

Results from the randomised UKLS trial: Lung cancer mortality reduction by LDCT screening confirmed in an international meta-analysis.

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ABSTRACT

Background

The NLST reported a significant 20% reduction in lung cancer mortality with three annual low-dose CT (LDCT) screens and the Dutch-Belgian NELSON trial indicates a similar reduction. We present the results of UKLS trial.

Methods

From October 2011 to February 2013, we randomly allocated 4 055 participants to either a single invitation to screening with LDCT or to no screening (usual care). Eligible participants (aged 50-75) had a risk score (LLPv2) $\geq 4.5\%$ of developing lung cancer over five years. Data were collected on lung cancer cases and deaths to 29 February 2020 through linkage to national registries. The primary outcome was mortality due to lung cancer. We included our results in a random-effects meta-analysis to provide a synthesis of the latest randomised trial evidence.

Findings

1 987 participants in the intervention and 1 981 in the usual care arms were followed for a median of 7.3 years (IQR 7.1-7.6), 86 cancers were diagnosed in the LDCT arm and 75 in the control arm. 30 lung cancer deaths were reported in the screening arm, 46 in the control arm, (relative rate 0.65 [95% CI 0.41-1.02]; $p=0.062$). The meta-analysis indicated a significant reduction in lung cancer mortality with a pooled overall relative rate of 0.84 (95% CI 0.76-0.92) from nine eligible trials.

Interpretation

The UKLS trial of single LDCT indicates a reduction of lung cancer death of similar magnitude to the NELSON and NSLT protocols and was included in a meta-analysis of nine randomised trials which provides unequivocal support for lung cancer screening in identified risk groups.

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INTRODUCTION

Lung cancer screening trials were initiated in the 1970s^{1,2} based on chest X-rays and sputum analysis, however, there was no evidence of any mortality advantage. The first low dose computed tomography (LDCT) screening was undertaken in Japan³ and later the potential of utilising LDCT screening was published in a landmark paper from the Early Lung Cancer Action Project (ELCAP) in 1999.⁴ Two large LDCT screening trials have provided evidence of a statistically significant reduction in lung cancer mortality in the individuals recruited in the LDCT screening arm;^{5,6} NLST also reported a small but significant reduction in overall mortality.⁵ In the US, lung cancer screening has been recommended by the United States Preventive Services Task Force (USPSTF).⁷⁻⁹ Six LDCT screening trials have been undertaken in Europe, which have already published their mortality data,^{6,10-14} as well as programmes in Canada¹⁵ Japan¹⁶ and Korea.¹⁷

The largest randomised trials, the US National Lung Screening Trial (NLST) and the Dutch-Belgian Lung Cancer Screening Trial (NELSON), have provided conclusive evidence that the intervention reduces lung cancer mortality, so that we should now seriously consider implementation of lung cancer CT screening in Europe and the rest of the world.¹⁸⁻²⁰

The UKLS trial of 4 055 individuals was undertaken from 2011 to 2013^{21,22} and in this paper, we report on incidence and mortality outcomes for 3 968 with cancer registry and mortality data available. We also undertook a meta-analysis of the randomised, controlled LDCT screening trials which have reported lung cancer mortality with at least a median of three years' follow-up.

METHODS

Study design

UKLS was a randomised controlled trial, comparing LDCT screening with usual care using the "Wald Single-Screen" design²¹. The UKLS trial is unique in its design being a single LDCT screening in a high-risk population. The UKLS is a RCT of LDCT compared with usual care, for the early detection of lung cancer. The methods for the UKLS pilot study were derived from an initial feasibility study and follow the Wald Single-Screen Design. Other screening trials have used this design, including the UK Flexisig Trial, the UK Aortic Aneurysm Screening Trial and the Singapore Breast Screening Trial²¹.

The study was based in two thoracic hospitals in the United Kingdom, the Liverpool Heart and Chest Hospital, on Merseyside, and Royal Papworth Hospital, in Cambridgeshire. Ethical approval was received from the Liverpool Central Research Ethics Committee (reference 10/H1005/74). Trial registration: International Standard Randomised Controlled Trial Register (reference 78513845). Full details of the design and protocol have been described elsewhere.²¹

Participants

To recruit participants with a high risk of developing lung cancer from a target population broadly representative of the UK population, an initial invitation letter, UKLS participant information sheet and questionnaire were sent to individuals aged 50-75 living in specific primary care trusts (PCTs) in the vicinity of the two hospital sites.²¹

For those individuals who returned completed questionnaires, the responses were analysed to identify those at high risk of developing lung cancer over the next five years defined as a risk score of 4.5% as per version 2 of the validated Liverpool Lung Project risk model (LLPv2).^{23,24} Factors contributing to the LLP risk score are highlighted in Table 1. A second questionnaire was sent to these individuals and the following exclusion criteria applied: inability to give consent, or any condition precluding written informed consent; any comorbidity which would unequivocally contraindicate either screening or treatment if lung cancer were to be detected; a chest CT performed within the preceding year; inability to lie flat. Those remaining eligible were invited to attend a clinic at one of the recruitment centres, where written informed consent was obtained.

Randomisation and masking

Eligible, consenting individuals who attended a clinic were randomised at a ratio of 1:1 into the intervention arm (LDCT) or the no screening control arm (usual care). Randomisation was stratified by trial site and took place outside of the clinic and after the individuals had left the clinic using a two-stage computer algorithm with an automated procedure. This ensured allocation concealment from the clinic staff. Further technical details are given by Field et al.²¹ Due to the nature of the intervention, blinding of the participants and screening staff thereafter was not possible, and participants were informed of which group they were in within two weeks after randomisation. However, outcomes were determined without knowledge of trial allocation, since these came from routine cancer registration and death certification (see below).

Procedures

The LDCT subjects received a baseline scan (16+ channel multi-detector CT, no contrast, 100-140 kVp) and nodules were assessed by two local radiologists (Liverpool Heart and Chest Hospital or Papworth Hospital) and placed in one of four pre-defined nodule categories: Category 4 (large), Category 3 (medium), Category 2 (small), Category 1 (other)^{21,25} (Supplementary material, Figure 2). Consensus nodule category was assigned following central reading at the Royal Brompton Hospital, with a read for arbitration when necessary. Category 4 nodules were immediately referred to participating MDT clinic for work-up and clinical management. Category 3 nodules identified in the baseline scan were reanalysed in follow-up CT scans at three and twelve months; Category 2 nodules at twelve months only. Growth of nodules was based on their characteristics and volume doubling time (VDT); i.e. VDT <400 days or new solid component of non-solid nodule was classified as growth in the UKLS trial and were referred to the trial participating MDT clinic for work-up and clinical management.^{21,26} Subjects with nodules that resolved were discharged and those with stable nodules were further monitored according to local practice.

Outcomes

Outcomes from UK cancer and death registry data were provided by NHS Digital and the National Cancer Registration and Analysis Service (NCRAS) who were not aware of the participants' allocated trial arm. The follow-up period for mortality was up to 29 February 2020 (last death recorded ONS mortality data), and for incidence of lung cancer was up to 31 December 2019 (data from: NCRAS to March 2018; NHS Digital Cancer Registration data to Feb 2019; cause of death from ONS mortality data up to December 2019).

The primary outcome in this analysis was mortality due to lung cancer. This was defined as a death during the follow-up period where lung cancer was listed as the underlying cause of death in the UK civil registrations data provided by NHS Digital (ONS mortality data).

Secondary outcomes investigated for all participants were mortality from all causes, mortality from all cancers, mortality from causes other than lung cancer, and lung cancer incidence.

Secondary outcomes for those diagnosed with lung cancer were all-cause mortality, mortality from causes other than lung cancer, and the distributions of the stage and histological type of the diagnosed cancers. Stage and histology were provided by NCRAS.

Lung cancer incidence was investigated and compared by baseline CT scan result using the pre-defined nodule category (described above) in participants in the intervention arm.

Differences between males and females were investigated for the primary outcome, for lung cancer incidence, for all-cause mortality in those diagnosed with lung cancer, and for stage distribution.

Statistical analysis

The primary outcome to be compared between intervention and control groups was lung cancer mortality. Sample size calculations, as set out in the study protocol, stated that for a relative risk of lung cancer mortality of 0.69 after three years, based on a single screen intervention, with 90% power to detect a significant difference with 2-sided testing at the 5% level, and allowing for a compliance rate of 80%, it was determined that 16 000 participants would need to be recruited into each arm. For the pilot stage, the target recruitment total was 4 000 participants (2 000 in each arm). The study did not proceed beyond the pilot stage, and hence the data presented here are not powered to detect significant mortality benefits.

Mortality data were analysed by trial arm using Poisson regression for the purposes of significance testing, and to produce estimates of relative rates and 95% confidence intervals. The Nelson-Aalen method was used to produce cumulative hazard estimates. Incidence data were analysed in the same way. Differences in stage distribution and in histological type were assessed using Pearson's chi-squared.

Analyses were carried out on an intention-to-treat basis. Differences in lung cancer mortality were also conducted on a per-protocol basis excluding those allocated to the screening arm who did not undergo CT screening.

The pilot study was not powered for a reduction in lung cancer mortality, accordingly a meta-analysis of randomised controlled trials of LDCT screening was also undertaken. This included randomised trials published up to 2nd November 2020, with at least 3 years median follow up on the basis that true underlying differences in the lung cancer mortality would be very unlikely to become apparent earlier than this due to the effects of lead time, based on Chjen and Chen's publication on mean sojourn time and effectiveness of mortality reduction for lung cancer screening with computed tomography.²⁷ The "metan" suite of commands in Stata was used to produce a summary risk ratio of the effect of invitation to LDCT screening on the most recently published lung cancer mortality and all-cause mortality. A random effects model was assumed with heterogeneity reported using the chi-squared test and I^2 statistic. The overall effect of LDCT screening on lung cancer mortality was explored by sex where data were available in the trials of LDCT screening.

The Statistical Analysis Plan for UKLS and the meta-analysis is provided in the Supplementary Appendix (pages 1-11) and was signed off prior to comparative analysis. All statistical analyses were carried out using Stata, version 16.1.

The trial was registered with the International Standard Randomised Controlled Trial Register (reference 78513845).

Role of the funding source

The funder of the study had no role in study design, data collection, data analysis, data interpretation, or writing of the report. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

RESULTS

Postal invitations were sent to 247 354 individuals in two separate tranches, between August 2011 and March 2012, and between May 2012 and August 2012. Of 75 958 who responded positively, 8 729 were deemed to fall into the high-risk category. Of these, 5 967 responded positively to the second questionnaire, of whom 4 868 were invited to attend a clinic at a recruitment centre. A total of 4 152 attended a clinic between 17 October 2011 and 22 February 2013, of whom 4 061 gave written informed consent. Six consenting individuals were excluded before randomisation; of the remaining 4 055 participants, 2 028 were randomised into the intervention arm (screening) and 2 027 into the control arm (usual care). Subsequent to randomisation, it was identified that 56 individuals (30 in the intervention arm and 26 in the control arm) had not provided consent for their data to be linked for follow-up. A further 31 (11 in the intervention arm and 20 in the control arm) were identified as having undergone censoring events before they had given consent. All 87 of these individuals were excluded from the analysis, leaving a total of 3 968 participants (1 987 in the intervention arm and 1 981 in the control arm). See Figure 1 for further details.

Baseline characteristics of the participants who were randomised were balanced as shown in Table 1. Of those allocated to the screening arm, 1 954 received a baseline CT scan and 33 did not (for reasons including withdrawal of consent and change in health condition); these 33 were included in our intention-to-treat analysis but excluded from the per-protocol analysis. From 1994 subjects receiving a baseline scan, LDCT screening identified 42 cancers (Tables 2-4) from 114 subjects requiring further diagnostic investigation immediately after

baseline or follow-up scans (a false positive rate of 3.6%).^{21,22} We now report 3 false negatives (defined as cancers detected within a year of their last negative UKLS LDCT scan), a false negative rate of 6.7%. Over 7.2 years follow-up lung cancers were diagnosed at a rate of 4.3% (86/1987) in the LDCT arm and 3.8% (75/1981) in the control arm. These rates are significantly lower than the median risk provided by the LLPv2 risk model (>7%, Table 1), in keeping with the overestimation corrected in the recalibrated LLPv3 risk score.²³

Mortality data were collected until 29 February 2020 and the median follow-up was 7.3 years (interquartile range 7.1 to 7.6). The total follow-up was 14 071.4 person-years in the screening arm and 13 921.6 person-years in the control arm. For the screening arm, the median follow-up from last UKLS LDCT is 7.0 years (interquartile range 6.2 to 7.3).

In that period, 76 lung cancer deaths were recorded (30 in the screening arm and 46 in the control arm). The primary analysis showed that this difference was not statistically significant (RR 0.65 [95% CI 0.41–1.02]; $p=0.062$). The cumulative mortality graph is given in Figure 2. There were no significant differences in lung cancer mortality in the male (RR 0.63 [95% CI 0.37–1.08]; $p=0.091$) and female (RR 0.69 [95% CI 0.28–1.69]; $p=0.419$) subgroups.

When analysis was repeated on a per-protocol basis, to assess the impact of the 33 individuals allocated to the screening arm who did not in fact undergo CT screening, a similar result (RR 0.67 [95% CI 0.42–1.05]; $p=0.082$) was observed.

There was a total of 512 deaths from any cause during the follow-up period (246 in the screening arm and 266 in the control arm), a difference which was not significant (RR 0.91 [0.77–1.09]; $p=0.315$). There was also no significant difference in mortality from any cancer (118 deaths in the screening arm and 125 in the control arm; RR 0.93 [0.73–1.20]; $p=0.594$), or in mortality from causes other than lung cancer (216 in the screening arm and 220 in the control arm; RR 0.97 [0.81–1.17]; $p=0.762$).

Lung cancer incidence was collected until 31 December 2019 and the median follow-up was 7.2 years (interquartile range 7.0 to 7.5). The total follow-up was 13 493.8 person-years in the screening arm, and 13 539.1 person-years in the control arm.

A total of 161 lung cancers were diagnosed in that period (86 in the screening arm and 75 in the control arm). This difference in incidence by trial arm was not statistically significant (RR 1.15 [0.84–1.57]; $p=0.375$). The cumulative incidence graph is given in Figure 3. There was a non-significant increase in incidence of 7% for males (RR 1.07 [0.75–1.54]; $p=0.702$), and of 41% for females (RR 1.41 [0.76–2.59]; $p=0.274$); further results by sex are provided in Supplementary data, Table S1.

Of the 161 participants diagnosed with lung cancer, a total of 100 died (from any cause). The number of deaths among participants in the screening arm was significantly lower than in the control arm, at 42 compared to 58 (RR 0.52 [0.35–0.77]; $p=0.001$). The cumulative mortality graph is given in Figure 4. The difference was also significant for males (RR 0.52 [0.32–0.82]; $p=0.005$), but not for females (RR 0.53 [0.24–1.16]; $p=0.112$).

There were 12 deaths in each arm from causes other than lung cancer, among those diagnosed with lung cancer. This difference was not significant (RR 0.72 [0.32–1.60]; $p=0.416$).

The stage distribution of lung cancers shown in Table 2, indicated a higher proportion of early-stage disease in the screening arm (Pearson's chi-squared = 30.16, $p<0.001$). The odds of a cancer being diagnosed at a late stage (stage III or IV) were significantly lower in the screening arm than in the control arm (odds ratio 0.14 [95% CI 0.07–0.32]; $p<0.001$).

Overall, there were significantly fewer late-stage lung cancers in the screening arm compared to the control arm, at 16 vs 37 (RR 0.43 [0.24–0.77]; $p=0.005$). The cumulative incidence of stage III/IV lung cancers is shown as Figure 5.

Analysis was carried out to explore the histological types of the lung cancers diagnosed, with the outcomes being shown in Table 3. There was no significant difference between the two arms (Pearson's chi-squared = 8.68, $p=0.070$).

For those in the screening arm, Table 4 sets out the number of cancers diagnosed for each nodule classification category, and the time at which they were detected. Figure 6 shows the cumulative incidence for each category, for those cancers which were not screen-detected (i.e. detected at UKLS baseline or follow-up LDCT scans). The risk for those in Category 3 was more than six times that of those in Category 1 (RR 6.29 [95% CI 2.81–14.06]; $p<0.001$), and for those in Category 4 it was more than twelve times greater (RR 12.29 [3.26–46.32]; $p<0.001$).

Figure 3 suggests that the cumulative incidence in the two arms begins to converge after five years. This is also the period with regard to which the LLP risk (used as one of the eligibility criteria) is calculated. However, a post-hoc analysis showed that the difference up to that point was not significant (67 lung cancers in the screening arm compared to 52 in the control arm, RR 1.30 [0.90–1.86]; $p=0.162$).

Results from nine randomised controlled trials were included in the meta-analysis (Supplementary Appendix; literature search, PRISMA flow diagram, characteristic of included studies and risk of bias assessment). LDCT screening was associated with a 16% relative reduction in lung cancer mortality when compared against a non-LDCT control arm

(RR 0.84 [0.76 – 0.92]) with no significant heterogeneity ($p=0.31$, $I^2=14.2\%$) as shown in Figure 7. A small relative reduction in all-cause mortality was observed (RR 0.97 [0.94 – 1.00]) with no heterogeneity ($p=0.61$, $I^2=0.0\%$) as shown in Figure 8. There was no statistically significant evidence ($p=0.47$, Poisson regression interaction test) of a differential effect on lung cancer mortality by sex in the available, published randomised trial data.

DISCUSSION

The UKLS trial has seven years' follow-up outcome data providing lung cancer mortality results which while not statistically significant (RR 0.65 [95% CI 0.41–1.02]; $p=0.062$) (Fig 2) are consistent with the findings from other trials of a substantial reduction in lung cancer mortality. During the follow-up period, there were a total of 512 deaths from any cause (246 in the screening arm and 266 in the control arm), a difference which was not significant (RR 0.91 [0.77–1.09]).

The results from nine randomised controlled trials, including the UKLS trial, were included in the meta-analysis, which demonstrated a 16% relative reduction in lung cancer mortality, in the LDCT arm, when compared against a non-LDCT control arm (RR 0.84 [0.76 – 0.92]) with no significant heterogeneity ($p=0.31$, $I^2=14.2\%$). A small relative reduction in all-cause mortality was also observed (RR 0.97 [0.94 – 1.00]).

The fundamental basis on which one undertakes lung cancer screening is to identify early lung cancer when it is still readily curable. The UKLS successfully provided evidence indicating a higher proportion of early-stage disease associated with LDCT screening ($p<0.001$). No significant difference was observed by UKLS trial arm in terms of histological type (Pearson's chi-squared 8.68, $p=0.070$), with the majority being non-small cell lung cancer. There was an association between nodule size at baseline and relative risk of lung cancer during the follow-up period.

The UKLS results are consistent with the findings of the NLST⁵ and NELSON⁶ trials, however, the uniqueness of the UKLS lies in the fact that it was the only lung cancer CT RCT to utilise a formal, multivariate lung cancer risk prediction model to select high risk participants (4.5% risk over five year^{23,24}).

The UKLS Wald Single Screen design resulted in diagnosis of lung cancer with 67% at stage 1 and 83% suitable for surgical intervention.²¹ The intervention had no long-term psychological impact, successfully integrated smoking cessation and was considered cost effective.²¹ The “Wald Single Screen” design allows us to demonstrate the continued benefits

of lung cancer LDCT screening beyond the initial screen. Figure 2 demonstrates the benefit in terms of lung cancer mortality with the difference emerging most strongly in years 3-6 after randomisation and continuing for the seven year follow-up period. Figure 3 demonstrates the benefit of early detection is maintained beyond five years after randomisation. The UKLS trial, demonstrating long-term benefit from a single screen, provides potentially important data for inclusion in future modelling studies to optimise the screening interval.

The potential difference in effectiveness of screening between males and females is an issue of interest. The NELSON trial found a larger reduction in mortality in the small population of females, not significant at ten years follow-up, but significant at earlier time points. Similar results have been seen (albeit non-significant) in the NLST and the German LUSI Trial. Although this finding was not seen in the UKLS results, possibly due to small numbers, the differential effect by sex clearly requires further research.

Overdiagnosis is a potential issue in all cancer screening programmes, as well as in lung cancer CT screening.²⁸ Overdiagnosis is defined as the diagnosis of cancer, histologically confirmed, as a result of screening, which would never have been diagnosed in the host's lifetime if screening had not taken place. NELSON reported 8.9% overdiagnosis,⁶ the NLST initially reported 18%,²⁸ however, more recent follow-up has suggested only a 3% overdiagnosis in the LDCT arm.²⁹ Estimates from the other trials vary considerably.^{12,30} In the UKLS the "absolute incidence" after a median follow-up of 7.2 years (Figure 3, 75 vs 86 cases) indicates a potential 15% excess incidence in the lung cancer screening arm, which represents an estimate of the worst-case scenario for over-diagnosis, since screening stopped after the single screen. The MISCAN lung cancer model estimated overdiagnosis to be 10% in screened populations³¹.

While UKLS results are not statistically significant, there is sufficient follow-up to include in a meta-analysis together with the eight other previously reported randomised controlled trials. Our updated meta-analysis provides conclusive evidence of a reduction in lung cancer mortality with LDCT screening (0.84 [95% CI 0.76-0.92]) (Figure 7). This meta-analysis also included the USA LSS trial, as well as utilising the NELSON male and female mortality data, and the updated NLST report.²⁹ The results strengthen recently reported meta-analyses of lung cancer screening indicating consistent and robust evidence overall.^{32,33} Despite differences in protocols there was no significant heterogeneity amongst the trials.

Worldwide evidence searches indicate that there are a number of lung cancer CT screening trials in China.³⁴ only one of which to date has published initial outcome data, but this was not included in our meta-analysis as it has not reported long-term follow-up data.³⁵

No one trial was designed with the intention of demonstrating a reduction in all-cause mortality. It is appreciated that over 100 000 individuals would be required to achieve such an objective. The meta-analysis here presented includes data from 94 834 individuals across the nine RCTs with a small reduction in all-cause mortality (RR 0.97 [0.94 – 1.00]) (Figure 8). However, even a small reduction in all-cause mortality as shown here, does represent a large number of lives should countries around the world adopt lung cancer screening programmes. It is also consistent with the proportion of deaths from lung cancer in the trial populations.

To follow precedent and to demonstrate even-handedness, the meta-analysis used the most recent primary reported mortality results from the randomised trials. It should be noted, however, that this is conservative, since the most recent reported results include deaths from lung cancers diagnosed after the screening phase of the trials and when both trial groups were receiving usual care. While this does not affect the absolute benefit, it dilutes the relative effect of the intervention, conservatively biasing the RR of lung cancer mortality towards unity. In the breast and bowel screening trials, this bias is avoided by including only deaths (whenever they occur) from cancers diagnosed during the screening phase of the trials.^{36,37} Duffy and Smith³⁶ show that this bias is reduced but estimates remain slightly conservative when analysis is restricted to cancers diagnosed during the screening phase. The NLST publication reporting the RR of 0.92 of deaths from lung cancer diagnosed up to 12 years after randomisation (9 years after the screening phase ended), also reported a secondary analysis including only deaths from lung cancers diagnosed up to 6 years after randomisation, giving a RR of 0.89.²⁹ Thus, the relative benefit is likely to be underestimated in the meta-analysis.

The number of individuals recruited into the UKLS pilot trial is its major limitation when considering the effect on lung cancer mortality. Pragmatically, we relied on nationally curated data (ONS) rather than having a cause of death committee. This, however, does mean that the cause of death was determined in the absence of knowledge of which trial group the subjects belonged to. UKLS predates introduction of British Thoracic Society pulmonary nodule guidelines.³⁸ but utilised similar nodule categorization to NELSON. However, the trial has provided valuable demonstration of the use of a formal risk prediction model to select

high risk individuals, the identification of early-stage disease and the number of individuals suitable for surgical intervention.²¹ Its contribution to the overall meta-analysis adds to the consistency of evidence internationally.

Lung cancer screening has been implemented in the USA through funding from MEDICARE but uptake has been low (~ 4%).³⁹ Lung cancer CT screening implementation programmes incorporating risk model based LDCT screening are well underway in the UK; led by the Liverpool Health Lung Project (LHLP),⁴⁰ followed by Manchester,⁴¹ Yorkshire,⁴² West London⁴³ and now the NHS England Targeted Healthy Lung Checks Programme.⁴⁴ The targeted approach in the UK has resulted in higher participation rates (40% - 53%).^{40,45} In conclusion the meta-analysis incorporating the results from nine RCTs provides further support for lung cancer screening by low-dose chest CT.

Contributors

JKF, SWD provided substantial contributions to the conception and design of the UKLS trial. JKF, SWD, RG, DV, MPAD contributed to the statistical analysis plan, interpreted the data and wrote the paper. SWD, RG, DV did the statistical analysis. DRB contributed to the UKLS trial design and development of the nodule management protocol. DRB, KEB, AD, TE, JG, BG, JH, TK, KK, ML, KJL, FEM, AN, RDP, MKBP, DMR, RCR, JS, NJW, DW, DKW, PRW, GY contributed to the longstanding success of the UKLS trial. All authors reviewed and approved the manuscript.

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Declaration of Interests

JKF has received fees from AstraZeneca (Speaker's Bureau) and advisory boards of Epigenomics; NUCLEIX Ltd. AstraZeneca, iDNA; Grant Support: Janssen Research & Development, LLC. RCR is on the advisory boards of AstraZeneca and Roche. DRB has received speaker remuneration from AstraZeneca, Roche, MSD, BMS, Johnson and Johnson. KB has received personal fees from Astra Zeneca outside the submitted work. TE receives research support from AstraZeneca, Bayer, Pfizer; is employed by Roche (from March 2020) and was employed by AstraZeneca (to March 2020) and has stock in AstraZeneca and Roche; is a trustee of Macmillan Cancer Support. No competing interests from all other co-authors.

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Data Availability:

Individual participant data that underlie the results reported in this article, after de-identification (text, tables, figures, and appendices) may be made available upon request following publication (for up to 5 years) to researchers who provide a methodologically sound proposal. Proposals should be directed to J.K.Field@liverpool.ac.uk; to gain access, data requestors will need to sign a data access agreement. Analyses will be limited to those approved in appropriate ethics and governance arrangements. All study documents which do not identify individuals (e.g. study protocol, statistical analysis plan, informed consent form) will be freely available on request.

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Table 1 Baseline characteristics		
Total n=4055	Screen Arm (n=2028)	Control Arm (n=2027)
Sex[#]		
Male	1529 (75%)	1507 (74%)
Female	499 (25%)	520 (26%)
Median age [#] at date of consent	68 (IQR 65-71)	68 (IQR 65-71)
Age 50-59	44 (2%)	58 (3%)
Age 60-69	1295 (64%)	1291 (64%)
Age 70-76	689 (34%)	678 (33%)
Median IMD Rank	17374	17704
Median LLPv2 score (IQR)	7·11 (5·58 – 10·08)	7·35 (5·59 – 10·08)
Smoking history		
Never smokers	2 (0%)	0 (0%)
Current smokers	777 (38%)	791 (39%)
Ex-smokers	1249 (62%)	1236 (61%)
Smoking duration [‡]		
10-19 years	117 (6%)	116 (6%)
20+ years	1895 (93%)	1907 (94%)
Unknown	14 (1%)	4 (0%)
Asbestos exposure [#]	763 (38%)	763 (38%)
History of respiratory disease ^{**}	1056 (52%)	1023 (50%)
History of solid tumour ^{**#}	378 (19%)	396 (20%)
Family history of lung cancer [#]	498 (25%)	554 (27%)
Early onset (before age 60)	215 (11%)	215 (11%)
Late onset (on or after age 60)	283 (14%)	339 (17%)

‡ All smoking duration figures refer to current- and ex-smokers combined

*asthma, bronchitis, TB, pneumonia, COPD, or emphysema

**cancers of brain, head & neck, oesophagus, breast, colon or "other"

these factors contribute to the LLP risk score

Abbreviations: IMD = Index of Multiple Deprivation; IQR = inter quartile range.

Table 2 Lung cancers by stage – number (%)

Stage*	Screen Detected (%)	Subsequent cancer (screening arm)	Screening Total (%)	Control Total (%)
IA	22 (52.4)	15 (53.6)	37 (52.9)	8 (14.5)
IB	5 (11.9)	3 (10.7)	8 (11.4)	4 (7.3)
IIA	7 (16.7)	–	7 (10.0)	4 (7.3)
IIB	1 (2.4)	1 (3.6)	2 (2.9)	2 (3.6)
IIIA	5 (11.9)	2 (7.1)	7 (10.0)	4 (7.3)
IIIB	(0)	2 (7.10)	2 (2.9)	6 (10.9)
IV	2 (4.8)	5 (17.9)	7 (10.0)	27 (49.1)
NA		16	16	20
Total	42	44	86	75

*TNM version 7 staging; NA= not available from NCRAS, e.g. not staged (n=4) or during 2018-2019 (staging data not released at time of analysis, n=33); % refers to cancers with known staging only

Table 3 Lung cancers by histological type – number (percentage)

Histology	Screen Detected	Subsequent cancer (screening arm)	Screening Total	Control
Adenocarcinoma	25 (59.5)	19 (43.2)	44 (51.2)	26 (34.7)
Squamous cell carcinoma	12 (28.6)	7 (15.9)	19 (22.1)	18 (24)
NSCLC NOS*	0 (0)	1 (2.3)	1 (1.2)	2 (2.7)
Small cell carcinoma	3 (7.1)	6 (13.6)	9 (10.5)	8 (10.7)
Carcinoid/ Large Cell	1 (2.4)	0 (0)	1 (1.2)	4 (5.3)
NOS	1 (2.4)	11 (25.0)	12 (14.0)	17 (22.7)
Total	42	44	86	75

* NOS = not otherwise specified

Table 4 Lung cancer incidence by baseline nodule category of subject (screening arm only)

Baseline nodule category	Number (%)	Lung cancers (incidence)	Lung cancer detected at:					
			Baseline	3m FU	12m FU	1-2y	2-5y	>5y
1	963 (49.3)	8 (0.8%)	-	-	-	3	0	5
2	469 (24.0)	9 (1.9%)	-	-	1	1	3	4
3	458 (23.4)	32 (7.0%)	-	2	7	0	14	9
4	64 (3.3)	35 (54.7%)	32	-	-	2	1	0
All	1954	84*	32	2	8	6	18	18

UKLS Pulmonary Nodule categories described in Supplementary materials; Note: All subjects with Category 4 nodules investigated clinically after baseline; those with Category 3 nodules had follow up scan (FU) at 3 and 12 months (m); those with Category 2 nodules had FU at 12m; Category 1 subjects (nodules < Category 2 or no nodules detected) had no FU. * Data not available for 2 cancers diagnosed in CT screening arm as amongst the 34 subjects who did not receive a LDCT scan (one at 7 months and one at 5.7 years).

Figures

Figure 1: Trial profile

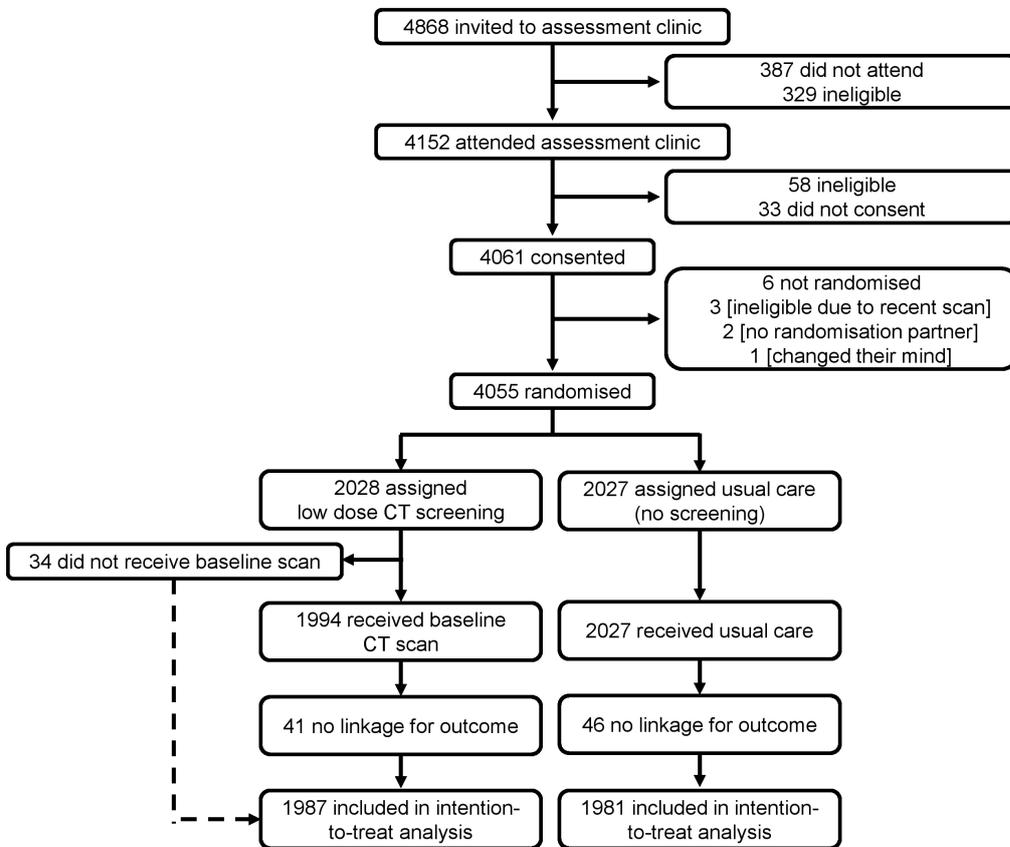


Figure 2: Cumulative mortality from lung cancer

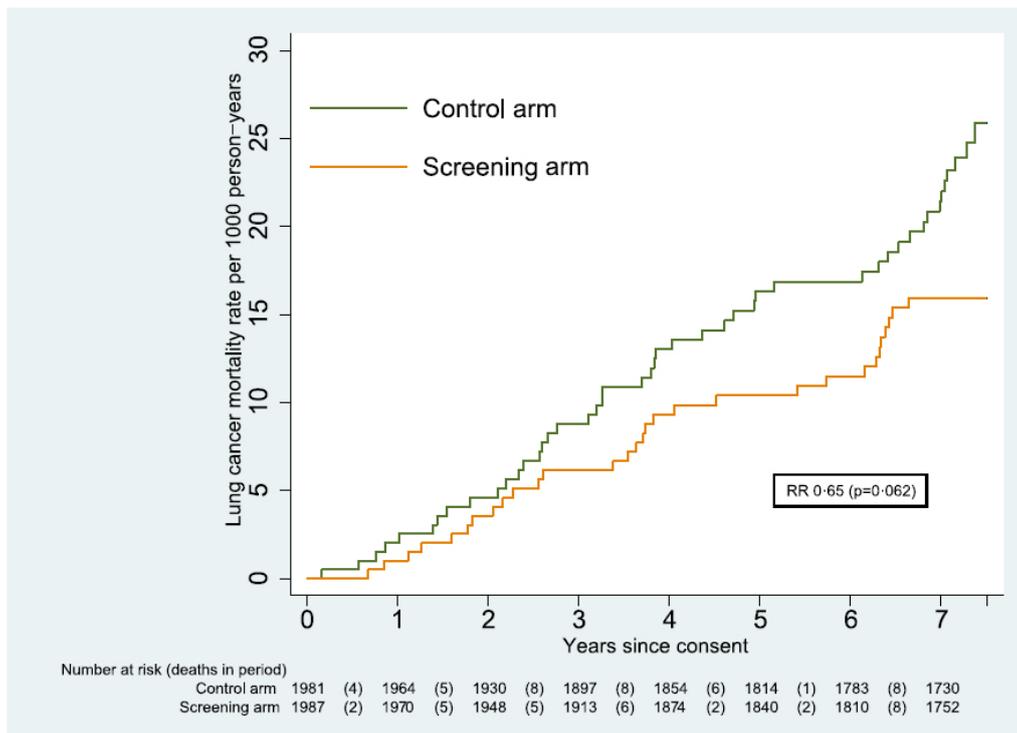


Figure 3: Cumulative incidence of lung cancer

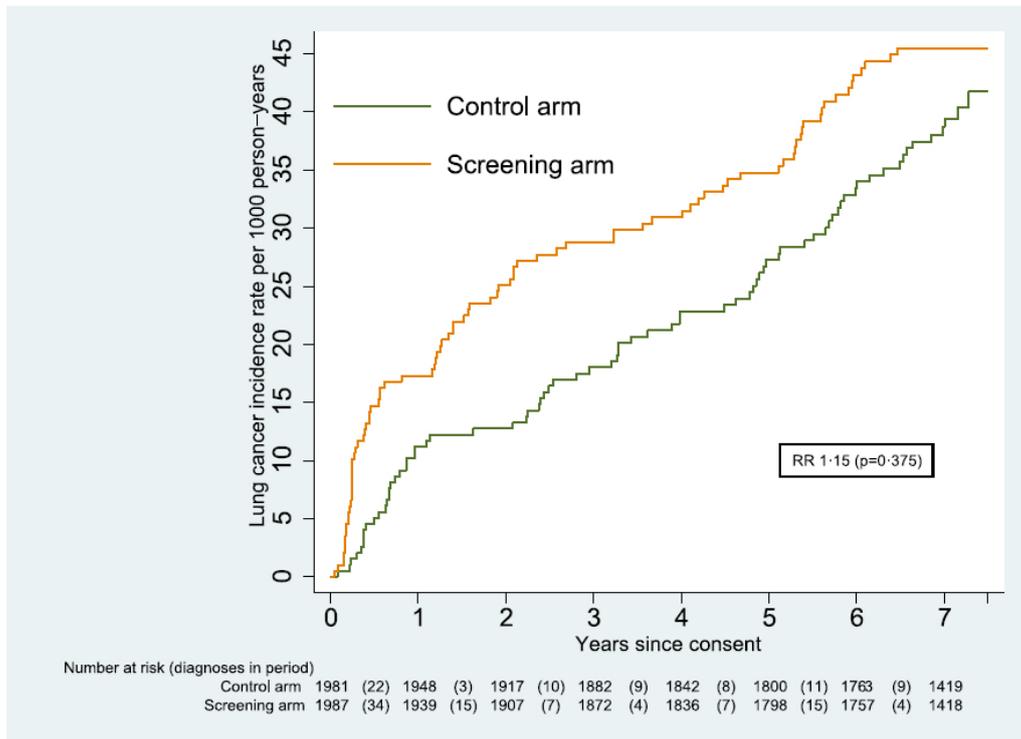


Figure 4: Cumulative mortality from all causes (participants diagnosed with lung cancer)

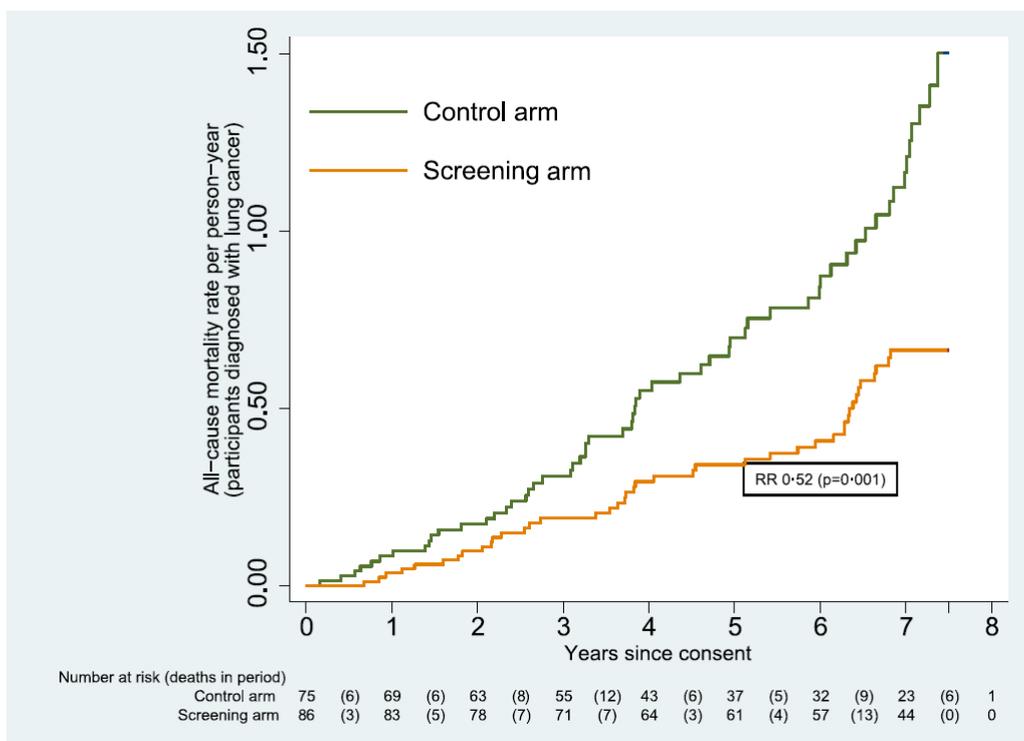


Figure 5: Cumulative incidence of late stage lung cancer

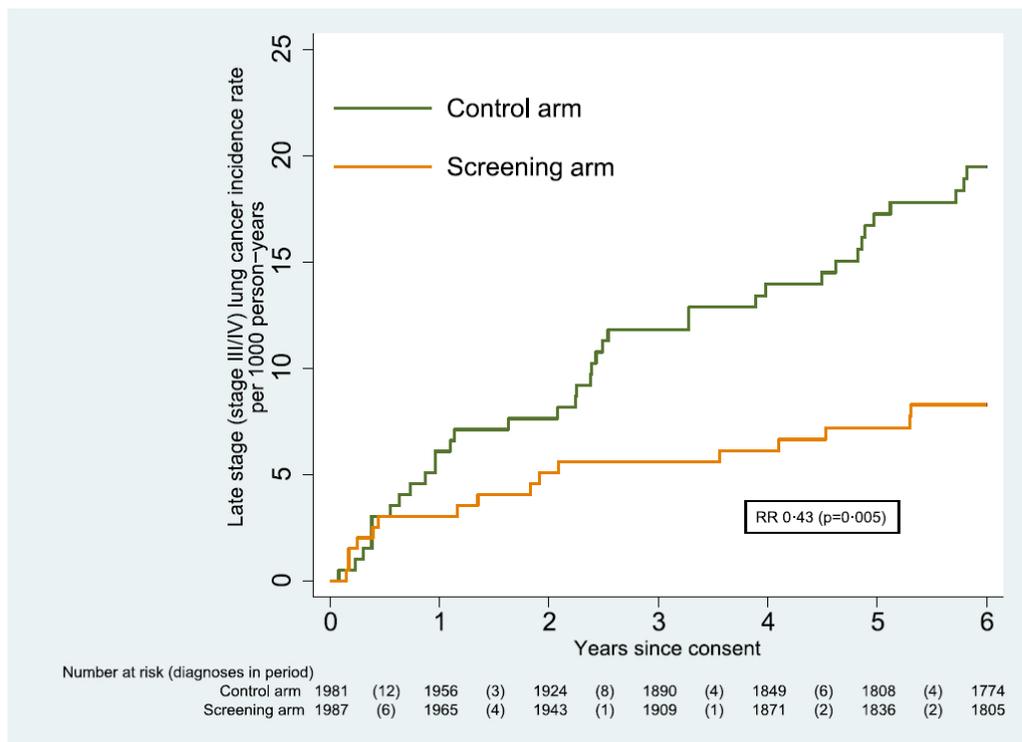


Figure 6: Cumulative incidence of lung cancer by nodule classification category (screening arm only, excluding screen-detected cancers)

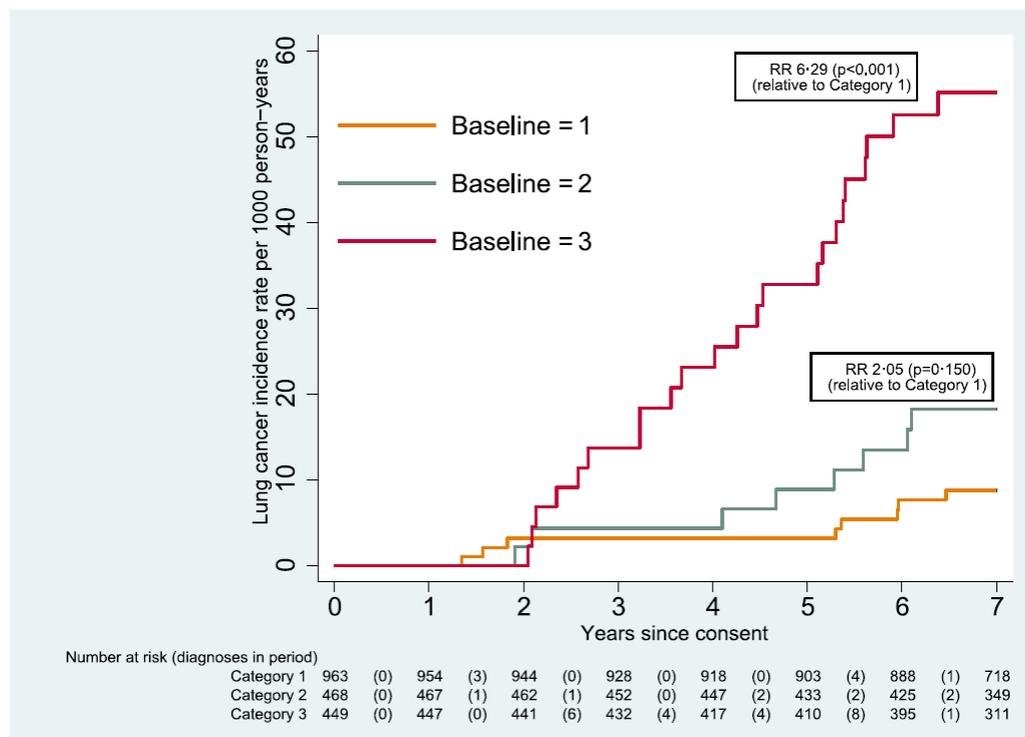


Figure 7: Forest plot, lung cancer mortality

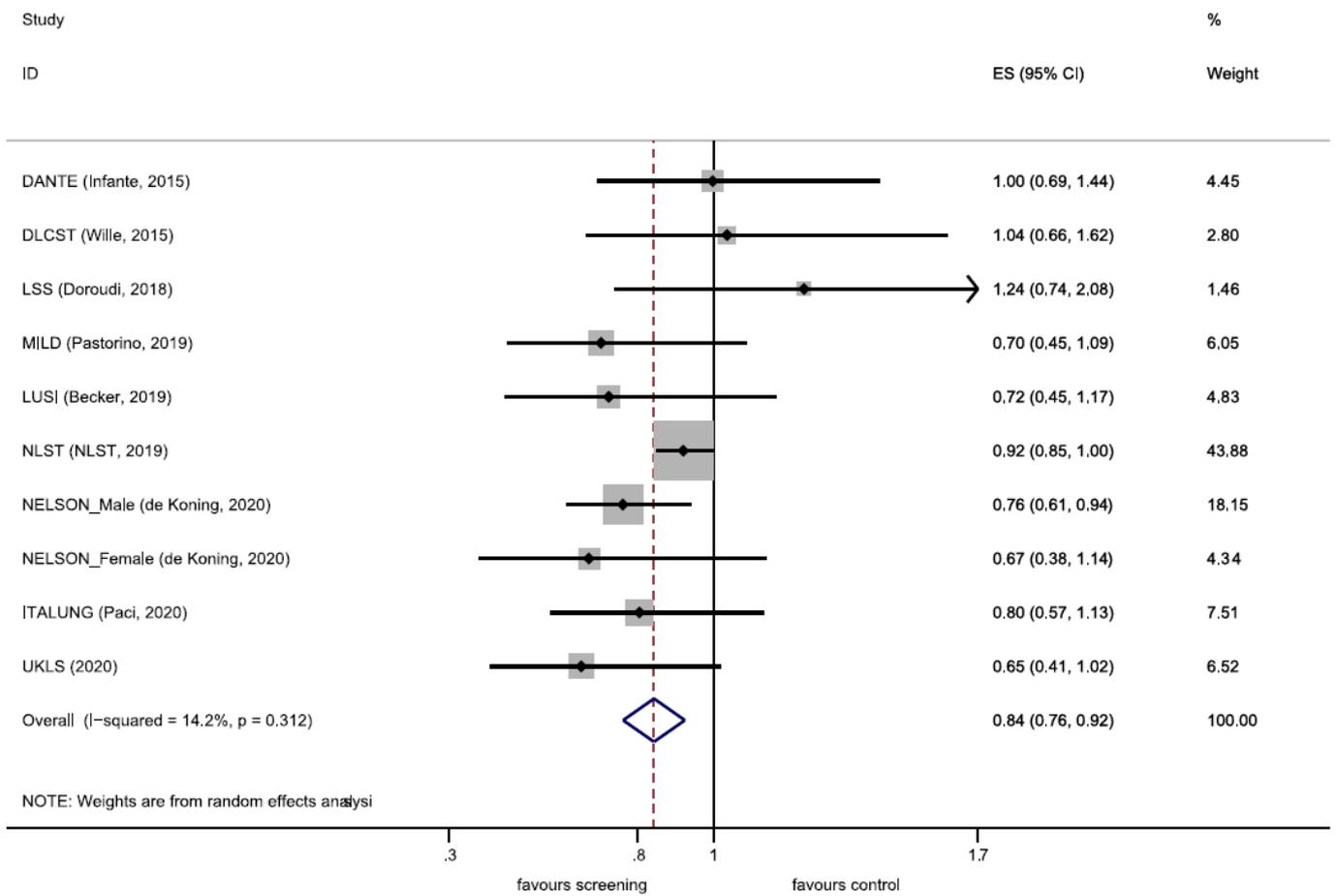


Figure 8: Forest plot, mortality from all causes

