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Influence of random multi-point seismic excitations on the safety performance of a train running on a long-span bridge

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22 **Abstract**

23 The increasing use of bridges in high-speed railway (HSR) lines raises the possibility of
24 train derailment on bridges under seismic excitations. In this paper, the influence of random
25 multi-point earthquakes on the safe running of a train on a long-span bridge is studied in
26 terms of the dynamic reliability, considering spatial seismic effects, and randomness of
27 ground motions and train locations. The equations of motion for the train and the track/bridge
28 as time-invariant subsystems under earthquakes are established, separately. The two
29 subsystems are connected via the wheel-rail interface, for which a nonlinear contact model
30 and detachment are considered. The time-history samples of non-stationary multi-point
31 random earthquakes considering wave passage effects and incoherence effects are generated
32 by the auto regressive moving average (ARMA) model. The ground motions are imposed on
33 the bridge support points in terms of displacement and velocity. The train location at the time
34 of earthquake is considered a uniformly distributed random variable. The running safety
35 reliability of a train moving on a long-span bridge under earthquakes is determined by
36 combining subset simulation (SS) with a prediction-based iterative solution method. Under
37 different seismic components, train speeds, apparent seismic wave velocities and seismic
38 intensities, the most unfavourable train location intervals are determined, which provides a
39 reference for the safety performance assessment of trains travelling on bridges under
40 earthquakes. Numerical results show that the influence of the lateral seismic component on
41 the wheel derailment coefficient (WDC) is greater than the vertical seismic component, and
42 the earthquake that occurs before the train's arrival at 70% length of the bridge will

43 significantly reduce its running safety.

44 **Keywords:** Train-track-bridge system; Wheel-rail contact; Subset simulation; Earthquake;

45 Dynamic reliability

46

47 **1 Introduction**

48 Because of high smoothness, good stability, small foundation settlement, and easy
49 maintenance, bridges can fulfil the requirements of safe and stable operations of high-speed
50 trains, and hence occupy a large proportion in HSR lines. According to the statistics,¹ bridges
51 account for about 47% of Japan's HSR lines, and the average occupancy rate of bridges in
52 China's HSR exceeds 50%. With the increase of the bridge length in HSR, the possibility of a
53 train derailment on a bridge under earthquakes increases. For example, a Shinkansen
54 high-speed train derailed on a bridge during the Kumamoto earthquake in 2016. Therefore, it
55 is highly practically significant to investigate the safety performance of trains running on
56 bridges under earthquakes.²

57 To assess the dynamic performance of train-track-bridge coupled system under random
58 multi-point seismic excitations, the dynamic models with different levels of complexity were
59 established. The linear or equivalent linearized wheel-rail contact relations were adopted and
60 the random responses were obtained with the pseudo excitation method (PEM).³⁻⁶ But the
61 wheel-rail forces obtained with these models are quite different from the measured ones,
62 especially when the lateral relative displacements between wheels and rails are large.⁷
63 Therefore, in order to obtain more realistic wheel-rail forces and evaluate the train's running

64 safety performance accurately, the nonlinear wheel-rail contact models considering the effect
65 of practical wheel-rail profiles should be established.

66 Due to the existence of nonlinear wheel-rail relations, dynamic responses of the
67 vehicle-track-bridge coupled system need to be solved in the time domain.⁸ Yang and Wu⁹
68 obtained the equation of motion of a train-bridge coupled system with the dynamic
69 condensation technique, and analysed the effects of four measured seismic excitations on the
70 WDC of a train resting or moving on a bridge. Sogabe et al.¹⁰ developed a nonlinear
71 wheel-rail contact model, and studied the influence of train-running positions and damping
72 ratio of bridge structure on the train's running safety performance under seismic excitations,
73 taking the wheel-rail lateral relative displacement as the index. Ju¹¹ developed a nonlinear
74 moving wheel element, and discussed the effects of train speed and ground motion on the
75 WDC. Zeng and Dimitrakopoulos¹² determined the normal wheel-rail contact forces and the
76 tangential creep forces according to the linear complementarity method and
77 Shen-Hedrick-Elkins creep theory respectively, and investigated the derailment mechanism of
78 high-speed trains running on bridges under strong earthquakes. Jin et al.¹³ presented a
79 nonlinear wheel-rail contact model and investigated the influence of vertical earthquake
80 component on the safety performance of a vehicle moving on a bridge. Montenegro et al.¹⁴
81 developed a wheel-rail contact element, and analysed the effects of vehicle running speed and
82 seismic intensity on the safety performance of a vehicle moving on a bridge under uniform
83 earthquakes. Xia et al.¹⁵ presented a nonlinear wheel-rail contact model and investigated the
84 effects of train speeds and apparent seismic wave velocities on wheel unloading ratio. Du et

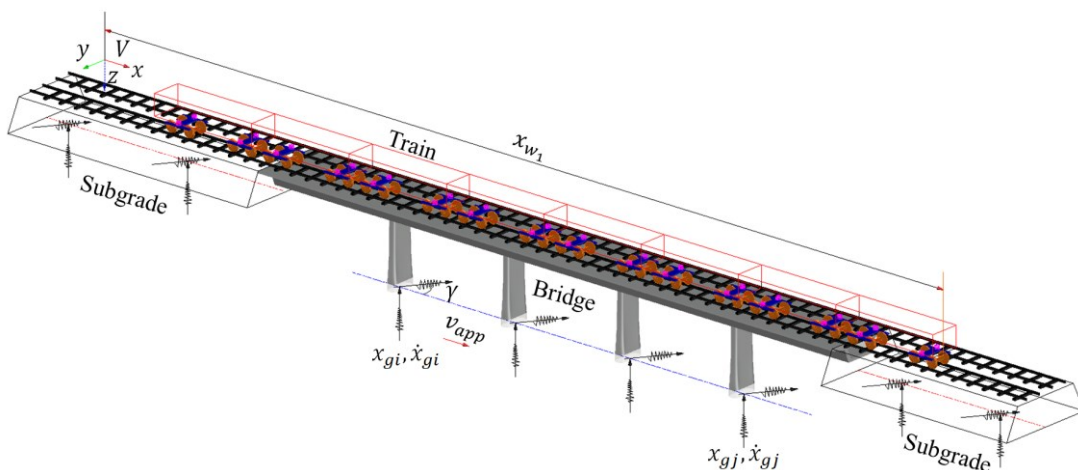
85 al.¹⁶ established a dynamic analysis framework of train-bridge coupled system under
86 non-uniform earthquakes, in which the nonlinear wheel-rail contact geometry relations and
87 wheel-rail separations were considered.

88 The influence of different factors on the safety performance of trains running on bridges
89 under earthquakes were analysed in the above studies, assuming that the earthquakes occurred
90 at some given moments and ignoring the influence of the earthquake occurrence moments. Li
91 et al.¹⁷ investigated the effects of six earthquake occurrence moments on the dynamic
92 responses of a vehicle travelling on a bridge subjected to uniform seismic excitations,
93 assuming that the vertical displacements at the wheel-bridge contact points were identical.
94 Montenegro¹⁸ analysed the effects of five specific occurrence moments on the safety
95 performance of a vehicle on a bridge under uniform earthquakes. Zeng and Dimitrakopoulos¹⁹
96 investigated the influence of 11 earthquake occurrence moments on the safety of a train
97 travelling over a bridge under non-uniform seismic excitations. The results of Refs.¹⁷⁻¹⁹
98 showed that the earthquake occurrence moment had a significant impact on the train's
99 running safety. However, the randomness of the train running positions at the time of the
100 earthquakes was not considered since only several specific deterministic moments were
101 selected.

102 In this paper, the influence of random multi-point earthquakes on the running safety of a
103 train moving on a long-span bridge is studied from the perspective of dynamic reliability,
104 considering the spatial effects of ground motions, the randomness of seismic excitations and
105 train positions when earthquakes occur. The dynamic model of a train-ballasted

106 track-continuous beam bridge coupled system under the action of spatial multi-point
 107 earthquakes is established, as shown in Fig.1. The transition regions between subgrade and
 108 bridge are considered. At the support points of track-bridge structure, the propagation
 109 direction of vertical earthquake components is along the negative direction of z-axis. The
 110 angle between the travelling direction of the seismic waves in the horizontal plane and the
 111 x-axis is denoted by γ . The train position when earthquake occurs, measured by the
 112 longitudinal position x_{w_1} of wheelset 1, is considered to be a uniformly distributed random
 113 variable. The SS method^{20,21}, which has been widely used for the reliability assessments in
 114 engineering areas²²⁻²⁴, is introduced and combined with the prediction-based iterative
 115 method²⁵ to efficiently assess the safety reliability of a train moving on a bridge under
 116 earthquakes. Under different seismic components, train speeds, apparent seismic wave
 117 velocities, and seismic intensities, the effects of the earthquake occurrence moments,
 118 measured by x_{w_1} , on the safety performance of a train moving through a bridge are analysed.
 119 The most unfavourable train position interval can be obtained, providing a guideline for the
 120 running safety evaluation of a train moving on a bridge under random seismic excitations.

121



122

123 Fig.1. Dynamic model of vehicle-track-bridge system under multi-point seismic excitations

124

125 **2 Dynamic model of vehicle/truck/bridge system under** 126 **earthquakes**

127 The vehicle/truck/bridge coupled system can be divided into two time-invariant
128 subsystems: train subsystem and track-bridge subsystem. The two subsystems are connected
129 at the wheel-rail interface, and the equations of motion of them under seismic excitations are
130 established separately.

131 **2.1 Equation of motion of train subsystem**

132 The seismic excitations act indirectly on the train subsystem through the wheel-rail
133 contact elements. Therefore, the form of the equation of motion of train subsystem will not
134 change whether the seismic excitations are considered or not. A dynamic model of an
135 8-vehicle CRH2 high-speed train is established in the absolute coordinate system. The
136 interactions between adjacent vehicles are neglected and the parameters of individual vehicles
137 are assumed to be the same.²⁶⁻²⁸ Considering the structural characteristics of the vehicle and
138 the damping characteristics of its suspension, a single vehicle is modelled as multiple rigid
139 bodies consisting of one body and two bogies, as shown in Fig.2. Each rigid body has five
140 DOFs other than the longitudinal DOF. The primary and secondary suspensions are modelled
141 as parallel mas-spring-damper elements.

142 The equation of motion of train subsystem can be written as follows

143

$$\mathbf{M}_v \ddot{\mathbf{X}}_v + \mathbf{C}_v \dot{\mathbf{X}}_v + \mathbf{K}_v \mathbf{X}_v = \mathbf{F}_{vt} \quad (1)$$

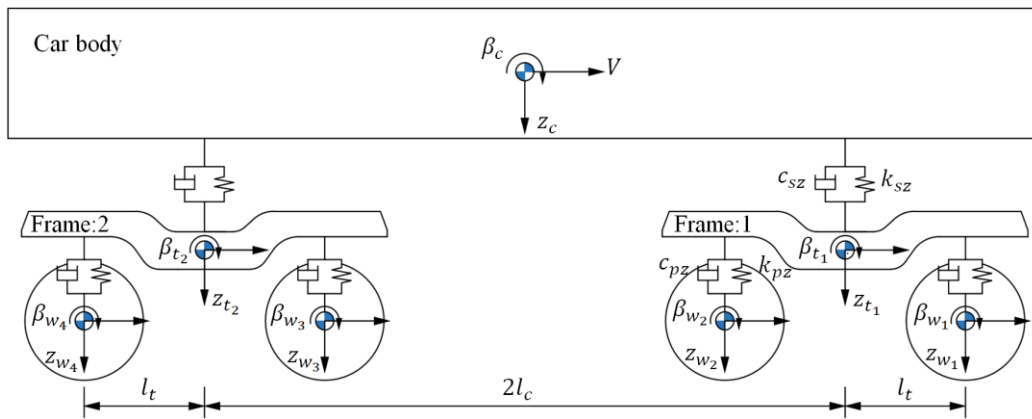
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145 where subscript v indicates the train subsystem; \mathbf{X} , $\dot{\mathbf{X}}$ and $\ddot{\mathbf{X}}$ denote displacement,

146 velocity and acceleration vectors, respectively; \mathbf{M} , \mathbf{K} and \mathbf{C} denote the mass, stiffness and

147 damping matrices, respectively.

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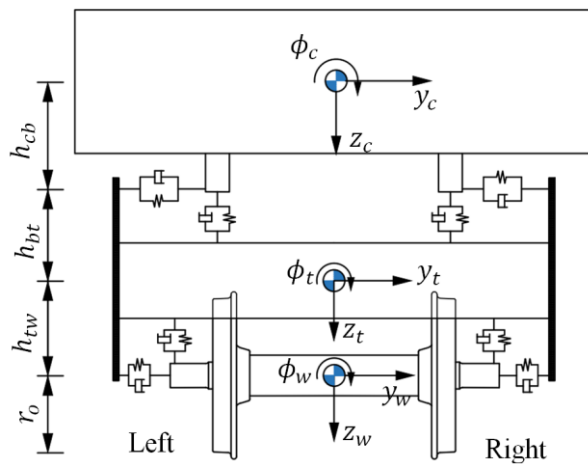


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(a) Side view

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(b) Front view

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Fig.2. Dynamic model of railway vehicle subsystem

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$$\begin{cases} \mathbf{X}_v = \{\mathbf{x}_{v1}^T, \mathbf{x}_{v2}^T, \dots, \mathbf{x}_{vN_v}^T\}^T \\ \mathbf{M}_v = \text{diag}[\mathbf{M}_{v1}, \mathbf{M}_{v2}, \dots, \mathbf{M}_{vN_v}] \\ \mathbf{K}_v = \text{diag}[\mathbf{K}_{v1}, \mathbf{K}_{v2}, \dots, \mathbf{K}_{vN_v}] \\ \mathbf{C}_v = \text{diag}[\mathbf{C}_{v1}, \mathbf{C}_{v2}, \dots, \mathbf{C}_{vN_v}] \end{cases} \quad (2)$$

157

158 where N_v is the number of vehicles; subscript vi denotes the i th vehicle.

159

$$\begin{cases} \mathbf{X}_{vi} = \{\mathbf{x}_c^T, \mathbf{x}_{t_1}^T, \mathbf{x}_{t_2}^T, \mathbf{x}_{w_1}^T, \mathbf{x}_{w_2}^T, \mathbf{x}_{w_3}^T, \mathbf{x}_{w_4}^T\}^T \\ \mathbf{M}_{vi} = \text{diag}[\mathbf{M}_c, \mathbf{M}_{t_1}, \mathbf{M}_{t_2}, \mathbf{M}_{w_1}, \mathbf{M}_{w_2}, \mathbf{M}_{w_3}, \mathbf{M}_{w_4}] \\ \mathbf{K}_{vi} = \begin{bmatrix} \mathbf{K}_{cc} & \mathbf{K}_{ct_1} & \mathbf{K}_{ct_2} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{K}_{t_1c} & \mathbf{K}_{t_1t_1} & \mathbf{0} & \mathbf{K}_{t_1w_1} & \mathbf{K}_{t_1w_2} & \mathbf{0} & \mathbf{0} \\ \mathbf{K}_{t_2c} & \mathbf{0} & \mathbf{K}_{t_2t_2} & \mathbf{0} & \mathbf{0} & \mathbf{K}_{t_2w_3} & \mathbf{K}_{t_2w_4} \\ \mathbf{0} & \mathbf{K}_{w_1t_1} & \mathbf{0} & \mathbf{K}_{w_1w_1} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{K}_{w_2t_1} & \mathbf{0} & \mathbf{0} & \mathbf{K}_{w_2w_2} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{K}_{w_3t_2} & \mathbf{0} & \mathbf{0} & \mathbf{K}_{w_3w_3} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{K}_{w_4t_2} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{K}_{w_4w_4} \end{bmatrix} \\ \mathbf{C}_{vi} = \begin{bmatrix} \mathbf{C}_{cc} & \mathbf{C}_{ct_1} & \mathbf{C}_{ct_2} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{C}_{t_1c} & \mathbf{C}_{t_1t_1} & \mathbf{0} & \mathbf{C}_{t_1w_1} & \mathbf{C}_{t_1w_2} & \mathbf{0} & \mathbf{0} \\ \mathbf{C}_{t_2c} & \mathbf{0} & \mathbf{C}_{t_2t_2} & \mathbf{0} & \mathbf{0} & \mathbf{C}_{t_2w_3} & \mathbf{C}_{t_2w_4} \\ \mathbf{0} & \mathbf{C}_{w_1t_1} & \mathbf{0} & \mathbf{C}_{w_1w_1} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{C}_{w_2t_1} & \mathbf{0} & \mathbf{0} & \mathbf{C}_{w_2w_2} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{C}_{w_3t_2} & \mathbf{0} & \mathbf{0} & \mathbf{C}_{w_3w_3} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{C}_{w_4t_2} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{C}_{w_4w_4} \end{bmatrix} \end{cases} \quad (3)$$

160

161 where subscripts c , t_1 , t_2 and $w_i (i = 1 \sim 4)$ represent the car body, front frame, rear frame

162 and wheelsets 1~4, respectively.

163

$$\begin{cases} \mathbf{x}_i = \{y_i, z_i, \phi_i, \beta_i, \psi_i\}^T \\ \mathbf{M}_i = \text{diag}[m_i, m_i, I_{ix}, I_{iy}, I_{iz}] \end{cases}, \quad i = c, t_1, t_2, w_1, w_2, w_3, w_4 \quad (4)$$

164

165 where y and z denote the DOFs in the lateral and vertical directions; ϕ , β and ψ denote

166 the roll, pitch and yaw directions, respectively; m refers to the mass; I_x , I_y and I_z are the

167 moments of inertia about the x , y and z axes, respectively.

168 The load vector acting on the train system \mathbf{F}_{vt} can be written as

169

$$\mathbf{F}_{vt} = \{\mathbf{F}_{v1}^T, \mathbf{F}_{v2}^T, \dots, \mathbf{F}_{vN_v}^T\}^T \quad (5)$$

170

171 where

172

$$\mathbf{F}_{vi} = \{\mathbf{F}_c^T, \mathbf{F}_{t_1}^T, \mathbf{F}_{t_2}^T, \mathbf{F}_{w_1}^T, \mathbf{F}_{w_2}^T, \mathbf{F}_{w_3}^T, \mathbf{F}_{w_4}^T\}^T, \quad i = 1, 2, \dots, N_v \quad (6)$$

173

174 where \mathbf{F}_c , \mathbf{F}_{t_1} , \mathbf{F}_{t_2} and \mathbf{F}_{w_i} ($i = 1 \sim 4$) are the load vectors acting on the car body, front

175 frame, rear frame and wheelsets 1~4, respectively.

176

$$\left\{ \begin{array}{l} \mathbf{F}_c = \mathbf{F}_{t_1} = \mathbf{F}_{t_2} = \mathbf{0} \\ \mathbf{F}_{w_i} = \left[\begin{array}{c} F_{iy}^L + F_{iy}^R \\ F_{iz}^L + F_{iz}^R + m_0 g \\ d_0(F_{iz}^R - F_{iz}^L) - r_{w_i}^L F_{iy}^L - r_{w_i}^R F_{iy}^R + I_{w_y}(\dot{\beta}_{w_i} - V/r_0)\psi_{w_i} \\ r_{w_i}^L F_{ix}^L + r_{w_i}^R F_{ix}^R + \psi_{w_i}(r_{w_i}^R F_{iy}^R + r_{w_i}^L F_{iy}^L) \\ d_0(F_{ix}^L - F_{ix}^R) + d_0\psi_{w_i}(F_{iy}^L - F_{iy}^R) + I_{w_y}\dot{\phi}_{w_i}(\dot{\beta}_{w_i} - V/r_0) \end{array} \right] \end{array} \right. \quad (7)$$

177

178 where F_{ix}^α , F_{iy}^α and F_{iz}^α ($\alpha = L, R$) are the forces acting on wheels of the i th wheelset in the

179 longitudinal, lateral and vertical direction, respectively; superscripts L and R denote the left

180 and the right sides of the vehicle; $r_{w_i}^\alpha$ is the instantaneous wheel rolling radius of the i th

181 wheelset; d_0 is half of the lateral distance between the nominal wheel-rail contact points;

182 ψ_{w_i} is the yaw angle of the i th wheelset; m_0 denotes a quarter of the vehicle mass; g is

183 the gravitational acceleration.

184 2.2 Equation of motion of track-bridge subsystem

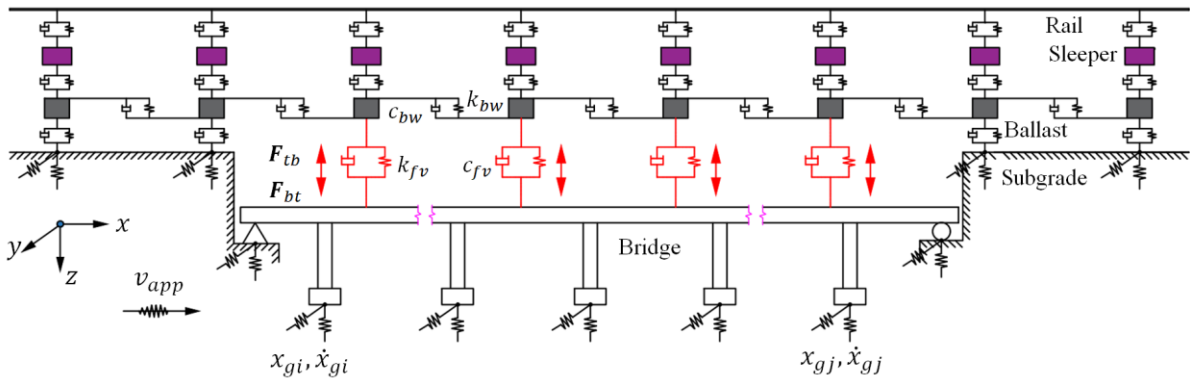
185 The stiffness and the dynamic characteristics of a bridge under a travelling train are

186 sensitive to the variations of track stiffness.²⁹ Track structures can effectively attenuate the

187 high-frequency vibration of a vehicle/track/bridge coupled system induced by ground motion,
 188 crosswind, out-of-round wheel or rail corrugation.³⁰ The elastic and damping properties of a
 189 track structure have a significant impact on the running safety and ride comfort of trains
 190 travelling on bridges.³¹ Therefore, the vibrational effects of a track structure need to be taken
 191 into account in the study of vehicle-bridge dynamic interaction problems.³²

192 Therefore, a dynamic model of track-bridge subsystem under earthquakes is established
 193 in the absolute coordinate system, as shown in Fig.3. The effects of the transition regions
 194 between the subgrade and the bridge can be considered. The equations of motion of the track
 195 and the bridge structures are given respectively, and then coupled together by the track-bridge
 196 interaction F_{tb} and F_{bt} , as shown by the double arrows in Fig.3, to obtain the equation of
 197 motion of the track-bridge subsystem.

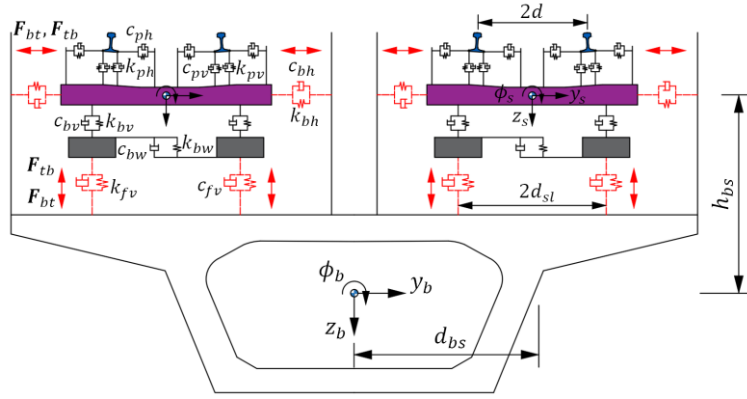
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(a) Side view



(b) Front view

Fig. 3. Dynamic model of track-bridge subsystem under multi-point seismic excitations

2.2.1 Equation of motion of track structure

The low stiffness of the girder and the large deformation of the bridge deck are unfavourable to the working status of ballastless track. Hence, ballasted tracks are often used for long-span bridges.³³ A dynamic model of three-layer ballasted track³⁴ is given, consisting of two rails, a number of sleepers and ballast blocks. Each piece of rail is modelled as a simply-supported Euler beam of finite length, considering the bending vibrations in the lateral and vertical directions and the torsional vibration about the rail's longitudinal axis. Each sleeper is modelled as a 3-DOF rigid body in the lateral, vertical and roll directions. The ballast bed consists of a series of ballast blocks. Each ballast block has a vertical DOF only, and the interactions between adjacent ballast blocks are considered. The connections between the components are modelled as parallel spring-damper elements. By rearranging the track matrices according to the DOFs of support and non-support points of track structure, the following equation can be obtained

$$\begin{bmatrix} \mathbf{M}_{tt} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{bmatrix} \begin{pmatrix} \ddot{\mathbf{X}}_{tt} \\ \ddot{\mathbf{X}}_{ss} \end{pmatrix} + \begin{bmatrix} \mathbf{C}_{tt} & \mathbf{C}_{ts} \\ \mathbf{C}_{st} & \mathbf{C}_{ss} \end{bmatrix} \begin{pmatrix} \dot{\mathbf{X}}_{tt} \\ \dot{\mathbf{X}}_{ss} \end{pmatrix} + \begin{bmatrix} \mathbf{K}_{tt} & \mathbf{K}_{ts} \\ \mathbf{K}_{st} & \mathbf{K}_{ss} \end{bmatrix} \begin{pmatrix} \mathbf{X}_{tt} \\ \mathbf{X}_{ss} \end{pmatrix} = \begin{pmatrix} \mathbf{F}_{tv} + \mathbf{F}_{tb} \\ \mathbf{F}_{ts} \end{pmatrix} \quad (8)$$

218

219 By expanding the upper part of the Eq. (8), the equation of motion of track structure under

220 earthquakes can be expressed as

221

$$\mathbf{M}_{tt}\ddot{\mathbf{X}}_{tt} + \mathbf{C}_{tt}\dot{\mathbf{X}}_{tt} + \mathbf{K}_{tt}\mathbf{X}_{tt} = \mathbf{F}_{tv} + \mathbf{F}_{tb} - \mathbf{C}_{ts}\dot{\mathbf{X}}_{ss} - \mathbf{K}_{ts}\mathbf{X}_{ss} \quad (9)$$

222

223 where subscript tt denotes the non-support points of subgrade; subscript ss denotes the

224 support points of subgrade; subscripts ts and st denote the coupled part between the

225 support and the non-support points of subgrade; \mathbf{F}_{ts} is the load vector of earthquakes acting

226 on the support points of subgrade; \mathbf{F}_{tb} denotes the load vector acting on the track structure

227 by the bridge, as shown by the double arrow in Fig.3; \mathbf{F}_{tv} is the load vector acting on the

228 track structure by the train, and can be expressed as

229

$$\mathbf{F}_{tv} = \{(\mathbf{F}_r^L)^T, (\mathbf{F}_r^R)^T, \mathbf{F}_s^T, (\mathbf{F}_d^L)^T, (\mathbf{F}_d^R)^T\}^T \quad (10)$$

230

231 where \mathbf{F}_r^L , \mathbf{F}_r^R , \mathbf{F}_s , \mathbf{F}_d^L and \mathbf{F}_d^R are the load vectors acting on the left rail, the right rail, the

232 sleepers, the left ballast block and the right ballast block, respectively.

233

$$\left\{ \begin{array}{l}
\mathbf{F}_r^L = \left\{ -\sum_{i=1}^{N_w} F_{iy}^L Y_1(x_{w_i}), \dots, -\sum_{i=1}^{N_w} F_{iy}^L Y_{K_r}(x_{w_i}), -\sum_{i=1}^{N_w} F_{iz}^L Z_1(x_{w_i}), \dots, \right. \\
\left. -\sum_{i=1}^{N_w} F_{iz}^L Z_{K_r}(x_{w_i}), \sum_{i=1}^{N_w} M_{w_i}^L \Phi_1(x_{w_i}), \dots, \sum_{i=1}^{N_w} M_{w_i}^L \Phi_{K_r}(x_{w_i}) \right\}^T \\
\mathbf{F}_r^R = \left\{ -\sum_{i=1}^{N_w} F_{iy}^R Y_1(x_{w_i}), \dots, -\sum_{i=1}^{N_w} F_{iy}^R Y_{K_r}(x_{w_i}), -\sum_{i=1}^{N_w} F_{iz}^R Z_1(x_{w_i}), \dots, \right. \\
\left. -\sum_{i=1}^{N_w} F_{iz}^R Z_{K_r}(x_{w_i}), \sum_{i=1}^{N_w} M_{w_i}^R \Phi_1(x_{w_i}), \dots, \sum_{i=1}^{N_w} M_{w_i}^R \Phi_{K_r}(x_{w_i}) \right\}^T \\
\mathbf{F}_s = \mathbf{F}_d^L = \mathbf{F}_d^R = \mathbf{0}
\end{array} \right. \quad (11)$$

234

235 where N_w is the number of wheelsets; $Y_k(x)$, $Z_k(x)$ and $\Phi_k(x)$, ($k = 1, 2, \dots, K_r$) are the
236 k th mode shape of the rail in the lateral, vertical and torsional directions, respectively; K_r is
237 the mode truncation order of the rail; x_{w_i} is the longitudinal position of the i th wheelset.

238 2.2.2 Equation of motion of bridge structure

239 A 3D finite element model of the bridge is established and the mass matrix \mathbf{M}_b and the
240 stiffness matrix \mathbf{K}_b are extracted from the ANSYS software. The damping matrix of the
241 bridge \mathbf{C}_b takes the proportional damping. By arranging the matrices according to the DOFs
242 of the support and non-support points of the bridge⁷, the following equation can be obtained

243

$$\begin{bmatrix} \mathbf{M}_{bb} & \mathbf{0} \\ \mathbf{0} & \mathbf{M}_{gg} \end{bmatrix} \begin{pmatrix} \ddot{\mathbf{X}}_{bb} \\ \ddot{\mathbf{X}}_{gg} \end{pmatrix} + \begin{bmatrix} \mathbf{C}_{bb} & \mathbf{C}_{bg} \\ \mathbf{C}_{gb} & \mathbf{C}_{gg} \end{bmatrix} \begin{pmatrix} \dot{\mathbf{X}}_{bb} \\ \dot{\mathbf{X}}_{gg} \end{pmatrix} + \begin{bmatrix} \mathbf{K}_{bb} & \mathbf{K}_{bg} \\ \mathbf{K}_{gb} & \mathbf{K}_{gg} \end{bmatrix} \begin{pmatrix} \mathbf{X}_{bb} \\ \mathbf{X}_{gg} \end{pmatrix} = \begin{pmatrix} \mathbf{F}_{bt} \\ \mathbf{F}_{bg} \end{pmatrix} \quad (12)$$

244

245 where \mathbf{M}_{bb} , \mathbf{K}_{bb} and \mathbf{C}_{bb} are the mass, stiffness and damping matrices corresponding to
246 the DOFs of non-support points of the bridge, respectively; \mathbf{M}_{gg} , \mathbf{K}_{gg} and \mathbf{C}_{gg} are the
247 mass, stiffness and damping matrices corresponding to the DOFs of the bridge support points,

248 respectively; \mathbf{K}_{bg} and \mathbf{C}_{bg} are the stiffness and damping matrices considering the influence
 249 of support points on the non-support support points of the bridge, respectively; \mathbf{K}_{gb} and \mathbf{C}_{gb}
 250 are the stiffness and damping matrices considering the influence of non-support points on the
 251 support points of the bridge, respectively; \mathbf{F}_{bt} is the load vector acting on the bridge
 252 structure by the track, as shown by the double arrow in Fig.3; \mathbf{F}_{bg} is the load vector of
 253 earthquake acting on the bridge support points.

254 By expanding the upper part of the Eq. (12), the equation of motion of the bridge
 255 structure under earthquakes can be expressed as⁹

$$256 \quad \mathbf{M}_{bb}\ddot{\mathbf{X}}_{bb} + \mathbf{C}_{bb}\dot{\mathbf{X}}_{bb} + \mathbf{K}_{bb}\mathbf{X}_{bb} = \mathbf{F}_{bt} - \mathbf{C}_{bg}\dot{\mathbf{X}}_{gg} - \mathbf{K}_{bg}\mathbf{X}_{gg} \quad (13)$$

257
 258 The proportional damping is assumed and the dynamic responses are solved by the mode
 259 superposition method. Let $\Phi = [\varphi_1, \varphi_2, \dots, \varphi_{K_b}]$ be the mass-normalized mode matrix and
 260 the influence matrix of pseudo-static displacement $\mathbf{R} = -\mathbf{K}_{bb}^{-1}\mathbf{K}_{bg}$ is introduced. Then, the
 261 modal equations of the bridge under seismic excitations can be written as

$$262 \quad \ddot{\mathbf{q}}_b + \widehat{\mathbf{C}}_b\dot{\mathbf{q}}_b + \widehat{\mathbf{\Omega}}_b\mathbf{q}_b = \Phi^T\mathbf{F}_{bt} + \widehat{\mathbf{C}}_b\Phi^T\mathbf{M}_{bb}\mathbf{R}\dot{\mathbf{X}}_{gg} + \widehat{\mathbf{\Omega}}_b\Phi^T\mathbf{M}_{bb}\mathbf{R}\mathbf{X}_{gg} \quad (14)$$

263
 264 where $\mathbf{q}_b = \{q_1^b, q_2^b, \dots, q_{K_b}^b\}^T$ is the modal displacement vector of the bridge; The
 265 expressions of $\widehat{\mathbf{\Omega}}_b$ and $\widehat{\mathbf{C}}_b$ can be given as follows

$$266 \quad \begin{cases} \widehat{\mathbf{\Omega}}_b = \Phi^T\mathbf{K}_{bb}\Phi = \text{diag}[\omega_1^2, \omega_2^2, \dots, \omega_{K_b}^2] \\ \widehat{\mathbf{C}}_b = \Phi^T\mathbf{C}_{bb}\Phi = \text{diag}[2\xi_1\omega_1, 2\xi_2\omega_2, \dots, 2\xi_{K_b}\omega_{K_b}] \end{cases} \quad (15)$$

267
 268 where ξ_i and ω_i are the damping ratio and natural frequency of the i th mode, respectively;

269 K_b is the number of modes used for the bridge structure.

270 **2.2.3 Track-bridge dynamic interaction**

271 Different forms of track structures on bridges lead to different transmission paths of the
 272 track-bridge interaction forces F_{tb} and F_{bt} . For the ballasted track, the bridge structure
 273 interacts with the sleepers in the lateral direction, and with the ballast blocks in the vertical
 274 direction, as shown by the double arrow in Fig.3.

275 The equivalent force and moment of the track structure acting on the bridge at the
 276 position of the j th sleeper can be expressed as

$$277 \begin{cases} F_{bshj} = F_{bshj}^L + F_{bshj}^R \\ F_{bdvj} = F_{bdvj}^L + F_{bdvj}^R \\ M_{bt} = F_{bshj} h_{bs} + F_{bdvj}^L (d_{bs} - d) + F_{bdvj}^R (d_{bs} + d) \end{cases} \quad (16)$$

278 where F_{bshj}^α , ($\alpha = L, R$) are the lateral forces acting on the bridge at the left and right ends of
 279 the j th sleeper; F_{bdvj}^α , ($\alpha = L, R$) are the vertical forces acting on the bridge by the left and
 280 right ballast blocks at the position of the j th sleeper, respectively.

$$282 \begin{cases} F_{bshj}^L = F_{bshj}^R = k_{bh} (y_{s_j} - y_{b_j} - h_{bs} \phi_{b_j}) + c_{bh} (\dot{y}_{s_j} - \dot{y}_{b_j} - h_{bs} \dot{\phi}_{b_j}) \\ F_{bdvj}^L = k_{fv} (z_{d_j}^L - z_{b_j} - (d_{bs} - d_{sl}) \phi_{b_j}) + c_{fv} (\dot{z}_{d_j}^L - \dot{z}_{b_j} - (d_{bs} - d_{sl}) \dot{\phi}_{b_j}) \\ F_{bdvj}^R = k_{fv} (z_{d_j}^R - z_{b_j} - (d_{bs} + d_{sl}) \phi_{b_j}) + c_{fv} (\dot{z}_{d_j}^R - \dot{z}_{b_j} - (d_{bs} + d_{sl}) \dot{\phi}_{b_j}) \end{cases} \quad (17)$$

283 where k_{bh} and c_{bh} are the lateral stiffness and damping coefficients between the sleeper
 284 and the bridge, respectively; k_{fv} and c_{fv} are the vertical stiffness and damping coefficient
 285 between the ballast blocks and the bridge, respectively; h_{bs} is the vertical distance from the
 286 centroid of the bridge girder to the centre of mass (COM) of the sleeper; d_{sl} is the lateral

288 distance between the left and the right ballast blocks; d_{bs} is the lateral distance from the
 289 centroid of the bridge girder to the COM of the sleeper; y_{b_j} , z_{b_j} and ϕ_{b_j} are the lateral,
 290 vertical displacements and the torsional angular displacement of the bridge girder at the
 291 position of the j th sleeper, respectively; y_{s_j} , z_{s_j} and ϕ_{s_j} are the lateral, vertical
 292 displacements and roll angle of the j th sleeper, respectively; $z_{d_j}^L$ and $z_{d_j}^R$ are the vertical
 293 displacements of the left and the right ballast blocks, respectively.

294 The loads acting on the nodes of the bridge FE model can be obtained by decomposition
 295 of equivalent force and moment. Then, the load vector \mathbf{F}_{bt} acting on the bridge by the track
 296 can be obtained by assembling the nodal loads according to the nodal DOFs of the bridge. The
 297 load vector \mathbf{F}_{tb} acting on the track by the bridge can be obtained by assembling the lateral
 298 force $F_{sbhj}^\alpha = -F_{bshj}^\alpha$ and the vertical force $F_{dbvj}^\alpha = -F_{bdvj}^\alpha$ according to the DOFs of the
 299 track structure. By substituting \mathbf{F}_{tb} into Eq. (9) and \mathbf{F}_{bt} into Eq. (14), the equation of
 300 motion of the track-bridge subsystem under earthquakes can be written as

$$\begin{aligned}
 & \begin{bmatrix} \mathbf{M}_{tt} & \mathbf{0} \\ \mathbf{0} & \mathbf{I} \end{bmatrix} \begin{pmatrix} \ddot{\mathbf{X}}_{tt} \\ \ddot{\mathbf{q}}_b \end{pmatrix} + \begin{bmatrix} \mathbf{C}_{tt} & \mathbf{C}_{tb} \\ \mathbf{C}_{bt} & \hat{\mathbf{C}}_b \end{bmatrix} \begin{pmatrix} \dot{\mathbf{X}}_{tt} \\ \dot{\mathbf{q}}_b \end{pmatrix} + \begin{bmatrix} \mathbf{K}_{tt} & \mathbf{K}_{tb} \\ \mathbf{K}_{bt} & \hat{\mathbf{\Omega}}_b \end{bmatrix} \begin{pmatrix} \mathbf{X}_{tt} \\ \mathbf{q}_b \end{pmatrix} = \begin{pmatrix} \mathbf{F}_{tv} - (\mathbf{C}_{ts}\dot{\mathbf{X}}_{ss} + \mathbf{K}_{ts}\mathbf{X}_{ss}) \\ \hat{\mathbf{C}}_b\boldsymbol{\Phi}^T\mathbf{M}_{bb}\mathbf{R}\dot{\mathbf{X}}_{gg} + \hat{\mathbf{\Omega}}_b\boldsymbol{\Phi}^T\mathbf{M}_{bb}\mathbf{R}\mathbf{X}_{gg} \end{pmatrix} \\
 & \hspace{25em} (18)
 \end{aligned}$$

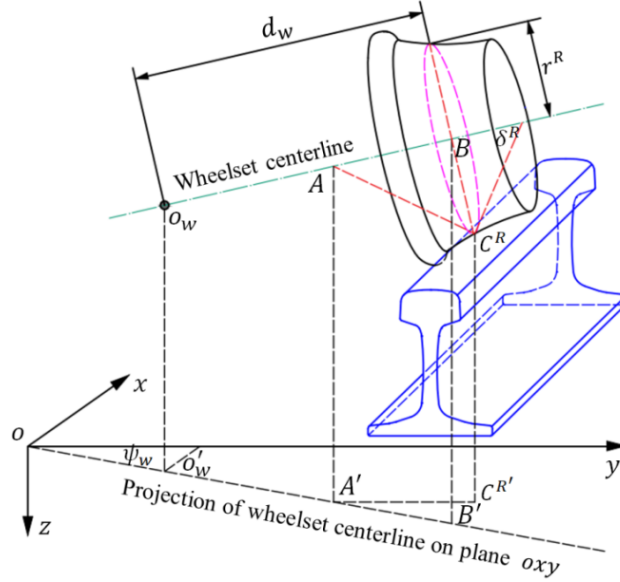
302

303 2.3 Nonlinear wheel-rail contact model

304 The LMA wheel profile and the CHN60 rail profile are adopted respectively. The contact
 305 positions, contact angles, vertical compression and creepage between wheels and rails are
 306 determined using the “new wheel-rail spatial contact model” proposed by Chen and Zhai.³⁵
 307 The instantaneous wheel-rail detachment can be considered and the iterative solution of

308 wheelset roll angle can be avoided. The wheel-rail spatial contact position is shown in Fig.4.

309



310

311 Fig.4. Schematic diagram of wheel-rail spatial contact position

312

313 Firstly, at a certain moment, the spatial curve consisting of a series of possible contact
 314 points C^R on the wheel profile, named “contact locus”, can be obtained according to the
 315 trace method.³⁶

316

$$\begin{cases} x_c = -\cos\phi_w \sin\psi_w (d_w + r^R \tan\delta^R) \\ y_c = d_w (\cos\phi_w \cos\psi_w) - \frac{r^R (\cos^3\phi_w \sin^2\psi_w \cos\psi_w \tan\delta^R + H \sin\phi_w)}{1 - (\cos\phi_w \sin\psi_w)^2} + y_w \\ z_c = d_w \sin\phi_w - \frac{r^R (\cos^2\phi_w \sin\phi_w \sin^2\psi_w \tan\delta^R - H \cos\phi_w \cos\psi_w)}{1 - (\cos\phi_w \sin\psi_w)^2} \end{cases} \quad (19)$$

317

318 where y_w , ϕ_w and ψ_w are the lateral displacement, roll and yaw angles of the wheelset,
 319 respectively; r^R and δ^R denote the instant rolling radius and contact angle of the right
 320 wheel, respectively; $H = \sqrt{1 - (\cos\phi_w \sin\psi_w)^2 (1 + \tan^2\delta^R)}$; d_w is the lateral coordinate
 321 of the centre of the wheel rolling circle in the wheelset coordinate system.

322 Then the minimum vertical distance between the contact locus and the rail profile is
 323 found, and the position of minimum vertical distance is considered as the wheel-rail contact
 324 point. The parameters, such as the curvature of the profiles, the contact angles and the normal
 325 compression between wheels and rails at the contact positions, can be obtained. Finally, the
 326 normal wheel-rail forces are obtained according to the nonlinear Hertzian theory.³⁴

327

$$N_{iz_c}^\alpha(t) = \begin{cases} [\delta Z_{iz_c}^\alpha / G]^{3/2} & \delta Z_{iz_c}^\alpha > 0 \\ 0 & \delta Z_{iz_c}^\alpha \leq 0 \end{cases} \quad (20)$$

328

329 where $G = 3.86r_0^{-0.115} \times 10^{-8}(\text{m}/\text{N}^{2/3})$ is the wheel-rail contact constant;³⁴ r_0 is the wheel
 330 nominal radius; $\delta Z_{iz_c}^\alpha$ is the normal displacement at the wheel-rail contact point, and
 331 $\delta Z_{iz_c}^\alpha \leq 0$ means that the wheel lifts off from the rail. The tangential wheel-rail creep forces
 332 are calculated by the FASTSIM algorithm, considering the influence of the change rate of
 333 track irregularities.³⁷

334 2.4 Generation of non-stationary multi-point earthquake samples

335 Since the nonlinear wheel-rail relations are considered in the dynamic model, the
 336 dynamic responses of the vehicle/track (bridge) coupled system need to be obtained in the
 337 time domain. The seismic excitations at the track-bridge support points are different due to the
 338 spatial effects, which can be described by the cross-spectral density matrix of acceleration at
 339 each support point.³⁸ The samples of ground motions can be generated according to the
 340 time-frequency transform method. The trigonometric series method has been widely used in
 341 the generation of time-domain samples of ground motions, but the randomness of samples

342 comes from the random phases uniformly distributed over the interval $[0,2\pi]$. Moreover, the
 343 influence of phase change on the generated samples is global, which reduces the efficiency
 344 and the accuracy of the SS method.³⁹ In order to overcome this problem, the ARMA model⁴⁰
 345 is applied to generate the samples of ground motions. The randomness of samples is derived
 346 from a series of independent and identically distributed random variables and the effects of
 347 random variables on the samples are local. Therefore, the ARMA model can be well applied
 348 to the SS method.³⁹

349 Based on the ARMA model, the method for generating the time-history samples of
 350 multi-point non-stationary seismic acceleration is given as follows:

351 Step 1: Determining the PSD function of ground acceleration according to the site
 352 conditions. The Clough-Penzien acceleration PSD⁴¹ is used.

$$353 \quad S(\omega) = \frac{1 + 4\xi_g^2(\omega/\omega_g)^2}{\left[1 - (\omega/\omega_g)^2\right]^2 + 4\xi_g^2(\omega/\omega_g)^2} \cdot \frac{\omega^4}{(\omega^2 - \omega_f^2)^2 + 4\omega^2\omega_f^2\xi_f^2} S \quad (21)$$

354 where subscripts g and f denote the site and the filter, respectively; ω denotes the angular
 355 frequency in rad/s; ξ denotes the modal damping ratio; S indicates the spectrum intensity.

356 Step 2: Choosing the adopted coherence function as follows

$$357 \quad \gamma(\omega, d) = \exp\left(-\frac{i\omega d_{ij}^s}{v_{app}}\right) \exp\left(-\zeta \frac{\omega d_{ij}}{2\pi v_{app}}\right) \quad (22)$$

358 where $\exp(-i\omega d_{ij}^s/v_{app})$ and $\exp(-\alpha\omega d_{ij}/2\pi v_{app})$ reflect the effects of wave
 359 propagation and incoherence, respectively; d_{ij} is the horizontal distance between support
 360 points i and j ; d_{ij}^s is the projection of d_{ij} in the travelling direction of the seismic wave;

362 v_{app} is the apparent seismic wave velocity; ζ is the incoherence factor, and $\zeta = 0.125$ is
 363 recommended.⁴²

364 Step 3: Selecting the uniform modulation function. The uniform modulation function
 365 adopted³ in this paper is written as

$$366 \quad G(\omega, t) = G(t) = \begin{cases} (t/t_b)^2 & 0 \leq t < t_b \\ 1 & t_b \leq t < t_c \\ \exp(-c(t - t_c)) & t \geq t_c \end{cases} \quad (23)$$

367
 368 where c is the seismic attenuation coefficient; t_b and t_c are the beginning and ending
 369 moments of the seismic stationary stage, respectively.

370 Step 4: Calculating the cross-PSD function matrix of seismic acceleration of M support
 371 points at t_k moment

$$372 \quad \mathbf{S}(\omega_h, t_k) = \begin{bmatrix} S_{11} & S_{12} & \cdots & S_{1M} \\ S_{21} & S_{22} & \cdots & S_{2M} \\ \vdots & \vdots & \ddots & \vdots \\ S_{M1} & S_{M2} & \cdots & S_{MM} \end{bmatrix} \quad (24)$$

373 where

$$374 \quad S_{ij} = \begin{cases} |G_i(\omega_h, t_k)|^2 S_i(\omega_h) & (i = j) \\ G_i(\omega_h, t_k) G_j(\omega_h, t_k) \sqrt{S_i(\omega_h) S_j(\omega_h) \gamma_{ij}(\omega_h, t_k)} & (i \neq j) \end{cases} \quad (25)$$

375
 376 where S_i and S_j denote the auto-PSDs of the support points of i and j respectively, and
 377 reflect the local site effect; $\omega_h = h\omega_u/N_\omega$, ($h = 1, 2, \dots, N_\omega$); N_ω is the number of discrete
 378 frequency points, ω_u is the upper limit of the cut-off frequency.

379 Step 5: According to the ARMA model, the time-history samples of seismic acceleration
 380 $\mathbf{U}(t)$ of M support points can be expressed as

381

$$\mathbf{U}(t) = \sum_{i=1}^p \mathbf{A}_i \mathbf{U}(t - i\Delta t) + \sum_{j=0}^q \mathbf{B}_j \mathbf{W}(t - j\Delta t) \quad (26)$$

382

383 where p and q denote the orders of AR and MA models, respectively; \mathbf{A}_i and \mathbf{B}_j are the

384 autoregressive coefficient matrix and the moving average coefficient matrix, respectively;

385 $\mathbf{W}(t)$ denotes the white noise random vector composed of the independent and identically

386 distributed random variables; Δt is the time interval of time history samples. It can be seen

387 from Eq. (25) that the key to generating the time-history samples is to determine coefficient

388 \mathbf{A}_i and \mathbf{B}_j according to matrix $\mathbf{S}(\omega_h, t_k)$. The detailed method can be found in Ref.⁴².

389 The solution accuracy is higher for the FE model under the seismic acceleration input

390 mode, while better calculation accuracy can be obtained for the modal model under the

391 displacement-velocity input mode.²² It can be seen from Eq. (18) that the time-history

392 samples of seismic velocity and displacement are needed. Therefore, the seismic acceleration

393 data obtained by the above steps needs to be integrated to obtain the time-history samples of

394 seismic velocity and displacement. However, the integral correction methods, such as the

395 least-squares fitting method⁴³, are required to eliminate the baseline offset caused by

396 numerical integration. The ground motions directly act on the subgrade/bridge support points

397 in the form of displacement and velocity,⁹ and indirectly act on the train subsystem through

398 the wheel-rail contact relation.

399 The integral correction method of eliminating the baseline offset is given as follows⁴³. It

400 is assumed that the baseline form of seismic displacement time series is

401

$$\tilde{u}_g(t) = a_1 t^4 + a_2 t^3 + a_3 t^2 + a_4 t \quad (27)$$

402

403 Then the baseline form of seismic velocity and acceleration can be expressed as

404

$$\begin{cases} \tilde{\dot{u}}_g(t) = 4a_1 t^3 + 3a_2 t^2 + 2a_3 t + a_4 \\ \tilde{\ddot{u}}_g(t) = 12a_1 t^2 + 6a_2 t + 2a_3 \end{cases} \quad (28)$$

405

406 where a_1, a_2, a_3 and a_4 are the four constants to be determined. Noting in the common

407 practice in seismic analysis, the initial velocity and displacement of the system are assumed to

408 be zero, then the coefficient a_4 can be determined. The remaining three coefficients are

409 determined here by minimizing mean square value of the acceleration as

410

$$\text{Min} \left\{ \sum_{i=1}^N [(\ddot{u}_{gi} - \tilde{\ddot{u}}_{gi})^2] \right\} = \text{Min} \left\{ \sum_{i=1}^N [(\ddot{u}_{gi} - (12a_1 t_i^2 + 6a_2 t_i + 2a_3))^2] \right\} \quad (29)$$

411

412 where \ddot{u}_{gi} is the ground acceleration time series that is either recorded or synthesized.

413 The acceleration is then corrected by subtracting $\tilde{\ddot{u}}_g(t)$ from $\ddot{u}_g(t)$ when the baseline

414 of the acceleration is completely determined. A windowed filter is designed for further

415 processing the baseline-corrected acceleration data in the frequency domain. The filter can be

416 expressed as

417

$$\beta(T) = \begin{cases} 1 & 0 \leq T \leq T_0 \\ e^{-(T-T_0)/a} & T > T_0 \end{cases} \quad (30)$$

418

419 where a and T_0 are the parameters that can be determined by using two key points A and B,

420 which are selected based on the characteristics of the Fourier spectra of the displacement time

421 series derived by integrating the uncorrected and baseline-corrected acceleration data.

422 After obtaining the corrected seismic acceleration, the velocity and the displacement are
 423 derived by single or doubly integrating the corrected acceleration.

424 **2.5 Solution of dynamic responses**

425 The dynamic responses of the train/track/bridge coupled system can be determined by
 426 the prediction-based iterative solution method, in which the regeneration of coefficient
 427 matrices of the whole system at each time step can be avoided. Hence, the solution efficiency
 428 of the dynamic responses of trains passing through long-span bridges is enhanced⁷. The
 429 prediction iterative method proposed by the authors²⁵ is used to determine the dynamic
 430 responses of the train/track/bridge coupled system, so as to further improve the calculation
 431 efficiency. The main difference between the prediction iterative method and the conventional
 432 iterative method lies in the different ways of obtaining the initial value at each time step: the
 433 prediction iterative method takes the wheel-rail force calculated by the Weighted
 434 Least-Squares Error (WLSE) predictor⁴⁴, while the conventional iterative method takes the
 435 last converged value of the previous time step.

436 Taking the wheel-rail vertical force F_{iz}^α as an example, according to the WLSE
 437 prediction method, the predicted force at time t_n is given as follows

$$438 \hat{F}_{iz,n}^\alpha = \sum_{\vartheta=1}^P a_{n-\vartheta}^\alpha F_{iz,n-\vartheta}^\alpha = (\mathbf{a}_n^\alpha)^T \bar{\mathbf{F}}_n^\alpha \quad (31)$$

439 where $\mathbf{a}_n^\alpha = \{a_{n-1}^\alpha, a_{n-2}^\alpha, \dots, a_{n-P}^\alpha\}^T$ is a vector of prediction coefficient $a_{n-\vartheta}^\alpha$; P is the
 440 prediction order; $\bar{\mathbf{F}}_n^\alpha = \{F_{iz,n-1}^\alpha, F_{iz,n-2}^\alpha, \dots, F_{iz,n-P}^\alpha\}^T$ is the P known forces before time t_n .

442 The method for determining the prediction coefficient vector \mathbf{a}_n^α is as follows:

443 Step 1: Setting the predicted force at time t_1 : $\hat{F}_{iz,1}^\alpha = F_{iz,1}^\alpha$, where $F_{iz,1}^\alpha$ is calculated
 444 by the conventional iterative method.

445 Step 2: Calculating the force $\hat{F}_{iz,n}^\alpha$ at time t_n for $2 \leq n \leq N_c$

446

$$\hat{F}_{iz,n}^\alpha = (\mathbf{a}_n^\alpha)^\top \bar{\mathbf{F}}_n^\alpha \quad (32)$$

447

448 where $\mathbf{a}_2^\alpha = \{1, 0, \dots, 0\}^\top$, $\bar{\mathbf{F}}_n^\alpha = \{F_{iz,n-1}^\alpha, F_{iz,n-2}^\alpha, \dots, F_{iz,n-M}^\alpha\}^\top$, in which the forces with the
 449 subscript less than or equal to zero are set to zero.

450 Step 3: Updating the prediction coefficient vector as

451

$$\mathbf{a}_{n+1}^\alpha = \mathbf{a}_n^\alpha + \frac{\mathbf{B}_n^\alpha \bar{\mathbf{F}}_n^\alpha}{\xi + (\bar{\mathbf{F}}_n^\alpha)^\top \mathbf{B}_n^\alpha \bar{\mathbf{F}}_n^\alpha} [F_{iz,n}^\alpha - \hat{F}_{iz,n}^\alpha] \quad (33)$$

452

453 where $\mathbf{B}_2^\alpha = \mathbf{I}$ (identity matrix of order M). ξ is sometimes also referred to as the forgetting
 454 factor and $\xi = 0.99$ is usually used.

455 Step 4: Renewing matrix \mathbf{B} as

456

$$\mathbf{B}_{n+1}^\alpha = \frac{1}{\xi} \left\{ \mathbf{B}_n^\alpha - \frac{\mathbf{B}_n^\alpha \bar{\mathbf{F}}_n^\alpha (\bar{\mathbf{F}}_n^\alpha)^\top \mathbf{B}_n^\alpha}{\xi + (\bar{\mathbf{F}}_n^\alpha)^\top \mathbf{B}_n^\alpha \bar{\mathbf{F}}_n^\alpha} \right\} \quad (34)$$

457

458 Step 5: Returning to Step 2 for the next time step until the calculation is finished.

459 The predicted wheel-rail forces are corrected by the nonlinear wheel-rail contact model,
 460 and the iteration within a time step continues until the accuracy requirement is satisfied. The
 461 convergence condition is that the relative errors of the lateral and vertical wheel-rail forces in
 462 the two adjacent iteration steps are less than the limit values. Then the wheel-rail forces

463 satisfying the accuracy are substituted into the equations of motion, and the dynamic
 464 responses are obtained with the new simple explicit integration method proposed by Zhai.⁴⁵ If
 465 the error between the predicted and corrected forces meets the convergence criterion, the
 466 number of iterations can be significantly reduced. The detailed iterative solution process can
 467 be found in Ref.²⁵.

468 **3 Train running safety reliability evaluation by SS**

469 Although the Monte Carlo simulation (MCS) method can be used for solving the failure
 470 probability of the train/track/bridge coupled system, a large amount of samples is needed,
 471 especially when the failure probability is small. Thus, the SS method proposed by Au et al.
 472 ^{20,21} is introduced into the train/track/bridge coupled system to evaluate the running safety
 473 reliability of a train moving on a long-span bridge under earthquakes.

474 The solution strategy of the SS method is to introduce l incremental intermediate limit
 475 values $0 < b_1 < b_2 < \dots < b_l = b$ to construct the intermediate failure events $F_1 \supset F_2 \supset$
 476 $\dots \supset F_l = F$ with nested relations. b is the limit value of the response index. l is the
 477 number of levels of the SS method. According to the nesting characteristics, the failure
 478 probability P_F can be expressed as the product of $P(F_1)$ and a series of conditional
 479 probabilities $P(F_j|F_{j-1})$

480

$$P_F = P(F) = P(F_1) \prod_{j=2}^l P(F_j|F_{j-1}) = \prod_{j=1}^l P_j \quad (35)$$

481

482 where $P_1 = P(F_1)$, $P_j = P(F_j|F_{j-1})$, ($j = 2, \dots, l$).

483 The train position at the time of the earthquake is considered a random variable, and the
484 seismic excitation is considered a random field. P_1 is calculated from the random samples
485 generated based on the assumed probability density function (PDF) $q(\boldsymbol{\theta})$. $P_j, (j = 2, 3, \dots, l)$
486 can be calculated from the samples generated according to the conditional PDF $q(\boldsymbol{\theta}|F_j) =$
487 $q(\boldsymbol{\theta})I_{F_j}(\boldsymbol{\theta})/P(F_j)$. The Modified Metropolis Algorithm (MMA) algorithm²³ is used to obtain
488 samples that satisfy the given conditional PSD $q(\boldsymbol{\theta}|F_j)$, which is a complex function that
489 can't even be explicitly expressed. After obtaining the random samples, $P_j, (j = 1, 2, \dots, l)$
490 can be calculated as follows

$$P_j = \frac{1}{N_s} \sum_{h=1}^{N_s} I_{F_j}(\boldsymbol{\theta}_h^{(j)}), (j = 1, 2, \dots, l) \quad (36)$$

492 where $\boldsymbol{\theta}_h^{(1)}$ denotes the samples generated from the PSD $q(\boldsymbol{\theta})$; $\boldsymbol{\theta}_h^{(j)}, (j = 2, 3, \dots, l)$ denotes
493 the samples generated from the conditional PDF $q(\boldsymbol{\theta}|F_j)$; N_s is the number of samples at
494 each level.

496 By substituting Eq. (36) into Eq. (35), P_F can be expressed as

$$P_F = p_0^{l-1} \frac{1}{N_s} \sum_{h=1}^{N_s} I_{F_l}(\boldsymbol{\theta}_h^{(l)}) \quad (37)$$

498 where p_0 denotes the level failure probability, and $p_0 = 0.1$ is recommended.²⁴

500 According to the SS method, a low failure probability can be expressed as the product of
501 a series of high conditional probabilities, and the samples corresponding to the conditional
502 PDF are generated by the MMA method. Hence the number of samples needed for the

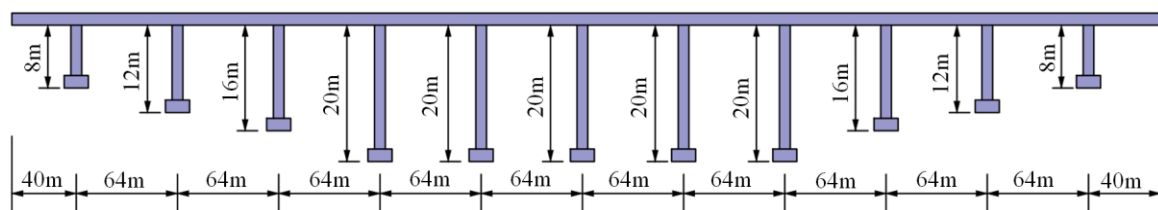
503 reliability evaluation is reduced and the calculation efficiency is effectively improved.

504 4 Numerical examples

505 The dynamic analysis of the train/track/bridge coupled system under earthquakes mainly
 506 focuses on the influence of ground motions on the train running safety.⁷ Both the track and
 507 the bridge structure are assumed to deform elastically without damage. The train running
 508 safety performance can be characterised by the WDC, which is defined as the ratio of the
 509 lateral force to the vertical force at the same position. The limit value of the WDC is 0.8.⁴⁶
 510 The parameters of the vehicle and the track subsystem are detailed in Ref.²⁵.

511 A 12-span bridge with length L_b of 720m is adopted, as shown in Fig. 5. A 3D finite
 512 element model of the bridge is established in ANSYS and the 3D beam element (BEAM188)
 513 of 2 m is used. The cross-sectional properties of the girder and the pier are given in Table 1.
 514 Density $\rho = 2500\text{kg/m}^3$ and the Poisson's ratio $\nu = 0.3$. The natural frequencies of 12-span
 515 continuous bridge are given in Table 2.

516



517

518 Fig. 5. Schematic diagram of 12-span continuous bridge

519

520

Table 1 Cross-section properties of the girder and the pier

Parameter	Girder	Pier	Unit
-----------	--------	------	------

Cross-sectional area	12.83	6.2	m ²
Torsional moment of inertia	51.9	10.17	m ⁴
Bending moment of inertia around the <i>y</i> axis	134	28.7	m ⁴
Bending moment of inertia around the <i>z</i> axis	19.2	2.4	m ⁴
Elastic modulus	2.5×10^{10}	4×10^{10}	N/m ²

521

522

Table 2 Natural frequencies of 12-span continuous bridge

Mode	Frequency (Hz)									
1-10	1.884	1.956	1.993	2.034	2.062	2.081	2.301	2.301	2.646	2.710
11-20	2.711	4.145	4.310	4.391	4.428	4.663	4.744	4.752	5.022	5.177
91-100	28.231	28.588	28.759	29.381	29.979	30.394	30.552	31.356	31.703	31.895

523

524

The modal superposition method is used to obtain the dynamic responses of the bridge

525

structure, and the first 300 modes are selected. The proportional damping is assumed and the

526

damping ratio of each mode takes 0.02. The number of samples at each level of the SS

527

method is 1000, and the time step $\Delta t = 10^{-4}$ s.

528

Assuming that the train runs from the left to the right along the track, the seismic wave

529

travels along the train running direction, and the duration of the earthquake is the same as the

530

train running time. The initial position of the train is shown in Fig.6. The track irregularities

531

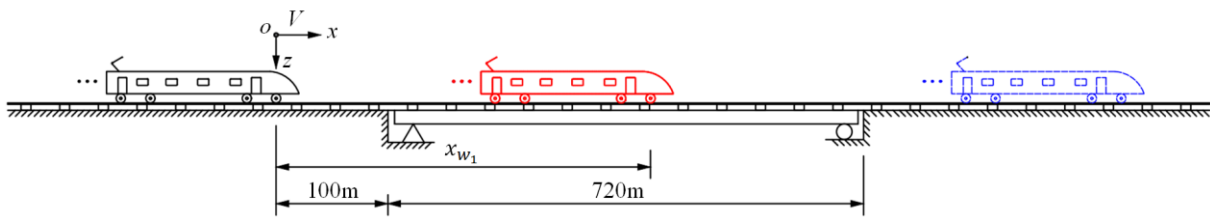
measured on Beijing-Tianjin railway line are employed, and the randomness of track

532

irregularities is ignored. Taking the maximum WDC of the first vehicle as the index, the

533 running safety reliability of the train moving on the bridge under earthquakes is evaluated.
 534 The effects of the earthquake occurrence moment on the safety performance of the train
 535 moving on the bridge are analysed under different seismic components, train speeds, apparent
 536 seismic wave velocities and seismic intensities. The earthquake occurrence moment is
 537 represented by the longitudinal position of the first wheel x_{w_1} , as shown in Fig.6.

538



539

540 Fig. 6. Schematic diagram of the initial position of the train

541

542 4.1 Different seismic components

543 There are two main components of seismic excitations: vertical and horizontal.⁷ The
 544 vertical seismic component is imposed in the negative direction of z axis. The propagation
 545 direction of the horizontal seismic component is at angle γ with respect to the x axis, as
 546 shown in Fig.1. The effects of seismic components on the WDC are studied in three cases:
 547 only impose the lateral seismic component ($\gamma = 90^\circ$), only impose the vertical seismic
 548 component and impose the above two components simultaneously. The train speed V is
 549 200km/h and the apparent seismic wave velocity $v_{app} = 1000\text{m/s}$. The correlation between
 550 the horizontal and the vertical seismic components is neglected. The PSD parameters of the
 551 earthquake acceleration are selected as follows³

552

$$\begin{aligned} S_v &= 0.218S_h; & \omega_{gv} &= 1.58\omega_{gh}; & \xi_{gv} &= \xi_{gh}; & \xi_{fh} &= \xi_{gh} \\ \omega_{fh} &= 0.1\omega_{gh}\sim 0.2\omega_{gh}; & \xi_{fv} &= \xi_{gv}; & \omega_{fv} &= 0.1\omega_{gv}\sim 0.2\omega_{gv} \end{aligned} \quad (38)$$

553

554 where subscripts v and h represent the horizontal and vertical seismic components,

555 respectively; $S_h = 7.0 \times 10^{-4} \text{m}^2/\text{s}^3$, $\omega_{gh} = 17.95 \text{rad/s}$, $\xi_{gh} = 0.72$. The parameters of the

556 uniform modulation function are taken as: $c = 0.25$, $t_b = 1.2 \text{s}$ and $t_c = 9 \text{s}$.

557 Under the combined action of the lateral and vertical seismic components, the failure

558 probability distribution (FPD) curves of the WDC obtained by the SS method with 4 levels

559 (3700 samples) and the MCS with 10,000 samples are shown in Fig.7. It can be seen that the

560 FPD curves obtained by the two methods agree well when the failure probability of WDC is

561 greater than 8.75×10^{-4} . However, the difference between the two methods increases when

562 the failure probability of WDC is less than 8.75×10^{-4} due to the uncertainty of MCS. The

563 results show that the SS method can be effectively used for the safety reliability evaluation of

564 the trains moving on the bridges.

565 According to the SS method, the FPD curves of WDC under different seismic

566 components are also given in Fig.7. It shows that the FPD curve under the lateral seismic

567 component is close to that under the combined action of the lateral and vertical seismic

568 components, while the FPD curve under the vertical seismic component is quite different. The

569 failure probability of the WDC is smaller when only the vertical seismic component is

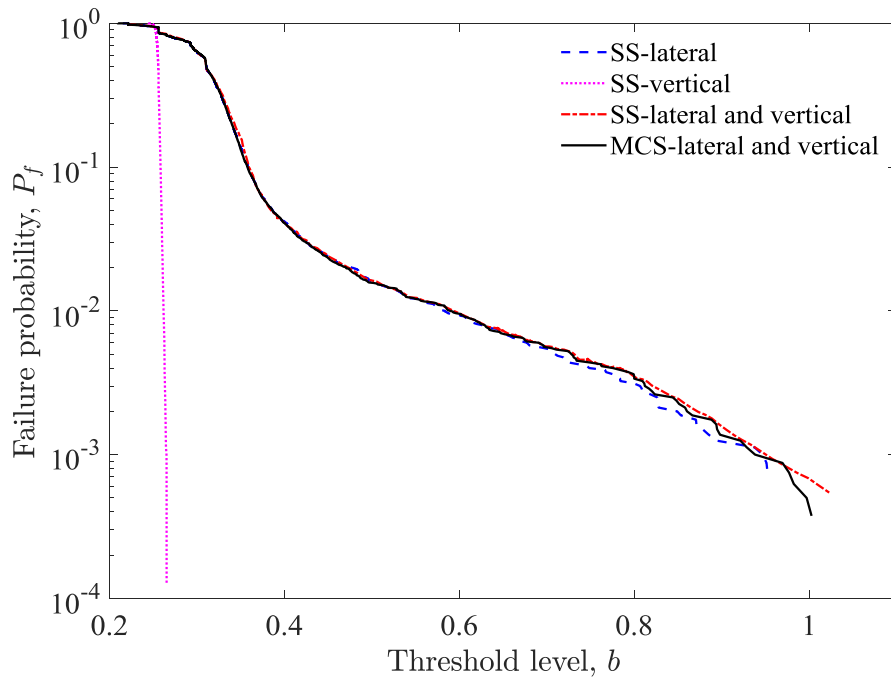
570 considered. It shows that the lateral seismic component has a significant influence on the

571 WDC, comparing with the vertical seismic component. This is because the wheel-rail forces

572 vary greatly, as shown in Fig.8 and Fig.9, due to the large relative displacements between

573 wheels and rails under lateral seismic component. This conclusion obtained agrees well with
574 the Refs. ^{11,47}, verifying the method used in this paper.

575

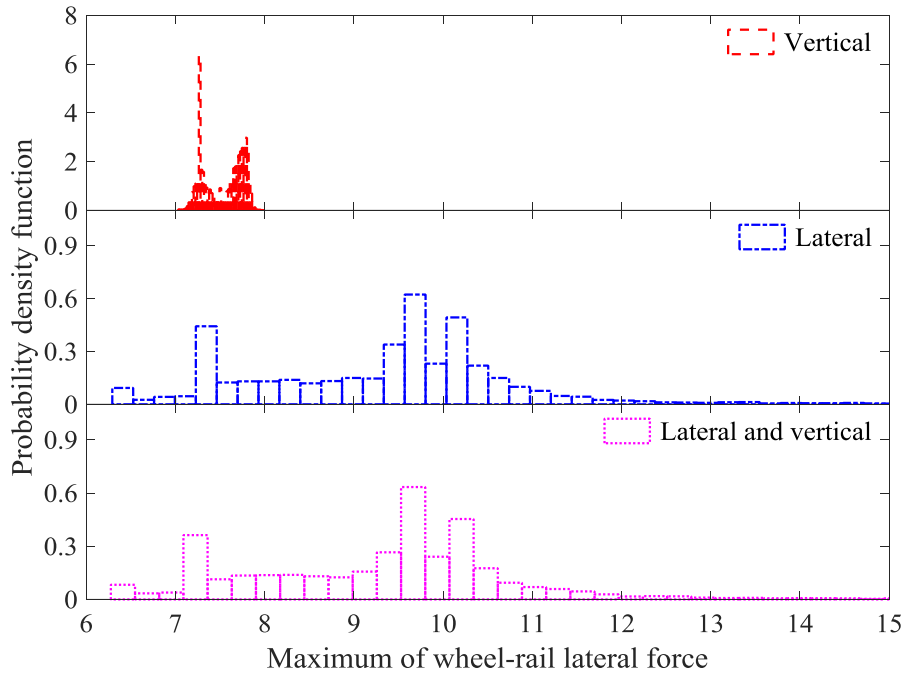


576

577 **Fig. 7.** FPD curves of the WDC under different seismic components

578

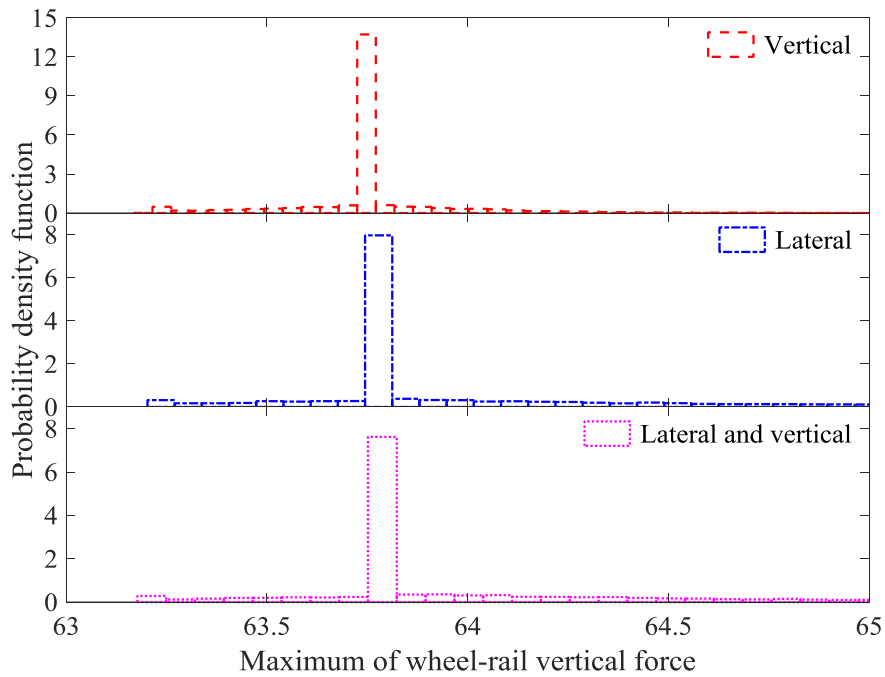
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580

581 Fig. 8. Distribution of maximum wheel-rail lateral force under different seismic

582



583

584 Fig. 9. Distribution of maximum wheel-rail vertical force under different seismic

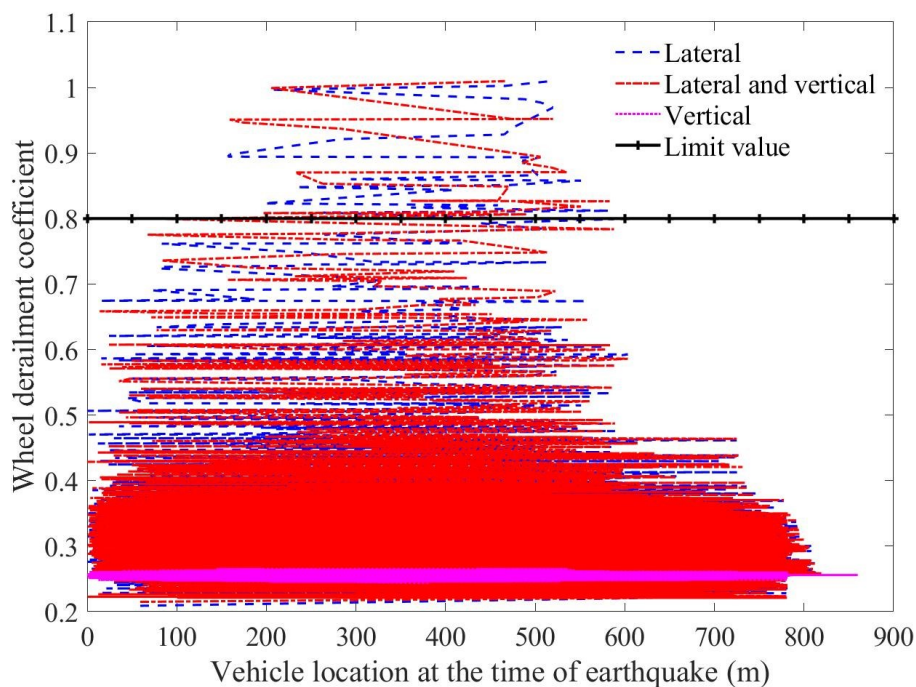
585

components

586

The influence of the earthquake occurrence moment on the WDC under different seismic

587 components is given in Fig.10. It can be seen that the WDC is mainly concentrated around
 588 0.26 under the vertical seismic component. However, the WDC is mainly concentrated in the
 589 range of 0.2~0.45 and even exceeds the limit value 0.8 in some cases, when considering the
 590 influence of the lateral seismic component. It shows that the lateral seismic component has a
 591 significant influence on the WDC. In addition, it can be seen from Fig.10 that the earthquakes
 592 have a significant influence on the train safety performance, when the first wheelset is located
 593 in the interval of 159~588m.
 594

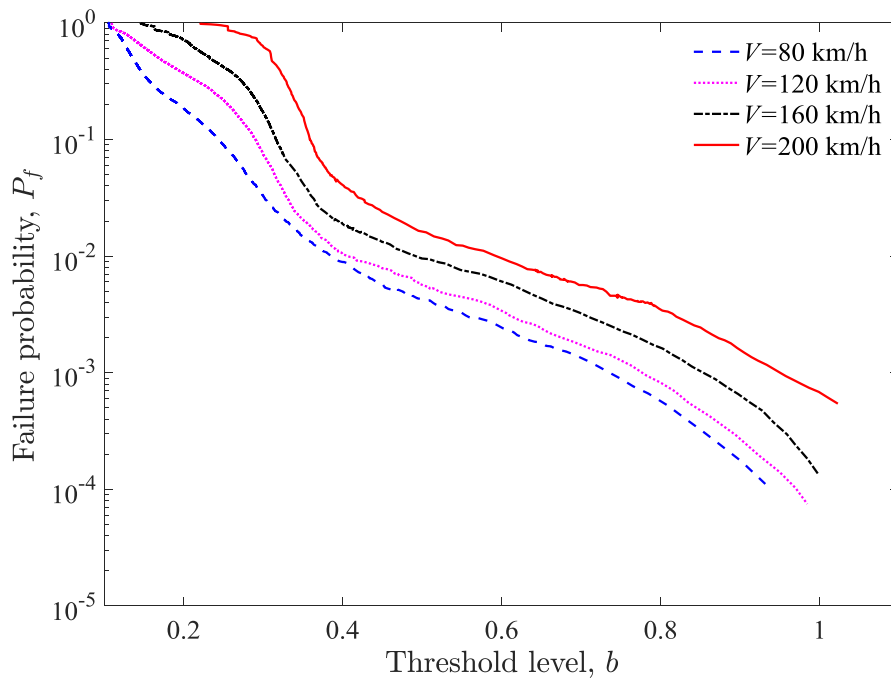


595
 596 **Fig. 10.** Influence of earthquake occurrence moment under different seismic components
 597

598 4.2 Different train running speeds

599 Both the lateral and the vertical seismic components are imposed. The spectrum intensity
 600 of the lateral seismic component $S_h = 7.0 \times 10^{-4} \text{m}^2/\text{s}^3$, and the apparent seismic wave

601 velocity $v_{app} = 1000\text{m/s}$. The train speeds are chosen as 80 km/h, 120 km/h, 160 km/h and
 602 200 km/h, respectively. Under different train running speeds, the influence of earthquake
 603 occurrence moment on the safety performance of the train travelling on the bridge is studied.
 604 The FPD curves of the WDC under different train speeds are given in Fig.11. It can be seen
 605 that the running safety performance decreases with the increase of train speed. This
 606 conclusion obtained agrees well with Refs.^{11,15}, verifying the method used in this paper.
 607

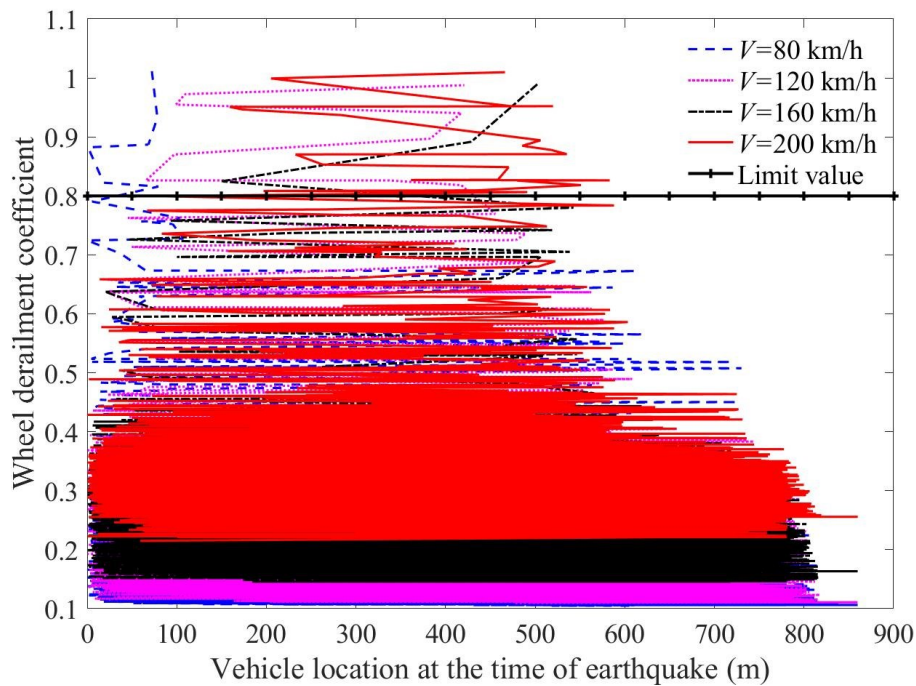


608
 609 **Fig. 11.** FPD curves of the WDC under different running speeds

610
 611 **Fig.12** shows the effects of the earthquake occurrence moment on the WDC at different
 612 train speeds. The most unfavourable train position interval is 0~80 m for $V = 80\text{km/h}$. That is,
 613 the earthquake that occurs when the first wheelset is located in the transition region between
 614 the subgrade and the bridge has a considerable impact on the train safety performance. The

615 most unfavourable position interval for speeds of 120km/h, 160km/h and 200km/h are found
 616 to be 65~418m, 150~503m and 159~588m, respectively. It can be seen that the most
 617 unfavourable train position interval shifts along the train running direction with the increase
 618 of the train running speed. The earthquake that occurs before the train reaches $0.7L_b$ of the
 619 bridge will significantly degrade the running safety performance of the train.

620



621

622 Fig. 12. Influence of earthquake occurrence moment under different running speeds

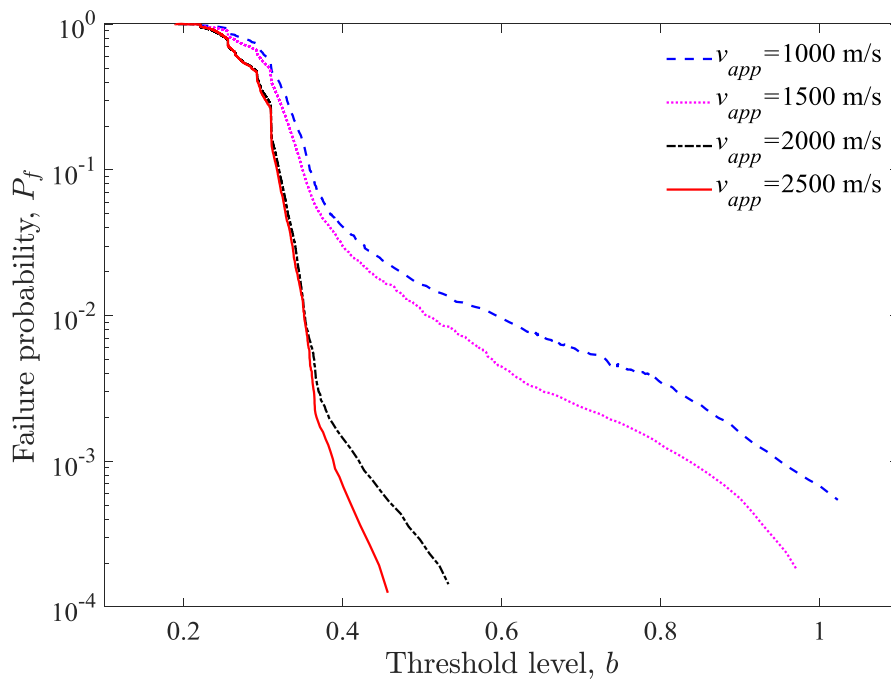
623

624 4.3 Different apparent seismic wave velocities

625 Both the lateral and vertical seismic components are imposed. The spectral intensity of
 626 the lateral seismic component $S_h = 7.0 \times 10^{-4} \text{ m}^2/\text{s}^3$, and the train running speed
 627 $V = 200\text{km/h}$. The apparent seismic wave velocities are selected as 1000m/s, 1500m/s,
 628 2000m/s and 2500m/s respectively. The influence of the earthquake occurrence moment on

629 the safety performance of the train travelling on the bridge is studied under different apparent
 630 seismic wave velocities. Fig.13 shows the FPD curves of the WDC under apparent different
 631 wave velocities. It can be seen that for the track/bridge structure subjected to multi-point
 632 non-uniform earthquakes, the apparent seismic wave velocity has a significant impact on the
 633 train safety performance on the bridge, and the train safety performance decreases with the
 634 decrease of the seismic wave velocity. This conclusion obtained agrees well with Refs.^{3,15},
 635 verifying the method used in this paper.

636



637

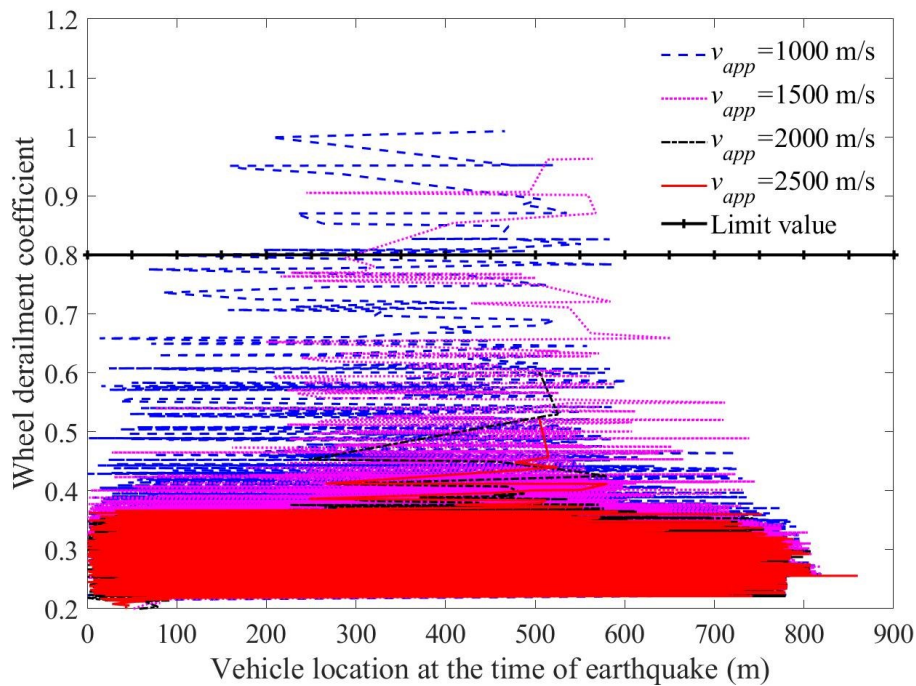
638 Fig. 13. FPD curves of the WDC under different apparent wave velocities

639

640 Fig. 14 shows the effects of the earthquake occurrence moment on the WDC under
 641 different apparent seismic wave velocities. At $v_{app} = 1000$ m/s, the earthquake that occurs
 642 when the first wheelset is located in the interval of 159~588m has a significant impact on the

643 train running safety. But at $v_{app} = 1500\text{m/s}$, the most unfavourable train position interval is
 644 215~567m. When the apparent seismic wave velocity reaches 2000m/s or higher, the WDC is
 645 less than the limit value of 0.8, but the interval corresponding to the larger value of the WDC
 646 is 248~525m. The results show that the WDC and the range of the most unfavourable train
 647 position interval increase with the decrease of the apparent wave velocity. Under different
 648 apparent wave velocities, earthquake occurs before the train arrives at $0.7L_b$ of the bridge
 649 will significantly reduce the train safety performance.

650



651

652 Fig. 14. Influence of earthquake occurrence moment under different apparent velocities

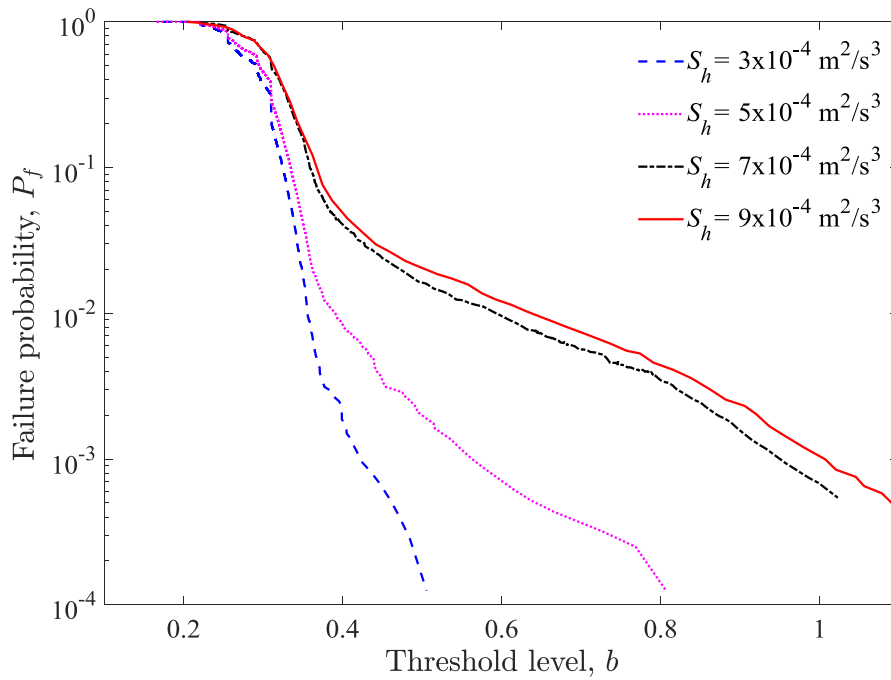
653

654 4.4 Different seismic intensities

655 Both the lateral and vertical seismic components are imposed. The apparent seismic
 656 wave velocity $v_{app} = 1000\text{m/s}$ and the train speeds takes 200 km/h. The spectral intensity of

657 the lateral seismic component is selected as $3.0 \times 10^{-4} \text{ m}^2/\text{s}^3$, $5.0 \times 10^{-4} \text{ m}^2/\text{s}^3$, $7.0 \times$
658 $10^{-4} \text{ m}^2/\text{s}^3$ and $9.0 \times 10^{-4} \text{ m}^2/\text{s}^3$, respectively. Under different seismic intensities, the
659 influence of the earthquake occurrence moment on the train safety performance is analysed.
660 Fig.11 shows the FPD curves of the WDC under different seismic intensities. It can be seen
661 that the seismic intensity has a significant impact on the train safety performance, and the
662 safety reliability of the train running on the bridge decreases with the increase of the seismic
663 intensity. This conclusion obtained agrees well with Ref.¹⁴, verifying the method used in this
664 paper.

665



666

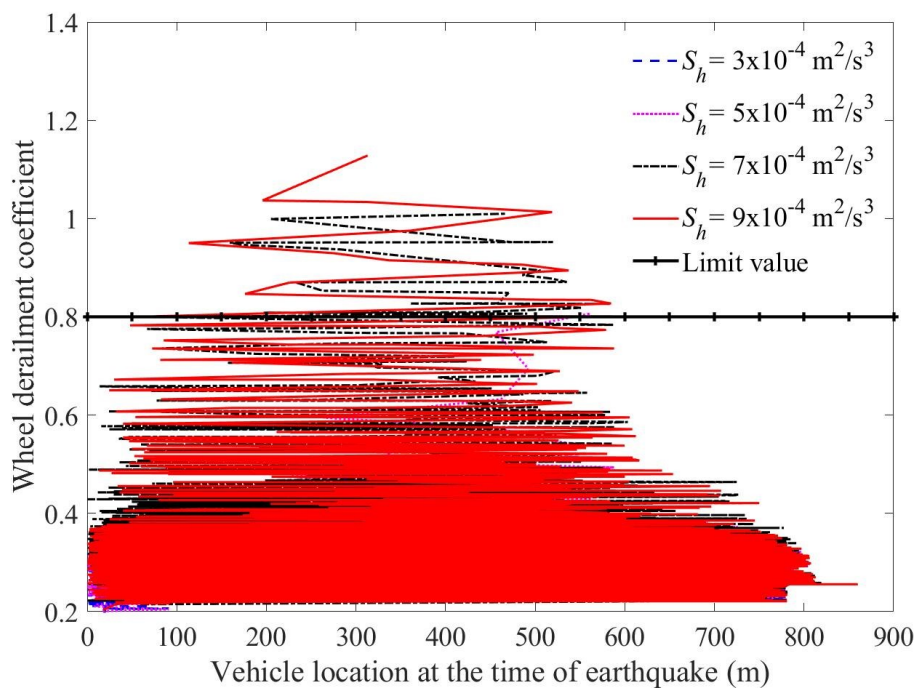
667 Fig. 15. FPD curves of the WDC under different seismic intensities

668

669 The effects of the earthquake occurrence moment on the WDC under different seismic
670 intensities is given in Fig. 16. As can be seen from Fig.16 that, when $S_h = 5.0 \times 10^{-4} \text{ m}^2/\text{s}^3$,

671 the earthquake that occurs when the first wheelset is located in the interval of 462~562m has a
 672 significant impact on the train running safety. The most unfavourable train position interval
 673 for seismic intensities of $7.0 \times 10^{-4} \text{m}^2/\text{s}^3$ and $9.0 \times 10^{-4} \text{m}^2/\text{s}^3$ are 159~588m and 75~590m,
 674 respectively. The results show that with the increase of seismic intensity, the WDC increases
 675 and the range of the most unfavourable train position interval also increases. Under different
 676 seismic intensities, earthquake that occurs before the train arrives at $0.7L_b$ of the bridge will
 677 significantly reduce the train safety performance.

678



679

680 Fig. 16. Influence of earthquake occurrence moment under different seismic intensities

681

682 5 Conclusions

683 In this paper, the dynamic reliability of a train/track/bridge coupled system under
 684 non-stationary multi-point random seismic excitations is efficiently determined by combining

685 the Subset Simulation method with the prediction iterative method. The computational
686 efficiency is enhanced by improving the efficiency in computing the single sample response
687 and reducing the number of samples required for the reliability solution. The spatial effect and
688 the randomness of ground motion, and the randomness of train position when an earthquake
689 occurs are considered and the influence of random multi-point earthquakes on the safety
690 performance of a train moving on a long-span bridge is studied in term of the dynamic
691 reliability. The dynamic models of a CRH2 high-speed train subsystem and a ballast
692 track-continuous bridge subsystem under earthquakes are constructed separately, and a
693 nonlinear wheel-rail contact model is established to couple the two subsystems together, in
694 which actual wheel-rail profiles and instantaneous wheel-rail detachment can be considered.
695 The train position at the time of the earthquake is considered a uniformly distributed random
696 variable. Based on the cross-spectral density function matrix of seismic acceleration at each
697 support point of the long-span bridge structure, the time-history samples of non-stationary
698 multi-point earthquakes are generated by using the Auto Regressive Moving Average model,
699 and inputted at the track-bridge support points in the form of displacement and velocity.

700 In the numerical examples, the effects of earthquake occurrence moment on the safety
701 performance of a train moving on a long-span bridge under different seismic components,
702 train speeds, apparent seismic wave velocities, and seismic intensities are analysed. The
703 results show that the effect of the lateral seismic component on the wheel derailment
704 coefficient is more significant than the vertical seismic component. With the increase of train
705 speed, the most unfavourable train position interval shifts along the train running direction.

706 The range of the most unfavourable train position interval increases with the decrease of the
707 apparent seismic wave velocity or the increase of seismic intensity. Earthquakes that occur
708 before the train arrives at $0.7L_b$ of the bridge will significantly reduce the running safety
709 performance of a train moving on a long-span bridge. In addition, the influence of the train
710 speed on the WDC is greater than that of ground motion within the range of seismic
711 parameters used in this study, by comparing the FPD curves of WDC obtained under different
712 train speeds, different apparent seismic wave velocities or different seismic intensities.

713

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717

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