

# A combined approach for analysing evolutionary power spectrums of track-soil system under moving random loads

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## Abstract

The pseudo excitation method combined with the integral transform method (PEM-ITM) is presented for investigating the ground vibration of a coupled track-soil system induced by moving random loads. In the track model, the rail, sleepers, rail pads and ballast are modelled as an infinite Euler beam, discretely distributed masses, discretely distributed vertical springs and a viscoelastic layer, respectively. The soil is regarded as a homogenous isotropic half-space and coupled with the track using the boundary condition at the surface of the ground. By introducing a pseudo-excitation the random vibration analysis of the coupled system is converted into a harmonic analysis. The analytical form of evolutionary power spectral density (EPSD) responses of the simplified coupled track-soil system of subjected to a random moving load is derived in the frequency/wavenumber domain by PEM-ITM. In the numerical examples, the effects of different parameters, such as the moving speed, the soil properties and the coherence of moving loads, on the ground response are investigated.

**Key words:** track-soil system; moving random loads; evolutionary power spectrum; pseudo-excitation method; integral transform method; vibration transmission

## 1. Introduction

With high-speed trains becoming increasingly popular and freight trains becoming increasingly heavier, the environmental vibration induced by trains has

1 received considerable attention in the last few decades [1,2]. The vibration propagates  
2 from the track into the subsoil and enters buildings via the ground, and it can seriously  
3 affect the working of sensitive equipment and human comfort. In the view of  
4 spectrums, the excitation induced by a travelling train to the ground can be divided  
5 into quasi-static part and dynamic part. The quasi-static part arises from the weight of  
6 the train and the dynamic excitation is related to dynamic train-track interaction.  
7 However, due to track irregularities and other uncertainties, the dynamic excitation is  
8 somehow random, which leads to ground vibration with wide frequency spectrums.

9       There are plenty of research works for solving the deterministic problems of  
10 ground vibration induced by moving static or dynamic loads. For the problem of a  
11 half-space subjected to a moving point load, Fryba [3] investigated the steady-state  
12 response, and Alabi [4] considered an oblique load. Krylov [5] studied ground  
13 vibration induced by trains, in which the total distribution of forces along the track is  
14 proportional to the track deflection under the axle load. Takemiya [6] took account of  
15 the dynamic nature of the train loads, and in his solutions, the moving loads of  
16 specific frequency contents were investigated. Gunaratne [7] derived the deformations  
17 of a layered half-space subjected to a moving strip load. Considering a harmonic load  
18 moving along an elastic layer, Dieterman and Metrikine [8] derived the critical speed  
19 of wave propagation in the layer. Lefeuvre-Mesgouez [9] investigated the transmission  
20 of ground vibrations induced by moving harmonic strip or rectangular loads. Jones et  
21 al. [10] studied ground vibrations induced by a fixed or moving harmonic load  
22 harmonic load in a rectangular spatial distribution. Bierer and Bode [11] investigated  
23 ground motion excited by moving harmonic loads distributed uniformly over a  
24 rectangular area. Using a layered half-space coupled with a track structure under a  
25 fixed or moving harmonic load, Sheng et al. [12] studied the vibration propagation in  
26 the ground. Hung and Yang [13] analysed the response of a half-space subjected to  
27 moving loads including a moving point load, a uniformly or elastically distributed  
28 wheel load, which is constant or harmonic in time. Koziol et al. [14] studied the  
29 surface vibration induced by a harmonic point load moving along an infinitely long  
30 beam embedded in a viscous elastic layer.

1           The above-mentioned authors provide numerous methods to evaluate ground  
2 vibrations and their work is very useful for further study. References [15-17] showed  
3 that a quasi-static excitation was only suitable for predicting the ground response in  
4 the immediate vicinity of the track, but unsuitable for the response a further field,  
5 which is dominated by the dynamic part. However, in rail transportation systems, the  
6 excitation to the ground is somehow random due to wheel and rail roughness,  
7 wheel-flats and other uncertainties. So the vibration at any specific locations induced  
8 by moving trains is a non-stationary random process. Taking the excitation to the  
9 ground as constant or harmonic is not adequate. Actually, the wide frequency  
10 spectrum of the excitation must be considered.

11           Hunt [18] presented an analytical solution to for the power spectrum of ground  
12 vibration due to traffic loads taken as a stationary random process. Sun and Greenberg  
13 [19] presented a generalized method to solve the problem of a linear system subjected  
14 to moving excitations, in which a method named follow-up spectral analysis  
15 procedure was introduced to overcome the difficulty that the dynamic response of the  
16 linear system was a non-stationary process. Using a two-dimensional model with a  
17 point load moving along a beam embedded in a layer, Metrikine and Vrouwenvelder  
18 [20] studied the steady-state ground surface vibration. The response induced by a  
19 constant or harmonic load and a stationary random load was studied, in which the  
20 random problem was solved by Monte Carlo method and the random load was  
21 represented by a white noise. Paolucci et al. [21] numerically simulated the ground  
22 vibration induced by a series of wheel forces, and they concluded that the frequency  
23 range up to 10Hz contained most of the information regarding possible harmful  
24 effects of vibrations on buildings and humans.

25           Sheng et al. [22] investigated train-excited ground vibration considering vertical  
26 track irregularities, and computed the dynamic wheel–rail interaction forces and the  
27 displacement power spectra of the track and the ground surface using receptance  
28 method. Lai et al. [23] assessed the vibration impact induced by a train running in a  
29 tunnel, in which the effect of rail roughness on the load spectrum was represented by  
30 an empirical formula. Using the pseudo-excitation method [24], Lu et al. [25] studied

1 the random ground response induced by moving random loads. Lombaert et al. [26]  
2 built a numerical model of free field traffic-induced vibration during the passage of a  
3 vehicle on an uneven road and derived the transfer function between the source and  
4 the receiver.

5 The above mentioned researches on ground vibration induced by moving loads  
6 have greatly advanced the progress in the field of traffic-induced environmental  
7 vibration. However, there is still much work to be done to evaluate the statistical  
8 characteristics of vibration when considering random characteristics of input loads.  
9 Due to the movement of loads, one of the major difficulties is that the ground  
10 response of the observation point has a nonstationary random vibration characteristic,  
11 even though assuming that the stochastic load is a stationary stochastic process. In  
12 addition, considering the coherence properties generated by the multiple moving  
13 random loads, the superposition and cancellation of the ground vibrations are also  
14 difficult to deal with. The double-frequency spectral model and frequency-time  
15 spectral model are two mathematical structures of nonstationary random processes [27]  
16 and are also the starting point for the random vibrational analysis of linear systems.  
17 The physical concept of the double-frequency spectrum is not easy to explain and is  
18 limited in the practical application. The frequency-time spectrum (or evolutionary  
19 spectrum) proposed by Priestley contains the concept of instantaneous power and a  
20 more intuitive physical meaning. It has a good advantage to evaluate the statistical  
21 characteristics of environmental vibration based on the evolutionary spectrum concept.  
22 For linear time-invariant systems subject to nonstationary random loads, the pseudo  
23 excitation method transforms the nonstationary random vibration analysis into the  
24 usual transient response analysis, and combined with the finite element method has  
25 been effectively applied to the seismic analysis of long-span bridges , In [28], Peng et  
26 al. combine probability density evolution method with the efficient representation of  
27 stochastic processes to implement stochastic dynamic response analysis of  
28 multi-degree-of-freedom systems subjected to stochastic excitations.

29 In this paper, to analyse the nonstationary random vibration of the track-soil  
30 system induced by moving random loads, the pseudo excitation method combined

1 with the integral transform method (PEM-ITM) is presented. The evolutionary power  
2 spectrum of the nonstationary random vibration of the ground is investigated by the  
3 proposed method. The effects of different parameters, such as the moving speed, the  
4 position of observation point, the soil properties and the coherence of moving loads,  
5 on the ground response mechanism are further studied. The organization of the paper  
6 is as follows. In section 2, the basic model of track-soil system and governing  
7 equations of motion are provided. In Section 3, integral transforms are used as the  
8 mean to solve the governing equations of the track-soil system, and the analytic  
9 expression of the evolutionary power spectrum of the nonstationary random vibration  
10 response is derived by the PEM-ITM. In Section 4, a parametric study on responses  
11 induced by moving random loads is made, and some dynamic phenomena of ground  
12 vibration transmission are discussed. Conclusions are given in section 5.

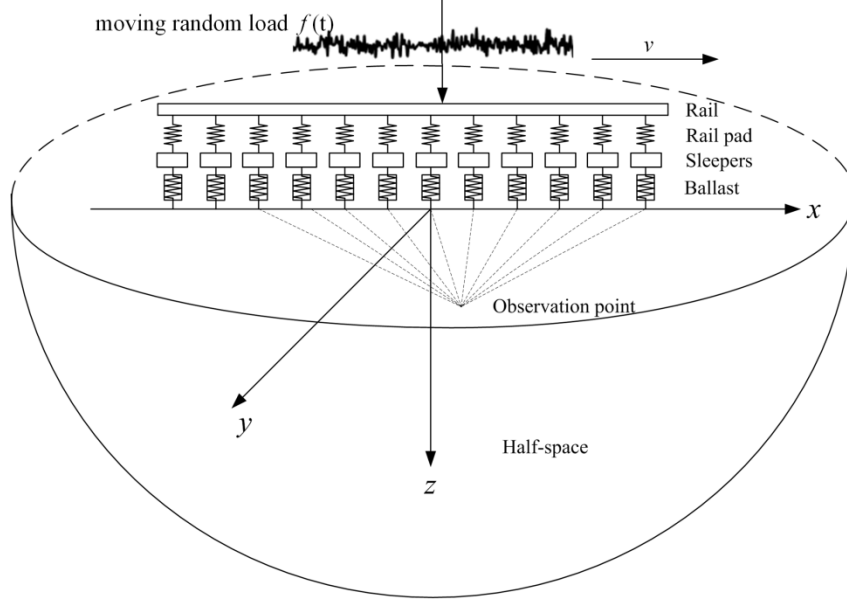
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## 14 **2. Model and governing equations**

15

16 The system considered herein, shown in Fig. 1, consists of a homogenous  
17 isotropic viscoelastic half-space and a track-soil structure. The infinite track is aligned  
18 in the  $x$  direction and has a contact width  $2l$  with the ground. The rail is regarded as  
19 a single infinite Euler beam with bending stiffness  $EI$  and mass  $\rho_R$  per unit length.  
20 The sleepers are modelled as a distributed mass  $m_S$  per unit length of track. The rail  
21 pads are represented by a distributed vertical spring stiffness  $k_P$  between the rail and  
22 the sleepers. The ballast is modelled as a viscoelastic layer with a width  $2l$  and a  
23 mass per unit length  $m_B$ . For the ballast layer, only the vertical stiffness  $k_B$  is taken  
24 into account. Furthermore, the contact forces between the ballast and the ground are  
25 assumed to be normal to the ground surface and uniformly distributed over the width  
26 of the track. The damping properties of all of these track components are accounted  
27 for by using complex stiffness parameters. Now the problem of the system subjected  
28 to a moving random load is studied.

29



**Fig.1.** Model of track-soil structure under a moving random load

The vertical displacements of the rail beam, the sleepers and the ground surface are denoted by, respectively,  $w_R(x, t)$ ,  $w_S(x, t)$  and  $w_G(x, t)$ . Similarly, the forces at the rail/sleeper, sleeper/ballast and ballast/ground interfaces are denoted by  $F_{RS}(x, t)$ ,  $F_{SB}(x, t)$  and  $F_{BG}(x, t)$ .

The vertical motions of the structure are expressed by differential equations as follows. For the rail beam the differential equation of motion can be written as

$$EI \frac{\partial^4 w_R(x, t)}{\partial x^4} + \rho_R \frac{\partial^2 w_R(x, t)}{\partial t^2} + F_{RS}(x, t) = f(t) \delta(x - vt) \quad (1)$$

where  $\delta(\cdot)$  is the Dirac-delta function, the time varying function  $f(t)$  is characterized as a stationary random process and its power spectral density is represented by  $S_f(\omega)$ .

The interaction force  $F_{RS}(x, t)$  between the rail and the sleeper is

$$F_{RS}(x, t) = k_P [w_R(x, t) - w_S(x, t)] \quad (2)$$

For the sleeper mass, the differential equation of motion is

$$m_S \frac{\partial^2 w_S(x, t)}{\partial t^2} + F_{SB}(x, t) = F_{RS}(x, t) \quad (3)$$

and for the ballast layer a linear spring stiffness with a consistent mass approximation is used so that

$$\frac{m_B}{6} \begin{bmatrix} 2 & 1 \\ 1 & 2 \end{bmatrix} \begin{Bmatrix} \frac{\partial^2 w_S(x, t)}{\partial t^2} \\ \frac{\partial^2 w_G(x, t)}{\partial t^2} \end{Bmatrix} + k_B \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix} \begin{Bmatrix} w_S(x, t) \\ w_G(x, t) \end{Bmatrix} + \begin{Bmatrix} -F_{SB}(x, t) \\ F_{BG}(x, t) \end{Bmatrix} = \mathbf{0} \quad (4)$$

1 Considering the interaction force is uniformly distributed over the width of the  
2 track, the boundary condition on the surface of the ground is represented by

$$\sigma_{zz}(x, y, z, t) = -\frac{F_{BG}H(l^2 - y^2)}{2l}, \tau_{zx}(x, y, z, t) = 0, \tau_{zy}(x, y, z, t) = 0 \quad (5)$$

3 where  $H(\cdot)$  is the step function which restricts the interaction force within the width  
4 of the track,  $\sigma_{zz}$  is the normal stress,  $\tau_{zx}$  and  $\tau_{zy}$  are the shear stresses.

5 Meanwhile, by ignoring the body force of the weight, the differential equation of  
6 the ground is

$$(\lambda + \mu)\nabla(\nabla \cdot \mathbf{u}) + \mu\nabla^2 \mathbf{u} = \rho \frac{\partial^2 \mathbf{u}}{\partial t^2} \quad (6)$$

7 where  $\nabla$  is the Gradient operator and  $\nabla^2$  is the Laplace operator,  $\rho$  is the mass  
8 density of the soil,  $\lambda$  and  $\mu$  are Lamé's constants derived from its Young's modulus  
9  $E$  and Poisson's ratio  $\nu$ , and  $\mathbf{u} = \{u(x, y, z, t), v(x, y, z, t), w(x, y, z, t)\}$  is the  
10 displacement vector.

11

### 12 **3. Analytical solutions**

13

#### 14 **3.1. General solution to decouple governing equations**

15 Throughout this paper, the triple Fourier transform and its inverse are defined as  
16 follows

$$\begin{aligned} \hat{\hat{f}}(k_x, k_y, \beta) &= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x, y, t) e^{-ik_x x} e^{-ik_y y} e^{-i\beta t} dx dy dt \\ f(x, y, t) &= \frac{1}{8\pi^3} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \hat{\hat{f}}(k_x, k_y, \beta) e^{ik_x x} e^{ik_y y} e^{i\beta t} dk_x dk_y d\beta \end{aligned} \quad (7)$$

17 By applying a double Fourier transform ( $x \rightarrow k_x, t \rightarrow \beta$ ) to Eqs. (1) - (4), one can  
18 obtain algebraic equations in  $\hat{\hat{w}}_R(k_x, \beta)$ ,  $\hat{\hat{w}}_S(k_x, \beta)$  and  $\hat{\hat{w}}_G(k_x, \beta)$  in the combined  
19 frequency and wavenumber domain as

$$\begin{bmatrix} K_{11} & K_{12} & K_{13} \\ K_{21} & K_{22} & K_{23} \\ K_{31} & K_{32} & K_{33} \end{bmatrix} \begin{Bmatrix} \widehat{w}_R \\ \widehat{w}_S \\ \widehat{w}_G \end{Bmatrix} = \begin{Bmatrix} \widehat{F}_E \\ 0 \\ \widehat{F}_{BG} \end{Bmatrix} \quad (8)$$

1 in which the elements of the matrix are  $K_{11} = EIk_x^4 - \rho_R\beta^2 + k_P$ ,  $K_{12} = -k_P$ ,  
 2  $K_{13} = 0$ ,  $K_{21} = -K_{12}$ ,  $K_{22} = \left(\frac{m_B}{3} + m_S\right)\beta^2 - (k_B + k_P)$ ,  $K_{23} = \frac{m_B}{6}\beta^2 + k_B$ ,  
 3  $K_{31} = 0$ ,  $K_{32} = K_{23}$ ,  $K_{33} = \frac{m_B}{3}\beta^2 - k_B$ .

4 In the combined frequency and wavenumber domain, the load applied on the  
 5 track has the form of

$$\widehat{F}_E = \widehat{f}(\beta + k_x v) \quad (9)$$

6 with  $\widehat{F}_{BG}$  unknown.

7 In Eq. (8),  $\widehat{w}_R$  and  $\widehat{w}_S$  can be expressed by  $\widehat{w}_G$  and  $\widehat{F}_E$  as

$$\widehat{w}_R = \frac{K_{22}\widehat{F}_E + K_{12}K_{23}\widehat{w}_G}{K_{12}^2 + K_{11}K_{22}} \quad (10)$$

$$\widehat{w}_S = \frac{K_{12}\widehat{F}_E - K_{11}K_{23}\widehat{w}_G}{K_{12}^2 + K_{11}K_{22}} \quad (11)$$

8 Furthermore, the relation between the vertical force and the displacement on the  
 9 surface of the ground is

$$\widehat{F}_{BG} = \bar{K}_1\widehat{w}_G + \bar{K}_2\widehat{F}_E \quad (12)$$

10 where

$$\bar{K}_1 = \frac{K_{12}^2K_{33} + K_{11}K_{22}K_{33} - K_{11}K_{23}^2}{K_{12}^2 + K_{11}K_{22}}, \bar{K}_2 = \frac{K_{12}K_{23}}{K_{12}^2 + K_{11}K_{22}} \quad (13)$$

11 By applying a triple Fourier transform to Eq. (5), the stresses on the surface of  
 12 the ground are transformed as

$$\widehat{\sigma}_{zz} = -\widehat{F}_{BG} \frac{\sin(k_y l)}{k_y l}, \widehat{t}_{zx} = 0, \widehat{t}_{zy} = 0 \quad (14)$$

13 If Eq. (12) is substituted into Eq. (14), it can be seen that this stresses are expressed as  
 14 a function of displacement  $\widehat{w}_G$  and external load  $\widehat{F}_E$ . Next the motion of the ground  
 15 is studied.



1

2 According to Helmholtz decomposition of a vector field, the solution of Eq. (6)  
3 is given as

$$\mathbf{u} = \nabla\phi + \nabla \times \boldsymbol{\Psi} \quad (15)$$

4 where  $\phi$  is a scalar potential, and  $\boldsymbol{\Psi} = [\psi_1, \psi_2, \psi_3]^T$  is a vector potential in which  
5  $\psi_3 = 0$ . Expanding Eq. (15) leads to the displacement components

$$\begin{aligned} u &= \frac{\partial\phi}{\partial x} - \frac{\partial\psi_2}{\partial z} \\ v &= \frac{\partial\phi}{\partial y} + \frac{\partial\psi_1}{\partial z} \\ w &= \frac{\partial\phi}{\partial z} + \frac{\partial\psi_2}{\partial x} - \frac{\partial\psi_1}{\partial y} \end{aligned} \quad (16)$$

6 Expressed by displacement components, the stress components are derived as

$$\begin{aligned} \sigma_{zz} &= \left( \lambda \nabla^2 + 2\mu \frac{\partial^2}{\partial z^2} \right) \phi + 2\mu \left( \frac{\partial^2 \psi_2}{\partial x \partial z} - \frac{\partial^2 \psi_1}{\partial y \partial z} \right) \\ \tau_{zx} &= \mu \left( 2 \frac{\partial^2 \phi}{\partial x \partial z} - \frac{\partial^2 \psi_1}{\partial x \partial y} + \frac{\partial^2 \psi_2}{\partial x^2} - \frac{\partial^2 \psi_2}{\partial z^2} \right) \\ \tau_{zy} &= \mu \left( 2 \frac{\partial^2 \phi}{\partial y \partial z} - \frac{\partial^2 \psi_1}{\partial y^2} + \frac{\partial^2 \psi_1}{\partial z^2} + \frac{\partial^2 \psi_2}{\partial x \partial y} \right) \end{aligned} \quad (17)$$

7 Substituting Eq. (15) into Eq. (6) yields the wave equations below

$$\nabla^2 \phi = \frac{1}{c_D^2} \frac{\partial^2 \phi}{\partial t^2}, \quad \nabla^2 \boldsymbol{\Psi} = \frac{1}{c_S^2} \frac{\partial^2 \boldsymbol{\Psi}}{\partial t^2} \quad (18)$$

8 in which  $c_D = \sqrt{(\lambda + 2\mu)/\rho}$  and  $c_S = \sqrt{\mu/\rho}$  are the velocities of the dilatational  
9 waves and the shear waves, respectively.

10 By applying a triple Fourier transform, the partial differential Eq. (18) is changed  
11 into ordinary differential equations

$$\frac{\partial \hat{\hat{\phi}}}{\partial z^2} - E_D^2 \hat{\hat{\phi}} = 0, \quad \frac{\partial \hat{\hat{\boldsymbol{\Psi}}}}{\partial z^2} - E_S^2 \hat{\hat{\boldsymbol{\Psi}}} = 0 \quad (19)$$

12 where  $E_{D,S}^2 = k_x^2 + k_y^2 - k_{D,S}^2$ ,  $k_{D,S} = \beta/c_{D,S}$ .

13 The general solutions of Eq. (19) are

$$\hat{\hat{\phi}} = C_1 e^{-E_D z}, \quad \hat{\hat{\psi}}_1 = C_2 e^{-E_S z}, \quad \hat{\hat{\psi}}_2 = C_3 e^{-E_S z} \quad (20)$$

14 By applying a triple Fourier transform to Eq. (17) and substituting Eq. (20) into it,  
15 the stress components have the form of

$$\begin{pmatrix} \hat{\hat{\sigma}}_{zz} \\ \hat{\hat{t}}_{zx} \\ \hat{\hat{t}}_{zy} \end{pmatrix} = \mathbf{TQ} \begin{pmatrix} C_1 \\ C_2 \\ C_3 \end{pmatrix} \quad (21)$$

1 in which  $\mathbf{T} = \mu \begin{bmatrix} 2(k_x^2 + k_y^2) - k_S^2 & 2ik_y E_S & -2ik_x E_S \\ -2ik_x E_D & k_x k_y & -(k_x^2 + E_S^2) \\ -2ik_y E_D & (k_y^2 + E_S^2) & -k_x k_y \end{bmatrix}$  and

2  $\mathbf{Q} = \text{diag}(e^{-E_D z} e^{-E_S z} e^{-E_S z})$ .

3 Notice that on the surface of the half-space,  $\hat{\hat{t}}_{zx} = \hat{\hat{t}}_{zy} = 0$ , thus constants  
4  $C_1, C_2$  and  $C_3$  can be determined as

$$\begin{pmatrix} C_1 \\ C_2 \\ C_3 \end{pmatrix} = \frac{\hat{\hat{\sigma}}_{zz}}{\mu \Delta} \begin{pmatrix} 2(k_x^2 + k_y^2) - k_S^2 \\ 2ik_y E_D \\ -2ik_x E_D \end{pmatrix} \quad (22)$$

5 in which  $\Delta = (k_x^2 + k_y^2 + E_S^2)^2 - 4E_D E_S (k_x^2 + k_y^2)$ .

6 Thus the relationship of  $C_1, C_2$  and  $C_3$  is

$$C_2 = \frac{2ik_y E_D}{2(k_x^2 + k_y^2) - k_S^2} C_1, C_3 = -\frac{2ik_x E_D}{2(k_x^2 + k_y^2) - k_S^2} C_1 \quad (23)$$

7 By applying a triple Fourier transform to Eq. (16) and introducing Eq. (20) into it,  
8 the vertical displacement is derived as

$$\hat{\hat{w}} = -C_1 E_D e^{-E_D z} - ik_y C_2 e^{-E_S z} + ik_x C_3 e^{-E_S z} \quad (24)$$

9 The inverse transform of Eq. (24) on  $k_y$  is

$$\hat{\hat{w}}(k_x, y, z, \beta) = \frac{1}{2\pi} \int_{-\infty}^{\infty} (-C_1 E_D e^{-E_D z} - ik_y C_2 e^{-E_S z} + ik_x C_3 e^{-E_S z}) e^{ik_y y} dk_y \quad (25)$$

10 Thus, the vertical displacement at  $y = 0$  on the half-space surface is

$$\hat{\hat{w}}(k_x, 0, 0, \beta) = \frac{1}{2\pi} \int_{-\infty}^{\infty} (-C_1 E_D - ik_y C_2 + ik_x C_3) dk_y \quad (26)$$

11 Using Eq. (14) and Eq. (22), the coefficient  $C_1$  is calculated as

$$C_1 = -\frac{[2(k_x^2 + k_y^2) - k_S^2] \sin(k_y l)}{\mu \Delta} \frac{\hat{\hat{F}}_{BG}(k_x, \beta)}{k_y l} \quad (27)$$

12 Further, using Eq. (23)  $C_2$  and  $C_3$  are given as

$$C_2 = -\frac{2ik_y E_D \sin(k_y l)}{\mu \Delta} \frac{\hat{\hat{F}}_{BG}(k_x, \beta)}{k_y l}, C_3 = \frac{2ik_x E_D \sin(k_y l)}{\mu \Delta} \frac{\hat{\hat{F}}_{BG}(k_x, \beta)}{k_y l} \quad (28)$$

13 Substituting Eqs. (27) - (28) into Eq. (26) and using displacement continuity

1 conditions of the track and ground yield

$$\begin{aligned}\widehat{w}_G(k_x, \beta) &= \widehat{w}(k_x, 0, 0, \beta) = -H_1(k_x, \beta) \widehat{F}_{BG}(k_x, \beta) \\ H_1(k_x, \beta) &= \frac{1}{2\pi} \int_{-\infty}^{\infty} \frac{1}{\mu\Delta} \frac{\sin(k_y l)}{k_y l} E_D k_S^2 dk_y\end{aligned}\quad (29)$$

2 Further, using Eq. (12) and Eq. (29)  $\widehat{w}_G(k_x, \beta)$  can be obtained as

$$\begin{aligned}\widehat{w}_G(k_x, \beta) &= H_2(k_x, \beta) \widehat{F}_E \\ H_2(k_x, \beta) &= \frac{-H_1(k_x, \beta) \bar{K}_2}{1 + \bar{K}_1 H_1(k_x, \beta)} \widehat{F}_E\end{aligned}\quad (30)$$

3 Substituting  $\widehat{w}_G$  into Eq. (10)  $\widehat{w}_R$  results in

$$\widehat{w}_R(k_x, \beta) = \frac{K_{22} + K_{12} K_{23} H_2(k_x, \beta)}{K_{12}^2 + K_{11} K_{22}} \widehat{F}_E(k_x, \beta)\quad (31)$$

4 The inverse transform of Eq. (31) on  $k_y$  is

$$\widehat{w}_R(x, \beta) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \frac{K_{22} + K_{12} K_{23} H_2(k_x, \beta)}{K_{12}^2 + K_{11} K_{22}} \widehat{F}_E(k_x, \beta) e^{ik_x x} dk_x\quad (32)$$

5 By substituting  $C_1, C_2$  and  $C_3$  into Eq. (25) one can get the vertical  
6 displacement in the frequency/wavenumber domain as

$$\begin{aligned}\widehat{w}(k_x, y, 0, \beta) &= -H_3(k_x, y, \beta) \widehat{F}_E(k_x, \beta) \\ H_3(k_x, y, \beta) &= \frac{1}{2\pi} \left( \int_{-\infty}^{\infty} \frac{1}{\mu\Delta} \frac{\sin(k_y l)}{k_y l} E_D k_S^2 e^{ik_y y} dk_y \right) \frac{\bar{K}_2}{1 + \bar{K}_1 H_1(k_x, \beta)}\end{aligned}\quad (33)$$

7 Applying the inverse transform to Eq. (33) leads to the vertical displacement in the  
8 physical domain as

$$\begin{aligned}w(x, y, 0, t) &= \frac{1}{4\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \widehat{w}(k_x, y, 0, \beta) e^{ik_x x} e^{i\beta t} dk_x d\beta \\ &= \frac{1}{4\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} -H_3(k_x, y, \beta) \widehat{F}_E(k_x, \beta) e^{ik_x x} e^{i\beta t} dk_x d\beta\end{aligned}\quad (34)$$

9 So far the general solution to decouple the governing equations of the track-soil  
10 is presented. If the time varying function  $f(t)$  is in a harmonic form or is constant,  
11 one can use the solution to obtain the deterministic response. However, due to the  
12 existence of track irregularities, it is more realistic to assume  $f(t)$  as a stochastic  
13 process. Therefore the response has to be calculated according to the probability and  
14 statistics theory, which is given in the following section.

### 15 3.2. Non-stationary random vibration analysis

1 The pseudo-excitation method [28-31] is a well-established algorithm for  
 2 analysing the responses of linear time-invariant systems under stationary or  
 3 non-stationary random excitations. It has been widely used in earthquake engineering  
 4 fields. Hence the pseudo-excitation method is introduced in this part.

5 A Green's function can be used to characterize the dynamic properties of a linear  
 6 system. The Green's function  $\mathbf{G}(\mathbf{x}, t; \boldsymbol{\xi}, \tau)$  represents the dynamic response at  
 7 location  $\mathbf{x}$  and time  $t$  when the system is subjected to a vertical impulse at location  
 8  $\boldsymbol{\xi}$  and time  $\tau$ . For a time-independent system, the Green's function degenerates to  
 9  $\mathbf{G}(\mathbf{x} - \boldsymbol{\xi}, t - \tau)$ . Assume that  $f(t)$  is a stationary random load moving along  
 10 direction  $\mathbf{n}$  at constant speed  $v$ , and  $\mathbf{D}$  is the domain occupied by the system.  
 11 According to the principle of superposition, the displacement of the system can be  
 12 written as

$$\mathbf{u}(\mathbf{x}, t) = \int_0^t \int_{\mathbf{D}} \mathbf{G}(\mathbf{x} - \boldsymbol{\xi} - \mathbf{n}v\tau, t - \tau) \cdot f(\tau) d\boldsymbol{\xi} d\tau \quad (35)$$

13 Applying expectation operator  $E[\cdot]$  to  $\mathbf{u}(\mathbf{x}, t)$  generates its correlation function

$$\begin{aligned} \mathbf{R}_{\mathbf{u}}(\mathbf{x}; t_1, t_2) &= E[\mathbf{u}(\mathbf{x}, t_1)\mathbf{u}^T(\mathbf{x}, t_2)] \\ &= \int_0^{t_1} \int_0^{t_2} \mathbf{h}(\mathbf{x}; t_1, \tau_1) \cdot \mathbf{h}^T(\mathbf{x}; t_2, \tau_2) R_f(\Delta\tau) d\tau_1 d\tau_2 \end{aligned} \quad (36)$$

14 where

$$\mathbf{h}(\mathbf{x}; t, \tau) = \int_{\mathbf{D}} \mathbf{G}(\mathbf{x} - \boldsymbol{\xi} - \mathbf{n}v\tau, t - \tau) d\boldsymbol{\xi} \quad (37)$$

15  $\Delta\tau = \tau_2 - \tau_1$  and  $R_f(\Delta\tau)$  is the autocorrelation function of the load. According to  
 16 the Wiener-Khintchine theorem,  $R_f(\Delta\tau)$  can be expressed by the power spectral  
 17 densities (PSD)  $S_f(\omega)$  as

$$E[f(\tau_1)f(\tau_2)] = R_f(\Delta\tau) = \int_{-\infty}^{\infty} S_f(\omega) e^{i\omega(\tau_2 - \tau_1)} d\omega \quad (38)$$

18 Where  $S_f(\omega)$  reflects the energy distribution of a stationary random process in the  
 19 frequency domain. Substituting Eq. (38) into Eq. (36), the correlation function can be  
 20 written as

$$\mathbf{R}_{\mathbf{u}}(\mathbf{x}; t_1, t_2) = \int_{-\infty}^{\infty} S_f(\omega) \mathbf{I}^*(\mathbf{x}; \omega, t_1) \mathbf{I}^T(\mathbf{x}; \omega, t_2) d\omega \quad (39)$$

$$\mathbf{I}(\mathbf{x}; \omega, t) = \int_0^t \mathbf{h}(\mathbf{x}; t, \tau) e^{i\omega\tau} d\tau \quad (40)$$

1 where superscript ‘\*’ denotes a complex conjugate.

2 The time-dependent variance can be obtained by letting  $t_1 = t_2 = t$  in Eq. (39),  
3 as

$$\mathbf{R}_u(\mathbf{x}; t) = \sigma_u^2(\mathbf{x}; t) = \int_{-\infty}^{\infty} S_p(\omega) \mathbf{I}^*(\mathbf{x}; \omega, t) \mathbf{I}^T(\mathbf{x}; \omega, t) d\omega \quad (41)$$

4 Here  $\sigma_u(\mathbf{x}; t)$  is the standard deviation. Obviously, the integrand in Eq. (41) is  
5 the PSD of the response, which has the non-stationary property of

$$\mathbf{S}_u(\mathbf{x}, \omega, t) = S_f(\omega) \mathbf{I}^*(\mathbf{x}; \omega, t) \mathbf{I}^T(\mathbf{x}; \omega, t) \quad (42)$$

6 Note that in Eq. (42)  $\mathbf{I}(\mathbf{x}; \omega, t)$  is the response of the system under a harmonic  
7 load  $e^{i\omega t}$ . So if a pseudo-excitation  $\sqrt{S_f(\omega)} e^{i\omega t}$  is applied to the system, the  
8 corresponding response evolves to  $\tilde{\mathbf{u}}(\mathbf{x}; \omega, t) = \sqrt{S_f(\omega)} \mathbf{I}(\mathbf{x}; \omega, t)$ . Thus the PSD of  
9 the response can be obtained easily from

$$\mathbf{S}_u(\mathbf{x}, \omega, t) = \tilde{\mathbf{u}}^*(\mathbf{x}; \omega, t) \tilde{\mathbf{u}}^T(\mathbf{x}; \omega, t) \quad (43)$$

10 Now, the pseudo excitation method is used to analyse evolutionary power  
11 spectrum of the nonstationary random vibration of a track-soil system subjected to  
12 moving random loads. According to the PEM, the pseudo load can be constructed as

$$\tilde{f}(t) = \sqrt{S_f(\omega)} e^{i\omega t} \quad (44)$$

13 Then according to Eq. (9) the pseudo load in the frequency and wavenumber domain  
14 can be expressed as

$$\hat{\hat{F}}_E = 2\pi \sqrt{S_f(\omega)} \delta(\beta + k_x v - \omega) \quad (45)$$

15 Substituting Eq. (45) into Eq. (34) leads to

$$\tilde{w}(x, y, 0, t) = \frac{\sqrt{S_f(\omega)} e^{i\omega t}}{2\pi} \int_{-\infty}^{\infty} H_3(k_x, y, \omega - k_x v) e^{ik_x(x-vt)} dk_x \quad (46)$$

16 So the power spectral density of the vertical displacement can be computed as

$$S_w(\mathbf{x}, \omega, t) = \tilde{w}^*(\mathbf{x}, \omega, t) \cdot \tilde{w}(\mathbf{x}, \omega, t) \quad (47)$$

17 Its time-dependent variance is calculated by

$$\sigma_w^2(\mathbf{x}, t) = \int_{-\infty}^{\infty} S_w(\mathbf{x}, \omega, t) d\omega \quad (48)$$

18

## 4. Numerical examples

The parameters of the railway track are listed in Table 1 and three types of soil parameters are selected from the references, as shown in Table 2. The soil parameter A is measured at a particular British Rail site. The stiffness of soil B is slightly lower than that of soil A, while the stiffness of soil C is the lowest. Unless otherwise specified, the parameters of soil A are used for discussion and analysis. In addition, it is assumed that the random load is moving along the  $x$ -axis from the negative side to the positive side. For simple description, the starting time begins at a negative value, and time instant  $t = 0$  is taken as the moment when the moving load passes through the origin. To obtain the response one has to evaluate the integral in equation (46), which can be simply done numerically since the integral kernel quickly vanishes as  $|k_x|$  approaches infinity.

Given that there is no standard PSD data available for the moving random load at present, a band-limited white noise below is taken to represent the load's PSD:

$$S_f(\omega) = 63.66 \text{ kN}^2/\text{rad} \cdot \text{s}, \omega \in [\pi, 100\pi] \quad (49)$$

Table 1. Parameters for the railway track

Mass of rail beam per unit length of track	120 $\text{kg} \cdot \text{m}^{-1}$
Bending stiffness of rail beam	$1.26 \times 10^7 \text{ N} \cdot \text{m}^2$
Rail pad stiffness	$3.5 \times 10^8 \text{ N} \cdot \text{m}^{-2}$
Rail pad loss factor	0.15
Mass of sleepers per unit length of track	490 $\text{kg} \cdot \text{m}^{-1}$
Mass of ballast per unit length of track	1200 $\text{kg} \cdot \text{m}^{-1}$
Ballast stiffness per unit length of track	$3.15 \times 10^8 \text{ N} \cdot \text{m}^{-2}$
Loss factor of ballast	1.0
Contact width of railway and ground	2.7 m

Table 2. Soil parameters

Parameters	Soil A	Soil B	Soil C
------------	--------	--------	--------

Lamé constant: $\mu$ (Pa)	$1.07 \times 10^8$	$0.8 \times 10^8$	$2 \times 10^7$
Mass density: $\rho$ (kg/m <sup>3</sup> )	1550	1250	2000
Poisson's ratio: $\nu$	0.257	0.257	0.25
Loss factor: $\xi$	0.1	0.1	0.02
Rayleigh wave velocity: $c_R$ (m/s)	242	232	92
Shear wave velocity: $c_S$ (m/s)	263	252	100
Compression wave velocity: $c_P$ (m/s)	459	411	173.2

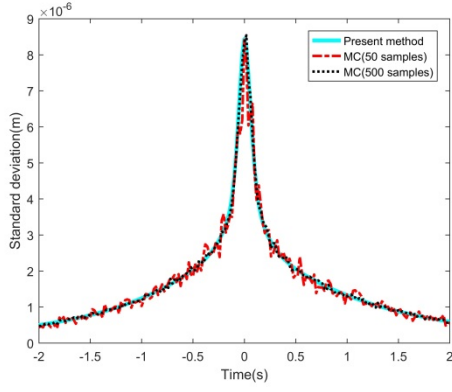
1

## 2 4.1 Different speed conditions

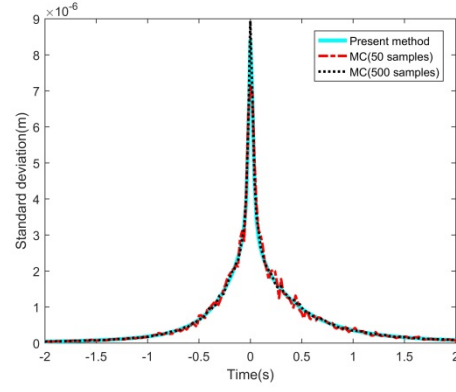
3

4 When the load moves along the track at the speeds of 50 km/h, 150 km/  
5 h, 250 km/h and 350 km/h respectively, the ground observation point A (0, 0, 0)  
6 is selected to evaluate the vibration. Fig. 2 - Fig. 3 show the time-dependent standard  
7 deviation and the evolutionary power spectrum of the vertical displacement response  
8 at observation point A, respectively.

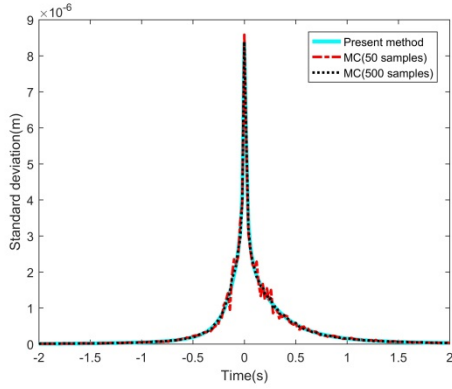
9 For observation point A, as can be seen from Fig. 2(a) - Fig. 2(d), the standard  
10 deviation curve becomes narrower with the increase of the load speed, and the peak of  
11 response does not change much: the peak value is  $8.4393 \times 10^{-6}$  m when  $v =$   
12 50 km/h; the peak value is  $8.4056 \times 10^{-6}$  m when  $v = 150$  km/h; the peak value is  
13  $8.3559 \times 10^{-6}$  m when  $v = 250$  km/h; the peak value is  $8.3046 \times 10^{-6}$  m when  
14  $v = 350$  km/h. The vibration duration is relatively long for the low-speed ( $v =$   
15 50 km/h) and is symmetrical with respect to time axis  $t = 0$  (Fig. 2(a)). However,  
16 the response's time at a the high speed ( $v = 350$  km/h) becomes very short, and its  
17 duration after passing the origin is significantly longer than before the load passes the  
18 origin (Fig. 2(d)). The reason for this phenomenon is the Doppler effect in which the  
19 vibration frequency of the response becomes higher as the load approaches the  
20 observation point (the origin in this case) and the vibration frequency of the response  
21 becomes lower as the load moves away from the origin. At the same time, the  
22 damping of the system causes the high-frequency response component to decay faster  
23 and the low-frequency response component last longer.



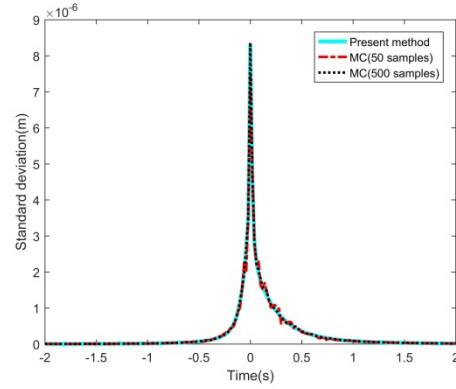
(a)  $v = 50\text{km/h}$



(b)  $v = 150\text{ km/h}$



(c)  $v = 250\text{ km/h}$



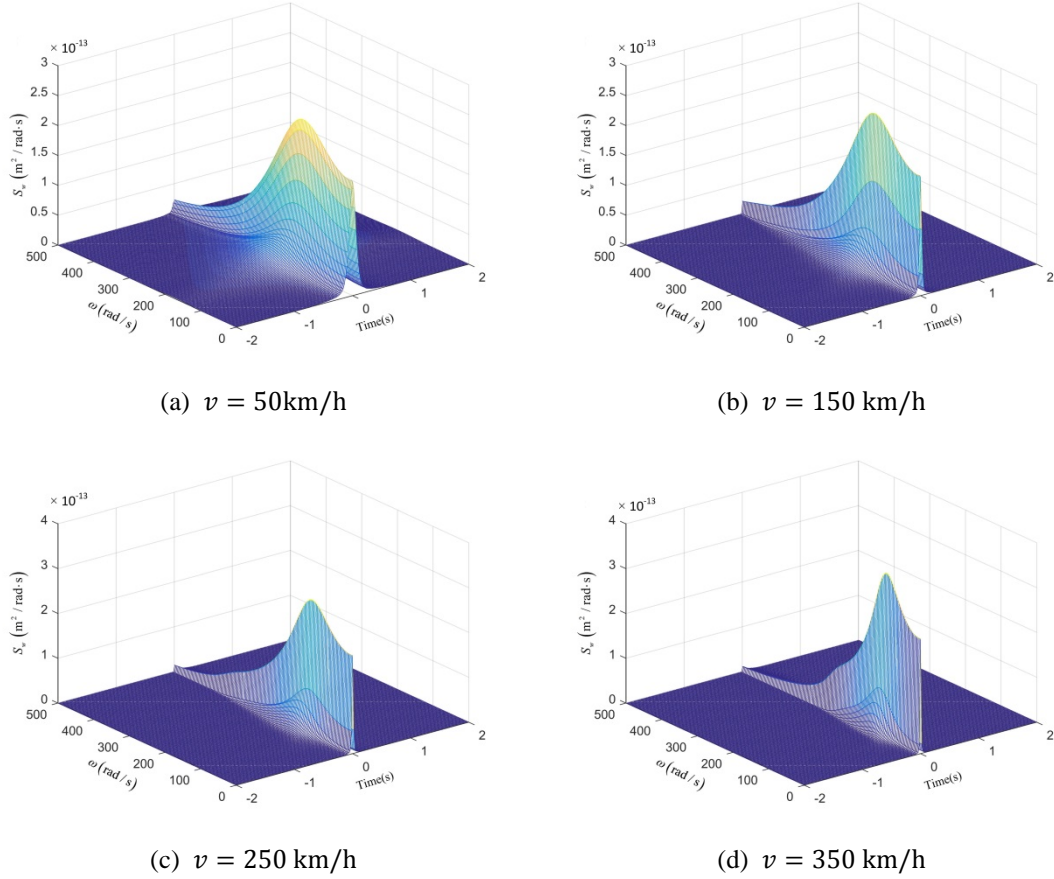
(d)  $v = 350\text{ km/h}$

1 **Fig. 2.** Time-dependent standard deviation of vertical displacement at the point A (0, 0, 0) for  
 2 different speeds

3 For the evolutionary power spectrum of the response of point A, as shown in Fig.  
 4 3(a) - Fig. 3(d), the peak of the power spectrum increases with the increasing speed:  
 5 the peak value is  $2.5679 \times 10^{-13} \text{ m}^2/\text{rad} \cdot \text{s}$  ( $v = 50 \text{ km/h}$ ); the peak value is  
 6  $2.6988 \times 10^{-13} \text{ m}^2/\text{rad} \cdot \text{s}$  ( $v = 150 \text{ km/h}$ ); the peak value is  $3.0180 \times 10^{-13} \text{ m}^2/$   
 7  $\text{rad} \cdot \text{s}$  ( $v = 250 \text{ km/h}$ ); the peak value is  $3.6842 \times 10^{-13} \text{ m}^2/\text{rad} \cdot \text{s}$  ( $v =$   
 8  $350 \text{ km/h}$ ). Along the time axis, the evolutionary power spectrum of the response  
 9 concentrates toward the time axis  $t = 0$ , at which time the load passes through the  
 10 observation point, and as the speed increases, the concentration becomes more  
 11 pronounced. From the frequency axis, the energy of the vibration is concentrated  
 12 below  $250 \text{ rad/s}$ . At a speed of  $350 \text{ km/h}$ , the peak appears at the frequency of  
 13  $94.85 \text{ rad/s}$  (Fig. 3(d)). The time-dependent standard deviation is given by the  
 14 frequency-domain integral of the evolutionary power spectrum and only reflects an  
 15 overall distribution of vibration (i.e., the changes in vibration amplitude over time).



1 However, the evolutionary power spectrum can intuitively give the time-frequency  
 2 distribution of vibration.  
 3



4 **Fig. 3.** Non-stationary evolutionary power spectrum of vertical displacement at the point A (0, 0, 0)  
 5 for different speeds

6 In order to verify the correctness of the proposed method, the Monte Carlo (MC)  
 7 method is also used to calculate the time-dependent standard deviation of the  
 8 observation point. The Monte Carlo method is a general method to determine  
 9 solutions of systems subjected to a random load, in which the random samples of the  
 10 load can be generated and then the response to each sample load can be computed in a  
 11 deterministic form, and then the statistical properties of the system can be obtained  
 12 from the responses to all the samples. The samples of  $f(t)$  can be generated using  
 13 power spectral density  $S_f(\omega)$ . By harmonic series superposition method the samples  
 14 are given as

$$f(t) = \sqrt{2} \sum_{k=1}^N \sqrt{S_f(\omega_k) \Delta\omega} \cos(\omega_k t + \phi_k) \quad (50)$$

1 where  $S_f(\omega_k)$  is the value of  $S_f(\omega)$  at the  $k$ th frequency  $\omega_k$ ,  $\Delta\omega$  is a small  
 2 regular interval of frequency and  $\phi_k$  is the corresponding phase of  $\omega_k$  and is taken  
 3 as a random variable in uniformly distribution over the range of  $[0, 2\pi]$ .

4 Then, according to Eq. (9) the load in Eq. (50) can be transformed as

$$\hat{F}_E = \sqrt{2\pi} \sum_{k=1}^N \sqrt{S_f(\omega_k)\Delta\omega} [e^{i\phi_k}\delta(\beta + k_x v - \omega_k) + e^{-i\phi_k}\delta(\beta + k_x v + \omega_k)] \quad (51)$$

5 Substituting Eq. (51) into Eq. (34), a time-domain response sample can be calculated.  
 6 By generating different excitation samples and repeating the above analysis steps,  
 7 multiple time-domain response samples can be obtained. Finally, the probability  
 8 characteristics of the random responses can be obtained by the statistical analysis. In  
 9 the analysis, the MC method uses 50 samples and 500 samples respectively for the  
 10 statistical averaging. As can be seen from Fig. 2(a) - Fig. 2(d), the calculated results of  
 11 the MC method and the present method are very consistent. With the increase of the  
 12 number of samples, the MC results are closer to the results of the method in this paper.  
 13 For the results in Fig. 2(a), the MC (500 samples) has the maximum deviation of 9.19%  
 14 and the minimum deviation of 0.02% compared with the results of the proposed  
 15 method. Under the same speed condition, the calculation time of the present method is  
 16 2.23 s, compared with 74.8 s when using 100 samples and 379.3 s when using 500  
 17 samples for the MC method. It can be seen that the present method has a great  
 18 computational advantage over the MC method.

19 In the subsequent parameter analysis of time-dependent standard deviations, it  
 20 can be seen that the results of the proposed method and the MC method are also very  
 21 consistent, which shows the effectiveness of the proposed method.

22

## 23 **4.2 Different observation points**

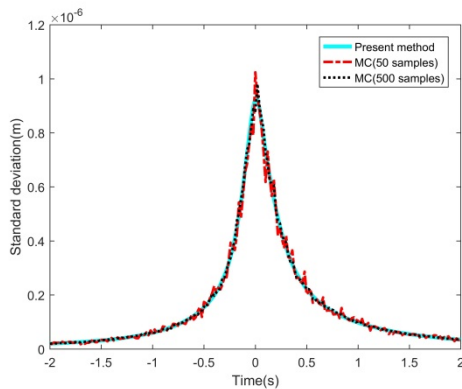
24

25 Assuming that the load moves along the track at a speed of 200 km/h. Four  
 26 observation points (A(0, 5m, 0), B(0, 15m, 0), C(0, 25m, 0) and D(0, 35m, 0)) are

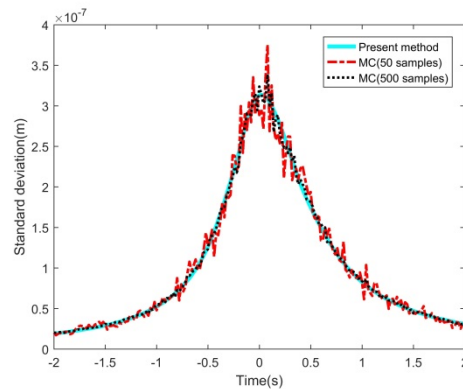
1 selected to evaluate the vibration transmission characteristics.

2 Fig.4 - Fig. 5 show the time-dependent standard deviation and the evolutionary  
3 power spectrum of the vertical displacement response at the four observation points,  
4 respectively. Obviously, when the load moves closer to an observation point, the  
5 vibration of the observation point gradually increases; when the load passes it, the  
6 vibration of the observation point will increase rapidly; when the load starts to move  
7 away from the observation point, the vibration of the observation point will start to  
8 decrease.

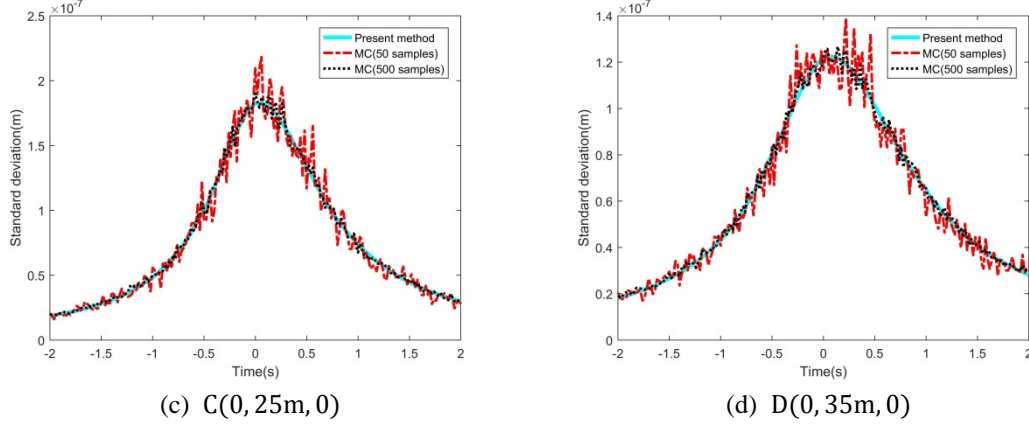
9 The time-dependent standard deviation of the responses in Fig. 5(a) - Fig. 5(d)  
10 indicates that when the observation point is relatively close to the track, the shape of  
11 the responses curve is narrower with respect to the time axis  $t = 0$  (Fig. 5(a)); on the  
12 contrary, the shape of the curve is wider relative to the time axis  $t = 0$  (Fig. 5(d)). In  
13 addition, the peak value of the time-dependent standard deviation response decreases  
14 with the increasing distance from the track: the peak value of the point A is  
15  $9.2902 \times 10^{-7}$  m, and the peak value of the point B is  $3.1383 \times 10^{-7}$  m, the peak value  
16 of the point C is  $1.8279 \times 10^{-7}$  m, the peak value of the point D is  $1.2252 \times 10^{-7}$  m.  
17 The reason for this phenomenon is that the attenuation of the vibration is related to the  
18 damping characteristics of the soil during the vibration transmission.



(a) A(0, 5m, 0)



(b) B(0, 15m, 0)

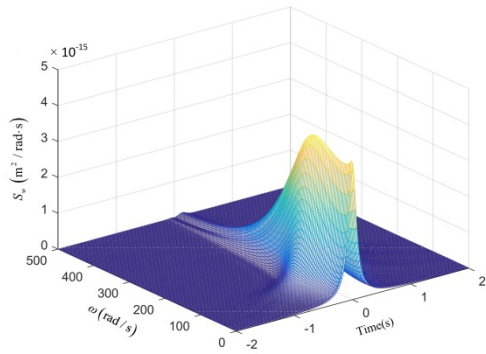


1 **Fig. 4.** Time-dependent standard deviation of vertical displacement at the different points when  
 2  $v = 200 \text{ km/h}$

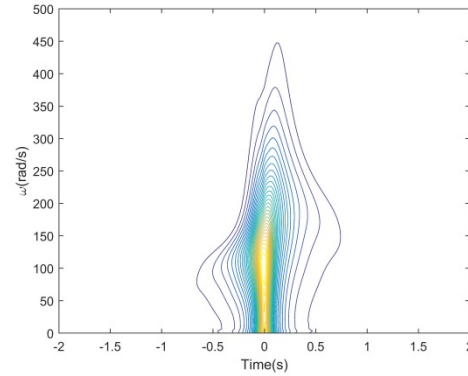
3 Further, the energy distribution of the evolutionary power spectrums at different  
 4 observation points is discussed. Fig. 5 shows the time-frequency distribution of the  
 5 evolutionary power spectrums and its contours of the four observation points,  
 6 respectively. Comparing Fig. 4(a) - Fig. 4(d), from the time axis it can be seen that  
 7 when the observation point is relatively close to the track, the vibration energy is  
 8 more concentrated relative to the time axis  $t = 0$  (as seen at point A, Fig. 5(a1-a2)).  
 9 As the distance of the observation point from the track increases, the duration of the  
 10 vibration gradually becomes longer (as seen at point D, Fig.5(d1-d2)). In addition,  
 11 according to the contour of the evolutionary power spectrum at each observation point,  
 12 the vibration time of low frequency components gradually increases with the increase  
 13 of the distance of observation point from the track (for example, the time interval of  
 14 point A is  $[-0.5\text{s}, 0.5\text{s}]$ , but the time interval of point D exceeds  $[-2\text{s}, 2\text{s}]$ ) and the  
 15 vibration time of high-frequency components gradually decreases (for example, the  
 16 frequency interval of point A is  $[0, 450] \text{ rad/s}$ , but the frequency interval of point D  
 17 is  $[0, 300] \text{ rad/s}$ ). The vibration characteristics of observation points at a longer  
 18 distance from the track are: due to the influence of the soil damping, the vibration  
 19 caused by the high-frequency component decays rapidly, the low-frequency  
 20 component becomes the main contributor to the ground vibration, and the vibration  
 21 duration becomes longer. In addition, the peak of the evolutionary power spectrum is  
 22 flatter from Fig. 5(a1-a2) and multiple peaks appear in Fig. 5(d1-d2).

23 The time-dependent standard deviation of vibration response at each observation

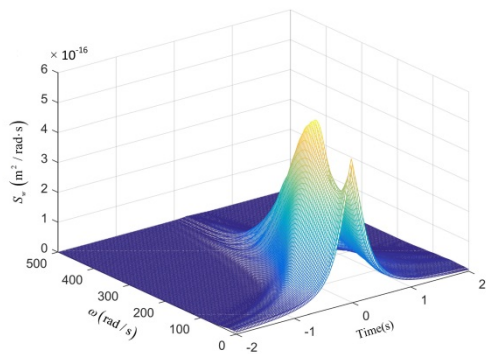
1 point is also computed by both the MC method and the proposed method. It can be  
 2 seen from Fig. 4(a) - Fig. 4(d) that they are in good agreement. Similarly, as the  
 3 number of samples increases, the result of the MC is closer to the result of the  
 4 proposed method.



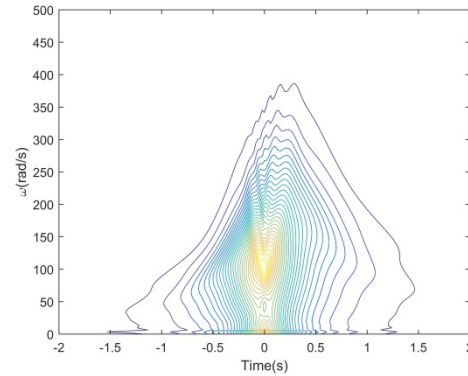
(a1) Evolutionary power spectrum of response at point A



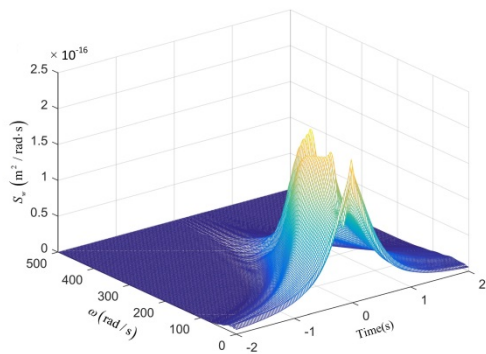
(a2) Contour of evolutionary power spectrum of response at point A



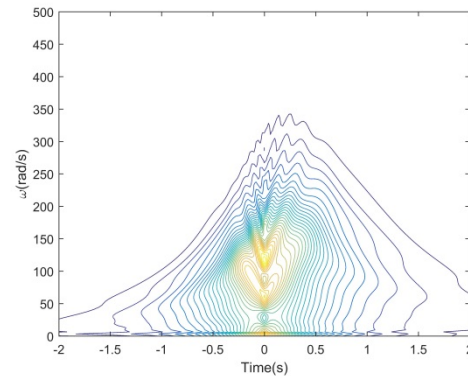
(b1) Evolutionary power spectrum of response at point B



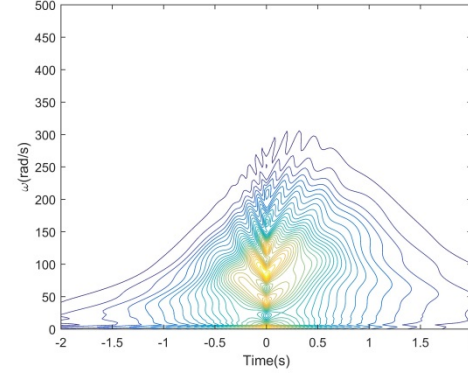
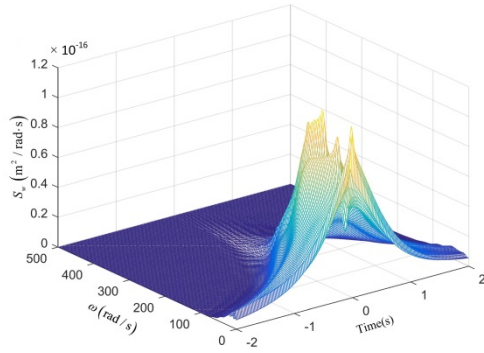
(b2) Contour of evolutionary power spectrum of response at point A



(c1) Evolutionary power spectrum of response at point C



(c2) Contour of evolutionary power spectrum of response at point C



(d1) Evolutionary power spectrum of response at point D

(d2) Contour of evolutionary power spectrum of response at point D

**Fig. 5.** Non-stationary evolutionary power spectrum of vertical displacement at the different points when  $v = 200$  km/h

1  
2  
3

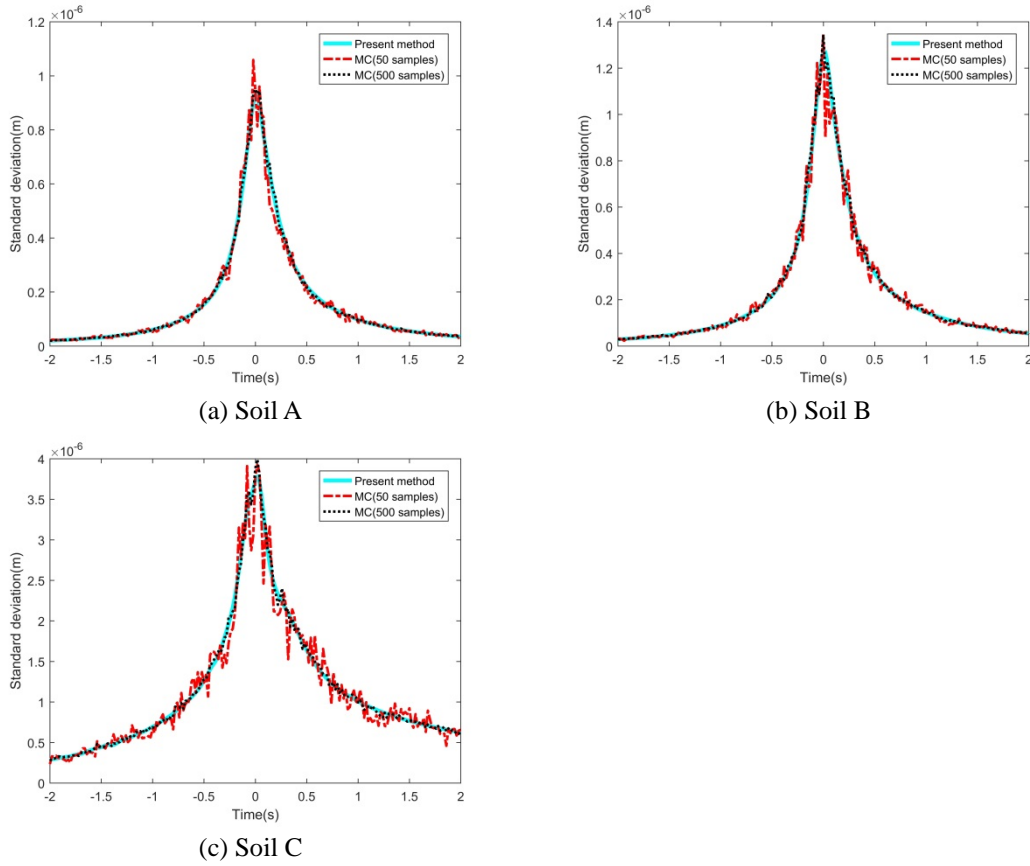
### 4.3 Different soil characteristics

4

5 Assuming that the load moves along the track at a speed of 200 km/h. Three  
6 kinds of the soil characteristics of Soil A, Soil B and Soil C are considered. Ground  
7 observation point A (0, 5m, 0) is selected to evaluate the vibration. Fig. 6 - Fig. 7  
8 show the time-dependent standard deviation and the evolutionary power spectrum of  
9 the vertical displacement response under the different soil parameters, respectively.

10 The numerical results of the time-dependent standard deviation in Fig. 6 show  
11 that the soft soil is more likely to cause greater vibration at the same speed: the peak  
12 value of the time-dependent standard deviation of soil A is  $9.2902 \times 10^{-7}$ m (Fig  
13 5(a)), the peak value of the time-dependent standard deviation of soil B is  $1.2700 \times$   
14  $10^{-6}$ m (Fig 6(b)), the peak value of the time-dependent standard deviation of soil C  
15 is  $3.7816 \times 10^{-6}$ m (Fig 6(c)). In addition, the response is almost symmetric with  
16 respect to the time axis  $t = 0$  for harder soils A and B; whereas for soil C, the  
17 response is no longer symmetrical with respect to the time axis  $t = 0$ . Fig. 7 shows  
18 the evolutionary power spectrum and its contour for the response at the observation  
19 points. As can be seen from Fig. 7(a1-a2) - Fig. 7(b1-b2), for the harder soils, the  
20 frequency components of the response at the observation points are [0,450]rad/s  
21 and [0,400]rad/s, respectively. For the soft soil (Fig. 7(c1-c2)), the frequency  
22 components of vibration are [0,200]rad/s. Compared with the hard soil, for the  
23

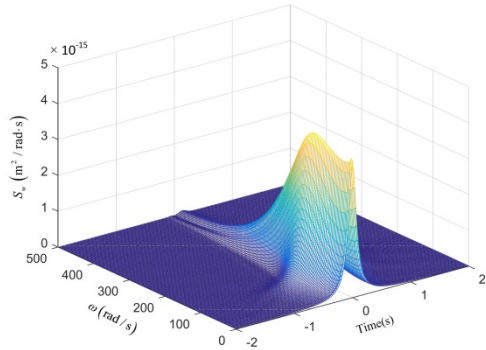
1 vibration response of the soft soil, low frequency components play a major role.



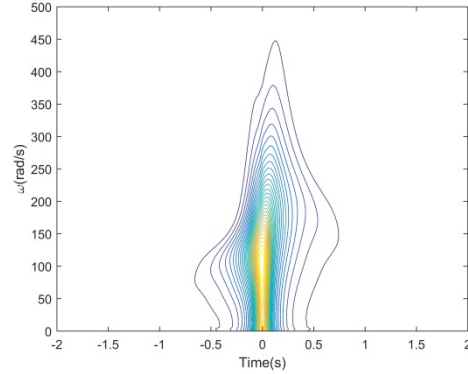
2 **Fig. 6.** Time-dependent standard deviation of vertical displacement at the A (0, 5m, 0) points  
 3 under different soil characteristics ( $v = 200$  km/h)

4 As can be seen from Tab. 2, the Rayleigh wave velocity of soil C is  $c_R = 92$  m/s.  
 5 For soil C, two cases of moving load speed  $v = 50$  km/h = 13.89 m/s  $< c_R$  and  
 6  $v = 350$  km/h = 97.22 m/s (near the value of  $c_R$ ) are considered, respectively. The  
 7 results are shown in Fig. 8. It can be seen that the energy of the vibration is mainly  
 8 concentrated in the interval  $[0, 200]$  rad/s (Fig. 8(a1-a2)) when the moving speed of  
 9 the load is lower than the Rayleigh wave velocity ( $v < c_R$ ), and the energy of the  
 10 vibration is concentrated in the interval  $[0, 50]$  rad/s (Fig. 8(b1-b2)) when the load  
 11 speed is near the Rayleigh wave velocity. The peak value of response increases with  
 12 the moving velocity of the load: the peak value is  $1.2847 \times 10^{-13}$  m<sup>2</sup>/rad ·  
 13 s ( $\omega = 47.5799$  rad/s) for  $v = 50$  km/h; the peak value is  $2.4838 \times 10^{-13}$  m<sup>2</sup>/rad ·  
 14 s ( $\omega = 22.3715$  rad/s) for  $v = 200$  km/h; the peak value is  $3.9748 \times 10^{-12}$  m<sup>2</sup>/rad ·  
 15 s ( $\omega = 0.3142$  rad/s) for  $v = 350$  km/h. The evolutionary power spectrum analysis  
 16 can visually show the vibration energy characteristics of the observation points, which

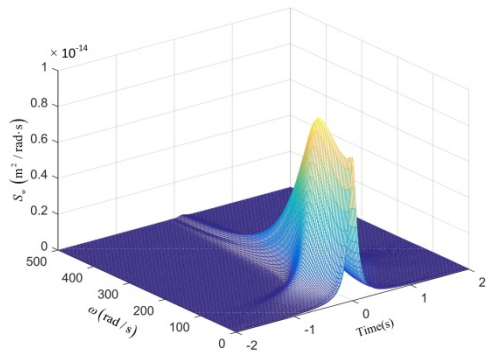
1 has some advantages over time-domain analysis. These results suggest that for design  
 2 of a high-speed rail line, the spatial characteristics of the soil would be very complex,  
 3 and some measures should be taken to increase the hardness of the bearing foundation  
 4 to reduce the unfavourable influence from soft soils.



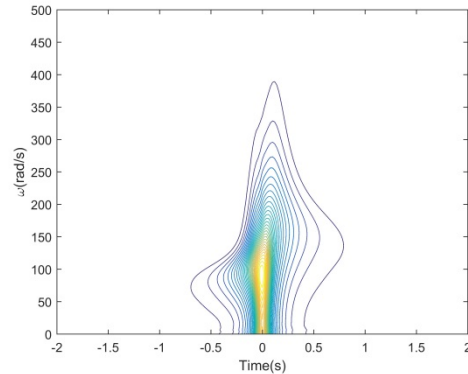
(a1) Evolutionary power spectrum of response for soil A



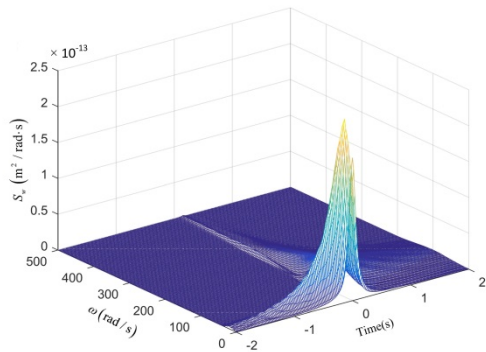
(a2) Contour of evolutionary power spectrum of response for soil A



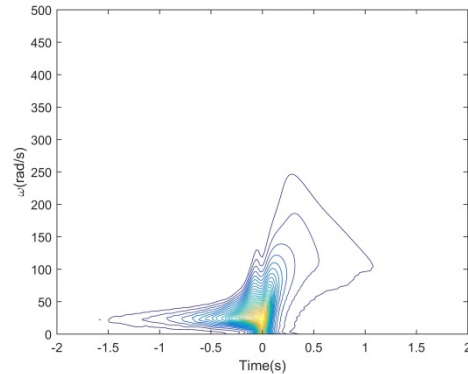
(b1) Evolutionary power spectrum of response for soil B



(b2) Contour of evolutionary power spectrum of response for soil B



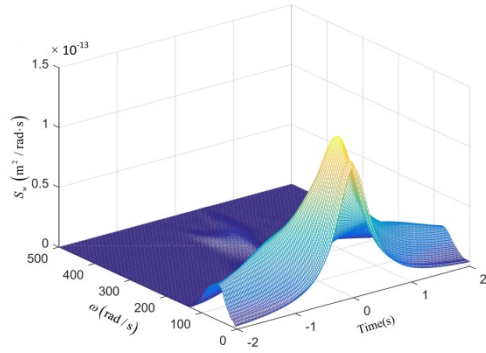
(c1) Evolutionary power spectrum of response for soil C



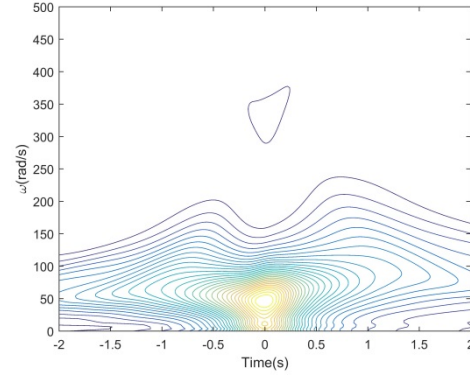
(c2) Contour of evolutionary power spectrum of response for soil C

**Fig. 7.** Non-stationary evolutionary power spectrum of vertical displacement at the A (0, 5m, 0) points under different soil characteristics ( $v = 200$  km/h)

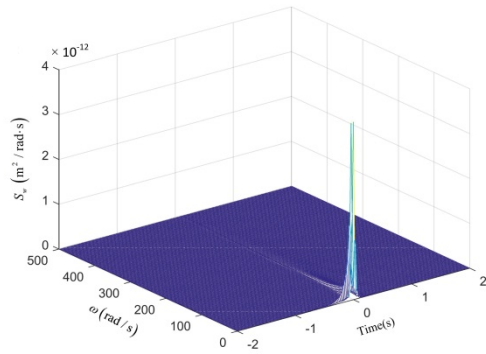




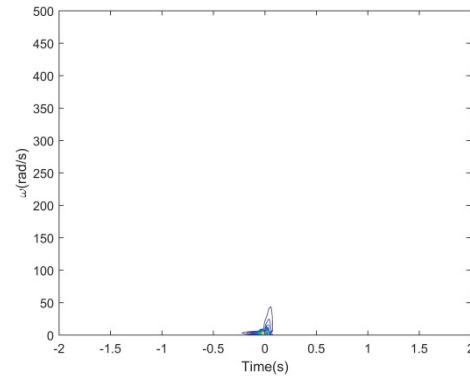
(a1) Evolutionary power spectrum when  $v = 50\text{km/h}$  and Soil C



(a2) Contour of evolutionary power spectrum when  $v = 50\text{km/h}$  and Soil C



(b1) Evolutionary power spectrum when  $v = 350\text{km/h}$  and Soil C



(b2) Contour of evolutionary power spectrum when  $v = 350\text{km/h}$  and Soil C

**Fig. 8.** Non-stationary evolutionary power spectrum of vertical displacement at the A (0, 5m, 0) for different speeds

In the discussion of this section, the MC method and the proposed method are also used to compute the time-dependent standard deviation of the vibration response with the different soil characteristics of the observation point. It can be seen from Fig. 6(a) - Fig. 6(c) that the two sets of results agree well with each other.

#### 4.4 Coherence effect of loads

When the train is running along the track, any two wheels may be considered to be subjected to the same random excitation due to the same track irregularity, but there is a certain time lag. When the two excitations are viewed at a fixed observation point, the dynamic effect of each load on the observation point is a coherence effect problem. The method proposed in this paper can also effectively analyse such problems.

In order to simulate the vehicle running on the track, four wheel-rail forces

1 acting on the track (from four wheelsets of two bogies of a vehicle), which have time  
 2 lags between them, are considered, as

$$\begin{aligned}\mathbf{f}(t) &= \{f_1(t), f_2(t), f_3(t), f_4(t)\}^T \\ &= \{f(t - \tau_1), f(t - \tau_2), f(t - \tau_3), f(t - \tau_4)\}^T \\ \tau_k &= \frac{(x_1 - x_k)}{v}, \quad k = 1, 2, 3, 4\end{aligned}\quad (52)$$

3 in which,  $x_k$  is the relative position coordinates of the four wheel.

4 Considering the coherence between the loads, the pseudo excitation vector is  
 5 constructed as [32] .

$$\tilde{\mathbf{f}}(t) = \sqrt{S_f(\omega)} e^{i\omega t} \{e^{-i\omega\tau_1}, e^{-i\omega\tau_2}, e^{-i\omega\tau_3}, e^{-i\omega\tau_4}\}^T \quad (53)$$

6 Each component  $(\sqrt{S_f(\omega)} e^{-i\omega\tau_k}) e^{i\omega t}$  ( $k = 1, 2, 3, 4$ ) in Eq. (53) is used as an  
 7 alternative to Eq. (44), and the pseudo response  $\tilde{w}_k(\mathbf{x}, \omega, t)$  ( $k = 1, 2, 3, 4$ ) of the  
 8 system under the pseudo excitation can be computed from Eq. (46). The evolutionary  
 9 power spectrum can be compute using the following equation [32].

$$S_{ww}(\mathbf{x}, t, \omega) = \left( \sum_{i=1}^4 \tilde{w}_i(\mathbf{x}, \omega, t) \right)^* \left( \sum_{i=1}^4 \tilde{w}_i(\mathbf{x}, \omega, t) \right) \quad (54)$$

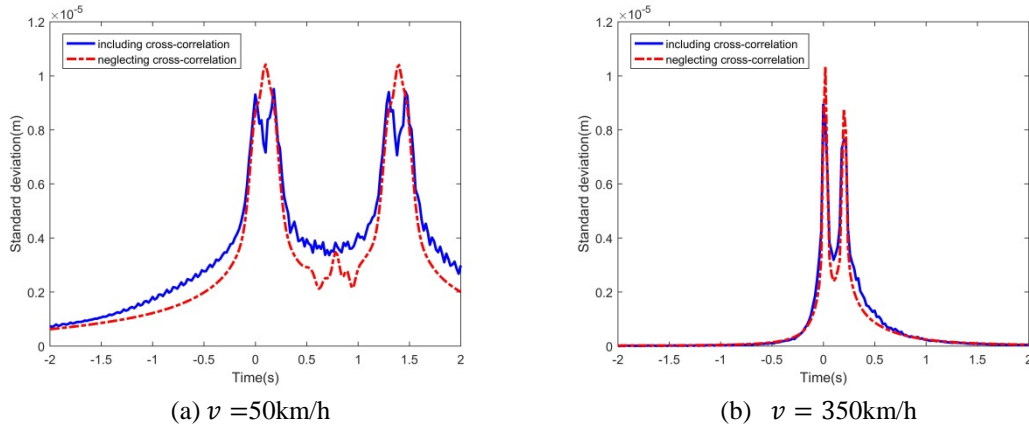
10 In Eq. (52), the relative positions of the loads to each other are respectively

$$x_1 = 0 \text{ m}, x_2 = -2.4 \text{ m}, x_3 = -18 \text{ m}, x_4 = -20.4 \text{ m} \quad (55)$$

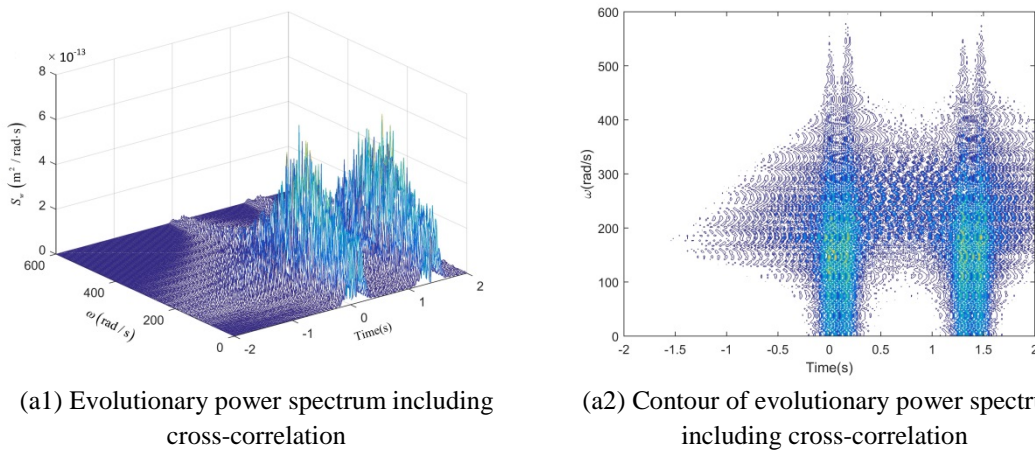
11 According to Eq. (54), the nonstationary random vibration analysis of the track-soil  
 12 system, in which the coherence effect of the moving loads is considered, is carried out.  
 13 For the load moving along the track at speeds of 50 km/h and 350 km/h ,  
 14 observation point A (0,0,0) is selected to evaluate the ground vibration. Fig. 9 - Fig.  
 15 10 show the time-dependent standard deviation and the evolutionary power spectrum  
 16 of the vertical displacement response of point A at different velocities, respectively.

17 For comparison, the cases that neglect cross-correlation of the loads are also  
 18 analysed. Fig. 9(a) - Fig. 9(b) show the change in the results of the standard deviation,  
 19 when the cross-correlation of the wheel loads is included, is very obvious than neglect  
 20 cross-correlation. When the speed is 50 km/h, the standard deviation curve changes  
 21 from the two peaks to the four peaks, but the peak value decreases. The reason for this  
 22 phenomenon is that the responses of the system will be superimposed or offset by the

1 different phases of each load. Fig. 10 - Fig. 11 show the evolutionary power spectrum  
 2 at point A at velocities of 50 km/h and 350 km/h, respectively, and also give the  
 3 results of the same situation with and without cross-correlation of the loads. When the  
 4 load speed is 50 km/h, comparing the evolution of the power spectrums including  
 5 the cross-correlation of the loads (Fig. 10(a1-a2)) with that neglecting the  
 6 cross-correlation of the loads (Fig. 10(b1-b2)), it can be seen that there are still four  
 7 response bands, but there is no obvious equivalent contours for the results including  
 8 the cross-correlation of the loads. Fig. 11 shows the evolutionary power spectrum at a  
 9 load speed of 350 km/h. A similar conclusion to the above can be reached, but due  
 10 to the higher speed, there are only two response bands.

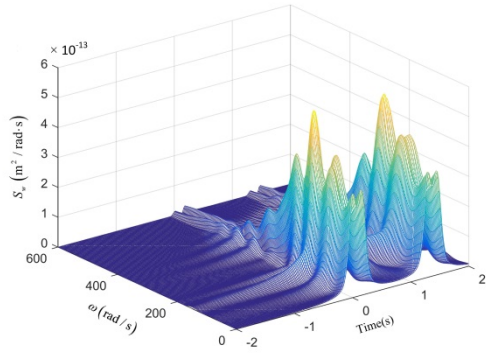


11 **Fig. 9.** Time-dependent standard deviation of vertical displacement at the point A (0, 0, 0) for  
 12 different speeds  
 13

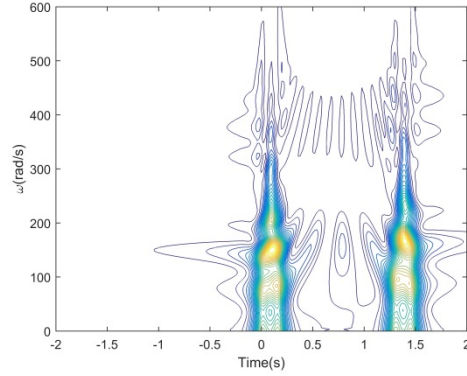


(a1) Evolutionary power spectrum including cross-correlation

(a2) Contour of evolutionary power spectrum including cross-correlation



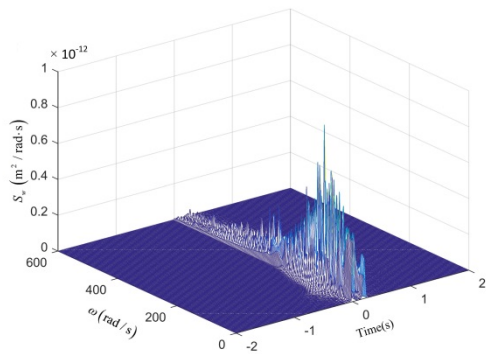
(b1) Evolutionary power spectrum neglecting cross-correlation



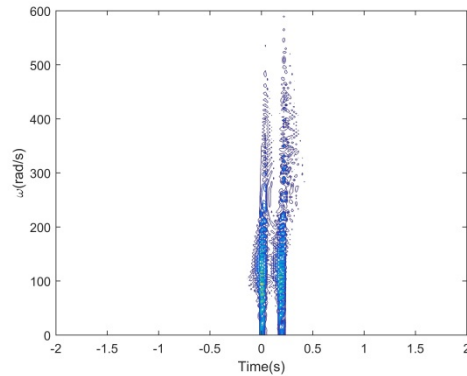
(b2) Contour of evolutionary power spectrum neglecting cross-correlation

**Fig. 10.** Non-stationary evolutionary power spectrum of vertical displacement at the point A (0, 0, 0) for  $v = 50\text{km/h}$

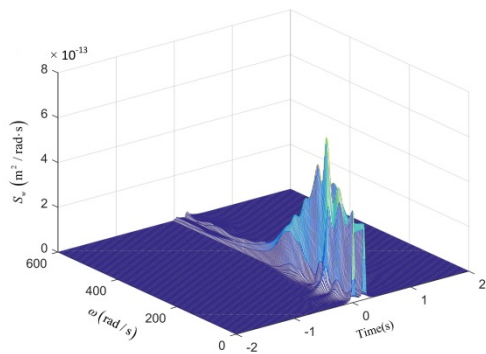
1



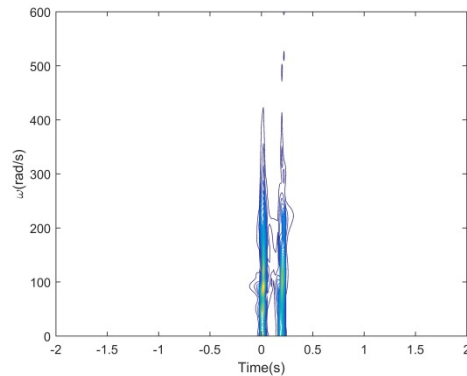
(a1) Evolutionary power spectrum including cross-correlation



(a2) Contour of evolutionary power spectrum including cross-correlation



(b1) Evolutionary power spectrum neglecting cross-correlation



(b2) Contour of evolutionary power spectrum neglecting cross-correlation

**Fig. 11.** Non-stationary evolutionary power spectrum of vertical displacement at the point A (0, 0, 0) for  $v = 350\text{km/h}$

## 2 5. Conclusions

3

4

A combined approach for ground vibration induced by a moving random load is

1 proposed in this paper. The key feature of this method is to determine the coefficients  
2 of potential functions of Helmholtz equation of the soil, which is derived by  
3 decoupling the governing equations of motion for the track-soil system. The complex  
4 random ground vibration can be solved by the PEM-ITM, which is also verified by  
5 the Monte Carlo method. The presented method is proved to be very efficient and  
6 accurate.

7 From the detailed analysis of numerical results of the vibration responses at  
8 different parameters, the conclusions can be obtained as follows:

9 (1) When viewed at a fixed observation point, the train speed has a significant  
10 effect on the time-dependent standard deviation of the vibration responses of the  
11 observation point. As the speed increases, the response curve loses symmetry, while  
12 the evolutionary power spectrum response is more concentrated toward the time axis  
13  $t = 0$ .

14 (2) At the same speed, for the different observation points, the farther away from  
15 the track, the peak value of the evolutionary power spectrum of random vibration is  
16 smaller, but the duration of vibration becomes longer, and the lower frequency  
17 components play a major role.

18 (3) At the same speed, soft soils have obvious vibration amplification effects.  
19 From the evolutionary power spectrum of the response, when the velocity is near the  
20 Rayleigh wave velocity, and the energy is very concentrated in the low frequency  
21 region and has very high peaks.

22 (4) The coherence of random loads has an obvious influence on the response of  
23 the observation point. Due to the superposition and cancellation by the individual  
24 responses from the wheel loads on the vibration of the observation point, when the  
25 cross-correlation of the loads is neglected, the curve shape of the time-dependent  
26 standard deviation of the vibration response and the peaks experience significant  
27 changes, and there is no clear borderline in the contour plot of the evolutionary power  
28 spectrum.

29

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