

1 **Title:** Evaluation of the Sensitivity of Passive surveillance for HPAI in Bayelsa state (Southern Nigeria)

2 **Names of authors:** Ojimelukwe, E. Agatha, Prakarnkamanant, Apisit, Rushton, Jonathan.

3 **Telephone:** +2347061507378

4 **Email:** agatha.ojimelukwe@uniport.edu.ng asam1@rvc.ac.uk,

5 **Present Address:** 8 Ogbonike Street Rumuomasi, Port Harcourt, Nigeria.

6 **Correspondence address:** Department of Animal & Environmental Biology, College of Natural and

7 Applied Science, University of Port Harcourt, Nigeria. P.M.B 5323 (agatha.ojimelukwe@uniport.edu.ng)

8 **Abstract**

9 The study evaluated the performance of passive surveillance in commercial and backyard chickens for

10 HPAI H5N1 in Bayelsa state, Nigeria, using scenario tree modelling. A scenario tree model for passive

11 surveillance was developed and simulated to estimate the sensitivity, i.e. the probability of detecting one

12 or more diseased chicken farms at different levels of disease prevalence. The model showed a median

13 sensitivity of 100%, 50% and 19% for detecting HPAI by passive surveillance assuming the entire

14 reference population was under surveillance at a design prevalence of 0.1%, a minimum of 10 and 3

15 infected poultry farms respectively. When 35% of the reference population was under surveillance, the

16 sensitivity of passive surveillance was 98%, 22% and 7% at a design prevalence of 0.1%, a minimum of

17 10 and 3 infected poultry farms respectively. The probability of detecting HPAI changed drastically when

18 the proportion of backyard poultry farmers who reported suspected cases to the government or a private

19 veterinarian varied from 3% to 26%. Parameters with the most significant contribution to the sensitivity

20 of the surveillance program are; the ability of backyard poultry farmers to recognise HPAI; and their

21 willingness to report suspected cases of the disease. Increasing the proportion of the population involved

22 in passive surveillance; encouraging the reporting of suspected cases through enlightenment campaigns;

23 compensation payment to poultry farmers for culled birds; and improving the communication channels

24 between all relevant stakeholders is crucial to the detection process.

25 **Keywords:** Scenario tree modelling; Passive surveillance; Backyard poultry; HPAI; Nigeria

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27 **Introduction**

28 Outbreaks of Highly Pathogenic Avian Influenza (HPAI) are of notable concern because of its adverse
29 impacts on public health, the poultry industry as well as the economy of affected nations. A total of 606
30 confirmed human cases of Avian Influenza (AI) infection with 357 deaths (WHO, 2012) have been
31 reported, most of which are linked with exposure to sick or dead poultry (WHO, 2011). Outbreaks of AI
32 do not only devastate the poultry industry through the high mortality and morbidity of the disease or
33 depopulation for controlling the outbreaks, but also cause a drop in demand for poultry and poultry
34 products through negative market reactions. A typical case point in Africa is the outbreak of Highly
35 Pathogenic Avian Influenza (HPAI) H5N1 in Nigeria which reduced the demand and consumption of
36 poultry products and led to a loss of jobs for poultry farmers.

37 Prior to the HPAI H5N1 outbreak in Nigeria, the poultry population was estimated at 150 million birds,
38 25% produced commercially, 15% semi-commercially and 60% backyard poultry (Ortiz et al, 2007).
39 Poultry production is an important economic activity in Nigeria and is a significant constituent of family
40 income especially in poor rural communities (CBN, 2004). It employs two-third of the nation's labour
41 force (Ugwu, 2009). Backyard poultry is kept extensively throughout the country (Uzochukwu-Obi et al,
42 2008) and serves as a source of quick cash, food security and ultimately forms part of the peoples'
43 livelihood (Diao et al, 2009).

44 The first case of HPAI H5N1 in Nigeria was detected on a commercial poultry farm in Kaduna state, on
45 the 26 of January 2006 (Joannis et al, 2006; De Benedictis et al, 2007). The disease spread across 25 of
46 the 36 states in the country between January 2006 and July 2008 (Fusaro et al, 2009), affecting both
47 commercial and backyard poultry (Fasina et al, 2011). By January 2007 the first and only human case of
48 HPAI was confirmed in Lagos state (WHO, 2012).

49 An estimated 1.3 million birds died or were culled in an attempt to control the outbreak in Nigeria
50 (Fasina et al, 2011). Eighty percent reduction in the consumption of poultry in households and restaurants

51 was reported during the outbreak in 2006 (Anon, 2006; Obayelu, 2007). Surveys conducted across
52 poultry farms showed that 80% of workers of affected farms and 45% of un-affected farms had lost their
53 jobs due to lower revenue during HPAI outbreak (Anon, 2006; Obayelu, 2007)

54 Efforts were made by the Federal Government of Nigeria (FGN) in collaboration with several
55 international bodies including the Food and Agricultural Organisation of the United Nations (FAO), the
56 World Bank, the World Organisation for Animal health (OIE) and others to intensify surveillance and
57 control the outbreak (Joannis et al, 2008). Control measures included; active surveillance in farms and
58 Live Bird Markets (LBM), restriction of bird movements throughout the country, enlightenment of
59 poultry farmers on the significance of bio-security, thorough decontamination of infected premises and
60 rapid stamping out of all laboratory confirmed cases (Ekong et al, 2012). Confirmation of a farm positive
61 for HPAI H5N1 within a village led to all birds within that village being culled (Henning et al., 2012).

62 Passive surveillance or the reporting of suspected cases of AI to the veterinary authorities was set up in
63 2006 with the aim of optimizing rapid detection of the disease. A compensation payment scheme for
64 culled birds was introduced and later revised to encourage poultry farmers report suspected cases
65 (Akinwumi et al, 2010) and minimize consumption or sale of sick birds in order to ease direct losses due
66 to the disease (Otte et al, 2008; Anon, 2006)

67 There have been no reported cases of HPAI in Nigeria since 2008 (OIE, 2012). The technical assistance
68 and financial support from international organizations related to this issue ended in May 2011 (World
69 Bank, 2012). Nevertheless the Nigerian government would still need to re-consider and modify its
70 control and prevention strategies for HPAI. It needs an evaluation of surveillance systems in order to
71 optimize its efficiency.

72 With regards to surveillance, sensitivity is the probability that at least one bird infected with HPAI will
73 be detected by the surveillance system, provided the disease is present in the reference population at or
74 above a specified level of prevalence (Martin et al, 2007). This study aims to evaluate the sensitivity of

75 the passive surveillance for HPAI, using Bayelsa state in the southern region of Nigeria (See Fig 1) as a
76 case study. Due to the presence of inland water bodies, its poultry density (122 poultry/Km² of land area),
77 human population density (182 people/Km²) and market access, the state has been considered a high risk
78 area for the occurrence of HPAI (Uzochukwu-Obi et al, 2008).

79 The method used is scenario tree modelling described by Martin et al, (2007). The result obtained will
80 serve as a guide to refining the surveillance system design and improve the likelihood of disease
81 detection. The objectives of the study are:

- 82 - To quantitatively assess the sensitivity of passive surveillance for HPAI, H5N1 in Bayelsa state.
83 - To identify potential areas for improvement to the surveillance system.

84 **Materials and Methods**

85 A scenario tree model (STM) was developed to estimate the probability that passive surveillance for
86 HPAI H5N1 in Bayelsa would detect at least one diseased animal if present in the chicken population at
87 or above a stipulated design prevalence.

88 *2.1. Reference population*

89 Bayelsa state is located in southern Nigeria, within Latitude 4° 15' North, 5° 23' South and longitude 5°
90 22' West and 6° 45' East. The state is divided into eight (8) Local Government Areas (LGAs). According
91 to the National Bureau of Statistics, in 2007, there were 265,189 households in the state and a total of
92 1,147,432 poultry - almost all of which are chickens. The structure of poultry production systems is
93 similar to those in most developing countries; small number of large scale commercial poultry farms and
94 countless numbers of small scale backyard poultry farms. Due to lack of up-to-date census of poultry
95 farms in the state, data on the number of backyard poultry was based on Uzochukwu-Obi et al's, (2008)
96 report which stated that an estimated 64.42% of households in the region keep backyard poultry. With
97 this estimate and the total number of households in the state, it would give a total of 170,835 households

98 having backyard chickens assuming there has been no significant change in the number of households in
99 the state (Uzochukwu-Obi et al, 2008; NBS 2007). Based on a 2006 census there were 64 registered
100 commercial poultry farms in Bayelsa of which 59 are exclusive chicken flocks (92%), four keep a
101 mixture of chickens and turkeys (6.2%) and one duck farm (1.5%). As majority of poultry in the state is
102 comprised of chickens and chickens constitute the greatest percentage (>80%) of the poultry industry in
103 Nigeria (Adene and Oguntade, 2008), this study therefore assesses the sensitivity of the passive
104 surveillance in the chicken population only. A surveillance unit for this analysis is poultry holding.

105 *2.2. Surveillance System Components (SSC) based on passive surveillance*

106 Passive surveillance is the voluntary reporting of HPAI by poultry farmers to the National Animal
107 Disease Information and Surveillance through their respective state veterinary services. Every state of
108 Nigeria has a Desk officer who heads the National Avian Influenza Control Project (NAICP). The desk
109 officer is in charge of HPAI surveillance and response activities in the state. Reporting of suspected cases
110 of AI is directed to him. Upon suspicion, the following samples are collected and sent to the National
111 Veterinary Research Institute (NVRI) by the government veterinarian; swabs of tracheal and cloacal
112 contents taken aseptically, brain, trachea, spleen and intestinal contents. Specimens are taken from at
113 least six birds preferably with an equal number of dead birds and those showing signs of acute disease
114 (FDLPCS, 2006). These samples are pooled and tested using RT-PCR. Positive samples are then subject
115 to Virus isolation.

116 *2.3. Field study / Data sources*

117 Of eight LGAs in Bayelsa state, two LGAs were purposively selected based on accessibility and
118 available funds; Yenegoa LGA and Ogbia LGA. The field study was carried out with the aim of
119 obtaining a holistic understanding of the characteristics of poultry farming in the state and peoples'
120 behaviour in terms of disease reporting. We started by interviewing poultry famers and then moved
121 towards people who they reported to. In this process we developed an information pathway and

122 subsequently drew the Scenario tree. The STM was populated based on data gained from questionnaire,
123 expert opinion and literature search.

124 *2.3.1. Poultry farmers/farm workers Interviews*

125 Sampling of poultry farms was done using snowball sampling. Commercial and Backyard chicken flocks
126 first visited were based on the state veterinary services' knowledge. Other poultry farmers were then
127 found through referral by the previous poultry farmers visited and distributors of poultry feed. This
128 sampling method was used due to lack of up-to-date official registration of poultry farms in Nigeria. 26
129 poultry farmers (13 commercial chicken farmers and 13 backyard chicken farmers) were interviewed
130 within the time available for the study. The interview gathered information on flock type, production
131 systems, demographics, husbandry practices, bio-security, feeding, and knowledge of poultry disease,
132 drug use, and reporting practices. The answers obtained from these interviews were incorporated in the
133 model. Table 2 shows the details of the probability distributions and proportions chosen for the scenario
134 tree model.

135 *2.3.2. Interview of Private Vet Doctors and "Informal poultry health advisors"*

136 Three categories of people who poultry farmers report to were identified;

- 137 i. A private veterinarian;
138 ii. An informal poultry health advisor; and
139 iii. The state veterinary service.

140 An informal poultry health advisor is one who knows about poultry and poultry disease, has years of
141 experience in the field, may be called a doctor but is actually not. He or she provides advice to poultry
142 farmers on matters of bird health and may be skilled to carry out post mortem examinations on birds. His
143 or her service is usually cheaper compared to private veterinarians. Two private veterinary doctors and

144 two informal poultry health advisers were interviewed. Interviews were done to understand the process of
145 detection of an infected flock, diagnostic capability and communication channels with the state veterinary
146 service.

147 *2.3.3. Expert Opinion*

148 A number of parameters in the scenario tree model were estimated by expert opinion due to a lack of
149 published data. Four experts agreed to take part, experts selected possessed relatively equal levels of
150 expertise. Two were drawn from the NAICP, one from the University of Nigeria and one from the
151 National HPAI Reference Laboratory, NVIR. The median years of experience of the experts were 18 and
152 the average was 17.7. Experts were asked to respond giving a minimum, most likely and maximum value
153 to all scenarios presented. Individual responses were then combined by taking a simple average of their
154 opinions to provide single distributions for each parameter and incorporated as inputs of the pert
155 distribution in the model. Table 3 shows the details of the expert opinion elicitation process.

156 *2.4. Scenario tree model (STM)*

157 The structure of the scenario tree, the nodes and branches developed as a result of this study are shown in
158 Figure 2. The STM evaluates the performance of the disease detection process. It considers the key
159 factors that influence the probability of a positive surveillance outcome (Martin et al, 2007). The STM
160 displays a sequence of steps in the passive surveillance which is classified into category nodes, infection
161 nodes and detection nodes. The risk category node splits the scenario tree into branches for which the risk
162 of being infected differs. The infection node reflects the level of design prevalence chosen for the
163 analysis. Detection nodes reflect the events that precede detection by the passive surveillance.

164 *2.4.1. Risk Category Nodes*

165 Based on farm type, one risk category node is considered in the STM. The branches are: commercial and
166 backyard chicken farms. The relative risk (*RR*) of infection between commercial and backyard chicken
167 flocks was derived from expert opinion.

168 *2.4.2. Infection nodes*

169 The disease prevalence is assigned at the among-flock level. Three among-flock level prevalence (P_H^*)
170 was considered in the analysis. 0.1%; 10 infected poultry farms and 3 infected poultry farms.

171 *2.4.3. Detection nodes*

172 The process of detection depends on the probability that an infected bird will show clinical signs (CS)
173 and the ability of the poultry farmer to recognize the infection (RG). Because HPAI H5N1 is associated
174 with high mortality and morbidity in chickens, we estimated a high probability of detection by most
175 commercial farmers. The chicken owner may not precisely identify the disease but can clearly recognize
176 a problem. For backyard chicken farms, where birds are less monitored, and in most cases are allowed to
177 roam and confined only at night, there is a possibility of the disease going undetected (Henning et al.,
178 2008). We therefore generated a pert distribution using @Risk for this parameter.

179 The poultry farmer's action (FA) after the disease is recognised is crucial and may be influenced by
180 certain important factors such as;

- 181 i. The farmer wants to avoid veterinary control for certain reasons;
- 182 ii. The farmer may be unable to contact the veterinarian to make a report as a result of very poorly
183 developed road network and lack of means of communication; or
- 184 iii. The absence of compensation payment for culled birds.

185 This parameter was assigned proportions based on the responses of backyard and commercial poultry
186 farmers interviewed. Farmers' actions were characterized into four possible outcomes as follows;

- 187 i. Farmer consults a private veterinarian (FCpV);
- 188 ii. Farmer reports to the state veterinary service/government (FCG);
- 189 iii. Farmer consults a “informal poultry health advisor” (FCQ), and
- 190 iv. Farmer consults no one.

191 Table 4 shows data used to populate these outcomes.

192 All veterinarians are obligated to report (VR) suspicion to the State veterinary service (FDLPCS, 2006)
193 however the probability that an informal poultry health adviser would report (QR) is uncertain. This is
194 mainly because there are no formal government records to prove their existence; and these poultry health
195 advisers are not usually well known by the state veterinary service. Data on the probability of QR was
196 assigned by the author based on field study interviews with informal poultry advisers

197 The detection process further depends on the probability that the government veterinarian will take
198 samples at the suspected poultry farm (VS) and the probability that the national reference laboratory will
199 perform the test for HPAI (LT). The value assigned to these parameters may be influenced by economic
200 factors such as the availability of funding. Financial support provided by international organisations for
201 HPAI H5N1 surveillance has been suspended (World Bank 2011). Hence expert opinion elicitation
202 process was used to estimate the probability of the vet taking samples and the probability that submitted
203 samples will be tested by the national reference laboratory. The final steps are the probability of a
204 diseased animal testing positive to the diagnostic tests being used which are; Real-time RT-PCR (Se_{PCR})
205 and Virus isolation (Se_{VI}). These parameters were populated based on literature search and expert
206 opinion (Alba et al, 2010)

207 *2.5. Model output*

208 A scenario tree of HPAI passive surveillance was developed using @Risk Version 5.7 (Palisade
209 Corporation) with Microsoft excel 2010. Model was run at 10,000 iterations. Taking into consideration
210 uncertainty and variability, probability distributions were used for some model parameters.

211 *2.6. Estimating the sensitivity of passive SSC*

212 *2.6.1. Adjusted risk*

213 The relative risk of infection between backyard and commercial chicken farms were adjusted to retain
214 relateness while ensuring that the weighted risk for the population is equal to one (1) (Martin et al
215 2007)

$$AR_i = \sum_{i=1}^{nI} (RR_i \times PrPi) = 1 \quad (1)$$

217 AR_i represents the adjusted relative risk and RR_i represents the relative risk for the i th branch of the node.
218 $PrPi$ is the proportion of the reference population for each branch and I is the number of branches.

219 *2.6.2. Calculating the Effective Probability of Infection (EPIH)*

220 The adjusted risk was used to calculate the EPIH for commercial and backyard chicken farms using the
221 following formula;

$$EPIH_i = AR_i \times P^*_H \quad (2)$$

223 P^*_H represents the disease prevalence at the among-farm level

224 The sensitivity of passive surveillance if HPAI were present at disease prevalence P^*_H , was estimated
225 using the following equation;

$$CSe_{pass} = 1 - (1 - EPIH_i \times Se_i)^n \quad (3)$$

227 n is the number of flocks in the subpopulation of i ; Se_i is the probability of an infected chicken farm
228 being detected by the passive surveillance. Se is estimated by multiplying all detection nodes across the

229 respective branches of the scenario tree as follows:

230 $Se_i = CS \times RG \times ((FCpV \times PvR) + (FCQ \times QR) + FCG) \times VS \times LT \times Se_{PCR} \times Se_{VI}$ (4)

231 **Results**

232 *3.1. Sensitivity of passive surveillance*

233 The sensitivity of passive surveillance was estimated at three levels of disease prevalence; 0.1%, 10
234 infected poultry farms (0.00585%) and 3 infected poultry farms (0.0018%). The median, 5 and 95
235 percentiles of the distribution of the sensitivity of detection is displayed in table 5.

236 The results showed a 100% probability of detecting at least one HPAI infected farm assuming the disease
237 is present at a prevalence of 0.1%. However, the estimated median probability of detecting HPAI was
238 reduced to 50%, and 19% when reducing P^*_H to 10 and 3 infected farms respectively.

239 Table 6 shows the sensitivity of passive surveillance assuming only 35% of the reference population was
240 subject to passive surveillance, at a design prevalence of 0.1%, 10 and 3 infected holdings. These results
241 show how the sensitivity of surveillance systems can be affected by the size of the reference population.
242 The probability of detection assuming 10 farms were infected reduced by over 50%

243 *3.4. Important input parameters to the sensitivity of passive surveillance for HPAI*

244 Results for the poultry farmers' action following the recognition of clinical signs is shown in table 4. *It*
245 *was common that* suspected cases *were not reported* among backyard poultry farmers found in rural
246 settlements. Among the 26 poultry farms visited, higher probability of reporting suspected cases of HPAI
247 was significantly ($p = 0.003$) correlated with commercial poultry farms, $r = 0.54$, which can be
248 considered a large effect. Higher numbers of birds in a farm was also correlated with higher probability
249 of reporting suspected cases, $r = 0.30$, which can be considered a medium effect.

250 $r =$ (correlation coefficient)

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253 Sensitivity analysis of the effect of input parameters on the value of the output shows that the capability
254 of backyard poultry farmers to recognise and report the clinical signs of HPAI has a considerable impact
255 on the sensitivity of HPAI H5N1 passive surveillance. During the field study, 23% of poultry farmers
256 interviewed - all of which were backyard poultry farmers, would not report their suspicion to anyone.
257 Assuming this section of individuals did report to either the state veterinary service or a private
258 veterinarian, the sensitivity of the surveillance system would increase by 50% as shown in Table 7.

259 **Discussion**

260 HPAI is a highly lethal disease in chickens. Infected birds develop clinical signs and die within two to
261 three days (ESWI, 2012). With recognizable clinical signs shown in infected birds and a short incubation
262 period, early detection and reporting of suspected HPAI cases by poultry owners is likely to be the most
263 cost-effective means of surveillance. Passive surveillance has been reported to be more sensitive at
264 detecting HPAI than active surveillance (Honhold, 2007; Hadorn and Stark, 2008; Alba et al, 2010).

265 *4.1. Quantitative assessment of the sensitivity of passive surveillance.*

266 At a design prevalence of 0.1% the model assumes 100% sensitivity. This high sensitivity can be
267 influenced by several factors one of which is the extremely large reference population size (Martin et al,
268 2007; Hadorn and Stark, 2008) which in this study is 170,899 poultry farms. The model assumes that the
269 entire chicken population is under surveillance, this is logical as we are dealing with passive surveillance
270 where voluntary reporting can be made by the entire population. However, regardless of a farmer's
271 ability to recognise HPAI and his/her willingness to report, in Nigeria not all farmers are equally
272 predisposed to report as a result of;

- 273 i. Poverty which affects their ability to communicate suspicion due to lack of funds;
274 ii. Location in remote villages which affects their ability to access veterinary services; and
275 iii. Lack of mobile phone network in remote areas which hinges on communication

276 According to IFAD (2013), 70% of Nigerians live below the poverty line and poverty is present mostly in
277 the rural areas where social services and infrastructure are limited. This in reality will affect the total
278 population under passive surveillance. Figure 3 shows how the sensitivity of passive surveillance varies
279 when 100% and 35% of the reference population is subject to surveillance. This shows the difference
280 between the ideal and the real sensitivity of passive surveillance for HPAI in Nigeria.

281 The sensitivity analysis indicates that backyard chicken farmers' capability to recognise and report HPAI
282 is crucial in the detection process. Several factors were identified to have considerable impact on
283 farmers' ability to recognise the disease. These factors include firstly, farmers' knowledge of the clinical
284 manifestation of the disease. Secondly, the poultry size was considered very important especially in
285 backyard poultry farms. Backyard poultry farms visited had a range of 300 – 6 chickens. In the situation
286 of poultry farms with eight birds or less, one or two dead birds may not trigger a farmer's awareness of
287 HPAI as they may perceive the bird to have died of any other disease or of natural cause. Differentiating
288 accepted level of mortality from death due to HPAI becomes difficult. Lastly, taking into consideration
289 that the majority of backyard poultry in the state are allowed to scavenge for food and are confined
290 mostly at night, food and water intake may not readily be monitored. Though backyard poultry farmers
291 have the opportunity for day by day observation of their individual birds, there is a need for further
292 studies to establish how efficiently backyard poultry farmers are to detect HPAI H5N1 in a free range or
293 scavenging system.

294 Backyard poultry farmers' willingness to report suspected cases is crucial. This is determined by how
295 enlightened they are about the disease, its seriousness – economic and public health implications - and
296 the level of compensation paid for culled birds (Hadorn and Stark, 2008). Of 13 backyard poultry farmers
297 interviewed, six would sell-off and/or consume any of their birds they suspected to be sick. This practice
298 is dangerous and could lead to the emergence and spread of human cases of HPAI or other zoonotic
299 diseases. Further study is needed to estimate the factors that encourage this behaviour and effective
300 measures to stop it. The revising of the compensation payment scheme for culled birds to a more

301 acceptable amount by the Federal Government of Nigeria (FGN) significantly improved the number of
302 cases reported in commercial poultry farms during the outbreak between 2006 and 2008 (Akinwumi et al,
303 2010). In the absence of financial support for the compensation of culled birds by the World Bank, it is
304 necessary that the FGN maintains this scheme in order to motivate poultry farmers to report HPAI.

305 *4.2. Potential areas for improvement to the surveillance system.*

306 The current case definition for HPAI as stated by the Federal Department of Livestock and Pest Control
307 Services (FDLPCS) is directed towards veterinarians, stating under what conditions HPAI should be
308 suspected (FDLPCS, 2006). There are no such guidelines stating the conditions under which poultry
309 farmers should report to or consult a veterinarian. Farmers need to know what to report and this should
310 be appropriately and accurately communicated to them. For instance, education campaigns aimed at
311 improving small scale poultry farmers' knowledge in Indonesia was used to improve their ability to
312 recognise HPAI (FAO, 2009). There is need to tailor the case definition to suit small farms in order to
313 optimize early detection in backyard farms.

314 The field manual that guides government veterinarians in the collection of specimen states that samples
315 should be collected from six birds with an equal number of dead and sick birds. However there are some
316 backyard poultry farms with less than six birds. Under such circumstance the government should revise
317 the collection of specimen to be taken from across other backyard farms in close proximity and with
318 possible contact to the suspected farm within a given locality.

319 Six out of 26 poultry farmers interviewed reported to consulting an informal poultry health adviser and
320 not either the private or public veterinary service when they have problems with their birds. The reasons
321 for this appear to be the cost of bringing a highly trained person to their flock versus a local, less highly
322 qualified person. Governments need to think how to identify these informal animal health providers and
323 incorporate them into the system of surveillance rather than getting everyone to report to qualified vets.

324 The result of the STM emphasizes the importance of backyard poultry farms in the HPAI passive
325 surveillance because of their extensive farming throughout the country. Backyard farming practises are
326 vulnerable to HPAI infection (Biswas et al, 2009) and pose a risk of HPAI transmission to humans
327 through contact with infected birds (Bridges et al, 2002; Dinh et al, 2006; Mounts et al, 1999). However,
328 during the 2006 – 2008 HPAI H5N1 outbreaks in Nigeria the federal government has been criticized for
329 concentrating compensation payment to large scale commercial poultry farmers, disregarding backyard
330 poultry farmers to experience losses with no financial support (Akinwumi, 2010; IRIN, 2010,
331 Uzochukwu-Obi et al, 2008). This lack of compensation could greatly discourage them from reporting
332 and ultimately decrease the surveillance system sensitivity.

333 The consumption and selling of sick birds by bird owners in Nigeria is a practice that has been reported
334 by several authors and was also observed during our field study (Uzochukwu-Obi et al, 2008; Otte et al,
335 2008; Akinwumi et al, 2010). Consumption of sick birds may limit the spread of the disease and mask the
336 true size of an outbreak but it has serious potential health implications. Selling sick birds is very
337 important in the spread of the disease. The government should take these aspects into consideration for
338 developing a HPAI control strategy.

339 Some backyard poultry farmers interviewed confirmed that they had given drugs, mainly antibiotics
340 (tetracycline) and paracetamol to their sick birds. Veterinary doctors interviewed mentioned that most
341 poultry farmers would consult them for help in situations where they could not manage on their own or
342 when a large amount of birds die. This practise of self-medicating birds delays the timeliness of detecting
343 a case in the passive surveillance and should therefore be discouraged in order to improve the overall
344 efficiency of the system.

345 Overall, the larger the percentage of the population involved in passive surveillance, the more sensitive
346 the system will be. Creating better access roads; providing efficient mobile communication channels; and
347 improving the general standard of living are issues that should be prioritized by the government. These

348 have benefits not only for disease surveillance but also in matters of health, security, social and economic
349 development.

350 *4.3. Limitations and assumptions.*

351 The time gap between infection and detection may cause disease spreading to other locations. Bird
352 movements to and from LBM have been reported as important places in the spread and circulation of
353 HPAI H5N1 (Kung et al, 2007; Sims, L. 2007).The model does not take into account the time elapsed
354 between infection or the manifestation of clinical signs and reporting to the concerned authorities. This
355 window period is crucial for the spreading of the infection in a system where free range backyard poultry
356 rearing thrives.

357 At the time of this research there was no up-to-date census on Nigerian poultry. Data of poultry
358 population used here were extrapolated from several sources including the National Bureau of Statistics
359 (NBS) 2007 estimates, Adene and Oguntade, (2008) and FAO, (2008).
360 Estimates of the sensitivity of the surveillance are influenced by the value of the relative risk. Due to lack
361 of up-to-date poultry census (population at risk) and lack of complete data of disease outbreaks available,
362 expert opinion was used in estimating the relative risk of HPAI used in the model. There was some level
363 of uncertainty in the results obtained. In order to reduce uncertainty, experts with over 15 years'
364 experience and from relevant fields were selected for the survey. Also some input values used in model
365 parameters were derived from a field study which consisted of interviewing 26 farmers, two
366 veterinarians, and two informal poultry health advisers. Due to lack of up-to-date registered list of
367 poultry farms and list of veterinarians, it is difficult to conduct a random-based survey to obtain
368 representative data. Nevertheless, the STM developed here can be updated when new information
369 becomes available.

370 **Conclusion**

371 This study has evaluated the sensitivity of passive surveillance for HPAI among chicken farms in
372 Bayelsa State using scenario tree methodology described by Martin et al, (2007). The model estimated a
373 high sensitivity of passive surveillance to detect the disease at low disease prevalence (0.1%). Its
374 sensitivity reduced to 50% assuming a minimum of ten infected farms were present in the state. The
375 probability of detecting HPAI can be improved by educating backyard poultry farmers on how to identify
376 the disease and encouraging them to report suspected cases. Other responsive participants such as
377 informal poultry health advisors should be more accountable in ensuring HPAI detection as they act
378 passively with farmers. It is perceived that the FGN reviews its compensation strategy to include
379 enhanced remuneration for backyard poultry farmers to encourage the disclosure of affected birds.

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386 of Bayelsa state.

387 **Reference**

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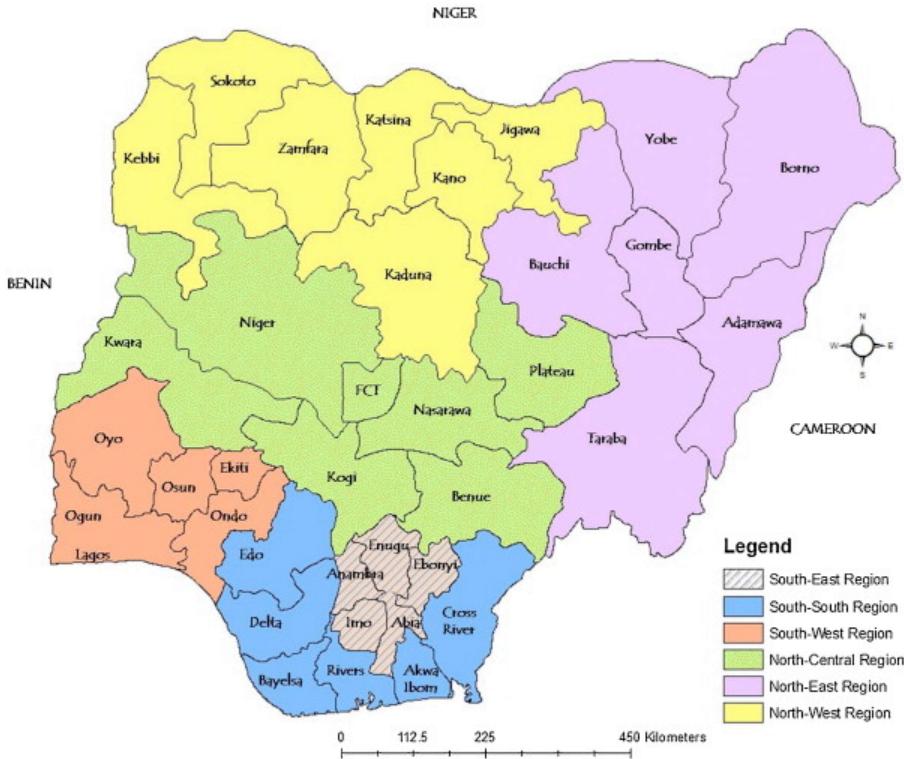
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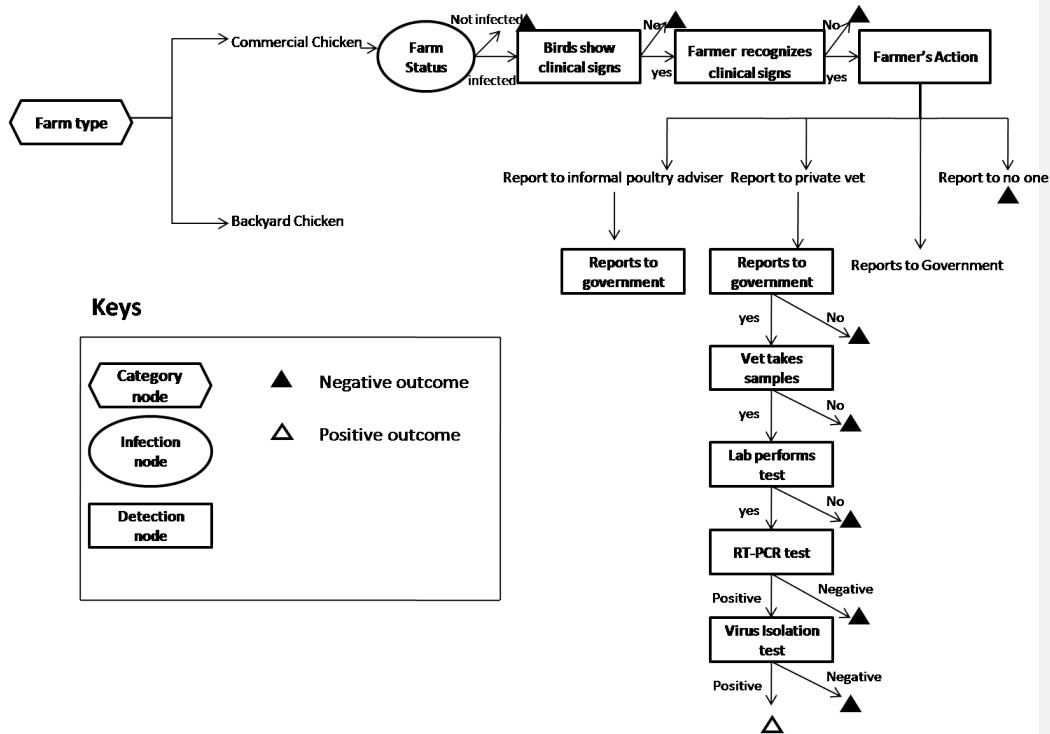
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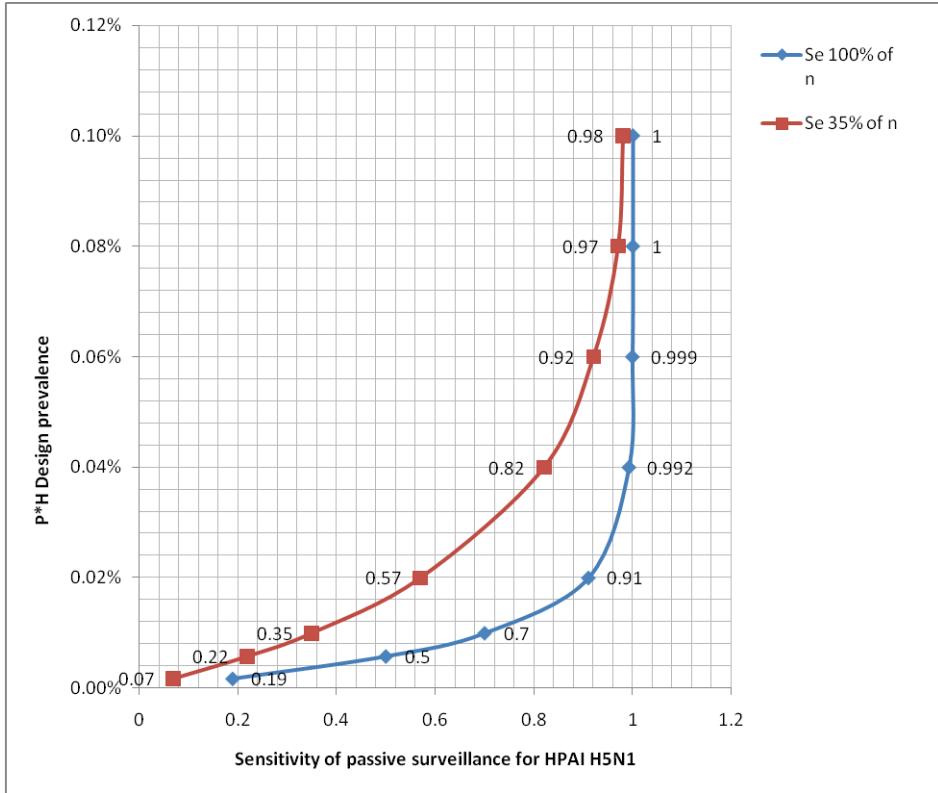
497 **Figure 1;** Map of Nigeria showing the six geopolitical zones (Ekong et al, 2012)

498



499

500 **Figure 2.** STM describing the process of detection of HPAI by the passive surveillance. Only the branch
 501 of backyard chicken is represented suggesting that the other category follows the same process. The same
 502 is the case for the detection category nodes



503

504 **Figure 3.** Chart showing the changes in sensitivity of passive surveillance for HPAI when 100% and

505 35% of the reference population is under surveillance.

506

507 **Table 1.** Interview questions to farmers and veterinarians to define parameters associated with detection
508 by the passive surveillance.

Interview questions addressed to poultry keepers

- What type of birds do you currently have on your premises and what is their total number?
- For how long have you been keeping poultry?
- What do you feed your birds
- What are the common signs of illness in your poultry?
- What poultry disease are you most concerned about?
- Do you administer any form of drugs to your birds?
- When you notice a sick bird, what do you do?
- Who do you contact if a considerable amount of your birds were to fall ill
- What is your acceptable level of mortality?
- Who do you contact if a large amount of your birds were to die within a relatively short period?
- At what point would you seek veterinary advice?

Interview questions addressed to private veterinarians

- For how long have you been practicing in Bayelsa state?
- What are the most common poultry diseases you have encountered throughout your stay in the state?
- What poultry disease have you never come across
- At what point do you think poultry keepers would consult a Veterinarian for help concerning their birds?
- What diagnostic tools are available to you?
- Under what conditions would you suspect HPAI in a poultry flock?
- What do you do upon suspicion?
- At what point would the state veterinary service be notified?

509

510 **Table 2.** A description of the STM, showing the nodes, selected branches, input
 511 values/probability distributions and range of values used and their respective data sources.

Nodes	Type	Branches	Input name	Input value	Data source
Flock type	Risk category	Commercial chicken	RR_{CC}	1	Expert opinion
		Backyard chicken	RR_{BC}	Pert (4.25, 5.25, 8.0)	
Flock status	Infection	Infected	P^*_H	0.1%; 10 farms; 3 farms	Author
		Not infected			
Clinical signs	Detection	Displaying	CS	0.9	Author
		Not displaying			
Recognition of clinical signs	Detection	Recognized	RG	0.8 (Commercial flocks) Pert (0.1, 0.5, 1.0) Backyard flocks	Author
		Not recognized			
Farmer consults a private vet	Detection	Yes	FCpV	0.231 - Commercial flock 0.0385- Backyard flocks	Field study; Interviews
		No			
Farmer consults an informal poultry health advisor	Detection	Yes	FCQ	0.0385 - Commercial flocks 0.192- Backyard flocks	Field study; Interviews
		No			
Farmer reports to government	Detection	Yes	FCG	0.231 - Commercial flocks 0.0385- Backyard flocks	Field study; Interviews
		No			
Farmer consults no one	Detection			0.231-Backyard flocks 0.0- Commercial flocks	Field study; interviews
Private Vet reports to government vet	Detection	Yes	pVR	1	FDLPCS, 2006
		No			
Informal poultry health adviser report to government vet	Detection	Yes	QR	Pert (0.5, 0.6, 1.0)	Field study
		No			interviews
Vet takes samples	Detection	Yes	VS	Pert (0.8, 0.86, 0.97)	Expert opinion
		No			
Lab performs test for AI	Detection	Tested	LT	Pert (0.89, 0.93, 0.99)	Expert opinion
		Not tested			
RT-PCR test	Detection	Positive	Se _{PCR}	Pert (0.80, 0.85, 0.95)	Alba et al. (2010)
		Negative			
Virus isolation	Detection	Positive	Se _{VI}	Pert (0.95, 0.99, 1.0)	Expert Opinion:
		Negative			

513 **Table 3** Expert opinion elicitation results on the relative risk of infection between commercial and
514 backyard poultry farms, the probability that the vet will take samples and the laboratory will perform
515 tests (minimum, most likely, maximum)

Node	Expert I	Expert II	Expert III	Expert IV	Average
Vet takes samples	(0.5,0.7,0.9)	(0.8,1.0,1.0)	(0.8,0.8,1.0)	(0.95,0.95,1.0)	(0.8, 0.86, 0.97)
NVRI Lab Performs tests	(1.0,1.0,1.0)	(0.8,1.0,1.0)	(0.8,0.81,0)	(0.95,0.95,0.95)	(0.89,0.93,0.99)
Sensitivity of Virus Isolation	(0.95, 0.97, 1)	(0.95, 0.98, 1)	(0.95, 0.99, 1)	(0.95, 1.0, 1.0)	(0.95, 0.99, 1.0)
RR of infection between commercial &backyard poultry farms	(3, 6, 10)	(4, 5, 8)	(5, 5, 8)	(5, 5, 6)	(4.25, 5.25, 8)

516

517

518 **Table 4** Response to questions on farmers' action following the recognition of clinical signs for HPAI

519 H5N1

Farm Type	Number interviewed	Farmer's action	Numbers responding	Proportion of total interviewed
Backyard chicken	13	Call private vet	1	0.0385
		Call government vet	1	0.0385
		Call a informal poultry health advisor	5	0.192
		Call no one	6	0.231
Commercial chicken	13	Call private vet	6	0.231
		Call government vet	6	0.231
		Call a informal poultry health advisor	1	0.0385
		Call no one	0	0
Total	26		26	1
Total	26		26	1

520

521

522 **Table 5** Median sensitivity of passive surveillance for HPAI in chickens assuming the entire chicken
523 population is under surveillance ($P^*_H = 0.5\%, 0.1\%, 10$ infected and 3 infected poultry farms)

Design Prevalence P^*_H	Median, 5 and 95 percentiles Se
HPAI	
$P^*_H = 0.1\%$	1.00 (1.00 – 1.00)
$P^*_H = 10$ infected farms	0.50 (0.28 – 0.67)
$P^*_H = 3$ infected farms	0.19 (0.09 – 0.29)

524

525

526 **Table 6** Median sensitivity of passive surveillance for HPAI in chickens assuming 35% of the reference
527 population is under surveillance ($P^*_H = 0.5\%, 0.1\%, 10$ infected and 3 infected poultry farms)

Design Prevalence P^*_H	Median, 5 and 95 percentiles Se
HPAI	
$P^*_H = 0.1\%$	0.98 (0.86 – 1.00)
$P^*_H = 10$ infected farms	0.22 (0.11 – 0.32)
$P^*_H = 3$ infected farms	0.07 (0.04 – 0.11)

528

529

530 **Table 7** Median sensitivity of passive surveillance for HPAI in chickens assuming 23% of backyard
531 farmers who do not report their suspicion report to either a private vet or the state veterinary service (P^*_H
532 = 0.5%, 0.1%, 10 infected and 3 infected poultry farms)

Design Prevalence P^*_H	Median, 5 and 95 percentiles Se
HPAI	
$P^*_H = 0.1\%$	1.00 (1.00 – 1.00)
$P^*_H = 10 \text{ infected farms}$	0.78 (0.51 – 0.91)
$P^*_H = 3 \text{ infected farms}$	0.36(0.19 – 0.51)

533