 10^6$ cells representing the capacity of the chip.

The difference in capacity between 59 million and 109 million cells per milliliter is interesting, as it suggests the trap capacity may be increased at high concentrations due to cell–cell interactions; however, it appears clear that a 300×10^6 cell sort (20 million cells per milliliter, 15 mL total volume) represents the maximum effective cell separation where the cell content is entirely unknown, although this represents the limit only on the positive DEP fraction; a sort of 300 million cells experiencing positive DEP from a further 300×10^6 (or more) experiencing negative DEP would in principle be entirely workable, raising the throughput past 0.6×10^9 cells per milliliter.

High-Volume Separation Performance Using Multipass Protocols.

Whereas enrichment on this scale is of interest, a second or third pass through the device enables the user to collect cells that were not retained in previous passes, significantly improving performance in a very short time. There are two strategies for multiple sorting passes: re-sorting the effluxed and retained cells, respectively. Here we examine these two protocols separately: a two-pass strategy reprocessing the effluxed cells to minimize cell loss while maximizing enrichment in the shortest possible time, and a three-pass strategy where the collected cells are reprocessed.

Enhancing the negative DEP population. We sorted mixtures of $9.6\text{--}14 \times 10^6$ cells (similar to the cell number in a confluent T175 flask) at concentrations of $1\text{--}2 \times 10^6$ cells per milliliter and passed through the EPACE twice. After each run, the chip was flushed with fresh medium to recover cells retained by positive DEP before the output was reprocessed. Negative DEP was used to select the dead cells from mixtures of live and dead yeast cells, or RBCs from mixtures of RBCs and fibroblasts, the results of which can be seen in Fig. 4. After the second pass the purity of dead yeast was increased to 93.4% while the RBC fraction increased to 93.8%. However, recovery was reduced, falling to 81% and 73.7%, respectively, although these are still substantially above recovery rates for FACS and MACS. Overall cell losses (cells not appearing in either outlet) were 2.7% and 4%, with the remaining cells appearing in the other (waste) output. We postulated that the values of separation efficiency for the populations experiencing negative DEP were adversely affected by cells being trapped in the dead volume between the chip and outlet, which were then recovered with the retained cells rather than passing to the effluxed portion. To verify this, we performed a separation of 20 mL of RBC/fibroblast cells at the same concentration. After the second pass, the recovery of RBCs was broadly similar at 87.8%, but the purity rose to 96.6%.

Enhancing the positive DEP population. An important application of cell separation is the enrichment of relatively rare subpopulations. To use the EPACE platform to enrich highly asymmetrical cell concentrations a three-pass strategy was used, with the cell fraction retained in the electrodes being retrieved and then subject to two further iterations (total time taken ~ 30 min). The

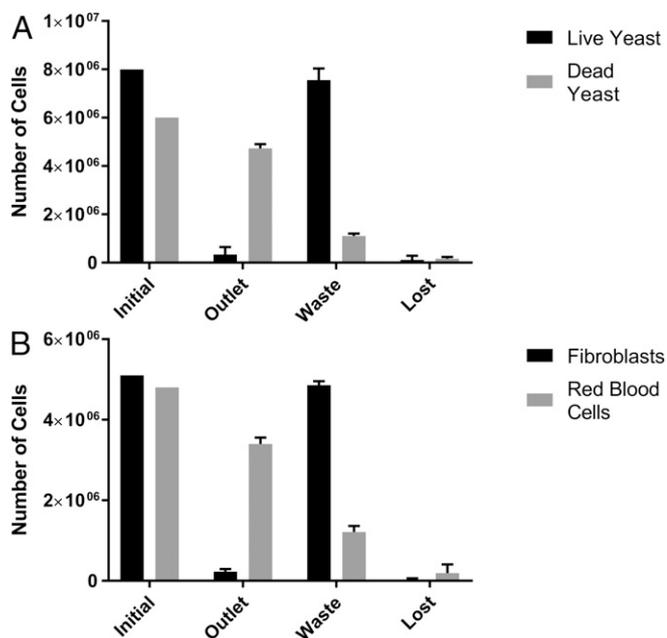


Fig. 4. Average ($n = 3$) results of a second stage of separation of mixtures of (A) live and dead yeast and (B) RBCs and fibroblasts, showing the total cell number in each case. For the second step, the cell population experiencing negative DEP was reprocessed. "Initial" refers to the numbers at the start of separation, "outlet" represents the cells collected during the separation, "waste" represents those cells collected by positive DEP and subsequently removed, and "lost" is those unaccounted for. Cells were processed through the device at 1 mL min^{-1} ; with an initial cell concentration of ~ 1 million cells per milliliter.

cells collected were counted after each pass, and the ratio of cell types was determined. These results are summarized in Fig. 5. Human RBCs were spiked with MDA-MB-231 breast cancer cells, to a final concentration of 1.1% cancer to 98.9% RBCs, at a total cell concentration of $\sim 2 \times 10^7 \text{ mL}^{-1}$, to a total sample volume of 4 mL. Cells were passed through the device at 0.5 mL min^{-1} . After the cell mixture had completed its first pass through the device, the fraction retained in the device was released in 1 mL of fresh medium, which was topped up to 3 mL with fresh medium after cell counting. Sampling indicated that the concentration of RBCs had dropped to 95.5% of the output. The 3 mL of solution was then subject to a second pass and resuspension, at which point RBCs had dropped to 75.6%, and after the third pass, RBCs made up 59.5% of the cell sample, with cancer cells forming the remaining 40.5% of the cells, an effective removal rate of the RBCs of 99.1%. At the end of the process, on average 47.7% of the initial population of cancer cells were recovered. However, those that had not been collected in the initial sort would be available for resorting in the waste from the previous passes, which could be used to significantly increase cell recovery if required, by adding an additional pass on the eluted cells.

Discussion

Analysis of Performance. Whereas purity of sample at output is the final arbiter of the quality of a separation/enrichment method, it is difficult to use as a guide as it depends on the concentration of the two cell types at the input. Instead, we can look at the concentration of both the desired and undesired cells. Analyzing the two-pass protocol to enrich live and dead yeast and RBC/fibroblast cells by negative DEP, the population experiencing negative DEP has recovery of $\sim 90\%$ for the desired cells and 20% for the undesired fraction for each round of enrichment. After two passes, these are approximately squared, such that $\sim 0.81 \times$ the desired and 0.04 undesired cells are present at the output,

leading to a purity of $0.81/(0.81 + 0.04) = 95.3\%$, in line with experimental results. Similarly, for enrichment of cells experiencing positive DEP, across the three rounds of separation the same process was observed, with an average of $\sim 80\%$ of MDA cells and 20% of RBCs appearing at the output for each round of enrichment. Over successive passes, this predicts RBC proportions of 96%, 86%, and 60%, in line with observations. The cell losses are sufficiently low for them to be disregarded in the calculation.

Effect of Design on Optimum Performance. From our results, it is possible to identify ways to optimize the cartridge design and increase separator performance by improving the values of cell recovery for the wanted and unwanted cells. Notably, whereas the peak values of recovery and purity are high, it is the passed cells that have the highest purity, whereas retained cells always have the highest recovery. As configured, the device contains two "dead volumes" of sample that cannot be recovered at the end of the experiment. One occurs due to the use of a conical syringe plunger; at maximum insertion the tip of the cone touches the chip and 0.56 mL of unseparated cells remain surrounding the plunger tip. Similarly, $\sim 0.54 \text{ mL}$ of postseparation cells remain in the space between the chip and outlet. Consider a mixture of two populations A and B, where population A experiences negative DEP and passes through the chip while population B is retained by positive DEP; the mixture is contained in a 10-mL sample. After the solution is passed through, 1.1 mL (11%) of cells remain in the dead volume, limiting maximum recovery of A to 89%. However, purity is unaffected because only the cells which have been passed through by the chip are collected. When the

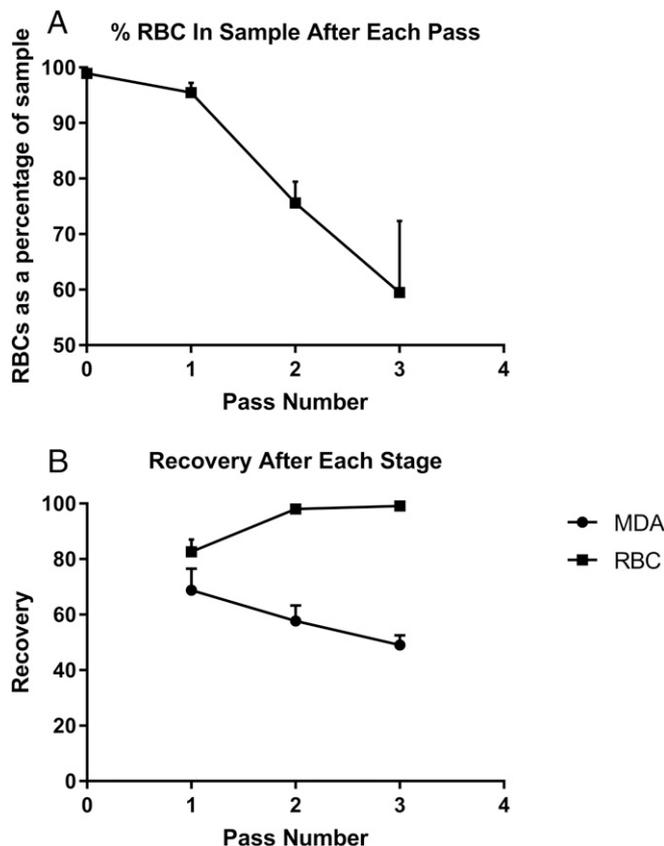


Fig. 5. Enrichment of MDA cancer cells from RBCs, at an initial ratio of 1:99, average ($n = 3$) results using a three-pass protocol. (A) The percentage of RBC cells in the sample, per run. (B) The mean ($n = 3$) overall recovery of the two cell types after each stage; the recovery of RBCs reaches 99.1%, suggesting that the cancer cells have been significantly enriched.

separations in cancer cells have been reported for some decades (13), both for diagnosis and development of interventions. Many other cases of differential DEP response have been identified in the past 50 y, and all past demonstrations can be performed using this platform (30). Once differential electrophysiology is established by DEP profiling, it can be exploited through the use of ion channel blockers to emphasize difference and enhance DEP separation (31).

In conclusion, we have presented a DEP-based cell-separation technique that has a capacity and throughput comparable to the fastest MACS and FACS machines, requires no chemical labels, offers GMP compatibility, significantly lower cell loss, and significantly lower capital and running costs. Given the opportunity to exploit differences in cell electrophysiology in fields such as stem-cell therapy and cancer, we believe this offers significant promise as a new standard benchtop laboratory technique.

Materials and Methods

Experiments followed the steps outlined in Fig. 6. The cartridge and chip were cleaned with ethanol before assembly. The chip manufacture and cell mixtures are described in [Supporting Information](#). A sample containing a mixture of the two cell types A and B was loaded into the fluidic cartridge by inserting the tube into the solution, then manually withdrawing the plunger to load the reservoir. This was then placed in a vertically mounted syringe pump (Razel, maximum output of 1 mL min⁻¹), and the solution was pumped through the chip while voltage was applied to the electrodes using a signal generator (Jupiter 2000, Blackstar), connected to the chip via a custom-made amplifier board to supply up to 18 peak-to-peak voltage at frequencies up to 1 MHz. The output (enriched for population A) was collected in a second receptacle. Then fresh solution was loaded into the cartridge, the field was deactivated, and the chip was flushed manually with fresh medium to dislodge and recover the cells from population B that had

been collected by positive DEP. When using a second or third pass, it was possible to enrich either cell-A or -B samples by repeating the procedure with the enriched samples. The total time for the two-pass procedure was under 30 min for yeast, and under 15 min for RBC/fibroblasts. Cells were exposed to the electric field for an average of ~5 min for the positive DEP fraction, or under 10 s for the negative DEP fraction. All experiments were repeated three times. For the three-pass experiments to enrich rare cells, at the end of the second and third passes the cells were recovered by switching the field off, manually pulling the plunger up slightly, aspirating air into the fluidic cartridge, and expelling the retained cells in the small sample volume that is still within the chip. Separations were evaluated for cell recovery (the proportion of desired cells which arrive at the correct outlet), purity (the proportion of desired cells in a given outlet), and cell loss (the number of cells which are unaccounted for at the end of the separation). The number of missing cells was obtained by subtracting the "passed" and "recovered" populations from the initial number of cells.

DEP spectra of individual cell types were measured using a DEPtech 3DEP and a separation frequency midway between the cross-over frequencies (the frequency on the DEP spectrum where the response crosses zero) for the two cell types to be separated; for the live/dead yeast mixture, 1 MHz was selected; for the fibroblast/RBC mixture, 22 kHz was selected; and for the MDA/RBC mixture, 76 kHz was used. Cells in output mixtures were either identified by the use of Trypan blue (live and dead yeast) or by visual inspection of the morphologically quite different RBCs and fibroblasts/MDAs. Work on human and mammalian cells was approved by the University of Surrey Ethics Committee; further details are available in the [Supporting Information](#). Human participants in the study were screened for relevant self-reported health issues. All participants provided written, informed consent after having received explanation of the various study procedures.

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