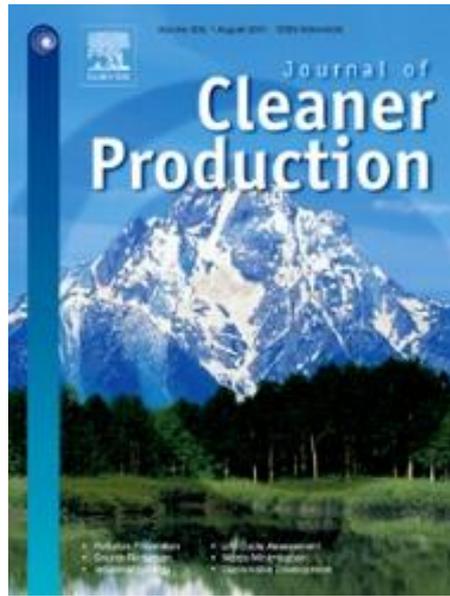


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A Climate-based Analysis of Photosynthetically Active Radiation Availability in Large-Scale Greenhouses across China

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Abstract

Photosynthetically Active Radiation (PAR) is critically required for sustaining plant and vegetable growth. This study investigated PAR availabilities in two typical large-scale greenhouses using an advanced method of climate-based solar modelling in China. Seven Chinese locations in terms of daylight (solar) climate zones and latitudes were studied. The PAR prediction was conducted via RADIANCE (ray-tracing solar and light simulation package). Key findings are: 1) A climate-based analysis has been proved as more practical than the methods based on only clear sky and solar geometries. 2) A ray-tracing solar modelling can effectively predict PAR levels at specific positions (e.g. vertical planes), which would benefit the development of vertical farming activities. 3) The PAR availability would receive insignificant impact from roof configuration in these large-scale greenhouses. 4) For the approach of vertical farming, the vertical arrangement of planting system would be significantly affected by greenhouse orientations, while horizontally no such effect can be found. These findings could be developed into design strategies to support greenhouse planning.

Keywords

Photosynthetically Active Radiation (PAR), Greenhouse, RADIANCE simulation, Daylight climate zone, China

1 **1. Introduction**

2 With a rapidly increasing population, the food demand has been dramatically growing
3 worldwide. The climate change and environment degradation such as desertification and
4 salinization of lands have further aggravated the food shortage (Panwar et al., 2011). The
5 conventional open-field farming is confronted with challenges of uncertain climates and
6 the stable food production suffers from inclement weather conditions (Ghoulem et al.,
7 2019). Recently, the protected cultivation has been recognized as an effective solution to
8 mitigate and adapt to climate change in food farming industries, especially in developing
9 countries (Iddio et al., 2020). The greenhouse, one of the most important approaches of
10 protected cultivation, was broadly applied using a lightweight structure covered by
11 transparent medium to utilise the solar radiation. A greenhouse can protect plants from
12 harsh external environments and provide an appropriate environment for the intensive
13 production of various crops (Choab et al., 2019; Iddio et al., 2020).

14 **1.1 Environmental factors in greenhouses**

15 In greenhouses, critical environment factors affecting plant growth include light (Cossu
16 et al., 2014), air temperature (Attar et al., 2014), humidity (Campen et al., 2003), CO₂
17 concentration (Ioslovich et al., 1995), and air movement (Cooper and Fuller, 1983).

18 Plants need light throughout their life cycle from germination and growth to flowering
19 and seed production (Singh et al., 2015). The solar radiation (sunlight) provides the
20 primary source of light for plants. However, plants do not absorb all wavelengths of solar
21 radiation. The solar radiation in the spectrum range 400-700 nm, known as

1 photosynthetically active radiation (PAR), is responsible for the photosynthesis process,
2 which is fundamental for the plant growth (Ciolkosz, 2008; Iddio et al., 2020). In the area
3 of indoor farming, the PAR availability has been broadly applied as an indicator to justify
4 the basic condition to keep a normal growing for plants in greenhouses (Kläring et al.,
5 2012; Singh et al., 2015).

6 The air temperature influences most of the plant processes (Benni et al., 2016). The
7 optimal temperature for the growth of most plants in greenhouses ranges between 17°C
8 and 27°C, with extremes of 10°C and 35°C (Benni et al., 2016). Thus, greenhouses will
9 be heated or cooled to maintain a suitable level of indoor air temperature based on the
10 response to varying outdoor climates (Ahamed et al., 2019; Choab et al., 2019).

11 The humidity is also one of key factors in terms of the greenhouse indoor climate
12 (Mortensen, 1986). The relative humidity (RH) inside greenhouses tends to be higher due
13 to the transpiration of plants. High RH levels inside a greenhouse can not only slow down
14 the plant's transpiration rate, but also induce a high risk of plants diseases and
15 physiological disorders (Campen et al., 2003; Mortensen, 1986).

16 CO₂ is an essential raw ingredient required for the plant photosynthesis (Vox et al., 2010).
17 However, the CO₂ levels within the greenhouse are usually low due to the CO₂ uptake by
18 the plants. The CO₂ enrichment is found to be beneficial since it can increase the yield
19 (Hao et al., 2020; Kläring et al., 2007; Kuroyanagi et al., 2014).

20 Maintaining proper airflow in greenhouses is of considerable importance for sustaining

1 the healthy growing of plants (Teitel et al., 2006). The recommended air speed inside a
2 greenhouse is between 0.5 m/s and 0.7 m/s (Pakari and Ghani, 2019). Ventilation is one
3 of the important factors determining the microclimate of a greenhouse (Fatnassi et al.,
4 2004; Lee et al., 2018). Ventilation in summer is used to cool the greenhouse whilst
5 ventilation can help remove excess moisture from the greenhouse in winter (Al-Helal et
6 al., 2015; McCartney et al., 2018).

7 **1.2 Environmental and energy performances in greenhouses**

8 As an enclosed structure, a greenhouse allows the short wavelength solar radiation to
9 enter through the transparent envelop and keeps the long wavelength solar radiation (Cao
10 et al., 2019; Jain and Tiwari, 2002; Panwar et al., 2011). In order to maintain an optimal
11 thermal environment for maximizing the plant growth rate, a large amount of energy will
12 be needed to heat or cool the greenhouse using building service systems (Cao et al., 2019;
13 Guo et al., 2020). The construction factors, such as materials, shapes, and orientations, as
14 well as operational strategies, have a clear effect on the solar energy utilisation and
15 thermal environment of a greenhouse (Ahamed et al., 2019; Ghoulem et al., 2019; Tong
16 et al., 2013).

17 The cladding material is critical in determining the amount of solar radiation (including
18 PAR) that enters the greenhouse (Pearson et al., 1995). Different materials of cladding
19 (transparent or translucent) have different solar radiation transmittances. Some cladding
20 materials that can control transmittance of sunlight in a specific range of wavelength have
21 been recently applied in greenhouses to enhance PAR availability (Lamnatou and

1 Chemisana, 2013a, 2013b).

2 For the availability of solar radiation in a greenhouse, significant effects are found from
3 its shapes and orientations (Ahamed et al., 2019; Kendirli, 2006). Gupta and Chandra
4 (2002) studied the effect of different shapes, orientation and energy conservation
5 measures on the heating requirements of greenhouses under cold climatic conditions of
6 northern India. They found that the gothic arch shaped greenhouse required 2.6-4.2% less
7 heating than the gable and quonset shapes and the east–west oriented gothic arch
8 greenhouse required 2% less heating as compared to the north–south orientation. An
9 investigation into the performance of five most used single-span shapes of greenhouses
10 found that the uneven-span shape greenhouse received the highest level of solar radiation
11 in each month of the year at all latitudes (Sethi, 2009). It was also noted that the east-west
12 orientation was the most suited for year-round applications at all latitudes as this
13 orientation received more radiation in winter and less in summer (Sethi, 2009). Similar
14 findings were also presented by Chen et al. (2020), who concluded that the east-west
15 orientation was the best in both winter and summer at all latitudes. As regards greenhouse
16 shapes, they reported that the sawtooth-shaped greenhouse captured the highest amount
17 of global solar radiation in winter at all latitudes. Çakır and Şahin (2015) compared five
18 common greenhouse types and concluded that the elliptic type should be preferred for
19 locations at the latitude of Bayburt. They also noted that, for a given type and floor area
20 of greenhouses, the aspect ratio and orientation of the greenhouse should be optimized to
21 best utilize the solar radiation. Another study of five typical shapes of greenhouse exposed

1 that the uneven span was the best solution in terms of minimising the energy consumption
2 for maintaining the temperature favoured by plants (Singh and Tiwari, 2010). Similarly,
3 using greenhouse models with various locations and orientations, El-Maghlany et al.
4 (2015) supported that the east-west orientation can capture the maximum amount of solar
5 heat gain. An Iranian investigation into six commonly used shapes of greenhouses has
6 also found that the energy demand was the lowest in an east-west oriented single-span
7 greenhouse with north brick wall (Mobtaker et al., 2019, 2016). Stanciu et al. (2016)
8 compared the energy use of a greenhouse for vegetable farming in Romania under two
9 orientations. The results indicated that the east-west orientation could consume less
10 energy in both winter and summer compared with the north-south orientation, with an
11 aim to maintain a stable indoor air temperature. Chen et al. (2018) proposed a modelling
12 method to optimise the greenhouse orientation with respect to maximising solar energy
13 collection and found that the optimal orientation was south by west (clock-wise rotation
14 from east–west orientation), with the exact azimuth angle dependent on the latitude of the
15 location. Using the 3D-shadow analysis in AutoCAD, Gupta et al. (2012) found that an
16 orientation of 45° west of due south (southeast-northwest orientation) yielded maximum
17 solar radiation collection in winter and minimum solar radiation collection in summer for
18 the greenhouse in New Delhi (28.5°N). Table 1 provided an overview of the existing
19 studies regarding the selection of greenhouse shape and orientation.

20 Ventilation is necessarily applied to achieve the optimal microclimate inside a greenhouse.
21 Li et al. (2017) conducted detailed field measurement in two single-sloped Chinese

1 greenhouses and pointed out that the natural ventilation was a highly effective cooling
2 solution in such greenhouses. Combining natural ventilation with evaporative cooling can
3 significantly lower the greenhouse energy demand and provide crops with favourable
4 growth conditions (Ghoulem et al., 2019). As greenhouse cooling is crucial for providing
5 suitable growth conditions in hot climates, some wind-driven techniques have been
6 proposed to enhance the nature ventilation. For instance, a passive downdraught
7 evaporative cooling windcatcher (PDEC-WC) has been applied to greenhouses to
8 enhance the greenhouse cooling and ventilation (Ghoulem et al., 2020a). The windcatcher
9 ventilation is found to be able to provide higher airflow rates than conventional cross-
10 flow ventilation when the greenhouse is surrounded by other structures (Ghoulem et al.,
11 2020b).

12 As the increasing energy consumption in greenhouses has become an obstacle to
13 sustainable farming, many renewable and sustainable energy technologies have been
14 applied in greenhouses to reduce the heating, cooling, ventilation and lighting demand
15 (Mirzamohammadi et al., 2020; Taki and Yildizhan, 2018). Such technologies include
16 PV/PVT systems (Gorjian et al., 2021), phase change material (PCM) for thermal storage
17 (Benli and Durmuş, 2009; Kooli et al., 2015), geothermal energy combined with heat
18 pumps (Bakirci et al., 2011; Benli, 2013; Noorollahi et al., 2016), compost heat from
19 organic waste (Neugebauer et al., 2021) and LED lighting (Singh et al., 2015). Ntinis et
20 al. (2017) concluded that the greenhouse production using renewable energy could lead
21 to even smaller environmental impact than the open-filed production. Control strategies

1 also play an important role in maintaining a favorable climate inside the greenhouse and
2 reducing the energy consumption by efficiently coordinating the heating/cooling,
3 ventilation and shading systems (Zhang et al., 2020).

4 **1.3 Research gap and the present study**

5 As mentioned above, solar radiation transmittance of envelop could be the first
6 construction factor which designers and engineers of greenhouse will have to consider at
7 an early planning stage. This architectural property of greenhouse can take significant and
8 direct effect on the photosynthesis process of plants and the energy consumption of the
9 whole facility. The effect of greenhouse shape, orientation, and structure on the solar
10 energy collection has been well analysed in aforementioned studies. However, these
11 analyses were conducted from the perspective of maximising solar heat gains and the
12 purpose of these studies were to improve the greenhouse energy performance (i.e.,
13 reducing the heating or cooling need). The PAR availability, which is crucial for the
14 growth of crops, has been neglected in these studies. From methodological point of view,
15 the methods predicting solar heat gains in previous studies were based on solar geometries
16 and assumptions (or statistics) of sky conditions (e.g., clear sky). Such methods may not
17 be able to give convincing results considering the large temporal and spatial variability
18 of climatic conditions of different locations. This may partly explain the inconsistency
19 regarding the optimal shape and orientation in the literature. Greenhouses at the same
20 latitude can have completely different profiles of PAR availability due to the different
21 local climates. Even for the same greenhouse, the PAR availability varies from hour to

1 hour, from day to day, and from month to month. The demand for the light and thermal
2 environment exhibits dynamic characteristics at different growth stages for many types
3 of crops. Therefore, dynamic predictions of PAR availability taking into account the
4 complex and variable characteristics of climate are urgently needed for the optimization
5 and control of the greenhouse light environment. In addition, the optimal arrangement of
6 crops inside the greenhouse will depend largely on the horizontal and vertical distribution
7 of PAR. However, previous studies focused only on the cumulative effect of overall solar
8 radiation received in the whole interior (i.e., the amount of heat gains over a certain
9 period). There is a clear lack of studies that have evaluated PAR availability at specific
10 time and at specific positions, e.g. the horizontal surface of greenhouse floor for growing
11 low-height vegetation and the vertical surface of plant growing shelf (Wang et al., 2019).
12 Recently, the ‘Vertical Farming’ (Kozai et al., 2016) has been developed in greenhouses
13 as an efficient solution to increase food productions in a limited space, especially for such
14 a facility located in urban areas (Sanjuan-Delmás et al., 2018). Thus, prediction and
15 evaluation of PAR availability for vertical and horizontal planes at various heights will
16 be necessarily required to meet the increasing activities of vertical farming in greenhouses.

17 China has a vast territory and the tremendous differences in latitude, longitude and
18 altitude has made the climate of China extremely diverse. Based on the annual averaged
19 daylight availability, China is divided into five daylight climate zones. In this article, a
20 cross-region study of greenhouse performances in China was presented in terms of five
21 daylight climate zones and seven locations. The availability of PAR in two typical large-

1 scale greenhouses was calculated at various horizontal and vertical planes using
2 RADIANCE (“RADIANCE,” 2020), which is an advanced ray-tracing package for solar
3 (lighting) simulation in a complex environment. With the ray-tracing light simulation
4 method, the PAR levels can be accurately predicted on an hourly basis over a period of
5 an entire year at any position inside a greenhouse. The ray-tracing light simulation model
6 was applied for the first time to analyse and compare the PAR levels in greenhouses of
7 various shapes and orientations. It was also the first time a comprehensive climate-based
8 analysis was implemented in order to produce design strategies for supporting greenhouse
9 planning and construction across China.

10 **2. Research methods and materials**

11 **2.1 Daylight climate zones and locations**

12 As shown in Figure 1, in China, five daylight climate zones to indicate daylight (solar)
13 availabilities in the built environment were recommended in one building regulation
14 (Ministry of Housing and Urban-Rural Development, 2013). These five climate zones
15 were defined according to the annual profile of solar irradiation monitored by 14 land-
16 based weather stations across the country (Ministry of Housing and Urban-Rural
17 Development, 2013). Table 2 presents the ranges of annual-averaged unobstructed
18 illuminance (E_q) (produced from the monitored solar irradiation) at each zone. Seven big
19 cities across China (Figure 1 & Table 2) have been selected as typical locations in this
20 study, such as Lhasa (Zone I; 29.7°N, 91.1°E), Yinchuan (Zone II; 38.5°N, 106.2°E),
21 Beijing (Zone III; 39.9°N, 116.4°E), Guangzhou (Zone IV; 23.1°N, 113.3°E), Wuhan

1 (Zone IV; 30.6°N, 114.3°E), Changchun (Zone IV; 43.8°N, 125.3°E), and Chengdu (Zone
2 V; 30.6°N, 104.1°E). The highest and lowest annual solar irradiation levels are found at
3 Lhasa and Chengdu respectively, while other locations have the levels in between.
4 Selection of these locations was based on an aim to cover most of important agricultural
5 regions in China. In order to simulate the PAR availability under real climate conditions,
6 we adopted hourly weather data of the seven locations. The weather data were obtained
7 via this source (<https://energyplus.net/weather>).

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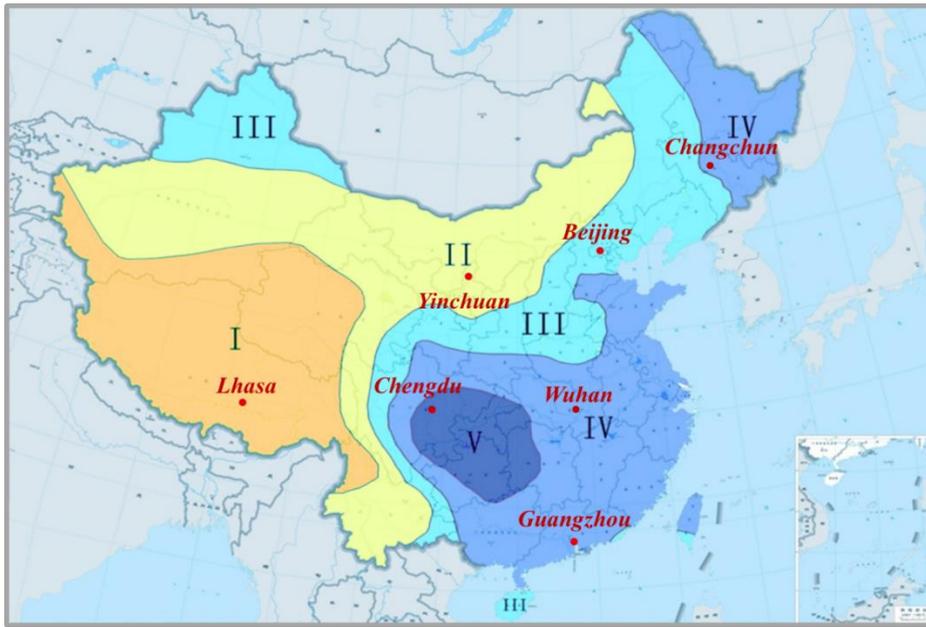


Fig. 1: Daylight (solar) climate zones and seven locations in China.

Table 2: Daylight (solar) climate zones, annual-averaged illuminances (E_q), and locations in China (Ministry of Housing and Urban-Rural Development, 2013).

Zone Number	I	II	III	IV	V
Range of annual-averaged unobstructed illuminance, E_q (klx)	≥ 28	[26 28)	[24 26)	[22 24)	< 22
Location	Lhasa	Yinchuan	Beijing	Guangzhou, Wuhan, Changchun	Chengdu

2.2 Greenhouse models studied

Figure 2 presents two greenhouse models studied in this article. They have a rectangular plan with a dimension of 15×40 m (length \times width) and an area of 600 m^2 . The greenhouses have a multi-span structure and the width of each single span is 3.0 m. Two

1 types of roof were adopted for models respectively, including A-frame (Sharples and Lash,
2 2007) and Barrel-vault (Laouadi, 2005). The sections were defined across the width of
3 greenhouses. Each section consists of two spatial parts: the roof space (height: 1.5 m) and
4 the normal space (height: 4.2 m). Four orientations of each greenhouse were studied (see
5 Figure 3 (a)), such as O1 (the length aligning with east-west); O2 (the length aligning
6 with north-south); O3 (the length aligning with north-east or south-west); O4 (the length
7 aligning with north-west or south-east). Walls and roofs of these greenhouses were set
8 with a double-glazing envelop: it has a thickness of 24mm and two layers of 6 mm clear
9 float glass (IGDB (International Glazing Database), 2019). Compared with single-glazing,
10 significant energy savings can be achieved with double-glazing (Gupta and Chandra,
11 2002; Vadiiee and Martin, 2014) and thus the double-glazing envelope has become a
12 trending technology in many countries to increase the greenhouse performance
13 (Harjunowibowo et al., 2016). Key solar thermal and optical properties of the glazing
14 layer are 0.656 (solar heat transmittance) and 0.801 (visual transmittance) (Ministry of
15 Agriculture and Rural Affairs of China, 2012). The inside floor was set as the typical
16 ground material (grey soil, reflectance: 0.2).

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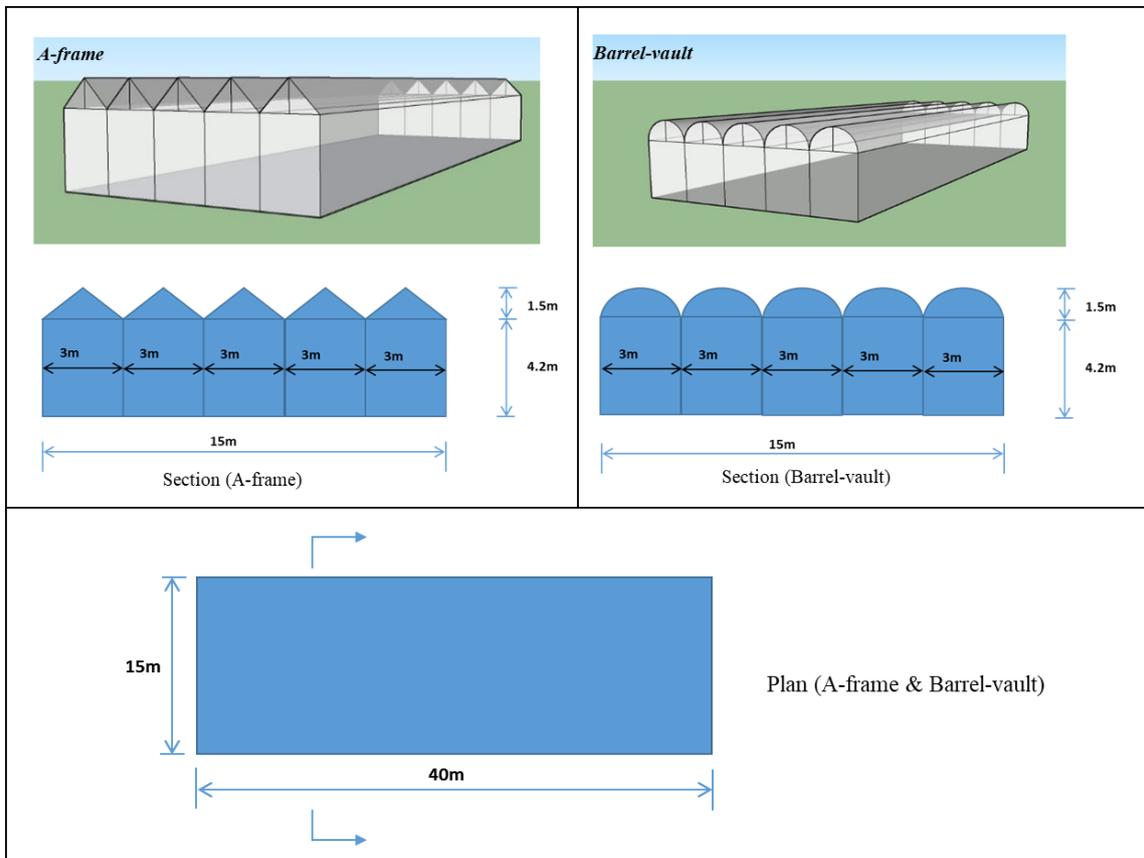


Fig. 2: Two types of greenhouse models and their configurations and dimensions.

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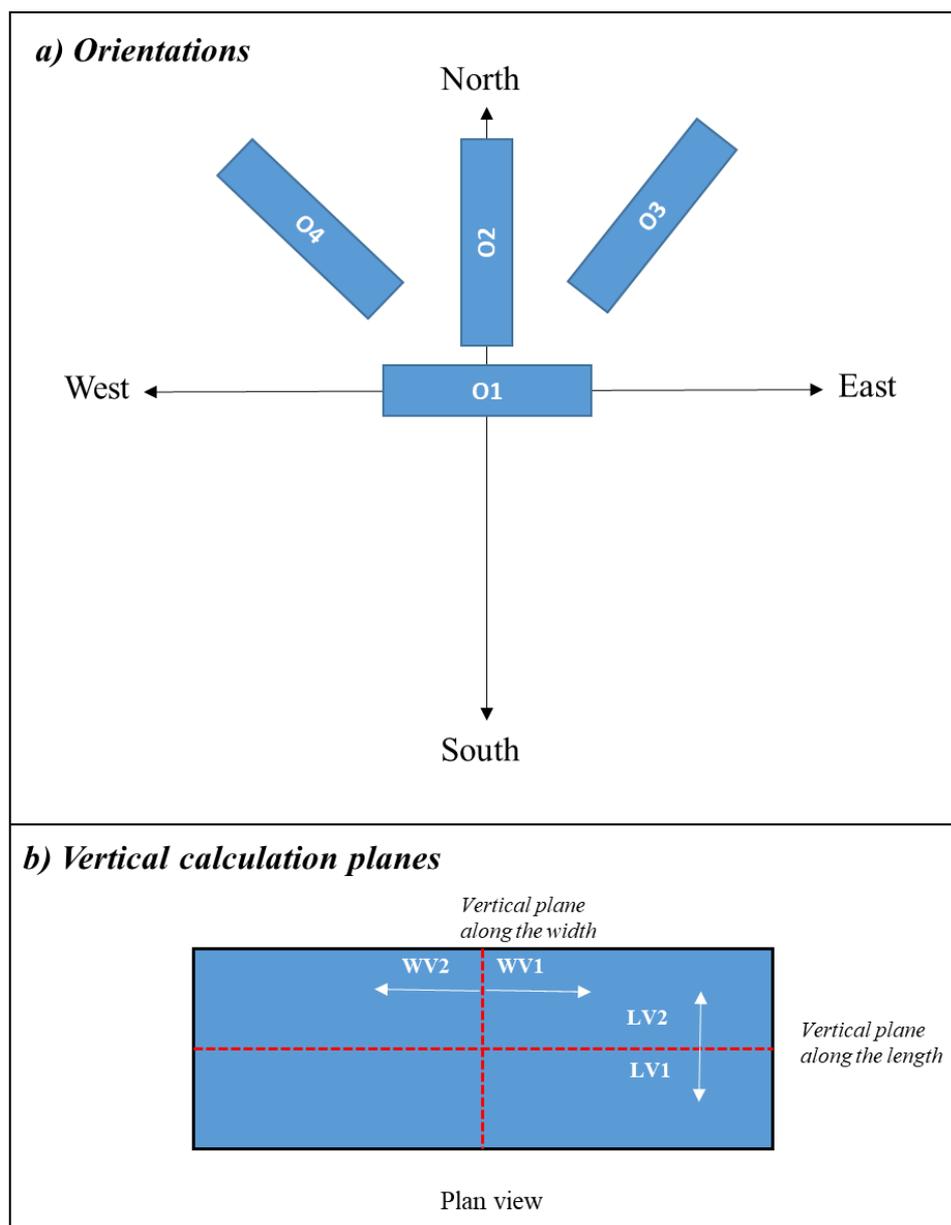


Fig. 3: Orientations and the vertical calculation planes for PAR analysis in two greenhouse models.

2.3 Photosynthetically Active Radiation

Photosynthetically active radiation (PAR) is a portion of solar radiation that can be utilized by green plants in the process of photosynthesis (Hall and Rao, 1999). Technically,

1 PAR is defined as the solar radiation in a spectral range of 400 and 700 nm. Given the
2 studies of plant science, PAR can be expressed in terms of solar irradiance (W/m^2) or
3 photosynthetic photon flux density (PPFD), i.e. the number of photons incident per unit
4 time on a unit surface ($\mu\text{mol}/\text{m}^2\text{s}$) (Sun et al., 2017). The monochromatic relationship
5 between the aforementioned two quantities can be given through Eq. 1:

$$6 \quad P_{\lambda} = \frac{F_{\lambda}\lambda}{N_A h c} \quad (1),$$

7 where, P_{λ} means the PPFD, F_{λ} is the solar irradiance, λ is the wavelength, N_A is the
8 Avogadro constant, h is the Planck constant, and c is the speed of light. Photons of
9 PAR wavelength will be absorbed by the green plants as a source of energy in the process
10 of photosynthesis and are thus important for the plant growth. In addition, it can be found
11 from Eq. 1 that the photon flux depends explicitly on the wavelength and therefore the
12 photon flux cannot be directly derived from the solar irradiance. In climate studies, PAR
13 values are often estimated based on the global solar irradiance using the following
14 empirical equation (Dong et al., 2011; Zhou et al., 2013):

$$15 \quad Q_{PAR} = \eta_0 Q \quad (2),$$

16 where Q_{PAR} is PAR expressed in W/m^2 , Q denotes the global solar irradiance and η_0 is
17 the ratio measured based on the climate conditions.

18 **2.4 Simulations**

19 Developed for daylight/solar simulations, RADIANCE has been recognized as an
20 accurate tool to calculate the global solar irradiation (W/m^2) under various sky conditions
21 (“RADIANCE,” 2020). Using a hybrid approach of Monte-Carlo and deterministic ray-

1 tracing, RADIANCE simulation starts at a measurement point and traces rays of light
2 backwards to the sources. The radiance values, i.e. the amount of light passing a specific
3 point in a specific direction, can be calculated, including the direct component, the
4 specular indirect component and the diffuse indirect component. Combined with the
5 Perez all-weather sky model (Perez et al., 1993, 1990), RADIANCE has become one of
6 the most commonly used computer programmes for daylight simulations under real sky
7 conditions. Validation studies have shown that the RADIANCE results are in good
8 agreement with measurements and theoretical analyses (Mardaljevic, 1995; Reinhart and
9 Walkenhorst, 2001). One of the authors of the present study had successfully employed
10 RADIANCE to investigate the daylight availability in buildings (Du, 2011; Lu and Du,
11 2019).

12 In order to evaluate the PAR availability, this study first employed RADIANCE to
13 calculate the global solar irradiance received inside the greenhouses. Then, PAR values
14 were obtained through the Eq. 2. The value of η_0 in Eq. 2 slightly varies in locations,
15 seasons, and other meteorological parameters (Janjai et al., 2015). This study
16 adopted $\eta_0 = 0.44$, which is a typical value applied for the seven Chinese locations (Zhou
17 et al., 2013).

18 In this study, two types of calculation positions in the greenhouses to justify the PAR
19 availability were considered: horizontal and vertical planes. The horizontal calculation
20 plane was set with a height of 2.1 m above the greenhouse floor (the normal direction is
21 upward), which was defined at the half height of normal space in greenhouses to represent

1 the horizontal PAR availability. As shown in Figure 3(b), four vertical calculation planes
2 were positioned cross the greenhouse center along the length (LV1 & LV2) and the width
3 (WV1 & WV2) (the normal directions were marked using white arrowheads). Only the
4 PAR availability in the normal space of greenhouses (lower than 4.2 m) was analyzed.
5 These calculation planes can be used to indicate the PAR levels in the greenhouses with
6 various vertical planting system.

7 **3. Results**

8 This section has three parts with the analysis of PAR availability: effects of greenhouse
9 roofs, effects of orientations, and effects of locations and climates.

10 **3.1 Effects of greenhouse roofs**

11 The monthly-averaged hourly PAR (Alados et al., 1996) have been evaluated in four
12 typical months (December, March, June, and September) and with two roofs: A-frame
13 (A-roof) and Barrel-vault (B-roof). Lhasa, Beijing, and Chengdu were studied here as
14 representatives of locations with high, medium, and low levels of solar availability. Since
15 this section focuses on the roof effect, only the orientation O1 was included in the analysis.

16 *3.1.1 PAR availability at horizontal plane*

17 Figure 4 gives comparisons of PAR availability at the horizontal plane between two roofs
18 in Lhasa (a), Beijing (b) and Chengdu (c). Taking the A-frame model as a reference, the
19 relative difference of PAR between two roofs (R_{roofh}) can be quantified according to the
20 following equation:

$$21 \quad R_{roofh} = \frac{\overline{PAR}_{h,B} - \overline{PAR}_{h,A}}{\overline{PAR}_{h,A}} \times 100\% \quad (3),$$

1 where $\overline{PAR}_{h,A}$ and $\overline{PAR}_{h,B}$ denote the average PAR received at the horizontal plane
2 during the working time (6:00—18:00) with A-roof and B-roof respectively.

3 Generally, A-roof greenhouses have similar PAR varying trends as B-roof greenhouses at
4 three cities, whilst there are no big differences of PAR levels at the horizontal plane
5 between two roofs (absolute $R_{\text{roofh}} < 10\%$). The relative difference of annual PAR ranges
6 from -2.64% in Lhasa to -1.29% in Chengdu. Given the variation in different seasons
7 (Figure 4), it seems that roof effects vary in locations. At Lhasa, for instance, B-roof
8 greenhouses receive 7.84% less PAR than A-roof in winter (December), whereas A-roof
9 greenhouses achieve slightly higher PAR than B-roof models in other months. However,
10 for Chengdu, no significant differences of PAR can be found between two roofs cross the
11 year.
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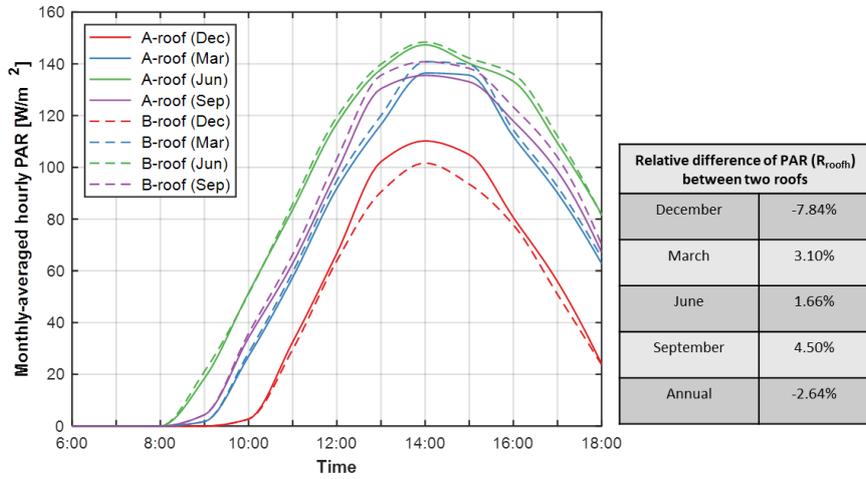
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b) Beijing

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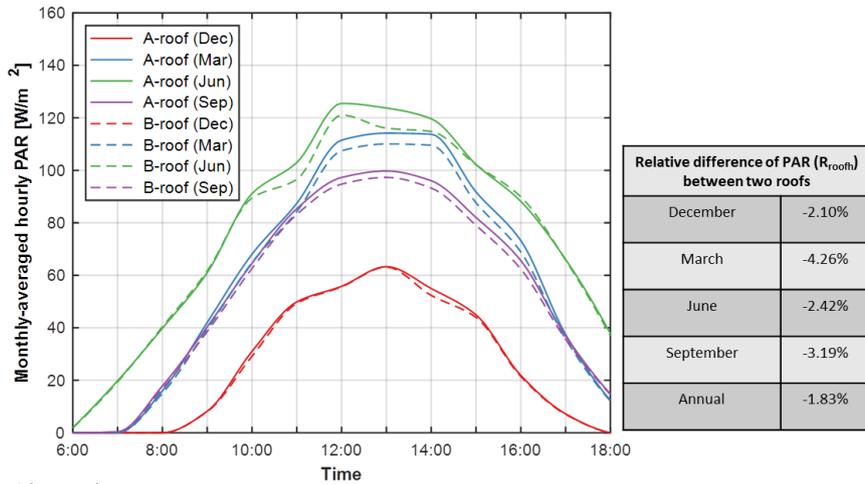
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c) Chengdu

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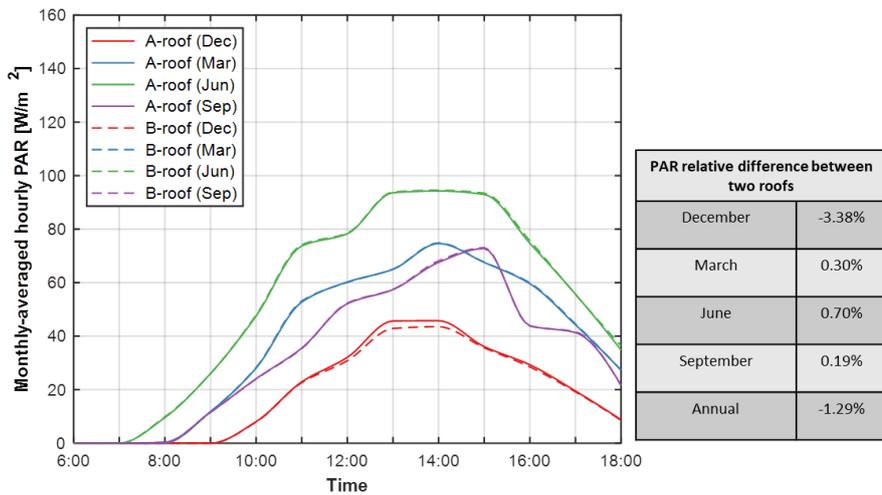
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Fig. 4: Comparison of PAR availability at the horizontal plane between two roofs and with the orientation (OI) in Lhasa (a), Beijing (b), and Chengdu (c).

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1 *3.1.2 PAR availability at vertical plane*

2 Figure 5 & 6 present comparisons of PAR availability between two roofs at the vertical
3 planes LV1 & LV2, and vertical planes WV1 & WV2 respectively. The relative difference
4 of vertical PAR between two roofs (R_{roofv}) is defined by:

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$$R_{roofv} = \frac{\overline{PAR}_{v,B} - \overline{PAR}_{v,A}}{\overline{PAR}_{v,A}} \quad (4),$$

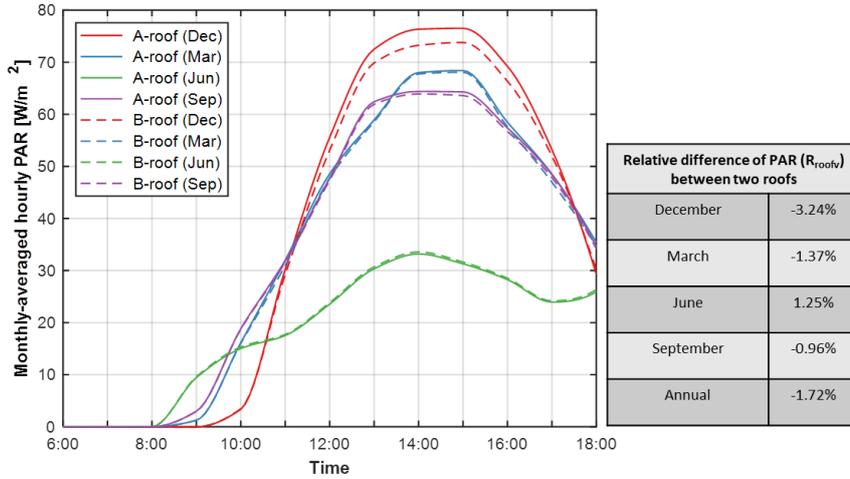
6 where $\overline{PAR}_{v,A}$ and $\overline{PAR}_{v,B}$ are the average PAR at the vertical planes with two roofs.

7 Similar to the horizontal plane, PAR levels of A-roof vary in the same trends at vertical
8 planes as B-roof models. It can also be found that there are generally few differences of
9 vertical PAR levels between two roofs (absolute $R_{roofv} < 5\%$). For vertical planes LV1 &
10 LV2, average PAR levels decrease by only 1.72% in Lhasa, 1.91% in Beijing, and 2.36 %
11 in Chengdu when A-roof is replaced by B-roof. With vertical planes WV1 & WV2, the
12 relative differences of PAR are -1.60% (Lhasa), -1.09% (Beijing), and -1.13% (Chengdu).
13 Effects of roof on PAR availability at vertical planes might also linked to the seasonal and
14 locational factors. Relative differences of PAR between two roofs are slightly larger in
15 winter than that in summer at all locations. A special case can be found in Lhasa. For
16 instance, B-roof greenhouse may see slightly higher PAR than A-roof models in summer
17 (June).

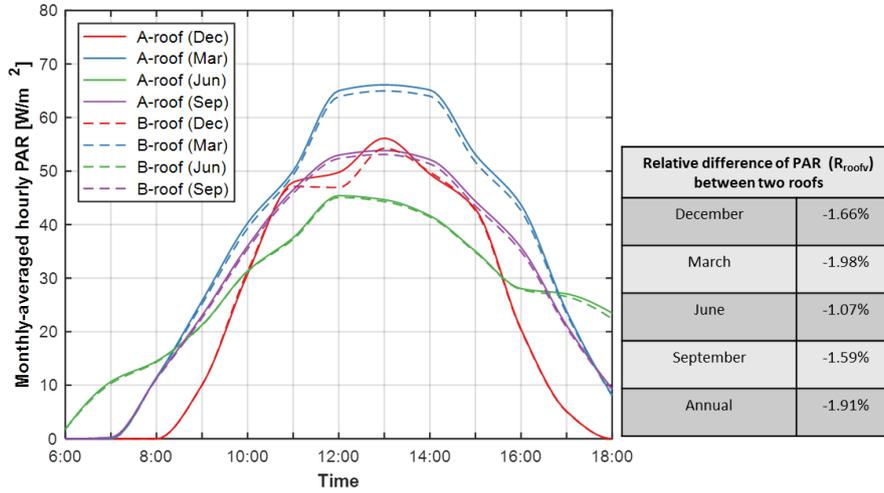
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a) Lhasa



b) Beijing



c) Chengdu

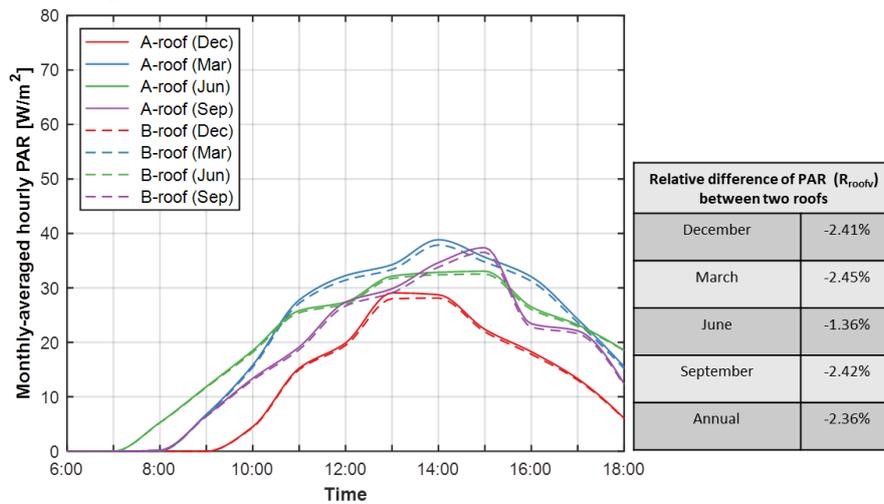
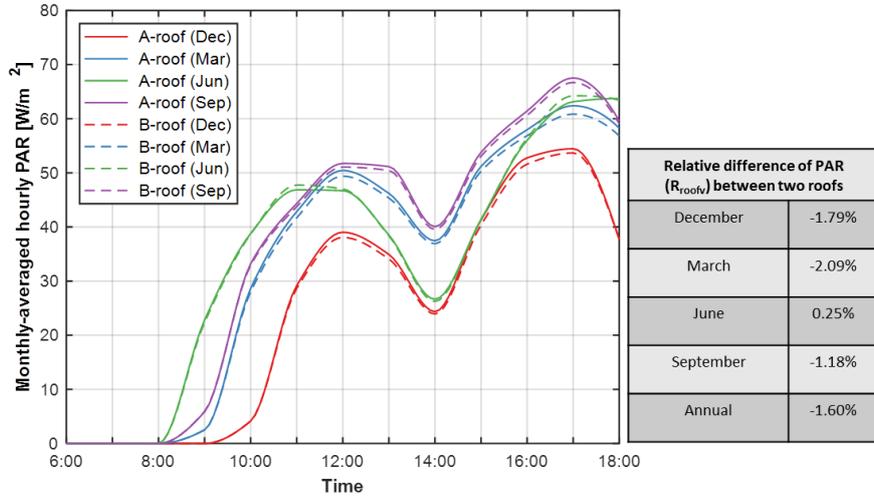


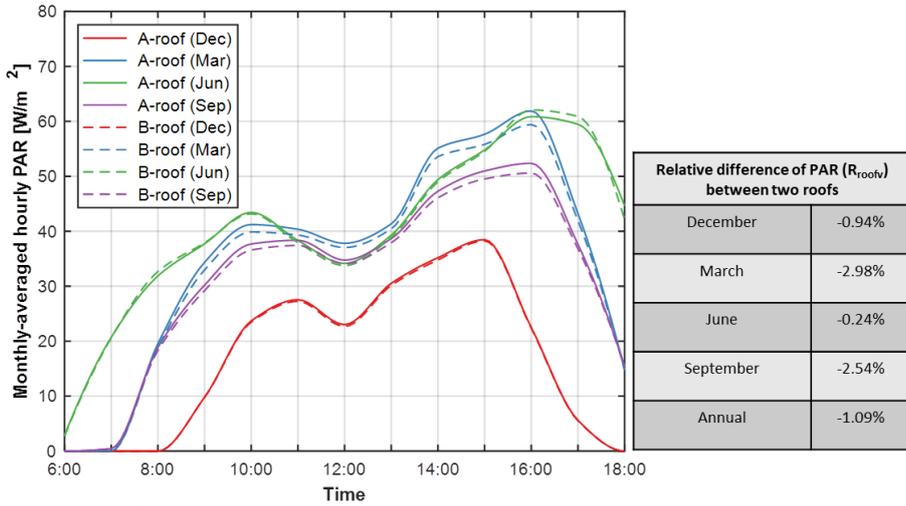
Fig. 5: Comparison of PAR availability at the vertical plane (LV1 & LV2) between two roofs and with the orientation (O1) in Lhasa (a), Beijing (b), and Chengdu (c).

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a) Lhasa



b) Beijing



c) Chengdu

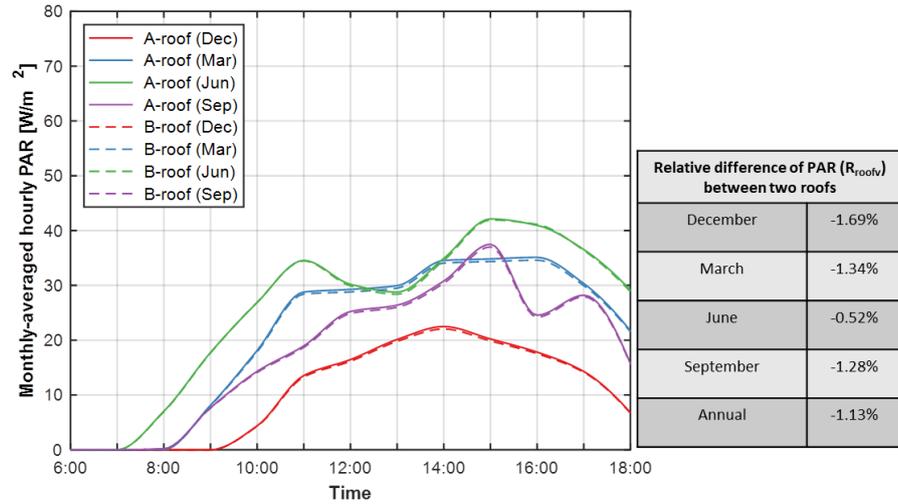


Fig. 6: Comparison of PAR availability at the vertical plane ($WV1$ & $WV2$) between two roofs and with the orientation ($O1$) in Lhasa (a), Beijing (b), and Chengdu (c).

3.1.3 Summary

It can be found that roof configurations would not be able to affect PAR variations and levels at both horizontal and vertical planes in greenhouses. Even though Lhasa sees a relatively higher difference (7.84%), most of locations share the same trend. Thus, only A-roof was considered when investigating the effect of orientation and climate on the PAR availability in the following sections.

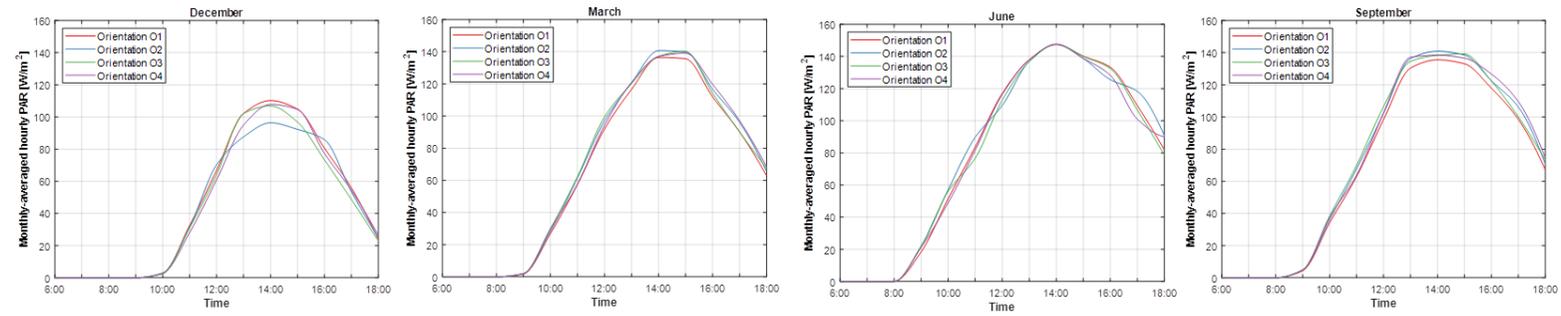
3.2 Effect of orientations

This section focuses on effects of greenhouse orientation on the PAR availability. Similar to section 3.1, this analysis only includes three cities (Lhasa, Beijing, and Chengdu) and four typical months (December, March, June, and September). Given the insignificant difference of PAR between A-frame and Barrel-vault roofs, only greenhouses with A-frame roof were analysed.

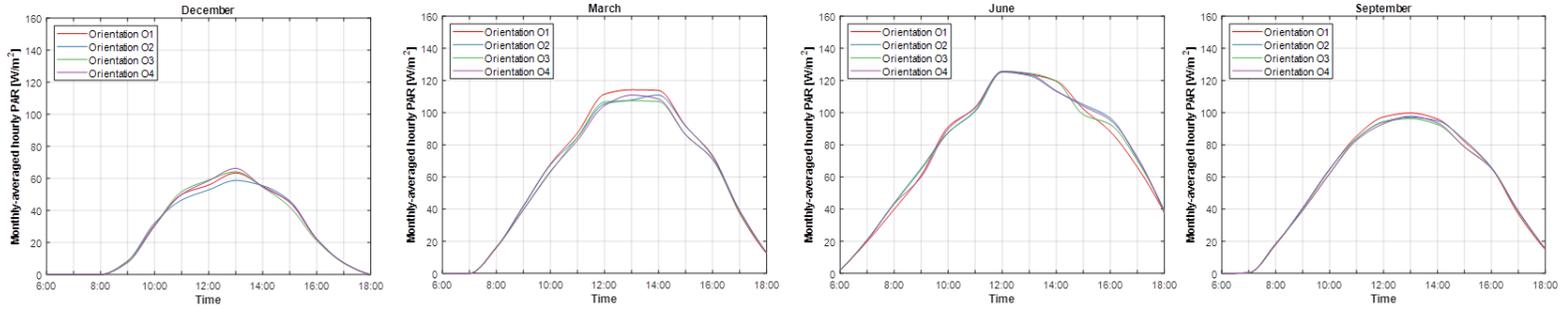
3.2.1 PAR availability at horizontal plane

Figure 7 shows hourly PAR variations at the horizontal plane with four orientations. The general trends of PAR variations at the horizontal plane are similar between four orientations. The PAR peaks between 12:00–15:00 for all locations and orientations. It can be noted that PAR differences caused by orientations tend to be larger after 12:00, especially at Lhasa and Beijing. In Lhasa, December can see orientation O2 receives 12.6% less PAR around 14:00 than orientation O1, while achieves higher PAR levels than O1 after 16:00. For Chengdu, the orientation takes unclear effect on PAR variation across the working time.

a) Lhasa



b) Beijing



c) Chengdu

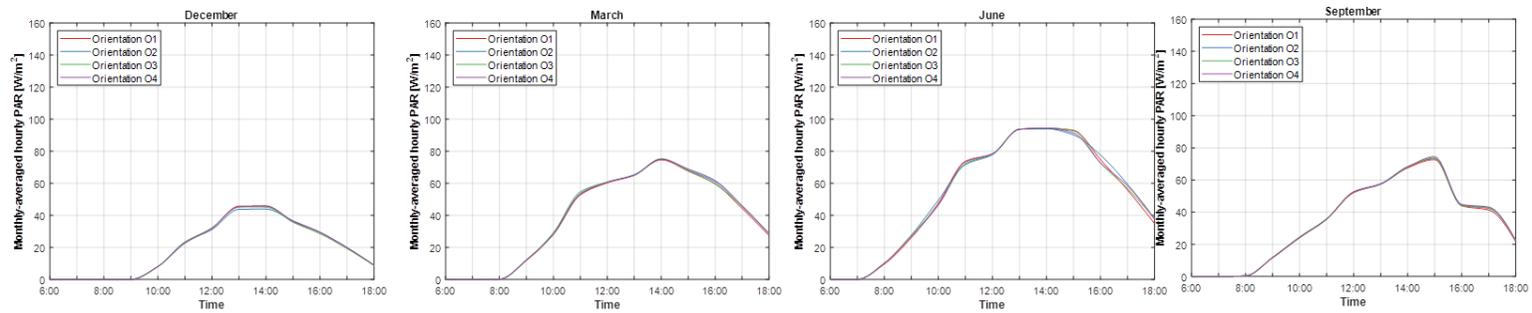


Fig. 7: PAR availability at the horizontal plane with A-frame roof and four orientations (O1, O2, O3, and O4) in Lhasa, Beijing, and Chengdu.

1 **Table 3:** Relative difference of monthly PAR (R_{oh}) at the horizontal plane of greenhouse
2 with three orientations (O2, O3 and O4) and A-frame roof, taking the orientation O1 as
3 a reference (locations: Lhasa, Beijing, and Chengdu; working time: 6:00-18:00).
4

Relative Difference (R_{oh})				
Location	Period	Orientation (O2)	Orientation (O3)	Orientation (O4)
Lhasa	December	-5.98%	-5.31%	-3.90%
	March	4.41%	3.82%	4.11%
	June	1.50%	-1.06%	-0.88%
	September	4.97%	5.07%	5.58%
	Annual	0.10%	-0.95%	-0.48%
Beijing	December	-2.35%	0.26%	1.30%
	March	-2.19%	-4.58%	-4.27%
	June	1.43%	1.00%	1.14%
	September	-0.49%	-2.36%	-2.23%
	Annual	-0.31%	-0.73%	-0.34%
Chengdu	December	-1.43%	-0.99%	-0.12%
	March	1.50%	1.43%	1.58%
	June	1.12%	0.19%	0.29%
	September	1.27%	1.14%	1.48%
	Annual	0.57%	0.17%	0.56%

5
6 To effectively compare effects of orientation on PAR performance, the relative difference
7 of monthly PAR at the horizontal plane (R_{oh}) was calculated for orientations O2, O3, and
8 O4, taking Orientation O1 as a reference:

$$R_{oh} = \frac{\overline{PAR}_{h,o_i} - \overline{PAR}_{h,o_1}}{\overline{PAR}_{h,o_1}} \quad (5),$$

10 where \overline{PAR}_h is monthly- or annual-averaged PAR at the horizontal plane; $i =$
11 2, 3, or 4 representing the corresponding orientations. R_{oh} values are presented in Table
12 3. Lhasa and Beijing can receive slightly higher R_{oh} values in December, March and
13 September. For example, the maximum R_{oh} values can reach nearly -6% in Lhasa (O2 in

1 December) and -4.58% in Beijing (O3 in March). However, similar to Figure 7 (c),
2 Chengdu has no big PAR differences between orientations since all absolute R_{oh} values <
3 2%.

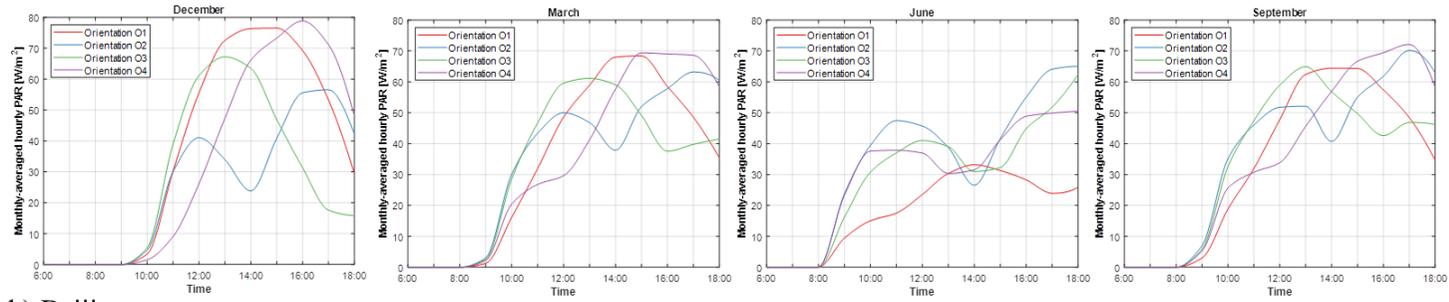
4 *3.2.2 PAR availability at vertical plane*

5 Figure 8 & 9 present comparisons of PAR availability between four orientations at
6 vertical planes LV1 & LV2 and WV1 & WV2 respectively. Different from the horizontal
7 PAR in Figure 7, variations of hourly vertical PAR show significant impact from
8 orientations here.

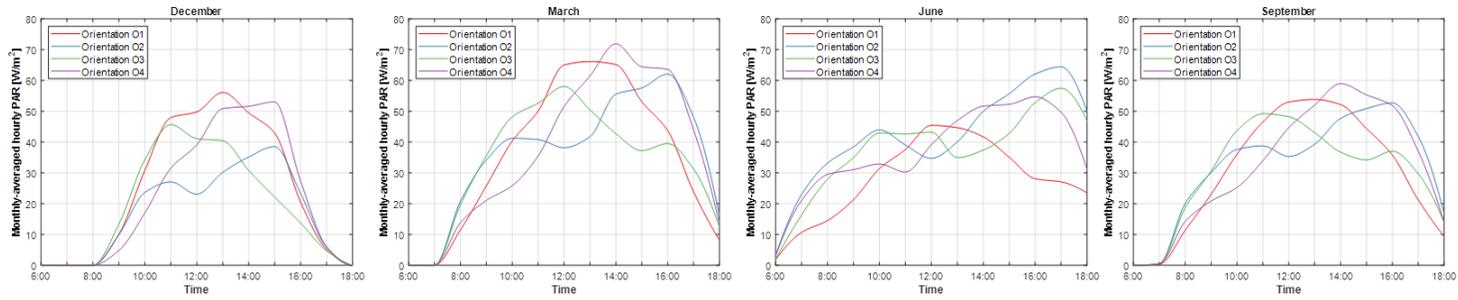
9 Vertical PAR peaks at different times and dates under different orientations. At vertical
10 plane LV1 & LV2 (Figure 8), orientation O3 sees PAR tends to peak first, followed by
11 O1. The latest peak time is found with orientation O4. It is worth noting that the PAR
12 under orientation O2 shows two peaks occurring in the morning and afternoon. There is
13 valley of PAR variation in between the two peaks, whose time is roughly the same as the
14 peak time of orientation O1. In Lhasa, the PAR at vertical planes LV1 & LV2 reaches the
15 highest level at around 14:00 under orientation O1, whereas under orientation O2 the
16 PAR peaks twice at around 12:00 and 17:00. A similar trend can be also observed in
17 Beijing, but cannot be clearly found in Chengdu. Orientation O1 tends to receive the
18 highest PAR level of PAR in December (winter), whereas orientation O2 has the greatest
19 potential of PAR application in June (summer). When comparing O3 with O4, orientation
20 O3 has slightly higher PAR levels at the vertical plane LV1 & LV2. For vertical plane
21 WV1 & WV2 (Figure 9), it is normal to see a reversed trend according to PAR variations

1 in contrast to LV1 & LV2. Orientation O4 shows the earliest peak time, while the PAR
2 of orientation O3 peaks at the latest time. Similarly, two PAR peaks are observed with
3 orientation O1. Orientation O2 and orientation O1 achieve the highest PAR in December
4 and June respectively. A higher PAR levels can be delivered with orientation O4 than
5 orientation O3.

a) Lhasa



b) Beijing



c) Chengdu

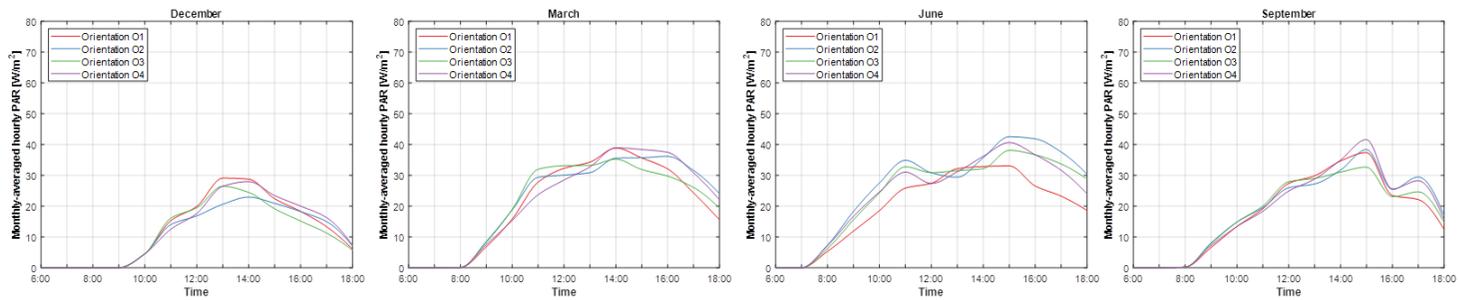
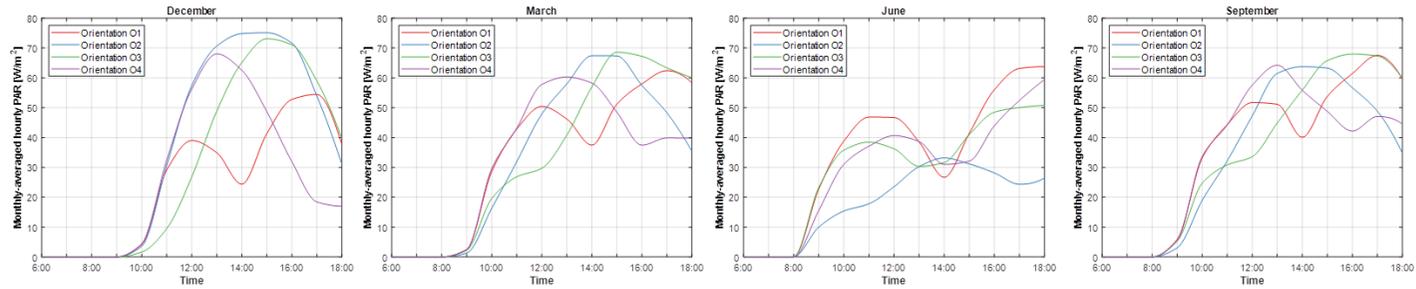
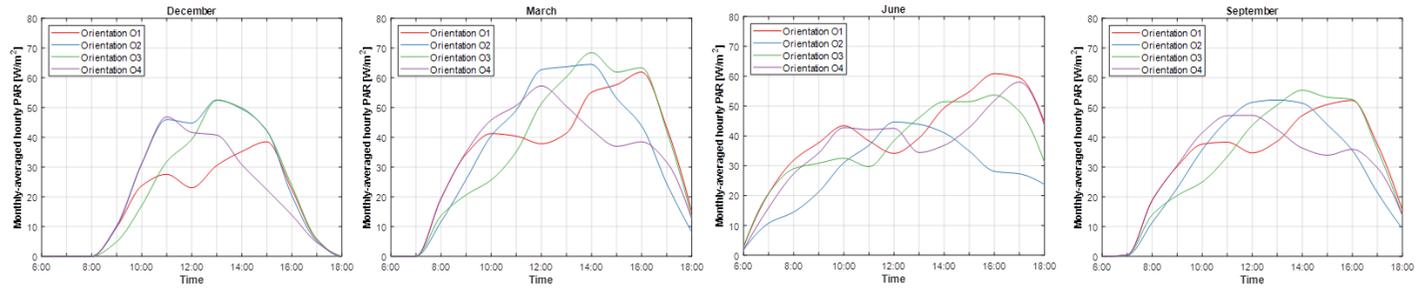


Fig. 8: PAR availability at the vertical plane (LV1 & LV2) with A-frame roof and four orientations (O1, O2, O3, and O4) in Lhasa (a), Beijing (b), and Chengdu (c).

a) Lhasa



b) Beijing



c) Chengdu

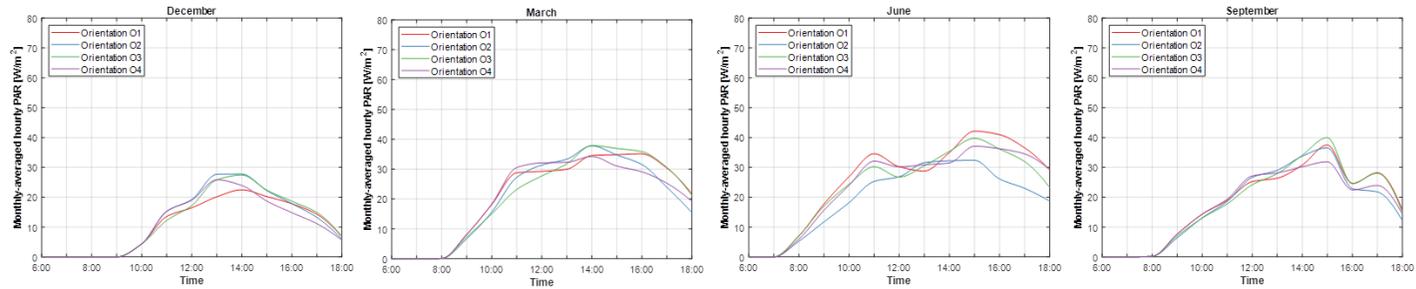


Fig. 9: PAR availability at the vertical plane (WV1 & WV2) with A-frame roof and four orientations (O1, O2, O3, and O4) in Lhasa (a), Beijing (b), and Chengdu (c).

1 The relative difference of vertical PAR levels between orientations (R_{ov}) is defined by:

$$2 \quad R_{ov} = \frac{\overline{PAR}_{v,o_i} - \overline{PAR}_{v,o_1}}{\overline{PAR}_{v,o_1}} \quad (6),$$

3 where \overline{PAR}_v denote the monthly- or annual-averaged PAR at the vertical planes, $i =$

4 2, 3, or 4 representing the corresponding orientations. The values of R_{ov} are presented

5 in Table 4 and 5 for vertical planes LV1 & LV2, and WV1 & WV2 respectively. Absolute

6 R_{ov} values are significantly higher in December (winter) and June (summer) than in March

7 (spring) and September (autumn). These values range from 1% to 10% for March and

8 September, while reach 47.47% in December and 87.58% in June. For vertical planes

9 LV1 & LV2 (Table 4), December (winter) sees the maximum monthly PAR with

10 orientation O1, whilst June (summer) has the maxima with orientation O2. As for

11 locations, the maximum values of R_{ov} at the two planes are 87.58% and 48.26% in

12 Lhasa and Beijing respectively. Compared with Lhasa and Beijing, R_{ov} values are lower

13 in Chengdu and the maximum absolute R_{ov} is around 32% (June). On the other hand,

14 vertical planes WV1 & WV2 (Table 5) receive the maximum monthly PAR with

15 orientation O1 in summer (June) and orientation O2 in winter (December). The maximum

16 values of R_{ov} for Lhasa and Beijing are 47.47% and 39.37% respectively. In Chengdu,

17 the maxima of R_{ov} can just reach around 30%.

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1 **Table 4:** Relative difference of monthly PAR (R_{olv}) at the vertical plane (LV1 & LV2) of
2 greenhouse with three orientations (O2, O3 and O4) and A-frame roof, taking the
3 orientation O1 as a reference (locations: Lhasa, Beijing, and Chengdu; working time:
4 6:00-18:00).

5

Relative Difference (R_{olv})				
Location	Period	Orientation (O2)	Orientation (O3)	Orientation (O4)
Lhasa	December	-29.52%	-25.37%	-9.62%
	March	2.03%	-2.35%	1.75%
	June	87.58%	61.96%	62.74%
	September	11.42%	4.31%	7.24%
	Annual	8.27%	1.82%	8.12%
Beijing	December	-30.74%	-21.28%	-10.07%
	March	0.78%	-5.55%	3.08%
	June	48.26%	32.93%	30.48%
	September	6.38%	-0.38%	5.56%
	Annual	5.30%	0.27%	6.59%
Chengdu	December	-11.17%	-9.77%	-1.01%
	March	6.59%	1.68%	4.57%
	June	31.63%	21.63%	20.21%
	September	4.88%	-0.35%	5.35%
	Annual	10.65%	4.43%	8.56%

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Table 5: Relative difference of monthly PAR (R_{ovv}) at the vertical plane (WV1 & WV2) of greenhouse with three orientations (O2, O3 and O4) and A-frame roof, taking the orientation O1 as a reference (locations: Lhasa, Beijing, and Chengdu; working time: 6:00-18:00).

Relative Difference (R_{ovv})				
Location	Period	Orientation (O2)	Orientation (O3)	Orientation (O4)
Lhasa	December	47.47%	24.17%	6.87%
	March	-1.52%	-0.60%	-4.77%
	June	-45.95%	-13.19%	-14.11%
	September	-8.20%	-2.64%	-5.35%
	Annual	-6.73%	-0.77%	-5.92%
Beijing	December	39.37%	23.31%	11.95%
	March	0.01%	1.86%	-6%
	June	-30.47%	-9.93%	-8.34%
	September	-5.11%	-0.77%	-6.15%
	Annual	-3.55%	1.99%	-3.23%
Chengdu	December	12.81%	10.13%	1.95%
	March	-4.87%	-1.28%	-3.91%
	June	-23.60%	-8.06%	-6.57%
	September	-3.66%	1.04%	-4.31%
	Annual	-8.80%	-1.41%	-4.58%

3.2.3 Summary

In sum, effects of orientation on the PAR availability are substantially clear at the vertical planes, while the horizontal plane shows the insignificant impact of orientation. The general varying trends are similar between four orientations at the three cities. Effects of orientation on the vertical PAR are much clearer in Lhasa and Beijing than in Chengdu. The PAR availability is more sensitive to the greenhouse orientation in winter and summer than in spring and autumn.

3.3 Effect of locations and climates

This section focuses on effects of locations and climates on the PAR availability. Thus, seven locations have been included in this section. Similarly, only greenhouses with A-frame roof and orientation O1 were analysed.

3.3.1 Variations of monthly averaged PAR

Table 6 indicates the monthly-averaged PAR at the horizontal plane. It can be found that there are clear seasonal variations of horizontal PAR at seven locations. Lhasa (Zone I) generally has the highest PRA levels across the year, although the maximum monthly PAR occurs in Yinchuan in June (83.0 W/m^2). Yinchuan (Zone II) receives higher PAR levels than Beijing (Zone III) from April to July, while sees a similar PAR level as Beijing in other periods. The monthly PAR peaks in the same period from May to July in Lhasa, Yinchuan, and Beijing. For three locations in Zone IV such as Guangzhou, Wuhan, and Changchun, PAR peaks in the periods from July to October, from July to August, and from May to June respectively. Compared with Wuhan and Changchun, Guangzhou tends to receive more PAR in autumn and winter and less PAR in spring and summer. In winter (from November to December), Guangzhou can even achieve the similar PAR level as Lhasa. In May and June, Changchun has a PAR level similar to the value of Beijing, which is significantly higher than Wuhan and Guangzhou. However, Changchun has the lowest PAR level from November to January among seven locations. Chengdu (Zone V) generally has the lowest PAR level across the year, although a slightly higher PAR level can be found here in spring than Guangzhou (Zone IV).

1 **Table 6:** Monthly-averaged PAR at the horizontal plane of greenhouse with OI
 2 orientation, A-frame roof and seven locations (working time: 6:00-18:00).

3

Monthly averaged horizontal PAR												
Month	1	2	3	4	5	6	7	8	9	10	11	12
Location												
Lhasa	47.6	60.7	64.0	76.9	82.1	78.5	80.0	75.0	67.9	62.9	49.4	44.6
Yinchuan	29.8	41.0	56.2	70.0	76.7	83.0	77.0	66.5	59.6	49.3	34.7	27.9
Beijing	29.0	45.2	59.1	69.2	70.8	75.4	67.2	66.7	53.9	43.9	31.9	25.9
Guangzhou	36.8	35.0	31.9	41.6	54.7	49.2	59.8	57.9	57.1	58.5	49.1	43.3
Wuhan	28.9	35.9	41.7	52.2	56.4	60.9	67.3	64.9	50.4	41.0	35.3	29.2
Changchun	19.3	31.0	43.7	62.3	73.0	73.7	62.9	58.1	52.7	34.8	20.3	15.7
Chengdu	21.1	22.8	37.8	47.7	51.8	52.4	55.1	54.6	33.0	32.6	23.2	19.1

4

5 The monthly-averaged PAR values at the vertical planes LV1 & LV2 and WV1 & WV2
 6 are given in Table 7 and 8. Compared with the horizontal plane (Table 6), the monthly
 7 PAR at vertical planes LV1 & LV2 shows different seasonal variations (Table 7). Clearly,
 8 differences between vertical PAR values in various months tend to be lower. In Lhasa
 9 (Zone I), summer (June) sees the lowest vertical PAR level in a year, while the highest
 10 vertical PAR levels are found in the period from October to February. The trend that
 11 spring and autumn have similar vertical PAR levels as summer and winter can be observed
 12 in locations including Yinchuan (Zone II), Beijing (Zone III), Wuhan and Guangzhou
 13 (Zone IV). With the exception of winter, Changchun (Zone IV) has a relatively higher
 14 level of PAR than Guangzhou and Wuhan for other seasons. For Chengdu (Zone V),
 15 vertical PAR levels in spring, summer and autumn are higher than in winter. For vertical
 16 planes WV1 & WV2 (Table 8), the monthly-averaged PAR varies in a similar trend as the

1 horizontal plane (see Table 6), while the range of vertical PAR is smaller than the
 2 horizontal PAR. As shown in Table 8, the monthly PAR starts to increase from January
 3 and peaks in the summer period (from May to August), and then decreases towards
 4 December. The maximum monthly PAR occurs in June at Yinchuan (Zone II, 40.5 W/m²)
 5 whilst the minimum value can be found in December at Chengdu (Zone V, 10.5 W/m²).
 6 In general, Lhasa has higher PAR levels than Yinchuan and Beijing, especially in winter.
 7 Yinchuan and Beijing have similar levels of PAR across the year. In Zone IV, Changchun
 8 sees higher PAR levels than Wuhan and Guangzhou from April to June (spring), whereas
 9 Guangzhou has higher PAR levels in the winter period (from October to January). The
 10 PAR levels in Chengdu (Zone V) are generally the lowest among seven locations.

11

12 **Table 7: Monthly-averaged PAR at the vertical plane (LV1 & LV2) of greenhouse with OI**
 13 *orientation, A-frame roof and seven locations (working time: 6:00-18:00).*

14

Monthly vertical averaged PAR (LV1 & LV2)												
Month \ Location	1	2	3	4	5	6	7	8	9	10	11	12
Lhasa	35.5	37.7	33.5	31.1	24.7	18.3	23.0	26.3	33.3	36.6	34.8	35.9
Yinchuan	27.0	29.4	31.8	32.7	30.8	32.5	30.6	29.8	31.4	33.1	29.5	26.9
Beijing	26.9	33.8	34.8	34.1	27.7	27.9	26.7	31.4	29.7	28.9	26.8	24.0
Guangzhou	23.1	20.0	16.8	20.5	24.1	21.1	26.2	24.1	27.8	31.7	30.2	28.8
Wuhan	19.4	21.6	22.0	25.1	21.6	23.6	22.1	28.8	25.7	24.1	23.7	20.3
Changchun	20.5	25.8	28.5	33.1	32.7	31.5	29.1	28.7	31.6	26.3	19.7	17.4
Chengdu	13.6	13.2	20.3	23.0	22.5	19.6	23.4	24.1	17.4	18.8	14.4	12.1

15

16

1 **Table 8:** Monthly-averaged PAR at the vertical plane (WV1 & WV2) of greenhouse with
 2 OI orientation, A-frame roof and seven locations (working time: 6:00-18:00).

3

Monthly averaged vertical PAR (WV1 & WV2)												
Month	1	2	3	4	5	6	7	8	9	10	11	12
Location												
Lhasa	25.7	32.4	33.7	38.8	38.1	34.2	36.9	35.6	36.1	33.2	25.4	24.5
Yinchuan	18.0	22.7	29.3	36.6	38.1	40.5	38.7	34.7	30.5	26.8	20.1	17.3
Beijing	18.4	26.5	34.4	39.8	37.1	39.8	35.2	37.2	31.0	24.9	19.1	16.6
Guangzhou	21.3	19.0	17.6	22.2	29.2	25.3	31.7	30.2	31.7	32.1	27.2	24.6
Wuhan	16.8	20.4	23.7	28.5	29.3	31.6	33.8	34.6	27.5	22.9	20.2	17.2
Changchun	13.0	18.9	23.3	34.3	37.7	38.3	34.5	32.0	29.6	19.9	12.5	10.8
Chengdu	12.0	12.4	20.8	25.2	26.7	25.3	28.4	28.6	17.7	18.0	12.8	10.5

4 **3.3.2 PAR availability in greenhouse: horizontal and vertical planes**

5 Figure 10 shows monthly-averaged hourly PAR at the horizontal plane. The horizontal
 6 PAR values of all locations peak between 12:00 and 15:00. Generally, Lhasa sees the
 7 latest peak time, i.e. around 14:00, whilst Changchun tends to have the earliest peak time
 8 (12:00-13:00). Similar peak times can be found at Beijing, Guangzhou and Wuhan. It is
 9 also noted that the PAR peak period varies slightly in seasons. The PAR peak time at
 10 Chengdu occurs between 13:00 and 14:00 in December, but is postponed to nearly 15:00
 11 in September. Yinchuan has an earlier peak time in June and September (13:00) than that
 12 in December and March (14:00). Lhasa has the largest PAR levels across the year,
 13 whereas the lowest PAR levels are received at Chengdu (Zone V). Yinchuan achieves a
 14 comparable level of PAR as Lhasa, especially in summer. Beijing, Guangzhou, Wuhan
 15 and Changchun have a similar level of PAR, which shows some seasonal variations.
 16 Compared with Beijing (Zone III), Wuhan and Changchun (Zone IV), Guangzhou has

- 1 higher PAR levels in winter and lower PAR levels in summer. By contrast, Changchun
- 2 receives lower PAR levels in winter and higher PAR levels in summer.

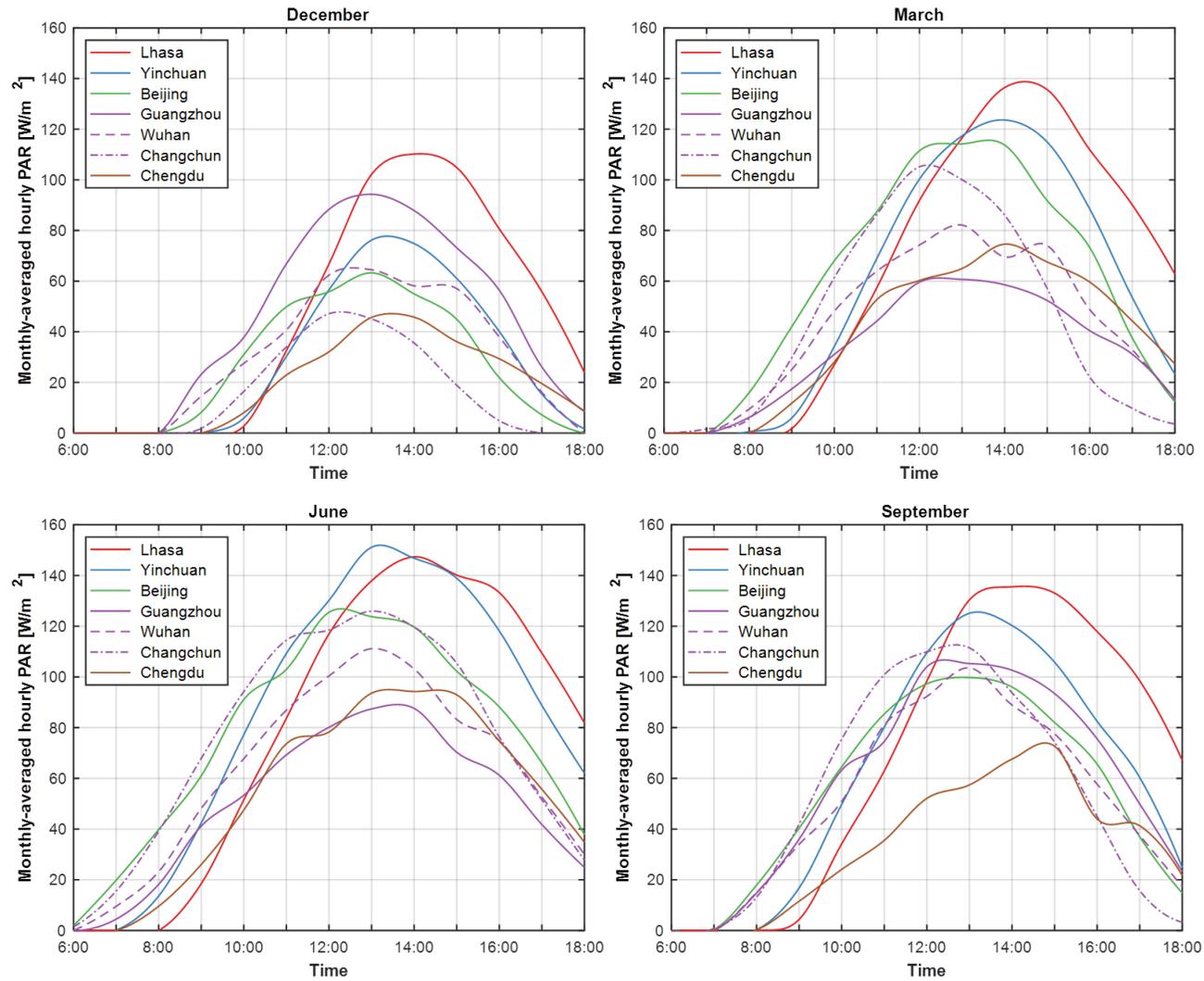


Fig. 10: Monthly-averaged hourly PAR at the horizontal plane with A-frame roof and one orientation (O1) at seven locations.

1 Figure 11 indicates monthly-averaged hourly PAR at vertical planes LV1 & LV2. The
2 seasonal effect is clearer at the vertical planes. Similar PAR peak times can be found here
3 as the horizontal plane. In winter (December), Lhasa and Yinchuan see higher vertical
4 PAR levels than other locations; normally, Chengdu receives the lowest PAR levels.
5 Interestingly, in summer (June), the low PAR levels are found in Lhasa, Wuhan,
6 Changchun, and Chengdu, whilst Yinchuan and Changchun have higher PAR levels.
7 Spring (March) and autumn (September) see that similar PAR levels are found between
8 Lhasa, Yinchuan, Beijing, and Changchun, which are higher than those of Wuhan,
9 Guangzhou, and Chengdu. Differences of PAR levels tend to be larger in winter and
10 decrease towards the summer.

11 Figure 12 gives monthly-averaged hourly PAR at vertical planes WV1 & WV2. The PAR
12 variations clearly show two peaks at Lhasa, Yinchuan, and Beijing for all seasons, with
13 one occurring in the morning and the other found in the afternoon. There is a valley in the
14 PAR varying trend between the two peaks. Although the peak time varies slightly in
15 seasons, the valley time remains the same: 12:00 for Beijing, 13:00 for Yinchuan, and
16 14:00 for Lhasa. Chengdu still has the lowest PAR levels at these vertical planes. Lhasa,
17 Yinchuan, and Beijing can receive the highest PAR levels. In general, compared with
18 vertical planes LV1 & LV2, differences of PAR variations at vertical planes WV1 & WV2
19 tend to be smaller.

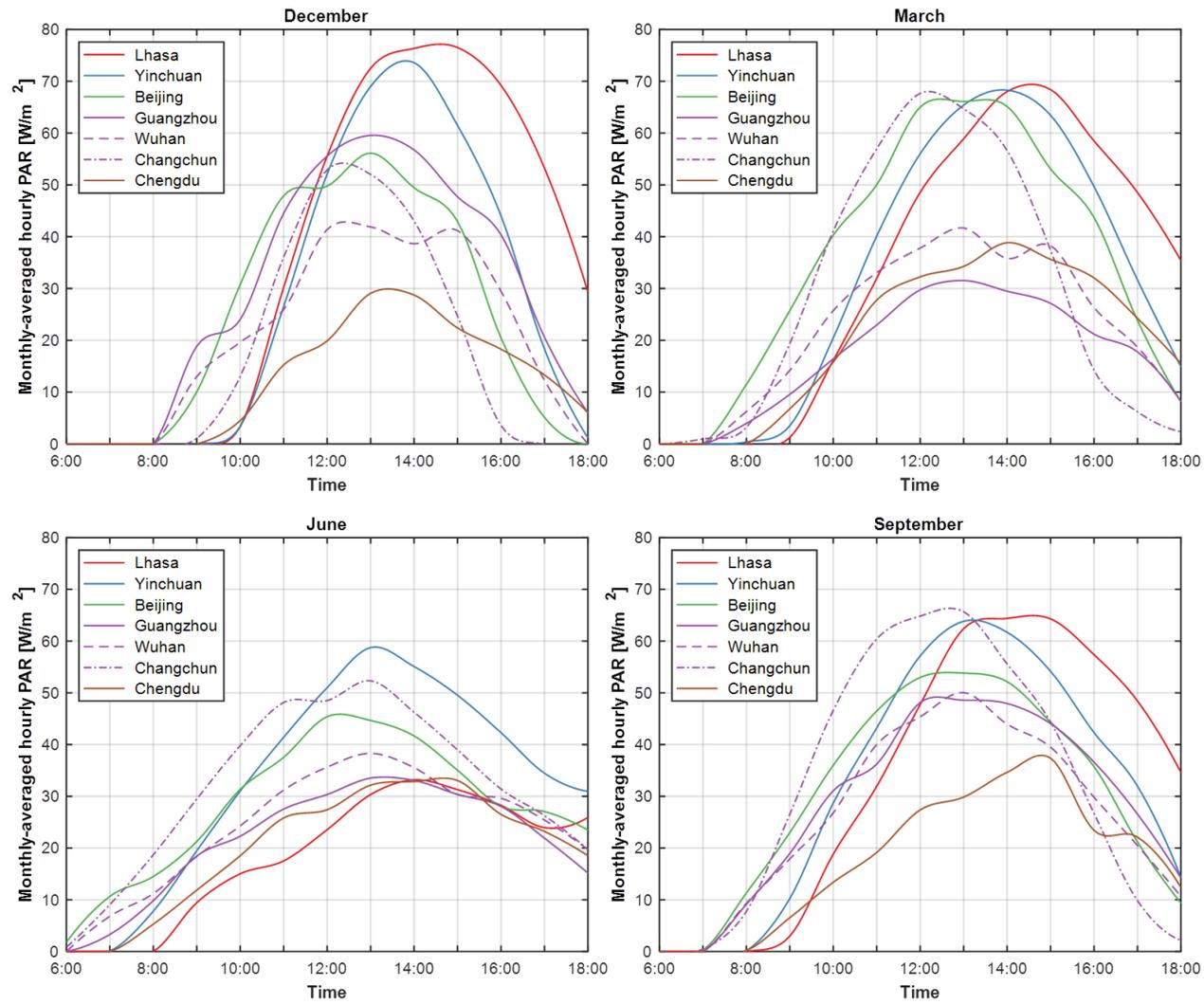


Fig. 11: Monthly-averaged hourly PAR at the vertical plane (LV1 & LV2) with A-frame roof and one orientation (O1) at seven locations.

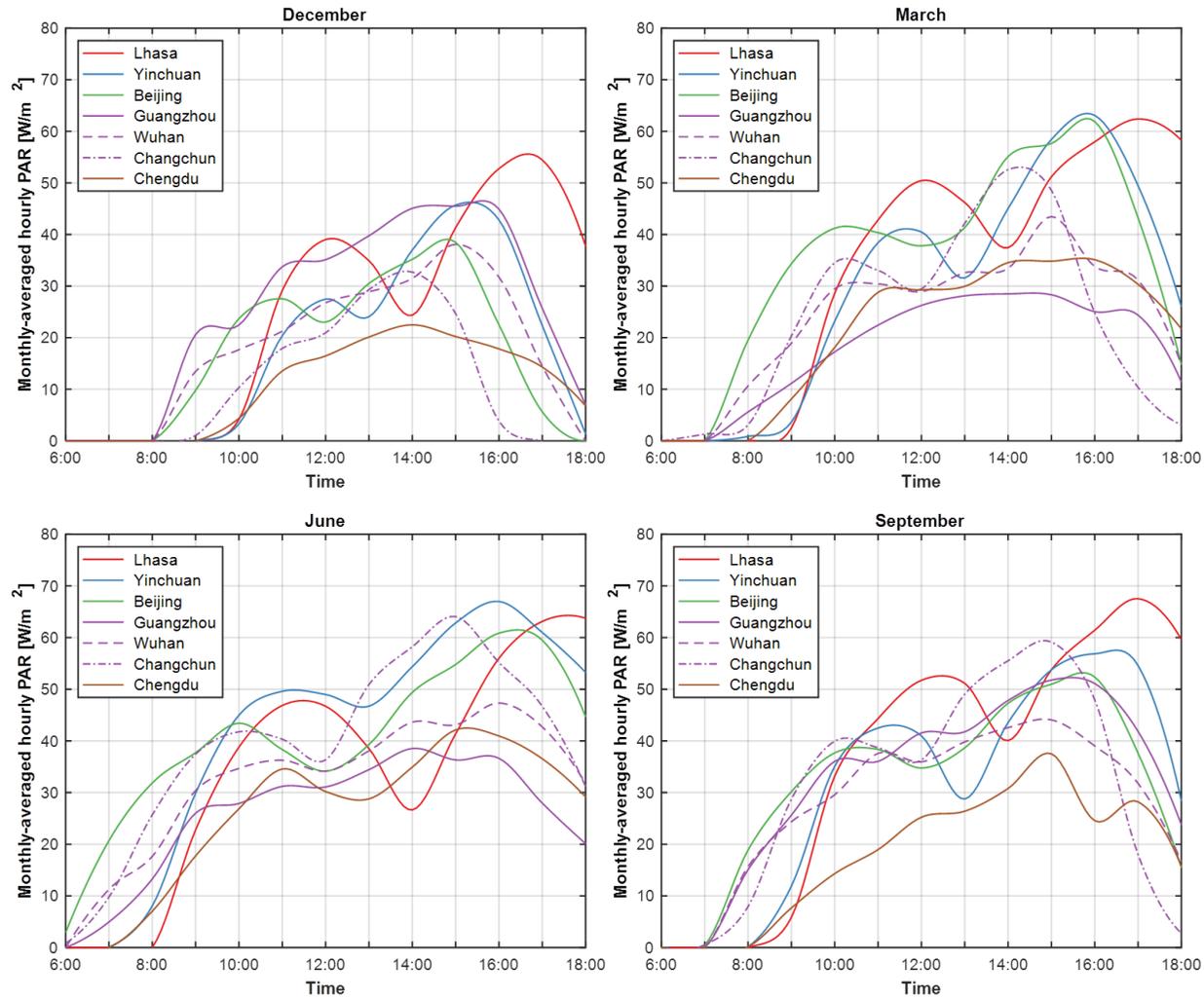


Fig. 12: Monthly-averaged hourly PAR at the vertical plane ($WV1$ & $WV2$) with A-frame roof and one orientation ($O1$) at seven locations.

1 3.3.3 Summary

2 The impact of locations and climates on PAR availability is very clear. At horizontal plane,
3 Lhasa and Yinchuan have the highest potential to utilize PAR, while Chengdu receives
4 the lowest PAR level. Beijing, Guangzhou, Wuhan and Changchun have a similar PAR
5 availability. At vertical planes LV1 & LV2, Yinchuan and Chengdu have the highest and
6 lowest PAR levels across the year respectively. However, Lhasa has the higher potential
7 to utilize PAR at the vertical planes in winter, but not in summer. Similar to horizontal
8 plane, other locations show medium PAR levels in between at the vertical planes. For
9 vertical planes WV1 & WV2, similarly, Lhasa, Yinchuan and Beijing have a high PAR
10 level and the low PAR level is found at Chengdu. Compared with vertical planes LV1 &
11 LV2, effects of locations and climates are less clear at the vertical planes WV1 & WV2.

12 4. Discussions

13 Based on the results mentioned above, several findings are discussed as follows.

14 First, the present study found that effects of roof configurations (A-frame or Barrel-vault)
15 on PAR are unclear at both horizontal and vertical planes in our greenhouse models. These
16 roof configurations would not be able to significantly affect either overall PAR levels or
17 PAR variations at these positions. This finding is different from the ones made in previous
18 research works that evaluated the solar heat gains (Çakır and Şahin, 2015; Sethi, 2009;
19 Singh and Tiwari, 2010). Such a difference is caused by the structure and envelop
20 materials of greenhouses: the greenhouses in the present study are large-scale commercial
21 greenhouses with both walls and roofs covered by glasses with high visual transmittance,

1 whereas the greenhouses in previous studies had sidewalls made of non-transparent
2 materials. A considerable portion of sunlight received in the greenhouse comes from the
3 transparent sidewalls in the present study and the solar radiation was received only
4 through the transparent roof covers in previous studies. The hourly PAR profiles show
5 relatively big differences between the two roofs at around 12:00. This could be brought
6 by the fact that the sunlight with higher altitudes at noon would have to go through the
7 roof before arriving in greenhouses. As regards the location, the highest and lowest effects
8 of roof on PAR availability are found in Lhasa and Chengdu, respectively. In addition,
9 winter sees a relatively higher difference of roof effect than other seasons in Lhasa. These
10 results could be explained using local climates. Clear sky is the dominant climate
11 condition in Lhasa, while overcast sky has the highest occurrence frequency in Chengdu.
12 In Lhasa, winter sees more sunny days than other seasons. As discussed in several studies
13 (Laouadi, 2005; Sharples and Lash, 2007), roof shapes would take greater impact on the
14 solar beam radiation than the diffuse or reflected radiation. A clear sky would thus
15 enhance the sensitivity of PAR availability to roof configurations. The results demonstrate
16 that the significance of roof configuration is largely determined by the structural design
17 of the greenhouse and is slightly subject to local climates.

18 Second, with a specific type of roof, no big impact of greenhouse orientation on annual
19 PAR availability can be found at the horizontal plane, whereas vertical planes can see
20 significant differences caused by the greenhouse orientation. Results at the horizontal
21 plane could again be explained by the large-scale structure combined with fully-glazed

1 sidewalls of greenhouse. Since there is a considerable amount of sunlight coming from
2 four sidewalls, the orientation of greenhouse would not substantially influence the solar
3 radiation received at the horizontal plane, especially when this position is far from roof
4 (this study: distance = 2.1 m). Gupta (2012) also pointed out that if all the walls of the
5 greenhouse had transparent cover, the captured solar radiation would not change much
6 with orientation. In spite of this, it can still be noted that Orientation O1 (east-west)
7 receives slightly more sunlight in winter and slightly less sunlight in summer than
8 Orientation O2 (north-south), indicating that these greenhouses can capture more solar
9 heat in winter and avoid excessive solar heat in summer. This is consistent with previous
10 studies (Chen et al., 2020; El-Maghlany et al., 2015; Kendirli, 2006; Sethi, 2009), which
11 supported the east-west as the optimal orientation for solar energy utilization.

12 For vertical planes, it is normal to find a higher sensitivity to orientation in terms of PAR
13 availability. Vertical planes LV1 & LV2 that are south & north facing under Orientation
14 O1 will be changed into east & west facing under Orientation O2, while the same
15 variations are applied for WV1 & WV2. Given PAR availability at the vertical plane,
16 Lhasa and Beijing see a higher sensitivity to orientation than Chengdu. Similarly, this can
17 again be explained by the sky condition: Chengdu has much less sunny days than Beijing
18 and Lhasa. The diffuse light from sky does not vary in orientation. In addition, as for
19 seasonal variations, the vertical PAR availability is more sensitive to the greenhouse
20 orientation in winter and summer than spring and autumn. This is because sun achieves
21 the lowest and highest altitudes in winter and summer, respectively. The east & west

1 facing vertical planes thus tend to receive more sunlight in the summer morning and
2 afternoon, whereas the winter noon sees more sunlight found at the south & north facing
3 vertical planes. The results show that, in terms of PAR availability, the effect of
4 greenhouse orientation shows great seasonal and climatic differences, which must be
5 considered if ‘vertical farming’ is adopted.

6 Third, the PAR availability in greenhouses receives significant impact from both location
7 and climate. The effect of climate on the horizontal PAR availability is clearly observed.
8 Even though Lhasa, Chengdu, and Wuhan have similar latitudes (around 30.0° N; Figure
9 1), their PAR availabilities in greenhouses are significantly different. The highest and
10 lowest occurrences of clear day in Lhasa and Chengdu lead to the highest and lowest PAR
11 availability in the two cities, respectively. With a medium occurrence of clear day, Wuhan
12 has a medium PAR availability in between. On the other hand, the latitude difference
13 between Guangzhou and Changchun is clearly big (Figure 1), but the two locations have
14 a similar level of annual PAR availability, which well corresponds with the fact that they
15 are in the same daylight climate zone (i.e. zone IV). In general, the annual horizontal PAR
16 availability at different locations is in accordance with the daylight climate zones in China
17 (Figure 1 & Table 2). Despite similar levels of annual PAR availability, however, the
18 seasonal effect is clearly seen. With more sunny days in winter, Guangzhou sees higher
19 PAR levels than Changchun, while Changchun has higher PAR levels in summer as
20 Guangzhou is dominated by cloudy and rainy days during this period.

21 The effect of latitude on the vertical PAR availability is different from the horizontal plane.

1 Low latitude locations tend to have lower vertical PAR availabilities, especially in
2 summer when the solar altitudes are high. At vertical planes LV1 & LV2, Yinchuan and
3 Chengdu generally have the highest and lowest annual PAR levels, respectively. As a
4 location with the highest altitude, Changchun tends to have a relatively high level of PAR
5 available from spring to autumn. Lhasa has a very low level of PAR availability in June
6 compared with other months. Climates also exerts clear impacts on the vertical PAR
7 availability. The solar incidence angle approaches 90 degree at noon and thus vertical
8 planes WV1 & WV2 are supposed to receive more PAR levels in the morning or afternoon
9 than 12:00, which would give rise to two peaks and one valley in the hourly PAR profile
10 for all seasons. This can be found in Lhasa, Yinchuan, and Beijing, due to the dominant
11 clear sky. It can be further noted that the afternoon peak tends to be higher than the
12 morning peak, which was also noted in Chen et al. (2018). However, locations with higher
13 occurrence of overcast sky exhibit different PAR variation patterns. Wuhan and
14 Changchun see the two peaks of the hourly PAR profile only in certain months. As the
15 diffuse sky radiation constitutes a considerable portion of the total solar radiation, the
16 double-peak pattern of PAR was hardly observed in Guangzhou and Chengdu.

17 The clear impact of seasons, climates and locations emphasizes the necessity of a climate-
18 based dynamic analysis of PAR availability. The different response of the horizontal and
19 vertical PAR availability to the greenhouse design, location and climate has demonstrated
20 the superiority of predicting solar availability using a position-based method over the
21 approaches in previous studies based on overall solar gain calculation.

5. Conclusions, design implications and future work

Based on simulation analysis, PAR availabilities in two large-scale greenhouses were studied in terms of five daylight climate zones, seven locations, and various orientations in China. Several conclusions and design implications are summarized as follows.

1) With the large-scale structure, the PAR availability at both horizontal and vertical planes has no clear link with roof configurations (A-frame or Barrel-vault). When planning an indoor farming facility like the greenhouse in China, the design of roofs could be determined by other issues such as cost and structure safety.

2) The impact of greenhouse orientation on PAR availability is found insignificant at the horizontal plane, while vertical planes see a high sensitivity of PAR availability to orientation. With an aim to improve productivities in Chinese greenhouses, the vertical arrangement of planting systems could be critical in terms of holistic greenhouse design and site planning.

3) The PAR availability in greenhouses is significantly affected by the combined conditions of location and climate in China. A climate-based analysis for the PAR availability would be necessarily required to achieve a practical and optimal greenhouse design and planning.

4) With the increasing requirement of vertical farming in greenhouses, it is necessary to predict solar availability (incl. PAR) using a position-based method instead of the previous models based on overall solar gain calculation. A ray-tracing solar modelling

1 (Radiance) could be very useful according to the accurate PAR prediction at a specific
2 position.

3 Limitations and future work: This study has only investigated two typical greenhouse
4 models with specific configurations and dimensions. No internal structures of greenhouse
5 have been included in the simulation, which we believe would bring in some impacts on
6 the solar transmittance through the envelop. The horizontal and vertical PAR availability
7 was analysed based on an empty greenhouse without crops inside. The results could be
8 different if the internal reflection from the plant is considered. In addition, surrounding
9 structures that might shade the sun have not been considered. These issues will be
10 addressed in further studies at the second stage.

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