

1 **Conditional targeting in mice reveals that hepatic homogentisate 1,2-dioxygenase**
2 **activity is essential in reducing circulating homogentisic acid and for effective therapy**
3 **in the genetic disease alkaptonuria.**

4 Juliette H. Hughes^{1*}, Ke Liu¹, Antonius Plagge², Peter J.M. Wilson¹, Hazel Sutherland¹,
5 Brendan P Norman¹, Andrew T. Hughes^{1,3}, Craig M Keenan¹, Anna M. Milan^{1,3}, Takao
6 Sakai², Lakshminarayan R. Ranganath^{1,3}, James A. Gallagher^{1,+}, George Bou-Gharios^{1,+}.

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8 ¹ Institute of Ageing and Chronic disease, University of Liverpool, Liverpool, L7 8TX, UK; ²
9 Institute of Translational Medicine, University of Liverpool, Liverpool, L69 3GA, UK; ³
10 Liverpool Clinical Laboratories, Department of Clinical Biochemistry and Metabolic
11 Medicine, Royal Liverpool and Broadgreen University Hospitals Trust, Liverpool, L7 8XP,
12 UK.

13 ⁺ JAG and GBG contributed equally.

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15 Correspondence: *Juliette H Hughes, hljhugh4@liverpool.ac.uk; Address: William Henry
16 Duncan Building, 6 West Derby Street, Liverpool, L7 8TX.

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26 **Abstract**

27 Alkaptonuria is an inherited disease caused by homogentisate 1,2-dioxygenase (HGD)
28 deficiency. Circulating homogentisic acid (HGA) is elevated and deposits in connective
29 tissues as ochronotic pigment. In this study, we aimed to define developmental and adult
30 HGD tissue expression and determine the location and amount of gene activity required to
31 lower circulating HGA and rescue the alkaptonuria phenotype.

32

33 We generated an alkaptonuria mouse model using a knockout-first design for the disruption
34 of the HGD gene. *Hgd* *tm1a* *-/-* mice showed elevated HGA and ochronosis in adulthood.
35 LacZ staining driven by the endogenous HGD promoter was localised to only liver
36 parenchymal cells and kidney proximal tubules in adulthood, commencing at E12.5 and
37 E15.5 respectively. Following removal of the gene trap cassette to obtain a normal mouse
38 with a floxed 6th HGD exon, a double transgenic was then created with Mx1-Cre which
39 conditionally deleted HGD in liver in a dose dependent manner. 20% of HGD mRNA
40 remaining in liver did not rescue the disease, suggesting that we need more than 20% of liver
41 HGD to correct the disease in gene therapy.

42

43 Kidney HGD activity which remained intact reduced urinary HGA, most likely by increased
44 absorption, but did not reduce plasma HGA nor did it prevent ochronosis. In addition,
45 downstream metabolites of exogenous ¹³C₆-HGA, were detected in heterozygous plasma,
46 revealing that hepatocytes take up and metabolise HGA.

47

48 This novel alkaptonuria mouse model demonstrated the importance of targeting liver for
49 therapeutic intervention, supported by our observation that hepatocytes take up and
50 metabolise HGA.

51 **Introduction**

52 Alkaptonuria (AKU; OMIM #203500) is a rare metabolic recessive disease where the
53 enzyme homogentisate 1,2-dioxygenase (HGD; EC 1.13.11.5), that is mainly found in the
54 liver, is deficient (1). Garrod in 1908 unveiled the term *inborn error of metabolism* and
55 proposed that AKU was caused by the lack of an enzyme that in normal individuals split the
56 aromatic ring of homogentisic acid (HGA) (2). Biochemical evidence of the defect in AKU
57 was provided by La Du in 1958, where he demonstrated the absence of HGD activity in a
58 liver homogenate prepared from an AKU patient and established that the failure to synthesize
59 active enzyme was the sole cause of AKU (1).

60

61 HGD deficiency leads to HGA accumulation in the blood and tissues, despite urinary
62 excretion. It has been proposed that excess HGA undergoes oxidation and polymerization to
63 form a dark brown ochronotic pigment (3) that deposits in connective tissues such as the skin,
64 sclera, spine and articular cartilage, as well as in heart valves (4, 5), where it causes aortic
65 stenosis (6). AKU patients suffer from early-onset severe osteoarthropathy due to premature
66 degeneration of articular cartilage and disease manifestations worsen with age. Despite liver
67 deficiency of HGD, the main pathophysiological manifestation of AKU relates to the
68 function of non-metabolised HGA in the joints.

69

70 HGD has been mapped onto human chromosome 3q13.33
71 (<http://www.ncbi.nlm.nih.gov/gene/3081>) (7, 8). Currently, 203 different HGD pathogenic
72 variants have been identified (HGD mutation database: <http://hgddatabase.cvtisr.sk>) (9, 10),
73 of which the most frequent are missense variants (representing 68.3%), followed by splicing
74 (13.4%) and frameshift (11.3%) mutations (11). In addition to the liver, HGD is thought to be
75 expressed in the prostate, small intestine, colon, and kidney (12), as well as in osteoarticular

76 compartment cells (chondrocytes, synoviocytes, and osteoblasts) (13), and the brain (14).
77 However, this has not been verified by in-situ hybridisation or HGD labelling.

78

79 Currently, AKU treatment is palliative via analgesics and joint replacement, with dietary
80 protein restriction and vitamin C showing little or no efficacy (15). Recently there have been
81 trials of 2-(2-nitro-4-trifluoromethylbenzoyl)-1,3-cyclohexanedione (NTBC), more
82 commonly referred to as nitisinone, in the treatment of AKU (16). Nitisinone, which inhibits
83 the HGA producing enzyme 4-hydroxyphenylpyruvate dioxygenase (HPPD; EC 1.13.11.27),
84 has been the licensed treatment for hereditary tyrosinaemia type 1 (HT-1; OMIM #276700)
85 since 2002, where fumarylacetoacetate hydroxylase (FAH; EC 3.7.1.2) deficiency results in
86 liver failure, hepatocellular carcinoma and renal tubular dysfunction (17). Moreover, the off-
87 licence use of nitisinone at the National Alkaptonuria Centre (Liverpool, UK) has shown a
88 decreased rate of disease progression in addition to lowering serum and urine HGA (18).

89

90 Treatment of other inborn errors of metabolism related to the phenylalanine/tyrosine pathway
91 by enzyme replacement has been attempted with some success (19). In the past decade, liver-
92 directed gene therapy has emerged as a promising alternative to transplantation in monogenic
93 liver disorders such as AKU (20). The level of HGD required to rescue the disease, if
94 expression outside the liver can affect the phenotype and whether circulating HGA can be
95 metabolised by HGD-expressing cells are essential questions that must be addressed before
96 such treatments are investigated.

97

98 To answer these questions, a new targeted knockout-first AKU mouse model was generated.
99 This mouse harbours a LacZ reporter gene within the HGD locus for localising gene
100 expression and was conditionally manipulated to obtain an inducible and liver-specific

101 knockout. This study provides compelling evidence that targeting hepatic HGD plays an
102 indispensable role in any enzyme replacement or gene therapy for AKU.

103

104 **Results**

105 Generation of the conditionally targeted Hgd mouse

106 ES cells from clone C10 resulted in chimeras which achieved germline transmission. This
107 knockout-first allele (Hgd tm1a) contained an IRES:LacZ gene trap cassette and a promoter-
108 driven neo cassette inserted into the fifth HGD intron with the sixth exon flanked by loxP
109 sequences, see figure 1A (21, 22). Homozygous Hgd tm1a mice showed an AKU phenotype
110 due to HGD gene disruption. In C10/tm1a mice, Lox-F/Hgd-R primers amplified the loxP
111 sequence (257bp) showing the allele was floxed (figure 1). Hgd-F/Hgd-ttR primers produced
112 a 561bp band in the wildtype allele; the gene trap cassette sequence in the modified allele was
113 too large to be amplified. Homozygous tm1a therefore had only the 257bp floxed band,
114 heterozygotes had both the floxed 257bp and wildtype 561bp bands and wildtype had only
115 the 561bp band (figure 1B).

116

117 Hgd tm1c had restored HGD gene expression due to removal of the gene trap and was
118 phenotypically wildtype. To achieve inducible and conditional HGD deletion, interferon
119 inducible Mx-1 was used to drive expression of cre recombinase, MxCre (23), generating the
120 Hgd tm1d allele. In the post-flp tm1c and tm1d (before cre recombination), Lox-F/Hgd-R
121 primers showed the allele was floxed (257bp) and Hgd-F/Hgd-ttR primers showed that Flp
122 recombination had occurred (673bp). Wildtype tm1c/d had only the 561bp band (Hgd-F/Hgd-
123 ttR). Homozygous tm1c/d had both the 257bp floxed and 673bp post-flp bands and
124 heterozygous tm1c/d had all three bands (257bp floxed, 673bp post-flp and 561bp wildtype),
125 see figure 1C. Primers produced a band at 520bp when MxCre was present (figure 1D).

126

127 Elevation of HGA in plasma and urine

128 HGA was measured in urine and plasma of mice (figure 1E-F). Urinary HGA (mean \pm SEM)
129 was elevated approximately 100,000-fold ($99,575 \pm 30,851 \mu\text{mol/L}$) and plasma HGA
130 elevated about 100-fold ($100.5 \pm 34.9 \mu\text{mol/L}$) in the Hgd tm1a $-/-$ mice compared to Hgd
131 tm1a $-/+$ (plasma: $2.0 \pm 0.5 \mu\text{mol/L}$; urine: $15 \pm 25.3 \mu\text{mol/L}$), Hgd tm1c (plasma: 2.0
132 $\pm 1.0 \mu\text{mol/L}$; urine: $2.4 \pm 2.9 \mu\text{mol/L}$) and C57BL/6 wildtype (plasma: $1.7 \pm 0.6 \mu\text{mol/L}$; urine:
133 $0.8 \pm 0.2 \mu\text{mol/L}$) mice. These differences were all statistically significant ($p < 0.001$; one-way
134 ANOVA, Tukey-Kramer post-hoc).

135

136 As AKU is present at birth, plasma HGA was measured in day 1 Hgd tm1a $-/-$ pups (2 pools
137 of $n=3$; gestation: 19.5 days), see figure 1G. Plasma HGA (mean \pm SEM) was elevated 3-fold
138 in day 1 pups ($308.8 \pm 18.9 \mu\text{mol/L}$) compared with adult Hgd tm1a $-/-$ mice (100.5
139 $\pm 8.7 \mu\text{mol/L}$).

140

141 Detection of ochronosis and its progression

142 Knee joints from Hgd tm1a $-/-$ mice aged 7–40 weeks were examined for pigmentation.
143 Ochronosis was found in calcified articular cartilage (figure 2A), first appearing at 9 weeks
144 (figure 2B). The pigment was initially pericellular (9-11 weeks) and very infrequent. At 26
145 and 40 weeks (figure 2C and 2E respectively), the number and intensity of pigmented
146 chondrons were increased and showed advancement to the intracellular compartment.
147 Clusters of pigmented chondrons were seen at ligament attachment sites (not shown). At 40
148 weeks, pigmentation was still confined to calcified cartilage. Heterozygous controls showed
149 no pigmentation at 26 and 40 weeks (figure 2D and 2F respectively).

150

151 Adult HGD expression

152 Adult tissues from Hgd tm1a -/- were stained for LacZ to visualise HGD expression as blue
153 staining. Positive staining was present in the liver and kidney cortex after 2 hours which
154 intensified when left overnight (figure 3A). Heterozygous staining was less intense (not
155 shown) and was slower to develop. Hgd tm1c wildtype-like liver and kidney did not stain
156 (figure 3A). All other Hgd tm1a tissues investigated including brain, heart, lung, muscle,
157 spleen, intestine, skin, bone, cartilage, eye and prostate were negative. HGD mRNA analysis
158 via qPCR (HGD1 primers spanning exons 3-4 before gene trap cassette) confirmed this
159 staining pattern, with HGD expression only present in liver and kidney (figure 3B).

160

161 LacZ in whole liver and kidney was limited by the penetration of substrate therefore frozen
162 section staining was undertaken to demonstrate that LacZ and therefore HGD is expressed in
163 the cytoplasm throughout the liver parenchyma (figure 3C). In the kidney cortex, glomeruli
164 were LacZ negative, and only certain tubules stained positive (figure 3D), which were
165 identified using periodic acid schiff for brush border staining in proximal convoluted tubules
166 (PCT) (figure 3E,F).

167

168 Embryonic HGD expression

169 To determine when HGD is expressed, LacZ staining of time-mated Hgd tm1a -/- embryos
170 was carried out. Whole embryo staining showed positive LacZ staining in the liver at E14.5
171 and onwards (figure 3G). However, histological sections revealed punctate staining at E12.5
172 and onwards in the liver (figure 3H). Positive LacZ staining was seen in the kidney at E15.5
173 in some of the developing kidney tubules (figure 3H). All other embryonic tissues examined
174 in frozen sections, including brain, eye, bones and other internal organs were LacZ negative.

175

176 Similarly, liver HGD mRNA expression in Hgd tm1a ^{-/-} (HGD1 primers spanning exons 3–4
177 before gene trap cassette) was analysed by qPCR (figure 3I) at E12.5 (n=3), E13.5 (n=3),
178 E14.5 (n=4) and E15.5 (n=3), day 1 pups (n=6) and compared with adult mice (n=4, male,
179 mean age 19.7 weeks). Compared to the adult, liver HGD expression was 0.3% at E12.5,
180 0.8% at both E13.5 and E14.5, and 2.3% at E15.5. This considerable difference in HGD
181 mRNA was reflected in the LacZ staining intensity seen between the adult liver (figure 3C)
182 compared to the embryo liver (figure 3H). Day 1 pup liver HGD expression was 12.6% of the
183 adult expression level (8-fold lower).

184

185 Inducible and liver-specific HGD knockout

186 To investigate the effect of liver-specific HGD gene deletion, double transgenic Hgd tm1d
187 MxCre +ve mice (n=5) and wildtype (n=3) and AKU (n=4) controls were injected with pIpC.
188 Blood and urine samples were collected according to the scheme in figure 4A. 15 days after
189 the first pIpC injection, MxCre +ve mice showed a 77.6% decrease in liver HGD mRNA
190 compared with wildtype controls (figure 4B). Kidney HGD expression in MxCre +ve mice
191 did not change and was comparable to wildtype controls (figure 4C). AKU mice had no HGD
192 mRNA expression as expected (primers span exons 9–10 after gene trap cassette).

193

194 Following the knockout of approximately 78% liver HGD mRNA in MxCre +ve mice, mean
195 plasma HGA (\pm SEM) increased from $0.2 \pm 0.2 \mu\text{mol/L}$ to $129.3 \pm 35.6 \mu\text{mol/L}$ at 15 days post-
196 pIpC (figure 4D). MxCre +ve urinary HGA (figure 4E) was elevated from $9.4 \pm 7.1 \mu\text{mol/L}$ to
197 $11,807 \pm 974 \mu\text{mol/L}$ at 15 days post-pIpC but remained low in comparison to AKU controls
198 ($134,948 \pm 18,479 \mu\text{mol/L}$). Both plasma and urinary HGA remained high in AKU controls
199 and low in wildtype controls as expected.

200

201 Long-term liver-specific HGD knockout

202 MxCre +ve mice (n=15) and AKU controls (n=5) were injected with pIpC. MxCre +ve
203 wildtype controls were injected with PBS (n=5) as wildtype controls. Injections and sampling
204 of blood and urine was carried out according to the scheme in figure 5A. MxCre +ve mice
205 injected with pIpC were culled at 9 (n=5), 15 (n=5) and 20 (n=5) weeks post-injection, with
206 wildtype and AKU controls culled at 20 weeks. Liver and kidney mRNA was taken and knee
207 joints were taken to assess ochronosis.

208

209 As with the previous study, liver HGD mRNA was reduced in pIpC-injected MxCre +ve
210 mice, which was sustained to 20 weeks post-injection, see figure 5B. Compared to wildtype
211 controls, liver HGD expression was 11.7%, 17.7% and 18.4% at 9, 15 and 20 weeks
212 respectively. Kidney HGD expression in the MxCre +ve mice remained comparable to
213 wildtype controls (figure 5C). The reduction of liver HGD mRNA in the MxCre +ve mice
214 subsequently caused plasma HGA to increase (figure 5D) to a level comparable with AKU
215 controls. Urinary HGA (figure 5E) was increased in the MxCre +ve mice but not to that of
216 AKU controls. Knee sections stained with Schmorls' stain were scored to obtain the number
217 of pigmented chondrons found in a representative knee joint section (figure 5F). Few or no
218 pigmented chondrons were found in the MxCre +ve knee joints 9 weeks post-pIpC,
219 increasing in number at 15 and 20 weeks. Wildtype controls showed no pigmentation.

220

221 Liver-specific HGD knockout: dose response

222 In order to investigate the effect of varying liver HGD mRNA expression levels on the AKU
223 phenotype, a short term dose response study was carried out. Figure 6A demonstrates the
224 study design. MxCre +ve mice were given two injections of pIpC at the following doses;
225 3.33 μ g/g (n=3), 1 μ g/g (n=3), 0.33 μ g/g (n=3), 0.1 μ g/g (n=5), 0.03 μ g/g (n=3) and 0.01 μ g/g

226 (n=3) body weight. AKU (Hgd tm1a -/-; n=4) and wildtype (MxCre WT; n=7) controls were
227 given the highest dose of 3.33 μ g/g pIpC. With the exception of the 0.01 μ g/g group, liver
228 HGD mRNA in MxCre +ve mice was reduced at 15 days post-injection (figure 6B) in all
229 groups, with a dose response observed at the lower pIpC doses. The mean liver HGD
230 expression in MxCre +ve mice 15 days post-pIpC compared to wildtype controls was
231 lowered to 17.0%, 20.5%, 20.5%, 53.4% and 54.5% with decreasing pIpC doses from
232 3.33 μ g/g – 0.03 μ g/g. The mean liver HGD expression in the 0.01 μ g/g group was comparable
233 to the WT controls. Kidney HGD expression was unchanged (figure 6C).

234

235 Plasma HGA in MxCre +ve mice at 15 days post-pIpC were lowered in a dose responsive
236 manner (mean \pm SEM) to 75.0 \pm 20.9, 57.9 \pm 20.9, 27.2 \pm 9.6, 22.7 \pm 13.4, 26.1 \pm 24.1 and 1.3
237 \pm 0.4 μ mol/L with decreasing pIpC doses (figure 6D). Similarly, urine HGA demonstrated a
238 dose response at 15 days post-pIpC, at (mean \pm SEM) 45,074 \pm 14,977, 14,169 \pm 13,783, 6,137
239 \pm 6,064, 5,717 \pm 5,667, 31,043 \pm 31,041 and 2.0 \pm 0.2 μ mol/L with decreasing pIpC doses
240 (figure 6E). These levels of urine HGA were elevated compared with wildtype (1.9 \pm 0.2
241 μ mol/L) controls, but lower than AKU (113,067 \pm 10,609 μ mol/L) controls. Figure 6F-G
242 show the relationship between liver HGD mRNA (expressed as percentage of the mean
243 wildtype level) and HGA.

244

245 Metabolism of circulating HGA

246 To determine if circulating HGA can be taken up by HGD-expressing cells to be metabolised
247 intracellularly, both Hgd tm1a -/- (n=4) and Hgd tm1a +/- (n=4) mice were injected with
248 ¹³C₆-HGA into the tail vein. Plasma samples were then collected at various time points, from
249 2–60 minutes post-injection. HGA in its native form (m+0) was detected in plasma of Hgd
250 tm1a -/- mice at all time points, and was absent in Hgd tm1a +/- mice (not shown). ¹³C₆-HGA

251 was detected in both Hgd tm1a $-/-$ and $-/+$ mice after injection (figure 7). M+6 isotopologues
252 of fumarylacetoacetic acid ($^{13}\text{C}_6$ -FAA)/maleylacetoacetic acid ($^{13}\text{C}_6$ -MAA), $^{13}\text{C}_6$ -labelled
253 downstream metabolites, were detected in Hgd tm1a $-/+$ mice after $^{13}\text{C}_6$ -HGA injection, and
254 were not detected in Hgd tm1a $-/-$ mice. Native FAA/MAA was not detected in either Hgd
255 tm1a $-/-$ or $-/+$ mice (not shown).

256

257 **Discussion**

258 Management of inborn errors of metabolism such as AKU has traditionally consisted of diet
259 and supportive therapy. However, other treatment options have become available, including
260 enzyme inhibition (17, 24), enzyme replacement (19), cell and organ transplantation (20),
261 gene therapy (20) and CRISPR technology (25).

262

263 The pathophysiology of AKU has been investigated in *ex vivo* tissue samples (14, 26, 27) and
264 *in vitro* models (13, 28) but to investigate the metabolic consequences of AKU and novel
265 therapeutic approaches, we have generated a well-characterised animal model. This model
266 will be used to investigate all aspects of AKU pathophysiology including the mechanism of
267 ochronosis and any associated tissue changes including amyloidosis (29). We have generated
268 a HGD knockout-first mouse model that included a beta galactosidase (LacZ) gene trap
269 within the HGD gene locus, that has enabled precise localisation of HGD expression.
270 Targeted gene disruption in Hgd tm1a removes any potentially confounding mutations that
271 could be present in an existing ENU (N-ethyl-N-nitrosourea) AKU mouse model (30), as
272 ENU mutagenesis causes a high frequency of genomic mutations (31). This new mouse
273 recapitulated the human disease. Manipulation of this Hgd tm1a knockout-first allele by
274 FRT/flip and Cre/loxP recombination enabled liver-specific HGD deletion in double transgenic

275 Hgd tm1d MxCre +ve mice, highlighting important considerations for future therapy in
276 AKU.

277

278 Plasma HGA in Hgd tm1a -/- is comparable to that previously reported values in the ENU
279 AKU mouse (30). This mutagenesis model exhibited the first signs of ochronosis at 15 weeks
280 (30). Knee joints were therefore examined from 7-11 weeks in Hgd tm1a -/- mice, with
281 pericellular pigmentation identified at 9 weeks; progression was then similar to mutagenesis
282 AKU mice. Ochronosis in the mouse appears to represent the early stages of human joint
283 pathophysiology in AKU with pigmentation confined to individual chondrocytes and their
284 territorial matrix in the calcified cartilage.

285

286 The LacZ reporter gene has enabled both temporal and spatial histological localisation of
287 HGD showing that HGD was expressed throughout the liver parenchyma and kidney PCT
288 cells. It has previously been suggested that HGD is expressed in the intestine and prostate
289 (12), brain (14) and bone/cartilage (13), but this was not evident using this knock-in LacZ
290 reporter gene, nor by qPCR analysis of HGD mRNA.

291

292 LacZ staining of time-mated embryos demonstrated that hepatic HGD expression begins at
293 E12.5 (figure 3H), confirmed with qPCR analysis of liver HGD mRNA from E12.5-E15.5.
294 Hepatic cords, containing hepatoblasts, have formed by E10.0 in the developing liver
295 alongside haematopoietic cells. The liver then expands due to hepatoblast proliferation and
296 haematopoietic activity from E10.5-E11.5 (32). Haematopoietic activity rapidly increases,
297 peaking at E13.5 and does not start to decline until E15.5 (32). Haematopoietic cells
298 encompass almost 75% of total liver volume at E13.0 (33) with hepatoblasts at E13.5 having
299 limited contact with each other (32), explaining the diffuse and punctate LacZ staining and

300 low HGD mRNA level in the embryonic liver. Murine hepatoblasts begin to differentiate into
301 hepatocytes at E14.5 (32). Haematopoietic activity continues into the first post-natal week,
302 which may explain why day 1 pups have about an eighth of adult HGD expression (32). In
303 the kidney, adult LacZ staining suggests that the LacZ positive cells seen at E15.5 are
304 developing PCT cells of the nephron.

305

306 HGD expression begins in embryonic development. The 3-fold greater HGA level seen at
307 birth compared to adulthood in *Hgd tm1a -/-* mice (figure 1G) highlights the importance of
308 HGD, even at this very early time point, perhaps suggesting that therapeutic strategies, such
309 as nitisinone (16) or gene/enzyme replacement should ideally begin in early life or at birth.

310

311 Liver-specific HGD deletion

312 To investigate contribution of non-hepatic HGD towards HGA metabolism, double
313 transgenic mice were generated by mating floxed *Hgd tm1d* mice with an *MxCre*
314 recombinase line, and used for liver-specific HGD deletion (23, 34). Two doses of 10 μ g/g
315 body weight pIpC (figure 4,5) resulted in an approximate 80% reduction in liver HGD
316 mRNA, whilst kidney HGD mRNA was maintained at the wildtype level. This reduction of
317 liver HGD mRNA and subsequent increase in plasma HGA to a level comparable with AKU
318 controls suggests that hepatic HGD is crucial for HGA metabolism.

319

320 These results indicate that future gene/enzyme replacement therapy should target the liver to
321 combat elevated plasma HGA that causes ochronosis in AKU. This is supported by a case
322 report of an AKU patient receiving a liver transplant, after which they found no HGA in the
323 urine and reported a halt in progressive arthropathy (35). Full liver HGD mRNA knockout
324 was not achieved with two 10 μ g/g doses in the present study. Further reducing liver HGD

325 mRNA, would not provide further insight into the level of liver HGD mRNA required to
326 rescue the phenotype.

327

328 The data here reveals that approximately 20% liver HGD mRNA, delivered by gene therapy
329 for example, will not rescue AKU; elevated HGA subsequently caused ochronosis in the knee
330 joints of the mice (figure 5F). Determining how much liver HGD is required to rescue the
331 phenotype is an important question for future therapy. The dose response study here (figure
332 6) intended to estimate how much liver HGD mRNA would significantly lower circulating
333 HGA. A dose response was observed in both the plasma and urine HGA levels (figure 6D,E).
334 However, for liver HGD mRNA, there was only a dose response between 0.33-0.01 μ g/g
335 pIpC. One possible explanation for HGD mRNA not corresponding to plasma HGA in the
336 other dose groups could be that the mRNA:protein ratio is not linear. Thus we suggest that
337 the minimum level of liver HGD mRNA required to eliminate circulating HGA must fall
338 between the dashed lines (figure 6F), between 26% and 43% of liver HGD mRNA.

339

340 The intact kidney HGD mRNA did not have an impact on plasma HGA, but instead caused
341 reduced urinary HGA compared to AKU controls. In 2002, an AKU patient who received a
342 kidney transplant reportedly had normalised plasma HGA and decreased urinary HGA (both
343 approximately half pre-transplant levels) (36). Liver-specific HGD deletion shown here in
344 mice however suggests that kidney HGD mRNA is unlikely to rescue the AKU phenotype as
345 blood HGA levels were elevated. The improvement reported with kidney transplantation was
346 likely due to improved renal elimination as the patient had renal failure and subsequently
347 very high HGA pre-transplant, rather than donor HGD expression. Indeed, in the conditional
348 mouse model (figures 4-5) we were expecting high HGA in the urine when circulating HGA
349 increased. However, in the liver-specific knockout, the urine level did not increase,

350 suggesting HGA reabsorption and subsequent metabolism by the intact kidney HGD, for
351 which we do not know the mechanism. Fundamentally, the importance of this finding is that
352 intact kidney HGD does not rescue the disease and therefore liver HGD is critical for the
353 correction of AKU.

354

355 HGD activity and HGA metabolism: considerations for gene therapy

356 Restoring HGD activity in all liver cells, via gene therapy or enzyme replacement, is
357 unachievable by any current method, but the data here suggests that it is not necessary. In
358 heterozygous mice and humans, one functioning copy of the HGD gene in all cells is
359 sufficient to deal with HGA metabolism. Assuming heterozygous mice possess 50% of the
360 HGD mRNA compared with wildtypes (adult LacZ staining suggests this assumption is
361 correct), then 50% HGD mRNA in every hepatocyte can rescue AKU. As described above,
362 conditional deletion to 20% of total HGD liver mRNA, did not appear to rescue the
363 phenotype. However, we cannot determine how many cells were expressing the gene, either
364 as one or two alleles, nor the distribution of expression which could be variable from cell to
365 cell. The proportion of corrected cells and the level of therapeutic gene expression per cell
366 required to rescue AKU is therefore still not clear. A recent study providing the first human
367 genotype-phenotype correlation data for the three most frequent HGD mutation variants (33
368 patients homozygous for these variants) identified in the SONIA-2 trial demonstrated no
369 difference in baseline visit serum or 24-hour urine HGA, or clinical symptoms such as eye
370 pigmentation, hip bone density or degree of scoliosis between patients predicted to have 1%
371 (16 patients) or 31%-34% (17 patients) residual HGD catalytic activity as determined *in vitro*
372 against wildtype recombinant human HGD enzyme) (9).

373

374 Another consideration for gene therapy is that hepatocytes without sufficient HGD activity to
375 metabolise HGA could lead to its accumulation in the bloodstream. In this study, intravenous
376 injection of isotopically labelled HGA (figure 7) provides evidence that circulating HGA can
377 re-enter HGD-expressing cells to be metabolised by intracellular HGD. Thus, it should not be
378 necessary to repair 100% of liver cells because HGA produced by AKU hepatocytes could be
379 taken up and metabolised by genetically repaired cells. This model represents a paradigm for
380 inherited liver metabolic diseases, in particular, genetic disorders of tyrosine metabolism.

381

382 Conclusion

383 In summary, this new targeted HGD knockout mouse exhibits the characteristic traits of
384 AKU. Both adult and embryo HGD expression has been localised to only liver and kidney
385 using a reporter gene within the HGD locus. More importantly, the conditional Hgd tm1d
386 MxCre model has highlighted the importance of liver HGD expression in limiting the
387 pathological effects of the HGA pool despite apparent reabsorption of HGA in the kidney.

388

389 Materials and Methods

390 Generation of HGD knockout-first mice

391 Two ES cell lines (clones Hgd C10, Hgd C11) were obtained from the UC Davis KOMP
392 repository. They were grown on feeder cells as described (37). Healthy ES colonies were
393 injected into blastocysts of C57BL/6 (Harlan, UK) and chimeras born from both lines. Only
394 C10 chimeras achieved germline transmission. All mice were housed and maintained within
395 the University of Liverpool Biological Services Unit in specific pathogen-free conditions in
396 accordance with UK Home Office guidelines. Food and water were available *ad libitum*.

397

398 Urine and Blood Collection

399 Tyrosine pathway metabolites in acidified urine and plasma from venous tail bleeds were
400 analysed via high performance liquid chromatography (HPLC) tandem mass spectrometry
401 assays (38, 39).

402

403 LacZ staining; tissues/embryos

404 Embryos from E13.5 to E16.5 were stained for β -galactosidase as previously described (40).

405 Adult tissues were stained via the same protocol with size-adjusted fixation times.

406

407 LacZ staining; frozen sections

408 Frozen liver and kidney sections (6 μ M) were fixed (0.2% glutaraldehyde in PBS) for 10
409 minutes. After three washes in cold PBS they were stained for β -galactosidase as above and
410 counterstained with eosin. Whole embryos were fixed as above, transferred to 30% sucrose
411 overnight and then embedded in OCT on dry ice. Frozen sections (7 μ M) were LacZ stained
412 at room temperature overnight.

413

414 qPCR

415 RNA was extracted using the Qiagen RNeasy Mini Kit, reverse transcription of RNA was
416 carried out using the Applied Biosystems RNA-to-cDNA kit and qPCR was performed using
417 the Bio-Rad iQTM SYBR[®] Green Supermix. HGD1 primers; forward 5'-
418 TGTCCACGGAACACCAATAA-3' and reverse 5'-GCCAACTTCATCCCAGTTGT-3'.
419 HGD2 primers; forward 5'-GACCCATCGGAGCAAATGGC-3' and reverse 5'-
420 AGTGTAACCACCTGGCACTC-3'. 18S (housekeeping gene) primer sequences; forward
421 5'-TGTCCACGGAACACCAATAA-3' and reverse 5'-AGTTCTCCAGCCCTCTTGGT-3'.
422 18S was not affected by pIpC administration.

423

424 Ochronosis

425 Coronal knee joint paraffin sections were Schmorl's stained and counterstained with nuclear
426 fast red (28, 41) to identify pigmented chondrons. Scoring of all four joint quadrants was
427 carried out blind to experimental conditions and genotype.

428

429 Hgd tm1d conditional knockout

430 To obtain the conditional Hgd tm1d line, Hgd tm1a mice were crossed with Flpo mice to
431 remove the FRT-flanked gene trap cassette, leaving a floxed target exon (Hgd tm1c) (42).
432 Homozygote floxed Hgd tm1c mice were crossed with MxCre mice. The removal of the
433 floxed 6th exon was induced with two intraperitoneal injections of polyinosinic:polycytidylic
434 acid (pIpC) at 10µg/g body weight (43). Hgd tm1a -/- mice were injected with pIpC as AKU
435 controls and wildtype controls were either Hgd tm1d MxCre WT injected with pIpC or Hgd
436 tm1d MxCre +ve mice with PBS. HGA was measured in plasma and urine samples collected
437 pre-injection and at time points post-injection. Liver and kidney HGD mRNA was analysed.
438 In the long-term study, knee joints were collected for ochronosis scoring. For the dose
439 response study, the same protocol was followed as above, using diluted pIpC at doses
440 3.33µg/g to 0.01µg/g body weight.

441

442 Isotopic HGA injection

443 Hgd tm1a -/- (n=4) and Hgd tm1a +/- (n=4) mice were injected with ¹³C₆-HGA into the
444 lateral tail vein, adjusted to body weight to achieve a final blood concentration of
445 approximately 1mmol/L. Under anaesthesia, venous tail bleeds were collected at time points
446 post-injection, ranging from 2-60 minutes. Whole blood was centrifuged and the supernatant
447 removed and immediately frozen.

448 Non-targeted metabolic flux analysis was performed to trace metabolism of $^{13}\text{C}_6$ -HGA.
449 Metabolic profiling was performed using a published mass spectrometric technique (44).
450 Briefly, plasma was diluted 1:9 plasma:deionised water and HPLC performed on an Atlantis
451 dC₁₈ column (3x100mm, 3 μm , Waters, UK) coupled to an Agilent (Cheadle, UK) 6550
452 quadrupole time-of-flight mass spectrometer. An accurate-mass compound database with
453 potential association to HGA was generated for data mining using Agilent Pathways to
454 PCDL. Data were mined for these compound targets with an accurate mass window of
455 $\pm 5\text{ppm}$ using ‘batch isotopologue extraction’ in Profinder (build 08:00, Agilent).
456 Isotopologue extraction investigates association with the injected $^{13}\text{C}_6$ -HGA by examining
457 the relative abundances of the M+0 – M+6 isotopologues for compound targets.

458

459 Statistical analysis

460 Statistical analysis was performed using Stats Direct 3 statistical software (UK). Significance
461 is denoted as $p < 0.05$ *, $p < 0.01$ ** and $p < 0.001$ ***.

462

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465 the manuscript.

466

467 **Author contributions:** GBG, LRR and JAG designed the study. GBG, KL, AP and JHH
468 established the mouse model. JHH, KL, PJMW, HS, BPN and CMK carried out the
469 laboratory analyses. AT and AM assisted in data acquisition. TS supplied Cre mice and
470 knowledge of pIpC. LRR, JAG and GBG supervised the project. JHH wrote the first draft of
471 the paper. All authors reviewed the content and agreed the final version.

472

473 **Conflict of Interest:** The authors declare no competing interests.

474

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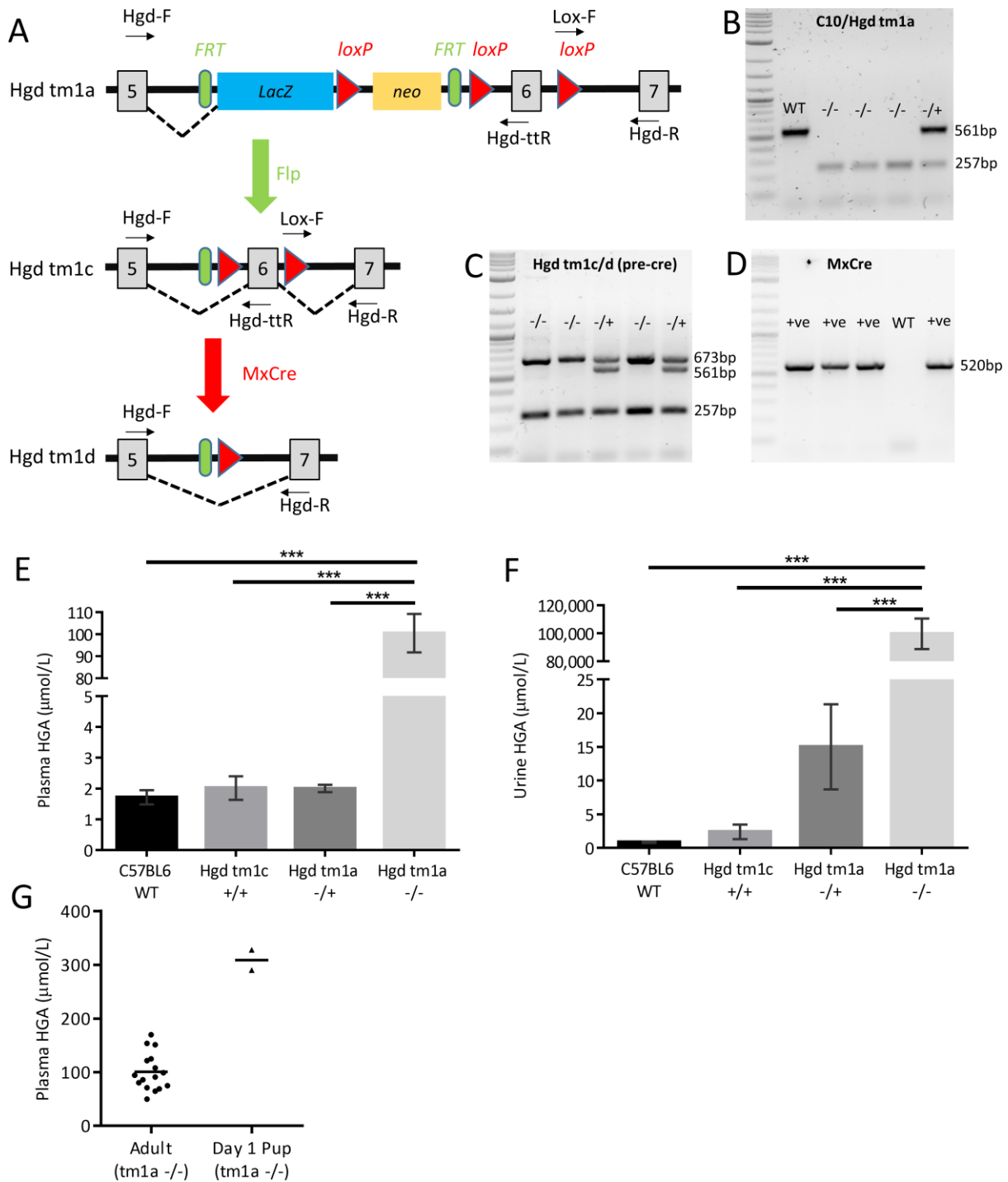
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630 Figures and Legends



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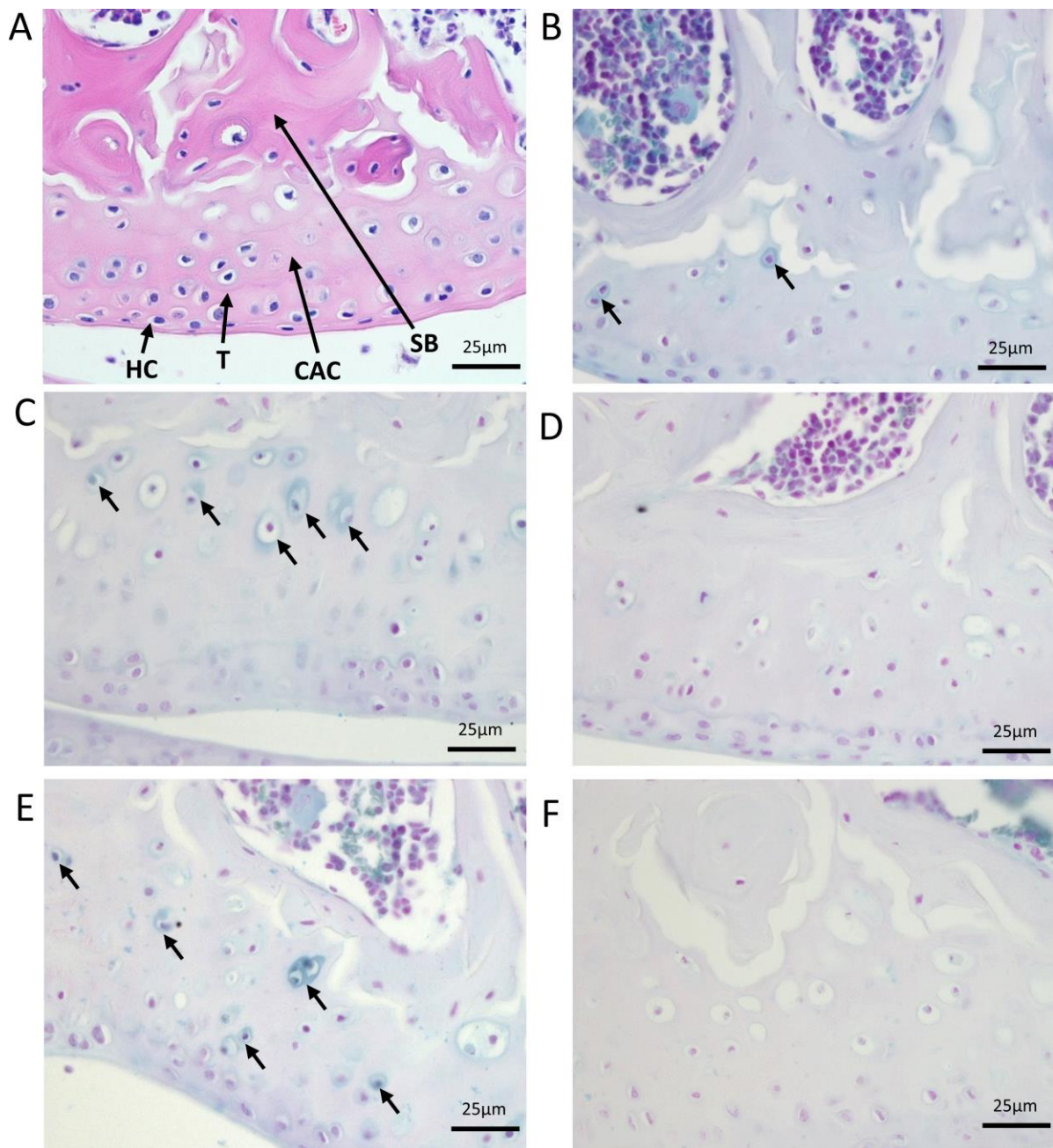
632 Figure 1. Phenotyping of Hgd tm1a mice. A-D shows genotyping of the modified HGD

633 allele. A schematic of the modified HGD allele is shown in A. Hgd tm1a: AKU phenotype.

634 Hgd tm1c: wildtype phenotype. Hgd tm1d: liver-specific and inducible KO. Using primer

635 pairs Hgd-F/Hgd-ttR and Lox-F/Hgd-R, B shows the genotyping of tm1a; C shows the

636 genotyping of tm1c (after flp recombination) and tm1d (before cre recombination). D shows
637 the genotyping of MxCre. A 2-log DNA ladder was used in B-D. E-H show elevation of
638 HGA in Hgd tm1a ^{-/-}. E and F show plasma and urine HGA. Plasma HGA is elevated
639 approximately 100-fold in Hgd tm1a ^{-/-} mice (n=16) compared to C57BL/6 wildtype (n=4),
640 Hgd tm1c ^{+/+} (n=7) and Hgd tm1a ^{-/+} (n=18) controls. Urinary HGA is elevated
641 approximately 10,000-100,000-fold in Hgd tm1a ^{-/-} mice (n=19) compared with C57BL/6
642 wildtype (n=7), Hgd tm1c ^{+/+} (n=7) and Hgd tm1a ^{-/+} (n=19) controls. G shows HGA levels
643 in day 1 Hgd tm1a ^{-/-} pups (2 pools of n=3) compared with adults (n=16). HGA =
644 homogentisic acid. Significance: p<0.05 *, p<0.01 ** and p<0.001 ***. Error bars represent
645 SEM.



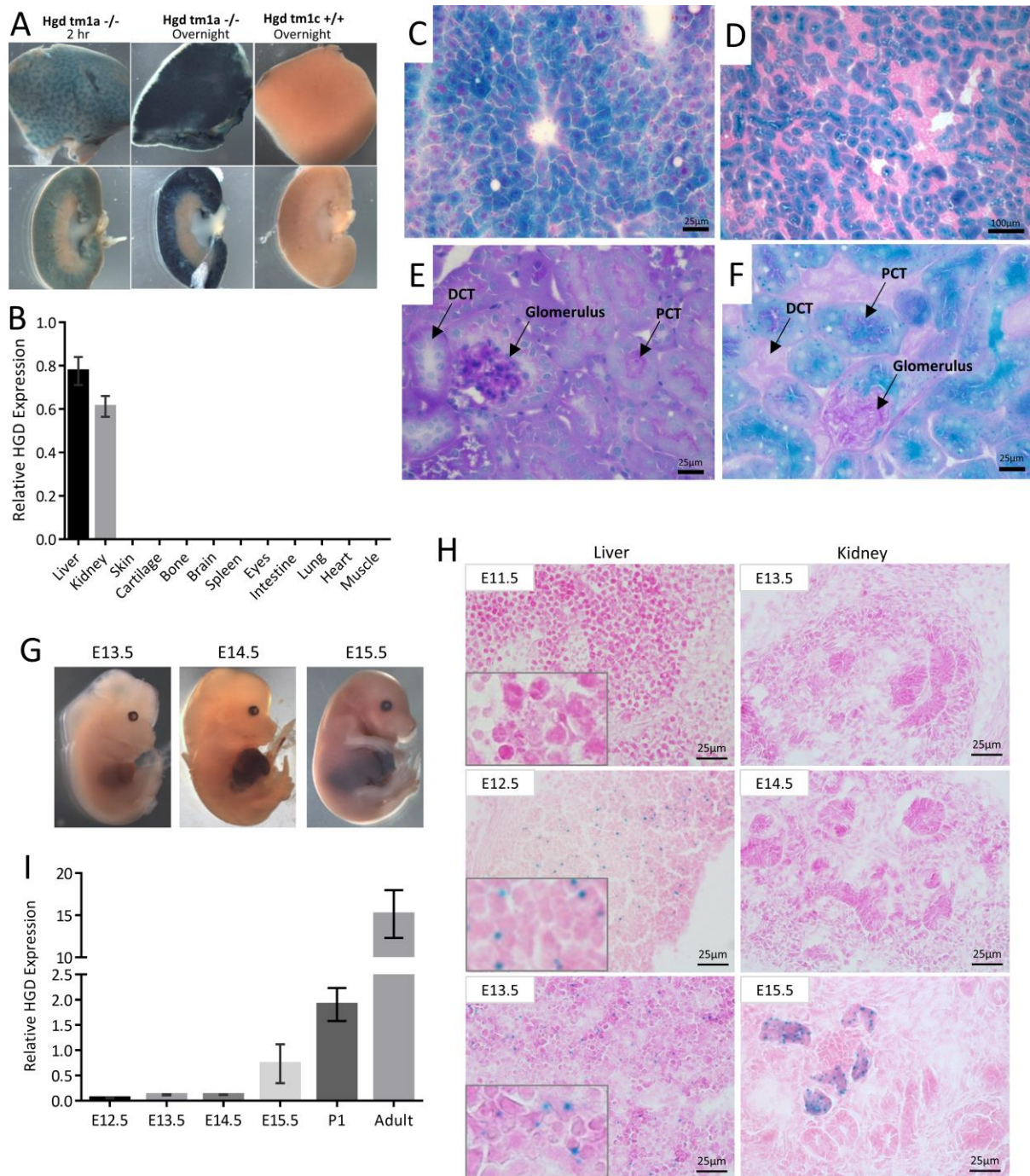
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647 Figure 2. Initiation and progression of ochronosis in Hgd tm1a mice. H+E staining in A
648 shows division of articular cartilage into different zones: hyaline cartilage (HC) and calcified
649 articular cartilage (CAC) separated by the tidemark (T). Deep to calcified articular cartilage is
650 subchondral bone (SB). B–F show femoral condyles from Hgd tm1a mice that have been
651 Schmorl's stained (stains ochronotic pigment a blue colour). B shows pericellular
652 pigmentation of chondrons situated in the calcified articular cartilage in a 9 week Hgd tm1a -
653 +/- femoral condyle. C and E show the femoral condyle of Hgd tm1a +/- mice at 26 and 40

654 weeks respectively. The pigmentation has advanced to the inner compartment of the cell,
655 with more numerous affected chondrocytes showing varying pigment intensities. The
656 pigmentation is still confined to the calcified articular cartilage layer. Hgd tm1a ^{-/+} mice at
657 26 and 40 weeks (D and F respectively) show no pigmentation. All sections: 6μM.

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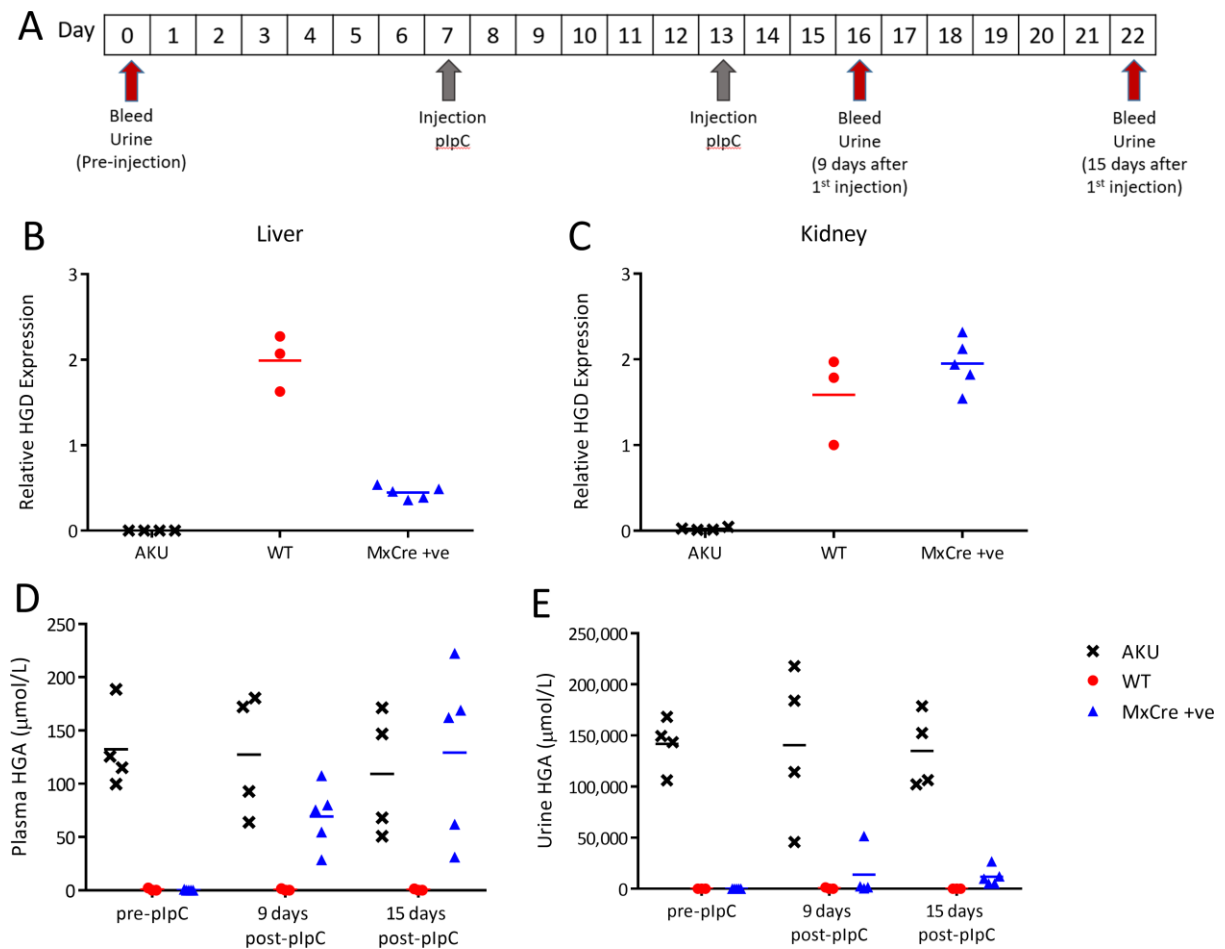
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661 Figure 3. Localisation of HGD expression. A shows LacZ staining in adult liver (top row)
 662 and kidney (bottom row). LacZ blue staining blue represents HGD expression seen only in
 663 the liver parenchyma and kidney cortex of Hgd *tm1a* ^{-/-} adult mice. Control Hgd *tm1c* ^{+/+}
 664 liver and kidney were LacZ negative. B shows HGD mRNA across a variety of adult Hgd
 665 *tm1d* WT tissues analysed via qPCR (HGD1 primers). C-F shows frozen section staining of
 666 adult liver and kidney from Hgd *tm1a* ^{-/-} mice, with liver (C) and kidney cortex (D) showing

667 specific LacZ staining after 2 hours. In E, PAS staining distinguishes PCTs from DCTs by
668 the presence of a PAS-positive dark pink/purple brush border. In F, the LacZ blue cells
669 localise with the PAS-positive PCT cells. G-I shows HGD expression in Hgd tm1a -/-
670 embryos. G shows whole time-mated embryo LacZ staining, with positive staining seen at
671 E14.5 in the liver. H shows microscopic frozen section LacZ staining of liver and kidney.
672 Positive staining is seen at E12.5 onwards in liver and from E15.5 in kidney. I shows HGD
673 mRNA (HGD1 primers) in E12.5 (n=3), E13.5 (n=3), E14.5 (n=4) and E15.5 (n=3) embryos,
674 day 1 pups (P1) (n=6) and adult Hgd tm1a -/- mice (n=4). All sections: 6/7 μ M. PAS: Periodic
675 acid Schiff. PCT = proximal convoluted tubule. DCT: distal convoluted tubule. Error bars
676 represent SEM. HGD expression normalised to 18S.

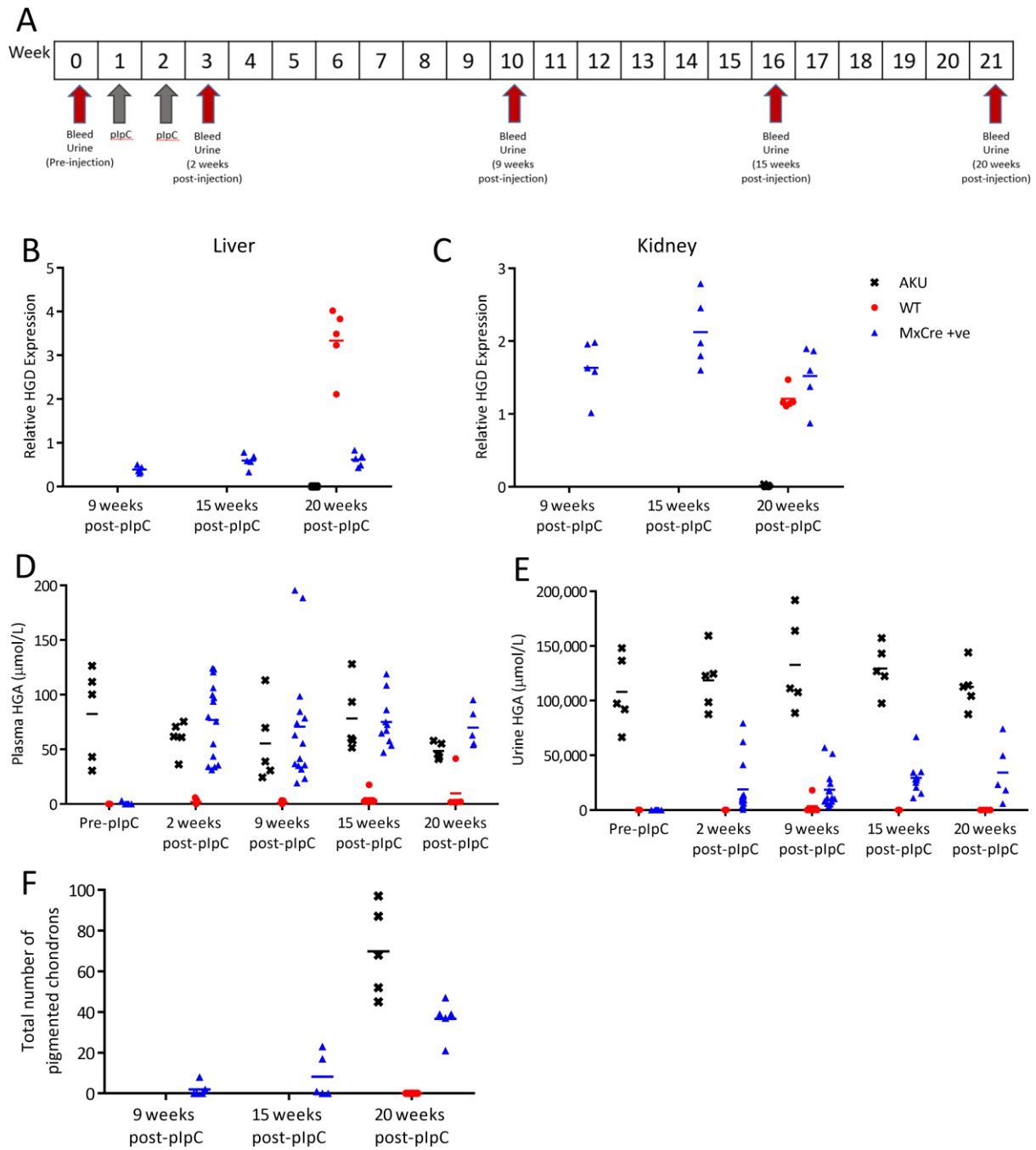
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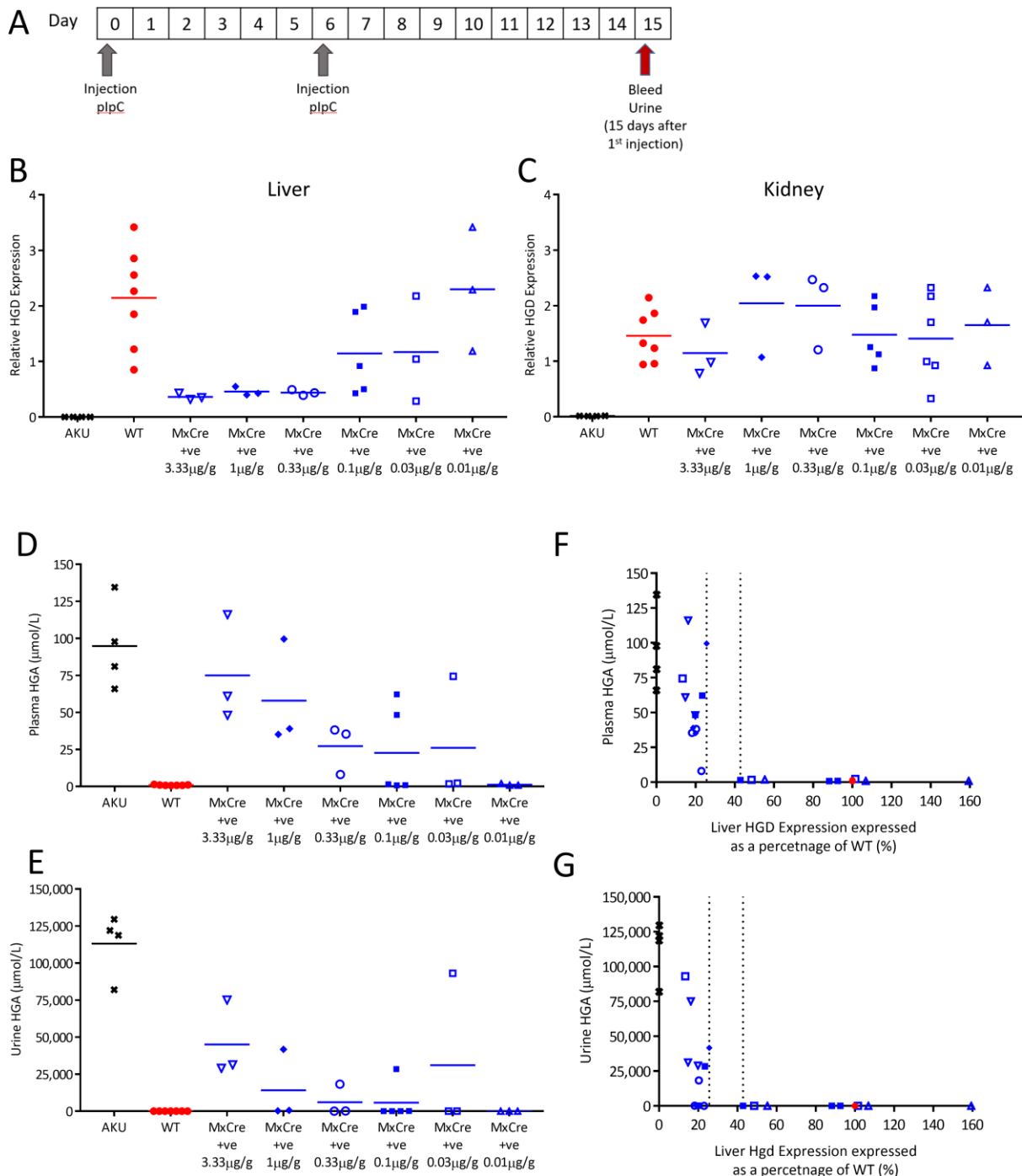
680 Figure 4. Liver-specific HGD knockout, induced by pIpC injection, in *Hgd* *tm1d* MxCre +ve
 681 mice. A, shows the timescale of blood and urine sampling and pIpC injections. B and C show
 682 HGD mRNA (HGD2 primers; relative to 18S) in *Hgd* *tm1a* *-/-* (AKU controls, n=4), *Hgd*
 683 *tm1d* MxCre WT (wildtype controls, n=3) and *Hgd* *tm1d* MxCre +ve mice (MxCre +ve, n=5)
 684 in the liver and kidney respectively, 15 days after pIpC injection. MxCre +ve mice show
 685 reduced liver, but not kidney, HGD expression compared to wildtype controls. D and E show
 686 plasma and urine HGA, respectively, pre-injection, 9 and 15 days after pIpC injection. Pre-
 687 injection, HGA in the plasma is not detected in MxCre +ve or WT mice. *Hgd* *tm1a* *-/-* mice
 688 show high HGA. Post-pIpC, plasma HGA in MxCre +ve mice is increased. Pre-injection,
 689 urinary HGA is low in MxCre +ve and WT mice compared to *Hgd* *tm1a* *-/-*. Post-pIpC,
 690 urinary HGA shows a relatively small increase in MxCre +ve mice.



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692 Figure 5. Long-term follow up of Hgd tm1d MxCre +ve mice injected with pIpC. A shows
 693 the timescale of blood and urine sampling and pIpC injections. Hgd tm1a -/- were injected
 694 with pIpC (AKU controls, n=5). Hgd tm1d MxCre +ve were injected with PBS (wildtype
 695 controls, n=5). Hgd tm1d MxCre +ve mice were injected with pIpC (MxCre +ve, n=15). B
 696 and C show relative HGD mRNA (HGD2 primers; relative to 18S) in the liver and kidney
 697 respectively, of MxCre +ve mice at 9, 15 and 20 weeks after the first injection, and in AKU

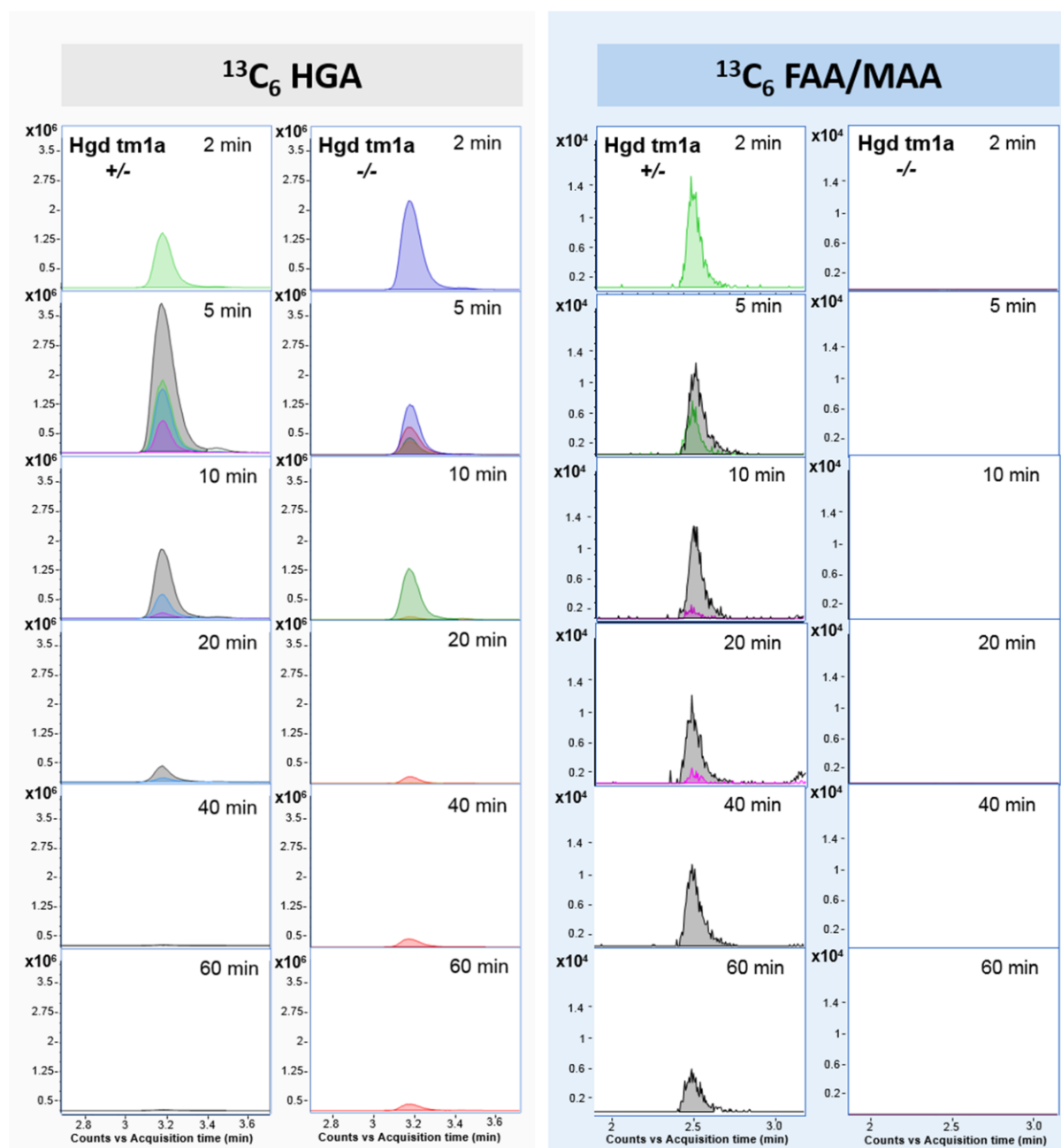
698 and wildtype controls at 20 weeks. Liver HGD mRNA was reduced, compared to wildtype
699 controls, in MxCre +ve mice at 9 weeks and was sustained until 20 weeks. Kidney expression
700 was not reduced by pIpC injection in MxCre +ve mice. D and E show plasma and urine HGA
701 levels respectively, pre-injection, and at 2, 9, 15 and 20 weeks post-injection. Pre-injection,
702 plasma HGA is not detected in MxCre +ve or WT mice and AKU mice showed elevated
703 HGA. Post-pIpC, plasma HGA in MxCre +ve mice was increased at 2 weeks and remained at
704 this level until 20 weeks. Pre-injection, urinary HGA is low in MxCre +ve and WT mice
705 compared with AKU controls. Post-pIpC, urinary HGA showed a relatively small increase in
706 MxCre +ve mice compared with AKU controls. The total number of pigmented chondrons in
707 a representative section of the knee joint, stained with Schmorl's, is shown in F, at 9, 15 and
708 20 weeks post-injection.



709

710 Figure 6. Liver-specific HGD knockout, induced by decreasing doses of pIpC, in Hgd tm1d
 711 MxCre +ve mice. A, shows the timescale of blood and urine sampling and injections of pIpC.
 712 B and C show relative HGD expression (HGD2 primers; relative to 18S) in Hgd tm1a -/
 713 (AKU controls, n=4), Hgd tm1d MxCre WT (wildtype controls, n=7) and Hgd tm1d MxCre
 714 +ve mice (3.33 μg/g, n=3; 0.1 μg/g, n=3; 0.33 μg/g, n=3; 0.1 μg/g, n=5; 0.03 μg/g, n=3;

715 0.01 μ g/g, n=3) in the liver and kidney respectively, 15 days post-pIpC. Varying the dose of
716 pIpC resulted in a range of liver HGD expression in the MxCre +ve mice, with lower doses
717 resulting in higher HGD expression. Kidney HGD expression was unchanged by pIpC. D and
718 E show plasma and urine HGA, respectively, 15 days post-pIpC. A dose response in HGA
719 was observed in both the plasma and the urine of MxCre +ve mice, with higher pIpC doses
720 resulting in more elevated HGA. HGA was elevated in Hgd tm1a -/- and was low in wildtype
721 controls. F and G show the relationship between liver HGD mRNA (expressed as a
722 percentage of the mean wildtype level) with plasma and urinary HGA respectively, 15 days
723 post-pIpC.



724

725 Figure 7. LC-QTOF-MS plasma flux analysis data acquired following injection of Hgd tm1a
 726 $-/-$ and Hgd tm1a $-/+$ mice with $^{13}\text{C}_6$ -HGA. Plots show extracted ion chromatograms
 727 (theoretical accurate mass ± 5 ppm) for $^{13}\text{C}_6$ -HGA and $^{13}\text{C}_6$ -fumarylacetoacetic acid
 728 (FAA)/ $^{13}\text{C}_6$ -maleylacetoacetic acid (MAA). Traces are for individual plasma samples taken
 729 2-60 minutes post-injection and colour-coded to represent individual mice. $^{13}\text{C}_6$ -HGA was
 730 detected in Hgd tm1a $-/-$ and Hgd tm1a $-/+$ indicating presence of the tracer. $^{13}\text{C}_6$ -MAA/FAA

731 was observed at 2-60 minutes post-injection in Hgd tm1a +/-, and was not observed in Hgd
732 tm1a -/- at any time point.

733

734 **Abbreviations:** AKU, alkaptonuria; ENU, N-ethyl-N-nitrosourea; FAA, fumarylacetoacetic
735 acid; FAH, fumarylacetoacetate hydroxylase; HT-1, hereditary tyrosinaemia type I; HGA,
736 homogentisic acid; HGD, homogentisate 1,2-dioxygenase; HPLC, high performance liquid
737 chromatography; HPPD, 4-hydroxyphenylpyruvate dioxygenase; MAA, maleylacetoacetic
738 acid; NTBC, 2-(2-nitro-4-trifluoromethylbenzoyl)-1,3-cyclohexanedione; PCT; proximal
739 convoluted tubule; pIpC, polyinosinic:polycytidylic acid.

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