

1 **On the mechanism behind the shift of the turbidity maximum zone in response to**  
2 **reclamations in the Yangtze (Changjiang) Estuary, China**

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## **Highlights**

1. The landward boundary of turbidity maximum zone has moved seawards.
2. Ebb dominance is enhanced in the deep channel via channel narrowing and deepening.
3. Coarsening of surface sediments has weakened resuspension.

15 **Abstract**

16 Reclamation in estuaries can greatly change the channel geometry and hydrodynamic  
17 conditions and these changes may have significant impacts on spatial and temporal distribution of  
18 the turbidity maximum zone. This study focuses on the effects of a large area of reclamation built  
19 in 2007-2018 and the behavior of the turbidity maximum zone along the North Channel of the  
20 Yangtze Estuary. Data were collected bathymetry in the North Channel, tidal elevations at Sheshan  
21 Station, river discharge at Datong Station and turbidity, retrieved from six Landsat remote sensing  
22 images in the dry season from 2006 to 2019. In-situ measured data on flow velocity and suspended  
23 sediment concentration were obtained in the dry season of 2003, 2012 and 2018. Analysis of the  
24 data revealed that reclamations, which led to narrowing (0.86-2.74 km) and fixing of the channel,  
25 caused erosion of 0.19-3.72 m in the deep channel and deposition on the tidal flats. Furthermore, it  
26 was found that the **length** of the turbidity maximum zone decreased: its landward boundary shifted  
27 5 km seaward during spring tide and 17 km seaward during neap tide in the dry season, and the  
28 position of the seaward boundary wandered within a range of 3 km, being further downstream during  
29 the neap tide than that during the spring tide. A conceptual model of changes in the borders of the  
30 turbidity maximum zone in response to reclamation is proposed. After construction of the  
31 reclamation, the deeper and narrower channel intensified ebb-dominance of the flow velocity. The  
32 coarsening of bed sediment weakened resuspension and decreased the bottom tidally averaged  
33 suspended sediment concentration. These changes led to a significant decline in the depth-mean of  
34 tidally averaged suspended sediment concentration and caused the seaward movement of the  
35 landward boundary of the turbidity maximum zone.

36 **Keywords**

37 Suspended sediment; Estuarine engineering; Channel geometry; Remote sensing images

38

39 **1. Introduction**

40 The turbidity maximum zone (TMZ) is the region within an estuary where the suspended  
41 sediment concentration (SSC) is significantly higher than that in adjacent waters. The TMZ is  
42 formed as a result of sediment accumulating under the dynamic interaction between runoff,  
43 stratification and tide and it regularly migrates within a certain range (Li and Zhang, 1998; Doxaran  
44 et al., 2009). The dynamics of suspended sediment in the TMZ plays an important role in the  
45 morphological evolution of the channel and shoals (Wu et al., 2012), as well as in the transport of  
46 nutrients and pollutants (Gebhardt et al., 2005). The TMZ has been observed and studied in many  
47 estuaries around the world (Burchard et al. 2018 and references herein) and measurements of its  
48 spatial distribution are often used as a reference for maintaining navigation channels (de Jonge et  
49 al., 2014) and for ecological conservation (Mitchell, 2013).

50 It is well established that land reclamation projects conducted in estuaries modify the channel  
51 geometry and alter the hydrodynamics and accumulation of sediment (Williams et al., 2015; Gao et  
52 al., 2018). For example, the tidal-choking effect of reclamation caused a decrease in flood  
53 dominance within the main branch of the Yalu River Estuary (Cheng et al., 2020) and reclamation  
54 in the Ems estuary decreased the area of subtidal flats by reducing the amount of fine-grained  
55 sediment deposited (van Maren, et al., 2016). However, the effect of reclamation on changes in the  
56 characteristics of the TMZ, such as shifts of its borders and location and intensity of the maximum  
57 SSC, has hardly been studied. A series of reclamation projects has been conducted in the Yangtze

58 Estuary (YE) since the 1990s. During this period, the land area in the YE has increased by 10%  
59 (Zhang et al., 2020), the growth rate of the subtidal zone has decreased from  $6.0 \text{ km}^2 \text{ yr}^{-1}$  (1977–  
60 1994) to  $-6.2 \text{ km}^2 \text{ yr}^{-1}$  (1994–2011) (Du et al., 2016), and the slope of tidal flats between the -2 m  
61 and -3 m isobaths has become steeper (Wei et al., 2017). Most notably the channel geometry of the  
62 North Channel (NC) of the YE has been strongly modified over the past two decades by reclamation  
63 projects, such as the Qingcaosha Reservoir and the Hengsha East Shoal Promoting Siltation project  
64 (HESP) (Wu et al., 2016). Only minor artificial dredging takes place in the NC so variations in  
65 geometry of the NC prior to reclamation works can be regarded as being natural (Mei et al., 2018).  
66 This makes the NC a suitable area to study the spatial change mechanism of the TMZ in response  
67 to estuarine reclamation. The specific aims of this study are to quantify and explain, in the case of  
68 the NC, how reclamation changed 1) erosion-deposition patterns, 2) the length of the TMZ, both  
69 during spring and neap tide, 3) the flow, in particular its ebb/flood dominance, 4) the tidally averaged  
70 suspended sediment concentrations and 5) the composition of the bed sediment. The focus was on  
71 the dry season, as in the Yangtze River basin there have been minimal changes in the river discharge  
72 during that season since the Three Gorges Project and construction of fresh water reservoirs were  
73 completed (Guo et al., 2018).

74 Changes in channel morphology due to reclamations were derived from bathymetric data.  
75 Clearly, in-situ observations are most useful to assess variations in hydrodynamics and sediment  
76 dynamics between pre- and post-engineering. However, due to the lack of spatial coverage they  
77 cannot provide a macro view of the surface suspended sediment concentration (SSSC) distribution.  
78 Therefore, this study also uses remote sensing data of suitable spatial coverage at various time  
79 intervals (Wackerman et al., 2017). In the analyses conducted in this study, both Landsat remote

80 sensing images and in situ measured data from the dry season were used. Combined with the spatial  
81 changes of TMZ and the variations of hydrodynamics and sediment dynamics between pre- and  
82 post-reclamations, the mechanism behind the shift of the TMZ in response to reclamation was  
83 unraveled.

84 The remainder of this paper is organized as follows. Section 2 introduces the geographical  
85 setting of the NC, including the information about the large-scale estuarine reclamation projects  
86 conducted in the NC over the past two decades. The methods used are presented in Section 3. Next,  
87 Section 4 describes the variations in channel geometry and spatial distribution of the TMZ of the  
88 NC post-reclamation. It further presents the changes in the hydrodynamic and vertical profiles of  
89 the tidally averaged suspended sediment concentration in the NC, as well as a grain size analysis of  
90 bed sediments. Section 5 discusses the spatial changes mechanism of the TMZ in response to  
91 reclamation in estuaries and proposes a conceptual model. Finally, Section 6 presents the  
92 conclusions.

93

## 94 2. Geographical Setting

95 The Yangtze River has a length of approximately 6300 km. The YE is a mesotidal estuary  
96 (Luan et al., 2016) with a length of 90 km, a width of 90 km at its outer limit (Figure 1b). Tidal  
97 range in the YE is in the range 2-3.83 m (Cheng et al., 2018). The annual average runoff between  
98 2009 and 2019 was  $8.89 \times 10^{11} \text{ m}^3$  and observational data from Datong gauging station show that  
99 the annual average sediment transport decreased from  $4.22 \times 10^8 \text{ t yr}^{-1}$  (2003–2019) to  $1.32 \times 10^8$   
100  $\text{t yr}^{-1}$  (2003–2019) (Zheng et al., 2018). The YE has developed a three-order bifurcation and four-  
101 outlet configuration downstream. First, the YE is divided into North Branch (NB) and South Branch

102 (SB) by **Chong Ming Island**. The South Branch is further divided into the North Channel (NC) and  
103 South Channel (SC) by Changxing and Hengsha Island. The South Channel is followed by the North  
104 Passage (NP) and South Passage (SP), which are separated by the Jiuduansha shoal (Figure 1b). The  
105 NC is part of the second-order bifurcation with a length of 70 km (Wang et al., 2013). Approximately  
106 fifty percent of the runoff from the Yangtze River flows through the NC in the YE into the East  
107 China Sea (Wu et al., 2016).

108 The dates and locations of major engineering projects are shown in Table 1, from which it is  
109 evident that most of these projects began in 2005 and 2007. According to the magnitude of the tidal  
110 flow and the location of the project, the NC is divided into three segments (Figure 1c). The upper  
111 segment is located near the Qingcaosha Reservoir, where runoff is strong. The middle segment is  
112 situated downstream of the Qingcaosha Reservoir. Finally, the lower segment is located near the  
113 Hengsha Shoal (HESP), where the effect of tidal flow is largest.

114

### 115 **3. Materials and methods**

#### 116 **3.1. Bathymetric data and variations in channel morphology**

117 Bathymetric data of the NC between Baozhen and the outer estuary of the NC (Figure 1c) were  
118 obtained from navigation maps compiled by the China Maritime Safety Administration (China MSA)  
119 in 1995, 2007 and 2018. These navigation maps have a scale of 1:25000 and the base levels provided  
120 are the theoretical depth datum, which is the lowest water level obtained from a combination of 13  
121 gauging stations within the YE.

122 After geometric correction of navigation maps, shoreline and bathymetry data were

123 transformed into a Universal Transverse Mercator Grid System (UTM) via the WGS84 coordinate  
124 system in ArcGIS. Digital elevation models (DEM) with a resolution of 200 m × 200 m were  
125 established through Kriging interpolation (e.g. Webster and Oliver, 2007). Deposition and erosion  
126 patterns during the period were then obtained by subtracting the previous morphological surveys.  
127 Positive values indicate depth reduced resulted from deposition, vice versa. The large-scale  
128 reclamation projects, such as the Qingcaosha Reservoir and the HESP, both began in 2007. So the  
129 impact of reclamations on channel morphology could be analyzed based on the variations of  
130 deposition and erosion patterns between pre- and post-reclamations.

131

## 132 **3.2. Processing of remote sensing images and defining the TMZ**

### 133 **3.2.1. Selection of remote sensing images and estimating SSSC**

134 The SSSC distributions in the years 2006 to 2019 within the YE were derived from remote  
135 sensing images obtained by Enhanced Thematic Mapper (ETM) of Landsat 7 with a spatial  
136 resolution of 30 m (Table 2).

137 The SSSC in the YE strongly differs between wet and dry seasons, spring and neap tides and  
138 between ebb and flood tides (Shen et al., 2013). To compare and analyze annual variations in the  
139 spatial distribution of the TMZ, we selected six remote sensing images that were similar in terms of  
140 the river discharge, the tide type and the time at which the images were taken.

141 Regarding river discharge, data were used of Datong gauging station, which is located 600  
142 km upstream of the YE (Figure 1a) and is generally considered to be the landward boundary of tidal  
143 impact. It is the runoff and sediment inflow control station of the YE. This study used river discharge



144 recorded at Datong Station on the same dates as that the remote sensing images were taken. Data  
145 about the tides (sea surface variations) on these dates were taken of Sheshan gauging station. The  
146 latter is located outside the YE (Figure 1a), where the tidal characteristics are less affected by  
147 reclamation projects (Cheng et al., 2018).

148 After precise geometric correction of the remote sensing images, the gray value, DN, of the  
149 original images was converted to radiance through radiometric calibration as

$$150 \quad L = \text{gains} \times \text{DN} + \text{bias}.$$

151 In this expression,  $L$  is the pixel radiance value of remote sensing images and DN is the pixel  
152 gray. The value of the gains and bias were obtained from the header file of the remote sensing image.  
153 The FLASH module in ENVI software was used to correct the pixel radiance for influence from the  
154 atmosphere, which resulted in surface reflectance. The SSSC in the YE has obvious peaks in  
155 reflectance to band 4 (760–900 nm) and band 3 (630–690 nm) of Landsat ETM and the fitting degree  
156 of regression between the SSSC and the ratio of surface reflectance in these two bands (TM4/TM3)  
157 is high (Shen et al., 2013). Therefore, a linear regression equation was used to estimate the SSSC  
158 based on the surface reflectance of ETM bands 3 and 4,

$$159 \quad \text{SSSC} = 2.2979x^2 - 2.2950x + 0.6206,$$

160 where  $x$  is the ratio of surface reflectance of Landsat ETM bands 4 and 3 (TM4/TM3). The  
161 correlation between SSSC and the measured data is 0.92 and thus, use of this equation is effective  
162 in the YE (Shen et al., 2013).

### 163 **3.2.2. Definition of the TMZ**

164 The area that has a significantly higher SSSC compared with the adjacent water in the inversion

165 results of remote sensing images is called the TMZ (Doxaran et al., 2009). To make this more  
166 quantitative, it is important to realize that there are long-term trends in SSSC. Due to the retaining  
167 effect of large-scale projects in the basin, and particularly that of the Three Gorges Dam after 2003,  
168 there has been a continuous decrease in the sediment transport into the YE. This led to an overall  
169 reduction of the SSSC (Yang et al., 2010) and the area where SSSC is larger than  $0.7 \text{ kg m}^{-3}$  reduced  
170 by 23% (Jiang et al., 2013). To avoid the influence of the overall reduction in SSSC on determining  
171 the TMZ, the SSSC values derived from remote sensing images were converted into relative SSSC  
172 values (RSSSC) as

$$RSSSC = SSSC / ASSSC.$$

174 Here, *ASSSC* is the area-averaged SSSC within the NC. TMZ is defined as the area where *SSSC*  
175 is larger than *ASSSC*, so where  $RSSSC > 1$ . As the TMZ of the YE is strongly affected by spring  
176 and neap tides (Jiang et al., 2013), the inversion results of remote sensing images in different years  
177 were divided into two groups (taken during spring and neap tide, respectively) to calculate the  
178 annual variation in the spatial distribution of the TMZ that occurred after completion of the  
179 reclamation projects.

180

### 181 **3.3. Collection of hydrodynamic data and sediment concentration data**

#### 182 **3.3.1. In-situ observations of flow velocity and suspended sediment concentration** 183 **(SSC)**

184 Vertical profiles of flow velocity and SSC during 25 h were continuously and synchronously  
185 observed in 2003 and 2018 (Figure 1c and Table 3). Station BG1 was in upper segment and station

186 BG2 was in the middle segment, station BG3 and BG4 were in the lower segment. They were used  
187 to assess the impact of reclamations on hydrodynamics and sediment dynamics. However, there was  
188 a lack of flow velocity profiles at station BG2 in 2003. The daily averaged tidal range was calculated  
189 as the average of four observed tidal ranges (two tidal cycles). Daily averaged wave heights during  
190 the times of observations were calculated from the dataset of European Centre for Medium-Range  
191 Weather Forecasts (ECMWF). During the different observation periods, wave conditions and river  
192 discharges were similar during spring tide and neap tide, respectively (Table 3). In tidal estuaries,  
193 the wind force potentially has a strong influence on estuarine circulation (Lange and Burchard, 2019;  
194 Zhang et al., 2019). Because wind speeds during observations were generally weak, the wind  
195 influence on circulation was not considered in this paper. The in-situ velocity data were obtained  
196 using an Acoustic Doppler Current Profiler (ADCP) fixed on one side of a boat using a steel fixing  
197 frame. Vertical profiles of turbidity were obtained every hour with an Optical Backscatter Sensor  
198 (OBS). The SSC at different depths was estimated according to the regression relation between the  
199 SSC and the turbidity obtained by the OBS calibrated in the laboratory.

200 The characteristics of surface sediment were obtained from samples (2 mm layer) along the  
201 main channel of the NC using a box dredger in April 2018 (Figure 1c). The particle size distribution  
202 of the sediment was determined by using a laser particle size analyzer (LS13320) in the laboratory.  
203 The surface sediment was classified according to Folk et al. (1970).

### 204 3.3.2. The coefficient of flow dominance

205 Under the action of the longitudinal surface slope, the longitudinal density gradient and tidal  
206 mixing, there is significant estuarine circulation in the lower segment during the dry season. The

207 residual current in the upper layer points seaward, whereas the lower layer residual current is  
 208 directed landward. A large amount of sediment occurs in the area where landward and bottom flow  
 209 meet, thereby forming the TMZ (Jiang et al., 2013; Li et al., 2019). The coefficient of flow  
 210 dominance,  $R$  reflects the degree of the ebb dominance in a tidal cycle under the same river discharge  
 211 (Mei et al., 2018). The dominant flow coefficient  $R$  was calculated as

$$212 \quad R = E / (E + F),$$

213 where  $E$  is the distance travelled by a particle during an ebb period and  $F$  the distance travelled by  
 214 a water particle during flood tide:

$$215 \quad E = \int_0^{T_E} V_E \cos \theta \, dt,$$

$$216 \quad F = \int_0^{T_F} V_F \cos \theta \, dt.$$

217 Here,  $T_E$  and  $T_F$  are the ebb and flood period,  $V_E$  and  $V_F$  are the ebb and flood flow velocity and  
 218  $\theta$  is the angle between the flow direction and the long axis direction of the tide. The flow is ebb-  
 219 dominant when  $R > 0.5$ , otherwise it is flood-dominant. Note that  $V_E$ ,  $V_F$  and  $\theta$  are considered at  
 220 different points on a vertical section.

221 The along-channel location where the coefficient of flow dominance near the bottom is 0.5 can  
 222 be interpreted as the stagnation point of the near-bottom flow. In a previous study by Shen et al.  
 223 (1992) it was already demonstrated that this stagnation point is close to the core of the TMZ. As the  
 224 daily average discharges observed at Datong gauging station during the observations were similar  
 225 in 2003 and 2012 (Table 3), the variations in the strengths of the ebb and flood flow could be  
 226 analyzed by comparing the dominant flow coefficients in different years.

227

## 228 4. Results

### 229 4.1. Variations of erosion-deposition patterns associated with reclamations

230 Before the execution of a series of reclamation projects, between 1995 and 2007, there was  
231 area-integrated erosion observed in the upper and middle segments and area-integrated deposition  
232 in the lower segment (Figure 2a). The strongest amount of erosion (volume change of  $-1.5 \times 10^7$   
233  $\text{m}^3$ ) occurred in 27-28 km downstream of the starting point of NC. The largest amount deposited  
234 (volume change of  $3.6 \times 10^6 \text{m}^3$ ) occurred in 36-37 km downstream of the starting point (Figure  
235 2g).

236 In the upper segment, deposition mainly occurred on the shoal near the south bank, while  
237 erosion occurred in the channel along the north bank (Figure 2a). The rates of volume change below  
238 the depth of 0 m and -5 m were  $-1.08 \times 10^7 \text{m}^3 \text{yr}^{-1}$  and  $-1.07 \times 10^7 \text{m}^3 \text{yr}^{-1}$  (Figures 2d-2e). In the  
239 middle and lower segments, there was deposition on the shoal near the north bank, while erosion  
240 occurred in the channel along the south bank (Figure 2a). In the middle segment, the rates of volume  
241 change below the depth of 0 m and -5 m were  $-5.75 \times 10^6 \text{m}^3 \text{yr}^{-1}$  and  $-4.30 \times 10^6 \text{m}^3 \text{yr}^{-1}$  (Figures  
242 2d-2e), respectively. In the lower segment, the rates of volume change below the depth of 0 m and  
243 -5 m were  $-1.29 \times 10^5 \text{m}^3 \text{yr}^{-1}$  and  $-1.07 \times 10^6 \text{m}^3 \text{yr}^{-1}$  (Figures 2d-2e).

244 After the construction of the Qingcaosha Reservoir and HESP, from 2007 to 2018, the strongest  
245 erosion (volume change of  $1.22 \times 10^7 \text{m}^3$ ) occurred in 41-42 km downstream of the starting point  
246 and deposition was the strongest (volume change of  $-4.43 \times 10^6 \text{m}^3$ ) in 37-38 km from the starting  
247 point (Figure 2h).

248 Due to the construction of Qingcaosha Reservoir and the growth of its outer shoal (Figure 2b),

249 the rate of volume change below 0 m was  $3.21 \times 10^6 \text{ m}^3 \text{ yr}^{-1}$  in the upper segment (Figure 2d). The  
250 volume change rate below a depth of -5 m was  $-3.97 \times 10^6 \text{ m}^3 \text{ yr}^{-1}$  (Figure 2e). The rate of volume  
251 changes below depth of 0 m and -5 m were  $-0.95 \times 10^7 \text{ m}^3 \text{ yr}^{-1}$  and  $-1.13 \times 10^7 \text{ m}^3 \text{ yr}^{-1}$  in the middle  
252 segment (Figures 2d-2e). **The erosion rate of the channel below a depth of -5 m increased more than**  
253 **150 % after reclamations. Meanwhile, the lower segment transformed from a region-integrated**  
254 **deposition to a region-integrated erosion (Figures 2g-2h). The erosion rate of the channel below a**  
255 **depth of -5 m increased more than 600 % after reclamations. These findings provide support for the**  
256 **hypothesis that constructions of reclamations intensified the erosion in the channel.** However, the  
257 volume below the depth of 0 m was  $6.20 \times 10^5 \text{ m}^3 \text{ yr}^{-1}$  (Figure 2d) due to deposition of the tidal  
258 flat near the north bank (Figure 2b). The volume change rate below the -5 m isobaths was  $-7.86 \times$   
259  $10^6 \text{ m}^3 \text{ yr}^{-1}$  (Figure 2e). Furthermore, the volume change rate below the -10 m isobaths was  $-1.48$   
260  $\times 10^5 \text{ m}^3 \text{ yr}^{-1}$  (Figure 2f).

261

## 262 **4.2. Changes in the locations of boundaries of the TMZ**

### 263 **4.2.1. TMZ during spring tide**

264 In 2006, the *ASSSC* of NC was  $0.32 \text{ kg m}^{-3}$  during spring tide in the dry season (Figure 3a).  
265 The landward boundary of the TMZ was located at  $121^\circ 52' \text{ E}$  (36 km to the begin point), the seaward  
266 boundary was at  $122^\circ 12' \text{ E}$  (66 km to the begin point), the length of the TMZ was reduced to 30  
267 km (Figures 3d and 3g). After the completion of Qingcaosha Reservoir and the sixth phase of the  
268 HESP, the *ASSSC* reduced to  $0.18 \text{ kg} \cdot \text{m}^{-3}$  in 2011 (Figure 3b). The landward boundary of the TMZ  
269 moved seawards by 9 km to  $121^\circ 59' \text{ E}$ , the landward boundary of the TMZ moved seawards by 5

270 km to 122°14' E and the length of the TMZ was 26 km (Figures 3e and 3h). By 2019, the *ASSSC*  
271 decreased to 0.11 kg m<sup>-3</sup> (Figure 3c). The length of the TMZ was 24 km, with the landward  
272 boundary located at 121 ° 58 E and the seaward boundary located at 122 ° 12 E (Figures 3f and 3i).  
273 The landward boundary moved landwards by 3 km, and the seaward boundary moved landwards by  
274 5 km.

275 From 2006 to 2019, during spring tide, the landward boundary of the TMZ moved seaward by  
276 6 km and the location of the seaward boundary presently wanders within a 3 km range from 122°  
277 12' E to 122° 14' E.

#### 278 **4.2.2. TMZ during neap tide**

279 In 2006, the *ASSSC* of NC was 0.15 kg m<sup>-3</sup> (Figure 4a), the landward boundary of the TMZ  
280 was 121°59 E (47 km to the begin point), the seaward boundary was 122°24' E (84 km to the begin  
281 point) and the length of the TMZ was 37 km (Figures 4d and 4g). In 2011, the *ASSSC* had decreased  
282 to 0.08 kg m<sup>-3</sup> (Figure 4b), the landward boundary of the TMZ had moved 5 km seawards to 122°3'  
283 E, the seaward boundary of the TMZ had moved 2 km landwards to 122°23' E and the extent of  
284 TMZ had shrunk to 33 km (Figures 4e and 4h). By 2017, the *ASSSC* had decreased to 0.10 kg m<sup>-3</sup>  
285 (Figure 4c). The landward boundary had moved 12 km seawards to 122°9 E (Figure 4f), but there  
286 was no change in the seaward boundary (Figure 4i).

287 From 2006 to 2017, during neap tide, the landward boundary of the TMZ moved seaward by  
288 17 km and the seaward boundary of the TMZ wandered in the range of 122° 23' E to 122° 24' E.  
289 The *SSSC* within the TMZ during neap tide was always lower than that during spring tide and the  
290 TMZ during neap tide was located downstream of that during spring tide.

291

### 292 **4.3. Changes in the dominant flow**

293 Before the execution of a series of reclamation projects, in 2003, the values of the dominant  
294 flow coefficient  $R$  during spring tide at station BG1 were between 0.49 and 0.52 (Figure 5a), so the  
295 stagnation point of near-bottom flow was closely located to this station. The values of  $R$  at station  
296 BG1 were 0.61 to 0.64 during the neap tide, which showed that the ebb flow was dominant (Figure  
297 5a). In the lower segment, the values of  $R$  at station BG3 were between 0.49 and 0.52 during spring  
298 tide (Figure 5b). During neap tide, the values of  $R$  below -0.5 depth were between 0.34 and 0.45,  
299 which shows that the flow was flood dominant (Figure 5b). In addition, there was an obvious  
300 estuarine circulation at BG3 station.

301 After the construction of the Qingcaosha Reservoir and the EHSP, in 2018, values of the  
302 dominant flow coefficient  $R$  at station BG1 were between 0.68 to 0.71 during spring tide and  
303 between 0.76 to 0.78 during neap tide (Figure 5c). Thus, with respect to earlier years, the ebb  
304 dominance increased. Values of  $R$  at station BG2 ranged from 0.63 to 0.65 during spring tide and  
305 0.69 to 0.72 during neap tide (Figure 5d). Although station BG4 was 9 km downstream of BG3, the  
306 values of  $R$  at this station were between 0.62 and 0.65 in spring tide and between 0.51 and 0.63  
307 during neap tide (Figure 5e). Conditions were ebb-dominant at three stations during spring tide and  
308 neap tide; the stagnation point moved to a location downstream of the station BG4.

309

### 310 **4.4. Changes in the tidally averaged SSC**

311 According to the in-situ observations in 2003 and 2018 (Figure 7), after the construction of



312 Qingcaosha Reservoir and completion of the HESP, there was a decline of tidally averaged SSC  
313 within the NC. At station BG1, located in the upper segment, the depth-mean of tidally averaged  
314 SSC decreased from  $0.34 \text{ kg m}^{-3}$  to  $0.22 \text{ kg m}^{-3}$  during spring tide conditions and decreased from  
315  $0.12 \text{ kg m}^{-3}$  to  $0.18 \text{ kg m}^{-3}$  during neap tide conditions (Figures 7a and 7d). At station BG2, located  
316 in the middle segment, the depth-mean of tidally averaged SSC decreased from  $0.63 \text{ kg m}^{-3}$  to  
317  $0.32 \text{ kg m}^{-3}$  over spring tide and decreased from  $0.26 \text{ kg m}^{-3}$  to  $0.19 \text{ kg m}^{-3}$  over neap tide (Figures  
318 7b and 7e). In the lower segment, in 2003, the depth-mean of the tidally averaged SSC at BG3 was  
319  $1.00 \text{ kg m}^{-3}$  during spring tide and  $0.37 \text{ kg m}^{-3}$  during neap tide (Figure 7c). In 2018, the depth-  
320 mean of tidally averaged SSC of station BG4 was  $0.82 \text{ kg m}^{-3}$  during spring tide and  $0.48 \text{ kg m}^{-3}$   
321 during neap tide (Figure 7f).

322 In 2003, the tidally averaged SSC at station BG2 during spring tide was  $0.32 \text{ kg m}^{-3}$  in the  
323 surface layer and  $1.07 \text{ kg m}^{-3}$  in the bottom layer (Figure 7b). The vertical profile of tidally averaged  
324 SSC during neap tide showed a two-layer structure, with values of  $0.16 \text{ kg m}^{-3}$  near the surface and  
325  $0.96 \text{ kg m}^{-3}$  near the bottom layer (Figure 7b). After the construction of a series of reclamation  
326 projects, the tidally averaged SSC near the bottom significantly decreased by  $0.59 \text{ kg m}^{-3}$  during  
327 spring tide and  $0.77 \text{ kg m}^{-3}$  during the neap tide (Figure 7e). Therefore, in station BG2, where the  
328 landward boundary of the TMZ prior to the reclamations was located, the depth-mean tidally  
329 averaged SSC decreased significantly over spring and neap tide.

330

#### 331 **4.5. Changes in characteristics of bed sediment**

332 Measured data obtained in the dry season of 2003 showed that the median grain size of bed

333 sediments was 126.2  $\mu\text{m}$  and 16.5  $\mu\text{m}$  in the middle and lower segments, respectively (Liu et al.,  
334 2010). The measured data obtained in the dry season of 2018 showed that the bed sediment in middle  
335 segment was silty sand with a median grain size of 178.8  $\mu\text{m}$ , but the sediment in lower segment  
336 was finer (sandy silt and silt with a median grain size of 18.2  $\mu\text{m}$ ). Coarser particles accounted for  
337 a large proportion of the sediment in all samples, as the skewness was negative. Thus, in the period  
338 2003-2018, the bed sediment coarsened significantly in the middle segment. However, the change  
339 of the mean grain size of the surface sediment in the lower segment was small.

340

## 341 **5. Discussion**

### 342 **5.1. Comparison with other estuaries**

343 Land reclamations generally change the distribution of SSC, because they modify the  
344 morphology of an estuary. In e.g. the Ems estuary, located at the border between Germany and the  
345 Netherlands, it was found that the SSC increased due to the decrease in accommodation space for  
346 fine-grained sediments by land reclamations (Van Maren et al., 2016). Constructions of land  
347 reclamations in Ribble estuary (Van der Wal et al., 2002), Dee Estuary and Wash Estuary (Pye and  
348 Blott, 2014), all in the United Kingdom, reduced the tidal prism, leading to a reduction in average  
349 current speeds and accelerated sedimentation outside the embankments.

350

### 351 **5.2. Changes in flow dominance and location of the stagnation point**

352 The construction associated with the EHSP has fixed the southern boundary of the channel in  
353 the middle and lower segments. Therefore, the natural trend of the southward movement of the

354 channel was limited. The reclamation intercepted flow and sediment exchange between the shoal  
355 and channel, which resulted in continuous erosion and an increase in the average water depth in the  
356 channel (Wu et al., 2016; Wei et al., 2017; Zhao et al., 2018). At the same time, deposition occurred  
357 near the northern band of the middle and lower segments. This happened because these areas were  
358 less affected by changes in the morphology and hydrodynamics of the channel and there was supply  
359 of offshore sediment (Zhu et al., 2016; Mei et al., 2018). The breadth-to-depth ratio  $B/H$  of the  
360 middle segment decreased from  $1.20 \times 10^3$  to  $1.06 \times 10^3$ . That of the lower segment decreased from  
361  $5.67 \times 10^3$  to  $3.69 \times 10^3$  (Table 4). The channel was narrowed and deepened.

362 During the ebb tide, flow was concentrated within the deep channel. The numerical simulation  
363 results (Lyu et al., 2019) showed that, after reclamations, the ratio of the water transport through NC  
364 to that through SB has increased from 45% to 50%. Taking the spring tide in the dry season as an  
365 example, the values of the dominant flow coefficient at station BG1 in 2003 was approximately 0.5  
366 (Figure 5a), so the stagnation point was located close to this station. However, flow at both BG1  
367 and BG 2 was obviously ebb dominant in 2018 (Figures 5c and 5d), thereby revealing a downstream  
368 shift of the stagnation point. The numerical simulation results (Lyu et al., 2019) showed that, after  
369 the constructions of reclamations, the salinity within channel on the south of the NC decreases in  
370 the dry season. Furthermore, the saline wedges moved seaward and the 5 PSU salinity isocline  
371 moved to the middle of HESP at slack tide. Due to the estuarine circulation resulting from the  
372 longitudinal density gradient, a large amount of sediment was deposited in the TMZ, which occurred  
373 at the end of the salt tongue (Shen et al., 1992). These findings explain the seaward shift of the  
374 landward boundary of the TMZ (Figure 8).

375

### 376 **5.3. Decline of tidally averaged SSC near the landward boundary of the TMZ**

377 In the datasets of 2003 and 2018, variations of tidal ranges varied from 0.55 to 0.69 m (Table  
378 3). They were less than variations of tidal ranges between spring and neap tide in the same year  
379 (1.07 m and 1.78 m, respectively). The effect of the tidal range on the vertical distribution of SSC  
380 could not be considered. Following an earlier study by Liu et al. (2014), the shape of the vertical  
381 profile of tidally averaged SSC was only related to the dynamics of runoff, tide and the  
382 characteristics of surface sediments. The ratio of tidally averaged SSC in the surface and bottom  
383 layers were calculated to describe the shape of vertical profile.

384 During spring tide, the ratio of tidally averaged SSC in the surface and bottom layers in BG2  
385 decreased from 3.38 in 2003 to 1.74 in 2018 (Figures 7b and 7e). During neap tide, the ratio of  
386 tidally averaged SSC in the surface and bottom layers in BG2 decreased from 6.11 in 2003 to 1.66  
387 in 2018 (Figures 7b and 7e). The tidally averaged SSC near the bottom decreased, which resulted  
388 in the decrease of the tidally averaged SSC in the middle segment.

389 This can be understood as follows. Owing to the enhancement of the ebb tide resulting from  
390 both the narrowing and fixing of channels associated with the reclamation projects and the  
391 interception of sediment flow from the shoal caused by the HESP, the source of fine-grained  
392 sediment in the deep channel has been reduced (Liu et al., 2014; Li et al., 2019). This resulted in the  
393 observed coarsening of surface sediments in the deep channel of the middle segment in the dry  
394 season, where also the landward boundary of the TMZ was located. From 2003 to 2015, in the flood  
395 season, the median particle size of surface sediments in the middle segment of NC increased from  
396 127.4  $\mu\text{m}$  to 193.94  $\mu\text{m}$  (Liu et al., 2010; Li, et al., 2019). This trend was similar to that during the  
397 dry season. The consequence was a weakening of the sediment resuspension. The tidally averaged

398 SSC on the bottom at station BG2 in 2018 was significantly lower than that in 2003 and this led to  
399 a decline of the depth-mean of tidally averaged SSC at station BG2 (Figures 7b and 7e). The  
400 landward boundary of the TMZ, which was in the 2 km seaward of station BG2, has moved 6 km  
401 seaward from the area. However, due to the replenishment of fine-grained sediment from the tidal  
402 flat and sea, the grain size of surface sediments in lower segment is now finer (Zhu et al., 2016; Mei  
403 et al., 2018). The depth-mean of tidally averaged SSC of station BG4 (Figure 7f), which was located  
404 near the core of the TMZ, has not significantly changed compared with that of BG3, which was also  
405 near the core of the TMZ (Figure 7c).

406 A conceptual model of spatial changes in the TMZ in response to reclamation was proposed  
407 based on the above analysis (Figure 8). In the middle segment, the deepened and narrowed channel  
408 caused by reclamations intensified the ebb-dominance and induced seaward migration of the  
409 stagnation point. In addition, surface sediment in the deep channel coarsened in response to the  
410 enhancement of ebb flow. The tidally averaged SSC near the bottom and the depth-mean of tidally  
411 averaged SSC declined due to the decrease in resuspension. This all led to the seaward movement  
412 of the landward boundary of the TMZ. However, there were little variation in flow dominance and  
413 characteristics of bed sediment in outer estuary, which resulted from strong tide flow and  
414 replenishment of fine-grained sediment from the tidal flat and sea. Therefore, seaward boundary of  
415 TMZ was less affected by reclamations.

416 As an improvement with respect to previous studies (Jiang et al., 2013), impacts of runoff, tidal  
417 condition on spatial distribution of SSSC were considered in the collection of remote sensing images.  
418 Due to the retaining effect of large-scale projects in the basin, there has been a continuous reduction  
419 of the SSSC in Yangtze Estuary (YE). The SSSC was converted into RSSSC to avoid the influence

420 of the overall reduction in SSSC on determining the TMZ. However, the number of images obtained  
421 with the same river discharge and tidal condition was limited, within the remote sensing images  
422 obtained by Landsat in the last two decades. Six images were retrieved at times that there were  
423 similar river discharges and tidal conditions and few clouds.

424 As a next step, it would be interesting to further quantify the influence of bathymetric  
425 configuration on estuarine circulation and sediment transport with a numerical model, but this is  
426 beyond the scope of the present study.

427

## 428 **6. Conclusions**

429 The key message of this study is that reclamation projects conducted in estuaries can cause  
430 dramatic changes in the geometry of channels and can thus affect the river and tidal flow and thereby  
431 cause spatial changes in the TMZ. This study focused on the NC of the YE, which has been strongly  
432 affected by reclamation in the past two decades. The main conclusions are listed below.

433 1) The narrowed and fixed channel caused by reclamation has induced erosion of the deeper  
434 channel and deposition on the tidal flat from 2007 to 2018. Erosion has been intensified below a  
435 depth of -5 m in middle and lower segments and lower segment has been transformed from one of  
436 net deposition to an area of net erosion. Deposition has occurred on the shoal near the north bank in  
437 the middle and lower segments. The average channel width has decreased by 0.86-2.74 km, while  
438 the average depth has increased by 0.19-3.72 m.

439 2) After construction of the large-scale reclamation projects, *ASSSC* within the TMZ in NC  
440 decreased during both the spring tide and neap tide in the dry season. From 2006 to 2019, the  
441 landward boundary of the TMZ moved seaward by 6 km and the location of the seaward boundary

442 presently wanders within a 3 km range from 122° 12' E to 122° 14' E during spring tide. The  
443 landward boundary of the TMZ has moved seaward by 17 km and the seaward boundary of the  
444 TMZ wanders in the range of 122° 23' to 122° 24' during the neap tide. The *SSSC* within the TMZ  
445 during neap tide is lower than that in spring tide, and the TMZ during neap tide is located  
446 downstream of that during spring tide.

447 3) Ebb dominance has been enhanced due to deepening and narrowing of the channel that  
448 resulted from reclamations and this has induced the seaward movement of the stagnation point that  
449 is located close to the core of the TMZ. In addition, bed sediment has coarsened and its resuspension  
450 is thus weakened. Consequently, the bottom tidally averaged SSC in middle segment has decreased  
451 by  $0.59 \text{ kg m}^{-3}$  over spring tide and  $0.77 \text{ kg m}^{-3}$  over neap tide, which has resulted in a decline in  
452 the depth-mean of the tidally averaged SSC. All of these factors have caused the landward boundary  
453 of the TMZ to move seawards.

454

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460

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578

1 **Figure captions**

2 Figure 1. Map showing the study area, with in (a) the location of Yangtze Estuary in China and the  
3 locations of Datong and Sheshan gauging stations; (b) Yangtze Estuary; (c) North Channel.

4

5 Figure 2. Changes in erosion-deposition patterns of North Channel, negative refers to erosion and  
6 positive to deposition (a-c). The channel volumes below 0 m (d), -5 m (e) and -10 m (f) isobaths.  
7 Changes in channel volume from 1995 to 2007 (g) and from 2007 to 2018 (h), the orange curve  
8 shows the changes of channel volume from 1995 to 2018.

9

10 **Figure 3. The spatial distribution of surface suspended sediment concentration (SSSC) in the North**  
11 **Channel on 2006-11-06(a), 2010-01-17 (b) and 2019-12-12 (c). Panels (d-f): as panels (a-c), but for**  
12 **the spatial distribution of the relative surface suspended sediment concentration (RSSSC). The**  
13 **RSSSC was the ratio of SSSC to region-averaged SSSC within the North Channel. Panels (g-i): the**  
14 **distribution of RSSSC along the longitudinal profile (the red line in Figure 1c). The gray block is**  
15 **the range of turbidity maximum zone (the RSSSC > 1).**

16

17 **Figure 4. The spatial distribution of surface suspended sediment concentration surface (SSSC) in**  
18 **the North Channel on 2006-01-22 (a), 2013-11-25 (b) and 2017-12-22 (c). Panels (d-f): as panels**  
19 **(a-c), but for the spatial distribution of the relative surface suspended sediment concentration**  
20 **(RSSSC). The RSSSC was the ratio of SSSC to region-averaged SSSC within the North Channel.**  
21 **Panels (g-i): the distribution of RSSSC along the longitudinal profile (the red line in Figure 1c). The**

22 gray block is the range of turbidity maximum zone (the RSSSC > 1).

23

24 Figure 5. Vertical profiles of the dominant flow coefficients  $R$  at stations BG1 (a) and BG2 (b) on  
25 2003-02-18 (spring tide), 2003-02-24 (neap tide), at stations BG1 (c), BG2 (d) and BG4 (e) on 2018-  
26 04-18 (spring tide) and 2018-04-22 (neap tide). If  $R > 0.5$  the flow is ebb-dominant, whereas if  $R < 0.5$   
27 the flow is flood-dominant. Here,  $\sigma$  is relative depth ( $\sigma = 0$  is the surface and  $\sigma = -1$  the bottom).

28

29 Figure 6. Temporal variations of SSC ( $\text{kg m}^{-3}$ ) at stations BG1 (a), BG2 (b), BG3 (c) on 2003-02-  
30 18 (spring tide), BG1 (d), BG2 (e), BG4 (f) on 2018-04-18 (spring tide), BG1 (g), BG2 (h), BG3 (i)  
31 on 2003-02-24 (neap tide), and BG1 (j), BG2 (k), BG4 (l) on 2018-04-22 (neap tide).

32

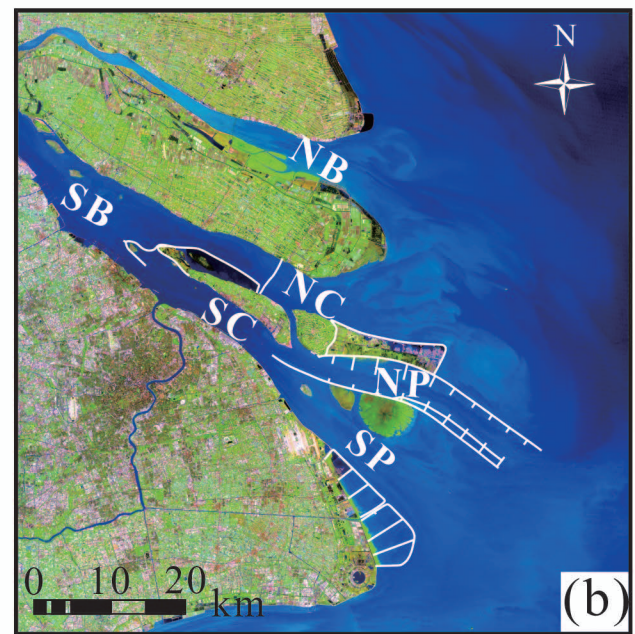
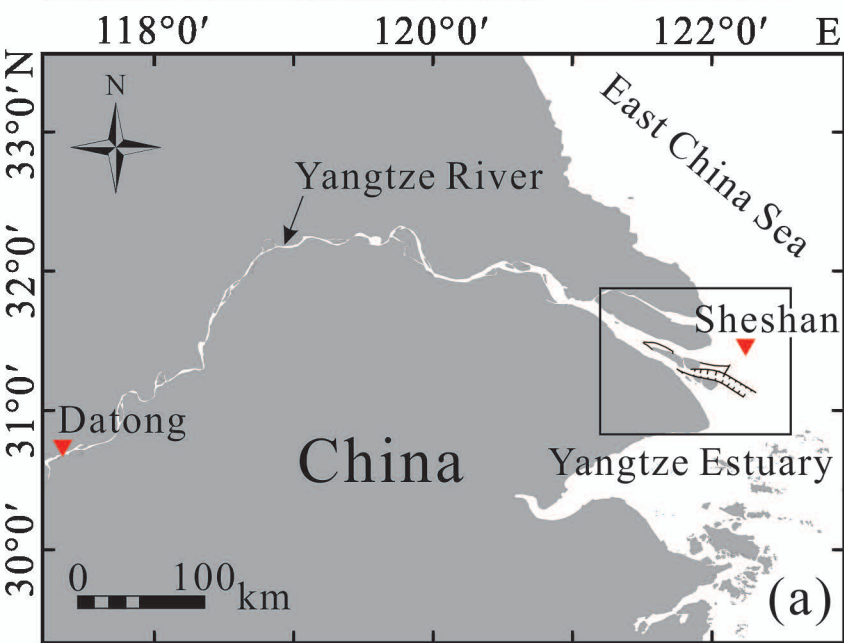
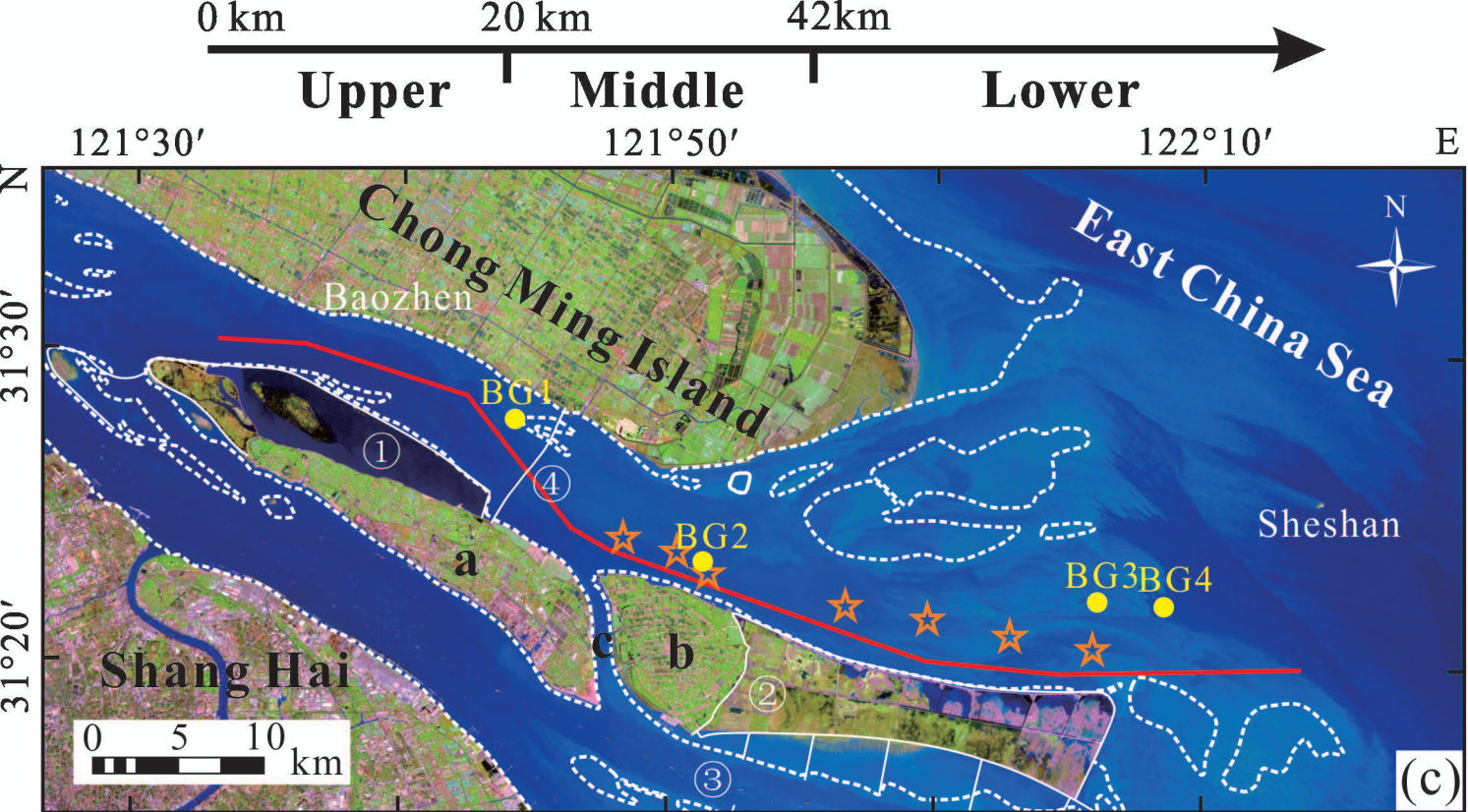
33 Figure 7. Vertical profiles of tidally averaged suspended sediment concentrations at stations BG1  
34 (a), BG2 (b), BG3 (c) on 2003-02-18 (spring tide), 2003-02-24 (neap tide), at stations BG1 (d), BG2  
35 (e), BG4 (f) on 2018-04-18 (spring tide) and 2018-04-22 (neap tide) (d-f). Here,  $\sigma$  is relative depth  
36 ( $\sigma = 0$  is the surface and  $\sigma = -1$  the bottom).

37

38 Figure 8. A conceptual model of the shift of the turbidity maximum zone in response to reclamations.  
39 In the cross section of the channel, the solid line and dashed line are the channel bed before and  
40 after reclamations respectively. The channel narrowed (blue horizontal arrow) and deepened (blue  
41 vertical arrow) resulted from the construction of reclamation (yellow cube). As a result, coefficient  
42 of flow dominance increased (purple curve in the first subpanel on the bottom left); the estuarine  
43 circulation (purple arrow) area moved seaward. The sediment resuspension (orange arrow)

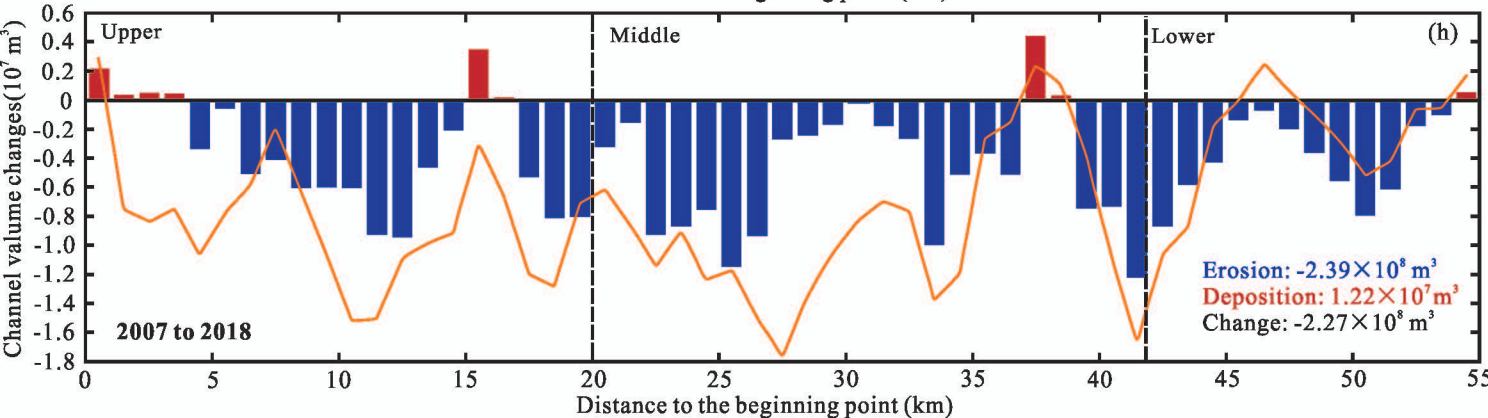
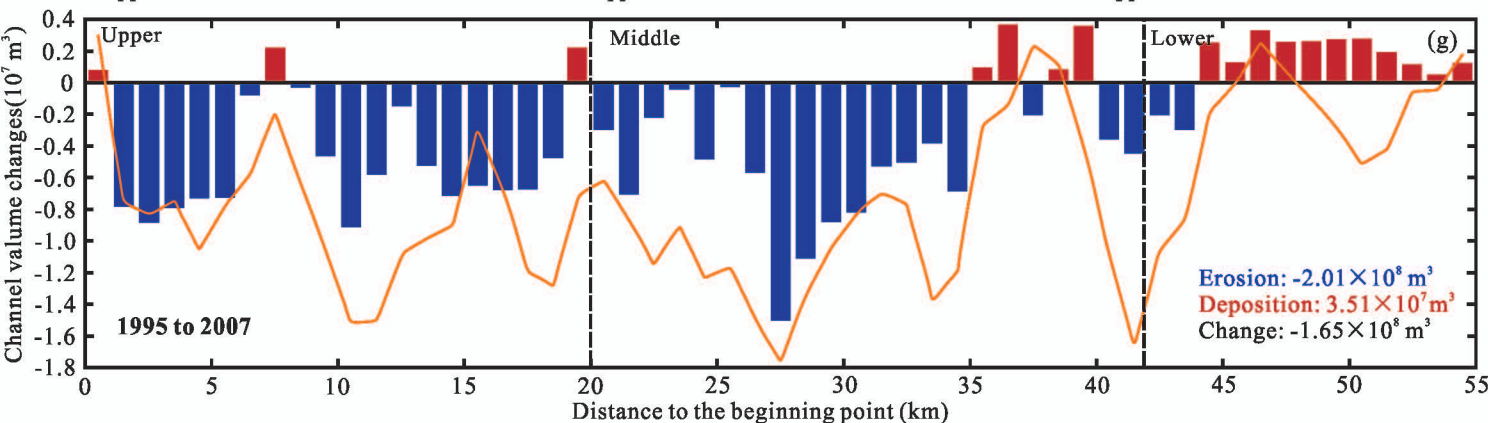
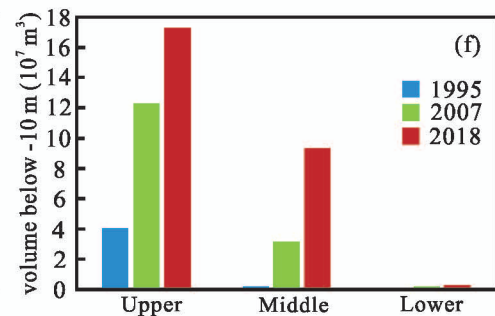
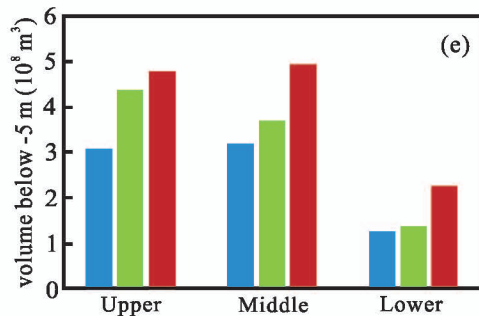
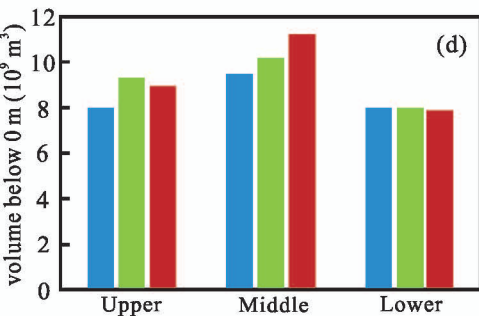
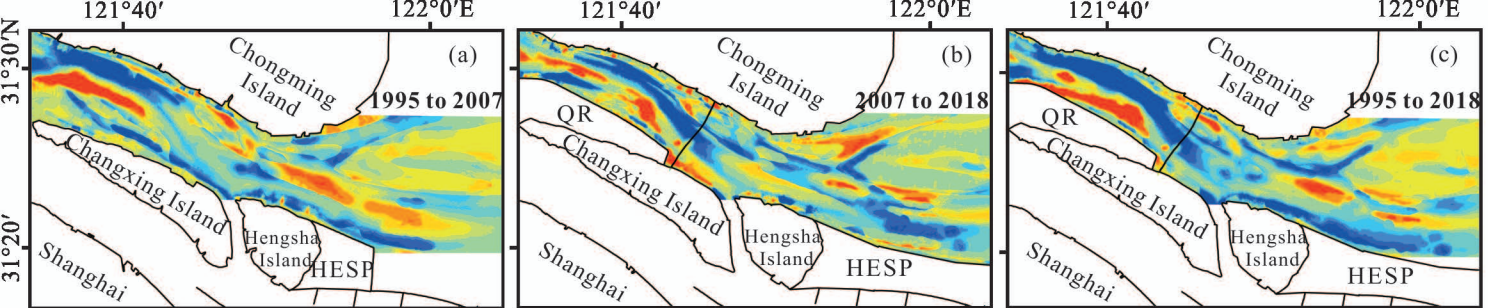
44 decreased due to coarsening of surface sediment, the tidal average suspended sediment  
45 concentration (orange curve) on the bottom layer decreased (orange curve in the second subpanel  
46 on the bottom left) and the landward boundary of the turbidity maximum zone (the dash border)  
47 moved seaward.  
48



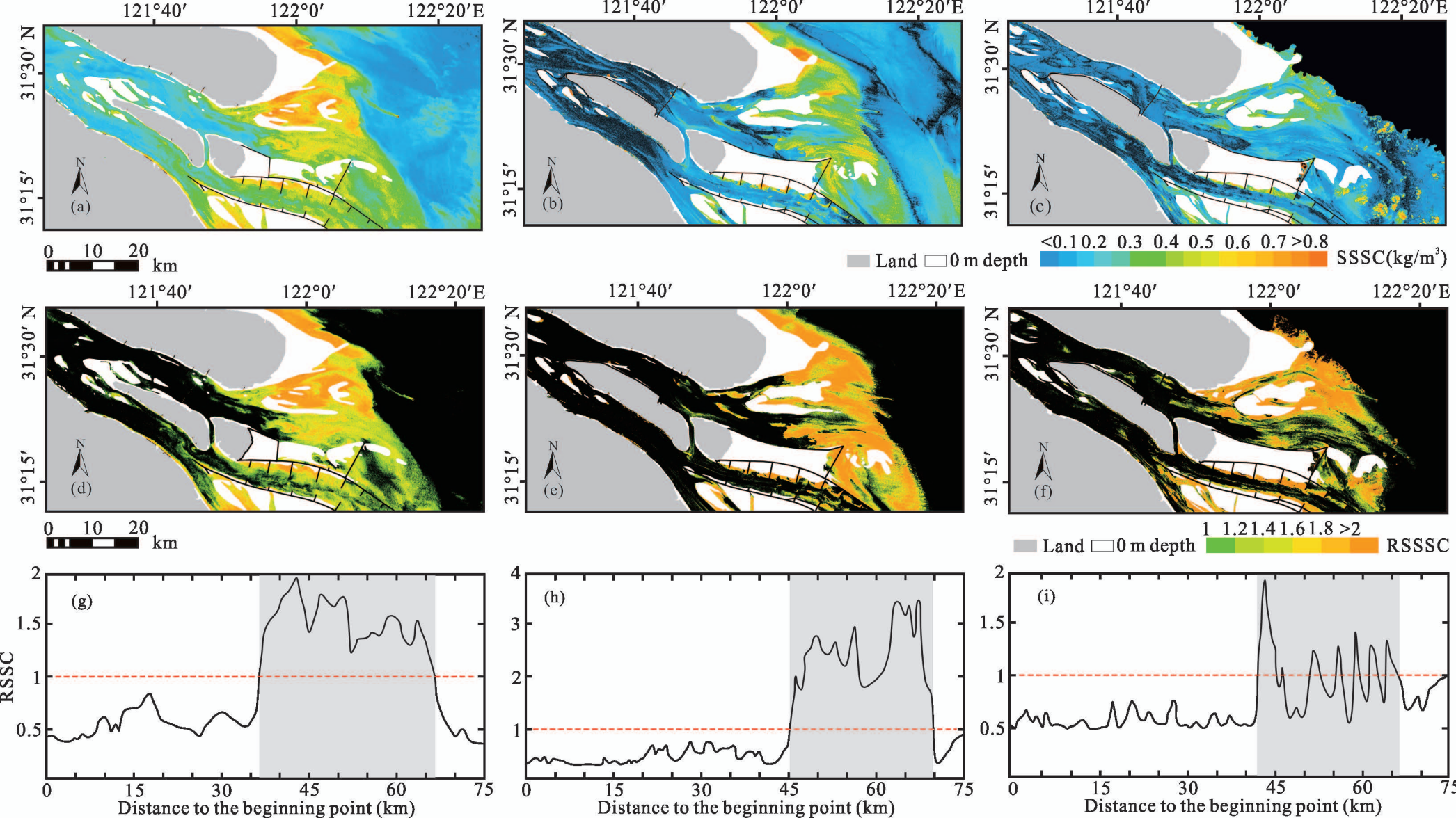


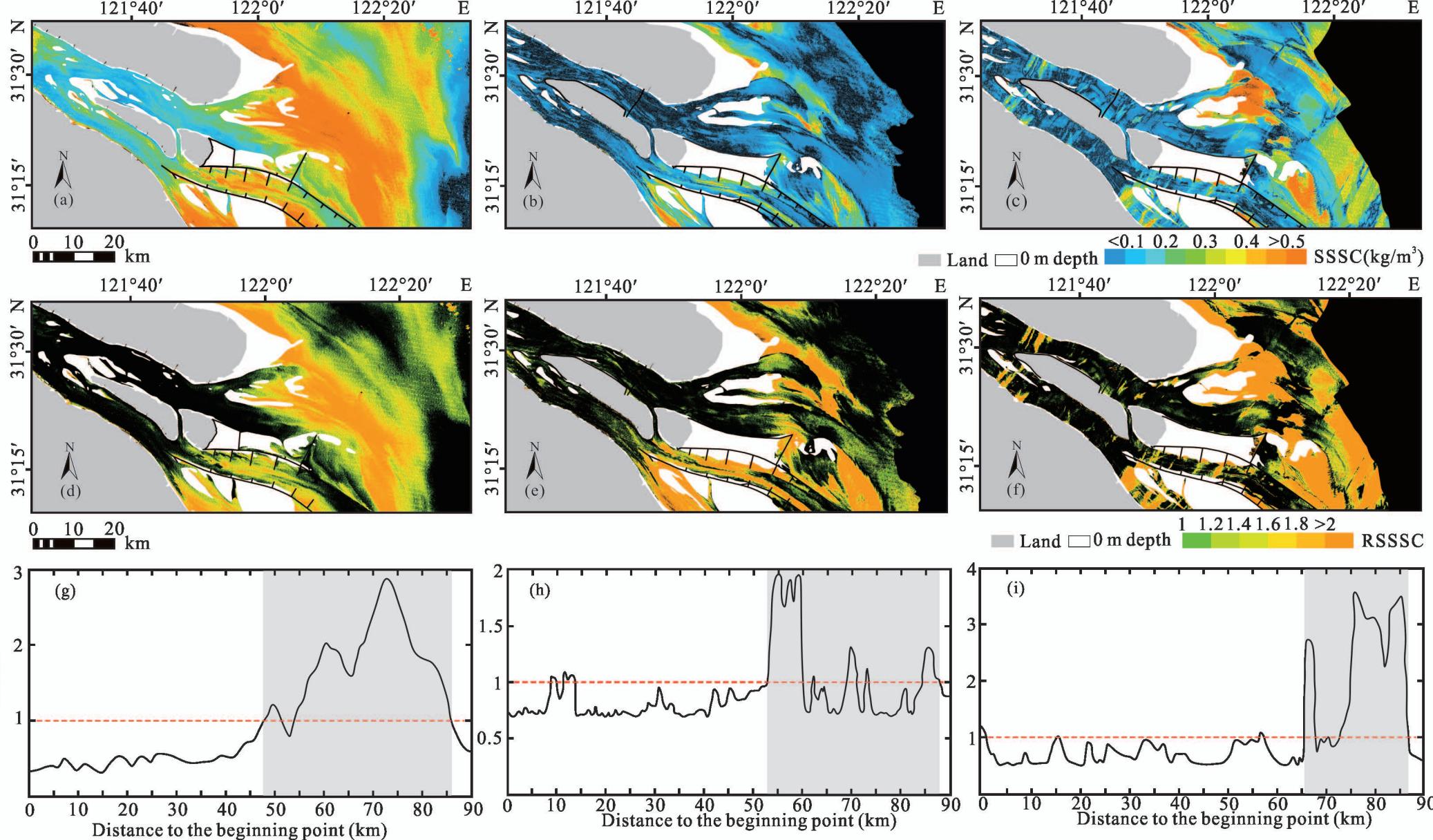
## Legend

- |   |  |     |                               |
|---|--|-----|-------------------------------|
| a | Changxing Island                       | ④   | Yangtze River Bridge          |
| b | Hengsha Island                         | ▼   | Gauging stations              |
| c | Hengsha Passage                        | --- | 0 m Isobath                   |
| ① | Qingcaosha Reservoir                   | —   | Longitudinal profile          |
| ② | Hengsha East Shoal Promoting Siltation | ●   | Observation stations          |
| ③ | Deep Waterway                          | ★   | Surface sediment sample sites |



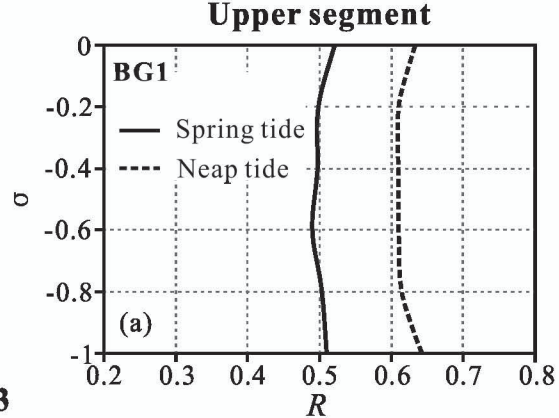
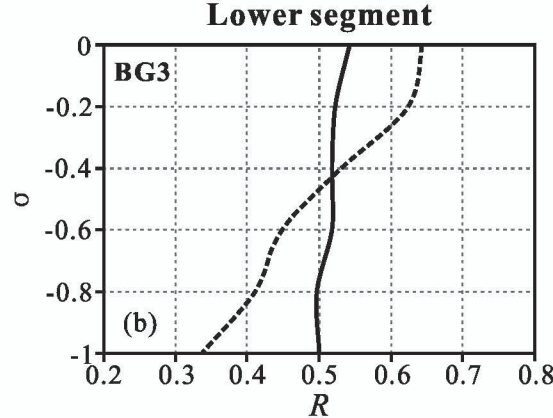




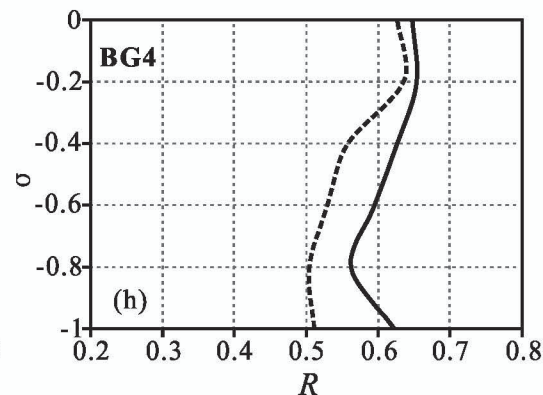
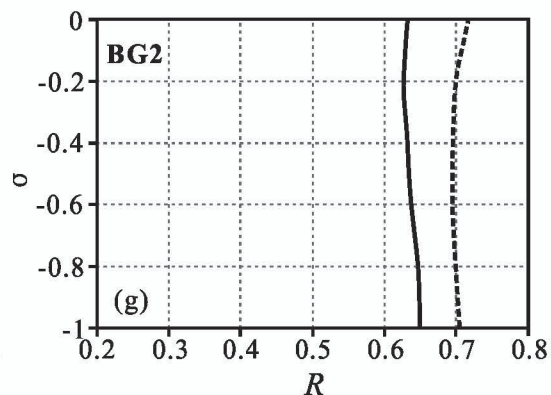
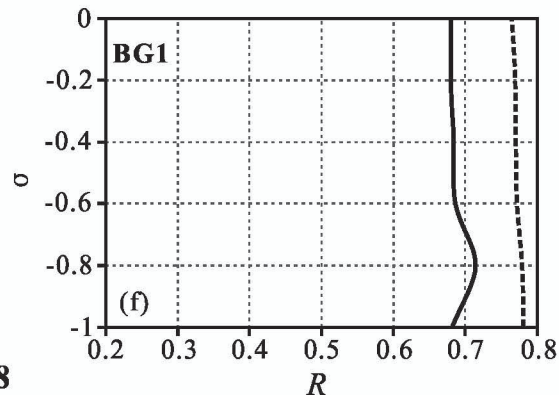




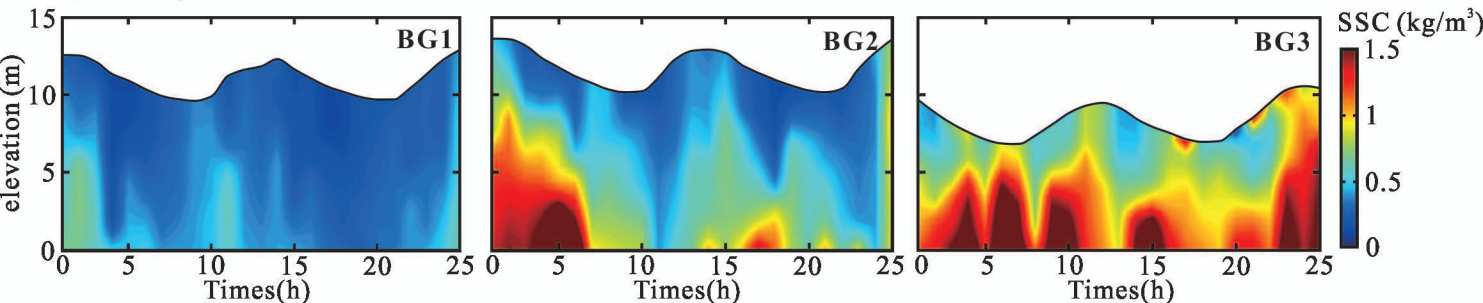
2003

**Middle segment**

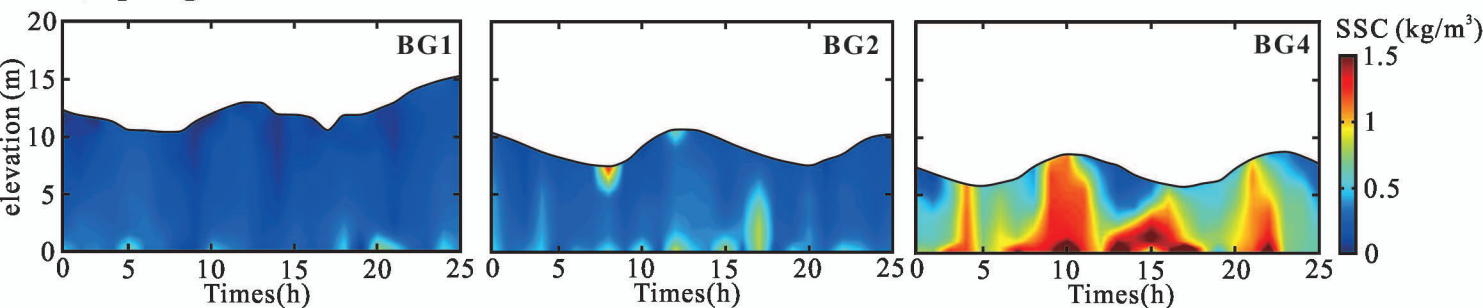
2018



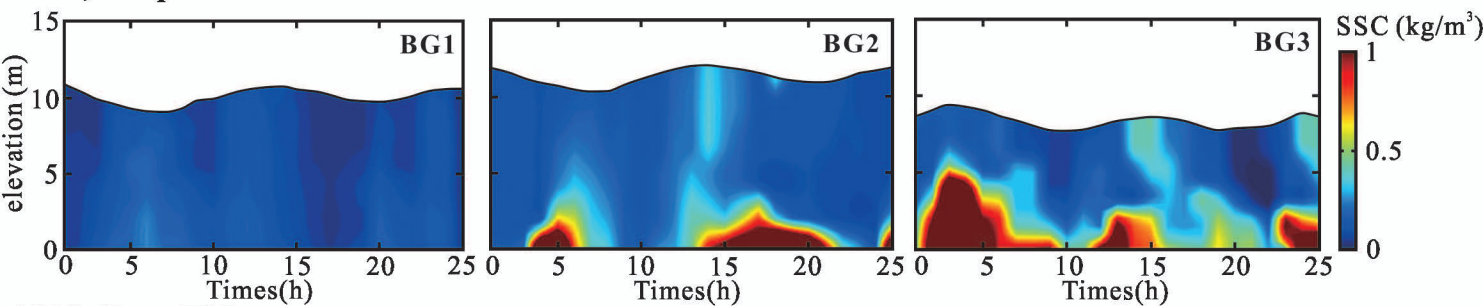
### 2003, Spring Tide



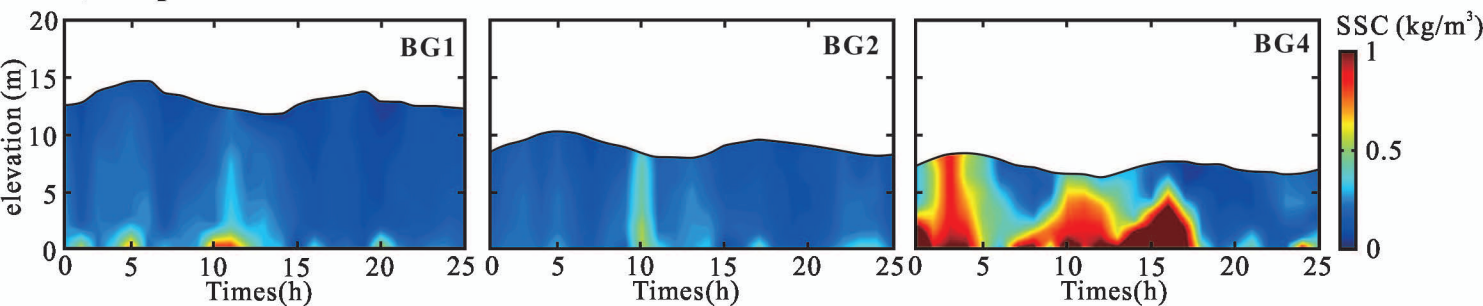
### 2018, Spring Tide

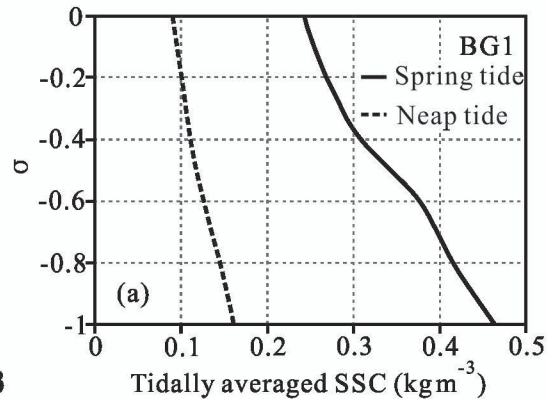
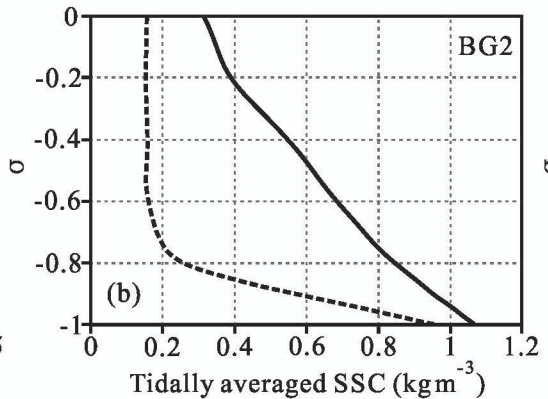
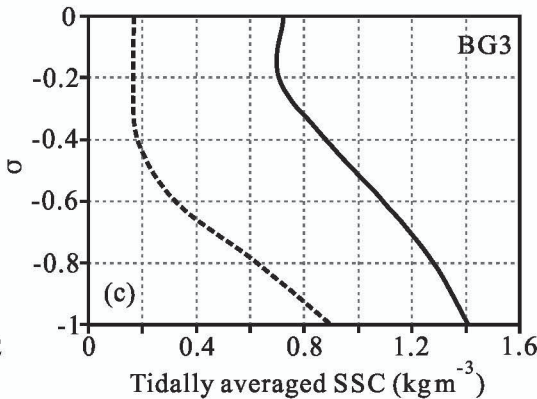
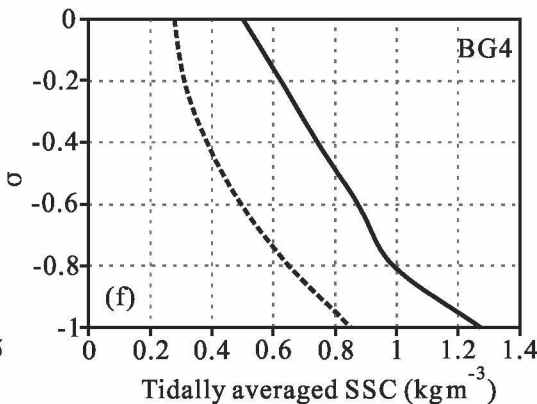
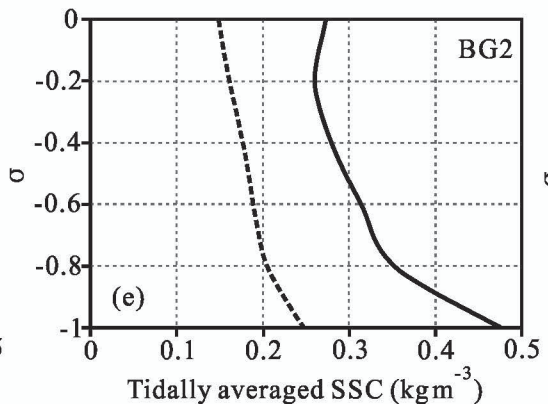
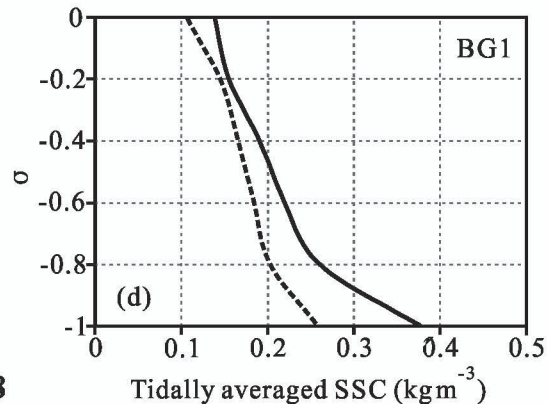


### 2003, Neap Tide



### 2018, Neap Tide



**Upper segment****Middle segment****Lower segment****2003****2018**

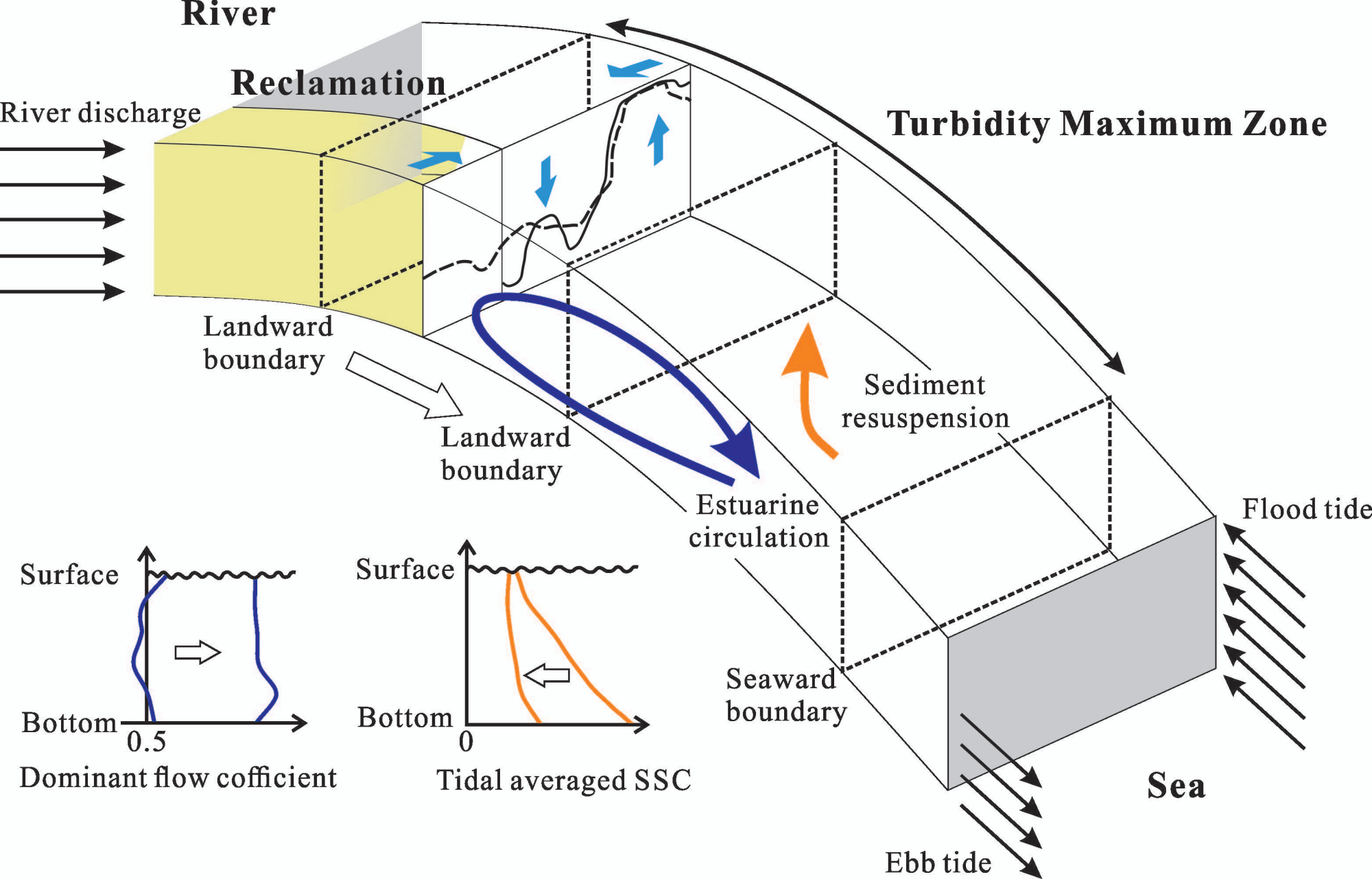




Table 1. Engineering built in NC during past three decades.

Engineering	Location	Time
Diversion port	Upper segment of NC	2007-2009
Qingcaosha Reservoir	Upper segment of NC	2005-2009
Shanghai Yangtze Bridge	Upper segment of NC	2005-2007
Reclamation on the north bank of Changxing Island	North bank of Changxing Island	2007-2009
Chongming East Shoal Reclamation	Chongming East Shoal	1998-
Hengsha East Shoal Promoting Siltation project	Hengsha East Shoal	2007-

Table 2. Six Landsat images from 2006 to 2019.

<b>Serial number</b>	<b>Imaging data</b>	<b>Sensor</b>	<b>Mapping time (GMT)</b>	<b>Flow in Datong</b>	<b>Flood/Ebb</b>	<b>Tide type</b>
<b>a</b>	2006-01-22	Landsat 7 ETM	02:14:56.50	16800	Flood tide	Neap
<b>b</b>	2006-11-06	Landsat 7 ETM	02:14:49.55	16800	Ebb tide	Spring
<b>c</b>	2011-02-05	Landsat 7 ETM	02:18:24.90	15600	Flood tide	Spring
<b>d</b>	2013-12-11	Landsat 7 ETM	02:21:21.48	11200	Ebb tide	Neap
<b>e</b>	2018-02-08	Landsat 7 ETM	02:26:35.25	17700	Flood tide	Neap
<b>f</b>	2019-12-12	Landsat 7 ETM	02:06:56.02	11100	Ebb tide	Spring

Table 3. Date, location, tidal conditions, wave conditions, discharges in Datong and profiles.

Stations	Date	Distance from starting point (km)	Tidal condition	Discharge in Datong( $\text{m}^3 \cdot \text{s}^{-1}$ )	Channel segment	Daily averaged tidal range (m)	Daily averaged wave height (m)	Profiles
BG1	2003-02-18	20	Spring tide	16385	Upper	2.95	0.74-1.17	SSC profiles
	2003-02-24		Neap tide	21000		1.43	0.55-0.86	Velocity profiles
BG2	2003-02-18	34	Spring tide	16385	Middle	3.10	0.74-1.17	SSC profiles
	2003-02-24		Neap tide	21000		1.32	0.55-0.86	
BG3	2003-02-18	56	Spring tide	16385	Lower	3.05	0.74-1.17	SSC profiles
	2003-02-24		Neap tide	21000		1.29	0.55-0.86	Velocity profiles
BG1	2018-04-18	20	Spring tide	17300	Upper	3.64	0.73-0.98	SSC profiles
	2018-04-22		Neap tide	20400		2.22	0.53-0.93	Velocity profiles
BG2	2018-04-18	34	Spring tide	17300	Middle	2.94	0.73-0.98	SSC profiles
	2018-04-22		Neap tide	20400		1.87	0.53-0.93	Velocity profiles
BG4	2018-04-18	65	Spring tide	17300	Lower	2.92	0.73-0.98	SSC profiles
	2018-04-22		Neap tide	20400		1.62	0.53-0.93	Velocity profiles

Table 4. Average breadth and depth of NC in 1995, 2007 and 2018.

	Average breadth $B$ (km)			Average depth $H$ (m)			$B/H (\times 10^3)$		
	1995	2007	2018	1995	2007	2018	1995	2007	2018
Upper segment	8.03	7.94	5.29	5.35	6.29	9.07	1.50	1.26	0.58
Middle segment	8.26	7.62	7.53	5.47	6.37	7.10	1.51	1.20	1.06
Lower segment	15.07	17.68	14.21	3.66	3.12	3.85	4.12	5.67	3.69