

1 Title

2 Human semicircular canal form: Ontogenetic changes and variation of shape and size.

3 Short running title: Ontogenetic changes of the human semicircular canal form

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15 Abstract

16 The semicircular canals transduce angular acceleration of the head into neuronal signals and their
17 morphology has been used to infer function. Once formed, the bony labyrinth, that surrounds the
18 canals, is tightly regulated and has a very low bone turnover. However, relaxed postnatal inhibition
19 of bone remodelling later in ontogeny may allow for some organised adjustments of shape and size
20 or for greater stochastic variation. In the present study, we test the hypotheses that after birth the
21 shape and size of the bony canal changes or becomes more variable, or both. We study microCT
22 scans of human perinatal and adult temporal bones using a combination of geometric morphometric
23 analysis and cross-sectional measures. Results revealed marginal differences of size (<5%), of cross-
24 sectional shape and of measurement variability. Geometry of the three canals together and their
25 cross-sectional areas were, however, indistinguishable between perinates and adults. These mixed
26 findings are indicative of diminutive levels of relaxed inhibition superimposed over a constrained
27 template of semicircular canal morphology.

28 Keywords

29 Semicircular canals, bony labyrinth, microCT, inner ear, geometric morphometrics.

30 Introduction

31 The vestibular apparatus is responsible for detecting linear and angular accelerations of the head
32 and consists of the saccule, utricle, and three roughly-orthogonal semicircular canals embedded
33 within the petrous temporal bone. These canals transduce angular acceleration of the head into
34 proprioceptive neuronal signals coded for time and motion in three dimensions (Berlin et al. , 2013)
35 and contain two main parts: an outer bony canal, filled with perilymph and an inner membranous
36 canal filled with endolymph. Signal mechanotransduction occurs in the ampulla, inside the
37 membranous labyrinth. There, the cilia of hair cells — embedded within the cupula — are
38 mechanically deformed by inertial flow of the endolymph.

39 Notwithstanding cupula mechanics, the sensitivity of the canals to angular acceleration depends
40 largely on the volume of fluid displaced by inertia, which in turn depends on canal length and cross-
41 sectional area (see Jones and Spells, 1963; Lambert et al., 2008; Yang and Hullar, 2007, 2007; Iversen
42 and Rabbitt, 2017). However, by maximising volume displacement the limits of cilia deflection are
43 reached sooner, which in turn limits the frequency range over which the canal can operate
44 effectively. Slender rather than shorter canals are invariably favoured as an evolutionary
45 compromise, possibly because narrowing has the added advantages of diminishing viscous blocking
46 as well as promoting Poiseuille flow (Obrist, 2019). These qualities are manifested in the empirically

47 well-established relationship between canal length, approximated by the radius of curvature of the
48 canals, and postural behaviour. Back as early as 1908, Gray noted that the size of the semicircular
49 canals appeared to correlate with the flying abilities of different species (Gray, 1908). Since then,
50 numerous studies have used the radius of curvature, or variations thereof, to infer the locomotor
51 repertoire and agility of extant and extinct taxa (Rabbitt et al., 2004; Spoor et al., 2007; Walker et al.,
52 2008; David et al., 2010; Ryan et al., 2012; Le Maitre et al., 2017) subject to appropriate adjustments
53 for body size scaling effects (Spoor and Zonneveld, 1998; Spoor et al., 2007).

54 Canal sensitivity is also influenced by the vector as well as the volume of endolymphatic flow,
55 requiring encoding afferent signals from all six canals (Rabbitt, 1999). Hence, the planar relationships
56 of the canals to each other as well as to the principal axes of eye and head motion influence how
57 well the direction of angular acceleration is detected (Van Buskirk, 1988; Rabbitt, 1999; Cox and
58 Jeffery, 2010). In humans, ipsilateral canals are mostly orthogonal to each other (Blanks et al., 1985)
59 although they do not lie at exact right angles (Lee et al., 2013). Pairs of contralateral canals are
60 mostly parallel to each other, but with deviations up to 12° (Blanks et al., 1985). Additionally, the
61 semicircular canals do not lie in a single plane, but rather exhibit out-of-plane deviations, or torsion,
62 that are thought to increase the sensitivity of the canal by broadening the range of planes of angular
63 acceleration that can stimulate endolymphatic flow (Tremble, 1929; Muren et al., 1986). Notably,
64 however, the anatomical plane of the canal and the plane of maximal response are not the same,
65 differing on average by 10° (Rabbitt, 1999). Features such as ellipticity (or deviation from circularity)
66 of the circuit are also considered important attributes, particularly in accommodating relatively
67 longer, and therefore more sensitive, canals in smaller crania (Goyens, 2019).

68 Because canal form is so tightly correlated with sensitivity as well as operational range, the
69 conservation of form throughout life is presumed to be critical to maintain reflexes and functions,
70 namely locomotion, and to avoid potentially deleterious phenotypic variants. Indeed, inner ear
71 development is remarkably precocious. Unlike any other organ system, the general adult spatial
72 arrangement and size of the canals are mostly achieved in utero for a range of different mammals
73 (Jeffery and Spoor, 2004; Ishikawa et al., 2018; see also: Hoyte, 1961; Ekdale, 2010). Furthermore,
74 subsequent adaptation of the perilyabyrinthine bone is also inhibited (Frisch et al., 2000), presumably
75 to prevent bone remodelling stimulated by mechanical forces that propagate through the petrous
76 bone (e. g. masticatory forces). The notional mechanism behind this inhibition is increased levels of
77 osteoprotegerin (OPG) within the perilymph (Zehnder et al., 2005), which then enters the
78 surrounding bone through the lacuno-canalicular system. The persistence and permeability of these
79 canaliculi are entirely dependent on the presence of viable osteocytes to maintain the system. In
80 early life, more viable osteocytes are located centripetally in the perilyabyrinthine bone. Around 20%

81 of viable osteocytes are lost during the first three years of life, with a much smaller decline of
82 osteocytes in later years (Bloch et al., 2012). The remaining osteocytes slowly shift the distribution
83 centrifugally (Bloch and Sørensen, 2010), which may impede the diffusion of OPG radially. This may
84 cause a disinhibition of bone remodelling, or may be superfluous to maintaining sufficient inhibition.
85 Here we test the hypothesis that remodelling is indeed disinhibited postnatally by scrutinising
86 morphological outcomes for evidence of 1) organised postnatal change, possibly allowing for
87 functional refinements; 2) disorganised, stochastic, variation as part of a general entropic trend with
88 age. Organised change predicts that perinatal canals are subtly different from adults in ways not
89 previously captured by conventional metrics (e.g. Jeffery and Spoor, 2004) whereas disorganised
90 change would be evidenced by canals that may be similar on average but less variable than those
91 seen amongst adults. Here we test both predictions using microCT data representing adult and
92 perinatal modern humans together with methods that can capture and analyse more detail than in
93 previous studies.

94 [Methods](#)

95 [General considerations and sample collection](#)

96 For this study, we employed two sets of analyses. First, a geometric morphometric analysis of shape
97 with landmarks placed across all three canals. The totality of the shape represented by said
98 landmarks will be referred to as configuration shape. Second, a cross-sectional morphometric
99 analysis along the centrelines of each canal. For both analyses, endocasts of human semicircular
100 canals (SCC) obtained from micro computed tomography (μ CT) were used.

101 Samples were collected as follows. The young cohort was comprised of 51 perinatal unarticulated
102 petrous temporal bones. They were obtained from the University of Liverpool's MeCoMa collection,
103 which consists of perinatal bone specimens collected from the Brownlow Hill workhouse in
104 Liverpool, England, during the 19th century. Out of the 51 specimens, two were discarded due to
105 fractures on the superior semicircular canal and one was left out due to having an abnormally small
106 lateral canal. The adult cohort was comprised of 48 specimens, of which 17 were obtained from the
107 bone collection at the Human Anatomy Resource Centre, the University of Liverpool (unknown
108 gender and age). All specimens collected from the University of Liverpool were collected and
109 analysed with approval of the Health and Life Sciences Research Ethics Committee (reference
110 number 5504). An additional group of 16 adult specimens was collected from cadavers donated to
111 the Anatomy department, the University of Cambridge. The latter dataset had an average age of
112 84.8 years (SD: 9.3, Median: 86). The remaining 15 specimens were obtained from an online
113 repository (Wimmer et al., 2019). Specimens collected at the University of Liverpool were μ CT

114 scanned using a SkyScan 1272 Micro-X-ray CT (Bruker) with an isotropic resolution of 13 microns
115 (perinates: 60Kv; 166uA;0.25mm Al filter. adults: 100Kv; 100uA;0.11mm Cu filter). The dataset from
116 University of Cambridge were scanned at approximately 24 microns (125 kV; 120 μ A; no filter). The
117 specimens from the online repository were scanned at approximately 60 microns. Because of the
118 nature of our samples, biometric data were limited. Although the specimens used were all
119 accurately classified as perinates or adults, no data pertaining to sex nor exact chronological age or
120 ethnicity were available, except for the age of the subset of adult samples from Cambridge. A
121 summary of each dataset and the analyses they were included in can be seen in Table 1. Available
122 biometric and image resolution details for each specimen are provided in the supplementary
123 materials.

124 Segmentation of endocasts

125 The acquired images were imported into ImageJ (1.53a v NIH, USA). For each stack, a plot profile was
126 created and the threshold value was calculated by the half maximum height (HMH) technique (Spoor
127 and Zonneveld, 1995) with reference to the bone and canal lumen values. Ten measurements were
128 taken for each specimen at different locations throughout the stack. The stacks were then imported
129 into Amira (Version 6.0.0, Thermo Fisher Scientific). Segmentation of the canals was carried out by
130 thresholding, using the mean HMH values previously calculated. The segmented area representing
131 the lumen of the canals and the vestibule was then saved as a labelmap. A surface generated from
132 the labelmap can be seen in Figure 1, A.

133 For subsequent analyses, the centrelines of each canal were computed. To find the centrelines, the
134 labelmaps were down-sampled and the Distance-ordered thinner (Len of ends: 10) and the Trace
135 line modules were used, which generated a line set. This resulting line set was edited using the
136 Filament Editor, to remove unwanted branching lines (Figure 1, B). The Smooth lineset module was
137 then used (smooth: 0.9; attach to data: 0.05; Number of iterations: 100). Lastly, the line set was
138 trimmed at the point where the canals meet the vestibule (Figure 1, C). The centrelines were
139 divided, so that one line segment was generated for each canal, and one for the common crus.

140 Geometric morphometric analysis

141 Shape configuration was analysed using a landmark-based geometric morphometric (GMM)
142 approach (Bookstein, 1991, 1996). This method is a quantitative way of analysing shape. In GMM,
143 shape is defined as the geometric information remaining after removing scale, location and
144 orientation (Kendall, 1977), using a method called Procrustes superimposition. The landmarks are
145 discrete anatomical loci, selected a priori, which represent a biological structure and are
146 homologous across individuals (Zelditch et al., 2012). The resulting shape configurations will

147 therefore be aligned at the origin, standardised to unit size, and rotated to the same orientation.
148 However, fixed landmarks have the disadvantage of leaving out relevant information between
149 landmarks, such as curvature. For this reason, sliding semi landmarks were used to analyse
150 centrelines (Bookstein, 1997). The semi landmarks are allowed to slide along a curve to match
151 corresponding sliding semi landmarks in the reference configuration. For this study, bending energy
152 between the reference and target specimen was used for optimisation of the sliding process.

153 Landmarks

154 The landmarks for the SCC analysis were placed using the Amira Landmark module, on the
155 centrelines of each canal. There were 7 landmarks placed on each specimen (2 on each canal, 1 on
156 the common crus). For a more accurate representation of slender portion of the canals, 10 sliding
157 landmarks were placed along the centrelines of each canal. For this, the line sets representing the
158 centrelines were exported as coordinates and down sampled to 10 equidistant points along the
159 entire length of each canal, using the *digit.curves* function in geomorph. A full description of the
160 landmarks and sliding landmarks can be seen on Table 2 and Figure 1 C.

161 Other studies have suggested the use of an additional set of landmarks on the outer surface of each
162 canal, in an attempt to further characterise shape changes localised closer to the centreline of the
163 membranous labyrinth (Gunz et al., 2012). It is worth noting that when evaluating measurement
164 error, the authors found that by changing the thresholding values and the spatial resolution of the
165 scans, the centrelines of the canals were not affected, whilst the landmarks placed on the outer
166 surface experienced the most variation. Given that our adult cohort comprised three datasets
167 acquired with slightly different parameters, we opted for placing all of our landmarks along the
168 centrelines of the canals, as to minimise potential shape changes due to differences in the scanning
169 process. All three datasets had similar distribution in morphospace (see supplementary material for
170 PC1 and 2 grouped by dataset). A figure of the shape configurations along PC1 and PC2 grouped by
171 dataset is available in the supplementary material (SF1).

172 The GMM analysis was carried out in R (R Core Team, 2018), using the following packages:
173 geomorph (Version 3.1.3), Morpho (Version 2.7), and RVAideMemoire (Version 4.0.3) (Schlager,
174 2017; Adams, Collyer and Kaliontzopoulou, 2020; Hervé, 2021).

175 Configuration size and shape variation

176 To assess the influence of size on shape, centroid size was used as a proxy. Centroid size is the
177 square root of the sum of the squared distances between each landmark and the centroid (centre of
178 the configuration), and it is the only measure of size that is mathematically independent of shape
179 (Klingenberg, 2016). After testing for normality, centroid size means between adults and perinates

180 were compared using a T test. The medians and variances were tested using a Mood test and an F
181 test, respectively. Procrustes distance – the square root of the sum of squared distances between
182 corresponding Procrustes-aligned points – was used to measure the distribution of the shape
183 configurations across the morphospace. In this instance, a value of 0 represents a shape located
184 exactly at the mean, and increasing values represent further distance from the mean. Additionally,
185 we performed Procrustes ANOVA to assess influence of size on shape (Goodall, 1991) and added the
186 residuals to the mean configuration to use as size-corrected shapes. Two models were created to
187 assess whether each group had unique or common allometries. The common allometry model was
188 used as null model, and a Procrustes ANOVA showed no differences between the two models ($p =$
189 0.44), meaning the groups had a common allometric component. Principal components analysis
190 (PCA) was used to visualise the shape variables (Procrustes-aligned coordinates) in morphospace and
191 canonical variates analysis (CVA) was used to find maximum shape differences between the two
192 groups. CVA analysis was performed on the first 10 PC scores after size correction. Subsequently,
193 cross-validation was performed using leaving-one-out procedure. It is important to note that for our
194 study, we used PCA to visualize shape changes in the GMM analysis, rather than between-group PCA
195 (bg-PCA). Although the use of bg-PCA would have perhaps better illustrated shape differences
196 between both groups, the decision to use PCA was made considering that bg-PCA may lead to
197 exaggerated differences between groups that are not representative of the actual differences in the
198 samples (Bookstein, 2019).

199 Measurement error

200 To assess repeatability of the landmarking, the semicircular canal landmarks were repeated 4 times
201 from 5 specimens selected at random. One specimen was landmarked at a time and was not
202 repeated until the others were completed, allowing time to pass between each specimen repeat.
203 The repeated sets of landmarks from each specimen were submitted to generalised Procrustes
204 alignment (GPA) and to PCA along with the rest of the samples. The repeated measurements for
205 each specimen clustered together on the first 4 principal components, indicating small errors
206 compared to the overall variability of the sample. PC 1 to 4 can be seen in the supplementary figures
207 SF2 and SF3. Additionally, a Procrustes ANOVA was calculated using the individuals and repeats as
208 categorical values. The results were then used to calculate an intraclass correlation coefficient as
209 detailed by Fruciano (2016) which was then used to calculate repeatability. Repeatability of the
210 landmarking was 93.4%.

211 [SCC cross-sections](#)

212 For this analysis, only 33 adults and 45 perinates were included. During preliminary tests, we found
213 that convexity and solidity were sensitive to changes in resolution. The samples acquired at the
214 University of Liverpool and the University of Cambridge had similar results across all metrics used for
215 the analyses described below, whereas the Wimmer dataset fell in line with the other adults only for
216 aspect ratio and cross-sectional area, but was an outlier for convexity and solidity (see
217 supplementary figures S4-7). The resolution of the Wimmer dataset (60 microns) was considerably
218 poorer than the other two (13-26 microns) and was therefore not included in the following analyses,
219 to minimise the possibility that observed differences would be due to spatial resolution differences.

220 The endocast labelmaps obtained from the segmentation process and the μ CT images were then re-
221 sliced as cross-sectional images that spanned the entire length of the canals. This was done in Amira
222 following previous literature (Johnson Chacko et al., 2018). In short, the Slice module and the
223 Trajectory module were connected to the labels and the smoothed centreline, respectively. To
224 iterate throughout all segments of the centreline, a tool command language (Tcl) script based on
225 Johnson Chacko et al. (2018) was implemented. Cross-sectional images were created using the
226 centrelines as guidelines for the slicing (Figure 1, D). The images were extracted as 2D tiff files.
227 Additionally, a spreadsheet containing the coordinates of each point and the voxel size for each slice
228 was generated and used for area and length calculations.

229 [Cross-section measurements and statistical analysis](#)

230 The label cross-sections were imported into ImageJ and measured using Shape Descriptors in the
231 Measure command (1.53a v NIH, USA), and the Convex Hull option in the Edit Selection menu. The
232 aspect ratio, solidity, convexity and cross-sectional area were used for subsequent analyses.

233 Aspect ratio was calculated as:

236
$$\frac{\textit{Major axis}}{\textit{Minor axis}}$$

234 such that 1 represents a perfect circle. Solidity and convexity are calculated by using the convex hull
235 option in ImageJ. Solidity is calculated as

237
$$\frac{\textit{Area}}{\textit{Convex Area}}$$

238 and convexity is calculated as

239
$$\frac{\textit{Convex perimeter}}{\textit{Perimeter}}$$

240 For both solidity and convexity, values less than 1 are indicative of cross-sections with more irregular
241 perimeters. We chose to use aspect ratio to quantify form, solidity to quantify textural roughness,
242 and convexity to quantify morphological roughness, as defined by Liu et al. (2015). Each specimen
243 was analysed as a stack of slices throughout the length of the canal. To perform the measurements,
244 a script was written to iterate through each slice in the stack, select the biggest masked region (the
245 canal) and the measurements were then performed on the selection. The area was calculated by
246 taking the area measured in ImageJ (in pixels) and multiplied by the squared pixel size of each slice.
247 Lastly, the lengths of the canals were calculated by summing the Euclidian distances between each
248 point of the centrelines, as described by Johnson Chacko et al. (2018). A t test was performed to test
249 for length differences between groups for each canal, Mood's test was performed to test for
250 differences in the length medians, and an F test was performed to assess differences in length
251 variances.

252 Resulting data points were analysed using R. Subsequent analyses for the aspect ratio, area, solidity,
253 and convexity were performed as follows. Slice positions were standardised to percentage length,
254 where 0 represents the beginning of the ampullated side of the canal and 100 is the point where the
255 canal meets the vestibule, in the case of the lateral canal, or where it meets the common crus, in the
256 case of the anterior and posterior canals. The common crus was not included in the analyses as the
257 cross-sections were heavily influenced by the vestibule and the slender portion of the anterior and
258 posterior canals. Then, the slices were grouped into 50 bins, as this number of provides sufficient
259 resolution to assess canal patterns in each group. To ensure that specimens with higher resolution
260 (more slices per bin) would not unfairly contribute to either group, measurements were averaged so
261 that each specimen would provide one value per measurement to each bin. Afterwards, a Mann-
262 Whitney-U test was performed for each bin to test differences between groups. Additionally, a
263 Mood's test was performed to test for differences in the medians, and Bartlett's test was performed
264 to assess differences in variances. We performed a Bonferroni correction of the resulting p values.

265 Results

266 Landmark analysis

267 Size

268 We found some difference in centroid sizes (Figure 2A). Adults had slightly larger centroid sizes
269 (mean, 29.10 mm; median 29.29 mm) compared with perinates (mean, 27.84 mm; median 28.96
270 mm). These differences were significant (t test p-value = 0.0000497; mood's test p-value = 0.00763).
271 indicated There was no difference in the variance of centroid size (Figure 2A) between the two
272 groups (p = 0.739).

273 Shape

274 To visualise shape distribution in morphospace, a principal component analysis (PCA) was
275 performed. The analysis produced 95 PCs, of which the first 25 accounted for 95% of the variance.
276 PC 1 represents 19.01% of the variance. The distribution of the shapes between both groups
277 overlaps on all PCs. Shape distribution along PC1 and PC2 can be seen in Figure 2C, and the shape
278 variation along PC1 is shown in Figure 3. Most of the variation across PC1 describes relative
279 elongation of the posterior and lateral canals, with minimal changes to the anterior canal. Individuals
280 with the highest PC1 scores had large lateral canals and small posterior canals, whereas individuals
281 with lower PC1 scores had large posterior and small lateral canals. We did not find any clustering of
282 Procrustes-aligned coordinates and both groups overlapped across all PCs.

283 The allometric component was found to be statistically significant (p -value: 0.001), although it only
284 accounted for 3.1% of the variation. To assess difference in morphospace distribution after size
285 correction, the residuals from regression were added to the mean Procrustes-aligned and a PCA was
286 conducted on these allometry-free configurations. The results from PCs 1 and 2 can be seen in Figure
287 2D. Unsurprisingly, the size corrected shape variables are nearly identically distributed on all major
288 axes when compared to the original shapes.

289 The results for the CVA can be seen in Figure 2E. Upon cross validation, adults were only correctly
290 classified in 66.66% of the cases, and 64.58% for the perinates (Figure 2, E and F). Lastly, the
291 Procrustes distance from each specimen to the configuration's mean can be seen in Figure 2B. The
292 average Procrustes distance for group were 0.067 for the adults and 0.073 for the perinates. There
293 was no statistically significant difference between the two groups (p -value = 0.0762).

294 Centrelines and cross-sections

295 Length

296 The results of the lengths of the canals can be seen in Figure 4. The posterior canal was the longest
297 of the three for both the perinatal and the adult groups. The anterior canal was the second longest,
298 and the lateral canal was the shortest. The only canal that showed any differences in means
299 between groups was the anterior canal, where the adults had a mean length of 14.9 mm and the
300 perinates 14.1 mm ($p = 0.000479$), and a Mood's median test showed statistically significant
301 differences ($p = 0.0163$). Differences in variances were only statistically significant for the anterior
302 canal ($p = 0.0259$), where perinates were more variable. The results for the other canals can be seen
303 in Table 3.

304

305 Aspect ratio

306 We found minimal differences in aspect ratio between the two groups (Figure 5). There were no
307 statistically significant differences in the means of the slender portion of any of the three canals.
308 Some differences were found in the ampullary region of the lateral canal. The adults showed with
309 aspect ratios closer to 1. The variance was also similar between both groups, except for small
310 portions of the central region of the slender posterior and lateral canals (bins 30-40). In general, the
311 shape of the cross sections is elliptical in both groups, but gets rounder as it approaches the
312 vestibule on the ampullary side.

313 Solidity

314 Solidity (Figure 6) differences were more marked in the anterior canal, where they are statistically
315 significant along almost the entire length of the slender portion. The perinatal group has solidity
316 values closer to one than adults do, although both groups follow a very similar pattern. Differences
317 in the posterior canal are smaller; statistically significant regions are only at the non-ampullated end
318 of the canal, although both groups also follow similar patterns. No differences were found in the
319 lateral canal. Notably, the perinates had a solidity closer to one across the entire length of all three
320 canals. In general, the lowest values are at the extremes. The variance was significantly different
321 across different sections of all three canals, although localised to specific regions of the slender
322 portion of the canals, and in general, adults had a larger variance than perinates. Extreme examples
323 are shown in Figure 7.

324 Convexity

325 The differences in convexity (Figure 8) were statistically significant in all three canals. The perinatal
326 group has convexity values closer to one across the entire length of all canals. Differences were
327 present along the entirety of all three canals, except for a few bins at the beginning of the canal in
328 the ampullary side. The pattern of convexity is very similar between groups in the posterior and
329 lateral canals, but diverges in the slender portion of the anterior canals, where differences were the
330 greatest. A small portion of the lateral canal showed differences in variances (adults showed greater
331 variance). The rest of the canals had no statistically significant difference in the amount of the
332 variance. Extreme examples are shown in Figure 9.

333 Cross-sectional area

334 Lastly, no statistically significant differences were found for the cross-sectional area between groups
335 in any of the canals (Figure 10). The general pattern of the area shows that the slender portion of
336 the canal is the smallest in terms of cross-sectional area. The patterns for the anterior and lateral
337 canals follow a parabolic shape where the area increases closer the vestibule in the ampullated and

338 non-ampullated sides, whereas the posterior canal is mostly flat with some increase in area at both
339 ends. In general, both groups follow an almost identical pattern. Variance between the groups is
340 larger for the perinates across all three canals, except for two small sections in the posterior and
341 lateral canal, where there were no differences.

342 In summary, adults were only found to marginally differ from perinates in the following respects:
343 they had slightly larger centroid sizes and slightly longer anterior semicircular canals; cross-sections
344 from the slender portions were more elliptical and had solidity and convexity values further from
345 one. With respect to the variability, adults were more variable than perinates in terms of canal
346 solidity and convexity. Surprisingly, the perinates were slightly more variable than the adults in
347 terms of cross-sectional area and anterior canal length. In all other respects, adults were not
348 different to perinates.

349 Discussion

350 For this study, we set out to test the hypothesis that bone remodelling disinhibition in
351 perilabyrinthine bone can lead to changes and/or increased variation in the shape and the size of the
352 bony semicircular canals during postnatal life. The disinhibition of bone remodelling would allow for
353 new bone formation and bone resorption on the surface of the bony labyrinth, which could be
354 organised or stochastic. In the former, we would expect to see significant differences in the shape
355 and size of the canals between perinates and adults, both in the overall canal configuration and in
356 the anatomy of individual canals. Such changes could reflect functional shifts, possibly the
357 maturation of obligatory bipedalism. For stochastic change, we expected that size or shape may not
358 differ, but that the adult cohort would exhibit greater variation than the perinates, following bone
359 remodelling disinhibition.

360 We observed several metrics where directional change had occurred. One such change is in size. We
361 set out to evaluate size by looking at three different metrics: the centroid size of the landmark
362 configuration shape, the length of the canals and finally, their cross-sectional area. The results
363 supported our hypothesis that size of the canals is different between the two groups to limited
364 extent. We found that adults have on average a larger centroid size and a longer anterior canal.
365 Differences, however, only represented around 4.4% change in centroid size and the lengthening of
366 the anterior canal was only around 4.6%. To put this in context, the largest adult centroid size was
367 22.5% larger than the smallest adult centroid size. Additionally, we found almost no differences in
368 cross-sectional area, aside from a small portion of the posterior canal. A previous study suggests
369 that humans achieve adult semicircular canal size in utero, around the 18th week of gestational age
370 (Jeffery and Spoor, 2004). Findings from the current study suggest there is a subtle size change after

371 birth. The longer anterior canal corroborates other reports of differences in size due to lengthening
372 of the canals with increases as much as 3 mm (Tremble, 1929). However, Daocai et al. (2014)
373 measured the length and width of each canal in young children (<7yrs) as well as adults and found
374 no statistically significant differences. The authors used a single plane that most optimally displayed
375 the entirety of the semicircular canal. It is possible, therefore, that measurements could have been
376 skewed due to the torsion of semicircular canals that has been reported (see Sato et al., 1993;
377 Ifediba et al., 2007). It is also possible that Daocai et al. averaged out changes by aggregating
378 findings from 9 months to 7 years in their young children category. The average area for the slender
379 portion of the adult canals reported here was also similar to that for adult humans reported by
380 Johnson Chacko et al. (2018), both in terms of size at around 0.8 to 1.2 mm² and the pattern of
381 variation along the canal. The parabolic distribution of the cross-sectional area is due to the
382 widening of the canals at the ampulla and the transition from slender portion to the wider vestibule.
383 It should be noted that determining centrelines seemed less reliable near the vestibule and the
384 common crus, where the skeletonization process would have included parts of the vestibule as well
385 as the canal, and probably exaggerated the corresponding aspect ratios and cross-sectional areas. In
386 summary, there was limited evidence for small scale (<5%) size change after birth but we do not
387 envisage these to be functionally significant. Moreover, we cannot discount sampling or
388 measurement error biases with respect to such small margins.

389 In terms of shape, organised changes were less consistent. Configuration shape, for example, was
390 similar in perinates and adults. The trend across PC1 for both age groups described a relative
391 lengthening of the posterior and lateral canals, with minimal changes to the anterior canal. These
392 results seem to contradict our findings regarding lengthening of the anterior canal. This is because
393 the lengths of the posterior and lateral canal were more variable overall compared to the anterior
394 canal (see coefficients of variation in Table 3), but were more similarly distributed across both adults
395 and perinates. By contrast, the overall range of variation smaller for the anterior canal, but the
396 distribution (and the mean) was different when comparing adults and perinates (see Figure 4). When
397 considering the shape changes along PC1, it is also important to bear in mind that these were not
398 representative of a single group, rather the variation across our entire sample (perinates and adults
399 together). Shape measures representing the canal cross-section painted a slightly different picture.
400 Aspect ratio, for example, showed differences between groups in the posterior and lateral canals. In
401 both groups, nonetheless, the slender portion of the canals was elliptical, which is consistent with
402 previous reports (Ifediba et al., 2007). By contrast, the measures of solidity and convexity were
403 generally further away from unity in adults, which is indicative of increased irregularity for the
404 internal walls of the bony canals. Broadly, our results showed little organised difference of bony

405 canal shape between perinates and adults. This would seem to support the notion of its functional
406 imperativeness necessitating tighter genetic regulation of form, which in turn supports previous
407 studies showing a close correspondence of form with, for example, population genetics (Ponce de
408 León et al., 2018).

409 Some evidence of heighten variation was also detected between our groups. Whilst there were no
410 differences in the variability of the configuration size (centroid size), we did find that perinates have
411 a more variable anterior canal length compared to adults. This is not consistent with our hypothesis
412 that postnatal disinhibition heralds disordered changes to the bony canal. Adults did have more
413 variable convexity and solidity measures than perinates, especially in the anterior canal, and more
414 variable aspect ratios in some sections of the slender portion as well. It is tempting to suggest that
415 this proves the disinhibition of bone remodelling. However, it is important to note that solidity and
416 convexity measures will have been sensitive to thresholding and interpolation artefacts. In addition,
417 adult variability may also have been exaggerated by the inevitable limitations of our samples.
418 Human material is scarce and therefore the adult group was drawn from several sources to achieve
419 reasonable N values. Unfortunately, the metadata available for these different sources were patchy.
420 We do not know, for example, the exact ages of the adult Liverpool and Wimmer dataset specimens,
421 nor the gender of any of the adults. Consequently, the greater adult variation may be due in part to,
422 for example, differences of ageing, in particular age and gender related oestrogen levels, and other
423 environmental factors that we cannot attribute given the paucity of biometric data available. By
424 contrast, the perinatal cohort was geographically, ontogenetically and chronologically confined, and
425 whilst the causes of premature death were unknown and could have included, for example,
426 malnutrition, recent work on rats suggests that the inner ear remains relatively imperious such
427 factors (Ward et al., 2021). Thus, our data only infers and cannot prove that the adult bony canals
428 were disinhibited in comparison with perinates. Similarly, it is also possible that noise within the
429 populations masked subtler size or shape differences consistent with co-ordinated bone
430 remodelling. Further investigation is thus warranted using, for example, histological techniques to
431 validate microCT data captured for more widely available non-human animal material.

432 Overall, our findings seem to suggest that the remaining osteocytes are sufficient to suppress
433 substantive postnatal size and shape change. This implies that functional changes linked to postural
434 maturation and the transition to bipedalism, which is not completed until around 15 months of age,
435 are predominantly, if not entirely, accommodated by postnatal adjustments of the underlying
436 neurophysiological systems (Ornitz et al., 1979; Dechesne and Sans, 1985). But if the early bony form
437 is good enough and that, for example, the vestibular pathways can be relied upon to adapt the signal
438 encoding to accommodate a range of functions, why inhibit bone remodelling and constrain that

439 bony form in the first place? A possible explanation is that we are looking in the wrong place for the
440 associated form changes. In our study, we could only examine bony labyrinths. It is possible that
441 postnatal functionally related adjustments are reflected more so in the morphology of the
442 membranous labyrinth, where signal mechanotransduction occurs. The membranous part adheres
443 to the outermost internal surface of the bony canal and the lengths should be closely correlated. It
444 may therefore be the case that bony morphology is constrained to maintain the length of the
445 membranous canals within certain tolerances. Indeed, we may have understated the potential
446 importance of the small but significant postnatal increase in the length of the bony, and presumably
447 of the membranous, anterior semicircular canal, which is particularly sensitive to movements in the
448 vertical plane and whose lengthening has been linked to the evolution of human bipedalism (Spoor
449 et al., 1994; Fitzpatrick et al., 2006). In addition, there is scope for form-function modifications of the
450 cross-sectional geometry of the membranous canal to go unnoticed because it occupies less than
451 10% of the bony canal lumen with the remaining space filled with perilymph and a fibroblast
452 trabeculae (Curthoys et al. 1977). In humans the space forms rapidly in-utero and lines the full length
453 of each membranous canal by around 13 weeks in-utero (Ishikawa et al., 2018). Remarkably, the role
454 of this space remains elusive with several theories falling foul of observations of its microanatomical
455 structure and incidence among extant taxa of varying body sizes, phylogenies and modes of
456 locomotion (Gray, 1908; Curthoys, Markham and Curthoys, 1977). Clearly, more ontogenetic and
457 comparative work is warranted to investigate the life-course extent or limit of phenotypic plasticity
458 of the membranous canal in relation to its bony counterpart.

459 Conclusions

460 Our study highlights diminutive changes of canal size (centroid size and anterior canal length) but no
461 notable differences of overall shape nor increased variability in these parameters amongst adults.
462 Differences in variance observed in the cross-sectional shape of the canals tentatively support our
463 hypothesis of entropic disinhibition of bone remodelling among adults, although whole labyrinth
464 variance was not different from perinates. In general, the overall shape and the ellipsoidal cross-
465 sectional shape of the slender portion of the canals is achieved prenatally and conserved through
466 life. That the bony canals form so early during development and seemingly remain impervious to
467 functional milestones such as the maturation of obligatory bipedalism seems extraordinary.

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470 our project. Thanks to Dominik Thomas Schmidbauer for kindly walking us through his original code
471 for re-slicing the canals in Amira.

472 Author contributions

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474 Data acquisition, data analysis, data interpretation, drafting of the manuscript.

475 Jeffery, N

476 Data acquisition, drafting of the manuscript, critical revision of the manuscript.

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608

609 Tables

610 *Table 1: Samples details by group and dataset. Further information on each of the specimens is available as a supplementary*
611 *table.*

Group – dataset	Age (years)	Sex & N	Spatial resolution (μm)	Configuration analysis	Cross- sectional analysis
--------------------	-------------	---------	--	---------------------------	---------------------------------

Perinates – Liverpool	< 0.5	Female: 6 Male: 9 Unknown: 33	~13	Yes	Yes
Adult – Liverpool	Unknown	Unknown: 17	~ 13	Yes	Yes
Adult – Cambridge	68 to 98	Unknown: 16	~ 25	Yes	Yes
Adult – Wimmer	Unknown	Unknown: 15	~ 61	Yes	No

612

613 *Table 2: SCC landmarks and description. All landmarks are placed on the centrelines generated by the skeletonization*
614 *process.*

Canal	No.	Landmark description	Landmark type
Anterior (ASC)	1	Centre of the ampulla of the ASC	Fixed
	2	Slender portion of the canal meets the ampulla	Fixed
Posterior (PSC)	3	Centre of the ampulla of the PSC	Fixed
	4	Slender portion of the canal meets the ampulla	Fixed
Lateral (LSC)	5	Centre of the ampulla of the LSC	Fixed
	6	Slender portion of the canal meets the ampulla	Fixed
Common crus (CC)	7	Common crus as it meets the vestibule	Fixed
ASC	8	Anchor anterior (ampullated side meets vestibule)	Fixed
	9-18	10 equidistant points across the canal.	Sliding
	19	Anchor anterior (common crus bifurcation)	Fixed
PSC	20	Anchor posterior (ampullated side meets vestibule)	Fixed
	21-30	10 equidistant points across the canal.	Sliding
	19	Anchor posterior (common crus bifurcation)	Fixed
LSC	31	Anchor lateral (ampullated side meets vestibule)	Fixed
	32-41	10 equidistant points across the canal.	Sliding
	42	Anchor lateral (non-ampullated side meets vestibule)	Fixed

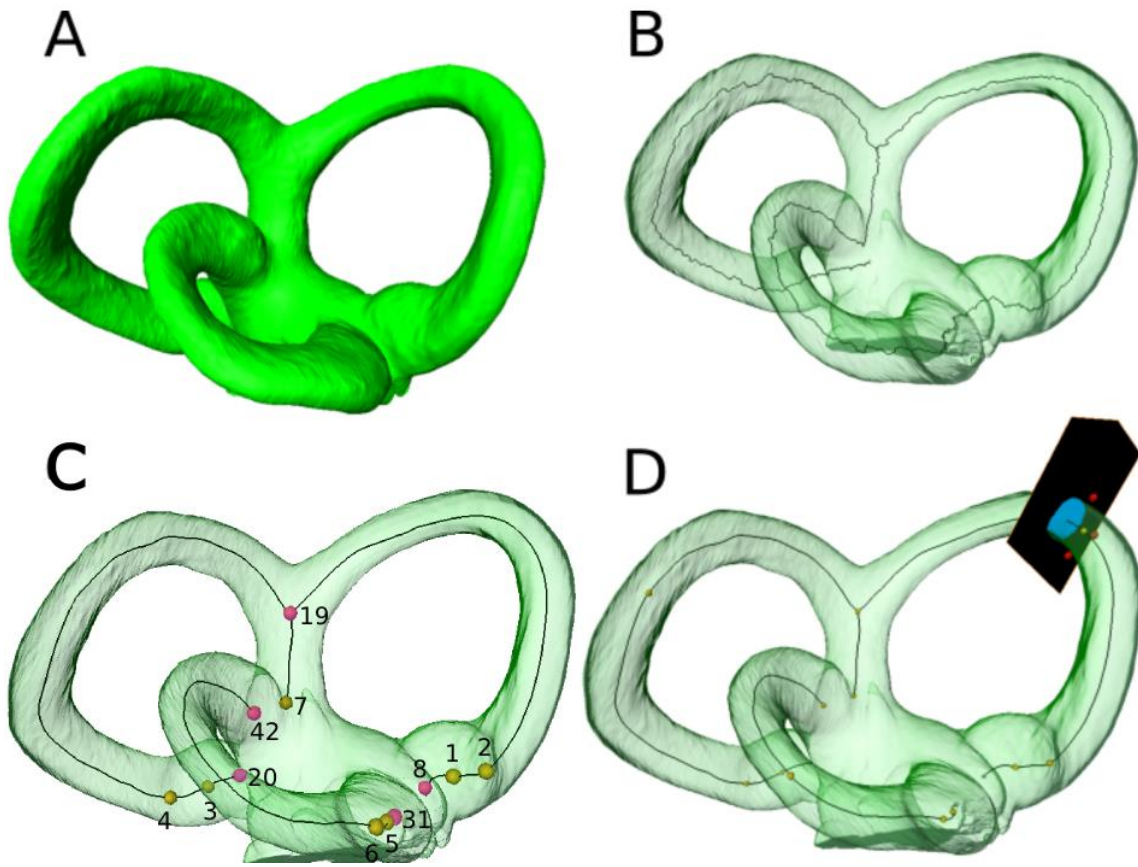
615

616 *Table 3: Semicircular canal length mean, median and coefficient of variation. Perinates and adults are compared using a t-*
617 *test (difference of means), a Mood's test (difference of medians), and an F test (difference in variation).*

Canal	Mean (mm)			Median (mm)			Coefficient of variation		
	Adult	Perinate	P value	Adult	Perinate	P value	Adult	Perinate	P value
Anterior	14.867	14.107	0.000479	14.962	14.181	0.0163	0.0531	0.0804	0.0259
Posterior	16.025	16.182	0.656	16.299	16.242	0.828	0.106	0.0912	0.361
Lateral	12.322	11.862	0.0518	12.335	11.984	0.050	0.080	0.0983	0.305

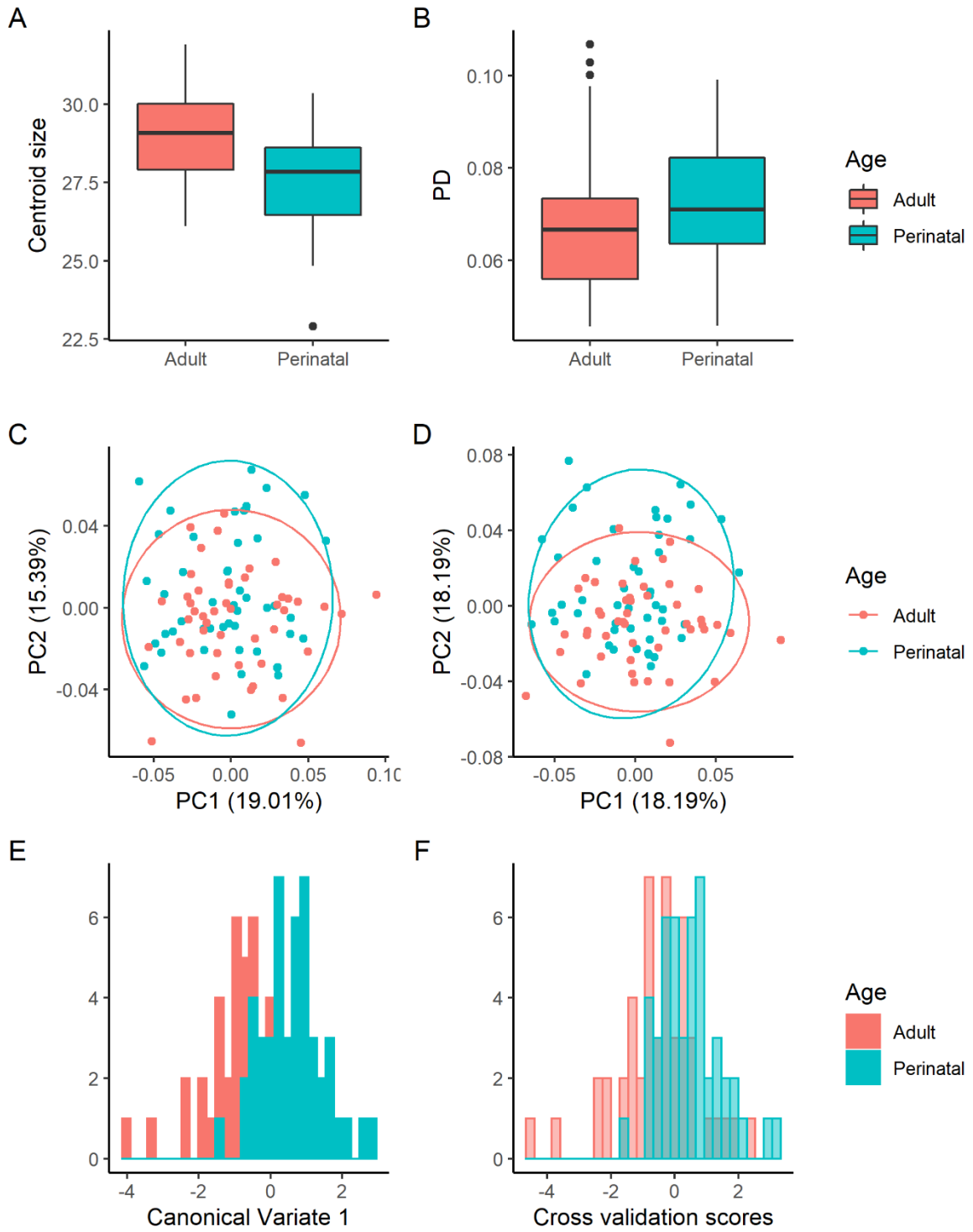
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619 Figures



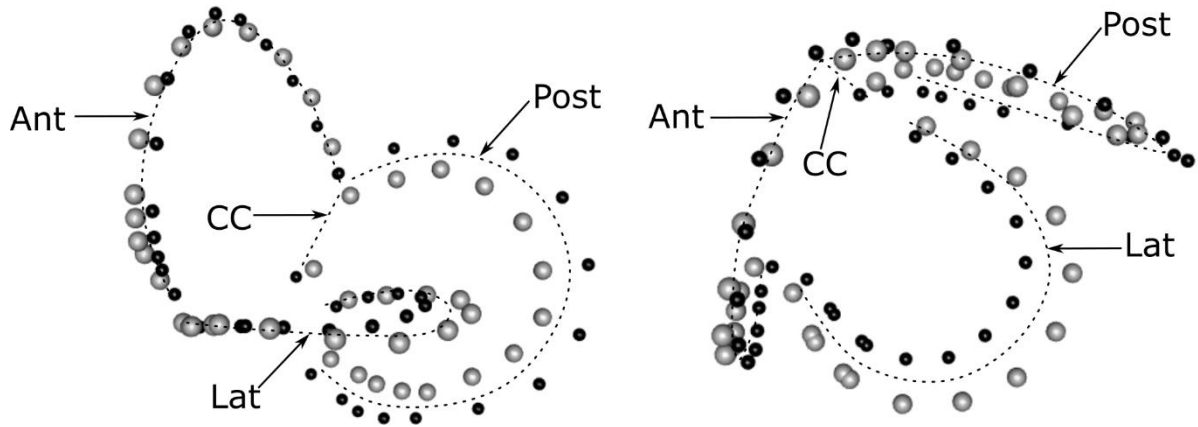
620

621 *Figure 1: Segmentation of the SCC endocasts to calculate centrelines. A: A surface map generated from a completed*
622 *segmentation of the three canals and the superior portion of the vestibule. B: Centrelines calculated using the skeletonization*
623 *procedure (distance ordered thinner and trace lines). C: Centrelines are smoothed and cropped at the points where the canals*
624 *meet the vestibule. Landmarks are placed along the centreline of the canals. In yellow: fixed landmarks. In pink: fixed*
625 *landmarks used as anchors for sliding landmarks. D: the centrelines are used to generate perpendicular slices along the*
626 *length of the canals. Section in blue represents the segmented bony canal, which is then used for the analyses.*



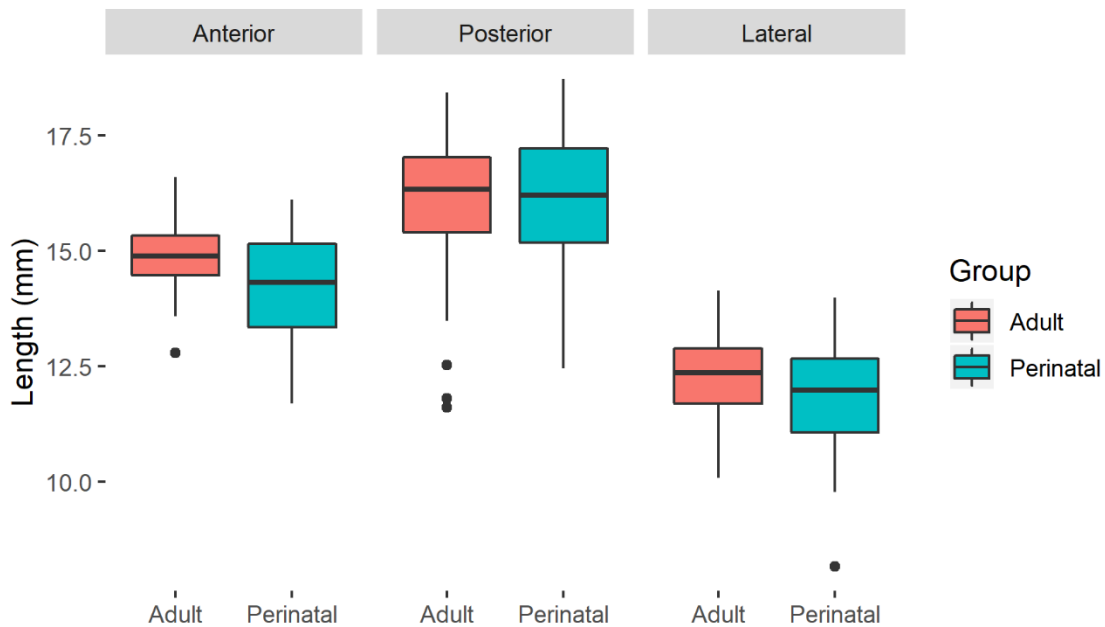
627

628 *Figure 2: GMM analysis of SCC. A: Centroid size by age group (mm). B: Procrustes distance (PD) from shape*
 629 *configuration mean by group. C: PCA (PCs 1 and 2) from original shape variables. D: PCA (1 and 2) of allometry-corrected*
 630 *shapes. PCA plots show 90% confidence ellipses of population means. E: Canonical variate 1 by age group. F: Cross*
 631 *validation scores from CVA using leave-one-out procedure.*



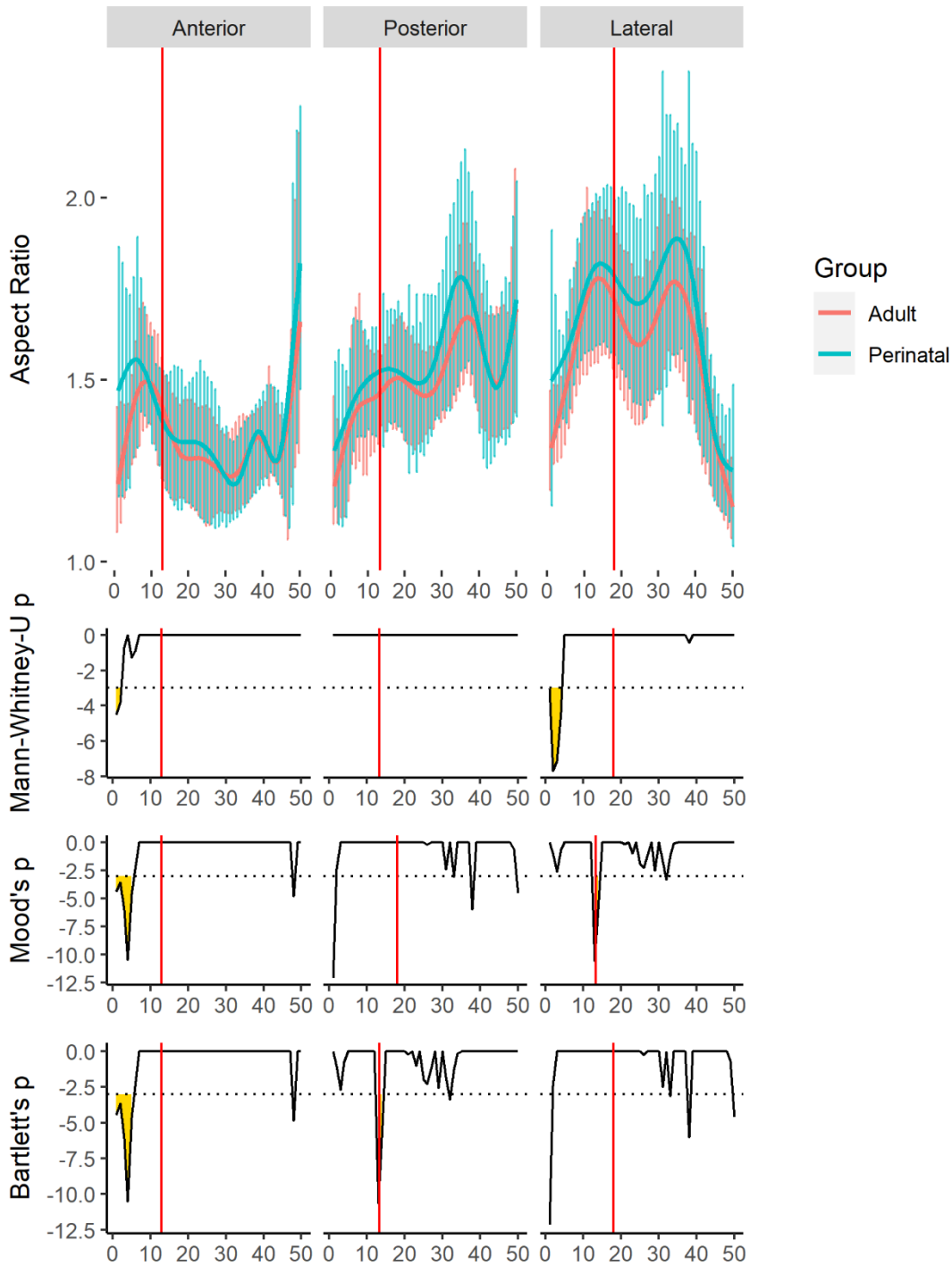
632

633 *Figure 3: Shape changes along principal component 1. Black dots represent extreme values at PC1 min, and grey dots*
 634 *represent extreme values at PC1 max. Dotted lines illustrate general shape of the canals for ease of visualisation. The*
 635 *greatest changes are seen in the size of the posterior and lateral canals, with minimal changes to the anterior canal. NB these*
 636 *changes do not represent actual specimens, and neither group localised to a particular region of PC1.*



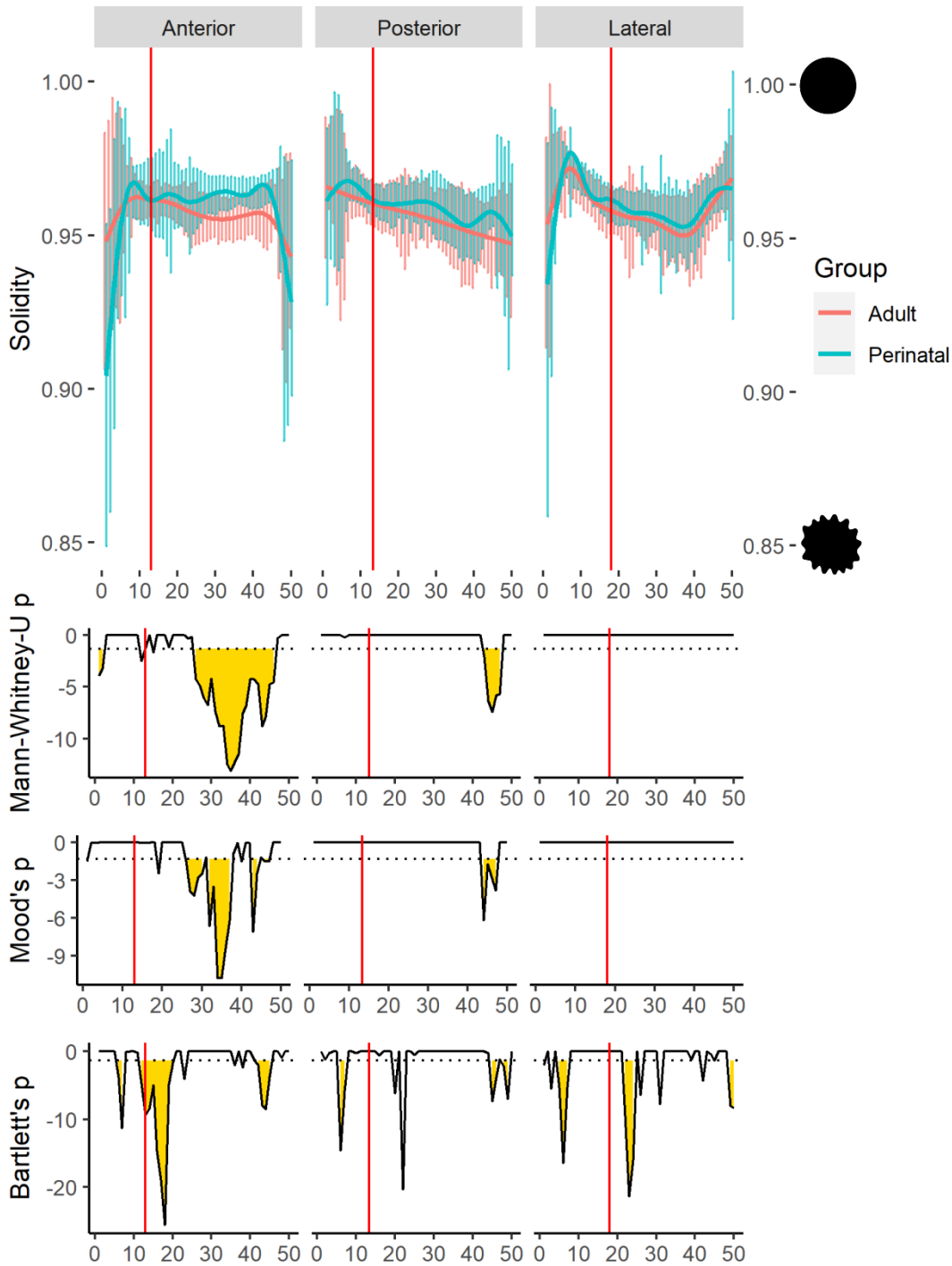
637

638 *Figure 4: Centreline length. Lengths were calculated by computing Euclidian distances between each point in the centreline*
 639 *of the canals. Centrelines were trimmed at the point where each canal met the vestibule and/or the common crus. Only the*
 640 *anterior canal showed significant differences between group means and medians (Refer to Table 3).*



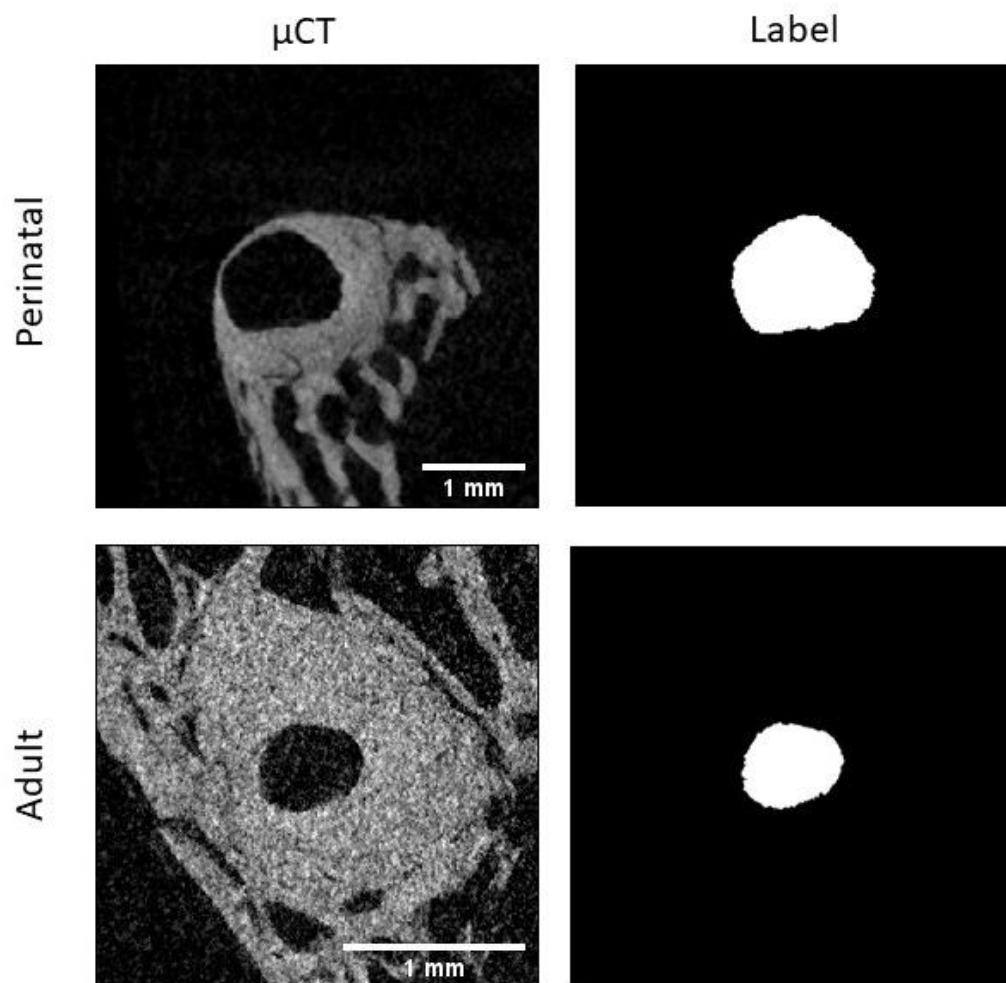
641

642 *Figure 5: Aspect Ratio. Each canal represented by 50 bins, which span the length of the canal, from the ampulla to the*
 643 *vestibule or the common crus. Vertical red lines represent the average ampulla start. Top: Solid lines represent the smoothed*
 644 *mean for each group. Vertical coloured lines are the standard deviations each group bin. Bottom: log p values for Mann-*
 645 *Whitney-U, Mood's and Bartlett tests for differences between adult and perinate bin means, medians and variances,*
 646 *respectively. Horizontal dotted line represents log 0.05 and yellow area under the curve highlights bins with statistically*
 647 *significant differences ($p < 0.05$).*



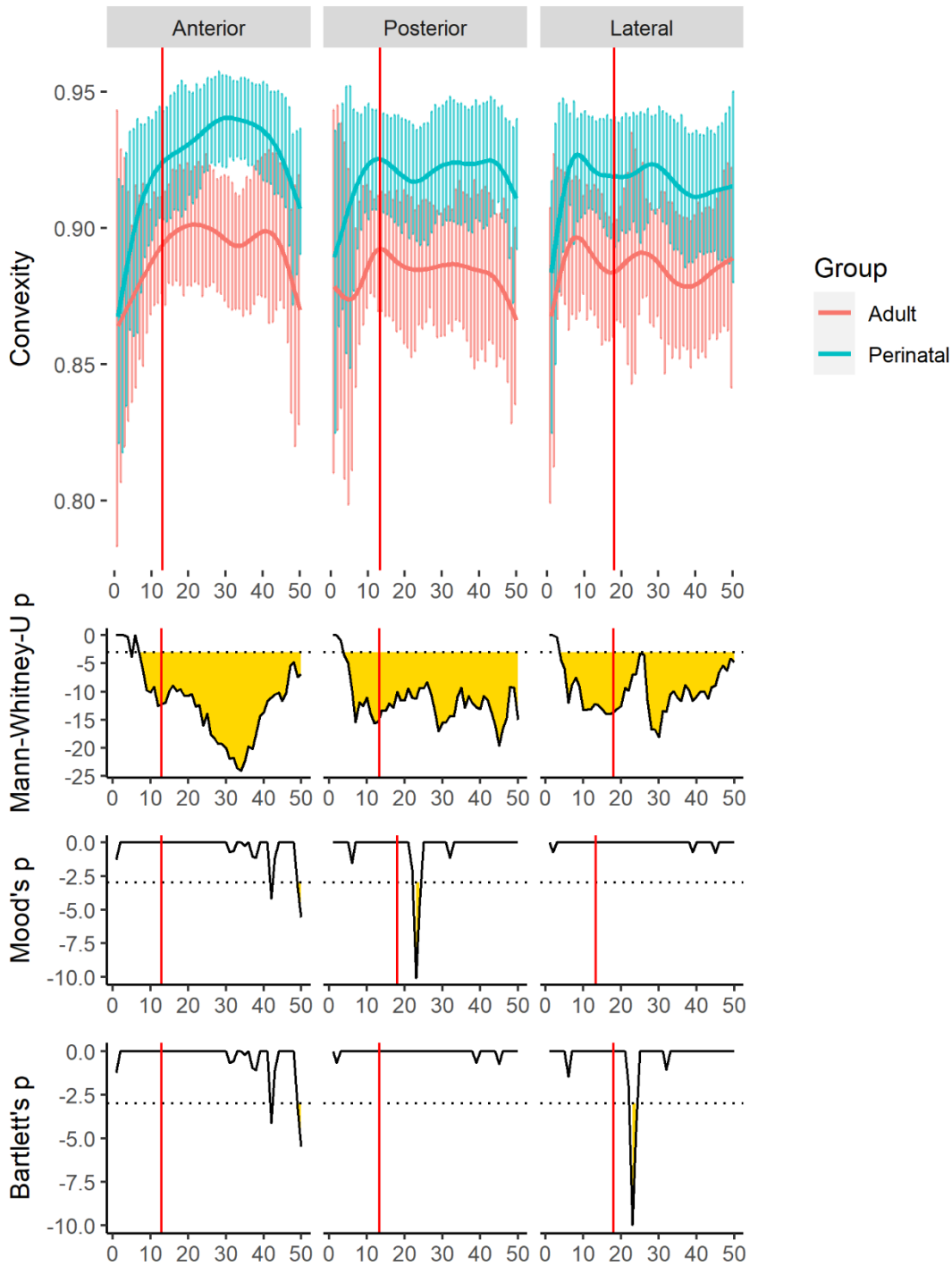
648

649 *Figure 6: Solidity. Each canal represented by 50 bins, which span the length of the canal, from the ampulla to the vestibule or*
 650 *the common crus. Vertical red lines represent the average ampulla start. Top: Solid lines represent the smoothed mean for*
 651 *each group. Vertical coloured lines are the standard deviation for each group bin. Bottom: log p values for Mann-Whitney-U,*
 652 *Mood's and Bartlett tests for differences between adult and perinate bin means, medians and variances, respectively.*
 653 *Horizontal dotted line represents log 0.05 and yellow area under the curve highlights bins with statistically significant*
 654 *differences (p<0.05).*



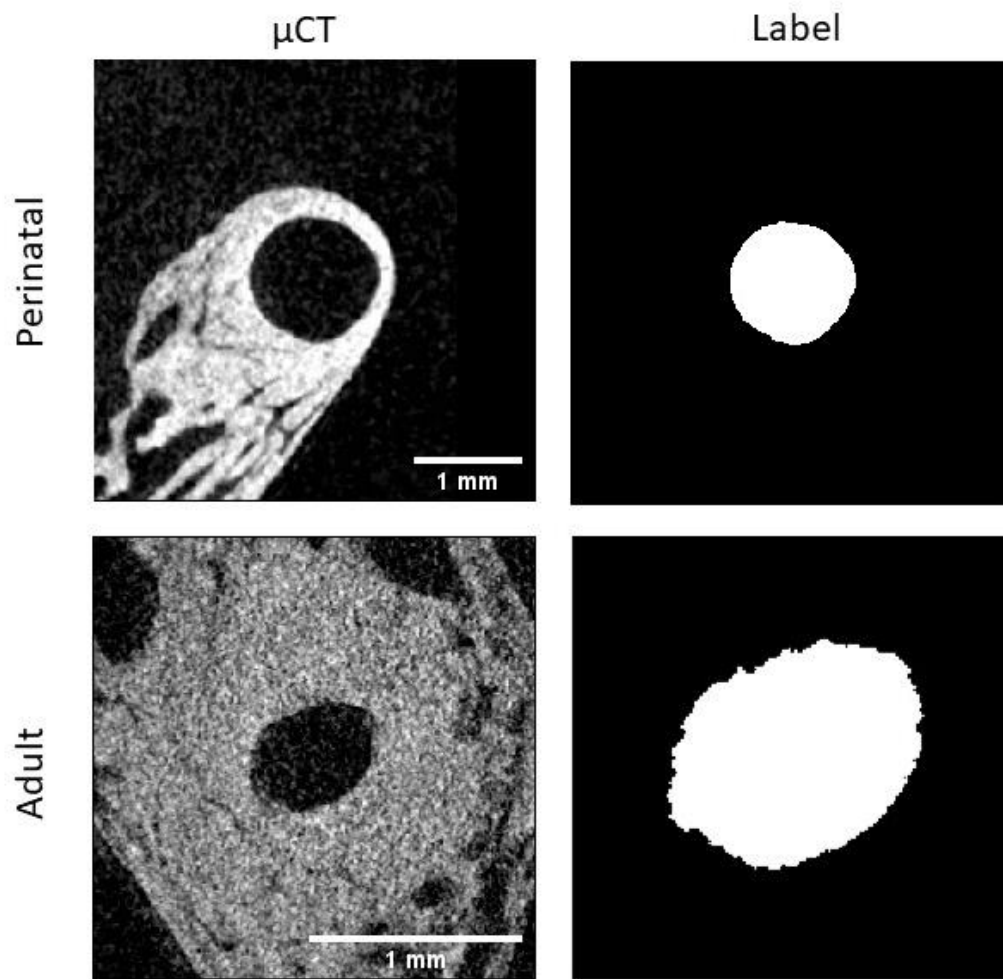
655

656 *Figure 7: microCT and labelmap of an adult and perinatal specimen at bin 35 of the anterior canal. The solidity measures*
 657 *were 0.96 and 0.95 for the perinatal and adult, respectively.*



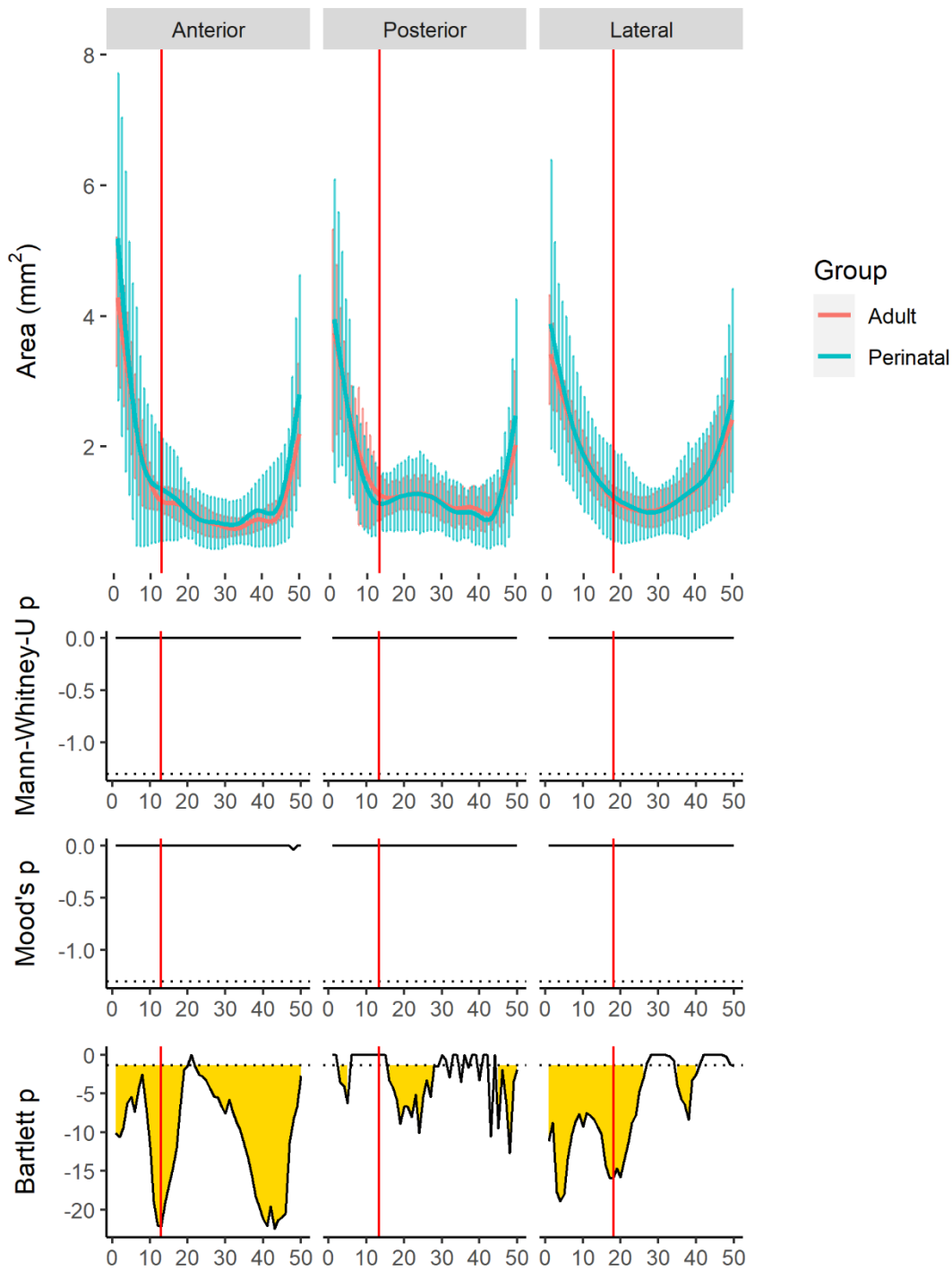
658

659 *Figure 8: Convexity. Each canal represented by 50 bins, which span the length of the canal, from the ampulla to the vestibule*
 660 *or the common crus. Vertical red lines represent the average ampulla start. Top: Solid lines represent the smoothed mean for*
 661 *each group. Vertical coloured lines are the standard deviation each group bin. Bottom: log p values for Mann-Whitney-U,*
 662 *Mood's and Bartlett tests for differences between adult and perinate bin means, medians and variances, respectively.*
 663 *Horizontal dotted line represents log 0.05 and yellow area under the curve highlights bins with statistically significant*
 664 *differences ($p < 0.05$).*



665

666 *Figure 9: microCT and labelmap of an adult and perinatal specimen at bin 30 of the anterior canal. The convexity measures*
 667 *were 0.97 and 0.86 for the perinatal and adult, respectively.*



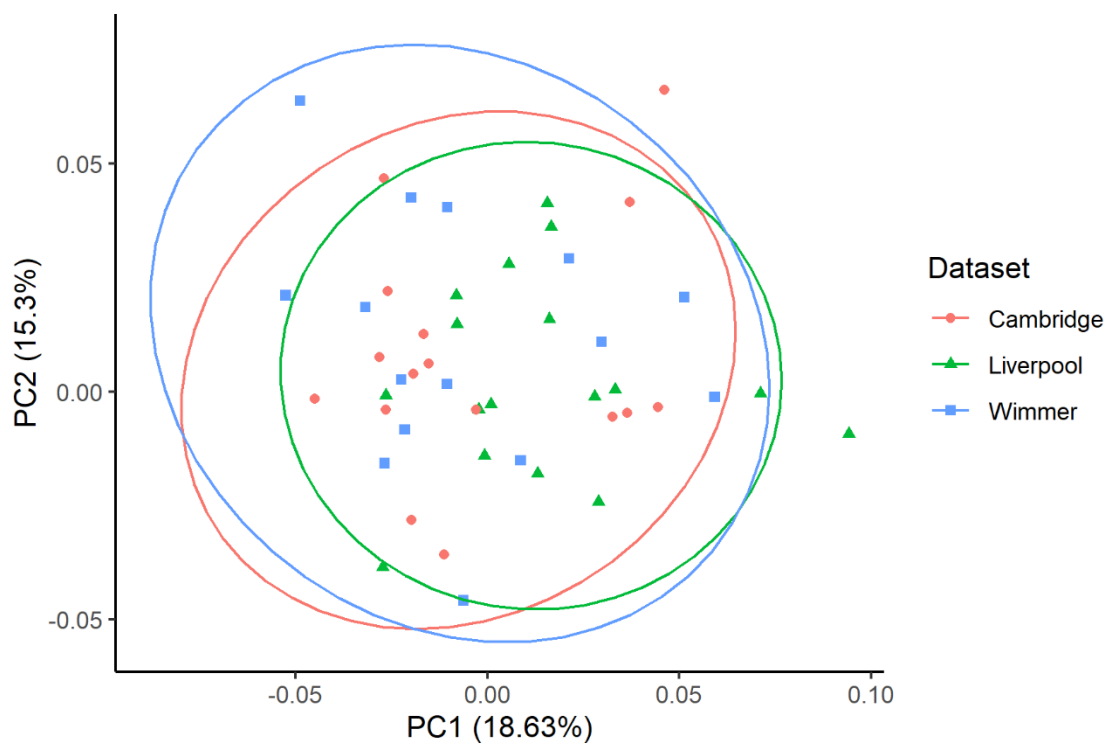
668

669 *Figure 10: Cross-sectional area. Each canal is shown in 50 different bins, which represent the length of the canal, from the*
 670 *ampulla to the vestibule or the common crus. Vertical red lines represent the average ampulla start. Top: Solid lines*
 671 *represent the smoothed mean for each group. Vertical coloured lines are the standard deviation each group bin. Bottom: log p*
 672 *values for Mann-Whitney-U, Mood's and Bartlett tests for differences between adult and perinate bin means, medians and*
 673 *variances, respectively. Horizontal dotted line represents log 0.05 and yellow area under the curve highlights bins with*
 674 *statistically significant differences ($p < 0.05$).*

675 Supplementary material

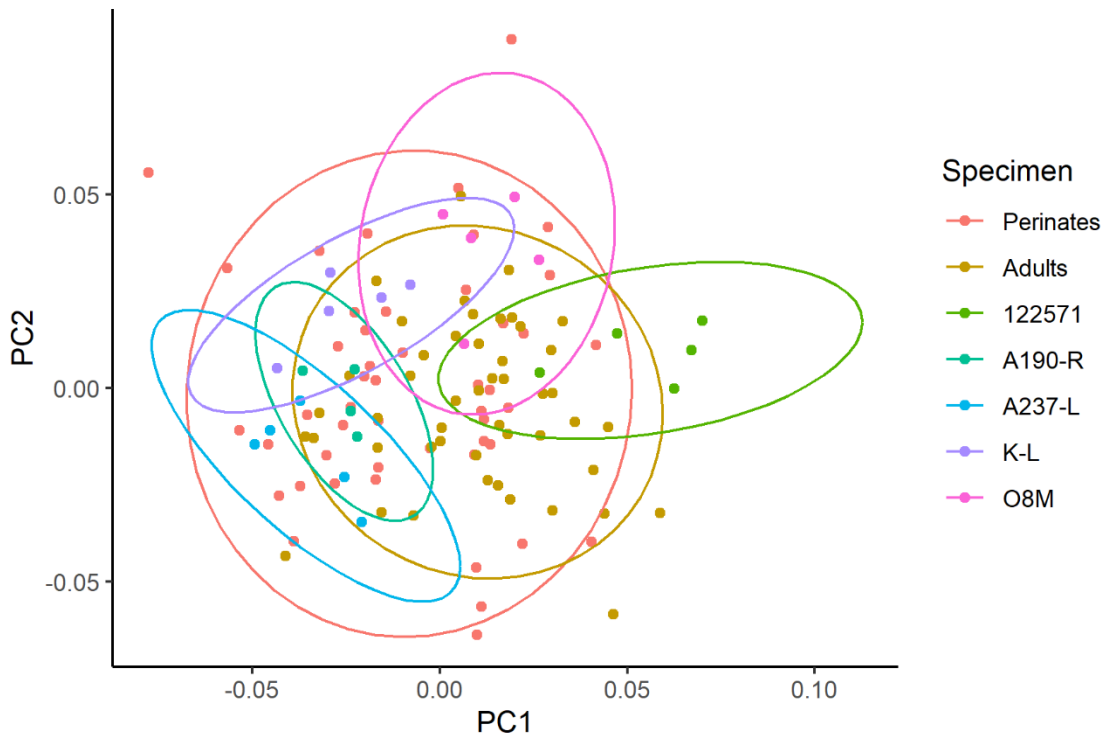
676 The raw landmark data and the cross-sectional measures for all specimens in this study can be found
677 at <https://doi.org/10.5281/zenodo.4818568> .

678 Supplementary Figures captions



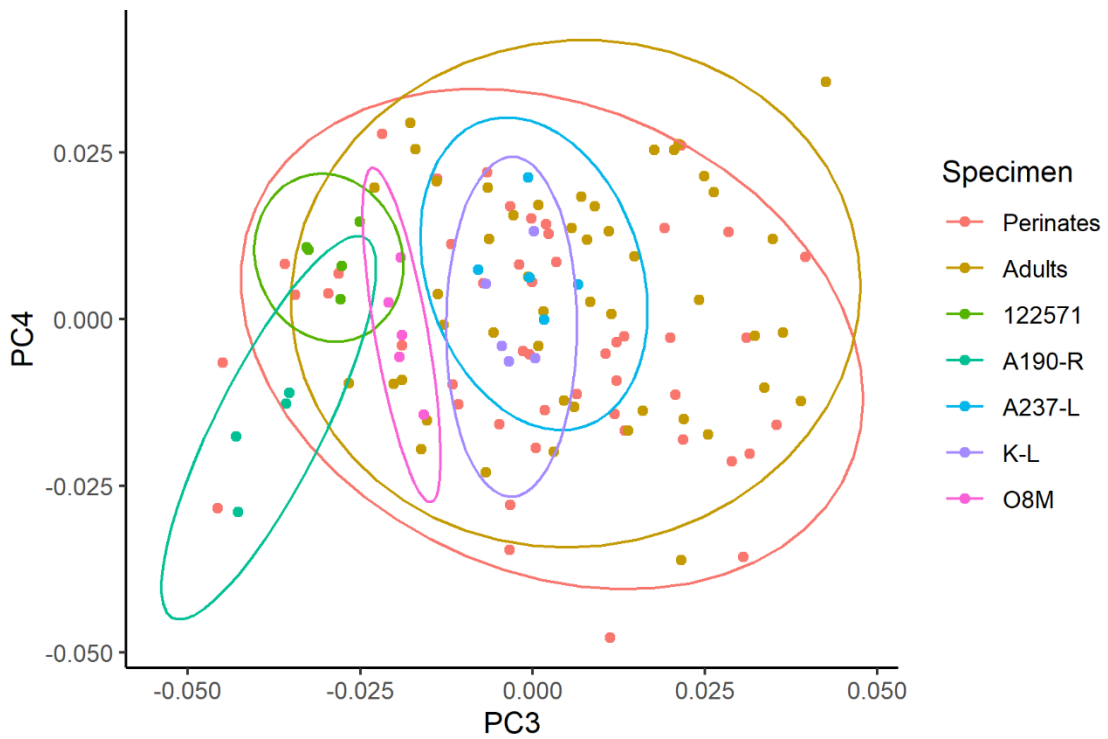
679

680 SF1: Adult morphospace distribution along PC1 and PC2 by dataset coded for dataset. Ellipses
681 represent 95% CI.



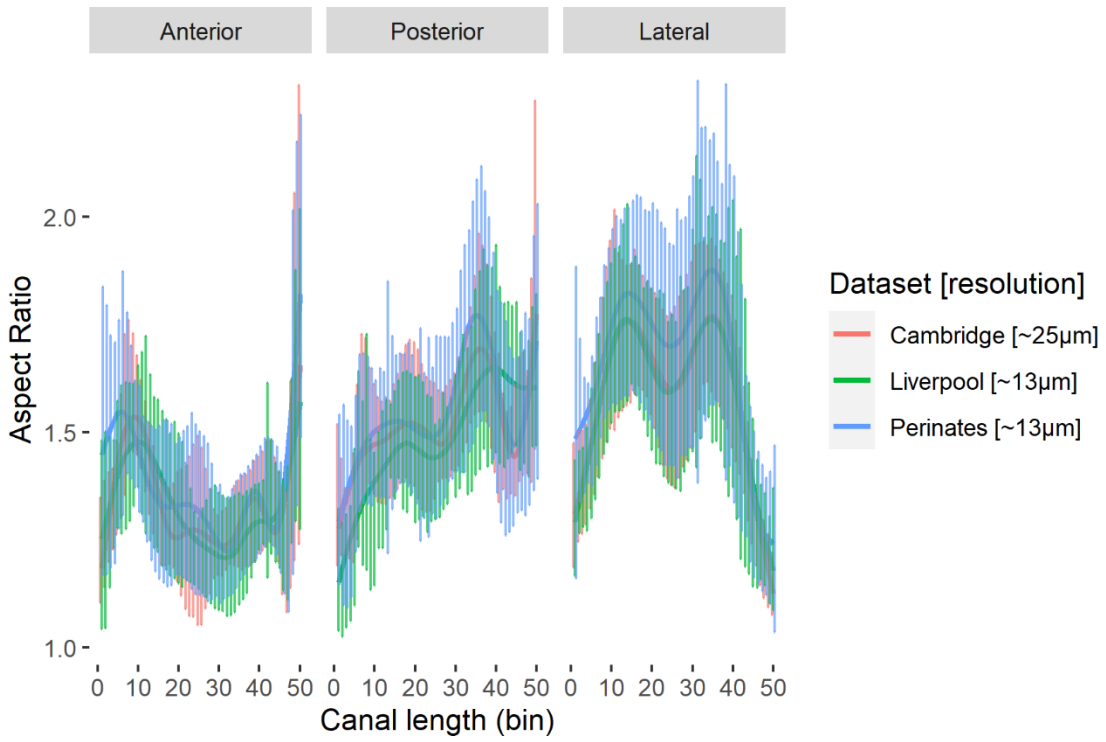
682

683 SF2: PC1 and PC2 of configuration shapes with repeated specimens. Ellipses represent 95% CI.



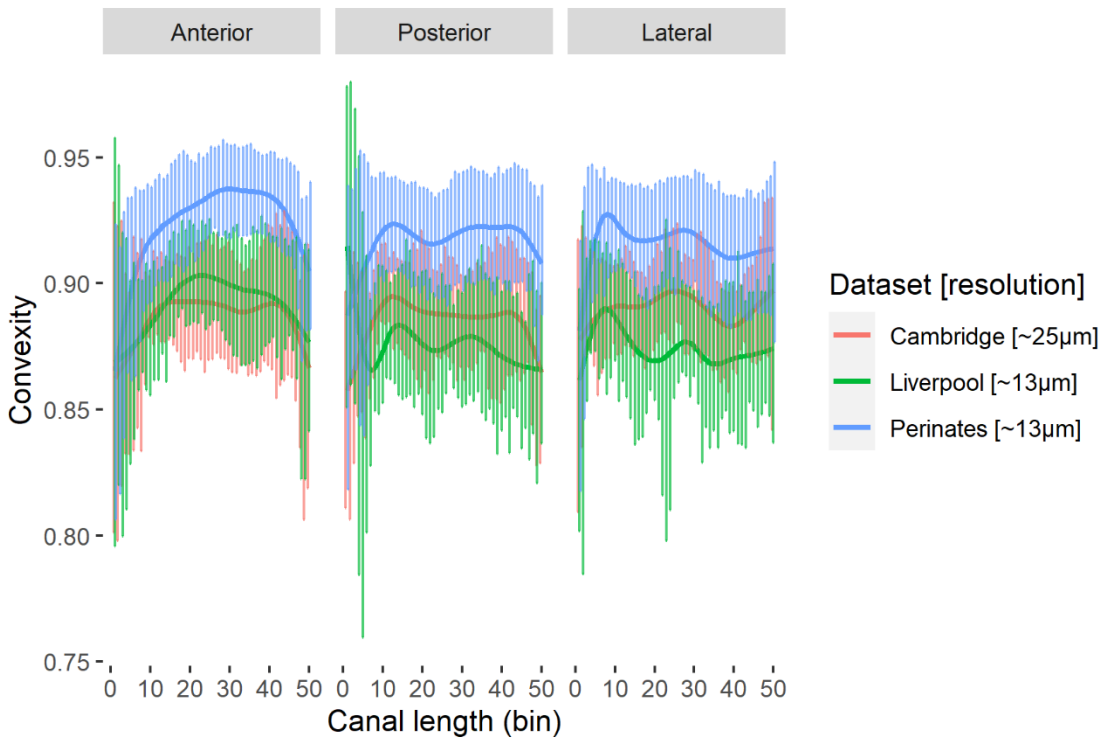
684

685 SF3: PC3 and PC4 of configuration shapes with repeated specimens. Ellipses represent 95% CI.



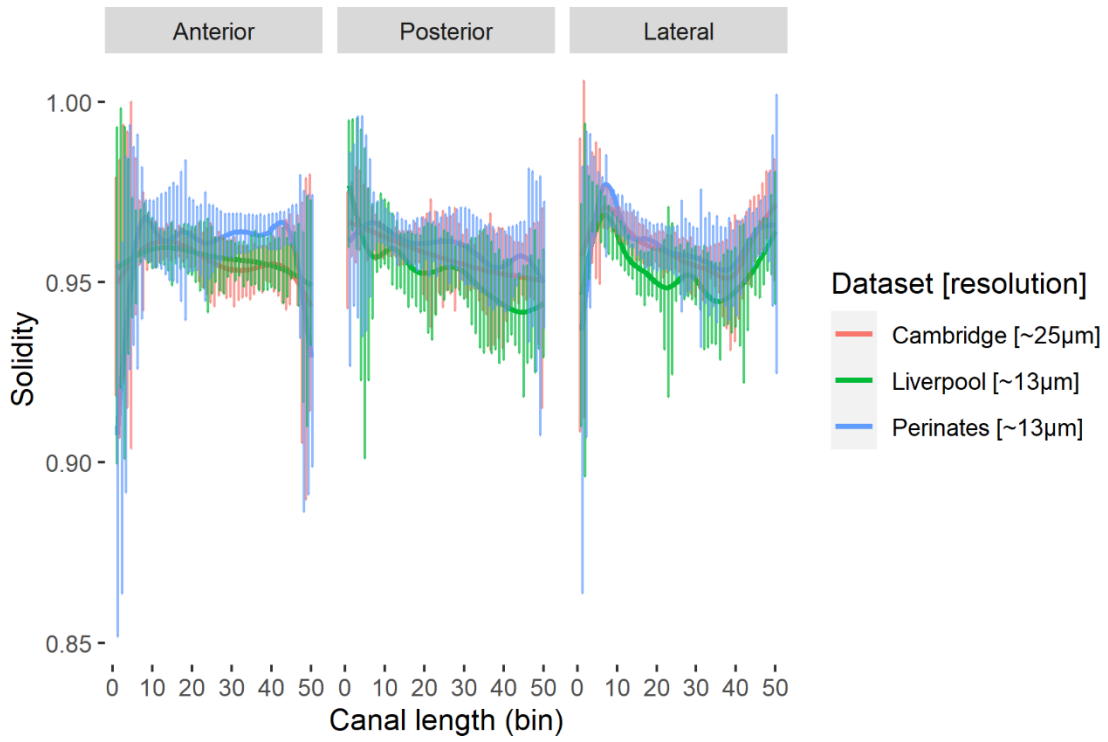
686

687 SF4: Aspect ratio. Results are grouped by dataset.



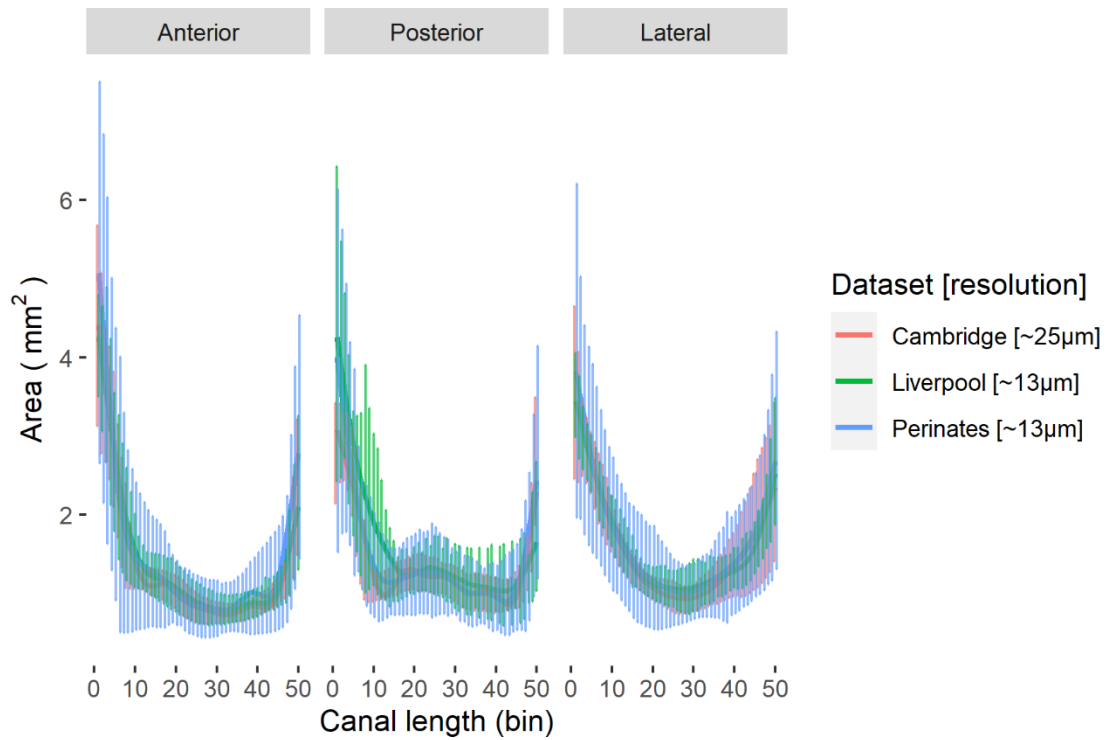
688

689 SF5: Convexity. Results are grouped by dataset.



690

691 SF6: Solidity. Results are grouped by dataset.



692

693 SF7: Cross-sectional area. Results are grouped by dataset.