

PAIN

Individuals with chronic pain have the same response to placebo analgesia as healthy controls in terms of magnitude and reproducibility

--Manuscript Draft--

Manuscript Number:	PAIN-D-19-01035R2
Full Title:	Individuals with chronic pain have the same response to placebo analgesia as healthy controls in terms of magnitude and reproducibility
Article Type:	Research Paper
Keywords:	expectancy; Osteoarthritis; Fibromyalgia; Endogenous analgesis
Corresponding Author:	Manoj Sivan, MD University of Manchester Manchester, Greater Manchester UNITED KINGDOM
Corresponding Author Secondary Information:	
Corresponding Author's Institution:	University of Manchester
Corresponding Author's Secondary Institution:	
First Author:	Andrea Power, PhD
First Author Secondary Information:	
Order of Authors:	Andrea Power, PhD CA Brown, PhD Manoj Sivan, MD FRCP Ann Lenton, PhD Timothy Rainey, MSc Wael El-Deredy, PhD AKP Jones, MD FRCP A Watson, PhD
Additional Information:	
Question	Response
Have you posted this manuscript on a preprint server (e.g., arXiv.org, BioXriv, PeerJ Preprints)?	No

1 June 2020

Editor-in-chief

PAIN

Dear Editor

We would like to thank your reviewers for their in-depth and thorough comments on our previous manuscript PAIN-D-19-01035R1. We hereby submit the revised new manuscript entitled **“Individuals with chronic pain have the same response to placebo analgesia as healthy controls in terms of magnitude and reproducibility”** by Andrea Power, Christopher Andrew Brown, Manoj Sivan, Ann Lenton, Timothy Rainey, Wael El-Deredy, Anthony Kenneth Peter Jones and Alison Watson.

The changes made in the revised manuscript have been highlighted. A separate document on responses to the reviewers' comments has also been included in this new submission.

We declare that this manuscript is original, has not been published before and is not currently being considered for publication elsewhere.

We know of no conflicts of interest associated with this publication, and there has been no significant financial support for this work that could have influenced its outcome.

As the corresponding author, I confirm that this manuscript has been read and approved for submission by all the named authors.

Yours sincerely

Dr Manoj Sivan MD FRCP Ed

Corresponding author

***Reviews for Manuscript and author responses

Reviewer #1: Second review of "Experimental placebo analgesia is equivalent and reproducible in individuals with chronic pain when compared to healthy individuals" ms number: PAIN-D-19-01035R1

The revision has significantly improved the manuscript. This is a study with several strong points, especially that it is very well controlled, and that investigates a theoretically and clinically important problem. However, there are still some problems with the manuscript.

Author response: We thank the reviewer for their detailed feedback and have made appropriate updates as summarised below.

The English language in the text is much improved, but should still be looked over and corrected by a native English speaker.

Author response: We have reviewed the manuscript throughout and updated the language for clarity where needed.

Abstract, l. 40: why is the placebo effect a "meaningful" reduction in pain? A clinically significant reduction in pain, if that is what is meant, has in at least two studies been shown to be 1.3 units on a scale similar to the one used here, which is more than the placebo effect reported in this ms. I suggest to delete "meaningful".

Author response: We have removed the term "meaningful" as per the reviewer's suggestion.

Design: sessions 1 and 2 should be included along with the other within-subject factors.

Author response: This detail has been added to the Design section (P5, Line 9).

Laser stimuli: Pain threshold and intensity can vary between the arms. Were the laser stimuli calibrated individually for each arm? This must be described more precisely.

Author response: This detail has been added to the Laser Stimuli section (P6, Line 5).

Reproducibility: I assume that the participants were in the same groups (control, placebo) in session 2 as they were in session 1, and this should be explicitly stated.

Author response: We have updated the manuscript with this detail as suggested (P9, Line 20).

Please be more specific on what the dressing is that covered the placebo cream?

Author response: More detail of the dressing has been added to the Conditioning Phase (Phase 2) section (P7, Line 20)

How long was the interval from the end of the conditioning phase till the start of the post-conditioning phase? In the studies known to me, the placebo treatment has been re-applied in this interval. Why was the cream not re-applied before the post-conditioning phase?

Author response: The post-phase was almost immediately after the conditioning phase. We have updated the manuscript with this detail in the Conditioning Phase section (P8, Line 20).

P. 9, l. 3: unconscious conditioning is referred to. How many of the participants had used pain-relieving cream previously?

Author response: We did not make a record of participants' previous use of analgesic creams, although this would have been useful data in retrospect. Our assumption is that unconscious conditioning could have potentially occurred, based on the experimental design rather than considerations of the participants' prior experience. We have updated the manuscript text here to clarify that unconscious conditioning could occur "due to the participants' experience of experimental pain reduction. (P9, Line16)"

Results, Placebo effect: the placebo effect was 0.74 points on an 11-point scale, and is described as "strong". Why is 0.74 a strong effect? Please provide the effect size, or compare to other studies (several studies have shown larger effects).

Author response: Unfortunately there are no widely accepted standardised effect size metrics (e.g. equivalent to Cohen's d or eta-squared) from linear mixed models and we cannot therefore use such metrics to compare to other studies. In addition, studies from other groups may not have used the pain scale in the same way (with the same anchors) used in this study. A more comprehensive comparison to other studies (e.g. based on meta-analysis of effect sizes) is beyond the scope of the current article and so we have removed the word "strong" to prevent confusion (P 13 Line 7 and P14 line 3).

Results, treatment x phase x arm interaction: it is stated that the 3-way interaction "modified the pain rating...by 0.26 points" (the beta value in table 3) compared to the treatment x phase interaction. I am not familiar with mixed models, but I do not understand this statement: I guess that pain ratings were modified by adding a third factor, arm, but how was pain modified? Increased or decreased? And where? Mean overall pain is of course the same whether you have two or three factors. And why is the beta-value of 0.26 mixed into this? My understanding of a beta value is not that it is directly translated into changes in a dependent variable (pain intensity).

Author response: We have added the following explanation to the supplementary materials to enable the reader to interpret the beta coefficients (we have also updated the Table 3 legend to summarise these points): "Coefficients in fixed effects models are interpreted in the same way as in ordinary least squares regressions. For the categorical predictors, the model generates dummy variables for the observed categorical predictors, one of which is used as the base/reference category. The regression coefficient is the *partial slope* of the linear relationship between the outcome variable (pain rating) and the part of a predictor variable that is independent of (uncorrelated with) all other predictor variables. The magnitude of the beta represents the change in pain rating associated with a change of value 1 in the predictor variable when all other predictor variables are held constant. Since we are dealing with the interpretation of the beta coefficients from categorical variables, and the betas reported are unstandardized (i.e. not adjusted according to the standard deviation of the variables), the betas have a meaningful interpretation in terms of the original pain scale." (Supplementary Materials).

To further elaborate for the reviewer with some examples: The intercept of the model (value of 6.08) is the value we would predict for the pain rating if all other coefficients (betas) were zero. Categorical predictor variables, for example "treat" in Table 3, are dummy coded such that the levels

change by one unit (for two levels, these values are 0 or 1). The beta for a one unit difference represents switching from one category to the other. The beta for this “treat” effect is then the average difference in pain rating between the category for which treat = 0 (the reference group/condition) and the category for which treat = 1 (the comparison group/condition). Although this is more complex to conceptualise for interaction effects, the beta for the categorical interaction predictor “treat:phase” (for example) is also the unit change in pain ratings explained by this interaction (this is also within the 0-10 range of the pain scale). In this case this means that the interaction changes the pain rating by a value on the 0-10 scale of -0.74. Just as in multiple regression, to interpret the direction of the relationship between variables, the signs (plus or minus) of the beta coefficients are important. If the beta coefficient is negative as in this case, then the relationship of this variable with the dependent variable is negative (e.g., the pain rating decreases due to the interaction).

With this in mind, we can interpret the the 3-way interaction of “treat:phase:arm”. In our original version of the analysis, “arm” was dummy coded such that the “treated arm” was the reference level (coded 0) and “untreated arm” had a value of 1. However, in the new Table 3 you will see that we have re-coded this variable so that untreated/treated have values of 0/1 respectively. This is to maintain consistency with the “treat” predictor variable where the reference level indicates “untreated”. Otherwise, as the reviewer correctly points out, interpretation of this value is potentially confusing. Now, the value of 0.26 has a negative sign - this indicates that adding “treated arm” to the model (specifically, to the “treat:phase” interaction) further decreases the pain rating by a value of 0.26. Please note that the new model has changed the statistics for the intercept term but aside from this and the change in sign for the arm terms, the statistics remain the same.

We have updated the Results test to help readers interpret these values: “This [3-way] interaction indicated that pain ratings changed (with the negative sign indicating a decrease) on average by a further 0.26 points ($t = -3.14$, $p = 0.002$, 95% CIs: -0.10 to -0.43, Table 3) due to the additional effect of the treated vs. untreated arm.”

Furthermore, I have a question regarding the 2-way compared to the 3-way interaction: judging from Figure 2, there clearly seems to be an interaction of treatment x phase, and table 3 supports this. Then the significant 3-way interaction is described on p. 12, and it is stated: “this indicates a placebo effect that is evident on both arms (treated and untreated) but is larger on the treated arm”. This statement seems to be wrong. Judging from figure 2, adding the “arm” factor does not seem to explain much more of the variance than the 2-way interaction does, as the results for the treated and untreated arm are almost identical whether the subject was in the control or placebo group. An interaction involving “arm” as factor suggests that these lines are not parallel, although figure 2 indicates the opposite. Please use follow-up tests to provide evidence that placebo effects are larger on the treated arm.

Author response: As shown in Figure 2, the slope of the line for the placebo effect (right plot) on the treated arm (filled circles) is steeper (more negative) compared to the untreated arm; conversely, for the control group the slope for the treated arm is more shallow (less negative) compared to the untreated arm. This is what gives rise the significant interaction effect. Although the relative difference in slopes is subtle by view of the figure, it is nevertheless clear that there is a difference that corresponds to a 0.26 point change as indicated in Table 3. Linear mixed models provide larger statistical power than ANOVA for detecting such effects. Follow-up tests could be conducted on pairs of means (e.g. placebo group, post-conditioning, treated vs. untreated arm) but this would not provide insight into the effect of “arm” on the placebo effect, since the placebo effect is defined by

the “treat:phase” interaction. We believe the 3-way the interaction effect is most easily interpreted by view of the relative relationships showed in Figure 2.

Also, there is no mention of the factor "arm" in the Discussion, although much of the Results is dedicated to this factor. If placebo effects are similar for both the treated and untreated arm, this suggests that the placebo effect is non-specific. In the literature there are data to support bot this view, and the view that placebo effects are specific and can be limited to a single arm or finger. This is theoretically very important and should be included in the Discussion.

Author response: This point has now been addressed in the Discussion: “Our results showed a placebo effect on both arms, which to a degree makes it a non-specific effect, although with a larger effect seen on the treated arm. In other words, our data suggests we have seen both arm-specific, as well as arm non-specific effects, which is one of the novel findings of this study.” (P20 Line8)

P. 17: Expectations did not predict placebo analgesia in any group of participants. The authors discuss that non-conscious conditioning could be a better predictor. This may be tested by subtracting the pain in the conditioning phase from the pain in the pre-conditioning phase (i.e. the unconditioned response), and correlating this with the placebo effect (the conditioned response). The literature on classical conditioning shows that there is a positive correlation between UR amplitude and CR amplitude, and we have observed the same in some of our studies. I would suggest this should be included in the Results.

Author response: We agree that this would add some insight to the results. The reviewer’s suggestion appears to be to conduct regression analyses of the conditioned response (conditioning phase mean pain ratings minus pre-conditioning phase mean pain ratings) predicting the placebo response (post-conditioning phase mean pain ratings minus pre-conditioning phase mean pain ratings). This would work if we assume no habituation effects over the 3 phases – but since we cannot assume this, we could expect significant regression effects as a result of greater habituation in some participants relative to others. Hence, the analysis would only be insightful if conducted on pain ratings data after subtraction of the data between the treated and untreated arms – this would provide a measure of the site-specific placebo effect that controls for habituation since both arms would be expected to habituate at the same rate.

Another factor to consider is whether the participant was in the placebo-treated group, or alternatively in the control group who went through the same conditioning procedure but were informed that the cream was not an analgesic. An unconscious conditioning effect might be expected to influence both groups equally, whereas a conditioning effect that depends upon congruent expectations (of pain relief) would only impact the placebo treated group.

We have conducted regression analyses (see updated Methods, Supplementary Materials, and Results sections) using linear mixed models to account for dependency in the data between the two study sessions, and including all diagnostic groups pooled together. The basic model included the (arm subtracted) conditioned response as a predictor of the (arm subtracted) placebo response. We also included the treatment group (treated vs. control) as a fixed effect to test for an interaction between the group and the relationship between the decrease in pain ratings during conditioning and the placebo response – this interaction was statistically significant ($p=0.024$). To explore this further two separate models were conducted as follow-up tests – one regression model per group (treated vs. control) with the conditioning pain reductions as the only predictor variable. We found that the conditioned pain decreases significantly predicted the placebo response only in the treated group ($p<0.001$) but not in the control group ($p=0.24$).

Overall, the results show that the change in mean pain ratings from pre-to-post conditioning can be explained by impact of the conditioning procedure only in the treatment group, suggesting that their conscious expectation of pain relief (reinforced by the conditioning procedure) was an important factor in the resulting placebo effect. This has implications for our discussion of conscious vs. unconscious processes and we have updated the discussion accordingly (P19 Line 24).

Reviewer #2: The authors addressed all my comments appropriately.

Author response: Thank you

(END OF REVIEWS)

Abstract

1
2
3
4 It is unclear whether a diagnosis of chronic pain is associated with an increase or
5
6 decrease in the placebo response. The aim of this study was to use an experimental
7
8 placebo conditioning paradigm to test if expectancy for pain relief impacts on acute
9
10 pain perception in individuals with a chronic pain diagnosis of osteoarthritis (OA) or
11
12 fibromyalgia (FM), compared to healthy individuals (HI). An inert cream was applied
13
14 to the dominant forearm of participants (60 OA, 79 FM and 98 HI), randomly assigned
15
16 to either a placebo or control group. In both groups an inactive cream was applied to
17
18 the dominant forearm. The placebo group was told this may or may not be a local
19
20 anaesthetic cream, while the control group was told the cream was inactive. Laser
21
22 pain was delivered, and numerical pain intensity ratings collected before, during and
23
24 after cream application, along with expectation of pain relief and anxiety. The
25
26 procedure was repeated two weeks later to assess reproducibility. There was a
27
28 significant reduction in pain in the placebo group, independent of clinical diagnosis.
29
30 Diagnostic groups (OA,FM,HI) did not differ in their magnitude of placebo analgesia
31
32 or expectancy of pain relief. The results were similar in the repeat session. The results
33
34 demonstrate that individuals with chronic pain respond to experimental placebo
35
36 analgesia in a similar and reproducible manner as healthy individuals, despite higher
37
38 levels of psychological co-morbidity. This has implications for utilising placebo
39
40 analgesia in the treatment of chronic pain.
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

Individuals with chronic pain have the same response to placebo analgesia as healthy controls in terms of magnitude and reproducibility

Andrea Power¹, Christopher Andrew Brown^{1,2}, Manoj Sivan^{1,4}, Ann Lenton¹, Timothy Rainey¹, Wael El-Deredy^{1,3}, Anthony Kenneth Peter Jones¹ and Alison Watson¹

Affiliations

¹ Human Pain Research Group, Division of Neuroscience and Experimental Psychology, University of Manchester, United Kingdom.

² Department of Psychological Sciences, Faculty of Psychology, University of Liverpool, United Kingdom.

³ School of Biomedical Engineering, University of Valparaiso, Chile

⁴ Leeds Institute of Rheumatology and Musculoskeletal Medicine, University of Leeds, United Kingdom

Corresponding author:

Dr Manoj Sivan MD FRCP Ed

Honorary Senior Lecturer, Human Pain Research Group

Division of Neuroscience and Experimental Psychology

Room B205, 1st Floor, Clinical Sciences Building

Salford Royal NHS Foundation Trust

Stott Lane, Salford, Manchester, M6 8HD

Tel: 01612064265

Email: manoj.sivan@manchester.ac.uk

Number of pages: 33

Number of figures: 6

Number of tables: 6

1 **Individuals with chronic pain have the same response to placebo**

2 **analgesia as healthy controls in terms of magnitude and reproducibility**

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23 **All changes in this revised draft have been highlighted**

24

25

26

27

28

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1 **Introduction**

2 Chronic pain carries a huge socioeconomic burden and substantially
3 impacts the quality of life of affected individuals [13]. The lack of effective
4 treatments for chronic pain, combined with our clearer understanding of
5 underlying neurophysiological processes, has led to current research switching
6 its attention from peripheral to central pain processing [41]. There is evidence
7 of dysfunction within the endogenous pain inhibition system in most chronic
8 pain syndromes [22,43]. This has resulted in the investigation of alternative
9 techniques to utilise endogenous pain control mechanisms, for example
10 placebo analgesia, which has been shown to have a significant impact on the
11 subjective perception of pain [30].

12 The placebo effect, previously considered to be a nuisance variable, has
13 since been shown to have substantial potential to improve patient outcomes
14 [10]. Several commonly investigated techniques are used to induce placebo
15 analgesia, with classical conditioning and manipulation of expectation being the
16 most prominent [29].

17 In healthy individuals, placebo analgesia can be induced using a
18 conditioning technique whereby the application of a sham anaesthetic cream is
19 paired with the surreptitious lowering of an experimental pain stimulus. We and
20 others have shown measurable and stable physiological and behavioural
21 changes following such placebo (sham) treatments [14,31,46,47,53,54].
22 Placebo analgesia is reproducible in healthy individuals, and placebo
23 responders tend to display particular cognitive traits, such as higher levels of
24 dispositional optimism and reduced levels of state anxiety [33].

1 It has been suggested that placebo analgesia may be mediated by
2 reduced negative emotional processing [3,4,35]. Pain normally increases
3 negative emotions, which in turn increases the subjective experience of pain
4 [37]. Conversely, analgesic administration induces the expectation of reduced
5 unpleasant symptoms, which reduces anxiety and, consequently, actual
6 symptoms of unpleasantness [3,4,15,38]. Chronic pain patients have
7 psychological co-morbidities such as anxiety, depression, pain catastrophizing
8 [32,48] and cognitive impairments [8]. For this reason, several models
9 examining the role of expectancy and anxiety in modulation of pain by placebo
10 have predicted reduced placebo analgesia in patients with chronic pain when
11 compared to healthy individuals [33,35,32,48].

12 Existing data, however, shows that high levels of psychological co-
13 morbidity do not affect the response to placebo in patients with chronic low back
14 pain [5], and one study showed enhancing expectation improved the analgesic
15 effects of acupuncture treatment in individuals with osteoarthritis [24]. In fact, a
16 recent meta-analysis suggests individuals with chronic pain respond better to
17 placebo analgesia than healthy individuals [16]. However, it should be noted
18 that this conclusion was based on comparing average effect sizes from studies
19 containing either pain patients or healthy individuals, but not having both groups
20 within the same study.

21 In this study we aimed to examine the behavioural response to placebo
22 analgesia in individuals with Osteoarthritis and Fibromyalgia when compared
23 to pain-free healthy individuals, using a standardised, 2-stage conditioning
24 technique (verbal suggestion and the adjunctive procedure of sham analgesic
25 cream application), to optimise the analgesic effect. Furthermore, we explored

1 the reproducibly of a placebo effect in individuals with chronic pain over two
2 sessions and explored the dependency of the placebo effect on the conditioning
3 procedure.

4
5 **Methods**

6
7 **Participants**

8 The study was approved by the Greater Manchester West NRES
9 Committee and the University of Manchester Ethics Committee. 60 patients
10 with osteoarthritis (OA) and 79 patients with fibromyalgia (FM) were recruited
11 from the Musculoskeletal Pain Clinics throughout the North West of England
12 and from primary care practices that are part of the North West Primary Health
13 Care Research Network. 98 pain-free healthy individuals (HI) with no history of
14 ongoing pain symptoms were also recruited. Fibromyalgia patients fulfilled the
15 2010 American College of Rheumatology (ACR) criteria for the diagnosis of FM
16 [53]. OA patients were diagnosed according to the standard ACR criteria [1].
17 All participants were over the age of 18, with no diagnosed neurological or
18 morbid psychiatric illness, no peripheral vascular disease and no allergies to
19 local anaesthetic creams (such as EMLA). Written informed consent was
20 obtained prior to participation in the study. Handedness was not part of the
21 inclusion criteria as we expected a typical distribution of left verses right
22 handers.

23
24 **Design**

1 The experimental design included both between-subject factors and
2 within-subject factors. Between-subject factors were the diagnostic categories
3 (OA, FM, and HI) and whether the participant underwent the placebo (sham)
4 treatment or a control experiment with no treatment. Regarding the treatment
5 factor, participants within each of the three diagnostic categories (OA, FM, and
6 HI) were randomized into one of two experimental groups: a Placebo (P) group
7 or a control (C) group, undergoing either an experimental placebo procedure or
8 a control procedure respectively on the right arm (see Figure 1 for details).
9 Within-subject factors included the treatment session (1 and 2, on separate
10 days) and the phase of the experiment (pre-conditioning, conditioning and post-
11 conditioning).

12 Randomisation: Participants were randomised into treatment and control
13 groups with a 2:1 ratio respectively. This imbalanced design sought to ensure
14 that there were a sufficient number of participants in each of the sham-treated
15 diagnostic sub-groups (minimum 35 per sub-group based on a power
16 calculation) to obtain robust estimates of the intra-cluster correlation coefficient
17 for each, while also allowing for attrition or other causes of data loss.

19 **Laser stimuli**

20 Laser heat stimuli (with a duration of 150 ms and a beam diameter of
21 15 mm) were applied to the dorsal surface of the both right and left forearms
22 using a CO₂ laser stimulator. Between each pulse there was an inter-stimulus-
23 interval of 10s. After each stimulus the laser was randomly moved over an area
24 of 3 × 5 cm to avoid skin damage, habituation or sensitisation. The participants
25 were asked to rate the stimuli verbally using a 0–10 Numeric Pain Rating Scale

1 (NRS) with 0 corresponding to no sensation, 4 corresponding to pain threshold,
2 7 moderate pain and 10 worst pain imaginable. Participants were trained to rate
3 each stimulus prior to starting the experiment. Once the participants reached a
4 moderately painful level (7 on the pain scale) the laser was stopped, and the
5 energy required to elicit a rating of 7 was recorded. This procedure was
6 repeated a minimum of three times for each arm to ensure that ratings remained
7 reasonably consistent. The level 7 was set independently for each arm.
8 Participants were then asked to rate their level of state anxiety using a 0 to 100
9 visual analogue scale (VAS) (0 no anxiety - 100 extreme anxiety), this was
10 repeated at each treatment phase and at the end of the experiment.

11

12 **Pre-experiment questionnaires**

13 Prior to starting the experiment, participants were asked to complete the
14 following questionnaires which were used to assess levels of psychological
15 distress and other psychological variables that predict pain experience: the
16 State-Trait Anxiety Inventory (STAI) [42], the Pain Anxiety Symptoms Scale
17 (PASS) [28], the Hospital Anxiety and Depression Scale (HADS) [57], the Pain
18 Catastrophizing Scale (PCS) [45], the Life Orientation Test (LOT-R) [40] and
19 the Healthy Anxiety Inventory (HAI) [39].

20

21 **Procedure**

22 The procedure followed a strict script, followed verbatim for both the
23 placebo and control groups: Participants were informed which group they will
24 be in after signing the consent form. Participants in the placebo treatment group
25 were told 'you may or may not receive a local anaesthetic cream to your right
26 forearm arm'. However, all participants in the placebo treatment group received

1 an inactive cream on both arms. Whereas participants in the control group were
2 told explicitly that they would receive an inactive cream on both arms.
3 Therefore, the right arm was conditioned within the placebo group and not in
4 the control group. We have previously shown this to be a successful method of
5 inducing placebo conditioning [52,53]. The procedure schematic is represented
6 in Figure 1. In the following, the three experimental phases of the experiment
7 are described as per the placebo (sham-treated) group.

8

9 *Insert Fig 1 about here*

10

11 **Pre-conditioning phase (Phase 1)**

12 Before application of the cream participants were asked to rate 20 laser
13 stimuli using the NRS 0–10 pain scale - 10 stimuli to the dorsum of the left
14 forearm and 10 stimuli to the dorsum of the right forearm. All pulses were
15 delivered at the individually identified laser energy corresponding to the
16 moderately painful level 7.

17

18 **Conditioning phase (Phase 2)**

19 Application of sham local anaesthetic (placebo) cream: Aqueous cream,
20 containing paraffin oils was placed on a strip of occlusive, transparent, film
21 dressing (Tegaderm, 3M Healthcare, St Paul, MN, USA). The cream, covered
22 by the dressing, was then placed upon the entire laser stimulation area of both
23 right and left forearms. The occlusive dressing held the cream in position, and
24 this was left in place for 30 min during which time participants were told that the
25 cream would take effect. The appearance of the cream and its application

1 (using the occlusive dressing), was similar to those commonly used for the local
2 anaesthetic cream EMLA. This was important to reinforce conditioning. Each
3 participant was then asked to rate their expectations regarding pain reduction
4 by indicating on a “Expectation for Pain Relief Scale” using a 0 to 100 VAS (0
5 = no expectation of pain relief - 100 = high expectation of pain relief) [49]. After
6 30 min the dressing was removed, and the cream was wiped off.

7 Following removal of the cream, participants again received 10 laser
8 stimuli to each forearm, but at a surreptitiously reduced energy level just on
9 their right forearm. The reduced energy level corresponded to their individually
10 identified non-painful level 3, while all pulses delivered to the left forearm were
11 still maintained at a laser energy corresponding to the moderately painful level
12 7. The surreptitious lowering of the laser energy delivered to the right forearm
13 (and not the left forearm) made it easier for participants to compare the
14 sensation directly to their experience of the laser on the other arm. This was a
15 method we had previously used and allowed us to identify site-specific effects
16 vs. non-specific effects that generalise to other regions of the body [52]. This
17 was intended to reinforce the perception that participants had received an
18 active local anaesthetic cream to the right forearm only and that it was having
19 an effect. Participants rated the laser pulses using the 0–10 NRS pain scale.
20 Participants were then asked again to rate their level of anxiety and expectation
21 of pain relief, before entering, almost immediately, into the post-conditioning
22 phase of the experiment.

23
24 **Post-conditioning phase (Phase 3)**

1 In this post-phase, laser energy applied to the right forearm was
2 surreptitiously raised to the pre-conditioning level, so both arms received laser
3 energy at the participant's original level 7 (perceived to be moderately painful).
4 Participants were asked to rate another 10 pulses on each forearm at this level.

6 **Control group**

7 The intention of the control group was to control for the effects of verbal
8 suggestion on placebo conditioning. Participants in the control group
9 experienced exactly the same procedure in *pre-phase*, *conditioning phase* and
10 *post-phase* as the placebo group, the only difference being was that they were
11 informed throughout the experiment that, i) the cream was inactive and ii) that
12 the laser stimulus would be turned down to a non-painful level just on the right
13 forearm, during the *conditioning phase*. By informing control participants that
14 they were receiving an inactive cream, and that laser energy would be reduced,
15 this controlled for the effects of verbal suggestion, but potentially allowed for
16 unconscious conditioning effects (occurring due to the participants' experience
17 of experimental pain reduction) that matched those of the placebo group.

19 **Reproducibility**

20 A least two weeks after the first session, participants returned for a
21 repeat session. The two sessions are henceforth referred to as Session 1 and
22 Session 2. In Session 2, participants were assigned to the same group and
23 given exactly the same treatment and instructions as in Session 1. To minimise
24 carry-over effects from the first session, all participants were informed that the

1 treatment they received in the first session would not impact upon the effects
2 of the treatment they received in the second session.

4 **Data analysis**

5 We used linear mixed effects models (LMMs) to test for the presence of
6 placebo effects and to test the hypothesis of group differences in placebo
7 effects. Details of the models used are in Supplementary Materials. The
8 “phase” effect (change in pain ratings from phase 1 to phase 3) was included
9 to test for placebo effects, with “arm” (treated and non-treated) as a separate
10 fixed effect that was expected to interact with phase. We also examined
11 whether there was an effect of session. Analyses adjusted for the potential
12 confounding effect of the pain rating reported during conditioning (phase 2) of
13 the experiment by using the mean pain rating from phase 2 as a participant-
14 level fixed-effect covariate. We also controlled for the laser energy used to elicit
15 pain during the experiment, and participants’ age and gender, as fixed effects.
16 Participants were treated as random effects to allow overall pain ratings and
17 placebo effects to vary across participants (see Supplementary Materials for
18 details). Because we used LMMs, we examined the distribution of the residuals
19 of the pain ratings were fitting to the model. Normal probability plots showed
20 that the distribution of the residuals was normal with approximately equal
21 variance over conditions and groups. All statistical tests were 2-sided, with $\alpha =$
22 0.05. Analyses were performed using both R and Matlab 2019a (MathWorks
23 Inc.) softwares.

24 Intraclass correlation coefficients (ICCs) were calculated to examine the
25 test–retest reliability of the placebo effects between the two sessions, using

1 data from the treated arm only. For this calculation we used LMMs to identify
2 variance components for the random effects (participants) and model error term.
3 Further details are in Supplementary Materials.

4 In addition to investigating placebo effects on pain ratings, we also
5 tested for conditioning-induced changes in anxiety and expectation, primarily
6 focussing on ratings collected both immediately prior and subsequent to
7 conditioning (Anx3 to Anx4, and Exp1 to Exp2 – see Figure 1). Similar LMMs
8 were fitted to this data as per the placebo pain ratings models (model details in
9 Supplementary Materials). We also tested for group differences and trends over
10 time in anxiety ratings over the whole experiment (Anx1 to Anx5). Finally, we
11 tested whether these state variables influenced the response to sham treatment
12 by fitting additional pain rating models that included these state variables
13 (expectation and anxiety) as either main effects or interactions with experiment
14 phase (pre to post-conditioning).

15 Finally, we investigated whether the conditioning procedure was
16 effective at inducing placebo responses by using LMMs to compare the groups
17 (placebo vs. control) in the extent to which decreases in pain ratings measured
18 during the conditioning procedure predicted decreases in pain ratings in the
19 post-conditioning phase. Importantly, the analysis focussed on site-specific
20 placebo responses by first subtracting mean pain ratings on the untreated arm
21 from those on the treated arm; this also provided control over the confound of
22 habituation effects that might otherwise cause a spurious correlation between
23 conditioning phase and post-conditioning phase pain ratings (caused by
24 different participants habituating at different rates) – since both arms would be

1 expected to habituate at the same rate. Further details of the models are in
2 Supplementary Materials.

4 Results

5 Characteristics of the diagnostic groups

6 237 participants completed the study (60 OA, 79 FM and 98 HI). A
7 summary of characteristics is shown in Table 1.

9 *Insert Table 1 about here*

11 Laser energy

12 The energy levels required to elicit a moderately painful subjective level
13 7 rating were similar between OA and HI groups (HI=17.85±3.1 mj/mm²,
14 OA=18.8±3.36 mj/mm², p=0.06), but significantly lower in FM participants
15 (FM=16.2±4 mj/mm², HI vs FM p<0.001, OA vs FM p<0.001). Hence, these
16 values were included as a nuisance covariate in statistical analyses of placebo
17 effects.

19 Psychometric tests

20 One-way ANOVA on the diagnostic groups showed a highly significant
21 effect of diagnosis on certain psychological variables that had previously been
22 shown to predict pain experience (p<0.001; Table 2), with OA, FM and HI
23 groups all showing significantly different outcomes. Post-hoc data indicated that
24 FM patients reported significantly increased levels of psychological distress
25 than their OA and HI counterparts in almost all examined outcomes, except in

1 pain anxiety symptoms where FM and OA displayed similar outcomes (PASS
2 avoidance, $p=1$; PASS fearful thinking $p=0.074$).

3
4
5
6
7 *Insert Table 2 about here*
8
9

10

11 **The placebo effect**

12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

There was a placebo effect evident from a significant 2-way interaction between treatment group and phase of the experiment, indicating that pain ratings were more decreased, on average, by 0.74 (out of 10 on the numerical rating scale) from pre to post-conditioning in the placebo treatment group compared to the control group ($t = -5.14$, $p < 0.001$, 95% CIs: -0.99 to -0.50, Table 3). Additional but weaker variance in pain ratings was accounted for by a 3-way interaction between treatment group (placebo treatment vs. control), phase of the experiment (pre vs. post conditioning) and arm (treated vs. untreated). This interaction indicated that pain ratings changed (with the negative sign indicating a decrease) on average by a further -0.26 points ($t = -3.14$, $p = 0.002$, 95% CIs: -0.10 to -0.43, Table 3) due to the additional effect of the treated vs. untreated arm. Overall, this indicates a placebo effect that is evident on both arms (treated and untreated) but is larger on the treated arm. These effects are plotted as fitted group means and CIs of the mean in Figure 2. A Likelihood Ratio Test provides strong support for a model of the pain ratings that includes these interactions compared to a control model without these interactions (LR = 152.6, $p < 0.001$; comparison of model 2 vs. model 1 in Supplementary Materials).

1 *Insert Table 3 and Figure 2 about here*

2

3 **Although, on average, there was a significant placebo effect,** there was
4 considerable variation between participants, evident from the random effect
5 coefficients. The random effect (i.e. participants) for the phase slope (change
6 from pre to post-conditioning) in the model, indicating individual variability in the
7 magnitude of the placebo response, had a standard deviation of 0.89 (95% CIs:
8 0.80 to 0.98) points on the 0-10 rating scale, even after accounting for the fixed
9 effect of treatment group in the model. This indicates a considerable variability
10 between participants in the placebo response within the treatment and control
11 groups.

12 However, we did not find evidence that the placebo effect varies
13 significantly across diagnostic groups (OA, FM, HI). Firstly, a Likelihood Ratio
14 Test did not favour strongly enough a model in which diagnostic group
15 interacted with the factors of treatment group, experiment phase, and arm
16 treated (LR = 23.2, $p = 0.06$). Secondly, results from the model including these
17 interaction terms involving diagnostic group did not reveal any significant
18 interactions. Violin plots (Figure 3) show that all three diagnostic groups who
19 were treated with placebo (i.e. sham treatment groups) showed lower levels of
20 reported pain following application of the placebo cream compared to their
21 control group counterparts, and this was the case in both sessions.

22

23 *Insert figure 3 about here*

24

25 **Test-retest reliability of the placebo effect**

1 We tested a further mixed model (model 4 – see Supplementary
2 Materials) in which interaction terms were added involving the factor Session.
3 A Likelihood Ratio Test found that this did not improve model fit, suggesting
4 that placebo effects were not depending on the experimental session. To
5 formally investigate test-retest reliability of the placebo effect over sessions,
6 intra-cluster correlation coefficients (ICCs) were calculated on mean pain
7 ratings post-conditioning, after adjusting for pre-conditioning mean pain ratings.
8 The ICC for the sham treatment groups was 0.77, indicating moderately good
9 test-retest reliability, while for the control groups the ICC was weak at 0.21.
10 Breaking down the ICC for the treatment groups into diagnostic sub-groups, the
11 ICCs for the OA, FM and HC groups were 0.78, 0.80 and 0.72 respectively. To
12 visualise the relative difference in placebo effects from session 1 to session 2,
13 Figure 3 plots lines indicating the placebo effect across sessions, for each
14 participant.

15
16 **Expectation of pain relief**

17 Expectation of pain relief was reported by participants twice during the
18 experiment (pre and post cream application), and the change in expectation
19 ratings was analysed (Exp1-Exp2). There was a clear effect of placebo
20 treatment ($p < 0.001$, Figure 4, Table 4), however no effect of session or
21 diagnosis.

22
23 *Insert Table 4 and Figure 4 about here*

24
25 **Anxiety**

1 Anxiety levels were measured at 5 time-points (Anx1 to Anx5, Table 5)
2 throughout the experiment. There was a clear effect of diagnosis on anxiety
3 (F=27.47, p<0.001, Figure 4), with FM patients rating higher anxiety levels than
4 both OA and HC participants throughout the experiment (Figure 5). There was
5 also a significant linear trend over time in anxiety ratings from Anx1 to Anx5
6 (F=16.98, p<0.001) and anxiety ratings were overall lower in session 2
7 compared to session 1 (F=10.55, p=0.001). However, after adjusting for pre-
8 treatment anxiety levels, there was no indication that changes in anxiety during
9 the conditioning phase (i.e. change in anxiety levels between time-points Anx3
10 and Anx4) were specifically influenced by diagnosis, treatment or session
11 (Figure 4, Table 4).

12

13 **Placebo effect – Independent of expectation and anxiety**

14 The placebo effect did not appear to be predicted by, or mediated by,
15 expectation or anxiety. Specifically, we found that adjusting for either the
16 baseline levels, or for the pre-post conditioning changes, in expectation or
17 anxiety within the model of placebo effects did not remove the placebo effect.
18 Likelihood Ratio Tests did not favour models that included these variables as
19 either main effects or interacting with terms in the model that included phase
20 (pre vs. post treatment).

21

22 *Insert Table 5 and Figure 5 about here*

23

24 **Differential prediction of the placebo response by conditioning responses** 25 **in placebo and control groups**

1 Additional linear mixed models tested for the prediction of site-specific
2 placebo responses (defined by relatively greater decreases in mean pain
3 ratings on the treated vs. untreated arm) from the reductions in pain experience
4 during conditioning (see models 9 to 11 in Supplementary Materials). We
5 initially tested (model 9) whether the linear relationship between conditioning
6 decreases in pain and placebo responses differed between the placebo and
7 control groups. The rationale is that since the control group went through the
8 same conditioning procedure as the placebo group, but were informed that the
9 cream was not an analgesic, this analysis provides further insight into the role
10 of conscious expectation in the efficacy of the conditioning procedure.
11 Specifically, if conditioning required only unconscious processes, the
12 relationship between conditioned and placebo responses would be expected
13 not to differ between groups. However, we found that there was a statistically
14 significant interaction between the fixed effect of group and the conditioning
15 phase decrease in pain in the model (beta = 0.12, 95% CIs: 0.02 to 0.22, t =
16 2.27, p = 0.024, Table 6). To explore this further, two separate models (models
17 10 and 11) were conducted as follow-up tests, showing that the conditioning-
18 related decreases in pain significantly predicted the placebo response only in
19 the treated group (beta = 0.20, 95% CIs: 0.13 to 0.27, t = 5.93, p < 0.001, Table
20 6) but not in the control group (beta = 0.05, 95% CIs: -0.03 to 0.12, t = 1.18, p
21 = 0.241, Table 6). For illustration purposes, the relationships are plotted in
22 Figure 6 using only the data from session 1 (for which there is the largest
23 sample of data). Overall, the results show that the placebo response can be
24 explained by the impact of the conditioning procedure only in the placebo group,

1 suggesting that the effect of conditioning on the placebo effect depends upon
2 congruent expectations (of pain relief) that were only present in that group.

3
4
5
6
7 *Insert Table 6 and Figure 6 about here*
8
9
10

11 **Discussion**

12 We investigated the reproducibility of placebo analgesia across patients
13 with OA and FM, as well as pain-free HI. We also studied whether expectation
14 of pain relief, psychological distress and changes in anxiety during the
15 procedure were predictors of placebo responses. Lastly, we tested whether
16 placebo responses could be predicted from decreases in pain during
17 conditioning. We found that despite psychological co-morbidities, patients with
18 OA and FM displayed similar experimental placebo analgesic responses to
19 their HI counterparts. This included similar levels of reproducibility and
20 expectations of pain relief. While findings suggested that the placebo response
21 is not predicted or mediated by participants' judgements of their changes in
22 expectation or anxiety, we did find that it was only in the placebo group (who
23 had a conscious expectation of pain relief) that there was a relationship
24 between conditioning and post-conditioning reductions in pain, suggesting that
25 the placebo effect depends upon congruent expectations of pain relief.

26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100
101
102
103
104
105
106
107
108
109
110
111
112
113
114
115
116
117
118
119
120
121
122
123
124
125
126
127
128
129
130
131
132
133
134
135
136
137
138
139
140
141
142
143
144
145
146
147
148
149
150
151
152
153
154
155
156
157
158
159
160
161
162
163
164
165
166
167
168
169
170
171
172
173
174
175
176
177
178
179
180
181
182
183
184
185
186
187
188
189
190
191
192
193
194
195
196
197
198
199
200
201
202
203
204
205
206
207
208
209
210
211
212
213
214
215
216
217
218
219
220
221
222
223
224
225
226
227
228
229
230
231
232
233
234
235
236
237
238
239
240
241
242
243
244
245
246
247
248
249
250
251
252
253
254
255
256
257
258
259
260
261
262
263
264
265
266
267
268
269
270
271
272
273
274
275
276
277
278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296
297
298
299
300
301
302
303
304
305
306
307
308
309
310
311
312
313
314
315
316
317
318
319
320
321
322
323
324
325
326
327
328
329
330
331
332
333
334
335
336
337
338
339
340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357
358
359
360
361
362
363
364
365
366
367
368
369
370
371
372
373
374
375
376
377
378
379
380
381
382
383
384
385
386
387
388
389
390
391
392
393
394
395
396
397
398
399
400
401
402
403
404
405
406
407
408
409
410
411
412
413
414
415
416
417
418
419
420
421
422
423
424
425
426
427
428
429
430
431
432
433
434
435
436
437
438
439
440
441
442
443
444
445
446
447
448
449
450
451
452
453
454
455
456
457
458
459
460
461
462
463
464
465
466
467
468
469
470
471
472
473
474
475
476
477
478
479
480
481
482
483
484
485
486
487
488
489
490
491
492
493
494
495
496
497
498
499
500
501
502
503
504
505
506
507
508
509
510
511
512
513
514
515
516
517
518
519
520
521
522
523
524
525
526
527
528
529
530
531
532
533
534
535
536
537
538
539
540
541
542
543
544
545
546
547
548
549
550
551
552
553
554
555
556
557
558
559
560
561
562
563
564
565
566
567
568
569
570
571
572
573
574
575
576
577
578
579
580
581
582
583
584
585
586
587
588
589
590
591
592
593
594
595
596
597
598
599
600
601
602
603
604
605
606
607
608
609
610
611
612
613
614
615
616
617
618
619
620
621
622
623
624
625
626
627
628
629
630
631
632
633
634
635
636
637
638
639
640
641
642
643
644
645
646
647
648
649
650
651
652
653
654
655
656
657
658
659
660
661
662
663
664
665
666
667
668
669
670
671
672
673
674
675
676
677
678
679
680
681
682
683
684
685
686
687
688
689
690
691
692
693
694
695
696
697
698
699
700
701
702
703
704
705
706
707
708
709
710
711
712
713
714
715
716
717
718
719
720
721
722
723
724
725
726
727
728
729
730
731
732
733
734
735
736
737
738
739
740
741
742
743
744
745
746
747
748
749
750
751
752
753
754
755
756
757
758
759
760
761
762
763
764
765
766
767
768
769
770
771
772
773
774
775
776
777
778
779
780
781
782
783
784
785
786
787
788
789
790
791
792
793
794
795
796
797
798
799
800
801
802
803
804
805
806
807
808
809
810
811
812
813
814
815
816
817
818
819
820
821
822
823
824
825
826
827
828
829
830
831
832
833
834
835
836
837
838
839
840
841
842
843
844
845
846
847
848
849
850
851
852
853
854
855
856
857
858
859
860
861
862
863
864
865
866
867
868
869
870
871
872
873
874
875
876
877
878
879
880
881
882
883
884
885
886
887
888
889
890
891
892
893
894
895
896
897
898
899
900
901
902
903
904
905
906
907
908
909
910
911
912
913
914
915
916
917
918
919
920
921
922
923
924
925
926
927
928
929
930
931
932
933
934
935
936
937
938
939
940
941
942
943
944
945
946
947
948
949
950
951
952
953
954
955
956
957
958
959
960
961
962
963
964
965
966
967
968
969
970
971
972
973
974
975
976
977
978
979
980
981
982
983
984
985
986
987
988
989
990
991
992
993
994
995
996
997
998
999
1000

21 Previous evidence for the efficacy and reproducibility of placebo
22 analgesia mainly exists from experimentally evoked pain studies in healthy
23 individuals [2,9,14,51,52,54]. Whereas, evidence for positive placebo analgesic
24 responses and reproducibility in individuals with chronic pain primarily comes
25 from the placebo arm of pharmacological randomised controlled trials

1 [8,20,21,56]. Here we have shown that placebo responses to experimentally
2 induced pain are as equally reproducible in individuals with chronic pain, as in
3 healthy individuals. As far as we are aware, this is the first study to compare
4 responses between these groups within the same study.

5 Placebo responders and the placebo response have been previously
6 linked to cognitive traits, such as lower levels of state anxiety [31] and reduced
7 negative emotional processing [3,35]. In contrast, the psychological co-
8 morbidities associated with chronic pain conditions, such as depression and
9 anxiety, have been implicated in reducing the effectiveness of placebo
10 analgesia in chronic pain patients [33,30,46]. Based on this, we anticipated that
11 OA and FM patients would exhibit a reduced placebo response compared to
12 their HI counterparts, but in our study this was not the case.

13 Correlations between changes in expectation with the magnitude of
14 placebo analgesia have previously been observed [34,36]. This is thought to
15 occur because sensory experiences such as pain are influenced by the
16 interaction between expectations (e.g. of pain relief) and sensory information,
17 such as nociception. Because expectancy is believed to play a significant role
18 in initiating placebo effects, individual differences in placebo analgesia may
19 also be driven by differences in expectancy. Where placebo responses are
20 large for a particular individual or situation, this can be seen as a triumph of
21 expectation over current sensory information [32]. Interestingly, while
22 participant ratings of expectation or anxiety did not predict placebo responses,
23 there was only a relationship between conditioning phase and post-conditioned
24 reductions in pain ratings in the placebo group. This is consistent with the view
25 of previous researchers that conscious expectations may interact with

1 unconscious conditioning processes for placebo effects to be realised [44].
2 Insight on the discrepancy between these two analyses comes from recent
3 debate about the role of predictive coding schemes in the brain [7] in which
4 prior experiences (e.g. conditioning) may generate 'unconscious predictions'. If
5 partially unconscious, participants may not accurately report on their
6 expectations but may nevertheless be influenced in their perception and
7 reporting of pain. This may also account for the placebo response observed on
8 the untreated arm in our study: our results showed a placebo effect on both
9 arms, which to a degree makes it a non-specific effect, although with a larger
10 effect seen on the treated arm. In other words, our data suggests we have seen
11 both arm-specific, as well as arm non-specific effects, which is one of the novel
12 findings of this study.

13 Consistent with our observation of equivalent placebo responses
14 between diagnostic groups, expectation of pain relief, and relative increases in
15 expectation through conditioning, were also similar across diagnostic groups
16 and healthy controls. This suggests that, despite living with chronic pain,
17 individuals with OA and FM still have normal expectancy of pain relief, as well
18 as normal updating of expectancy by conditioning. This is of interest because,
19 although it was not assessed in our study, individuals with chronic pain are
20 more likely to have numerous (positive or negative) healthcare experiences
21 compared to healthy individuals [13], which could affect the expectation of pain
22 relief in future treatments [17]. With our chronic pain cohort, their history of pain,
23 previous treatment experiences, and the refinement of their expectations and
24 beliefs that may result from those experiences, did not appear to interfere with
25 the efficacy of their placebo response.

1 We also examined anxiety levels during the placebo/sham and control
2 procedures. Unlike expectations of pain relief, we saw a significant difference
3 in baseline levels of anxiety between diagnostic groups. This is consistent with
4 the known psychological comorbidities often experienced by patients with
5 chronic pain, including anxiety and depression [11], pain catastrophizing [19]
6 and cognitive impairments [7,18]. While previous studies suggest that
7 psychological symptomatology can predict the magnitude of the placebo
8 response, but our findings have been contradictory. Wasan et al. found that
9 high levels of psychopathology, including pain-related anxiety, are associated
10 with *heightened* placebo analgesia in chronic lower back pain patients [49],
11 while Lyby showed that increased levels of stress and fear of pain *reduces* the
12 placebo analgesic response in healthy participants [27]. We have previously
13 reported that low state anxiety is a significant predictor of placebo response in
14 healthy individuals [33]. Contrary to these findings, our current data shows that
15 although anxiety levels between diagnostic groups varied significantly, the
16 placebo response did not. Although FM patients showed higher levels of
17 anxiety than both OA and HI participants throughout the experiment, the FM
18 placebo response was no different. The disparity to previous literature could be
19 due to differences in the way participants were selected, differences in the
20 methods used to assess psychological variables (we assessed anxiety
21 whereas Lyby assessed fear of pain and stress), or differences in the placebo
22 paradigm (e.g. our study used conditioning and Wasan's study did not) [49,27].

23 Throughout our experiment we observed a significant linear trend in the
24 reduction of anxiety in all diagnostic groups over time (highlighted in Figure 5).
25 Anxiety levels further reduced in Session 2. Lu et al. also reported similar

1 reductions in anxiety levels in IBS patients [26], but our study is the first, of
2 which we are aware, that observes equivalent changes in anxiety in OA and
3 FM patients. It is also of interest to note that any decrease in anxiety was not
4 specific to the placebo treatment, as it was also evident in the untreated control
5 groups. Previous research has also failed to elucidate a clear and consistent
6 relationship between changes in anxiety and placebo analgesia. Some
7 evidence suggests changes in anxiety might contribute to the placebo
8 analgesic response [12], while other evidence suggests placebo analgesia is
9 the causal factor in a reduction in state anxiety [25]. While the mechanistic
10 contribution of changes in anxiety are unclear, our results do indicate that
11 changes in anxiety are not dependent on initial levels of anxiety, a finding that
12 has not been previously reported.

13 A limitation of our study is the possibility of selection bias that might limit
14 generalisation of the findings. Specifically, a meaningful proportion of patients
15 in our study were recruited from the same rheumatology clinic, which means
16 our results apply to a specific demographic. For instance, patients from the
17 same area may have had similar healthcare experiences, which has been
18 shown to alter expectations [17].

19 Regarding clinical implications, our results suggest that individuals with
20 FM and OA can modulate their responses to experimental pain as efficiently as
21 healthy individuals. In this context, given equally efficient endogenous
22 analgesia across diagnostic groups, our findings suggest potential for the
23 exploration of new treatment strategies that enhance the placebo response, or
24 otherwise utilise endogenous analgesia in these patients, in order to improve
25 treatment outcomes.

1 The finding that experimental placebo responses in both OA and FM
2 groups were reproducible has some potentially important implications for
3 clinical trial design. The variability of placebo response between individuals is
4 a major problem, particularly for early or small clinical trials of analgesics [12].
5 One approach to this has been to exclude placebo responders during a pre-
6 screening process (run-in trials) [25]. However, this results in conducting trials
7 on non-representative populations of patients. An alternative strategy is to
8 balance the number of placebo responders in each arm using an experimental
9 placebo procedure to screen for responders/non-responders. However, the
10 validity of the screening procedure depends on how reproducible the placebo
11 response is. Our results show that experimental placebo analgesia is
12 sufficiently reproducible to justify exploring these approaches for balancing
13 placebo responders across arms of a clinical trial.

14 In summary, by using standardised method for inducing placebo
15 analgesia, we found similarly reproducible changes in expectancy, anxiety and
16 pain experience, as a result of experimental placebo, in individuals with OA and
17 FM compared to pain-free healthy volunteers. Treatment approaches seeking
18 to maximise placebo analgesia may therefore have equal chance of success in
19 both OA and FM populations.

21 **Acknowledgements**

22 The authors thank Jack Wilkinson for his guidance and statistical support. This
23 work was supported by Arthritis Research UK, Dr Hadwen Trust and the
24 University of Manchester. Author WeD also acknowledges the support of
25 CONICYT, Chile, Basal project FB0008.

1

2 **Conflicts of interest**

3 The authors declare no conflict of interest, including competing financial
4 interests.

5

6 **References**

7 [1] Altman R, Asch E, Bloch D, Bole G, Borenstein D, Brandt K, Christy W,
8 Cooke TD, Greenwald R, Hochberg M, Howell D, Kaplan D, Koopman W,
9 Longley S, Mankin H, McShane DJ, Medsger T, Meenan R, Mikkelsen W,
10 Moskowitz R, Murphy W, Rothschild B, Segal M, Sokoloff L, Wolfe F.

11 Development of criteria for the classification and reporting of osteoarthritis.

12 Classification of osteoarthritis of the knee. Diagnostic and Therapeutic Criteria

13 Committee of the American Rheumatism Association. *Arthritis Rheum* 1986;

14 29: 1039-1049.

15 [2] Amanzio M, Benedetti F. Neuropharmacological dissection of placebo

16 analgesia: expectation-activated opioid systems versus conditioning-activated

17 specific subsystems. *J Neurosci* 1999; 19: 484-494.

18 [3] Aslaksen PM, Bystad M, Vambheim SM, Flaten MA. Gender differences in

19 placebo analgesia: event-related potentials and emotional modulation.

20 *Psychosom Med* 2011; 73: 193-199.

21 [4] Aslaksen PM, Flaten MA. The roles of physiological and subjective stress

22 in the effectiveness of a placebo on experimentally induced pain. *Psychosom*

23 *Med* 2008; 70: 811-818.

24 [5] Baumstark KE, Buckelew SP, Sher KJ, Beck N, Buescher KL, Hewett J,

25 Crews TM. Pain behavior predictors among fibromyalgia patients. *Pain* 1993;

1 55: 339-346.

2 [6] Benedetti F, Pollo A, Lapiano L, Lanotte M, Vighetti S, Rainero I. Conscious
3 expectation and unconscious conditioning in analgesic, motor, and hormonal
4 placebo/nocebo responses. *J Neuroscience* 2003;23(10):4315-23.

5 [7] Buchel C, Geuter S, Sprenger C, Eippert F. Placebo analgesia: a predictive
6 coding perspective. *Neuron* 2014; 81(6): 1223-1239

7 [8] Chen X, Zou K, Abdullah N, Whiteside N, Sarmanova A, Doherty M, Zhang
8 W. The placebo effect and its determinants in fibromyalgia: meta-analysis of
9 randomised controlled trials. *Clin Rheumatol.* 2017; 36(7): 1623-1630.

10 [9] Colloca L, Benedetti F. How prior experience shapes placebo analgesia.
11 *Pain* 2006; 124: 126-133.

12 [10] Colloca L. The Placebo Effect in Pain Therapies. *Annu Rev Pharmacol*
13 *Toxicol.* 2019; 59:191-211.

14 [11] Edwards RR, Cahalan C, Mensing G, Smith M, Haythornthwaite JA. Pain,
15 catastrophizing, and depression in the rheumatic diseases. *Nat Rev*
16 *Rheumatol* 2011; 7: 216-224.

17 [12] Enck P, Klosterhalfen S, Weimer K, Horing B, Zipfel S. The placebo
18 response in clinical trials: more questions than answers. *Philos Trans R Soc*
19 *Lond B Biol Sci.* 2011; 366(1572): 1889-95

20 [13] Fayaz A, Croft P, Langford RM, Donaldson LJ, Jones GT. Prevalence of
21 chronic pain in the UK: a systematic review and meta-analysis of population
22 studies. *BMJ Open.* 2016;6:10364.

23 [14] Finniss DG, Kaptchuk TJ, Miller F, Benedetti F. Biological, clinical, and
24 ethical advances of placebo effects. *Lancet* 2010; 375: 686-695.

25 [15] Flaten MA, Aslaksen PM, Lyby PS, Bjorkedal E. The relation of emotions

- 1 to placebo responses. *Philos Trans R Soc Lond B Biol Sci* 2011; 366: 1818-
2 1827.
- 3 [16] Forsberg JT, Martinussen M, Flaten MA. The Placebo Analgesic Effect in
4 Healthy Individuals and Patients. A Meta-Analysis. *Psychosomatic Medicine*
5 2017; 79(4): 388-394.
- 6 [17] Gandhi R, Davey JR, Mohamed N. Patient expectations predict greater
7 pain relief with joint arthroplasty. *The Journal of Arthroplasty* 2009; 24(5): 716-
8 721.
- 9 [18] Glass JM, Park DC. Cognitive dysfunction in fibromyalgia. *Curr*
10 *Rheumatol Rep* 2001; 3: 123-127.
- 11 [19] Hassett AL, Cone JD, Patella SJ, Sigal LH. The role of catastrophizing in
12 the pain and depression of women with fibromyalgia syndrome. *Arthritis*
13 *Rheum* 2000; 43: 2493-2500.
- 14 [20] Hauser W, Bartram-Wunn E, Bartram C, Tolle TR. Placebo responders
15 in randomized controlled drug trials of fibromyalgia syndrome : Systematic
16 review and meta-analysis. *Schmerz* 2011; 25: 619-631.
- 17 [21] Hauser W, Bartram-Wunn E, Bartram C, Reinecke H, Tolle T. Systematic
18 review: Placebo response in drug trials of fibromyalgia syndrome and painful
19 peripheral diabetic neuropathy-magnitude and patient-related predictors. *Pain*
20 2011; 152: 1709-1717.
- 21 [22] Hurtig I, Raak R, Kendall S A, Gerdle, B Wahren LK. Quantitative
22 Sensory testing in Fibromyalgia Patients and in Healthy Subjects:
23 Identification of subgroups. *The Clinical Journal of Pain* 2001; 17(4): 316-322.
- 24 [23] Kessner S, Wiech K, Forkmann K, Ploner M, Bingel U. The effect of
25 treatment history on therapeutic outcome: an experimental approach. *JAMA*

- 1 Intern Med 2013; 173: 1468-1469.
- 2 [24] Kong J, Wang Z, Leiser J, Minicucci D, Edwards R, Kirsch I, Wasan AD,
3 Lang C, Gerber J, Yu S, Napadow V, Kaptchuk TJ, Gollub RL. Enhancing
4 treatment of osteoarthritis knee pain by boosting expectancy: A functional
5 neuroimaging study. Neuroimage Clin. 2018; 18: 325–334.
- 6 [25] Lee S, Walker JR, Jakul L, Sexton K. Does elimination of placebo
7 responders in a placebo run-in increase the treatment effect in randomised
8 clinical trials? A meta-analytic evaluation Depress Anxiety. 2004; 19(1): 10-19.
- 9 [26] Lu CL, Liu CC, Fuh JL, Liu PY, Wu C, Chang FY, Lee SD. Irritable bowel
10 syndrome and negative appendectomy: a prospective multivariable
11 investigation. Gut 2007; 56(5): 655-660.
- 12 [27] Lyby PS, Aslaksen PM, Flaten MA. Is fear of pain related to placebo
13 analgesia? Journal of Psychosomatic Research 2010; 68(4): 369-377.
- 14 [28] McCracken LM, Zayfert C, Gross RT. The Pain Anxiety Symptoms
15 Scale: development and validation of a scale to measure fear of pain. Pain
16 1992; 50: 67-73.
- 17 [29] Meissner K, Hofner L, Fassler M, Linde K. Widespread use of pure and
18 impure placebo interventions by GPs in Germany. Fam Pract 2012; 29(1): 79-
19 85.
- 20 [30] Miller FG, Colloca L. The legitimacy of placebo treatments in clinical
21 practice: evidence and ethics. Am J Bioeth 2009; 9: 39-47.
- 22 [31] Montgomery GH, Kirsch I. Classical conditioning and the placebo effect.
23 Pain 1997; 72: 107-113.
- 24 [32] Morton DL, El-Deredy W, Watson A, Jones AK. Placebo analgesia as a
25 case of a cognitive style driven by prior expectation. Brain Res 2010; 1359:

1 137-141.

2 [33] Morton DL, Watson A, El-Deredy W, Jones AK. Reproducibility of
3 placebo analgesia: Effect of dispositional optimism. *Pain* 2009; 146: 194-198.

4 [34] Mueller M, Bjørkedal E, Kamping S. Manipulation of Expectancy and
5 Anxiety in Placebo Research and Their Effects on Opioid-Induced Analgesia.
6 *Journal of Neuroscience* 2012; 32 (41): 14051-14052.

7 [35] Petersen GL, Finnerup NB, Grosen K, Pilegaard HK, Tracey I, Benedetti
8 F et al. Expectations and positive emotional feelings accompany reductions in
9 ongoing and evoked neuropathic pain following placebo interventions. *Pain*
10 2014; 155: 2687-2698.

11 [36] Price D, Milling LS, Kirsch I, Duff A, Montgomery GH, Nicholls SS. An
12 analysis of factors that contribute to the magnitude of placebo analgesia in an
13 experimental paradigm. *Pain* 1999; 83(2): 147-156

14 [37] Rainville P, Bao QV, Chrétien P. Pain-related emotions modulate
15 experimental pain perception and autonomic responses. *Pain*. 2005; 118(3):
16 306-18

17 [38] Rhudy JL, Meagher MW. Fear and anxiety: divergent effects on human
18 pain thresholds. *Pain* 2000; 84: 65-75.

19 [39] Salkovskis PM, Rimes KA, Warwick HM, Clark DM. The Health Anxiety
20 Inventory: development and validation of scales for the measurement of
21 health anxiety and hypochondriasis. *Psychol Med* 2002; 32: 843-853.

22 [40] Scheier MF, Carver CS, Bridges, M W. Distinguishing optimism from
23 neuroticism (and trait anxiety, self-mastery, and self-esteem): A re-evaluation
24 of the Life Orientation Test. *Journal of Personality and Social Psychology*
25 1994; 67: 1063-1078.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1 [41] Siddall P, Cousins, M. Persistent Pain as a Disease Entity: Implications
2 for clinical Management. *Anesthesia & Analgesia* 2004; 99(2): 510-520.
3 [42] Spielberger CD, Gorsuch RL, Lushene PR, Vagg PR, Jacobs AG.
4 Manual for the State-Trait Anxiety Inventory (Form Y) Consultin Psychologists
5 Press. Palo Alto 1983
6 [43] Staud R, Rodriguez ME. Mechanisms of Disease: pain in fibromyalgia
7 syndrome. *Nature Clinical Practice Rheumatology* 2006; 2: 90–98
8 [44] Stewart-Williams S, Podd J. The placebo effect: dissolving the
9 expectancy versus conditioning debate. *Psychol Bull.* 2004; 130(2): 324-40.
10 [45] Sullivan MJL, Bishop SR, Pivik J. The Pain Catastrophizing Scale:
11 Development and validation. *Psychol Assess.* 1995; 7: 524–32.
12 *Neuroreport* 2007; 18: 771-775.
13 [46] Voudouris NJ. Conditioned response models of placebo phenomena:
14 further support. *Pain* 1989; 38: 109-116.
15 [47] Voudouris NJ. The role of conditioning and verbal expectancy in the
16 placebo response. *Pain* 1990; 43: 121-128.
17 [48] Wager TD. Expectations and anxiety as mediators of placebo effects in
18 pain. *Pain* 2005; 115: 225-226.
19 [49] Wasan AD, Kaptchuk TJ, Davar G, Jamison RN. The association
20 between psychopathology and placebo analgesia in patients with discogenic
21 low back pain. *Pain Med* 2006; 7: 217-228.
22 [50] Wasan AD, Kong J, Pham LD, Kaptchuk TJ, Edwards R, Gollub RL. The
23 impact of placebo, psychopathology, and expectations on the response to
24 acupuncture needling in patients with chronic low back pain. *J Pain* 2010; 11:
25 555-563.

1 [51] Watson A, Bentley DE, Boyle Y, Vogt BA, Jones AKP. Placebo induced
2 reduction of pain intensity, unpleasantness ratings and laser evoked
3 potentials. *J. Psychophys.* 2005; 19 (1): 63-64.

4 [52] Watson A, El-Deredy W, Bentley DE, Vogt BA, Jones AKP. Categories of
5 placebo response in the absence of site-specific expectation of analgesia.
6 *Pain* 2006; 126: 115-122.

7 [53] Watson A, El-Deredy W, Iannetti GD, Lloyd D, Tracey I, Vogt BA, Nadeau
8 V, Jones AK. Placebo conditioning and placebo analgesia modulate a common
9 brain network during pain anticipation and perception. *Pain* 2009; 145: 24-30.

10 [54] Watson A, El-Deredy W, Vogt BA, Jones AK. Placebo analgesia is not
11 due to compliance or habituation: EEG and behavioural evidence. *NeuroReport*
12 2007; 18: 771-775.

13 [55] Wolfe F, Smythe HA, Yunus MB, Bennett R, Bombardier C, Goldenberg
14 DL, Tugwell P, Campbell SM, Abeles M, Clark P, Fam AG, Farber SJ, Fiechtner
15 JJ, Franklin CM, Gatter RA, Hamaty D, Lessard J, Lichtbroun AS, Masi AT. The
16 American College of Rheumatology 1990 Criteria for the
17 Classification of Fibromyalgia. Report of the Multicenter Criteria Committee.
18 *Arthritis Rheum* 1990; 33: 160-172.

19 [56] Zhang W, Robertson J, Jones AC, Dieppe PA, Doherty M. The placebo
20 effect and its determinants in osteoarthritis: meta-analysis of randomised
21 controlled trials. *Ann Rheum Dis* 2008; 67: 1716-1723.

22 [57] Zigmond AS, Snaith RP. The hospital anxiety and depression scale. *Acta*
23 *Psychiatr Scand* 1983; 67: 361-370.

1 **Figure legends**

2 **Figure 1:**

3 Study design over time showing the various points at which participants rated
4 laser intensity (Laser Phase 1-3), anxiety levels (ANX1-5) and expectation of
5 pain relief (EXP1-2). The difference between the experimental groups
6 [placebo treated arm (A), placebo untreated arm (B), control treated arm (C),
7 control untreated arm (D)], depended on how the laser energy in phase 2 was
8 manipulated. In all cases inert aqueous cream was applied to both forearms.
9 In the placebo group the right forearm was conditioned to associate the cream
10 with pain reduction by surreptitiously reducing the laser energy level (A), while
11 the left forearm received the painful laser energy (B). The control group were
12 told explicitly that the cream was inert and that the laser energy would be
13 reduced on their right forearm (C), thereby eliminating the effects of
14 conditioning, while their left forearm received the painful laser energy (D).
15 Laser pulses lasted 150 ms, with a beam diameter of 15 mm. Energy levels
16 required to elicit a level 7 response on the pain rating scale ranged between
17 6.1 and 27.6 mj/mm².

18 **Figure 2.** Plot showing a change in pain rating due to the interaction between
19 treatment (placebo vs control), phase (pre vs post conditioning phase) and
20 arm (treated vs. untreated). Here we see a clear placebo effect on both arms
21 (treated and untreated) which is greater on the treated arm which received
22 conditioning. Circles are fitted values and error bars are 95% confidence
23 intervals

24 **Figure 3.** Violin plots of subject means change in pain rating (phase subtracted
25 P1-P3, arm 1 only) shows reduced levels of reported pain in the placebo group

1 following cream application than in the control group. This effect was
2 reproducible in both sessions. Group, treatment and session effects are
3 displayed as violins, with session 1 & 2 paired with lines. A perfectly horizontal
4 line for a participant would indicate the same magnitude of placebo response;
5 a slope to the line indicates a change in magnitude. OA = osteoarthritis, FM =
6 fibromyalgia and HI = healthy individual groups.

7 **Figure 4.** Descriptive plots showing change in pain (A), expectation (B) and
8 anxiety (C) following the placebo and control cream in osteoarthritis (OA),
9 fibromyalgia (FM) and healthy (HI) groups. A. Change in Pain Rating between
10 Phases P1 and P3 shows greater changes in pain across all diagnostic groups
11 following the placebo opposed to the control treatment. (B) Change in
12 Expectation of Pain Relief between EXP1 and EXP2 shows an increased
13 change in expectation following placebo treatment. Here lower values indicate
14 a greater increase in change and (C) the Change in Anxiety Rating between
15 ANX3 and ANX4 time-points shows no difference between Placebo and Control
16 treatment groups. Error bars indicate SEM.

17 **Figure 5.** A descriptive plot showing reported VAS anxiety levels at 5 time-
18 points, ANX1-5, during the experiment. Osteoarthritis patients (OA) and
19 healthy individuals (HI) reported similar levels of anxiety at all 5 time-points
20 during the experiment, while fibromyalgia (FM) patients rated significantly
21 higher anxiety levels throughout. Error bars indicate SEM.

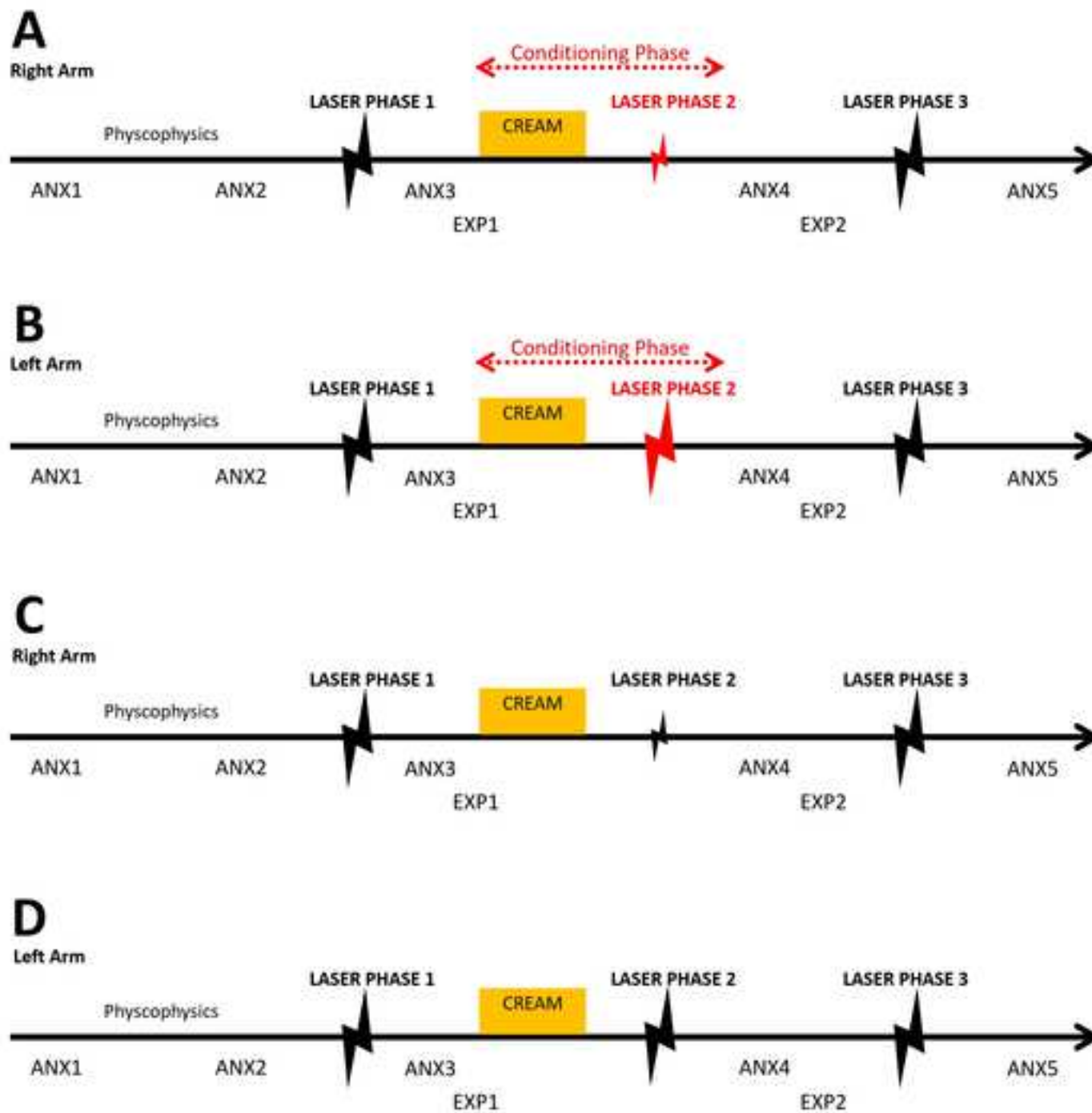
22 **Figure 6.** Scatter plots (with least-squares lines) showing site-specific
23 decreases in pain from the conditioning phase as a predictor of the site-specific
24 placebo response in each group (placebo and control). The site-specific
25 placebo response is calculated here as the mean pain ratings (for the treated

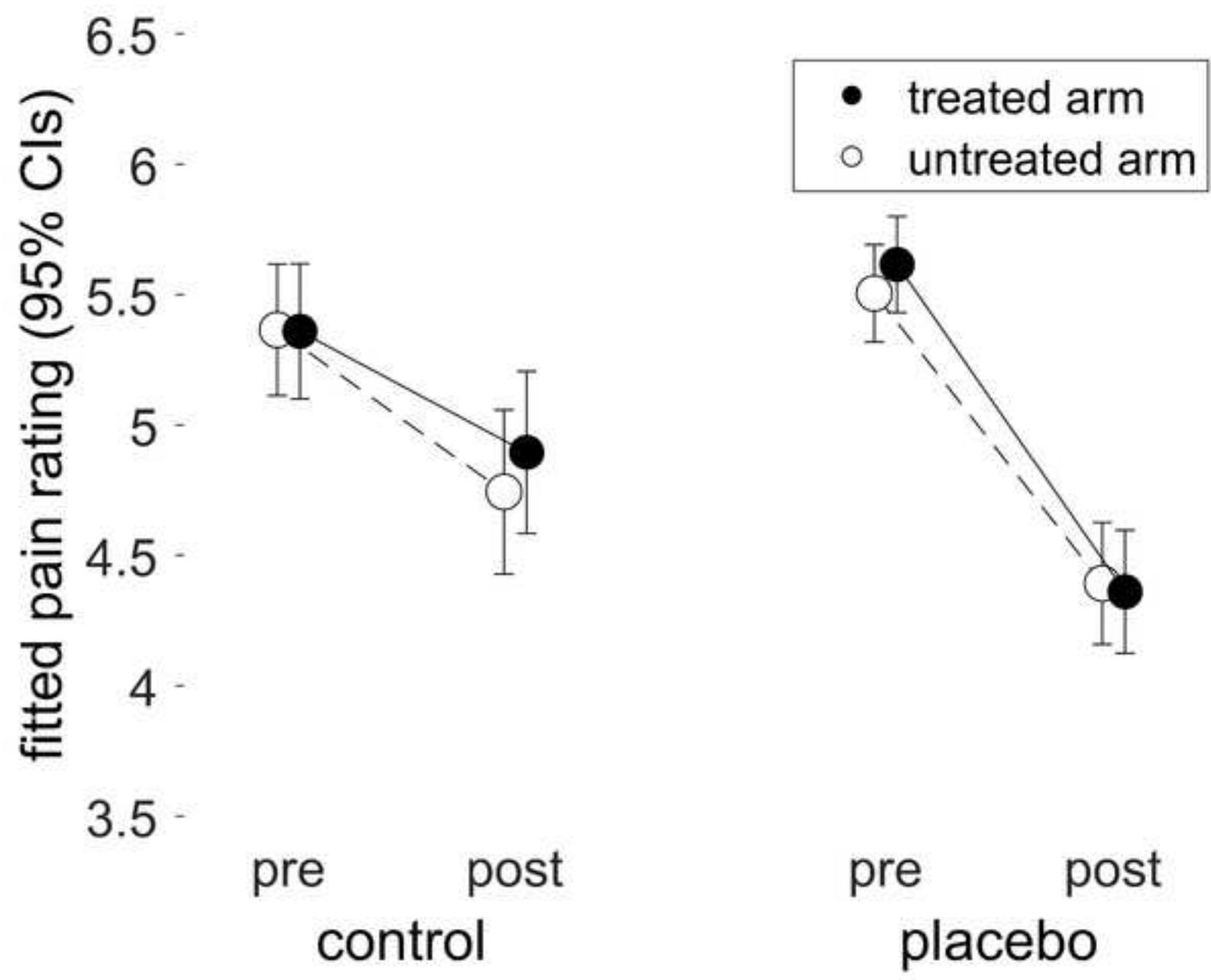
1 arm minus the untreated arm) in the post-conditioning phase minus the pre-
2 conditioning phase (P3-P1). Similarly, the site-specific decrease in pain during
3 conditioning is calculated as the mean pain ratings (for the treated arm minus
4 the untreated arm) in the conditioning phase minus the pre-conditioning phase
5 (P2-P1). A significant prediction is only observed in the placebo group (for
6 statistics, see models 10 and 11 in Table 6).

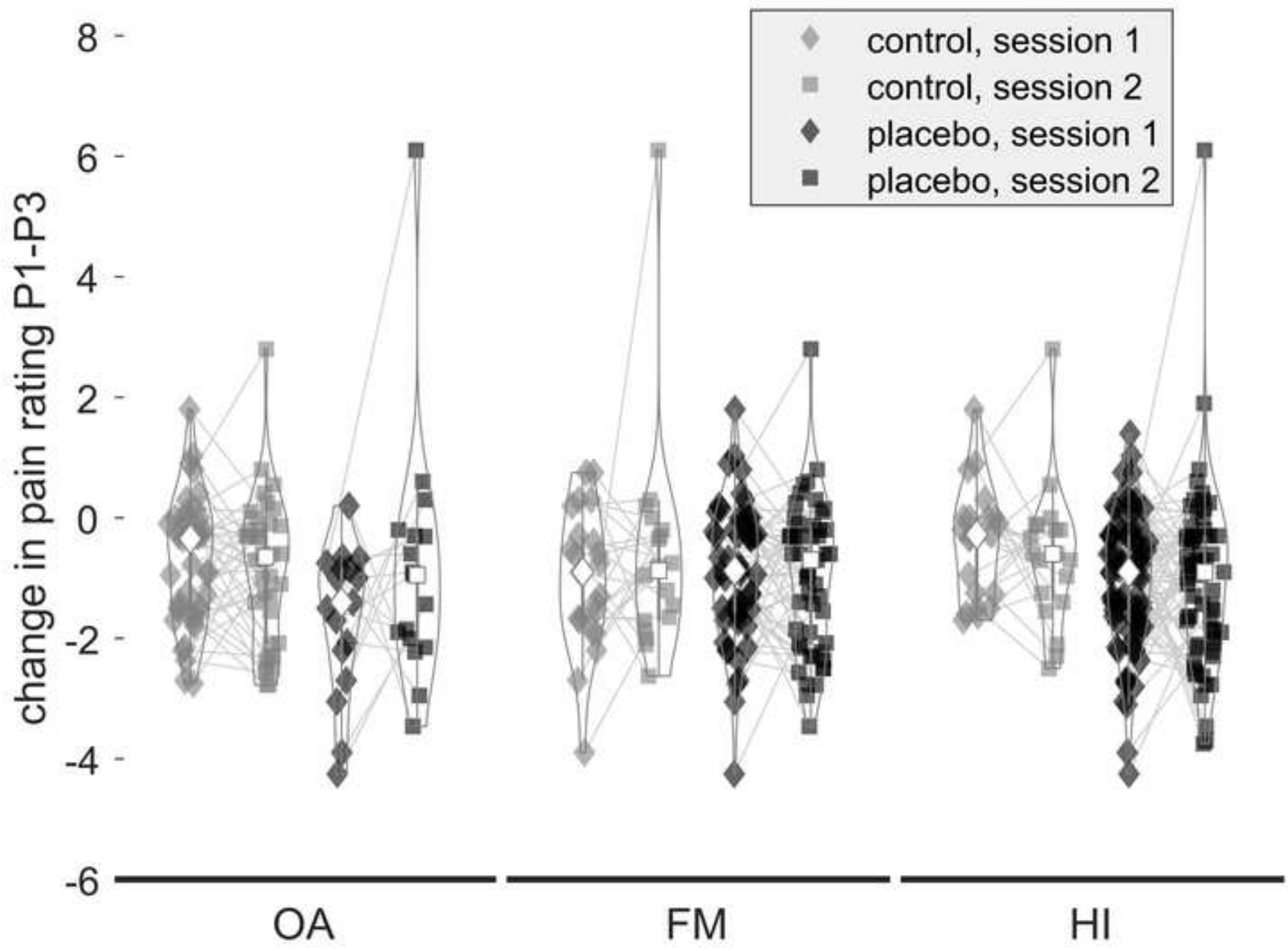
7

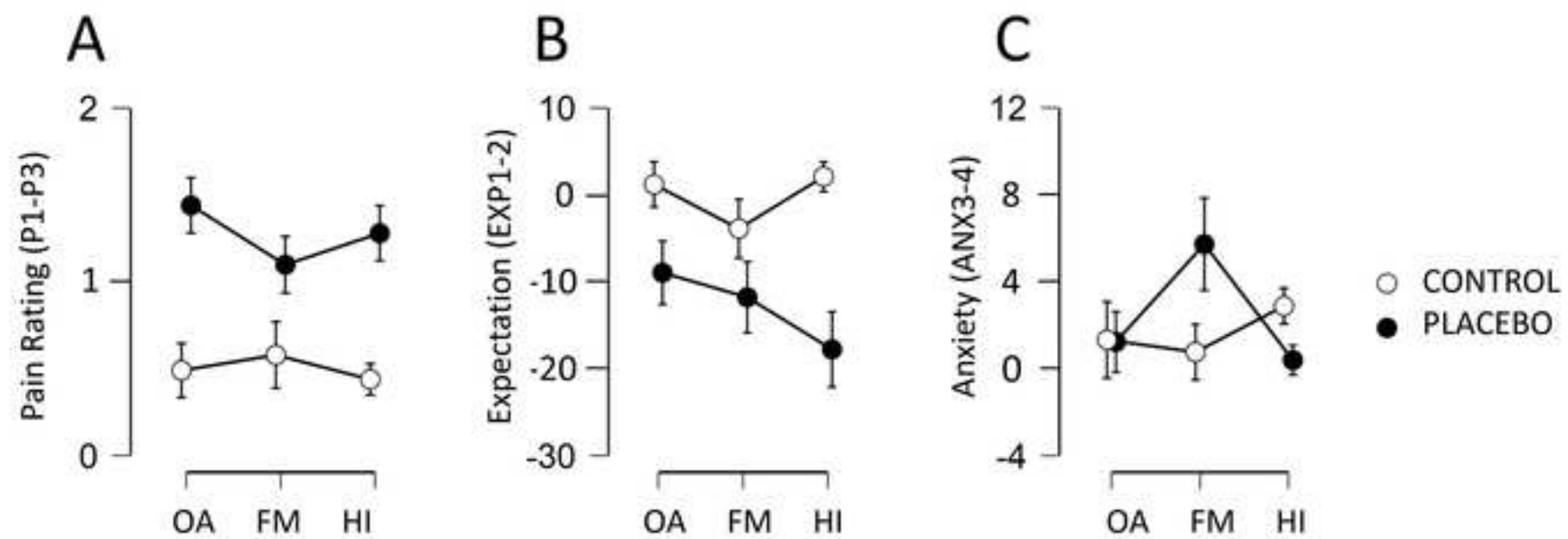
1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

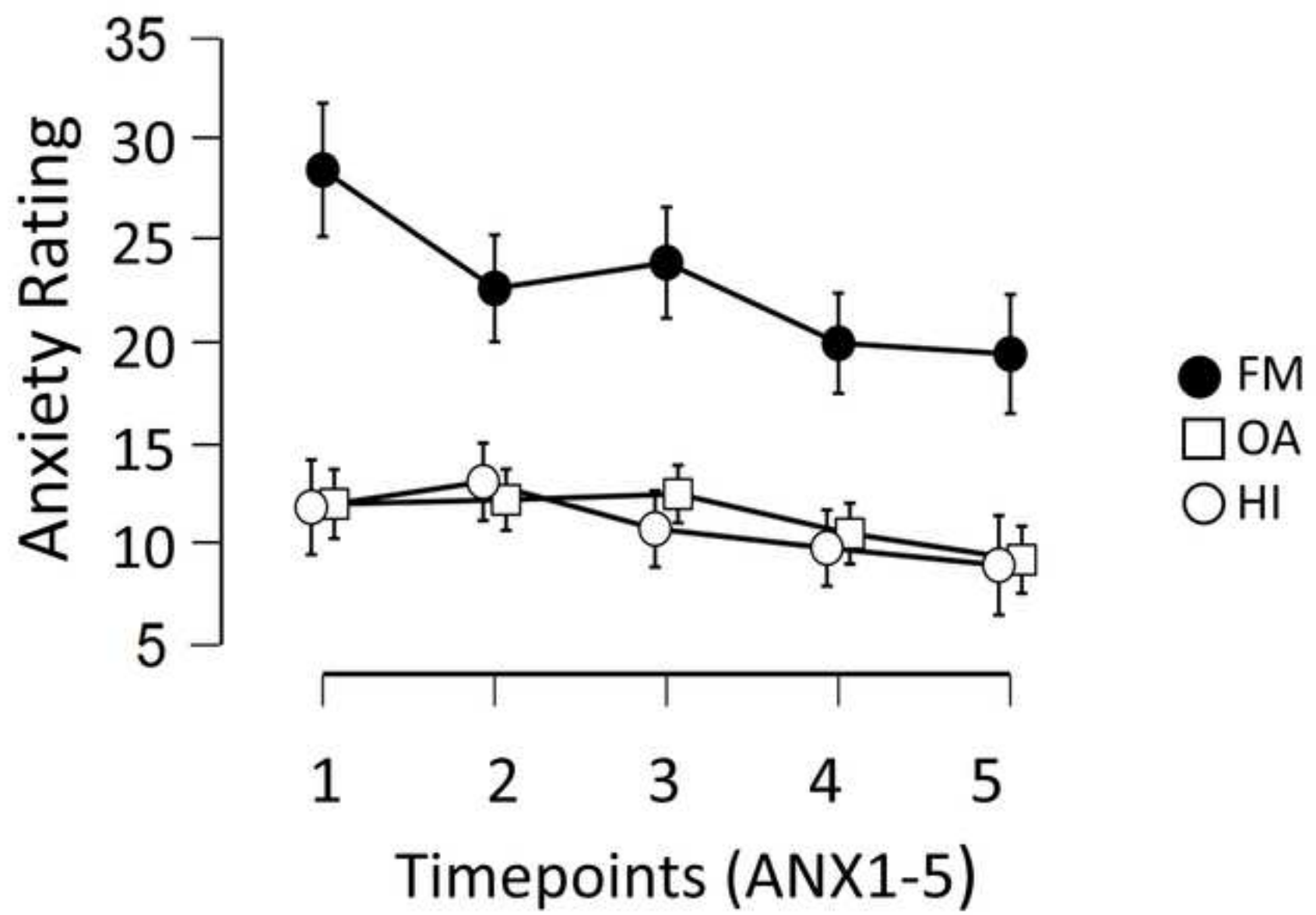
- We found equivalent and reproducible changes in expectancy, anxiety and pain experience as a result of an experimental placebo procedure in individuals with OA and FM compared to pain-free healthy volunteers.
- The placebo effect did not appear to be predicted by or mediated by expectation or anxiety.
- Treatment approaches seeking to maximise placebo analgesia may have equal chance of success in both OA and FM populations.

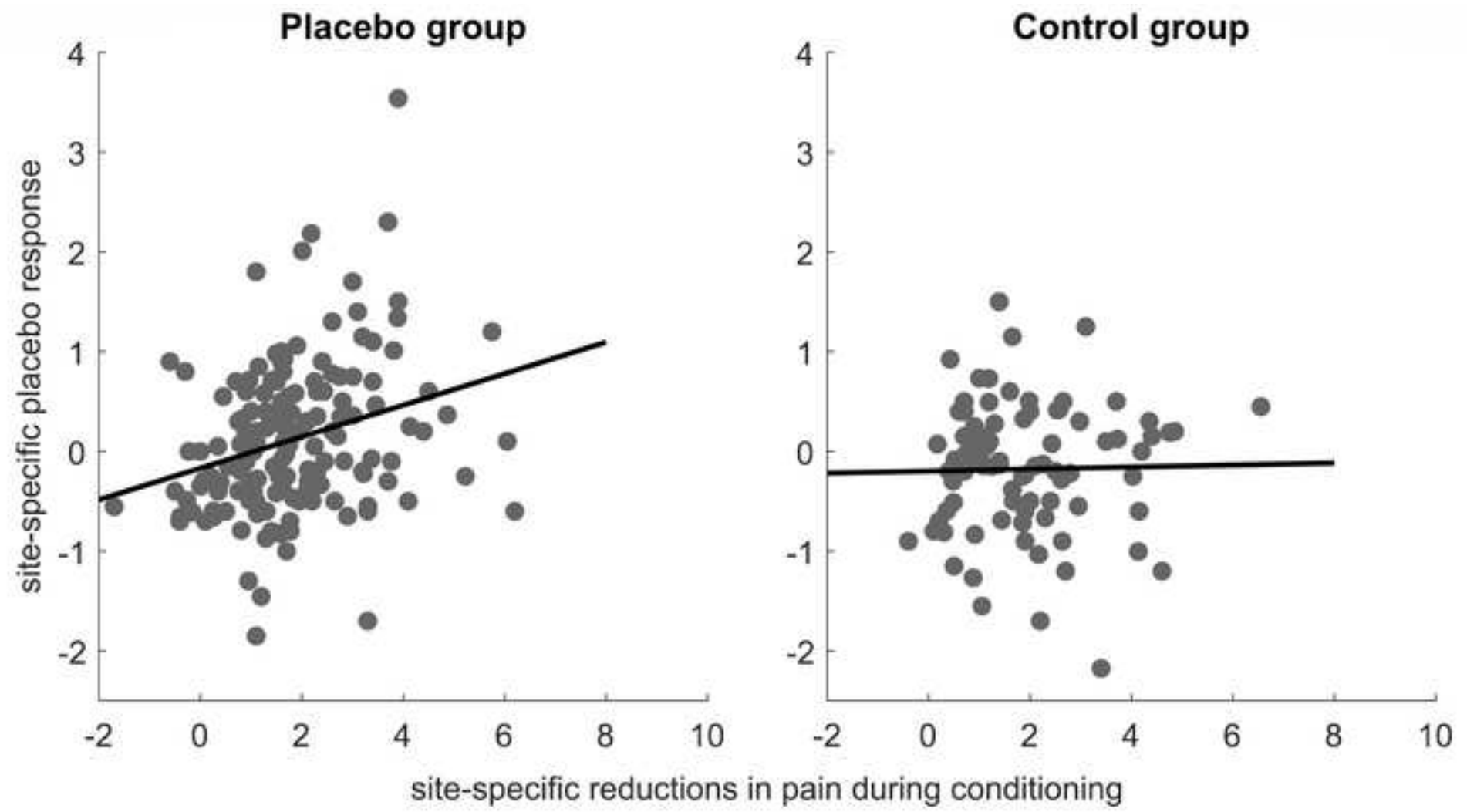












All changes in this revised draft have been highlighted

Table 1: Characteristics of the osteoarthritis (OA), fibromyalgia (FM) and pain-free healthy (HI) participants. Participants were assigned into Placebo and Control groups. N: numbers of participants in each group. SEM: Standard Error of the Mean.

Characteristic	Control Group (n = 87)			Placebo/Sham Treatment Group (n = 150)		
	N (%) of control group)	Age (yrs ± SEM)	N (%) females	N (%) of placebo group)	Age (yrs ± SEM)	N (%) females
OA	22 (25%)	58.8±1.47	9 (39%)	38 (25%)	60.9±1.8	18 (47%)
FM	24 (28%)	50.9±1.9	20 (83%)	55 (37%)	51.3±1.37	48 (87%)
HI	41 (47%)	34.8±1.76	27 (66%)	57 (38%)	38.3±1.59	32 (56%)
Age (yrs ± SEM)	46±1.6			49±1.2		
N (%) females	56 (64%)			98 (65%)		

Table 2: One-way ANOVAs on the diagnostic groups for psychometric measures.

Questionnaire	OA	FM	HI	ANOVA (p-value)	Post hoc (p-value)		
					OA vs FM	OA vs HI	FM vs HI
STAI2	29.25 ±0.13	38.59± 0.13	27.94± 0.07	p<0.001**	p<0.001**	p=0.948	p<0.001**
STAI1	30.24 ±0.19	39.29± 0.14	27.92± 0.08	p<0.001**	p<0.001**	p=0.391	p<0.001**
STAI1V2	28.31±0. 14	38.44± 0.19	27.37± 0.09	p<0.001**	p<0.001**	p=1.000	p<0.001**
HADS Anxiety	5.66 ±0.06	10.5±0 .06	5± 0.036	p<0.001**	p<0.001**	p=0.889	p<0.001**
HADS Depression	3.96 ±0.062	9.37±0 .06	2.57±0 .027	p<0.001**	p<0.001**	p=0.055	p<0.001**
HAI	12.34±0. 12	19.5±0 .126	9.56±0 .06	p<0.001**	p<0.001**	p=0.071	p<0.001**
LOT-R V1	14.0±0.0 7	11.23± 0.07	14.68± 0.05	p<0.001**	p=0.002*	p=1.000	p<0.001**
LOT-R V2	14.8 ±0.08	12.39± 0.06	15.75± 0.05	p<0.001**	p=0.007*	p=0.620	p<0.001**
PCS Rumination	5.304±0. 06	8.014± 0.07	4.022± 0.04	p<0.001**	p<0.001**	p=0.190	p<0.001**
PCS Magnification	2.339±0. 03	4.181± 0.05	1.699± 0.02	p<0.001**	p<0.001**	p=0.392	p<0.001**
PCS Helplessness	5.732±0. 07	11.27± 0.09	3.473± 0.04	p<0.001**	p<0.001**	p=0.0024*	p<0.001**
PASS Avoidance	10.77±0. 10	11.51± 0.08	7.389± 0.06	p<0.001**	p=1.000	p<0.001**	p<0.001**
PASS fearful thinking	5.196±0. 09	7.11±0 .08	3.1±0. 04	p<0.001**	p=0.074	p=0.029*	p<0.001**
PASS cognitive anxiety	9.21±0.0 99	14.24± 0.08	7.09±0 .06	p<0.001**	p<0.001**	p=0.070	p<0.001**
PASS physiological response	4.43±0.0 7	9.04±0 .08	4.01±0 .05	p<0.001**	p<0.001**	p=1.000	p<0.001**

Mean ± SEM. Bonferroni post hoc tests highlight differences and similarities between the diagnostic groups.

STAI, State-Trait Anxiety Inventory; HADS, Hospital Anxiety and Depression Scale; HAI, Healthy Anxiety Inventory; LOT-R, Life Orientation Test; PCS, Pain Catastrophizing Scale; PASS, Pain Anxiety Symptoms Scale.

Significance at the 95% level, *p<0.05, **p<0.001.

Table 3: Fixed effects from Model 2 (for models, see Supplementary Materials), including interactions between treatment (placebo vs control), phase (pre vs post conditioning phase) and arm (treated vs. untreated) to test for the presence of a placebo effect. Beta coefficients are unstandardized and categorical predictor variables are dummy coded, such that beta coefficients are interpretable in terms of the original 0-10 pain scale (e.g. a beta value of 1 indicates a change of 1 on the pain scale). Significance at the 95% level, *p<0.05, **p<0.001, ***p<0.0001

Parameter	Coefficients						ANOVA contrasts				
	beta	SE	t	p value	CI-95%, lower	CI-95%, upper	F	DF1	DF2	p value	
(Intercept)	5.60	0.46	12.25	p<0.0001***	4.70	6.49	150.17	1	23882	p<0.0001***	
group	-0.10	0.16	-0.61	p=0.544	-0.41	0.22	0.96	2	23882	p=0.358	
	-0.25	0.18	-1.37	p=0.171	-0.61	0.11					
treat	0.25	0.13	1.89	p=0.059	-0.01	0.51	3.56	1	23882	p=0.059	
session	0.02	0.11	0.17	p=0.866	-0.19	0.23	0.03	1	23882	p=0.084	
phase	-0.49	0.10	-4.83	p<0.0001***	-0.69	-0.29	23.37	1	23882	p<0.0001***	
arm	0.48	0.06	7.39	p<0.0001***	0.61	0.35	54.67	1	23882	p<0.0001***	
age	-0.02	0.01	-2.90	p=0.004*	-0.03	0.00	8.39	1	23882	p=0.0038*	
gender	-0.13	0.13	-1.03	p=0.304	-0.37	0.12	1.06	1	23882	p=0.304	
laser energy	-0.18	0.17	-1.08	p=0.278	-0.50	0.14	1.18	1	23882	p=0.278	
phase2_mean	0.25	0.01	18.15	p<0.0001***	0.22	0.28	329.33	1	23882	p<0.0001***	
treat:phase	-0.74	0.13	-5.86	p=0.0002**	-0.99	-0.49	34.36	1	23882	p=0.0001***	
treat:arm	0.09	0.07	1.24	p=0.217	0.24	-0.05	1.53	1	23882	p=0.207	
phase:arm	0.14	0.07	-2.14	p=0.032*	0.27	0.01	4.58	1	23882	p=0.032*	
treat:phase:arm	-0.26	0.08	-3.07	p=0.002*	-0.09	-0.42	9.43	1	23882	p=0.002*	

Table 4. Factorial (two-way) ANOVAs with factors for Treatment (Placebo group, Control group) and Diagnosis (fibromyalgia, osteoarthritis, and healthy). 3 ANOVAs were conducted, on Change in Expectation of pain relief, Change in Anxiety, and the subsequent Change in Pain rating (after the conditioning procedure).

	Change in Expectation	Change in Anxiety	Change in Pain
<i>Treatment</i>	F=17.059; p<0.001**	F=0.385; p=0.536	F=31.74; p<0.001**
<i>Diagnosis</i>	F=0.70; p=0.498	F=0.826; p=0.44	F=0.296; p=0.744
<i>Treatment x Diagnosis</i>	F=1.503; p=0.226	F=3.06; p=0.049*	F=0.819; p=0.442

Significance at the 95% level, *p<0.05, **p<0.001, ***p<0.0001

Table 5. VAS Anxiety levels measured at 5 time-points (Anx1-Anx5) throughout the experiment. Osteoarthritis (OA), fibromyalgia (FM) and healthy (HI) groups.

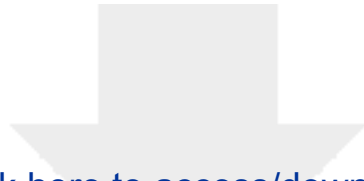
Anxiety Rating	OA	FM	HI	P value	F values	df
Anx1	12.17±15.83	28.481±24.82	12±14.87	p<0.0001***	19.36	2,30
Anx2	12.69±12.62	22.86±19.93	12.29±13.03	p<0.0001***	11.39	2,27
Anx3	10.19±12.41	24.01±20.90	12.26±12.33	p<0.0001***	16.69	2,29
Anx4	9.53±12.34	20.62±19.34	10.38±12.89	p<0.0001***	12.45	2,29
Anx5	8.84±16.04	19.05±22.25	9.29±14.1	p=0.0003**	8.24	2,31

Significance at the 95% level, *p<0.05, **p<0.001, ***p<0.0001

Table 6: Effect of pain reductions during the conditioning phase (“conditioned” in the table) on placebo responses. Results are from the linear mixed models 9 to 11 in Supplementary Materials, which controlled for pre-conditioned pain ratings and session. Placebo and conditioning changes are site-specific (i.e. mean pain ratings from the treated arm minus the untreated arm), which also controls for habituation effects over time. Beta coefficients are unstandardized and the categorical predictor variable (group: placebo vs. control) are dummy coded.

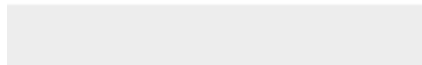
Interaction between group and conditioned responses (model 9)						
Parameter	beta	SE	t	p value	CI-95%, lower	CI-95%, upper
(Intercept)	-0.29	0.10	-2.85	p=0.005*	-0.50	-0.09
conditioned	0.07	0.04	1.74	p=0.082	-0.01	0.15
treat	0.04	0.12	0.34	p=0.735	-0.20	0.28
treat:conditioned	0.12	0.05	2.27	p=0.024*	0.02	0.22
session	-0.01	0.07	-0.18	p=0.858	-0.15	0.13
pre-conditioned	0.74	0.06	13.14	p<0.0001***	0.63	0.85
Conditioned responses in the placebo group (model 10)						
Parameter	beta	SE	t	p value	CI-95%, lower	CI-95%, upper
(Intercept)	-0.27	0.09	-3.05	p=0.0025*	-0.44	-0.09
conditioned	0.20	0.03	5.93	p<0.0001***	0.13	0.27
session	-0.05	0.09	-0.56	p=0.573	-0.23	0.13
pre-conditioned	0.64	0.07	8.69	p<0.0001***	0.49	0.78
Conditioned responses in the control group (model 11)						
Parameter	beta	SE	t	p	CI-95%, lower	CI-95%, upper
(Intercept)	-0.26	0.10	-2.57	p=0.011*	-0.47	-0.06
conditioned	0.05	0.04	1.18	p=0.241	-0.03	0.12
session	0.03	0.11	0.28	p=0.776	-0.19	0.25
pre-conditioned	0.94	0.08	11.07	p<0.0001***	0.77	1.10

Significance at the 95% level, *p<0.05, **p<0.001, ***p<0.0001



[Click here to access/download](#)


Supplementary Materials: figures, tables
Supplementary Materials final.docx





Click here to access/download

**Copyright Transfer Agreement--REQUIRED from ALL
authors of submission at revision stage
PAIN Copyright.pdf**



Click here to access/download

**Copyright Transfer Agreement--REQUIRED from ALL
authors of submission at revision stage**
Power Copyright.pdf



Click here to access/download

**Copyright Transfer Agreement--REQUIRED from ALL
authors of submission at revision stage
Brown Copyright.pdf**



Click here to access/download

**Copyright Transfer Agreement--REQUIRED from ALL
authors of submission at revision stage**
Rainey Copyright.pdf




Click here to access/download

**Copyright Transfer Agreement--REQUIRED from ALL
authors of submission at revision stage**
Lenton Copyright.pdf



Click here to access/download

**Copyright Transfer Agreement--REQUIRED from ALL
authors of submission at revision stage**
Jones Copyright.pdf



Click here to access/download

**Copyright Transfer Agreement--REQUIRED from ALL
authors of submission at revision stage**
EI-Deredy Copyright.pdf



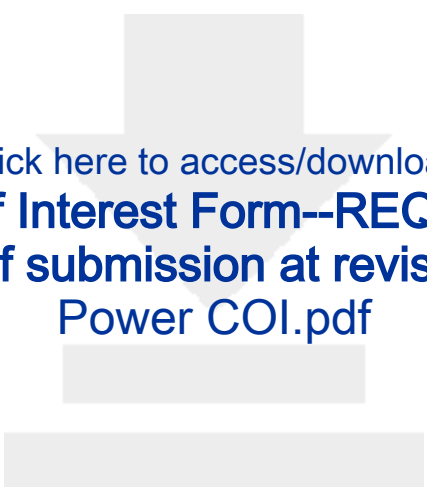
Click here to access/download

**Copyright Transfer Agreement--REQUIRED from ALL
authors of submission at revision stage**
Watson Copyright.pdf




Click here to access/download

**ICMJE Conflict of Interest Form--REQUIRED from ALL
authors of submission at revision stage
coi_disclosure.pdf**



Click here to access/download

**ICMJE Conflict of Interest Form--REQUIRED from ALL
authors of submission at revision stage
Power COI.pdf**



Click here to access/download

**ICMJE Conflict of Interest Form--REQUIRED from ALL
authors of submission at revision stage
Brown COI.pdf**



Click here to access/download

**ICMJE Conflict of Interest Form--REQUIRED from ALL
authors of submission at revision stage**
Rainey COI.pdf



Click here to access/download


**ICMJE Conflict of Interest Form--REQUIRED from ALL
authors of submission at revision stage**
Lenton COI.pdf



Click here to access/download

ICMJE Conflict of Interest Form--REQUIRED from ALL authors of submission at revision stage

Jones COI.pdf



Click here to access/download

**ICMJE Conflict of Interest Form--REQUIRED from ALL
authors of submission at revision stage**
EI-Deredy COI.pdf



Click here to access/download

**ICMJE Conflict of Interest Form--REQUIRED from ALL
authors of submission at revision stage
Watson COI.pdf**