

1 **The effect of plasma triglyceride-lowering therapy on the evolution of**  
2 **organ function in early hypertriglyceridemia-induced acute**  
3 **pancreatitis patients with worrisome features (PERFORM study):**  
4 **rationale and design of a multicenter, prospective, observational,**  
5 **cohort study**

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33

### 34 **Abstract**

35 **Background:** Acute pancreatitis is a potentially life-threatening inflammatory disease  
36 with multiple etiologies. The prevalence of hypertriglyceridemia-induced acute  
37 pancreatitis (HTG-AP) has been increasing in recent years. It is reported that early  
38 triglyceride (TG) levels were associated with the severity of the disease, and TG-  
39 lowering therapies, including medical treatment and blood purification, may impact the  
40 clinical outcomes. However, there is no consensus regarding the optimal TG-lowering  
41 therapy, and clinical practice varies greatly among different centers. Our objective is to  
42 evaluate the TG-lowering effects of different therapies and their impact on clinical  
43 outcomes in HTG-AP patients with worrisome features.

44 **Methods:** This is a multicenter, observational, prospective cohort study. A total of  
45 approximately 300 patients with HTG-AP with worrisome features are planned to be  
46 enrolled. The primary outcome is organ failure (OF) free days to 14 days after  
47 enrollment. Secondary outcomes include new-onset organ failure, CT severity score,  
48 level of TG, ICU free days to 14 days after enrollment, 60-day mortality, etc.  
49 Generalized linear model (GLM), Fine and Gray competing risk regression, and  
50 propensity score matching will be used for statistical analysis.

51 **Discussion:** Results of this study will reveal the current practice of TG-lowering therapy  
52 in HTG-AP and provide necessary data for future trials.

53 **Clinical trial registration:** Registered at <https://www.chictr.org.cn/index.aspx>. Trial  
54 registration number: ChiCTR2000039541

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58 Keywords: Acute pancreatitis; hypertriglyceridemia; TG-lowering therapy; organ  
59 failure free day; cohort study

60

## 61 **Background**

62 Acute pancreatitis (AP) is a potentially life-threatening inflammatory disease caused  
63 by multiple etiologies, such as alcohol, gallstones, and hypertriglyceridemia (HTG).  
64 HTG is the third most common cause of AP, accounting for 4-10% of cases globally,  
65 and the increasing prevalence of HTG-AP had been reported in recent studies [1-4]. In  
66 China, HTG had been the second leading cause of AP, and previous studies showed that  
67 HTG-AP patients had a higher risk of severe acute pancreatitis and multiple organ  
68 dysfunction syndrome (MODS) than other types of AP [2, 5-7].

69 Although the pathophysiology underlying HTG-AP remains controversial, it is widely  
70 accepted that free fatty acid (FFA) is one of the driving factors [8]. FFA, produced by  
71 the hydrolysis of triglyceride (TG), can initiate or worsen the disease by triggering  
72 inflammatory reactions, damaging the pancreatic cell, and promoting microvascular  
73 thrombosis within the pancreatic tissue [9]. Nawaz et al. found that elevated serum TG  
74 levels in AP patients were independently and proportionally correlated with persistent  
75 organ failure (POF) regardless of etiology [6]. In an observational study conducted by  
76 Lu et al., timely reduction of serum TG during the early phase of HTG-AP was found  
77 to be associated with decreased incidence of POF [10].

78 Over the past years, several attempts had been made to lower serum TG more  
79 efficiently during the acute phase of the disease, including medical treatment with  
80 insulin and/or heparin, blood purification, and gene therapy in cases [11]. Medical  
81 treatment is convenient and safe and is considered the first-line choice for TG-lowering  
82 therapy[4]. Heparin stimulates the release of endothelial lipoprotein lipase into  
83 circulation, while insulin activates lipoprotein lipase, thereby increasing the clearance  
84 of chylomicrons from plasma [12]. However, the impact of medical therapy on clinical  
85 outcomes is uncertain, and an observational study is ongoing to figure it out [13]. Blood

86 purification, especially plasmapheresis, is also widely used in TG-lowering therapy.  
87 Plasmapheresis rapidly removes triglycerides from plasma and is considered one of the  
88 most efficient TG-lowering therapies [14]. Technically, it is a therapeutic procedure in  
89 which the blood of the patient is passed through a medical device that separates plasma  
90 from other components of blood. The plasma is removed and replaced by a replacement  
91 solution (e.g., albumin and/or plasma) or a combination of crystalloid/colloid solution  
92 [15]. Double filtration plasmapheresis (DFPP) is a semi-selective apheresis method  
93 based on a double filter system, which can remove macromolecules selectively [16].  
94 Both techniques are widely adopted, while plasmapheresis is thought to be more  
95 effective in removing FFA [17]. Other blood purification modalities were also reported  
96 effective in lowering plasma TG, including hemoperfusion and hemofiltration [18, 19].  
97 A randomized control trial (RCT) reported that high-volume hemofiltration (HVHF)  
98 decreased TG levels more efficiently than medical therapy [18].

99 For the target of TG-lowering therapy, it is regarded that reducing the TG level to  
100 5.65 mmol/L might be clinically sufficient [20]. Lu et al. found that patients with earlier  
101 TG levels of less than 5.65 mmol/L were less likely to develop POF [10]. However, the  
102 optimal TG lowering target and choice of therapies in early HTG-AP are unclear due  
103 to the lack of high-quality studies. Given the paucity of evidence in the literature and  
104 the variation in the management of HTG-AP, we conducted this multicenter,  
105 observational study and built PERFORM registry to evaluate the TG-lowering effects  
106 of different therapies and their impact on clinical outcomes in HTG-AP patients with  
107 worrisome features.

108

## 109 **Methods**

### 110 *Study objectives*

111 The primary objective of the study is to evaluate the relationship between TG decline  
112 and the evolution of organ failure in a cohort of early HTG-AP patients with worrisome  
113 features. The secondary objectives are to characterize the current clinical practice  
114 regarding TG-lowering therapy, describe the association between different choices of

115 therapy and clinical outcomes.

116

### 117 *Study Design*

118 The PERFORM study is a multicenter, observational, prospective cohort study. The  
119 overall cohort is HTG-AP patients presenting with worrisome features. It was registered  
120 on October 30th, 2020, in the Chinese Clinical Trial Registry (ChiCTR2000039541,  
121 <https://www.chictr.org.cn/index.aspx>). The PERFORM study was designed and  
122 coordinated by the Chinese Acute Pancreatitis Clinical Trials Group (CAPCTG).

123

### 124 *Study population*

125 Recruitment started on November 1st, 2020, and is scheduled to end on October 31st,  
126 2022. This study is planned to recruit patients admitted to 30-40 hospitals across China.  
127 All adult patients presenting with early HTG-AP with worrisome features would be  
128 consecutively enrolled. The definition for HTG-AP with worrisome features is  
129 indicated as below.

130 All adult patients with AP admitted to the participating centers will be assessed for  
131 eligibility after admission (Fig 1). The inclusion and exclusion criteria are as follows:

### 132 *Inclusion criteria*

- 133 1. Age between 18 to 70 years old;
- 134 2. Within 72 hours from the onset of abdominal pain;
- 135 3. Symptoms and signs of AP based on abdominal pain suggestive of AP, serum  
136 amylase at least three times the upper limit of normal, and/or characteristic findings of  
137 AP on computed tomography or less commonly magnetic resonance imaging (MRI) or  
138 transabdominal ultrasonography according to the Revised Atlanta Criteria [21].
- 139 4. When enrolled, TG>1000mg/dL (11.3mmol/L), accompanied by the clinical  
140 features of any one or more of the following [22]:
  - 141 1) Signs of hypocalcemia (calcium levels less than 2 mmol/L);
  - 142 2) Lactic acidosis (Lactate levels more than 2 mmol/L and PH < 7.35);
  - 143 3) The systemic inflammatory response syndrome (SIRS) is clinically recognized by

144 the presence of two or more of the following:

145 a) Temperature  $>38.5^{\circ}\text{C}$  or  $<35.0^{\circ}\text{C}$ ;

146 b) Heart rate of  $>90$  beats /min;

147 c) Respiratory rate of  $>20$  breaths/min or PaCO<sub>2</sub> of  $<32$  mmHg;

148 d) WBC count of  $>12,000$  cells/mL,  $<4000$  cells/mL, or  $>10$  percent immature (band)  
149 forms;

150 4) Organ failure defined by the sequential organ failure assessment (SOFA) score for  
151 respiration, renal and cardiovascular systems.

152 *Exclusion criteria*

153 1. Failure to obtain informed consent;

154 2. Pregnant or lactating women; or have a pregnancy plan within a month after the study  
155 (including male subjects);

156 3. Researchers' family members who are directly involved in the study;

157 4. Patients are expected to die within 48 hours after enrollment, defined as patients with  
158 norepinephrine usage at a dose of 25 mg/min or more under full-fluid resuscitation,  
159 with a systolic blood pressure  $<90$ mm Hg and serum pH values  $<7.0$ . The judgment  
160 will be made by the treating physician.

161 Informed consent should be sought for each participant or a patient's relative. They  
162 are free to withdraw from the study for any reason without the need for further  
163 explanation. This prospective observational study examines clinical outcomes in early  
164 HTG-AP patients with worrisome features treated with TG lowering therapy (from  
165 fasting to sophisticated blood purification). Therefore, no mandatory intervention or  
166 randomization is proposed. The main treatment modalities for TG-lowering therapy  
167 include fasting, medical treatment (either heparin, insulin, or both), and blood  
168 purification, including hemoperfusion, hemofiltration, and therapeutic plasmapheresis.  
169 The treatment is at the discretion of treating physicians.

170 We recommend all patients receive standard treatment that follows the "Acute  
171 Pancreatitis Treatment Guidelines" issued by the American College of  
172 Gastroenterology (ACG) in 2013 and the standard treatment plan for acute pancreatitis

173 provided in the "Evidence-Based Guidelines for the Treatment of Acute Pancreatitis"  
174 issued by the International Association of Pancreatology (IAP) and the American  
175 Pancreatic Association (APA) [23, 24].

176

177 *Primary outcome measure*

178 The primary outcome is organ failure (OF) free days to 14 days after enrollment.  
179 Only the final period of OF-free days is included, and patients who have OF at day14  
180 or died before day14 are assigned to zero OF-free days.

181 *Secondary outcome measures*

182 *Part I: Secondary outcomes during the index admission*

- 183 1. New-onset organ failure;
- 184 2. New-onset multiple-organ failure (MOF);
- 185 3. New-onset persistent organ failure (POF);
- 186 4. New receipt of organ support;
- 187 5. Requirement of ICU admission;
- 188 6. ICU free days to day 14;
- 189 7. Hospital free days to day 14;

190 *Part II: Secondary outcomes within 60 days after enrollment*

- 191 1. Mortality censored at 60 days after enrollment;
- 192 2. AP severity grade (Based on the Revised Atlanta Classification);
- 193 3. Incidence of infected pancreatic necrosis (IPN);
- 194 4. Incidence of septic shock;
- 195 5. Incidence of abdominal bleeding;
- 196 6. Incidence of gastrointestinal fistula.

197

198 *Definition of outcomes*

199 An individual SOFA score of 2 or more for the respiration, cardiovascular, or renal  
200 system is defined as the presence of organ failure. New-onset organ failure is defined  
201 as organ failure that is not present at any time in the 24 h after enrollment. Multiple

202 organ failure is defined as two or more organ failures present at the same time.  
203 Persistent organ failure is defined as organ failure that persists for more than 48 hours.  
204 ICU free days to day 14 after enrollment is defined as the number of days alive and not  
205 admitted to an ICU after the patient's latest discharge from the ICU before day 14. If  
206 the patient is admitted to an ICU on day 14 or dies prior to day 14, ICU-free days will  
207 be 0. Hospital-free days to day 14 after enrollment is defined as the number of days  
208 alive and not admitted to the hospital after the patient's final discharge from the hospital  
209 before day 14.

210

### 211 *Data collection and management*

212 A web-based electronic database (access through the website of the CAPCTG,  
213 <https://capctg.medit.cn/>) is used for data collection and storage. All data are de-  
214 identified and input by the primary investigator or nominated investigators (less than  
215 two for each participating center) approved by the primary investigator, and a double  
216 check will be done by the research coordinator. Training for data entry is performed by  
217 the provider of the electrical database (Unimed Scientific, Inc, Wuxi, China) and the  
218 coordinating and data management center of the CAPCTG. Data including  
219 demographic characteristics, baseline characteristics, daily laboratory test, daily TG-  
220 lowering treatment, daily SOFA score, and follow-up characteristics. Demographic  
221 characteristics include age and sex. Baseline characteristics include body mass index  
222 (BMI), SOFA score on admission, Acute Physiology and Chronic Health Evaluation II  
223 (APACHE II) score on admission, the systemic inflammatory response syndrome (SIRS)  
224 on admission. Daily laboratory tests include serum total cholesterol (TC), triglyceride  
225 (TG), high-density lipoprotein cholesterol (HDL-c), low-density lipoprotein cholesterol  
226 (LDL-c), apolipoprotein A1 (Apo A1), apolipoprotein B (Apo B), apolipoprotein E  
227 (Apo E), lipoprotein a [LP(a)], free fatty acids (FFA), C-reactive protein (CRP), and  
228 procalcitonin (PCT). Daily TG-lowering treatment includes blood purification  
229 treatment (e.g. plasma exchange, hemoperfusion, and hemofiltration) and medical  
230 treatment (e.g. insulin and heparin). Follow-up characteristics include ICU days,



231 hospital days, in-hospital cost, revision of the Atlanta classification on admission, CT  
232 severity index (CTSI) score (Based on the last image before discharge or death),  
233 mortality, and incidence of major complications on day60. According to the schedule  
234 shown in Table1, the investigators are required to collect data during the index  
235 admission and on day 60 after enrollment. And a follow-up on day60 will be  
236 implemented through telephone.

237

#### 238 *Sample size justification*

239 Based on the feasibility and patient flow of the participating sites, a sample size of  
240 300 patients was expected, with an average of 15 patients per month within two years.  
241 Considering an estimated 20% rate of incomplete data or losses of follow-up, our  
242 expected sample size (240 patients) would provide 87% to detect a 2-days (SD: 5) or  
243 82.5% for 1.5-days (SD: 4) improvement of organ failure free days between patients  
244 achieve target TG and those not.

245

#### 246 *Statistical analysis*

247 Continuous normally distributed data were reported as means with SDs. Skewed  
248 continuous data were reported as medians and interquartile ranges (IQRs). Categorical  
249 data will be summarized by counts and percentages. The intergroup difference will be  
250 compared by Student's t-test or Wilcoxon rank-sum test for continuous variable  
251 depending on their normality and chi-square test for categorical data.

252 To evaluate the association between TG decline and OF free days, the study patients  
253 will be dichotomized depending on whether the TG level reaches 5.65mmol/L on  
254 Day3(the day of enrollment is labeled Day1, the next day labeled Day2, and the  
255 following day Day3). For the primary outcome comparison, Wilcoxon rank-sum test  
256 will be employed. However, since OF could be evaluated with a time-to-event analysis  
257 censored at 14 days to account for the mortality as a competing event, Fine and Gray  
258 competing risk regression is used to assess the group difference as a supportive analysis.

259 For the association between different TG lowering therapy and OF free days, we

260 considered the possibility that baseline characteristics, which were expected to be  
261 prognostic for OF, differ according to the choice of TG lowering therapies (i.e., blood  
262 purification treatment and medical treatment). A propensity score matching will be  
263 further used to compensate for the intergroup unbalance.

264 For secondary outcomes, a multivariate analysis generalized linear model (GLM)  
265 model will be performed to identify its association with TG decline and TG-lowering  
266 therapy with proper link and distribution function. The variable included in the model  
267 will be age, sex, TG level at enrollment, and other baseline variables that have  
268 significant differences between groups.

269

## 270 **Discussion**

271 Several studies have shown that TG level was associated with the development of  
272 organ failure in HTG-AP patients [6, 7, 10]. However, few studies evaluated whether  
273 timely reduction of TG levels can impact the evolution of organ failure during the early  
274 phase of HTG-AP. A retrospective study found that patients reaching the target TG level  
275 of less than 5.65 mmol/L faster were less likely to develop POF ( $P = 0.002$ ) [10].  
276 However, considering the embedded bias of retrospective studies, prospective studies  
277 are needed to provide reliable data for future trials.

278 Despite the paucity of evidence, prompt reduction of triglycerides is commonly  
279 considered helpful [8, 25, 26]. Given the role FFA may play in the pathophysiology of  
280 HTG-AP, insulin therapy seems promising, as it lowers TG level by reversing the stress-  
281 associated release of fatty acids from adipocytes, which can promote intracellular TG  
282 generation within adipocytes and fatty acid metabolism [27]. For heparin, it stimulates  
283 the release of LPL from endothelial cells. However, its use remains controversial  
284 because the increase of serum LPL caused by heparin can decrease rapidly due to  
285 hepatic degradation, resulting in depletion in the LPL storage [13]. Insulin/heparin  
286 treatment has been frequently used in the management of HTG-AP. However, its impact  
287 on TG reduction and clinical outcomes is unclear. A retrospective study conducted by  
288 Dhindsa et al. showed a similar triglyceride-lowering effect between additional insulin

289 infusion and conventional therapy [28]. On the contrary, a meta-analysis reviewed three  
290 RCTs found intensive insulin therapy was associated with a shorter length of  
291 hospitalization and lower APACHE II score in SAP patients [29].

292 For blood purification, several studies assessed the effect of plasmapheresis on TG  
293 reduction and clinical outcomes. A systematic review involving eight studies found that  
294 it is effective in reducing TG level with a 69.6% decrease after treatment [14]. Two  
295 studies reported a reduction of APACHE-II scores before and after plasmapheresis,  
296 while another retrospective study found plasmapheresis did not decrease morbidity or  
297 mortality [30-32]. Based on the current evidence, HTG-AP is a category III, grade 2C  
298 indication for therapeutic plasma exchange in the American Society for Apheresis  
299 (ASFA) guidelines [15]. Hemoperfusion (HP) is another blood purification modality  
300 that can absorb large pathogenic molecules from circulation by adsorbent materials  
301 installed in the HP cartridge. Hemofiltration is another choice, which was reported to  
302 be beneficial for AP patients [33, 34]. However, there are no studies that have  
303 demonstrated the benefits of HP among HTG-AP patients by now. For other modalities,  
304 an RCT by He et al. found that high-volume hemofiltration (HVHF) can lower TG  
305 levels more efficiently than insulin/heparin therapy but cannot improve clinical  
306 outcomes [18]. A small pilot study enrolled 20 HTG-AP patients undergoing  
307 conventional treatment alone (the control group) or combined HVHF and HP treatment.  
308 The results showed a more significant reduction of TG level and improved clinical  
309 outcomes in the latter [35].

310 Taken together, there is no high-quality evidence demonstrating the clinical benefits  
311 of any specific TG-lowering therapy, and the primary choice of treatment varies  
312 significantly among different centers. Moreover, no AP guidelines have clear  
313 recommendations regarding TG-lowering therapy by now [23, 24]. The present study  
314 is designed to describe the current practice of TG-lowering therapy in HTG-AP and  
315 provide necessary data for future trials.

316

317 **Ethics and Dissemination**

318 *Ethics*

319 The PERFORM study was approved by the ethics committee of Jinling Hospital  
320 Nanjing University (No. 2020NZKY-016-01) prior to recruitment. All the participating  
321 sites are required to obtain local ethics approval before the commencement of  
322 recruitment. The participants will provide their written informed consent to participate  
323 in this study.

324 *Dissemination policy*

325 All the primary investigators and the sponsor will have full access to the data after  
326 the conclusion of the study. Anyone who wants to do a post-hoc analysis needs to  
327 submit a formal writing proposal to the expert panel. Only approved authors can have  
328 access to the database.

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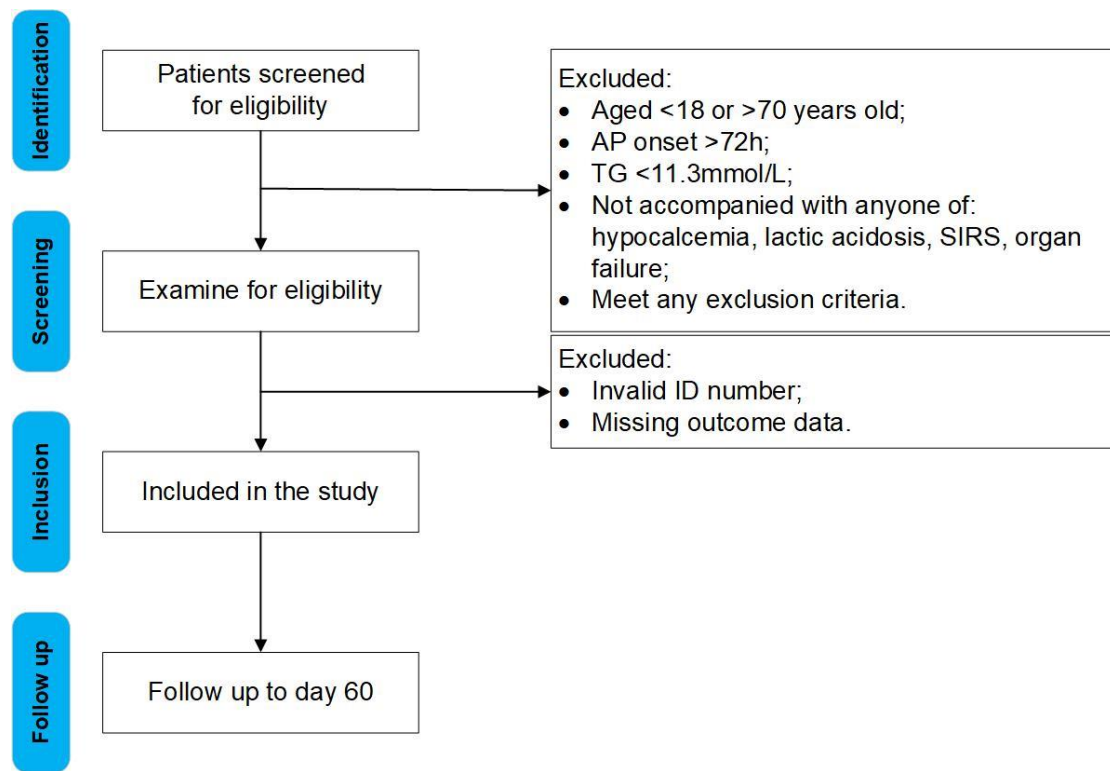
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Fig. 1. Flow chart of participants



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Table1. Schedule of enrollment, assessment and follow up

	Study period			
	Enrollment	Observational period		Discharge
Time point	<72h	Day0	Day1-Day14	Day60

Enrollment:				
Eligibility screen	X			
Informed consent	X			
Laboratory test	X			
Imaging (CT scan etc.)	X			
Assessment:				
Organ failure		X	←→	
Laboratory test		X	←→	
Major treatment		X	←→	
Adverse effects		X	←→	
Follow up:				
Vital status				X
Major complication				X
ICU days& hospital days				X
Cost				X

477 a: Day 0 is defined as the day from enrollment to 8 am the next day.

478 B: Day X is defined as the day from 8 am day X after enrollment to 8 am the next day.

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