Reductions to main meal portion sizes reduce daily energy intake regardless of perceived normality of portion size: a 5 day crossover laboratory experiment

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Running head: Portion size and energy intake over 5 days

Number of figures: 5 Number of tables: 3

Abstract

2	Background: Smaller portions may help to reduce energy intake. However, there may be a
3	limit to the magnitude of the portion size reduction that can be made before consumers
4	respond by increasing intake of other food immediately or at later meals. We tested the
5	theoretical prediction that reductions to portion size would result in a significant reduction to
6	daily energy intake when the resulting portion was visually perceived as 'normal' in size, but
7	that a reduction resulting in a 'smaller than normal' portion size would cause immediate or
8	later additional eating.
9	Methods: Over three 5-day periods, daily energy intake was measured in a controlled
10	laboratory study using a randomized crossover design ($N = 30$). The served portion size of
11	the main meal component of lunch and dinner was manipulated in three conditions: 'large-
12	normal' (747 kcal), 'small-normal' (543 kcal), and 'smaller than normal' (339 kcal).
13	Perceived 'normality' of portion sizes was determined by two pilot studies. Ad libitum daily
14	energy intake from all meals and snacks was measured.
15	Results: Daily energy intake in the 'large-normal' condition was 2543 kcals. Daily energy
16	intake was significantly lower in the 'small-normal' portion size condition (mean difference -
17	95 kcal/d, 95% CI [-184, -6], $p = .04$); and was also significantly lower in the 'smaller than
18	normal' than the 'small-normal' condition (mean difference -210 kcal/d, 95% CI [-309, -
19	111], $p < .001$). Contrary to predictions, there was no evidence that the degree of additional
20	food consumption observed was greater when portions were reduced past the point of
21	appearing normal in size.
22	Conclusions: Reductions to the portion size of main-meal foods resulted in significant
23	decreases in daily energy intake. Additional food consumption did not offset this effect, even
24	when portions were reduced to the point that they were no longer perceived as being normal
25	in size. (298 words)

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- 26 Trial registration: Prospectively registered protocol and analysis plan: <u>https://osf.io/natws/</u>;
- 27 retrospectively registered: <u>https://clinicaltrials.gov/ct2/show/NCT03811210</u>.
- 28 Keywords: portion size; obesity; norms; food environment; food portion; downsizing.

Introduction

Larger portions of food promote greater energy intake relative to smaller portions (1, 2). A 30 large number of studies suggest that intake is poorly adjusted following manipulation of 31 32 external environmental factors, including portion size (see Levitsky (3) for a review). This has prompted calls to modify aspects of the food environment, including reducing the portion 33 size of commercially available foods as part of policies to tackle obesity (4-7). Previous 34 35 research has demonstrated that reducing portion sizes decreases total meal intake in adults and children, but little research has examined decreases to daily energy intake (8-13). In one 36 37 controlled feeding study participants were provided with food to consume ad libitum over a 2-day period in standard or reduced portion sizes. Participants did not fully compensate for 38 the smaller portion sizes by eating more food, leading to an overall reduction in daily energy 39 40 intake in the 'reduced' relative to the 'standard' portion size condition (10). However, not all evidence is entirely consistent with the view that consumers fail to adjust for reductions to 41 food portion sizes. In a 6 month free-living study, participants were provided with boxed 42 lunches in large, typical, or reduced portions (14). While each reduction resulted in a 43 decrease in lunch intake and the reduction from 'large' to 'typical' resulted in a significant 44 reduction in self-reported total daily energy intake, there was no significant reduction in self-45 reported daily total energy intake in the 'reduced' relative to the 'typical' portion size 46 condition. This suggests that participants may have responded to the reduced size lunch meal 47 48 by eating more at other meals (but intake at other meals was not adjusted in response to the 'larger' portion size lunch). Therefore, whether or not consumers adjust their intake of other 49 foods in response to portion size reductions may vary depending on the size of the reduction, 50 51 and there is a need to understand how much portion sizes can be reduced by without causing consumers to engage in substantial additional eating of other food. 52

53 One potential determinant of the point at which reductions to portion size result in additional eating to compensate for the reduction is whether a reduced portion is visually 54 perceived as being 'normal' in size. We recently proposed a 'norm range model' that posits 55 that for most foods, there is a relatively wide range of portion sizes that are visually perceived 56 by most people as being 'normal' and if portion size is reduced beyond this range additional 57 eating may be likely to occur. We demonstrated that portions falling within that range are 58 intended to be consumed in full without additional intake, but portions smaller than this result 59 in intended additional food intake (15). In two subsequent acute feeding studies, there was 60 61 preliminary evidence that reducing the portion size of a main meal component to the point where it was perceived as 'smaller than normal' resulted in increased intake of other food 62 during the meal (immediate additional intake) compared to the same sized reduction that 63 64 resulted in the main meal component being perceived as 'normal' (9). This additional intake did not completely offset the reduction in portion size: total energy intake from the meal was 65 still significantly decreased regardless of whether the reduced portion size was perceived as 66 67 'normal' or not. However, it is possible that additional eating in response to reduced portion size in one meal may occur at subsequent eating occasions. Systematically examining 68 whether consumers compensate for changes to portion size is vital for evaluating the overall 69 effect of portion size reductions, and will be useful in informing effective portion size 70 71 reduction strategies (16).

The present laboratory experiment is the first to investigate the effect of reducing the portion size of main meal components of lunch and evening meals (dinner) across three portion size conditions differing in perceived normality (perceived by an independent sample as 'large-normal', 'small-normal', 'smaller than normal') on daily energy intake over 5 days. In line with the proposed 'norm range' model, we predicted that *immediate* additional intake when the main meal was 'small-normal' would not be significantly different from when it

78 was 'large-normal' in size, but there would be a significant increase in immediate additional intake when a 'small-normal' portion was reduced to 'smaller than normal'. As the effect of 79 reduced portion size on overall intake is only partially offset by additional eating of other 80 81 foods (9), we expected a significant reduction in total energy intake at the portion size manipulated meal with each reduction to portion size. Critically, we predicted that there 82 would be a significant reduction in total daily energy intake when portion sizes were reduced 83 from 'large normal' to 'small normal', but not when reducing portion sizes from 'small 84 normal' to 'smaller than normal', as over the course of a full day we reasoned that 85 86 participants may be motivated to offset the reduced intake from portion sizes perceived as being 'smaller than normal' in size by eating other food. 87

Methods

88 Participants

Participants were recruited via social media, university announcements, flyers posted 89 around campus and the local community, and direct emailing of research participation lists. 90 Recruitment communication described the study as a 'Daily mood and lifestyle study'. 91 Individuals were eligible to participate if they were aged between 18 and 60 years; BMI 22.5 92 to 32.5 kg/m²; (as approximately 70% of adults in England have a BMI within this range; 93 17); no food allergies, intolerances or specific dietary requirements (including being 94 95 vegetarian or vegan); not currently dieting; no history of eating disorders; not taking 96 medication which affected appetite; and willing to consume each of the test foods. 97 Individuals who had participated in a portion size study in the past 12 months, or a weight loss trial in the past 4 weeks were ineligible to participate. These exclusion criteria were 98 99 assessed by consulting a database of research participation and were confirmed verbally 100 during the screening session, where participants were queried and asked to describe their previous research participation in a way that did not directly mention 'portion size'. We 101

aimed to recruit a sample with equal representation of participants in four categories stratified by gender and BMI category (lower BMI band: $22.5 - 27.5 \text{ kg/m}^2$, higher BMI band: $27.5 - 32.5 \text{ kg/m}^2$). Eligibility was assessed using an online questionnaire and confirmed during an in-person screening session (which included measurement of height and weight). See Figure

106 1 for CONSORT flow diagram.

107 Design

The portion size of the main components of lunch and dinner were manipulated in a 108 randomized crossover design with three conditions ('smaller than normal', 'small-normal', 109 and 'large-normal'). Energy intake from all meals and snacks were measured in an eating 110 behaviour laboratory over 3 (condition) x 5 day (Monday – Friday) testing periods separated 111 by a washout period of 1 - 6 weeks. In each condition, participants were served three meals 112 113 in the laboratory, were provided with a box of snacks to consume each day, and were requested not to consume any additional food apart from the items provided as part of the 114 study. Participants were allocated to the sequence of conditions using a block-randomization 115 pattern (see Additional file 1). 116

117 Power calculation

In two previous studies examining the effect of portion size on energy intake (9), 118 main effects of portion size on additional energy intake (after a portion-manipulated meal) 119 were $n_0^2 = 0.22$, 0.36 (for the studies respectively), and on total energy intake (portion-120 manipulated meal plus additional intake) were $\eta_{\rho}^2 = 0.32, 0.36$. Assuming a minimum effect 121 size of $\eta_0^2 = .22$, we determined that a sample of 20 was required for 80% power with an 122 alpha level of .05 (G*Power 3.1) to detect a main effect of portion size on additional intake at 123 lunch or dinner using 3 (portion size: 'smaller than normal', 'small-normal', 'large-normal') 124 x 5 (test day: Monday – Friday) repeated measures ANOVAs. We aimed to recruit a 125

minimum sample of 24 (to account for participant attrition and to allow full counterbalancing
of condition sequence and equal representation of participants across gender and weight
status groups) and a maximum sample of 30 completers if time permitted (to increase power
to 95%).

130 Study food

See Figure 2 for an overview of the assessment of energy intake. The size of the 131 initial portion of the main component of lunch and dinner were manipulated; 'large-normal' 132 m = 747 kcal, 'small-normal' m = 543 kcal, 'smaller than normal' m = 339 kcal. Selection of 133 the portion sizes for lunch and dinner were informed by two pilot studies conducted on 134 independent samples to identify (a) the range of portions of each meal perceived as 'normal' 135 by the majority of a sample of adults viewing photographs in a computerised task, and then 136 137 (b) to confirm the perception of portion sizes as 'smaller than normal', 'small-normal', and 'large-normal' when viewed in person, and to assess liking (Additional file 1). All other 138 foods were provided in the same amount between conditions. Ad libitum breakfast, snacks, 139 lunch (including second servings if required), and dinner with a vegetable side and dessert 140 buffet were provided (see Table S1, Additional file 1 for full study menu, energy content and 141 portion sizes). To be directly comparable with two recent studies examining immediate 142 additional food intake in response to reductions to portion size (9), participants could serve 143 themselves ad libitum additional helpings of the portion size manipulated food offered at 144 lunch and *ad libitum* dessert following a fixed portion size manipulated meal at dinner. Each 145 meal was served with 500mL chilled water, and a choice of tea or coffee was offered at 146 breakfast. Breakfast and snack box items were the same each day, and daily menus of a pasta 147 dish (lunch), dish with rice accompaniment (dinner), and a dessert buffet (dinner) were 148 presented on rotation throughout the testing period (in the same sequence for each participant 149 and across testing periods). Each daily combination of lunch, dinner, and dessert buffet was 150

151 matched as closely as possible on total energy content. Each food item provided to

152 participants was prepared and served according to a standardised procedure. See Additional

153 file 1 for further detailed information on meal components and selection of portion sizes.

154 Procedure

To disguise the true purpose of the study (18), participants were told that the study 155 aimed to investigate daily fluctuations in mood after accounting for lifestyle factors such as 156 diet, activity, and sleep. Participants provided informed consent during an in-person 157 screening session and attended the laboratory for breakfast, lunch, and dinner each day of the 158 testing periods. Meal items that were designated as 'immediate additional food intake' items 159 (additional helpings of the portion size manipulated food consumed at lunch, dessert 160 consumed at dinner) were placed on a serving tray behind participants' seated position, and 161 162 participants were instructed to serve themselves if they desired. All other meal components (breakfast, initial portion of main components of lunch and dinner) were served by placing a 163 tray in front of participants while seated at a table, and all meal components (including 164 additional intake items) were served simultaneously. A snack box for each day was provided 165 to participants after they had consumed breakfast and was returned by participants at 166 breakfast the following day to allow calculation of intake. Participants ate each laboratory 167 meal alone in a quiet testing room without a time limit and were explicitly told that they were 168 not required to finish any of the meal components. 169

Before and after each meal served in the laboratory, participants completed computerised mood, hunger and fullness ratings, and completed a computerised mood 'filler' task after lunch each day to bolster the cover story (see Additional file 1). Participants also completed a paper-pencil daily sleep questionnaire each morning to distract from the focus on food intake (e.g., "what time did you go to sleep last night?", "what time did you wake up

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175 this morning?"). Data from mood and sleep measures were not analysed. Outside of the laboratory meals, participants were requested to drink tea, coffee, and soft drinks as they 176 usually would during testing periods, not to drink in excess of 2 alcoholic drinks per day, and 177 not to consume any additional food apart from the items provided as part of the study. 178 Participants were requested not to consume anything apart from water after midnight on the 179 Sunday preceding each testing period which began on Monday. Participants' weight was 180 measured by a researcher after breakfast on Monday, and after dinner on Friday of each 181 testing period (which were separated by a washout period of 1-6 weeks). 182

Participants attended a final session on the Monday or Tuesday following completion 183 of the third testing period to complete a computerized portion size normality rating task, 184 report what they though the aims of the study were, and to complete additional questionnaires 185 186 on demographic and eating-related variables not related to the pre-registered hypotheses of this study (see Additional file 1). Finally, participants were debriefed and provided with 187 financial compensation. The study was conducted between February and December 2018 and 188 was conducted in line with the Helsinki Declaration and institutional ethical approval (IPHS 189 2688). The study protocol and analysis plan were pre-registered on the Open Science 190 191 Framework (https://osf.io/natws/), and is registered on clinicaltrials.gov (https://clinicaltrials.gov/ct2/show/NCT03811210). 192

193 Measures

194 Study-provided energy intake

195 Energy intake from food consumed in the laboratory and from the snack box was

assessed by multiplying consumption weights (measured to the nearest 0.01g using a digital

scale [Sartorius]) by manufacturer-provided energy density values for each meal component.

198 Out of study energy intake

199 Participants were requested not to eat any food apart from the meals and snacks provided by the research team during each testing period, but to record the amount and type 200 of any extra-study food they did consume in a paper-pencil diary. Participants were requested 201 202 to complete an entry in the diary as soon as possible after having consumed the extra food, and the diary was returned each morning. A daily total of out of study energy intake was 203 calculated from participant diaries using myfood24 (19, 20). 204 Moderate-vigorous physical activity (MVPA) 205 Participants wore an activity monitor (Fitbit ZipTM) continuously during each testing 206 period (except while swimming and bathing) to assess MVPA (operationalised as minutes of 207 activity with a metabolic equivalent [MET] of \geq 3). Fitbit device estimates of MVPA have 208 been validated against gold standard research-grade physical activity monitoring devices, and 209 210 data from the devices have good reliability (21-23). Discretionary leisure-time physical activity (LTPA) 211 Participants completed part 4 of the International Physical Activity Questionnaire 212

(IPAQ, wording modified to refer to a 5 day testing period) on the Monday following each
testing period. An estimate of discretionary LTPA was calculated (MET-minutes = physical
activity in minutes * MET level [walking = 3.3, moderate intensity = 4.0, vigorous intensity =
8.0] * 5 days). Although the validity of the IPAQ against objective measures of physical
activity is limited, the measure has acceptable reliability making it suitable for assessing
within-person changes in activity in crossover designs (24, 25).

219 Hunger and fullness

Hunger and fullness was assessed using computerised visual analog scales ranging from 0 ('not at all') to 100 ('extremely'), which were embedded in a series of mood ratings to bolster the cover story and detract from a focus on eating (e.g., "how angry do you currently

223	feel?"). Daily hunger and fullness ratings across time were summarised by calculating the
224	area under the curve using the trapezoid function (26).

Height and weight

Height was measured during the screening session using a stadiometer (Seca) to the

nearest 0.5cm and weight was measured during the screening session, after breakfast on

228 Monday and after dinner on Friday of each testing period, using a digital scale (Salter) to the

nearest 0.1kg. Measurements were taken without shoes and heavy outer clothing.

230 Portion size normality (manipulation check)

Perceived normality of each portion size was assessed at the end of the study in a computerised task programmed in Psychopy (27). Participants viewed a picture of each portion size of the portion-manipulated lunch and dinner dishes and asked: "In your opinion, how normal is this portion? By 'normal' we mean whether the portion contains a normal amount of food to eat for a single meal." Responses were indicated on 7-point Likert scales ranging from 1 (*not normal, it is far too small*), to 7 (*not normal, it is far too big*), with a midpoint of 4 (*normal*).

238 Awareness of study aims

At the end of the study participants were asked to report what they thought were theaims of the study. See Additional file 1 for full details.

241 Analysis plan

242 Primary analyses

All statistical analyses were conducted in IBM SPSS 24.0. The primary dependent
measures were (a) daily energy intake (sum of energy intake from all laboratory meals, snack
box, and self-reported additional energy intake) (b) immediate additional intake (energy

246 intake from self-served additional helpings of lunch, self-served dessert at dinner), (c) total main meal intake (sum of energy intake from main component and additional intake at lunch, 247 dinner). All primary dependent measures were compared between portion size conditions in 248 separate repeated-measures ANOVAs with a 3 (portion size condition) x 5 (day: Monday to 249 Friday) design. Analyses of measures (b) and (c) included an additional 2-level 'meal' factor 250 (lunch, dinner). We hypothesised that the reduction from 'large-normal' to 'small-normal' 251 would result in a significant reduction to overall daily intake (a) but the reduction from 'small 252 normal' to 'smaller than normal' would not. In line with previous findings (9), we predicted 253 254 that immediate additional intake (b) would not significantly differ between the 'smallnormal' and 'large-normal' conditions, but would be significantly greater in the 'smaller than 255 normal' condition than the 'small-normal' condition. Also in line with previous findings, we 256 257 predicted that total main meal intake (c) would be significantly reduced in the 'small-normal' relative to the 'large-normal' portion size condition, and in the 'smaller than normal' relative 258 to the 'small-normal' portion size condition. In our primary pre-registered analyses alpha was 259 260 set at 0.05.

Sensitivity analyses were conducted to examine whether the pattern of results from 261 the primary analyses (a) to (c) differed after firstly, excluding participants who were aware of 262 the true aims of the study (to examine the effect of portion size on primary or secondary 263 outcomes [intake, physical activity, appetite] or on primary outcomes only [intake]), and 264 265 secondly, excluding outliers on main outcome variables (identified as those with a value >3SD from condition mean) and influential cases (identified as those with a Cook's distance 266 of >1, indicating a multivariate outlier) (28). As decided *a priori*, data from these participants 267 are included in the reported analyses, and the significance of primary results did not vary 268 depending on their inclusion unless otherwise stated. We also examined whether the pattern 269

of results was dependent on the order in which portion size conditions were presented (seeAdditional file 1).

272 Portion size normality

To test whether the reduced main meal portion sizes were perceived as 'smaller than 273 normal', and 'normal' as intended, we conducted a series of one-sample *t*-tests on normality 274 ratings for each of the foods, with a test value of 4 (equal to perceived 'normal' on the 275 response scale "how normal do you think this portion size is"). We predicted that the 276 perceived normality rating for the 'smaller than normal' portion size would be significantly 277 lower than 4, while the normality ratings for the 'small-normal' portions would not 278 significantly differ from 4 at a Bonferroni-corrected alpha of 0.004¹. As planned *a priori*, we 279 present mean normality ratings for the 'large-normal' portions but only made predictions 280 281 regarding the perceived normality of the two reduced portions, as they constitute the manipulation of interest ("reduction of portion size to 'normal' or to 'smaller than normal""). 282 Bodyweight stability 283 To analyse stability of weight between each testing period and throughout the study, 284 285 participant bodyweight was compared between portion size conditions using a 3 (condition) x 2 (time: start, end of week) repeated-measures ANOVA. 286 Secondary analyses 287

We conducted a series of analyses to assess the impact of portion size manipulation on secondary outcome measures (hunger and fullness, objective MVPA, self-reported discretionary LTPA). Exploratory analyses examined the effect of portion size condition on breakfast, snack box, and out of study energy intake. Main effects across secondary and

¹ Our pre-registered analysis strategy was to evaluate one-sample *t*-tests against an alpha of p < 0.0028. Here we used an adjusted alpha of p < 0.004 instead, as we evaluated only 12 pairwise comparisons as planned (p = 0.05 / 12).

292	exploratory analyses were evaluated against an alpha of $p < 0.0167$ to correct for multiple
293	analyses.
294	Results
295	Sample characteristics
296	Thirty-nine participants were enrolled in the study after completing online and in-
297	person eligibility screening. Nine enrolled participants either did not commence the study or
298	were withdrawn, leaving a final sample of $N = 30$. See Table 1 for sample characteristics and
299	Figure 1 for CONSORT participant flow diagram.
300	[insert Table 1 here]
301	Effect of portion size on daily energy intake
302	Portion size condition had a significant effect on daily energy intake and there was no
303	significant interaction between portion size condition and day (see Table 2 for means, Table 3
304	for ANOVA results, and Additional file 1 for energy intake plotted by day). Mean daily
305	energy intake was highest in the 'large-normal' condition (2543 kcals, $sd = 592$) and contrary
306	to predictions, each reduction to portion size was associated with a significant reduction to
307	daily energy intake ² (Figure 3).

308 [insert Table 2 and Table 3 here]

² When participants who were aware of the primary (the effect of portion size on intake) or secondary aims of the study (the effect of portion size on physical activity or appetite) (n = 4), or when participants who were aware of the primary aims (n = 3) were excluded, the pattern of results was consistent with the main analysis. Each reduction to portion size resulted in a reduction to energy intake, except that the 'large-normal' to 'small-normal' reduction was not statistically significant (p = .08, 95% CI [-187, 12], d = 0.28; p = .05, 95% CI [-191, 2], d = 0.39, respectively).

309 Effect of portion size on immediate additional intake

There was a significant effect of portion size condition on immediate additional intake 310 at lunch and dinner (combined), and a significant interaction between condition and meal (see 311 Table 3 for ANOVA results). In separate portion size condition x day repeated-measures 312 ANOVAs for lunch and dinner, portion size condition significantly affected immediate 313 additional intake, with no significant interaction between portion size and day. Immediate 314 315 additional intake after lunch was smallest in the 'large-normal' condition (mean = 110 kcal, sd = 140) and contrary to predictions, each subsequent reduction to portion size was 316 associated with a significant and similar increase in immediate additional intake at lunch 317 (Figure 4). Immediate additional intake after dinner was smallest in the 'large-normal' 318 condition (mean = 223 kcal, sd = 149) and contrary to predictions, was significantly larger in 319 the 'small-normal' condition than the 'large-normal' condition, but did not significantly differ 320 321 between the 'smaller than normal' and 'small-normal' conditions. Thus, there was no evidence that additional energy intake was lower when reduced portions appeared 'normal' 322 than when they appeared 'smaller than normal'. 323

324 Effect of portion size on total main meal intake

325 There was a significant effect of portion size on total main meal intake (lunch and dinner combined), and a significant interaction between portion size condition and meal (see 326 Table 3 for ANOVA results). In separate portion size condition x day repeated-measures 327 ANOVAs for lunch and dinner, portion size condition significantly affected total meal intake, 328 with no significant interaction between portion size condition and day. Mean total lunch 329 330 intake (768 kcals, sd = 210) and mean total dinner intake (851 kcals, sd = 214) was highest in the 'large-normal' condition and each reduction to portion size was associated with a 331 significant and similar sized reduction to total lunch intake and total dinner intake (Figure 5). 332

333 Analysis of order effects

334	We examined the pattern of results across groups according to the sequence in which
335	participants received the portion size conditions and found little evidence that condition
336	sequence affected the results of the main analyses. There was a significant interaction
337	between condition sequence and portion size condition for total lunch meal energy intake.
338	However, controlling for condition sequence did not alter the significance of pairwise
339	comparisons between portion size conditions and the pattern of results was largely consistent
340	across condition sequences (see Additional file 1).
341	Perceived normality of portion sizes
342	As predicted, 'smaller than normal' portions of each dish were rated as significantly
343	smaller than the midpoint of the scale (all $p < 0.001$, indicating a significant deviation from a
344	rating of perceived 'normal'). Also as predicted, ratings of the 'small normal' portion sizes of
345	most dishes did not significantly differ from the midpoint of the perceived normality scale
346	(indicating that these portions were perceived as being relatively 'normal' as intended), with
347	the exception of beef curry and chili con carne, where normality ratings of the 'small
348	normal' portion sizes were slightly higher than the scale midpoint. In an additional analysis
349	suggested by an anonymous reviewer, we found that normality ratings of the 'large-normal'
350	portions were significantly larger than the scale midpoint (Table S4, Additional file 1).

351 Additional analyses

There were no significant effects of portion size condition on hunger or fullness, daily moderate to vigorous physical activity, discretionary leisure-time physical activity, or body weight (see Table 2 for descriptive statistics, and Table S2 [Additional file 1] for full ANOVA results). In exploratory analyses, neither breakfast, snack box, nor out of study (self356 reported) energy intake significantly varied between portion size conditions (Table 2; Table
357 S2, Additional file 1). The study was not designed or powered to detect moderation by
358 individual differences, but the pattern of daily energy intake across conditions was consistent
359 across gender and BMI groups (see Figure S4 in Additional file 1).

360

Discussion

Reducing the portion size of lunch and dinner meals resulted in a significant reduction to 361 daily energy intake across 5 days. Based on a 'norm range' model of portion size, we 362 predicted that a reduction that resulted in portions appearing 'smaller than normal' in size 363 would invite substantial additional energy intake and this would result in no overall decrease 364 to daily energy intake, but there was no evidence of this. Rather, the results of the present 365 study suggest that reductions to the portion size of main-meal foods result in significant 366 367 decreases in daily energy intake regardless of the perceived normality of portion size. Even reductions to portion size that are noticeable and result in portions that appear small lowered 368 daily energy intake. 369

The results of the present study are not fully consistent with some of the results from 370 two acute single meal studies (9). In these previous studies, a reduction that resulted in a 371 portion size being perceived as 'smaller than normal' resulted in an increase in immediate 372 additional energy intake, while a reduction that resulted in a portion that was still perceived 373 as 'normal' in size, did not. However, in the previous studies (9), each reduction to the 374 portion size of the main meal component resulted in a significant reduction in total meal 375 intake at both lunch and dinner. Additional eating only partially made up for the difference in 376 377 intake from the initial portion. This was also observed in the present study. Therefore, unlike findings from virtual and short-term food intake studies (9, 15), results of the present study 378 are not consistent with a norm range model of portion size, as reducing portions past the point 379

380 of perceived normality did not significantly alter (via additional eating) the influence portion size had on daily energy intake. It may be the case that when food intake is examined over 381 longer periods of time, cognitive appraisals like perceived normality of portion size may have 382 383 a smaller influence on additional eating behaviour than in the short-term (9). An alternative explanation for our findings and the influence of portion size is that any portion size served 384 acts as a form of normative anchor (29) that then biases consumers' decision about how much 385 to eat, which would explain why in the present study even portions that were reduced so 386 much that they appeared 'smaller than normal' reduced daily energy intake and why even 387 388 increases from large to very large portion sizes can drive energy intake upwards (2).

In the only other controlled laboratory-based feeding study of reducing portion sizes we 389 are aware of, portion sizes of all foods provided were reduced by 25% (or by 821 - 1076 kcal, 390 391 10). Here we manipulated only the main meal component at lunch and dinner while all other foods were provided in sufficient quantities allowing additional eating to make up for the 392 smaller portion sizes, resulting in a 412 kcal/d decrease in food served with each portion size 393 reduction. A sizable proportion of the reduction to portion size at main meals was transferred 394 to overall energy intake. For example, the reduction from the 'small-normal' to the 'smaller 395 396 than normal' portion (412 kcal/day reduction in food provided across two meals) resulted in a reduction to intake from those meals of 327 kcal/day, and an overall reduction in total daily 397 398 energy intake of 210 kcals. These findings are consistent with the results of a systematic 399 review which demonstrated that energy deficits imposed by experimental manipulation are poorly compensated for (30). A potential argument against reducing portions of commercially 400 available food products is that consumers may compensate through additional eating for the 401 402 reduced portions which may result in no overall benefit to total energy intake (31). However the findings of the present study suggest that reductions to the portion sizes of commercially 403

available foods may effectively reduce energy intake, regardless of whether reduced portionsizes are perceived as 'normal' or not (31).

A strength of the present research is that energy intake from all food and drink provided 406 was objectively measured over a 5 day period. This represents the longest controlled 407 laboratory-based study on the effect of *reducing* portion size (relative to standard portion 408 409 sizes) of which we are aware (10), although a previous study examined the effect that very *large* portions have on energy intake in the laboratory over 11 days (31). The present research 410 also presents the first examination of whether energy intake over 5 days in response to 411 reduced portions differs depending on whether a reduced portion is perceived as 'normal' in 412 size or not. The selection of 'smaller than normal', 'small normal', and 'large normal' portion 413 sizes for each dish was informed by the results of a pilot study in an independent sample of 414 participants. This approach was adopted to minimise hypothesis awareness among the study 415 participants that would likely have been compromised by completing pre-study ratings of 416 portion size normality. While the post-study manipulation check results confirmed that all 417 'smaller than normal' portions were perceived as such by participants and the majority of the 418 'small-normal' portions were rated as normal, two of six were statistically significantly larger 419 420 than 'normal', although ratings were still close to 'normal' on the scale. These slight 421 differences may be attributable to the manipulation check methodology. Manipulation check 422 data may have been contaminated by repeated exposure to and consumption of the dishes 423 during the study (32, 33). The manipulation checks for each portion size were also administered consecutively and this may have artificially produced larger differences 424 between the portion size conditions. 425

Although we requested that participants not consume food outside of the study, we
accounted for any energy intake consumed outside of the laboratory using self-reported food
diaries. This self-reported intake may be subject to some underreporting, but we presume this

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429 would be similar across conditions. A limitation is that most participants in the present study had at least some university education. A different pattern of results may have been observed 430 with a more representative sample, as evidence from an online study suggests that portion 431 432 size influences intended food consumption to a greater extent among individuals with lower education (34). Energy intake was examined in response to three manipulated portion sizes. 433 Examination of energy intake from a wider range of portion sizes will be useful to identify 434 the point at which reduced portions trigger significant compensatory behaviour and to enable 435 testing of other potential mechanisms that could determine this point. We examined energy 436 437 intake over five days as this is feasible in a laboratory setting. Despite decreasing overall energy intake across the 5 days, the portion size reductions did not produce an increase in 438 appetite or a decrease in physical activity. Given that we found no evidence of adaptions to 439 440 reduced portion size by the end of 5 days in our study and the effect that *larger* portions have on daily energy intake has been observed up to 11 days (35), in line with Rogers and 441 Brunstrom (36) we presume that longer-term compensation would only be expected in 442 response to weight loss and not merely in response to a lower energy balance (37, 38). All 443 study foods were standardised across testing periods and were neutral to moderately liked by 444 participants, meaning that additional intake is unlikely to have been unduly affected by 445 dislike of study foods. However, as is the case with any laboratory-based experiment, 446 responses to manipulations of portion size may differ in free-living settings. The influence of 447 portion size has also been demonstrated outside of the confines of the laboratory (11, 14, 39-448 42), however in one free living study a reduction from a 'standard' to a 'reduced' portion size 449 lunch was not associated with a significant reduction in overall daily energy intake (14). The 450 artificial environment imposed in controlled laboratory-based experiments (including but not 451 limited to the provision of a limited number of free foods) may impact on energy intake and 452

the extent to which compensation for reduced portion sizes occurs. Replication in real-worldsettings would now be informative.

455 Conclusions

Reductions to the portion size of main-meal foods resulted in significant decreases to daily energy intake, even when portions were reduced to the point that they were no longer perceived as being normal in size. Even relatively large reductions to portion size that are noticeable and result in portions that appear small are still likely to lower total energy intake.

460

461 *Additional files*

462 Additional file 1 (.pdf). Supplementary Information: Additional methodological information,

463 full study menu, additional analyses and results.

464 List of abbreviations

465 Kilocalories (kcal)

- 466 Body mass index (BMI)
- 467 Moderate to vigorous physical activity (MVPA)
- 468 International physical activity questionnaire (IPAQ)
- 469 Leisure time physical activity (LTPA)
- 470 Metabolic equivalent of task (MET)

Declarations

Ethics approval and consent to participate. The study received approval from the University of Liverpool Research Ethics committee (approval number IPHS 2688). All participants provided written informed consent to participate.

Consent for publication. Not applicable.

Availability of data and materials. The datasets supporting the conclusions of this article are available in the Open Science Framework repository (<u>https://osf.io/natws/</u>).

Competing interests. ER and JCGH have also received research funding from the American Beverage Association and Unilever. CAH has received research funding from the American Beverage Association and speaker's fees from the International Sweeteners Association. JCGH currently receives research funding from the American Beverage Association, Astra Zeneca and Bristol Meyers Squib and has been an advisory board member for Novo Nordisk and Orexigen.

Funding. This research was supported by a NIRG awarded by the Medical Research Council (MRC) to ER (MR/N00218/1). The funding body had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript. ER's salary is supported by the MRC. SAJ is an NIHR Senior Investigator and funded by the National Institute for Health Research (NIHR) Oxford Biomedical Research Centre (BRC) and NIHR Collaboration for Leadership in Applied Health Research and Care Oxford at Oxford Health NHS Foundation Trust. The funding bodies had no role in the design of the study or collection, analysis or interpretation of data or in writing the manuscript.

Author contributions: AH, CAH, JCGH, SAJ, and ER contributed to designing the research, AH and BRM undertook data collection, AH analysed data, AH drafted the manuscript, and all authors contributed to the final written manuscript. All authors were responsible for the final approval of the manuscript.

Acknowledgements. We are grateful to Annemijn Visscher and Marjon Veeke for research assistance.

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Tables

Table 1

Sample characteristics (N = 30).

	N(%) / M(SD), range
Gender	15 (50% female)
Age	31.6 (10.3), 18 – 56
Education	
High school	3 (10%)
Some university	2 (7%)
Bachelor's degree	11 (37%)
Master's degree	10 (33%)
Doctoral or professional degree	4 (13%)
BMI	26.0 (2.3), 22.5-29.8
Weight status	
Normal weight	11 (37%)
Overweight	19 (63%)
Restrained eating score	2.61 (0.56), 1.40-3.50 ^a
^a Scale bounds: 1-5.	

Table 2

Mean energy intake, bodyweight, physical activity, and hunger and fullness ratings by

portion size condition (SD).

	'Smaller than normal'	'Small-normal'	'Large-normal'
Total energy provided (kcal/d)	5074	5485	5897
Daily energy intake (kcal/d)	2238 (490)	2448 (584)	2543 (592)
Breakfast	441 (154)	441 (175)	429 (174)
Lunch (total)	653 (203)	695 (221)	768 (210)
Portion	313 (13)	501 (56)	658 (97)
Immediate additional	339 (196)	194 (190)	110 (140)
intake			
Dinner (total)	613 (162)	752 (181)	851 (214)
Portion	338 (22)	486 (79)	628 (119)
Immediate additional	275 (154)	266 (147)	223 (149)
intake			
Snack box	454 (229)	452 (239)	428 (218)
Out of study intake (self-	78 (91)	108 (126)	68 (80)
reported)			
Body weight (kg, Monday)	77.0 (10.8)	76.6 (10.9)	76.8 (10.9)
Body weight (Friday)	76.9 (11.0)	76.69 (10.6)	77.1 (11.0)
MVPA (mins/day) ^a	70.2 (44.0)	75.8 (42.0)	74.9 (51.6)
MVPA (mins/day) ^b	72.2 (8.0)	71.3 (3.8)	70.0 (3.9)
Discretionary LTPA (MET	1024.5 (907.7)	1003.0 (985.1)	1207.5 (1046.8)
mins/week)			

Portion size and energy intake over 5 days					
Hunger ^c	310.3 (104.8)	288.9 (104.0)	284.5 (112.8)		
Fullness ^c	432.8 (84.8)	424.0 (79.7)	432.8 (97.2)		

All n = 30 except ^a complete cases, n = 20. ^b Estimated marginal means and SE from multiply-imputed datasets. ^c Area under curve of meal ratings across the day. MVPA = FitBit measured moderate to vigorous physical activity with MET ≥ 3 . Discretionary LTPA = leisure time physical activity from self-report. Immediate additional intake refers to additional helpings of the portion size manipulated food consumed at lunch and dessert consumed at dinner.

Table 3

ANOVA results:	portion	size	effect	on	primary	v inta	ıke	variał	oles
11110 111100000000	p 01 01 011			~	P				

Dependent variable	Main effect portion size	Interaction ^a
Daily energy intake	$F(2, 58) = 20.09, p < 0.001, \eta_{\rho}^2 = 0.41$	$F(5.50, 159.60) = 0.70, p = 0.64, \eta_{\rho}^2 = 0.02$
Immediate additional intake	$F(1.68, 48.60) = 52.72, p < 0.001, \eta_{\rho}^2 = 0.65$	$F(2, 58) = 30.16, p < 0.001, \eta_{\rho}^2 = 0.51^{\text{b}}$
Lunch	$F(1.57, 45.47) = 65.29, p < 0.001, \eta_{\rho}^2 = 0.69$	$F(5.22, 151.28) = 1.84, p = 0.11, \eta_{\rho}^2 = 0.06$
Dinner	$F(2, 58) = 6.09, p = 0.004, \eta_{\rho}^2 = 0.17$	$F(4.66, 135.07) = 0.61, p = 0.68, \eta_{\rho}^2 = 0.02$
Total meal intake	$F(1.54, 44.64) = 50.89, p < 0.001, \eta_{\rho}^2 = 0.64$	$F(1.56, 45.30) = 13.12, p < 0.001, \eta_{\rho}^2 = 0.31^{b}$
Lunch	$F(2, 58) = 17.83, p < 0.001, \eta_{\rho}^2 = 0.38$	$F(5.48, 159.04) = 0.88, p = 0.50, \eta_{\rho}^2 = 0.03$
Dinner	$F(1.52, 44.13) = 51.96, p < 0.001, \eta_{\rho}^2 = 0.64$	$F(4.89, 141.80) = 0.56, p = 0.73, \eta_p^2 = 0.02$

^a All interactions portion x day, except ^b interaction portion x meal (lunch, dinner). Immediate additional intake refers to additional helpings of

the portion size manipulated food consumed at lunch and dessert consumed at dinner.

Figure captions

Figure 1. CONSORT Flow diagram depicting flow of participants through study recruitment, enrolment, completion, and analysis.

Figure 2. Overview of daily assessment of energy intake.

Figure 3. Effect of portion size on daily energy intake. ^a 95% CI [-418, -192], d = 1.01. ^b 95% CI [-309, -111], d = 0.79. ^c 95% CI [-184, -6], d = 0.40. Error bars represent standard errors and values on comparison bars = p for pairwise comparisons.

Figure 4. Effect of portion size on immediate additional intake of other meal food at lunch (left) and dessert food at dinner (right). ^a 95% CI [179, 281], d = 1.69. ^b 95% CI [106, 184], d = 1.40. ^c 95% CI [51, 117], d = 0.95. ^d 95% CI [15, 88], d = 0.53. ^e 95% CI [-20, 38], d = 0.12. ^f 95% CI [12, 73], d = 0.53. Error bars represent standard errors and values on comparison bars = p for pairwise comparisons.

Figure 5. Effect of portion size on total meal intake (sum of intake from initial portion and additional intake of other meal food) at lunch (left), and (sum of intake from initial portion and additional intake of dessert food) at dinner (right). ^a 95% CI [-163, -68], d = 0.92. ^b 95% CI [-82, -4], d = 0.41. ^c 95% CI [-106, -40], d = 0.84. ^d 95% CI [-299, -179], d = 1.49. ^e 95% CI [-178, -100], d = 1.32. ^f 95% CI [-141, -57], d = 0.88. Error bars represent standard errors and values on comparison bars = *p* for pairwise comparisons.