

1 **Changes in biomechanically-corrected intraocular pressure and dynamic corneal**
2 **response parameters before and after transepithelial photorefractive keratectomy and**
3 **femtosecond laser-assisted laser in situ keratomileusis**

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51 **ABSTRACT**

52 **Purpose:** To investigate the changes in biomechanically-corrected intraocular pressure
53 (bIOP) and new dynamic corneal response (DCR) parameters measured by corneal
54 visualization Scheimpflug technology (Corvis ST) before and after transepithelial
55 photorefractive keratectomy (tPRK) and femtosecond laser-assisted laser in situ
56 keratomileusis (FS-LASIK)

57 **Methods:** Medical records of 129 eyes of 129 patients undergoing tPRK (n=65) or FS-
58 LASIK (n=64) were examined. Participants underwent a complete examination before and 6
59 months after surgery. Main outcome variables were bIOP and DCR parameters including
60 deformation amplitude (DA) ratio 2 mm, stiffness parameter at first applanation (SP-A1), as
61 well as ambrósio relational thickness through the horizontal meridian (ARTh) and integrated
62 inverse radius at highest concavity.

63 **Results:** There were no statistically significant differences in bIOP before and after tPRK (P
64 = 0.101) or FS-LASIK (P = 0.138). DA ratio 2 mm and integrated inverse radius significantly
65 increased, while SP-A1 and ARTh decreased after tPRK and FS-LASIK (all P < 0.001).
66 Changes in DA ratio 2 mm and integrated inverse radius before and after tPRK were smaller
67 than those before and after FS-LASIK (all P < 0.001). With analysis of covariance, with
68 refractive error change or corneal thickness change as a covariate, changes in DA ratio 2 mm
69 and integrated inverse radius were smaller in tPRK than FS-LASIK (all P < 0.001).

70 **Conclusions:** The Corvis ST showed stable bIOP measurement before and after tPRK or FS-
71 LASIK. The changes in DCR parameters before and after surgery were smaller for tPRK
72 compared to the lamellar procedure, FS-LASIK.

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74 **Precis:** Corvis ST showed stable bIOP measurement after tPRK and FS-LASIK. Corneas
75 after FS-LASIK were less resistant to deformation than those after tPRK based upon smaller

76 changes in new DCR parameters after tPRK.

77

78 **Introductory text**

79 Corneal biomechanics is the response of corneal tissue to an applied force, which
80 involves interactions between the externally applied force, the intrinsic viscoelastic properties
81 of the cornea and the intraocular pressure (IOP).¹⁻³ Biomechanical response parameters of the
82 cornea, although not classic properties, might be useful clinically for many purposes,
83 including identification of corneal disease, characterization of susceptibility to ectasia
84 progression and assistance with predicting refractive outcomes following corneal refractive
85 surgery.⁴⁻⁶ Moreover, corneal biomechanical properties are known to influence the
86 measurement of IOP alongside the central corneal thickness (CCT), and both CCT and
87 biomechanical response parameters are recognized as important factors in the susceptibility
88 to the development of glaucomatous damage.⁷⁻⁹

89 Corneal visualization Scheimpflug technology (Corvis ST; OCULUS Optikgeräte
90 GmbH, Wetzlar, Germany), which allows *in vivo* characterization of corneal biomechanical
91 deformation response to an applied air puff, has become a useful instrument for evaluating
92 biomechanical response parameters of the cornea clinically.^{10,11} The Corvis ST captures the
93 dynamic process of corneal deformation caused by an air puff of consistent spatial and
94 temporal profiles using an ultra-high-speed camera that operates at 4300 frames/sec to
95 capture a series of 140 sequential horizontal Scheimpflug images of corneal deformation. The
96 Corvis ST enables the calculation of a variety of dynamic corneal response (DCR) parameters
97 to characterize biomechanical response by analyzing patterns of deformation at highest
98 concavity (HC) and appplanation, both during inward deformation (loading) and during
99 outward recovery (unloading), which have been reported to be influenced predominantly by
100 IOP, as well as CCT and age.¹²⁻¹⁴ Recently, new corneal biomechanical parameters have been

101 introduced, including deformation amplitude (DA) ratio 1 mm, DA ratio 2 mm, integrated
102 inverse radius, stiffness parameter at first applanation (SP-A1), and ambrósio relational
103 thickness through the horizontal meridian (ARTh).¹⁵ Additionally, the Corvis ST provides a
104 measurement of a biomechanically-corrected IOP (bIOP) that is intended to be free of effects
105 of changes in corneal geometric and material stiffness parameters.¹⁵

106 While the Corvis ST has been previously used to measure changes in corneal
107 biomechanical response parameters after laser vision correction procedures such as
108 photorefractive keratectomy (PRK), laser in situ keratomileusis (LASIK), and small incision
109 lenticule extraction (SMILE), as well as collagen cross-linking (CXL), the stability of the
110 new bIOP measurements and the significance of the new DCR parameters have not yet been
111 studied.¹⁶⁻¹⁹ Moreover, knowledge remains limited with respect to understanding how corneal
112 biomechanical parameters are modified according to surgical techniques.

113 Therefore, in the present study, we aimed to assess the stability of the recently
114 introduced bIOP estimates, and evaluate the changes in the new DCR parameters obtained
115 from the Corvis ST after transepithelial PRK (tPRK) and femtosecond laser-assisted LASIK
116 (FS-LASIK) procedures.

117

118 **Materials and Methods**

119 We performed a retrospective, comparative, observational case series with the
120 approval of the Institutional Review Board of Yonsei University College of Medicine (Seoul,
121 South Korea). The study adhered to the tenets of the Declaration of Helsinki and followed
122 good clinical practices. All patients provided written informed consent for their medical
123 information to be included in the study.

124 Patients included in the study were older than 20 years of age and underwent tPRK
125 or FS-LASIK using standardized techniques performed by the same surgeon (DSYK)

126 between May 2014 and April 2015. We excluded patients with previous ocular or intraocular
127 surgery, ocular abnormalities other than myopia or myopic astigmatism with a corrected
128 distance visual acuity (CDVA) of 1.00 (20/20 Snellen) or better in both eyes, corneal
129 endothelial cell density of less than 2000 cells/mm², cataract, ocular inflammation, infection,
130 or moderate and severe dry eye. We also excluded patients with signs of keratoconus on
131 Scheimpflug tomography (displacement of the corneal apex, decrease in thinnest-point
132 pachymetry, and asymmetric topographic pattern). We retrospectively reviewed the medical
133 records of 129 eyes of 129 patients that met the inclusion and exclusion criteria. Only one
134 randomly selected eye from each patient was included in the analysis.

135

136 *Examinations and Measurements*

137 Before and 6 months after surgery, all patients underwent complete ophthalmic
138 examinations, including uncorrected distance visual acuity (UDVA) and CDVA, manifest
139 refraction, slit-lamp examination (Haag-Streit, Gartenstadtstrasse, Köniz, Switzerland),
140 corneal volume (Pentacam; OCULUS Optikgeräte GmbH), IOP-NCT (noncontact
141 tonometer; NT-530, NCT Nidek Co., Ltd., Aichi, Japan), and fundus examination. In addition,
142 the DCR parameters were measured using the Corvis ST. All measurements were performed
143 by the same investigator to eliminate possible inter-observer variability, and taken at
144 approximately the same time of day. Each measurement was performed three times and the
145 average value was used in the analysis. The Corvis ST automatically calculated applanation
146 time, applanation length and applanation velocity during three distinct phases; first
147 applanation (A1; the cornea was flattened for the first time in the inward direction), highest
148 concavity, and second applanation (A2; the cornea was flattened for the second time during
149 recovery from the highest concavity).²⁰ The DA measured at HC, peak distance, radius, and
150 CCT were also recorded.

151 New DCR parameters include the DA ratio 2 mm, integrated inverse radius, ARTh,
152 and SP-A1. DA ratio 2 mm represents the ratio between the DA of the apex and the average
153 of two points located 2 mm on either side of the apex. The integrated inverse radius came
154 from the integration of the inverse radius values that represent the central concave curvature
155 at the highest concavity. The Corvis ST provides data for calculating the rate of increase of
156 corneal thickness from the apex towards nasal and temporal sides.¹¹ Via the characterization
157 of the thickness data on the horizontal Scheimpflug image, the Corvis ST enables the
158 calculation of the new corneal thickness index, the ARTh.^{11,21} Lower ARTh indicates a
159 thinner cornea and/or a faster thickness increase toward the periphery.²¹ The SP-A1 is defined
160 as applied load divided by displacement, in an analogous manner to one dimensional stiffness.
161 The applied load is the air pressure, calculated at first applanation, minus bIOP. The
162 displacement is the distance the corneal apex moves from the pre-deformation state to A1.¹⁵

163 Together with DCR parameters, the Corvis ST provides a new and validated bIOP
164 estimate that is intended to offer an estimate of true IOP or the corrected value of measured
165 IOP, which considers the biomechanical response of the cornea to air pressure including the
166 effects of variation in CCT and material behavior.^{15,22,23} The algorithm for bIOP is based on
167 numerical simulation of the Corvis ST procedure, as applied on human eye models with
168 different tomographies (including thickness profiles), material properties and true IOPs.^{22,23}
169 The eye models were developed for analysis using the finite element method and designed to
170 simulate important biomechanical features of the eye, including the cornea's aspheric
171 topography, the cornea's variable thickness, low stiffness of epithelium and endothelium, the
172 cornea's weak inter-laminar adhesion, and the tissue's hyperelasticity, hysteresis and age-
173 related stiffening.^{22,23} The bIOP formula used in the Corvis ST was a modified algorithm of
174 the published formula.^{15,22}

175

176 ***Surgical Techniques***

177 ***Transepithelial photorefractive keratectomy***

178 Photoablation was performed using an excimer laser (Amaris 1050 Excimer Laser
179 platform; Schwind eye-tech-solutions GmbH and Co KG, Kleinostheim, Germany), which
180 uses a flying-spot laser with a repetition rate of 1050 Hz. Ablation profile planning was
181 carried out using the integrated Optimized Refractive Keratectomy-Custom Ablation
182 Manager software (version 5.1; Schwind eye-tech-solutions GmbH and Co KG). Mitomycin
183 0.02% was applied to all corneas for 20 seconds followed by thorough rinsing with chilled
184 balanced salt solution (BSS). Postoperatively, 1 drop of topical levofloxacin 0.5% (Cravit;
185 Santen Pharmaceutical, Osaka, Japan) was instilled at the surgical site, and a bandage contact
186 lens (Acuvue Oasys; Johnson & Johnson Vision Care, Inc, Jacksonville, FL, USA) was
187 placed on the cornea. Following surgery, topical levofloxacin 0.5% and fluorometholone
188 0.1% (Flumetholon; Santen Pharmaceutical) were applied 4 times per day for 1 month. The
189 dosage was tapered over 3 months.

190

191 ***Femtosecond laser-assisted Laser in situ keratomileusis***

192 The VisuMax femtosecond laser system with a repetition rate of 500 kHz was used to
193 create the flap. The flaps had diameters of 8.1 mm and thicknesses of 100 μm with standard
194 90° hinges and 90° side-cut angles. The lamellar and side cuts were achieved with energies of
195 185 nJ. Stromal tissue ablation was performed with the Amaris 1050 Excimer Laser platform
196 with a repetition rate of 1050 kHz. Flaps were repositioned after the excimer laser treatment
197 and a bandage contact lens was placed on the cornea for 1 day. Topical fluorometholone 0.1%
198 was used initially eight times daily and tapered for a period of 20 days. Topical levofloxacin
199 0.5% was used four times daily for 7 days.

200

201 **Statistical analysis**

202 Statistical analysis was performed using SPSS software version 22.0 (IBM, Armonk,
203 NY, USA). Differences were considered statistically significant when the P values were less
204 than 0.05. The results are expressed as the mean \pm standard deviation. The Kolmogorov-
205 Smirnov test was used to confirm data normality. To statistically compare preoperative and
206 postoperative data between tPRK and FS-LASIK, we used independent t -test for continuous
207 variables and χ^2 test for categorical variables. We performed the paired t -test to evaluate the
208 differences between preoperative and 6-month postoperative parameters including IOP-NCT,
209 bIOP, Corvis-CCT, corneal volume, and DCR parameters in each group. Simple linear
210 regression analysis was used to determine the relationship between changes (Δ) in DCR
211 parameters or bIOP, and Δ manifest refraction spherical equivalent (MRSE), Δ CCT, Δ corneal
212 volume, or Δ ARTh in each group. Furthermore, we performed analysis of covariance
213 (ANCOVA) to compare changes (Δ) in DCR parameters between tPRK and FS-LASIK, with
214 the Δ MRSE, Δ CCT, Δ corneal volume, or Δ ARTh as a covariate.

215

216 **Results**

217 Data were collected from 129 eyes of 129 normal healthy participants with mean age
218 of 28.1 ± 5.4 years (range, 20 to 41 years). Table 1 shows the preoperative characteristics of
219 the two participant groups with no significant statistical difference between them as regards
220 age, gender, preoperative sphere, cylinder, spherical equivalent, CCT, and optical zone.

221 Table 2 summarizes the changes in IOP-NCT, bIOP, Corvis-CCT, and corneal
222 volume before and after tPRK or FS-LASIK. The bIOP was stable before and after tPRK and
223 FS-LASIK (mean difference = 0.30 ± 1.45 mmHg, $P = 0.101$ for tPRK, and mean difference =
224 -0.26 ± 1.41 mmHg, $P = 0.138$ for FS-LASIK). In each group, changes in bIOP before and
225 after surgery were significantly smaller than those in IOP-NCT before and after surgery (all P

226 < 0.001). When combining the two forms of laser vision surgery, difference in bIOP before
 227 and after surgery was only 0.02 ± 1.45 mmHg ($P = 0.875$). These values were significantly
 228 smaller than those from IOP-NCT (0.02 ± 1.45 mmHg versus -2.33 ± 1.54 mmHg, $P < 0.001$)

229 Table 3 summarizes the changes in DCR parameters before and after tPRK and FS-
 230 LASIK. There were no significant differences in preoperative DCR parameters between
 231 tPRK and FS-LASIK groups. The differences in parameter values, as estimated pre and post-
 232 operatively, were significant in the two groups (all $P < 0.001$). The DA ratio 2 mm and
 233 integrated inverse radius significantly increased, while SP-A1 and ARTh significantly
 234 decreased after surgery. Results showed that Δ DA ratio 2 mm and Δ integrated inverse radius
 235 were smaller in tPRK than FS-LASIK (all $P < 0.001$).

236 Figure 1 demonstrates the scatter plots and results for simple linear regression
 237 analysis between changes (Δ) in DCR parameters or bIOP, and Δ MRSE, Δ CCT, Δ corneal
 238 volume, or Δ ARTh between the two groups. The parameter showing the strongest
 239 relationships with Δ MRSE, indicated by the r^2 values, was Δ integrated inverse radius,
 240 followed by Δ ARTh, Δ DA ratio 2 mm, and finally Δ SP-A1, in tPRK group. For the FS-
 241 LASIK group, the parameter showing the strongest relationships with Δ MRSE was Δ DA ratio
 242 2, followed by Δ integrated inverse radius and Δ SP-A1. Further, the parameter showing the
 243 strongest relationships with Δ CCT was Δ integrated inverse radius, followed by Δ ARTh, Δ DA
 244 ratio 2 mm, and finally Δ SP-A1, in tPRK group, while it was Δ DA ratio 2, followed by Δ SP-
 245 A1, Δ integrated inverse radius, and finally Δ ARTh in the FS-LASIK group.

246 When comparing the changes in DCR parameters between the two groups with
 247 ANCOVA and Δ MRSE, Δ CCT, Δ corneal volume, or Δ ARTh as a covariate, there were
 248 significant differences in Δ DA ratio 2 mm and Δ integrated inverse radius (all $P < 0.001$;
 249 Table 4). Δ DA ratio 2 mm and Δ integrated inverse radius were significantly smaller in tPRK
 250 than FS-LASIK (all $P < 0.001$). No significant differences were noted in Δ SP-A1 or Δ ARTh

251 between the two groups.

252

253 **Discussion**

254 In the present study, we investigated the changes in bIOP and newly developed DCR
255 parameters before and after tPRK and FS-LASIK. Most notably, the bIOP obtained from the
256 Corvis ST was stable before and after laser vision correction surgery, without a clinically or
257 statistically significant difference in the mean. Earlier work has shown that variations in CCT
258 can introduce inaccuracies in IOP measurements using different forms of tonometry^{24,25}, and
259 that corneal biomechanical properties may even have a greater impact on IOP measurements
260 than CCT.^{3,7} In fact, the tangent modulus (a measure of material stiffness) has been reported
261 to determine the relationship between the CCT and IOP measurement error in applanation
262 tonometry, with stiffer corneas having the strongest relationship between CCT and IOP
263 measurement error.^{3,7}

264 With laser vision surgery, in addition to the CCT reduction caused by tissue ablation,
265 softening of tissue would be expected due to the separation of the flap in FS-LASIK.
266 However, the fact that bIOP measurements remained almost unaltered after surgery is an
267 indication that bIOP estimates are less influenced by changes in both CCT and material
268 properties than the uncorrected IOP measurements.¹⁵ These results are compatible with an
269 earlier study using a database involving 634 healthy eyes where application of the bIOP
270 algorithm led to weaker associations of IOP measurements with both CCT (from $r^2 = 0.204$,
271 3.06 mmHg/100 microns to $r^2 = 0.005$, 0.04 mmHg/100 microns) and age (from $r^2 = 0.009$,
272 0.24 mmHg/decade to $r^2 = 0.002$, 0.09 mmHg/decade).²²

273 In the present study, postoperative changes in DA ratio 2 mm and integrated inverse
274 radius after tPRK are significantly smaller than those for FS-LASIK. The original parameter
275 DA is defined as the maximum amplitude when the cornea is deformed to its greatest concave

276 curvature by an air puff and is influenced by corneal stiffness.²⁶ It is well known that thinner
277 corneas have a tendency to demonstrate higher DA than thicker corneas with similar IOP.²⁶ In
278 a previous study investigating the differences in corneal deformation parameters after SMILE,
279 laser-assisted subepithelial keratomileusis (LASEK) and FS-LASIK with adjustment for age,
280 preoperative CCT and MRSE, postoperative DA in the FS-LASIK was significantly higher
281 than in the LASEK.¹⁶ Considering that DA ratio 2 mm represents the ratio between DA at the
282 apex and the average of two points located 2 mm on either side of the apex, our current
283 results that changes in DA ratio 2 mm – after adjustment for changes in refractive error,
284 corneal thickness, corneal volume, or ARTh – are significantly smaller in tPRK than FS-
285 LASIK are in line with the previous study. Both studies indicate that the corneas after FS-
286 LASIK were less resistant to deformation than those after surface ablations such as PRK and
287 LASEK. Since PRK did not create a flap (as in LASIK), its effect on the corneal structural
288 integrity is less than with the LASIK.^{27,28 29}

289 The major structural change in any type of laser vision correction is the tissue
290 removed to generate the refractive effect, regardless of whether it is ablated from the surface
291 or under a flap. Evidence is the similar change in the stiffness parameter, SP-A1 between the
292 two groups. It is expected that this tissue removal generates the majority of the biomechanical
293 response and its location at the surface or within the corneal depth have smaller effects on the
294 biomechanics. The current study indicates that surface ablation has the smallest additional
295 effect on corneal biomechanics, consistent with the literature and evidenced by the smaller
296 changes in DA ratio 2 mm and integrated inverse radius, as discussed. Moreover, in case of
297 the tPRK, there were strong relationships between new DCR parameters (Δ DA ratio 2 mm,
298 Δ SP-A1, Δ ARTh, and Δ integrated inverse radius) and refractive error change or corneal
299 thickness change, when compared with the FS-LASIK.

300 We performed the ANCOVA with corneal thickness change as a co-factor because

301 corneal thickness is known to be an important factor affecting the biomechanical response of
302 the cornea.^{14,30} In our study, corneal thickness change was found to be a moderate, but
303 significant confounder. In terms of IOP, we showed that bIOP obtained from the Corvis ST,
304 which is already adjusted for corneal thickness and corneal biomechanical response, was
305 stable before and after tPRK and FS-LASIK, demonstrating no significant difference. Thus,
306 we did not include changes in bIOP as a co-factor during the ANCOVA analysis.

307 The present study had limitations in its retrospective design and the relatively short
308 follow up time of 6 months. While the study presented significant evidence on the stability of
309 bIOP and validity of DCR parameters, a larger sample size and longer follow up would allow
310 a more thorough biomechanical comparison between laser vision surgery procedures. This
311 will be done within a prospective controlled comparative paired-eye study comparing several
312 laser vision surgeries.

313 In summary, we demonstrated the reliability of the bIOP estimates obtained by the
314 Corvis ST through the stability of its measurement following surface ablation or lamellar
315 procedure. This result indicated the reduced effect of changes in corneal thickness and
316 material behavior on bIOP measurements, compared to uncorrected IOP estimates. Most
317 notably, changes in corneal structural integrity in tPRK are significantly less than those in FS-
318 LASIK. The study also showed that new DCR parameters, such as DA ratio 2 mm, SP-A1,
319 ARTh, and integrated inverse radius, can be helpful as reliable measures of the biomechanical
320 changes in the cornea caused by laser vision surgery.

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423 Figure captions

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425 Figure 1. Scatter plots and results for simple linear regression analysis between changes in

426 dynamic corneal response parameters or biomechanically-corrected intraocular pressure, and
427 changes in refractive error change, corneal thickness change, corneal volume change, or
428 ambrósio relational thickness through the horizontal meridian change between transepithelial
429 photorefractive keratectomy and femtosecond laser-assisted laser in situ keratomileusis. tPRK,
430 transepithelial photorefractive keratectomy; FS-LASIK, femtosecond laser-assisted laser in
431 situ keratomileusis; DA, deformation amplitude; MRSE, manifest refraction spherical
432 equivalent; CCT, central corneal thickness; ARTh, ambrósio relational thickness through the
433 horizontal meridian; SP-A1, stiffness parameter at first applanation; bIOP, biomechanically-
434 corrected intraocular pressure.