Automated Retinal Lesion Detection via Image Saliency Analysis

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Abstract

Background and Objective: The detection of abnormalities such as lesions or leakage 15 from retinal images is an important health informatics task for automated early diagnosis of 16 diabetic and malarial retinopathy or other eye diseases, in order to prevent blindness and 17 common systematic conditions. In this work, we propose a novel retinal lesion detection 18 method by adapting the concepts of saliency. Methods: Retinal images are firstly segmented 19 20 as superpixels, two new saliency feature representations: *uniqueness* and *compactness*, are then derived to represent the superpixels. The pixel level saliency is then estimated from 21 these superpixel saliency values via a bilateral filter. These extracted saliency features form a 22 23 matrix for low-rank analysis to achieve saliency detection. The precise contour of a lesion is finally extracted from the generated saliency map after removing confounding structures such 24 as blood vessels, the optic disc, and the fovea. The main novelty of this method is that it is 25 an effective tool for detecting different abnormalities at pixel-level from different modalities of 26 retinal images, without the need to tune parameters. Results: To evaluate its effectiveness, 27 we have applied our method to seven public datasets of diabetic and malarial retinopathy 28 with four different types of lesions: exudate, hemorrhage, microaneurysms, and leakage. The 29 evaluation was undertaken at pixel-level, lesion-level, or image-level according to ground truth 30 availability in these datasets. Conclusions: The experimental results show that the proposed 31

- ³² method outperforms existing state-of-the-art ones in applicability, effectiveness, and accuracy.
- ³³ Keywords: Saliency, feature, low-rank, retinal image, lesion detection

³⁴ 1 Introduction

The human retina is a window that allows clinicians to study retinal conditions such as diabetic 35 retinopathy (DR) [1] and malarial retinopathy (MR) [2] as well as other systematic conditions such 36 as cardiovascular diseases and stroke with non-invasive imaging techniques due to its transparency 37 in nature. In particular, DR is a leading cause of vision impairment and loss in the working-age 38 population [3] which affects nearly 500 million of people with diabetes worldwide. The severity of 39 DR is usually determined by identifying specific features, such as exudates (EX), microaneurysms 40 (MA), and hemorrhages (HE) in retinal color fundus (CF) images. MR has been identified as an 41 important clinical sign in the diagnosis and prognosis of cerebral malaria (CM), which is still a 42 major cause of death and disability in children in sub-Saharan Africa. Leakage (LK) in fluorescein 43 angiogram (FA) is an important sign in determining the activity and development of lesions of 44 MR [2]. Figure 1 shows these four types of anomalies in MR and DR respectively. The automated 45 detection of these pathologies from retinal images is important in understanding the mechanism, 46 diagnosis, optimal treatment and surgical planning in tackling retinal diseases.



Figure 1: Four types of retinal abnormalities in color fundus and fluorescein angiography respectively.

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⁴⁸ Current practical approaches for the quantitative analysis of retinal abnormalities require ex-⁴⁹ tensive manual annotation by experienced graders [4]. Manual grading is often time-consuming, ⁵⁰ expensive and subject to human errors, thus will be impractical for routine clinical applications. ⁵¹ To overcome these limitations, cost-effective solutions will rely on automatic identification of sus-⁵² picious regions by computer-aided diagnosis systems [1, 5].

In this work, the candidate lesion regions in given retinal images are treated as 'salient' and determined by using a low-rank analysis-based method [6]. *Saliency* usually means that an area stands out relative to its neighbors for its uniqueness or rarity features [7, 8]. In the field of

medical image analysis, saliency can describe suspected regions that contain indicative signs for 56 diagnostic purposes, and will always command the attention of human experts [9]. Low-rank 57 analysis has shown great potential for the detection of saliency [6, 10, 11]. Those parts with 58 redundant information of an image usually show high regularities and lie in a low dimensional feature subspace. This can be approximated as a low-rank feature matrix. The salient part can 60 be viewed as a sparse matrix [6]. To form the matrix for the low-rank analysis, a novel UNICOM 61 feature is proposed, which seamlessly integrate UNIqueness and COMpactness features) for the 62 representation of superpixels in images. The proposed framework for retinal lesion detection is a 63 substantial extension to our previous work published in MICCAI-2018 [12] where only a uniqueness-64 based feature was proposed. In this work, the previous uniqueness-based feature is combined with 65 a new compactness-based feature to form an integrated UNICOM feature, as the key input to the 66 feature matrix of the given image for the subsequent low rank based saliency analysis. In essence, 67 the uniqueness-based feature evaluates the rarity of image components whilst the compactness-68 based feature is a complementary feature to intensity for saliency description, to reduce the number 69 of falsely-detected salient regions. Figure 2 illustrates the performance of the proposed framework 70 on detecting salient regions of a retinal image. In identifying high-level contextual features and 71 seeking to emulate human cognitive processes, the proposed method extracts EX, MA and HE at 72 pixel level in retinal color fundus images, and LK in FA. In line with [13], MA and HE are called 73 dark lesions and EX and LK as bright lesions during evaluating our work. 74

The main contributions of this paper can be summarized as follows. (i) We have proposed 75 a novel adaption of the concepts of saliency and low-rank analysis to the field of retinal image 76 analysis. (ii) A novel UNICOM feature is extracted for the representation of an image and form 77 a matrix for low-rank analysis. (iii) The proposed method has undergone rigorous quantitative 78 evaluation using seven publicly-available datasets including CF and FA images with four different 79 types of retinal abnormalities. The results show that our method is more accurate and robust to 80 variations in the location, size, intensity, inhomogeneity and modality of the data than the selected 81 state-of-the-art ones for lesion detection. 82



Figure 2: Examples of the proposed method on highlighting regions of interest (lesions, optic disc, vessel and the fovea) from an example retinal image.

2 Related Works

In recent years, developing health informatics systems for computer aided screening and grading of retinal diseases has received increasing attention, as evidenced by extensive reviews [5, 14], and the Diabetic Retinopathy: Segmentation and Grading Challenge at IEEE International Symposium on Biomedical Imaging (ISBI-2018)¹.

Existing methods rely on identifying suspected lesions from the analysis of fundus images, and they can be categorized into three groups based on their ability to detect different types of lesion: **i)** dark lesions such as MA and HE, **ii)** bright lesions such as EX and LK, and **iii)** combined dark and bright lesions.

Dark lesion detection. Fleming et al. [15] proposed an automated MA detection method using 92 local contrast normalization and local vessel detection. A hybrid approach consisting of mathe-93 matical morphology and k-Nearest Neighbors (kNN) classification was introduced by Niemeijer et 94 al. [1] for the MA extraction. Giancardo et al. [16] utilized a thresholding technique followed by 95 a Radon transformation and support vector machine (SVM) for MA detection. Tang et al. [17] 96 presented a splat feature classification method to detect retinal HE. This classification can model 97 shapes of various lesions efficiently regardless of their variability in appearance, texture or size. A 98 multi-agent system was proposed in [18] which uses gradient patterns and Gaussian fitting param-99 eters in different directions to segment MA. Dai et al. [19] employed gradient vector analysis and 100

¹https://idrid.grand-challenge.org/Home/

a class-imbalance classifier to determine MA candidates. Seoud et al. [3] generated a new set of
shape features called Dynamic Shape Features to detect dark lesions from retinal images. Dashtbozorg et al. [4] used a gradient weighting-based iterative thresholding approach and a boosting
classifier to locate MA.

Bright lesion detection. Phillips et al. [20] calculated the gradient of intensity, and then 105 thresholded the gradient values to determine LK regions in DR images. In [21], the EX contours 106 were determined by means of morphological reconstruction techniques. Sanchez et al. [22, 23] used 107 a statistical technique called mixture model and contextual information to detect the EX. Welfer 108 et al. [24] employed a coarse-to-fine strategy for detecting EX in retinal images. In [25], a set of 109 features based on color, wavelet decomposition and automatic lesion segmentation were employed to 110 train a classifier, which is able to detect EX in color fundus images. Agurto et al. [26] proposed an 111 EX detection method based on optimal thresholding of instantaneous amplitude, and a partial least 112 squares-based classification. Rabbani et al. [27] employed an active contour segmentation model 113 to detect the boundaries of LK in FA images of subjects with diabetic macular edema. Zhao et 114 al. [28] used the intensity and compactness features to generate a saliency map, and segment the 115 precise LK area by using a graph-cut model. Liu et al. [29] presented a location-to-segmentation 116 strategy for automatic EX segmentation in color retinal fundus images. 117

Combined bright and dark lesion detection. A visual word dictionary-based feature detection 118 and analysis framework was proposed by Rocha et al. [30], which is capable of identifying MA 119 and EX. Roychowdhury et al. [13] designed a system called DREAM for the grading of DR using 120 machine learning. Non-lesions, or false positives, are rejected by the hierarchical classification, and 121 the lesions are classified into bright and dark ones by using multiple classification criteria. Zhang 122 et al. [31] proposed a multi-scale correlation coefficients-based method and a dynamic thresholding 123 technique for retinal lesion extraction. A rule-based classification and dictionary learning algorithm 124 was then employed for more accurate detection of retinal lesions. Gondal et al. [32] proposed to 125 use a modified Convolutional Neural Network (CNN) in weak supervision with only image-level 126 labels to identify lesions. Quellec et al. [33] generated a heat map as a key input for their deep 127 learning approach - ConvNets. This network can be utilized for DR screening, and both bright 128 and dark lesions are detected at the image level and at the lesion level respectively. However, as a 129 deep learning technique this method is data hungry and limited by the data availability. 130



Figure 3: The main steps of the proposed method. (a) An example color fundus image; (b) Estimated uniqueness-based feature; (c) Estimated compactness-based feature; (d) Low-rank based saliency detection by using the combined uniqueness and compactness features; (e) Estimated saliency map at pixel-level; (f) Final lesion detection result after removing blood vessels, optic disc and macular regions.

The majority of previous approaches to lesion detection are based solely on morphological segmentation or classification [15, 16, 17, 19, 21, 13, 33, 32]. These strategies usually work on a single type of lesions with careful parameter optimization and training data collection, but often fail to work for the detection of other types of lesions without problem-specific optimization or information. It is therefore essential to develop generic algorithms with accurate and reliable performance for the detection of different types of lesions without over-relying on the need of handcrafted parameters or knowledge.

¹³⁸ 3 Proposed method

In this section, we detail our novel UNICOM feature based saliency detection model for retinal
lesion detection. The main steps of our approach are illustrated in Figure 3. The details of these
steps are described in these four subsections.

¹⁴² 3.1 UNICOM saliency feature

Finding a good discriminative feature plays a key role in ensuring the validity of the saliency detection model. In this work, we propose a novel feature: the UNICOM, which combines intensity uniqueness and spatial compactness characteristics.

Perazzi et al. [34] suggest that the *uniqueness* of a component may reveal the rarity of an image component. Relative intensity is a commonly used property in the investigation of saliency [34]: salient regions stand out from their surroundings in certain aspects. Cheng et al. [35] suggest that compactness can measure elemental distribution. These elements are more salient when they are
 grouped in a particular image region rather than evenly distributed over the whole image.

Inspired by the fact that human vision is usually more concerned with objects than with individual pixels and the objects of interest may vary in size, in this paper an input image is firstly partitioned into N superpixels $\{P_i\}_{i=1}^N$, by using the Simple Linear Iterative Clustering (SLIC) method [36]. Without loss of generality, we assume that N superpixels are generated, the colors of any two superpixels i and j, $1 \leq i, j \leq N$, are \mathbf{c}_i and \mathbf{c}_j , while their positions are \mathbf{p}_i and \mathbf{p}_j . The UNICOM feature U_i of superpixel i is then defined by combining the uniqueness of intensity \mathcal{I} and the compactness of spatial distribution \mathcal{D} :

$$\mathbf{U}_i = \mathcal{I}_i \cdot \exp(-k \cdot \mathcal{D}_i),\tag{1}$$

where an exponential function is employed to emphasize \mathcal{D}_i , which is of higher significance and greater diagnostic capability than the intensity measurement \mathcal{I}_i [34]. The parameter k represents the strength of the spatial weighting, and is set as 6 and -6 for dark and bright lesion detection, respectively.

155 3.1.1 Uniqueness feature generation

The uniqueness in the intensity domain I_i of superpixel *i* is estimated by computing the rarity compared to all the other superpixels *j*:

$$\mathcal{I}_i = \sum_{j=1, j \neq i}^N \|\mathbf{c}_i - \mathbf{c}_j\|^2 \cdot w^I(\mathbf{p}_i, \mathbf{p}_j).$$
(2)

where **c** indicates the intensity value. The local weighting function $w^{I}(\mathbf{p}_{i}, \mathbf{p}_{j})$ is introduced here so that global and local contrast can be effectively combined with control over the influence radius. A standard Gaussian function is utilized to model the local contrast in terms of geometric distances between superpixels *i* and *j*:

$$w^{I}(\mathbf{p}_{i},\mathbf{p}_{j}) = \frac{1}{\mathbf{Z}_{i}^{I}} \exp\{-\frac{\|\mathbf{p}_{i} - \mathbf{p}_{j}\|^{2}}{2\sigma_{p}^{2}}\},\tag{3}$$

where standard deviation $\sigma_{\mathbf{p}}$ controls the range of the uniqueness operator from 0 to 1 (where 1 = global uniqueness) and was empirically set to 0.8. The normalization term \mathbf{Z}_i^I ensures that $\sum_{j=1, j \neq i}^N w^I(\mathbf{p}_i, \mathbf{p}_j) = 1$. Eqn.2 can be decomposed by factoring out:

$$\mathcal{I}_{i} = \mathbf{c}_{i}^{2} \underbrace{\sum_{j=1, j \neq i}^{N} w^{I}(\mathbf{p}_{i}, \mathbf{p}_{j})}_{1}}_{-2\mathbf{c}_{j}} \underbrace{\sum_{j=1, j \neq i}^{N} \mathbf{c}_{j} w^{I}(\mathbf{p}_{i}, \mathbf{p}_{j})}_{\text{Gaussian blur } \mathbf{c}_{j}} + \underbrace{\sum_{j=1, j \neq i}^{N} \mathbf{c}_{j}^{2} w^{I}(\mathbf{p}_{i}, \mathbf{p}_{j})}_{\text{Gaussian blur } \mathbf{c}_{j}}.$$
(4)

It can be seen from Eqn.4 that both terms $\sum_{j=1, j\neq i}^{N} \mathbf{c}_{j} w^{I}(\mathbf{p}_{i}, \mathbf{p}_{j})$ and $\sum_{j=1, j\neq i}^{N} \mathbf{c}_{j}^{2} w^{I}(\mathbf{p}_{i}, \mathbf{p}_{j})$ can be regarded as the Gaussian blurring kernel on intensities \mathbf{c}_{j} and their squares \mathbf{c}_{j}^{2} , respectively. Figure 3 (b) depicts an example of the proposed uniqueness-based feature.

¹⁵⁹ 3.1.2 Compactness feature generation

Cheng et al.[35] suggest that spatial variance is a potential measure of an element's distribution. Low variance of its compactness implies that an element should be considered more salient than one that is spatially more widely distributed. The human visual system tends to pay more attention to a more compact object than to a more diffuse object [6, 28]. The measure of compactness of an object might therefore be of use as a complementary feature to intensity for saliency analysis. Similarly, the compactness of spatial distribution \mathcal{D}_i is estimated as:

$$\mathcal{D}_i = \sum_{j=1, j \neq i}^N \|\mathbf{p}_j - \mu_i\|^2 \cdot w^D(\mathbf{c}_i, \mathbf{c}_j),$$
(5)

where $\mu_i = \sum_{j=1, j \neq i}^{N} \mathbf{p}_j w^D(\mathbf{c}_i, \mathbf{c}_j)$ defines the weighted mean position of positions \mathbf{p}_j , and $w^D(\mathbf{c}_i, \mathbf{c}_j)$ indicates the degree of similarity between colors \mathbf{c}_i and \mathbf{c}_j . As in Eqn.2, the color similarity weight is also estimated using a Gaussian function $w^D(\mathbf{c}_i, \mathbf{c}_j) = \frac{1}{\mathbf{Z}_i^D} \exp\{-\frac{\|\mathbf{c}_i - \mathbf{c}_j\|^2}{2\sigma_c^2}\}$, where \mathbf{Z}_i^D can be defined as to \mathbf{Z}_i^I , while σ_c controls the sensitivity of the spatial distribution: larger values of σ_c indicate increased values of spatial distribution, and vice versa. It was also empirically set to 0.8. Eqn.5 can be expanded as:

$$\mathcal{D}_{i} = \sum_{j=1, j \neq i}^{N} \mathbf{p}_{j}^{2} w^{D}(\mathbf{c}_{i}, \mathbf{c}_{j})$$

$$- 2\mu_{i} \sum_{\substack{j=1, j \neq i \\ \mu_{i}}}^{N} \mathbf{p}_{j} w^{D}(\mathbf{c}_{i}, \mathbf{c}_{j}) + \mu_{i}^{2} \sum_{\substack{j=1, j \neq i \\ \mu_{i}}}^{N} w^{D}(\mathbf{c}_{i}, \mathbf{c}_{j})$$

$$= \underbrace{\sum_{\substack{j=1, j \neq i \\ \text{Gaussian blur } \mathbf{p}_{i}^{2}}}_{\text{Gaussian blur } \mathbf{p}_{i}}^{N} \mathbf{c}_{i} \mathbf{c}_{j} - \underbrace{\mu_{i}^{2}}_{\text{Gaussian blur } \mathbf{p}_{j}}.$$
(6)

Again, both terms $\sum_{j=1, j \neq i}^{N} \mathbf{p}_{j} w^{D}(\mathbf{c}_{i}, \mathbf{c}_{j})$ and μ_{i}^{2} can be effectively treated as Gaussian blurring. It will be observed that the more distinct superpixel *i* is from superpixel *j*, the larger the value of \mathcal{D}_{i} , and vice versa. Figure 3 (c) shows an example of the proposed compactness-based feature. By incorporating the compactness feature \mathcal{D}_{i} with the uniqueness feature \mathcal{I}_{i} of a given image, the UNICOM feature \mathbf{U}_{i} is calculated using Eqn.1.

¹⁶⁵ 3.2 Saliency detection

Low-rank and sparsity analysis provides a useful tool for detecting salient regions [6]. A region with high regularities (redundancy or background) usually lies in a low dimensional feature subspace, which can be approximated as a low-rank feature matrix, while a salient region can be represented by a sparse feature matrix. The term *sparsity* shares some similarities with the perception of *contrast*, which implies that the pixels or regions differ significantly from their surroundings. The relation between sparsity and saliency follows the fact that only distinctive sensory information is selected for further processing in a human vision system.

D-dimension features are extracted from each superpixel including the above-obtained UNI-COM feature, and the stacked feature vectors form a matrix representation of the input image as $\mathbf{F} = [f_1, f_2, \cdots, f_N] \in \mathbb{R}^{D \times N}$. In this work, 31 features were used to form the feature matrix, and the feature details and importance of these features will be listed and discussed in Sec. VI. C.

The saliency detection task may then be further modeled as a low-rank matrix recovery problem [37]:

$$\min_{\mathbf{L},\mathbf{S}} \operatorname{rank}\left(\mathbf{L}\right) + \lambda \|\mathbf{S}\|_{0} \quad \text{s.t.} \quad \mathbf{F} = \mathbf{L} + \mathbf{S},\tag{7}$$

where $\| * \|_0$ denotes the l_0 -norm, and **L** is the low-rank matrix corresponding to the background.

This suggests that matrix \mathbf{L} may have the property of low-rankness. \mathbf{S} is the sparse matrix representing the salient parts, and these usually display characteristic and spatial coherence. Since such a problem takes non-deterministic polynomial time[37], one can alternatively solve its convex surrogate instead for computational efficiency and feasibility:

$$\min_{\mathbf{L},\mathbf{S}} \|\mathbf{L}\|_* + \lambda \|\mathbf{S}\|_1 \quad \text{s.t.} \quad \mathbf{F} = \mathbf{L} + \mathbf{S}, \tag{8}$$

where $|| * ||_{*}$ and $|| * ||_{1}$ denote the nuclear norm and l_{1} -norm of **L** and **S**, respectively. Various algorithms that can be used to estimate the sparse matrix **S**, and the Robust Principal Component Analysis (Robust PCA) [38, 37] is a powerful tool to recover the decomposed low-rank **L** and sparse **S** matrices. We refer to [37] for more details on Robust PCA. The saliency map is generated by assembling the l_{1} -norm of each column S_{i} in **S** from the corresponding segments, and further normalized into grayscale for display and visualization.

In medical image analysis, uniformly sampled patches often display large feature variations, such as a high degree of anatomical variation across the population and the complexity of the surrounding tissue/organs, and these characteristics may affect the accuracy of saliency detection. On one hand, some generated patches may contain both background and salient regions, and this may lead to an invalid assumption that the background has a low-rank. On the other hand, if the salient region is large, it may be decomposed into many patches, and these fragmented patches will then not be salient because they are no longer identified as sparse.

Decomposing \mathbf{F} in the original feature space usually produces inferior saliency detection results, as the sparse analysis only ensures that a single patch is encoded as a sparse vector, which may not correspond to the saliency over the entire image. The authors of [6] instead trained a linear transformation matrix \mathbf{T} on the feature space from a set of training images:

$$\min_{\mathbf{L},\mathbf{S}} \|\mathbf{L}\|_* + \lambda \|\mathbf{S}\|_1 \quad \text{s.t.} \quad \mathbf{TF} = \mathbf{L} + \mathbf{S}.$$
(9)

In this new space, the variation of the background features was also considered, and their transformations are more likely to lie in a low dimensional sub-space and can thus be represented as a low-rank matrix. After transformation, the method is more sensitive to color changes in saliency detection. The reader should refer to [6] for more details on the determination of **T**.

¹⁹⁴ 3.3 Saliency refinement

The saliency of each pixel is temporarily assigned the saliency value of the superpixel of which it is a member, in other words, the saliency values are taken at superpixel level, as shown in Figure 3 (d), and are obtained by determining **S** of Eqn.9. Further refinement is then required in order to assign the saliency value at pixel level by employing a bilateral filter [6], since it is more robust to imaging noise and the variation of imaging resolution and scale. That is, the saliency value \mathbf{S}'_u of each image pixel u is estimated as the weighted average of the saliency values of other pixels v:

$$\mathbf{S}'_{u} = \sum_{v=1}^{T} w_{uv} \mathbf{S}_{v},\tag{10}$$

where T is the total number of pixels in the image, \mathbf{S} is the saliency map at superpixel level, and 195 the Gaussian weight w_{uv} is defined as $w_{uv} = \frac{1}{\mathbf{Z}_u} \exp(-\frac{1}{2}(\alpha \|\mathbf{c}_u - \mathbf{c}_v\|^2 + \beta \|\mathbf{p}_u - \mathbf{p}_v\|^2))$, where \mathbf{Z}_u is 196 defined in similar manner to \mathbf{Z}_i^D above. A weighted Gaussian filter which considers both color and 197 position is applied to the saliency map \mathbf{S} at superpixel level, in order to achieve the translation 198 of per-superpixel saliency to per-pixel saliency. The trade-off between intensity and position is 199 controlled by parameters α and β , both of which were set to 0.01 in the present work. The final 200 saliency map highlights salient object regions of interest by suppressing the background of the 201 image. Figure 3 (e) demonstrates the performance of this saliency refinement, and the property of 202 human vision by which attention declines as the edge of the area of interest is approached may be 203 mimicked. 204

205 3.4 Post-processing

The exact contours of the lesions can be finally extracted from the generated saliency map after removing confounding structures such as blood vessels, the optic disc, and the macular. The following steps are applied.

Blood vessel segmentation: We used the infinite perimeter active contour with hybrid region (IPACHR) method [39] to extract the retinal vasculature. IPACHR introduces a novel active contour model, and has the superior power in segmenting components with irregular and oscillatory boundaries [40]. In addition, IPACHR considers both vesselness map based on local phase and intensity of an image, so as to further improve the segmentation performance compared to the typical infinite perimeter active contour model.

Table 1: Details of the retinal image datasets employed, and the values of controlling parameter k for each dataset.

Datasets	No. Img.	Size	FOV	Lesions	Disease	Groundtruth	Para. k
RC-RGB-MA	250	2595×1944	45°	MA	DR	Lesion level	6
DiaretDB1	89	1500×1152	50°	MA, HA, EX	DR	Lesion level	6
ROC	100	$768 \times 756 - 1394 \times 1392$	45°	MA	\mathbf{DR}	Lesion level	7
e-ophtha	195	$1440 \times 960 - 2544 \times 1696$	45°	MA, EX	DR	Pixel level	5
Messidor	1200	2304×1536	45°	MA, HA, EX	\mathbf{DR}	Image level	-5
DME-DUKE	24	768×768	55°	LK	\mathbf{DR}	Pixel level	-6
LIMA	30	$2189 \times 3061 - 3715 \times 2733$	50°	LK	MR	Pixel level	-6

Optic disc detection: Usually, it has been well observed that any region with several surrounding vessels greater than a threshold of 5 [41] will be assumed to be the optic disc, and will be removed.
In our experiments this method is efficient and effective. However, other sophisticated optic disc detection methods [42, 43] may work equally well.

Macular detection: The macular region can be masked out by using the Gaussian Mixture Model (GMM) proposed by [44]. Note, the source codes with default parameter settings provided by the authors for these methods were used.

²²² 4 Datasets and Evaluation Metrics

To evaluate its effectiveness, we have evaluated the proposed method on seven publicly-available 223 retinal image datasets showing diabetic or malarial pathogenesis. These were: the Retina Check 224 project managed by Eindhoven University of Technology (RC-RGB-MA) [4]; the DiaretDB1 [45]; 225 the Retinopathy Online Challenge training set (ROC) [46]; the e-ophtha [47]; the Messidor [48]; the 226 Diabetic Macular Edema (DME-DUKE) [27] dataset collected by Duke University; and the Malarial 227 Retinopathy dataset collected by the University of Liverpool (LIMA) [41]. Table 1 summarizes 228 the key information of these datasets. To achieve a single set of parameters, all the images from 229 different datasets were uniformly down-sampled to 768×768 . Note, the ground truth type of 230 lesion level indicates that the manual annotation was made by using a single pixel (center of the 231 lesions) or a coarse boundary (a disc could cover the entire lesion region); Image level shows that 232 the ground truth is graded as presence or absence of lesions; Pixel level reveals that the ground 233 truth is marked by labeling a precise contour of the lesion regions. The first five datasets are retinal 234 color fundus image, while the rest are fluorescein angiogram. 235

Two experts used an annotation tool to locate candidate MAs in RC-RGB-MA, and their 236 consensus was used for evaluation. Four experts annotated the MAs, HAs, and EXs indepen-237 dently for DiaretDB1 by drawing a disc over the lesions, and reported confidence levels $\{<50\%,\geq$ 238 50%, 100%. The consensus of agreement higher than 75% was used to assign a region as a lesion. 239 For the ROC dataset, four experts indicated the center location of the MAs, and the logical OR was 240 used to combine the lesion locations and mark them as MAs. The MAs and EXs in e-ophtha were 241 manually annotated by an ophthalmologist who marked the lesion contours: a second ophthalmol-242 ogist checked these annotations. The Messidor dataset was annotated regarding two significant 243 criteria: retinopathy grade, and risk of macular edema. For more details of grade criteria, we refer 244 the readers to [5]. The leakage contours of the DME-DUKE dataset were manually annotated by 245 two independent graders and later reviewed for intra-observer reliability. For the LIMA dataset. 246 one grader defined the boundaries of each large focal leak, and a second grader checked these 247 annotations. 248

The evaluations of these datasets were undertaken in three different ways, based on the types of available manual annotations. A lesion-based approach defined candidate lesions and counted them; a pixel-based approach focused on the location of lesions; and the image-based approach aimed simply to determine whether a lesion was present.

To compare the detection results of the proposed method with their corresponding manual annotations by human graders, the following metrics were employed: *sensitivity* (SE) = TP/(TP + FN), *specificity* (SP) = TN/(TN + FP), and the area under the ROC curve (AUC), where TP, TN, FP and FN indicate true positive (correctly identified lesion pixels or regions), true negative (correctly identified background pixels or regions), false positive (incorrectly identified lesion pixels or regions), and false negative (incorrectly identified background pixels or regions), respectively.

²⁵⁹ 5 Experimental Results

Once the saliency map has been generated, a threshold value T = 0.65 (which achieved the highest AUC scores) was applied to the saliency map to obtain the candidate lesion regions for all the datasets. Large blood vessels, the macular and the optic disc may also be enhanced as candidate lesion regions or region of interest (ROI), as these regions are conspicuous objects in retinal images,



Figure 4: **Microaneurysm** detection results of the proposed method over four example images, one from each of four datasets. (a) Example images; (b) Saliency maps of (a); (c) Zoom-in view of the selected regions, and yellow circles indicate the locations of MAs; (d) Zoom-in view of saliency maps of the selected regions; (e) Detected MAs.

- ²⁶⁴ and can easily be distinguished visually by their intensity or shape, as shown in Figures 4-7. True
- retinal lesions can be identified by simply masking away the blood vessels and the optic disc from

the produced saliency map. Figure 3 (f) shows the extracted lesion regions.

²⁶⁷ In these subsections, the performance of the proposed method is rigorously validated for the ²⁶⁸ detection of dark lesions and bright lesions.

²⁶⁹ 5.1 Dark lesion detection

A large number of studies, i.e., ([3, 31, 25]) have performed lesion detection on prevalence of referable at image level, but it is difficult to understand the criteria for selecting true positives and false negatives. In the study of MA detection, the sensitivity values against the average number of false positives per image (FPI) was used to measure performance [46]. It was obtained by averaging

	1 0								
Dataset	Method	1/8	1/4	1/2	1	2	4	8	FS
	Dashtbozorg et al. [4]	0.358	0.417	0.471	0.522	0.558	0.605	0.638	0.510
a amhtha	Wu et al. [50]	0.063	0.117	0.172	0.245	0.323	0.417	0.573	0.273
e-opnina	Zhang et al. [31]	0.170	0.240	0.320	0.440	0.540	0.630	0.740	0.440
	Proposed	0.325	0.387	0.443	0.501	0.551	0.637	0.738	0.512
	Dashtbozorg et al. [4]	0.435	0.443	0.454	0.476	0.481	0.495	0.506	0.471
	Wang et al. [51]	0.273	0.379	0.398	0.481	0.545	0.576	0.598	0.464
ROC	Wu et al.[50]	0.037	0.056	0.103	0.206	0.295	0.339	0.376	0.202
	Dai et al. [19]	0.219	0.257	0.338	0.429	0.528	0.598	0.662	0.433
	Proposed	0.254	0.335	0.388	0.420	0.540	0.630	0.725	0.472
	Dashtbozorg et al. [4]	0.507	0.517	0.519	0.542	0.555	0.574	0.617	0.547
	Seoud et al. [3]	0.140	0.175	0.250	0.323	0.440	0.546	0.642	0.359
DiaretDB1	Dai et al. [19]	0.035	0.058	0.112	0.254	0.427	0.607	0.755	0.321
	DRSCREEN [49]	0.001	0.003	0.009	0.020	0.059	0.140	0.257	0.070
	Proposed	0.163	0.201	0.279	0.365	0.501	0.612	0.723	0.406
DC DCD MA	Dashtbozorg et al. [4]	0.541	0.591	0.618	0.662	0.697	0.704	0.714	0.647
no-ngd-MA	Proposed	0.512	0.588	0.621	0.673	0.704	0.735	0.741	0.653

Table 2: **Microaneurysms** detection result: Sensitivities of different methods at the predefined rates of false positives per image over four different datasets.

the sensitivities taken at 7 points along the free-response receiver operating characteristic curve. 274 Sensitivity values for FPI rates of 1/8, 1/4, 1/2, 1, 2, 4, and 8 were thus obtained. A final score (FS) 275 was computed by averaging the sensitivity values obtained at these seven predefined FPIs [49]. The 276 sensitivity indicates the proportion of MAs correctly detected, and the FPI represents the number 277 of non-MAs incorrectly detected as MAs. Figures 4 (b) and (d) show that the proposed method 278 successfully detected the MA regions as salient. The exact MA regions could then be located after 279 280 removing other ROIs. Table 2 compares the MA detection performances of different methods in terms of sensitivity against FPI on the e-ophtha, ROC, DiaretDB1, and recent released RC-RGB-281 MA datasets respectively. For brevity, we provide readers with the performance only from the 282 three most recent MA detection methods (note, only [4] reports the detection performance on the 283 RC-RGB-MA dataset): this is not intended to be taken as exhaustive. As observed, the proposed 284 method outperforms the existing state-of-the-art ones on all the four datasets in terms of final 285 score. 286

Figure 5 demonstrates the ability our method to detect HE on two randomly selected images from DiaretDB1 and Messidor datasets. In contrast to the MA detection, the HE detection has received relatively little attention [33, 32, 52], and in the literature performance has been evaluated only on DiaretDB1. Table 3 reports the sensitivity values achieved by different methods on the DiaretDB1 dataset. Evaluation was undertaken at image and pixel level respectively. It can be seen that the proposed method achieves the best performance at both the image and lesion levels with the highest sensitivity values of 0.981 and 0.790 respectively. While both the deep-learning based



Figure 5: The **hemorrhage** detection results of the proposed method on two example images from the DiaretDB1 and Messidor dataset. (a) Original images; (b) Generated saliency map; (c) Detected hemorrhage regions.

Table 3: Sensitivity scores of different methods for the detection of **Hemorrhages** at image level and pixel level over the **DiaretDB1** dataset respectively. Note, the methods compared only reported their performances on sensitivity.

	Image level	pixel level
Quellec et al. [33]	0.947	0.710
Gondal et al. [32]	0.972	0.720
Zhou et al. [52]	0.944	-
proposed	0.981	0.790

approaches [33, 32] focus on the detection of class-specific discriminative regions, the downsampling 294 operator in their architecture results in loss of location information, and the upsampling operator 295 tends to produce a coarse feature map that renders the fine grained lesion localization impossible. 296 Different types of dark lesions (MA and HE) may appear in a single retinal image. Therefore, a 297 comparative analysis of different methods in their detection is shown in Table 4. It can be observed 298 that the proposed method has a favorable detection performance compared to the existing ones. 299 To be more specific, the proposed method has produced a sensitivity value of 0.978, specificity of 300 0.955, and AUC of 0.964 on the DiaretDB1 dataset (at image level). It may be observed that our 301 SE score of dark lesion detection is lower than HE only detection in Table 3. This phenomenon 302 suggests that the combination of multiple lesions is more challenging to detect. 303

Table 4: The SE, SP, and AUC values of different methods for dark lesion (hybrid of MA and HE) detection at image level over the **DiaretDB1** dataset.

	SE	SP	AUC
Kauppi et al. [45]	0.972	0.720	-
Roychowdhury et al. [13]	0.800	0.850	0.834
Rocha et al. [30]	0.900	0.830	0.933
Quellec et al. [33]	-	-	0.963
Proposed	0.978	0.955	0.964

Table 5: The SE, SP, and AUC values of different methods for the detection of **Exudates** over three different datasets.

Dataset	Method	SE	SP	AUC
	Zhang et al. [31]	-	-	0.950
	Giancardo et al. [25]	0.860	0.850	0.953
	Quellec et al. [33]	0.809	-	-
Discot DD1	Walter et al. [32]	0.660	0.986	-
DiaretDD1	Welfer et al. [21]	0.705	0.988	-
	Roychowdhury et al. [13]	0.742	0.980	-
	Liu et al. [29]	0.830	-	-
	Haloi et al. [53]	0.965	-	-
	Rocha et al. [30]	0.700	0.990	0.881
	Proposed	0.891	0.980	0.964
	Agurto et al. [26]	1.000	0.730	-
	Giancardo et al. [25]	-	-	0.900
Messidor	Zhang et al. [31]	-	-	0.930
	Rocha et al. [30]	0.900	0.640	0.893
	Proposed	0.912	0.950	0.941
	Decencire et al. [47]	0.809	0.815	-
a amhtha	Giancardo et al. [25]	-	-	0.870
e-opinna	Zhang et al. [31]	0.830	-	-
	Proposed	0.856	0.910	0.895

* The evaluations were undertaken at lesion level for DiaretDB1, image level for Messidor, and pixel level for e-ophtha.

inage level for Messidor, and pixel level for e-ophtha.

304 5.2 Bright lesion detection

The presence of exudates has been used to grade the risk of macular edema. Therefore, it is important to detect and validate the presence of exudate. We evaluate the exudate detection performance through the DiaretDB1, e-ophtha, and Messidor datasets. Both the DiaretDB1 and e-ophtha datasets provide a lesion map generated by experts. While the Messidor dataset does not manually annotate exudate contours, it provides a DR severity grading and contains information on the risk of macular edema for each image.

Figure 6 depicts the saliency and exudate detection results of the proposed method over the images from Messidor, DiaretDB1 and e-ophtha respectively. Table 5 shows the SE, SP and AUC values of different methods. The proposed method achieves higher sensitivity, specificity, and AUC values over DiaretDB1, e-ophtha, and Messidor when compared with the existing ones. It produced the highest AUC scores of 0.952, 0.950, and 0.941, respectively. Our method exhibits superior



Figure 6: The detection results of **Exudate** using the proposed method in three example images, one from each of the three different datasets: (a) Example images; (b) Detected saliency; (c) Detected exudate regions.

³¹⁶ performance on DiaretDB1. For example, the sensitivity score of the method by Roychowdhury et ³¹⁷ al. [13] would drop to 0.742 in order to achieve the same specificity score of 0.980. Even though ³¹⁸ the sensitivity score of Agurto's method reaches 1.000, its specificity score is only 0.730, which is ³¹⁹ much lower than 0.950 by our method. It is worth noting that the AUC scores obtained by Zhang ³²⁰ [31] were computed at image level (presence of exudate).

In contrast to the large number of studies on detecting various lesions (MA, HE, and EX), 321 relatively few methods have been proposed for automated detection of leakage. Leakage in an-322 giography is an important sign for clinicians to determine the relative activity and progression 323 of the underlying disease. In this work, performing the proposed method on leakage detection 324 was obtained over two FA image datasets: DME-DUKE with DR pathology, and LIMA with MR 325 pathology: Figure 7 shows one example from each. Table 6 shows the performances of different 326 methods in detecting leakage sites in terms of sensitivity, specificity, and AUC at pixel level. It 327 can be observed that the performances of our proposed method are again significantly better than 328



Figure 7: **Leakage** detection results of the proposed method on two example images from the LIMA and DUKE-DME datasets respectively. (a) Original images; (b) The generated saliency map; (c) Detected leakage regions.

 Table 6: The SE, SP and AUC scores of different methods for the detection of Leakage over two

 different datasets.

Dataset	Method	SE	$^{\rm SP}$	AUC
	Rabbani et al. [27]	0.690	0.910	0.800
DUKE-DME	Zhao et al. [28]	0.780	0.940	0.860
	proposed	0.810	0.930	0.870
	Rabbani et al. [27]	0.810	0.870	0.840
LIMA	Zhao et al. [28]	0.930	0.960	0.940
	Proposed	0.950	0.950	0.950

329 those compared ones.

330 6 Discussion and Conclusion

The extensive validation of the proposed retinal lesion detection method on seven publicly accessible datasets with different pathologies and imaging modalities demonstrates its high potential to be a powerful tool in the analysis of a wide spectrum of eye diseases. In this section, the method is further investigated in terms of saliency analysis, saliency cues and feature importance.

³³⁵ 6.1 Comparison to the state-of-the-art saliency detection methods

In the past decade, many saliency detection methods have been proposed. To decide which of the saliency detection methods is superior when applied to retinal images, a comparison was made between five state-of-the-art saliency detection methods regarding candidate lesion detection: the classic saliency detection method proposed by Itti et al. [7], spectral residual saliency [54], frequency-tuned saliency [55], graph-based visual saliency [56] and context-aware saliency [57]. The competitors are referred to here as IT, SR, FT, GB, and CA, respectively. The source codes, with default parameter settings provided by the authors, were used for all these methods.

Figure 8 depicts saliency detection results by six methods over two images. The proposed 343 method identifies more comprehensive areas of saliency, which is consistent with the results ob-344 tained by human visual inspection - both dark and bright lesions are highlighted as salient. The 345 SR method has the poorest performance, since spatial information is absent in the Fourier repre-346 sentation, where the Fourier domain spectral energies derived from frequency bands alone are not 347 sufficient. The proposed model is not only capable of suppressing background, but also highlights 348 all salient regions (e.g., lesions, vessels, and the optic disc) with well-defined boundaries. By uti-349 lizing the UNICOM feature, the proposed method can better handle the issues of heterogeneous 350 objects, poor contrast between object and background, large-scale and small-scale salient objects 351 more effectively compared with other saliency detection ones. 352

To evaluate the saliency detection performance objectively, the FPR and TPR of the saliency maps derived by different methods were calculated. The ROC curves were obtained by varying the threshold value in increments of 0.01 in [0, 1], and observing the variation in SE versus (1-SP) each time. The evaluations were undertaken for the detection of dark and bright lesions separately across the aforementioned seven datasets. The averaged results of our method and its competitors are plotted in Figure 9 (a). It can be seen that our method achieves the best performance for both dark and bright lesion detection.

³⁶⁰ 6.2 Effectiveness of each saliency cue

To validate the effectiveness of saliency cues in the proposed low-rank-based saliency analysis method, we generated three receiver operating characteristics curves of the proposed method tak-



Figure 8: Saliency detection results of different algorithms over two example retinal images. (a) Original images. (b)-(g) Saliency maps generated using different methods. (h) Ground truth at lesion level: coarse lesion regions are annotated.



Figure 9: (a) Receiver operating characteristics curves of different saliency analysis methods for the detection of bright and dark lesions; (b) Receiver operating characteristics curves of the proposed method with different feature cues for the detection of bright and dark lesions respectively.

ing different cues: uniqueness cue only; compactness cue only; and combined uniqueness and
 compactness cues (UNICOM).

The ROC curves in Figure 9 (b) show that the UNICOM feature performs better than either the 365 uniqueness or compactness feature alone. The proposed method combines uniqueness of intensity 366 and spatial distribution with the compactness of the image component, as a global constraint on 367 the saliency representation: the lesion regions have particular color (intensity) and shape (spatial) 368 characteristics. The uniqueness cue evaluates how different each respective element is from all the 369 other ones in an image, essentially measuring the relative 'rarity' of each element. The uniqueness 370 cue is also able to detect high similarity between multiple regions in the image and to suppress 371 globally repeated features. The compactness cue renders unique elements more salient when they 372 are grouped in a particular image region, rather than evenly distributed over the whole image. The 373 compactness cue is effective in distinguishing a salient region against background. The UNICOM 374



Figure 10: The relative importance of different features in the proposed method for the detection of different lesions. Left: bright lesion. Right: dark lesion.

Feature notations	Descriptions			
<i>F</i>	Max, min, mean, standard deviation, and entropy on intensity values of			
r_{1-5}	candidate patch in gray level.			
	Max, min, mean, standard deviation, and entropy on intensity values of			
F_{6-20} candidate patch in R, G, B channels.				
F_{21-23}	The color histogram of RGB, hue and saturation.			
<i>F</i>	Max, min, mean, standard deviation, and entropy of the coordinates of			
1 24-28	centroid of candidate patch.			
<i>F</i>	The proposed uniqueness-based, compactness-based and UNICOM fea-			
129-31	ture.			

Table 7: List of feature vectors for classification

³⁷⁵ feature combines the complementarity of uniqueness and compactness measures for a more powerful

³⁷⁶ representation of saliency.

377 6.3 Feature importance analysis

In this section, the importance of the extracted features is investigated, to show the relative 378 contribution of different features to saliency analysis and lesion detection. Totally a set of 31 379 features were stacked vertically to form a feature vector, as shown in Table 7. We measured 380 the AUC scores 31 times for each dataset, omitting each factor in turn from the stacked feature 381 vector for saliency detection via the low-rank matrix recovery. The importance score was estimated 382 as $IS = 1 - SF_n$, where SF_n indicates the AUC score when feature F_n was excluded from the 383 stacked feature vector for saliency analysis and lesion detection. The importance scores were then 384 normalized into [0, 1], where 1 indicates that the feature has the greatest effect on lesion detection, 385 and 0 shows that the feature does not effect on detection performance. Again, the analysis was 386



Figure 11: The detection results of different types of lesion regions by the proposed method: (a) Original images; (b) Manually annotated lesions; (c) Detected regions of interest.

³⁸⁷ undertaken over two separate tasks: detection of bright and dark lesions. The obtained feature
³⁸⁸ importance maps are shown in Figure 10. As expected, the results demonstrate that the uniqueness³⁸⁹ based, compactness-based and UNICOM features are the most important descriptors among these
³⁹⁰ 31 features, as illustrated in green in Figure 10.

391 6.4 Conclusions

Developing the proposed method was motivated by medical demands for effective tools to quantify different types of lesions in retinal images. The accurate detection of retinal lesions is a challenging problem due to variations across patients, image intensity inhomogeneity, irregular shape and appearance of lesions., a novel low-rank-based saliency detection method was proposed to address this challenge, based on the novel UNICOM feature derived from the global intensity and spatial distribution of superpixels of the image.

Our extensive literature review shows that a single reliable method for automated detection of multiple lesions at pixel level is relatively unexplored. To the best of our knowledge, this is the first study on a new technique that is capable of the automated detection of hemorrhages, microaneurysms, exudates, and leakage from both CF and FA images. The experimental results, based on seven publicly-accessible DR and MR datasets, show that our method outperforms the

most recent alternative methods. The proposed method is not only capable of identifying the 403 presence of lesions in an image, but also can accurately locate and measure the size of such lesions. 404 It is interesting to note that the evaluation metrics demonstrate that our method has better 405 performances than the recent attention attracting deep learning-based approaches [33, 32]. It is 406 believed that while the latter focuses on the detection of class-specific features and high classifica-407 tion accuracy, its architecture essential downsampling and upsampling operators imply that it has 408 inherent difficulty in determining the exact location of the features in the original images and thus 409 poor performance in detecting lesion regions at pixel level. 410

As shown in Figure 11, our saliency-driven method can detect both dark and bright lesions with 411 no complicated parameter tuning or training data collection. These lesions may be distinguished 412 by measuring the object size (which separates the MA from the HE), or intensity value (which 413 discriminates between the dark and bright lesions). As may be observed from Figure 11, the 414 proposed method is able to detect the vasculature, optic disc, macular, and abnormalities as 415 salient regions. It is therefore possible that in future work our method might be adapted for other 416 challenging tasks such as retinal vessel segmentation, optic disc detection and macular extraction. 417 Therefore, with the superior performance that we have demonstrated in this paper, it is our belief 418 that the proposed method will be a significant contribution to health informatics and will provide 419 a powerful tool for retinal image analysis and beyond with great potential for improved healthcare 420 and patient benefit. 421

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