

1 Article

2 **Reel-to-reel atmospheric pressure DBD plasma treatment of**
3 **polypropylene films**

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18 **Abstract**

19 Atmospheric pressure plasma treatment of the surface of a polypropylene film can significantly
20 increase its surface energy and, thereby, improve the printability of the film. A laboratory-scale DBD
21 (dielectric barrier discharge) system has therefore been developed, which simulates the electrode
22 configuration and reel-to-reel web transport mechanism used in a typical industrial-scale system. By
23 treating the polypropylene in a nitrogen discharge, we have shown that the water contact angle could
24 be reduced by as much as 40°, compared to the untreated film, corresponding to an increase in surface
25 energy of 14 mNm⁻¹. Ink pull-off tests showed that the DBD plasma treatment resulted in excellent
26 adhesion of solvent-based inks to the polypropylene film.
27

28 **1 Introduction**

29 Polymeric web materials are ubiquitous in today's society, with demand set to increase in areas as
30 diverse as plastic electronics and biodegradable or compostable packaging. Key to determining the
31 properties and performance of a polymer are its surface functionalities, such as barrier properties (i.e.
32 permeability to water and oxygen), printability, coefficient of friction, hydrophobic or hydrophilic
33 properties and optical properties. Surface functionalities can be modified through vacuum processing,
34 i.e. film deposition or surface modification. This, though, imposes both handling and vacuum
35 equipment costs for high throughput applications. Thus, in many cases, the preferred solution would
36 be to perform the processing at atmospheric pressure. Atmospheric pressure plasma technology can
37 offer major industrial, economic and environmental advantages over conventional processing
38 methods. Application areas include functionalizing thermally sensitive materials, deposition of thin
39 films (e.g. SiO₂), surface decontamination and sterilization of medical devices [1]. Surface modification
40 of polyolefins, such as polypropylene, is a particularly important commercial process, because their
41 adhesion and wettability to hydrophilic substances is very low. This causes problems such as low

42 printability, delamination and poor bonding to coatings and adhesives. Up to 70% of polyolefins
43 produced require a surface modification technique before they can be processed further [2].

44

45 Numerous designs/technologies exist for atmospheric pressure plasma surface modification of
46 polyolefins, including plasma jets [3], corona treatments [4], atmospheric pressure glow discharges [5]
47 and dielectric barrier discharges (DBD) [6]. It is now generally accepted that these treatments result in
48 an increase in surface energy by introducing polar groups on the surface, thus improving their
49 adhesion and wetting properties [4]. However, the combination of treatment uniformity, scaleability,
50 throughput and reproducibility make DBDs the process of choice in many large-scale commercial
51 applications.

52

53 The DBD is an established technology used in many applications, such as exhaust gas purification [7],
54 ozone production [8], medical sterilisation [9,10], plasma display panels [11], plasma actuators as flow
55 controllers [12], water purification [13-15] and deposition of thin films (plasma enhanced chemical vapour
56 deposition) [16-18].

57

58 DBD systems consist of a pair of parallel plate electrodes that are held a few mms apart, but can be
59 several metres in length. Thus, they are also ideally suited to the treatment of polymeric web [6,19,20].
60 In an industrial DBD system for web treatment, the upper electrode is a cooled roller or drum, which
61 transports the film through the plasma. A flexible dielectric, such as silicon rubber, covers the roller
62 and the polymer film is in contact with the dielectric as it passes around the drum and travels through
63 the process zone formed between the two electrodes. The width and the diameter of the roller are
64 typically in the order of a few metres. The speed of the film during the treatment ranges up to a few
65 hundred meters per minute. The necessary power to sustain the discharge reaches tens of kilowatts.
66 The lower electrode is in the shape an arc, which matches the radius of curvature of the drum in order
67 that the two electrodes are coaxial and parallel to each other. In addition, the lower electrode is
68 corrugated perpendicular to the machine direction. As a consequence, the plasma is only ignited
69 where the distance between the two electrodes is minimal and is, thus, highly concentrated on the
70 top of the corrugated electrode. A typical arrangement of an industrial DBD system for polymer film
71 treatment is illustrated in Figure 1. The aim here was to replicate the industrial system by developing
72 a laboratory-scale DBD system with a reel-to-reel transport mechanism for the web and an electrode
73 configuration consisting of one flat electrode covered with a dielectric and one electrode with a
74 corrugated or sawtooth profile. This approach, published here for the first time, is designed to offer
75 valuable insights into the surface treatment of polypropylene in an economic and rapid manner.

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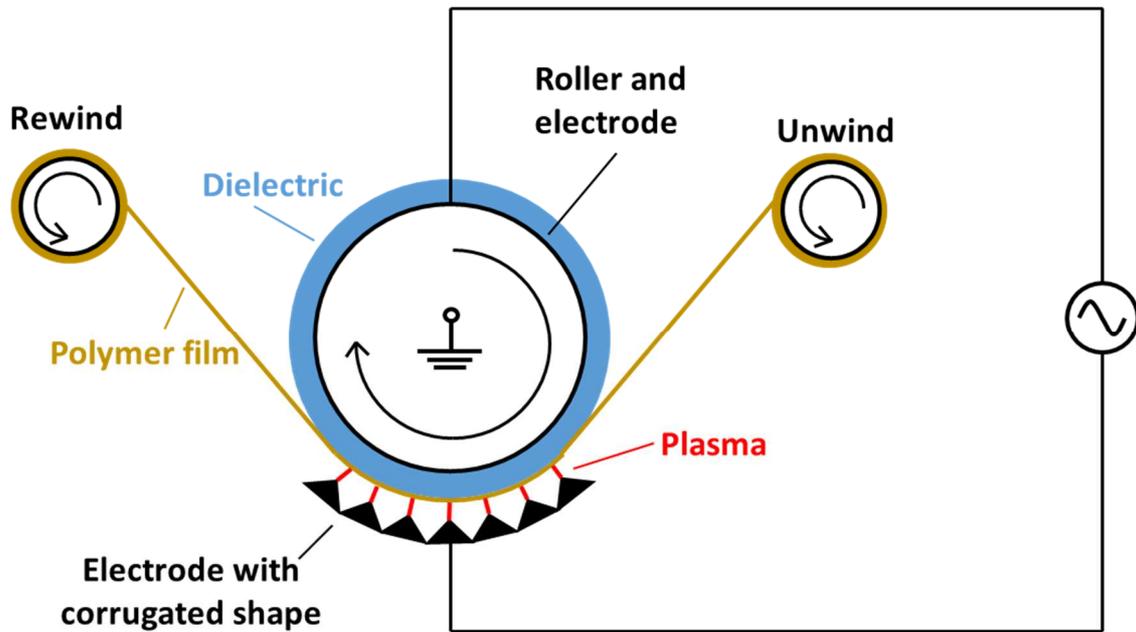


Figure 1: Schematic of industrial DBD configuration for the surface treatment of polymer webs

DBDs at atmospheric pressure are classified into inhomogeneous filamentary discharges^[21] and homogeneous or diffuse forms^[22]. The type of discharge is dependent on the operating parameters such as the amplitude, frequency and waveform of the voltage applied, the capacitance and surface properties of the dielectric material and of the uncovered electrode, the gap distance between the electrodes and the composition of the gas in the gap. The discharge regimes are established through different breakdown mechanisms. The filamentary discharge is the most common form for industrial surface treatment of polymer webs at atmospheric pressure. The ignition of this discharge does not need special requirements and is thus easily established. If the applied AC voltage exceeds the breakdown voltage, the discharge occurs in the form of several thin single filaments, also called micro-discharges or streamers, in the gas gap between the two electrodes. The filaments are spatially and temporally separated from each other^[6,23].

Biaxially oriented polypropylene (BOPP) films are most widely used in packaging applications throughout the world. Worldwide demand for BOPP films is estimated at almost 2.5 million tonnes, with Europe accounting for 33% of the global demand. Today, BOPP is the film of choice for packaging snack foods as well as non-food items such as computer diskettes, CD's etc. However, untreated BOPP has a low surface energy of about 30 mNm^{-1} . In order to maximise the adhesion between the BOPP and subsequent coating or lamination layers, the surface energy of the BOPP must be increased to a minimum of 42 mNm^{-1} ^[2,24]. The surface energy is also important for the wettability of the BOPP by printing inks. To achieve good printability, the BOPP needs a surface energy of at least 37 mNm^{-1} ^[25]. The BOPP film utilised for this project (C50 grade, provided by Innovia Films Ltd.) has a three-layer structure with two polyolefin heat sealable skin layers and a clear BOPP core layer. The heat sealable skin layers are made of a propylene-ethylene copolymer and have a thickness of $3 \mu\text{m}$, giving a total thickness of $50 \mu\text{m}$. One of the skin layers is corona treated, the other one is untreated. In all of the experiments described here, it was the previously untreated side that was plasma treated with the DBD and investigated. The objective being to treat the BOPP film in nitrogen

106 and nitrogen containing other admixtures at atmospheric pressure with the aim of increasing the
107 surface energy of the film.

108

109 **2 Experimental Section**

110

111 **2.1 Design of process chamber**

112 Although the experiments in this project were performed at atmospheric pressure, the DBD was
113 housed inside a chamber that could be evacuated to a pressure of 1300 Pa with a rotary vacuum pump
114 and then backfilled to atmospheric pressure (measured with a differential manometer) with the
115 desired process gas or gas mixture. This arrangement ensured that the atmosphere in the chamber
116 could be controlled during operation and prevented any contact with live components. The process
117 chamber included a hollow glass cylinder of 120 mm in diameter and 150 mm in length, with cover
118 plates at either end. One cover plate contained only a window, whereas the other plate included a
119 pumping port, two electrical feedthroughs for the high voltage cables to supply the electrode
120 configuration and ports for process gas feeds. The latter were connected to gas bars with regular holes
121 along their length to ensure uniform distribution of gas inside the reactor. The gas bars ran the
122 length of the chamber and were used to clamp the cover plates against the cylinder to form a chamber.
123 They also provided a mounting bracket for the electrode configuration.

124

125 **2.2 Design of the DBD reactor**

126 The DBD electrode configuration with the reel-to-reel system was designed to be placed on the
127 bracket formed by the gas bars inside the process chamber. Both upper and lower electrodes were
128 held parallel to each other and at a fixed separation by four bolts and a specific number of fibre
129 washers. The washers had a thickness of 0.5 mm, meaning that the gap between them could be
130 changed in increments of 0.5 mm by adding the required amount of washers.

131

132 The upper electrode in this configuration was made of a flat piece of stainless steel of dimensions 34
133 mm × 26 mm, which was fitted into a recess in a nylon holder, such that the surface of the electrode
134 was flush with the surface of the holder. The corners of the electrode were rounded with radii of 5
135 mm and the upper edge with a radius of 1.5 mm to avoid increased electrical fields through edge
136 effects. Sheets of a dielectric material can be clamped between the washers and the electrode. In this
137 case, alumina sheets were used as the dielectric, with each sheet having a thickness of 0.63 mm. The
138 nylon holder had a cut out, through which a high voltage cable was connected to the rear surface of
139 the electrode.

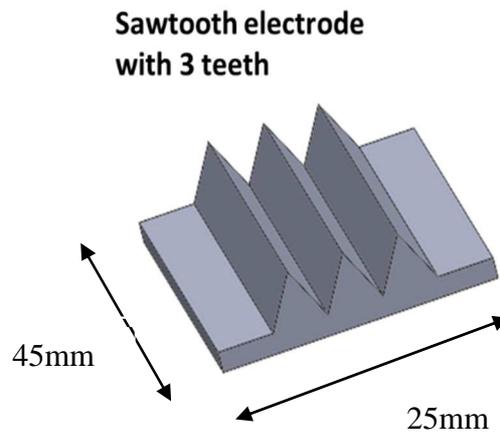
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141 The counter electrode had a sawtooth profile with three teeth and was manufactured from aluminium
142 (shown schematically in Figure 2). The sawtooth electrode is directly fixed onto the base plate of the
143 reel-to-reel mechanism. The corrugated shape of the sawtooth electrodes concentrates the streamers
144 of the filamentary discharge at the top of the teeth. Thus it is possible to ignite the streamers very
145 close to each other and spatially align them, relative to the web.

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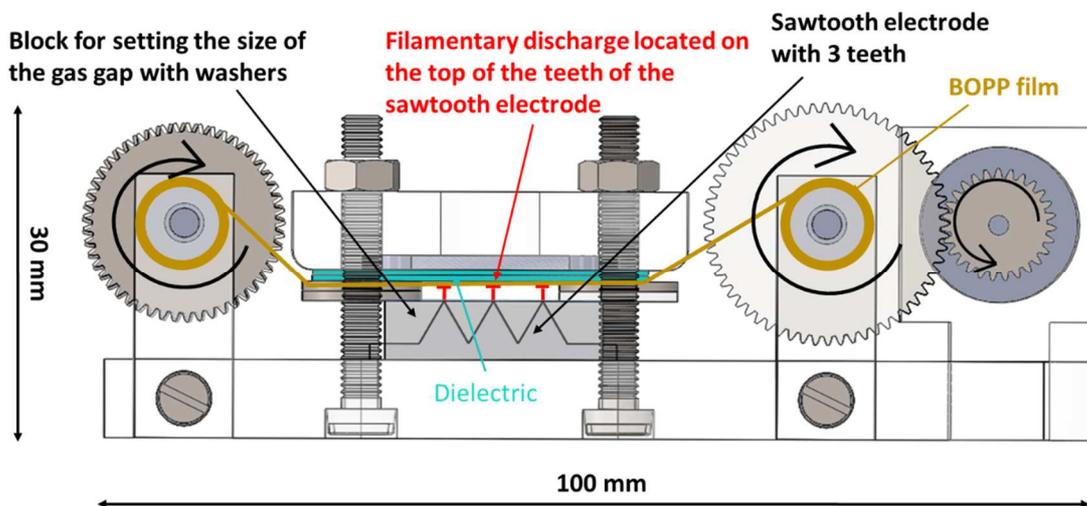
147 The reel-to-reel web transport system consisted of two reels (unwind and re-wind) mounted on a
148 base plate with two brackets, such that as the re-wind reel is driven by a DC motor, the polymeric

149 web is drawn through the gas gap between the two electrodes at a speed of 0.37 ms^{-1} . The system was
 150 designed to ensure that the web was held in contact with the dielectric covering the upper electrode.
 151 The DC motor and gears used did not allow a wide range of web transport speeds to be investigated,
 152 thus this parameter was not varied in these experiments. The DBD reactor is shown schematically in
 153 Figure 3.



167 Figure 2: Sawtooth electrode design for DBD system.

168



169 Figure 3: Schematic of reel-to-reel DBD system with sawtooth electrode configuration (the baseplate
 170 is 100mm long x 50mm wide)

173 2.3 Power supply unit

174 The high voltage power supply for the DBD reactor consisted of a function generator (TG 2000 20
 175 MHz DDS, Aim-TTi), a DC power supply (GPR-11H30D, GW Instek), an amplifier and a transformer
 176 (AD6170, Amethyst-designs). The maximum settings of the DC power supply are 110 V and 3 A. The
 177 amplifier processes the signals from the function generator and the DC power supply and gives an
 178 AC signal to the transformer, which transforms the low voltage signal to a high voltage sinusoidal

179 signal, which is then applied to the electrode configuration. A current probe (Pearson current monitor
 180 model 4100, Pearson Electronics) and a voltage probe (PVM-6, 1000:1, North Star High Voltage) were
 181 used to measure the applied voltage and the discharge current on the high voltage side of the
 182 electrical circuit via an oscilloscope (Tektronix DPO 3014). The plasma is ignited by a high electrical
 183 field, where the field strength is defined as voltage divided by electrode separation. After ignition,
 184 the output is regulated on current, with the voltage floating up to the level required to deliver the
 185 desired current. To be able to calculate the discharge power, a measurement capacitor (TDK
 186 Corporation) with a capacitance of 560 pF is connected in series to the electrode configuration. The
 187 voltage drop can be measured across the capacitor with a voltage probe (GE-3121, 100:1, Elditest).
 188 The complete experimental setup, excluding the reel-to-reel web transport mechanism, is shown in
 189 Figure 4.

190

191 The discharge power, P_d , was calculated using the following equation from the voltage applied to the
 192 gas gap, V_{gap} , and the plasma current, I_{plasma} , according to [26]:

193

$$194 \quad P_d = \frac{1}{T} \int_0^T V_{gap}(t) \cdot I_{plasma}(t) dt \quad (1)$$

195 The voltage applied to the gas gap, V_{gap} , derives from the subtraction of the voltage drop across the
 196 dielectric layer, V_{die} , and of the voltage drop across the measurement capacitor, V_d , from the voltage
 197 applied to the electrode configuration, V_a , as shown by equation 2:

198

$$199 \quad V_{gap}(t) = V_a(t) - V_{die}(t) - V_d(t) \quad (2)$$

200

201 The voltage drop across the measurement capacitor, V_d , and the voltage applied to the electrode
 202 configuration, V_a , are directly measured. This is not possible for the voltage drop across the dielectric
 203 layer, which needs to be calculated. Mangolini, et al. [27] states that the voltage drop across the
 204 dielectric layer is proportional to the voltage drop across the measurement capacitor and can, thus,
 205 be calculated by the following equation:

206

$$207 \quad V_{die}(t) = \frac{c_{meas}}{c_d} \cdot V_d(t) \quad (3)$$

208

209 The capacitance of the measurement capacitor, C_{meas} , is known. The capacitance of the dielectric layer,
 210 C_d , was estimated manually from Lissajous figures [5,28] presented elsewhere [29], which show the
 211 charge transferred during the discharge as a function of the applied voltage of the high voltage circuit.

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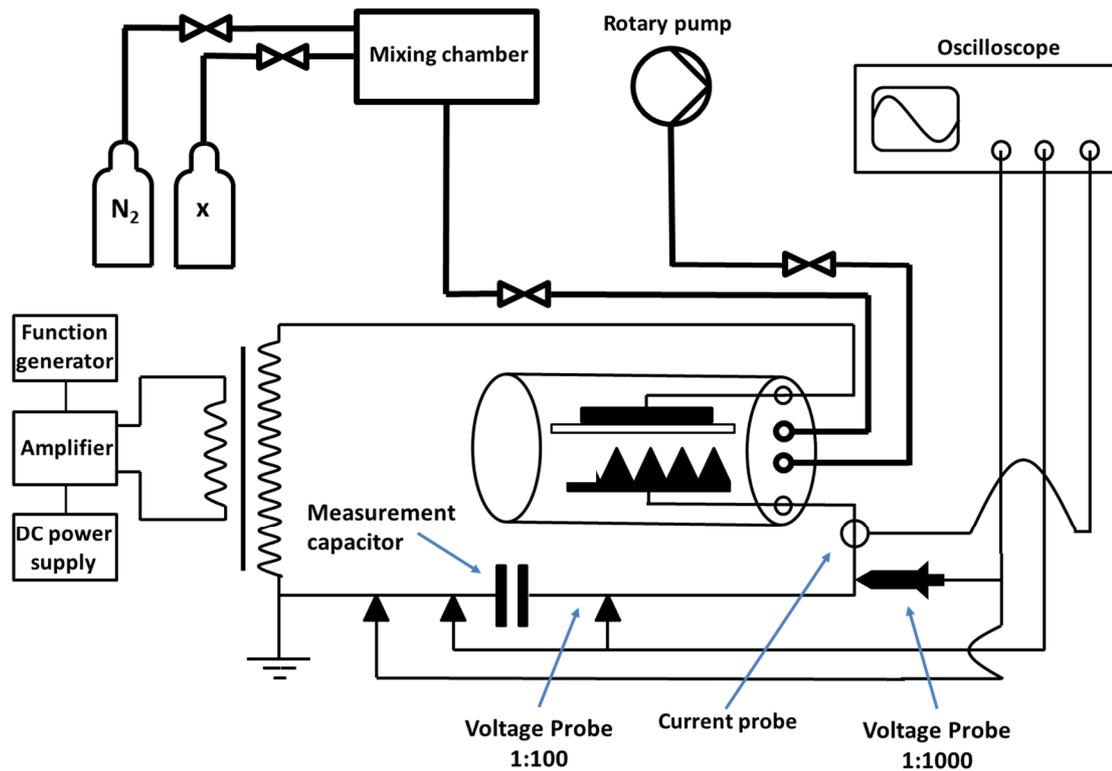


Figure 4: Experimental setup of the laboratory scale DBD system with sawtooth electrode configuration

2.4 Treatment of BOPP film in nitrogen

An experimental array was developed to investigate the influence of specific process parameters during the treatment of the BOPP film in a nitrogen atmosphere and to identify the optimum operating conditions for the DBD reactor. The following three factors were chosen for the experimental array:

- the thickness of the dielectric covering the upper electrode (four levels were tested: 0.63 mm, 1.26 mm, 1.89 mm, 2.52 mm)
- the size of the gas gap measured between the upper electrode and the top of the teeth on the sawtooth electrode (0.5 mm, 1.0 mm, 1.5 mm, 2.0 mm)
- the DC power supply current setting (0.8 A, 1.0 A, 1.2 A, 1.4 A)

These factors and values represent the practical extent of the process envelope for this system. The optimal frequency of the function generator for this experimental configuration was found to be between 29.6 and 29.9 kHz. All other factors were kept constant throughout the array. Based on the D-optimal approach, the experimental array that was developed from these parameters is listed in Table 1. Each experiment was repeated three times.

262 Table 1: Design of experiments for the sawtooth electrode configurations for the treatment of BOPP
 263 in nitrogen.

264

Number of experiment	Current [A]	Thickness of the dielectric [mm]	Size of the gas gap [mm]	Optimal frequency [kHz]
1	0.8	0.63	2.0	29.8
2	1.4	0.63	0.5	29.6
3	1.2	1.26	0.5	29.6
4	1.2	0.63	2.0	29.8
5	0.8	0.63	0.5	29.6
6	1.0	1.89	2.0	29.9
7	0.8	2.52	2.0	29.9
8	0.8	1.89	1.5	29.9
9	1.0	0.63	1.5	29.8
10	0.8	1.26	0.5	29.6
11	0.8	1.89	1.0	29.7
12	0.8	0.63	1.0	29.6
13	0.8	1.26	2.0	29.9
14	1.2	1.26	2.0	29.9
15	1.2	0.63	0.5	29.6
16	0.8	2.52	0.5	29.7

265

266 The experimental procedure was as follows. A 30 cm long and 3 cm wide BOPP sample was fixed to
 267 the reel-to-reel system with adhesive tape. The untreated side of the BOPP film faced the gas gap and
 268 the whole film was coiled on the unwind reel. The reel-to-reel system was then placed on its bracket
 269 inside of the plasma chamber, which was then sealed with the end cap. The rotary pump was used
 270 to evacuate the chamber to a minimum pressure of 1300 Pa. When this pressure was reached, the
 271 nitrogen gas valve was opened. The rotary pump was allowed to run for a further 2 minutes to flush
 272 the chamber with the process gas. The pressure inside the chamber was set to a slight overpressure
 273 of 1.05 bar to prevent the surrounding air entering the chamber.

274

275 After the plasma treatment, the process chamber was opened and the BOPP film removed from the
 276 reel-to-reel system. Ten drops of distilled water were placed along the centre line of the treated
 277 surface of the BOPP film at a separation of approximately 1 cm from each other and the contact angles
 278 of these drops were measured. Contact angle measurements were made at room temperature using
 279 the sessile drop technique and 5 μ l volumes of solution on a Kruss goniometer and data analysis
 280 system [30]. The average of the ten contact angles was taken as the response of the experimental array.
 281 The first distilled water drop was placed 7 cm away from the end of the sample that had been attached
 282 to the rewind, as this section of film did not pass between the electrodes.

283

284 Mean values of contact angle were taken for each experiment and from these values, level averages
285 were calculated for each level of each factor. These level averages indicated the relative influence of
286 each factor on the water contact angle and the direction of any trend with the variable. Based on these
287 findings, operating conditions were selected to minimise the water contact angle for subsequent
288 experiments.

289

290 **2.5 Treatment of BOPP film in nitrogen with admixtures**

291 In the following set of experiments, the BOPP film was treated under the optimal conditions
292 determined in the initial set of experiments in a nitrogen atmosphere also containing admixtures of
293 a second gas at low concentration. The dopant gases were acetylene, nitrous oxide and carbon dioxide
294 and four different concentrations of the admixtures; 125 ppm, 250 ppm, 375 ppm and 500 ppm, were
295 investigated in the DBD reactor.

296

297 The settings for the surface treatment were a current setting of 1.4 A on the DC power supply, a gas
298 gap distance of 0.5 mm and a dielectric thickness of 0.63 mm. Three samples of BOPP were treated
299 for each concentration of the different admixtures.

300

301 Water contact angle measurements were used in the initial set of experiments to quickly allow
302 optimal conditions to be identified. For these samples, in addition to measuring the water contact
303 angle, contact angle measurements were also made with ethylene glycol and diiodomethane to allow
304 surface energy values to be calculated. This gives a more meaningful value to assess the treatment
305 and compare to other techniques. These measurements were made immediately after the surface
306 treatment had taken place. Ten contact angles measurements were conducted for each sample for
307 each testing liquid. The three test liquids chosen for this project; distilled water, diiodomethane and
308 ethylene glycol, were selected because they have been used previously by other researchers to
309 investigate the surface energy of polypropylene [31,32]. 5 μ l of HPLC grade water or diiodomethane or
310 ethylene glycol were dropped onto a horizontal sample using a syringe. Physicochemical parameters
311 were calculated from the contact angles using the three solvents and their properties, and the Owens-
312 Wendt-Rabel-Kaelble method [33,34]. This theory divides the surface energy into two components: the
313 polar and the dispersive part. The polar part describes the sum of the polar interactions, such as
314 hydrogen bonds, as well as dipole-dipole and acid-base interactions. The dispersive interactions are
315 based on temporary variations in the electron density of the molecules. [35,36] The calculation of the
316 dispersive part, the polar part and the surface energy was conducted with the averaged value of the
317 ten measurements.

318

319 **2.6 XPS analysis of surface chemistry**

320 To investigate changes in the surface chemistry of the films treated in pure nitrogen under the
321 optimum conditions described in section 2.5, a theta probe angle-resolved X-ray photoelectron
322 spectrometer (Thermo Fisher Scientific Inc.) with an aluminium K-alpha X-ray source (1486.6 eV) was
323 used to measure a spot of 700 μ m² on every sample. Wide scans from 0 to 1400 eV were conducted to
324 identify the elements present at the surface of the sample and narrow scans of the 1s peaks of carbon
325 (282-290 eV), nitrogen (395-404 eV) and oxygen (528-537 eV) were measured to determine the
326 elemental composition. For comparison purposes, an untreated sample was also analysed.

327

328 2.7 Dyne pen and ink adhesion tests

329 In addition to the contact angle measurements and surface energy calculations, the films treated in
330 pure nitrogen under optimum conditions were investigated using Dyne pens and ink adhesion tests.
331 Dyne pens are filled with a coloured ink and different solvents. The combination of the solvents
332 determines the surface energy of the ink-solvent-mixture, which is indicated on the side of the pen.
333 The test method utilising Dyne pens forms the basis of the ASTM D2578 Standard. [37] A continuous
334 line is drawn with the pen on the treated BOPP film to be investigated and afterwards the wetting
335 ability of the ink-solvent-mixture is evaluated visually. If the ink-solvent-mixture wets the BOPP film
336 properly, the value of surface energy of the film is equal to or greater than the value written on the
337 used pen used. If the wetting is poor, the ink-solvent-mixture starts to form droplets on the sample
338 and the drawn line is thus not consistent, i.e. the ink does not wet the film. In this case, the surface
339 energy of the film is lower than the value on the pen.

340

341 Ink adhesion tests were carried out using a UV curable ink and two solvent-based inks. The samples
342 were printed with Sericol JD UV flexographic ink at a coat weight of 1g/m^2 before curing with three
343 passes of the UVP curer at 120 wattscm^{-1} lamp power at a speed of 1.8 mmin^{-1} . The samples were also
344 printed with Sun Chemical's Tornado CAP/Acrylic (cellulose acetate propionate) ink and Sunprop
345 NCPU (nitrocellulose polyurethane) ink using a red K-bar which applies a wet film deposit of
346 approximately $12\text{ }\mu\text{m}$. Immediately after drying in air, the samples were tested for ink adhesion with
347 Scotch magic tape type 810. A length of tape was pressed onto the sample and then quickly removed
348 in one motion. The solvent-based ink adhesion test was performed across the transverse direction of
349 the film to ensure that the treated area was tested. For these final tests, the samples were plasma
350 treated in a slightly modified reactor, which allowed greater web widths to be handled, but operated
351 under the same process parameters.

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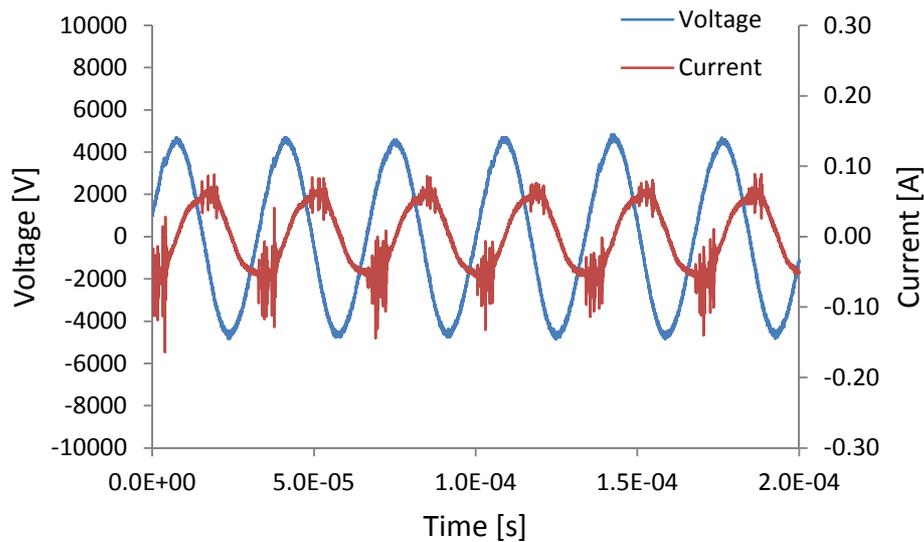
353 3 Results and Discussion

354

355 3.1 Characterisation of the DBD

356 The voltage applied to the electrodes and the discharge current were measured using voltage and
357 current probes. Ten thousand measurements were recorded over a $2.0 \times 10^{-4}\text{ s}$ time period. This
358 corresponds to five recorded cycles of the sinusoidal voltage and discharge current, which are
359 illustrated by way of example for experiment 1 of the experimental array (Figure 5).

360



361

362

363 Figure 5: Measured voltage applied to the three-tooth electrode configuration and the resulting
 364 discharge current for experiment 1 of the experimental array

365

366 The applied voltage and the discharge current in Figure 5 are phase-shifted by approximately 90
 367 degrees. The voltage follows the current. The reason for these two effects are the capacitive properties
 368 of the electrode configurations. The discharge current has also a sinusoidal shape, but in addition,
 369 several high frequency peaks are superimposed at the maximum amplitude. The sinusoidal part of
 370 the discharge current is the displacement current, which is created by the changing polarisation of
 371 the applied electrical field, which causes the displacement of electric particles in the nitrogen gas and
 372 the dielectric. The displacement current flows independent of whether the plasma is ignited or not.

373

374 The high frequency peaks superimposed on the current signal originate from the ignited plasma.
 375 They mark the increased current flow while the gas loses its dielectric properties and becomes
 376 conductive due to the high amount of free charge carriers in the plasma. The individual peaks are
 377 very narrow, which corresponds to a short burning time of the individual filaments in the
 378 nanosecond range. This clearly indicates that the discharge regime is filamentary.

379

380 The observable difference of the current peak size on each half of the sinusoidal waveform is a result
 381 of the asymmetric electrode configuration, which combines a flat electrode with a sawtooth electrode.
 382 It is assumed that the sawtooth electrode is the cathode during the negative polarisation of the
 383 current. The seed electrons, which are accelerated from the cathode to the anode, would then receive
 384 more kinetic energy during the negative polarisation at the beginning of their acceleration, because
 385 the electrical field is strongly increased near the points of the teeth in the sawtooth electrode due to
 386 the edge effect. As a result of the increased kinetic energy, these seed electrons can cause more
 387 ionisation, which increases the current because more free electrons travel from the cathode to the
 388 anode. In the reverse case, when the seed electrons start near the flat electrode, which is then the
 389 cathode during the positive polarisation, their kinetic energy is not additionally increased by an edge

390 effect. Thus, the current peaks are lower during the positive polarisation. Discharge powers estimated
391 for each set of conditions in the experimental array are listed in Table 2.

392

393

394 Table 2: Estimated discharge power and average water contact angles for BOPP film treated in
395 nitrogen

396

Number of experiment	Discharge power [W]	Average water contact angle, degrees
1	5.5	80.4
2	5	61.5
3	5.3	67.6
4	5	74.7
5	5	71.7
6	6.8	76.9
7	8.5	79.8
8	6.7	75.8
9	4.6	72.2
10	5.4	68.3
11	6.8	71.5
12	5	70.7
13	5.1	78.0
14	5.2	69.2
15	4.6	64.1
16	8.5	67.3

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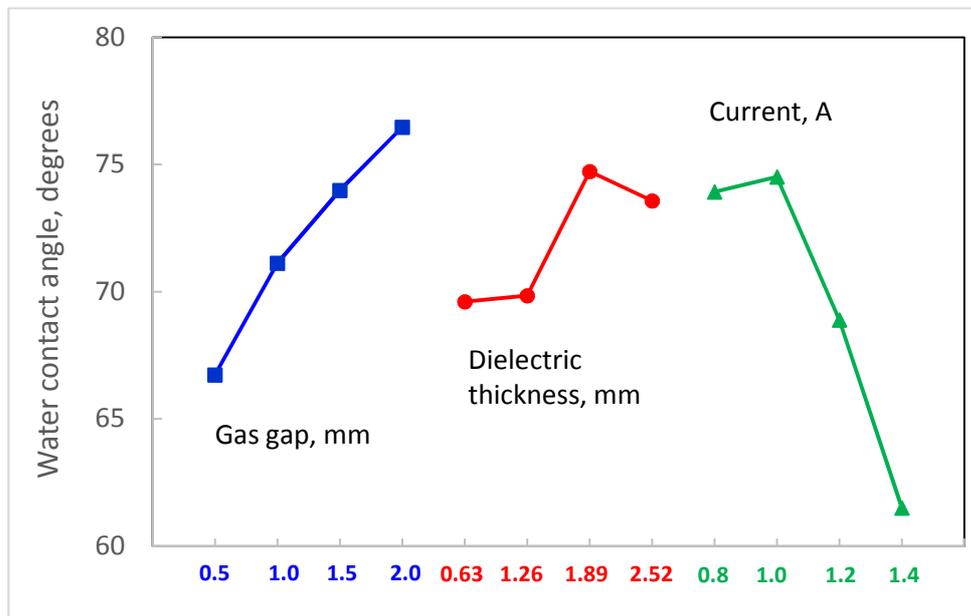
398 3.2 Effect of nitrogen treatment on water contact angle

399 The water contact angle for the untreated BOPP was 103°. This was reduced to values in the range
400 59° to 82° following treatment in nitrogen in the DBD reactor. The average water contact angle for
401 three repeats of each set of experimental array conditions are listed in Table 2. The level averages
402 calculated for each level of each factor in the experimental array are presented in Figure 6. This figure
403 indicates that the water contact angle increases with increasing gas gap and dielectric thickness, but
404 decreases with increasing current. It also implies that current and gas gap have a greater influence
405 on contact angle than dielectric thickness, which has a relatively minor effect. Consequently, the
406 optimum operating conditions to minimise contact angle, i.e., to maximise surface energy, are
407 maximum current (1.4 A), minimum dielectric thickness (0.63 mm) and minimum gas gap (0.5 mm).

408

409 It might be expected that, over a certain range of conditions, there would be a negative correlation
410 between water contact angle and discharge power density, i.e., the power delivered per unit area of
411 treated film, but this was not apparent from the data obtained here. A similar relationship has been
412 reported in a static system with parallel electrodes, where the reduction in contact angle increased

413 with treatment time (i.e. total power delivered) [28]. The lack of any correlation in this case may be due
 414 to the difficulty of accurately measuring the area of the sawtooth electrode over which the power is
 415 dissipated and the discharge occurs. Consequently, no conclusions can be made about the
 416 relationship between these parameters at this stage.
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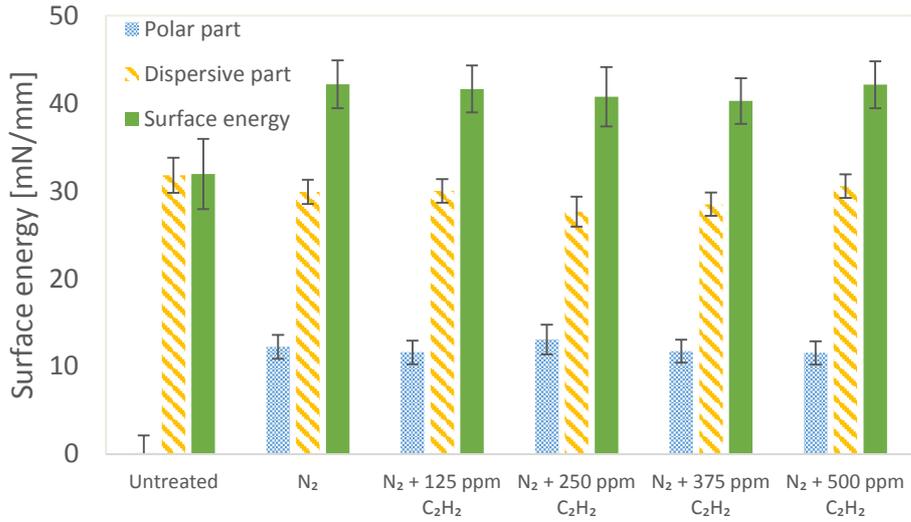
420 Figure 6: Level average water contact angles for array factors gas gap, dielectric thickness and current
 421 during the DBD treatment of BOPP in pure nitrogen

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423 3.3 Effect of nitrogen treatment with admixtures on surface energy

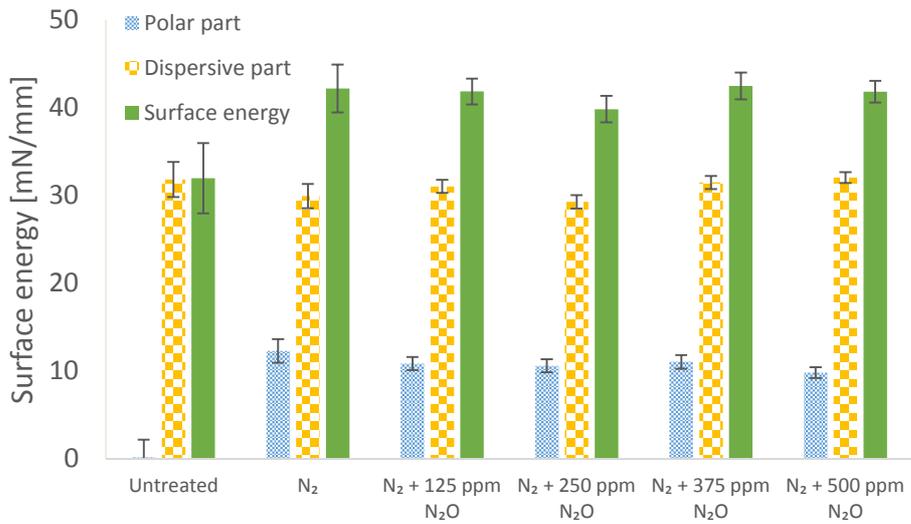
424 Calculations of surface energy showed that the plasma treatment significantly increased the polar
 425 part of the surface energy by approximately 10-14 mNm⁻¹, whereas the dispersive part was
 426 unaffected. Thus, surface energy was increased by the DBD treatment from an untreated value of
 427 around 32 mNm⁻¹ to values in the range of 42 to 46 mNm⁻¹. However, there were no significant
 428 differences in the polar part, the dispersive part or the total surface energy between the treatment in
 429 pure nitrogen and the treatments in nitrogen with the different gas admixtures. This effect was also
 430 independent of the concentration of the admixture over the range tested. The results for the different
 431 gas admixtures and concentrations are shown in Figure 7-9. The increase of the polar part of the
 432 surface energy is likely to be a result of the incorporation of electronegative chemical groups on the
 433 surface of the treated BOPP. [38,39]

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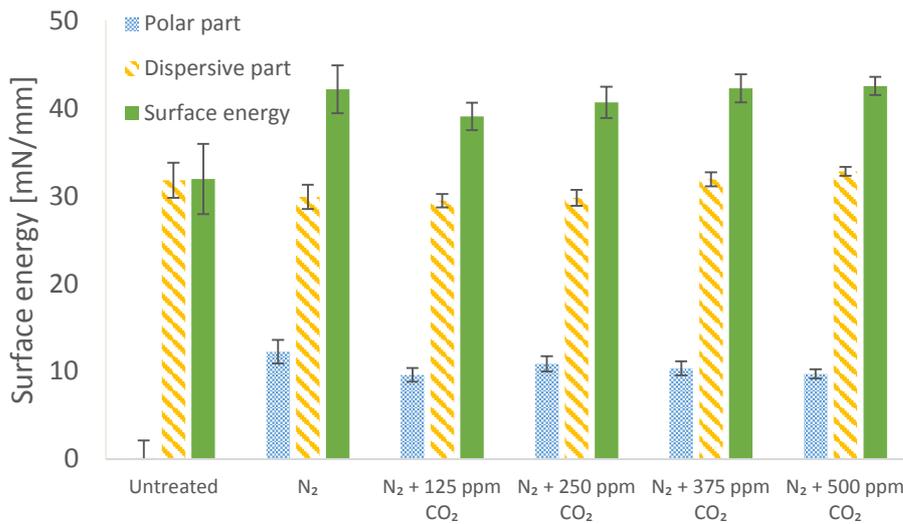
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Figure 7: The polar part, the dispersive part and the surface energy of the BOPP films treated by the DBD system with admixtures of acetylene



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Figure 8: The polar part, the dispersive part and the surface energy of the BOPP films treated by the DBD system with admixtures of nitrous oxide



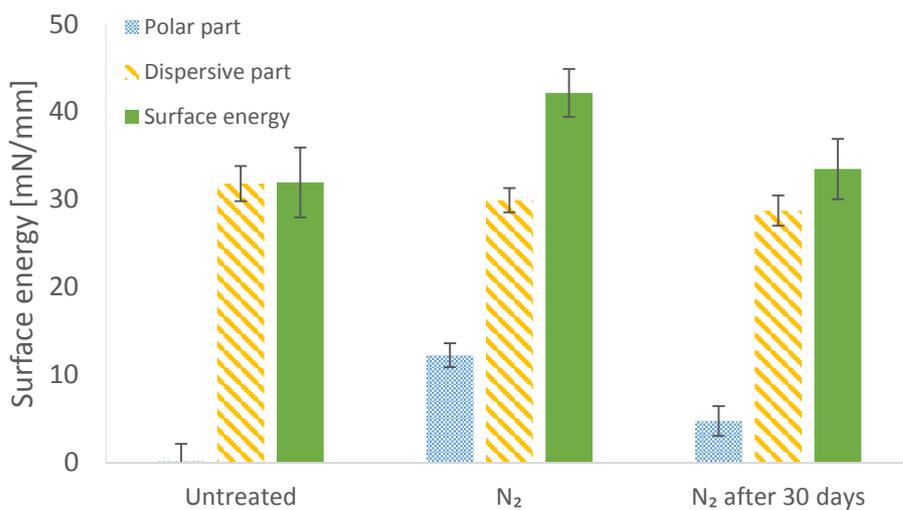
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450 Figure 9: The polar part, the dispersive part and the surface energy of the BOPP films treated by the
 451 DBD system with admixtures of carbon dioxide

452

453 To investigate the stability of the surface energy of the treated BOPP films, also called hydrophobic
 454 recovery or aging behaviour, [40,41] the contact angle measurements were repeated every 24 hours over
 455 30 days for the samples treated in pure nitrogen. The results in Figure 10 indicate that the surface
 456 energy of the BOPP films is substantially reduced 30 days after the plasma treatment with the DBD
 457 system. The polar part decreases from approximately 12 mNm⁻¹ to 5 mNm⁻¹ while the dispersive part
 458 is not affected. The total surface energy 30 days after the plasma treatment is only slightly higher than
 459 the untreated sample. The hydrophobic recovery of the plasma treated films is related to the
 460 rearrangement of the polymer chains at the surface, which carry the electronegative chemical groups.
 461 The rearrangement leads to the reorientation of the electronegative chemical groups from the surface
 462 towards the bulk of the polymer and thus to the reduction of the polar part of the surface energy.

463



464

465 Figure 10: Aging behaviour of the BOPP samples treated in nitrogen with the laboratory DBD system

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467 3.4 XPS analysis of nitrogen treated BOPP film

468 The wide scans from 0 to 1400 eV to identify the elements present at the surface of the sample are
469 shown in Figure 11 for an untreated sample and Figure 12 for sample treated with nitrogen. For the
470 latter sample, the narrow scans of the 1s peaks of carbon (282-290 eV), nitrogen (395-404 eV) and
471 oxygen (528-537 eV) are shown in figures 13, 14 and 15, respectively.

472

473 The XPS analysis of an untreated sample yielded a composition of 100 % carbon, with no evidence of
474 nitrogen or oxygen present in the surface. For the nitrogen treated sample, the XPS measurements
475 indicate that both nitrogen (7.2 at%) and oxygen (3.6 at%) atoms were incorporated in the surface of
476 the treated BOPP films. Oxygen was detected on the treated samples despite the fact that the chamber
477 was evacuated and backfilled with the process gases, which should only have contained oxygen in
478 extremely low traces. However, comparison with the findings of other research groups indicates that
479 their polypropylene films treated in pure nitrogen also contained oxygen. [31,32,42,43] This is usually
480 attributed to the much higher reactivity of oxygen species in the plasma than nitrogen species. None
481 of these publications, though, discuss the source of the incorporated oxygen. In addition to low
482 residual background levels of oxygen in the reactor chamber, which may be entrained between the
483 electrodes, it is assumed that the oxygen atoms also originate from water or oxygen adsorbed on the
484 surface of the BOPP film. The relatively high amount of incorporated oxygen on the surface of the
485 BOPP films, in comparison to the amount of incorporated nitrogen, leads to the assumption that it is
486 mainly the excited particles, which are close to the surface of the BOPP film, that react with the
487 polymer surface. Excited particles created further away from the surface have a lower probability of
488 reacting with the polymer surface, because they have to overcome a longer diffusion distance in order
489 to undertake a reaction with the surface of the polymer. If the atmosphere in the vicinity of the
490 polymer contains the particles that mainly react with the surface of the polymer, then it is easily
491 imaginable that substances adsorbed onto the polymer surface influence the composition of the gas
492 in this region in greater concentrations than a few hundred ppm. The adsorbed substances thus have
493 a greater impact on the surface treatment than the gaseous admixtures in the nitrogen. This theory
494 would also explain why no significant differences could be measured in the surface energies of the
495 samples treated with concentrations of up to 500 ppm of nitrous oxide, carbon dioxide and acetylene.

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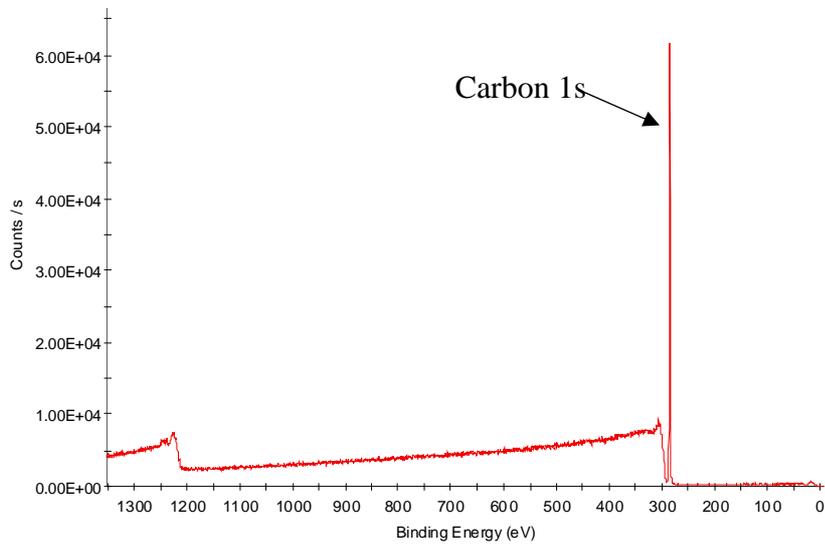


Figure 11: XPS wide scan of untreated BOPP sample

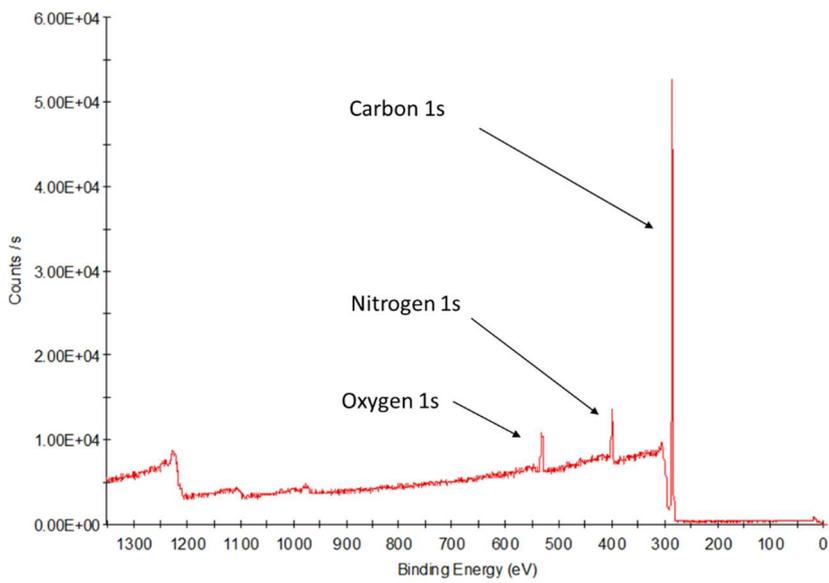


Figure 12: XPS wide scan of BOPP sample treated in pure nitrogen

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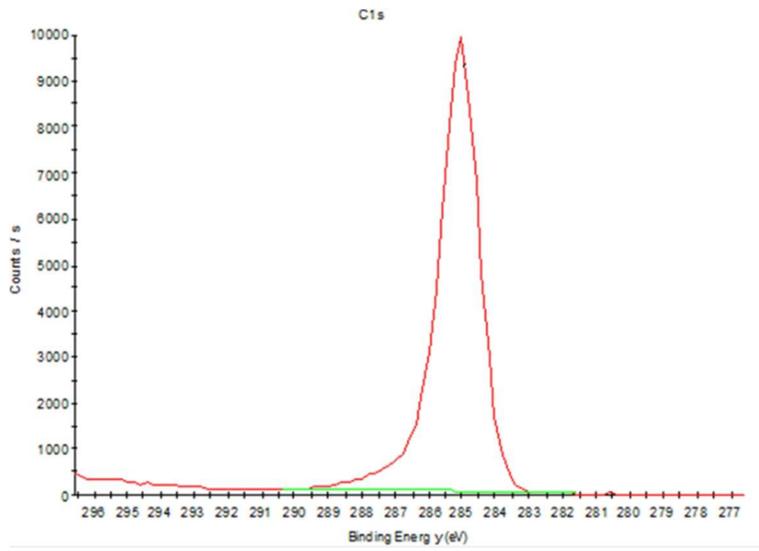
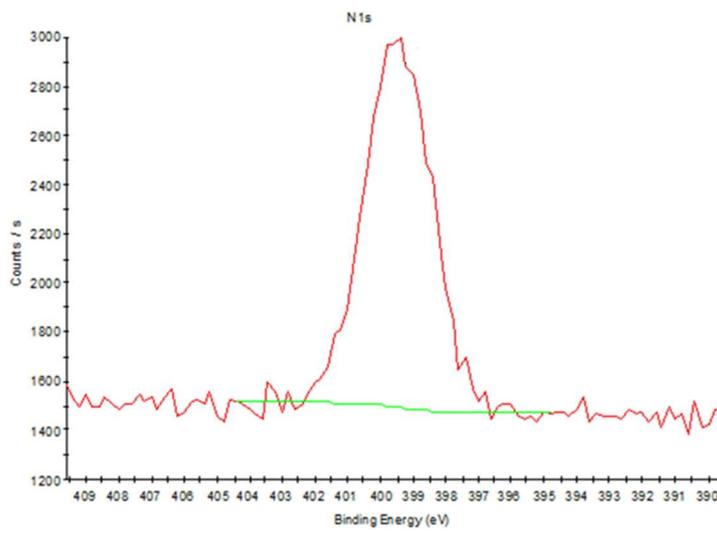


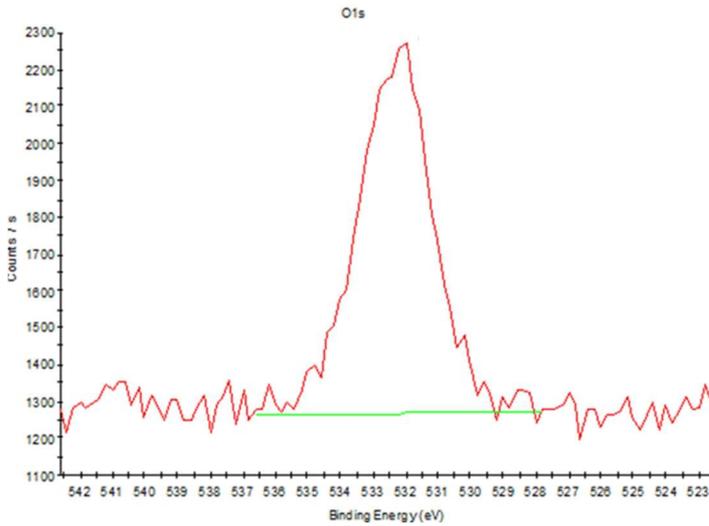
Figure 13: XPS narrow scan (Carbon 1s) of the BOPP sample treated in pure nitrogen

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Figure 14: XPS narrow scan (Nitrogen 1s) of the BOPP sample treated in pure nitrogen (7.2 at%)



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578 Figure 15: XPS narrow scan (Oxygen 1s) of the BOPP sample treated in pure nitrogen (3.6 at%)

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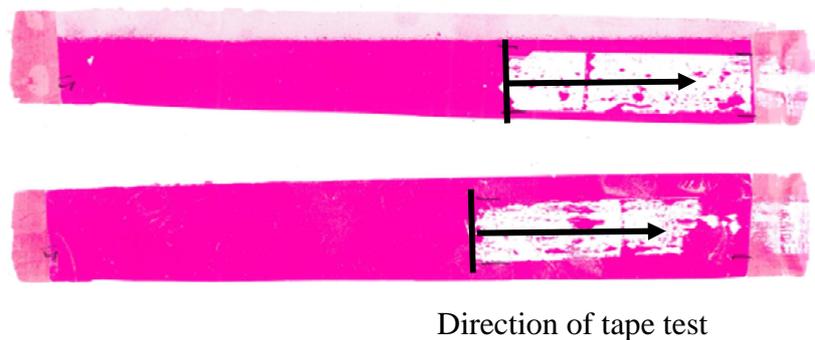
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581 **3.5 Dyne pen and ink adhesion tests**

582 Dyne pen tests on the untreated BOPP indicated a surface energy in the region of 29 mNm⁻¹, which
 583 is close to that calculated from the contact angle measurements (see Figure 7). Similarly, Dyne pen
 584 tests of the treated samples indicated values in the range 42 to 46 mNm⁻¹.

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586 Examples of the results for the ink adhesion tests are shown in Figure 15 and 16. As can be seen, the
 587 samples had poor ink adhesion with the Sericol UV ink, with approximately 90% ink pull off, whereas
 588 the samples printed with the Tornado and Sunprop solvent-based inks had excellent ink adhesion;
 589 figure 16 gives a typical example for the Sunprop ink. Outside the treated region the ink is completely
 590 removed, but inside the treated region the ink is well-adhered and no removal can be observed.



Direction of tape test

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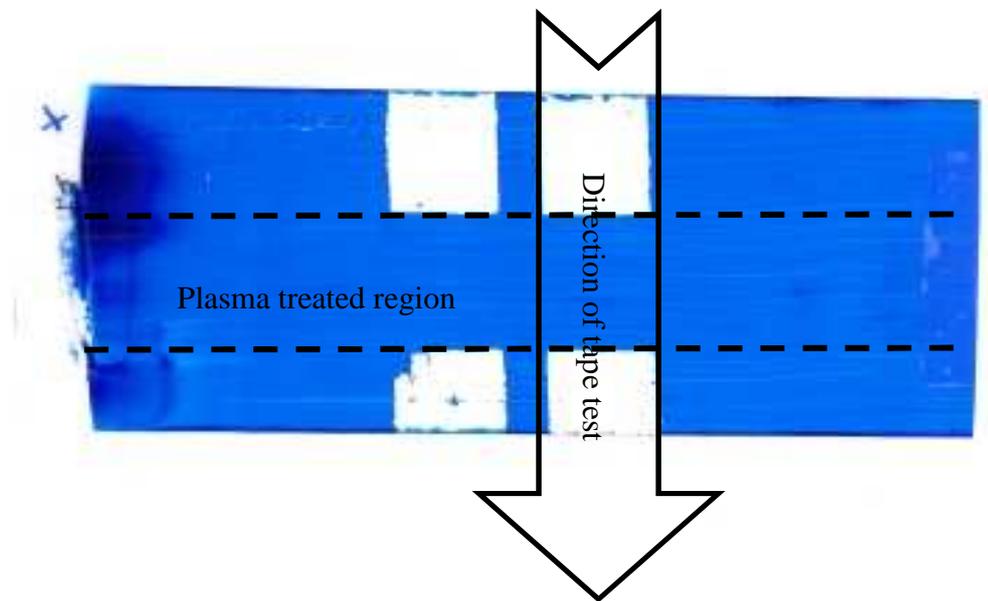
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593 Figure 16: Ink adhesion test results for UV curable flexographic ink on N₂ treated BOPP

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597 Figure 17: Ink adhesion test results for Sunprop NCPU solvent-based ink on N₂ treated BOPP

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600 4. Conclusions

601 A laboratory-scale atmospheric pressure DBD reactor with a reel-to-reel web transport mechanism
602 has been demonstrated. To simulate an industrial-scale system for web treatment, an electrode
603 configuration was used, in which one electrode was flat and covered in a dielectric and the other had
604 a sawtooth profile and was uncovered. A study of water contact angles measured after treatment of
605 BOPP film in nitrogen showed that the contact angle could be significantly reduced by 20-40 degrees,
606 depending on operating conditions. Level averages indicated that, of the conditions tested, contact
607 angles were minimised for the lowest gas gap (0.5 mm), lowest dielectric thickness (0.63 mm) and
608 highest current (1.4 A). Further experiments using these optimum conditions were carried out in
609 nitrogen and nitrogen containing admixtures in the 125 to 500 ppm concentration range. Treatment
610 of BOPP film under these conditions was found to increase the polar part of the surface energy of the
611 film by 12-14 mNm⁻¹. However, no differences were observed between the effect of a nitrogen
612 discharge and discharges in nitrogen with admixtures of CO₂, N₂O or C₂H₂ over the range of
613 concentrations tested. Furthermore, over 50% of this increase was lost through hydrophobic recovery
614 over a 30 day period. XPS analysis indicated the presence of oxygen incorporated in the film surface
615 after treatment, which appears to have reacted preferentially with the film surface, rather than the
616 other gas additives present. Finally, Dyne pen tests corresponded to the values of surface energy
617 calculated for the treated films and ink adhesion pull-off tests showed poor adhesion with a UV
618 curable ink, but excellent adhesion with two types of solvent-based inks.

619

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624

625 **Author Contributions:** PJ Kelly, JW Bradley and L Seidelmann conceived and designed the experiments; L
626 Seidelmann performed the experiments and analysed the data; J Hewitt and J Moffat provided technical support,
627 materials and access to facilities at Innovia Films Ltd.; M Ratova produced and tested the samples for ink
628 adhesion trials; PJ Kelly wrote the paper.

629

630 **Conflicts of Interest:** The authors declare no conflict of interest.

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