

# Global risk model for vector-borne transmission of Zika virus reveals the role of El Niño 2015

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Zika, a mosquito-borne viral disease that emerged in South America in 2015, was declared a Public Health Emergency of International Concern by the WHO in February of 2016. We developed a climate-driven  $R_0$  mathematical model for the transmission risk of Zika virus (ZIKV) that explicitly includes two key mosquito vector species: *Aedes aegypti* and *Aedes albopictus*. The model was parameterized and calibrated using the most up to date information from the available literature. It was then driven by observed gridded temperature and rainfall datasets for the period 1950–2015. We find that the transmission risk in South America in 2015 was the highest since 1950. This maximum is related to favoring temperature conditions that caused the simulated biting rates to be largest and mosquito mortality rates and extrinsic incubation periods to be smallest in 2015. This event followed the suspected introduction of ZIKV in Brazil in 2013. The ZIKV outbreak in Latin America has very likely been fueled by the 2015–2016 El Niño climate phenomenon affecting the region. The highest transmission risk globally is in South America and tropical countries where *Ae. aegypti* is abundant. Transmission risk is strongly seasonal in temperate regions where *Ae. albopictus* is present, with significant risk of ZIKV transmission in the southeastern states of the United States, in southern China, and to a lesser extent, over southern Europe during the boreal summer season.

Zika virus |  $R_0$  model | El Niño | *Ae. aegypti* | *Ae. albopictus*

Zika virus (ZIKV) is an emerging mosquito-borne virus that infects and causes disease in humans. Approximately 80% of infections are asymptomatic; the 20% of clinically affected people mostly experience mild symptoms, such as fever, arthralgia, and rash (1). A small proportion is believed, however, to develop a paralytic autoimmune disease called Guillain-Barré syndrome (2, 3). There is also evidence that the infection of women during a critical part of pregnancy can lead to the development of microcephaly in the unborn child (4, 5). The recent discovery of ZIKV in South America and a surge in the number of reports of Guillain-Barré syndrome and microcephaly cases in the region led the WHO to announce a Public Health Emergency of International Concern on February 1 of 2016.

ZIKV was first isolated in Uganda from monkeys in 1947 and *Aedes africanus* mosquitoes in 1948 (6). Several other mosquito species (mostly of the genus *Aedes*) have been implicated as ZIKV vectors. Globally, the most important is the Yellow Fever mosquito, *Aedes aegypti* (7), which is widespread in tropical regions of the world. A second vector is the Asian tiger mosquito, *Aedes albopictus* (8), one of the world's most invasive mosquito species. It occurs in both tropical and temperate regions, often together with *Ae. aegypti*, but also, extends farther north into temperate countries. Other *Aedes* species may be locally important, such as *Aedes hensilli*, which is considered to have been the primary vector in the Zika outbreak in French Polynesia in 2007 (1, 9).

The risk of spread of an infectious disease can be described by its basic reproduction ratio ( $R_0$ ) defined as the average number of secondary infections arising from a typical primary infection in an

otherwise fully susceptible population.  $R_0$  has an important threshold value: a value above one indicates that the pathogen could spread if it were introduced, resulting in a minor or major outbreak depending on the size of  $R_0$ , whereas a value below one indicates that pathogen transmission would be insufficient to produce a major outbreak. Mathematical formulations of  $R_0$  exist for several vector-borne diseases (VBDs), including those with one host and one vector [such as malaria (10)] and those with two hosts and one vector [such as zoonotic sleeping sickness (11) and African horse sickness (12)]. Relatively little attention has been paid to developing mathematical formulations of  $R_0$  where there are two vector species and either one or multiple host species (13). Consideration of two vector species in the  $R_0$  formulation is essential where two vectors have different epidemiological parameters. It also allows for the estimation of  $R_0$  where the two species co-occur and primary infections in one species can lead to secondary infections in the second.

*Ae. aegypti* and *Ae. albopictus* seem to have different susceptibilities to ZIKV (7, 14–16), feeding rates, and feeding preferences (17, 18). *Ae. aegypti* feeds more often and almost exclusively on humans, and it is, therefore, an extremely efficient transmitter of human viruses. *Ae. albopictus* feeds less frequently and on a broader range of hosts, and it is, therefore, less likely to both acquire and transmit a human virus. Given equal mosquito and human densities, regions with *Ae. aegypti* are, therefore, theoretically

## Significance

This study quantifies the impact of climate variability on Zika virus (ZIKV) transmission by two mosquito vectors with distinct characteristics: *Aedes aegypti* and *Aedes albopictus*. Observed climate data were used to dynamically drive a two vectors–one host  $R_0$  epidemiological model. Our modeling results indicate that temperature conditions related to the 2015 El Niño climate phenomenon were exceptionally conducive for mosquito-borne transmission of ZIKV over South America. The virus is believed to have entered the continent earlier in 2013. This finding implicates that such a large ZIKV outbreak occurred not solely because of the introduction of ZIKV in a naive population, but because the climatic conditions were optimal for mosquito-borne transmission of ZIKV over South America in 2015.

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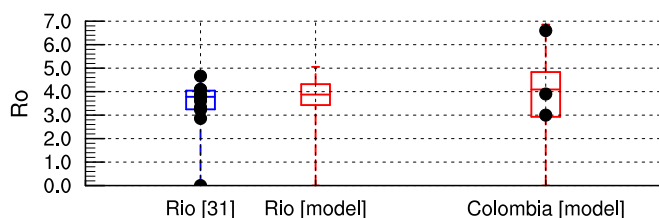
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Data deposition: Model output is publicly available on the Open Science framework platform at [osf.io/ubwya/](https://osf.io/ubwya/).

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these regions. Over Asia,  $R_0$  values are relatively large all year in Oceania, whereas a clear peak in  $R_0$  is shown during boreal summer and fall over India, Vietnam, Laos, and Cambodia (*SI Appendix, Fig. S5*). During boreal summer, a large increase in  $R_0$  is simulated over the southeastern states of the United States (*SI Appendix, Fig. S6*), and smaller increases are simulated over southern Europe (*SI Appendix, Fig. S7*) and southern China (*SI Appendix, Fig. S5*). The large  $R_0$  summer values over the southeastern states of the United States are because of both very conducive temperature conditions and the spatial overlap of *Ae. albopictus* and *Ae. aegypti* (*SI Appendix, Fig. S8*). Therefore, our model indicates that there is a large potential risk of ZIKV transmission in the southeastern United States and to a lesser extent, over southern China and southern Europe in boreal summer. This signal mainly relates to the presence of the highly invasive *Ae. albopictus* in temperate regions (*SI Appendix, Fig. S8*) as well as higher biting rates (*SI Appendix, Fig. S9*), lower EIPs (*SI Appendix, Fig. S10*), and lower mortality rates (*SI Appendix, Fig. S11*) during the warm season.

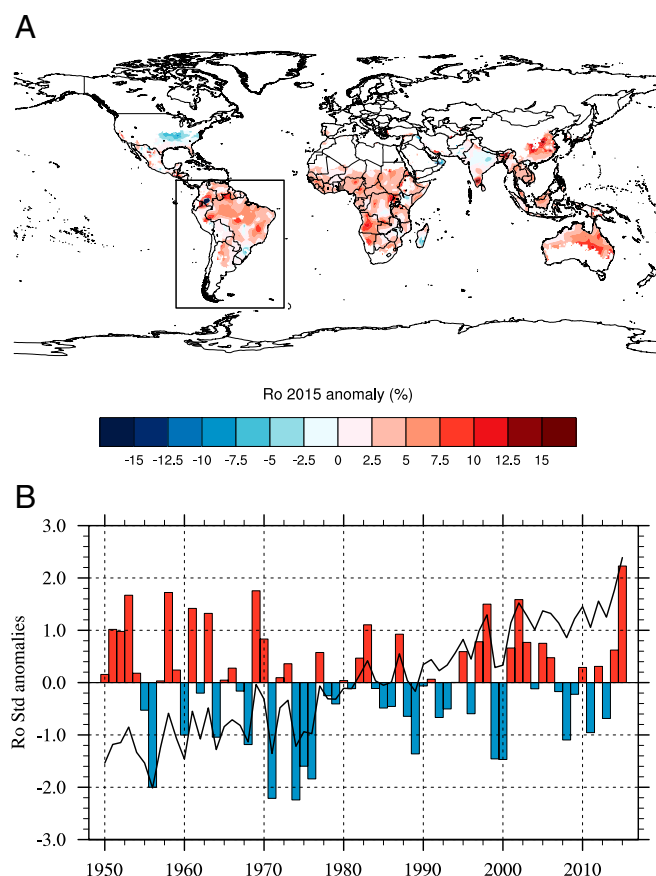
The modeling framework also allows investigation of the respective contributions of *Ae. aegypti* and *Ae. albopictus* to the total  $R_0$  burden (*SI Appendix, Fig. S12*). Given the selected parameter settings, which are based on the published literature, *Ae. aegypti* is responsible for >90% of ZIKV transmission risk in the tropics, whereas *Ae. albopictus* seems to make a smaller contribution (less than 10%). *Ae. albopictus* is, however, the main vector responsible for ZIKV transmission risk in temperate areas, such as the northern United States and southern Europe (*SI Appendix, Fig. S12*).

VBDs are not just affected by seasonal variations in climate; extreme climatic anomalies can also favor epidemics. One of the strongest El Niño events ever recorded occurred in 2015–2016, and there have been concerns about its possible impact on VBD burden (34) and agricultural production worldwide. El Niño events are characterized by the movement of warmer than average sea surface temperatures across the central Pacific basin and associated with warmer temperature conditions over the Tropics and rainfall anomalies that vary greatly by region and season. To investigate the impact of El Niño on potential ZIKV transmission risk at a global scale, we derived the  $R_0$  relative anomaly for 2015 (Fig. 3A). Large positive anomalies in  $R_0$  are simulated over the Tropics, meaning that climate conditions were particularly conducive for ZIKV transmission in 2015 relative to the long-term average. This signal can be seen over South America (especially Colombia and Brazil) but also, in Africa (with the largest anomaly shown over Angola), southern India, Southeast Asia, and Oceania. Although intense ZIKV transmission was only reported over Central America and South America in 2015, the virus is believed to have entered the region in 2013 (35). Standardized model anomalies calculated for the South American continent further reveal that 2015 was the year with the highest  $R_0$  value (exceeding 2 SDs) over the whole 66-y time period (Fig. 3B). This  $R_0$  maximum is mainly related to simulated maximum biting rates, minimum EIPs and mortality rates in 2015 (*SI Appendix, Figs. S13 and S14*). A large positive anomaly is also shown for the 1997–1998

El Niño before ZIKV was introduced to the South American continent. Therefore, our model indicates that the 2015 El Niño event, superimposed on the long-term global warming trend, has had an important amplification effect through its impacts on mosquito vector and their overall ability to transmit virus.

## Discussion

The model provided interesting insights into the spatiotemporal distribution of potential disease transmission risk, permitted the relative contributions of the two main disease vectors to be quantified, and implicates the current El Niño in playing an important amplification role. Importantly, we show that warm temperature conditions associated with the current El Niño climate phenomenon superimposed on the warming trend were exceptionally conducive for mosquito-borne transmission of ZIKV in 2015 over the South American continent. The conducive temperature conditions in 2015 over South America can be related to the superposition of climate change and decadal and year to year variability (36). Similarly,  $R_0$  modeling work for the risk of bluetongue, an animal VBD that emerged in northern Europe in 2006, highlighted that temperature conditions in northern Europe in that particular year were also exceptionally conducive for disease transmission (37). Other notable impacts of El Niño 2015–2016 are historical droughts impacting food security in Ethiopia and southern Africa and forest fires in California, Canada, Malaysia, and Indonesia. The number of dengue cases in India in 2015 was the largest recorded (38). Interestingly, our model finds one of the largest 2015  $R_0$  anomalies for ZIKV in Africa to be centered on Angola. Although ZIKV has not





been recently reported, Angola is currently experiencing a large outbreak of Yellow Fever transmitted by *Ae. aegypti*, and we speculate, therefore, that this outbreak might also have been favored by El Niño conditions. This finding raises additional concerns about the impact of large El Niño events on VBD risk in a future warmer, more connected world with increasing levels of drug and insecticide resistance. Flaviviruses, in general, should have a promising future (39).

Our results corroborate that *Ae. aegypti*, likely because of its anthropophilic behavior and its aggressiveness, is a larger threat than *Ae. albopictus* for ZIKV transmission worldwide. However, the threat posed by *Ae. albopictus* is not negligible, especially during the warm season in temperate regions, and the overlap of both vector species produces the largest  $R_0$  values. Similarly, in Europe in recent years, *Ae. albopictus* was responsible for a small number of autochthonous cases of chikungunya and dengue in Italy, southern France, and Croatia, whereas *Ae. aegypti* was responsible for more than 2,000 cases of dengue on the island of Madeira in 2012 (40, 41). Consequently, there is a need to focus disease preparedness measures or vector control interventions primarily in regions infested by *Ae. aegypti* or where both vectors co-occur.

The simulated spatial distribution of ZIKV is similar to other published estimates, which used environmental covariates and the boosted regression tree method to estimate environmental suitability for ZIKV at global scale (42) or a one-host, one-vector  $R_0$  modeling approach to derive attack rates for Latin America (43). Our model framework further allowed for exploring of spatial and temporal changes in potential disease risk. We showed the potential of ZIKV transmission during boreal summer over the southeastern states of the United States as previously considered by others (44). Autochthonous transmission of ZIKV was observed in Florida in the summer of 2016. However, only a few cases were reported so far; because there is large proportion (80%) of asymptomatic infections with ZIKV, more people might be infected without showing any clinical signs.

There are several caveats in our modeling framework that need to be mentioned. First, we did not consider sexual transmission of ZIKV, because it likely plays a very minor role in the overall amount of transmission. Second, we only considered the risk posed by *Ae. aegypti* and *Ae. albopictus*, believed to be the main competent vectors of ZIKV (and certain other arboviruses, such as dengue and chikungunya viruses). However, other *Aedes* species can transmit ZIKV locally (such as *Ae. hensilli* in Pacific islands and *Ae. africanus* in parts of Africa). There is also a debate about the capacity of the geographically widespread *Culex quinquefasciatus* vector to transmit ZIKV (45–47). However, most recent studies are showing poor or no competence of this species to transmit ZIKV. Our model might, therefore, underestimate  $R_0$  in some localities where vectors other than *Ae. aegypti* or *Ae. albopictus* are present. Our mathematical framework can be readily extended to include additional vectors, but limitations arise from the lack of detailed distribution and epidemiological data for these species. There is an urgent need for additional studies on vectors of ZIKV and their distribution, abundance, and transmission parameters. Third, estimates of vector to host ratios for *Ae. aegypti* and *Ae. albopictus* were approximated from probability of occurrences, because they were limited by the large spatial and temporal differences in published field studies. Additional estimates of mosquito densities in different demographic and geographic settings, preferably with standardized methods (48), will be highly useful to improve and upscale mechanistic spatiotemporal risk models. ZIKV EIPs were approximated by dengue virus estimates in our study, because they were similar in high-temperature settings (7). Better estimates of the dependency of the EIP of ZIKV to temperature, especially in the lower and higher temperature tails of the distribution, will be highly valuable for additional model refinement.

Our  $R_0$  model presents the risk of transmission given the introduction of virus in a fully susceptibility population. It does not address the potential of the pathogen and the vectors to spread via tourism and trade or the risk of transmission in populations that have already been exposed to ZIKV. Recent modeling work

suggests that the ZIKV epidemic in Latin America should be over in 3 y maximum and that acquired herd immunity will likely cause a delay of more than a decade until large epidemics reemerge (49). India, China, Indonesia, the Philippines, and Thailand have been estimated at risk for mosquito-borne ZIKV infection because of the large volume of travelers arriving from affected areas in Latin America (50). Furthermore, socioeconomic factors (such as health service per capita, urbanization, and vulnerability indices) should be included in assessments of the full impact of Zika in future studies. Our model uses recently published studies by the medical, biological, and entomological communities; it benefits from statistical (51) and mathematical (13) modeling techniques and recent environmental datasets produced by the National Oceanic and Atmospheric Administration (52, 53). This fact underlines the importance of taking multidisciplinary approaches to address and anticipate the health and food security challenges to come.

## Materials and Methods

**$R_0$  Model Design.** To calculate  $R_0$  for ZIKV transmission, we adapted the two hosts–two vectors expression derived from ref. 13. This expression is suitable for pathogens, including bluetongue virus, that have two main hosts and two main vectors with different feeding preferences. In the case of ZIKV, there is one main host (i.e., humans) capable of transmitting the virus. Therefore, we prevented the second host from contracting and transmitting the infection. However, because *Ae. aegypti* and *Ae. albopictus* feed to different extents on humans, we retained the measures of feeding preference. In addition, because infection with ZIKV is not associated with mortality, the standard pathogen-induced mortality rate ( $d$ ) was set to zero. The resulting expression is

$$R_0 = \sqrt{R_{11} + R_{22}}, \quad [1]$$

where

$$\begin{aligned} \widetilde{R}_{11} &= \left( \frac{b_1 \beta_1 a_1^2}{\mu_1} \right) \left( \frac{\nu_1}{\nu_1 + \mu_1} \right) \left( \frac{\phi_1^2 m_1}{r} \right) \text{ and} \\ \widetilde{R}_{22} &= \left( \frac{b_2 \beta_2 a_2^2}{\mu_2} \right) \left( \frac{\nu_2}{\nu_2 + \mu_2} \right) \left( \frac{\phi_2^2 m_2}{r} \right). \end{aligned}$$

$R_{ij}$  is the average number of infectious vectors of type  $i$  produced by an infectious vector of type  $j$ ; one stands for *Ae. aegypti*, and two stands for *Ae. albopictus*. As a result of the second host being noninfectious, the between-species terms  $R_{12}$  and  $R_{21}$  are eliminated from  $R_0$  (additional details are given in *SI Appendix*). In fact, this expression for  $R_0$  is true for any number of hosts, providing that only one of them is a true host (i.e., capable of transmitting the infection). Biting rates ( $a$ ), mortality rates ( $\mu$ ), and EIPs ( $eip = 1/\mu$ ) for both vector species are the only parameters dynamically relying on temperature data. These dependencies to temperature were calculated based on published evidence from the literature (Table 1 and *SI Appendix*, Fig. S15). Vector preferences ( $\phi$ ), transmission probabilities (from vector to host  $b$  and host to vector  $\beta$ ), and ZIKV recovery rate ( $r$ ) were assumed to be constant, and they were derived from recently published estimates for ZIKV or dengue virus if they were not available (Table 1).

Vector to host ratios ( $m_1$  and  $m_2$ ) were derived from published probability of occurrence ( $prob_1$  and  $prob_2$ ) at global scale (51). Given the large differences in mosquito density estimates published in the literature for different regions and seasons (48), these probabilities of occurrences (0–1) have been arbitrarily linearly rescaled to range between zero and a maximum estimate of vector to host ratio following the work in ref. 37. This maximum was estimated as an order of magnitude (*SI Appendix*, Fig. S16) using the maximum ZIKV  $R_0$  value to calibrate it. A maximum  $R_0$  value of 6.6 was reported in ref. 32 for Colombia during the outbreak. This maximum  $R_0$  value is reached when the vector to host ratio value reaches about 1,000 in the model between 30 °C and 37 °C (*SI Appendix*, Fig. S16C). This constraint is on the maximum solely; however, the model reproduces well the distribution of  $R_0$  values with respect to other published estimates (Fig. 2). Lower values for  $m$  are generally reported by entomologists [10 is a commonly reported value (48)]. However, this value depends on the selected field method to estimate  $m$ . Values of 52 *Aedes* mosquitoes per person per hour have been reported in Macao using human baits, 1.8 mosquitoes per hour have been reported using Centers for Disease Control and Prevention (CDC) traps, and 110 mosquitoes per hour have been reported using aspirators (54). Because both *Aedes* species are active from dawn to dusk (e.g., over 12 h maximum, with a peak of activity in the early morning and late afternoon), this is equivalent to 624, 21.6, and 1,320 mosquitoes per day, respectively, thus including the selected maximum if we assume that a trap

Table 1.  $R_0$  model parameter settings—an index of 1 denotes *Ae. aegypti* and an index of 2 denotes *Ae. albopictus*

Symbol	Description	Constant/formula	Comments	Refs.
$*a_1$ $*a_2$	Biting rates (per day)	$a_1 = 0.0043T + 0.0943$ $a_2 = 0.5 \times a_1$	The linear dependency to temperature was based on estimates for <i>Ae. aegypti</i> in Thailand; biting rates for <i>Ae. albopictus</i> were halved based on observed feeding interval data (18)	58, 59
$\phi_1$ $\phi_2$	Vector preferences (0–1)	$\phi_1 = 1[0.88–1]$ $\phi_2 = 0.5[0.24–1]$	Most studies show that <i>Ae. aegypti</i> mainly feeds on humans; <i>Ae. albopictus</i> can feed on other wild hosts (cats, dogs, swine...), and large differences are shown for feeding preference between urban and rural settings for this species	17, 54, 60–65
$b_1$ $b_2$	Transmission probability—vector to host (0–1)	$b_1 = 0.5[0.1–0.75]$ $b_2 = 0.5[0.1–0.75]$	Based on dengue parameters—estimates from a mathematical review study	66
$\beta_1$ $\beta_2$	Transmission probability—host to vector (0–1)	$\beta_1 = 0.1$ $\beta_2 = 0.033$	Recent laboratory experiment studies generally show low transmission efficiency (in saliva) for various vector/ZIKV strain combinations (South America and Africa); estimates from ref. 15 were used in the final model version	14–16
$*\mu_1$ $*\mu_2$	Mortality rates (0–1 per day)	$\mu_1 = 1/(1.22 + \exp(-3.05 + 0.72T)) + 0.196$ if $T < 22^\circ\text{C}$ $\mu_1 = 1/(1.14 + \exp(5.14 - 1.37T)) + 0.192$ if $T \geq 22^\circ\text{C}$ $\mu_2 = 1/(1.1 + \exp(-4.04 + 0.5767T)) + 0.12$ if $T < 15^\circ\text{C}$ $\mu_2 = 0.000339T^2 - 0.0189T + 0.336$ if $15^\circ\text{C} \leq T < 26.3^\circ\text{C}$ $\mu_2 = 1/(1.065 + \exp(32.2 - 0.92T)) + 0.0747$ if $T \geq 26.3^\circ\text{C}$	Mortality rates were derived for both mosquito vectors from published estimates based on both laboratory and field data	67
$*eip_1$ $*eip_2$	EIP (days)	$eip_1 = 1/\nu_1 = 4 + \exp(5.15 - 0.123T)$ $eip_2 = 1/\nu_2 = 1.03(4 + \exp(5.15 - 0.123T))$	EIPs for dengue were used because estimates for ZIKV were only available at a single temperature; 50% (100%) of <i>Ae. aegypti</i> mosquitoes were infected by ZIKV after 5 d (10 d) at $29^\circ\text{C}$ (7). An EIP longer than 7 d was reported in ref. 15 at similar temperature. Model estimates for dengue suggest $eip_1 \sim 8–9$ d at $29^\circ\text{C}$ . The 1.03 multiplying factor for <i>Ae. albopictus</i> was derived from ref. 67	68
$m_1$ $m_2$	Vector to host ratios	$m_1 = 1,000 \times prob_1$ $m_2 = 1,000 \times prob_2$	$m$ was derived as the product of a constant with probability of occurrences published at global scale for both mosquito vectors; <i>Materials and Methods</i> has additional details	51
$r$	Recovery rate (per day)	$r = 1/7$		69

$T$ , temperature.

\*Parameters that are dynamically simulated in space and time over the whole time period.

is a potential host. Biting rate estimates for *Ae. aegypti* of about 150 bites per person per day were reported for Thailand over a 7-mo period (55). In Macao, biting rates were reported to range between 94 and 314 bites per person per day (54). Our estimates of ( $m \times a$ ) range between 100 and 250 bites per person per day for *Ae. aegypti* and between 25 and 125 bites per person per day for *Ae. albopictus* if we assume  $m = 1,000$  (SI Appendix, Fig. S17).

The percentages of  $R_0$  attributed to *Ae. aegypti* ( $R_{11}/R_0^2$ ) and *Ae. albopictus* ( $R_{22}/R_0^2$ ) were derived from Eq. 1, which can be rewritten as  $1 = 100\% = R_{11}/R_0^2 + R_{22}/R_0^2$ . An explicit mathematical derivation of the  $R_0$  model is provided in *SI Appendix*; parameter setting details and the publication references used to estimate them are shown and discussed in Table 1.

**$R_0$  Model Integration and Driving Datasets.** The Zika  $R_0$  model is dynamic, meaning that some epidemiological parameters are varying in both space and time from 1948 to 2015. The model runs on a monthly time step. To incorporate rainfall seasonality effects, we used a criterion derived for malaria in Africa within the Mapping Malaria Risk in Africa project framework [e.g.,

“80 mm per month for at least five months for stable transmission” (56)]. If the criterion was not met, we assumed that  $R_0 = 0$  for a particular location and month. All spatially varying parameters were interpolated to the temperature data grid.

For temperature, we used gridded data, which combine station data from the Global Historical Climatology Network version 2 with the Climate Anomaly Monitoring System (52). This monthly temperature dataset is available at  $0.5^\circ \times 0.5^\circ$ -square resolution at global scale for the period 1948–2015. For rainfall, we used the Global Precipitation Climatology Centre global rainfall data available at similar spatial and time resolution for the same time period (53).

**$R_0$  Model Validation.** Countries with active transmission of ZIKV (Fig. 1C) were obtained from the CDC at [www.cdc.gov/zika/geo/active-countries.html](http://www.cdc.gov/zika/geo/active-countries.html) and the European Center for Disease Prevention and Control at [ecdc.europa.eu/en/healthtopics/zika\\_virus\\_infection/zika-outbreak/pages/zika-countries-with-transmission.aspx](http://ecdc.europa.eu/en/healthtopics/zika_virus_infection/zika-outbreak/pages/zika-countries-with-transmission.aspx). Historical circulation of ZIKV at country scale (including seroprevalence estimates) was derived from refs. 22 and 57. Baseline  $R_0$

estimates for Rio de Janeiro (Fig. 2) were mathematically derived from reported cases provided by the Brazilian Notifiable Information System (31).  $R_0$  estimates for Colombia (Fig. 2) were mathematically derived from reported cases provided by the Instituto Nacional de Salud de Bogotá (32).

**Supplementary Information.** Additional details about the model design, the model validation, and additional analysis are provided in [SI Appendix](#).

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