1	Stroke and Thromboembolism in Warfarin-Treated Patients with Atrial
2	Fibrillation: Comparing the CHA <sub>2</sub> DS <sub>2</sub> -VASc and GARFIELD-AF Risk Scores
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#### 1 ABSTRACT

2 **Background:** Evaluation of thromboembolic risk is essential in anticoagulated atrial 3 fibrillation (AF) patients. The CHA<sub>2</sub>DS<sub>2</sub>-VASc score is largely validated and recommended by most guidelines. The GARFIELD-AF Stroke score has been 4 5 proposed as an alternative risk score. 6 Methods: We analyzed warfarin-treated patients from SPORTIF III and V studies. 7 Any thromboembolic event [TE] was an *adjudicated* study outcome. We compared 8 the two scores capacity in predicting any TE occurrence. 9 Results 3665 patients (median [IQR] age 72 [66-77] years; 30.5% female) were 10 included in this analysis. After a mean (SD) follow-up of 566.3 (142.5) days, 148 11 (4.03%) TEs were recorded. Both continuous CHA2DS2-VASc and GARFIELD-AF 12 were associated with TE (HR:1.37, 95% CI:1.22-1.53 and HR:2.43, 95% CI:1.72-13 3.42), with modest predictive ability (c-indexes: 0.63, 95% CI: 0.59-0.68 and 0.61, 14 95% CI:0.56-0.66, respectively), with no differences. CHA2DS2-VASc quartiles 15 showed an increasing cumulative risk, while in GARFIELD-AF only the highest quartile (Q4) demonstrated an increased TE risk. On multivariate Cox regression 16 17 analysis, CHA2DS2-VASc guartiles were associated with increasing risk of TE whereas for GARFIELD-AF only Q4 showed an association with TE. Discrimination 18 19 analysis showed that GARFIELD-AF quartiles were associated with a 48.7% 20 reduction in discriminatory ability. Using Decision Curve Analysis (DCA), CHA2DS2-21 VASc was associated with improved clinical usefulness and net clinical benefit, compared with GARFIELD-AF. 22 23 **Conclusions:** In a warfarin-treated trial cohort of AF patients, both CHA<sub>2</sub>DS<sub>2</sub>-VASc 24 and GARFIELD-AF Stroke scores were associated with adjudicated TE events, with

25 modest predictive capacity. Simpler CHA<sub>2</sub>DS<sub>2</sub>-VASc score improved discriminatory

- 1 capacity compared to more complex GARFIELD-AF score, demonstrating improved
- 2 clinical usefulness and net clinical benefit.
- 3
- 4 Keywords: Atrial Fibrillation, Risk Scores, Thromboembolic Events

### 1 SUMMARY TABLE

2

# 3 WHAT IS KNOWN ABOUT THIS TOPIC?

- Evaluation of thromboembolic risk is essential in atrial fibrillation (AF) patients.
- 5 The CHA<sub>2</sub>DS<sub>2</sub>-VASc score is largely validated and recommended by most

6 guidelines

- Among several other risk scores, the GARFIELD-AF Stroke score has been
- 8 proposed as a possible alternative risk score to CHA<sub>2</sub>DS<sub>2</sub>-VASc, but no direct
- 9 comparisons between these two scores have been published.

10

### 11 WHAT DOES THIS PAPER ADD?

• Both CHA<sub>2</sub>DS<sub>2</sub>-VASc and GARFIELD-AF Stroke scores were associated with

13 adjudicated TE events, with modest predictive capacity

- CHA<sub>2</sub>DS<sub>2</sub>-VASc was associated with improved clinical usefulness and net
- 15 clinical benefit, when compared with GARFIELD-AF
- In patients with a good anticoagulation control (TTR ≥70%), the CHA<sub>2</sub>DS<sub>2</sub>-VASc
- 17 score maintained (and even improved) its discriminative abilities, while
- 18 GARFIELD-AF Stroke was non-predictive of most of the outcomes examined.

#### 1 INTRODUCTION

2 The risk evaluation for stroke and thromboembolism is part of the baseline assessment 3 of patients with atrial fibrillation (AF)(1). Currently, the majority of the most recent 4 international guidelines about AF diagnosis and management recommend the use of the CHA<sub>2</sub>DS<sub>2</sub>-VASc score as the preferred clinical tool for stroke risk stratification(2-5 6 5). Nevertheless, the CHA<sub>2</sub>DS<sub>2</sub>-VASc score - like most clinical scores - has only 7 modest predictive value for thromboembolism, and some have advocated improved 8 risk prediction using more complex clinical risk models, or with mixed combinations of 9 clinical variables and biomarkers, often based on complex mathematical-based 10 models(6–8), in order to obtain a more precise, accurate and reliable tool to predict 11 the occurrence of thromboembolic events.

12

Nonetheless, a recent independent PCORI-systematic review and evidence appraisal
investigating several risk scores for the prediction of thromboembolic events,
documented how most of the scores have a similar predictive capacity(9), hence the
choice of one tool rather than another should be based on the balance between
evidence, practicality and precision(10).

18

Among these new scores, the GARFIELD-AF Stroke score(8), developed from the 'Global Anticoagulation in the Field Atrial Fibrillation' observational registry(11), was found to be superior (at least statistically) to the CHA<sub>2</sub>DS<sub>2</sub>-VASc score in predicting thromboembolic events, but thus far has had limited validation(12).

23

The aim of this paper is to provide an independent evaluation of the GARFIELD-AF
Stroke score prediction ability, in comparison to the CHA<sub>2</sub>DS<sub>2</sub>-VASc score, in a

cohort of anticoagulated AF patients derived from a randomized clinical trial with
 adjudicated clinical outcomes.

3

#### 4 METHODS

5 The authors declare that all supporting data and methods used to derive the results6 and the related findings are available within the article.

7

8 For the present analysis, we used the pooled study populations of the Stroke 9 Prevention using an Oral Thrombin Inhibitor in patients with atrial Fibrillation 10 (SPORTIF) III and V trials. The original protocol and principal results have been 11 previously described(13–15). In brief, the SPORTIF trials were two multicentre 12 Phase III clinical trials comparing the efficacy and safety of the direct thrombin 13 inhibitor, ximelagatran, against warfarin in patients with non-valvular AF. Signed 14 informed consent was required from each participant in accordance with protocol 15 regulations approved by the local review boards governing research involving human 16 subjects, and the Declaration of Helsinki. De-identified datasets with patient-level 17 information were obtained directly from AstraZeneca, and all the analyses were performed independently from the company. In the light of obtaining significant 18 19 clinical information applicable to the actual management of AF patients, only the 20 warfarin-assigned patients were retrieved for analysis and not those randomized to 21 ximelagatran, which was never approved for treatment. All patients assigned to the 22 warfarin treatment arms and with available data for the clinical variables used to 23 calculate the two bleeding prediction scores were included in the present analysis. 24

The CHA<sub>2</sub>DS<sub>2</sub>-VASc score was calculated according to the original model(16). The
GARFIELD-AF Stroke score was compiled according to the equation proposed from
Fox et al(8) as follows: 1-[0.991344397 exp(0.03048226 \* (Age-60) + 0.952524717 \*
Stroke + 0.432357326 \* Bleed + 0.319129628 \* Heart Failure + 0.574919171 \* Chronic
Kidney Disease + 0.654249546 \* Other Region + 0.671380382 \* Black/Mixed/Other
Race - 0.582045773 \* Oral Anticoagulant)].

7

8 The original SPORTIF trials did not enroll patients outside Europe and North America, 9 hence the 'Other Region' criterion was scored as 0. Chronic kidney disease was 10 defined as a creatinine clearance <60 mL/min as calculated with the Cockroft-Gault 11 formula. In the two SPORTIF trials only 65 (1.8%) patients among those randomized 12 to the warfarin arms (N=3665) were 'Black/Mixed/Other Race'. Hence, given the low 13 prevalence of this criterion and the unavailability of detailed ethnicity data we scored 14 it as 0. All the other criteria were derived from the original case report form. Both the 15 scores were considered as continuous and according to their quartiles, in order to 16 obtain the most relevant clinical information.

17

#### 18 Study Outcomes

The primary study outcome was the occurrence of any thromboembolic event (TE) intended as the composite of any stroke, systemic embolism (SE) and transient ischemic attack (TIA). Additionally, we considered as study secondary outcomes the occurrence of: i) any stroke/SE; ii) any stroke; iii) ischemic stroke; iv) TIA. All the outcomes were originally adjudicated by a central blinded adjudication committee.

24

25 Statistical Analysis

1 Continuous variables were reported as median [IQR], while categorical variables were 2 expressed as counts and percentages. Differences in survival according to the scores' 3 quartiles for the composite outcome occurrence, assessed by an intention-to-treat 4 approach, were analysed using the Log-Rank test and Kaplan-Meier curves estimates were drafted accordingly. A Cox proportional hazards analysis was used to evaluate 5 6 the occurrence of the study outcomes according to continuous scores and scores quartiles, adjusted for body mass index, type of AF, chronic kidney disease, use of 7 8 aspirin, and time in therapeutic range. C-indexes were estimated, with exact 9 estimation of 95% confidence interval (CI), and compared according to De Long, De 10 Long and Clarke-Pearson method(17).

11

Discrimination and reclassification abilities were evaluated by the integrated discrimination improvement (IDI), relative IDI (rIDI), net reclassification improvement (NRI) and median improvement, as described by Pencina et al(18). Clinical usefulness and net clinical benefit, intended as the ability of correctly identifying patients which would have developed and those which not the events being, as identified at high risk by one score compared to other, were estimated using the decision curve analysis (DCA), according to the method proposed by Vickers et al(19,20).

19

In addition, we performed a sensitivity analysis about the association and predictive
ability for the two risk scores in patients with a good quality of oral anticoagulation
therapy (time in therapeutic range [TTR] ≥70%). A two-sided p value <0.05 was</li>
considered statistically significant. All analyses were performed using SPSS v. 25.0
(IBM, NY, USA) for MacOS and and survIDINRI package for R v. 3.3.1 for Windows.

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- 26

#### 1 RESULTS

All the 3665 AF patients originally included in the warfarin arms of the SPORTIF trials
were included in this analysis. Baseline characteristics were reported in Table 1.
Overall, 3178 (86.7%) patients had a CHA<sub>2</sub>DS<sub>2</sub>-VASc ≥2. Distribution of CHA<sub>2</sub>DS<sub>2</sub>VASc score can be found in Figure S1. For CHA<sub>2</sub>DS<sub>2</sub>-VASc score there were 1449
(39.5%) in Q1, 964 (26.3%) in Q2, 710 (19.4%) in Q3 and 542 (14.8%) patients in Q4.
For GARFIELD-AF Stroke there were 1164 (31.8%) in Q1, 231 (6.3%) in Q2, 1390
(37.9%) in Q3 and 880 (24.0%) patients in Q4.

9

10 After a mean (SD) follow-up of 566.3 (142.5) days, 148 (4.03%) any TE were recorded. 11 Additionally, a total of 93 (2.54%) stroke/SE, 91 (2.48%) stroke, 82 (2.24%) ischemic 12 stroke and 50 (1.36%) TIA were recorded. Kaplan-Meier curves for the primary 13 composite outcome showed that for CHA<sub>2</sub>DS<sub>2</sub>-VASc score a progressively higher 14 cumulative risk was found according to the increasing score quartiles [Figure 1, Upper 15 Panel]. Conversely, for GARFIELD-AF Stroke, while the first 3 quartiles showed a 16 similar risk, the fourth quartile showed a significantly higher cumulative risk for 17 outcome occurrence [Figure 1, Lower Panel].

18

In Table 2 we reported the results of the survival analysis. After the multivariate adjustments Cox regression analysis showed that continuous CHA<sub>2</sub>DS<sub>2</sub>-VASc score was significantly associated with the occurrence of composite primary outcome (any TE) and all the other secondary outcomes. Similarly, the GARFIELD-AF Stroke score was significantly associated with the occurrence of any TE and most of the secondary outcomes, *with the exception of ischemic stroke*.

25

Both CHA<sub>2</sub>DS<sub>2</sub>-VASc and GARFIELD-AF Stroke scores showed a modest predictive
ability for the occurrence of all the study outcomes (Table 2). Accordingly, c-indexes
(95% CI) for CHA<sub>2</sub>DS<sub>2</sub>-VASc score ranged from 0.63 (0.58-0.69) for the composite
outcome to 0.65 (0.59-0.70) for ischemic stroke. The c-index (95% CI) for GARFIELDAF Stroke score ranged from 0.59 (0.53-0.66) for ischemic stroke to 0.61 (0.56-0.66)
for the composite outcome. No significant differences between the c-indexes for the
two scores were found for any outcome.

8

9 When examining the score quartiles (Table 3), we found that for CHA<sub>2</sub>DS<sub>2</sub>-VASc 10 score increasing quartiles were associated with an increasing risk for all the study 11 outcomes, except for TIA where only the highest quartile (Q4) was significantly 12 associated with risk (Table 3). For the GARFIELD-AF Stroke score, only Q4 was 13 associated to an increased risk for the primary composite outcome. No relationship 14 was found between increasing quartiles and other study outcomes.

15

16 Discrimination and Reclassification Analysis

17 The reclassification analysis of GARFIELD-AF Stroke score vs. CHA<sub>2</sub>DS<sub>2</sub>-VASc score 18 (Table 4) showed that by using continuous scores, use of the GARFIELD-AF Stroke 19 score was associated with significant reduction in the median improvement for 20 discriminating ischemic stroke.

21

22 Based on score quartiles, the GARFIELD-AF Stroke score was associated with a

23 significant reduction in discriminatory capacity for all the study outcomes, with a

24 consistent reduction in the discriminative ability, as evaluated by the rIDI (SD),

ranging from -45.7% (-22.8%) for the any stroke/SE outcome to -123.2% (-47.4%) for
 ischemic stroke outcome.

3

### 4 Decision Curve Analysis

5 To evaluate the clinical usefulness and net benefit of using one clinical score rather 6 than the other, we performed a DCA. Use of the CHA<sub>2</sub>DS<sub>2</sub>-VASc score was associated 7 with improved clinical usefulness and net clinical benefit in predicting the occurrence 8 of ischemic stroke, compared to the GARFIELD-AF Stroke score [Figure 2]. A small 9 clinical benefit was also found in predicting the occurrence of any stroke/SE, any 10 stroke and TIA [Figures S2-S4], although smaller than for ischemic stroke. No 11 difference was observed for the composite outcome of any TE [Figure S5].

12

#### 13 Sensitivity Analysis

14 In the sensitivity analysis (Table 5) in patients with TTR  $\geq$ 70%, we found that the 15 CHA<sub>2</sub>DS<sub>2</sub>-VASc score remained significantly associated with all the study outcomes. while the GARFIELD-AF Stroke score remained significantly associated only with the 16 17 primary outcome and TIA occurrence. When examining the predictive ability of the 18 two scores, CHA2DS2-VASc performed even better than in the overall cohort for 19 every study outcome, while the GARFIELD-AF Stroke score did not predict 20 occurrence of any stroke/SE, any stroke and ischemic stroke outcomes, with a 21 numerically weaker predictive ability than CHA<sub>2</sub>DS<sub>2</sub>-VASc for the remaining 22 outcomes.

#### 1 DISCUSSION

2 In this post-hoc subgroup analysis derived from the SPORTIF III and V trials, we showed that while both CHA2DS2-VASc and GARFIELD-AF Stroke scores are 3 4 significantly associated with the occurrence of TEs, although with only modest predictive ability. Second, increasing CHA2DS2-VASc score quartiles were 5 6 significantly associated to an increased risk for the study outcomes. Third, using the GARFIELD-AF Stroke score was associated with a significant reduction in 7 8 discriminative abilities for all the study outcomes. Fourth, using CHA<sub>2</sub>DS<sub>2</sub>-VASc score 9 was associated with improved clinical usefulness and net clinical benefit based on 10 Decision Curve Analysis, in predicting the occurrence of ischemic stroke as well as 11 any stroke/SE, any stroke and TIA. Finally, in patients with a good anticoagulation 12 control (TTR  $\geq$ 70%), the CHA<sub>2</sub>DS<sub>2</sub>-VASc score maintained (and even improved) its 13 discriminative abilities, while GARFIELD-AF Stroke was non-predictive of most of the 14 outcomes examined.

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16 The CHA<sub>2</sub>DS<sub>2</sub>-VASc score was derived from the Euro Heart Survey in AF in 2010(16), 17 and subsequently validated in a large number of independent cohorts, being similar or 18 superior to some complex risk scores(9,21-23). In a recent systematic review 19 developed by the independent US 'Patient-Centered Outcomes Research Institute' 20 (PCORI), the CHA<sub>2</sub>DS<sub>2</sub>-VASc score was amongst those scores with the highest 21 predictive ability(9), with the positive aspects linked to the widespread diffusion and ease of computation(10). Recently, the CHA2DS2-VASc score was also found to be 22 23 predictive of all-cause death in AF patients(24). Given the wide range of evidence 24 available, the CHA<sub>2</sub>DS<sub>2</sub>-VASc score is now recommended by most international 25 guidelines of AF management(2,3).

2	The CAREIELD AE Stroke spore, as montioned above, has been derived and
	The GARFIELD-AF Stroke score, as mentioned above, has been derived and
3	validated from the population of the GARFIELD-AF observational registry, to date
4	one of the largest worldwide observational cohorts available about AF
5	patients(25,26). In the original validation paper, analysis of registry data about more
6	than 39,000 patients from the first four cohorts of the GARFIELD-AF on a 1-year
7	follow-up observation deriving three risk score models about risk of stroke, bleeding
8	and death. Further, the risk models were validated in a registry cohort derived from
9	the 'Outcome Registry for Better Informed Treatment of Atrial Fibrillation' (ORBIT-
10	AF) registry. In the derivation cohort, the GARFIELD-AF Stroke score showed only a
11	modest predictive ability (c-index [95% CI]: 0.69 [0.67-0.71]), statistically superior to
12	the CHA2DS2-VASc score (c-index [95% CI]: 0.64 [0.61-0.66]). In the validation
13	cohort, both scores performed similarly, ie. GARFIELD-AF Stroke score: c-index
14	[95% CI]: 0.69 [0.64-0.75] vs CHA2DS2-VASc score c-index [95% CI]: 0.69 [0.64-
15	0.74]. More recently, the same authors tested the GARFIELD-AF Stroke score in a
16	Danish nationwide cohort registry of newly diagnosed AF patients(12). In this study
17	the GARFIELD-AF Stroke score showed a statistically better predictive ability than
18	CHA2DS2-VASc score (c-index [95% CI]: 0.71 [0.70-0.72] vs. 0.67 [0.66-0.68],
19	respectively)(12). Notwithstanding the large unselected cohort in this paper, the
20	authors censored the follow-up at 1-year follow-up and study population was
21	heterogeneous, including both anticoagulated and non-anticoagulated patients.
22	Also, being a retrospective registry, outcomes were non-adjudicated(12).
23	
24	In our paper, based on an anticoagulated cohort derived from a randomized controlled

trial with a centralized outcomes adjudication process, we have shown that the

1 CHA<sub>2</sub>DS<sub>2</sub>-VASc score was more strongly associated with the occurrence of a 2 composite outcome of TEs. Also, the association appeared to have an exposure-effect 3 relationship, with a progressively higher risk according to the higher quartiles of the 4 CHA<sub>2</sub>DS<sub>2</sub>-VASc score, which better discriminated the risk magnitude across the patients' baseline characteristics and among a high-risk cohort. Based on the 5 6 respective score quartiles, applying the GARFIELD-AF Stroke score resulted in a 7 reduction in discriminative ability, with up to more than 130% loss of predictive capacity 8 when compared to CHA<sub>2</sub>DS<sub>2</sub>-VASc score.

9

Of note, the GARFIELD-AF Stroke score represents a complex risk prediction model,
with multiple clinical and other variables which may not be easily and quickly
applicable in daily clinical practice, both at the patient's bedside and during
outpatient visits. Further, when using DCA, the CHA<sub>2</sub>DS<sub>2</sub>-VASc score was
associated with improved clinical usefulness and net clinical benefit to correctly
discriminate those high-risk AF patients who actually developed an ischemic stroke,
when compared with GARFIELD-AF.

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The sensitivity analysis allows us also to show that in patients with an overall lower risk, such as those with a good anticoagulation control, the CHA<sub>2</sub>DS<sub>2</sub>-VASc score was still able to provide a significant discrimination of stoke risk, while the GARFIELD-AF Stroke score was non-significantly able to stratify the residual thromboembolic risk.

23

As reported by Borre and colleagues, most of the published risk scores showed a
similar predictive capacity, at least in relation to practical, everyday clinical used(9).

1 The process of deriving and validating a clinical risk score is inevitably a reductionist 2 process, which cannot turn the entire complexity of physiopathological process 3 responsible for the stroke determinism into a short list of risk factors, even though 4 weighted according to clinical relevance. The continuous process of searching the "perfect score" appears to be burdened by an ontological bias. Conversely, 5 6 identifying the most suitable score to be applied in the clinical daily-life needs to take 7 account of the balance between the evidence supporting that particular score, their 8 practicality and precision(10). In the daily clinical management, all these factors 9 should be taken under strong consideration, as simplicity and practicality needs to be 10 balanced against modest differences in prediction(10). Statistical significance is also 11 not the same as clinical prediction. Several other factors to improve clinical risk 12 prediction have been proposed, such as adding biomarkers, but many such 13 biomarkers are non-specific, reflecting a sicker patient or the associated 14 comorbidities(27,28).

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16 Limitations

17 This study is mainly limited by its post-hoc retrospective nature, even though based 18 on a solid and well-conducted randomized clinical trial. Given that the study cohort 19 was derived from a randomized controlled trial, all thromboembolic factors were 20 recorded and managed, probably resulting in a lower rate of TEs compared to the 21 real-life populations. Furthermore, the exclusion of patients with liver disease from 22 the original cohort, as well as the exclusive use of warfarin as the OAC treatment, 23 together with the fact that all patients were treated, may somewhat limit the 24 generalizability of our results. Moreover, the limited data about non-white ethnicity 25 may introduce a slight bias even although minimized by the very small numbers of

1 these patients included in the original cohort. Finally, the SPORTIF trials were

2 conducted between 2000 and 2002, and the treatment regimens and clinical practice

3 may have changed over the time. Nonetheless, we analysed a large cohort of AF

4 patients with a high level of data quality and with centrally adjudicated clinical events,

5 in contrast to other studies comparing these scores, which have used non-

6 adjudicated registry data.

7

## 8 CONCLUSIONS

9 In a warfarin-treated trial cohort of AF patients, both CHA2DS2-VASc and

10 GARFIELD-AF Stroke scores were associated with adjudicated TE events, with

11 modest predictive capacity. The simpler CHA<sub>2</sub>DS<sub>2</sub>-VASc score improved

12 discriminatory capacity (~49%) compared to more complex GARFIELD-AF score,

13 and demonstrated improved clinical usefulness and net clinical benefit using DCA,

14 when compared to the GARFIELD-AF score.

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3 provided datasets for the analysis. Astra Zeneca was never involved in any stage of

- 4 manuscript drafting and preparation.
- 5

## 6 **DISCLOSURES**

- 7 GYHL has served as consultant for Bayer/Janssen, BMS/Pfizer, Biotronik,
- 8 Medtronic, Boehringer Ingelheim, Microlife and Daiichi-Sankyo. Speaker for Bayer,
- 9 BMS/Pfizer, Medtronic, Boehringer Ingelheim, Microlife, Roche and Daiichi-Sankyo.
- 10 No fees were received personally. GYHL originally authored the paper which
- 11 designed and validated the CHA<sub>2</sub>DS<sub>2</sub>-VASc score. Other authors have no
- 12 disclosures to declare.
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#### Table 1: Baseline Characteristics

	N = 3665
Age, years median [IQR]	72 [66-77]
Female Sex, n (%)	1116 (30.5)
<b>BMI</b> , <i>kg/m</i> <sup>2</sup> median [IQR] <i>3651</i>	28.1 [25.0-31.6]
CrCl, <i>mL/min</i> median [IQR] 3663	78.6 [59.1-102.1]
<b>Chronic AF</b> , n (%) <i>3548</i>	3269 (89.2)
Hypertension, n (%)	2812 (76.7)
Diabetes Mellitus, n (%)	860 (23.5)
Coronary Artery Disease, n (%)	1619 (44.2)
Stroke/TIA, n (%)	753 (20.5)
Heart Failure, n (%)	1372 (37.4)
Previous Bleeding, n (%)	208 (5.7)
Chronic Kidney Disease, n (%) 3646	952 (26.1)
Aspirin Use, n (%)	726 (19.8)
TTR, % median [IQR] 3624	68.5 [55.2-79.3]

IQR= Interquartile Range; TIA= Transient Ischemic Attack; TTR= Time in

Therapeutic Range.

1 **Table 2:** Survival and Predictive Analysis for Thromboembolic Outcomes for CHA<sub>2</sub>DS<sub>2</sub>-VASc and GARFIELD-AF Stroke Scores

CHA2DS2-VASc		GARFIELD-AF	
HR (95% CI)*	c-index (95% CI)	HR (95% CI)*	c-index (95% CI)
1.37 (1.22-1.53)	0.63 (0.59-0.68)	2.43 (1.72-3.42)	0.61 (0.56-0.66)
1.35 (1.17-1.55)	0.63 (0.58-0.69)	2.36 (1.55-3.61)	0.61 (0.55-0.67)
1.36 (1.18-1.57)	0.64 (0.58-0.69)	2.22 (1.44-3.42)	0.60 (0.54-0.66)
1.39 (1.20-1.61)	0.65 (0.59-0.70)	1.00 (0.95-1.04)	0.59 (0.53-0.66)
1.40 (1.15-1.69)	0.64 (0.56-0.71)	2.35 (1.32-4.18)	0.60 (0.52-0.69)
	HR (95% CI)* 1.37 (1.22-1.53) 1.35 (1.17-1.55) 1.36 (1.18-1.57) 1.39 (1.20-1.61)	HR (95% Cl)*         c-index (95% Cl)           1.37 (1.22-1.53)         0.63 (0.59-0.68)           1.35 (1.17-1.55)         0.63 (0.58-0.69)           1.36 (1.18-1.57)         0.64 (0.58-0.69)           1.39 (1.20-1.61)         0.65 (0.59-0.70)	HR (95% Cl)*         c-index (95% Cl)         HR (95% Cl)*           1.37 (1.22-1.53)         0.63 (0.59-0.68)         2.43 (1.72-3.42)           1.35 (1.17-1.55)         0.63 (0.58-0.69)         2.36 (1.55-3.61)           1.36 (1.18-1.57)         0.64 (0.58-0.69)         2.22 (1.44-3.42)           1.39 (1.20-1.61)         0.65 (0.59-0.70)         1.00 (0.95-1.04)

2 Legend: \*adjusted for body mass index, type of atrial fibrillation, chronic kidney disease, use of aspirin, time in therapeutic range;

3 CI= Confidence Interval; HR= Hazard Ratio; SE= Systemic Embolism; TIA= Transient Ischemic Attack.

	CHA2DS2-VASc Score Quartiles*				
	Q1 (ref.)	Q2	Q3	Q4	
	HR (95% CI)	HR (95% CI)	HR (95% CI)	HR (95% CI)	
Any Stroke/SE/TIA	-	1.83 (1.13-2.98)	2.25 (1.37-3.71)	3.66 (2.28-5.90)	
Any Stroke/SE	-	1.84 (1.00-3.38)	2.54 (1.39-4.67)	3.67 (2.02-6.67)	
Any Stroke	-	1.96 (1.05-3.61)	2.69 (1.45-4.98))	3.72 (2.02-6.86)	
Ischemic Stroke	-	2.13 (1.10-4.13)	3.08 (1.60-5.94)	3.90 (2.01-7.57)	
TIA	-	1.78 (0.80-3.98)	2.17 (0.94-5.01)	3.64 (1.65-8.02)	
	GARFIELD-AF Score Quartiles*				
	Q1 (ref.)	Q2	Q3	Q4	
	HR (95% CI)	HR (95% CI)	HR (95% CI)	HR (95% CI)	
Any Stroke/SE/TIA	-	0.97 (0.40-2.31)	1.18 (0.75-1.86)	2.44 (1.58-3.77)	
Any Stroke/SE	-	1.40 (0.52-3.77)	1.12 (0.61-2.07)	1.86 (0.96-3.60)	
Any Stroke	-	1.40 (0.52-3.76)	1.14 (0.62-2.11)	1.81 (0.93-3.51)	
Ischemic Stroke	-	0.53 (0.12-2.31)	1.07 (0.57-2.00)	1.47 (0.74-2.94)	
TIA	-	0.44 (0.06-3.39)	1.03 (0.48-2.22)	1.86 (0.82-4.20)	

**Table 3:** Survival Analysis for Thromboembolic Outcomes for CHA<sub>2</sub>DS<sub>2</sub>-VASc and GARFIELD-AF Stroke Scores Quartiles

- 1 Legend: \*adjusted for body mass index, type of atrial fibrillation, chronic kidney disease, use of aspirin, time in therapeutic range;
- 2 CI= Confidence Interval; HR= Hazard Ratio; SE= Systemic Embolism; TIA= Transient Ischemic Attack.

orov. (95% Cl) 0.011 / 0.007) 0.007 / 0.006) 0.009 / 0.005) 0.009 / -0.001)	<b>p</b> 0.159 0.507 0.388 <b>0.020</b>				
0.007 / 0.006) 0.009 / 0.005)	0.507 0.388				
0.009 / 0.005)	0.388				
,					
0.009 / -0.001)	0.020				
0.011 / 0.007)	0.159				
Scores as Quartiles					
NRI Event (SD)	р				
%					
20.6 (6.4)	0.183				
23.7 (7.9)	0.500				
	0.460				
23.1 (8.0)	0 4 0 0				
23.1 (8.0) 18.3 (8.4)	0.199				
	20.6 (6.4) 23.7 (7.9) 23.1 (8.0)				

- 1 Legend: Grey cells and bold text depict statistically significant results; CI = Confidence Interval; IDI = Integrated Discrimination
- 2 Improvement; NRI = Net Reclassification Improvement; rIDI= Relative Integrated Discrimination Improvement; SD= Standard
- 3 Deviation; SE= Systemic Embolism; TIA= Transient Ischemic Attack.

- 1 Table 5: Sensitivity Analysis for Thromboembolic Outcomes for CHA2DS2-VASc and GARFIELD-AF Stroke Scores in Patients with
- 2 High Quality Anticoagulation Control

	CHA <sub>2</sub> DS <sub>2</sub> -VASc		GARFIELD-AF	
	HR (95% CI)*	c-index (95% CI)	HR (95% CI)*	c-index (95% CI)
Any Stroke/SE/TIA	1.50 (1.24-1.81)	0.69 (0.62-0.75)	2.59 (1.40-4.78)	0.62 (0.54-0.69)
Any Stroke/SE	1.41 (1.09-1.83)	0.67 (0.58-0.75)	1.88 (0.78-4.56)	0.58 (0.48-0.69)
Any Stroke	1.41 (1.09-1.83)	0.67 (0.58-0.75)	1.88 (0.78-4.56)	0.58 (0.48-0.69)
Ischemic Stroke	1.36 (1.03-1.78)	0.66 (0.56-0.75)	1.19 (0.42-3.38)	0.55 (0.44-0.66)
TIA	1.62 (1.23-2.13)	0.70 (0.62-0.78)	3.49 (1.50-8.13)	0.65 (0.54-0.76)

3 Legend: \*adjusted for body mass index, type of atrial fibrillation, chronic kidney disease, use of aspirin; CI= Confidence Interval;

4 HR= Hazard Ratio; SE= Systemic Embolism; TIA= Transient Ischemic Attack.

### 1 FIGURES LEGENDS

- 2
- 3 Figure 1: Kaplan-Meier Curves for Any Stroke/SE/TIA according to CHA<sub>2</sub>DS<sub>2</sub>-

### 4 VASc and GARFIELD-AF Stroke Scores Quartiles

- 5 **Legend:** SE= Systemic Embolism; TIA= Transient Ischemic Attack.
- 6
- 7 Figure 2: Decision Curve Analysis according to CHA<sub>2</sub>DS<sub>2</sub>-VASc and
- 8 GARFIELD-AF Stroke Scores for Ischemic Stroke Occurrence
- 9 **Legend:** Blue Line= GARFIELD-AF Stroke; Red Line= CHA<sub>2</sub>DS<sub>2</sub>-VASc.