

A window on the deep ocean: The special value of ocean bottom pressure for monitoring the large-scale, deep-ocean circulation

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1 **Abstract**

2 We show how, by focusing on bottom pressure measurements particularly on the global con-
3 tinental slope, it is possible to avoid the “fog” of mesoscale variability which dominates most
4 observables in the deep ocean. This makes it possible to monitor those aspects of the ocean
5 circulation which are most important for global scale ocean variability and climate. We there-
6 fore argue that such measurements should be considered an important future component of
7 the Global Ocean Observing System, to complement the present open-ocean and coastal el-
8 ements. Our conclusions are founded on both theoretical arguments, and diagnostics from a
9 fine-resolution ocean model that has realistic amplitudes and spectra of mesoscale variability.
10 These show that boundary pressure variations are coherent over along-slope distances of tens
11 of thousands of kilometres, for several vertical modes. We illustrate the value of this in the
12 model Atlantic, by determining the time for boundary and equatorial waves to complete a cir-
13 cuit of the northern basin (115 and 205 days for the first and second vertical modes), showing
14 how the boundary features compare with basin-scale theoretical models, and demonstrating the
15 ability to monitor the meridional overturning circulation using these boundary measurements.

16 Finally, we discuss applicability to the real ocean and make recommendations on how to make
17 such measurements without contamination from instrumental drift.

18 **1 Introduction**

19 In monitoring the global ocean circulation we are faced with a major challenge in the form of the
20 wide disparity in length scales involved. A recent review (Wunsch, 2016) highlighted how this
21 challenge limits what can be said about large-scale, integral properties of the ocean. In essence,
22 the issue is that ocean currents are dominated by mesoscale variability (Ferrari and Wunsch,
23 2009), with natural length scales of order 10–100 km, so that any one in-situ measurement is
24 only representative of a very small region of the ocean. Quantification of mapping accuracy
25 requires a knowledge of the frequency-wavenumber spectrum of ocean variability. To this end,
26 Wortham and Wunsch (2014) have made an effort to characterise this spectrum as seen in the
27 primary physical variables of pressure (and sea level), velocity and density (or temperature and
28 salinity). Their spectrum varies regionally, and most of this variation is designed to reflect the
29 varying characteristics of mesoscale eddies around the world.

30 One method of obtaining large-scale information is to use a variable which intrinsically
31 integrates some property. Earth rotation measurements are one such variable, but can be
32 difficult to interpret because the integral involves the entire Earth system, not just the ocean.
33 Somewhat more focused is the Earth’s gravity field as measured by the GRACE satellite mission.
34 This has provided extremely valuable information about variations in total ocean mass and the
35 sources of water responsible for these changes (Dieng et al., 2015) and is a crucial element of the
36 ocean and Earth observation system, although it does suffer from some of the same ambiguities
37 as Earth rotation, the influence on long time scales of long term plastic deformation of the
38 earth, particularly with respect to the pole tide, remains contentious (Wahr et al., 2015), and
39 it is limited to providing relatively coarse resolution information on ocean bottom pressure
40 variations.

41 A second way to obtain large-scale information is to have good sampling over the entire
42 ocean. In this respect, satellite altimetry is a particularly powerful system, with sufficient
43 sampling to average out most of the mesoscale variability. Once the trend and seasonal cycle
44 has been removed, the measured variability in global mean sea level has a standard deviation

45 of only 2.5 mm, a level of noise which allows for detection of a trend of 1 mm yr^{-1} from only
46 2 years of data, compared to a typical requirement for local sea level which is measured in
47 decades (Hughes and Williams, 2010).

48 The Argo float program sampling is now sufficiently dense that a similar noise reduction is
49 apparent in estimates of upper ocean heat content (Wunsch, 2016), although the sampling is
50 significantly poorer than altimetry, and even altimetry leaves significant room for improvement
51 with the present nadir-sampling systems only measuring thin lines along the ocean surface.
52 These systems are providing very important inventory information; how much water there is
53 in the ocean and in different density classes. What they cannot generally do is provide useful
54 transport estimates.

55 To the extent that the ocean is in geostrophic balance, pressure and sea level represent
56 naturally integrating variables, pressure difference at a particular latitude and depth being
57 proportional to the integrated horizontal current perpendicular to the section. Unfortunately,
58 to obtain a useful integral it must be from boundary to boundary, otherwise the end points are
59 likely to be in regions of strong mesoscale variability and the integral will still be dominated
60 by the mesoscale (Wunsch, 2008). For sea level this is a problem because the boundaries are in
61 shallow water where locally-driven dynamics can dominate, as the direct effect of wind stress
62 on sea level is inversely proportional to the depth. Viscous processes also become important
63 in shallow water, so geostrophic balance does not hold. Furthermore, the boundaries are the
64 most troublesome region for satellite altimetry. Here, special measures must be taken to apply
65 the standard path-length corrections to altimetry, tidal variability is typically larger and more
66 complicated than in the open ocean, and temporal aliasing is more important (Vignudelli et al.,
67 2011).

68 To give an idea of the size of the signals we are interested in, a good rule of thumb is that,
69 at mid-latitudes where the Coriolis parameter f is about 10^{-4} s^{-1} , a sea level difference of 1 cm
70 (or a pressure difference of $1 \text{ mbar} = 1 \text{ hPa}$) reflects a transport of 1 Sv (Sv stands for sverdrup,
71 a unit of $10^6 \text{ m}^3\text{s}^{-1}$), on the assumption that the associated geostrophic flow penetrates to 1000
72 m depth. This is the transport associated with about a 5% change in the Atlantic meridional
73 overturning circulation (AMOC), for example, and is the size of change we might aspire to
74 monitor if changes in global ocean circulation are considered.

75 To put these numbers into context with the mesoscale variability, Figure 1 (top) shows the

76 standard deviation of sea level from 20 years of satellite altimetry (trend, annual and semiannual
77 cycle removed). This is deliberately plotted using a saturated colour scale, in order to show
78 how few regions approach variability of only a few centimetres.

79 It is not just the amount of variability that matters, but also its spectrum in both space and
80 time. For the frequency spectrum, given a certain standard deviation, it is helpful for climate
81 monitoring if the variability is dominated by the highest frequencies, since high frequencies
82 can be averaged out more effectively if sampling frequency is high enough. Figure 1 (bottom),
83 updated from Hughes and Williams (2010), illustrates the variability in the shape of the spec-
84 trum in a relatively intuitive way: it simply shows the colours which would be perceived if the
85 spectrum of sea level variability was translated to a light spectrum, with periods 2–24 weeks
86 mapped on to the visible range, corresponding to wavelengths of 380–760 nm.

87 More detailed explanation of these colour plots and their scale bars is given in the appendix,
88 but they should not be interpreted in a very quantitative way. For present purposes, the value
89 of these colour spectrum plots is as a qualitative condensation of a combination of information
90 about amplitude of variability (brightness) and spectral shape (colour), which we can also
91 exploit when looking at model diagnostics. Blue colours tend to represent relatively higher
92 variability at high frequencies, and similar colours are often representative of similar processes,
93 but more detailed analysis is needed to confirm this. We will not attempt similar diagnostics
94 for the spatial spectrum because, as we will find, bottom pressure is strongly influenced by
95 topography, so the along-slope and across-slope variations can be very different, something
96 which is difficult to account for with wavenumber spectra in the presence of complex topography.

97 Our purpose in this paper is to illustrate the value of ocean bottom pressure measurements,
98 and to make the case that such measurements, in particular regions, should be a major part of
99 a global ocean observing system. In the following sections, we will see that bottom pressure is
100 quieter than sea level, and has a “whiter” characteristic spectrum (meaning that it will appear
101 more blue in the spectral colour plots). We will also find that mesoscale variability is strongly
102 damped by steep topography, and give a theoretical reason why that should be expected.
103 Focusing on the steep topography of the continental slope, we will show how this allows us
104 to see global scale ocean processes and to access diagnostics which test simple theoretical
105 representations of the global ocean circulation, particularly the AMOC.

106 We will make these arguments based on diagnostics from a fine resolution global ocean

107 model. While we will only illustrate these arguments with one model, we have investigated
108 a number of different models with a range of resolutions and architectures, and the general
109 findings we present are robust.

110 Section 2 describes the model runs, and general aspects of the data analysis, Section 3
111 discusses the variability and spectra of model sea level and bottom pressure, demonstrating
112 how different bottom pressure is and describing some general features. Section 4 presents a
113 theoretical argument explaining why the mesoscale signal is so strongly suppressed in bottom
114 pressure, particularly over steep topography. Section 5 focuses on the Atlantic continental
115 slope, illustrating the striking coherence of dynamical signals over large distances, and making
116 some links to theoretical ideas and simple models, particularly in the context of the AMOC.
117 Finally, in Section 6 we discuss how this can be applied in the real ocean, highlighting the
118 capabilities and deficiencies of present technology and some possibilities for the future.

119 **2 Model descriptions**

120 The model diagnostics are mainly from the National Oceanography Centre run N006 of the
121 $1/12^\circ$ global NEMO model. This is a single integration of NEMO v3.6 encompassing years
122 1958-2012 (inclusive), though it has more recently been extended to 2015. The model is forced
123 by the Drakkar Surface Forcing data set version 5.2, which supplies surface air temperature,
124 winds, humidity, surface radiative heat fluxes and precipitation (Dussin et al., 2014; Brodeau
125 et al., 2010). To prevent excessive drifts in global salinity due to deficiencies in the fresh water
126 forcing, sea surface salinity is relaxed toward climatology with a piston velocity of 33.33 mm
127 $\text{day}^{-1} \text{ psu}^{-1}$. Sea ice is represented by the Louvain-la-Neuve Ice Model version 2 (LIM2) sea-
128 ice model (Timmerman et al., 2005). Bottom topography is represented as partial steps and
129 bathymetry is derived from ETOPO2 (U.S. Department of Commerce, 2006). Climatological
130 initial conditions for temperature and salinity were taken in January from PHC2.1 (Steele et al.,
131 2001) at high latitudes, MEDATLAS (Jourdan et al., 1998) in the Mediterranean, and Levitus
132 et al. (1998) elsewhere. More details of the model and validation of its representation of the
133 AMOC can be found in Moat et al. (2016).

134 There is no atmospheric pressure forcing, so the sea level can be considered to be equiv-
135 alent to the inverse barometer-corrected dynamic topography provided in the satellite data.

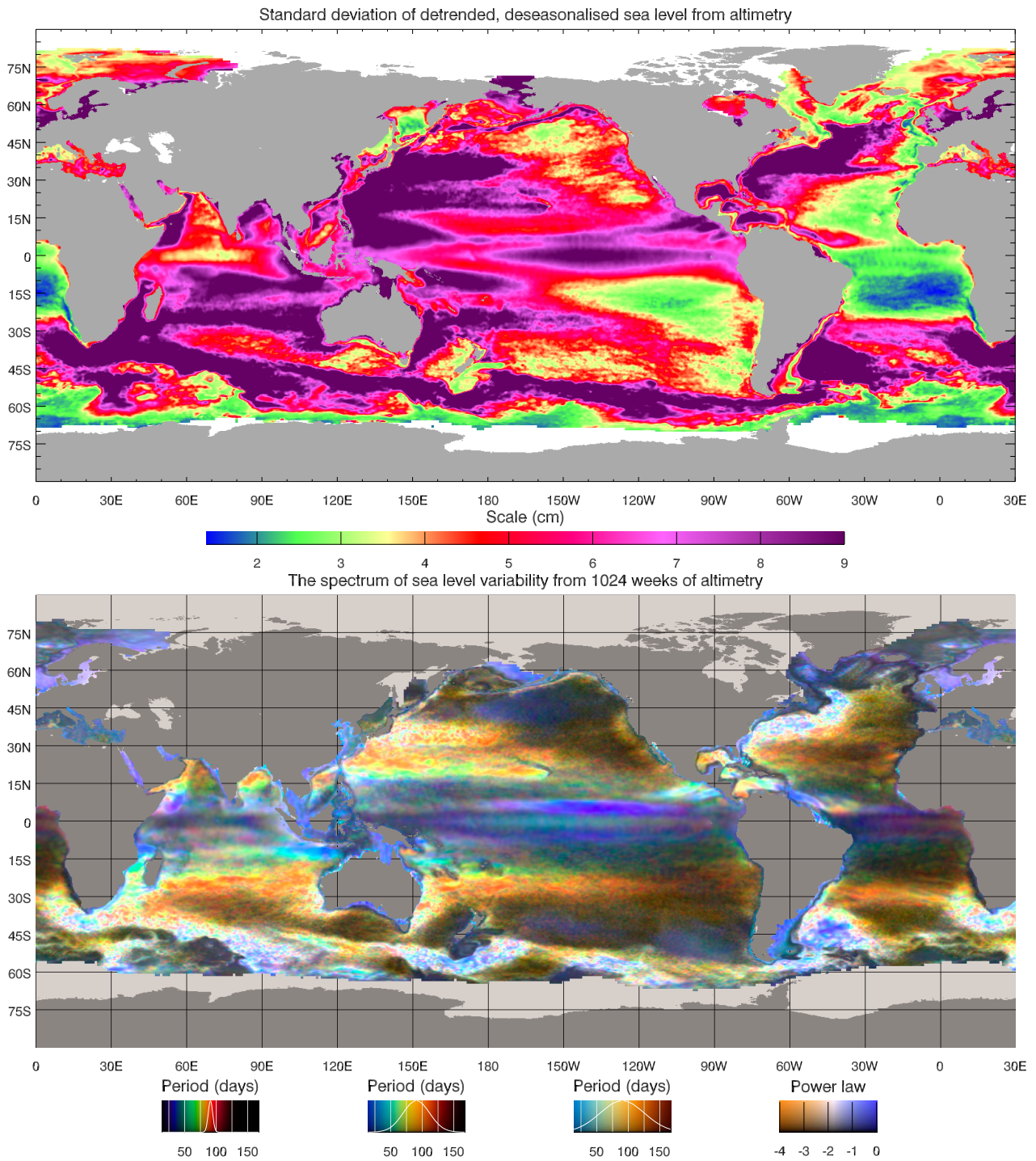


Figure 1: Sea level variability from satellite altimetry, after subtraction of a linear trend, annual and semiannual cycle, showing (top) standard deviation over 22 years, 1993–2014 inclusive, on a saturated scale, and (bottom) the spectrum of variability (from 1024 weeks from 1993 to mid-2012) as described in the text, the appendix, and in Hughes and Williams (2010).

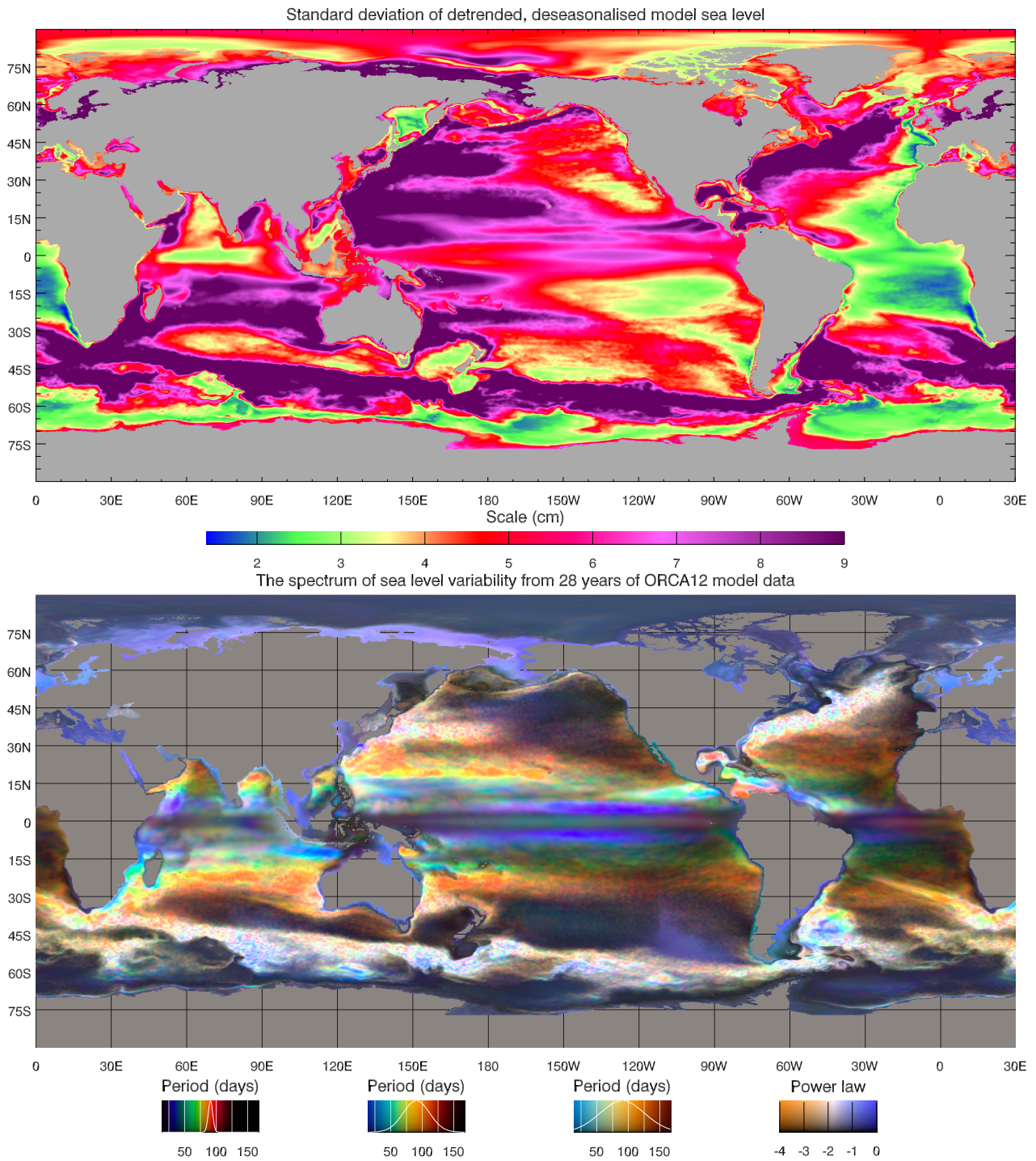


Figure 2: Sea level variability from 54 years of ocean model data (5-day means), after subtraction of a linear trend, annual and semiannual cycle, showing (top) standard deviation on a saturated scale, and (bottom) the spectrum of variability from a 28-year subset starting in 1980, as described in the text,, the appendix, and in Hughes and Williams (2010).

136 The output data are averaged over 5-day periods which start at the beginning of each year,
137 giving 73×5 -day means per year (the last day of leap years is thus not saved). The model is
138 volume conserving (Boussinesq), so we calculate bottom pressure from sea level (multiplied by
139 acceleration due to gravity and surface density) plus an integral of gravity times density using
140 hydrostatic balance exactly as implemented in the model, then subtract off the global area-
141 averaged pressure at each time to enforce mass conservation. The corresponding adjustment
142 to global area-averaged sea level was also made, as described by Greatbatch (1994).

143 The nominal $1/12^\circ$ resolution is on the tripolar ORCA12 grid, which is regular in longitude
144 south of 20°N , with Mercator latitude spacing chosen to make square grid cells. In the northern
145 hemisphere, the grid distorts to avoid producing a pole in the Arctic Ocean, instead having two
146 poles over land. Over ocean points, the linear resolution (square root of grid cell area) thus
147 varies between 9.27 km at the equator, 2.09 km at 77°S (the southernmost point), and 1.75 km
148 in the Canadian Arctic.

149 Preliminary analyses showed that annual and semiannual signals frequently show clearly
150 above the background spectrum, but higher harmonics generally do not, though they can with
151 sufficient averaging of spectra. Most of the diagnostics presented here are from the 54-year
152 period 1959 to 2012 inclusive, after subtraction of a mean, annual cycle, semiannual cycle and
153 linear trend, determined by simultaneous least squares fitting. The exception is the spectral
154 colour plots, which use a period from 1980 to January 2008 (this gives a time series length of
155 2048 values, representing 28 years and 20 days).

156 In addition to the NEMO data, we also show some diagnostics from the Advanced Global
157 Barotropic Ocean Model (AGBOM). This is a global ocean model with constant water den-
158 sity, at 0.25° resolution, based on that described in Stepanov and Hughes (2004). It uses a
159 simple parameterisation of self-attraction and loading with a proportionality factor $\beta = 0.12$
160 as described in that paper (this is of importance mainly for the variability at periods of a few
161 days or less, including the tides). It is forced using 6-hourly atmospheric pressure and wind
162 stress from the ERA-40 reanalysis (Uppala et al., 2005) as well as 17 components of diurnal and
163 semidiurnal tidal forcing. For present purposes, tides are removed by filtering before forming
164 5-day means. We use a time series of 1080 5-day means, which covers approximately 14.8 years.

165 Ideally we would have a single-layer model with exactly the same configuration and forcing
166 as the NEMO run, but this is not available. However, there are some advantages to using

167 AGBOM, as it fills in some missing physics such as tides and the response to varying air
168 pressure, which are absent from the NEMO run. As we will see, the AGBOM results do appear
169 consistent with NEMO in the relevant frequency ranges, which testifies to the robustness of
170 the barotropic modelling, since the model configurations and discretisations are quite different.
171 We expect the main influence of atmospheric pressure variability on bottom pressure to be
172 seen on time scales shorter than a month (Stepanov and Hughes, 2006), and this expectation
173 is consistent with the spectra we present in the next section.

174 **3 Spectral analyses**

175 In this section we start by examining and discussing global maps related to the amplitude
176 and spectra of sea level variability, showing how it is partitioned into signals related to steric
177 and mass (bottom pressure) variability. We discuss the known forms of variability, and draw
178 attention to the relative quietness of bottom pressure, especially along the continental slope.
179 We then present more detailed spectral analyses, averaged over different regions chosen based
180 on what we have learned from the maps. These highlight the fact that the part of the spectrum
181 which represents mesoscale variability is much weaker in bottom pressure than in sea level,
182 especially on the steep continental slopes.

183 Figure 2 shows the same diagnostics, standard deviation and spectrum of sea level, from the
184 NEMO model as were shown from altimetry in Figure 1. It is immediately clear that the model
185 is performing well in reproducing much of the variability of the real ocean, not just in ampli-
186 tude but also in spectral characteristics. The two figures are not precisely comparable, being
187 based on different lengths of time series, with different temporal sampling (5-day means for the
188 model, nominal 20-day low-pass filter for altimetry) and different spatial filtering (determined
189 by resolution and parameterised friction in the model, and by a compromise between satellite
190 track spacing, observed scales of variability, instrumental noise and high frequency ocean pro-
191 cesses for the altimetry). Nonetheless, many features of the observations are reproduced in the
192 model at very similar amplitudes.

193 The spectrum plots in figures 1 and 2 focus on timescales associated with mesoscale vari-
194 ability, which is appropriate as that is the main source of “noise” with respect to our aim of
195 measuring large-scale variability. As noted by Hughes and Williams (2010), we see that in many

196 regions the coastal and shelf sea variability has a blue tinge in the spectrum, and is separated
197 by a region of lower variability near the top of the continental slope from a differently-coloured
198 spectrum of high variability offshore. This is particularly apparent at mid to high latitudes near
199 the western boundary of the North and South Atlantic, but also occurs elsewhere (as many of
200 the features described in this section are at quite small scales, we recommend that the reader
201 uses the electronic version of this paper to zoom in on the regions being discussed). As a re-
202 minder, blue represents spectral slopes significantly shallower than f^{-2} , so “white noise” would
203 appear intensely blue. We interpret this blue colour on the shelf as the result of the strong
204 influence of wind stress forcing in this region. The minimum of variability near the top of the
205 continental slope is also visible in the standard deviation. This drop-off in eddy amplitude near
206 the western boundary is referred to by Zhai et al. (2010) as the “eddy graveyard”.

207 There are some clear differences between model and observations, for example the plume of
208 high variability stretching to the west and steadily north from the tip of South Africa in the
209 model which suggests a too-regular path of Agulhas rings penetrating into the South Atlantic.
210 However, overall, the similarity in both amplitude and spectral shape (colour) is sufficient to
211 give us confidence that the model can be used to investigate the influence of mesoscale variability
212 on observation of large-scale processes.

213 For comparison, Figure 3 repeats these sea level diagnostics for ocean bottom pressure. We
214 report bottom pressure in mbar, equivalent to hPa. Applying hydrostatic balance as a scaling
215 factor, 1 mbar pressure is equivalent to approximately 1 cm of sea level (the scaling can vary by
216 about 2–3% depending on the local water density and gravity used). Note that, for standard
217 deviation, the colour scale amplitude has been halved compared to the sea level plots, but still
218 the area occupied by saturated scale values has markedly reduced. Similarly, for the spectral
219 colour, the brightness of the plot is exaggerated compared to Figure 2; the effect is equivalent
220 to multiplying the spectral power by a factor of 10 (i.e. the time series are multiplied by $\sqrt{10}$
221 before calculating the colours), which is why the Arctic and Southern Ocean, among other
222 regions, are so much brighter than in the sea level spectrum shown in Figure 2. The quieter
223 nature of bottom pressure has been noted before, in both coarse resolution (Vinogradova et al.,
224 2007) and eddying models (Bingham and Hughes, 2008a), and the remarkably quiet nature of
225 much of the tropics has led to ocean bottom pressure measurements being used to determine
226 the annual cycle of mass exchange between ocean and land (Hughes et al., 2012; Williams et al.,

227 2014; Hsu and Velicogna, 2017).

228 Here, we focus particularly on the spatial distribution of the variability and its spectrum.
229 In addition to the tropics, we see a very quiet region along the eastern boundary continental
230 slope. Compared to sea level (Figures 1 and 2) we see an even more marked and, especially in
231 the Atlantic, a broader minimum in bottom pressure variability along the western boundary
232 continental slope. The spectrum is generally less “red” than sea level (hence the overall blue
233 colour), with the exception of regions of intense eddy activity where the eddy variability also
234 dominates bottom pressure.

235 Several features stand out in the bottom pressure variability. There is often evidence of
236 strong topographic influence, even in regions where the colour of the spectrum suggests a
237 mesoscale influence, with entire sub-basins having a rather constant colour. In the case of
238 the Arctic, the colour is the blue which we will usually come to associate with wind stress
239 forcing, and the entire deep Arctic basin has extremely well correlated variability (not shown),
240 consistent with the barotropic mode first noted by Hughes and Stepanov (2004) and nicely
241 elucidated by Fukumori et al. (2015). The Mediterranean is similar, though a difference in
242 character between the eastern and western basins is apparent. The well-known regions of high
243 barotropic variability in the subpolar North Pacific, the south east Pacific and Indian Ocean
244 sectors of the Southern Ocean (Chao and Fu, 1995; Fu and Davidson, 1995) show up clearly.
245 In the Argentine Basin, the range of colours is indicative of the complex interplay of mesoscale
246 eddies and barotropic basin modes which is known to occur here (Fu et al., 2001; Weijer et al.,
247 2007; Hughes et al., 2007; Fu, 2007). Perhaps the most obvious feature, though, is in the
248 Caribbean Sea, which shows up as bright red in the spectral colour plot. It was this feature of
249 the spectrum, repeated across a wide range of ocean models, which led to the discovery of the
250 Rossby whistle: a 120-day baroclinic basin mode in the region, excited by baroclinic instability
251 of the Caribbean Current (Hughes et al., 2016). This clear, coherent mode dominates the
252 regional bottom pressure, despite having a standard deviation of less than 2 mbar.

253 We have interpreted much of the bottom pressure variability in terms of barotropic modes,
254 but it is not clear that bottom pressure has to be dominated by barotropic dynamics (by which
255 we mean dynamics associated with depth-independent pressure variations). Baroclinic modes
256 also have a bottom pressure signature, especially over weakly sloping topography and close to
257 the equator. In addition, topography causes mode coupling between barotropic and baroclinic

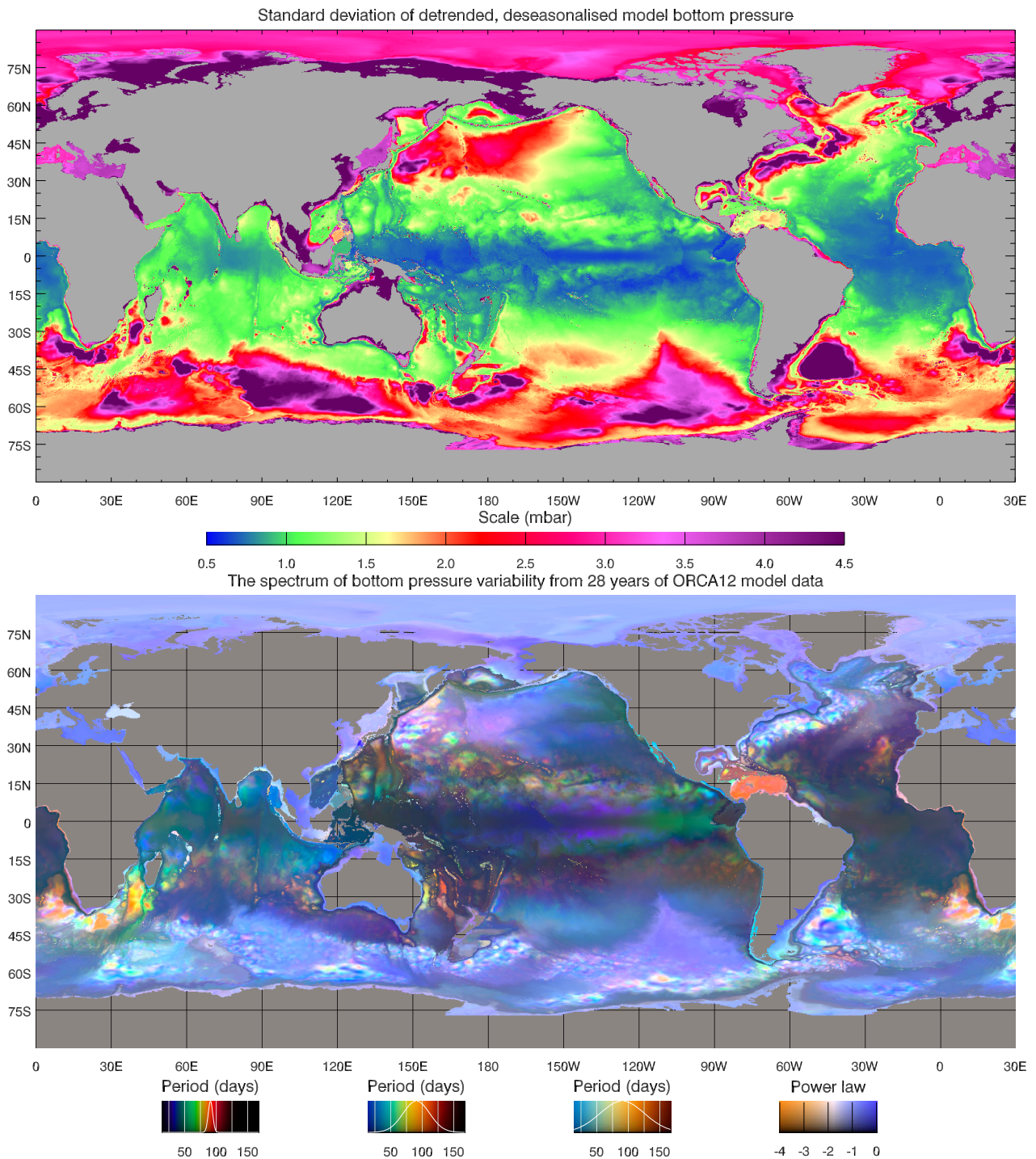


Figure 3: Bottom pressure variability from 54 years of ocean model data (5-day means), after subtraction of a linear trend, annual and semiannual cycle, showing (top) standard deviation on a saturated scale, and (bottom) the spectrum of variability from a 28 year subset starting in 1980, shown brighter than the equivalent sea level spectrum (power increased by a factor of 10).

258 modes, so that barotropic signals in bottom pressure can be the result of baroclinic processes,
259 as in the Caribbean Sea mode. Such mode coupling can also result from nonlinear dynamics.
260 The intense blue bands in the spectrum of sea level either side of the Pacific equator (Figures
261 1 and 2) are associated with Tropical Instability Waves (Legeckis, 1977). Farrar (2011) has
262 recently shown how these waves, which have periods of about 30 days, can radiate beyond the
263 permitted latitude range for baroclinic Rossby waves of that period by partially converting to
264 barotropic waves. The interesting colours in the bottom pressure spectrum in this region thus
265 represent a combination of baroclinic signals and barotropic signals induced by the baroclinic
266 variability.

267 A simple example of such mode coupling is a baroclinic equatorial Kelvin wave which,
268 when it reaches the eastern boundary, will still cause a coastal sea level change despite the
269 water depth becoming too shallow for this to be a baroclinic mode (i.e. shallower than the
270 thermocline depth). This may be what we are seeing on the Atlantic coast of Africa, where
271 the coastal bottom pressure variability has a pink hue in the spectral colour plot. Baroclinic
272 processes, such as the Kelvin wave and associated coastal-trapped waves which may have a
273 baroclinic component, cause a coastal signal which is seen in bottom pressure but not in steric
274 sea level variability. The latter point is confirmed in Figure 4, which shows the variability and
275 spectral colour of the steric component of sea level variability (i.e. the part that is attributable
276 to water column density changes rather than bottom pressure changes). The low variability
277 around coasts and most shelf sea regions confirms that the variability here is predominantly
278 barotropic, with sea level and bottom pressure varying in step as they would in a homogeneous
279 ocean. In the limit of zero depth this is inevitable, as the steric signal, being a depth integral,
280 must tend to zero, meaning density changes do not significantly disrupt the relationship between
281 sea level and bottom pressure.

282 In comparison with Figure 2, Figure 4 shows that most of the blue-purple “haze” visible in
283 the sea level spectral colour originates from the bottom pressure variability, and steric variability
284 produces a sharper-looking plot. We can also see how, for example over many small islands and
285 seamounts in the Indian and Pacific ocean, the sea level spectrum is continuous from deep to
286 shallow water, but the partitioning of variability shifts sharply from being dominated by steric
287 variations in the deep water to bottom pressure variations in shallow water. It seems that such
288 small topographic features offer little dynamical obstacle to the propagation of open-ocean

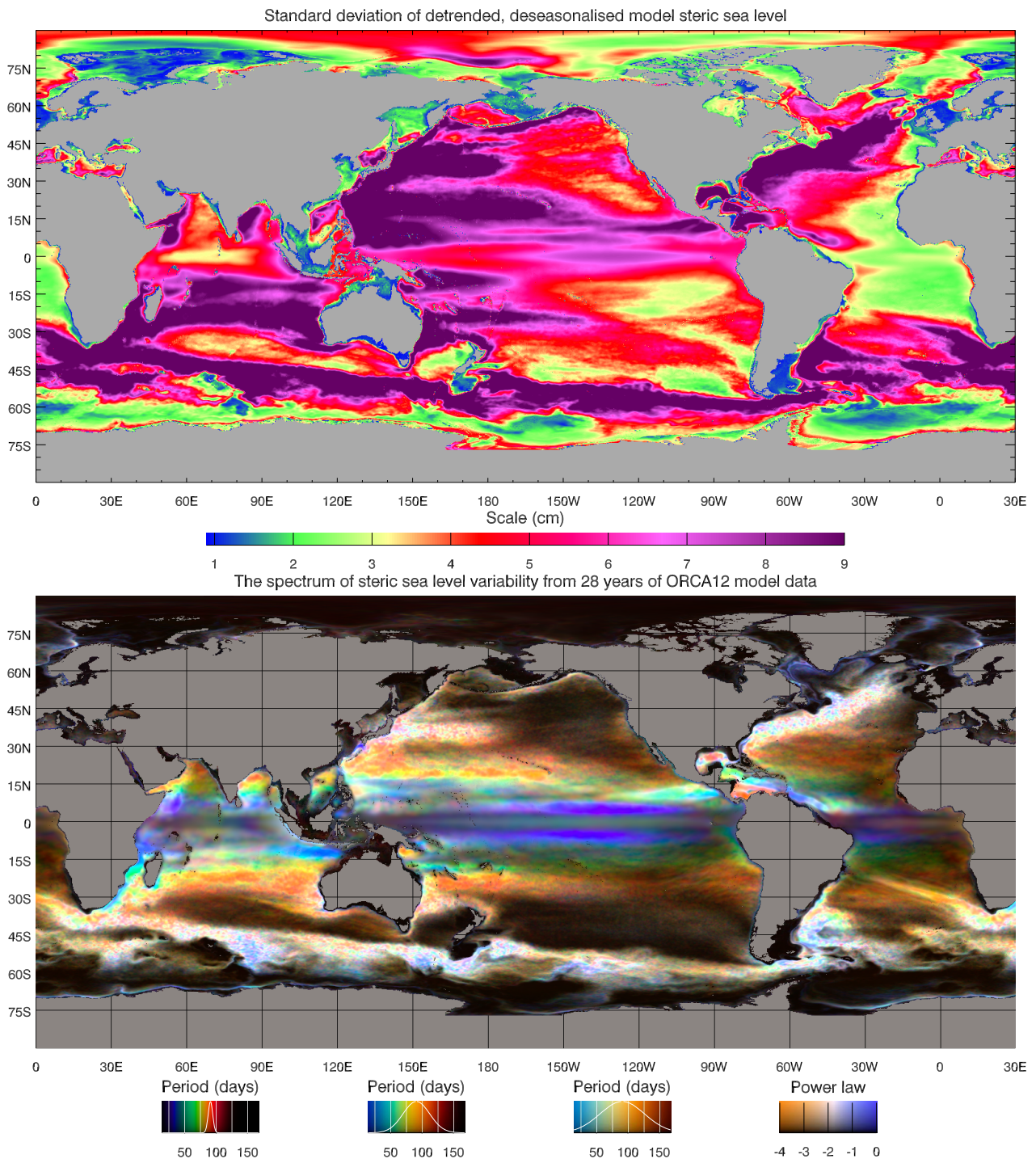


Figure 4: Steric variability from 54 years of ocean model data (5-day means), after subtraction of a linear trend, annual and semiannual cycle, showing (top) standard deviation on a saturated scale, and (bottom) the spectrum of variability from a 28 year subset starting in 1980.

289 sea level signals to the coast, despite the switch between steric and bottom pressure-related
290 sea level change. In fact, Williams and Hughes (2013) showed (in a different high-resolution
291 model) that the relationship between small-island sea level and surrounding open ocean sea
292 level is practically unaffected by the existence of the island topography, for 5-day mean values.

293 From a single model run, mode coupling and nonlinear processes mean that it is impossible
294 to determine which variability is the result of purely barotropic processes. In Figure 5, we show
295 the variability of inverse barometer-corrected sea level (or bottom pressure, which is equivalent
296 in this model) from the AGBOM model. Since this model has constant density, all processes
297 in the model are due to barotropic dynamics. The spectral colour is almost everywhere blue to
298 purple, supporting the idea that much of the bottom pressure variability, particularly at high
299 latitudes and in broad shelf seas, is the result of purely barotropic processes.

300 In contrast, most of the more colourful features in Fig. 3 are absent from Figure 5, strongly
301 suggesting that these features are the result, either directly or indirectly, of baroclinic processes.
302 This includes the deep-water tropics, and most of the eastern boundary regions. It is striking
303 how the low variability region in the barotropic model extends right round the continental
304 slope of the North Pacific. This is not so dramatic in the North Atlantic where even barotropic
305 variability is significant in the western basins. Though this variability is generally smaller than
306 either the steric variability seen in Figure 4, or the total bottom pressure variability in Figure 3,
307 it is comparable in magnitude to the total bottom pressure variability on much of the western
308 continental slope, suggesting that purely barotropic processes are a significant fraction of the
309 total in this region.

310 Putting these diagnostics together we see that pure barotropic variability accounts for much
311 of the blue-white “wash” seen in the sea level variability in Figures 1 and 2, and especially on
312 broad shelf seas, though much of the shelf sea variability on narrower shelves and close to
313 the shelf break is, though locally barotropic, induced by baroclinic processes. The blue-white
314 “wash” is removed when focusing on steric sea level, as shown in Figure 4. Remembering
315 our rule of thumb suggesting we want to measure large-scale signals of order 1 cm, the large
316 amplitude of this mesoscale variability and barotropic shelf sea variability means that, without
317 spatial averaging, sea level is a poor monitor of large-scale ocean circulation except perhaps in
318 a few very quiet regions near eastern boundaries and near the poles.

319 Bottom pressure can be significantly quieter than sea level. Even in bottom pressure the

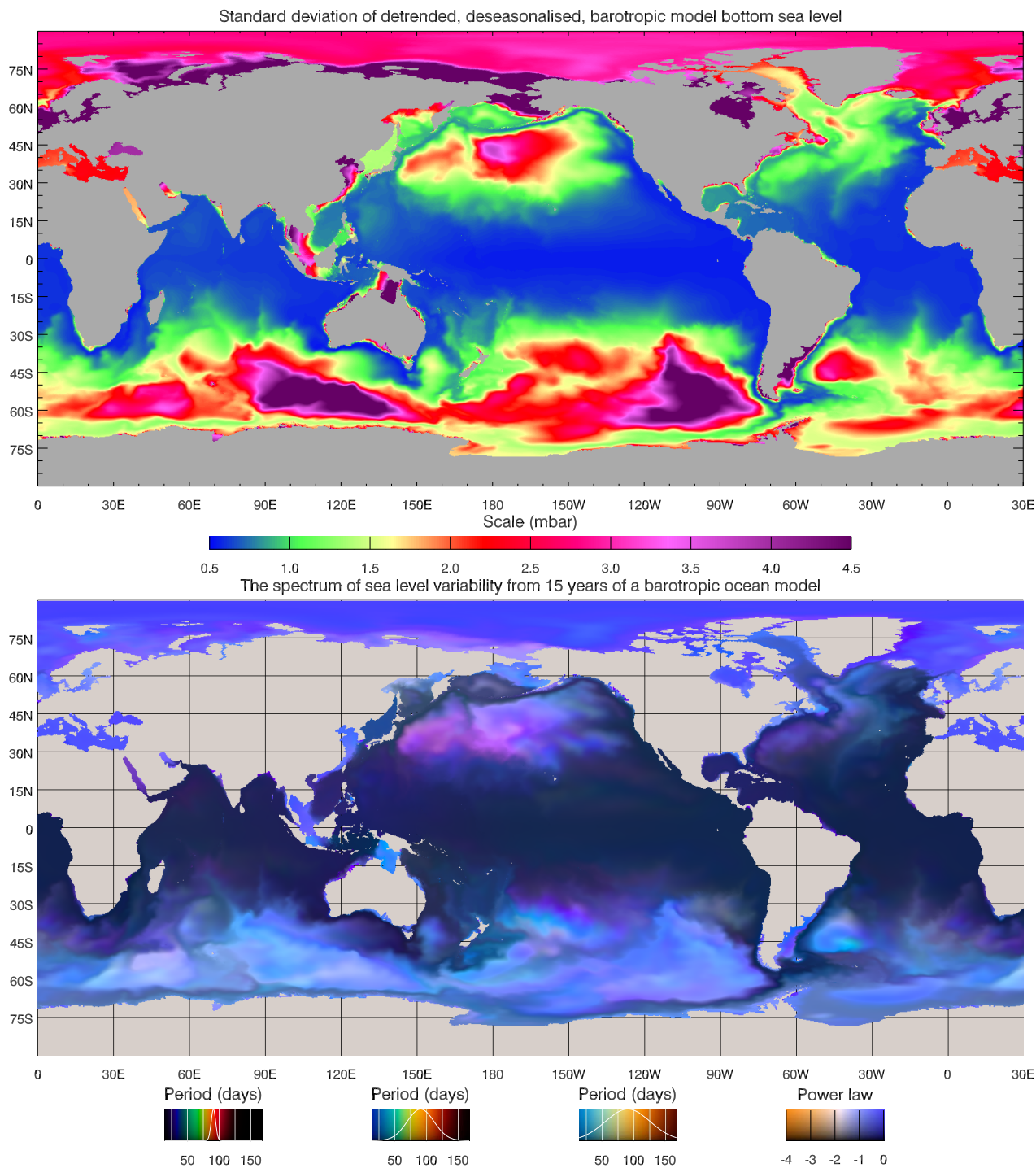


Figure 5: Sea level or bottom pressure variability from 15 years of barotropic ocean model data (5-day means), after subtraction of a linear trend, annual and semiannual cycle, showing (top) standard deviation on a saturated scale, and (bottom) the spectrum of variability, shown brighter than the equivalent sea level spectrum (power increased by a factor of 10).

320 mesoscale variability is too large for climatological monitoring in many regions, especially in
321 the western basins. We have seen that steep topography alone is not sufficient to suppress such
322 variability, as the sea level variability over many small tropical islands and shallow seamounts
323 is almost the same as in nearby deep water. The continental slope, however, is both steep and
324 long. These are the characteristics needed to suppress mesoscale variability, as we will discuss
325 in the next section. The result is illustrated in Figure 3, which shows strong suppression of
326 variability over the continental slope. Combined with Figure 5, which suggests that a significant
327 fraction of even the small variability seen over these regions results from (presumably large-
328 scale) barotropic processes, this suggests that bottom pressure on the continental slope is the
329 most promising variable to monitor in order to measure large-scale, climatological changes in
330 the ocean circulation.

331 While the spectral colour maps are a useful qualitative indicator of the dynamics, they do
332 not provide good quantitative information, and are limited to representing a particular subset
333 of the frequencies which can be resolved. To address this issue, we use the maps to guide us
334 in choice of regions to average over, in order to investigate the spectral shapes and amplitudes
335 in more detail. The most obvious geographical division, in the deep ocean at least, is latitude,
336 so in Figure 6 we show power spectra averaged over the deep ocean in latitude bands, with a
337 secondary partitioning based on how energetic the steric sea level variability is (as an attempt
338 to isolate the influence of nonlinear mesoscale eddy variability). We define “quiet” as regions
339 where the standard deviation of steric sea level variability is less than 6 cm, and “energetic” as
340 where it is greater than 9 cm.

341 Figure 6 contains a lot of information. Focusing first on sea level (red) at latitudes equator-
342 ward of 30° , we see that the spectrum is divided into two regions with a very clear breakpoint.
343 The higher frequency part of the spectrum is often a very straight line, indicating a power law.
344 However, the gradient of the line varies, between almost -4 at the lowest latitudes to about -3
345 at $15\text{--}30^\circ$, and becomes shallower still at higher latitudes. As noted above, the annual cycle
346 and its harmonics are very clear with such averaging, with harmonics as high as 5 cycles per
347 year being clearly visible in some cases.

348 As we move to higher latitudes, a difference between the quiet and energetic regions becomes
349 more apparent. In the quiet regions, the breakpoint in the spectrum is still visible out to
350 latitudes of $45\text{--}60^\circ$, but in the energetic regions it becomes more of a smooth, rounded transition

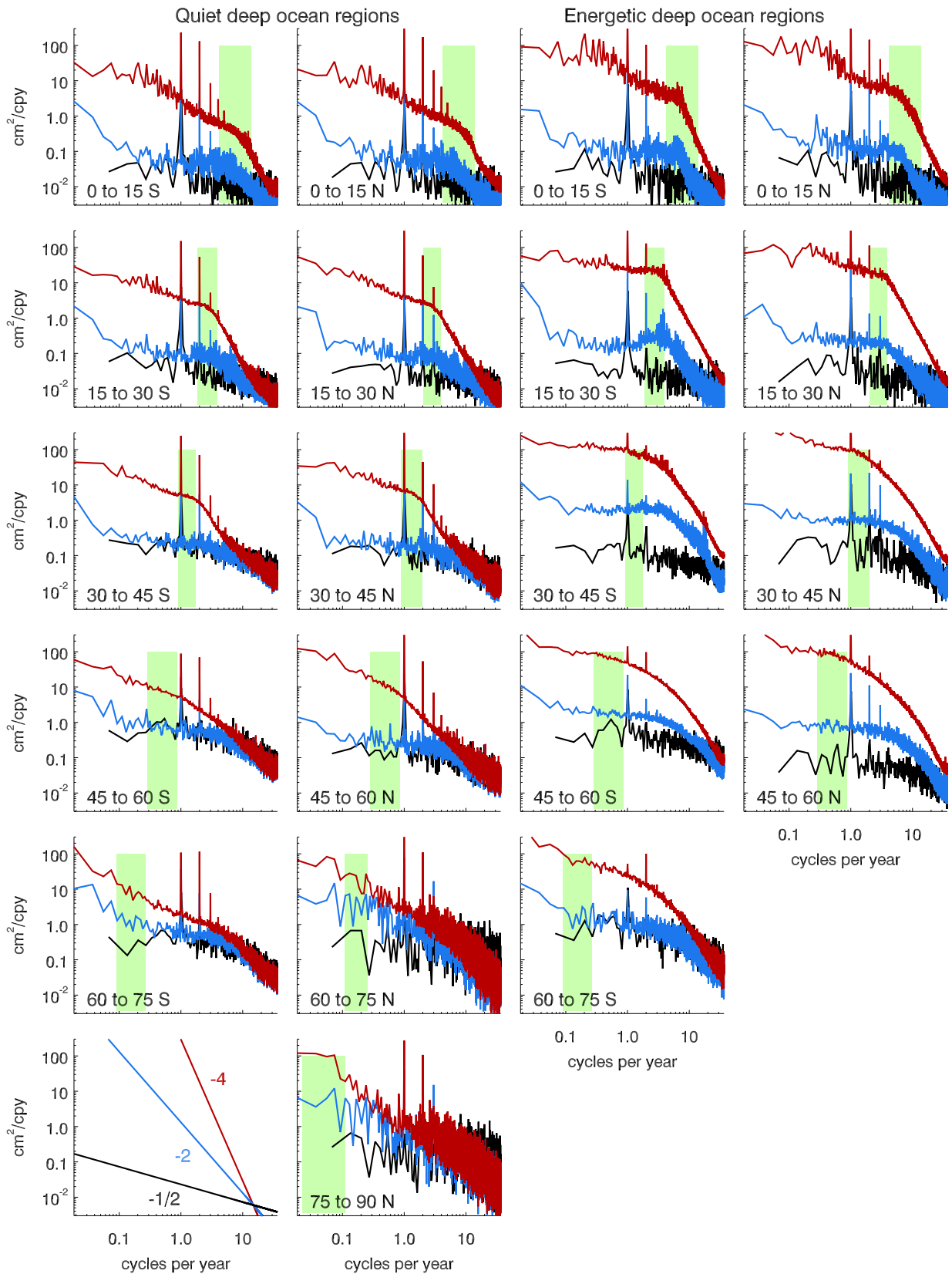


Figure 6: Spectra of NEMO sea level (red), NEMO bottom pressure (blue) and barotropic model sea level (black) averaged over the deep ocean (depth >3200 m) in latitude bands. The spectra are further divided according to the amplitude of steric sea level variability in NEMO, with “quiet” defined as less than 6 cm standard deviation, and “energetic” as more than 9 cm standard deviation. Green bars mark the range of linear baroclinic cut-off frequencies for each latitude range. Some representative power laws are shown in the bottom left plot.

351 rather than a breakpoint. This suggests that the rounded spectrum is indicative of the more
352 nonlinear processes in the higher latitude energetic regions, whereas the breakpoint is related
353 to more linear processes.

354 Finally, at the highest latitudes, the breakpoint is lost and, particularly in the Arctic, the
355 spectrum becomes much more noisy. This is informative in itself. The spectra are formed by
356 area-weighted averaging of the modulus-squared fourier transforms of the time series from each
357 grid point in each region. For a single gridpoint, this would produce a very noisy spectrum, but
358 for multiple gridpoints the different realisations of time series with the same (or similar) un-
359 derlying spectrum, but different phasing of the components, averages out that noise. However,
360 in the Arctic, the time series are all strongly correlated, so there are no different realisations,
361 and no averaging effect is found. The noisiness of the spectra is an indication of the number
362 of spatial degrees of freedom in the variability, so large-scale processes (or small regions) will
363 result in noisier spectra. We see this effect at the high frequency end of many of the curves,
364 where large-scale barotropic processes become dominant.

365 Lin et al. (2008), and Hughes and Williams (2010) attributed the breakpoints to Rossby
366 wave processes. In linear dynamics, Rossby waves are limited to frequencies below a strongly
367 latitude dependent cutoff frequency. This frequency is given by $f = 2\pi/\beta R_1$, where R_1 is the
368 baroclinic Rossby radius and β is the meridional gradient of the Coriolis parameter. The green
369 boxes in Figure 6 show the range of this cutoff frequency for each latitude range, based on
370 zonally averaged values of the Rossby radius taken from Chelton et al. (1998). Where a clear
371 breakpoint is visible, it does indeed lie in this band.

372 Turning now to the other curves in Figure 6, blue shows NEMO bottom pressure, and black
373 shows the AGBOM sea level or bottom pressure. We see that bottom pressure is much quieter
374 than sea level at low frequencies, but approaches sea level at high frequencies where barotropic
375 processes become dominant. In fact, the barotropic model spectra are often more energetic
376 than the NEMO bottom pressure spectra at the very highest frequencies (periods shorter than
377 about two weeks), a fact which may be attributable to the effect of atmospheric pressure forcing
378 (compare Stepanov and Hughes (2006), who find that the inverse barometer correction ceases
379 to be a good approximation at these timescales on a basin scale). Otherwise, the AGBOM and
380 NEMO bottom pressure spectra tend to be very similar in quiet regions. In the more energetic
381 regions, however, the difference between NEMO and AGBOM bottom pressure spectra tends to

382 have a shape reflecting the sea level spectra, suggesting that bottom pressure is being influenced
383 by the energetic mesoscale variability as we deduced from the spectral colour maps.

384 It is also worth remarking that, at periods longer than 10 years, the NEMO bottom pressure
385 spectra start to slope up again. Given that this is not the case for wind stresses (not shown),
386 and that barotropic processes have no memory on timescales longer than a few months, we
387 would not expect this to be the case in AGBOM, though the time series is too short to confirm
388 this. This decadal-to-multidecadal bottom pressure variability may be related to climate-scale
389 ocean dynamics, or to baroclinic ocean model adjustment processes.

390 Since we have identified the continental slope as an important region, Figure 7 shows the
391 spectra averaged over different regions defined by topography. Here, shallow means all regions
392 shallower than 200 m, and deep means regions deeper than 3200 m as before. For the range
393 of depths in between, however, we only include points which are on the global continental
394 slope, excluding seamounts and isolated islands. This region is defined by starting at a latitude
395 on the Atlantic coast of the USA where the continental slope happens to be monotonic, and
396 following depth contours from this section until they close after passing round Europe, Africa,
397 Asia and the Americas. Some deep contours also pass round Australia and New Zealand, and
398 some shallow contours enter and pass round the Arctic and Mediterranean (more detail in the
399 Atlantic is given later in this paper, including a map of the Atlantic part of the continental
400 slope, Figure 8). The definition of the continental slope is thus limited to very long contours
401 in the depth range 200–3200 m.

402 Although not especially energetic in terms of sea level, the shallow region is the most
403 energetic in bottom pressure. Because the definition of “energetic” is based on steric sea level,
404 and steric signals are small in shallow water, there are no points which are both energetic and
405 shallow. As expected, the shallow signals are dominated by bottom pressure, but at the lower
406 frequencies a large fraction of this represents a locally barotropic signal which is induced by
407 baroclinic variability, as it is absent in the purely barotropic AGBOM.

408 In the deep ocean we see a pattern consistent with Figure 6. The pure barotropic AGBOM
409 spectrum is indifferent to whether or not there is energetic steric variability, but some fraction
410 of the steric variability is seen in the NEMO bottom pressure, lifting the spectrum above the
411 barotropic spectrum in energetic regions, but much less so in quiet regions.

412 Over the continental slope, a more interesting result arises. Here, the NEMO bottom pres-

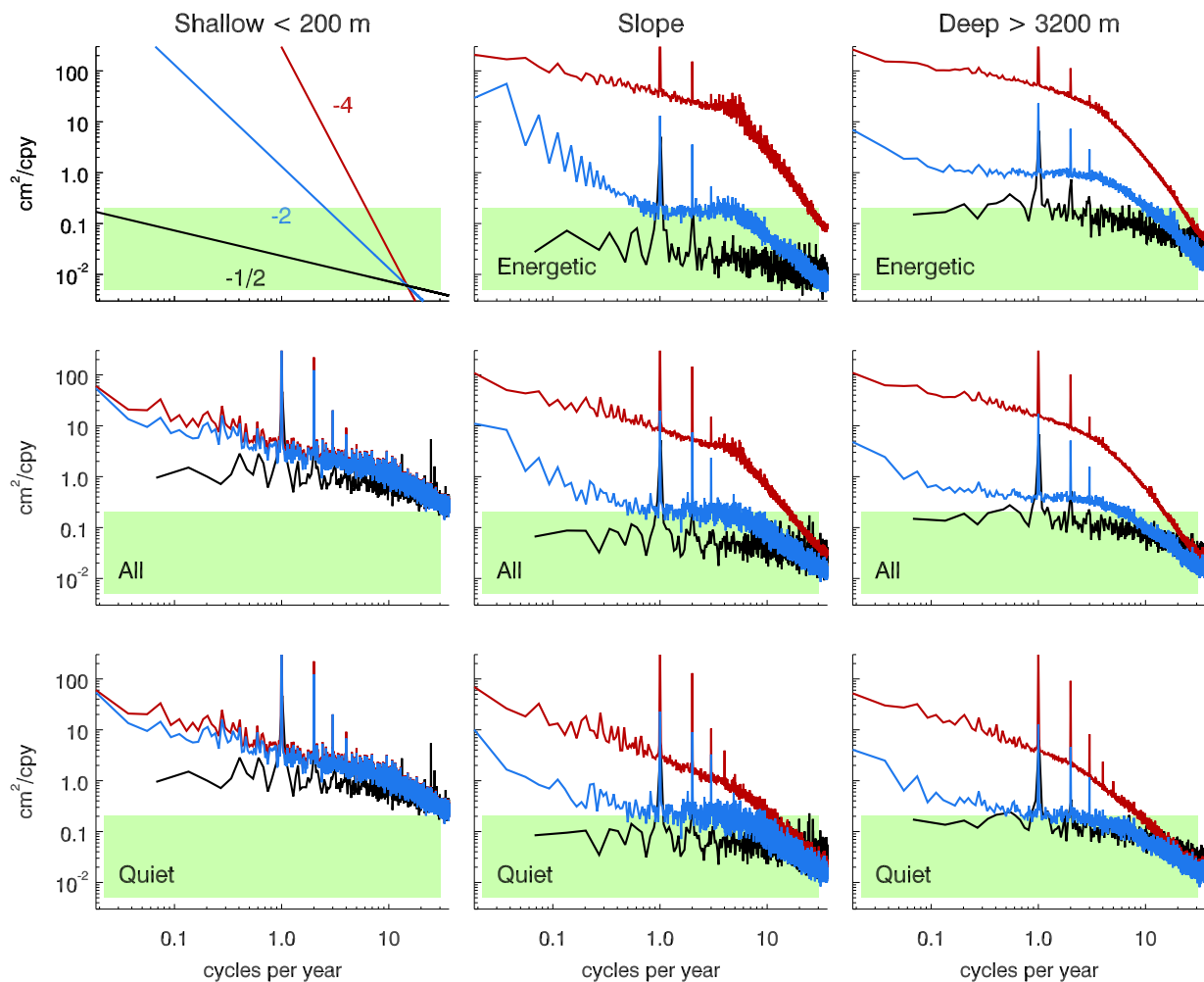


Figure 7: Spectra of NEMO sea level (red), NEMO bottom pressure (blue) and barotropic model sea level (black) averaged over the ocean in regions defined by topography. Shallow is all regions shallower than 200 m, deep is all regions deeper than 3200 m, and in between is the continental slope (see text for a more detailed definition). The top and bottom rows show spectra further divided according to the amplitude of steric sea level variability in NEMO, with definitions as in Figure 6. The green box simply provides a constant reference level for comparison. Some representative power laws are shown in the top left plot.

413 sure is comparable to that in quiet deep ocean regions, whatever the local steric variability.
 414 Particularly at frequencies above about 0.3 cycles per year (periods shorter than about 3 years)
 415 bottom pressure on the slope does not seem to be significantly contaminated by effects as-
 416 sociated with local steric variability, although there is significant variability above the purely
 417 barotropic dynamics in AGBOM. This suggests that, on the continental slope, we have a win-
 418 dow into processes beyond the purely barotropic or purely mesoscale. It is worth noting that
 419 even the purely barotropic processes (black) are less energetic over the slope than either the
 420 deep or shallow ocean.

421 We will investigate this in more detail following a discussion of the theory, but we conclude
 422 this section by noting the clear message of these diagnostics. Although mesoscale variability
 423 may dominate pressures, currents and sea level in the ocean interior, a quite different spec-
 424 trum is apparent in bottom pressure in many regions, and especially on the continental slope.
 425 Bottom pressure is a special variable which gives access to different processes in different fre-
 426 quency ranges than those accessible by other physical ocean variables. This opens up particular
 427 opportunities for ocean monitoring.

428 **4 Theory of mesoscale suppression on the continental** 429 **slope**

430 The argument for suppression of mesoscale energy in bottom pressure on the continental slope
 431 centres on the relationship between bottom pressure p_b and vertical velocity w . The kinematic
 432 boundary condition on near-bottom velocity (neglecting a viscous boundary layer) is $\mathbf{v}_b \cdot \nabla H =$
 433 $-w_b$, where \mathbf{v}_b is the horizontal velocity at the bottom, w_b is vertical velocity at the bottom, and
 434 H is ocean depth, with the sea floor at $z = -H$. If f is the Coriolis parameter ($f = 2\Omega \sin \phi$
 435 where Ω is the Earth's angular rotation rate and ϕ is latitude), p is pressure, and $\hat{\mathbf{k}}$ is the
 436 upward unit vector, we can use geostrophic balance $\rho f \mathbf{v} = \hat{\mathbf{k}} \times \nabla p$ to substitute for \mathbf{v}_b in terms
 437 of pressure. Writing this in a coordinate system in which x is measured along the direction of
 438 the depth gradient (positive towards deep water) and y is along the depth contour, we obtain

$$439 \quad w_b = -u_b \frac{\partial H}{\partial x} = \frac{1}{\rho f} \frac{\partial p_b}{\partial y} \frac{\partial H}{\partial x}, \quad (1)$$

440 which leads to the scaling

$$441 \quad w_b \sim u_b S, \quad (2)$$

442 where S is the bottom slope, and u_b is the bottom horizontal flow toward deeper water, related
443 to the along-slope gradient of bottom pressure.

444 A second scaling for w_b can be obtained from the vorticity equation. Consider the inviscid
445 equation of motion, with Boussinesq and “conventional” approximations:

$$446 \quad \mathbf{v}_t + (f\hat{\mathbf{k}} + \boldsymbol{\omega}) \times \mathbf{v} = -\nabla \left(\frac{p}{\rho_0} + \frac{|\mathbf{v}|^2}{2} + \Phi \right), \quad (3)$$

447 where \mathbf{v} is the horizontal velocity, $\boldsymbol{\omega} = \nabla \times \mathbf{u}$ is the relative vorticity (curl of the 3D velocity),
448 and Φ is the gravity potential. If we take $\hat{\mathbf{k}} \cdot \nabla \times$ of (3) we obtain the vertical vorticity equation:

$$449 \quad \zeta_t + \mathbf{v} \cdot \nabla (f + \zeta) = \nabla \cdot [w(f\hat{\mathbf{k}} + \boldsymbol{\omega})], \quad (4)$$

450 where ζ is the vertical component of vorticity $\boldsymbol{\omega}$. Taking representative horizontal velocity to
451 be U , horizontal eddy length scale L , vertical length scale H and time scale T , this allows us
452 to derive a scaling for w_b . First, we note that $\boldsymbol{\omega}/f$ scales as the Rossby number $\text{Ro} = U/fL$, so
453 that, dimensionally, $f\hat{\mathbf{k}} + \boldsymbol{\omega} \sim f\hat{\mathbf{k}}(1 \pm \text{Ro})$. After an integral from top to bottom, the right hand
454 side of (4) becomes $f(w_a - w_b)(1 \pm \text{Ro})$, where w_a is vertical velocity at the surface, which can
455 be taken as zero for the mesoscale (the Ekman pumping velocity is much smaller than other
456 vertical velocities in this scaling). Dimensionally, introducing a factor H on the left hand side
457 to account for the vertical integral, (4) can then be written

$$458 \quad H [\zeta_t + \mathbf{v} \cdot \nabla (f + \zeta)] \sim f w_b (1 \pm \text{Ro}). \quad (5)$$

459 Scaling the remaining terms and rearranging, this becomes

$$460 \quad w_b \sim \left(\frac{HU}{L(1 \pm \text{Ro})} \right) \left(\frac{1}{Tf}, \quad \frac{L}{R}, \quad \text{Ro} \right), \quad (6)$$

461 where the terms on the right hand side derive from the time dependence, $\mathbf{v} \cdot \nabla f$, and $\mathbf{v} \cdot \nabla \zeta$ terms
462 respectively in (4). Here, R is the Earth’s radius (arising from $f/\beta = R \tan \phi$, approximated

463 as R for mid-latitude regions).

464 Setting these two scalings for bottom velocity, (2) and (6), to be equal, gives

$$465 \quad \frac{u_b}{U} \sim \left(\frac{H}{LS(1 \pm Ro)} \right) \left(\frac{1}{Tf}, \quad \frac{L}{R}, \quad Ro \right). \quad (7)$$

466 The three bracketed terms are precisely the terms which are assumed to be small in the quasi-
467 geostrophic approximation, so they are small for the (large) proportion of the mesoscale eddy
468 field which can be described by quasigeostrophic scaling. In more detail, for the terms to be
469 small, timescales must be long compared to the inertial period and length scales short compared
470 to the Earth's radius, both of which are clearly true of mesoscale eddies. The third requirement,
471 that the Rossby number be small, is effectively the definition of mesoscale (or larger) rather
472 than submesoscale.

473 If the terms in the final brackets are small, then u_b can only become comparable to U if the
474 first term H/LS is large. This term can be interpreted as the aspect ratio of the eddies divided
475 by the slope, and clearly shows how steeper slopes result in smaller u_b/U ratios. Another
476 interpretation is that H/LS is the width of the "extended slope" divided by the eddy length
477 scale, where "extended slope" means an imaginary slope with constant gradient S , and its
478 width is the horizontal distance over which it extends from top to bottom of the water column.
479 Note that the eddies can be smaller than the extended slope, and the total scaling can still be
480 small if the Rossby number is small.

481 Thus, we see that, for mesoscale eddies, the relative suppression of bottom velocities in
482 comparison with mid-water velocities is determined mainly by the Rossby number, the bottom
483 slope, and the eddy scale. For example, a slope of 0.1 in water 2 km deep leads to $H/LS = 1$ at
484 an eddy length scale of 20 km, meaning that bottom velocity suppression occurs for all eddies
485 larger than 20 km at $Ro = 1$, or 2 km at $Ro = 0.1$. The continental slope can be about five
486 times steeper or gentler than a slope of 0.1 in extreme cases, showing that a large fraction of
487 the mesoscale energy cannot penetrate to the bottom over typical continental slopes. There
488 are clearly processes with small length scales or large Rossby numbers which can penetrate to
489 a steeply sloping bottom without attenuation (and even processes which are bottom-trapped),
490 but the bulk of the mesoscale variability cannot do so. Near the foot of the continental slope,
491 the topographic constraint weakens, and bottom-trapped Rossby waves are often observed

492 (e.g. Hogg (2000)), particularly at periods shorter than about 10 days such that $1/Tf$ is only
 493 moderately small. Over the abyssal plain, slopes may not be strong enough to constrain the
 494 bottom velocity so strongly. In these regions, the small bottom pressure variability indicated
 495 by NEMO within most subtropical gyres (Figure 3) must be attributed to the fact that the
 496 energy input is at the surface, and the surface-intensified stratification (especially at lower
 497 latitudes) results in weakened flow below the thermocline. When the Rossby number is the
 498 main constraint we can write the mesoscale suppression factor as

$$499 \frac{H\text{Ro}}{LS(1 \pm \text{Ro})} = \frac{HU}{fL^2S} = \frac{gH\eta}{f^2L^3S}, \quad (8)$$

500 where the last equality invokes geostrophic balance to express the velocity scale in terms of
 501 a sea level scale η (hence $\text{Ro} = g\eta/f^2L^2$). The factor $(1 \pm \text{Ro})$ has been dropped from all
 502 except the first form because, as this makes clear, there is no constraint on the scaling when
 503 the Rossby number approaches 1. From the final scaling we see that, for a given amplitude
 504 of sea level variability, the suppression is sharply dependent on length scale. For example, for
 505 $\eta = 0.1$ m, we obtain $\text{Ro} = 1/4$ at mid-latitudes, and hence a mesoscale suppression factor of
 506 $1/4$ for length scale 20 km. However, for a 40 km length scale, this suppression factor becomes
 507 $1/32$.

508 Furthermore, the influence of this mesoscale suppression is cumulative. Since most of the
 509 mesoscale variability is generated in the open ocean and propagates toward the western bound-
 510 ary (e.g. Zhai et al., 2010), once it encounters the continental slope and the mesoscale suppres-
 511 sion scaling becomes of order 1 or less, this interaction will influence the eddy propagation so
 512 that it does not enter the shallower slope region at all. The raw scaling only applies to eddies
 513 generated over the slope.

514 The argument so far has been in terms of velocities and, in particular, the horizontal velocity
 515 component that is constrained at the bottom, u_b , is that perpendicular to depth contours; there
 516 is no constraint on the velocity along depth contours as these have no associated vertical velocity.
 517 Translating this into pressures, the constraint on u_b/U should be interpreted as a constraint
 518 tending to reduce the bottom pressure gradient along depth contours in relation to a typical
 519 mid-depth pressure gradient. Locally, this means that bottom pressure will be close to being
 520 a function of H , with that function varying slowly with distance along the continental slope.

521 The ability of the mesoscale to excite only flows with much longer scales along than across
522 depth contours therefore means that the mesoscale-induced along-topography flows tend to be
523 an integral of mesoscale influences over length scales typically much larger than the mesoscale
524 itself. As this integral will tend to include forcing of both signs, the integration will usually
525 have the effect of reducing even this component in comparison with typical mesoscale pressure
526 gradients. The exception to this is when the depth contours are closed over distances which
527 are not large compared to the mesoscale length scale, so no averaging occurs. This explains
528 why small islands do not act as a significant barrier to bottom pressure signals, and why the
529 suppression is limited in the case of the Caribbean Sea Rossby whistle mode (Hughes et al.,
530 2016). In fact, closed depth contours (more strictly, closed contours of H/f) lead to a coupling
531 of stratification and bottom pressure which excites a barotropic circulation around the closed
532 contours, enabling baroclinic disturbances to rapidly skip across such closed contour regions
533 (Marshall, 2011). The averaging effect of long contours is clearly an important consideration.

534 To summarise this scaling analysis in simple terms, the vorticity balance places a constraint
535 on the vertical stretching of water columns, which limits the possible size of the vertical velocity
536 at the bottom. The vertical velocity at the bottom is coupled to the horizontal velocity via the
537 fact that flow cannot pass through the seafloor, so horizontal bottom velocities lead to larger
538 vertical velocities where the slope is steep. For steep continental slopes and typical mesoscale
539 conditions, these two scalings turn out to be incompatible if we use the same horizontal velocity
540 scaling in each case. As a result, the horizontal bottom velocity (and hence the along-slope
541 pressure gradient) at the bottom must be much smaller than the typical near-surface velocities
542 (pressure gradients), and mesoscale variability is suppressed in bottom pressures on the conti-
543 nental slope. We have neglected the viscous boundary layer in this scaling on the grounds that
544 it is not generally found to produce a large local perturbation in pressure. That is not to say
545 that it is unimportant in indirectly shaping the larger-scale pressure field. On the contrary, we
546 suspect that it is important for models to represent the slopes and the frictional processes on
547 those slopes as well as possible, and that this may be one of the main limitations of the present
548 generation of ocean models.

549 So far we have presented diagnostics concerning the size and the spectrum of variability,
550 and made a scaling analysis. These suggest that we should see large-scale, coherent variability
551 in bottom pressure on the continental slope, and hence that this would be a good place to

552 monitor large-scale ocean circulation variability while minimising the mesoscale noise. In the
553 next section, we will test this suggestion in more detail.

554 **5 Atlantic variability and continental slope bottom pres-** 555 **sure**

556 For the sake of providing a concrete example, we will focus on the Atlantic Ocean, for which
557 there is an established interest in the long-term climate variability particularly related to the
558 AMOC. Studies of the Pacific and Indian oceans would be of interest in their own right, but
559 only one basin is necessary in order to establish the general principles.

560 A coordinate system for the Atlantic continental slope is devised as shown in Figure 8. This
561 allows us to plot bottom pressure values in two dimensions, distance along the slope (colours),
562 and depth (time is the third dimension). As this coordinate system is used in many subsequent
563 plots, it is worth describing in some detail.

564 Initially, the 2000 m depth contour is followed around the basin as a reference, starting
565 deliberately on the Pacific side of South America so any link between Pacific and Atlantic
566 values can be seen. The contour consists of a set of straight line (actually very short great-circle)
567 segments between positions where the linear interpolation of depths between neighbouring grid
568 points is 2000 m, thus avoiding any rectangular zigzagging around grid boxes. The along-slope
569 distance is then calculated as the sum of the lengths of these short line segments. The black
570 dots in Figure 8 are every 500 km distance along this contour, and are referred to as “nodes”.
571 The ringed numbers are every 10,000 km along, and thus represent distance in units of 10,000
572 km. This is used as the distance axis in later plots. Colours are an additional indicator of
573 distance, with a colour change every 1000 km. Note that the distance is defined following the
574 2000 m contour which, though not as convoluted as a coastline, can be quite convoluted in
575 places. Thus, although the nodes are separated by 500 km along the 2000 m contour, the
576 great-circle distance between nodes is typically (median value) about 390 km.

577 Contours are then followed at other depths, to a maximum of 3200 m, at an interval of 1 m,
578 to identify all the gridpoints associated with each continuous contour (excluding seamounts and
579 other closed contour regions on the slope). Below 3200 m, contours do not pass through Drake

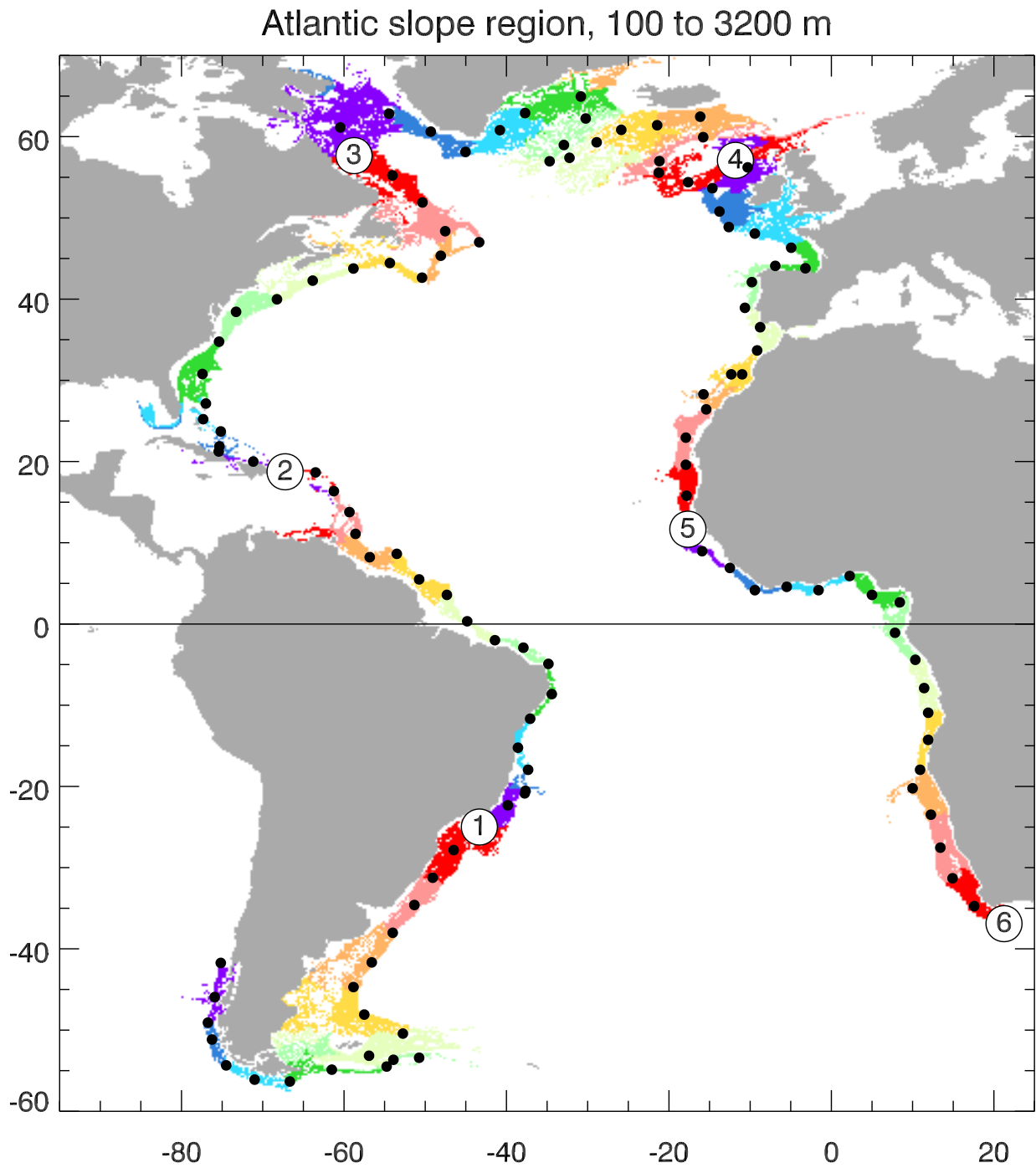


Figure 8: The Atlantic continental slope defined as described in the text for the NEMO model. Dots indicate reference nodes every 500 km along the 2000 m contour, with circled numbers representing along-slope distance in units of 10,000 km. Colours indicate how points at other depths in the range 100 to 3200 m are mapped to the distance variable.

580 Passage and also start to spread down the mid-Atlantic ridge. For each contour, the point
581 nearest to each node of the reference contour is labelled as having the same distance as that
582 node, with distance linearly interpolated between points matched to nodes. If the distance to a
583 node is greater than 1000 km, the point is ignored, thus preventing the use of shallow contours
584 which pass round the Arctic, Mediterranean, or parts of the Gulf of Mexico and Caribbean Sea.
585 This procedure provides a usable distance value for points off the reference contour.

586 For each point on the continental slope, we now have a depth and a distance. Bottom
587 pressures were extracted from these points, and a mean, trend, annual and semiannual cycle
588 were fitted and removed. The two dimensional fields at each time were then regridded onto a
589 regular grid every 50 km in distance and 10 m in depth, from 100 m to 3200 m, using a Delauney
590 triangulation, which treats depths in metres and distances in kilometres as equivalent.

591 This interpolation gives values at all depths and distances, which is unrealistic given the
592 gaps in shallow contours. To account for this, we calculate a mapping error estimate which is
593 the geometric mean of the horizontal distance of each point on the regular grid from the points
594 used in the triangulation. Points for which this distance is greater than 200 km are left blank.

595 **5.1 Variability and vertical (cross-slope) structure**

596 Figure 9 (top) shows the standard deviation of bottom pressure as a function of distance and
597 depth. Note that depth here is the depth of the bottom of the ocean. In the diagnostics
598 presented here, horizontal position is a function of depth, and any signal that is described as
599 ‘independent of depth’ could equally well be described as ‘independent of cross-slope distance’,
600 for a given along-slope distance as defined in Figure 8. There may or may not be variation
601 in the vertical above a given point. Thus, the usual ideas of barotropic and baroclinic modes
602 are not appropriate interpretations when looking at bottom pressure on the continental slope,
603 unless it is vertical. To emphasize this, the depth-averaged bottom pressure at a given distance
604 will be represented by $\langle p \rangle_H$, where the subscript H emphasizes that the averaging variable is
605 depth of the ocean floor rather than the vertical coordinate z .

606 Figure 9 can thus be imagined as looking into the Atlantic from the south, and unwrapping
607 the continental slope into a straight strip. Vertical lines mark the equator, and grey masks
608 regions of missing data. Reading from the left, the first grey patch at distance about 1.9 to 2.2

609 represents the Caribbean Sea and the Gulf of Mexico, with the tip of Florida at about 2.2, and
610 Cape Hatteras at 2.4. Around 3.0 to 3.1 is the top of the Labrador Sea, where some shallow
611 contours are lost into Baffin Bay or to circumnavigate the Arctic. Between about 3.7 and 4.0,
612 a deeper range connects to the Arctic between Iceland and Scotland. The Strait of Gibraltar
613 is at about 4.57.

614 The position of the actual gridpoints used to map this standard deviation is shown in the top
615 panel of Figure 10. In addition to the gaps discussed above, a sparse region in the top kilometre
616 at distances of 1.1 to 1.2 reflects the Vitoria-Trindade ridge, a feature off the Brazilian coast
617 which diverts deep contours (including the 2000 m reference) far away from the coast, but has
618 no equivalent in shallow regions. In addition to these gaps, the density of points is strongly
619 influenced by the steepness of the slope, with greater density along the northern boundary
620 where the slope is gentle, and much more sparse coverage in regions of steep slopes. From this
621 we can see that, even at $1/12^\circ$ resolution, parts of the continental slope are barely resolved (not
622 resolved, if we take the criterion of no more than one vertical grid step per horizontal step as
623 the definition of resolved). Typical climate models will have much lower resolution than this,
624 which calls into question how realistic their representation of boundary processes can be.

625 Returning to the bottom pressure standard deviation in Figure 9, we see that a large fraction
626 of the slope has a standard deviation below 2 mbar, as we suspected based on the map (Figure
627 3). Variability is lower near the equator and on the eastern boundary (distances above about
628 4). There are also deep maxima in variability in the northern Labrador Sea (3.0–3.2) and south
629 of Iceland (3.6–3.8), locations where deep water formation and mixing processes are likely to be
630 important (these are large Rossby number processes, so not subject to damping according to
631 the scaling argument above). Another deep maximum occurs near Cape Hatteras (2.4) where
632 the deep western boundary current passes beneath the Gulf Stream.

633 We suspected that a significant portion of this variability would be due to large-scale
634 barotropic processes. To remove these, we calculated a depth-averaged bottom pressure $\langle p \rangle_H$
635 at each distance and time, and subtracted this off. The residual standard deviation is shown
636 in the middle panel of Figure 9. It is clear that this mode accounts for a significant part of the
637 variability (typically about half the variance).

638 After subtracting the depth average, $\langle p \rangle_H$ to look at higher vertical mode structures, we
639 performed an Empirical Orthogonal Function (EOF) analysis of the time series at each distance,

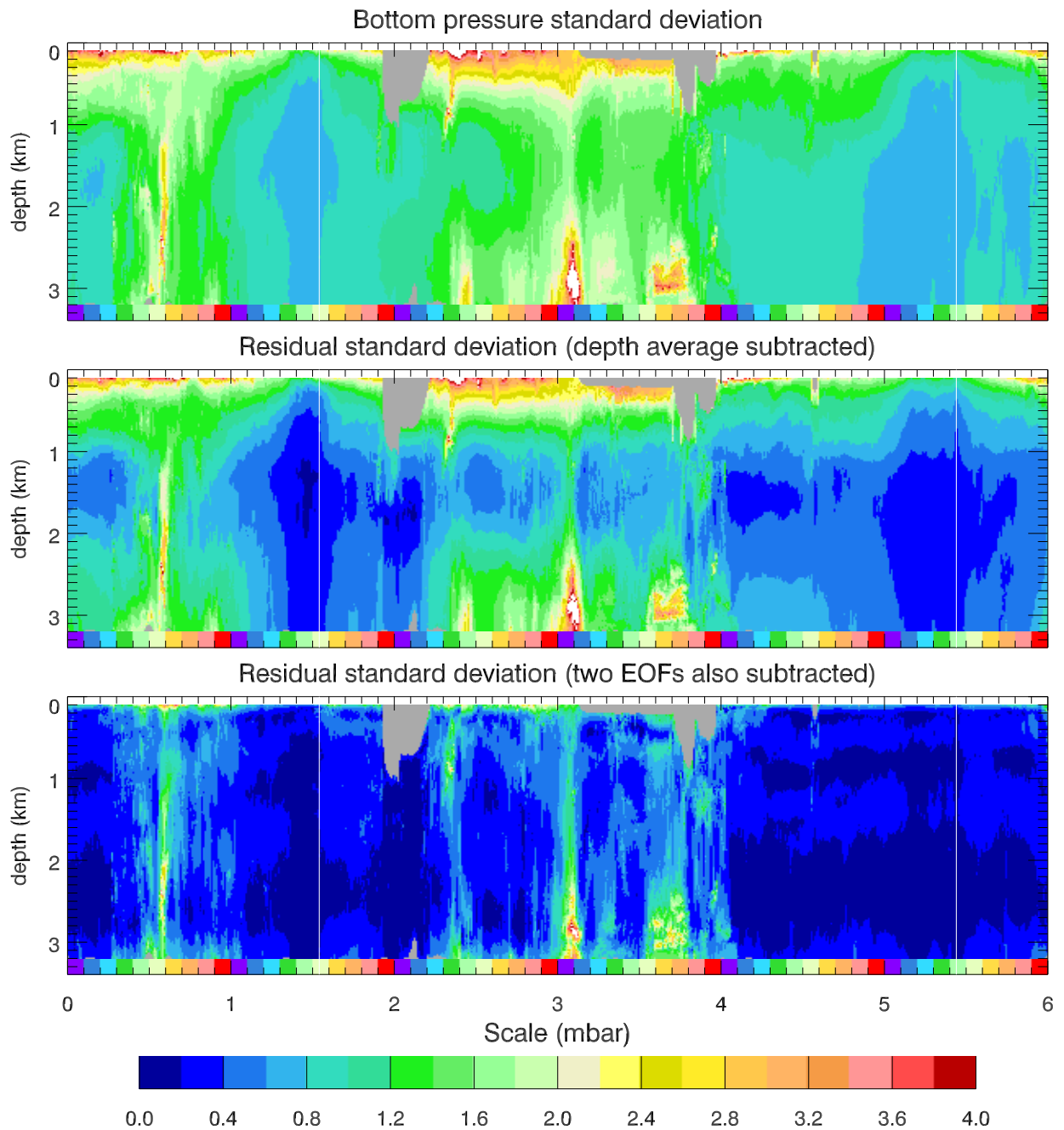


Figure 9: Standard deviation of bottom pressure on the Atlantic continental slope as a function of distance and depth of the ocean floor (compare with Figure 8). The top panel shows the total (after removing mean, trend, annual and semiannual cycles). The middle panel shows the residual after removing the depth average (cross-slope average, $\langle p \rangle_H$) at each distance and time. The bottom panel shows the residual after further removing the signal explained by the first two EOFs at each distance.

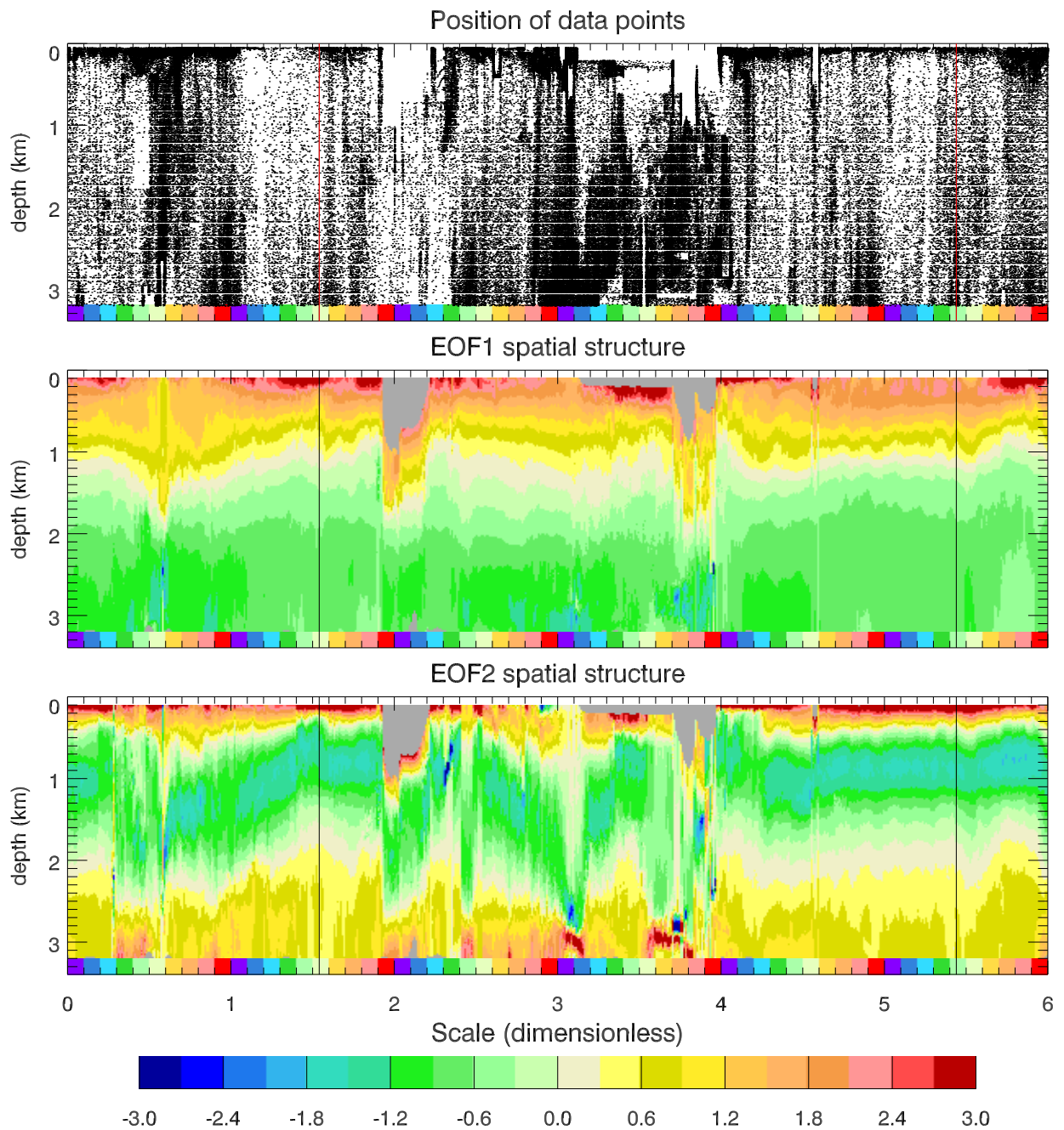


Figure 10: Position of the points used in this analysis (top), and the structures of the first two EOFs of bottom pressure at each distance, after subtracting the depth average (cross-slope average, $\langle p \rangle_H$) (middle and bottom). EOFs are dimensionless and have a variance of 1.

640 returning a set of basis functions in depth and time, for each distance. The middle and lower
641 panels of Figure 10 shows composites of the first two depth basis functions. These functions
642 are calculated independently at each distance. The only processing to improve the appearance
643 of the plot is the choice of arbitrary sign at each point to maintain continuity in distance.

644 It is striking how uniform the structure is in these EOFs. EOF1 has a single node at almost
645 all distances, that node being between about 800 and 1500 m depth except where it is forced
646 deeper by the absence of shallow data (together with the subtraction of the average over the
647 available depth range). The increased deep variability in some locations has an influence on
648 the EOF structure, but this does not seem to dominate.

649 In the case of EOF2, there are generally two nodes, though there is more variability in the
650 depths of these except along the eastern boundary, where the structure is very uniform. The
651 deep variability has more influence on EOF2 than on EOF1.

652 The depth average, $\langle p \rangle_H$, plus the first two EOFs typically explain about 90% of the total
653 variance, though this can be as little as 50% in small regions. This is illustrated in the bottom
654 panel of Figure 9, which shows the standard deviation of the residual after subtracting the
655 depth average and the first 2 EOFs. This is below 0.5 mbar in most of the basin, though
656 larger in northern regions, near Cape Hatteras, and along parts of the South American coast,
657 particularly those influenced by the Antarctic Circumpolar Current. In terms of the residual
658 after subtracting the depth average, the first two EOFs typically explain over 80% of the
659 variance. The depth average, $\langle p \rangle_H$, and first two EOFs together are therefore sufficient to
660 describe most of what is seen along the continental slope.

661 5.2 Coherence along the slope

662 Each of the three modes ($\langle p \rangle_H$, EOF1, EOF2) has an associated time series at each distance.
663 In Figure 11, we show the cross-correlations between those time series at each distance with
664 each other distance.

665 For the depth-independent mode, $\langle p \rangle_H$, this confirms that the variability is highly coherent
666 over the whole basin, with positive correlations almost everywhere. The correlations are for
667 Fourier-filtered time series, showing periods shorter than 1.5 years below the diagonal, and
668 periods between 1.5 and 10 years above. The correlations are stronger at the lower frequencies,

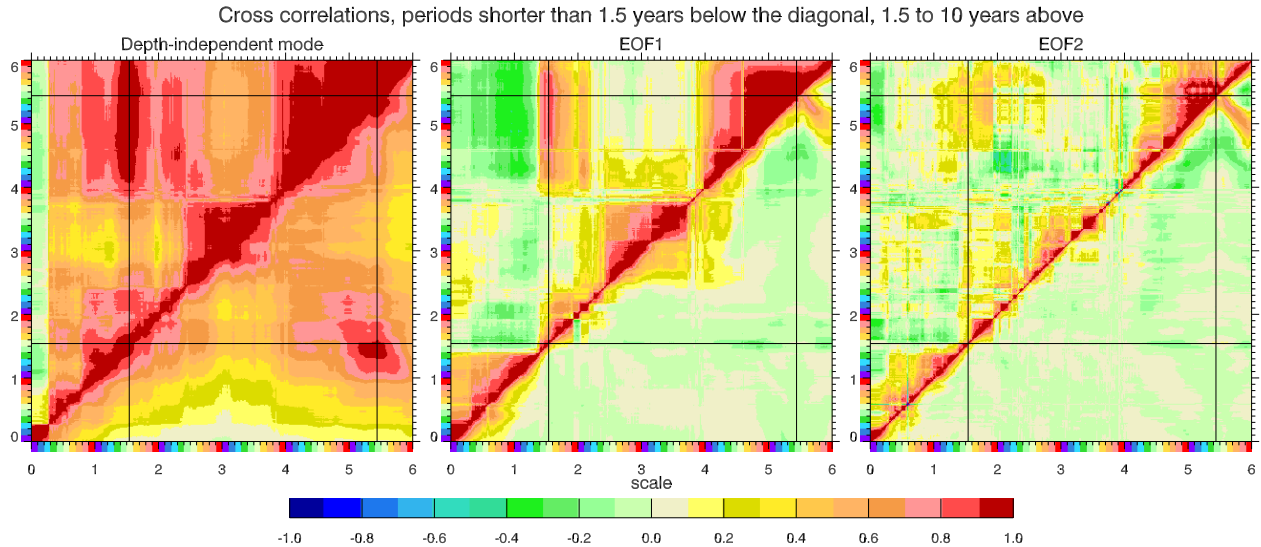


Figure 11: Cross correlations between the time series for each vertical (cross-slope) mode at each distance along the slope, with that at each other distance. Values above the diagonal are for periods between 1.5 and 10 years, and values below the diagonal are for periods shorter than 1.5 years. Linear trend, annual, and semiannual cycles were removed at an earlier stage. Black lines indicate the positions of the equator on the western and eastern boundaries.

669 except for those involving the strip at distances 0.0–0.29 which is on the Pacific side of South
 670 America, where long-period correlations drop off sharply, even becoming slightly negative,
 671 showing that there is a clear distinction between basins for this large-scale mode.

672 Perhaps more striking is the result for EOF1. At low frequencies (above the diagonal), this
 673 shows three large blocks of strong correlation, and one smaller one. The large blocks are at
 674 distances 0–1.4 (the east Pacific and southern hemisphere western boundary of the Atlantic
 675 to about the easternmost tip of Brazil), 2.4–3.8 (Cape Hatteras to eastern Iceland), and 4–6
 676 (Scotland to South Africa, the entire eastern boundary). The scales of these regions imply
 677 strong correlations over distances of measured in tens of thousands of kilometres. In contrast
 678 to the depth-independent mode, $\langle p \rangle_H$, there is clear communication between the Pacific and
 679 Atlantic (distances 0–0.29 in the Pacific are correlated with the western South Atlantic distances
 680 0.29–1.4), although the tip of South America provokes a drop in correlation.

681 The smaller block is from about 1.4 to 1.93, with a weaker extension to 2.35 (the north
 682 coast of South America and the eastern Caribbean, with extension to north Florida). In
 683 addition, there is an off-diagonal block showing correlation between the eastern and western
 684 boundary equator positions (marked with black lines), which shows strong correlations between
 685 the equator-spanning small block and the entire eastern boundary.

686 The northern block (Cape Hatteras to Iceland) is split into two subregions separated at
687 around the northern limit of the Labrador Sea (3.1). Similarly, the eastern block (Scotland to
688 South Africa) is split into subregions at the Strait of Gibraltar (4.57), although in this case it
689 is more like the start of a reduction in correlation to the north rather than the separation of
690 two clear regions. Within the subregions, the correlations are especially strong, usually above
691 0.8 or 0.9. It is noticeable that the boundaries between blocks and sub-blocks often lie in the
692 regions of large deep variability identified before.

693 At higher frequencies (below the diagonal), the northern block is similar if a bit weaker,
694 but the other blocks are significantly weaker, especially the eastern boundary block, and the
695 off-diagonal correlation between eastern and western equators is missing. However, the curious
696 high-correlation feature extending down and to the right of the diagonal from the eastern
697 equator gives a clue to the reason for this. This indicates that points on the eastern boundary
698 correlate with one another if they are at equal distances from the equator. This suggests a
699 signal propagating away from the equator sufficiently slowly that lags in signal propagation
700 become important enough to reduce the correlation in the higher frequency band. Points at
701 equal distances from the equator can then still correlate because they are at equal lags.

702 Assessment of statistical significance depends on the spectral content at each point, but
703 the correlations within blocks are so strong as to be clearly significant with any reasonable
704 estimate of degrees of freedom (only 14 degrees of freedom are needed for a correlation of 0.5
705 to be significant at the 95% level; we have 54 years of data and, as we shall see, the data are
706 not dominated by the longest periods). We will, however, consider this in more detail when
707 looking at lagged correlations later on.

708 For EOF2, we see a picture broadly similar to that for EOF1, but with more interruption by
709 local noise. The same broad blocks are visible, though more weakly, and the same off-diagonal
710 equatorial correlation and eastern boundary lagged correlation structure are also apparent.

711 Figures 12, 13 and 14 show Hovmoeller plots of the different modes in different frequency
712 bands. The first, Figure 12 shows only a representative 5.5-year period so that the structure
713 can be clearly seen. Again, this highlights the basin-scale nature of the depth-independent
714 mode, $\langle p \rangle_H$, with perhaps additional variability in the northern region (note that the boundary
715 values are coherent over the entire basin, but this does not preclude anticorrelation with values
716 in the interior). No lags can be discerned, which is to be expected as the largest-scale signals

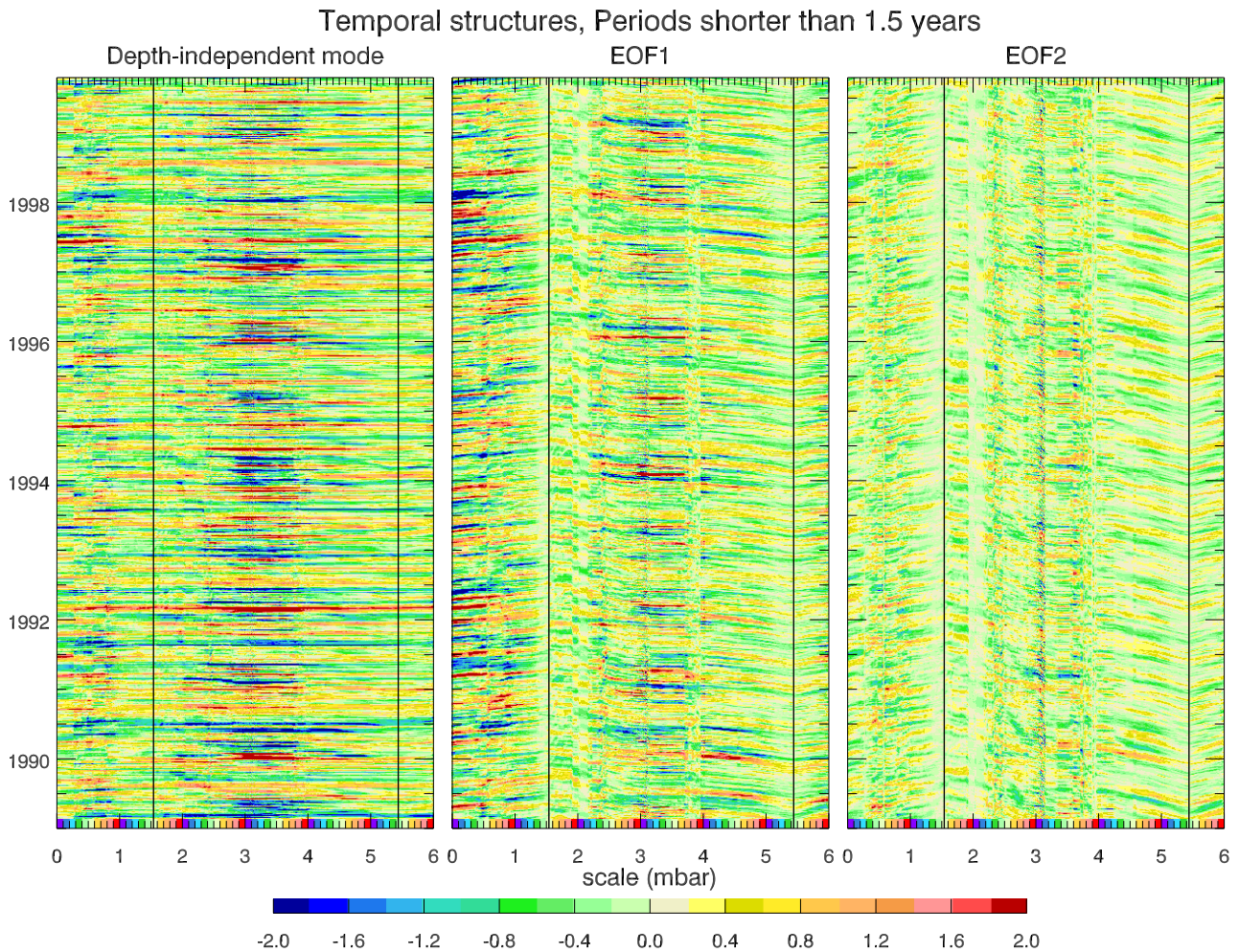


Figure 12: Hovmoeller plots showing the temporal variations in the three vertical (cross-slope) modes as a function of distance along the continental slope and time, after filtering to pass periods shorter than 1.5 years. Linear trend, annual, and semiannual cycles were removed at an earlier stage.

717 should propagate with a barotropic Kelvin wave speed of around 200 m s^{-1} , as was found in the
 718 western North Atlantic from bottom pressure measurements on the continental slope (Elipot
 719 et al., 2013).

720 More interesting are the plots for EOF1 and EOF2. Here, we can clearly see the effect
 721 of finite propagation speeds. Propagation is toward the western equator (equators are again
 722 marked as vertical lines), and away from the eastern equator. Wave speeds are slowest near
 723 the equator, and faster further away, but it must be remembered that the concept of along-
 724 slope distance depends on length scales and on how convoluted the 2000 m contour is. Various
 725 techniques have been applied in an attempt to estimate wave speeds, but we do not generally
 726 find robust numbers. We can see that speeds near the equator are between about 2 and 3

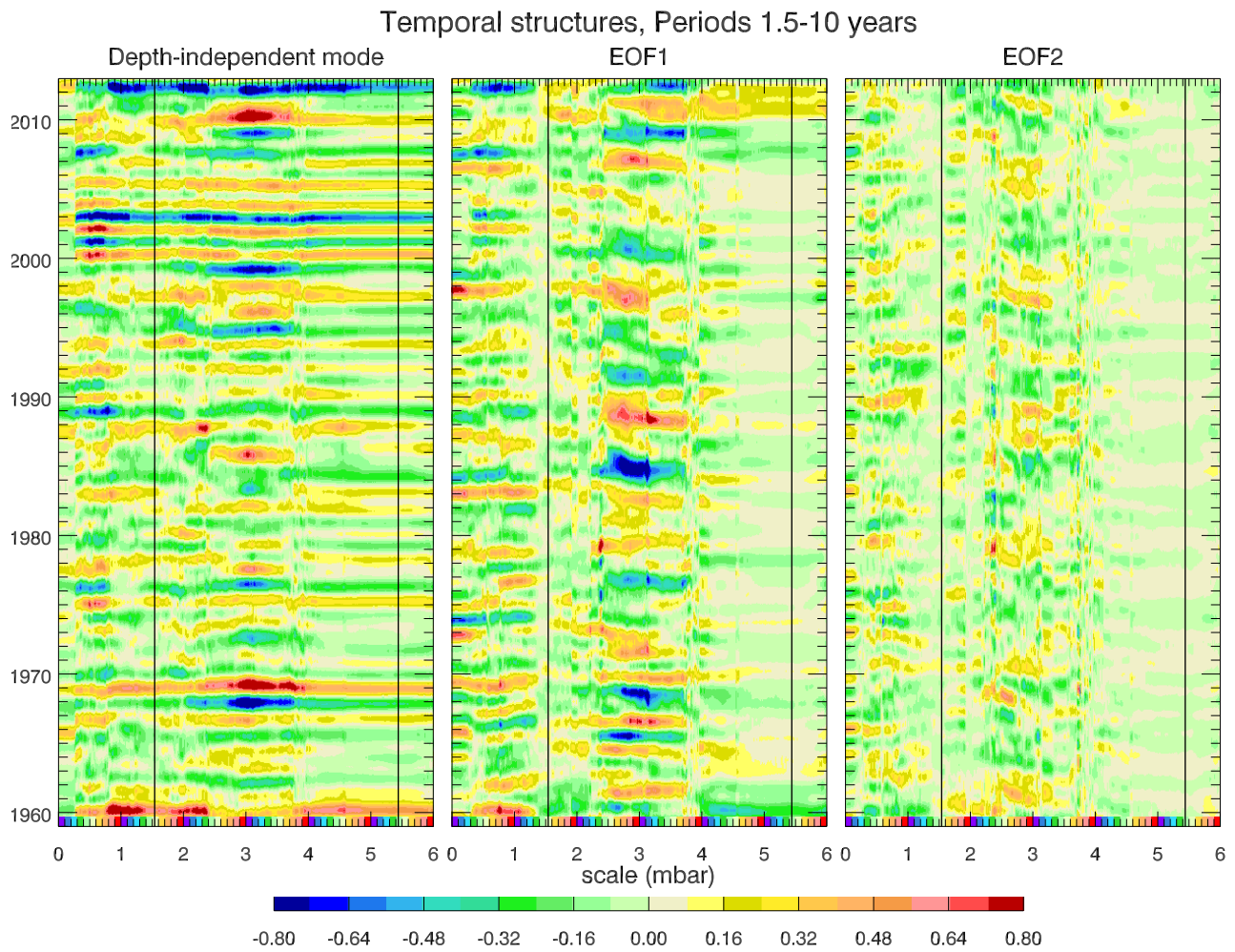


Figure 13: Hovmoeller plots showing the temporal variations in the three vertical (cross-slope) modes as a function of distance along the continental slope and time, after filtering to pass periods between 1.5 and 10 years. Linear trend, annual, and semiannual cycles were removed at an earlier stage.

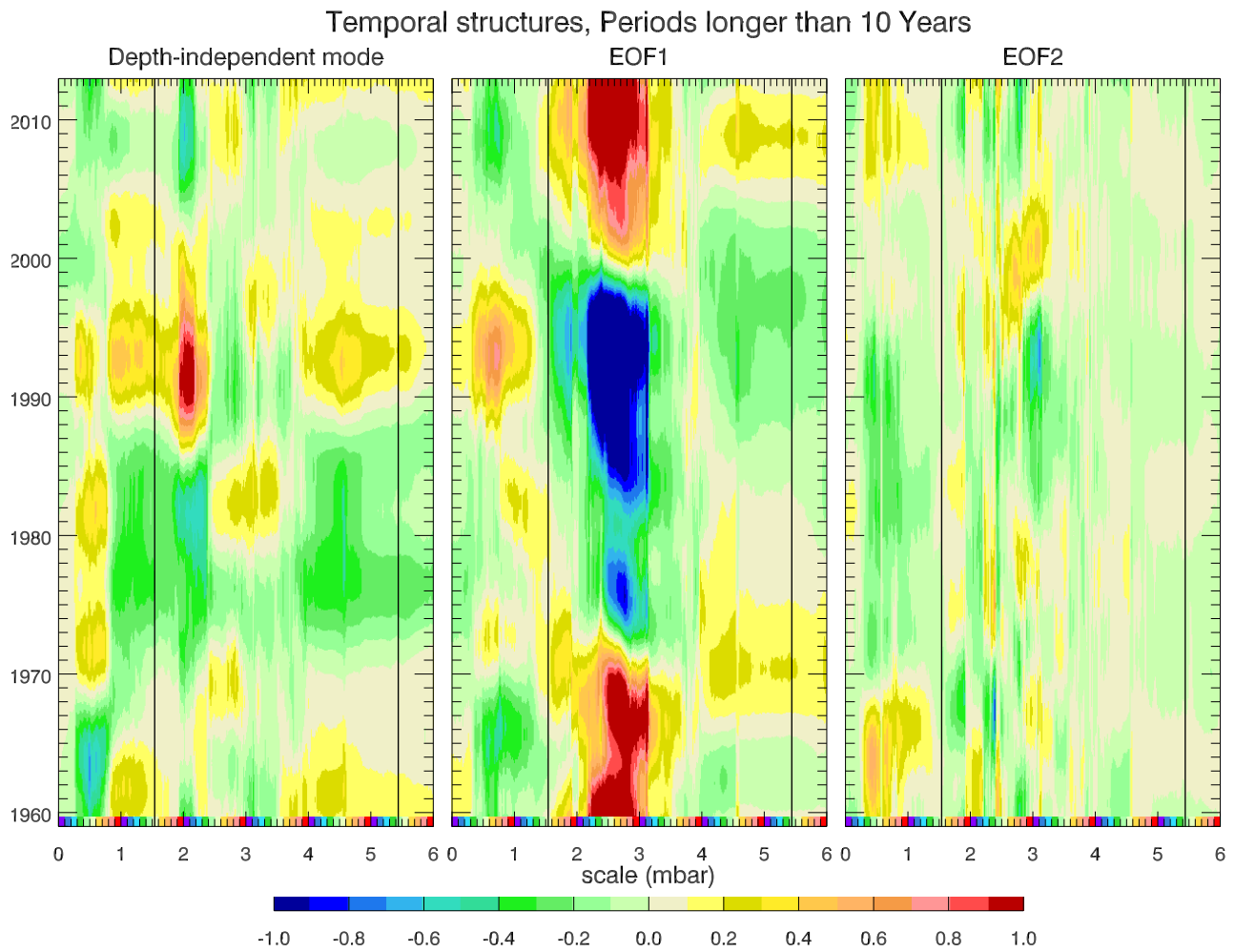


Figure 14: Hovmoeller plots showing the temporal variations in the three vertical (cross-slope) modes as a function of distance along the continental slope and time, after filtering to pass periods longer than 10 years. Linear trend, annual, and semiannual cycles were removed at an earlier stage.

727 m s^{-1} for EOF1, and between about 1 and 1.5 m s^{-1} for EOF2. Speeds clearly get faster
728 away from the equator, but meaningful quantification of these speeds is difficult. These speeds
729 highlight the importance of the continental slope still further. They are roughly consistent with
730 baroclinic Kelvin wave speeds at the equator: Brandt et al. (2016) calculate Kelvin wave speeds
731 of 2.47 and 1.32 m s^{-1} for the first and second modes respectively. However, the Kelvin wave
732 speed for a vertical boundary will become steadily slower as the waves propagate polewards,
733 because the stratification weakens. The faster propagation speeds seen here are consistent
734 with the fact that the true boundary waves on a slope are not Kelvin waves, but a hybrid
735 mixture between baroclinic Kelvin waves and topographic Rossby waves, which become more
736 barotropic and faster as the slope scale comes to exceed the Kelvin wave trapping scale, which is
737 the relevant Rossby radius (Huthnance, 1978). For example, Cartwright et al. (1980) calculate
738 a barotropic shelf wave speed of about 7.9 m s^{-1} off the Scottish coast (translating from the
739 quoted wavenumber of 53 degrees per 100 km at the K_1 tidal period).

740 At longer periods (now showing the entire range of time), Figure 13 shows that these
741 wave propagation lags become insignificant, as lags along the eastern boundary can no longer
742 be seen. For EOF1 there are hints of a slower equatorward propagation along the western
743 boundary (particularly 2.5–3.1), but this is irregular in nature and too slow to be related to
744 the boundary waves, it may be an advective phenomenon, if it is significant.

745 Another feature which becomes apparent at longer periods is the contrast in amplitudes
746 between eastern and western boundaries. Signals are much weaker, and rather constant am-
747 plitude on the east, with a hint of amplification north of about the Strait of Gibraltar (4.57).
748 This is consistent with idealised theories, e.g. Kawase (1987); Johnson and Marshall (2002a,b)
749 which assume that low frequency signals propagate effectively instantly along eastern bound-
750 aries without attenuation or amplification. Amplification is expected poleward of the highest
751 latitude at which Rossby waves can propagate for a given frequency. Marshall and Johnson
752 (2013) give a nice overview of how theoretical models treat boundary waves (usually with a
753 vertical sidewall), and of the importance of this process for a wide range of issues. On western
754 boundaries, they are found (in theory) to decay in amplitude toward the equator, unlike our
755 model results (except perhaps close to the equator), where we see an amplification in many
756 places. There is clearly rapid transmission of information along the western boundary, but a
757 more complex response than the simple, linear, vertical sidewall theory would predict. It should

758 be noted though, that the theoretical results are for buoyancy forcing only. With wind stress
759 variability too, angular momentum balance at each latitude requires that there be changing
760 sidewall pressures as seen in the AMOC response to winds (Elipot et al., 2017). Here we have a
761 rare case where diagnostics from a realistic model can address a problem of basin scale dynamics
762 rather than being overwhelmed by mesoscale variability.

763 For completeness, Figure 14 shows periods longer than 10 years. The contrast between east
764 and west is now even more evident for EOF1, partial barriers to communication of western
765 boundary signals appear at about Cape Hatteras (2.4) and the Gulf of Mexico (2.1). Some
766 signal does appear to eventually propagate to the equator, from where it rapidly appears all
767 along the eastern boundary. However, we caution against interpreting this plot in too much
768 detail. Although periods as short as 10 years should be present, the variability is dominated by a
769 roughly 50-year period, which is the longest possible within this dataset after detrending. While
770 the model physics should still be consistent, the probability of being dominated by long-term
771 thermohaline adjustment is high, and the effective number of degrees of freedom is so low that
772 it is dangerous to conclude anything from apparent correlations. The large, quadratic-in-time
773 variability in the region 2.1–3.0 seems to explain the unusual oscillation in the low frequency
774 spectrum of bottom pressure in energetic continental slope regions, which can be seen in Figure
775 7.

776 Returning to the high frequency data, we look in more detail at the long distance coherence
777 of the signal when accounting for lags. We calculated lags relative to four different regions
778 distinguished by colours in Figure 15. Roughly, these regions are the eastern boundary (red), a
779 northern region (orange), a north tropical western boundary region south of the Gulf of Mexico
780 and north of the equator (green), and a southern hemisphere western boundary region (blue).
781 The results of this analysis are shown in Figure 15, which shows both correlations (Figure 15a
782 and 15c) and calculated lags (Figure 15b and 15d). The precise extent of the reference regions
783 is marked by the paired dots of matching colour at the top of Figures 15a and 15c.

784 Absolute values of the lags are chosen to be consistent between the different curves as
785 described below, so they can be interpreted as the time taken for a signal to propagate to
786 each point from the eastern boundary equator (or, for negative values, minus the time taken
787 to propagate from that point to the eastern boundary equator). The correlations are plotted
788 as thin lines, with large dots on top only for points that are statistically significant. Lags are

789 plotted only for these significant points.

790 The calculation was performed as follows. We started by selecting the expected coherent
791 regions by eye, from the Hovmoeller plot, Figure 12. The procedure worked on time series
792 filtered to pass periods between 20 days and 1.5 years and normalised to a standard deviation
793 of 1 (periods shorter than 20 days were removed in order to permit shifts of the time series
794 of less than 5 days, using a Fourier method; normalisation avoids skewing the results to focus
795 on regions of highest variability). For each region, an iterative method was then applied to
796 determine the best lagged correlations. Initially, all the time series in the central 2000 km of
797 that region were averaged together to provide a reference time series T_0 . Then, all time series
798 in the region were correlated with T_0 , with a range of different lags, to identify the lag at which
799 the correlation was best. Each time series was then shifted by this best lag, and the regional
800 average (now over the entire region) was recalculated to obtain a new reference time series T_1 .
801 This process was iterated to convergence (four iterations was sufficient). The best lag, and the
802 associated best correlation, were then calculated relative to the converged regional average time
803 series T_4 , for all points, not just those in the selected region.

804 Finally, a constant was added to the lags derived for each region. For the eastern region
805 (red), the constant was chosen so that the lag is zero at the eastern equator. As signals
806 propagate along the eastern boundary away from the equator, the lag grows. This same growth
807 is seen in lags with respect to the northern region (orange), so the constant for that region
808 is chosen to align it with the eastern region lags. Similarly, where both are significant, the
809 lags for the north tropical western boundary region (green) have very similar variations to the
810 eastern region (red), so the constant lag for this region is found by aligning the two (tracing
811 the signals back in time to the western boundary at the equator). For the northern boundary
812 region (orange) we have two options, as the lags show it preceding the north tropical western
813 boundary region (green), and lagging behind the eastern boundary region (red), so two different
814 constant lags can be calculated, with a difference which is the time taken for a signal to perform
815 a complete circuit. This region is therefore plotted with both lags (the eastern region is also
816 repeated using the same offset). Finally, the constant lag for the south western boundary region
817 (blue) is chosen to align it with the other lags at the western boundary equator. The whole
818 graph can be considered to be periodic in y , with a period of 115 days for EOF1 and 205 days
819 for EOF2.

820 It is worth noting that, for EOF1, significant correlations for the northern region (orange)
821 overlap the significant lags from the north tropical western region (green) on both the eastern
822 and western boundaries. On the east, the lags show signals propagating into the northern region.
823 On the west, they show signals propagating away from the northern region. This means an
824 estimate for the basin circuit time can be made purely from these two region, without needing
825 the eastern region. The fact that all three lags agree in the eastern region is therefore an
826 independent test of this circuit time. The circuit time estimate for EOF2 is more fragile as it
827 relies purely on the small region of overlap between significant lags for the northern (orange)
828 and north tropical western (green) regions, which also involve rather low correlations.

829 Significance of the correlations was assessed by a Monte Carlo method: 1000 time series with
830 the same spectrum as each reference time series were generated. These were each correlated
831 with the actual reference time series at all possible lags, and the correlation at the best lag
832 chosen. These 1000 correlations were then sorted to determine the 99% confidence level (this
833 ranged between 0.10 and 0.25 for the different time series).

834 What we find is that, when accounting for lags, the correlations are indeed coherent over very
835 large distances, as they are at lower frequencies without accounting for the lags. Furthermore,
836 the lagged correlations remain significant to some distance either side of the reference regions,
837 with consistently varying lags where the curves overlap. For example, in EOF1, the eastern
838 boundary signal (red) remains detectable as far as Greenland (3.3) and, though the correlation
839 drops off rapidly, the north tropical western mode (green) also obtains a small part of its variance
840 from the Greenland coast, as well as communicating with much of the eastern boundary. The
841 south western boundary signal (blue) involves signals propagating from the Pacific, and extends
842 to the Atlantic equator. For EOF2 we find a similar pattern, though correlations tend to drop off
843 more rapidly, reducing the overlap of regions with significant correlations, and the corresponding
844 lags are larger, corresponding to the slower propagation speeds. These lags are all consistent
845 with expectations for the propagation of continental shelf waves and equatorial Kelvin waves.

846 The consistency of the lags allows us to estimate various propagation times. We find times
847 to cross from the western boundary to the east at the equator of 28 and 40 days respectively
848 for EOF1 and EOF2 (using slightly different regions or weightings varies these numbers by ± 1
849 day). Given a distance of approximately 5900 km, these times correspond to speeds of 2.44 and
850 1.71 m s^{-1} , comparable to the first and second baroclinic mode Kelvin wave speeds calculated

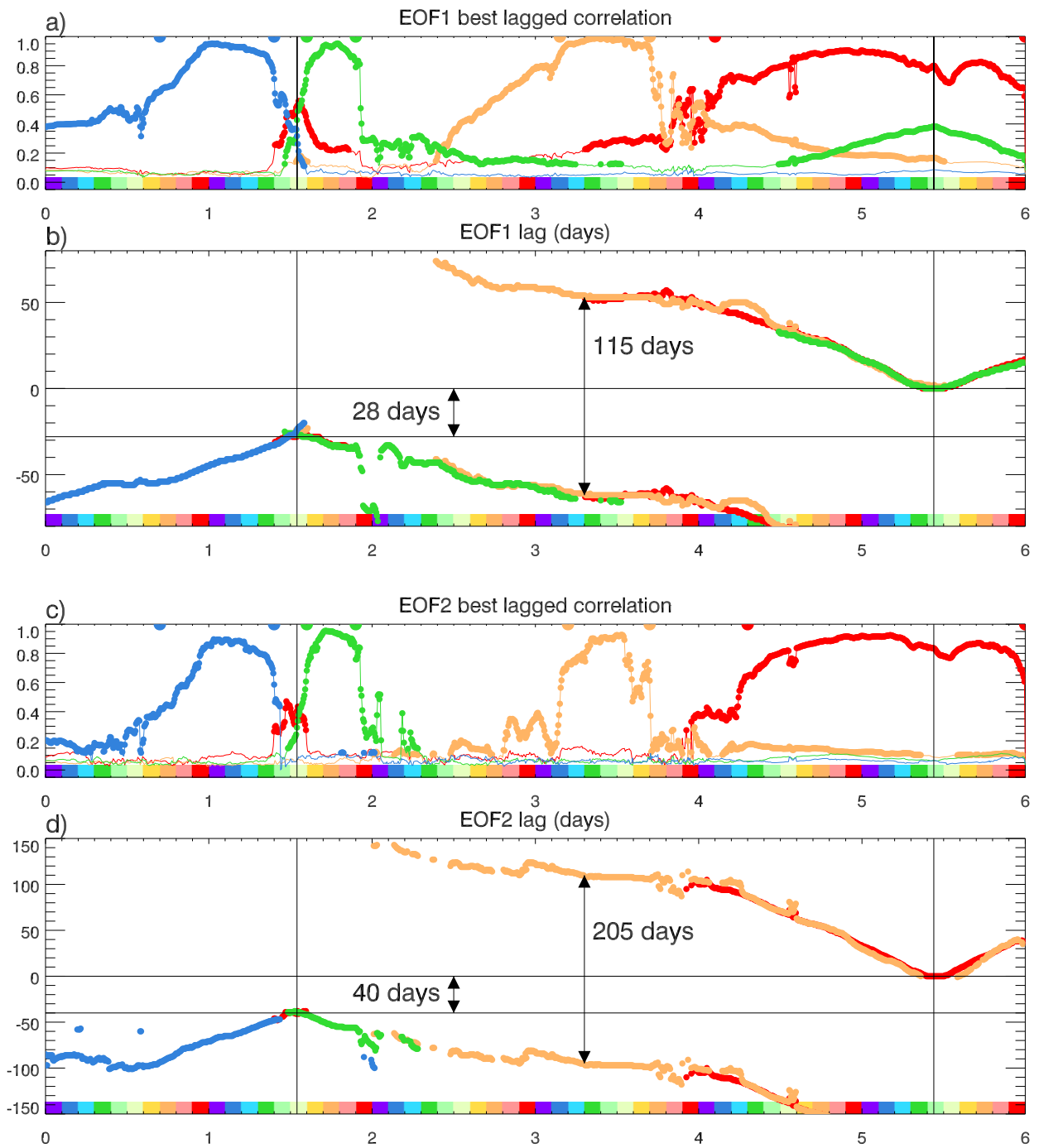


Figure 15: Best lagged correlations, and the lag at which the best correlation is found, for EOF1 and EOF2 time series compared with reference time series based on data from between the matching-coloured dots. Lags are shown only where the correlations are significant at the 99% level, and correlations are plotted with a thin line where they are not significant at this level. The time series are filtered to pass periods between 20 days and 1.5 years. Linear trend, annual, and semiannual cycles were removed at an earlier stage. See main text for more details.

851 by Brandt et al. (2016) which are 2.47 and 1.32 m s⁻¹. We can also determine the time to
852 complete a full circuit of the North Atlantic, which is 115 days for EOF1 and 205 days for
853 EOF2 (these estimates have larger uncertainties of about 5 days for EOF1 and 20 days for
854 EOF2). The travel time along the continental slope, from eastern equator to western equator,
855 is therefore 87 days for EOF1 and 165 days for EOF2.

856 Translating these delays to mean propagation speeds is not straightforward. The along-
857 slope distance from eastern equator to western equator is 39,000 km, but the sum of great-circle
858 distances between nodes over the same stretch of continental slope is only 28,133 km. Using
859 these two extremes for the distance, we obtain a mean along-slope propagation speed for EOF1
860 of 3.7 to 5.2 m s⁻¹, and for EOF2 we obtain 2.0 to 2.7 m s⁻¹. Although the range is wide, the
861 mean values are clearly faster than the corresponding equatorial Kelvin wave speeds.

862 These are important parameters for understanding timescales of basin adjustment, which
863 could not realistically be computed otherwise. Again, the clean nature of the continental slope
864 bottom pressure signal has made it possible to distinguish basin scale dynamics which could
865 not be seen in other, mesoscale-contaminated signals. Note, though, that these numbers may
866 depend on the model representation of topography and friction, so they may be different in the
867 real world, and different again in coarser resolution models.

868 To conclude this subsection, it is worth emphasizing the exceptional nature of these long
869 correlation scales. For comparison with Figure 11, Figure 16 shows cross-correlations for NEMO
870 model sea level along a mid-Atlantic meridional section at 23°W. The distance is again measured
871 in units of 10,000 km, so the scale is comparable to Figure 11 (the whole distance scale is less
872 than a quarter of the total scale for the continental slope). These correlations are typical of
873 the open ocean, with some large-scale correlation apparent near the equator (black lines), but
874 nothing approaching the clarity and large-scale nature of the boundary signals.

875 **5.3 The Meridional Overturning Circulation**

876 The most obvious quantity to attempt to recover in the Atlantic is the meridional overturning
877 circulation (AMOC), which has received a great deal of attention as a significant climatic mode
878 of the Earth system in recent decades. It is not our purpose here to revisit all this work.
879 Bingham and Hughes (2008b) showed that much of the AMOC at 42°N could be recovered

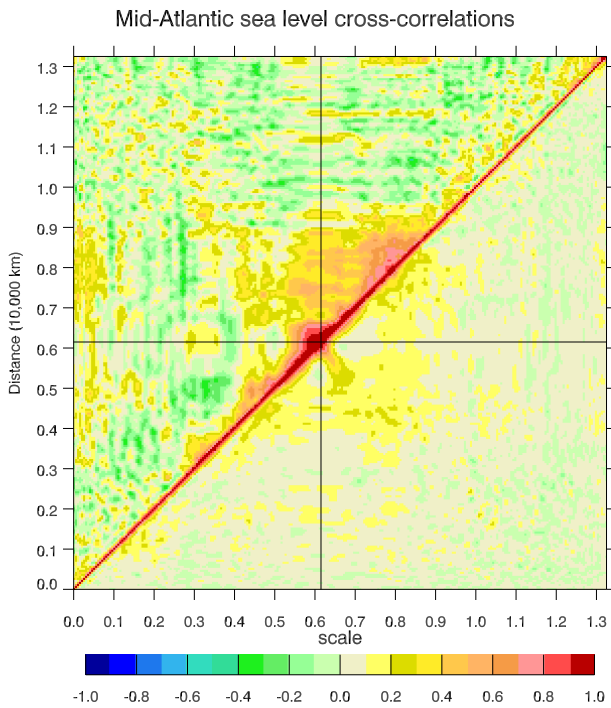


Figure 16: Cross correlations for sea level variability in the NEMO model, along a meridional section of the Atlantic at 23°W from 55°S to 64°N. Values above the diagonal use time series filtered to pass periods between 1.5 and 10 years, those below use 0 to 1.5 years. Linear trend, annual, and semiannual cycles were removed at an earlier stage. Black lines mark the equator.

880 simply from boundary pressure measurements in a $1/4^\circ$ resolution ocean model (and almost
 881 all of that from the western boundary only, at interannual periods), and Bingham and Hughes
 882 (2009a) looked in more detail at 50°N in the same model, and in 100 years of a coarser-
 883 resolution climate model run. These ideas have been used to measure the AMOC using the
 884 WAVE array at 43°N (Hughes et al., 2013; Elipot et al., 2013, 2014), and it has been shown
 885 how the measurements of the RAPID array at 26°N (McCarthy et al., 2012) can be interpreted
 886 in the same way (Elipot et al., 2017). The argument that eddies do not dominate the AMOC
 887 signal has also been made based on observations, and theory in a vertical sidewall context
 888 (Kanzow et al., 2009) (though note that this argument relates to the integrated transports,
 889 not to the pathways of flow and tracer transport, which are very strongly influenced by eddy
 890 variability).

891 Here, we have focused on the boundary signals themselves rather than their relevance to the
 892 AMOC, but it is still worth demonstrating their link to the AMOC explicitly. The theoretical
 893 argument is straightforward. Integration of geostrophic balance from west to east across the
 894 ocean basin at constant latitude and depth, leads to the following balance:

$$fT = p_E - p_W, \quad (9)$$

where T is the zonally integrated northward mass transport (in kilogrammes per metre of depth per second), and p_E and p_W are bottom pressures at the eastern and western end of the section respectively. Assuming a midlatitude value $f = 10^{-4} \text{ s}^{-1}$, and a density of about 1000 kg m^{-3} , this leads to a 1 mbar pressure difference producing a net northward volume transport of 1 Sv km^{-1} . It is therefore straightforward to see from Figures 12–14 how the eastern and western boundary pressures contribute to net meridional transport.

The east-west symmetry of the depth-independent mode, $\langle p \rangle_H$, is testament to the fact that there can be rather little net northward transport across each latitude section (a net transport must be balanced by either an accumulation of mass, a flow through the Bering Strait, or a net gain or loss of mass from evaporation and precipitation, so it is tightly constrained by these integral properties). The AMOC represents a flow which is to the north at some depths and south at others, and is therefore reflected in the other EOF modes. From the vertical structures of the EOFs (Figure 10), a positive value of EOF1 on the western boundary would correspond to a southward flow above about 1300 m, and a northward return flow below that depth; a negative AMOC anomaly. The same on the east would produce a positive AMOC anomaly.

Similarly, a positive value of EOF2 on the west would lead to a southward transport above about 500 m and at depth (typically below about 1.8 km but somewhat variable), and a northward transport at intermediate depths. Again, the same on the east would produce the opposite AMOC change.

We test that these relationships between boundary pressure and AMOC hold in the model by diagnosing the AMOC (in the sense of zonally-integrated meridional transport per unit depth) and comparing with that predicted based on the boundary pressures. This involves some complications because the pressure values at a given distance along the slope are not all at the same latitude. Equally, the model grid is not perfectly aligned with latitude lines north of about 20°N , though it remains within 1 degree of a constant in the Atlantic to about 55°N . Accordingly, we use the latitude associated with the reference 2000 m depth contour to define the latitudes of the pressure measurements, and average the AMOC in 1-degree bins for comparison, relying on the spatial coherence of the signals in order for the two datasets to match.

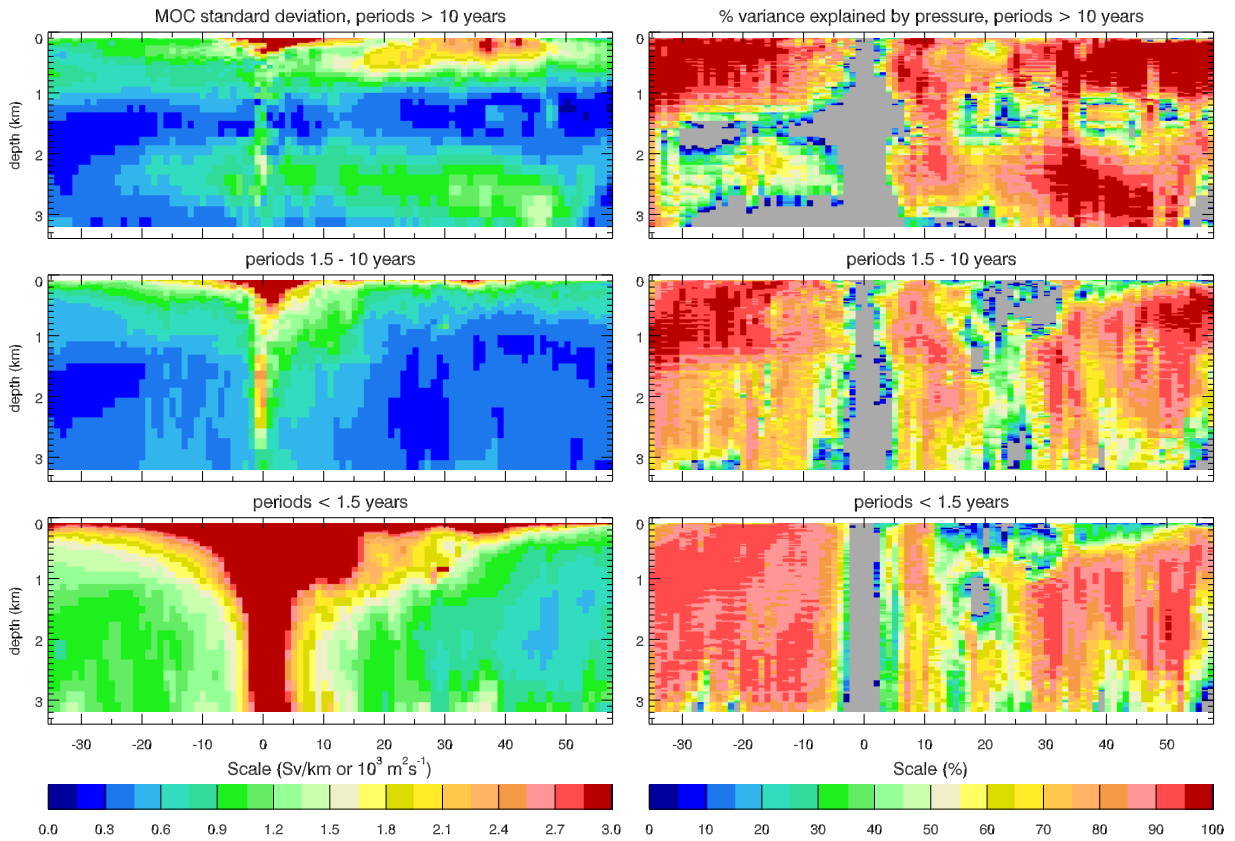


Figure 17: Diagnostics of zonally-integrated northward water volume transport across the Atlantic (MOC) in the NEMO model as a function of depth and latitude, using monthly values after subtracting mean, linear trend, and annual and semiannual cycles. Left hand panels show the standard deviation in Sv km^{-1} (or, equivalently, $10^3 \text{ m}^2 \text{ s}^{-1}$). Right hand panels show the percentage of variance in the MOC which is explained by the boundary pressures using equation (9). Negative values are plotted as grey. The time series are divided into long periods (longer than 10 years, top), medium (1.5–10 years, middle), and short periods (2 months to 1.5 years, bottom).

924 The sign associated with the boundary pressure’s contribution to the AMOC is determined by
 925 the direction of the contour at that point; it is negative where increasing distance moves north
 926 along the contour, and positive where it moves south. In this case we also use monthly means
 927 rather than 5-day means as an extra filter on ageostrophic high frequency variability.

928 Figure 17 shows the resulting AMOC variability (left) in three different frequency bands,
 929 and the percentage of AMOC variance explained (right) by using only boundary pressure mea-
 930 surements. It should be recalled that these do not represent all the contributions to the zonal
 931 integral in this depth range. There are also contributions from the Mediterranean, Gulf of Mex-
 932 ico and Caribbean Sea which we are missing out, as well as any portions of the Mid-Atlantic
 933 Ridge which rise above 3200 m depth.

934 Nonetheless, with the exception of a region around the equator where the geostrophic ar-
935 gument breaks down, a large part of the AMOC variability is captured by these boundary
936 measurements, and this proportion generally increases at longer timescales. The exception, at
937 mid-depths for the longest periods, is because there is little variability to capture in this depth
938 range. At these longest periods, the AMOC anomalies truly do seem to consist of a northward
939 shallow flow and a southward return flow, with a node at intermediate depths, across the entire
940 Atlantic basin.

941 In contrast, at the shortest periods, there is variability at all depths, and the amplitude
942 rises toward the equator. This suggests a scaling proportional to $1/f$, and that the boundary
943 signals may be best thought of as pressure signals at the boundary, with the AMOC as an
944 incidental result of their presence. That is consistent with the independent propagation we see
945 along eastern and western boundaries, with similar amplitudes.

946 At intermediate periods, the deep AMOC variability is small, but there is no systematic
947 latitude dependence outside the tropics. This is the regime in which the eastern boundary
948 signals are much smaller than those on the west but also extremely coherent in space (also true
949 at longer periods).

950 The reconstruction has difficulties in the shallow North Atlantic, and particularly the Gulf
951 of Mexico latitudes (about 20–30°N), but this is to be expected given the complicated geometry
952 here, and even these regions improve at the longest timescales. Overall, at intermediate and
953 long timescales, the unexplained residual standard deviation (not shown) is less than 0.3 Sv
954 km^{-1} over most of this depth range, for latitudes more than 10 degrees from the equator.

955 In summary, the highly-correlated, basin-scale boundary pressure signals are indeed a good
956 diagnostic of the AMOC.

957 **6 Application to the real ocean**

958 We have shown above that, in the NEMO model, continental slope bottom pressure measure-
959 ments provide a means of extracting large-scale information about the ocean circulation, and
960 the AMOC in particular. If this carries over to the real ocean, and if such measurements can be
961 made with the necessary accuracy, then this would make a strong case for such measurements
962 to be considered an important component of the Global Ocean Observing System.

963 The continental slope represents a very small fraction of the global ocean area and, as a
964 result, there are rather few measurements made in this region. It is poorly monitored using
965 Argo floats which rarely enter these regions and tend to spend very little time there when they
966 do enter. The difficulty seems to be, in some ways, one of perception. Because the slope region
967 is so small, it seems disproportionate to spend resources here which could be used to improve
968 open-ocean sampling.

969 However, if we view the ocean in the latitude-depth plane, rather than latitude-longitude,
970 the continental slope spans most of the active ocean depth range. This is the appropriate
971 viewpoint for the meridional overturning circulation. Just by looking at the ocean “sideways”,
972 we obtain a very different viewpoint on where to expend resources.

973 **6.1 Real ocean illustrations**

974 First we need to show that this mesoscale suppression occurs in the real ocean and is not a
975 model artefact. We showed in Section 3 that the model represents the mesoscale energy and its
976 spectrum well. In fact, a number of experiments have demonstrated this at the Atlantic western
977 boundary. In Figure 18 we give an example from the continental slope near Halifax, Nova Scotia
978 (distance 2.8 in the model slope diagnostics), from the RAPID-Scotian array (Hughes et al.,
979 2013).

980 We see that, after 5-day averaging (blue), the variability over almost 4 years drops below 2
981 mbar except at the deepest point. Furthermore, subtracting an estimate of the depth average
982 based on the four shallowest instruments, this drops below 1 mbar (below 0.6 mbar for the two
983 central depths). Comparing with Figure 9 at distance 2.8, this matches expectations both for
984 amplitude and structure, with the amplitudes rising both in deeper and in shallower water. Our
985 model continental slope diagnostics do not extend below 3200 m depth, but it can be seen from
986 Figure 3 that this amplitude increase continues at greater depths as a result of weakly-damped
987 mesoscale variability at the foot of the continental slope.

988 Other sites show similar amplitudes, and a similar reduction on subtracting the depth av-
989 erage, making it possible to discern large-scale correlations and basin-scale responses to forcing
990 (Elipot et al., 2013, 2014, 2017).

991 We have focused here on the continental slope, for monitoring ocean dynamics, but an even

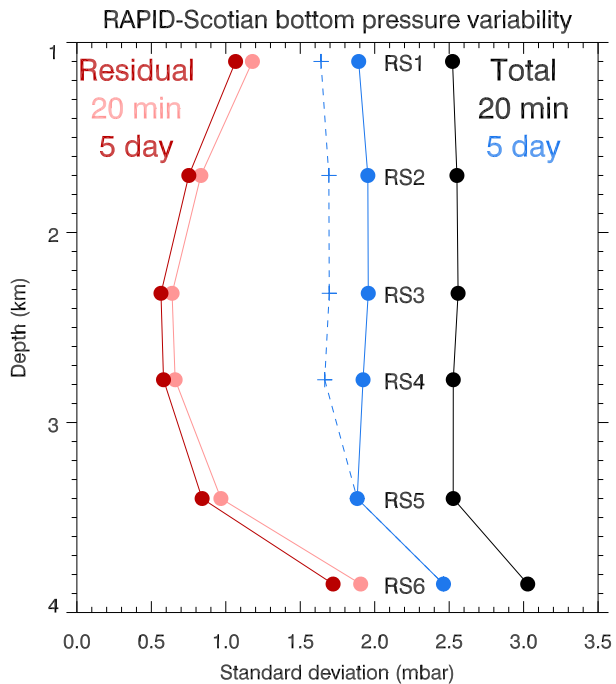


Figure 18: Standard deviations of bottom pressures as a function of depth, from 4 years of measurements at the RAPID-Scotian array near Halifax, Nova Scotia. Black shows the result from the raw 20-minute sampled data after removal of tides and instrumental drift. Blue shows the variability based on 5-day means of the same data. Red is after subtracting the depth average based on the 4 shallowest points (those above 3200 m depth, as used in the model analysis), with pale red for 20-minute sampling and dark for 5-day means. The dashed blue line shows the expected reduction resulting from this subtraction if the time series at each depth were uncorrelated.

quieter region is the deep tropical ocean. Here, the dynamical signals are so small that bottom pressure can, in principle, be used to monitor changes in global ocean mass. In practice, this has so far been limited to the annual cycle (Hughes et al., 2012; Williams et al., 2014), which has been determined to an accuracy of ± 0.3 mbar, or about ± 1100 Gt of water, but the principle works well at long timescales too (Hughes et al., 2012). Thus, tropical ocean bottom pressure monitoring would provide a valuable contribution to the global sea level budget.

To some extent, the continental slope signals can be discerned in sea level measurements, again confirming the relevance of these arguments to the real ocean. Hughes and Meredith (2006) used satellite altimetry to show that signals with periods shorter than 1 year were correlated over very long distances around the global continental slope. One block of correlation they found stretched from Cape Hatteras right round the north of the Atlantic to tropical Africa (their figures 3 and 5), which suggests that the dominant signal seen was the depth-independent mode, consistent with the fact that no propagation lag could be seen over this distance.

The altimetry result highlights further the need for bottom pressure measurements: without them it is impossible to distinguish the different vertical modes, and the depth-independent mode is liable to dominate. Figure 18 shows the importance of the depth-independent mode. Once this is subtracted, the vertical structures of the remaining observed variability are quite robust, with the first EOF being almost linear with depth (Elipot et al., 2013) apart from instruments on the gently-sloping tail of the continental slope, and having a quite different spectral structure from the depth-independent mode, with less variability at the shortest periods.

To the extent that it has been possible to test it, the real ocean does indeed seem to behave in a manner similar to the model.

6.2 Achieving the accuracy required

We are left with the question of how to make these measurements to the required accuracy. While bottom pressure recorders can be excellent for high-frequency measurements, they are prone to a long-period drift which, although it is well characterised as an exponential plus linear drift, can be very different from instrument to instrument and even between deployments of the same instrument (Watts and Kontoyiannis, 1990; Polster et al., 2009). We must find an independent way to remove this drift. Several possibilities are available with present technology.

1021 The most obvious technique is to use bottom pressures determined from satellite gravimetry.
1022 Indeed, some success has been claimed for this method by Landerer et al. (2015), who construct
1023 a time series of the AMOC from GRACE data. This is an interesting development, but should
1024 be treated with some caution. The patterns of bottom pressure seen by GRACE are limited
1025 to large horizontal scales, and the illustrations in Landerer et al. (2015) show a mode in which
1026 contrasting pressure anomalies appear over the entire shelf versus a wide region of the western
1027 abyssal plain. This is in contrast to what we see in ocean models where the relevant region
1028 is just the thin strip of the continental slope. Furthermore, at 26°N the inference is made
1029 based on broad pressure anomalies to east and west being representative of the deep branch of
1030 the AMOC, where they could equally well have been interpreted as representing the shallow
1031 branch, producing a time series with the opposite sign. It is possible that the broad-scale
1032 patterns seen by GRACE are indirectly reflecting the AMOC, especially if the variations are
1033 dominated by a large-scale response to wind stress variations over the time period considered,
1034 as suggested by Elipot et al. (2017). Or perhaps GRACE is seeing transient changes in broad
1035 water mass properties associated with AMOC transport anomalies. What is clear is that
1036 satellite gravimetry does not have the spatial resolution to distinguish between the shallow and
1037 deeper parts of the continental slope, especially in the presence of neighbouring larger signals
1038 both on the continental shelf and at the foot of the slope, so any such measurement relies
1039 on larger-scale correlations. While the GRACE results are very interesting, they cannot be
1040 used as a means to monitor the AMOC on longer timescales without detailed validation and
1041 understanding of how the measured signal relates to the AMOC and further understanding of
1042 the influence of plastic deformation of the earth on the observed signals. Similar arguments limit
1043 the use of upper-ocean and sea level measurements to derive the deep, large scale circulation.
1044 Although the influence of the AMOC has been noted (Bingham and Hughes, 2009b; Ducez et
1045 al., 2014; Goddard et al., 2014; McCarthy et al., 2015), it is an indirect link partially masked
1046 by other effects such as the influence of coastal winds (Woodworth et al., 2014, 2017).

1047 Following satellite gravimetry, the most straightforward method to obtain bottom pressure
1048 at a point is to combine satellite altimetry with hydrographic measurements which allow the
1049 calculation of the steric sea level. The difference between the two is then a measure of ocean
1050 bottom pressure. Williams et al. (2015) investigated this approach using collocated tall moor-
1051 ings and bottom pressure recorders as part of the RAPID array at 26°N. They found that it

1052 was crucial to have information right to the surface, that very careful calibration of the moored
1053 instruments was required at the start and end of each deployment and that, even with ideal
1054 sampling and calibration, accuracy is limited to about 1–2 mbar in 5000 m depths (somewhat
1055 less in shallower water, but errors tend to be dominated by surface waters). This approach
1056 is therefore marginally feasible, but requires great care in its implementation. It may be the
1057 best approach for tropical measurements related to global ocean mass. Such a system should
1058 incorporate a good quality bottom pressure recorder as a check on system integrity, and to
1059 dramatically reduce errors at periods much shorter than the instrument deployment length.

1060 The method pursued by the WAVE group (Hughes et al., 2013) focuses not on the pressures
1061 themselves, but on differences between pressures at different depths on the slope. Since the
1062 most interesting information is not in the depth-independent mode, $\langle p \rangle_H$, but in the depth-
1063 varying modes, this is sufficient to capture these modes. For a vertical sidewall, this would
1064 simply be a matter of measuring density at the boundary and using hydrostatic balance to
1065 compute the pressure differences. For a sloping wall, the horizontal pressure differences are
1066 also important (in fact dominant, on all but the steepest slopes, at intra-annual periods).
1067 The horizontal differences can be measured by measuring near-bottom currents and invoking
1068 geostrophic balance. The detailed theory, known as the Stepping Method, is given by Hughes
1069 et al. (2013), who applied this method to the first deployment of the RAPID-Scotia array, which
1070 was designed with this methodology in mind. Elipot et al. (2013) also applied the method at
1071 the predecessors to this array, for which the relevant data was available, but less well sampled.
1072 This method requires only bottom and near-bottom measurements, thus making the array more
1073 robust and simple to maintain than one which also includes moorings reaching to the surface.

1074 We reproduce here (Figure 19), Figure 11 from Hughes et al. (2013), which shows how
1075 accurately the pressure difference budget can be closed with this measurement system. The
1076 residual errors are 0.16 mbar for the depth distance of 600 m between RS1 and RS2, 0.37 mbar
1077 for the 1675 m distance between RS4 and RS1, and 0.48 mbar for the 2300 m distance between
1078 RS5 and RS1. This method therefore meets the sub-millibar requirement for resolving the
1079 signals of interest. Note, though, that RS3 was excluded from this analysis because it showed
1080 multiple adjustment periods, and there may be other kinds of deviation from exponential plus
1081 linear drift in other cases.

1082 Figure 19 also demonstrates the effectiveness of removal of the high frequency tidal signals

1083 when data are sampled in such a way as to resolve the tides. This can become more of an
1084 issue with satellite data, either altimetry or gravimetry, for which a tidal model must be used
1085 and aliasing to long periods remains an issue. Long period tides remain in the data but their
1086 departure from equilibrium (the equilibrium tide can be calculated accurately from first princi-
1087 ples) is expected to be limited to periods shorter than a few months, and to be predominantly
1088 geostrophic. In this sense, the non-equilibrium tides are simply part of the signal to be moni-
1089 tored. The largest long period tides have amplitudes of up to about 3 mbar (Egbert and Ray,
1090 2003). It is, therefore, important at the 1 mbar level to calculate and subtract off the long
1091 period equilibrium tides, including the pole tide.

1092 Despite the success of this method, it would be nice to have a more straightforward means
1093 of monitoring bottom pressures without the need for drift corrections. As Figure 19 shows, the
1094 present technology works well apart from the exponential-plus-linear drift, so all that is needed
1095 is a small number of measurements without drift to correct this.

1096 One possibility has been put forward by Sasagawa and Zumberge (2013), who use a known
1097 weight acting over a known area to produce a reference pressure at intermittent times. They
1098 claim a precision of 1.16 mbar per calibration point, which can reach our required precision if
1099 sufficient (order 10 or more) calibrations can be made per deployment. However, although a
1100 recent deployment of two instruments demonstrated a clear improvement of the drift, almost
1101 eliminating the exponential part and significantly reducing the linear trend, a remaining, mostly
1102 linear trend difference between the instruments remained (Sasagawa et al., 2016), amounting to
1103 about 5 mbar after 1.5 years. This is very good progress, but not quite at the required accuracy
1104 yet.

1105 Another proposed system is the use of a reference pressure in a closed chamber, together
1106 with a differential pressure sensor (Gennerich and Villinger, 2015). The targeted trend accuracy
1107 is 0.1 mbar yr^{-1} , which would be a major step forward, but the instrument currently exists in
1108 concept only.

1109 We would like to encourage these developments, and other initiatives to measure ocean
1110 bottom pressures. The required precision and stability is of order 10^{-7} to 10^{-8} over a year or
1111 longer, if we are aiming at 0.1 mbar (1 mm) in depths of 1000–5000 m. Perhaps a method using
1112 fluids rather than crystals would avoid the unknown source of the drift in the quartz crystal
1113 sensors presently used? Perhaps some optical technique could provide a better solution? Al-

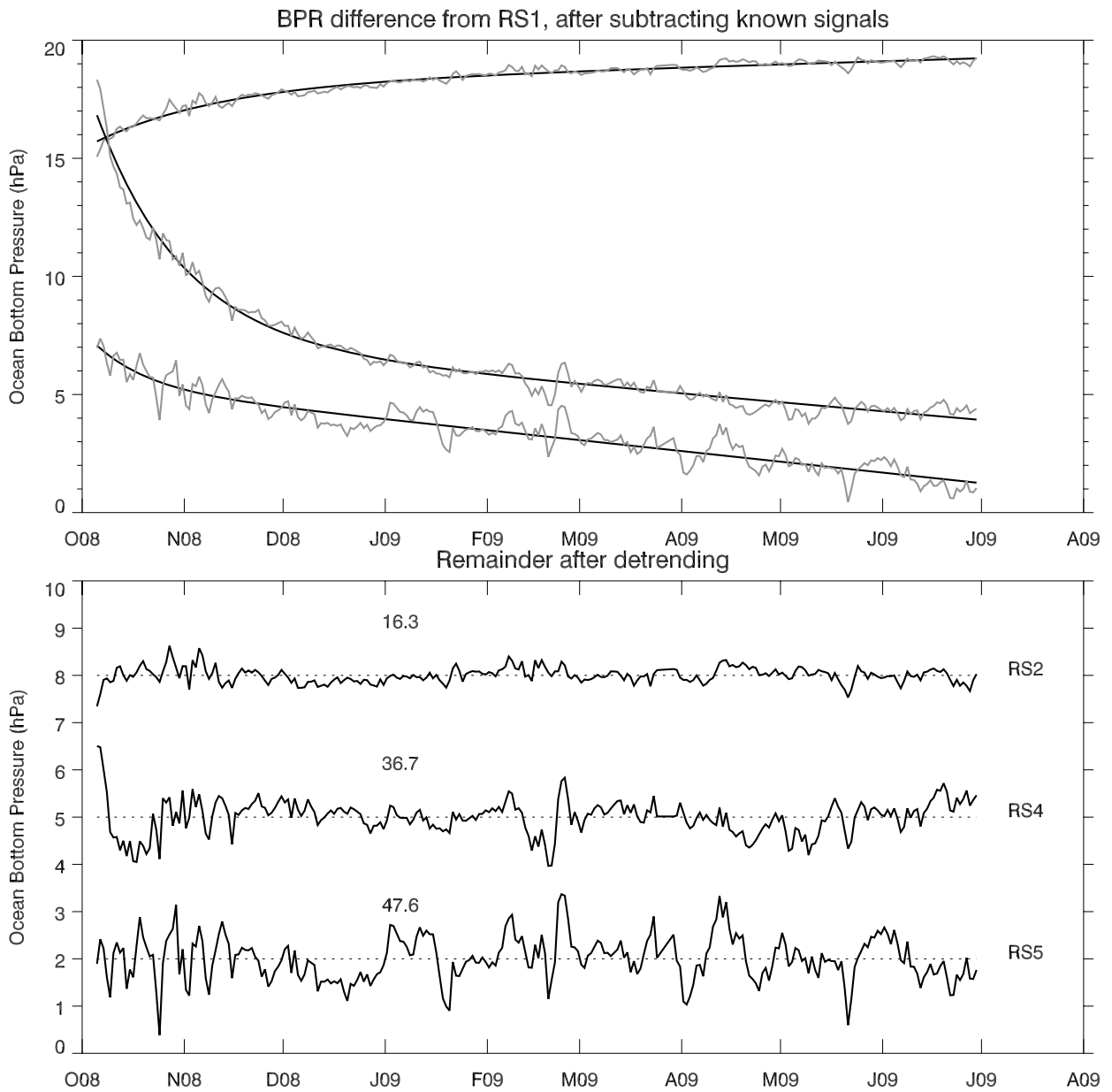


Figure 19: Differences between directly-measured bottom pressure differences and those inferred from density and current measurement. Differences are between (RS2, RS4 RS5) and RS1 (see Figure 18). The top panel shows the raw values, together with the exponential-plus-linear instrument drift that is fitted. The bottom panel shows the remainder after removing drift, together with its standard deviation in Pa (100 Pa = 1 hPa = 1 mbar). Reproduced from Hughes et al. (2013).

1114 though the technology is improving, we are still using essentially the system that was developed
1115 in the 1970s, it may be time to explore new options.

1116 Finally, if we did have a very stable pressure sensor, this would then bring into focus the
1117 fact that the seafloor itself is not perfectly stable. Secular vertical land motions of order 1 mm
1118 yr^{-1} are a very familiar feature to those working with tide gauges, and much larger values can
1119 be found in tectonically-active areas (indeed, the aim of several bottom pressure measurement
1120 experiments has been to measure motion of the seafloor, not ocean dynamics). There is no
1121 equivalent of Global Navigation Satellite Systems like GPS to monitor the seafloor, so for the
1122 present we would have to rely on placement of instruments in stable positions, and/or accurate
1123 models of vertical land movement. In fact, it is not the geometrical motion that is relevant
1124 for ocean dynamics, but the motion relative to geopotential surfaces: what we need to know
1125 is what level the instrument is at, which involves knowing the Earth's gravity field. Satellite
1126 data can help with this, but an intriguing possibility for the future may be the use of highly
1127 accurate optical clocks. These are now approaching an accuracy of 10^{-18} (Margolis, 2014).
1128 General relativity predicts that a change in geopotential height causes a clock to run faster if
1129 it is raised through a height δh by a factor of approximately $g\delta h/c^2$, where c is the speed of
1130 light. The factor g/c^2 is about 10^{-16} , meaning that a clock accuracy of 10^{-18} would make it
1131 possible to measure level differences of 1 cm. The technological challenges may be formidable,
1132 but there is nothing which cannot be overcome in principle.

1133 The vertical land movement problem is only an issue for direct measurement of bottom
1134 pressure (or satellite gravity measurements, where it becomes a rather different issue). For
1135 the indirect methods, either altimetry plus a tall mooring or the Stepping Method, precise
1136 positioning of the instruments in the ocean is not a major issue and it suffices to know depths
1137 to a few metres.

1138 **6.3 Final thoughts**

1139 To summarise, continental slope bottom pressure measurements can make an extremely valuable
1140 contribution to a Global Ocean Observing System, monitoring basin-scale dynamics uncontam-
1141 inated by the mesoscale variability which dominates most other measurements, and allowing
1142 for measurement of the AMOC. This is possible with current technology if we combine bot-

1143 tom pressure recorders with near-bottom density and current measurements, and are willing
1144 to sacrifice the less dynamically-interesting depth-independent mode (though a combination
1145 of altimetry and a full-depth mooring, plus bottom pressure recorders, could also provide this
1146 mode to about 1–2 mbar accuracy, permitting monitoring of variations in the Arctic through-
1147 flow plus precipitation minus evaporation, though only with an accuracy of a few sverdrups).
1148 Future developments may make direct measurements of bottom pressure more accessible, and
1149 these should be encouraged, but an important start can be made with the present technology.
1150 Though the accuracies required may seem daunting, these are simply a reflection of assuming a
1151 1 sverdrup target accuracy, together with an equivalence of 1 mbar to 1 sverdrup per kilometre
1152 at mid latitudes (the pressure signals reduce, and hence the required accuracy increases, at low
1153 latitudes). Any system which aims for 1 Sv transport accuracy is implicitly determining bottom
1154 pressure at the 1 mbar level. It would seem sensible to make that accuracy an explicit aim, to
1155 be sure we are not fooling ourselves when we consider how well the ocean state is monitored.

1156 **Appendix: Interpreting the colour spectrum plots**

1157 The colour spectrum plots in Figures 1–5 are designed to give a qualitative representation of
1158 the different spectra at each point in the ocean, in a manner that can be naturally interpreted.
1159 We do this by exploiting the way the eye interprets spectra of light.

1160 In a person with normal vision, three numbers are sufficient to describe any colour including
1161 its brightness, because the eye has three different colour-sensitive receptors with different ranges
1162 of sensitivity. Thus, the eye is only sensitive to these three different weighted averages of
1163 the visible spectrum. A particular perceived colour can be produced from many different
1164 spectra. For example, the “sodium orange” of many old street lamps is produced by a spectrum
1165 dominated by a very narrow band at about 590 nm wavelength, but the same perceived colour
1166 can be produced by a combination of red and yellow light.

1167 The details of how we calculate colours from a spectrum are given in the appendix to Hughes
1168 and Williams (2010), and we use exactly the same parameters here except that we divide by a
1169 ten times smaller normalizing factor of 1.6×10^5 in the bottom pressure plots, in order to make
1170 them brighter (note however that there is an error in that appendix: the matrices given as A4
1171 and A5 are both transposed). In this appendix we describe in more detail how to interpret the

1172 colours and the scales attached to the figures in this paper.

1173 The main thing to note is that, just as with light, there is no way to infer the spectrum
1174 from the colour, since many different spectra are consistent with the three weighted averages
1175 which determine a given colour. Only the forward calculation can be done, to find the colour
1176 given a particular spectrum. This is how we produce the different colour bars on the plots in
1177 Figures 1–5. A particular shape of spectrum is chosen, and various parameters changed, with
1178 the resulting colour plotted as a function of those varying parameters.

1179 In the first three colour bars, the chosen spectrum is a Gaussian as a function of period
1180 (i.e. a Gaussian Spectral Power Distribution, as described in the appendix of Hughes and
1181 Williams (2010)). The two varied parameters are the period of the peak (x -axis), and the
1182 overall brightness (y -axis). Thus, the first colour bar shows the intense colours which result
1183 from a sharply-peaked spectrum peaking at different periods, the second shows the same for a
1184 broader Gaussian, and the third for a still broader Gaussian (the white lines show the actual
1185 Gaussian for a particular period).

1186 The fourth colour bar shows the colours for particular power laws, i.e. spectra in which
1187 power is proportional to frequency raised to powers in the range -4 to 0. Again, the y -axis
1188 represents varying overall brightness. In this case the colours range smoothly from orange-red,
1189 through grey-white near a power of -2, to blue at power zero. Note that we do not generally
1190 expect steep power laws (-2 or below) to persist to the lowest measured frequencies (there are
1191 great difficulties with calculating spectra if they do). However, the mapping to colour is only
1192 sensitive to our chosen range of periods from 2–24 weeks, so the power law only need extend
1193 over this range to dominate the colour. In an unfortunate clash of terminology, it turns out that
1194 broadband white light has what is usually referred to as a “red” spectrum, with power spectral
1195 density proportional to frequency raised to the power -2. As a result, such “red” spectra appear
1196 in these spectral colour plots as a grey scale (the Wortham and Wunsch (2014) spectrum is
1197 “red” in the 2–24 week period range, and hence appears as grey). Similarly, a “white” spectrum
1198 (power spectral density independent of frequency, index 0) appears as an intense blue.

1199 A third spectral shape was used in the generation of Figure 1b of Hughes and Williams
1200 (2010). In that case the spectra were modelled as two power laws: zero for low frequencies,
1201 and -4 for high frequencies, with the varying parameter being the period at which the switch
1202 between power laws occurs. This was designed to represent an extreme version of sea level

1203 spectra like those in Figure 6, many of which show very steep power laws at high frequency
1204 and much gentler power laws at low frequency, with a sharp transition at a period related to
1205 the shortest baroclinic Rossby wave period. The range of colours produced with that spectral
1206 shape was very similar to that in our second colour bar, for periods shorter than 100 days (i.e.
1207 the more intense reds were absent, as they are in the power law colour bar).

1208 Looking at the relationship between spectrum and colour, we can draw some general con-
1209 clusions. Pale–mid-blue and orange-red are quite common in smooth spectra, and generally
1210 represent more or less power in the high frequencies than would be found with a -2 power law
1211 (again, over the 2-24 week period range). Other colours, and more intense reds and blues,
1212 require more structure in the spectrum and tend to reflect sharp changes in gradient or peaks
1213 near a particular period, as shown in the first colour bar. Furthermore, there are colours seen
1214 in Figures 1–5 which are not seen in any of our colour bars, especially pinks and light purples.
1215 These may be suggestive of multiple peaks or more complex spectral shapes.

1216 As the above shows, there is no simple, intuitive way to uniquely translate a colour to a
1217 spectrum, but there are general guiding principles which the colour bars help with. In many
1218 ways, a better way to use these plots is to treat them like false colour astronomical photographs
1219 spanning a wide range of the electromagnetic spectrum: different processes result in different
1220 colours, and those colours add in a way we are used to interpreting with visible light, but the
1221 colours are only qualitative pointers to processes. Further detailed investigation into the actual
1222 spectra and time series is needed once regions with a particular colour have been identified.

1223 After calculating these diagnostics for a number of variables and different models, we have
1224 found them to be a good way of getting a quick overview of model performance in the mesoscale
1225 band. Similar features are often found in different models, and different features stand out
1226 strongly and invite investigation.

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