### 1 Abstract

2 Objective: To report the surgical technique, associated complications and clinical outcome

3 of elbow arthrodesis using a medially positioned plate.

4 Study Design: Retrospective case series.

5 Results: 6 cases met the inclusion criteria. In all cases the elbow was approached medially 6 without the requirement for ulna osteotomy. A non-locking 2.7/3.5mm pre-contoured 7 elbow arthrodesis plate was applied in 5/6 cases and a 2.0mm SOP applied in one case. The 8 mean angle of arthrodesis was 118 degrees (range 113-130 degrees). 1 major intraoperative 9 complication occurred. 3 minor and 3 major post-operative complications occurred. Post-10 operative imaging was available for 5/6 cases. Complete arthrodesis was confirmed by 11 either radiography or CT scan in 4/5 cases, partial progression of arthrodesis was documented in 1/5 cases and no further images were deemed necessary. Post-operative 12 13 LOAD score was available for two cases with scores of 20/52 and 10/52. Subjective 14 outcomes in the remaining three cases were rated as acceptable > 1year post-operatively. 15 Conclusion: Positioning the plate medially for elbow arthrodesis simplified the surgical 16 approach, could be performed with a pre-contoured plate and allowed successful revision of an arthrodesis previously stabilised with a caudally positioned plate. The cases in this series 17 had acceptable outcomes despite a high risk of complications. 18

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Keywords: Elbow, arthrodesis, medial plate, angle of arthrodesis, outcome of elbow
arthrodesis, dog

23 Introduction

Elbow arthrodesis can be used as a salvage procedure for intractable articular fractures, 24 25 luxations or subluxations, and failed total elbow replacement (TER) (1, 2). Elbow arthrodesis 26 is also an alternative to TER for dogs for the management of severe, end-stage osteoarthritis 27 (1). The most commonly described technique for elbow arthrodesis is via application of a caudally positioned bone plate (2-6). The surgical procedure for application of a caudal bone 28 29 plate is complex, time consuming and requires osteotomy of the olecranon for access to the 30 joint surface (6, 7). Olecranon osteotomy has been previously reported to have a 31 complication rate of 37% (8, 9). There are currently ten cases reported in the literature 32 where elbow arthrodesis was achieved using a caudally positioned bone plate (3, 4). Three of these cases suffered a major complication, one of which was migration of the Kirschner 33 34 wires used for the olecranon osteotomy requiring further surgery for removal (3, 4, 10). At 35 follow up four cases used the limb consistently, five used the limb intermittently and one 36 case never used the limb again. Lag screw fixation has been described in two dogs, with 37 screw migration requiring removal occurring in one of these cases (3). Kirschner wires were 38 used exclusively for elbow arthrodesis in a single case weighing 2kg but this technique is not 39 recommended as the implants failed and amputation was subsequently performed (3). 40 Theoretically a medial approach to the elbow would allow luxation of the joint and 41 adequate exposure for removal of articular cartilage as part of elbow arthrodesis, negating 42 the requirement for an olecranon osteotomy and preventing complications associated with 43 this. The subsequent exposure of the medial elbow would allow application of a bone plate 44 medially. Applying the plate medially is technically less challenging, requires less plate 45 contouring, therefore minimising surgical time, and theoretically offers the biomechanical advantage of 'edge loading' the plate (11, 12). To the authors' knowledge, there have been 46

47 no previous reports where elbow arthrodesis was achieved via application of a medially48 positioned bone plate. The purpose of this case series is to report the surgical technique,
49 associated complications and clinical outcomes.

#### 50 Material and Methods

Ethical approval was provided by the Institute of Veterinary Science Ethics panel at the
XXXX. The hospital records from the XXXX were searched for cases where elbow arthrodesis
was performed between January 2009 and November 2017. Cases were included if elbow
arthrodesis was performed with a medially-applied plate and if complete records were
available including history, clinical examination and radiographs. Follow-up was achieved via
telephone conversation with the primary care veterinarian in all cases and with the owner
where possible. Six cases met the inclusion criteria.

58 On initial assessment, lameness was recorded under a numeric rating system using either a 59 scale of 1-5 or 1-10 assigned by the clinician managing the case. A score of 1 = no lameness 60 at walk or trot and then a gradually ascending scale used for worsening lameness up to 5 or 61 10 which equates to a severe lameness with intermittent or complete non-weight bearing. 62 For ease of comparison in the article the scores given from 1-10 have been divided by two 63 to give a score from 1-5.

Complications and outcome were defined based on previously reported criteria (see appendix) (10). Outcome was assessed either by subjective clinical assessment by the referring veterinarian, by subjective clinical assessment by the treating specialist or by acquisition of a Liverpool Osteoarthritis in Dogs (LOAD) questionnaire via telephone interview with the owner. Post-operative imaging was assessed subjectively by the authors (XX and XX) for progression and completeness of arthrodesis based on bridging new bone formation across the surgical site. The angle of arthrodesis was calculated by assessing the immediate post-operative mediolateral radiograph and determining two separate points in
the centre of the diaphysis of the humerus and connecting them with a line. Two points in
the centre of the diaphysis of the radius were measured and connected by a line and the
angle measured where the radial and humeral lines intersected.

# 75 Case histories

Three cases in this series (cases 1, 2 and 5) had an elbow arthrodesis following explantation
of a total elbow replacement (TER). Of the remaining three cases the indication for elbow
arthrodesis was severe osteoarthritis for case 3, a persistent septic arthropathy for case 4
and a fracture non-union in case 6.

Case 1 had an elbow arthrodesis following explantation of a chronically luxated Sirius (i) TER
implant (Figure 1).

Four years post TER (Iowa State Elbow Replacement (ii)) case 2 was unable to fully weight
bear through the operated limb with scuffing of the toes during the swing phase of the gait
cycle. Radiographs (Figure 2) indicated that the polyethylene part of the radioulnar
component of the prosthesis was severely worn. The TER was explanted and arthrodesis
performed.

Case 3 had a left elbow arthrodesis to manage chronic pain and lameness secondary to
osteoarthritis. The patient suffered from multiple joint disorders including right cranial
cruciate ligament rupture, left medial patella luxation and bilateral carpal hyperextension
injury.

91 Case 4 underwent elbow arthrodesis to manage severe osteoarthritis and a septic

92 arthropathy which had failed to respond to medical management. At the time of surgery the

93 patient was suffering from moderate contracture of the flexor tendons on the ipsilateral

94 limb, attributed to chronic disuse.

Case 5 had a TER (Iowa State Elbow Replacement (ii)) to manage a malunion of a left lateral
humeral condylar fracture. Intra-operative subluxation of the implants occurred and
resolution was not possible, therefore explantation was performed with conversion to an
elbow arthrodesis using a caudal plate. Three months postoperatively the elbow arthrodesis
failed with breakage of the caudal plate. All implants were removed and fixation of the
elbow for arthrodesis achieved with a medial plate.

101 Case 6 sustained a lateral humeral condylar fracture which failed to heal despite initial open 102 reduction and fixation and two further revision surgeries. The previously placed implants 103 were removed immediately prior to elbow arthrodesis with a medially-positioned plate.

104 Further details on the case histories can be found in the Appendix.

105 Anaesthesia

Anaesthetic protocols and post-operative analgesia regimes were tailored to each individualcase (see Appendix for further details).

108 Surgical Technique

All medial elbow arthrodesis surgeries were performed at the XXXX. In all cases the elbow
joint was exposed via a medial approach (13). In case 2, an initial lateral approach was made
for attempted revision of the TER prior to the medial approach for elbow arthrodesis. In
case 5, the medial approach was extended caudally using blunt dissection to expose the
caudal bone plate and allow removal.
Tenotomies of the origins of *pronator teres, flexor carpi radialis* and the digital flexor

muscles were necessary to expose the medial aspect of the humeral condyle. The medial

116 collateral ligament and joint capsule were incised to allow the elbow to be luxated. As much

117 cartilage as was feasible was removed from the joint surface using either a surgical spinal

burr or a Volkmann Bone Curette. The medial humeral epicondyle was removed using an

oscillating saw or rongeurs to a point which maximised bone-plate contact and reduced the
 degree of contouring required.

121 Cases 1, 2, 4, 5 and 6 had a custom made 2.7mm/3.5mm elbow arthrodesis plate, pre-

122 contoured to 130°, (iii) applied (Figures 1 and 2). Case 3 had a 2.0mm SOP plate contoured

to 120° (Orthomed; XX) (Figure 3); chosen due to the small size of the patient.

- 124 Prior to closure, the tenotomised pronator teres, flexor carpi radialis and digital flexor
- muscles were apposed to their origins on the medial humeral condyle using a locking loop
- 126 suture or a three-loop pulley (2, 14).
- 127 Canine demineralised bone matrix (DBM, (Veterinary Tissue Bank Wrexham, UK)) was used
- in case 1, 2 (3cc), 3 (1cc), 4 (volume not recorded) and 6 (3cc). In case 1 the DBM was
- 129 combined with an autogenous cancellous bone graft and in cases 2 and 6 it was combined
- 130 with 5cc of cancellous bone chips (Veterinary Tissue Bank, Wrexham, UK). The use of a bone
- 131 graft was not reported for case 5.

## 132 Antimicrobials

All cases received perioperative intravenous antibiotics and all, except case 3, received post-operative antimicrobials (see Appendix for details).

#### 135 **Post-operative management**

136 Two cases (case 3 and 5) were discharged with a modified spica splint. Case 3 had the splint

- 137 maintained for three weeks until a severe ulceration developed over the olecranon. For case
- 138 5 the spica splint was kept in place for 10 weeks.

139 Results

# 140 **Patients**

141 Six mature dogs were included: two Labrador retrievers (case 1 and case 2), one toy poodle

(case 3), one Old English sheepdog (case 4), one Border collie (case 5) and one English

springer spaniel (case 6). The median age at the time of arthrodesis surgery was 5.1 years
(range 0.75 to 10). Four dogs were female and two male. The median body weight was
21.3kg (range 2.2kg to 42.5kg).

146 *Imaging* 

Post-operative imaging was available for 5/6 cases (case 3 was euthanised prior to postoperative imaging being performed). Arthrodesis was assessed as complete in 4/5 cases and
in 1/5 satisfactory progression of arthrodesis was seen, such that further imaging was not

deemed necessary. The median angle of arthrodesis was 115° and the mean 118° (range

151 113° -130°).

152 *Complications* 

One major intraoperative complication occurred in case 4. A non-displaced radial spiral fracture developed which was stabilised with a cranially applied 2.7mm, 12 hole, dynamic compression plate that spanned the length of the diaphysis. Eight week post-operative radiographs showed evidence of progression of healing of the fracture with no evidence of implant loosening.

Postoperatively, three major complications (cases 3, 4 and 5) and three minor complications
(cases 1, 2 and 5)

occurred (10). Case 4 also had a major intraoperative complication and case 5 had both a
 major and minor complication.

162 Two of the major complications which occurred (case 3 and 5) were wounds that developed 163 over the olecranon due to irritation from the supportive dressings. In case 3 the patient 164 presented to the referring veterinary surgeon three weeks post-operatively where removal 165 of the dressing revealed ulceration over the olecranon with a small portion of the olecranon 166 bone exposed. Referral for wound management was offered but the owners did not want to 167 continue treatment and the patient was euthanatized. In case 5, a circular area of ulceration approximately 1cm in diameter, developed over the olecranon seven weeks post-168 169 operatively. The patient was hospitalised for 6 days of open wound management and the 170 ulceration healed completely. The third major complication (case 4) was a recurrent 171 discharging sinus tract over the dorsal, proximal antebrachium. Initially only the dynamic 172 compression plate on the radius was removed (placed due to an intra-operative radial spiral 173 fracture), however the sinus recurred and the arthrodesis plate was subsequently 174 explanted.

Minor complications which occurred were: incidentally identified breakage of a single screw 175 176 in case 1, radial nerve neuropraxia in case 2, which resolved after 10 weeks of conservative 177 management, and incidental loosening and migration of the most distal ulna screw in case 5.

178

179 Outcome

180 Follow-up via telephone conversation or repeat examination was available for all cases (see 181 table 1), except case 3 which was euthanatized three weeks post-operatively.

182 In addition to the LOAD score (20/52) at 8 months post-operatively, case 1 was examined by

the referring veterinarian 18 months post-operatively, who found no discomfort on 183

184 palpation of the arthrodesed elbow nor during ipsilateral shoulder manipulation. The

185 patient was receiving analgesia due to orthopaedic disease in multiple limbs.

186 Case 2 was reassessed seven months post elbow arthrodesis due to a continued

intermittent non-weight bearing lameness with scuffing of the foot when weight bearing 187

was attempted. Scuffing of the toes had been present prior to performing the arthrodesis 188

and the exact cause of the lameness was unknown but was suspected to be related to a 189

190 failure to adapt to restricted elbow flexion. Physiotherapy was advised but no further follow up was available because 13 months postoperatively the patient was euthanised due to an
illness unrelated to orthopaedic disease.

193 At the eight week postoperative examination case 4 was intermittently weight bearing on 194 the operated limb, able to tolerate off lead exercise and was not receiving any analgesia. 195 Orthopaedic examination revealed an ongoing inability to extend the carpus to a normal 196 weight-bearing position, similar to assessment prior to elbow arthrodesis. This was 197 presumed to be secondary to contraction of the flexor carpi ulnaris and ulnaris lateralis 198 muscles. Both were tenotomised distally which led to an increase in the range of movement 199 of the carpal joint allowing normal extension. 11 months post elbow arthrodesis the radial 200 plate was removed due to the presence of a discharging sinus. 13 months post elbow arthrodesis the medial arthrodesis plate was removed due to recurrence of the discharging 201 202 sinus. The carpus was still abnormally flexed during walking and pancarpal arthrodesis was 203 considered but the owners opted for non-surgical management. At 29 months post elbow 204 arthrodesis case 4 was able to bear weight on the operated limb intermittently but was 205 euthanatised for reasons unrelated to orthopaedic disease.

Case 5 returned for assessment three months post elbow arthrodesis at which time the
patient was weight bearing on the operated limb at a walk and slow trot and did not require
any analgesia. Six months after elbow arthrodesis case 5 had a marked functional lameness;
able to place the foot normally but with incomplete weight-bearing. Examination revealed
marked disuse muscle atrophy of the arthrodesed limb and a reduction to approximately
20% of the normal range of movement in the shoulder and 50% in the carpus.
Physiotherapy was recommended and although physiotherapy reports are lacking, when

case 5 last presented to the referring veterinarian, 2 years post-arthrodesis, no lameness
issues were noted.

The owners of case 6 completed a LOAD questionnaire two years postoperatively whichgave a score of 10/52.

217 Discussion

218 This is the first report on the use of a medially positioned plate for elbow arthrodesis in 219 dogs. Elbow arthrodesis is a limb salvage option and four of the six cases in this report had undergone prior surgery. Before arthrodesis all of the dogs were significantly disabled by 220 221 the affected elbow, three were 5/5 lame, one 4/5, one 3/5 and one unable to fully weight 222 bear through the limb with scuffing of the toes when ambulating. Following elbow 223 arthrodesis, an owner questionnaire validated for use in the assessment of canine 224 osteoarthritis, was available for two cases (case 1 and 6) with scores correlating to 225 borderline moderate/severe and mild orthopaedic disease respectively (15). Using the 226 previously described criteria for subjective clinical outcomes to assess cases 2,4 and 5, they 227 all achieved acceptable function of the limb (10). All five cases were able to ambulate but 228 often with a lameness which required activity to be limited in duration and/or require 229 analgesia to achieve (10).

230 Applying the plate on the medial aspect of the elbow simplified the approach by avoiding an ulna osteotomy, whilst still allowing adequate exposure of the joint. Where required, 231 232 removal of all articular cartilage could be performed, evidenced by successful arthrodesis 233 documented in all cases for which imaging was available (5/6). Previous reports on elbow 234 arthrodesis document complete arthrodesis radiographically in a single case at 10 weeks 235 post-operatively (4). In a case series of 12 dogs, the progression of radiographic elbow 236 arthrodesis was not reported (3). It is therefore difficult to draw accurate comparisons 237 between the two techniques and the relative likelihood of progression to arthrodesis. An 238 advantage of applying the plate on the medial aspect of the elbow is the ability to use a pre239 contoured plate (iii) rather than relying on intra-operative goniometry to determine the 240 angle of contour (3, 4). The pre-contoured plate resulted in a narrower range in angle of 241 arthrodesis (113° to 130°) compared to the previous report using either a caudally applied 242 bone plate, lag screws or kirschner wires (85° and 145°) (3). However the accuracy of 243 measurement of angulation in this study was limited by imperfect radiographic positioning and by over collimation preventing assessment of the entire humerus and radius. Without 244 245 the entirety of the humerus and radius/ulna present on every radiograph we were unable to 246 define the level of specific repeatable points at which to perform the measurements for the centre of the diaphysis. This has likely led to be some variability in the measure of the 247 248 angulation between subjects in this study. This limitation was also highlighted in a previous report where post-operative angles were assessed using only the distal diaphysis of the 249 250 humerus and proximal diaphysis of the radius (3). Measurement of the centre of the 251 diaphysis of the bones was also made challenging in some patients by superimposition of 252 the implants over the cortices. Previous studies have investigated the standing elbow joint 253 angles of dogs, with a reported range between 120° and 159° (3, 16, 17). The clinical effect 254 of the final angle of arthrodesis is unknown and further studies into a reliable, repeatable 255 method of measuring the post-operative joint angle would need to be performed prior to 256 assessment of this.

Case 5 in this series demonstrated that medial application of the plate can also be used for elbow arthrodesis revision if there is failure of a caudal plate. A caudally positioned plate is loaded via bending along its width in a cranial to caudal direction (11). Applying the plate medially has a mechanical advantage over the same size plate placed caudally since the main force is craniocaudal bending. Applying the plate medially means the plate is 'edge loaded', increasing the area moment of inertia and therefore its relative bending stiffness 263 (11, 12). In addition, the plate used in 5/6 cases in this study (iii) has been designed so that 264 its width is increased in the mid-section in the region of greatest bending force. 265 One intra-operative complication occurred (case 4) as the elbow was luxated. Elbow 266 luxation requires considerable force and we suspect the soft bone of this juvenile patient 267 predisposed the radius to iatrogenic fracture. The fracture was identified on post-operative radiographs and stabilised the following day. No intra-operative complications have 268 269 previously been reported during elbow arthrodesis (3, 4). A caudal approach with ostecotmy 270 of the ulna may reduce the risk of intra-operative iatrogenic radial fracture however the 271 ostectomised ulna requires rigid internal stabilisation and may itself be liable to 272 complications (8, 9, 18, 19).

273 There were 3/6 minor and 3/6 major post-operative complications in this case series. This is 274 considerably higher than the previously reported 2/12 minor and 2/12 major post-operative 275 complications (3). The two minor complications in the previous study were related to 276 implant migration and similarly two cases in this study suffered from minor implant related 277 issues. The third minor complication of radial nerve neuropraxia was likely related to the 278 lateral approach used for TER explantation but has been included as arthrodesis was 279 performed under the same anaesthesia and cannot be excluded as a contributing factor. 280 Two of the major complications related to the supportive dressings placed postoperatively. 281 Soft tissue damage is the most frequently reported complication of external coaptation and 282 the immobilised point of the olecranon was susceptible to ulceration in the cases in this report (20-22). A spica splint was placed in case 3 due to concerns that the 2.0mm SOP plate 283 284 would not provide enough stability alone. In case 5 the splint was placed to provide 285 additional support because a large bony deficit was present secondary to the TER. 286 Theoretically rigid internal fixation with the bone plates used in this report should not have

287 required additional support through external coaptation and it is unlikely that the modified spica splints provided significant additional stability to the arthrodesis. Due to the small case 288 289 numbers and the high complication rate, we are unable to evaluate risk factors for 290 developing dressing-related complications. Based on our experience in these cases and on 291 the reported complication rates associated with external coaptation, we would caution 292 against the use of post-operative supportive dressings following elbow arthrodesis (20-22). 293 The third major complication was recurrence of sinus drainage tracts, a complication also 294 seen in a single case in the previous report of elbow arthrodesis (3). Case 4 was identified pre-operatively as at a higher risk of post-operative infection due to the previous history of 295 296 septic arthritis in the operated elbow joint and this led to the decision to perform an 297 arthrodesis rather than total joint replacement. This case also had revision surgery for 298 stabilisation of an iatrogenic radial fracture which may have further increased the risk of 299 post-operative surgical site infection (23). Although post-operative infection occurred, we 300 were able to remove the implants and still preserve the limb.

The high rate of complications in this case series compared to the previous report is likely due to a combination of low case numbers in both reports, variable follow-up because of the retrospective nature of both reports, and a difference in classification of major and minor complications.

The goal of elbow arthrodesis in these cases was to salvage a severely disabled limb and this was achieved in 5/6 of the cases. Follow-up in the previous report found 7/9 dogs had improved limb use following elbow arthrodesis compared to pre-operatively (3). Most of the patients in the previous two reports did not use the limb all of the time, especially when running, similar to our findings of intermittent weight bearing (3, 4). Future studies into elbow arthrodesis should use objective lameness assessment combined with the use of

311	validated questionnaires for both pre- and post- operative assessments to allow furthe	er
312	assessment of outcome.	

Positioning the plate medially for elbow arthrodesis was advantageous due to the simplified surgical approach, the ability to use a pre-contoured plate and allowed successful revision of an arthrodesis previously stabilised with a caudally positioned plate. The cases in this series had acceptable outcomes despite a high risk of complications and we would caution against the use of post-operative external coaptation.

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# 321 Footnotes

	322 i	) Sirius	Canine Elbow.	model 2. Oste	ogen Ltd, Bristol, UK
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- 323 ii) Iowa State Total Elbow Replacement, Biomedtrix, Whippany, New Jersey, USA
- 324 iii) A version of the custom made plate used in these cases is now commercially
- available at Veterinary Instrumentation: Elbow arthrodesis plate product
- 326 code:152951 (left) 152950 (right), Veterinary Instrumentation, Sheffield, UK

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Figure 1.A Mediolateral radiograph of case 1 demonstrating severe elbow osteoarthritis prior to TER.

Figure 1.B Craniocaudal radiograph of case 1 demonstrating severe elbow osteoarthritis prior to TER.

Figure 1. C Mediolateral radiograph of case 1 immediately post Sirius TER.

Figure 1. D Craniocaudal radiograph of case 1 immediately post Sirius TER.

Figure 1. E Mediolateral radiograph of case 1 at seven months post TER showing luxation of theimplant.

Figure 1. F Craniocaudal radiograph of case 1 at seven months post TER showing implant failure andluxation.

Figure 1. G: Mediolateral radiograph of case 1 immediately post elbow arthrodesis with the Veterinary Instrumentation non-locking 2.7mm/3.5mm elbow arthrodesis plate. A 3.5mm humeral-ulna screw and 2.7mm radio-ulna screw have been placed to provide additional stability.

Figure 1.H Craniocaudal radiograph of case 1 immediate post elbow arthrodesis with the Veterinary Instrumentation non-locking 2.7mm/3.5mm elbow arthrodesis plate. A 3.5mm humeral-ulna screw and 2.7mm radio-ulna screw have been placed to provide additional stability.

400 Figure 2. A Mediolateral radiograph of case 2 immediately post Iowa State TER.

401 Figure 2.B Craniocaudal radiograph of case 2 immediately post Iowa State TER.

Figure 2. C Mediolateral radiograph of case 2 four years post TER showing collapse of the
elbow compartment due to severe wearing of the radioulna component.

404 Figure 2.D Craniocaudal radiograph of case 2 four years post TER showing collapse of the

405 elbow compartment due to severe wearing of the radioulna component.

406 Figure 2.E Mediolateral radiograph of case 2 immediately post elbow arthrodesis with the

407 Veterinary Instrumentation non-locking 2.7mm/3.5mm elbow arthrodesis plate.

408 Figure 2.F Craniocaudal radiograph of case 2 immediately post elbow arthrodesis with the

409 Veterinary Instrumentation non-locking 2.7mm/3.5mm elbow arthrodesis plate.

410 Figure 2.G Mediolateral radiograph of case 2 at 28 weeks post elbow arthrodesis

411 demonstrating bridging new bone and the completion of elbow arthrodesis.

412 Figure 2.H Craniocaudal radiograph of case 2 at 28 weeks post elbow arthrodesis

413 demonstrating bridging new bone and the completion of elbow arthrodesis.

Figure 3.A Mediolateral radiograph of case 3 immediately post elbow arthrodesis with a 2.0mm SOP

415 plate. Additional radioulna screws were placed to add stability.

Figure 3.B Craniocaudal radiograph of case 3 immediately post elbow arthrodesis with a 2.0mm SOP

417 plate. Additional radioulna screws were placed to add stability.

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- 420 Table 1 Indication for arthrodesis, pre-operative lameness, timing, method and result of follow-up
- 421 for all cases.

Case	Indication for	Lameness	Time of	Method of	Outcome	Receiving	Additional
Number	arthrodesis	score prior	follow-up	follow-up	based on	analgesia at	comments by owner
		to elbow	(months		LOAD or	follow-up	or examining
		arthrodesis	post		Cook	(Yes/No)	veterinarian
			arthrodesis		definition <sup>1</sup>		
			surgery)				
1	Explanted TER	4/5	8	Phone	LOAD	Yes	Owner satisfied and
				conversation	score =		patient pain free and
				with owner	20/52		able to ambulate
2	Explanted TER	4/5	7	Examination	Acceptable	No	Intermittent non-
				at XXX <sup>2</sup>	function		weight bearing
							lameness
3	Osteoarthritis	3/5	Euthanised 3	n/a	n/a	n/a	n/a
			weeks post-				
			operatively				
4	Septic arthritis	5/5	29	Referring	Acceptable	No	Intermittent weight
				veterinarian	function		bearing
5	Explanted TER	5/5	24	Referring	Acceptable	No	No lameness issues
				veterinarian	function		noted
6	Fracture non-	5/5	24	Phone	LOAD	No	No comments
	union			conversation	score =		
				with owner	10/52		

422	<sup>1</sup> Cook et al 2010: "Acceptable function: restoration to, or maintenance of, intended activities and
423	performance from preinjury or predisease status that is limited in level or duration and/or requires
424	medication to achieve."
425	<sup>2</sup> XXX = XXXXXX XXXXXX XXXXXXX XXXXXXX XX
426	
427	
428	
429	
430	
432	

434 Definition of complications used in this report as defined by J.L Cook et al 2010

435 *"Catastrophic complication*: complication or associated morbidity that

436 causes permanent unacceptable function, is directly related

437 to death, or is cause for euthanasia.

438 *Major complication:* complication or associated morbidity that requires further treatment

439 based on current standards of care:

1. Requires surgical treatment to resolve based on current standards of care

- 441 2. Requires medical treatment to resolve based on current standards of care
- 442 *Minor complication:* not requiring additional surgical or medical treatment to resolve (eg.

443 Bruising, seroma, minor incision problems, etc.).

444 Definition of outcomes used in this report as defined by J.L Cook et al 2010

445 *Full function:* restoration to, or maintenance of, full intended level and duration of activities

446 and performance from preinjury or predisease status (without medication).

447 *Acceptable function*: restoration to, or maintenance of, intended activities and performance

448 from preinjury or predisease status that is limited in level or duration and/or requires

449 medication to achieve.

450 Unacceptable function: all other outcomes."

451

## 452 **Case Histories, further details:**

Three cases in this series (cases 1, 2 and 5) had an elbow arthrodesis following explantation of a total elbow replacement (TER). Of the remaining three cases the indication for elbow arthrodesis was severe osteoarthritis for case 3, a persistent septic arthropathy for case 4 and a fracture non-union in case 6. Case 1 had chronic luxation of the Sirius (i) TER implant (Figure 1) which was associated with
a 4/5 lameness, a reduced range of motion and pain. The TER was explanted seven months
after implantation and arthrodesis of the left elbow joint using a medial plate was
performed.

Four years post TER (Iowa State Elbow Replacement (ii)) case 2 was unable to fully weight bear through the operated limb with scuffing of the toes during the swing phase of the gait cycle. Radiographs (Figure 2) indicated that the polyethylene part of the radioulnar component of the prosthesis was severely worn. The TER was explanted and arthrodesis of the elbow joint was performed using a medially-positioned bone plate.

Case 3 had a left elbow arthrodesis with a medial plate to manage chronic pain and a 3/5
left thoracic limb lameness secondary to osteoarthritis. The patient suffered from multiple
joint disorders including right cranial cruciate ligament rupture, left medial patella luxation
and bilateral carpal hyperextension injury.

Case 4 underwent elbow arthrodesis, with a medially positioned plate, to manage a 5/5
right thoracic limb lameness caused by severe osteoarthritis and a septic arthropathy which
had failed to respond to medical management. At the time of surgery the patient was
suffering from moderate contracture of the flexor tendons on the ipsilateral limb, attributed
to chronic disuse.

Case 5 had a TER (Iowa State Elbow Replacement (ii)) to manage a malunion of a left lateral
humeral condylar fracture associated with a 5/5 lameness. Intra-operative subluxation of
the implants occurred and resolution was not possible, therefore explantation was
performed with conversion to an elbow arthrodesis using a caudal plate. Three months
postoperatively the elbow arthrodesis failed with breakage of the caudal plate. All implants
were removed and fixation of the elbow for arthrodesis achieved with a medial plate.

481 Case 6 sustained a lateral humeral condylar fracture secondary to a humeral intracondylar fissure. Open reduction and fixation was performed and two further revision surgeries but 482 483 the fracture failed to heal. A non-union of the supracondylar fracture, with loosening of the 484 transcondylar screw and persistence of an intracondylar fissure was documented by 485 computed tomography seven months after the initial fracture was sustained. Clinically, the patient had a 5/5 lameness of the right thoracic limb, moderate muscle atrophy of the 486 487 affected thoracic limb and a contralateral humeral intracondylar fissure. The previously 488 placed implants were removed immediately prior to an elbow arthrodesis with a medially-489 positioned plate.

490

### 491 Anaesthetic protocols

492 Anaesthetic protocols varied between cases. Most commonly an opioid combined with an 493 alpha -2 adrenergic agonist were used for pre-medication, propofol (Propoflo Plus; Zoetis; 494 Surrey United Kingdom) for induction and either isoflurane or sevoflurane for maintenance 495 of anaesthesia. A brachial plexus block using bupivacaine at 1mg/kg (Marcain; AstraZeneca; 496 Cambridge, United Kingdom) was used in all cases where electronic records were available (case 1, 3 and 4). Intra-operative breakthrough pain was variably managed with either an 497 498 opioid or N-methyl-D-aspartate (NMDA) receptor antagonist or a combination of both. Post-499 operative analgesia regimes were tailored to each individual case. Opioid analgesia 500 combined with a non-steroidal anti-inflammatory drug (NSAID) and/or paracetamol was continued for a minimum of 24 hours post-operatively in all cases. 501 Case 1, 3, 4 and 6 were discharged with a NSAID and paracetamol/codeine (Pardale-V; 502 Dechra Limited; Northwich, UK), case 2 was discharged with paracetamol/codeine and 503

tramadol and case 5 was discharged with a NSAID only.

505	Informed consent for the off-license use of tramadol and paracetamol/codeine was
506	obtained. Although paracetamol/codeine is a licensed product, the dose used, length of
507	administration and concurrent use with non-steroidal anti-inflammatory drugs were all off-
508	license.
509	All cases received perioperative intravenous antibiotics in the form of either cefuroxime
510	(15mg/kg every 90 minutes) or amoxicillin/clavulanic acid (20mg/kg combined, every 90
511	minutes). Cases 1, 2 and 5 received a post-operative course of oral amoxicillin/clavulanate
512	(12.5-16mg/kg twice daily for five to seven days). Cases 4 and 6 continued a course of
513	cephalexin (20mg/kg twice daily PO) for five and seven days respectively, and case 3 did not
514	receive any postoperative antimicrobials.
515	

516