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11	defaunated tropical forests
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30 Abstract

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The worldwide decline in populations of large-bodied vertebrates due to deforestation and poaching 31 32 threatens the persistence of animal-dispersed plants by reducing long distance seed dispersal and generating aggregated seed rain patterns. We evaluated whether the composition of maternal trees 33 34 contributing to the seed rain is also impacted by the loss of large frugivores. By combining molecular tools with a thorough sampling of the frugivore-generated seed rain we quantified the 35 36 number of seeds, richness of maternal progenies and number of maternal effective alleles in the seed rain of a tropical palm (*Euterpe edulis*) across ten Atlantic Forest remnants with varying levels 37 38 of avian defaunation and density of palm conspecifics. Forest structure in defaunated areas was 39 characterized by higher canopy openness. Defaunation did not affect the number of seeds dispersed 40 or of effective alleles, but, together with palm density, was associated with higher numbers of 41 maternal genotypes in the seed rain. This result suggests that medium-sized birds may play an important role in mixing maternal genotypes where large-sized frugivores have been extirpated. 42 Defaunation, however, impacted the spatial distribution of seeds, with deposition sites in avian 43 depauperated forests less likely to receive at least one seed. Synthesis: Our study suggests that 44 medium-sized frugivores contribute to maintaining the quantitative component of seed dispersal and 45 local genetic diversity of a threatened tropical palm in human degraded forests and, therefore, may 46 47 be important for guaranteeing the persistence of remnant animal-dispersed plant populations under scenarios of rapid environmental change. The loss of large-bodied frugivores, however, can disrupt 48 49 longer dispersal events and strengthen the dispersal spatial limitation, with consequences for plant spatial distribution and fine-scale genetic structure at the population level. 50

51 Keywords: allele diversity, Atlantic forest, anthropogenic defaunation, *Euterpe edulis*, frugivory,
52 maternal progeny, microhabitat, thrushes

53 Introduction

54 Populations of numerous large-bodied vertebrates have been extirpated or are in continuous decline worldwide as a result of extensive deforestation and poaching (Ceballos, Ehrlich, & Dirzo, 55 2017; Dirzo et al., 2014). Consequently, the persistence of animal-dispersed plants that inhabit 56 animal-impoverished forests is threatened because they require the dispersal services provided by 57 frugivorous vertebrates to complete their life cycle (Caughlin et al., 2014; Jordano, 2013; Kurten, 58 2013). Specifically, the loss of large frugivores may impair seed dispersal services if extant 59 medium- to small-bodied vertebrates only provide suboptimal dispersal services because: (i) they 60 61 often mobilize a reduced fraction of propagules (Holbrook & Loiselle, 2009); (ii) they are not able 62 to ingest large-sized seeds, and therefore, only medium- to small-sized seeds are dispersed (Galetti et al., 2013; Carvalho, Souza, & Côrtes accepted); and (iii) they seldom reach distant sites, which 63 reduces connectivity at the landscape level (Pérez-Méndez, Jordano, García, & Valido, 2016). As a 64 result, the loss of frugivores may lead to seed dissemination limitation if extant dispersers are not 65 sufficient to disperse all seeds away from the parent trees and beyond the genetic neighborhood (i.e. 66 demo-genetic limitation) and if seeds are dispersed in an aggregated fashion (i.e. spatially 67 contagious limitation) (Jordano, 2017; Jordano & Godoy, 2002; Schupp, Milleron, & Russo, 2002). 68 For example, recent studies have shown that defaunation reduced the frequency of long-distance 69 70 dispersal events (Caughlin et al., 2014; Pérez-Méndez, Jordano, & Valido, 2015). Yet, there is a lack of knowledge about the extent to which the richness of maternal progenies in the seed rain, that 71 72 is the number of mother trees contributing to seed clumps in deposition sites, is impacted by the 73 loss of large frugivores. This is important because the spatial distribution of the maternal progenies 74 sets the initial genetic template from which dispersed seeds germinate and, eventually, get 75 established (Browne & Karubian, 2016; García & Grivet, 2011). The mixture of unrelated maternal progenies can increase the probability of seedling survival, in turn contributing to the maintenance 76 77 of high population genetic diversity (Browne & Karubian, 2016; Scofield, Smouse, Karubian, & Sork, 2012). Therefore, evaluating how defaunation modifies the distribution of the maternal 78 79 progenies in a frugivore-generated seed rain is crucial to forecast the probabilities of animaldependent forest species to persist in an increasingly defaunated world. 80

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Frugivores shape the seed rain in distinctive fashions according to their morphology and foraging behavior (Choo, Juenger, & Simpson, 2012; Côrtes & Uriarte, 2013; Karubian, Sork,

84 Roorda, Durães, & Smith, 2010). As a result, both the quantitative (i.e. the number of removed 85 seeds) and the qualitative components of seed dispersal (i.e. the dispersal distance and quality of deposition microsite) can be impacted by the composition of the frugivorous assemblages (Jordano 86 & Schupp, 2000). For example, large frugivores move and disperse seeds to distant locations 87 (Bueno et al., 2013; Holbrook & Loiselle, 2009), potentially bringing new maternal progenies from 88 other genetic neighborhoods or populations (García, Jordano, & Godoy, 2007; Jordano, 2017; 89 Jordano, Garcia, Godoy, & Garcia-Castano, 2007). Therefore, genetic diversity is expected to 90 increase while genetic divergence is expected to decrease both at the seed rain and the population 91 level (Karubian, Ottewell, Link, & Di Fiore, 2015; Scofield et al., 2012). In contrast, small- and 92 93 medium-bodied birds, such as thrushes, typically move locally and ingest few small seeds per visit (Galetti et al., 2013; Jordano et al., 2007), resulting in clumps of full- or half-sibling seeds (maternal 94 progenies) dispersed nearby the mother tree (Caughlin et al., 2014; García, Jordano, Arroyo, & 95 Godoy, 2009). This foraging pattern reduces the local genetic diversity in the seed rain (Grivet, 96 Smouse, & Sork, 2005) and may decrease population genetic diversity in the long term (Giombini, 97 Bravo, Sica, & Tosto, 2017). Additionally, frugivore behavior is mediated by fine-scale 98 99 environmental heterogeneity, which influences foraging and seed deposition patterns and imprints 100 the spatial distribution of genetic variation in plant populations (García et al., 2009). For example, 101 sites with high density of fruiting trees typically attract more frugivores, increasing seed removal 102 and deposition (Alcántara, Rey, Valera, & Sánchez-Lafuente, 2000; Carlo & Morales, 2008). At the 103 same time, clumped distribution of fruiting trees might decrease seed dispersal distances because 104 frugivores move short distances to forage on different maternal trees (Carlo & Morales, 2008). This contributes to the admixture of maternal progenies at the local scale that might maintain high 105 106 genetic diversity among deposition sites within a population (García et al., 2009; Giombini et al., 2017). Therefore, the structure of forest canopy and particularly the density of fruiting conspecifics 107 might enhance or dilute the impact of frugivore loss on the distribution of maternal progenies in the 108 109 seed rain (Côrtes & Uriarte, 2013).

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111 The extirpation of large-bodied avian frugivores has been previously associated with 112 microevolutionary shifts in seed size (Galetti et al., 2013; Carvalho et al., accepted) and allele 113 frequencies (Carvalho, Galetti, Colevatti, & Jordano, 2016) in our study species, the neotropical 114 palm *Euterpe edulis*. Here we characterize the spatial distribution of maternal progenies in a

frugivore-generated seed rain of the palm Euterpe edulis across areas subjected to different levels of 115 116 frugivore loss. By combining molecular tools with a thorough sampling of the frugivore-generated seed rain we quantified the number of seeds, the richness of maternal palms contributing to the seed 117 rain (i.e., maternal progenies) and the number of maternal effective alleles in pre-established 118 deposition sites (Fig. 1). We analyzed these variables as a function of defaunation, density of 119 conspecific fruiting palms and forest canopy structure. Small to medium-sized birds, mostly 120 thrushes (Turdus spp.), visit palms more often than large birds and tend to remove fruits from 121 multiple fruiting trees. We expect that the richness of maternal progenies will be negatively 122 123 associated with defaunation because the lack of large frugivores hinder the input of new genotypes 124 from beyond the immediate neighborhood (Table 1). Because large frugivores are usually more mobile than medium-sized frugivores and disperse seeds to distant locations (Bueno et al., 2013; 125 Holbrook & Loiselle, 2009), we also expect seed dispersal to be more spatially limited in 126 defaunated areas (Pérez-Méndez et al., 2016), so that deposition sites are less likely to receive 127 dispersed seeds. Also, we hypothesize that the richness of maternal progenies will reflect the local 128 129 density of conspecific palms owing to the intense and local foraging activity of *Turdus* spp. (Table 130 1). Finally, we hypothesize that the amount of overlap in the composition of maternal progenies between deposition sites will be higher in forests with richer avian assemblages due to frugivores 131 132 that act as mobile links among patches in the forest (Table 1). This is because large avian birds tend to ingest many fruits at once and can move long distances, promoting dispersal of siblings across 133 134 multiple sites.

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136 Material and Methods

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138 *Study area and species*

The Brazilian Atlantic Forest is an ideal system to test the effects of anthropogenic defaunation on ecological and evolutionary processes because it has been intensively disturbed by human activities for centuries; however, we can still find preserved areas along its distribution. The Atlantic Forest originally covered the Atlantic coast of Brazil extending from the Northeast to the Southern region of the continent, including parts of Argentina and Paraguay (Joly, Metzger, & Tabarelli, 2014). Today it has been reduced to 12% of its original extension, which is estimated in

145 150 million ha (Ribeiro, Metzger, Martensen, Ponzoni, & Hirota, 2009). Habitat fragmentation, 146 among other drivers, has caused a continuous and alarming loss of biodiversity during the last ~500 yr, resulting in a landscape composed of natural habitat islands surrounded by croplands, pastures 147 and urban matrix (Joly et al., 2014). The juçara palm (Euterpe edulis, Mart. Arecaceae) was once 148 one of the dominant trees in the Atlantic forest but it is currently endangered and locally extinct in 149 many areas owing to illegal harvesting of its edible meristem (Galetti & Fernandez, 1998). Euterpe 150 edulis is a self-compatible monoecious species with predominantly outcrossed reproduction 151 152 (Gaiotto, Grattapaglia, & Vencovsky, 2003) pollinated by small-sized bees (e.g., Trigona spinipes). 153 This species bears fruits once a year with the fruiting season usually spanning around three months (Castro, Galetti, & Morellato, 2007; Galetti, Zipparo, & Morellato, 1999). This palm produces 154 ellipsoid fleshy fruits ranging from 6.7 to 16.6 mm in diameter (Galetti et al., 2013; Pizo, Von 155 Allmen, & Morellato, 2006), eaten by more than 58 birds and 20 mammalian species (Galetti et al., 156 2013). Yet, seeds are mostly dispersed by a reduced subset of large frugivorous birds such as 157 cotingas (Procnias nudicollis), toucans (Ramphastos spp), and guans (Penelope spp. and Aburria 158 jacutinga) and medium-sized avian frugivores (Turdus spp.) (Bello et al., 2017; Galetti et al., 2013). 159 160 Historically, our study areas shared a similar assemblage of seed dispersers, but forest fragmentation and hunting have impoverished the assemblage of large vertebrates leading to 161 162 frugivore downsizing across the Atlantic Forest (Galetti et al., 2013). There is no data available on when defaunation happened in our study areas, but it is known that deforestation in this region dates 163 164 back to the 1800s, through the conversion of continuous forest into agricultural field (mainly 165 coffee) (Dean, 1976 apud Galetti et al., 2013).

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167 Sampling design

168 We selected ten study areas in the southeastern region of the Brazilian Atlantic Forest (Fig. 2, Supporting Information Table S1). In each area we set and georeferenced (with a Garmin GPS) 169 15 deposition sites (Fig. S1) that consisted of two seed traps of 1×1 m placed side by side and 170 171 suspended 1 m above the ground. The deposition sites were distributed along transects in each study 172 area. We assured that each deposition site was placed at least 50 m apart from each other and not 173 directly below a fruiting palm to prevent collection of fruits not dispersed by frugivores. Density of fruiting palms in the immediate vicinities (within 8m-radius) of the deposition sites varied widely in 174 all study areas (from 0 to 26 fruiting palms per vicinities). Seed traps were monitored and dispersed 175

seeds collected every 40 days during the fruiting season of *E. edulis*, which usually spans around 3
months from April to September depending on the area (Galetti et al., 1999). Seeds were sampled
from four consecutives fruiting seasons (years 2013-2016). Dispersed seeds were collected and
stored in a paper bag until further analysis in the laboratory.

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To characterize the local environmental heterogeneity of the forest canopy we set an 8 m-181 182 radius centered in between each pair of seed traps (i.e., deposition site) to measure two sets of variables. This radius was chosen because a study using eleven 25x50 m plots from six areas along 183 the Atlantic Forest found that most E. edulis seedlings are within 8 m of the nearest adult palm 184 (adult stems), which may be evidence that the bulk of seed dispersal events happens within this 185 interval (Valverde et al. in review). The first set of variables characterizes the conspecific 186 neighborhood, including: (i) the number of fruiting palms; and (ii) the distance to the nearest 187 fruiting palm. These variables were evaluated once a year and, because they did not vary 188 considerably across years, we used the minimum distance to the nearest fruiting palm and the 189 maximum number of fruiting palm over the years. It was possible to identify all individuals that 190 191 fruited in each season because the empty infructescence remains attached to the palm for a long 192 period. The second set of variables depicts forest canopy structure in terms of: (i) distance to the nearest gap opening; (ii) number of total trees with dbh > 10 cm; (iii) and dbh > 30 cm; (iv) 193 percentage of open canopy above deposition sites; (v) leaf area index (LAI); and (vi) canopy height. 194 195 These variables were measured only once in the first year of sampling. We considered as "gap 196 opening" an area with partial or complete removal of tree crowns, leading to a higher incidence of light. Percentage of open canopy and LAI were estimated from hemispherical photography with 197 198 Gap Light Analyzer – GLA (Frazer, Canham, & Lertzman, 1999). The hemispherical photographs 199 were taken with a Nikon Fisheye Converter FC-E8 (Nikon, Tokyo, Japan) and the camera was 200 placed at the center of each deposition site, 1.30 m above the ground and oriented so that the top of 201 each photograph pointed north. The number of fruiting palms and distance to the nearest fruiting 202 palm were highly correlated (r = -0.62, p < 0.001), thus we retained only the first variable in further analysis. To depict forest structure, we applied a principal component analysis (PCA) to a matrix 203 204 containing all above-mentioned variables (columns) per deposition sites (rows). We retained the first PCA axis (PCA1) that explained 40% of the total variance. Positive values of PCA1 represent 205 deposition sites with denser and higher forest canopy, while negative values indicate sites with open 206

207 canopy (Fig. S2). PCA analysis was performed with the stats package (v 3.4.0) implemented in R (v 208 3.4.0) (Team, 2014).

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Defaunation level 210

211 We obtained the composition of the avian disperser community in each area based on 212 frugivory observations and census studies (Bello et al., 2017; Galetti et al., 2013). The defaunation 213 level (D) was measured as the difference between the number of species of seed dispersers in the 214 richest avian assemblage (Site A, n = 21, D = 0) and the number of species of seed dispersers found at the focal area, with area J being the most defaunated (n=4, D=17) (Table 2, Fig. 2). Thus, 215 defaunation levels were based on presence-absence data only. Despite the loss of some large-sized 216 217 frugivore birds, areas A-C presented very similar defaunation levels ranging between 0 and 4. The frugivore assemblages of these study areas were composed on average by 20 frugivores that 218 219 included families of large birds such as Ramphastidae, Trogonidae, Contigidae and Momotidae; and medium-sized birds of the Turdidae family (Fig. 2 and Table S3). Study areas D-F presented 220 221 intermediate defaunation values. The most defaunated areas were study areas G-J, with frugivore 222 assemblages mainly including species of the Turdidae family (i.e. thrushes). However, this index is 223 still useful as it reflects the loss of large birds across the areas, enabling us to test our hypotheses. Estimating species abundance for the entire frugivore assemblage in tropical areas is not an easy 224 task, because many species are rare, cryptic, and the vegetation structure makes it difficult to locate. 225 226

DNA extraction and genotyping 227

We identified the genotype of the mother tree for each dispersed seed (maternal progeny) by 228 genotyping its endocarp (i.e., maternal tissue) (Godoy & Jordano, 2001). We attempted to genotype 229 at least ten seeds from each deposition site, but we failed to obtain this number in some deposition 230 sites either because there were fewer seeds available or due to poor DNA amplification. Overall, we 231 232 extracted DNA from 1330 endocarps following the protocol described by Lucas et al. (2019). All 233 endocarps were genotyped using seven highly polymorphic microsatellite loci (EE3, EE23, EE25, EE45, EE47, EE52 and EE54) (Gaiotto, Brondani, & Grattapaglia, 2001), following PCR protocol 234 235 described by Lucas et al. (2019). DNA fragments were sized in ABI Prism 3100 automated DNA sequencer (Applied Biosystems, Foster City, CA, USA) using GeneScan Rox 500 size standard 236 (Applied Biosystems), and scored using GeneMapper v.4.1 software (Applied Biosystems). We 237

repeated all dubious genotypes and included only those that were scored without doubt in the analysis. In addition, we included a positive control that was unambiguously assigned to the same genotype in all fragment size analyses.

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We recorded a high rate of amplification failure possibly due to DNA degradation, 242 contamination from fungal or microbial DNA or the presence of polymerase inhibitors, such as 243 244 humic acids. These recurring failures led to a high number of incomplete multilocus genotypes. To avoid excluding incomplete multilocus genotypes we defined the minimum number of loci 245 246 necessary to distinguish individuals with high confidence (Supporting Information 4 – Fig. S4 and Table S4). We used complete multilocus genotypes of 296 adult palms from five study areas (two 247 248 defaunated and three with richer frugivore assemblages) to infer the probability of identity as a function of the number of loci and determine the minimum number of loci for which no two 249 individuals share the same genotypes (Waits, Luikart, & Taberlet, 2001). We found that a minimum 250 of six completely scored loci were sufficient to obtain distinct multilocus genotypes for the set of 251 296 adults. 646 endocarps out of 1330 (48 %) were successfully genotyped for at least six loci, and 252 all subsequent analyses were performed using the set of 646 endocarps (mean of 5.71 [3.75 SD] 253 seeds analyzed per deposition site). For these endocarps, all microsatellite loci showed 254 polymorphism ranging from 19 to 27 alleles (Table S4). We used Microchecker v 2.2.3 (Van 255 Oosterhout, Hutchinson, Wills, & Shipley, 2004) to search for genotyping errors and we used ML-256 Null Freq (http://www.montana.edu/kalinowski/software/null-freq.html) to infer the frequency of 257 258 null alleles using maximum likelihood estimation (Kalinowski & Taper, 2006) that varied between 0.02 and 0.07 across loci (Table S4). The overall missing-data load of our final data set was 2.2%. 259

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261 *Dispersal and allelic diversity analysis*

We identified maternal progenies (i.e., seeds from the same mother tree) by matching the multilocus genotypes of dispersed endocarps using the R package *allelematch* v 2.5 (Galpern, Manseau, Hettinga, Smith, & Wilson, 2012), allowing one allele mismatching to account for the possibility of genotyping errors that are expected when genotyping low-quality DNA sources, such as dispersed endocarp tissues (García et al., 2009; Lucas, Carvalho, Hypolito, & Côrtes, 2019). Therefore, multilocus genotypes that shared at least 13 alleles out of 14 were considered identical

268 and were assigned to a single maternal source. We have not repeated a subset of individuals to 269 estimate the rate of genotyping error. However, we estimate that the mismatch at one allele corresponds to the genotyping error of 11% estimated using a similar set of loci (J. Santos, 270 Varassin, Muschner, & Ovaskainen, 2018). To characterize the richness of maternal progenies 271 within and across deposition sites, we estimated the number of different maternal genotypes at the 272 deposition site and the mean at the study area levels, respectively. Moreover, we quantified the 273 274 amount of overlap in the maternal progenies between deposition sites (the number of mothers contributing simultaneously to pairs of deposition sites). Finally, we used the software GenAlEx 6.5 275 (Peakall & Smouse, 2012) to estimate the effective number of maternal alleles within deposition 276 sites and the mean across sites at the study area level. 277

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Influence of fruiting palm density, forest structure and defaunation on seed deposition, maternal
 progenies richness and genetic diversity patterns

The influence of fruiting palm density, forest structure and defaunation on seed deposition 281 282 patterns and seed rain genetic diversity were evaluated at the level of deposition site (n = 150) and study area (n = 10). We performed these analyses at two levels because the variables were 283 284 represented in different scales: whereas defaunation values are unique measurements per study area, 285 density of fruiting palms and forest structure characterize the microhabitat around deposition sites. Three models were tested at the microhabitat level, as follows: seed dispersal model- the effect of 286 fruiting palm density and forest structure (PCA1) on the number of dispersed seeds; maternal 287 progeny model- the effect of fruiting palm density, forest structure (PCA1) and number of dispersed 288 seeds on the number of maternal genotypes (maternal progenies); and allele dispersal model- the 289 effect of fruiting palm density, forest structure and number of dispersed seeds on the number of 290 maternal effective alleles. We fitted full models using zero inflated models because of the high 291 proportion of zero values in all response variables. We used the maximum-likelihood method using 292 R package glmmTMB (Magnusson et al., 2017), with the study area treated as random effect and 293 294 with a single zero-inflation parameter applied to all observations (ziformula=~1). Seed, maternal 295 progeny and allele dispersal models were fitted using negative binomial, Poisson, and normal error 296 distributions, respectively. The negative binomial and Poisson error distributions were used because number of seeds and number of maternal genotypes are discrete counting data. Because seed 297 dispersal models showed overdispersion, we used negative binomial error distribution. The normal 298

299 error distribution was used in allele dispersal models because the number of maternal effective alleles is a non-discrete variable. At the study area level, we tested five models. Instead of using 300 301 data of individual deposition sites, we used the mean number of dispersed seeds, mean number of maternal genotypes, and mean number of effective maternal alleles across deposition sites, the 302 proportion of deposition sites that received at least one seed per area, the density of fruiting palms 303 per area and the defaunation level per area. The models were constructed as follows: two seed 304 dispersal models- the effect of density of fruiting palms and defaunation level on the mean number 305 of dispersed seeds per area and on the proportion of deposition sites that received at least one seed 306 307 per area; one maternal progeny model- the effect of fruiting palm density, number of dispersed seeds and defaunation level on the mean number of maternal genotypes per area; one allele 308 309 dispersal model- the effect of fruiting palm density, number of dispersed seeds, and defaunation level on the mean number of effective alleles per area; and one *defaunation model*- the defaunation 310 level was modeled as a function of forest structure (average PCA1 across deposition sites) and 311 fruiting palm density. Forest structure was not included in the seed dispersal, maternal progeny and 312 313 allele dispersal models at the study area level because it was associated with defaunation level, as indicated by the results of the defaunation model above. Except for the variable of proportion of 314 315 deposition sites that received at least one seed per area that was modeled with binomial distribution, 316 all study area models were fitted using linear models with normal error distributions and the maximum-likelihood method. 317

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319 Because the number of genotyped seeds used to quantify the number of maternal progenies differed across deposition sites, we included the number of genotyped seeds as an offset parameter 320 321 in all models. An offset variable is one that is treated like a regression covariate whose parameter is fixed to be 1.0. It is useful when the response variable is estimated from different sample sizes, as 322 323 was the case for the variable richness of maternal genotypes. Starting with the full model, we fitted nested models with all possible combinations of the fixed effects using the *dredge* function from R 324 325 package MuMIn (Barton & Barton, 2018), and then compared all models using AICc. The set of 326 best models ($\Delta AICc \leq 2$) were compared to reduced models without each predictor variable using 327 likelihood ratio tests (LRT, $\alpha = 0.05$). Significance levels of LRT (p < 0.05) are highlighted with * in the Table 3. Finally, the results of best models were plotted using the effects R package (Fox, 328 329 2003).

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331 Results

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333 *Influence of fruiting palm density, forest structure and defaunation on seed deposition patterns*

The number of dispersed seeds varied across deposition sites from zero (23% of deposition 334 sites) to a maximum of 325 (Table 2 and Fig. S5a,b). Overall, 53% of the deposition sites received 335 336 between one and 10 seeds and 23% collected more than 11 seeds. The number of dispersed seeds per deposition site was positively affected by local palm density, but was not influenced by the level 337 of defaunation nor forest structure (Table 3, Fig. 3A and Table S5a,b). We found, however, that 338 deposition sites in more defaunated areas were less likely to receive at least one seed (p-value for 339 LRT = 0.01) (Table 3, Fig. 3C and Table S5a,b), indicating that there are more unvisited patches in 340 341 areas with fewer disperser species, which suggests dispersal spatial limitation.

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Influence of fruiting palm density, forest structure and defaunation on maternal progenies richness
and genetic diversity patterns

In total, we identified 390 mother trees (different maternal genotypes) contributing to the 345 sampled seed rain of 646 seeds (Table 2). The number of mother trees contributing to the seed rain 346 347 varied widely among deposition sites and across study areas (Table 2, Fig. S5b). The number of different maternal genotypes across the deposition sites varied from 0 to 12 in all areas. We 348 349 observed that most of the contributing mother trees contributed with a single seed dispersal event 350 (68.2%), but some trees contributed with up to 12 seeds in areas with richer frugivore assemblage (Fig. S5b). At the microhabitat level, the null model was among the plausible candidate models 351 indicating that the number of different maternal genotypes was not influenced by any of the tested 352 353 covariates (number of dispersed seeds, fruiting palm density and forest structure) (Table 3 and 354 Table S5b). At the study area, however, the mean number of maternal genotypes was positively 355 influenced by the level of defaunation (p-value for LRT = 0.01) and the fruiting palm density (p-356 value for LRT = 0.001 and 0.0001) (Table 3, Fig. 3D,E and Table S5a,b). The level of defaunation 357 was present in only one model in combination with palm density. The number of effective alleles 358 was not influenced by any of the covariates at the study area level; however, it was influenced by

the number of dispersed seeds at the microhabitat level (*p*-value for LRT = 0.03) (Table 3, Fig.3B and Table S5a,b). Finally, the defaunation level was negatively associated with the forest canopy structure, with denser and higher forest canopy associated with higher richness of frugivores (*p*value for LRT = 0.0006) (Table 3, Fig. 3F and Table S5a,b).

In general, each deposition site contained seeds from a distinctive pool of mothers, suggesting maternal progenies are highly spatially structured in all areas. We only found five instances of shared maternal progenies between deposition sites, all occurring in areas with largebodied frugivores (Fig. S1).

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368 Discussion

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In this study we aimed to disentangle the effect of defaunation, palm density and forest 370 structure factors in determining the seed deposition patterns across human-dominated Atlantic 371 372 forests. We used polymorphic microsatellite markers to assess the maternal composition and genetic 373 diversity in the seed rain of Euterpe edulis in ten forest remnants under distinct levels of avian 374 frugivore defaunation. Our hypotheses were partially corroborated by our results (Table 1). In general, our results indicate that medium-sized birds may have an important role in providing 375 376 dispersal services to *Euterpe edulis*, because even in the absence of large seed dispersers, a sizeable quantity of seeds from multiple fruiting trees is dispersed. This is particularly important for the 377 maintenance of high population genetic diversity. However, the fact that deposition sites in more 378 defaunated areas were less likely to receive at least one seed is evidence of spatial seed limitation 379 owing to the loss of large frugivores that are more likely to move seeds beyond the immediate 380 381 maternal neighborhood (Jordano, 2017; Jordano & Godoy, 2002; Schupp et al., 2002).

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Influence of defaunation on seed deposition patterns and maternal progenies richness in the seedrain

Richer assemblages of avian frugivores, including species of medium- and large-sized frugivores, were more prone to occur in areas with denser and higher forest canopy. Similar results have been found in other Atlantic forest areas showing that the diversity of forest-dependent birds

decreases with the simplification of vegetation complexity (Morante-Filho, Arroyo-Rodríguez,
Pessoa, Cazetta, & Faria, 2018). It is possible that higher vegetation complexity provides higher
availability of food resources (Morante-Filho et al., 2018) or that hunting pressure co-varies with
selective logging that simplifies the vegetation complexity.

Our results show that plant populations lacking large-sized dispersers still receive 393 quantitatively efficient dispersal services (i.e. quantity of dispersed seeds sensus Schupp et al., 394 395 2002) when an active set of medium-sized frugivores is still in place. This pattern was found in 396 other studies that report medium-sized seeded plants being dispersed by extant small- and mediumbodied frugivores in degraded habitats (Farwig, Schabo, & Albrecht, 2017; Pérez-Méndez et al., 397 2015). Previous studies have attributed this result to competition release caused by the extirpation 398 of the large seed dispersers, which results in augmented densities of small- and medium-sized 399 frugivores (Farwig et al., 2017; Kurten, 2013). In our study, this result can be attributed to the fact 400 that medium-sized frugivores are, quantitively, the most effective E. edulis seed dispersers in 401 defaunated and pristine forest (Carvalho et al., 2019; Galetti et al., 2013; Rother, Pizo, & Jordano, 402 403 2016). Thus, the loss of large frugivores might not impact the amount of seed being dispersed. In contrast to quantitative aspects, our results showed that the loss of large frugivores reduced some 404 405 qualitative aspects of dispersal services. Deposition sites in areas with impoverished fauna were less likely to receive at least one seed, indicating that dispersal is more spatially limited. The spatial 406 407 dispersal limitation can preclude seeds to reach and colonize new microhabitats and, therefore, 408 intensify plant aggregation over time (Schupp et al., 2002).

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410 The distribution of maternal progenies in the seed rain can be explained by differences in foraging behavior between medium- and large-sized frugivores. Thrushes (Turdus spp.) are the 411 412 main seed dispersers of Euterpe edulis (Galetti et al., 2013; Rother et al., 2016) because they occur in pristine and degraded habitats and are the species that remove the largest amount of seeds. Their 413 414 small body and gape sizes allow thrushes to consume and disperse only one or two seeds per visit when they forage on E. edulis (Galetti et al., 2013; Rother et al., 2016). Their limited movement 415 416 patterns and fast gut retention time (Morales, García, Martínez, Rodriguez-Pérez, & Herrera, 2013) result in local dispersal patterns (García et al., 2009; Jordano, 2017). Therefore, thrushes most likely 417 generate seed rains with high number of mother trees at the microhabitat and study area levels. In 418

419 contrast, large-sized frugivores, only found in more preserved areas, consume large quantities of 420 fruits per visit (Galetti et al., 2013; Rother et al., 2016) and, therefore, are more likely to disperse numerous sibs propagules to the same deposition site (Jordano, 2017). Consequently, the average 421 number of maternal genotypes was not negatively impacted by defaunation. These results indicate 422 that medium-sized, abundant frugivores, are key to maintaining local genetic diversity in areas 423 subjected to different levels of human-driven perturbation. The mixture of maternal progenies 424 provided by these frugivores may be crucial to the local demographic cycle of *E. edulis* because 425 experimental studies have documented influences of the genetic relatedness among neighbor seeds 426 427 on germination and seedling survival rates (Browne & Karubian, 2016; Scofield et al., 2012). 428 Nevertheless, it is worth mentioning that, because of high seed size variation, small seeds of E. edulis can still be dispersed in defaunated areas (Carvalho et al., accepted). It is important to note, 429 however, that different outcomes would be expected for larger seeded plant species that can only be 430 dispersed by larger bodied frugivores. In the Atlantic Forest, for example, species with seed length 431 > 16 mm represent about 28% of vertebrate-dispersed species (Bello et al., 2017). For these species, 432 medium-sized frugivores are not able to compensate for the loss of larger frugivores and we would 433 expect a decrease or collapse of seed dispersal at local and landscape scale (Pérez-Méndez et al., 434 435 2016; Pérez-Méndez, Jordano, & Valido, 2018).

436

Overall, each deposition site contained seeds from a different set of mothers, suggesting 437 438 maternal progenies are highly spatially structured in all areas. We did find, however, a few events 439 of shared maternal progeny between deposition sites in areas with large-bodied frugivores, which may indicate overlap in spatially ample seed shadows generated by these birds. These results 440 441 suggest that large frugivores are able to move and disperse seeds of *E. edulis* beyond the immediate 442 vicinities of maternal plant, promoting small scale gene flow between microsites. More defaunated 443 areas did not present any sharing of siblings between deposition sites, suggesting that defaunation of large-bodied frugivores lead to the collapse of movements away from the genetic neighborhood 444 445 (Jordano, 2017). Thus, although medium-sized frugivores are able to maintain local genetic diversity in fragmented and animal-impoverished landscapes (Carvalho et al., 2016), the loss of 446 447 large frugivores impose a dispersal limitation in distance for this species, which may have lasting effects on gene flow and genetic structure (Carvalho et al., 2016; A. S. Santos, Cazetta, Dodonov, 448

Faria, & Gaiotto, 2016). It is undeniable, however, that we could have observed more instances ofshared progenies among deposition sites if sampling was to be continued.

451

Because medium-sized frugivores are by far the most effective seed disperser in defaunated 452 forests (Carvalho et al., 2019; Galetti et al., 2013), we could indirectly attribute seed and genotype 453 dispersal patterns to these species. However, the same is not possible in pristine forests because of 454 the high diversity of frugivores contributing to dispersal and the lack of species-specific 455 diagnosable signs left on dispersed seeds. Thus, to effectively understand the contribution of each 456 457 seed disperser to the seed rain future studies may take advantage of molecular tools, such as DNA barcoding, to identify the frugivores of each dispersed seed (González-Varo, Carvalho, Arroyo, & 458 Jordano, 2017). 459

460

461 Fruiting palm density influence seed deposition patterns and maternal progenies richness in the 462 seed rain

463 Our results also showed a positive effect of fruiting palm density on the number of dispersed 464 seeds and maternal progenies at the microhabitat and/or study area levels. This effect can be a result 465 of overlapping seed shadows of nearby palm conspecifics due to short dispersal distances (Alcántara et al., 2000; Schupp et al., 2002) or the attraction of seed dispersers towards sites with 466 high availability of fruit resources (Carlo & Morales, 2008) leading to contagious dispersal 467 limitation (Schupp et al., 2002). At this moment is difficult to tease both processes apart because we 468 are still conducting maternity analysis to precisely assign seeds to the most probable mother tree 469 470 within the deposition site vicinities. It is important, however, to emphasize that the density of adults 471 of E. edulis has been decreasing in most of forests due to illegal harvesting of its edible meristem 472 (Galetti & Fernandez, 1998). Therefore, we highlight the importance of maintaining high densities 473 of E. edulis to prevent the loss of local genetic diversity (Carvalho et al., 2019).

474

475 Conclusion

We suggest that medium-sized frugivores disperse large amount of seeds and contribute to the maintenance of high local genetic diversity in human degraded forests. Nevertheless, the loss of large-sized seed dispersers impacted the quality of seed dispersal service, mainly in terms of

479 dispersal away from the local neighborhood, with anticipated negative effects in the long term for 480 the persistence of a key palm tropical tree E. edulis. Our results are consistent with previous findings on the effect of defaunation on genetic diversity and differentiation of E. edulis populations 481 (Carvalho et al., 2016; Carvalho, Ribeiro, Côrtes, Galetti, & Collevatti, 2015; Carvalho et al., 2019). 482 Previously, we reported that the functional loss of large seed dispersers resulted in genetic 483 differentiation among E. edulis populations; however, unexpectedly, we did not find evidence that 484 485 defaunation would reduce genetic diversity or increased fine-spatial genetic structure at the seedlings stages (Carvalho et al., 2016; Carvalho, Ribeiro, Cortes, Galetti, & Collevatti, 2015). 486 Because we lacked understanding about the fine-scale processes generating spatial and genetic 487 distribution of E. edulis, these patterns were attributed to time-lag effects between defaunation and 488 genetic changes. The present results indicate that the maintenance of genetic diversity in frugivore 489 impoverished areas may be driven by the dispersal activity of remnant medium-sized frugivores in 490 dispersing seeds of different mother trees to the same deposition site. Thus, our study highlights that 491 medium-sized frugivores contribute to ecological services to a threatened tropical palm and, 492 therefore, may be critical for promoting evolutionary endurance under scenarios of rapid 493 494 environmental change.

495

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506 Authors Contributions: CSC and MCC conceptualized and performed the study design. CSC 507 collected, analyzed the data and wrote the manuscript. MSL helped collecting samples and

contributed to writing the manuscript. CG, MCC, and PJ contributed to data analyses and to writingthe manuscript.

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511 Data Accessibility Statement: Data available via the Figshare repository:
512 10.6084/m9.figshare.13129913. All the mentioned R scripts are available in the Zenodo repository:
513 10.5281/zenodo.4118367.

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699 Figure legends

Figure 1: Scheme illustrating the expected richness of maternal progenies in frugivore-generated seed rains in forests with richer frugivore assemblages (A) and defaunated forest areas (B). Withindeposition sites represents the richness of maternal progenies; and between-deposition sites represents the overlap of maternal progenies between pair of deposition sites. Circles represent dispersed seeds and colors indicate mother trees (i.e., seed sources). Therefore, circles of the same color are progeny seeds from the same mother tree (i.e., siblings). Diagonally crossed circles indicate seed deposition sites that do not share maternal progenies.

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Figure 2: Geographic distribution of ten study areas where we characterized seed deposition 708 patterns and the richness of the maternal progenies of Euterpe edulis in the Brazilian Atlantic 709 710 Forest. The São Paulo state is outlined in the inset with Brazil's map and states; remnants of Atlantic Forest are represented in green. Codes indicate the level of defaunation with area A 711 harboring the most complete frugivore assemblage and J the most defaunated assemblage lacking 712 large fruit-eating birds. Bar plot represents the number of avian frugivores that disperse seeds of E. 713 edulis in each study area (dark pink representing large birds and light pink small-medium birds). 714 Large birds are the avian frugivores with gape sizes wider than 16.6 mm, which is the maximum 715 fruit diameter found for E. edulis. 716

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Figure 3: Results summary and effect plots (A-F) of the influence of density of fruiting palms, forest structure and defaunation on seed deposition, maternal progenies and effective alleles patterns of *Euterpe edulis* at the microhabitat and study area levels in the Atlantic Forest. Solid and dashed arrows indicate positive and negative statistically significant associations, respectively. The blue band is a 95% confidence envelope for the estimated coefficients.

Table 1: Hypotheses of how defaunation, palm density and forest structure may influence the richness of maternal progenies, the number of effective alleles and the number of dispersed seeds of *Euterpe edulis*. (+), (-) and (=) mean positive, negative and no effect, respectively. \checkmark represents hypotheses that were corroborated.

	Ecological theory	Expected effects on seed dispersal, maternal composition and genetic
		diversity in the seed rain
Greater defaunation		(=) Number of dispersed seeds
	Small birds are the most abundant in defaunated and non- defaunated areas. They ingest one or few seeds per visit but are frequent visitors. Defaunation may affect plant populations by decreasing seed dispersal distance but not the number of	 (-) Proportion of deposition sites ✓ that receive seeds (-) Richness of maternal progenies (-) Overlap of maternal progenies between seed deposition sites
	seeds being dispersed.	(-) Number of effective alleles
Greater palm density		(+) Number of dispersed seeds \checkmark
	High density of fruiting plants may attract more birds increasing seed removal and seed deposition from different nearby trees	(+) Richness of maternal progenies
	Sources.	(+) Number of effective alleles
Denser forest structure	Forest structure can determine	(+) Number of dispersed seeds
	seed rain patterns because of avoidance of frugivores to open habitats. Dense forest canopy	(+) Richness of maternal progenies
	may receive more dispersed	(+) Number of effective alleles

seeds from different sources.

Table 2: Characterization of the seed deposition and maternal progenies in the seed rain of *Euterpe edulis* in each study area. For each study area we report the defaunation level; fruiting palm density; mean number of sampled dispersed seeds per deposition site; percentage of the 15 deposition sites that did not receive any dispersed seeds; total number of genotyped endocarps; total number of maternal progenies per study area; mean number of different maternal progenies per deposition sites in the study area. The names of study areas are in Table S1.

Study area	Defaunation level	Fruiting palm density	Mean N of dispersed seeds (SD)	% of empty deposition sites	N of genotyped endocarps	Total N of different maternal progenies	Mean N of different maternal progenies (SD)
A	0	8.33	13.00 (9.55)	0	98	52	3.46 (1.30)
В	1	0.80	2.75 (4.22)	33	57	27	1.80 (1.69)
С	4	8.13	5.06 (3.33)	0	119	71	4.86 (2.47)
D	8	1.20	2.07 (4.23)	46	32	20	1.33 (1.44)
Е	10	2.15	7.19 (9.69)	8	23	16	1.61 (1.44)
F	12	3.80	4.89 (5.73)	33	52	30	2.20 (1.74)
G	12	3.60	31.26 (57.83)	20	36	24	1.73 (1.57)
Н	13	10.8	25.4 (31.36)	0	148	93	6.20 (2.39)
Ι	15	1.93	4.31 (4.13)	7	77	53	3.53 (1.92)
J	17	0.86	1.15 (2.39)	80	4	4	0.40 (0.73)

Table 3: Summary of the microhabitat and study area models showing the best-fitting models ($\Delta AIC \leq 2$) for seed deposition, richness of maternal progenies and effective maternal alleles in the seed rain of *Euterpe edulis* in 150 deposition sites across ten study areas in the Brazilian Atlantic Forest. Response variables are: number of dispersed seeds, number of different maternal genotypes, and number of effective alleles per deposition site (microhabitat-level models); mean number of dispersed seeds, different maternal genotypes, and effective alleles across deposition sites; the proportion of deposition sites that received at least one seed; and defaunation level (study area-level models). The predictors differ among models and may include the number of dispersed seeds, density of fruiting palms, forest canopy structure, and defaunation level. The null model represents the absence of an effect; K, the number of estimated parameters; AICc, Akaike corrected for small samples and wAICc, Akaike's weight of evidence that were calculated across all considered models. Likelihood Ratio Test (LRT) was performed to assess if each predictor variable significantly improved the model's loglikelihood. Significance levels are highlighted with *p < 0.05. Information about these models is available in Table S5a in the Supporting Information.

	Response	Model ^a		AICc	AAICe	wAICc
	N of dispersed seeds	Fruiting palm density*		879.1	0.00	0.52
	-	Fruiting palm density* + Forest canopy structure		879.3	0.19	0.48
 Microhabitat	N of different maternal genotypes	Null model	3	443.1	0.00	0.37
models		N of dispersed seeds	4	444.9	1.75	0.16
		Forest canopy structure	4	445.0	1.87	0.15
_	N of effective alleles	N dispersed seeds*	5	369.8	0.00	0.45

		Mean N of dispersed seeds
		Proportion of deposition sites
		that received at least one seed
		Mean N of different maternal
	Study area models	genotypes
		Mean N of effective alleles
3		

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Fruiting palm density + N dispersed seeds*

Null model

Fruiting palm density

Fruiting palm density* + Defaunation*

Fruiting palm density*

Fruiting palm density*

Fruiting palm density* + Defaunation*

Fruiting palm density* + Defaunation*

Null model

Fruiting palm density

0.19

0.56

0.34

0.69

0.31

0.51

0.41

0.39

0.27

0.15

6

2

3

3

2

3

4

4

2

3

371.5

80.0

80.9

57.3

58.9

29.2

29.6

19.0

19.7

20.9

1.71

0.00

0.97

0.00

1.59

0.00

0.41

0.00

0.69

1.89

Population A







Microhabitat level





