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Experimental studies investigating the impact of traditional dried fruits consumed as snacks on food intake, experience of appetite and body weight

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Keywords:	prunes, raisins, snacking, weight loss, appetite, bodyweight
Abstract:	<p>This study investigated the impact of dried fruits on weight control. Phase 1 examined the effects of prunes and raisins on appetite. Phase 2 examined whether prunes are beneficial in a weight loss programme.</p> <p>Phase 1 followed a pre-load design. Compared with a control condition (100g/335kcal jelly babies), the effect on appetite of equi-weight or equi-caloric snacks of prunes (100g or 140g) and raisins (100g or 111g) was assessed. The 12-week intervention study (phase 2) followed a randomised, between-subjects design. Prunes (females: 140g, males: 171g/day) replaced usual snacks while following a weight loss programme. The active control group followed the same programme and was instructed on healthy snacking.</p> <p>Phase 1 showed a significant effect of condition on food intake (significantly lower grams intake in the 140g prune group), and on AUC fullness due to greater AUC fullness in the 140g prune condition vs control).</p> <p>In phase 2 a significant reduction in mean body weight in the prune group vs baseline was consistent with the phase 1 evidence that prunes can aid appetite control. Prunes did not produce a detrimental effect on mean weight loss over 12 weeks vs control (prune group: -1.99kg;</p>

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	<p>active control: -1.53kg), the between-group difference being non-significant. Mean weight loss diverged after week 8 when a trend for greater weight loss in the prune group was identified. The daily intake of prunes was well tolerated.</p> <p>Including prunes in a weight loss intervention produced beneficial changes in appetite and body weight. Future studies should investigate longer-term effects.</p>

TITLE PAGE**Experimental studies and randomised trial investigating the impact of traditional dried fruits consumed as snacks on food intake, experience of appetite and body weight**

Short Running Title: Dried fruit snacks, appetite and body weight

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Jo Harrold contributed to protocol development, data collection and the manuscript; Michele Sadler drafted and finalised the manuscript and commented on the study protocol; Georgina Hughes contributed to protocol development, data collection and the manuscript; Emma Boyland contributed to protocol development, collected data, conducted preliminary analyses and contributed to the manuscript; Nicola Williams and Rory McGill contributed to data collection and commented on the manuscript; Food To Fit Ltd developed the diet resources not available independently, based on standard dietetic advice, provided training and standardised tools to the research team for delivery of the group dietary-advice sessions, and contributed to the manuscript; Jason Halford co-designed the study, reviewed the analysis,

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Abstract

Two studies investigating the impact of dried fruits eaten as a snack on weight control were designed to examine the effects of prunes and raisins on appetite (phase 1), and whether prunes undermine weight loss when included in a structured weight loss programme (phase 2). Phase 1 compared the effect on appetite of equi-weight or equi-caloric snacks of prunes (100g or 140g) and raisins (100g or 111g) with a control condition (100g/335kcal jelly babies), in a pre-load, cross-over design (n=40 analysed). A significant effect of condition on food intake was observed, with significantly lower weight of food consumed in the 140g prune group vs control, and on AUC fullness, due to a greater effect in the 140g prune group vs control. In phase 2, change in body weight and waist circumference were measured in a 12-week randomised, parallel groups intervention study (n=100 analysed, 50 per group). Prunes (females: 140g, males: 171g/day) replaced usual snacks while following a weight loss programme. The active control group followed the same programme and participants were instructed on healthy snacking. A significant reduction in mean body weight in the prune group vs baseline was consistent with the phase 1 evidence that prunes can aid appetite control, although it could also be explained by overall diet in the context of a structured weight loss programme. Prunes did not produce a detrimental effect on mean weight loss over 12 weeks vs control (prune group: -1.99kg; active control: -1.53kg), or on decrease in waist circumference (prune group: -2.40cm; active control: -1.74cm). No additional benefit on weight loss was seen (between-group difference was non-significant). The daily intake of prunes was well tolerated. Phase 1 demonstrated that prune snacks produced beneficial changes in appetite. Phase 2 demonstrated that prunes did not undermine weight management, and this warrants further study.

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For Review Only

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Keywords

Prunes, raisins, snacking, weight loss, appetite, body weight

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Introduction

Snack foods can be substantial contributors to daily energy intake and therefore play a significant role in weight management. Preload studies have demonstrated that the type of food consumed, macronutrient content, energy density and volume have differential effects on satiety and subsequent energy intake. For example, high-carbohydrate snacks are more likely to promote lower subsequent energy intake than high-fat snacks (Green et al. 2000), and low-fat snacks have been related to an overall reduction in dietary fat intake (Lawton et al. 1998). It has also been suggested that energy-dense, highly palatable, high-sugar snacks, particularly beverages, may undermine appetite control, both during and after consumption (Martin et al. 2015; Zheng et al. 2015).

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It is generally advised that eating fresh fruit as a snack may help weight management by promoting satiety (Dreher 2018). Fresh fruit is generally bulky, with a high content of water and a low energy content compared with other snack foods. Some types of fruit have also been shown to suppress glucose and insulin responses (Esfahani et al. 2010) and provide a good source of dietary fibre. Fibres exert effects on appetite through changes in gastric emptying rate (Benini et al. 1995) and/or the release of gut hormones GLP-1 and PYY, which may be related to colonic fermentation (Chambers et al. 2015). Additionally, fibre gives sensory characteristics to foods including fruit, which may slow intake and deter over-consumption through the development of satiation. However, weight loss interventions orientated towards decreasing the energy density of the diet through increased fruit (including

88 dried fruit) and vegetable consumption have shown inconsistent effects on weight loss
89 (Shintani et al. 1991) and weight maintenance (Greene et al. 2006) with some even resulting
90 in weight gain (Houchins et al. 2013).

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92 The form in which fruit is consumed can influence its impact on satiety (Flood-Obbagy et al.
93 2009). Fruit juices are relatively low in fibre and less satiating than whole fruits, regardless
94 of energy content (Bolton et al. 1981). In contrast, drying fruit increases chewiness and oral
95 viscosity, and naturally concentrates the inherent nutrients, including fibre. On a weight
96 basis, drying fruit also naturally increases energy density (Cesarettin & Fereidoon 2013).
97 Thus, dried fruits are often excluded from dietary advice aimed at promoting health and
98 successful weight management (BHF 2009). In practical terms however, the energy content
99 per individual fruit piece remains the same for dried as for fresh fruit. Ultimately, compared
100 with their bulky and comparatively more expensive fresh counterparts, dried fruit provides a
101 convenient and economical source of fibre and other micronutrients.

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103 These differences have led to the suggestion that dried fruit should be studied independently
104 from juices and fresh fruit in order to determine their individual effects on satiety, energy
105 intake and body weight (Rolls et al. 2004). However, research to date has been limited.
106 Prunes (dried plums) have traditionally been considered a satiating snack, and consistent with
107 this Furchner-Evanson et al (2010) demonstrated reduced hunger ratings (using visual satiety
108 index scales) following consumption of a prune-based snack compared with a cookie-based
109 snack matched for sensory, energy and other nutritional characteristics.

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111 Since the impact of consuming dried fruits on weight control has not been thoroughly
112 investigated, it is currently unknown whether their inclusion in a weight loss diet specifically

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3 113 undermines weight control and whether advice to prohibit them is warranted. It has also been
4
5 114 suggested that prunes given in sizable doses and for prolonged periods can cause gastro-
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7 115 intestinal distress, especially in low fibre consumers. However, few studies have examined
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9 116 the effects of long-term prune consumption in low fibre consumers.
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14 118 An investigation of dried fruit eaten as a snack was therefore undertaken. The research was
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17 119 programmed in two phases - firstly to examine the effects of traditional dried fruits (prunes
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19 120 and raisins) on appetite (phase 1 – acute study, within-subjects crossover design) and
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21 121 secondly to examine the hypothesis that prunes do not undermine weight loss when included
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23 122 in a structured weight loss intervention (phase 2 - weight loss, parallel groups). The
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25 123 inconsistent results found in previous weight loss interventions with fruit suggest that the
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27 124 overall dietary advice given as part of the trial is important, in addition to energy
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29 125 displacement by substitution with fruit. A structured weight management approach was
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31 126 therefore taken.
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37 128 The aims of phase 1 were to measure subjective ratings of satiety following ingestion of
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39 129 prunes and raisins as a snack food, to objectively measure subsequent food intake and to
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41 130 determine an appropriate daily intake for the weight loss study. The aims of phase 2 were to
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43 131 measure changes in body weight and investigate the potential for sustained changes in
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45 132 appetite ratings and energy intake in the context of a 12-week weight loss programme
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47 133 incorporating prunes in place of energy-dense snacks. A further aim was to test whether low
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49 134 fibre consumers could tolerate the inclusion of prunes in their diet for a 12-week period.
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136 **Materials and Methods**

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3 137 All protocols and study forms were approved by the University of Liverpool Committee on
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5 138 Research Ethics (generic protocol RETH000565) and by the School of Psychology Ethics
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8 139 Committee (under references PSY-1112-055 and PSYC-1011-080). The studies conformed to
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10 140 the British Psychological Society Code of Practice and were in line with the relevant sections
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12 141 of the Declaration of Helsinki. All participants gave informed consent and received financial
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14 142 compensation for their participation.
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19 144 **Phase 1 - Acute Study**

21 145 The methodology for the acute study was based on a pre-load design, which was adapted by
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23 146 the research team in Liverpool to provide a bespoke final protocol appropriate for
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26 147 investigating the effects of dried fruits.
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31 149 ***Participants***

33 150 Recruitment to the study was via advertisement from the University of Liverpool and
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35 151 surrounding area of Merseyside in the north west of England. Potential participants received
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37 152 detailed information on the protocol and were screened no more than 21 days before
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39 153 commencing the study. Exclusion criteria included: age<18 or >65 years; BMI <25 kg/m² or
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41 154 >34.99 kg/m²; pregnant, planning to become pregnant or breastfeeding; significant health
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43 155 problems (cardiac or blood pressure problems; stomach, bowel or digestive problems e.g.
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45 156 coeliac's disease; liver or kidney disease; diabetes); gastro-intestinal problems; previous
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47 157 bariatric surgery; known food allergies or food intolerance; receiving systemic or local
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49 158 treatment likely to interfere with evaluation of the study parameters; taking within the past
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51 159 month and/or during the study any medication or supplements known to affect appetite or
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53 160 weight; currently dieting; following specific food avoidance diets; abnormal eating behaviour
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56 161 assessed using the Dutch Eating Behaviour Questionnaire restraint sub-scale (DEBQ-R); non
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breakfast eaters; non-snackers; high fibre consumers including regular whole grain or dried fruit consumers (any of: >1 portion hi-fibre cereal or wholegrain bread and >3 portions fruit and veg/day; ≥ 5 portions fruit and veg/day; >2 portions dried fruit/month); disliking > 25% of the ad libitum study foods or any of the snack foods; smoking or having recently ceased smoking; working in appetite or feeding-related areas. A taste test of the study foods (jelly babies, dried plums and raisins) was administered, and volunteers were informed of the weight of each food they would be asked to consume. Eligible participants were recruited to the study and assigned a code number.

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171 ***Study foods***

172 The control snack was 100g Tesco Jelly Babies (JB) (335 kcal). The test snacks were 100g
173 California prunes in an equivalent-weight condition (EWP) and 140g (336 kcal) in an
174 equivalent-energy condition (ECP); 100g Sun-Maid Raisins in an equivalent-weight
175 condition (EWR) and 111g (333 kcal) in an equivalent-energy condition (ECR) (see Table 1).
176 Jelly Babies were chosen because of their fruit flavour and similar texture and chewiness to
177 traditional dried fruits. The 100g serving was chosen because it reflects the test quantity in
178 most other studies of dried fruits, is generally tolerated, and is associated with health benefits
179 of prunes (Lever et al. 2014; Lever et al. 2019). A daily intake of 100g is also the condition
180 of use for the authorised EU health claim that ‘prunes contribute to normal bowel function’
181 (EC 2013). The snacks were presented in a bowl with a glass of chilled water (200 ml) on a
182 tray.

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184 **Table 1. Study Foods for phase 1 (acute) and phase 2 (weight loss) studies**

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Test meals included a standard fixed-load breakfast (541 kcal) with the option of hot tea or coffee with 35g milk and sugar. If requested, this was consumed on each subsequent visit. The *ad-libitum* test lunch included a selection of high- and low-fat savoury and sweet food items (see Table 2). The *ad-libitum* test dinner consisted of a hot pasta meal and a cold dessert. Water (500 ml) was offered at all test meals. A snack box, for optional consumption once the participants had left the study centre for the day, contained a selection of high- and low-fat savoury and sweet snack items (see Table 3). All food and water was weighed (Sartorius Ltd) to the nearest 0.1 g before and after each meal to determine intake.

Table 2. Macronutrient and energy composition of lunch food items (phase 1 acute study)

Table 3. Macronutrient and energy composition of snack box items (phase 1 acute study and phase 2 intervention study)

Study design

A randomised, within-subjects design with five conditions was used to investigate the effect of two traditional dried fruit snacks (prunes and raisins) and control (jelly babies), eaten mid-morning and mid-afternoon, on subsequent *ad libitum* lunch, *ad libitum* dinner and evening snack intake as well as feeding behaviour and appetite. As the nature of the snack foods was obvious to participants, the study conditions were non-blinded for the type of snack but were blinded for the equi-caloric or equi-weight conditions. There were five study visits during which the mid-morning snack was consumed 2h after the fixed load breakfast (2h before lunch), and the mid-afternoon snack was consumed 2h after lunch (2h before dinner). Both snacks were consumed at the study centre which was located at the University of Liverpool. The effect on appetite was assessed using repeated monitoring of subjective motivation to eat and using *ad libitum* simultaneous choice test meals and an evening snack box. Food intake

was measured in grams (g) and kilocalories (kcal) over a 24-hour period. Participants were free to leave the study centre between meals and snacks and were instructed not to eat or drink anything except water that was provided by the study. There was a wash-out interval of at least one week between visits. For randomisation, participants were assigned to a counterbalanced treatment order using random number generator software (<http://www.randomizer.org/form.htm>) by the University of Leeds.

Power calculations ensured that sufficient participants were included in the study to detect the predicted effects of prunes on subjective appetite ratings and energy intake. Using a paired design and a power of 0.9 a difference of 5mm on fasting hunger can be detected with 70 subjects whilst 5mm on mean 4.5h ratings can be detected with 40 subjects (Flint et al, 2000). Using a power calculation based on the Farajian (2010) data, a group size of 25 gave 80% probability of detecting an effect on energy intake.

Appetite

Visual Analogue Scales (VAS; 100 mm horizontal lines anchored by “not at all” and “extremely” at opposite ends, on which participants marked their subjective ratings) were used to rate degrees of hunger, fullness, prospective consumption, and desire to eat. The VAS were completed immediately before and after each eating occasion, and at hourly intervals throughout the study day. A second set of VAS measured sensory and hedonic aspects of the pleasantness, palatability, tastiness, saltiness and sweetness of the meals and was given after breakfast, lunch and dinner. ~~A third set of VAS measured snack palatability and was given during and following mid-morning and mid-afternoon snack consumption.~~ As an objective measure of eating behaviour, food intake (g weight and energy) was also measured at subsequent meals and snacks. Questionnaires relating to general feelings over the day (EDQ),

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3 236 and to record gastro-intestinal effects (GIQ) were completed on the evening of each study
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5 237 visit. The EDQ consisted of a series of VAS questions assessing retrospective feelings that
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8 238 measured hunger motivation, mood and acceptability of the study foods. The GIQ (non-
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10 239 validated) recorded bowel habits and gut function during the day. Any reported adverse
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12 240 events experienced while taking part in the study were recorded.
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17 242 ***Procedure***

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19 243 Participants were asked to keep to the same pattern of food/fluid intake and activity on each
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21 244 evening before study visits, and not to consume any alcohol. To ensure compliance they were
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24 245 requested to record food and drink consumed and activities undertaken in a diary from 17.00
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26 246 hours onwards. They were instructed not to eat or drink anything except water from midnight
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28 247 until they attended the study centre the following morning.
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33 249 Participants attended the study centre at 8.30am and were seated in individual cubicles. They
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35 250 were asked to consume the entire fixed-load breakfast within 20 minutes. Two hours from the
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37 251 start of breakfast they consumed the mid-morning snack over a 15-minute period, and two
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39 252 hours later (four hours from the start of breakfast) they consumed the *ad-libitum* lunch. They
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41 253 were instructed to eat as much as they liked, to take as long as they wished and to signal
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43 254 when they had finished. The afternoon snack was consumed two hours from the start of
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45 255 lunch and the *ad-libitum* dinner was served two hours later (four hours from the start of
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47 256 lunch). Before participants left the study centre, they were given the snack box for optional
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49 257 consumption. The same procedure was followed on all study days.
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56 259 **Phase 2 - Weight Loss Intervention Study**

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58 260 ***Participants***
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3 261 Healthy overweight men and women were recruited to the study. Since the efficacy of prunes
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5 262 on body weight loss has yet to be established, power calculations were based on the data of
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7 263 Jenkins et al (1993) and Reyna-Villasmil et al (2007) for fibre manipulations. As a result of
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9 264 receiving standard dietetic advice, the control group in the study may consume snacks higher
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11 265 in fibre and lower in fat from their normal diet, producing only a moderate dietary change
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13 266 from the prune group. Jenkins et al (1993) followed a conservative design, comparing soluble
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15 267 and insoluble fibre in a within-subjects design. With significance of $p=0.058$ for this data, a
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17 268 group size of 18 would give 80% probability of detecting an effect on weight loss compared
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19 269 with control at $p<0.05$. Using power calculations based on data from Reyna-Villasmil (2007)
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21 270 which followed a parallel group design, a group size of 10 was calculated to give 80%
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23 271 probability of detecting an effect on weight loss compared with control at $p<0.05$. From
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25 272 previous experience with a product of relatively well-known efficacy for weight loss in a trial
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27 273 of a similar design, a group size of 50 was necessary to detect an effect of weight loss over 12
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29 274 weeks. As the efficacy of prunes on this outcome is yet to be established a larger group size
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31 275 was chosen for the study. Both male and female participants were included in the study
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33 276 although it was not powered to include gender effect.
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42 278 The screening procedure was the same as that used for the acute study, as were the exclusion
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44 279 criteria with the added exclusion of volunteers with significant weight loss in the previous 12
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46 280 months, or significant change to physical activity patterns in the previous 2-4 weeks or
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48 281 intention to change them during the study. On their first study visit eligible participants were
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50 282 enrolled to the study, assigned a code number and allocated to one of the two study groups by
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52 283 the University of Liverpool, using stratified (BMI, age and gender) and randomised sampling
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54 284 generated by the University of Leeds (see Table 4). The randomisation ensured an
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56 285 unpredictable allocation sequence. Allocation was undertaken by a team member not
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involved in enrolment. The allocation sequence was stored independently from other study documents and the enrolment location and was concealed until a decision on participant eligibility was made and informed consent was obtained.

Table 4. CONSORT flow diagram of intervention study

Study design

The 12-week, free-living intervention study followed a randomised, parallel-groups design with an allocation ratio of 1:1. The pre-specified primary outcome was weight loss over a 12-week period, assessed by morning measurement of body weight using standard calibrated scales to the nearest 0.1kg, and reduction in waist circumference measured by standard metric tape-measure to the nearest cm following the National Institute of Health (NIH) guidelines i.e. at a level midway between the lower rib margin and highest point of the iliac crest with the tape all around the body in a horizontal position. Measurements were taken at the study centre (University of Liverpool) by a member of the study team ~~on four occasions during study days, at (-baseline week 1, and weeks 4 and week, 8), and on visits for group dietary-~~ advice sessions in weeks 2, 3, 6, 10 and 12. A secondary outcome was tolerance of prunes by low fibre consumers over a 12-week period, assessed by the Bristol Stool Chart (Lewis & Heaton 1997) and also by the GIQ (non-validated).

Based on the results of the phase 1 study, prunes were selected as the test food. They were eaten in place of usual snacks in the context of a structured weight management programme. The effects were compared with an active control group following the same programme and instructed on the inclusion of healthy snacks in their diet. Both groups received standard healthy eating dietetic advice, based on cutting-down portion size to reduce intake by

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3 311 approximately 500 kcal/day. With the inclusion of prune snacks in the intervention group, it
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5 312 was impossible to blind participants or researchers to study conditions. However, the analysis
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7 313 was undertaken in a blinded approach i.e. 'group 1' vs 'group 2'. To maximise the daily
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9 314 intake of prunes, tolerability was assessed in a pilot study. The chosen daily intakes were
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11 315 140g (females), based on the 140g portion investigated in the acute study, and a
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13 316 proportionally larger intake for males (171g) based on higher total energy requirements
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15 317 (SACN 2011) (see Table 1). The female dose of 140g, approximately 15 prunes at an average
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17 318 weight of 9.5g per prune (USDA 2018; NHS 2019) was well-tolerated in the phase 1 study as
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19 319 an energy matched equivalent to 100g jellybeans. A requirement for subjects following the
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21 320 structured weight management programme, developed for the study, was for women to
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23 321 consume 7 portions of fruit or vegetables daily of which for the prune group 3 portions were
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25 322 prunes (portion size based on UK advice; NHS 2018). To take account of the extra energy
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27 323 requirements for men (SACN 2011) a further daily portion of fruit/vegetables (or prunes for
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29 324 the prune group) were recommended for male subjects. Hence for men, this equated to 171g
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31 325 prunes daily (18 prunes/4 portions).
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41 327 Daily intakes were within the range for acceptable intakes of sorbitol (EC 1994). Due to the
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43 328 variability of individual prune weights and the flexibility of timing for consuming the prunes,
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45 329 the daily intake of prunes (140g or 171g) was provided in manageable (120g) packs and
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47 330 subjects were instructed to consume the required number of packs over a week. In view of the
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49 331 high intake of prunes, their introduction was phased over the first 7 days of the study to
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51 332 reduce any issues of tolerance, such that the full allocation of prunes was not consumed until
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53 333 the second week of the study. The results were analysed for the 12-week period (against the
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55 334 week 0 baseline screening measure) as pre-specified. ~~An exploratory analysis was also~~
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3 335 ~~undertaken against the true baseline (week 1) for the remaining 11 weeks of the intervention~~
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10 338 Participants attended the study centre on days 1 (~~baseline~~week 1 measure), 29 (4 weeks) and
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12 339 57 (8 weeks) to consume meals and snacks following the methodology of the acute phase 1
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14 340 study. On day 1 both intervention groups received the same control snack as used in phase 1
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16 341 i.e., jelly babies (122g males; 100g females) to provide a baseline measure, and on probe
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18 342 days 29 (4 weeks) and 57 (8 weeks) the prune group received the prune snacks (171g males;
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20 343 140g females) and the active control group again received jelly babies (122g males; 100g
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22 344 females). The methodology followed that of the acute study. Jelly babies were only
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24 345 consumed as the control condition on these 3 study days.
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28 347 Additionally, after the fixed load breakfast, a Control of Eating Questionnaire (COEQ) was
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30 348 completed. Between study-day measures included the GIQ and the Bristol Stool Chart (Lewis
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32 349 & Heaton 1997), completed for two days following each study visit. The study ratings of
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34 350 appetite and mood (SRAM), and additional questions to assess experience of the weight
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36 351 management programme through measures such as satisfaction, convenience, enjoyment and
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38 352 ease of weight loss, were completed three times a week during the first 3 weeks, and once a
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40 353 week during the subsequent 9 weeks.
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44 355 Both groups received similar contact-time, information and instruction before and during the
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46 356 study. Participants attended group dietary-advice sessions following each study visit in
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48 357 weeks 1, 4 and 8 with each session structured to deliver key nutritional information, practical
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50 358 advice and opportunity for discussion (~~s~~See supplementary Table - Outline of structured
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52 359 weight loss plan-5). ~~Between-Separate to~~ the study-day visits participants attended the study
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centre in weeks 2, 3, 6, 10 and 12 at the same time of day for body weight and waist circumference measurement, and to dispense/collect questionnaires. Compliance was monitored and recorded through the return of packaging, signed and dated to indicate when the prunes had been consumed. Contact with all participants was made by telephone at a pre-arranged time of day on Weeks 5, 7, 9, and 11. Additional or similar dietary information (including example lunch and dinner ideas) was sent to participants following all or some of the calls.

No changes were made to the methods after trial commencement.

TABLE 5. Outline of structured weight loss plan

Statistical Analysis

All data used in the statistical analysis were rigorously checked. Analyses were performed using SPSS for Windows Version 19 (IBM, Chicago, IL 60606, USA). All tests were two-tailed unless stated, and $p < 0.05$ was regarded as statistically significant in all tests. Data conformed to the requirements for parametric analysis and therefore Analysis of Variance (ANOVA) was used. Intake at the test meals was analysed for amount consumed (in grams and kcal) using a within-subjects ANOVA with the snack condition as the within-subjects factor. Planned (a-priori) comparisons were run between all four experimental conditions versus control (phase 1 study) and between prune and control groups in accordance with the phase 2 study hypothesis that prunes do not undermine weight loss when included in a structured weight loss intervention, to identify differences.

384 In accordance with the recommendations of Blundell et al (2010), subjective parameters (e.g.
385 hunger, fullness) rated on the VAS were analysed using Area Under the Curve (AUC)
386 analysis. AUC is a continuous parameter calculated using the trapezoid rule. Total AUC for
387 the full study day (VAS T0 - T14) was calculated and compared across conditions using
388 Analysis of Covariance (ANCOVA) for each parameter-variable (e.g., hunger) individually
389 with a one-way between-subjects design, with baseline (pre-breakfast) subjective ratings as a
390 covariate, and participant and order of conditions as fixed factors in the model. As the snacks
391 were consumed at two time points such that the maximum daily dose of fibre (from prunes
392 and raisins) was consumed following the mid-afternoon snack, the planned (a-priori)
393 comparisons included AUC for the period between each snack and the next *ad-libitum* meal
394 (e.g. VAS ratings pre-morning snack to pre-lunch (T4 – T6, AM) and pre-afternoon snack to
395 pre-dinner (T10 – T12, PM) across conditions for each parameter-variable individually using
396 a within-subjects ANOVA with the snack condition as the within-subjects factor. In all AUC
397 analyses, post-hoc paired t-tests were run to identify significant differences.

398

399 ~~For graphical purposes only, s~~Subjective parameters were also analysed using ANOVA for
400 repeated measures. ~~-Visit (v~~Visit 1-5) and time (T0 – T14) were included in the model as
401 within-subject factors, and appropriate post-hoc paired t-tests were run at individual time
402 points between conditions to identify where differences lay. All tests were two tailed, and
403 Bonferroni corrections were applied to VAS data for multiple comparisons when looking at
404 individual time points, as comparisons of many single time points can lead to type 1 errors.

405

406 Additionally, to reduce variance in the appetite data, an appetite score was calculated from
407 subjective parameters using the formula $((\text{hunger} + \text{desire to eat}) - \text{fullness})/3$. Analysis was
408 performed using mixed ANOVA with time as the within subjects' factors and study group as
409 the between subjects' factors. Appetite scores were calculated for the full study day (14 time

points) and for the periods between each snack and the next *ad-libitum* meal and were compared across study groups. Appropriate post-hoc independent t-tests were run at individual time points between study groups to identify where differences lay.

Change in body weight and waist circumference from baseline to 12 weeks (absolute values and cumulative reductions) were analysed in a mixed-measures design (ANOVA), ~~using two alternative baselines (week 0—pre-specified 12-week analysis and week 1—additional 11-week analysis) to account for the phased introduction of prunes during week one. For the 12-week period,~~ Temporal analysis was performed over 3 periods, each of 4-weeks duration.

When relevant, post-hoc independent t-tests were run to identify significant differences.

For the SRAM and experience of the weight loss programme, the 18 separate measures were included in the analysis of experience of the programme. These ratings of appetite and mood were supplemented with additional ratings obtained during the study day visits (21 measures in total). Mixed ANOVAs were performed with within-subjects factor of time (18 or 21 time points) and between-subjects factor of study group. Post-hoc independent t-tests were used to analyse between-group differences at individual time points.

Independent t-tests were used at each time point i.e., baseline, visit 2 (week 4) and visit 3 (week 8) to examine for differences in responses to individual items on the COEQ between study groups. Additionally, a factorial analysis was undertaken using the five identified subscales (craving intensity, 6 items; positive mood, 5 items with scores from the “how anxious have you felt” item being reversed; craving for sweet foods, 5 items; craving for

savoury foods, 4 items; and fullness, 1 item; Dalton, 2013). Analysis of the mean score for each subscale was performed using mixed ANOVA with time as the within subjects' factors and study group as the between subjects' factors.

The Bonferroni post-hoc test was applied to analysis of the GIQ in the weight loss study to ~~test-control~~ for multiple comparisons.

Results

Phase 1 - Acute Study

Forty-two participants were recruited and 40 completed the study. Two participants withdrew, one for personal reasons and the other curtailed visits with no explanation. ~~There were no differences in~~ The demographic (age) and anthropometric (weight, height, BMI) characteristics of the completing participants are shown in Table ~~56~~.

Table 56. Baseline participant characteristics for the acute study (phase 1)

Intake across the study day

Food intake (amount and energy content) was measured at the *ad libitum* meals and snacks, and across the study day (see Table ~~67~~). A significant main effect of condition on weight of food consumed across the study day was found ($F_{(4, 156)} = 2.496, p=0.045$). Compared with control, mean intake in weight of food consumed was significantly lower in the 140g prune (-105.3g (9.9%); $F_{(1, 39)} = 7.581, p=0.009$), 100g raisin (-79.5g ; $F_{(1, 39)} = 5.140, p=0.029$) and 111g raisin condition (-93.7g (8.8%); $F_{(1, 39)} = 7.070, p=0.011$). Additionally, there was a significant main effect of condition on kcal intake across the study day (~~$F_{(4, 156)}$~~ $F_{(4, 36)} = 2.963, p=0.033$ (violation of sphericity, multivariate tests used). Compared with control,

mean kcal intake was significantly lower in the 140g prune ($\downarrow 224$ kcal (8.6%); $F_{(1, 39)} = 7.151$, $p=0.011$) and 111g raisin conditions ($\downarrow 178$ kcal (6.8%); $F_{(1, 39)} = 5.191$, $p=0.028$), but not the 100g raisin condition. No differences were observed for liking and pleasantness between prunes, raisins and jelly babies, and there was no change over time across the study day (results not shown).

Table 67. Energy intake at the ad-libitum study meals and across the study day (phase 1 acute study)

Measures of appetite

Applying Bonferroni corrections for multiple comparisons to the VAS scores, reduced the critical p value for significance to <0.0125 ($0.05/4$ comparisons to control at each time point). Reduced hunger 1-hour post-afternoon snack (140g prune condition) was the only significantly different measure.

After adjusting for baseline (pre-breakfast VAS rating), AUC and ANCOVA for the full study day showed no significant effect of condition on any appetite measures other than fullness. A significant effect of condition on AUC fullness ($F_{(4,109)} = 3.679$, $p=0.008$, partial $\eta^2 = 0.119$) was identified. Post-hoc t-tests revealed that this was due to significantly greater AUC fullness in the 140g prune condition compared with control (50247.71 v 47672.59 ; $t(77) = 0.914$, $p=0.032$).

When AUC was calculated for two time periods, covering the pre-morning snack to pre-lunch period (AM), and the pre-afternoon snack to pre-supper period (PM) no effect of condition on AM hunger ($F_{(4, 156)} = 1.214$, $p=0.307$) was found, and an effect of condition on

PM hunger failed to reach significance ($F_{(4, 35)} = 2.599$, $p=0.053$ (violation of sphericity, multivariate tests used). Similarly there was no effect of condition on AM fullness ($F_{(4, 156)} = 0.521$, $p=0.720$) and PM fullness failed to reach significance ($F_{(4, 156)} = 2.019$, $p=0.095$), and there was no effect of condition on AM desire to eat ($F_{(4, 152)} = 1.871$, $p=0.118$) and PM desire to eat failed to reach significance ($F_{(4, 35)} = 2.276$, $p=0.081$).

There was also no effect of condition on AM prospective consumption ($F_{(4, 156)} = 2.001$, $p=0.097$). However, a main effect of condition was found for PM prospective consumption ($F_{(4, 35)} = 2.795$, $p=0.041$ (violation of sphericity, multivariate tests used). Planned comparisons showed this was due to participants reporting that they could eat significantly less during the afternoon in the 100g prune (4086.00 v 5002.31, $p=0.021$) and 140g prune (3737.25 v 5002.31, $p=0.008$) conditions. Reduction in prospective intake in the 111g raisin condition failed to reach significance (3983.81 v 5002.31, $p=0.056$) compared with control.

Adverse Events and GI Tolerance

No adverse events were reported. A number of gastro-intestinal effects were reported, scored with a 5-point Likert scale ranging from 1 (not at all) to 5 (very). There was a significant effect of condition on abdominal pain/discomfort ($F_{(4, 156)} = 4.138$, $p=0.003$) ~~with~~. Expressed as mean±SD there was less abdominal discomfort in the jelly babies group compared with the 100g prunes group (1.17±0.446 v 1.48±0.679) and the 140g prunes group (1.17±0.446 v 1.55±0.749), less feeling of bloating in the jelly babies group compared with the 140g prunes group (1.33±0.621 v 1.77±0.959), a significant effect of condition on increased flatulence ($F_{(4, 156)} = 11.591$, $p<0.001$) with the jelly babies group experiencing less wind compared with the 100g prunes (1.38±0.586 v 1.90±0.871), 140g prunes (1.38±0.586 v 2.12±1.017) and 100g raisins (1.38±0.586 v 1.72±0.751) groups, and a significant effect of condition on

abdominal gurgling ($F_{(4,156)} 6.129, p<0.001$) with the jelly babies group experiencing less gurgling compared with the 100g prunes (1.33 ± 0.616 v 1.60 ± 0.672) and the 140g prunes group (1.33 ± 0.616 v 1.82 ± 0.931). There was a significant effect of condition on urgency to open bowels ($F_{(4,156)} 6.576, p<0.001$) with the jelly babies group experiencing less urgency compared with the 140g prunes condition (1.23 ± 0.480 v 1.85 ± 1.051).

Phase 2 - Weight Loss Intervention Study

In total, 104 participants were recruited over the period 21-03-2012 to 27-06-2013 and 100 completed the study (26 males and 74 females; 50 per group). Two male and 2 female participants withdrew from the study, one due to visa restrictions and 3 as a result of time constraints. There were no significant differences between the groups for any of the baseline characteristics (see Table 78). The study was stopped at the end of the 12-week intervention period according to the protocol. All analyses were by original assigned groups, with 50 participants analysed per group.

Table 78. Baseline participant characteristics (phase 2 – weight loss study)

Change in body weight

Compliance with prune consumption throughout the study was good. There was no significant difference in mean weight loss between the groups when measured over 12 or 11 weeks. The reduction in absolute body weight over 12 weeks in the prune group ($t=4.941$ [df 49] $p<0.001$) was significant compared with baseline (week 0) as was the reduction in body weight in the active control group ($t=4.981$ [df 49] $p<0.001$) (see Table 89). ANOVA (violation of sphericity; Greenhouse-Geisser correction) demonstrated a significant effect of time ($F_{(9,882)} = 26.90, p<0.001$), however, no significant interaction between time (duration)

and condition was found ($F_{(2,24, 219.25)} = 1.075, p=0.348$). ~~For absolute body weight over the 11-week period using a week 1 baseline (allowing for adjustment to full allocation of prunes), ANOVA (violation of sphericity; Greenhouse-Geisser correction) again demonstrated a significant effect of time ($F_{(8,784)} = 21.95, p<0.001$). However, no significant interaction between time and condition was found ($F_{(2,15, 210.4)} = 1.339, p=0.265$).~~

Table 89. Mean body weight and waist circumference pre and post weight control programme over 12 weeks and mean percentage change (phase 2 – weight loss study)

Cumulative weight loss over 12 weeks showed a clear temporal profile with (i) an initial weight loss phase (baseline to week 4); (ii) a plateau phase (weeks 4 to 8), and (iii) a subsequent weight loss phase (weeks 8 to 12) (see Figure 1). There was no significant interaction between condition and time for phases (i) and (ii), but ANOVA (violation of sphericity; Greenhouse-Geisser correction) demonstrated a significant effect of time ($F_{(3,294)} = 8.640, p<0.001$) for the subsequent weight loss phase (iii), though an interaction between condition and time failed to reach significance ($F_{(2,14, 209.6)} = 2.606, p=0.072$).

Figure 1. Cumulative mean weight loss (kg) over 12 weeks

~~In view of the unequal duration of weight loss phases when the baseline was assigned as week 1, further exploratory temporal analysis of the 11-week data was not possible.~~

Change in waist circumference

There was no significant difference for change in mean waist circumference between groups when measured over 12 ~~or 11~~ weeks (see Table 89). Reduction in mean waist circumference

compared with baseline was significant in both groups (prune group: $t=3.961$ [df 49] $p<0.001$; active control group $t=2.726$ [df 49] $p<0.009$). For change in absolute waist circumference, ANOVA (violation of sphericity; Greenhouse-Geisser correction) demonstrated a significant effect of time ($F_{(9,882)} = 7.177$, $p<0.001$). However, no significant interaction between time and condition was found ($F_{(6,12, 599.8)} = 0.534$, $p = 0.786$).

~~The conclusions were unchanged for the exploratory analysis performed for changes with baseline defined as end of week 1.~~

Probe day measures of appetite, mood, experience of the intervention study and food intake

Appetite scores for the full study days conducted at weeks 4 and 8, showed that with Greenhouse-Geisser correction (violation of sphericity), a significant main effect of time was observed (week 4: $F_{(7.23, 701.3)} = 171.746$, $p < 0.001$; week 8 $F_{(6.73, 646.1)} = 202.167$, $p < 0.001$). There was no significant interaction of condition and time (week 4: $F_{(7.23, 701.3)} = 1.143$, $p = 0.334$; week 8 $F_{(6.73, 646.1)} = 1.480$, $p = 0.174$) (see Figure 2). Collective data for analysis of each post-snack period (AUC calculated for AM and PM on each of the probe days) were indicative of a potential benefit of prunes on appetite control, even after 8 weeks of consumption. For nearly all time points, mean values for appetite were lower in the prune group than the active control, although they failed to reach significance.

Figure 2. VAS Ratings – appetite score throughout test day (a) at 4 weeks and (b) at 8 weeks

For the full study days at weeks 4 and 8, AUC and ANCOVA, after adjusting for baseline (pre-breakfast VAS rating), showed no significant effect of condition on any appetite measures other than fullness. At week 8 a significant difference between control and prune group was identified ($F_{(1, 97)} = 3.976$, $p=0.049$, partial $\eta^2 = 0.039$). ~~Post-hoc t-tests revealed that this was due to significantly greater AUC fullness in the prune group ($t=1.994$ [df 97] $p=0.049$). As the statistics were not corrected for multiple comparisons this could be a chance finding~~ Though significance is marginal, a 5% threshold is not a fixed cut-off, and P values are continuous.

~~Collective data for analysis of each post-snack period (AUC calculated for AM and PM) on each of the probe days were indicative of a potential benefit of prunes on appetite control, even after 8 weeks of consumption. For nearly all time points, mean values for appetite were lower in the prune group than the active control, although they failed to reach significance.~~

While undergoing active weight management, the prune group experienced greater levels of satisfaction, convenience and ease of keeping to the programme compared with the active weight management group without prunes (results not shown). However, there was no effect on general mood and the COEQ showed no significant differences between the groups (results not shown).

For the baseline measure (day 1) no significant difference between conditions was identified for weight of food consumed ($t=0.271$ [df 98] $p=0.787$) or energy intake ($t=0.261$ [df 98] $p=0.795$) across the whole study day including breakfast and the test snacks (see Table 940). Similarly, on the probe days in weeks 4 and 8 there was no significant difference in the weight of food consumed or energy intake over the whole study day.

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Table 210. Weight of food consumed and energy intake across the study day (mean \pm SD) following consumption of mid-morning and mid-afternoon snacks (phase 2 weight loss study)

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Although effect of time on weight of food consumed failed to reach significance ($F_{(1,98)} = 2.895$, $p=0.092$), a significant main effect of time on energy intake across the whole study day including breakfast and snacks was observed ($F_{(1,98)} = 7.498$, $p=0.007$). Post hoc tests revealed the latter effect was due to reduced energy intake in the active control condition in week 8 compared with week 4 ($t=2.559$ [df 98] $p=0.014$). No significant interaction between time and condition on weight of food consumed ($F_{(1,98)} = 0.691$, $p=0.408$) or energy intake ($F_{(1,98)} = 0.546$, $p=0.462$) was observed. ~~Despite the lack of significance, energy intake was lower on both days in the prune group compared with the active control (week 4: $\downarrow 237$ kcal, $\downarrow 6.9\%$; week 8: $\downarrow 150$ kcal, $\downarrow 4.6\%$). There was no significant difference in energy intake at either time point.~~

624

625 Adverse Events and GI Tolerance

No adverse events were reported. Baseline scores on the Bristol Stool Chart in the control group were 3.86 ± 1.21 for consistency and 1.05 ± 0.53 for frequency. In the intervention group scores were 4.15 ± 1.00 for consistency and 1.20 ± 0.67 for frequency indicating that participants were not constipated, and stools were within the normal frequency range for the population at large. After intervention scores in the control group were 3.77 ± 1.05 (consistency) and 1.00 ± 0.78 (frequency) and in the intervention group were 4.33 ± 0.94 (consistency) and 1.40 ± 0.76 (frequency), indicating good GI tolerance of the high prune intake. There was no significant main effect of time on consistency ($F_{(1.812, 164.921)}=1.099$,

634 $p=0.331$) or interaction between time and condition ($F_{(1.812, 164.921)}=0.804$, $p=0.438$) and no
 635 main effect of time on frequency ($F_{(1.733, 169.856)}=0.795$, $p=0.437$) or interaction between time
 636 and condition ($F_{(1.733, 169.856)}=1.768$, $p=0.178$).

637

638 A number of gastro-intestinal effects were reported. There was a significant effect of time
 639 ($F_{(2.19, 5.85)} 5.846$, $p=0.003$) on abdominal pain/discomfort with the prune group recording
 640 higher ratings (week 4: $t=3.131$ [df 99] $p=0.002$; week 8: $t=1.767$ [df 99] $p=0.08$). There was
 641 a significant interaction between time and condition on bloating ($F_{(1.73, 162.3)} 4.287$, $p=0.002$)
 642 with significantly higher ratings in the prune group at week 4 ($t=3.572$ [df 99] $p=0.001$) and
 643 week 8 ($t=2.331$ [df 99] $p=0.022$). There was a significant effect of time ($F_{(1.73, 160.9)} 21.884$,
 644 $p<0.001$) and significant interaction between time and condition ($F_{(1.73, 160.9)} 21.884$,
 645 $p<0.001$) on increased flatulence with increased ratings around days 29, 30 and 31
 646 ($p<0.001$) and days 57, 58 and 59 ($p<0.001$) compared with baseline in the prune group
 647 (week 4 $t=5.498$ [df 99] $p<0.001$; week 8 $t=4.558$ [df 99] $p<0.001$). There was a
 648 significant effect of time ($F_{(2, 182)} 34.856$, $p<0.001$) and significant interaction between time
 649 and condition ($F_{(2, 182)} 15.097$, $p<0.001$) on stomach/abdominal gurgling with increased
 650 ratings around days 29, 30 and 31 ($p<0.001$) and days 57, 58 and 59 ($p=0.001$) compared
 651 with baseline in the prune group (week 4 $t=4.603$ [df 99] $p<0.001$; week 8 $t=4.791$ [df 99]
 652 $p<0.001$). There was a significant effect of time ($F_{(1.88, 176.4)} 6.196$, $p=0.003$) and significant
 653 interaction between time and condition ($F_{(1.88, 176.4)} 9.360$, $p<0.001$) on urgency to open
 654 bowels with increased ratings in the prune group around days 29, 30 and 31 ($p=0.007$)
 655 compared with baseline (week 4 $t=4.010$ [df 99] $p<0.001$; week 8 $t=2.468$ [df 99]
 656 $p=0.015$).

658 Discussion

659 The intervention study (phase 2) is the first to demonstrate the impact of incorporating dried
660 fruit into an active weight loss programme with weight loss as the primary objective. There
661 was no significant difference between the groups for mean weight loss, or for decrease in
662 mean waist circumference. However, there was a significant difference in body weight
663 reduction and decrease in waist measurement from baseline for both the prune and active
664 control groups. Similarities between the groups may be explained by the choice of an active
665 control group following the same structured weight management programme as the prune
666 group, which aimed at reducing intake by approximately 500 kcal per day. The results
667 demonstrate that, in contrast to health advice available at the time that generally omitted
668 recommending dried fruit for weight loss or specifically limited it (BHF 2009), including
669 prunes in the diet did not undermine mean weight loss or reduction in waist circumference
670 over a 12-week period. These results are generalisable to males and females aged 18-65
671 years, in good general health, without gastro-intestinal problems, and not already eating a
672 high fibre diet following a structured diet programme. The effect of prunes as a snack
673 replacement in other dietary scenarios remains to be examined. However, Lever et al (2019)
674 showed that consumption of 80g or 120g prunes per day in a community study did not result
675 in weight change over 4 weeks.

677 The exploratory temporal analysis revealed that mean weight loss between the groups started
678 to diverge during the last 4 weeks of the study when a trend for greater weight loss in the
679 prune group was identified. This may suggest that towards the end of the programme the
680 active control group found losing further weight more difficult compared with the prune
681 group, and this warrants further study.

683 The significant reduction in mean body weight in the active control group compared with
684 baseline demonstrates the effectiveness of the structured weight loss programme that the
685 participants were following. The inclusion of prunes in this programme (prune group) also
686 resulted in a significant reduction in mean body weight compared with baseline, and this
687 effect is consistent with evidence obtained in phase 1 of the study, that prune consumption
688 can help to control appetite during active weight management. A significant increase in AUC
689 fullness following a mid-morning and mid-afternoon prune snack compared with control was
690 identified at week 8. Additionally, based on AUC measures, trends for effects over separate
691 meal periods were observed at week 4 and week 8, with the prune group recording decreased
692 non-significantly lower subjective ratings of hunger and desire to eat at each time point ~~and~~
693 ~~additionally increased ratings of fullness at week 8~~. These observed effects of prunes on
694 appetite control are consistent with previous studies. Furchner-Evanson et al (2010) have
695 demonstrated reduced hunger ratings following consumption of a prune-based snack. They
696 are also consistent with results of the acute study (phase 1) ~~in which a significant effect of~~
697 ~~condition on AUC fullness was identified with post-hoc t-tests revealing that this was due~~
698 ~~to~~ showed significantly greater AUC fullness in the 140g prune condition.

700 In the acute (phase 1) study, the highest dose of prunes (140g) produced clear reductions in
701 weight of food consumed and energy intake. However, no significant effects on these
702 measures were observed on probe days at weeks 4 and 8 during the active weight
703 management study (phase 2). Initial effect size may be relevant in determining the likelihood
704 of an acute intervention effect being sustained following repeated exposure (Dalton 2013;
705 Halford et al. 2018). As such, the 9.9% reduction in weight of food consumed and the 8.6%
706 reduction in energy intake across the study day observed in the acute study may not be
707 sufficiently robust to support maintenance of impact over the longer term. ~~Alt~~ Though there

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3 708 were no significant effects on amount of food consumed or energy intake on probe days at
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5 709 weeks 4 and 8, appetite was not dysregulated such that food intake was not increased by dried
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8 710 fruit consumption.
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12 712 The dietary fibre content of prunes may at least partly explain the observed effects on
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14 713 appetite in the acute study. Whether dietary fibre reduces subjective appetite, energy intake
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17 714 and body weight has been the subject of considerable research (Wanders et al. 2011). A
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19 715 systematic review (Clark & Slavin 2013) found that over 60% of fibre studies examining
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21 716 appetite failed to show any effect, with only 6 of the 38 fibre sources examined producing
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23 717 such effects. This reveals the difficulty in isolating the potentially beneficial effects of fibre
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26 718 manipulations on appetite using appropriate standardised designs.
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30 720 The high daily intake of prunes by a habitual low fibre consuming population in the
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32 721 intervention study resulted in some differences between the groups in ratings of gastro-
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34 722 intestinal effects in the intervention study, but these differences were small, did not translate
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37 723 into any adverse events and did not result in any withdrawals from the study. Data from the
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39 724 Bristol Stool Chart (Lewis et al, 1997) confirmed that normal bowel function was maintained
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41 725 during the course of the study. Together, these data support tolerability of the high intake of
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44 726 prunes.
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48 728 A further consideration is that some countries, such as the UK, advise that snacking on dried
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50 729 fruit might have detrimental effects on the teeth (PHE 2017; NHS 2018). This is based on the
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53 730 premise that dried fruit is a concentrated source of sugars and may stick to the teeth and
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56 731 should therefore be eaten as part of meals. However, the evidence to support this is limited
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58 732 (Sadler 2016; Sadler 2017; Sadler et al. 2019). Nevertheless, this advice may have
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3 733 contributed to dried fruit not previously being recommended in healthy weight management
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5 734 programmes, in addition to the perception that dried fruit provides more calories than fresh
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8 735 fruit, although the energy content per individual fruit piece remains the same. These
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10 736 recommendations may in part explain why research to date has been limited. Yet, since dried
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12 737 fruit is a convenient and economical means to incorporate fruit in the diet, and is both
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14 738 satiating (Furchner-Evanson et al. 2010) and palatable, studying potential benefits is
15
16 739 worthwhile, as corroborated by the present studies.
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21 741 **Strengths of the Studies**

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24 742 The key strength of this research was the application of robust methodology in a two-stage
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26 743 programme to examine the effects of prunes on weight loss. Phase 1 used gold-standard pre-
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28 744 load methodology to assess the potential for traditional dried fruits (prunes and raisins) to
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30 745 impact on satiety, before embarking on the intervention study. It also enabled two dried fruits
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32 746 and appropriate daily intakes to be assessed, for application in phase 2. Combining this
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34 747 approach with a small pilot study ensured that the intake of prunes was maximised for
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36 748 optimal effect.
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42 750 A strength of the intervention study (phase 2 - structured weight loss programme) was close
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44 751 matching of the control and prune conditions, allowing a specific comparison of advice to eat
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46 752 prunes as a snack or advice to switch to healthier snacks (control group).
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49 753

51 754 **Limitations of the Studies**

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54 755 Adopting the prune intervention in the context of a structured, active weight loss programme
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56 756 does not allow for generalisability of the results to adults following unsupervised weight loss
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58 757 diets. A less intensive study design that is more representative of usual consumer weight loss
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approaches remains to be investigated. Due to budgetary restraints, the intervention study did not include dietary intake data to assess differences in food intake between groups, and dietary analysis was only undertaken for energy intake. The lack of self-reported measures of habitual intake meant that it was not possible to quantify any change in snack use in the active control group. With no restriction on the healthy snacks consumed by this group their fibre intake may have equally increased. In future, studies limiting intake in the control group to a defined selection of low-fibre snacks may provide a better understanding of equivalence between conditions.

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The study was designed to investigate an experimental change in appetite that potentially drives a change in body weight. As change in body weight is more difficult to detect than changes in appetite, the study was powered (primary endpoint) on the ~~2nd~~-second of these two primary objectives. However, the generation of power calculations was limited by a lack of reports for dried fruits in comparable interventions. As a compromise, published studies reporting related strategies and fibre manipulations were used (Jenkins et al. 1993; Reyna-Villasmil et al. 2007). With this approach the study was powered to achieve a weight loss of 3-6kg but weight loss in the prune group was lower than this (-1.99kg). Consequently, although both groups demonstrated a significant reduction in body weight compared with baseline, the between-group differences failed to reach statistical significance.

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Variability introduced through examination of both genders within a relatively broad weight-status range also reduced the statistical power of the design. Nevertheless, the reduction in weight and waist circumference reported for this study are comparable with reported reductions following an intervention based on current dietary guidelines (Reidlinger et al. 2015).

A further limitation is that the trial was not registered, although it closely followed CONSORT guidelines. At the time the protocols were written (2010), it wasn't standard practice to register trials, as it took a few years for the CONSORT guidelines to become established.

Whilst considered comprehensive, the pre-planned statistical analyses could have included more powerful approaches. Only VAS scores for individual time points were corrected for multiple comparisons in order to reduce the risk of type 1 error. Testing for adverse effects followed a structure of (i) an effect of time, (ii) interaction between time and condition, and (iii) testing for differences between condition as post-hoc t-tests within each time. A more powerful approach would have been a factorial analysis including the main effect of condition, or a structured contrast in the treatment structure Time/(Condition_wk4 + Condition_wk8) to test for a main effect of time, an effect of condition at week 4 and an effect of condition at week 8 in a single ANOVA, thus benefiting from the full set of residual degrees of freedom.

Recommendations for future research

The findings require corroboration in further intervention studies that address the limitations of the current study. Future studies should focus on the intervention period beyond 8-weeks, the point of divergence in weight loss, in order to investigate longer-term benefits of prune consumption in place of usual snacks. As weight loss is generally more difficult over time, it would be worthwhile to investigate specific difficulties around weight control including liking and wanting for specific foods and food cravings. Reductions in desire for specific

808 problem foods may result from the general effects of prunes on appetite potentially
 809 benefitting long-term weight management. DEXA (dual energy X-ray absorption) could also
 810 be ~~a valuable additional endpoint~~used for assessing changes in body composition, a valuable
 811 additional endpoint, following weight loss interventions with dried fruits, particularly in
 812 longer term studies with the potential for enhanced weight loss.

813
 814 More powerful statistical analyses could be pre-planned in future acute studies. For example,
 815 viewing all analyses as an example of multi-strata ANOVA where the random effects
 816 (blocks) have particular structure as well as the fixed effects (treatments), and considering
 817 either a multiplicity correction to pairwise tests, or using the structure of the study to set up
 818 orthogonal informative contrasts within the ANOVA. Individual comparisons to control
 819 could be adjusted for multiplicity corrections, and a change in treatment structure would help
 820 to elicit patterns. Specifically, a treatment structure of *Type/(Fruit x Weight)* would partition
 821 the 4 degrees of freedom available to test for treatment differences into 4 separate 1 degree of
 822 freedom tests corresponding to (i) difference between control and (any) fruit treatment, (ii)
 823 difference in prunes vs raisins, (iii) difference in equal weight vs equal calorie, and (iv)
 824 interaction between fruit and weight. This could also include a factorial term to test for the
 825 effect of time with a treatment structure *Time*[Type/(Fruit x Weight)]* with a block structure
 826 *Person\Visit\Time of Day*.

827

828 **Conclusions**

829 The current research has demonstrated that the instruction to participants to replace usual
 830 snacks with a high intake of prunes in conjunction with a structured dietary weight loss
 831 intervention resulted in comparable weight loss to that of the control group. Controls were
 832 following the same structured programme and replaced usual snacks with healthier

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alternatives. Attempts to control appetite and body weight in the prune group were not undermined, in line with our hypothesis. Similarly, compared with the baselinecontrol group, weight management, reduction in central adiposity (as measured by waist circumference) and increase in satiety (by enhancing long term fullness) were not undermined. Based on these results, advice to exclude prunes, and potentially dried fruit more widely, from weight management programmes appears unjustified. Additionally, a high daily intake of prunes over the long term was tolerated by participants in the prune group. These results suggest that the inclusion of prunes in a structured weight management programme in place of usual snacks is compatible with weight loss. Further studies are needed to investigate the effect of prunes in a more generalised setting.

Declaration of Interests

Michele Sadler works as a consultant nutritionist and receives consulting fees from a wide range of industry clients and trade associations, including funding from the Californian Prune Board for various ad hoc projects and independent advice. Jennette Higgs provides nutritional consultancy services to the dried fruit industry and is consultant Nutritionist & Dietitian to the California Prune Board.

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Table 1. Study Foods for phase 1 (acute) and phase 2 (weight loss) studies

Condition	Control		Dried Fruit			
	Jelly babies		Prunes		Raisins	
Phase 1 - Acute Study						
			Weight	Energy	Weight	Energy
			Matched	Matched	Matched	Matched
Weight (g)	100		100	140	100	111
Energy	335		240	336	300	333
(kcal)						
Fibre (g)	0.1		6.6	9.2	5.3	5.9
Phase 2 – Intervention Study						
	Male	Female	Male	Female		
Weight (g)	122	100	171	140	N/A	N/A
Energy					N/A	N/A
(kcal)	409	335	410	336		
Fibre (g)	0.1	0.1	11.2	9.2	N/A	N/A

N/A: Not applicable

Table 2a. Macronutrient and energy composition of lunch food items (phase 1 acute study)

Food	Protein (g/100g)	Protein (total g)	Fat (g/100g)	Fat (total g)	CHO (g/100g)	CHO (total g)	Kcal (/100g)	Kcal (total)
White Bread	8.20	17.71	1.50	3.24	47.80	103.25	240	518
Light Margarine	Trace	Trace	59.00	23.60	Trace	Trace	531	212
Ham Slices	18.40	13.80	2.20	1.65	0.70	0.53	100	75
Cheddar Cheese	24.40	24.40	34.40	34.40	1.40	1.40	415	415
Cucumber	Trace	Trace	Trace	Trace	Trace	Trace	10	8
Salted Crisps	5.90	2.04	34.10	11.76	49.70	17.15	529	183
Snack a Jacks	7.00	1.82	7.40	1.92	77.40	20.12	404	105
Fruit Cocktail	0.40	1.64	0.10	0.41	12.70	52.20	53	218
Choc Cookies	6.20	6.20	23.90	23.90	68.00	68.00	511	511
TOTAL	70.50	67.61	162.60	100.89	257.70	262.64	2793	2245

The same food items were provided for probe days in phase 2, females provided with a total of 2225 kcal, and males with a total of 2865 kcal.

Table 2b. Macronutrient and energy composition of dinner food items

Food	Protein (g/100g)	Protein (total g)	Fat (g/100g)	Fat (total g)	CHO (g/100g)	CHO (total g)	Kcal (/100g)	Kcal (total)
Fusilli Pasta	12.50	62.50	1.40	7.00	73.00	365.00	355	1775
Garlic Bread	8.20	4.10	14.40	7.20	46.60	23.30	350	175
Pasta Sauce	1.60	7.36	0.10	0.46	8.70	40.02	43	198
Cheddar Cheese	24.40	12.20	34.40	17.20	1.40	0.70	415	208
Dark Choc Ice	2.80	1.20	21.00	9.03	30.20	12.99	325	140
TOTAL	49.50	87.36	71.30	40.89	159.90	442.01	1488	2495

The same food items were provided for probe days in phase 2, females provided with a total of 2450 kcal, and males with a total of 3225 kcal.

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9 **Table 3. Macronutrient and energy composition of snack box items (phase 1 acute study**
10 **and phase 2 intervention study)**

	Protein	Fat	Fat	CHO	CHO	Kcal		
	Protein	(total	(g/100	(total	(g/100	(total g	(/100	Kcal
Food	(g/100g)	g)	g)	g)	g)	g)	g)	(total)
Twix Twin Fingers	4.70	2.73	23.70	13.75	64.50	37.41	490	284
Ryvita Minis Cream								
Cheese & chive	8.00	2.40	2.90	0.87	71.00	21.30	342	103
Medium Banana*¶	1.20	1.80	0.30	0.50	23.20	34.80	100	150
Marshmallows	3.10	6.20	0.10	0.20	80.20	160.40	335	670
Mini Cheddars	10.38	2.70	28.80	7.49	48.80	12.69	496	129
Total	27.38	15.83	55.80	22.81	287.70	266.60	1763	1336
*or apple					14.00	21.00	47.00	71
Total	26.18	14.03	55.5	22.31	278.5	252.8	1710	1256
¶In phase 2 study								
Medium Banana	1.20	1.80	0.30	0.50	23.20	34.80	70	105
Total	27.38	15.83	55.80	22.81	287.70	266.60	1735	1292

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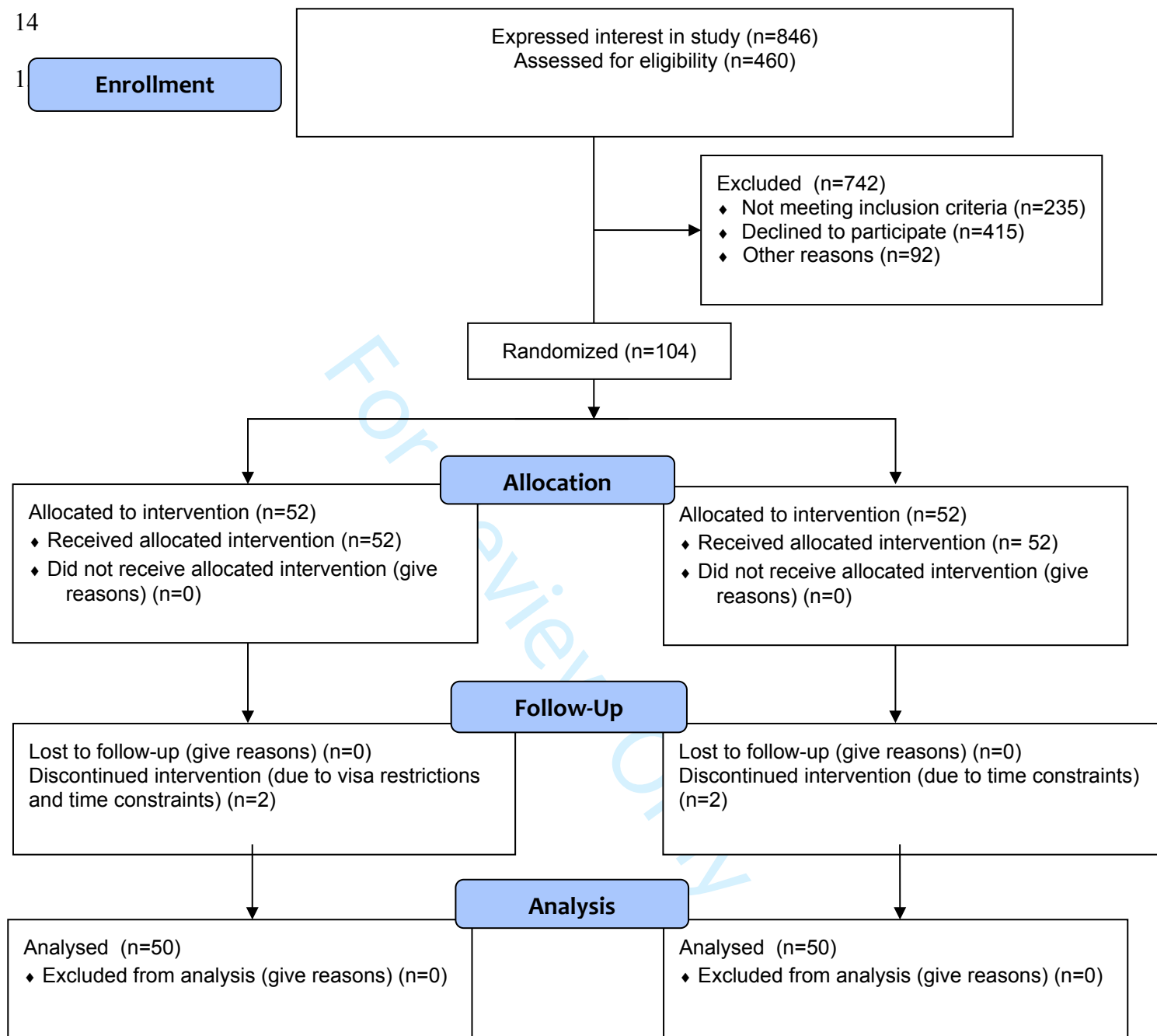
Table 4. CONSORT flow diagram of intervention study

Table 5. Baseline participant characteristics for the acute study (phase 1)

Measure	All participants (n=40) Mean ± SEM
Age (years)	35 ± 15
Weight (kg)	76.0 ± 8.6
Height (m)	1.6 ± 0.1
BMI (kg/m ²)	28.3 ± 0.7
DEBQ Restraint Scale	2.6 ± 0.7

DEBQ: Dutch Eating Behaviour Questionnaire

Table 6. Energy intake at the ad-libitum study meals and across the study day (phase 1 acute study)

Condition	Intake (kcal) from ad libitum meal/study day (mean \pm SD)			
	Lunch	Dinner	Snack	Total intake [†]
100g Jelly babies (control)	728 \pm 267	1134 \pm 391	402 \pm 259	2598 \pm 601
100g prunes	669 \pm 247	1102 \pm 449	463 \pm 284	2474 \pm 704.
100g raisins	706 \pm 267	1092 \pm 369	388 \pm 248	2486 \pm 591*
140g prunes	689 \pm 252	971 \pm 391**	377 \pm 303	2379 \pm 621**
111g raisins	712 \pm 289	990 \pm 356**	386 \pm 310	2420 \pm 666**

[†]Lunch dinner and snacks, excluding breakfast; *Significant reduction from control (p<0.05);

**Significant reduction from control (p<0.01)

Table 7. Baseline participant characteristics (phase 2 – weight loss study)

Measure	All participants (n =100) Mean ± SEM	Control (n = 50) Mean ± SEM	Prunes (n= 50) Mean ± SEM
Male	26	12	14
Female	74	38	36
Age (years)	43 ± 1.27	43 ± 1.82	43 ± 1.82
Height (m)	1.67 ± 0.008	1.68 ± 0.01	1.67 ± 0.01
Weight (kg)	83.58 ± 1.20	84.13±1.7	82.59 ±1.70
Waist (cm)	101.28 ± 1.10	101.85 ± 1.78	99.91 ±1.34
BMI (kg/m ²)	29.82 ± 0.281	30.04 ± 0.43	29.37 ± 0.36
DEBQ Restraint Scale	2.6 ± 0.06	2.59 ± 0.09	2.66 0.09

DEBQ: Dutch Eating Behaviour Questionnaire

Table 8. Mean body weight and waist circumference pre and post weight control programme over 12 weeks and mean percentage change (phase 2 – weight loss study)

Measure	Prune Group (n= 50)		Active Control (n= 50)	
	Baseline (Week 0)	Week 12	Baseline (Week 0)	Week 12
Body Weight (kg±SEM)	82.59 (±1.70)	80.60* (±1.70)	84.13 (±1.70)	82.6* (±1.72)
Change (kg)		-1.99		-1.53
Change (% kg)		-2.41		-1.82
Waist (cm±SEM)	99.91 (±1.18)	97.51* (±1.10)	101.84 (±1.79)	100.10* (±1.23)
Change (cm)		-2.40		-1.74
Change (% cm)		-2.29		-1.62

*Significant reduction from baseline (p<0.001)

Table 9. Weight of food consumed and energy intake across the study day (mean ± SD) following consumption of mid-morning and mid-afternoon snacks (phase 2 weight loss study)

Measure	Day 1 (Baseline) [†]		Day 29 (4 weeks) [‡]		Day 57 (8 weeks) [‡]	
	Active	Prune	Active	Prune	Active	Prune
	Control	Group	Control	Group	Control	Group
	Group		Group		Group	
	(n= 50)	(n= 50)	(n= 50)	(n= 50)	(n= 50)	(n= 50)
Gram intake (g; mean±SD)	1780.73 ±58.22	1759.15 ±54.52	1692.05 ±54.38	1634.85 ±57.46	1628.52 ±53.55	1613.03 ±56.85
Energy intake (kcal; mean±SD)	3686 ±156	3635 ±119	3422 ±138	3186 ±122	3217 ±123	3067 ±111

[†]Both groups consumed the control snack (males 122g & females 100g jelly babies); [‡]Active control group consumed the control snack (jelly babies); prune group consumed the prune snack (males 171g & females 140g prunes)

Supplementary Table. Outline of structured weight loss plan

Week	Topics covered & take-home resources
Week 1 Session 1 HOW	<p>Eating well video 1: Outline of the Eatwell plate, including portion control.</p> <p>Eating well Summary session Supply with the eating well leaflet (additional leaflet to be sent week 2)</p> <p>What did you eat yesterday?: Provide subjects with a blank Eatwell plate resource; show slide outlining how many items are required from each food group to achieve weight loss (consider portion sizes later – point 5); Discuss how subjects could improve the balance & then ask them to edit their original</p> <p>Eating well video 2: Demonstration of using the Eatwell plate when creating a meal. Shows how a typical family meal can be improved to make a healthier option and contain fewer calories; provide subjects with examples of unbalanced & balanced Eatwell plate resources.</p> <p>Create a typical day menu utilising Portion Size booklet: Show on the Eatwell plate resource how it could be improved to ensure a full balance is achieved in a NEW eating plan to fit personal diet</p> <p>Guideline Daily Amounts (GDAs): Presentation (with notes for trainers). Summary for subjects with GDA examples plus GDA cards (credit card size). Provide to take home:</p> <ul style="list-style-type: none"> • 1 day menus in the food diary format - as example of how the food diaries can be used • 30 blank food diaries so that they can fill in their daily diet (separate copies for men & women) • Food swaps document and BHF booklet • Shopping list, and SMART goals – briefly discuss
Week 2	Post copy of Healthy weight loss tips document as a refresher to week 1: separate copies for prune group & active control (non-prune group)
Week 4 Session 2 WHY	<p>Discuss healthy weight loss tips document (week 2) using the following prompts:</p> <ul style="list-style-type: none"> • How are you getting on with checking food labels? • Are you still counting your portions? • What new things have you learnt? What habits have you changed? • Give an example of what you have put into practice from the leaflets over the last 4 weeks • What are you finding difficult? • SMART goals set at home <p>Fat & Sugar True & false (interactive) Traffic lights & Back of pack labelling What did you eat yesterday?: repeat blank Eat well plate resource; then compare with original created in session 1</p> <p>1 week meal plan: provide to subjects - contains menu ideas to help achieve a balanced weight loss</p> <p>BHF weekly menu template (p66). Use Eat well resource to help create a menu of the foods that you are going to eat for dinner each week and from this create your shopping list. This will help you to only buy the foods that you need; helps reduce food wastage; and if you stick to your shopping list stops you impulse buying those high fat and sugar snacks and treats. Never shop when you are hungry. This will also save you money! Use this approach every week before you go shopping. Provide subjects with 30 Blank food diaries to take home:</p>
Week 5 phone call	<p>Still eating breakfast?? Reinforce benefits of eating breakfast</p> <ul style="list-style-type: none"> • Post list of 'have foods ready that you can eat on the go'
Week 7 phone call	<p>How are you getting on with the eating plan? Are you having something substantial for lunch? Are you still counting your portions?</p> <ul style="list-style-type: none"> • Post recipes which include sandwiches & packed lunch ideas
Week 8 Session 3	<p>Discussion using the following prompts:</p> <ul style="list-style-type: none"> • How getting on with weight loss plan • Are you using GDAs on pack • Are you still filling out the blank food diaries? • Are you still counting your portions? • Has weight loss slowed? If so, refer back to portion control, and remember as our weight reduces, we need less energy & it is equally important that weight gain hasn't occurred • Have you noticed any health benefits with your weight loss? Has weight loss slowed? If so, refer back to portion control, and remember as our weight reduces, we need less energy & it is equally important that weight gain hasn't occurred. Weight loss of ½ lb per week is more likely to stay off in the long term so don't be disheartened • Any concerns? <p>Another example of an improved daily menu: balanced day and unbalanced day shown on the Eatwell Plate resource; balanced day shown on the diary template</p> <p>Eating out tips: discuss better choices using eating out tips document; use takeaway menus as a practical task, allowing subjects to pick some better choices</p> <p>Treats and Alcohol – sensible swaps</p> <p>Health benefits of losing weight and Motivational tips</p> <p>SMART goals (refer back to session 1 – remember that goals are small achievable changes)</p> <ul style="list-style-type: none"> • Review goals set out in week 4 – can these be added to and improved further? • Set new goals for the last section of the study
Week 9 phone call	<p>Are you using GDAs to pick healthier options when you are shopping?</p> <p>Are you adding vegetables/salad to your dinner?</p> <p>Discuss evening meal recipe ideas that are easy to prepare</p>

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Week 11 phone call	Are you still sticking to the eating plan? Encourage to stay on eating plan Explain why weight loss may have slowed down (refer to week 8 session)
Post study	You have lost weight, now also focus on high fibre foods & increasing physical activity levels to add further boost to weight loss &/or maintain lost weight permanently (refer to BDA info). Send home with Waist & hip measurement wheel, high fibre document & physical activity document

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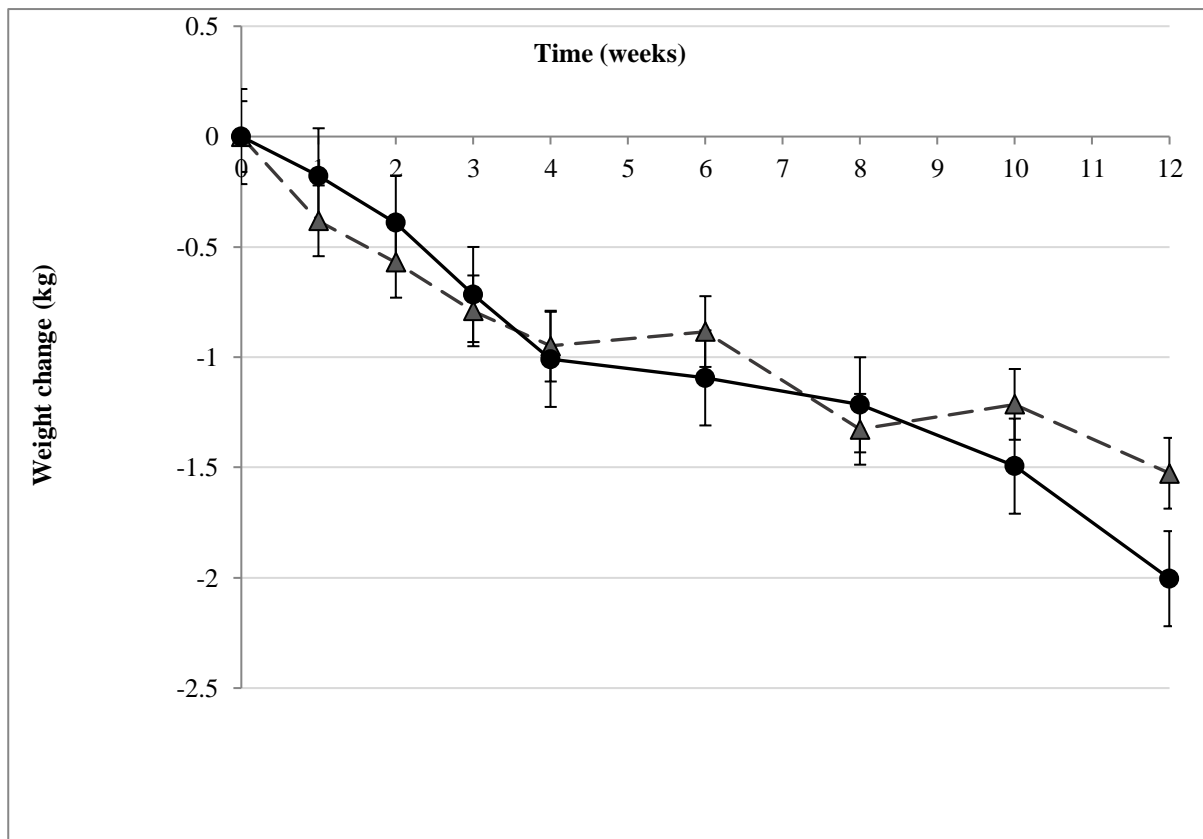


Figure 1: Cumulative mean weight loss (kg) over 12 weeks

—▲— control —●— prunes

Figure 2 (a)

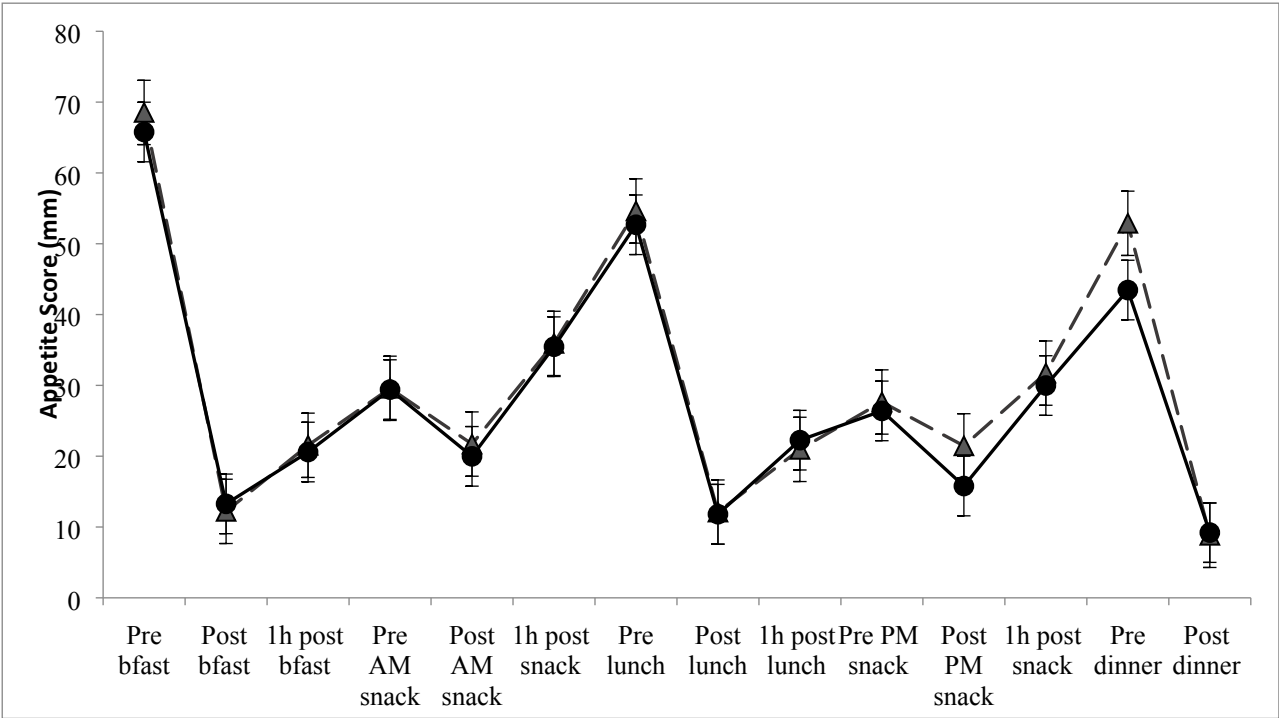


Figure 2 (b)

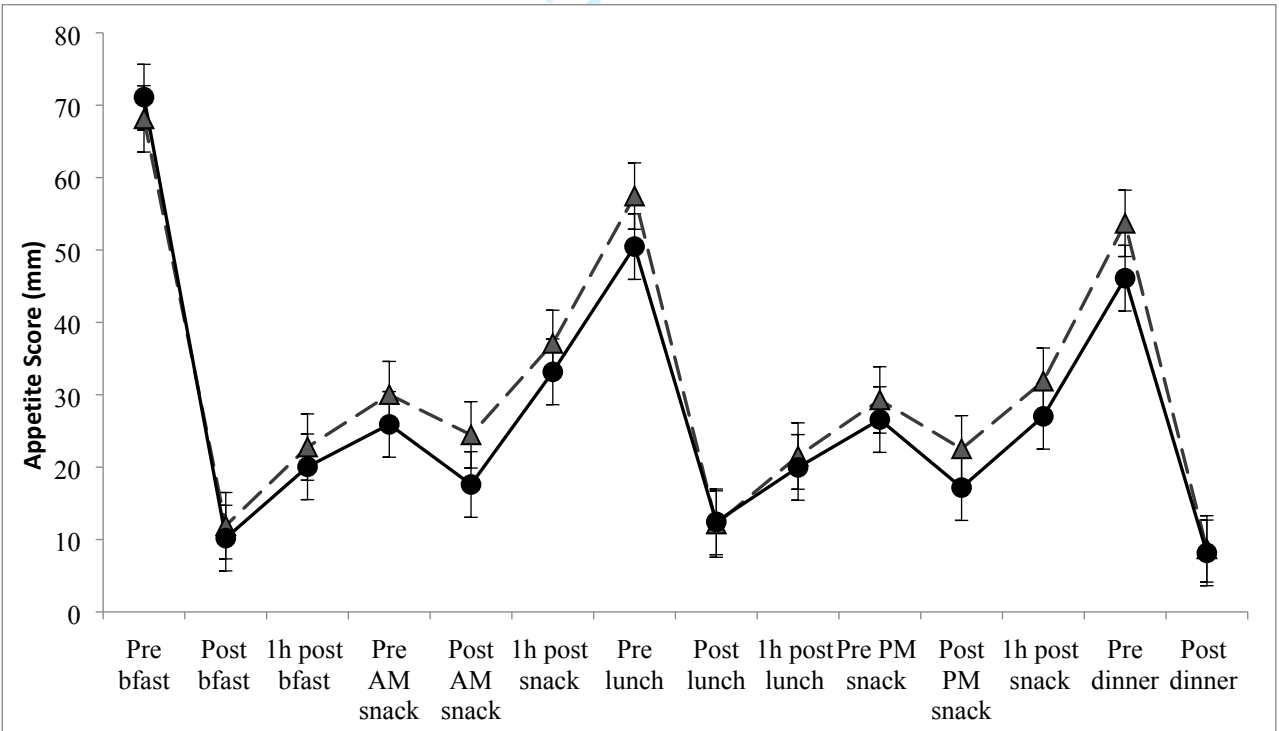


Figure 1: Cumulative mean weight loss (kg) over 12 weeks

Volunteers, 50 healthy, overweight adults in the control group (—▲—) and 50 healthy, overweight adults in the prunes group (—●—) participated in a 12-week free-living intervention study with a randomised, parallel-groups design. The prunes group ate 171g prunes/day (males) or 140g prunes/day (females) in place of usual snacks within a structured weight management programme. The control group followed the same structured weight management programme with instruction on inclusion of healthy snacks in their diet. Results are mean \pm SEM.

Figure 2: Appetite score (mm change from baseline) throughout test days at (a) 4 weeks and at (b) 8 weeks.

Volunteers, 50 healthy, overweight adults in the control group (—▲—) and 50 healthy, overweight adults in the prunes group (—●—) participated in a 12-week free-living intervention study with a randomised, parallel-groups design. The prunes group ate 171g prunes/day (males) or 140g prunes/day (females) in place of usual snacks within a structured weight management programme. The control group followed the same structured weight management programme with instruction on inclusion of healthy snacks in their diet.

Appetite was measured on 3 test days – day 1 (baseline measure, results not shown): both groups received a control snack of jelly babies (122g males; 100g females); on days 29 (4 weeks) and 57 (8 weeks) the prune group received prune snacks (171g males; 140g females) and the control group received jelly babies (122g males; 100g females). On each test day participants consumed a fixed-load breakfast, followed 2 hours from the start of breakfast by their mid-morning snack eaten over a 15-minute period, 2 hours later (four hours from the start of breakfast) by an ad-libitum lunch, 2 hours from the start of lunch by the afternoon snack and 2 hours later by an ad-libitum dinner (four hours from the start of lunch).

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Participants marked their subjective ratings for hunger, desire to eat and fullness on Visual Analogue Scales (100 mm horizontal lines anchored by ‘not at all’ and ‘extremely’ at opposite ends), from which appetite score was calculated using the formula [(hunger + desire to eat) – fullness]/3 for each full study day (14 time points) and for the periods between each snack and the next *ad-libitum* meal. Appetite scores were compared across study groups. Analysis was performed using mixed ANOVA with time as the within subjects’ factors and study group as the between subjects’ factors. Appropriate post-hoc independent t-tests were run at individual time points between study groups to identify where differences lay. Results are mean ± SD.

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