

# 1 Simulating a century of soil erosion for agricultural catchment 2 management

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## 10 11 **Abstract**

12  
13 Agricultural land management requires strategies to reduce impacts on soil and water  
14 resources while maintaining food production. Models that capture the effects of  
15 agricultural and conservation practices on soil erosion and sediment delivery can help  
16 to address this challenge. Historic records of climatic variability and agricultural change  
17 over the last century also offer valuable information for establishing extended  
18 baselines against which to evaluate management scenarios. Here, we present an  
19 approach that combines centennial-scale reconstructions of climate and agricultural  
20 land cover with modelling across four lake catchments in the UK where radiometric  
21 dating provides a record of lake sedimentation. We compare simulations using MMF-  
22 TWI, a catchment-scale model developed for humid agricultural landscapes that  
23 incorporates representation of seasonal variability in vegetation cover, soil water  
24 balance, runoff and sediment contributing areas. MMF-TWI produced mean annual

25 sediment exports within 9-20% of sediment core-based records without calibration  
26 and using guide parameter values to represent vegetation cover. Simulations of land  
27 management scenarios compare upland afforestation and lowland field-scale  
28 conservation measures to reconstructed historic baselines. Oak woodland versus  
29 conifer afforestation showed similar reductions in mean annual surface runoff (8-16%)  
30 compared to current moorland vegetation but a larger reduction in sediment exports  
31 (26-46 vs. 4-30%). Riparian woodland buffers reduced upland sediment yields by 15-  
32 41%, depending on understorey cover levels, but had only minor effect on surface  
33 runoff. Planting of winter cover crops in the lowland arable catchment halved historic  
34 sediment exports. Permanent grass margins applied to sets of arable fields across 15%  
35 or more of the catchment led to further significant reduction in exports. Our findings  
36 show the potential for reducing sediment delivery at the catchment scale with land  
37 management interventions. We also demonstrate how MMF-TWI can support  
38 hydrologically-informed decision making to better target conservation measures in  
39 humid agricultural environments.

40

## 41 **1. Introduction**

42

43 Changes in agricultural land use and management over the last century  
44 produced significant environmental impacts. Agricultural intensification, particularly  
45 since the 1940s, occurred across Europe with demand for higher food production and  
46 was associated with large increases in arable farming, machinery use, livestock  
47 numbers and chemical applications (Stoate et al, 2001; Robinson & Sutherland, 2002).  
48 Impacts include losses of biodiversity and habitat heterogeneity (Benton et al, 2003),

49 with notable declines in populations of butterflies, birds and plants over the past 40  
50 years in Britain linked to habitat degradation (Thomas et al, 2004). Agricultural  
51 intensification also increased soil erosion, excess fine sediment and nutrient inputs to  
52 streams and rivers (Evans, 2010), with adverse consequences for aquatic habitats, fish  
53 breeding and clean water supply (Owens et al, 2005). For example, lake sediment  
54 studies show post-1950s increases in sedimentation rates in agricultural landscapes  
55 across Britain (Foster et al, 2011) and Europe (Rose et al, 2011).

56 Biodiversity losses may primarily reflect reduced habitat heterogeneity in  
57 agricultural landscapes (Benton et al, 2003). This relates to changes in the pattern and  
58 extent of various land covers (e.g. woodlands, pasture and cropped land) and  
59 landscape features (e.g. riparian woodlands, grass field margins, hedgerows, ponds).

60 These factors also affect surface runoff, soil erosion and sediment transport from  
61 hillslopes to streams. Higher soil losses tend to occur on cultivated land than pasture  
62 or woodland (Cerdan et al, 2010) and increases in the extent of cultivated land at the  
63 catchment-scale may lead to higher sediment yields (Foster & Lees, 1999; Smith et al,  
64 2014). The spatial pattern of different land uses also influences runoff and soil erosion  
65 rates and levels of hydro-sedimentary connection between eroding areas and the  
66 stream network (Van Oost et al, 2000; Moussa et al, 2002; Zhang et al, 2017).

67 Landscape features can trap and store water and sediment (Boardman & Vandaele,  
68 2016), thereby reducing sedimentation and associated impacts on downstream aquatic  
69 environments. Hence, changes in landscape structure and heterogeneity have impacts  
70 on both terrestrial biodiversity and hydro-sedimentological processes, which in turn  
71 affect aquatic ecosystem health.

72           In response, many policy and management initiatives aim to reduce soil erosion  
73 and associated water quality impacts from agriculture. For example in the UK, these  
74 presently include the Water Framework Directive, the Catchment Sensitive Farming  
75 and Environmental Stewardship schemes, and Cross Compliance rules designed to  
76 achieve Good Agricultural and Environmental Condition (GAEC) as part of the  
77 requirements for farm subsidy payments under the Common Agricultural Policy (CAP).  
78 However, there remains a lack of detailed, longer-term baseline information extending  
79 beyond the instrumental record against which to measure the success or otherwise of  
80 management interventions.

81           Instrumental records of catchment soil erosion and sediment delivery to  
82 streams are limited and rarely exceed a decade in duration (Boardman, 2006). As such,  
83 results may be highly dependent on the specific environmental conditions that prevail  
84 during these short measurement periods (Burt, 1994; Wilby et al, 1997). Establishing  
85 longer-term paired catchment experiments in agricultural landscapes presents a  
86 significant challenge for the implementation of treatments and for maintaining a  
87 control, given individual variations in farm-level agricultural practices and decision-  
88 making (Riley et al, 2018). This can hinder investigation of management initiatives  
89 designed to reduce soil erosion because of difficulties in evaluating the effectiveness of  
90 such changes at the catchment-scale across multiple farms and for a range of hydro-  
91 climatic conditions.

92           There is an urgent need for longer-term information on soil erosion and  
93 catchment sediment yields against which to assess management changes and support  
94 planning to mitigate impacts on aquatic ecosystems and water resources. To address  
95 this problem, we present an integrated approach that combines reconstructions of a

96 century of climate variability and agricultural change with modelling in lake  
97 catchments where radiometric dating provides a record of lake sedimentation. The  
98 centennial timescale captures a larger range in past land use change and climatic  
99 variability than shorter-term studies based on direct measurements of soil erosion and  
100 catchment sediment yields. The last century also represents a period for which more  
101 relevant climate and land cover data is available than for any period preceding it.  
102 Focusing on this period is a compromise between length of record to capture a wider  
103 range of hydro-climatic conditions and levels of data available for model  
104 parameterisation.

105 We couple the reconstructions with a new catchment-scale soil erosion model,  
106 MMF-TWI, which is designed for use in humid agricultural environments (Peñuela et al,  
107 2017). MMF-TWI is based on the Morgan-Morgan-Finney model (Morgan & Duzant,  
108 2008), but incorporates new processes to capture sub-annual variability in hydrology,  
109 vegetation cover and land management practices (Peñuela et al, 2017). MMF-TWI  
110 represents a compromise between process-based models with higher parameter and  
111 computing demands and empirical models based on observations from certain regions  
112 (Prosser et al, 2001; Merritt et al, 2003). As a conceptual model, MMF-TWI provides a  
113 general description of runoff and erosion process while keeping computation and data  
114 requirements low (Peñuela et al, 2017). This makes MMF-TWI well suited for  
115 simulating past runoff, erosion and catchment sediment exports over centennial  
116 timescales using available historic datasets. In the present study, we aim to  
117 demonstrate (1) MMF-TWI performance against centennial-scale lake sediment  
118 records and (2) the effect of land management scenarios on catchment sediment

119 exports compared to reconstructed historic baselines across four catchments in the  
120 UK.

121

## 122 **2. Environmental reconstructions**

123

### 124 **2.1. Lake catchments**

125

126 The four lake catchments span upland and lowland environments (Fig 1).

127 Loweswater and Brotherswater are located in the Lake District, an upland region in

128 northwest England, Loch of the Lowes in the Southern Uplands of Scotland, and Loch

129 of Skene in Aberdeenshire in northeast Scotland. The catchments were chosen to

130 represent different agricultural land uses and, within the set of upland catchments, to

131 span a range in size and relief (Table 1). The three upland catchments are

132 characterized by smaller catchment areas (8.8-27 km<sup>2</sup>), higher precipitation (1502-

133 2144 mm y<sup>-1</sup>) and steeper mean slopes (12-24°), compared to the lowland Loch of

134 Skene catchment (area 49 km<sup>2</sup>, precipitation 773 mm y<sup>-1</sup>, mean slope 3.8°). Soils in the

135 upland catchments are typically brown podzolic soils and brown earths with peaty soils

136 on upper slopes, while soils are mostly humus-iron podzols in the Loch of Skene

137 catchment (Table 1). Land cover is predominantly moorland in the upland catchments

138 and agricultural activities are limited mostly to sheep grazing. In contrast, improved

139 pasture and arable land is widespread in the Loch of Skene catchment where the main

140 crop is spring barley and livestock include both sheep and cattle. All catchments

141 contain areas of woodland.

142

143 Insert figure 1 here

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145 Insert table 1 here

146

## 147 **2.2. Climate reconstruction**

148

149 Daily precipitation was reconstructed for each lake catchment using records  
150 available from the British Atmospheric Data Centre (BADC). Composite records were  
151 quality controlled, cross-correlated, gap filled, and high magnitude totals checked  
152 against the Met Office’s British Rainfall reports. The procedure involved selecting a  
153 reference station (Table 1) with >30 year record based on proximity and comparability  
154 in average annual precipitation between the station and the catchment using the Met  
155 Office 1 km gridded precipitation map for 1961-1990. The selected reference station  
156 records range 41-118 years in length. Station selection for gap filling was based on the  
157 significance ( $p < 0.05$ ) of correlation coefficients and 95% confidence intervals  
158 produced by bootstrap sampling ( $n = 1000$ ) of paired records. The resulting gap-filled  
159 composite series comprise 3-5 individual records, including reference stations, and  
160 span 97-126 years in length (Table 1).

161 We require mean daily temperature data for simulating crop growth and  
162 evaporation. Mean daily temperature records were obtained from stations near the  
163 catchments (Table 1) and correlated with the mean daily Hadley Centre Central  
164 England Temperature (HadCET) series (Parker et al, 1992). We employ a Cumulative  
165 Distribution Function (CDF) matching technique (Panofsky and Brier, 1968; Thrasher et  
166 al, 2012) between HadCET and the selected local record using monthly data. The CDFs

167 are fitted to gamma distributions and the corresponding cumulative probability is  
168 found for each HadCET value, which is used to select local observations to replace the  
169 HadCET data. This transfer function is applied to the HadCET record to derive a  
170 composite daily temperature series for the same period as the composite precipitation  
171 record. The dry adiabatic lapse rate ( $0.0098\text{ }^{\circ}\text{C m}^{-1}$ ) is used to correct the composite  
172 temperature series for the difference between median catchment elevations and the  
173 elevation of the local measurement stations.

174         Subhourly precipitation data are required for simulating erosive rainfall.  
175 However, these data are unavailable for the length of daily precipitation records. We  
176 accessed records from stations that range 8-13 years in duration and 2.5-17 km from  
177 the lakes. We use these records to calculate the mean of the maximum monthly 30-  
178 minute rainfall intensity ( $I_{30}$ ,  $\text{mm h}^{-1}$ ) for storm events discretized using the Rainfall  
179 Intensity Summarization Tool (RIST) (USDA, 2014) and to compute direct throughfall  
180 energy in MMF-TWI on a mean monthly basis (Peñuela et al, 2017). Storms were  
181 considered discrete events when separated by a 6-hour period with precipitation of  
182 less than 0.05 inches (1.27 mm) (Renard et al, 1997). Snowfall periods were excluded  
183 from rainfall energy-intensity calculations by assuming intensity is zero when the mean  
184 daily temperature is below  $-1^{\circ}\text{C}$ . Previous work has shown that varying the rain-snow  
185 temperature threshold by  $\pm 2^{\circ}\text{C}$  around  $0^{\circ}\text{C}$  had negligible effect on rainfall energy-  
186 intensity calculations (Lee & Olsen, 2000).

187

### 188         **2.3. Agricultural reconstruction**

189



190 Changes in agricultural land cover and livestock numbers over the last century  
191 were reconstructed from a combination of records. These include annual parish-level  
192 agricultural statistics, aerial imagery, and farmer interviews. Annual data on parish  
193 livestock numbers and areas of agricultural land use were obtained from the  
194 Agricultural statistics of England and Wales: Parish summaries, 1866-1988 (MAF68)  
195 and the Scotland Agricultural Census: Parish Summaries, 1912-1994 (AF40). Historic  
196 aerial photographs (1940-1990s) were obtained from collections held by English  
197 Heritage and the National Collection of Aerial Photography in Scotland. Further  
198 information on land cover was available from the UK Land Cover Maps (LCM) for 1990,  
199 2000 and 2007 (Moreton et al, 2011) and Google Earth imagery (2000-2010s).

200 Livestock numbers were reconstructed from parish data covering the  
201 catchments. Data was obtained from the parish with the largest area intersecting each  
202 catchment, which equated to 85, 88 and 100% of Loch of the Lowes, Loweswater, and  
203 Brotherswater catchments, respectively. In these parishes, the total annual number of  
204 sheep and cattle were recorded and reported as livestock numbers per unit parish  
205 area. For the larger Loch of Skene catchment, five parishes were used and individually  
206 cover 12-40% of the catchment area to give a combined catchment coverage of 98.6%  
207 (Fig. 2a). For Skene, livestock numbers were determined by the sum of sheep or cattle  
208 per unit parish area weighted by the proportion of each parish intersecting with the  
209 catchment.

210

211 Insert figure 2 here

212

213           The reconstruction of agricultural land cover focused on Loch of Skene because  
214 this is the only catchment to contain a significant area of arable land. The annual  
215 extent of cropped land (excluding non-permanent grass) was determined from five  
216 parishes (Fig. 2a). The annual catchment fractional crop area (Fig. 2b) was calculated  
217 from the sum of parish fractional crop areas (area under crop/parish area) weighted by  
218 the area of each parish intersecting with the catchment for the period between 1912  
219 and 1992 (end of available record). This data was combined with crop areas obtained  
220 by digitising catchment land cover from aerial imagery to produce a composite record  
221 of agricultural land cover change for the catchment (Fig. 2b).

222

#### 223           **2.4. Lake sedimentation**

224

225           Radiometric dating of sediment cores was used to estimate centennial-scale  
226 lake sedimentation. Sediment cores were collected from each lake during the period  
227 2010-2012. For Loweswater and Loch of Skene, five cores each were taken from  
228 around the lake centre to provide a measure of variability in sedimentation rate. These  
229 two lakes are characterized by lower catchment-to-lake area ratios (14-44) and lower  
230 mean catchment slopes (3.8-12°) than Brotherswater and Loch of the Lowes (72-73  
231 ratio and 14-24° mean slope). Therefore, lake-centre cores were considered to provide  
232 a reasonable approximation of mean lake sedimentation in the absence of pronounced  
233 inflow deltas. In contrast, sediment cores ( $n = 3$  each for dating) were retrieved from  
234 delta-proximal to more distal locations in Brotherswater and Loch of the Lowes, where  
235 there is a clear gradient in sedimentation rates away from the inflow delta (Schillereff  
236 et al, 2016).

237 Sediment cores were sub-sampled at 1-2 cm intervals for radiometric dating.  
238  $^{210}\text{Pb}$ ,  $^{226}\text{Ra}$ ,  $^{137}\text{Cs}$  and  $^{241}\text{Am}$  were measured by gamma spectrometry using Ortec  
239 HPGe GWL series coaxial low background detectors (Appleby et al, 1986). Core  
240 chronologies were determined using the CRS model (Appleby & Oldfield, 1978) and  
241 compared to  $^{137}\text{Cs}$  activity peaks associated with fallout from Chernobyl (1986) and the  
242 1963 peak in atmospheric nuclear weapons testing. The calculated sedimentation rates  
243 were corrected for organic content by loss on ignition. For Loweswater and Loch of  
244 Skene, the mean mineral sediment flux to the lakes ( $\text{t y}^{-1}$ ) was determined by taking an  
245 average based on annual linear interpolation of each core for the period of overlapping  
246 records.

247 The distinct gradient in sediment accumulation across Brotherswater and Loch  
248 of the Lowes required a different approach for estimating lake sediment flux. For these  
249 two lakes, the linear-interpolated mineral sediment accumulation rates were  
250 combined by taking an average weighted according to the lake area apportioned into  
251 sedimentation zones. For Brotherswater, sedimentation zones were characterised by  
252 drawing on an additional nine cores that encompass lake-wide variation in  
253 sedimentation rates (Schillereff et al, 2016) to apportion a delta-proximal higher-rate  
254 zone (25% of lake area) versus a lower-rate zone spanning the remaining lake area.  
255 Additional cores were unavailable for Loch of the Lowes. In this lake, the three cores  
256 formed a delta-proximal to distal transect along which sedimentation zones were  
257 defined by core locations that formed the mid-point of each zone.

258

### 259 **3. Model simulations**

260

261 **3.1. Model description**

262

263 MMF-TWI is a conceptual catchment-scale soil erosion model (Peñuela et al,  
264 2017) that builds on the Morgan-Morgan-Finney (MMF) set of models (Morgan et al.,  
265 1984; Morgan, 2001; Morgan & Duzant, 2008). The modelling approach adopts a  
266 simplified representation of surface runoff and erosion processes while avoiding the  
267 greater parameter and computational demands of more physically-based models. This  
268 allows simulations of larger areas at high spatial resolutions and over longer timescales  
269 (decades to centuries) than could otherwise be achieved, while preserving reasonable  
270 model run times. MMF-TWI predicts soil loss, deposition and sediment delivery for  
271 clay, silt and sand-size particles on a monthly timestep. This captures the effects of  
272 sub-annual variability in climate, soil moisture and vegetation cover, in contrast to the  
273 annual outputs of MMF. MMF-TWI also addresses several important limitations in the  
274 modified version of the MMF model (Morgan & Duzant, 2008), which include poor  
275 performance in runoff prediction and a disconnection between the modelled  
276 processes of overland flow generation and sediment delivery (Peñuela et al, 2017).

277 MMF-TWI incorporates new representation of crop growth, soil moisture, and  
278 delineates surface runoff and sediment contributing areas (Peñuela et al, 2017). Crop  
279 growth simulation employs the SWAT model approach (Neitsch et al, 2011) to  
280 generate canopy cover and plant interception parameters. This captures changes in  
281 canopy cover related to crop type, planting time, growth rates, and harvesting.  
282 Seasonal variations in deciduous woodland canopy cover (Neitsch et al, 2002) and in  
283 woodland understorey, moorland and pasture covers (Hough & Jones, 1997) are also

284 represented. Plant growth parameters for the different vegetation types are  
285 summarised in table 4 (Appendix).

286 Soil moisture is computed using a saturation-excess sub-model comprising net  
287 precipitation (i.e. less interception), actual evaporation, deep percolation, and  
288 saturation-excess runoff (for details see Peñuela et al, 2017). Soil data for the  
289 catchments in England was supplied by the National Soil Resources Institute (NSRI,  
290 2014) and by the James Hutton Institute for the catchments in Scotland (James Hutton  
291 Institute, 2014b). Soil hydraulic parameters were estimated using pedotransfer  
292 functions based on soil texture (Hollis et al, 2015). The soil parameters used in MMF-  
293 TWI are summarised for each catchment in table 5 (Appendix).

294 MMF-TWI employs the topographic wetness index (TWI, Beven & Kirkby, 1979;  
295 Ambroise et al, 1996) to represent the distribution of saturated and overland flow  
296 prone areas according to a saturation threshold. MMF-TWI should therefore be  
297 applied in humid environments where saturation excess is considered the  
298 characteristic mechanism for runoff generation (Dunne et al, 1975; Walter et al, 2000).  
299 Monthly overland flow equates to monthly effective rainfall over saturated areas from  
300 which simulated soil loss is routed until it reaches a deposition area or a surface water  
301 body.

302

### 303 **3.2. Historic simulations**

304

305 Reconstructions of lowland agricultural change based on annual parish records  
306 in the Loch of Skene catchment do not provide information on land cover spatial  
307 arrangement. This information is only available for those years with aerial imagery,

308 approximately one year per decade. Crop rotation produces a changing mosaic of crop  
309 and pasture fields that could influence patterns of soil erosion and sediment delivery  
310 to streams. Therefore, for those years without spatial data, we account for uncertainty  
311 in crop spatial arrangement by applying a Monte Carlo procedure to generate sets of  
312 catchment maps representing randomised spatial arrangements of crop and pasture  
313 fields. This procedure involves (1) producing a catchment map from aerial imagery that  
314 excludes non-arable land (Fig. 2c), (2) defining time intervals based on periods of  
315 change and stability in crop cover characterised by a maximum and minimum crop  
316 fraction (Fig. 2d), and (3) randomly assigning crops to individual fields until the  
317 proportion of catchment area covered by crop fell within the range of crop cover for  
318 each defined interval. In the absence of parish data after 1992, the estimated range in  
319 Skene fractional crop area between 1993 and 2010 was based on mapped cover from  
320 aerial imagery and the preceding parish data. Farmer interviews also indicated that  
321 crop growing had changed little in recent decades. For those years with aerial imagery,  
322 the mapped land cover is used. For periods without aerial imagery, we generate 50  
323 annual synthetic combinations of maps for the period between 1912 and 2009.

324 Land cover change in the three upland catchments was limited in the absence  
325 of significant arable farming. Aerial imagery from the 1940s shows woodland extent is  
326 comparable to the present with the exception of the Loch of the Lowes. In this  
327 catchment, conifer plantations were established in the 1970s and cover 10% of the  
328 catchment. The recently established plantations (no canopy, but roads, ditches and  
329 fence lines visible) are evident in aerial photographs from 1976, whereas canopy  
330 closure is near complete by the 1989 imagery. To capture the effect of this land cover  
331 change, we assume a planting year of 1973 (Leaf Area Index,  $LAI = 0$ , height = 0.2 m)

332 and linear growth until canopy closure by 1993 ( $LAI = 5$  and height = 8 m,  
333 approximating height at which leaf drips reach terminal velocity; Satterlund & Adams,  
334 1992), after which canopy cover and height are considered constant. This timeframe is  
335 consistent with the timing of peak plantation planting in Scotland (Stott & Mount,  
336 2004) and a period of ~20 years until canopy closure for conifer plantations in upland  
337 Britain (Robinson, 1998). Our simulation represents the effect of plantation canopy  
338 interception and leaf drainage on soil erosion, but does not capture the short-duration  
339 (~3-5 years) impact on erosion from plantation establishment (Stott & Mount, 2004).

340

341 Insert table 2 here

342

343         Livestock grazing and trampling can reduce ground-level vegetation and ground  
344 cover and increase soil erosion, particularly in intensively managed grasslands (Bilotta  
345 et al, 2007). We use the mean stocking density, observed differences in ground cover,  
346 and the absence of cattle in moorland areas as the basis for setting cover guide values  
347 for moorland and improved pasture (Table 2). We are unable to simulate time-varying  
348 grazing effects on soil erosion due to a lack of empirical relationships between grazing  
349 intensity and ground cover. Moreover, parish-level stocking data does not equate to  
350 field-scale stock densities because livestock are not evenly distributed across the  
351 parish and are excluded from grazing some areas. Hence, we do not capture the effect  
352 of changes in stock numbers on erosion, but do reflect relative differences between  
353 catchments. The original guide values for cover parameters are given in Morgan &  
354 Duzant (2008, Table III). Cattle consume larger quantities of vegetation and exert  
355 greater treading force than sheep (Bilotta et al, 2007). Therefore, the limited areas of

356 sheep-grazed improved pasture in upland catchments are represented by higher  
357 ground cover (GC) values than given by Morgan & Duzant (2008) for pasture grazed by  
358 cattle. In contrast, cattle dominate in Loch of Skene catchment (Table 2) so we use  
359 unchanged guide values to represent this lowland grass cover.

360         Livestock may contribute to increased streambank erosion where access is  
361 unrestricted (Trimble & Mendel, 1995). The extent of access varied between the  
362 catchments and was greatest in the uplands where sheep grazing dominated.  
363 Sheep have less trampling impact on streambanks than cattle (Evans, 1998), which,  
364 combined with unenclosed grazing on open moorlands where sheep are less  
365 concentrated than in fields, suggests that impacts on streambank erosion may be  
366 comparatively minor. In the lowland Loch of Skene catchment livestock access to  
367 streams was more widely restricted and field observations suggested that streambank  
368 erosion was limited. Inspection of historic aerial imagery indicated little change in  
369 channel positions supporting our view that streambank erosion is unlikely to be a  
370 significant source of fine-grained sediment delivered to the lakes during the study  
371 period.

372

### 373         **3.3. Scenario simulations**

374

375         We examine three contemporary land cover change scenarios using the  
376 reconstructed climate records to compare with historic baseline simulations. The first  
377 scenario examines the effect of complete afforestation on surface runoff and sediment  
378 exports versus the current moorland cover for the three upland catchments. We  
379 compare deciduous oak woodland and evergreen conifer plantation. Both forest types



380 are simulated with a maximum canopy cover equating to  $LAI = 5$  and seasonal changes  
381 in understorey and deciduous woodland canopy covers. We use a maximum  $LAI$  for  
382 deciduous woodland understorey of 2.5, which lies within the reported range for an  
383 oak woodland understorey in England (Pitman & Broadmeadow, 2001), and 1.25 for  
384 the conifer understorey. The difference in  $LAI$  reflects the lower understorey typically  
385 observed under conifer plantations due to reduced light transmittance (Barsoum &  
386 Henderson, 2016), although GC remains high due to the accumulation of needles on  
387 the soil surface (Table 2). We assume sufficient light transmittance below the conifer  
388 canopy to sustain some understorey vegetation. This reflects a trend towards  
389 continuous cover forestry in the UK, which seeks to balance understorey light  
390 requirements for biodiversity and regeneration while maintaining canopy cover and  
391 avoiding the need for clearfelling (Hale et al, 2009).

392         The oak woodland envisages a hypothetical ‘rewilding’ scenario that involves  
393 the restoration of a semi-natural woodland habitat and some of the associated  
394 ecosystem functions (Brown et al, 2011). In contrast, the conifer plantation scenario  
395 represents commercial afforestation but within the context of continuous cover  
396 forestry, which represents a ‘multi-purpose’ approach that combines non-commercial  
397 objectives such as environmental and aesthetic concerns with timber production  
398 (Mason et al, 1999). We do not aim to capture the specific impacts of plantation  
399 management operations (e.g. thinning), but instead compare how the two different  
400 forest covers could affect surface runoff and sediment exports based on simulations  
401 spanning a century of historic climatic variability.

402         The second scenario involves simulating a 10 m deciduous riparian woodland  
403 buffer strip planted either side of the stream network in the upland catchments (Fig.

404 1). We test the effect of three hypothetical riparian buffer understory covers  
405 corresponding to high ( $LAI = 3.75$ ,  $NV = 300$ ), moderate ( $LAI = 2.5$ ,  $NV = 200$ ) and low  
406 ( $LAI = 1.25$ ,  $NV = 100$ ) cover values. This envisages a conservation focused scenario  
407 aimed at restoring riparian woodland for multiple potential benefits, including  
408 terrestrial habitat, stream shading, channel stability, and reduced sediment supply to  
409 improve water quality (Broadmeadow & Nisbet, 2004; Thomas et al, 2016). Riparian  
410 woodland spanning the full length of the stream network represents the maximum  
411 possible effect this scenario could have in reducing sediment exports.

412         The third scenario addresses lowland agricultural land management. We  
413 examine the effect of planting permanent grass margins around arable fields in the  
414 Loch of Skene catchment. Arable grass field margins can provide habitat to improve  
415 farmland biodiversity and promote sediment deposition to reduce off-field impacts  
416 (Vickery et al, 2002; Marshall & Moonen, 2002). According to the Scottish  
417 Government, field margins must be adjacent to arable land and between 1 and 20 m  
418 wide to meet the requirements of Ecological Focus Areas as part of the EU Common  
419 Agricultural Policy (Scottish Government, 2017). Here, we are interested in quantifying  
420 the effect of grass field margins for reducing sediment exports at the catchment scale.  
421 We applied the maximum allowable margin width of 20 m around cropped fields  
422 where the crop area spans 30% of the catchment, which equates to the centennial-  
423 scale average. We simulate a spring barley crop followed by a winter cover crop and  
424 selected the randomly-generated crop spatial arrangement (from  $n = 50$ ) that was  
425 found to produce the maximum catchment sediment export (i.e. worst case scenario in  
426 terms of field arrangement). We then randomly assigned grass margins to cropped  
427 fields to cover 0, 25, 50, 75, and 100% of arable fields. For 25-75% of arable fields with

428 grass margins, we simulate 10 spatial replicates to capture the effect of spatial  
429 variability in field margin placement.

430

## 431 **4. Results and Discussion**

432

### 433 **4.1 Centennial-scale simulations**

434

435 We compare the period of overlap between reconstructed climate records used  
436 for MMF-TWI simulations and sediment core records (Table 3). Model simulations of  
437 mean annual catchment sediment flux to the lakes show reasonable agreement with  
438 core-based estimates (Fig. 3). The absolute difference between modelled and core  
439 records equates to 9.4-20% with the largest difference observed for the larger lake  
440 catchments, namely Loch of the Lowes and Loch of Skene, where modelled values are  
441 under-estimated compared to lake cores. Statistical comparison (Mann-Whitney U  
442 test) of modelled versus lake core records shows Brotherswater ( $p = 0.111$ ) was not  
443 significantly different, in contrast to Loweswater ( $p < 0.001$ ), Loch of the Lowes ( $p <$   
444  $0.001$ ) and Loch of Skene ( $p = 0.002$ ). Nonetheless, the performance is noteworthy  
445 given that the model was not calibrated and relied on guide cover parameter values  
446 that were, where appropriate, adjusted to reflect local catchment conditions (Table 2).  
447 The results demonstrate that our modelling approach can reproduce sediment yields  
448 reasonably well on a centennial-scale mean annual basis using historic records.

449

450 Insert table 3 here

451

452 We also compare modelled mean annual sediment yields with reported  
453 literature values based on UK lake measurements of inorganic sedimentation rates  
454 during the last century. The range in modelled mean sediment yields for the upland  
455 catchments of 0.08-0.12 t ha<sup>-1</sup> y<sup>-1</sup> is consistent with the lower-end of the reported  
456 range for moorland catchments of 0.09-0.46 t ha<sup>-1</sup> y<sup>-1</sup> spanning periods of 46-85 years  
457 (McManus & Duck, 1985; Duck & McManus, 1994; Foster & Lees, 1999; Holliday et al,  
458 2008). In contrast, the mean modelled sediment yield for the Loch of Skene catchment  
459 of 0.05 t ha<sup>-1</sup> y<sup>-1</sup> is lower than the reported range of 0.07-0.46 t ha<sup>-1</sup> y<sup>-1</sup> for post-1953  
460 sediment yields in lowland agricultural catchments in England and Scotland (Foster &  
461 Lees, 1999). This may reflect differences in the extent of arable land, which averaged  
462 28% of the Loch of Skene catchment since the 1950s versus 25-92% for the mixed  
463 arable catchments investigated by Foster & Lees (1999). Moreover, soil loss in the Loch  
464 of Skene catchment is highly localised at the field and sub-field scale. Hence,  
465 catchment average sediment yields appear low when locally rates can be considerably  
466 higher.

467

468 Insert figure 3 here

469

470 Insert figure 4 here

471

472 The spatial patterns of centennial-scale mean annual net soil loss are shown in  
473 Fig. 4. Soil loss was concentrated in convergent flow areas prone to saturation. The  
474 highest mean soil loss occurred in Loch of the Lowes followed closely by  
475 Brotherswater, which are characterized by steeper slopes (14-24°), higher mean

476 precipitation (1571-2144 mm y<sup>-1</sup>), and higher mean sheep densities (2.39-2.49 sheep  
477 ha<sup>-1</sup>) than Loweswater (note the difference in the soil loss intensity scale in Fig. 4a, d  
478 versus Fig. 4b, c). Despite the presence of arable land (mean 30%, range 25-39%; 1912-  
479 2012) in the lowland Loch of Skene catchment, mean soil loss was lower than in the  
480 upland catchments. This probably relates to the lower mean slope (3.8°) and  
481 precipitation (773 ± 123 mm y<sup>-1</sup>) producing lower overland flow soil detachment and  
482 transport capacities in the absence of clear differences in soil infiltration rates between  
483 upland and lowland catchments (Table 5). The Loch of Skene catchment soil loss map  
484 captures the mean of the 50 randomized arrangements of crop cover used to address  
485 uncertainty in the spatial arrangement of cropped fields for years without aerial  
486 imagery. Hence, erosion hotspots in Fig. 4d reflect areas most prone to erosion,  
487 particularly on arable land, rather than the effect of a single arrangement of crop  
488 cover.

489

## 490 **4.2 Historic agricultural and climate variability**

491

492 Model simulations are temporally less consistent with annual-interpolated  
493 core-based sediment yields. Model outputs comprise monthly-averaged surface runoff  
494 and sediment contributing areas used to compute erosion and sediment delivery to  
495 the lakes on an annual basis for comparison with lake records. Peaks and troughs in  
496 the 10 y moving average sediment yield tend not to align between model and core-  
497 based records (Fig. 5). For the three upland catchments, the decadal trends in  
498 modelled sediment yield reflect precipitation variability. However, this variability is not  
499 readily apparent in the sediment core records. The Loweswater core-record indicates

500 an increasing trend in annual sediment load over the last century ( $p < 0.001$ , non-  
501 parametric Mann-Kendall test), whereas Brotherswater and Loch of the Lowes do not  
502 show a trend ( $p > 0.05$ ). In contrast, the core-based sediment yield in the Loch of Skene  
503 catchment exhibits a significant decreasing trend ( $p < 0.001$ ). Here, we examine  
504 variability in both modelled and core-based sediment fluxes with reference to  
505 agricultural and climate reconstructions.

506

#### 507 **4.2.1 Upland catchments**

508

509 Analysis of aerial imagery indicates negligible land cover change in the  
510 Brotherswater and Loweswater catchments. The aerial imagery suggests that limited  
511 cultivation within Loweswater catchment may have occurred during the 1940s. Parish  
512 records for Loweswater show cultivated land accounted for a mean  $5.3 \pm 2.7\%$  (1894-  
513 1971) of the parish area, which declined to negligible levels after the Second World  
514 War (Bennion & Winchester, 2010). However, the Loweswater parish is over four times  
515 the size of the catchment and includes downstream low-slope valley floor areas  
516 suitable for cultivation. Sheep stocking densities for the parishes covering  
517 Brotherswater and Loweswater show significant increasing trends ( $p \leq 0.001$ ) from the  
518 mid 1940s and 1910s, respectively (Fig. 5a, b). This trend was reported to accelerate in  
519 Loweswater during the 1980s (Bennion & Winchester, 2010) and is consistent with the  
520 increase in the core-based sediment yield (Fig 5a). In contrast, parish sheep numbers  
521 decrease ( $p < 0.001$ ) throughout much of the 20<sup>th</sup> Century for the Loch of the Lowes  
522 catchment (Fig. 5c). As noted, the only land cover change in the Loch of the Lowes  
523 catchment involved establishment of conifer plantations across 10% of the catchment

524 during the 1970s. There is no relationship between parish livestock densities and lake  
525 sediment yields for Brotherswater and Loch of the Lowes, despite both being covered  
526 by parishes reporting higher mean sheep densities than Loweswater (Table 2).

527           Reconstructed precipitation series show limited agreement with sediment core  
528 records, in contrast to model results which follow the decadal trend in annual  
529 precipitation (Fig. 5). None of the precipitation records exhibit a significant trend ( $p =$   
530 0.126-0.944) for the complete period of overlap with sediment core records.

531 Loweswater and Brotherswater display peaks in modelled sediment yield in the early  
532 1950s during which two of the three largest annual sediment loads occurred (1951,  
533 1954) in both catchments (simulations end in 2010). Our reconstructed precipitation  
534 records show the late 1940s and early 1950s was a wet period and precipitation in  
535 1951 and 1954 was 40-50% above the long-term mean. Newspaper reports in  
536 September and November 1951 noted large rises in Lake Windermere in the Lake  
537 District (Meteorological Office, 1951). Moreover, a reconstructed precipitation record  
538 for the Lake District shows a general increase in annual precipitation from around 1910  
539 to mid-century (Barker et al, 2004), which is also evident in our records for Loweswater  
540 (Fig. 5a) and Brotherswater (Fig. 5b). Conversely, the years with the lowest  
541 precipitation and modelled sediment yield (e.g. 1889, 1973 and 1996) for these two  
542 catchments coincide with reported drought periods (Marsh et al, 2007). These  
543 observations demonstrate the consistency of our reconstructed precipitation records  
544 with wider historic evidence.

545

546 Insert figure 5 here

547

548           The general lack of agreement between the reconstructed precipitation series  
549 and core-based sediment records suggests lake sedimentation rates determined by  
550  $^{210}\text{Pb}$  dating may be insensitive to decadal variability in annual precipitation in these  
551 catchments. This could reflect the use of lake-centre cores in Loweswater that are less  
552 responsive to variable inflows. However, sediment records from Brotherswater and  
553 Loch of the Lowes included delta-proximal cores that capture the effects of greater  
554 inflow variability in these catchments. Nonetheless, neither lake sediment record  
555 shows consistent agreement with precipitation variability. For example, the modelled  
556 and core-based sediment yields and precipitation exhibit a distinct trough during the  
557 mid 1970s in Loch of the Lowes, reflecting widespread drought conditions during this  
558 time (Marsh et al, 2007), but precipitation and sediment core records are out-of-phase  
559 during the 1920s-30s (Fig. 5c). We observe similar non-synchronous behaviour  
560 between the precipitation and sediment core records for Brotherswater (Fig. 5b).

561           The lack of correspondence between precipitation and sediment core records  
562 may reflect uncertainties in  $^{210}\text{Pb}$  dating where errors of  $\pm 2\text{-}15$  y could produce out-of-  
563 phase records at the decadal timescale. Uncertainties in  $^{210}\text{Pb}$  dating of lake sediments  
564 have long been recognized and increase with age (Binford, 1990).  $^{210}\text{Pb}$  dating of  
565 sediment cores may provide a reasonable basis for estimating mean rates and trends  
566 in century-scale lake sedimentation when combined with  $^{137}\text{Cs}$  and  $^{241}\text{Am}$  date markers  
567 for validation (Appleby, 2008). However, dating uncertainties present a challenge  
568 when seeking temporal agreement between instrumental records and variable lake  
569 sedimentation with annual-to-decadal resolutions.

570

#### 571 **4.2.2 Lowland arable catchment**



572

573           The sediment core record for the lowland Loch of Skene catchment exhibits a  
574 significant decreasing trend ( $p < 0.001$ ) punctuated by a short-lived increase in  
575 sediment flux (Fig. 5d). Agricultural records for the parishes covering the catchment  
576 reveal marked trends ( $p < 0.001$ ) in livestock numbers. Cattle increased between 1940  
577 to a peak in 1975 while sheep numbers peaked in the early 1930s and only returned to  
578 these levels in the early 1990s near the end of the parish record. The reconstructed  
579 fractional crop area shows a brief peak (max. 39% crop cover) in 1942-43 in response  
580 to increased food demand during the Second World War. Subsequently, there is a  
581 declining trend ( $p < 0.001$ ) that plateaued between 25-30% annual crop cover from the  
582 mid 1970s based on the parish records and mapping from aerial imagery. Notably, the  
583 early 1940s peak in crop cover is not synchronous with the 1958 peak in the core-  
584 based sediment yield. Either this reflects uncertainty in dating or the sediment peak is  
585 unrelated to the increased crop cover, but alternative explanations for this brief rise in  
586 lake sedimentation remain unclear. The declining trend in the core-based sediment  
587 yield in the second half of the 20<sup>th</sup> Century occurred despite the highest annual  
588 precipitation occurring after the 1980s and increasing livestock numbers, particularly  
589 cattle, which are known to impact on soil erosion (Bilotta et al, 2007). These  
590 observations suggest that other aspects of agricultural land management beyond  
591 climate or the extent of cropping and livestock numbers are influencing sediment flux  
592 to the lake.

593           Winter cover crops are grown for their benefits in reducing nitrate leaching and  
594 to add organic matter to soils (Skinner et al, 1997; Baggs et al, 2000). In terms of  
595 erosion, cover crops protect soils from rain-drop impact and increase vegetative

596 roughness thus slowing overland flow, both effects captured by MMF-TWI (Peñuela et  
597 al, 2017). Game cover crops may also be grown as a food source for farmland birds  
598 over winter (Parish & Sotherton, 2004). Spring barley is the dominant cereal crop in  
599 the region around Loch of Skene, so the planting of cover crops provides protective  
600 cover during the winter to early spring period of increased precipitation, runoff and  
601 erosion. Prior to the 1970s many fields in the region with spring-sown cereals were left  
602 bare over winter (Watson & Evans, 2007). However, in more recent decades there has  
603 been increasing promotion and planting of cover crops, which qualify as an Ecological  
604 Focus Area as part of the rural payments system in Scotland.

605         In the absence of specific records on the extent of winter cover crops, we  
606 hypothesise that increased planting of cover crops may account for the reduction in  
607 the Loch of Skene catchment sediment exports over recent decades. To approximate  
608 this trend, we assume no cover crops were planted prior to 1980 after which we  
609 linearly increase the area planted with cover crops (simulated as rye grass) until it  
610 reaches 100% of cropped fields in 2000. The modelled sediment yield based on the  
611 hypothesised 1980-2000 cover crop transitional period is consistent with the core-  
612 based record (Fig 6a). This figure also shows the uncertainty resulting from the  
613 unknown crop spatial arrangement represented by the randomized placement of  
614 cropped fields ( $n = 50$  maps per year). The mean range ( $\pm$  std. dev.) between annual  
615 maximum and minimum sediment yields associated with different crop arrangements  
616 equates to  $21 \pm 7\%$  of the mean annual sediment yield. We also simulate the effect of  
617 planting spring barley followed by a winter cover crop versus spring barley without  
618 cover crop over the full historic record to compare the effect on sediment flux to Loch  
619 of Skene (Fig. 6b). These results clearly demonstrate the large effect of cover crops

620 where the 'no cover crop' scenario produces more than double the mean annual  
621 sediment export to the lake (0.056 vs. 0.025 t ha<sup>-1</sup> y<sup>-1</sup>).

622 Our Monte Carlo approach for simulating crop spatial arrangement captures  
623 spatial uncertainty that is frequently overlooked in model simulations of historic land  
624 cover change. Moreover, it provides an approach to effectively utilize longer-term  
625 agricultural records in the absence of spatial data. This is particularly useful because  
626 most spatial land cover data, whether based on satellite imagery or aerial  
627 photographs, is restricted to the last 30-70 years, and represents only a snapshot in  
628 time. For historic aerial photographs, this equates to one image capture per decade at  
629 best with the earliest photographs taken during the 1940s. Parish records of  
630 agricultural production offer a valuable source of information for catchment modelling  
631 over extended historic timescales. Our approach provides a robust basis for  
632 incorporating this time-series data within a framework for representing uncertainty in  
633 land cover spatial arrangement.

634

635 Insert figure 6 here

636

### 637 **4.3 Catchment management scenarios**

638

639 We found that deciduous oak woodland covering the three upland catchments  
640 resulted in a mean 8.0-15% and 26-46% reduction in annual surface runoff and  
641 sediment exports to the lakes compared to the current moorland cover, respectively  
642 (Fig. 7a, b). In comparison, the conifer plantation produced a comparable mean 8.4-  
643 16% reduction in annual surface runoff but a smaller reduction of 4-30% in sediment

644 exports compared to the moorland cover. The difference in surface runoff between  
645 the afforestation scenarios was not statistically significant for Loweswater and  
646 Brotherswater ( $p > 0.05$ , Mann-Whitney U test), but was significant for Loch of the  
647 Lowes ( $p = 0.001$ ). Notably, the simulated reduction in surface runoff for both forest  
648 types is proportionally consistent with measurements of a 1-2% reduction in total  
649 water yield with every 10% of upland catchment covered by mature conifer forest  
650 (Calder & Newson, 1979; Nisbet, 2005).

651         The comparable reduction in modelled surface runoff between the deciduous  
652 woodland and conifer plantation scenarios reflects contrasting canopy and  
653 understorey interception. We model the seasonal variation in canopy cover and  
654 interception, but not species-dependent differences in transpiration rates. This is  
655 justified by previous work which has shown that (1) canopy interception losses greatly  
656 exceed transpiration in UK uplands (Calder & Newson, 1979), (2) winter interception is  
657 the most important factor explaining differences in total evapotranspiration between  
658 evergreen trees and other vegetation types (Dunn & Mackay, 1995), and (3) annual  
659 forest transpiration rates for deciduous and evergreen species in the UK tend to be  
660 similar (Roberts, 1983).

661

662 Insert figure 7 here

663

664         Woodland understorey can make a significant contribution to total  
665 interception, varying between 10-50% of net precipitation, i.e. throughfall (Gerrits &  
666 Savenije, 2011). This is important because we apply a higher understorey cover  
667 fraction in deciduous (seasonal *LAI* range: 1-2.5) versus evergreen (range: 0.25-1.25)

668 woodland to reflect contrasting levels of understorey shrubs and grasses typically  
669 observed under deciduous woodlands that allow greater light transmission to the  
670 understorey than conifer plantations (Barsoum & Henderson, 2016). As a result, the  
671 higher understorey interception largely offsets lower winter canopy interception for  
672 deciduous woodland compared to the evergreen plantation, thereby producing only a  
673 small difference in predicted surface runoff between the forest types. The understorey  
674 vegetation in the deciduous woodland also plays an important role in reducing  
675 sediment exports compared to the evergreen plantation. The higher deciduous  
676 woodland understorey intercepts more canopy throughfall and further reduces the  
677 kinetic energy of rain drops or canopy leaf drainage. It also provides greater vegetative  
678 roughness at ground-level (dependent on the number of stems per unit area) than the  
679 plantation understorey to reduce overland flow velocities and increase deposition.

680 Upland afforestation has been a focus in debates over natural flood  
681 management (Wynne-Jones, 2016). MMF-TWI captures changes in surface runoff  
682 volumes linked to afforestation on a monthly to annual timescale but not changes in  
683 the magnitude or timing of flow event peaks. Nonetheless, by simulating surface  
684 runoff, which directly contributes to stormflows, we show that afforestation may  
685 provide some benefit in reducing flood flows at the scale of the catchments  
686 investigated. However, how this effect might propagate downstream is highly  
687 dependent on the wider landscape response and flow contributions from other  
688 tributaries. Evidence suggests that such localised land cover changes are unlikely to  
689 have a significant effect on downstream flood risk, particularly for the largest events  
690 (Dadson et al, 2017; Stratford et al, 2017).

691           Planting a 10 m deciduous woodland riparian buffer either side of the stream  
692 network reduced sediment exports in the three upland catchments (Fig. 7c). The  
693 simulated low, moderate and high understorey cover scenarios led to reductions in  
694 mean sediment exports compared to historic baselines of 15-30, 22-36 and 27-41%,  
695 respectively. These reductions represent the maximum achievable given treatment of  
696 the entire stream network with riparian woodland. The riparian woodland buffers had  
697 only a minor effect in reducing mean annual surface runoff by 0.8-2.1%. This indicates  
698 that modelled reductions in sediment exports are due largely to reduced particle  
699 detachment associated with changes in raindrop kinetic energy rather than runoff. A  
700 small decrease in transport capacities within buffer areas associated with higher  
701 ground contact cover (GC) and lower runoff than in moorland areas also increased  
702 sediment deposition.

703           The riparian woodland buffer accounts for 78-91% of the reduction in sediment  
704 exports achieved with complete afforestation by deciduous woodland with the same  
705 level of understorey cover. This shows the importance of buffer placement as near-  
706 stream areas are more prone to saturation and runoff generation than locations  
707 further upslope, and form sediment source areas connected to the stream network  
708 (Fig. 4). Our results emphasise the significance of understorey vegetation comprising  
709 grasses and shrubs for maintaining the effectiveness of riparian woodland buffers.  
710 Similar findings were reported for eucalyptus forest buffers where low surface cover  
711 meant that the buffer acted as a sediment source on several occasions (McKergow et  
712 al, 2006). To maximize buffer effectiveness, land managers should seek to maintain the  
713 highest understorey cover that can be supported by light levels below the canopy.

714 Hence, the exclusion or limiting of livestock access is likely to be necessary to prevent  
715 loss of understorey cover with excessive grazing and trampling.

716           The riparian and catchment afforestation scenarios do not involve changes to  
717 soil hydraulic parameters. Research has shown that soils under long undisturbed  
718 broadleaf woodland (180 and 500 years-old) have higher saturated hydraulic  
719 conductivities and macroporosity than more recently established woodland (45 years-  
720 old) or improved pasture, where no difference was observed (Archer et al, 2013).  
721 Other studies have noted this contrast between old forests versus those established in  
722 recent decades (Hümann et al, 2011; Archer et al, 2016), although in some instances  
723 trees may negatively influence soil infiltration (Chandler & Chappell, 2008). Reported  
724 increases in soil hydraulic conductivity also occurred in areas recently planted with  
725 trees and excluding livestock relative to grazed improved pasture (e.g. Marshall et al,  
726 2014; Chandler et al, 2018). We suggest that this comparison may produce larger  
727 contrasts in infiltration due to greater trampling and compaction effects with higher  
728 stocking densities in enclosed improved pasture fields relative to open moorland  
729 areas.

730           In the three upland catchments moorland is the dominant land cover. The  
731 predicted saturated hydraulic conductivities ( $K_{sat}$ ) based on pedotransfer functions  
732 (Hollis et al, 2015) range 33-235 mm h<sup>-1</sup> with the highest  $K_{sat}$  values in the  
733 Brotherswater and Loch of the Lowes catchments that contain the largest area of  
734 moorland. Notably, the range in  $K_{sat}$  in the Loch of the Lowes catchment (66-202 mm  
735 h<sup>-1</sup>) is consistent with the reported range in mean  $K_{sat}$  (56-224 mm h<sup>-1</sup>) for similar soils  
736 under woodland in the same region (Archer et al, 2013). This suggests that the relative  
737 effect of afforestation on soil hydraulic properties may be less in open moorland areas

738 than improved pasture fields, while forest age is probably an important factor  
739 influencing the extent of soil structural changes that lead to higher infiltration rates.  
740 Our scenarios aim to capture the effect of afforestation measures on surface runoff  
741 and sediment exports under variability associated with a century of historic climate.  
742 We are not seeking to simulate the longer-term evolution of soil properties nor to  
743 compare the effect of older forests (>100 years) which lie outside the scope of  
744 contemporary change in upland land management.

745         The use of grass field margins in the Loch of Skene catchment produced mean  
746 reductions in sediment exports compared to the no-margin simulation of between 5-  
747 22% when margins were randomly applied to 25-100% of cropped fields. The  
748 application of grass margins to 25% of cropped fields had no significant effect on  
749 sediment exports ( $p = 0.114$ , Mann-Whitney U test), whereas applying margins to  
750  $\geq 50\%$  of cropped fields equated to a significant ( $p < 0.01$ ) mean reduction ( $\geq 11\%$ ) in  
751 exports. In this scenario, we applied a spring barley crop followed by a winter cover  
752 crop to arable fields covering 30% of the catchment for the full simulation period.  
753 Notably, the reduction in sediment exports are larger when no cover crops is planted  
754 (e.g. reduction of 16 vs. 11% where 50% of fields have grass margins). Uncertainty  
755 associated with the random spatial combinations of cropped fields treated with grass  
756 margins was small. The range between maximum and minimum sediment exports for  
757 the 25-75% field margin scenarios equated to only 2.7-3.5% of the mean annual  
758 sediment export based on 10 spatial replicates of margin placement.

759         Our results show that a statistically significant reduction in catchment sediment  
760 exports is detectable when grass margins are applied randomly to cropped fields  
761 covering at least 15% or more of the catchment area. The largest effect is achieved



762 where grass margins are located around cropped fields within stream-connected  
763 runoff and sediment generating areas. For example, when 50% of fields have grass  
764 margins applied but these fields are located only within the stream-connected  
765 contributing area the reduction in sediment export increases from 11 to 18%. This is  
766 equivalent to treating 75% of arable fields located randomly across the catchment with  
767 grass margins. MMF-TWI simulates the spatial and seasonal variation in surface runoff.  
768 This allows identification of saturated areas based on topographic and vegetation  
769 controls of soil moisture that intersect with periods of low crop cover and high rainfall  
770 to produce the largest soil losses. An advantage of the MMF-TWI modelling approach  
771 is that it provides a quantitative basis for addressing the combined effect of field  
772 spatial organization (Boardman & Vandaele, 2016) and the 'window of opportunity' for  
773 erosion during low crop cover periods (Boardman & Favis-Mortlock, 2014) on  
774 catchment-scale sediment delivery in humid agricultural environments. Hence, the  
775 model offers a hydrological basis for supporting decisions over field selection for  
776 planting of grass margins where the aim is to reduce sediment supply to the stream  
777 network.

778

## 779 **5. Conclusion**

780

781 We demonstrate the performance of a new catchment-scale model, MMF-TWI,  
782 for simulating soil erosion and sediment delivery in humid agricultural landscapes. The  
783 model was applied to four lake catchments in the UK with a century of reconstructed  
784 climate, land cover, and dated lake sedimentation data. Over a centennial timescale,  
785 MMF-TWI performed well. The model produced mean annual sediment exports within

786 9-20% of sediment core-based records without calibration and using guide parameter  
787 values to represent vegetation cover. Variability in modelled sediment exports reflects  
788 reconstructed precipitation. In contrast, lake sediment records were not consistent  
789 with decadal variability in annual precipitation, probably reflecting uncertainty in  $^{210}\text{Pb}$   
790 dating leading to asynchronous records over decadal timescales.

791 Land management simulations examined the effects of upland afforestation  
792 and lowland field-scale conservation scenarios compared to reconstructed historic  
793 baselines. Simulations of semi-natural oak woodland versus conifer afforestation  
794 showed similar reductions in mean annual surface runoff compared to current  
795 moorland vegetation. The deciduous woodland understorey largely offset higher  
796 rainfall interception by the conifer canopy. In contrast, conifer plantations produced  
797 1.3 times more sediment than oak woodland due to lower understorey protection  
798 from raindrop impact. Riparian woodland buffers along stream networks account for  
799 78-91% of the reduction in sediment exports achieved with catchment afforestation by  
800 deciduous woodland. Buffers had only minor effect on runoff but reduced rainfall  
801 detachment in connected near-stream locations prone to saturation and runoff  
802 generation. Sediment exports were sensitive to levels of riparian woodland  
803 understorey, highlighting the importance of active management to maintain ground-  
804 level vegetation cover in woodlands.

805 Field-scale conservation measures had a large effect on erosion and sediment  
806 delivery in the lowland arable catchment. We found the declining trend in sediment  
807 flux observed in lake-core records for recent decades could be explained by increased  
808 planting of winter cover crops. Historic simulation without cover crops doubled the  
809 mean annual sediment yield compared to with cover crops. We applied a Monte Carlo

810 approach that randomly assigned crops to arable fields to represent the unknown crop  
811 spatial arrangement for periods without aerial imagery. This showed that variability in  
812 crop arrangement amounted to 21% of the historic mean annual sediment yield.  
813 Nonetheless, use of cover crops had greater effect on catchment sediment exports  
814 than annual variation in either crop extent or spatial arrangement over the last  
815 century. Further reductions in sediment yield were achieved by applying permanent  
816 grass margins around crops in randomly selected sets of arable fields. This led to a  
817 statistically significant decline in sediment exports when margins were applied to 15%  
818 or more of the catchment area (i.e.  $\geq 50\%$  of cropped fields).

819       Agricultural land management requires strategies to mitigate impacts on soil  
820 and water resources while maintaining food production. Models that capture the  
821 effects of spatial and temporal variation in agricultural and conservation practices on  
822 soil erosion and sediment delivery can help to address this challenge. Our findings  
823 demonstrate the effect of woodland, cover crops and grass field margins in reducing  
824 catchment sediment yields compared to centennial-scale historic baselines. MMF-TWI  
825 balances data availability with parameterization and computational needs while  
826 representing variability in hydrology, land cover and conservation practices. It can  
827 support hydrologically-informed decision making to better target conservation  
828 measures to reduce soil erosion and sediment delivery in humid agricultural  
829 environments.

830

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840

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1080 **Table 1** Lake catchment characteristics and climate records.

	Loweswater	Brotherswater	Loch of the Lowes	Loch of Skene
Catchment area (km <sup>2</sup> )	8.8	13	27	49
Lake area (km <sup>2</sup> )	0.61	0.19	0.37	1.1
Catchment/lake ratio (-)	14	72	73	44
Elevation range (relief) (m)	119-543 (424)	157-821 (664)	246-614 (368)	83-417 (334)
Mean catchment slope (°)	12.1	23.5	14.2	3.8
Catchment geology	Glacial diamicton, Ordovician mudstones & siltstones	Glacial diamicton, Ordovician volcanics	Glacial diamicton, Silurian sand/silt/mudstones	Glacial diamicton, Silurian granodiorite
Catchment soils	Fine loamy brown podzolic soils and brown earths.	Brown podzolic soils. Stony loams with peaty soils on upper slopes.	Peaty podzols, brown earths, blanket peat on upper slopes.	Humus-iron podzols, alluvial soils, basin peat.
Recent land cover (2007-2012)	Moorland (56%), improved pasture (28%), woodland (8%)	Moorland (91%), improved pasture (2.4%), woodland (4.9%)	Moorland (87%), plantation (10%)	Pasture and cropland (75%), woodland (22%)
Mean precipitation (mm y <sup>-1</sup> ) ± std. dev. (composite record)	1502 ± 230 (1888-2014)	2144 ± 347 (1888-2014)	1571 ± 235 (1915-2014)	773 ± 123 (1912-2009)
Daily precipitation reference station ID and name (MIDAS database) &	12874 Cornhow S Wks	12953 Grisedale Bridge	1023 Eskdalemuir	14983 Dunecht House
Subhourly precipitation station ID and name (MIDAS database)	12802 Seathwaite; 12874 Cornhow S Wks	12802 Seathwaite; 12874 Cornhow S Wks	1023 Eskdalemuir	18976 Westhill
Mean temperature (°C d <sup>-1</sup> ) ± std. dev.	8.4 ± 4.8	5.9 ± 4.8	5.6 ± 4.9	7.8 ± 4.7
Mean daily temperature reference station ID and name (MIDAS database)	1060 Keswick	1060 Keswick	1023 Eskdalemuir	161 Dyce

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1082 **Table 2** Land cover parameters used for simulations in each lake catchment with historic parish-level  
 1083 mean sheep and cattle densities.

Lake catchment	Parish mean sheep (/ha)	Parish mean cattle (/ha)	Land cover parameter	Moorland <sup>a</sup>	Improved pasture <sup>a</sup>	Arable crop (spring barley)	Cover crop (rye grass)	Deciduous woodland	Conifer plantation
Loweswater	1.97 ± 0.21	0.20 ± 0.04	LAI <sub>m</sub>	5	5				
			GC	0.9 <sup>b</sup>	0.9 <sup>c</sup>				
			PH	0.2	0.1	n/a	n/a		
			NV	400	200				
			D	0.01	0.01				
Brotherswater	2.39 ± 0.25	0.07 ± 0.02	LAI <sub>m</sub>	5	5			Canopy: LAI <sub>m</sub> =5	Canopy: LAI <sub>m</sub> =5
			GC	0.9 <sup>b</sup>	0.9 <sup>c</sup>			PH=8	PH=8,
			PH	0.2	0.1	n/a	n/a	NV=1.2	NV=1.2
			NV	400	200			D=1.5	D=1.5
			D	0.01	0.01				
Loch of the Lowes	2.49 ± 0.24	0.04 ± 0.02	LAI <sub>m</sub>	5				Understorey: LAI <sub>m</sub> =2.5	Understorey: LAI <sub>m</sub> =1.25
			GC	0.8				GC=1	GC=1
			PH	0.2	n/a	n/a	n/a	PH=0.2	PH=0.2
			NV	400				NV=200	NV=100
			D	0.01				D=0.01	D=0.01
Loch of Skene	0.43 ± 0.2	0.65 ± 0.2	LAI <sub>m</sub>		5	4	4		
			GC		0.6	0.3	0.3		
			PH	n/a	0.1	1.2	0.2		
			NV		200	200	200		
			D		0.01	0.04	0.01		

<sup>a</sup>Moorland and improved pasture correspond to 'Moorland rough grazing (sheep)' and 'Lowland grass (cattle)', respectively, in Morgan & Duzant (2008, Table III), where GC is ground cover, PH is maximum plant height, NV is the number of stems per unit area, and D is plant diameter.

<sup>b</sup>GC for Loweswater and Brotherswater was increased from 0.8 to 0.9 to reflect higher vegetation cover and lower stocking levels compared to Loch of the Lowes.

<sup>c</sup>GC representing improved pasture for Loweswater and Brotherswater was increased from 0.6 to 0.9 to reflect the absence or historically very low numbers of cattle present in these upland catchments.

<sup>d</sup>LAI<sub>m</sub> is the maximum leaf area index. LAI varies seasonally for crops, deciduous woodland, woodland understoreys, moorland and improved pasture (Neitsch et al, 2002; Hough & Jones, 1997).

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1087 **Table 3** Comparison of lake sediment flux from core-based records versus model simulation results for  
 1088 each lake catchment.

Lake catchment	Period of record overlap	Mean sediment flux ± std. dev. (t y <sup>-1</sup> ) [mean sediment yield, t ha <sup>-1</sup> y <sup>-1</sup> ]		
		Sediment core	Model simulation	Absolute difference (%)
Loweswater	1907-1997	77 ± 15 [0.09]	66 ± 18 [0.08]	15
Brotherswater	1888-2010	140 ± 24 [0.11]	154 ± 48 [0.12]	9.4
Loch of the Lowes	1915-2003	398 ± 56 [0.15]	318 ± 81 [0.12]	20
Loch of Skene	1917-2009	289 ± 101 [0.06]	242 ± 87 [0.05]	16

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1090 **Appendix: Plant growth and soil parameters**

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1092 **Table 4** Plant growth parameters used in MMF-TWI in the four lake catchments. For further description of model functions and parameters see  
 1093 Peñuela et al (2017) and Neitsch et al (2011).

Cover type	Planting julian date	Maturity or harvesting julian date	PHU <sup>a</sup>	$T_{base}^b$ (°C)	$h_{c,max}^c$ (m)	LAI <sub>max</sub> <sup>d</sup>	$L_1^e$	$L_2^e$	$F_{PHU,sen}^f$	$k^g$
Moorland	n/a	n/a	n/a	n/a	0.2	5	n/a	n/a	n/a	0.35
Improved pasture	n/a	n/a	n/a	n/a	0.1	5	n/a	n/a	n/a	0.35
Arable crop (spring barley)	51	221	1570	0	1.2	4	5.92	21.47	0.6	0.45
Cover crop (rye grass)	222	50	1400	5	0.2	4	1.45	11.55	0.5	0.35
Deciduous woodland (oak)	n/a	n/a	n/a	10	8	5	n/a	n/a	n/a	0.65
Oak understorey	n/a	n/a	n/a	n/a	0.2	2.5	n/a	n/a	n/a	0.35
Conifer plantation	n/a	n/a	n/a	n/a	8	5	n/a	n/a	n/a	0.65
Conifer understorey	n/a	n/a	n/a	n/a	0.2	1.25	n/a	n/a	n/a	0.35

<sup>a</sup>PHU: Total heat units required for a plant to reach maturity, <sup>b</sup> $T_{base}$ : minimum temperature for plant growth, <sup>c</sup> $h_{c,max}$ : maximum canopy height, <sup>d</sup>LAI<sub>max</sub>: maximum leaf area index, <sup>e</sup> $L_1$  and  $L_2$  are shape coefficients used in calculating the daily increase in LAI (Neitsch et al, 2011), <sup>f</sup> $F_{PHU,sen}$ : period of leaf senescence, <sup>g</sup> $k$ : light extinction coefficient.

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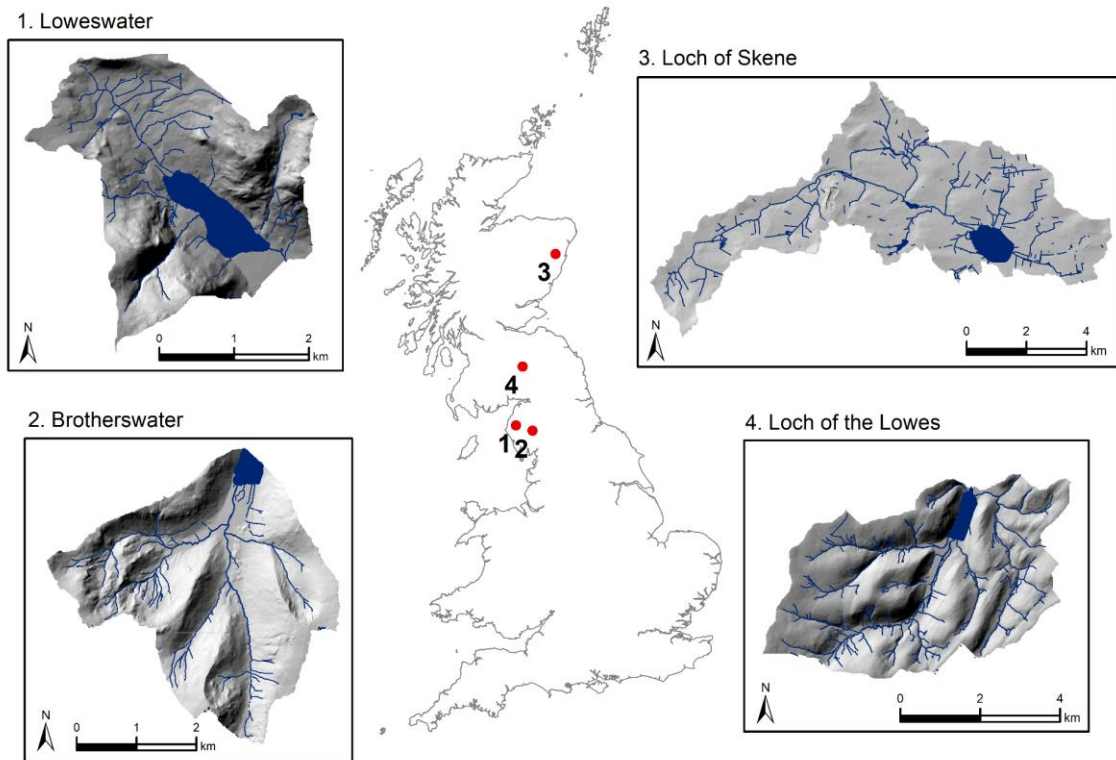
1099

1100 **Table 5** Soil parameters used in MMF-TWI simulations in the four lake catchments. Data for catchments in England (Lowswater and  
 1101 Brotherswater) and Scotland (Loch of the Lowes and Loch of Skene) supplied by NSRI (2014) and the James Hutton Institute (2014b),  
 1102 respectively. For further description of model functions and parameters see Peñuela et al (2017).

Lake catchment	Soil series name	$d_s^a$ (mm)	silt (%)	clay (%)	sand (%)	$\theta_{sat}^b$	$\theta_{fc}^c$	$\theta_{wp}^d$	$S_{fc}^e$ (mm)	$S_{wp}^f$ (mm)	$K_{sat}^g$ (mm day <sup>-1</sup> )	$T_0^h$ (m <sup>2</sup> day <sup>-1</sup> )	ST <sup>i</sup>
Lowswater	Hafren	150	47	29	24	0.64	0.55	0.26	82	39	1073	0.04	0.20
	Manod	250	43	27	30	0.52	0.44	0.24	110	60	797	0.04	0.20
	Denbigh	250	43	28	29	0.52	0.45	0.25	112	61	797	0.04	0.10
Brotherswater	Malvern	250	41	14	45	0.60	0.52	0.20	131	51	5646	0.32	0.25
	Bangor	250	26	17	57	0.71	0.62	0.30	155	75	2548	0.17	0.50
	Enborne	200	34	27	39	0.50	0.43	0.23	85	47	797	0.03	0.05
	Wilcocks	250	52	23	25	0.72	0.63	0.30	157	76	2469	0.16	0.50
Loch of the Lowes	Blanket Peat	150	15	30	55	0.80	0.64	0.39	96	58	2804	0.12	0.05
	Linhope	150	32	33	35	0.58	0.47	0.25	71	37	1578	0.05	0.05
	Dod	200	35	31	34	0.74	0.55	0.24	109	48	4857	0.27	0.05
	Dochroyle	250	32	21	47	0.80	0.64	0.37	161	93	2836	0.21	0.05
Loch of Skene	Alluvial	200	16	25	59	0.44	0.34	0.18	68	36	1066	0.03	0.05
	Basin Peat	150	15	30	55	0.80	0.64	0.39	96	59	2804	0.12	0.05
	Countesswells	150	12	22	66	0.60	0.42	0.18	63	27	3745	0.13	0.05
	Terryvale	200	16	20	64	0.54	0.38	0.17	76	34	2683	0.11	0.05
	Charr	200	18	15	67	0.83	0.65	0.38	130	76	3469	0.21	0.05

<sup>a</sup> $d_s$ : Soil A horizon depth, <sup>b</sup> $\theta_{sat}$ : saturated soil water content (m<sup>3</sup> m<sup>-3</sup>), <sup>c</sup> $\theta_{fc}$ : soil water content at field capacity (m<sup>3</sup> m<sup>-3</sup>), <sup>d</sup> $\theta_{wp}$ : soil water content at wilting point (m<sup>3</sup> m<sup>-3</sup>), <sup>e</sup> $S_{fc}$ : volume at water in the soil at field capacity, <sup>f</sup> $S_{wp}$ : volume of water in the soil at wilting point, <sup>g</sup> $K_{sat}$ : saturated hydraulic conductivity, <sup>h</sup> $T_0$ : local transmissivity at saturation, <sup>i</sup>ST: stone or bedrock cover.

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1105 **Figure 1** Location of the four lake catchments within the UK and catchment hillshades

1106 based on the DEMs (5 m grid size) used in model simulations.

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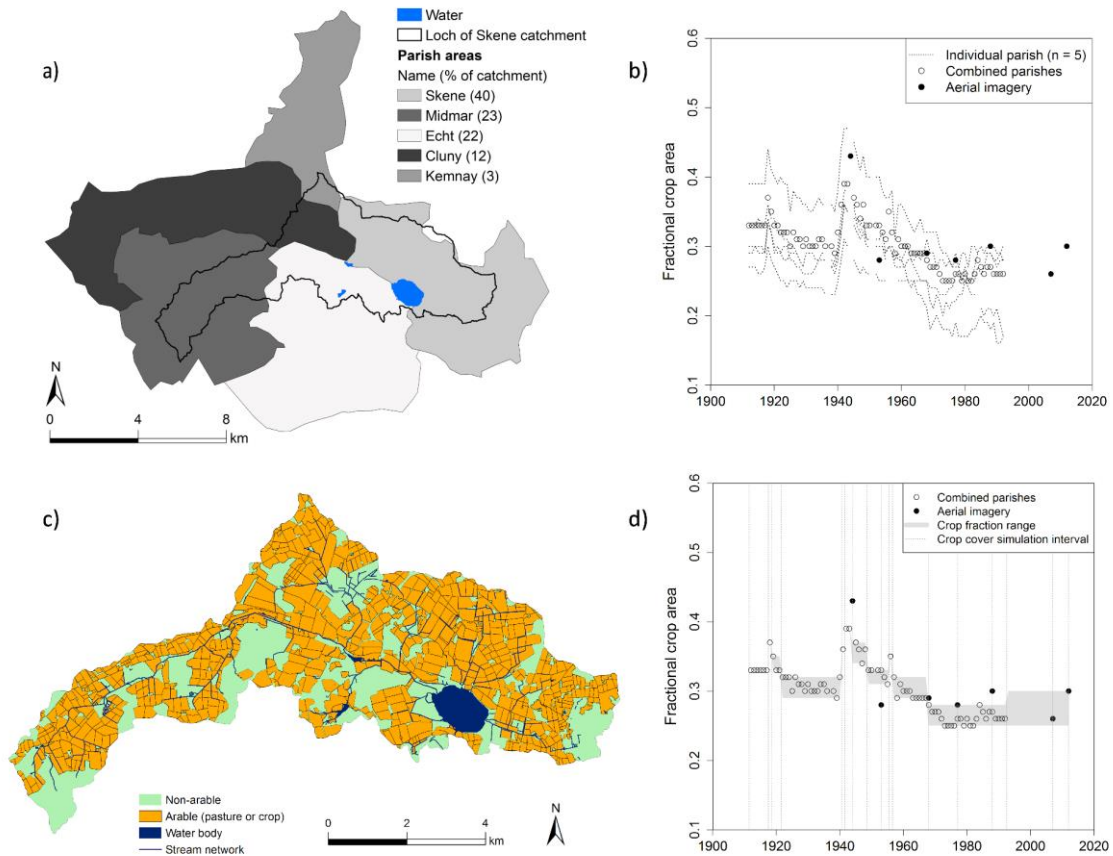
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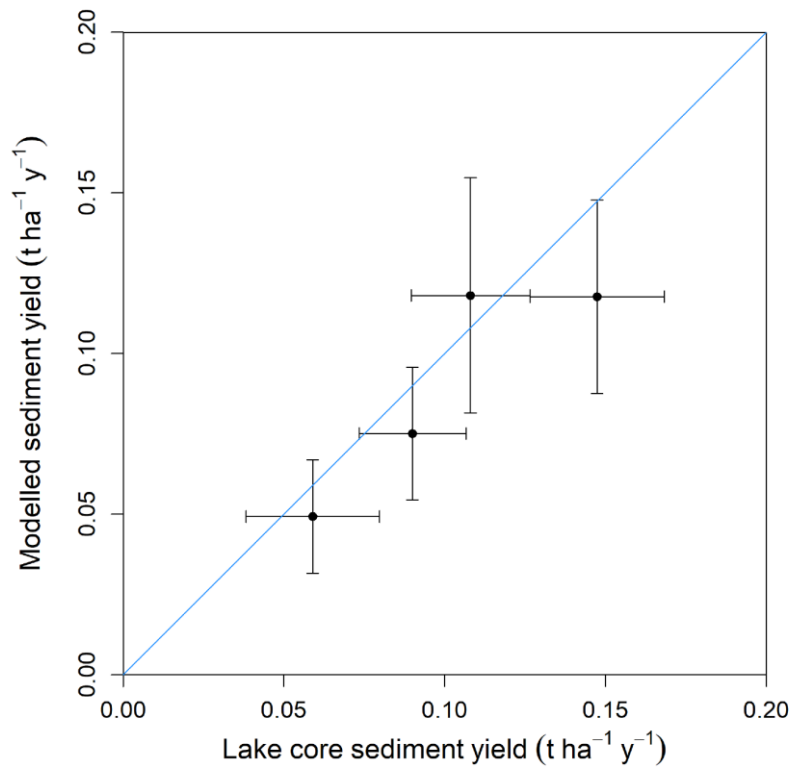
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1118 **Figure 2** Loch of Skene land cover reconstruction showing (a) parish coverage of the  
 1119 catchment, (b) the annual change in fractional crop area reconstructed from parish  
 1120 agricultural records and aerial imagery, (c) map of arable fields, and (d) time intervals  
 1121 that define the range in fractional crop covers (grey shading) for use in the randomised  
 1122 classification of fields into crop and pasture to represent uncertainty in the historic  
 1123 spatial arrangement of agricultural land cover (see section 3.2). For those years with  
 1124 aerial imagery, only the mapped land cover is used. The arable versus non-arable areas  
 1125 in Fig 2c are derived from LCM 2007 (Moreton et al, 2011) in combination with the  
 1126 Land Capability for Agriculture Assessment (James Hutton Institute, 2014a) to exclude  
 1127 areas of less productive land.

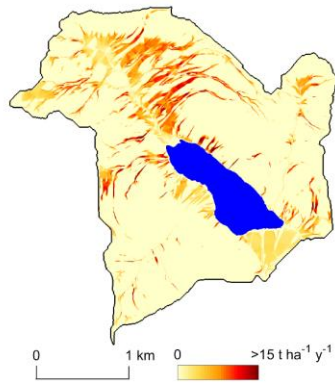
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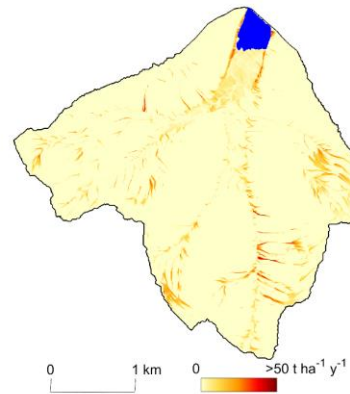
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1130 **Figure 3** Mean annual lake core versus modelled sediment yields ( $\pm$  std. dev.) for the  
 1131 four lake catchments plotted with the 1:1 line.

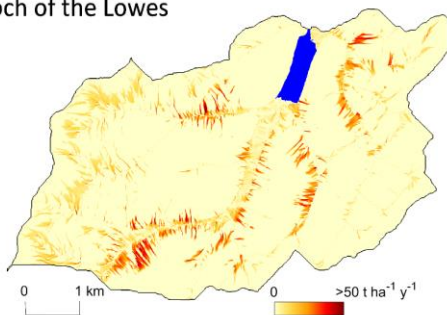
a) Loweswater



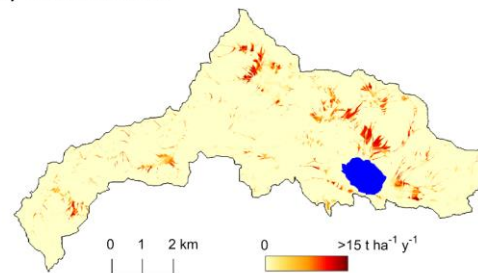
b) Brotherswater



c) Loch of the Lowes



d) Loch of Skene



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1133 **Figure 4** Mean annual soil loss maps based on modelled processes comprising within-  
1134 cell soil detachment and immediate deposition and down-slope sediment transport  
1135 and deposition for (a) Loweswater, (b) Brotherswater, (c) Loch of the Lowes, and (d)  
1136 Loch of Skene for the historic simulation periods. Cells with net deposition are shown  
1137 as zero erosion. Note the difference in maximum soil loss rates between catchments.

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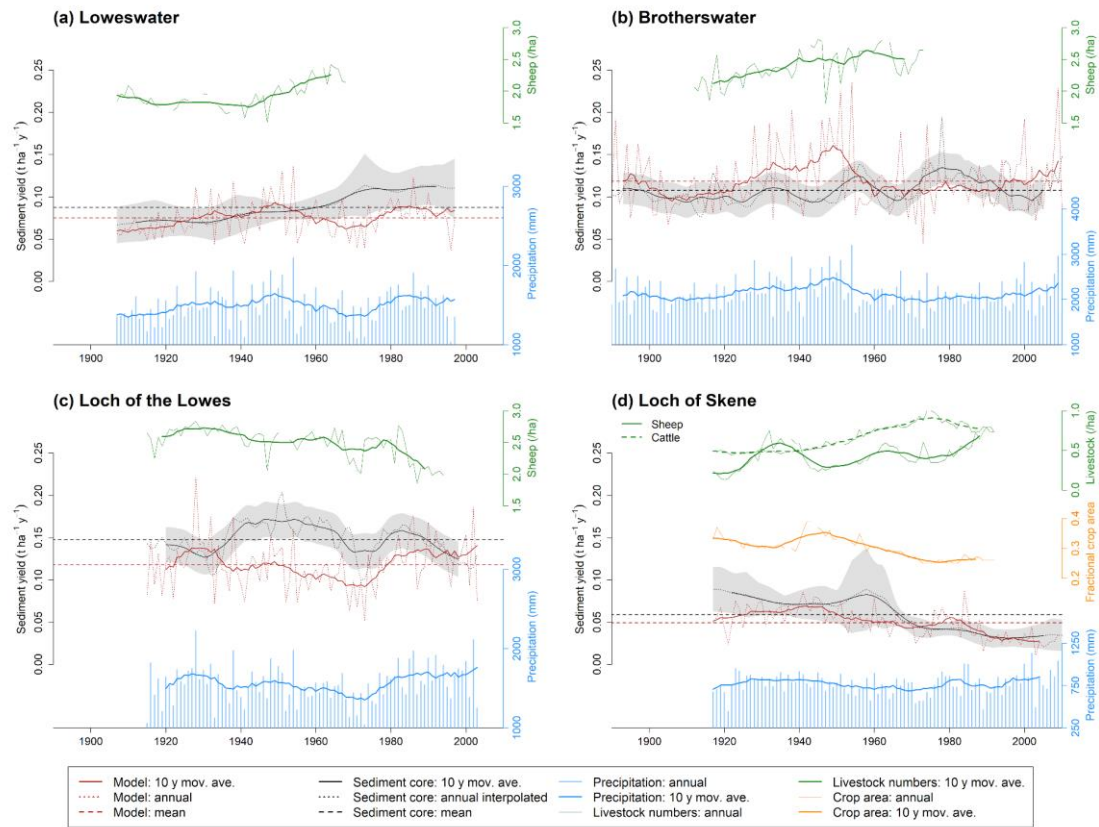
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1146 **Figure 5** Catchment sediment flux estimated from lake sediment records (black line 10  
 1147 y moving average, grey shade is standard deviation) and model simulations (red line is  
 1148 10 y moving average and dashed line is annualized model output based on monthly  
 1149 simulation) with reconstructed annual precipitation, livestock numbers and fractional  
 1150 crop area (Skene only) for (a) Loweswater, (b) Brotherswater, (c) Loch of the Lowes,  
 1151 and (d) Loch of Skene.

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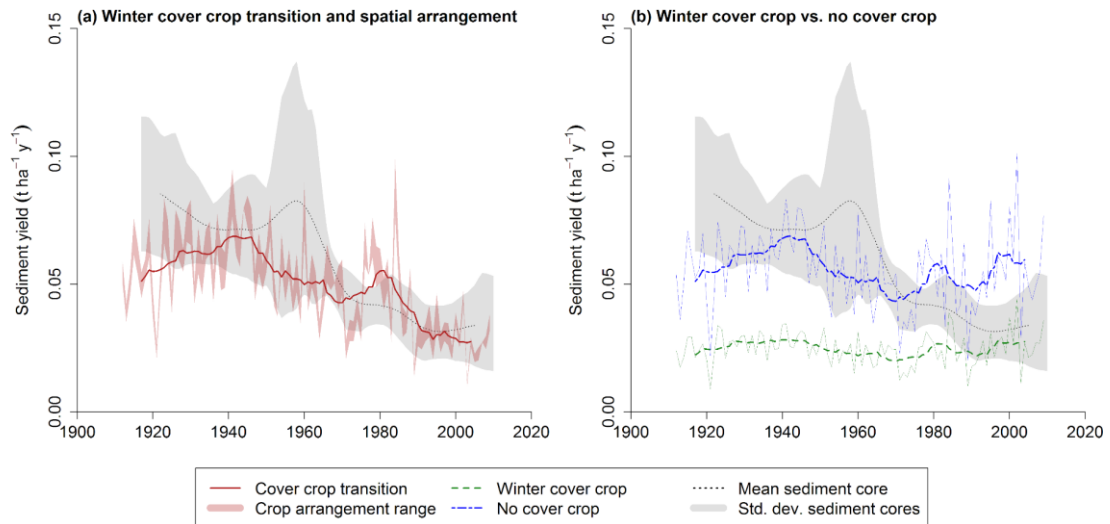
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1160 **Figure 6** Loch of Skene simulations of catchment sediment exports (with 10 y moving  
 1161 average and annualized output based on monthly simulation) showing (a) transition to  
 1162 winter cover crop (1980-2000) in combination with the range in model outputs  
 1163 associated with 50 replicates of randomized crop spatial arrangement and (b) winter  
 1164 cover crop versus no cover crop simulations. The catchment sediment flux estimated  
 1165 from lake sediment records is also shown (black line 10 y moving average, grey shade  
 1166 is standard deviation of multiple core records).

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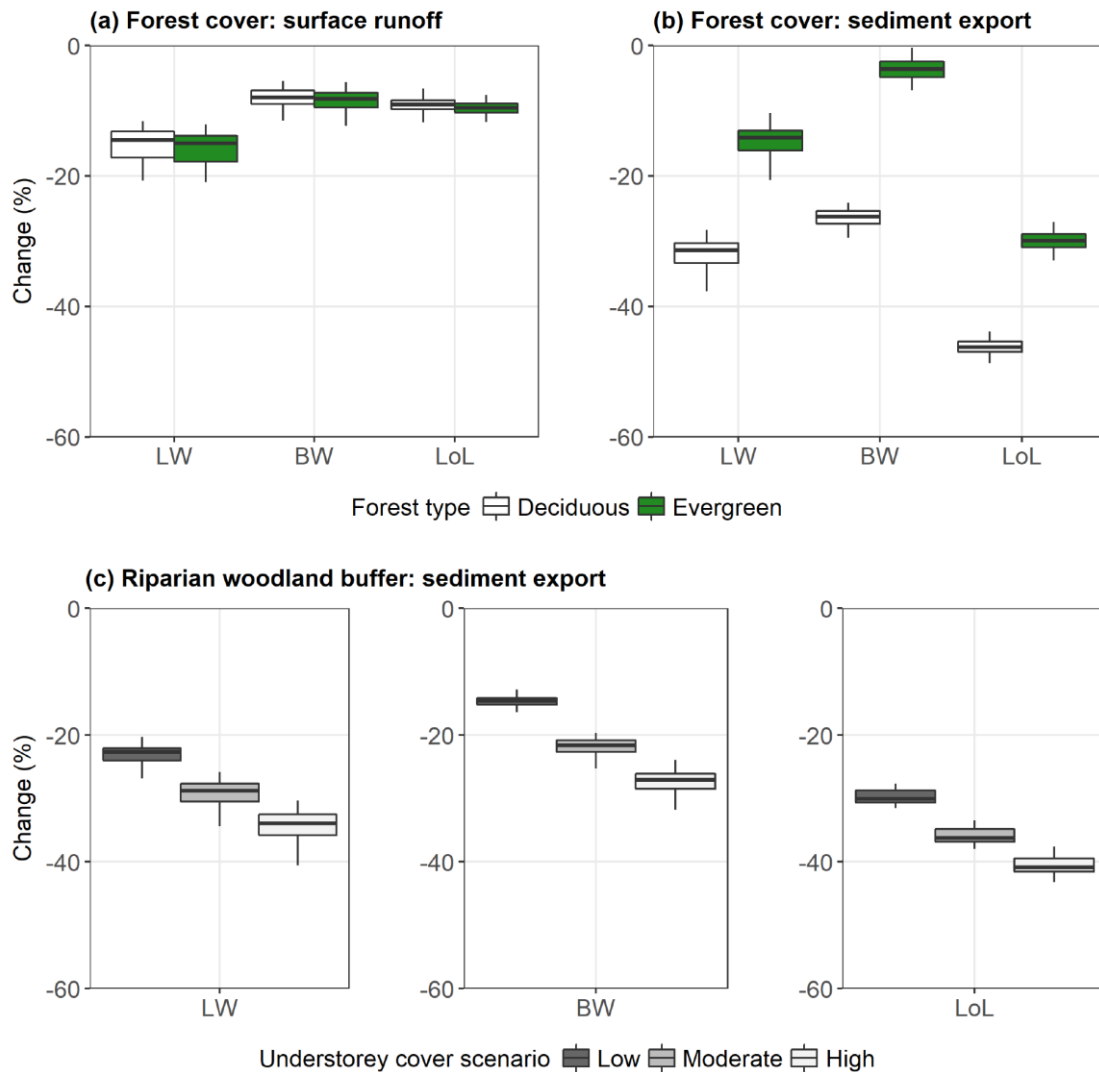
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1177 **Figure 7** Upland catchment land cover scenario simulations based on historic climate  
 1178 reconstructions. Boxplots show the percent relative change in (a) surface runoff and  
 1179 (b) sediment exports for deciduous oak woodland and evergreen conifer plantation for  
 1180 Loweswater (LW), Brotherswater (BW), and Loch of the Lowes (LoL) catchments. The  
 1181 percent change in sediment exports (c) with planting of a 10 m deciduous woodland  
 1182 riparian buffer either side of the stream network is shown for the low, moderate and  
 1183 high understory cover scenarios. All changes are relative to the historic baseline  
 1184 simulations. Boxplots show the median, 25<sup>th</sup> and 75<sup>th</sup> percentiles and whiskers extend  
 1185 to 1.5 x Interquartile Range.

