

Heavy physics contributions to neutrinoless double beta decay from QCD

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Observation of neutrinoless double beta decay, a lepton number violating process that has been proposed to clarify the nature of neutrino masses, has spawned an enormous world-wide experimental effort. Relating nuclear decay rates to high-energy, beyond the Standard Model (BSM) physics requires detailed knowledge of non-perturbative QCD effects. Using lattice QCD and taking advantage of effective field theory methods, we compute the model-independent leading-order matrix elements of short-range operators, which arise due to heavy BSM mediators, that contribute to this decay. Contributions from short-range operators may prove to be equally important to or even more important than those from long-range Majorana neutrino exchange.

Introduction.— Neutrinoless double beta decay ($0\nu\beta\beta$) is a process that, if observed, would reveal violations of symmetries fundamental to the Standard Model, and would guarantee that neutrinos have nonzero Majorana mass [1, 2]. Such decays can probe physics beyond the electroweak scale and expose a source of lepton-number (L) violation which may explain the observed matter-antimatter asymmetry in the universe [3, 4]. Existing and planned experiments will constrain this novel nuclear decay [5–16], but the interpretation of the resulting decay rates or limits as constraints on new physics will be a tremendous theoretical challenge.

The most widely discussed mechanism for $0\nu\beta\beta$ is that of a light Majorana neutrino, which can propagate a long distance within a nucleus. However, if the mechanism involves a heavy scale, $\Lambda_{\beta\beta}$, the resulting L -violating process can be short-ranged. While naïvely short-range operators are suppressed compared to long-range interactions due to the heavy mediator propagator, in the case of $0\nu\beta\beta$, the long-range interaction requires a helicity flip and is proportional to the mass of the light neutrino. In a standard seesaw scenario [17–21], this light neutrino mass is similarly suppressed by the same heavy mass scale, so the relative importance of long- versus short-range contributions is dependent upon the particle physics model under consideration and in general cannot be determined until the nuclear matrix elements involving both types of processes are computed.

Both long- and short-range mechanisms present substantial theoretical challenges if we hope to connect high energy physics with experimentally observed decay rates. The former case is difficult because one must understand long-distance nuclear correlations. In the latter case the short-distance physics is masked by QCD effects, requiring non-perturbative methods to match few-nucleon matrix elements to Standard Model operators.

Effective field theory (EFT) arguments show that at leading order (LO) in the Standard Model, there are nine local four-quark operators that can contribute to $0\nu\beta\beta$ decays [22, 23]. Further matching to a nuclear EFT [22] shows that, at leading order, there are up to three important processes—a negatively charged pion in the nucleus can be converted to a positively charged pion, releasing two electrons ($\pi\pi ee$ operators), a neutron can be converted to a proton plus a positively charged pion, also releasing two electrons ($NN\pi ee$ operators), and finally, two neutrons can be converted to two protons plus two electrons ($NNNN ee$ operators). As long as the LO $\pi\pi ee$ are not forbidden by symmetries, the LO contribution to the nuclear $0\nu\beta\beta$ transition matrix element will be given by the $\pi\pi ee$ operators within the pion exchange diagram shown in the left panel of Figure 1.

In this Letter we determine the matrix elements of the relevant $\pi\pi ee$ operators and their associated low energy constants (LECs) for chiral perturbation theory (χ PT) using lattice QCD (LQCD), a non-perturbative numeri-

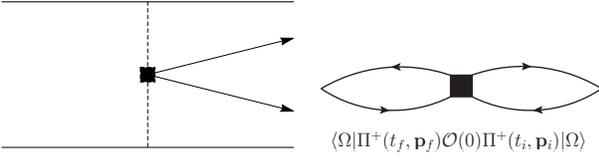


FIG. 1. Left: the leading order contribution to $0\nu\beta\beta$ via short-range operators occurs within a long-distance pion exchange diagram. The nucleons (solid lines) exchange charged pions (dashed), which emit two electrons (lines with arrowheads). Right: the LECs associated with the operators in the left panel may be calculated through a simpler $\pi^- \rightarrow \pi^+$ transition. Here, the lines represent quarks.

cal method with fully controllable systematics. We perform extrapolations in all parameters characterizing deviations from the physical point, including quark mass and lattice spacing a , which controls effects from the discretization of space and time.

Method. – Using an EFT framework, it is not necessary to calculate the full $nn \rightarrow ppee$ transition shown in the left panel of Figure 1. Instead, we can perform the much more computationally tractable calculation of the on-shell $\pi^- \rightarrow \pi^+$ transition in the presence of external currents (four-quark operators). Once the LECs are determined, calculating the true off-shell process can be dealt with naturally within the EFT framework. From a LQCD perspective, this single pion calculation is computationally far simpler than the two nucleon calculation due to absence of a signal-to-noise problem [24] and complications in accounting for scattering states in a finite volume [25, 26].

We calculate matrix elements for the following relevant four-quark operators described in Ref. [22]:

$$\begin{aligned} \mathcal{O}_{1+}^{++} &= (\bar{q}_L \tau^+ \gamma^\mu q_L) [\bar{q}_R \tau^+ \gamma_\mu q_R] , \\ \mathcal{O}_{2+}^{++} &= (\bar{q}_L \tau^+ q_L) [\bar{q}_R \tau^+ q_L] + (\bar{q}_L \tau^+ q_R) [\bar{q}_L \tau^+ q_R] , \\ \mathcal{O}_{3+}^{++} &= (\bar{q}_L \tau^+ \gamma^\mu q_L) [\bar{q}_L \tau^+ \gamma_\mu q_L] \\ &\quad + (\bar{q}_R \tau^+ \gamma^\mu q_R) [\bar{q}_R \tau^+ \gamma_\mu q_R] , \end{aligned} \quad (1)$$

where the Takahashi bracket notation $()$ or $[]$ indicates which color indices are contracted together [27]. We have omitted parity odd operators which do not contribute to the $\pi^- \rightarrow \pi^+$ transition, as well as the vector operators which are suppressed by the electron mass, as discussed in Ref. [22]. In addition, we calculate the color-mixed operators which arise through renormalization from the electroweak scale to the QCD scale [23]:

$$\begin{aligned} \mathcal{O}'_{1+}{}^{++} &= (\bar{q}_L \tau^+ \gamma^\mu q_L) [\bar{q}_R \tau^+ \gamma_\mu q_R] , \\ \mathcal{O}'_{2+}{}^{++} &= (\bar{q}_L \tau^+ q_L) [\bar{q}_L \tau^+ q_L] + (\bar{q}_R \tau^+ q_R) [\bar{q}_R \tau^+ q_R] \end{aligned} \quad (2)$$

The analogous color-mixed operator $\mathcal{O}'_{3+}{}^{++}$ is identical to \mathcal{O}_{3+} and is therefore omitted.

To determine the matrix elements for the $\pi\pi ee$ operators, we have performed a LQCD calculation using the publicly available highly-improved staggered quark

	$m_\pi \sim 310$ MeV		$m_\pi \sim 220$ MeV		$m_\pi \sim 130$ MeV	
a (fm)	V	$m_\pi L$	V	$m_\pi L$	V	$m_\pi L$
0.15	$16^3 \times 48$	3.78	$24^3 \times 48$	3.99		
0.12			$24^3 \times 64$	3.22		
0.12	$24^3 \times 64$	4.54	$32^3 \times 64$	4.29	$48^3 \times 64$	3.91
0.12			$40^3 \times 64$	5.36		
0.09	$32^3 \times 96$	4.50	$48^3 \times 96$	4.73		

TABLE I. List of HISQ ensembles used for this calculation, showing the volumes ($V = L^3 \times T$) studied for a given lattice spacing and pion mass.

(HISQ) gauge field configurations generated by the MILC collaboration [28, 29]. The set of configurations used is shown in Table I. With this set we perform extrapolations in the lattice spacing, pion mass, and volume. On these configurations we chose to produce Möbius domain wall quark propagators [30–32] due to their improved chiral symmetry properties, which suppresses mixing between operators of different chirality. To further improve the chiral properties, we first performed a gradient flow method to smooth the HISQ configurations [33–35], see Ref. [36] for details. This action has been successfully used to compute the nucleon axial coupling, g_A , with 1% precision [37–39]. For each ensemble we have generated quark propagators using both wall and point sources on approximately 1000 configurations.

The calculation of the matrix elements proceeds along the same lines as calculations of K^0 - [40–48], D^0 - [46, 49] and $B_{(s)}^0$ -meson mixing [50–53] or $N\bar{N}$ oscillations [54, 55], and involves only a single light quark inversion from an unsmearred point source at the time where the four-quark operator insertion occurs. The propagators are then contracted to produce a pion at an earlier time (source) and later time (sink). Because no quark propagators connect the source to the sink, we can exactly project both source and sink onto definite momentum (allowing only zero momentum transfer at the operator) without the use of all-to-all propagators.

Results. – In Figure 2, we show representative plots on the near physical pion mass ensemble ($V = 48^3 \times 64$, $a = 0.12$ fm, $m_\pi \sim 130$ MeV), of the ratio

$$\mathcal{R}_i(t) \equiv C_i^{3\text{pt}}(t, T-t) / (C_\pi(t)C_\pi(T-t)) , \quad (3)$$

where $C_i^{3\text{pt}}$ is the three-point function with a four-quark operator labeled by i at $t = 0$ and the sink (source) at time $t_f = t$ ($t_i = T - t$),

$$\begin{aligned} C_i^{3\text{pt}}(t_f, t_i) &= \sum_{\mathbf{x}, \mathbf{y}, \alpha} \langle \alpha | \Pi^+(t_f, \mathbf{x}) \mathcal{O}_i(0, \mathbf{0}) \Pi^+(t_i, \mathbf{y}) | \alpha \rangle \\ &\quad \times e^{-E_\alpha T} \end{aligned} \quad (4)$$

where α labels QCD eigenstates, and the pion interpolating field is $\Pi^+ = (\Pi^-)^\dagger = \bar{d}\gamma_5 u$. C_π is the pion corre-

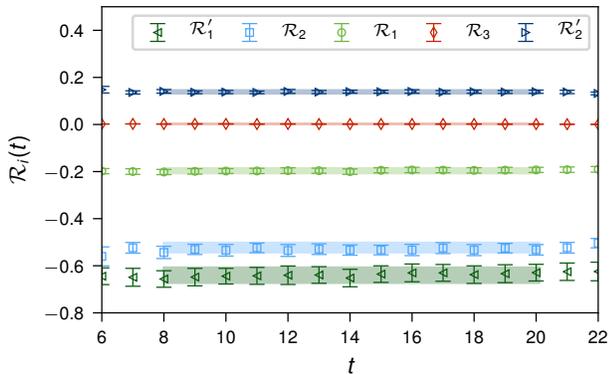


FIG. 2. An example of our lattice results for different operators on the near physical pion mass ensemble with $a \simeq 0.12$ fm.

lation function. Using relativistic normalization,

$$\begin{aligned} C_\pi(t) &= \sum_{\mathbf{x}} \sum_{\alpha} \langle \alpha | \Pi^+(t, \mathbf{x}) \Pi^-(0, \mathbf{0}) | \alpha \rangle e^{-E_\alpha T} \\ &= \sum_n \frac{|Z_n^\pi|^2}{2E_n^\pi} \left(e^{-E_n^\pi t} + e^{-E_n^\pi(T-t)} \right) + \dots, \end{aligned} \quad (5)$$

where $Z_n^\pi = \langle \Omega | \Pi^+ | n \rangle$, Ω represents the QCD vacuum, and the \dots represent thermally suppressed terms. One can show that the ratio correlation function is given in lattice units by

$$\mathcal{R}_i(t) = \frac{a^4 \langle \pi | \mathcal{O}_{i+}^{++} | \pi \rangle}{(a^2 Z_0^\pi)^2} + \mathcal{R}_{\text{e.s.}}(t), \quad (6)$$

where $|\pi\rangle$ is the ground state pion and the excited state contributions are suppressed exponentially by their mass gap relative to the pion mass, $\mathcal{R}_{\text{e.s.}}(t) \propto e^{-(E_n^\pi - E_0^\pi)t}$. The overlap factors Z_0^π are determined in the analysis of the two-point pion correlation functions. For brevity we henceforth write the matrix elements of these operators as $O_i = \langle \pi | \mathcal{O}_{i+}^{++} | \pi \rangle$ and attach a prime as appropriate.

We find excellent signals on nearly all ensembles, requiring only a simple fit to a constant. This is likely due to the fact that in the ratio defined in Equation 3 the contribution from the lowest thermal pion state is eliminated, which we find to be the leading contamination to the pion correlation function within the relevant time range. We also find little variation of the ratio using either wall or point sources. This gives us additional confidence that excited state contamination is negligible within the time range plotted in the left panel of Figure 2. A preliminary version of this analysis was presented in Ref. [56].

After extracting the matrix elements on each ensemble, we perform extrapolations to the continuum, physical pion mass, and infinite volume limits. It is straightforward to include these new operators in Chiral Perturbation Theory (χ PT) [57] and to derive the virtual pion

corrections which arise at next-to-leading order (NLO) in the chiral expansions,

$$\begin{aligned} O_1 &= \frac{\beta_1 \Lambda_\chi^4}{(4\pi)^2} \left[1 + \frac{7}{3} \epsilon_\pi^2 \ln(\epsilon_\pi^2) + c_1 \epsilon_\pi^2 \right], \\ O_2 &= \frac{\beta_2 \Lambda_\chi^4}{(4\pi)^2} \left[1 + \frac{7}{3} \epsilon_\pi^2 \ln(\epsilon_\pi^2) + c_2 \epsilon_\pi^2 \right], \\ \frac{O_3}{\epsilon_\pi^2} &= \frac{\beta_3 \Lambda_\chi^4}{(4\pi)^2} \left[1 + \frac{4}{3} \epsilon_\pi^2 \ln(\epsilon_\pi^2) + c_3 \epsilon_\pi^2 \right]. \end{aligned} \quad (7)$$

In these expressions

$$\Lambda_\chi = 4\pi F_\pi, \quad \epsilon_\pi = \frac{m_\pi}{\Lambda_\chi}, \quad (8)$$

where $F_\pi = F_\pi(m_\pi)$ is the pion decay constant at a given pion mass, normalized to be $F_\pi^{\text{phys}} = 92.2$ MeV at the physical pion mass, Λ_χ is the chiral symmetry breaking scale and ϵ_π^2 is the small expansion parameter for χ PT. The pion matrix elements for \mathcal{O}_{1+}^{++} and \mathcal{O}_{2+}^{++} have an identical form to \mathcal{O}_{1+}^{++} and \mathcal{O}_{2+}^{++} respectively but have independent low-energy constants (LECs), β'_i and c'_i which describe the pion mass dependence. These expressions can be generalized to incorporate finite lattice spacing corrections [58] arising from the particular lattice action we have used [36] and finite volume corrections [59] which arise from virtual pions that are sensitive to the finite periodic volume used in the calculations. Details of the derivation of the formula in χ PT and the extension to incorporate these lattice QCD systematic effects are presented in the supplemental material. In addition to the matrix elements O_i , the various LECs β_i and c_i are determined in this work.

The lattice QCD results are renormalized non-perturbatively following the Rome-Southampton method [60] with a non-exceptional kinematics symmetric point [61]. More precisely, we compute the relevant Z -matrix in the RI/SMOM (γ_μ, γ_μ)-scheme [62]. We implement momentum sources [63] to achieve a high statistical precision and non-perturbative scale evolution techniques [64, 65] to run the Z -factors to the common scale of $\mu = 3$ GeV. Further details about the renormalization procedure are provided in the supplemental material. One advantage of our mixed-action setup is that the renormalization pattern is the same as in the continuum (to a very good approximation) and does not require the spurious subtraction of operators of different chirality.

The renormalized operators, extrapolated to the continuum, infinite volume, and physical pion mass (defined by $m_\pi^{\text{phys}} = 139.57$ MeV and $F_\pi^{\text{phys}} = 92.2$ MeV) limits are given in Table II in both RI/SMOM and $\overline{\text{MS}}$ schemes at $\mu = 3$ GeV.

The correlation between these RI-SMOM matrix elements are given in the supplemental material. The extrapolations of these operators to the physical point are

TABLE II. Resulting matrix elements extrapolated to the physical point, renormalized in RI/SMOM and $\overline{\text{MS}}$, both at $\mu = 3 \text{ GeV}$.

$O_i[\text{GeV}]^4$	RI/SMOM	$\overline{\text{MS}}$
	$\mu = 3 \text{ GeV}$	$\mu = 3 \text{ GeV}$
O_1	$-1.96(14) \times 10^{-2}$	$-1.94(14) \times 10^{-2}$
O'_1	$-7.21(53) \times 10^{-2}$	$-7.81(57) \times 10^{-2}$
O_2	$-3.60(30) \times 10^{-2}$	$-3.69(31) \times 10^{-2}$
O'_2	$1.05(09) \times 10^{-2}$	$1.12(10) \times 10^{-2}$
O_3	$1.89(09) \times 10^{-4}$	$1.90(09) \times 10^{-4}$

presented in Fig. 3 with the dashed vertical line representing the physical pion mass. The small value of O_3 reflects the fact that the O_{3+}^{++} operator is suppressed in the chiral expansion, vanishing in the chiral limit. In addition to the full MAEFT extrapolations (including infinite volume), we performed further extrapolations without including mixed-action and/or finite volume effects, and found all results to be consistent, indicating that mixed-action and finite volume effects are mild. These various analysis options are all available in Ref. [66] provided with this publication. Loss function minimization is performed using Ref. [67].

We can compare the values of the matrix elements determined here in $\overline{\text{MS}}$ to those in Ref. [68], which used $SU(3)$ flavor symmetry to determine the values, including estimated $SU(3)$ flavor-breaking corrections at NLO in $SU(3)$ χ PT. Noting the differences in operator definition pointed out in footnote 5 of Ref. [68], we find the values of the matrix elements tend to agree at the one- to two-sigma level, as measured by the O(20 – 40%) uncertainties in Ref. [68], indicating the $SU(3)$ chiral expansion is reasonably well behaved. With $N_{\text{sample}} \sim 1000$ in the LQCD calculations presented here, the uncertainties have been reduced to O(5 – 8%). The resulting LECs are reported in Tab. III in the supplemental material and the full covariance between them is provided in Ref. [66].

From the matrix element O_3 we can determine the value of B_π , the bag parameter of neutral meson mixing in the Standard Model, $B_\pi = O_3 / (\frac{8}{3} m_\pi^2 F_\pi^2) = 0.430(16) [0.432(16)]$ in the RI/SMOM [$\overline{\text{MS}}$] scheme at $\mu = 3 \text{ GeV}$. This is a rather low value, indicating a large deviation from the vacuum saturation approximation. However this is expected from the chiral behavior as discussed, for example, in Ref. [69–71]. As displayed in Fig. 4 in the supplemental material, the value of B_π increases at larger pion masses, as expected.

Discussion. – We have performed the first LQCD calculation of hadronic matrix elements for short-range operators contributing to $0\nu\beta\beta$. This calculation is complete for matrix elements contributing to leading order in χ PT, including extrapolation to the physical point in both lattice spacing and pion mass. We have also per-

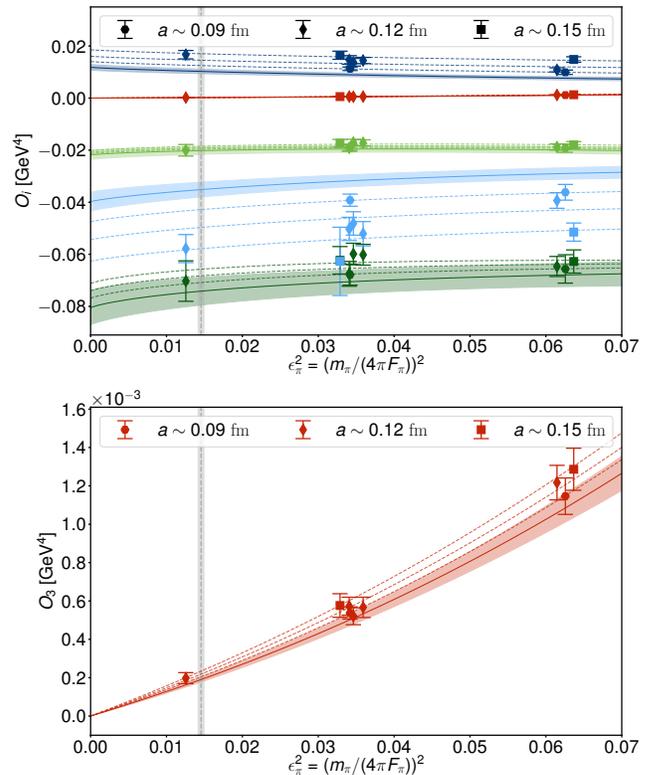


FIG. 3. The interpolation of the various matrix elements (color coded as in Fig. 2). In the bottom panel, a zoomed in version of O_3 is displayed. The resulting fit curves/bands are constructed with Λ_χ held fixed while changing ϵ_π and so the corresponding LQCD results are adjusted by $(F_\pi^{\text{phys}}/F_\pi^{\text{latt}})^4$ for each lattice ensemble to be consistent with this interpolation. The bands represent the 68% confidence interval of the continuum, infinite volume extrapolated value of the matrix elements. The vertical gray band highlights the physical pion mass.

formed calculations directly at the physical pion mass.

Given these $\pi^- \rightarrow \pi^+$ matrix elements, the nuclear beta decay rate can be determined by constructing the $nn \rightarrow pp$ potential that they induce. The strong contribution to this potential for matrix element O_i is given by

$$\begin{aligned}
 V_i^{nn \rightarrow pp}(|\mathbf{q}|) &= -O_i P_1 + P_2 + \frac{\partial}{\partial m_\pi^2} V_{1,2}^\pi(|\mathbf{q}|) \\
 &= -O_i \frac{g_A^2}{4F_\pi^2} \tau_1^+ \tau_2^+ \frac{\sigma_1 \cdot \mathbf{q} \sigma_2 \cdot \mathbf{q}}{(|\mathbf{q}|^2 + m_\pi^2)^2}, \quad (9)
 \end{aligned}$$

where $V_{1,2}^\pi(|\mathbf{q}|) = -\tau_1 \cdot \tau_2 \sigma_1 \cdot \mathbf{q} \sigma_2 \cdot \mathbf{q} / (|\mathbf{q}|^2 + m_\pi^2)$ is the long-range pion-exchange potential between two nucleons (labeled 1 and 2) and $P_{1,2}^+$ project onto the isospin raising operator for each nucleon. This potential needs to be multiplied by the electrons $\bar{e}e^c$, the overall prefactor $\frac{G_F^2}{\Lambda_{\beta\beta}}$ and the Wilson coefficient of the effective Standard Model operators for a given heavy physics model to

determine the full $nn \rightarrow ppe^-e^-$ amplitude. These matrix elements, once incorporated into nuclear decay rate calculations, can be used to place limits on the various BSM mechanisms that give rise to $0\nu\beta\beta$, see for example [22, 23, 72–81]. The limits on the BSM mechanisms must also account for the running of these short distance operators, which can modify their strength by an amount comparable to the current uncertainties on the nuclear matrix elements themselves [82].

Modern analyses use Effective Field Theory [22, 23, 80, 81], for which this contribution is the leading order short-range correction. To go beyond leading order in χ PT additional calculations are necessary. For planned experiments probing $0^+ \rightarrow 0^+$ nuclear transitions, all next-to-leading order diagrams of type $NN\pi ee$ vanish due to parity [22]. At next-to-next-to leading order there exist both $NN\pi ee$ diagrams and $NNN\pi ee$ contact diagrams. Calculation of the $NNN\pi ee$ contact contribution may prove important, as diagrams involving light pion exchange may need to be summed non-perturbatively in the EFT framework, causing the contact to be promoted to LO (as was found for the light neutrino exchange diagrams in Ref. [83]). While computing the $NNN\pi ee$ contact interaction will prove challenging, it is in principle calculable with current technology and resources [84]. Finally, in order to disentangle long- and short-range $0\nu\beta\beta$ effects, investigation of quenching of the axial coupling, g_A , in multi-nucleon systems [85–87], as well as the isotensor axial polarizability [88, 89], will also be useful.

Our results can in principle be used to determine contributions from any BSM model leading to short-range $0\nu\beta\beta$ to leading order in χ PT. However, these results must first be incorporated into nuclear physics models capable of describing large nuclei. Currently, there is sizable discrepancy between different models and uncertainty quantification remains difficult, challenges which will need to be overcome in order to faithfully connect experiment with theory.

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Non-perturbative renormalization

The complete details of our renormalization procedure will be presented in a forthcoming publication. Here, we summarize the pertinent details. As discussed in the main text, we used a RI/SMOM scheme with $\mu^2 \equiv p_{in}^2 = p_{out}^2 = (p_{out} - p_{in})^2$ as proposed in [61]. This choice of momentum suppresses infrared (IR) contamination which arises, for example, from light pion exchanges and can induce unphysical mixing of operators of different chirality. Although in principle such IR effects can still be present, they are expected to be sub-leading if we keep the renormalization scale μ high enough, eg. $\mu^2 \gg m_\pi^2, \Lambda_{QCD}^2$. In practice we check that $Z_A = Z_V, Z_P = Z_S$ and that the chirally forbidden matrix elements of the four-quark operators are orders of magnitude smaller than the allowed matrix elements.

Both the renormalized operators and their respective matrix elements are determined in a renormalization scheme R

$$\mathcal{O}_i^R = Z_{ij}^R \mathcal{O}_j^{latt}, \quad (10)$$

where \mathcal{O}^{latt} are the bare matrix elements determined by analyzing the ratio correlation functions, Eq. (3), which are provided with our Jupyter notebook [66].

In order to determine the renormalization matrix, Z^R , on Landau-fixed gauge configurations, we compute Π_i , the amputated vertex functions of the operators \mathcal{O}_i given in Eq. (1) with the aforementioned SMOM kinematics (see for example, Eq.(15) of Ref. [62]). The renormalization factors are determined by first analyzing a matrix of projected amputated vertex functions $\Lambda_{ij} = P_j [\Pi_i]$ as a function of μ and the light quark mass. For convenience, we normalize this matrix by Λ_V^2 , where Λ_V is the corresponding amputated-projected Green function for the local vector current. After extrapolating the vertex functions to the chiral limit, the renormalization matrix is related to the inverse of Λ :

$$\frac{Z^a(\mu)}{(Z_V^a)^2} \Lambda(\mu, a) = F, \quad (11)$$

where F is the corresponding free-field matrix. The projectors P and the matrix F are given in [62].

The direct computation of the renormalization factors on our coarsest ensemble, $a \sim 0.15$ fm, likely suffers from large discretization effects. To circumvent this problem, a non-perturbative step-scaling function is determined by performing a simultaneous fit in the lattice spacing, a and the renormalization scale μ ,

$$\Sigma_{ij}(\mu_2, \mu_1, a) \equiv \Lambda_{i'i'}^{-1}(\mu_2, a) \Lambda_{i'j}(\mu_1, a). \quad (12)$$

We then determine a continuum step-scaling function,

$$\sigma_{ij}(\mu_2, \mu_1) \equiv \lim_{a \rightarrow 0} \Sigma_{ij}(\mu_2, \mu_1, a). \quad (13)$$

On the coarsest ensemble, we keep the largest values of μ_2 used in the determination of this continuum step-scaling function sufficiently small that we observe it is insensitive to the largest value used. We perform a similar study on the $a \sim 0.12$ fm ensembles and find the largest value of μ_2 on this ensemble can be taken larger than 3 GeV.

The continuum step-scaling function, Eq. (13), is then used to raise the renormalization matrices on all ensembles from $\mu_1 = 2$ GeV to $\mu_2 = 3$ GeV,

$$\frac{Z_{ij}^a(\mu_2)}{(Z_V^a)^2} = \sigma_{i'i'}(\mu_2, \mu_1) \frac{Z_{i'j}^a(\mu_1)}{(Z_V^a)^2}. \quad (14)$$

Finally, the values of Z_V are determined from the relation $Z_V g_V = 1$. The values of g_V in the supplemental material Table 1 of Ref. [94] are extrapolated to the chiral limit for each lattice spacing to determine the values of Z_V^a which are then used to determine

$$Z^a(\mu) = \frac{Z^a(\mu)}{(Z_V^a)^2} (Z_V^a)^2. \quad (15)$$

Using the following operators order

$$\mathcal{O}^T = \{\mathcal{O}_1^{++}, \mathcal{O}'_1^{++}, \mathcal{O}_2^{++}, \mathcal{O}'_2^{++}, \mathcal{O}_3^{++}\}, \quad (16)$$

the renormalization matrices Z^a in the RI/SMOM scheme at $\mu = 3$ GeV are given by

$$Z^{a15}(\mu = 3 \text{ GeV}) = \begin{pmatrix} 0.9835(68) & -0.0106(18) & 0 & 0 & 0 \\ -0.0369(30) & 1.0519(80) & 0 & 0 & 0 \\ 0 & 0 & 1.020(11) & -0.0356(49) & 0 \\ 0 & 0 & -0.0485(31) & 0.9519(68) & 0 \\ 0 & 0 & 0 & 0 & 0.9407(63) \end{pmatrix}$$

$$Z^{a12}(\mu = 3 \text{ GeV}) = \begin{pmatrix} 0.9535(48) & -0.0130(17) & 0 & 0 & 0 \\ -0.0284(30) & 0.9922(60) & 0 & 0 & 0 \\ 0 & 0 & 0.9656(96) & -0.0275(49) & 0 \\ 0 & 0 & -0.0360(28) & 0.9270(50) & 0 \\ 0 & 0 & 0 & 0 & 0.9117(43) \end{pmatrix}$$

$$Z^{\text{a09}}(\mu = 3 \text{ GeV}) = \begin{pmatrix} 0.9483(44) & -0.0269(17) & 0 & 0 & 0 \\ -0.0236(29) & 0.9369(54) & 0 & 0 & 0 \\ 0 & 0 & 0.9209(91) & -0.0224(49) & 0 \\ 0 & 0 & -0.0230(28) & 0.9332(47) & 0 \\ 0 & 0 & 0 & 0 & 0.9017(39) \end{pmatrix} \quad (17)$$

We also convert these Z matrices to the $\overline{\text{MS}}$ scheme defined in [95] to provide our final matrix elements in both schemes. To obtain the value of the strong coupling, we start from $\alpha_S(m_Z) = 0.1182$, using the four-loop β -function of [96, 97] and adapting the number of flavors while crossing the b-threshold, we find $\alpha_S(\mu) = 0.2541$ at $\mu = 3 \text{ GeV}$ in the $N_f = 4$ theory. We then use the one-loop matching coefficients given in [62] for the SMOM- $(\gamma_\mu, \gamma'_\mu)$ scheme and obtain the matrix R : defining R as $\mathcal{O}^{\overline{\text{MS}}} = R\mathcal{O}^{\text{RI}/\text{SMOM}}$ with $\mathcal{O}^T = \{\mathcal{O}_1, \mathcal{O}'_1, \mathcal{O}_2, \mathcal{O}'_2, \mathcal{O}_3\}$, for $\mu = 3 \text{ GeV}$ in both schemes, R is given by

$$R = \begin{pmatrix} 1.0009 & -0.0026 & 0 & 0 & 0 \\ -0.0326 & 1.0909 & 0 & 0 & 0 \\ 0 & 0 & 1.0308 & 0.0201 & 0 \\ 0 & 0 & 0.0135 & 1.1060 & 0 \\ 0 & 0 & 0 & 0 & 1.0043 \end{pmatrix} \quad (18)$$

up to $O(\alpha_S^2)$ terms.

The bare matrix elements in lattice units are provided with our Jupyter notebook [66]. To convert these values to into physical units, they are multiplied by the corresponding renormalization matrix from Eq. (17), and converted to physical units using the values of a/w_0 and w_0 given in Ref. [29].

Derivation of extrapolation formulae

The formula used to perform the chiral, continuum and infinite volume extrapolations, Eqs. (41)–(43), can be derived with mixed-action effective field theory (MAEFT) [98–106]. At one-loop order, MAEFT extrapolation formulas can be directly determined from their respective partially quenched χ PT (PQ χ PT) [107–111] expressions [105].

The set of dimension-9 operators considered in this work, Eqs. (1) and (2), were first derived in Ref. [22]. When constructing the operators in the chiral Lagrangian, as noted in Ref. [22], the color mixed and unmixed operators transform in the same way under chiral transformations, and so they do not give rise to distinguishable operators at the hadronic level. Under $SU(2)$ chiral transformations, the operators transform as

$$\begin{aligned} \mathcal{O}_{1+}^{++} &\sim \tau_L^+ \otimes \tau_R^+, \\ \mathcal{O}_{2+}^{++} &\sim \tau_{RL}^+ \otimes \tau_{RL}^+ + \tau_{LR}^+ \otimes \tau_{LR}^+, \end{aligned}$$

$$\mathcal{O}_{3+}^{++} \sim \tau_L^+ \otimes \tau_L^+ + \tau_R^+ \otimes \tau_R^+, \quad (19)$$

with similar transformation properties for the two color-mixed operators respectively. The $\tau_L^+, \tau_R^+, \tau_{RL}^+$ are spurion operators transforming as

$$\begin{aligned} \tau_L^+ &\rightarrow L\tau_L^+L^\dagger, \\ \tau_R^+ &\rightarrow R\tau_R^+R^\dagger, \\ \tau_{LR}^+ &\rightarrow L\tau_{LR}^+R^\dagger, \\ \tau_{RL}^+ &\rightarrow R\tau_{RL}^+L^\dagger. \end{aligned} \quad (20)$$

They are set to the raising operator

$$\tau^+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad (21)$$

to compute the various $\pi^- \rightarrow \pi^+$ transition amplitudes.

Following closely the power-counting arguments discussed Ref. [22], the low-energy operators in the chiral Lagrangian that give rise to these $\pi^- \rightarrow \pi^+ e^- e^-$ operators are

$$\begin{aligned} \mathcal{L}^X = \bar{e}e^c \frac{G_F^2}{\Lambda_{\beta\beta}} \frac{\Lambda_{\chi_0}^4}{(4\pi)^2} \frac{F^2}{4} &\left[c_1^W \beta_1 \mathcal{O}_{1+}^X - c_2^W \frac{\beta_2}{2} \mathcal{O}_{2+}^X \right. \\ &\left. - c_3^W \beta_3 \mathcal{O}_{3+}^X \right]. \end{aligned} \quad (22)$$

In this Lagrangian, G_F is Fermi's weak decay constant, $\Lambda_{\beta\beta}$ is the ultraviolet scale associated with the new, lepton number violating, physics. The chiral symmetry breaking scale is $\Lambda_{\chi_0} = 4\pi F$ where F is the pion decay constant in the chiral limit with normalization $F_\pi^{\text{phys}} \simeq 92.2 \text{ MeV}$. The Wilson coefficients, c_i^W , arise from integrating out heavy BSM physics and matching to the local Lagrangian in terms of SM fields. The β_i are dimensionless low-energy constants (LECs) which must be determined to predict the strength of the various $\pi^- \rightarrow \pi^+$ transition operators. The prefactors and signs were chosen such that the leading order hadronic contribution to each matrix element is simply given by $\beta_i \Lambda_{\chi_0}^4 / (4\pi)^2$.

At the quark level, the $\langle \pi | \mathcal{O}_{i+}^{++} | \pi \rangle$ matrix elements have mass dimension four. At the hadronic level, the pion fields are parameterized by the dimensionless Σ field and so the mass dimensions of the matrix element are manifested in terms of hadronic scales, $\Lambda_{\chi_0}^4 / (4\pi)^2$. The dimensionless hadronic operators are given by

$$\mathcal{O}_{1+}^X = \text{Tr}(\Sigma^\dagger \tau_L^+ \Sigma \tau_R^+), \quad (23)$$

$$\mathcal{O}_{2+}^{\chi} = \text{Tr} \left(\Sigma^{\dagger} \tau_{LR}^{+} \Sigma^{\dagger} \tau_{LR}^{+} + \Sigma \tau_{RL}^{+} \Sigma \tau_{RL}^{+} \right), \quad (24)$$

$$\mathcal{O}_{3+}^{\chi} = \frac{1}{\Lambda_{\chi_0}^2} \text{Tr} \left(\Sigma_{L\mu} \tau_L^{+} \Sigma_{L}^{\mu} \tau_L^{+} + \Sigma_{R\mu} \tau_R^{+} \Sigma_{R}^{\mu} \tau_R^{+} \right), \quad (25)$$

with identical operators for the $\mathcal{O}_{1,2}^{++}$ quark level operators. At the hadronic level, the only difference in color mixed and unmixed operators is the value of the LECs, β_i . The pions are parameterized in the Σ fields

$$\xi^2 = \Sigma = e^{\sqrt{2}i\phi/F} \quad (26)$$

with

$$\phi = \begin{pmatrix} \frac{\pi^0}{\sqrt{2}} & \pi^{+} \\ \pi^{-} & -\frac{\pi^0}{\sqrt{2}} \end{pmatrix}, \quad (27)$$

and

$$\begin{aligned} \Sigma_L^{\mu} &= \Sigma \partial^{\mu} \Sigma^{\dagger}, \\ \Sigma_R^{\mu} &= \Sigma^{\dagger} \partial^{\mu} \Sigma. \end{aligned} \quad (28)$$

In order to renormalize the loop integrals appearing at next-to-leading order in the chiral expansion, we need higher dimensional operators to serve as counterterms. Using the LO equations of motion to eliminate redundant operators, the hadronic component of the operators are given by

$$\mathcal{O}_{1+}^{nlo} = \frac{\text{Tr} \left(\partial_{\mu} \Sigma^{\dagger} \tau_L^{+} \partial^{\mu} \Sigma \tau_R^{+} \right)}{\Lambda_{\chi_0}^2} \quad (29)$$

$$\mathcal{O}_{2+}^{nlo} = \frac{\text{Tr} \left(\partial_{\mu} \Sigma^{\dagger} \tau_{LR}^{+} \partial^{\mu} \Sigma^{\dagger} \tau_{LR}^{+} + \partial_{\mu} \Sigma \tau_{RL}^{+} \partial^{\mu} \Sigma \tau_{RL}^{+} \right)}{2\Lambda_{\chi_0}^2} \quad (30)$$

$$\mathcal{O}_{3+}^{nlo} = \frac{\text{Tr} \left(\Sigma \chi_{+}^{\dagger} \tau_L^{+} \Sigma \chi_{+}^{\dagger} \tau_L^{+} + \Sigma^{\dagger} \chi_{+} \tau_R^{+} \Sigma^{\dagger} \chi_{+} \tau_R^{+} \right)}{\Lambda_{\chi_0}^4} \quad (31)$$

where $\chi_{+} = 2Bm_Q$ and with the same overall prefactor as in Eq. (22).

The hadronic contribution to the various transition amplitudes (factor off the $\bar{e}e^c \frac{G_F^2}{\Lambda_{\beta\beta}} c_i^W$ prefactor) are given through NLO in the chiral expansion

$$O_1 = \frac{\beta_1 \Lambda_{\chi_0}^4}{(4\pi)^2} \left[1 - \frac{5}{3} \epsilon_{\pi}^2 \ln(\epsilon_{\pi}^2) + c_1 \epsilon_{\pi}^2 \right], \quad (32)$$

$$O_2 = \frac{\beta_2 \Lambda_{\chi_0}^4}{(4\pi)^2} \left[1 - \frac{5}{3} \epsilon_{\pi}^2 \ln(\epsilon_{\pi}^2) + c_2 \epsilon_{\pi}^2 \right], \quad (33)$$

$$\frac{O_3}{\epsilon_{\pi}^2} = \frac{\beta_3 \Lambda_{\chi_0}^4}{(4\pi)^2} \left[1 - \frac{8}{3} \epsilon_{\pi}^2 \ln(\epsilon_{\pi}^2) + c_3 \epsilon_{\pi}^2 \right], \quad (34)$$

where

$$\epsilon_{\pi} = \frac{m_{\pi}}{4\pi F_{\pi}}, \quad (35)$$

the LECs of the NLO counterterms are given by $\beta_i c_i$ and the dim-reg scale has been set to $\mu = 4\pi F_{\pi}$. The chiral

extrapolation functions for the color-mixed operators are identical in form to their color-unmixed counterparts.

These expressions are determined with dimensional-regularization with the modified minimal subtraction scheme common for χ PT calculations [57]. When performing chiral extrapolations, the use of on-shell renormalized quantities tends to improve the behavior of the perturbative χ PT extrapolation [104, 112, 113]. We find this to be true in the present work as well, using an extrapolation with $\Lambda_{\chi_0} \rightarrow \Lambda_{\chi} = 4\pi F_{\pi}(m_{\pi})$, in which case the extrapolation formulas are given in Eq. (7),

$$O_1 = \frac{\beta_1 \Lambda_{\chi}^4}{(4\pi)^2} \left[1 + \frac{7}{3} \epsilon_{\pi}^2 \ln(\epsilon_{\pi}^2) + \tilde{c}_1 \epsilon_{\pi}^2 \right],$$

$$O_2 = \frac{\beta_2 \Lambda_{\chi}^4}{(4\pi)^2} \left[1 + \frac{7}{3} \epsilon_{\pi}^2 \ln(\epsilon_{\pi}^2) + \tilde{c}_2 \epsilon_{\pi}^2 \right],$$

$$\frac{O_3}{\epsilon_{\pi}^2} = \frac{\beta_3 \Lambda_{\chi}^4}{(4\pi)^2} \left[1 + \frac{4}{3} \epsilon_{\pi}^2 \ln(\epsilon_{\pi}^2) + \tilde{c}_3 \epsilon_{\pi}^2 \right],$$

where $\tilde{c}_i = c_i - 4(4\pi)^2 l_4^r(\Lambda_{\chi})$, with $l_4^r(\mu)$ being the Gasser-Leutwyler coefficient which renormalizes F_{π} at NLO.

In order to generalize the extrapolation function to our finite-volume mixed-action lattice action, we begin with the partially quenched derivation. For $SU(4|2)$ the 2×2 ϕ field is extended to a 6×6 matrix with indices running over the valence, sea and ghost sectors of the theory. The Tr turn into sTr corresponding to the graded algebra. The derivation of the partially quenched expression is straight forward and gives rise to dependence upon both the valence-valence pions, which we denote π , and the mixed valence-sea pions we denote with a vs . The $\langle \pi | \mathcal{O}_{2+}^{++} | \pi \rangle$ matrix element receives a contribution from the hairpin contributions [109, 110].

To account for the finite volume corrections, we simply replace the tadpole integral by its finite volume counterpart

$$\begin{aligned} \mathcal{I}(m, mL) &= \int \frac{d^4 k}{(4\pi)^4} \frac{i}{k^2 - m^2 + i\epsilon} \\ &+ \frac{4m^2}{(4\pi)^2} \sum_{|\mathbf{n}| \neq 0} \frac{K_1(mL|\mathbf{n}|)}{mL|\mathbf{n}|}. \end{aligned} \quad (36)$$

Using the χ PT standard dimensional regularization with a modified minimal subtraction, the infinite volume tadpole integral takes its standard form

$$\mathcal{I}(m) = \frac{m^2}{(4\pi)^2} \ln \left(\frac{m^2}{\mu^2} \right). \quad (37)$$

The partially-quenched hairpin contribution can be isolated to a contribution with an integral [103]

$$\mathcal{I}^{PQ}(m) = \int \frac{d^4 k}{(4\pi)^4} \frac{i\Delta_{PQ}^2}{(k^2 - m^2 + i\epsilon)^2}, \quad (38)$$

TABLE III. These LECs and the corresponding correlation matrix, determined from the MAEFT analysis, are provided with our Jupyter notebook [66]. Users can also try different extrapolation ansatz prescribed in the notebook.

β_1	c_1	β'_1	c'_1	β_2	c_2	β'_2	c'_2	β_3	c_3
-1.88(12)	4.0(1.3)	-6.99(45)	3.8(1.3)	-3.56(26)	2.4(1.3)	1.063(80)	1.2(1.2)	1.093(63)	9.0(2.0)

where $\Delta_{PQ}^2 \equiv m_{\pi,sea}^2 - m_{\pi,val}^2$. This integral can be determined from the relation

$$\mathcal{I}^{PQ}(m) = \Delta_{PQ}^2 \frac{\partial}{\partial m^2} \mathcal{I}(m), \quad (39)$$

which can also be used to determine the enhanced finite volume correction from this hairpin contribution,

$$\mathcal{I}(m, mL) = \frac{1 + \ln(m^2/\mu^2)}{(4\pi)^2} - 2 \sum_{|\mathbf{n}| \neq \mathbf{0}} \frac{K_0(mL|\mathbf{n}|)}{(4\pi)^2}. \quad (40)$$

$$O_1 = \frac{\beta_1 \Lambda_\chi^4}{(4\pi)^2} \left[1 + 2\epsilon_{vs}^2 \ln(\epsilon_{vs}^2) + \frac{1}{3}\epsilon_\pi^2 \ln(\epsilon_\pi^2) + c'_1 \epsilon_\pi^2 + \alpha_1 \epsilon_a^2 - 8\epsilon_{vs}^2 f_1(m_{vs}L) + \frac{4}{3}\epsilon_\pi^2 f_1(m_\pi L) + \alpha_1^{(4)} \epsilon_a^4 + c_1^{(4)} \epsilon_\pi^4 + m_1 \epsilon_a^2 \epsilon_\pi^2 \right], \quad (41)$$

$$O_2 = \frac{\beta_2 \Lambda_\chi^4}{(4\pi)^2} \left[1 + 2\epsilon_{vs}^2 \ln(\epsilon_{vs}^2) + \frac{1}{3}\epsilon_\pi^2 \ln(\epsilon_\pi^2) + c'_2 \epsilon_\pi^2 + \alpha_2 \epsilon_a^2 - 8\epsilon_{vs}^2 f_1(m_{vs}L) + \frac{4}{3}\epsilon_\pi^2 f_1(m_\pi L) - 2\epsilon_{PQ}^2 [1 + \ln(\epsilon_\pi^2) - 2f_0(m_\pi L)] + \alpha_2^{(4)} \epsilon_a^4 + c_2^{(4)} \epsilon_\pi^4 + m_2 \epsilon_a^2 \epsilon_\pi^2 \right], \quad (42)$$

$$\frac{O_3}{\epsilon_\pi^2} = \frac{\beta_3 \Lambda_\chi^4}{(4\pi)^2} \left[1 + 2\epsilon_{vs}^2 \ln(\epsilon_{vs}^2) - \frac{2}{3}\epsilon_\pi^2 \ln(\epsilon_\pi^2) + c'_3 \epsilon_\pi^2 + \alpha_3 \epsilon_a^2 - 8\epsilon_{vs}^2 f_1(m_{vs}L) - \frac{8}{3}\epsilon_\pi^2 f_1(m_\pi L) + \alpha_3^{(4)} \epsilon_a^4 + c_3^{(4)} \epsilon_\pi^4 + m_3 \epsilon_a^2 \epsilon_\pi^2 \right], \quad (43)$$

where we have defined

$$f_0(mL) = \sum_{|\mathbf{n}| \neq \mathbf{0}} K_0(mL|\mathbf{n}|), \quad (44)$$

$$f_1(mL) = \sum_{|\mathbf{n}| \neq \mathbf{0}} \frac{K_1(mL|\mathbf{n}|)}{mL|\mathbf{n}|}. \quad (45)$$

The small expansion parameters are defined for our mixed action [36]

$$\begin{aligned} \epsilon_\pi &\equiv \frac{m_\pi}{4\pi F_\pi}, & \epsilon_{vs} &\equiv \frac{m_{vs}}{4\pi F_\pi}, \\ \epsilon_{PQ}^2 &\equiv \frac{a^2 \Delta_I}{(4\pi F_\pi)^2}, & \epsilon_a^2 &\equiv \frac{1}{4\pi} \frac{a^2}{w_0^2}, \end{aligned} \quad (46)$$

Finally, at NLO in the MAEFT, the MA extrapolation formula can be determined directly from the corresponding PQ formula with the addition of counterterm contributions arising from the discretization [105].

where $w_0 \sim 0.17$ fm is a gradient-flow scale [114]. With the tuning of the valence quark masses we have chosen [36], in the limit $a \rightarrow 0$, $m_{vs} \rightarrow m_\pi$, and these expressions go to those in Eq. (7) as $m_\pi L \rightarrow \infty$. We have added counter terms from next-to-next-to-leading order in the chiral expansion to estimate the uncertainty arising from truncating the chiral and continuum limit extrapolations. The resulting LECs from this analysis are provided in Tab. III. The full extrapolation analysis is provided in our Jupyter notebook [66], which also allows users to explore different extrapolation functions.

Similar analyses were performed to determine the pion bag parameter, B_π , as discussed in the main text. In Fig. 4, we display the pion mass dependence of B_π .

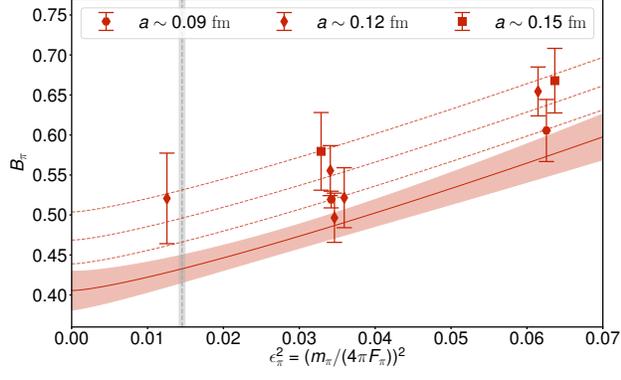


FIG. 4. The interpolation of $B_\pi = O_3 / (\frac{8}{3} m_\pi^2 F_\pi^2)$. The (red) band represent the 68% confidence interval of the continuum, infinite volume extrapolated value of the matrix elements. The vertical gray band highlights the physical pion mass.