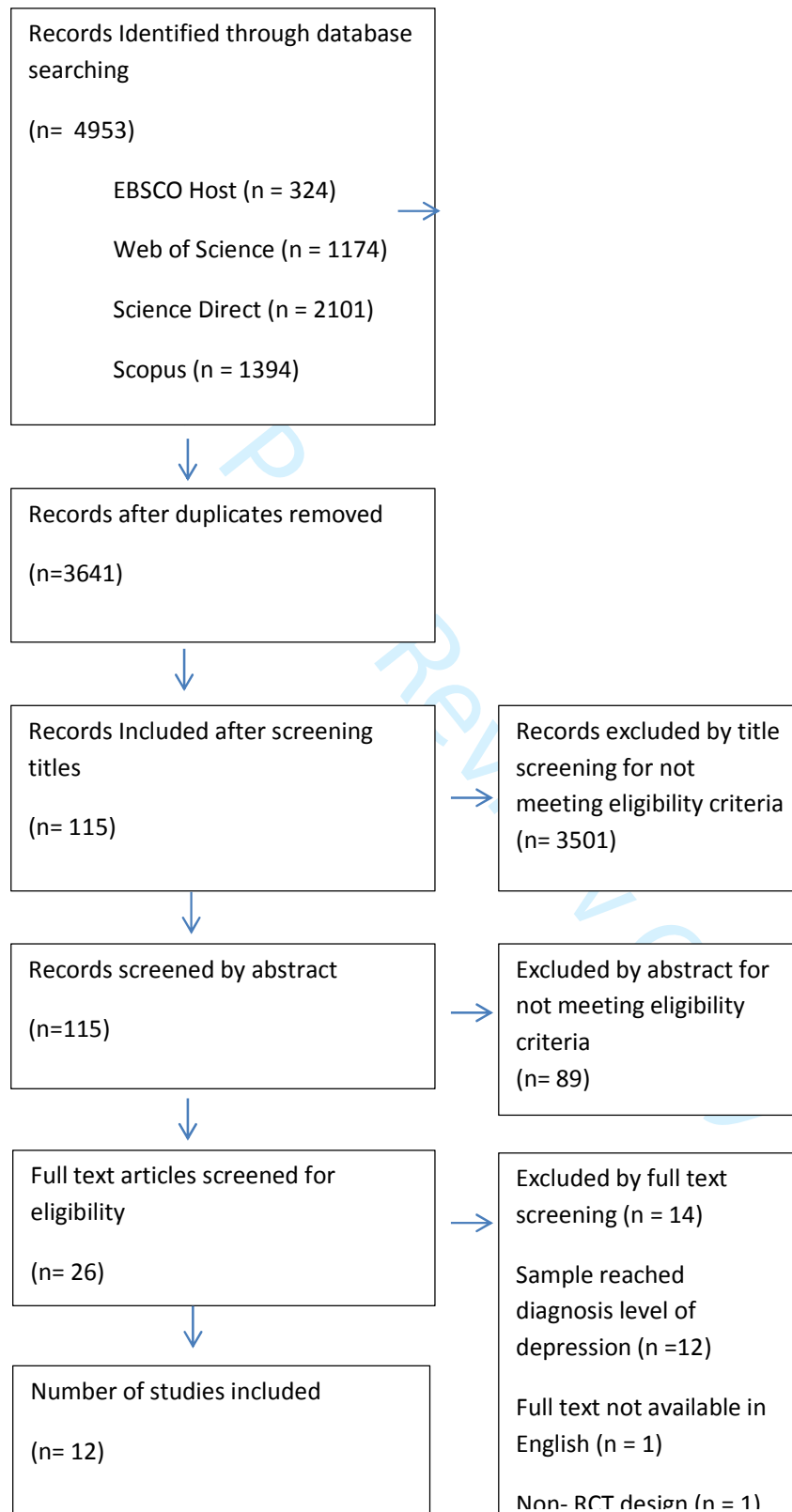


Approaches to prevention of antenatal and postnatal depression and anxiety – A review of the literature

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Searches**Figure 1 : PRISMA flow diagram depicting the screening and selection process**

Antenatal interventions							
Author	N	Inclusion/Exclusion	Intervention	Control	Measures	Findings and discussion	Risk of bias
Anton & David 2015 Romania	N=50 Control n=25 Int n=25	Inc: Not discussed Excl: Previous mental health disorder or psychotherapy	9 weeks REBT sessions, 90 minutes each.	Usual care.	POMS-S, BDI, STAI Time 1: pre-test Time 2: 5 weeks Time 3: 9 weeks Time 4: 3 months postnatal.	No sig. difference between groups at T1 or T2, but sig. difference at T3 for depression (p=0.022) anxiety (p=0.012) and negative emotionality (p=0.019), although effect size small for depression (d=.16). Within group: Sig. difference found between T1 and T2 for intervention group for depression (p=0.00) anxiety (p=0.001) and negative emotionality (p=0.001). T4 – Depression no longer significant (p=0.254)	Low
Barrera, Wickham & Munoz. 2015 USA	N=113 Control n=54, Int n=57.	Inc: Pregnant, >18 years old. Excl: Current diagnosis MDE.	8 Lessons based on attachment theory, social learning theory.	Information only control- 4 page leaflet on PPD.	Baseline - Demographics, CES-D, MDES. EPDS. Monthly from recruitment to 6 months PN.	No sig. differences between groups at baseline. No sig. reduction in PPD found in e-MB vs information only (p=0.061). Suggests e-MB more useful for those with high prenatal CES-D, as each unit increase on prenatal CES-D resulted in 8.9% increase in reported EPDS >10 (p<0.01) Large drop out (n=852 randomised, n=111 completed data).	Low
Kenyon et al 2016 UK	N=1213 Control n=613 Int. n=600	Inc: Primiparous >28 weeks gestation, with social risk factors. Excl: <16 years old, recruited to the FNP.	Pregnancy Outreach Work-individualised support from randomisation to 6 weeks PN.	Usual care	Primary: service engagement, EPDS Secondary: Birth outcomes, self-efficacy and bonding, infant outcomes	No sig. difference between groups for antenatal engagement or EPDS (Mean difference -0.59 (95% CI -1.24 to 0.06). 2 or more social risk factors –sig. reduction in mean EPDS (p=0.05). No differences in maternal/ neonatal birth outcomes. Significant improvement in maternal-infant bonding for intervention group (p=0.05). No significant differences in long term neonatal outcomes between groups.	Low
Moshki et al 2013 Iran	N=330 Control n=115 Int. n=115	Inc: 28- 30 weeks gestation, no history of anxiety or depression, lives in local area, healthy fetus.	Education programme - 3 workshops, 3 subgroups. Included emphasis on HLOC.	Usual care	Time 1: Baseline Demographics, MHLCS Time 2 : post-test - MHLCS Time 3: 4 weeks postnatal - EPDS	Significant increase in internal belief and sig. reduction in chance belief post intervention. No significant change for control group. Significant difference in depression scores for intervention vs control (p=0.001). No antenatal measure of depression undertaken - cannot attribute change to intervention alone.	Unclear
Mohammadi et al 2015 Iran	N=127 Group 1 n=43 Group 2 n=42 Control n=42	Inc: 26-32 weeks gestation, EPDS <15, no complications, not exercising regularly.	Group 1: 40 min education session. Group 2: same as 1, plus exercise - 2 months postnatal.	1 non exercise related AN and PN education session.	Baseline– EPDS, FIF. Time 2 - 1 month postnatal EPDS & FIF Time 3 - 2 month postnatal EPDS & FIF	Intention to Treat analysis. No significant difference found for any group for any measure. Between groups EPDS scores not significant at T2 (p=0.82) or T3 (p=0.70). Most participants across both intervention groups did not take part in regular exercise. No further qualitative data addressing why many did not adhere to the intervention.	Unclear

REBT- Rational Emotive Behavioural Therapy, POMS-S – Profile of Mood States Short version, BDI – Beck Depression Inventory, STAI – State Trait Anxiety Inventory, NE- Negative emotionality, MDE –Major Depressive Episode, CES-D - Centre for Epidemiological Studies Depression Scale, MDES – Major Depressive Episode Screener, EPDS – Edinburgh Postnatal Depression Scale, FNP – Family Nurse Partnership, AN- Antenatal PN – Postnatal, HLOC – Health Locus of control, FIF - Fatigue Identification Form, MHLCS – Multidimensional Health Locus of Control scale

Table 1. Table of characteristics for studies using antenatal interventions

Postnatal interventions							
Author	N	Inclusion/Exclusion	Intervention	Control Group	Measures	Analysis	Risk of bias
Ayers, Fitzgerald, & Thompson. 2015 UK	N=80 Control n=40 Int. n=40	Inc: Fluent in English, <18 years, >18 months postnatal.	Brief online self-help - CBT to improve self-esteem, mood, positive self-perceptions, challenge negative beliefs.	Active - self-help time management exercise	Time 1 (T1) – Pre-test. HADS, UMACL, SES, demographic information, Time 2 (T2) –Post-test HADS, UMACL, SES, acceptability information.	Significant interaction between condition and time (p = .001) for both groups. Mood change over time significant across both groups (p <.001). Greater difference in mean values in intervention group. Feedback on acceptability generally positive for both groups (89.7% Intervention vs 64% control) Negative comments included intervention better for women with mild to moderate depression, but not for severe cases.	Low
Di Blasio et al 2015 Italy	N=176 Control n=89 Int. n=89	Inclusion: Italian speaking, no medical history of psychiatric diagnosis, >17 years old.	Making Sense writing task at 72 hours postnatal-about childbirth thoughts and emotions, once for 20 minutes	Control - Writing about daily tasks and behaviours, 72 hours postnatal	T1 - 32-40 weeks gestation - demographic questions, BDI, LASC. T2 - 96 hours postnatal - BDI and PPQ. T3 - 3 months Postnatal - BDI and PPQ	For Depression: At T2 – greater reduction in depressive symptoms for intervention than control. At T3 – reduced depressive symptoms intervention group, stable results control. Intervention: predictive effect T2, moderating effect T3. For PTSD: No significant difference in between groups at T2. Sig. difference between groups at T1 and T3 (Sig. decrease in intervention group, increase in control group). Intervention - significant moderating effect only at T3.	Unclear
Fisher et al 2016 Australia	N=400 Int. n=204 Control n=196	Inc: primiparous, <6 weeks postnatal, Receiving care at selected health centres Exclusion: insufficient English, multiparity	Psychoeducational package delivered by trained nurses. Received printed materials. Optional 6 hour seminar offered at 6-8 weeks PN.	Treatment as usual	T1: 6 weeks PN - PHQ-9, PHQ GAD 7, PHQ PD, general health, demographic information, VPSQ, IBM. T2: 6 months PN - CIDI , PHQ 9, PHQ GAD 7, PHQ PD, Feeding , PAQ, Infant sleeping.	No significant differences in rate of diagnosis of PCMD between groups. No sig. difference in depressive symptoms between groups, no sig. difference in intimate relationship satisfaction between groups. Reduced prevalence of mild to moderate anxiety symptoms and better self-rated health for the intervention group. Optional seminars (undertaken by 94 of the intervention group) was found to be helpful, salient and easy to understand by >85% of the sample.	Low
Gao et al 2015 China	N= 180 Control n= 90 Int. n=90	Inclusion: Primiparous, term baby, married. Exclusion: postnatal complications, history of psychiatric illness.	Leaflet on sources of assistance for PN women, plus 1 hour IPT education session before discharge. Follow up call after 2 weeks.	Brief visit from a nurse in the PN ward to give them a leaflet on sources of assistance for PN women.	Pre- randomisation 2-3 days PN- Demographic question, EPDS, PSSS, PSCS-E 6 weeks PN: EPDS, PSSS, PSCS-E at the postnatal care clinic	Significantly lower EPDS scores in intervention than control (p<.001) at 6 weeks postnatal. 6 weeks PP, higher levels social support (p=.009) & maternal role competency (p<.001) for intervention than control. Significant differences shown between groups for all outcomes. No significant differences in the change between scores at T1 and T2.	Low
Howell et al 2013 USA	N=540 Int. N=270 Control n=270	Inclusion: Caucasian or Asian women, >18 years, babies BW >2.5kg, 5 minute Apgar scores ≥7.	Intervention group - 2 step educational intervention	Usual PN care plus list of health related community resources.	1-3 days PN: Sociodemographic health, anxiety, social support, EPDS and PHQ-9. 3 weeks, 3 months and 6 months PN: EPDS, PHQ9.	No difference in positive depression screens for intervention versus control at any time (OR 0.97; 95% CI 0.59-1.61) Change over time - no difference in depressive symptoms for intervention and control. No significant differences in depressive symptoms between intervention and control groups.	Low

1 2 3 4 5 6 7 8	Lewis et al 2013 USA	N=130 Int. n=66 Control n=63	Incl: <8 weeks postnatal, history of depression (not current). Excl: no English, health condition which would prevent exercise.	Postnatal Physical Activity: 11 phone sessions over 6 months. Aim to do physical activity 30 minutes, 5 days per week.	Support control condition - by phone over 6 months, similar contact to intervention. Discussed wellness topics.	T1 – baseline PHQ- 9 PSS PSQI T2 – 6 months Structured Clinical Interview for DSM- IV Axis I Disorders PHQ-9, EPDS, PSS, PSQI. 7-day PAR interview.	No significant differences between groups for weekly activity based on PAR. Intervention lower depressive scores on EPDS than control (p=0.001), no significant difference for PSS. 29% of sample using antidepressants at baseline, 30% at 6 months. Differences in antidepressant use between times not significant for either group. 10 participants (5 from each group) reached diagnosis of depression at 6 months on SCID-I, no difference between groups on Fisher Exact Test p=1.00.	Low
9 10 11 12 13 14 15	Perez-Blasco, Viguer, & Rodrigo. 2013 Spain	N = 26 Int. n=13 Control n=13	Inclusion: Breastfeeding mothers.	8 week programme, 2 hours per week. Programme based on MBSR, MBCT and Mindful Self-compassion.	No intervention, but 2 sessions of mindfulness offered after post-test measures.	Time 1 – Baseline. Demographics, EEP, FFMQ, SCS-SF, DASS-21, SWLS, Subjective happiness scale. Time 2 - 3 weeks post-test – measures repeated (except demographics)	No significant difference between groups at baseline. Significant difference for intervention group at T2 for anxiety, stress, psychological distress, FFMQ subscales for observing, acting with awareness, non-judging of inner experience and non-reactivity to inner experience. On SCS, intervention group significantly higher on self-kindness, mindfulness and total score, significantly less on over-identification subscale. Difference in satisfaction with life and subjective happiness in the expected direction but not significant.	Unclear

16 CBT- Cognitive Behavioural Therapy, HADS – Hospital Anxiety and Depression Scale, UMACL -UWIST Mood Adjective Checklist, SES -Self Esteem Scale, BDI – Beck Depression Inventory, LASC - Los Angeles
17 Symptoms Checklist. PPQ – Perinatal PTSD Questionnaire, PHQ-9 –Patient Health Questionnaire 9 item, GAD 7 – Generalised Anxiety Disorder 7 item, PHQ PD – Patient health questionnaire panic disorder, VPSQ
18 - Vulnerable Personality Style Questionnaire, IBM - Intimate Bonds Measurement, CIDI - Composite International Diagnostic Interview, PAQ - Postnatal Attachment Questionnaire, PCMD – Postnatal common
19 mental health disorders, IPT – Interpersonal therapy, PSSS - Perceived Social Support Scale, PSCS - E Parenting Sense of Competence Scale – Efficacy, PSS -Perceived Stress Scale, PSQI - Pittsburgh Sleep Quality
20 Index, MBSR – mindfulness based stress reduction, MBCT – Mindfulness based cognitive therapy, EEP -Parental Evaluation Scale Physical Activity Recall Interview. FFMQ -Five Facet Mindfulness Questionnaire,
21 SCS- SF -Self-compassion scale, SWLS -Satisfaction with life scale.

22
23 **Table 2. Table of characteristics for studies using postnatal interventions**

1 Abstract

2 **Introduction:** Poor maternal mental health during the perinatal period has been shown to
3 have potentially long-lasting effects for mother and child. In recognition of this, maternal
4 mental health is receiving increased attention from political and healthcare organizations,
5 with a growing focus on preventing the onset of common mental health disorders.

6 **Objective:** The objective for this review is to provide an update of randomized controlled
7 trials examining the use of interventions targeted to prevent the onset of postnatal
8 depression and anxiety in non-diagnostic populations with universal or selected samples.

9 **Methods:** A total of four databases, EBSCO Host, Science Direct, Scopus and Web of Science,
10 incorporating PsychINFO were searched and papers selected according to clearly
11 specified inclusion criteria. A large Health Technology review was published in 2016, for
12 which the final search was conducted in December 2012. Therefore inclusion criteria were
13 studies published from January 2013 onwards, available in English language, had a focus on
14 prevention of postnatal maternal depression and anxiety, and used psychological
15 interventions. Drug intervention trials were excluded.

16 **Findings:** 12 studies were identified as examining antenatal or postnatal intervention trials
17 with an aim of preventing maternal postnatal depression and/or anxiety. There continues to
18 be limited evidence to recommend specific prevention strategies for universal samples
19 without further testing. There is evidence to suggest the use of rational-emotive behavioral
20 therapy in an antenatal sample may have some utility, and the use of psychotherapy-based
21 interventions in a postnatal setting is also supported although both require further
22 investigation. Additionally, there is a need to gather information on acceptability, as many
23 trials were hindered by poor adherence to interventions and high attrition that were
24 otherwise unexplained.

25 **Keywords:** prevention, postnatal depression, RCT, psychological, intervention

27 Current knowledge

- 28 • Perinatal maternal mental health is important for women and the developing fetus
- 29 • The importance of maternal mental health is increasing on both health and political
30 agendas worldwide.
- 31 • There is some evidence of the efficacy of psychosocial/ psychological interventions
32 for the prevention of postnatal depression and anxiety.

33 What this study adds

- 34 • Recent additions to the literature do not add robust evidence of effectiveness of
35 universal antenatal or postnatal prevention of postnatal distress

- There is evidence to support the use of Rational Emotive Behavioral Therapy in samples of women during the antenatal period. There is also evidence to support the use of psychotherapy based interventions in the postnatal setting, but both require additional investigation.
- There is a need for more detailed qualitative feedback on acceptability of interventions for women.

Approaches to prevention of postnatal depression and anxiety – A review of the literature

Background

Poor mental health during the perinatal period has the potential to cause lasting harm not only to the mother, but also to the wellbeing and development of the unborn child [1-5]. [Literature suggests that between 10 and 20 percent of women will develop mental illness within the postnatal period \[30\], and within the UK, 9% of postnatal maternal mortality is caused by mental health disorders \[6\].](#) Over the last 20 years, the impact of maternal mental health has risen on both the worldwide political and healthcare agendas. At the turn of the millennium, the United Nations set 8 goals to be achieved by the year 2015 [7]. One such goal was titled “improving maternal health”. During the course of the Millennium Development Goals period, a sub-goal for recognizing the importance of maternal mental health was released [8], which set out to reduce poor maternal mental health across the world by 2015.

Within a national setting, the UK government published the policy “No health without mental health” [9]. This set out guidelines for improving mental health, without specifically referring to maternal mental health. [This was followed by the publication of the Department of Health policy document “Closing the Gap: Priorities for Essential Change in Mental Health” \[10\], which addressed the importance of good maternal mental health for the wellbeing of both mothers and children, and outlined a strategy to promote good mental health and the importance of prevention of common mental health disorders in the puerperium. As the experience of poor maternal mental health is associated with poor outcomes for both mother and child, prevention of the development of poor mental health is the most effective way to reduce this burden \[29\].](#) However, in response to [these government policy](#) the Royal College of Gynecologists and Obstetricians (RCOG) published a good practice document outlining the management of women with perinatal mental health disorders. Within this, it was highlighted that psychosocial (those using mental, physical or

1 social approaches) and community based interventions should be used where there is
2 evidence of mild to moderate mental health problems, such as depression and anxiety
3 [101]. ~~In addition to this,~~ Additionally, the National Institute for Health and Care Excellence
4 (NICE) guidelines for antenatal and postnatal mental health also address the importance of
5 discussing prevention techniques with women during the perinatal period [121].

6 In 2013, Dennis and Dowswell [132] published a Cochrane review of psychosocial and
7 psychological interventions aiming to prevent postnatal depression (PND). After an
8 examination of 28 relevant papers, involving a total of 17000 women, the authors
9 concluded that there is good evidence to support the use of psychosocial and psychological
10 interventions for prevention of PND, as those women who undertook interventions were
11 significantly less likely to develop severe symptoms.

12 In 2016, Morrell et al [134] published a systematic review of the literature of interventions
13 aiming to prevent the development of postnatal depression, looking at clinical effectiveness,
14 cost effectiveness, safety and acceptability. The review described interventions across 3
15 different populations, those women of a 'universal' background, those with a more targeted
16 population who were selected as they had social risk factors (selective), finally a third group
17 who had significant levels of distress but not reaching full clinical diagnosis (indicated). 87
18 papers were reviewed across both quantitative and qualitative methodologies. The
19 reviewers found that approaches varied, with interventions such as potential redesign of
20 midwifery postnatal care, education packages, person-centered approach (PCA) and
21 cognitive-behavioral approaches (CBA) for the universal populations. The redesign of
22 midwifery postnatal care included midwifery care to be extended to three months
23 postnatally, and to be both tailored to individual needs and flexible. The authors concluded
24 that the reviewed trials appeared to be cost-effective when compared to usual care, but
25 that the interventions warranted replication using large scale, randomized controlled trial
26 study design in order to improve rigor. Additionally, the authors included a list of
27 recommendations for future intervention and trial designs, including the need to address
28 the importance of a continuous and trusting relationship with a care provider, with study
29 sample sizes sufficient to achieve statistical power, and that additional qualitative
30 information is gathered about acceptability and women's perspectives of interventions.

1 Although there has been much investigation into prevention of PND, there is an increasing
2 awareness of the high rate of anxiety disorders during the perinatal period with prevalence
3 estimates as high as 15.8% in pregnancy and 17.1% postnatally [287]. Although often linked,
4 research tends to focus primarily on symptoms of depression and neglects to explore the
5 improvement of other mental health problems in the perinatal population. Therefore, this
6 review also considers studies which address symptoms of anxiety.

9 **Aim**

10 The aim of the following review of the literature is to provide an update since the
11 publication of the Morrell et al review (2016) [143] of trials of psychosocial interventions
12 aiming to prevent the onset of postnatal depression or anxiety in populations with universal,
13 indicated and selected samples, which do not meet the threshold for a current diagnosis of
14 depression or anxiety using common mental health measures.

15 **Inclusion and exclusion criteria**

16 The review aims to provide an update to the literature search performed as part of the
17 Morrell et al (2016) [134] review. Their final search was conducted in December 2012,
18 therefore searches for the current review included papers published from January 2013
19 onwards. Searches were conducted using electronic databases EBSCO Host, Science Direct,
20 Scopus and Web of Science, which covered most common databases for health and
21 psychology literature including PsychINFO. Searches of the reference lists of identified
22 studies were also undertaken.

23 To meet the requirements for inclusion, studies had to meet the following criteria

- 24 1. Utilise a randomized controlled trial design
- 25 2. Implement a psychosocial intervention -aiming to prevent the onset of antenatal or
26 postnatal depression and/or anxiety
- 27 3. Be implemented during pregnancy or the postnatal period, as specified by the
28 authors, typically but not invariably to 12 months postpartum

- 1 4. Measure depression and/or anxiety symptoms using validated self-complete or
- 2 clinician administered measures for depression and anxiety.
- 3 5. Involve participants who do not achieve diagnostic levels of depressive or anxiety
- 4 symptoms at baseline, in order for the intervention to be considered preventative.
- 5 6. Be available in English language
- 6 7. Be published since the final search of the HTA review was completed (January 2013
- 7 onwards)

8 Studies of drugs and medicines were excluded as they are based on biological processes
 9 rather than psychosocial ones processes, on which this review is focused. Additionally, trials
 10 using non-human subjects are also excluded. Inclusion criteria used were randomized
 11 controlled trials of psychosocial interventions published since the last search for the HTA
 12 review was completed, which were available in English language, and had a focus on
 13 prevention of postnatal maternal depression and/or anxiety. Exclusions included trials that
 14 were published prior to January 2013, those not available in English, trials investigating the
 15 use of drugs and medicines, and those trials primarily focusing upon samples of women who
 16 achieved the threshold for diagnosis of postnatal depression or anxiety disorders.

18 **Search terms and strategy**

19 (postnatal* OR perinatal* OR postpartum OR Post-partum) AND (prevent* OR reduc* OR
 20 improv* OR enhance* OR intervent*) AND (distress OR depress* OR stress OR wellbeing OR
 21 anxi*)

22 The search identified 4953 records for screening, of which 1312 were duplicates. The
 23 remaining 3641 papers were screened for suitability, and from the title ~~and~~ 115 papers were
 24 identified as potentially relevant. The large number of papers excluded by title alone
 25 included reference to drug trials, non-human participants, genetic research, and general
 26 medical research looking at the non-pregnant population. Evaluation of the abstracts
 27 identified 26 papers for closer consideration, of which 12 met all inclusion criteria upon
 28 reading in full.

30 **Searches**

32 [insert figure 1 here]

1 The 12 included papers have been grouped by the timing of the intervention, with five
2 papers detailing studies into interventions undertaken in the antenatal period with the aim
3 of preventing postnatal depression or anxiety, and a further seven studies detailing
4 interventions in the postnatal period to prevent postnatal distress. Due to the differing
5 outcome measures and time points for follow up in the included studies, it was not
6 considered suitable to conduct a meta-analysis.

7 8 **Risk of bias assessment**

9
10 A risk of bias evaluation was undertaken using the Cochrane risk of bias tool [289], used in
11 order to remain consistent with the Morrell et al [134] review. Each study was assessed as
12 low risk, high risk or unclear risk for selection bias, performance bias, detection bias,
13 attrition bias, reporting bias and “other” bias. A total of 66.6% of the studies (8/12) were
14 assessed as low risk of selection bias, with the remaining 33.4% (4/12) assessed as unclear
15 risk due to lack of information within reporting. For performance bias, 91.6% (11/12) were
16 assessed as low risk, with just one assessed as high risk due to the authors self-
17 acknowledged lack of blinding [176] which may have impacted upon study findings. This also
18 impacted on the judgment of detection bias for this trial, as lack of blinding also led to an
19 assessment of high risk of bias in this category. Only 41% (5/12) were judged to be at low
20 risk of detection bias and 50% (6/12) at unclear risk of detection bias, again due to lack of
21 detail within reporting. All 12 studies were assessed to be at low risk of bias for attrition,
22 reporting bias, and “other” biases.

23
24 **[Insert table 1 here]**

25 **[Insert table 2 here]**

26 **Antenatal Interventions for prevention of postnatal depression and/or anxiety**

27
28 The five papers outlining antenatal interventions to prevent postnatal depression and/or
29 anxiety were from a variety of countries and with a large range of sample size. Two were
30 from Iran, one each from Romania, the UK and USA. There was a total of 1729 participants
31 included across all five studies, but the largest proportion of participants were recruited in
32 the UK trial undertaken by Kenyon et al (2016) [154] (N=1213, Intervention group 600,
33 Control group 613). The remaining studies used much smaller samples, with the studies in
34 Iran recruiting 230 [165] and 127 participants [176] respectively. The study conducted in
35 Romania recruited a total of only 48 participants [187] and the study undertaken in the USA
36 recruited 111 women [198].

37
38 There were four different intervention strategies assessed. Two papers examined the
39 effectiveness of education based interventions [198, 165] one looked at the impact of an
40 exercise intervention on postnatal wellbeing [167] another considered peer support as a

1 potential buffer for postnatal distress [145] and the final paper examined the effectiveness
2 of rational-emotive behavioral therapy (REBT)[178].

3
4 Both Anton & David (2015) [187] and Moshki et al (2014) [165] reported significant
5 differences in the rate of depressive symptoms between control and intervention groups at
6 post intervention (9 weeks and 4 weeks postnatal, respectively). Anton & David (2015) [187]
7 found that the use of - REBT has good outcomes with lower reduced symptoms of anxiety
8 and depression over a 9-week9-week period when measured using validated tools, as well
9 as negative emotionality over a nine week period, although the effect for depressive
10 symptoms was not maintained at three months. Moshki et al (2014) [165] also reported
11 significant difference in post-test depression scores using the Edinburgh Postnatal
12 Depression Scale for intervention and control groups when using an educational
13 intervention on antenatal, intrapartum and postnatal changes, physiology and health,
14 emotions and attitudes, which focused on health locus of control. However, there was no
15 measure of depression symptoms taken at baseline, meaning it is not possible to conclude
16 that the difference in scores is due to the intervention and was not present as a significant
17 difference at recruitment.

18
19 Three of the included trials [198,154,176] did not find significant improvements in
20 depression scores postnatally following intervention use; however, there were significant
21 improvements in two out of these three trials for subgroups of those with high levels of
22 distress at baseline [189], or with two or more social risk factors [154]. Although, by
23 definition, it is easier to demonstrate a greater change where initial rates are higher, this
24 suggests that such interventions might have more utility with those of a “selected” sample,
25 i.e. those with a higher number of risk factors or sub-diagnostic distress, rather than
26 prevention in a universal sample. In these studies, examples of risk factors included non-
27 English speakers, those requiring assistance with benefits or housing, or experiencing
28 domestic abuse.

29
30
31 The three interventions completed in the antenatal period that reported no significant
32 effect of postnatal depression scores were primarily completed online or via telephone,
33 suggesting that the remote nature of the intervention may have an impact on efficacy when
34 compared to interventions completed on a group or individual face to face basis.

35
36 Additionally, some trials demonstrated various difficulties in the study process, including
37 low retention rates and poor intervention adherence. This suggests that the study process,
38 intervention or both were of questionable acceptability to women. Barrera and Wickham
39 (2015) [198] began with a sample of 852 women, and yet only 111 completed a full data set.
40 This rate of attrition is substantial and suggestive of low acceptability for women. Although
41 the feedback on feasibility gathered as part of the trial reported that the process and

1 intervention were acceptable and useful, this drew only on a selected sample of women
2 through only asking those who reached the final data point rather than asking those who
3 did not complete data points why they did not engage. Mohammadi et al (2015) [167] found
4 that for the antenatal exercise intervention groups (one for exercise throughout antenatal
5 period, one for antenatal period and up to 2 months postnatal) neither group actually
6 participated in regular exercise.

7
8 In summary, there is limited evidence to recommend use of any of the examined
9 interventions without further testing. The findings suggest that use of REBT as a therapy for
10 prevention in a universal sample of women may be of some short-term benefit, but [this](#)
11 requires further exploration. Additionally, there is a need to gather data on acceptability of
12 interventions in all cases, and attempts should be made to gather data from those who do
13 not engage with an intervention where possible. The use of intensive lay person support
14 [154] in the antenatal period has some suggested benefit for those with identified social risk
15 factors and there would be some merit in repeating this trial using a full selective sample of
16 this kind in order to further investigate this finding. The effectiveness of an intervention
17 with an indicated sample is also seen in Barrera and Wickham (2015) [198], as those in the
18 intervention group with the highest rate of depression in the prenatal period had a
19 significant reduction in postnatal depression when compared to the control group.

21 **Postnatal Interventions for prevention of postnatal distress**

22
23 Seven papers met the inclusion criteria and detailed interventions undertaken in the
24 postnatal period to prevent postnatal distress. Of these, two were from the USA, one each
25 from England, Australia, Italy, Spain, and China. There was a total of 1406 participants across
26 these studies, with the largest samples from the USA (Howell et al 2014, N=540) [2019] and
27 Australia (Fisher et al 2016, N=400) studies [201]. The smallest sample size was 26
28 participants for the study conducted in Spain [224], followed by the English study with 80
29 participants [254]. The smaller American trial recruited a total of 130 women [223], with the
30 Chinese trial recruiting 180 participants [243] and the Italian study 176 participants [265].

31 The studies were again varied in intervention type, with one trial focusing on the impact of
32 an exercise based intervention [232] and four focusing on psychotherapy based
33 interventions [224, 234, 254, 265]. The remaining two trials examined the effectiveness of
34 education interventions to prevent postnatal distress, with Fisher et al (2016) [210] focusing
35 on a psycho-education package for couples, and the large scale USA trial looking at
36 education surrounding behavior to improve outcomes [2019].

37 Three of the included papers found no significant difference on primary outcome measures
38 between intervention and control groups [2019, 210, and 232]. These trials examined the
39 utility of a psycho-educational package, a two-step behavioral education intervention based

1 on the ~~common-sense~~common-sense model and a physical activity intervention
2 respectively.

3 The common-sense model stipulates that physical changes are automatically matched by
4 the individual to beliefs about the cause of these changes which creates expectations about
5 how long these changes are to last. For the postnatal context this impacts on how a woman
6 perceives her body and might result in unrealistic expectations about what can be physically
7 accomplished. Failure to meet such expectations could then foster depressive symptoms
8 [2019]. Howell et al's (2014) [2019] intervention aimed to prime mothers to recognize,
9 interpret and normalize triggers of depressive symptoms (step one), and review an
10 education pamphlet with a trained social worker in hospital (step two). Participants also
11 received a two-week post-delivery phone call at which point the social worker assessed
12 symptoms and the woman's management of these. The use of a trained social worker in
13 order to deliver the intervention, may have affected how women engaged with the trial as
14 social workers as a profession are often linked with negative opinions and some hostility
15 within a general population [276]. However, there is clearly a more generic underpinning
16 issue of perceived utility or relevance of intervention and motivational issues perhaps need
17 further consideration.

18 The Fisher et al (2016) [210] paper shows that only a small number of the intervention
19 group- completed the full education package (involving information leaflets, additional care
20 by a trained professional plus attendance at a seminar), meaning that the outcome data is
21 not reflective of use of the full intervention pack. However, it is an evaluation of the
22 provision of that care package. Additionally, Lewis et al (2014) [223] also reported that there
23 was no significant difference in the amount of exercise between groups, making between
24 group comparisons difficult. Both of these factors may go some way to providing an
25 explanation as to why there are no significant differences between intervention and control
26 in these studies.

27 Two trials reported statistically significant differences in mood or depression scores
28 following self-help based interventions [254, 265]. A study by Ayers et al (2015) [254] aimed
29 to encourage positive maternal mood. Women self-selected by following a link provided to
30 them via various channels, but which could not be reached serendipitously. Eligibility was
31 extended when compared to other RCTs, with women up to 18 months postnatal able to
32 participate. The rationale given for such inclusion was the awareness that women may
33 continue to experience negative mood beyond 12 months postpartum, and to maximize
34 potential recruitment numbers. However, the authors did not report on the average age of
35 the most recent child at the time of recruitment, or provide any details of the number of
36 women in the sample who were over 12 month postnatal, after which it is typically not
37 considered the postnatal period.—Intervention group women were asked to identify five =
38 behaviors they associated with being a good mum, as well as to focus on strengths and
39 completed questions which were designed to promote positive perceptions of them as

1 mothers. ~~However, Di Blasio et al (2015) [265] did not ask women to provide feedback on~~
2 ~~acceptability of the self help task, despite the fact that the intervention was implemented in~~
3 ~~the very early postnatal period when women are often occupied with the transition to~~
4 ~~motherhood.~~ The other self-help intervention (26) asked women to write about their
5 thoughts and emotions related to their childbirth experience. Women were randomized 96
6 hours post-delivery to either write about their emotions or to write about the events
7 leading up to childbirth, childbirth and after childbirth in behavioral terms. A follow-up
8 occurred at 3 months postnatal with psychological measures being taken. However, women
9 were not asked to provide feedback on acceptability of the self-help task, despite the fact
10 that the intervention was implemented in the very early postnatal period when women are
11 often occupied with the transition to motherhood.

12
13 Perez-Blasco et al (2013) [224] also found that a mindfulness based intervention resulted in
14 a significant difference from pre- to post-intervention for measures on anxiety, stress and
15 psychological distress. Women were recruited postnatally (mean 10.75 months), self-
16 selecting into the study in responding to a study advertisement. The intervention arm
17 received 2 hours of mindfulness based input for 8 weeks. The control group received no
18 additional input during the study period, but was also able to access 2 sessions of
19 mindfulness following completion of the post-intervention measures. Sample size was very
20 small (n=26 across both arms), and there were high rates of attrition in the control group,
21 which may be due to the fact that they received no additional attention during the course of
22 the trial. Additionally, it is not clear if the study focused on a universal or selected sample,
23 there is limited information provided regarding eligibility and exclusion criteria.

24 Gao et al (2015) [243] examined the utility of a ~~one-hour~~one-hour interpersonal therapy
25 (IPT) based education session whilst an inpatient on the postnatal ward, coupled with a
26 leaflet on available assistance for the postnatal period and a follow up phone call at 2 weeks
27 postnatal, compared to just receiving the leaflet with information regarding local services.
28 Undertaken in China, the study had a moderate sample size of 180 participants (n=90
29 intervention and n=90 control), all of whom were primiparous. Results demonstrated a
30 statistically significant group effect with women in the intervention group scoring lower for
31 depressive symptoms on the Edinburgh Postnatal Depression Scale. Intervention group
32 participants also scored higher on the Perceived Social Support Scale and the Parenting
33 Sense of Competence Scale-Efficacy subscale, indicating higher perceived social support and
34 maternal competency, respectively. No information was provided on whether staff and
35 participants found the intervention acceptable in terms of content and process.

36 In summary, the studies reviewed do not provide sufficient evidence to support the use of
37 any one intervention in the postnatal period. However, all four trials with significant
38 differences between groups post intervention utilized a psychotherapy based approach
39 [21,23,24,25]. Therefore, there would be some utility in further investigation of

1 psychotherapy based interventions. Only one of the four studies to report significant
2 improvement ~~to~~in postnatal mood actually asked participants about acceptability of the
3 intervention [24]. Even interventions with the most significant improvement to postnatal
4 mood scores will ~~be~~ not be integrated successfully into routine care if they are not deemed
5 acceptable to women, and therefore further studies should aim to include acceptability as
6 an outcome in all instances.

7 Discussion

8 ~~In support~~Continuing the theme of the findings of Morrell et al [13], no specific intervention
9 approach was strongly supported for the prevention of postnatal depression or anxiety in a
10 universal sample from this additional set of studies. For antenatal interventions, the use of
11 both REBT and education based on health locus of control appear to improve depression in
12 the short term for universal samples of women, and REBT continues to show a maintenance
13 effect for both anxiety and negative emotionality at 3 months postnatal. The literature
14 suggests that interventions conducted during the antenatal period to prevent postnatal
15 depression appear to be most effective within a selective sample with social risk factors or
16 an indicated sample of women who have higher rates for depression but remain below the
17 clinical threshold for diagnosis. This supports the findings of Morrell et al, who also report
18 that parenting education and peer support appear to have good outcomes with selective
19 and indicated samples respectively. Postnatal trials have shown varied results, with
20 statistically significant differences in depression scores for self-help interventions,
21 mindfulness interventions and IPT based education. A number of limitations marked the
22 postnatal trials, including small sample size. Small sample sizes are potentially a problem
23 particularly for prevention studies as it may be necessary to detect what are likely to be
24 small effect sizes. Where there are no group differences it may be unclear whether the
25 prevention strategy is ineffective or there is an absence of power to detect such differences.
26 In addition, studies showed high attrition and poor adherence to the interventions
27 delivered. It is often unclear why women did not adhere to the intervention as suggested or
28 reasons for attrition as no information is provided. These issues merit investigation in their
29 own right as uptake and motivational issues are of critical importance of the utility of any
30 provision. The questions that arise include whether women found the content of the
31 intervention unacceptable or unappealing and/or whether the timing of the provision was
32 inconvenient or the time commitment too demanding. This lack of information makes it
33 difficult to contextualize findings. It may be that acceptability needs more thorough
34 qualitative exploration before larger trials are developed. Additionally, providing rigorous
35 evidence that a study intervention has prevented the onset of a condition is difficult due to
36 the uncertain nature of such disorders, although the use of RCT designs should address this
37 through the inclusion of control groups. However, where there is high attrition and non-
38 adherence to proposed interventions there is the potential for this design, and therefore the
39 conclusions of the trial, to be compromised.

1 Limitations

2 A limitation of this ~~study review~~ is the ~~sole inclusion restriction of to~~ papers published in
3 English. In addition, it has excluded biological interventions and solely focused on
4 randomized controlled trials, which whilst viewed as a gold standard approach have their
5 own limitations in terms of the representativeness of the samples included. Also, quality
6 evaluations and risk of bias ratings were completed by a single author.

7 Conclusions

8 This literature review provides an update of published literature on interventions using a
9 trial design to prevent the onset of postnatal depression and anxiety since the publication of
10 Morrell et al's 2016 review. A total of 12 papers were identified for inclusion for this review.
11 Five papers presented interventions carried out during the antenatal period and seven
12 delivered during the postnatal period. Sample size, population and intervention method
13 differed between the trials. Based on the evidence presented in these published papers and
14 in support of the findings of Morrell et al [13], more research needs to be conducted with
15 larger samples. However, a key element is to provide good exploration of the acceptability
16 of interventions and consider how to better tailor to women's needs. The inclusion of more
17 detailed qualitative feedback of those disengaging could address this. Anxiety and other
18 common postnatal mental health conditions were often included as primary outcomes;
19 however, the continued emphasis on depression as a primary measure of mental health
20 appears to remain for interventions across the perinatal period. As awareness of the impact
21 of perinatal anxiety continues to grow, there is a need for further research to focus on the
22 prevention of anxiety in its own right.

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25 No conflict of interest

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