

1 The efficiency of using mirror imaged topography in
2 fellow eyes analyses of Pentacam HR data

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23 analyses

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25 Abstract

26 **Purpose:** To investigate the effectiveness of flipping left corneas topography and analyse
27 them quantitatively along with fellow right corneas assuming they are mirror images of each
28 other.

29 **Methods:** The study involved scanning both eyes of 177 healthy participants (aged $35.3 \pm$
30 15.8) and 75 keratoconic participants (aged 33.9 ± 17.8). Clinical tomography data has been
31 collected from both eyes using the Pentacam HR and processed by a fully automated custom-
32 built MATLAB code. For every case, the right eye was used as a datum fixed surface while
33 the left corneal was flipped around the superior-inferior direction. At this position, the root-
34 mean-squared difference (RMS) between flipped left cornea and the right cornea was initially
35 determined for both anterior and posterior corneal surfaces. Next, the iterative closest point
36 transformation algorithm was applied on the three-dimensional flipped cornea to allow the
37 flipped left corneal anterior surface to translate and rotate in order to minimise the difference
38 between it and the right cornea anterior surface, hence RMS differences were recalculated
39 and compared.

40 **Results:** Comparing the dioptric power showed a significant difference between the RMS of
41 both the flipped left eyes and right eyes, the healthy and the KC group ($p < 0.001$). The RMS
42 of the surfaces of the flipped left corneas and the right corneas was 0.6 ± 0.4 D among the
43 healthy group and 4.1 ± 2.3 among the KC group. After transforming the flipped left corneas,
44 RMS recorded 0.5 ± 0.3 D and 2.4 ± 2 D among healthy and KC groups respectively.

45 **Conclusions:** Although fellow eyes are highly related in their clinical parameters, they should
46 be treated with care when one eye topography is flipped and processed with the other eye
47 topography in an optic related research analysis where translation might be needed. In KC,
48 an asymmetric disease, it has been observed that a portion of the asymmetry is due to corneal
49 apex shift interfering with image acquisition therefore, transforming flipped left eyes by rotation
50 and translation results in a fairer comparison between the fellow KC corneas.

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52 1. Introduction

53 It is a common practice in anterior ocular topography-based studies that left eyes are
54 superiorly-inferiorly flipped and quantitatively analysed along with right eyes through the same
55 analytical approach [1, 2]. This left eye mirror imaging technique of analysing corneal
56 topography is well justified in the literature as bilateral fellow eyes were always found to be
57 mirror-symmetric [3-6]. Conversely, and despite the several findings that the right and the
58 mirrored image of the left anterior eye topographies are highly correlated, they are believed
59 not to be equally aligned during the topography scan [7]. Only a little difference in their raw
60 elevations, as measured, could make right and left eyes differ in their dioptric powers and
61 astigmatic axes [8]. Additionally, two-thirds of the population are right-eye dominant [9-13],
62 and the visual field of right eyes is different from that of left eyes [14]. Beyond the eye globe,
63 the image merging processes carried out within the brain for the two eyes are different [15,
64 16]. These differences require different performances of the two eyes during the fixation
65 process [17] where dominant eyes were believed to be dynamic during the fixation process.

66 The current study accepts the existence of the mirror symmetry among fellow eyes, but it
67 investigates if only flipping left corneal topography data around a superior-inferior axis is an
68 effective strategy for quantitatively analysing right and flipped left corneas altogether, or if there
69 should be an additional adjustment to compensate for the different eye alignments during the
70 fixation process associated with the corneal topography and tomography scans. The study
71 gives a clear pathway for the ocular anterior eye research community to enable analysing

72 flipped left corneas with right corneas, if necessary, without affecting the results with
73 misalignment artefacts.

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75 2. Materials and Methodology

76 2.1. Participants

77 The study involved scanning fellow eyes of 177 healthy participants (aged 35.3 ± 15.8) and
78 75 keratoconic participants (aged 33.9 ± 17.8), selected from referrals to Instituto de Olhos
79 Renato Ambrósio (Rio de Janeiro, Brazil). The current study utilises fully anonymised records
80 retrospectively evaluated in solely secondary analyses. No clinical data was collected
81 specially for this study; therefore, no ethical approval was required according to the policy of
82 the University of Liverpool on research ethics. Nevertheless, the study was conducted in
83 accordance with the standards set in the Declaration of Helsinki.

84 Before being anonymised, clinical topography data was collected from both eyes of normal
85 and KC participants using the Pentacam HR (OCULUS Optikgeräte GmbH, Wetzlar,
86 Germany). Participants with no history of ocular disease, trauma or ocular surgery, were
87 selected for the healthy group and participants with a clear presence of keratoconus with no
88 previous ocular procedures, such as collagen cross-linking were selected for the keratoconic
89 group. Those with intraocular pressure (IOP) higher than 21 mmHg as measured by the
90 Goldmann Applanation Tonometer, soft contact lens wear until less than two weeks before
91 measurement, or rigid gas permeable (RGP) contact lens wear until less than four weeks
92 before measurements were excluded.

93 At least three successive scans were taken for each eye with a total approximate period of 30
94 seconds between them. The measurements continued until three scans with an instrument-
95 generated quality factor of at least 95% and 90% were obtained for the anterior and posterior
96 surfaces, respectively. The scan with the highest quality was then selected for the analyses of

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97 the current study. Pentacam HR raw elevation data for the anterior surface was exported in
98 comma-separated values (CSV) format and analysed using custom-built MATLAB
99 (MathWorks, Natick, USA) codes.

101 **2.2. Data collection and processing**

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103 Pentacam HR Data was extracted over a mesh grid covering -7 to 7 mm in 141 steps in both
104 nasal-temporal and superior-inferior directions with missing raw elevation values around
105 corners and edges set to NaN which stands for “Not a Number”. A fully computerised custom-
106 built MATLAB code was written especially for this study. The code was able to read the CSV
107 files of both the right and left corneas of every participant before processing them. For every
108 case, the right eye was used as a datum fixed surface while the left corneal was flipped around
109 the superior-inferior direction. At this position, the root-mean-squared (RMS) difference
110 between flipped left cornea and the right cornea is initially determined for both anterior and
111 posterior corneal surfaces. The RMS difference was calculated as in Eq 1 as

$$RMS = \sqrt{\frac{\sum_{i=1}^k (Z_{OS\ flipped} - Z_{OD})^2}{k}} \quad \text{Eq 1}$$

112 where $Z_{OS\ flipped}$ is the flipped left corneal raw elevation surface height and Z_{od} is the
113 measured raw elevation right corneal surface height and k is the number of non-missing data
114 points. In this context, the Latin notation OD stands for oculus dextrus which means the right
115 eye, and OS stands for oculus sinister which means the left eye.

116 Later, the iterative closest point (ICP) transformation algorithm was applied on the three-
117 dimensional (3D) flipped cornea to allow the flipped left corneal anterior surface to translate
118 and rotate in order to minimise the difference between it and the right cornea anterior surface.
119 The number of ICP iterations was set to 20 based on a preliminary study and the process

120 outputs two matrices representing the 3D translation and the rotation. The flipped left cornea
 121 is then rotated (Eq 2) and translated (Eq 3) accordingly and as a result, the flipped left eye
 122 coordinates became unaligned with the right eye that was used as a datum. To allow a
 123 common coordinate among right and flipped left eyes, 3D triangulation-based cubic
 124 interpolation [18] was used to reconstruct the flipped left cornea that has been rotated and
 125 translated to be aligned with the right cornea.

126 The rotation matrix R that was resulted from the ICP algorithm can be expressed as in Eq 2
 127 as

$$R = \begin{bmatrix} \cos \alpha \cos \beta & \cos \alpha \sin \beta \sin \gamma - \sin \alpha \cos \gamma & \cos \alpha \sin \beta \cos \gamma + \sin \alpha \sin \gamma \\ \sin \alpha \cos \beta & \sin \alpha \sin \beta \sin \gamma + \cos \alpha \cos \gamma & \sin \alpha \sin \beta \cos \gamma - \cos \alpha \sin \gamma \\ -\sin \beta & \cos \beta \sin \gamma & \cos \beta \cos \gamma \end{bmatrix} \quad \text{Eq 2}$$

128 where α is the rotation angle around the X-axis, β is the rotation angle around the Y-axis and
 129 γ is the rotation angle around the Z-axis. Likewise, the translation matrix T can be expressed
 130 as in Eq 3 as

$$T = \begin{bmatrix} X_t \\ Y_t \\ Z_t \end{bmatrix} \quad \text{Eq 3}$$

131 Where X_t, Y_t and Z_t are the translations in X, Y and Z directions respectively. Hence, the
 132 flipped left cornea coordinate can be expressed as shown in Eq 4 as

$$\begin{bmatrix} x_{OSrt1} & x_{OSrt2} & x_{OSrt3} & \dots & x_{OSrt n} \\ y_{OSrt1} & y_{OSrt2} & y_{OSrt3} & \dots & y_{OSrt n} \\ z_{OSrt1} & z_{OSrt2} & z_{OSrt3} & \dots & z_{OSrt n} \end{bmatrix} = R * \begin{bmatrix} x_{OS1} & x_{OS2} & x_{OS3} & \dots & x_{OS n} \\ y_{OS1} & y_{OS2} & y_{OS3} & \dots & y_{OS n} \\ z_{OS1} & z_{OS2} & z_{OS3} & \dots & z_{OS n} \end{bmatrix} + T \quad \text{Eq 4}$$

133 before the RMS of the difference between the left cornea flipped, rotated and translated
 134 surface is recalculated as shown in Eq 5 as

$$RMS = \sqrt{\frac{\sum_{i=1}^k (Z_{OSrt} - Z_{OD})^2}{k}} \quad \text{Eq 5}$$

135 where $Z_{OSrt \text{ flipped}}$ is the flipped left corneal raw elevation surface height that has been
 136 translated and rotated. Finally, the mean and standard deviation of the healthy and keratoconic
 137 participant groups were calculated and compared.

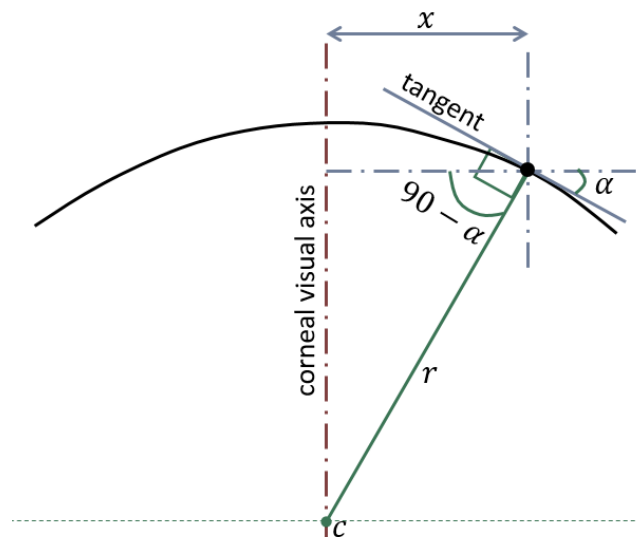
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139 2.3. Axial radii of curvature and refractive power

140 Local axial curvatures were calculated for 359 meridians with a 1.0° angular step covering the
141 assessed area of the cornea up to $x = 4$ mm radius. Centres of axial curvatures were assumed
142 to lie on the corneal visual axis [19] as illustrated in Figure 1, the axial radius of curvature at
143 any point was calculated as in Eq 6 as:

$$r = \frac{x}{\cos(90 - \alpha)} \quad \text{Eq 6}$$

144 where α is the tangent angle at this point.



145

146 Figure 1: Determination of corneal surface axial radius of curvature (r) at a certain meridian
147 plane. In this method, the centre of the curvature (c) was always restricted to the corneal
148 visual axis.

149 This process was carried out for both corneal anterior and posterior surfaces and the
150 corresponding radii of curvature, $R_{anterior}$ and $R_{posterior}$, were used to calculate the corneal
151 optical power P using the Gaussian optics formula [20, 21]:

$$P = \frac{n_{\text{cornea}} - n_{\text{air}}}{R_{\text{anterior}}} + \frac{n_{\text{aqueous}} - n_{\text{cornea}}}{R_{\text{posterior}}} - \frac{t_c}{n_{\text{cornea}}} \left(\frac{n_{\text{cornea}} - n_{\text{air}}}{R_{\text{anterior}}} \right) \left(\frac{n_{\text{aqueous}} - n_{\text{cornea}}}{R_{\text{posterior}}} \right) \quad \text{Eq 7}$$

152 where the refractive indices of air, n_{air} , cornea, n_{cornea} , and aqueous, n_{aqueous} , were set at
 153 1.0, 1.376 and 1.336, respectively, following Gullstrand relaxed eye model [22, 23]. The central
 154 corneal thickness, t_c , was determined by subtracting the corneal posterior raw elevation
 155 surface from anterior surface at the corneal apex.

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157 **2.4 Statistical Analysis**

158 The results were subjected to statistical analysis through the use of the MATLAB Statistics
 159 and Machine Learning Toolbox. A significance level of 5% was set and the probability of the
 160 null hypothesis (p-value) was computed using a two-sample t-test [24]. This calculation was
 161 carried out on pairs of data sets to ensure that the observed effects were not occurring as a
 162 result of sampling error. Due to the choice of significance level, the observed effects were
 163 deemed significant if they achieved a p-value lower than 0.05.

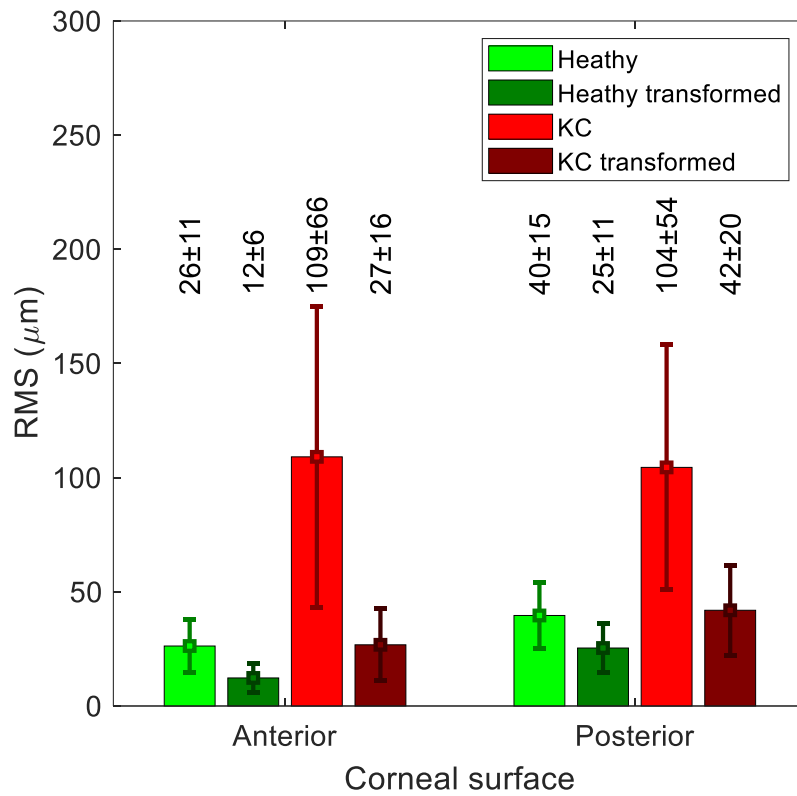
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165 **3. Results**

166 When left corneas were only flipped around the superior-inferior axis, healthy corneas
 167 recorded RMS difference in raw elevation between flipped left corneas and right
 168 corneas of 26.3 ± 11.5 and 39.6 ± 14.5 μm for anterior and posterior surfaces
 169 respectively. Values of RMS were up to 109.11 ± 66 (anterior) and 104.5 ± 53.6
 170 (posterior) among KC corneas, Figure 2. Once the ICP algorithm was applied, RMS
 171 values went down to 12.3 ± 6 , 25.4 ± 10.8 μm among healthy corneas and 26.8 ± 15.8 ,
 172 41.9 ± 19.6 μm among KC for anterior and posterior corneas respectively Figure 3.

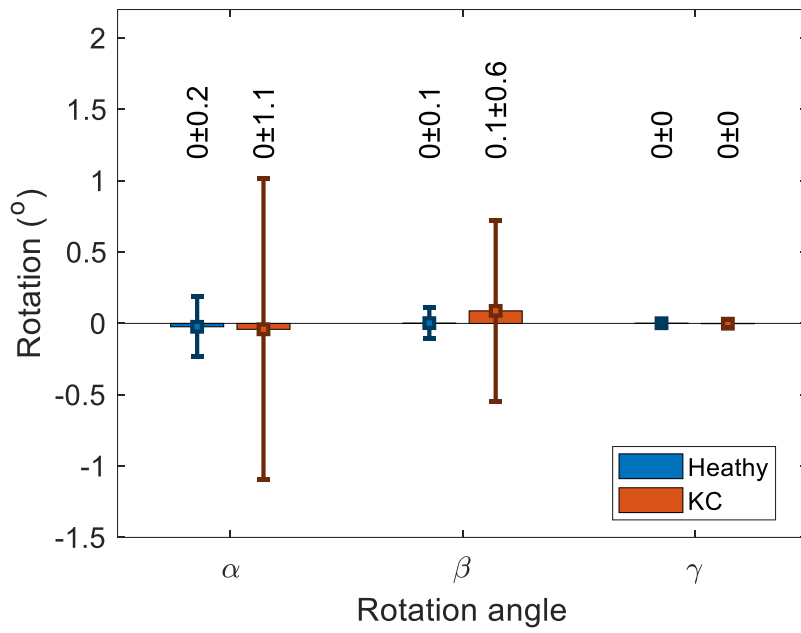
173 The rotations associated with the ICP algorithm were hardly observable as can be
174 seen in Figure 3. Associated translation with the ICP transformation algorithm
175 recorded -0.27 ± 2 , 2.5 ± 19 and 1.5 ± 5 μm among health corneas and -1.1 ± 7 , -1 ± 10 and -
176 4.9 ± 36.8 μm among KC corneas, Figure 4. When the RMS values were compared
177 without and with the use of the ICP transformation algorithm, it was found that using
178 the ICP transformation algorithm reduced the RMS significantly among both healthy
179 ($p < 0.001$) and KC groups ($p < 0.001$) for both anterior and posterior surfaces.

180 Comparing the dioptric power showed a significant difference between the RMS of the
181 flipped left corneas and right corneas for both the healthy and the KC group ($p < 0.001$).
182 The RMS of the surfaces of the flipped left corneas and the right corneas was 0.6 ± 0.4
183 D among the healthy group and 4.1 ± 2.3 D among the KC group. After transforming
184 the flipped left corneas, RMS recorded 0.5 ± 0.3 D and 2.4 ± 2 D among healthy and KC
185 groups respectively, Figure 5.



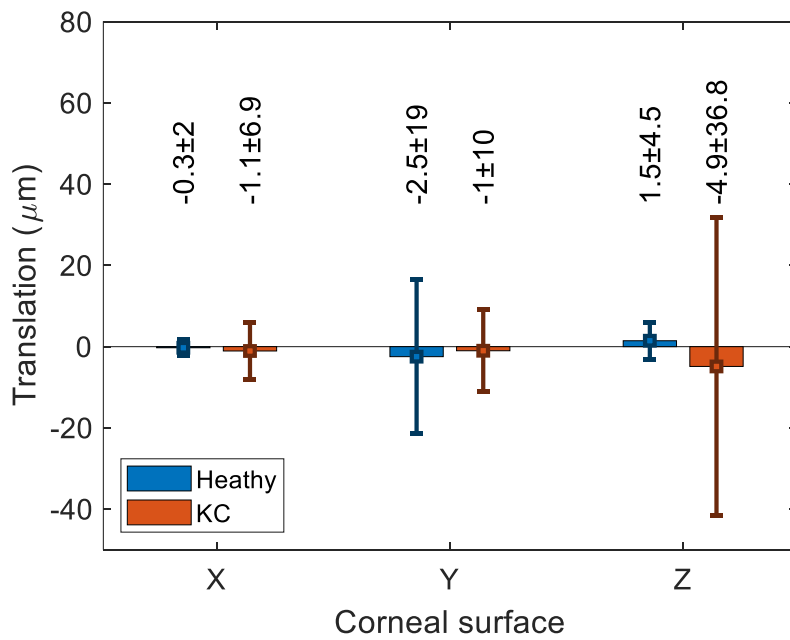
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187 Figure 2: RMS difference between the flipped left corneas and right corneas for both
 188 anterior and posterior surfaces. It shows the difference before and after flipped left
 189 corneas being transformed (rotated and translated).



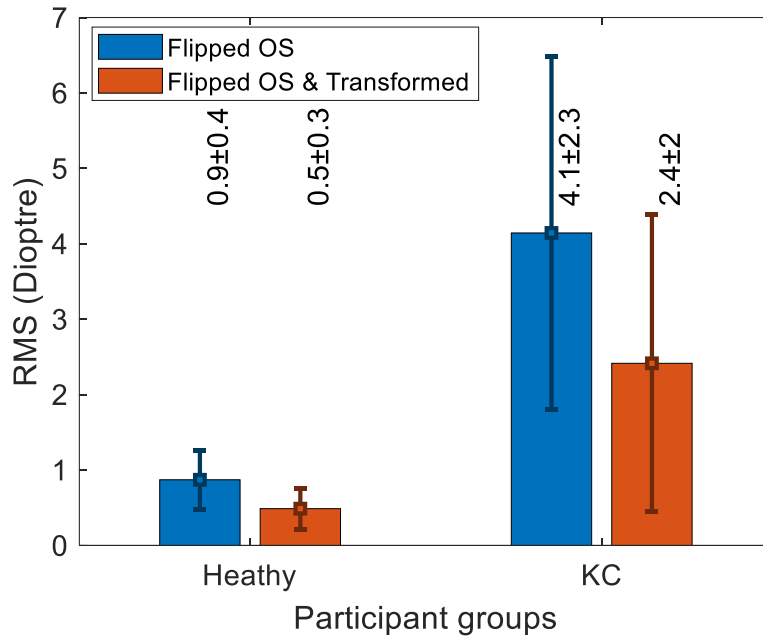
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191 Figure 3: Flipped left cornea rotation angles around X, Y and Z axes respectively.



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193 Figure 4: Flipped left cornea translation in X, Y and Z directions respectively.



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195 Figure 5: Axial power RMS difference between right corneas and flipped left corneas without
 196 and with ICP transformation.

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198 4. Discussion

199 The results of the current study confirm the fellow anterior eyes match in terms of the
 200 topographical shape (see Appendix A) but suggests fellow corneas should not be considered
 201 mirror images of each other in collective analysis without constraints. Flipping the left corneas
 202 in order to analyse right and left corneas together may not be a good strategy if the aim of a
 203 study is related to evaluating the corneal optical performance. It was clear from the results that
 204 flipped left eyes needed to be translated a few microns to fit the right eyes, and these little
 205 translations caused a change of 0.5 D to 2 D in the corneal optical power. As these power
 206 mismatches are high enough to require refractive correction [25], flipped left corneas should
 207 not be used as an equivalent to right corneas without further processing. The results suggest
 208 that the main mismatch between flipped left corneas and right corneas was caused by the

209 surface translation. This indicates that the measured apex position of the flipped left corneas
210 was not a mirror image of the measured right corneas.

211 When comparing corneal power, flipped left corneas of KC participants showed an important
212 asymmetry in the average dioptric power RMS difference of more than 4 D. However, there
213 was a significant reduction of more than 2D ($p < 0.001$) when the flipped left corneal surface
214 was transformed using the ICP algorithm. These big differences in KC cases power could be
215 a result of the fact that some KC patients have difficulty in focusing on the topographer target
216 during the scan process. This makes the alignment of the KC right and left corneas vary a lot,
217 artificially increasing their asymmetries. The study has some limitations as the ICP algorithm
218 was applied on the central 8 mm diameter corneal zone only, so the peripheral corneal surface
219 effect was not considered. It also used a Scheimpflug imaging tomography instrument, which
220 has its limitations like imaging discrete 2D meridians and using them to reconstruct the corneal
221 surfaces instead of measuring the surface as a 3D surface. Finally, grading of keratoconus
222 was not taken into account of the outcome of this study and all keratoconic corneas were
223 analysed together as a single group. The current study findings are in agreement with Bao [3]
224 who reported mirror symmetry between fellow corneas among healthy subjects and with
225 Bussièrès who reported both symmetrical and asymmetrical patterns among fellow corneas
226 in patients with keratoconus based on corneal tomography [26]. Dienes [6] also concluded
227 that severe keratoconus patients are more asymmetric in their disease status. In addition, the
228 current study raises the concern that reasonable mirror symmetry in topography does not
229 mean mirror symmetry in refractive power and a few microns misalignment in topography
230 could cause a significant difference in refractive power calculations.

231 In conclusion, fellow corneas are highly related in their clinical parameters, but they should be
232 treated with care when one corneal topography is flipped and processed with the other corneal
233 topography in an optic related research analysis and translation might be needed. A portion
234 of the asymmetry in KC is due to corneal apex shift interfering with image acquisition therefore,
235 transforming flipped left corneal surfaces by rotation and translation leads to a sensible

236 comparison between the fellow KC corneas since it reduces the power difference between
237 fellow eyes from 4 to 2D. This can be of relevance to the KC diagnosis since it has historically
238 been described as an asymmetric disease [27-29].

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240 5. Financial Disclosure

241 None of the authors have financial disclosures.

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243 6. Declaration of interest

244 The authors report no conflicts of interest.

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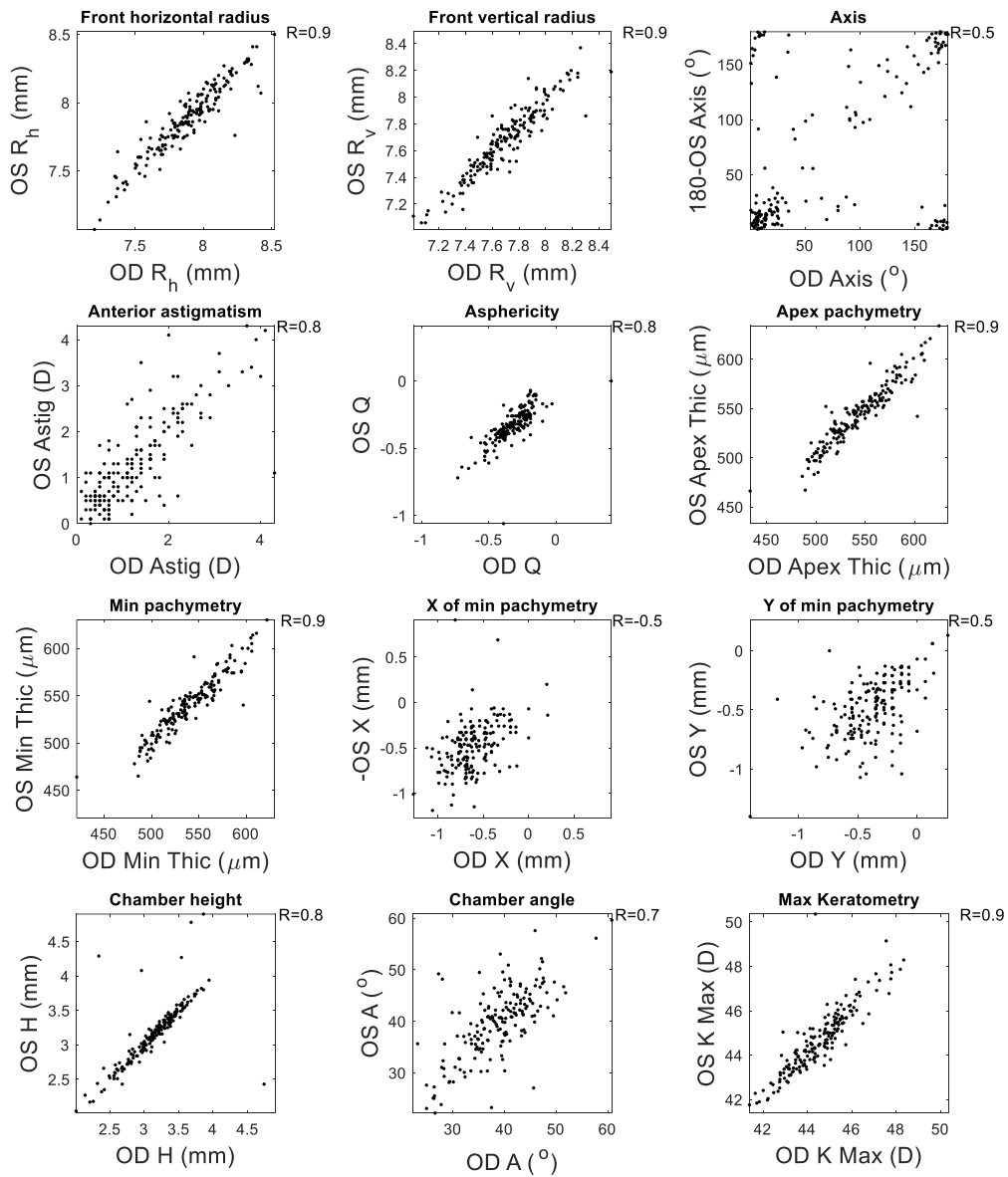
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318 Appendix A

319 This appendix reports the measured clinical correlation between right (OD) and left (OS)
320 corneas as measured by the Pentacam HR. High correlations were noticed among most of
321 the clinical parameters among healthy subjects except the location of the minimum
322 pachymetry ($R=0.5$) and the astigmatism axes ($R=0.5$) where moderate correlations were
323 recorded, Figure 6. When the KC clinical parameters were investigated, only the chamber
324 height recorded a high correlation among right and left corneas. It was clear that the weakest
325 correlations were recorded when the horizontal location of the minimum pachymetry was
326 investigated ($R=0.2$), Figure 7.



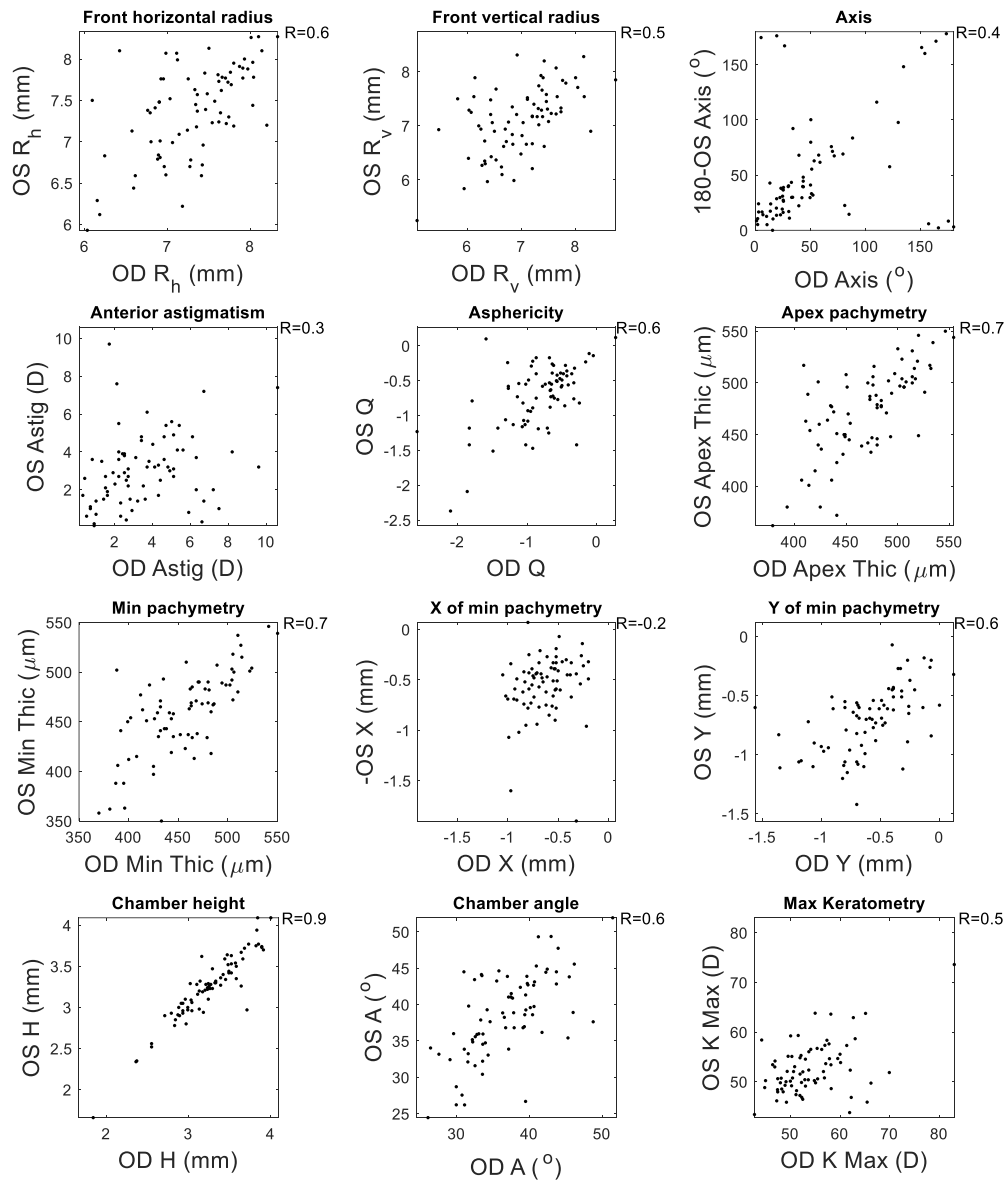
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328 Figure 6: Correlation between right and left corneas clinical parameters among healthy
 329 subjects.

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335 Figure 7: Correlation between right and left corneas clinical parameters among KC subjects.

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