1	Operando characterization of organic mixed ionic/electronic materials
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11 Abstract

Operando characterization plays an important role in revealing the structure-property 12 relationships of organic mixed ionic/electronic conductors (OMIECs), enabling the direct 13 observation of dynamic changes during device operation and thus guiding the development of 14 new materials. This review focuses on the application of different operando characterization 15 techniques in the study of OMIECs, highlighting the time-dependent and bias-dependent 16 structure, composition, and morphology information extracted from these techniques. We first 17 illustrate the needs, requirements, and challenges of operando characterization, then provide an 18 19 overview of relevant experimental techniques, including spectroscopy, scattering, microbalance, microprobe, and electron microscopy. We also compare different in silico methods and discuss 20 the interplay of these computational methods with experimental techniques. Finally, we give an 21 outlook on the future development of operando for OMIEC-based devices and look towards 22 23 multimodal operando techniques for more comprehensive and accurate description of OMIECs.

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12 1. Introduction

Organic mixed ionic-electronic conductors (OMIECs) are soft organic materials that solvate and 13 14 transport ionic species as well as transport electronic charges, enabling extensive ionic-electronic coupling.¹ Due to their mixed conductivity and chemical tunability, OMIEC materials are 15 attractive for many applications, such as energy storage,² neuromorphic computing,³⁻⁷ 16 biosensors,⁸⁻¹¹ bio actuators^{12, 13} and drug delivery.^{14, 15} OMIECs under operation are 17 18 characterized by a time and voltage dependent chemical composition, environment, and morphology. The ionic/electronic charge density across their heterogeneous domains are a 19 function of the applied potential and local chemical environment, and thus vary during device 20 operation. Time resolved studies in device relevant conditions are therefore essential to capture 21 these dynamic changes and establish meaningful structure properties relationships. The 22

systematic design and optimization of OMIECs is thus conditional on the widespread use and
 development of operando characterization techniques.

3 The complexity embodied in OMIEC materials and devices stems from both their intrinsic 4 composition and their operation. OMIECs are most often polymeric and can be classified into 5 different categories depending on the relationship between the ion conducting and electron conducting components.¹ As shown in Figure 1b, OMIEC materials can be homogenous, i.e. a 6 7 single component transporting both ionic and electronic charge, or inherently heterogenous, such 8 as block copolymers or blends of distinct ionic and electronic transporting components. Electronic transport generally occurs through extended π -conjugation, though radical polymers 9 are a growing area of interest for OMIECs.¹⁶ The ionic carriers populating OMIECs can be either 10 extrinsic (i.e. sourced an electrolyte in contact with the material) or intrinsic (i.e. from 11 12 component polyelectrolytes). Further, the moieties supporting ionic transport can be polyelectrolytes with repeating ionically charged groups and polymer electrolytes that, though 13 neutral, solvate ions with coordinating groups (e.g. ether oxygens). Even though most OMIEC 14 materials are polymeric, various small molecules¹⁷ and liquid crystals¹⁸ have been synthesized 15 16 and used for mixed transport applications.

17 When incorporated into functioning devices, OMIEC materials undergo extensive compositional and structural changes that modulate materials properties and affect device performance. 18 19 External bias induces transport and redistribution of charges (ions/electrons) in OMIECs. For OMIECs having intrinsic ions and operating without a contacting external electrolyte, ions and 20 21 electrons in the material are redistributed by the applied electric field. In the presence of an 22 external electrolyte, ions diffuse and/or drift into the OMIEC thin film and establish a dynamic 23 equilibrium with the external environment. This causes mass transport across the electrolyte-24 OMIEC interface, which can induce film swelling and/or multi-scale structural changes. From 25 the molecular to the macroscopic level, the structural changes may include modulation of 26 polymer backbone planarity, π -stacking distances between molecules, spacing and segregation of aggregates, and changes in the ratio of crystalline to disordered domains. Because of the high 27 compositional and structural complexity reflected in operation, small changes in molecular 28 arrangement and morphology can lead to significant changes in OMIEC performance,¹⁹⁻²¹ 29 justifying the need for operando characterization. 30

In this review, we argue for the importance of operando characterization tools for OMIECs, 1 2 showcase their unique structural insights, and discuss their implementation challenges. In doing 3 so, this review surveys the existing applications of common characterization tools used to study OMIECs and highlights the most fruitful areas for future development. For existing operando 4 characterization tools, we focus on the information that these tools can bring to the researcher 5 6 and the potential improvements. For those operando techniques that have not yet been implemented or are not yet widely applied, this review emphasizes their corresponding ex situ 7 and in situ experiments to analyze the specific difficulties in setup implementation. In addition, 8 9 we briefly mention some commonly used tools that present critical issues hindering operando implementation, and discuss alternatives. Furthermore, we survey the application of molecular 10 simulations to OMIEC materials, highlighting how these 'in silico operando experiments' can 11 12 provide valuable insight that complements and even overcomes the limits of some experimental characterization tools. 13

14 **2. Operando Characterization**

15 2.1 The importance of operando characterization

In recent years, 'operando' characterization has become a hot topic in various fields.²²⁻²⁷ The term 16 17 'operando', from Latin verb to operate or work, has come to mean in science as 'during 18 operation', and operando characterization refers to a study performed in application relevant environments, conditions, and geometries. Shown in Figure 1a, these characterization 19 techniques include spectroscopy, scattering, scanning probe techniques, gravimetric techniques, 20 21 and computational methods. Together, these operando techniques establish application relevant 22 structure-property relationships and guide further design and optimization of OMIEC materials. Due to their dynamic structure and composition in response to changes in the electrical potential 23 and chemical environment, operando characterization of OMIECs is necessary. Characterizing 24 25 different structural/compositional states is paramount to understanding charge transport, the 26 ionic-electronic coupling and potential dependent structure-property relationships. Meanwhile, 27 time-resolved operando experiments record the transient structural change and related charge population, which reveals intermediate states and charge transport mechanisms of operation. 28 29 Time-resolved experiments generally involve electrical signals measured concurrently with

1 optical, mechanical, or other structural signals, during OMIEC operation, thus requiring signal

2 synchronization for time-resolved data analysis. Non-time resolved experiments mostly probe

3 steady state conditions and cannot reflect the dynamic changes in operation. In some kinetic

4 sensitive applications such as supercapacitors, time-resolved experiments are required.

5 Conversely, for light-emitting electrochemical cells (LEECs) or batteries steady state, non-time

6 resolved experiments can be adequate.



Figure 1 (a) Different operando characterization techniques reveal the structure-property
relationships of OMIEC in application-relevant scenarios. (b) Different types of OMIEC
materials.

Compared to ex situ studies ('off site', where characterization occurs in film conditions or 11 environments other than working conditions), operando characterization reflects structural and 12 13 compositional changes experienced in operation. Early 'ex situ' experiments dominated OMIEC 14 characterization due to specific experimental settings being incompatible with operating conditions (e.g., vacuum, dry) and the difficulty of setting up real-time monitoring apparatus.²⁸⁻³² 15 16 The mismatch between ex situ requirements and the operating environment points out the 17 shortcomings of these ex situ techniques. First, the reversible changes during material operation 18 cannot be captured after leaving the operating environment. For example, electrolyte immersion of OMIECs in some application scenarios alters the structure of the polymer film³³ and the 19 distribution of internal charges/ions,³⁴ but these effects are lost when OMIECs are investigated in 20

a dry or vacuum experimental setup.³³ Also, optical and structural changes occurring with 1 charging and discharging tend to relax back to an open circuit or ambient doping state after 2 removing the external potential during ex situ investigations.^{35, 36} Second, the structure, chemical 3 composition, and mechanical properties of the films will change due to the additional steps in ex 4 situ sample preparation. In many cases, it can prove difficult to distinguish between the behavior 5 6 during operation and the spurious changes introduced by ex situ sample preparation. Lastly, the 7 counts/signal intensity obtained from different ex situ samples (even for the same material) are 8 more difficult to quantitatively compare, whereas counts/signal intensity within a single 9 operando experiment are directly comparable. The fixed sample alignment and geometry during operando experiments eliminates the need for calibration or normalization to correct for sample-10 to-sample variation (thickness, structure, etc), changes to experimental setup or environmental 11 12 factors across multiple ex situ experiments.

While similar, 'operando' is distinct from 'in situ'. In situ characterization, commonly 13 understood to mean "on the site", has given many useful insights into OMIEC structure and 14 properties, particularly during materials deposition and processing. One of the most prominent 15 16 examples is the investigation of the structural evolution during film formation in solution-based casting techniques.^{37, 38} The presence of solvents in the casting process of thin film materials 17 makes the collection of structural signals difficult in a similar way to the presence of electrolytes. 18 Additionally, in situ characterization of thermal annealing of organic polymer films has been 19 20 extensively performed.^{39,40} For inhomogeneous polymer films, such as donor acceptor bulk 21 heterojunctions, phase separation during thermal annealing has been of interest to researchers across multiple application areas.⁴¹⁻⁴³ However, in situ studies often target specific processing 22 steps and can occur in artificial environments/geometries that do not reflect functioning devices. 23 24 Depending on the ultimate device application, in situ experiments can often be accurately 25 considered operando, such as the measurement of the steady-state properties of OMIECs under device relevant applied voltages and conditions. For instance, UV-Vis-NIR 26 spectroelectrochemistry is an in situ technique, and in the case of OMIEC electrochromic 27 applications is also a good example of an operando measurement. The technique captures the 28 29 spectral information of the material while charging and discharging, to analyze the species 30 transformation and chemical reactions inside the OMIEC material that would occur during 31 device operation.

The challenges and requirements of operando characterization can be categorized under the 1 2 following four aspects: chemical environment, device geometry, charge distribution, and device-3 related time scale. First, the chemical environment in operando experiments should be the same as the application scenario. Take the example of whether an additional external electrolyte is 4 needed in operating conditions. For applications employing solvent free OMIECs with intrinsic 5 6 ions, there is no requirement for external electrolyte and operando experiments can be performed under more controlled conditions, such as under high vacuum. This reduces the technical 7 8 difficulty of operando experiments and broadens the choice of characterization methods. In 9 OMIEC applications that require external electrolytes, ion and solvent uptake occurs during electrochemical doping/dedoping, and as a result OMIECs can swell 10-1000% compared to the 10 dry state.^{33, 44-46} For this reason, operando characterization must be carried out in a electrolyte 11 immersed/contacted state, allowing solvated ions need to drift/diffuse into the material, thus 12 significantly complicating its implementation. 13

The second requirement is the application-oriented device geometry, including parameters such 14 as OMIEC film thickness, material anisotropy, electrode distances, electrode area, and device 15 16 substrate, etc. For example, thick/bulk OMIEC samples should not be presumed to represent the 17 thin film structure or properties found in an actual device (unless explicitly confirmed). Also, different casting methods can induce or modify film anisotropy in conjugated polymers.^{47, 48} This 18 requires consistent sample preparation for operando experiments to mirror real devices to 19 accurately reflect the ion and electron transport conditions and film morphology. The substrate 20 21 and electrode geometry influence charge transport kinetics and may even change the ratedetermining step (RDS) in the transport mechanism.^{49, 50} 22

Closely related to device geometry, the third requirement for operando characterization is the need to reflect device relevant potential profiles as well as the resulting electronic and ionic distributions/gradients occurring under operating conditions. Thus, not simply applied potentials, but induced electric fields must be considered: a few volts applied laterally across a mm-scale thin film are not equivalent to a few volts applied vertically through a 10-100 nm thin film. In addition, accurate chemical environment and sample geometry is important to establish device relevant concentration gradients and electric fields. For example, OECTs need to be exposed to

electrolyte and require ion uptake to induce charge heterogeneity, but LEECs operate in dry
 conditions to establish internal charge gradients.⁵¹

3 The last aspect is the device-relevant timescale, which needs to be compatible with the operating 4 dynamics of the device. The temporal resolution of an operando experiment needs to be able to 5 capture the kinetics of the structural and electronic properties as they change from non-6 equilibrium to equilibrium states. This is regulated by the device RC time constant, equivalent to the characteristic cell time constant in traditional electrochemistry,⁵² where R is resistance and C 7 8 is capacitance, both of which represent the summation of many physical processes. Finally, the temporal resolution required to capture individual physical processes is a more demanding 9 10 requirement. For example, while the charging/discharging time scale or ionic to electronic signal transduction for bioelectronic signal recording may require ms level time resolution, individual 11 12 electronic and ionic charge transfer/transport/hopping events may demand ns resolution.53

13 2.2 Applications specific environments and operando challenges

In general, there are common difficulties that need to be overcome to implement operando 14 OMIEC characterization. For instance, the chemical environment may introduce competing 15 signals that undermine the measurement of desired phenomena. Depending on the device setup, 16 17 specially designed electrochemical cells may be required. In addition, a long integration time (data collection time) is used in many characterization tools, such as X-ray scattering, Infra-red 18 19 and Nuclear Magnetic Resonance spectroscopy. The long integration time needed for high resolution, low noise data is at odds with the time resolution required for kinetics studies, 20 21 requiring a compromise between data quality and device relevant timescale.

The applications of OMIECs and their corresponding operating environments can also create 22 23 hurdles to operando characterization. Operating devices can necessitate OMIECs that are dry, 24 hydrated, solvent swollen, or electrolyte immersed. Dry OMIEC (containing no solvent or liquid electrolyte) integrated in solid state devices (light emitting electrochemical cells, solid state 25 26 organic electrochemical transistors, solid state neuromorphic devices, etc.) present the fewest hurdles to operando characterization. Aside from geometric considerations, the substrate may 27 28 present absorption and scattering that overlap or attenuate desired signals from the OMIECs. Additionally, the OMIEC in functioning devices may be present as a thin film underneath several 29

overlayers (i.e. electrodes, dielectrics) or encapsulation. These considerations are potentially
relevant to all OMIEC application environments. Being solid-state, applications with dry
OMIEC films are broadly compatible with high vacuum systems common in characterization
techniques such as traditional X-Ray Photoelectron Spectroscopy and Electron Microscopes.
However, some dry films contain moderately volatile components such as dopants, surfactants,
and additives that while stable in ambient conditions, are incompatible with high vacuum
characterization environments.

8 Hydrated or solvent swollen OMIECs are similarly incompatible with high vacuum

9 characterization environments, and generally require environmental controls to maintain a high

10 relative humidity or solvent vapor saturated environment during characterization. These

11 saturated vapor atmospheres introduce additional absorption and scattering that interfere with

12 operando characterization, hindering data analysis/interpretation. OMIECs integrating ionic

13 liquids are unique in that ionic liquids display negligible vapor pressure and can be incorporated

14 into high vacuum systems as if they were solid state.⁵⁴

Liquid electrolyte immersed OMIEC applications present more obstacles to operando 15 characterization with respect to their dry counterparts. Especially for OMIEC thin films, 16 17 unwanted absorption and scattering from water or other solvents can make the desired signals undetectable. Additionally, some electrostatic techniques, such as scanning kelvin probe 18 19 microscopy, cannot function when immersed in an ionic conducting media which screens the electrostatic effects upon which the technique is based. Altogether, it becomes apparent that 20 21 certain applications are more or less compatible with certain characterization techniques, the 22 details of which we will discuss below as each technique is introduced.

3. OMIEC in operation

24 3.1 Ionic and electronic processes in OMIECs

25 Targeted physical responses in OMIEC devices in response to external bias include optical

changes, charge storage, signal transduction and mechanical modulation. All these responses

27 require mixed ionic-electronic transport and coupling, which are key to OMIEC performance and

28 applications.¹ There are several basic processes involved: ionic transport, electronic charge

carrier injection/collection, electronic transport, and electronic charge stabilization (ionicelectronic coupling). Among these processes, electronic injection/collection is largely associated
with electrode selection and work function modification,⁵⁵⁻⁵⁷ which is often discounted in the
optimization of OMIEC materials.⁵⁷⁻⁶¹ The electronic charge stabilization, manifest as counter
ion doping, arises from ionic-electronic coupling, leading to a delocalization effect of the
holes/electrons in the conjugated system. Aside from charge injection/collection, these processes
require a dedicated discussion due to their complexity.⁶²⁻⁶⁵

8 Ionic transport occurs through a variety of mechanisms depending on material morphology, environment, and application, see ref [⁶⁶] in detail. For polymeric OMIECs working in dry 9 conditions, ion solvation occurs by coordination to polar or charged groups.^{67, 68} Often in dry 10 polymer electrolyte based OMIECs, only the cation or anion is coordinated, while the other 11 12 resides in inter-chain voids. In dry polyelectrolyte based OMIECs, only the counter ions are mobile and are coordinated in ion clusters. Ion transport from one coordination site or void to 13 another is a thermally active process depending on chain motion in dry film and is heavily 14 influenced by chain flexibility. When OMIECs are subjected to limited electrolyte swelling, the 15 16 dependence of ion transport on polymer chain motion is still crucial. However, chain motion is 17 enhanced through the plasticizing effect of solvent molecules, and the ion coordination to OMIECs is weakened as solvent molecules contribute to ion coordination. In the case of 18 substantially electrolyte swollen or hydrogel OMIECs, vehicle transport of an ion and its 19 20 solvation shell as a single unit is the dominant mechanism. While vehicle transport can require 21 chain reorganization, in the limit of a hydrogel-like morphology, solvated ions move rapidly through continuous solvent channels in the heterogeneous bulk morphology⁶⁹ and are decoupled 22 from the polymer chain motion. During the operation of these OMIECs, it is apparent that 23 moderate swelling of the electrolyte facilitates ion uptake and transport.⁷⁰⁻⁷² In addition, the type 24 25 of ions in the OMIEC also affects the way they are transported. For instance, high proton conductivity with low activation barriers is possible in OMIECs through the Grotthuss 26 mechanism,^{73, 74} which rapidly transfers protons through a hydrogen bonding network. In 27 addition, the size and the hardness of ions influence their solvation shell and thus affect ion-28 material interactions and ionic transport.^{75, 76} On the macroscopic scale, the above ionic 29 transport processes occur in response to both electric field (drift) and concentration gradients 30

1 (diffusion) that can be described with the combination of Ohm's law and Fick's law,

2 respectively.^{77, 78}

3 As often is the case with ionic species, electronic carrier transport in OMIECs is also thermally 4 activated. The static disorder in the polymer due to the conformational (i.e. kinks, chain ends) 5 and electrostatic (ions) disorder translates in a broadening of the tail edge of the density of states that localizes electronic carriers.⁷⁹ Thermal energy allows charge carriers to hop into more 6 delocalized energy levels that are located deeper within the conduction band.⁸⁰ Electrons or holes 7 move between delocalized electronic states either through intra- or inter-chain hopping.⁸¹ The 8 degree of crystallinity and short range order affect the size of domains where rapid and facile 9 10 charge transport can occur. Generally, the morphology of OMIEC materials lies between two extremes: on the one hand, some rigid polymers show little long range order, but efficient intra-11 12 chain electronic transport down the polymer backbone.⁸² On the other hand, in highly crystalline OMIECs (e.g. low molecular weight or small molecule OMIECs), inter-molecular transport 13 through extended ordered domains dominates, but is impeded at grain boundaries.⁸³ Most 14 OMIECs represent an intermediate case where interdomain connectivity is requires the presence 15 16 of sufficiently long tie-chains that act as a bridge between ordered domains, enabling charge percolation across the entire film morphology.⁸⁴ Besides these chemical and structural factors, 17 the applied electric field and carrier concentration also affect electronic charge transport. Carrier 18 concentration is directly dependent on doping (ionic-electronic coupling described below). At 19 low doping levels ions serve as traps diminishing electronic carrier mobility, and at moderate to 20 21 high doping, electronic mobility increases many orders of magnitude.⁶⁶ Finally at extreme doping levels, induced disorder or band filling suppress electronic charge carrier mobility.⁸⁵ In 22 operating devices, electric fields can lead to large variations of charge density (and thus 23 electronic mobility) across the device.⁸⁶ Thus, this picture of electronic charge transport is 24 25 altered by the changes in morphology and electrostatic potential associated with OMIEC operation. In particular, for heavily doped OMIECs operating in dry conditions, the high ionic 26 concentration alters the potential surface of the electron transport pathway, thus leading to a 27 band-like charge transport mechanism.^{87, 88} In the case of hydrated or solvent swollen OMIECs, 28 swelling affects mainly the amorphous domains, and can disrupt the connecting tie chains 29 30 between crystallites or aggregates. This hinders the percolation of electronic charges while at the same time enhancing ion diffusion.⁸³ 31

Ionic-electronic coupling requires intimate proximity of polymer chains and ions. Due to the 1 2 ability of electrolyte to percolate throughout the entire volume of the OMIEC film, the capacitive 3 coupling between ions and organic material is volumetric rather than limited to the film surface or film/electrolyte interface. At the molecular level, ions are thought to stabilize the nearby 4 electronic charges on the polymer backbone (e.g. polythiophene) through electrostatic 5 interaction. This mechanism differs from the direct charge transfer from ions to polymers that 6 can occur in systems such as polypyrrole, which can undergo direct protonation.^{89, 90} The ionic-7 electronic coupling efficiency is sensitive to the applied potential.⁴⁴ The potential dependent 8 coupling modulates macroscopic physical responses in operation and is pivotal to various 9 application scenarios of OMIECs. These physical responses include film swelling, optical 10 changes, electronic conductivity changes, charge accumulation/depletion (energy storage and ion 11 12 pumps) and ion-to-electron signal transduction.

13 3.2 Electrical and electrochemical characterization of mixed transport

When considering mixed transport some quantities of interest are the concentrations of ionic 14 species (anions and cations) and electronic species (electrons and holes), as well as the electric 15 16 field and concentration gradient dependent velocities of these species (mobilities and diffusivities respectively).⁶⁶ In the case of electronic carriers, the concentration is dependent on 17 the concentration of excess ions acting as dopants, and the electronic mobilities are themselves 18 dependent on the resulting electronic carrier concentrations.^{85, 87, 91, 92} The conductivities of 19 individual species σ_i are the product of their charge q_i , mobility μ_i , and concentration c_i , shown 20 in Equation 1: 21

22
$$\sigma_{Total} = \sum_{i} \sigma_{i} = \sum_{i} q_{i}c_{i}\mu_{i} \quad (1) = \sum_{i} q_{i}c_{i}\mu_{i} \quad (1)$$

However, due to practical considerations, conductivities are often reported as summed total ionic and/or electronic conductivity. Ionic and electronic conductivities can vary greatly (as much as 10 orders of magnitude) depending on OMIEC type and operating conditions, with optimized ionic and electronic conductivities exceeding 0.1 and 1000 S cm⁻¹, respectively. For an expanded review of the interplay of ionic and electronic transport in OMIECs and their dependence on operating conditions see ref⁶⁶]. In the case of electronic conductivity, electrons and holes are singly charged species and transport is generally single carrier as electrons and holes in close
proximity tend to recombine. However, anions and cations can coexist and can be mono- or
multivalent; therefore total ionic conductivity alone cannot completely describe the underlying
picture.^{77, 78} However, if ionic and electronic conductivities are of a similar order of magnitude it
can become exceedingly difficult to isolate the ionic and electronic contributions and a simple
total conductivity is sometimes reported.

7 Ionic and electronic transport in OMIECs is generally assessed through electrical

8 characterization, particularly current-voltage measurements and electrochemical techniques (vide infra). In the simplest current-voltage (J-V) setup, the OMIEC is connected between two noble 9 10 metal (ion blocking) electrodes, shown in Figure 2a. Polarization results in a transient ion transport leading to ion accumulation/depletion at the electrodes.^{51, 93-96} Steady-state two terminal 11 12 J-V measurements should give only electronic transport component, but the fixed total ion composition complicates matters. The aforementioned ion migration and accumulation produces 13 a non-uniform potential profile, and since excess ions serve as dopants, this results in a non-14 uniform electronic mobility across the sample. Four probe measurements can mitigate these 15 16 problems.⁸⁷ Stepped voltage current transients and impedance spectroscopy can separate ionic and electronic components,^{21, 97, 98} especially when one type of transport (often ionic) is much 17 slower than the other. Generally, this geometry is limited to determining a single conductivity (a 18 total conductivity, or ionic or electronic if one predominates). 19

Alternatively, traditional electrochemical techniques feature an OMIEC in contact with an ion-20 21 blocking working electrode and an electron-blocking electrolyte interface, shown in Figure 2b. 22 When characterizing mixed transport, it is favorable to choose a potential range and ambient 23 environment that minimizes faradaic reactions (oxidation or reduction of the solvent, ions, or 24 dissolved species) at the electron blocking interfaces OMIEC/electrolyte interface. J-V 25 measurements in this geometry can be collected during linear potential sweeps, at fixed 26 potentials with a superimposed small variable frequency sinusoidal potential, or during stepped potentials. The most common technique using linear potential sweeps is cyclic voltammetry 27 (CV). In OMIEC CV, redox peaks reveal the pseudocapacitive charging, which reflects the 28 potential dependent volumetric capacitance (C*) of the OMIEC.⁹⁹⁻¹⁰² CV also determines the 29 potential ranges of stable hole and electron accumulation (oxidation and reduction, respectively). 30

When the transport of one species represents the rate limiting step, diffusivity can be extracted
 from the sweep rate dependence.

3 Electrochemical impedance spectroscopy (EIS) varies the frequency of applied potential to 4 extract the real and imaginary components of the complex impedance. A strength of EIS is the 5 separation of physical processes by timescale (frequency). In the simplest case the frequency 6 dependent complex impedance can be modelled with a Randles equivalent circuit, consisting of a resistor (R_s) in series with a parallel resistor (R_p) and capacitor (C).¹⁰³ The importance of these 7 8 equivalent circuit elements are the physical processes they capture. R_s manifests as a high frequency impedance plateaued minimum, and is the summation of all the series resistances 9 10 arising from the lead wires and traces, the electrical out-of-plane resistance of the OMIEC film, an effective resistance to out-of-plane ion transport in the OMIEC film, and the bulk electrolyte 11 resistance. R_p manifests as a low frequency impedance plateaued maximum, and captures the 12 direct electron transfer process occurring between the electrolyte and the OMIEC and the 13 electrolyte and the buried electrode. C manifests as a mid frequency impedance decrease with 14 increasing frequency, and is a conflation of the 2-D capacitances at the electrolyte-OMIEC and 15 16 buried electrode interface, and the 3-D C* of the bulk of the OMIEC. Impedance data deviating from simple Randles-like behavior require complicated equivalent circuit models^{104, 105} which 17 can give additional insight into ionic diffusion coefficients, ¹⁰⁶⁻¹⁰⁹ electronic mobilities, ¹¹⁰ and 18 electronic density of states.¹¹¹ 19

Coulometry, measuring the current over time during potential steps, is closely related (by a 20 Laplace transform) to EIS and gives similar information. As with EIS, the results are fit with 21 22 equivalent circuit models. While EIS uses a small potential oscillation about a constant potential 23 offset it is safe to assume the structure and properties of the OMIEC are relatively constant. Conversely, coulometry employs large potential steps across which there are often large 24 25 structural and property changes of the OMIEC. This can significantly complicate model 26 interpretation. It must be noted that it is difficult to isolate ionic transport in the OMIEC with coulometric measurements. While it is common in the literature to extract diffusivities from 27 current decays using the Cottrell equation, these reports rarely account for the effect of RC 28 29 charging (which are quite massive in CPs and OMIECs), which the Cottrell equation assumes are

negligible. This indiscriminate misapplication of the Cottrell equation unfortunately yields
 untrustworthy diffusivity values.

On the whole, these techniques (CV, EIS, coulometry) are best equipped to measure stored
charge (C*, charge storage capacity, energy density, etc), and the potential dependence thereof
(potential dependent C*, equivalent to the electrochemical density of states). In particular cases
they can also give diffusivities/mobilities of individual ionic and electronic species.

7 Combining the above characterization schemes (dual ion-blocking interface, and one ion blocking and one electron blocking interface) produces an electrochemical test bed with an in 8 9 situ electrical conductivity measurement, now more commonly known as an organic electrochemical transistor (OECT, shown in Figure 2c).^{112, 113} The general structure of OECTs 10 involves an OMIEC channel separating a source and drain electrode (which combined function 11 as the working electrode) coupled to a gate electrode (serving as the counter and reference 12 13 electrode) through an electrolyte. OECTs isolate electronic conduction without fixing ionic concentrations, avoiding the ion accumulation/depletion at electrode interfaces that occurs in a 14 two-terminal measurement with fixed total composition. The source-drain current captures 15 electronic transport, while the gate current captures ionic transport.^{85, 114} The OECT testbed gives 16 17 electronic mobility with high confidence. The extracted threshold voltage (a measure of the potential at which the OMIEC conductance "turns on") relates the potential dependent charging 18 19 with the onset of electronic transport. The gate transconductance (dI_d/dV_g) , normalized by the applied gate voltage beyond the threshold voltage and channel dimensions, conveys the product 20 of electronic mobility and volumetric capacitance (μC^*) which is a particularly useful OMIEC 21 material figure of merit.¹⁰³ As with the above current voltage test beds, OECTs do not effectively 22 23 capture ionic conductivity or ion diffusivities/mobilities.

While OECTs work well at isolating electronic transport, iontronic (or ionotronic) devices
(which accomplish the basic effects of traditional electronic devices, such as diodes and
transistors, but with ionic currents) can similarly isolate and quantify ionic transport (Figure
2d).¹¹⁵⁻¹¹⁷ In the most basic structure, coating the metal electrodes of a two-terminal set up with
electrically insulating ionically conducting polymer electrolytes or polyelectrolytes allows the
isolation of ionic conductivity. More complicated assemblies produce ion transistors and

diodes¹¹⁸⁻¹²⁰ enabling the isolation of anion or cation transport to extract diffusivities/mobilities
 of specific species. Replacing the ion-blocking contacts of an OECT with individual electrolyte

2 of specific species. Replacing the for blocking conducts of an offer whith marriadal checkoryte

3 interfaces transforms the OMIEC into an iontronic device. As often is the case, these electrical

4 and electrochemical testbeds are themselves the devices of interest for in situ and operando

5 OMIEC characterization.



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Figure 2. Different geometries based on the direction of ionic drift/diffusion and electronic
transport in operando characterization: (a) OMIEC connected between two noble metal
electrodes; (b) OMIEC in contact with an ion-blocking working electrode and an electronblocking electrolyte interface; (c) Organic electrochemical transistor (OECT); (d) Iontronic

11 devices.

12 4. Optical Spectroelectrochemistry

Spectroelectrochemistry refers to a series of in situ analytical techniques that incorporate 13 electrochemistry and spectroscopy simultaneously and has been widely applied in OMIEC 14 15 characterization. Depending on the similarity of conditions between the electrochemical technique (coulometry, EIS and CV) and OMIEC device operation, spectroelectrochemistry can 16 at times be considered an operando technique. Steady-state and slow to moderate sweep rate 17 spectroelectrochemical techniques can accommodate long integration times, while transient 18 measurements require sub second level temporal resolution. Optical techniques in this section 19 include UV-Vis-NIR spectroscopy, Infrared (IR) spectroscopy, Terahertz (THz) spectroscopy, 20 21 and Raman spectroscopy. The electromagnetic range used in these techniques corresponds to 22 different types of electronic and vibrational transitions in molecules, enabling to characterize a range of energy levels of OMIEC materials. This information, particularly when combined with 23 24 theoretical models, gives insight into the material structure and ion environment.

1 Pump-probe techniques such as transient absorption and ultrafast spectroscopy enable the study

- 2 of excited states decay dynamics (UV-Vis-NIR) as well as ionic mobility (THz). The information
- 3 that can be obtained by different techniques is briefly organized in **Table 1**. In **4.1**, operando
- 4 spectroscopy in the UV-Vis-NIR band is discussed, including absorption spectroscopy, transient
- 5 absorption, photoluminescence and ellipsometry; in **4.2**, the characterization of OMIECs with IR
- 6 and THz absorption techniques will be discussed; and in **4.3**, in situ/operando Raman
- 7 spectroscopy as a complementary technique to IR spectroscopy for detecting molecular
- 8 vibrations will be addressed.

9	Table 1.	Summary of optical spectroscopic techniques employed in operando study of
10	OMIECs.	

Method	Description	Electronic carrier Information	Ionic carrier Information	Structural & Morphology Information	Representative operando/ in situ example(s)	Implementation barriers
UV-Vis-NIR Absorption	Measure optical absorption in UV-Vis-NIR range (200- 2500 nm)	Neutral/charged subpopulations, optical band gap	-	Degree of aggregation/ packing	Polythiophenes ¹ 9, 72, 121-123 C60 Derivatives ¹²⁴ pgNaN ¹²⁵ BBLs ¹²⁶ NDI-T2 ¹²⁷	-
PL	Measure fluorescence emitted by excited species	Emissive species population, excitation energy	Concentration, environment	Degree of aggregation/ packing	iTMC ¹²⁸ PPV ¹²⁹⁻¹³¹	-
Spectroscopic Ellipsometry	Measures the reflected elliptically polarized light from the sample and sample- substrate interface	Conductivity, optical constants, neutral/charged subpopulations, optical band gap	Chemical composition	Thickness, degree of aggregation/ packing	PEDOT:PSS ¹³²	-
IR absorption	Measure optical absorption in IR range (700 nm -10 μm)	Absorption of low energy carriers, vibrational signatures of neutral/charged species	Molecular ion environment	-	P3HT ¹³³⁻¹³⁵ BBL ¹³⁶	Broad water absorption
THz Spectroscopy	Measure optical absorption in THz range	Mobility	-	-	P3HT:PCBM ¹³⁷	Complex setup, few THz transparent cells/substrates

	(10 μm -1 mm)					
Raman	Measure Raman scattering from the sample	Neutral/charge subpopulations and domain distributions, vibrational signatures of neutral/charged species	Molecular ion environment	Vibrational signatures of ordered/amorpho us domains	Polythiophenes ¹ ³⁸⁻¹⁴⁶ NDI-T2 ¹⁴⁷	Weak signal, limited choice of excitation wavelengths

1

2 4.1 UV-Vis-NIR spectroelectrochemistry

UV-Vis-NIR spectroelectrochemistry (operando UV-Vis-NIR spectroscopy) covers the 200-3 4 2500 nm spectral range and is popular in OMIEC characterization. The technique provides information on the transition of electrons in the frontier orbitals (HOMO and LUMO) of 5 6 molecules (using PEDOT, a p-type OMIEC material for example, shown in Figure 3a). The 7 spectrum (Figure 3b) mainly reflects absorption resulting from excitations of π - π * transitions 8 (Figure 3c) and charged species (polarons and bipolarons, Figure 3d) in conjugated polymers. The optical properties of organic conjugated materials are not only related to the conformational 9 10 and charged status on the molecular backbone, but also intermolecular aggregation (Figure 3c, left).¹⁴⁸⁻¹⁵⁰ It is an important operando technique due to its ability to capture the intermediate 11 12 states and kinetics of OMIEC material during electrochemical doping/dedoping.

13 The polaron and bipolaron model of conjugated polymers is generally used to describe the charged species of OMIEC material after electrochemical doping.¹⁵¹⁻¹⁵³ Polaron refers to the 14 individual electrons accompanying local vibrational mode deformation, and bipolaron refers to 15 the paired electrons in local vibrational mode deformation that has different mobility and 16 17 effective mass than the free carriers. While many researchers regard polarons as stabilized radical cations/anions and bipolarons as dications/dianions in doped CPs,^{64, 154-157} it has been 18 reported that the formation of polarons arises from the symmetry breaking in the electron density 19 distribution of radical cations/anions.¹⁵⁸ There have been different interpretations of the visible-20 near-infrared spectral bands of polarons and bipolarons, as shown in Figure 3d. In the early 21 22 literature, the absorption band of polaron lies from the red end of the visible spectrum to the near-infrared band, while the absorption band of bipolarons lies into further infrared band.^{151, 159} 23

According to recent DFT calculations, Zozoulenko et al. show a partial overlap of the absorption bands of polarons and bipolarons, i.e., the absorption bands of bipolarons are also present in the absorption bands originally considered to be polarons.¹⁶⁰ It is worth noting that the polaron and bipolaron model is a simplification of real OMIEC operation. The polaron/bipolaron model neglects multiple charged species in particular oligomer lengths, instead assuming a simple increase in polaron/bipolaron concentration.¹⁶⁰ According to the DFT calculation, such a treatment changes the band energy as well as the singlet/triplet states.¹⁶⁰



8

Figure 3. (a) The molecular stacking of a typical OMIEC material PEDOT (b) Experimental
UV-vis-NIR absorbance spectra of oxidized (black dash), half-reduced (blue) and reduced (red)
PEDOT:PSS. The neutral aggregation (purple) and charged state (red) are shaded in the
spectrum. Reproduced with permission from [¹⁵⁶]. Copyright 2014 Royal Society of Chemistry.
From UV-vis-NIR absorption spectra, the following information can be extracted: (c) Left: Jaggregate (up), H-aggregate (middle) and HJ-aggregate (down) from Spano model; right:

15 experimental absorbance spectrum of electrochemically reduced PEDOT:PSS (with 50 vol%

EG) films with 0-0, 0-1 and higher order peak (red dash) from molecular aggregate and the
 residual absorbance contribution (blue) from amorphous domain. Adapted with permission from
 [¹⁶¹]. Copyright 2016 Royal Society of Chemistry. (d) Polaron and bipolaron band diagram;

4 reported with permission from [¹⁶⁰]. Copyright 2019 American Chemical Society.

Unlike in small molecule chromophores, conjugated polymers present intra-chain and inter-chain 5 6 chromophore interactions. These π -stacking and dipole interactions between aromatic rings in 7 OMIECs affect their density of states and consequently their spectral signature. The photophysics of semicrystalline conjugated polymers such as polythiophenes has been explained 8 by Spano et al.^{148, 162, 163} starting from Kasha's theory of H and J aggregates (see Figure 3c, left). 9 J aggregates stem from the head-to-tail arrangement of chromophores, akin to the interactions 10 between neighboring repeating units in a polymer chain. H aggregates arise instead from the 11 12 side-by-side stacking of chromophores, corresponding to the lamellar π -stacking between different polymer chains. In J aggregates, the $S_0 \rightarrow S_1$ absorption shows a red shift due to the 13 optically allowed transition being at the bottom of the exciton band, while in H aggregates we 14 15 observe a blue shift of the absorption peak with the allowed transition falling at the top of the exciton band. This difference results in a strongly allowed 0-0 emission in the vibronic structure 16 17 of J aggregates, while the same transition is thermally activated for H aggregates. A single conjugated polymer chain can be viewed as a J aggregate, where each conjugated monomer is 18 electronically coupled to its neighbors. The H aggregate model is instead more suitable to 19 describe polymers with short backbone or low backbone planarity, where interchain π -stacking 20 21 dominates.¹⁶⁴ where interchain π -stacking dominates. In order to describe the range of behaviors 22 observed across conjugated polymers, Yamagata and Spano developed a two-dimensional HJ aggregation model in which the spectral features depend on the relative magnitudes of the 23 intrachain and interchain exciton bandwidths.¹⁴⁹ The ratio between the relative intensities of the 24 0-0 and 0-1 vibronic peaks (Figure 3c, right) can be used to quantify the degree of J or H 25 26 character of the polymer and thus provide information on the degree of aggregation and disorder. This model was applied to monitor the structural effects of ion doping on different domains of 27 P3HT^{165, 166} and PEDOT.¹⁶¹ Finally, the shorter wavelength region of the UV-Vis spectrum not 28 captured by the Spano model is associated to amorphous domains, including small molecular 29 weight fragments that present an oligomer-like behavior.^{162, 167-169} 30

1 4.1.1 Subtypes of UV-Vis-NIR spectroelectrochemistry

Basic UV-Vis-NIR spectroelectrochemistry can be divided into two modes: the transmission 2 3 mode and the reflection mode. The transmission mode is achieved by casting the sample on an 4 electrically conductive UV-Vis-NIR transparent working electrode (for example ITO glass), 5 shown in Figure 4a. The chosen substrate should be suitably conductive to ensure a uniform and stable voltage on the whole sample. Since water and most organic electrolytes do not absorb 6 7 strongly in UV-Vis-NIR wavelengths, beam attenuation is minimal and the signal-to-noise ratio 8 is high. It is worth noting that the absorption of aqueous electrolyte gradually increases in the infrared band above 1300 nm and shows a broad background absorption, while the background 9 of most organic solvent is weak and narrow.¹⁷⁰ Another approach is to collect reflectance UV-10 Vis-NIR absorption spectroscopy, including the diffuse reflection spectroscopy (DRS) and 11 12 attenuated total reflection (ATR) spectroscopy. DRS is suitable for thick or surface inhomogeneous samples (e.g., drop-cast OMIEC samples) where UV-Vis-NIR light is difficult to 13 transmit, and has been reported for ex situ characterization of conjugated polymers¹⁷¹⁻¹⁷³ as well 14 as in situ characterization of solid-state reactions.¹⁷⁴⁻¹⁷⁶ DRS can be combined with different 15 16 characterization tools (e.g. EQCM, X-ray diffraction, etc.), enabling simultaneous multi-modal 17 measurements of the same sample in real time. ATR spectroscopy employs a crystal in contact with the sample, light passing through the ATR crystal undergoes total internal reflection at the 18 crystal-sample interface. The evanescent wave generated by total reflection is subject to the 19 20 wavelength dependent absorption of the sample, producing a spectral signal. The penetration 21 depth of evanescent wave varies from hundreds of nm to several µm depending on incident angle and wavelength.¹⁷⁷ A typical setup for operando ATR UV-Vis-NIR absorption measurement is 22 shown in Figure 4d. This setup avoids the passage of the beam through the bulk solvent, making 23 24 it capable of circumventing solvent absorption background, and isolating optical absorption near 25 the sample surface.

Derivative UV-Vis-NIR spectroelectrochemistry techniques can be achieved by changing the
instrumental geometry, the incident light source, or the outgoing beam collection from
absorption spectra. Experiments monitoring electrochromic "moving fronts" in OMIECS arising
from lateral ionic and electronic transport in OMIEC thin films allow the estimation of ion
mobilities.^{69, 161, 178} Transient absorption spectroscopy (TAS) uses an optical pump pulse to

excite the sample to its excited state, followed by a white light probe pulse to monitor the
relaxation processes. Spectroscopic ellipsometry applies polarized light as the incident beam to
deduce a materials complex dielectric constant and conductivity. Photoluminescence (PL)
collects the outgoing fluorescence perpendicular to the beam path when working in transmission
mode and gives information on molecular energy levels. The operando application of TAS, PL
and spectroscopic ellipsometry in OMIEC characterization will be discussed in the following
sections.



8



- 10 voltage dependent UV-Vis-NIR spectra and (c) transient absorption measurement for
- 11 ProDOT(OE₃)-DMP in transmission mode. (a)-(c) are adapted with permission from $[^{123}]$.
- 12 Copyright 2021 American Chemical Society. (d) Operando UV-Vis-NIR spectroscopy setup in
- 13 reflection (ATR) mode, named as Kretschmann cell. (e) Real (ε_1) and imaginary (ε_2) part of the
- 14 ordinary dielectric functions of the PEDOT:PSS film obtained by operando UV-Vis-NIR
- 15 ellipsometry in reflection mode. Adapted with permission from [¹³²]. Copyright 2018 Elsevier.

16 4.1.2 UV-Vis-NIR absorption spectroelectrochemistry

- 17 Steady-state UV-Vis-NIR absorption spectroelectrochemistry is extremely widespread and
- 18 foundational technique, employed in conjugated polymer research to understand potential

dependent intermolecular aggregation, charge transfer states and charged species populations. To 1 2 date, various OMIEC materials with high conductivity and stability have been characterized by the technique. In addition to PEDOT,^{179, 180} a large group of newly synthesized p-type 3 semiconductor OMIEC materials have been characterized by operando UV-Vis to follow the 4 formation of polaron/bipolaron under different charging voltages.^{72, 181-184} Undoped p-type 5 polythiophene based OMIECs exhibit absorption peaks at approximately 600 nm due to H-6 aggregation and tend to show well-characterized 0-0 and 0-1 vibrational peaks, thus indicating 7 extensive molecular ordering in the solid state.^{19, 72, 121} For donor-acceptor materials like 8 diketopyrrolopyrroles (DPPs), the neutral aggregation absorption peak is red-shifted to near 9 infrared region due to the narrowing of the band gap of HOMO/LUMO and broadened by the 10 reduced molecular stacking order.^{182, 185} During electrochemical doping, the polymer is oxidized 11 and shows a bleaching of neutral π - π * peak as well as the growth of peaks corresponding to 12 charge carrier populations (i.e. polarons and bipolarons). Steady-state spectroelectrochemistry 13 14 UV-Vis-NIR spectroscopy is also important in charged species quantification and understanding stability of n-type OMIECs, and has been applied to fullerenes,¹²⁴ electron-rich polymers,^{125, 126} 15 and NDI based donor-acceptor materials.¹²⁷ 16

17 In addition to steady-state spectroscopic studies at different applied voltages, time-resolved UV-Vis-NIR spectroelectrochemistry provides a wealth of valuable information about material 18 stability and charge/discharge reversibility. The durability of the oxidized/reduced state at open 19 20 circuit voltage is tracked with materials exposed to air after oxidation/reduction,^{185, 186} showing particularly importance in the study of neuromorphic/memory materials.⁷ On the other hand, 21 operando UV-Vis-NIR spectroscopy provides extra kinetic information, including mechanism of 22 charged species formation and ion injection/migration rates, as voltage is applied. The dominant 23 time constant of neutral-polaron kinetics can be extracted by exponential fitting of absorption 24 changes.^{122, 124, 187} It is worth noting that simulations of spectral kinetics often require simple (i.e. 25 monoexponential for single species) decay curves, however more complex models for 26 overlapping spectral features can be warranted. Combining the time constants obtained from 27 spectral kinetics fittings with information from other operando experiments or theoretical 28 calculations results in richer assessments of structure-property information relevant to swelling 29 and ion injection.¹²² 30

1 4.1.3 Transient Absorption

Transient absorption spectroscopy (TAS) is a common ultrafast laser pump-probe technique and 2 3 has been recently applied in OMIEC operando experiments. The technique is attractive to 4 OMIEC systems as it directly probes the excited states and yields information on their decay kinetics, which relate to excited state lifetime as well as carrier mobility.¹⁸⁸ A laser beam is split 5 into two pulses: pump and probe. After the system is excited by the pump pulse, the weaker 6 probe pulse is used to monitor excited state dynamics.¹⁸⁹ The femtosecond time resolution¹⁹⁰⁻¹⁹³ 7 8 is determined by the bandwidth of the laser, which is around 0.5-1 THz. The technique has been applied to distinguish between free and bound carriers in bulk heterojunctions (BHJ).¹⁹⁴ In 9 10 addition to excited electron decay kinetics, a recent study of self-doped conjugated polyelectrolytes by TAS reveals the strong electronic coupling between the polaron site and 11 12 nearby neutral sites, and demonstrated the photophysics of polarons and their interaction with the surrounding environment.¹⁹⁵ Operando TAS on OMIEC material has been reported by Bargigia 13 et al. to investigate the electronic states of individual UV-Vis absorption peaks under different 14 external voltages.¹²³ Using ProDOT as a model material (spectroelectrochemistry in Figure 4b), 15 16 the charge transfer (CT) state was successfully identified prior to redox current and polaron 17 formation. As shown in Figure 4c, further studies of the photobleaching (PB) process reveal the stability of the intermediate state in different electrolytes, with much faster quench kinetics 18 observed in aprotic organic electrolytes than in aqueous electrolytes. In general, it is possible for 19 operando TAS to identify intermediate doping states (informing reaction mechanism), the 20 21 coupling between different electronic states, and the interaction of charged species with the 22 environment in operating conditions.

23 4.1.4 Photoluminescence

Photoluminescence (PL) or fluorescence spectroscopy, which detects the photons emitted by an excited species returning to the ground state, has been applied early on for ex situ,^{196, 197} in situ¹²⁹ and operando characterization¹²⁸ of conjugated polymers. The technique works as a complement to absorption spectroscopy and gives additional information about molecular ordering and ionic species population in OMIEC materials. As described in **Section 4.1**, the 0-0 fluorescence peak of the material completely disappears in the ideal H aggregate, which is fully ordered in Spano

model. Therefore, the ratio of 0-0/0-1 fluorescence peak can be used to describe the degrees of 1 molecular ordering.¹⁵⁰ In addition, the population and transport dynamics of ionic dopants in 2 3 OMIEC can be detected by time-resolved photoluminescence spectroscopy measuring the bleaching time of fluorescence. According to the molecular line theory proposed by Zhou and 4 Swager, the single state exciton generated upon absorption of light migrates rapidly along the 5 polymer backbone¹⁹⁸ by hopping between chromophores.¹⁹⁹ The exciton will be quenched when 6 7 it reaches the site occupied by exciton quencher^{198, 200} or domain/phase interface in heterojunction.²⁰¹⁻²⁰⁴ High-valence ions, such as calcium ions,²⁰⁵ charged proteins²⁰⁶ and nucleic 8 acids²⁰⁷ are often good exciton quenchers, which makes this technique suitable for the analysis of 9 ionic species. Lastly, photoluminescence spectroscopy provides the information on polaron 10 concentrations. The electron transfer from excitons to polarons leads to the fluorescence 11 quenching,²⁰⁸ and polaron absorption of fluorescence further reduce the emission efficiency.²⁰⁹ 12 The florescence quenching from polarons is reversible, such that molecular florescence recovers 13 after the elimination of polarons. This phenomenon has been reported in molecular doping²¹⁰ and 14 photo induced polaron formation^{211, 212} of conjugated polymers. 15

16 In situ PL spectroscopy has been used for film formation studies of organic polymers during spin-coating, which measures both absorption and PL spectra during the solution processing of 17 the studied films.^{37, 213} By continuously tracking the changes in the absorption and PL spectra of 18 P3HT, the investigators revealed the film formation kinetics.³⁷ This approach to incorporate in 19 situ PL and absorbance measurements can be easily extended to operando measurements on 20 21 OMIEC materials to understand the dependence of local microstructure and macroscopic optoelectronic properties on deposition method, film post-processing, and electrical operation. 22 There are no exceptional hurdles to in situ/operando implementation that are particular to PL 23 compared to in situ/operando UV-Vis absorption spectroscopy. 24

In organic light-emitting devices, operando PL spectroscopy has been applied to reveal ionic
species population and lattice defects through the PL monitoring of exciton quenchers. The
technique was used to study temperature and operating time dependent ion doping in polymeric
light-emitting electrochemical cells (LEECs).^{128, 129} In the operation of LEECs, a hetero junction
is formed by the p- and n-type electrochemical ionic doping, creating recombination zones where
light emission occurs. Continuous operation of the device over long time causes the charge

carriers to be compensated by opposite ionic charge, leading to electrochemically doped 1 2 molecules that block exciton hopping and trigger fluorescence quenching. The degree of PL 3 recovery after turning off the external bias indicates the reversibility (versus permanent material degradation) of the LEEC material after long time (12-72h) operation.¹²⁸ Fluorescence 4 spectroscopy can also distinguish between p and n doped regions due to different ionic doping 5 levels and assist electrical probes in measuring local potential and conductivity in LEEC 6 channel.¹³⁰ In the field of OLEDs, time-resolved PL spectroscopy has been applied to study the 7 defect states (quenchers) caused by both electric field induction and chemical doping.¹³¹ Looking 8 forward, the sensitivity of PL spectra to these charged dopant ions should enable the 9 development and characterization of OMIEC materials sensitive to the binding of small 10 molecule/ions during biosensing. 11

12 4.1.5 Spectroscopic Ellipsometry

13 Spectroscopic ellipsometry uses incident high-precision linear polarized light and measures the reflected elliptically polarized light from the sample and sample-substrate interface. The 14 dielectric constant in each direction of the film, the film thickness of different layers, and the 15 surface morphology can be modelled using Fresnel theory. This information is helpful in 16 17 elucidating the swelling and vertical film structure/morphology of OMIEC materials. The technique has also been applied to quantitatively analyze the chemical composition of polymer 18 thin films from optical and thickness data.²¹⁴ In addition, the technique gives complex optical 19 constants at different wavelengths. The real part of the constants is the refractive index of the 20 sample, and the imaginary part is the extinction coefficient related to the absorption.²¹⁵ Thus, 21 spectroscopic ellipsometry provides UV-Vis-NIR spectroscopy and associated information on 22 23 molecular packing.

In situ studies employing this technique have focused on real-time monitoring the swelling of insulating polymer films subjected to solvent vapor treatment.²¹⁶ Operando UV-Vis-NIR spectroscopic ellipsometry has been implemented in recent years²¹⁷ and used to measure the electrochemical doping and dedoping processes of a prototypical OMIEC material, PEDOT:PSS, operating in an aqueous environment.¹³² Within the Tauc-Lorentz-Drude (TLD) model,^{218, 219} the technique gives optical constants and provide the information on complex dielectric constant as

well as potential dependent electronic conductivity.¹³² The potential dependent local conductivity 1 2 can be understood with respect to a 'threshold voltage', representing field effect dominance 3 (surface charged) below the 'threshold voltage' and ion injection dominance (bulk charged) once the 'thereshold voltage' is reached.¹³² It is worth mentioning that the Kretschmann cell 4 configuration (Figure 4d) used in this study effectively prevents the optical path from passing 5 6 through the aqueous electrolyte, which effectively reduces the absorption of NIR light by water 7 molecules, thus allowing the effective range of the spectrum to be extended and distinguishing 8 the contribution of free charge carriers to the total absorption in the NIR range.

In summary, UV-Vis-NIR spectroelectrochemistry techniques are a popular tool for the
characterization of OMIEC materials, as they enable non-invasive operando measurements with
a high signal-to-noise ratio, even in aqueous or organic electrolytes. These methods enable the
time resolved analysis of OMIECs during operation; in particular, structure and morphology
dynamics of thin films can be correlated to changes in electrical properties such as current and
voltage.

Further insight into structure-property relationships for OMIECs in operation can be obtained by coupling spectroelectrochemical measurements with additional characterization techniques. In particular, optical techniques are being leveraged for the operando imaging of OMIEC materials. Recently, operando interferometric scattering microscopy (iSCAT) has been used to image ionic diffusion in battery materials.²²⁰ The insight of iSCAT into nanoscale composition and structure provides the possibility to observe ion transport and domain changes in OMIEC materials in operation.

22

23 4.2 IR and THz Absorption

24 4.2.1 Fourier-transform infrared spectroscopy

25 In contrast to the commonly used operando UV-Vis-NIR spectroscopy, operando infrared (IR)

and terahertz (THz) spectroscopies are relatively underreported in operando OMIEC

27 characterization. Fourier-transform infrared spectroscopy (FTIR) is capable of distinguishing and

identifying functional groups, shedding light on the charge density and local environment of

organic materials. In OMIECs and in the wider category of conjugated polymers, polaron 1 2 formation results in a change in bond length alternation that is reflected in the polymer's IR 3 signature. Due to its ability to capture the population of different charged species and their molecular environment, the technique is appealing for operando OMIEC characterization. 4 Attenuated Total Reflection (ATR) mode is the most common technique current used in FTIR 5 6 for its convenience and robustness. To enhance the IR absorption signal, the incident IR beam 7 can be reflected several times at the crystal-sample interface, termed multi-bounce or multireflection ATR, Figure 5a. The technique is broadly applied to probe the vibrational modes of 8 molecular dopants in conjugated polymers²²¹ and small molecule organic semiconductors,²²² to 9 reveal different oxidation species as well as the interaction between charged molecular dopants 10 and charged organic molecules. Most OMIEC relevant in situ IR experiments have been carried 11 out on CPs or small molecules using acetonitrile or PEO as the solvent/mediums, including 12 during electropolymerization²²³ as well as electrochemical cycling (doping/dedoping) of p-13 type¹³³ and n-type semiconductors.¹³⁶ In situ/operando IR spectroscopy is rarely implemented in 14 aqueous electrolyte due to the strong absorption of water molecules over mid infrared bands. For 15 16 OMIEC materials, in situ ATR-FTIR directly detects the absorption of polarons and bipolarons dependent with oxidation voltage.¹³³ In situ ATR-FTIR covers a wider range of infrared 17 wavelengths than operando NIR spectroscopy, resulting in complete absorption peaks of polaron 18 and bipolarons. In CP films in contact with LiClO₄/PEO solid polymer electrolyte, reports 19 20 revealed the ion uptake kinetics during electronic charging and discharging by tracking the polaron IR absorption.^{134, 135} The time integration of the polaron broad peak shows the kinetic 21 22 asymmetry of the film between charging and discharging (Figure 5c-e). In addition, the channel length has no effect on charging kinetics but a small effect on discharging kinetics, indicating a 23 24 small amount of charge trapping in the system. Importantly, the independence of electrolyte 25 thickness on the CP film discharge indicates that the electronic doping kinetic in this system is limited by the anion uptake, as shown in Figure 5b. The subsequent fitting of the kinetic curves 26 with a diffusion model showed a strong correlation between the anion diffusion constant and the 27 applied voltage. 28



1

Figure 5 (a) Operando FTIR setup in ATR mode. Multiple reflections at the interface are
designed to increase the signal intensity. Adapted with permission form [¹³⁴]. Copyright 2019
Royal Society of Chemistry. (b) Proposed progression of charging and discharging in OMIEC
active layer,¹³⁵ based on time-resolved infrared spectra during (c) device charging and (d) device
discharging. (e) Integrated absorbance from (c) and (d) plotted vs. time. The gate voltage was 2.8V, and the voltage is set to 0 V at t=400s for discharging. (b)-(e) are adapted with permission
from [¹³⁵]. Copyright 2021 American Chemical Society.

9 The development of future operando IR spectroscopy for OMIEC materials needs to overcome 10 the following difficulties: First, ATR-IR, even using multibounce mode, requires the averaging of multiple measurements, which limits the time resolution far above the timescales changes in 11 OMIEC materials in operating conditions. For ultrafast kinetics, such as polaron formation and 12 decay, time-resolved IR spectroscopy based on pump-probe techniques has been reported on 13 melanin.²²⁴ Second, special methods and cell designs for circumventing the water absorption are 14 necessary when investigating OMIECs in aqueous electrolyte. A potential substitute solvent is 15 heavy water, which exhibits low background absorption between 1300 cm⁻¹ and 1900 cm⁻¹.²²⁴ 16

The combination of operando IR with other absorption spectroscopy techniques is also a
 foreseeable trend. A measurement platform combining three fiber optic probes for operando
 ATR-IR, Raman and UV-Vis-NIR spectroscopy, has been implemented in the study of
 homogeneous catalytic systems.²²⁵ Such a platform could be adapted to multimodal optical
 characterization of OMIEC materials in real time.

6 4.2.2 Terahertz Spectroscopy

7 In conjugated polymers, terahertz (THz) spectroscopy is mainly applied to detect short-range charge transport and transient photoconductivity in a material. THz pulses are generated via 8 different methods, including nonlinear optical processes, semiconductor photoconductivity and 9 free electron lasers.^{226, 227} The transmitted light is collected by THz detector and the entire setup 10 is purged by dry nitrogen or helium to prevent atmospheric water absorption. The technique has 11 not been applied in operando measurement of OMIEC materials, but could be readily employed 12 for the direct determination of potential dependent charge carrier mobility by probing THz 13 dielectric response of charge species, including free carriers, polarons and excitons.²²⁶ THz 14 absorption spectroscopy (both pump-probe and non-pump-probe) has been reported to 15 characterize the voltage-dependent response of conjugated polymer-based THz wave 16 modulators.^{226, 228-230} In pump-THz probe experiments, the electric field of the THz pulse is 17 recorded after absorption by the excited sample. By varying the delay time of the pump-probe 18 setup, the time-dependent photoconductivity can be obtained and Fourier-transformed to obtain 19 the complex frequency-dependent photoconductivity.²²⁶ The Drude-Smith model is used to relate 20 optical conductivity to the interband transition, the electron lifetime, and the transport relaxation 21 time.²³¹ This model has been adapted for the determination of carrier lifetime and mobility in 22 solar cell materials,^{232, 233} as well as the elucidation of charge injection²³⁴ and hopping processes 23 in conjugated polymers.²³⁵ In situ studies of printed electronic devices by pump-probe THz 24 25 spectroscopy have shown to produce reliable surface conductivity mapping in comparison to conventional methods such as AFM and four-point probe techniques.²³⁶ The absorption of the 26 THz beam by the electrolyte and cell material is the biggest obstacle to the operando THz 27 application in the presence of liquid electrolyte. Currently, operando implementation of THz for 28 OMIECs is practically achievable using a THz transparent electrochemical cell.²³⁷ 29

1 4.3 Raman Spectroscopy

Raman spectroscopy determines molecular vibrational modes and is well-suited for operando 2 3 OMIEC characterization. By decoupling different components through exciting wavelength 4 choice and multiple peak fitting, the technique provides insight into the relative neutral/charge 5 subpopulations, including polaron and charge transfer character, and their distributions across 6 different microstructural environments. This is important due to the sensitivity of relative charge 7 populations to electrochemical potential and local chemical environment which can be radically 8 different between ex situ and operando settings. The sensitivity of Raman spectroscopy to changes in molecular polarization differentiate it from traditional IR spectroscopy, which 9 10 captures the dipole moment variation. Because of the different selection rules, Raman spectroscopy provides information on π -conjugated bonds that can be easily polarized, and even 11 12 side chain vibrations that are in the dense fingerprint region of IR spectroscopy. The insensitivity to water between 1000-2000 cm⁻¹ (where the C=C and C=O stretching peaks are located) makes 13 the technique helpful in the study of OMIEC materials that require operation in aqueous 14 electrolytes.238,239 15

Despite some simple strategies to assign Raman peaks in simple molecules, the interpretation of 16 17 Raman spectra in complex conjugated polymers requires the support of DFT calculations, which give the corresponding Raman spectral lines for the individual canonical vibrational modes of a 18 19 particular molecule. For highly conjugated OMIEC molecules, a small number of vibrational peaks located in the 1300 cm⁻¹ - 1600 cm⁻¹, which correspond to the C-C and C=C bond 20 stretching on conjugated backbone, are of significant interest in Raman spectroscopy.^{138, 240-242} 21 22 These peak centers shift with the bond force constant related to excitation wavelength, electronic 23 density, and effective conjugation length. Due to their computational cost, most DFT 24 calculations are performed on isolated oligomers; the surrounding environment is only indirectly accounted for by mean field models (see also Section 10).^{146, 243, 244} 25

26 Operando Resonance Raman spectroscopy exploits the intensity enhancement generated when

the wavelength of the incident laser pump corresponds to an electronic transition of the material.

28 When applied to OMIECs, the technique provide insight on the aggregation and charge state as a

29 function of external potential. While OMIEC materials are often semicrystalline and a contain

significant disordered fraction, information on the charging state within the disordered domain is 1 2 difficult to ascertain. However, by choosing a Raman excitation wavelength consistent with 3 different electron transition energies obtained from UV-Vis-NIR spectroelectrochemistry, the electrons will be pumped to a real excited electronic state instead of a virtual state. As the 4 resonant scattering intensity is much stronger than the non-resonant scattering, selectivity is 5 obtained between different domains with different intrinsic transition wavelengths.²⁴⁵ Multiple 6 7 sets of vibrational mode peaks at varied excitation wavelengths will have different ratios and 8 peak shapes, which reflect the molecular ordering and configuration of different domains. Specifically, neutral and charged species in ordered and disordered domains can be separately 9 deconvoluted. In electrochemical steady state, the ability of resonance Raman spectroscopy to 10 simultaneously discriminate between different charged species and crystalline/amorphous 11 domains is exploited to the maximum extent.²⁴⁶ 12

Currently, the electrochemical doping characterization of OMIEC materials using in 13 situ/operando Raman techniques has been reported.^{138, 139, 141, 142, 147} In situ/operando Raman cell 14 design requires one light-transmitting window and the requisite electrical connections, and the 15 16 device geometry under study determines whether the material is coated on a conductive or 17 insulating substrate. As shown in **Figure 6a**, the laser beam passing through the substrate (or electrolyte for inverse setup) is carefully focused on the polymer film, and the back scattered 18 light is collected by an objective lens to the detector. The technique has also been employed to 19 track the molecular ordering during a thermal annealing process,^{247, 248} and to monitor the 20 charged species during FeCl₃ vapor doping.^{139, 249} 21





A prime example of the power of Raman techniques is reported by Nightingale et al.,¹⁴³ the
 conformational changes and molecular ordering of regioregular (RR) P3HT were tracked in situ
 with resonance Raman spectroscopy during electrochemical biasing. 488 and 785 nm lasers were

selected to resonantly excite neutral and charged species, respectively. In situ Raman 1 2 spectroscopy of fully amorphous regiorandom (RRa) P3HT was measured to obtain the peak 3 position representing amorphous phase, which is difficult to attain by ab initio calculations directly. The charge distribution across ordered and disordered domains by electrochemical 4 doping from the thiophene ring C=C stretching peak is shown in Figure 6c-e. With increasing 5 6 oxidation voltage, direct spectroscopic evidence clearly reveals the transition from mostly neutral 7 semicrystalline films with excess charge in the ordered phase, to an intermediate charged state where most charge resides in the ordered phase, and finally to a highly oxidized state with 8 significant charge in the disordered phase, as shown in Figure 6b. This series of changes is 9 manifested in the Raman spectrum as an overall redshift of the thiophene ring C=C vibrational 10 peak with increasing oxidation voltage. Similar in situ Raman spectroscopic tracing of potential 11 12 dependent hole concentration in blends of P3HT and solid state ionic liquids was reported by Stewart et al.¹⁴⁴ 13

The first operando Raman measurements in a n-type OMIEC material were performed by 14 Ohayon et al. to reveal the redox reaction of NDI-T2 during glucose sensing and prove the 15 16 existence of an interface between the glucose oxidase and the polymer.¹⁴⁷ The voltage dependent 17 Raman spectra of NDI-T2 were measured and compared with the Raman spectrum during glucose sensing. With increasing external potential over 0.7 V vs Ag/AgCl, the spectra showed 18 the successful electrochemical doping of both NDI and T2 units. Upon adding both glucose and 19 glucose oxidase at 0.7 V, the spectra revealed an efficient electronic communication between the 20 21 enzyme active site and the NDI unit of the conjugated copolymer. It is worth noting that this 22 mechanism could not be assessed with ex situ experiments, but required a contacting liquid electrolyte and applied electrochemical potential. 23


1

Figure 7 (a) A typical operando Raman cell for mapping polaron concentration in a transistor channel. Reproduced with permission from [¹⁴⁰]. Copyright 2018 Elsevier. (b) Raman images of the channel region of a transistor at $V_G = -1.0$ V with excitation wavelength of 785 nm. The channel material is P3HT and the electrolyte is [EMIM][TFSI]. Adapted with permission from [¹⁴⁰]. Copyright 2018 Elsevier.

Steady state characterization of devices made of OMIEC materials in operating condition is an 7 important topic in operando experiments. For example, in OECTs the Raman mapping of 8 polaronic vibrations across the channel have visualized the carrier distribution within the 9 channels of working transistors with submicron resolution, shown in Figure 7.^{140, 146} While these 10 works focused on ionic liquid gated transistors, such spatial charge density mapping is applicable 11 to other thin film OMIEC based devices. Due to the long times needed for Raman mapping, 12 electrolyte choice and cell design should avoid electrolyte solvent evaporation and maintain a 13 14 stable focal plane. The non-uniform voltage drop across the OMIEC channel may complicate the application of Raman mapping to devices. Another example is the implementation of resonant 15 16 Raman characterization of OECTs in biomolecular sensing to measure the presence of glucose

from changes in the PEDOT:PSS Raman spectra.¹⁴⁵ A strength of operando Raman is that the
 technique gives unique spectral features for particular electroactive species, providing insights
 beyond the current-voltage measurement.¹⁴⁵

4 To apply Raman spectroscopy to operando characterization for time-resolved kinetic studies of 5 OMIEC materials, several issues must be addressed. First, as many OMIEC materials are 6 implemented in the aqueous environments, evolved gases from additional electrochemical 7 reactions or solvent volatilization can change the focal plane of the laser beam, thus affecting the 8 stability of the signal. This problem could be solved by using a cover glass and requiring glass corrected lenses that adjusted for the change in refractive index change. Second, the inelastic 9 10 scattering signal in Raman spectroscopy is weak, which makes it difficult to shorten the integration time and collect the signal that can be effectively fitted. Further, for resonant Raman 11 12 spectroscopy, there is strong molecular florescence background under certain wavelengths, which adds to the baseline of the Raman spectrum further reducing the signal-to-noise ratio.²⁵⁰ 13 Increasing the integration time or beam intensity raises the risk of beam damage to the OMIEC 14 sample of interest. 15

In spite of these hurdles, sub-second time-resolved operando Raman spectroscopy should be 16 achievable, and has the potential to provide important kinetic information. The separate OMIEC 17 backbone and side chain kinetics during operation can be obtained by tracing their corresponding 18 19 vibrational modes. The kinetics of potential dependent charge distribution and structural changes in different domains should likewise be accessible as well. Finally, the dearth of commercially 20 21 available laser wavelengths (which limits the specificity of excitable OMIEC resonance 22 transitions) can be overcome with differential frequency generation (DFG) which occurs in 23 nonlinear crystal materials, but an optical parametric amplifier (OPA) and ultra-fast laser source are required.²⁵¹ On the whole, Raman is an excellent tool for steady state operando 24 25 characterization, and holds great promise for time-resolved studies of OMIEC systems.

26 5. Magnetic Resonance

27 Magnetic resonance techniques include electron paramagnetic resonance (EPR) and nuclear

28 magnetic resonance (NMR), distinguished by the subatomic particles they probe. EPR has been

29 applied to the in situ and operando detection of OMIEC materials as a method to directly detect

1 electron spins, which is described in Section 5.1. NMR has recently been reported to be applied

2 to OMIEC materials²⁵² but has not yet been widely applied due to challenges in experimental

3 implementation. In **5.2**, we will focus on the information that in situ/operando NMR has the

4 potential to bring to the field. A brief technical comparison information is presented in **Table 2**.

Method	Description	Electronic carrier Information	Ionic carrier Information	Structural & Morphology Information	Representative operando/ in situ example	Implementatio n barriers
ESR	Measure the paramagnetism of single electrons	Concentration, spin state	-	-	PEDOT:PSS ²⁵ 3-255 PPy ²⁵⁶ pBTTT ²⁵⁷	Sensitive to oxygen/radical
NMR	Measure the chemical shifts of targeted element nucleus	-	Diffusivity, type/ concentration, coordination	Coordination, chemical structure, local packing	PEDOT:PSS ²⁵	Weak signal, requires thick sample

5 Table 2. Summary of magnetic techniques employed in operando study of OMIECs.

6

7 5.1 Electron Paramagnetic Resonance

8 In situ/operando Electron Paramagnetic Resonance (EPR, or electron spin resonance, ESR, setup in Figure 8a) detects the paramagnetism of single electrons (or electronic doublet states). This 9 10 enables the direct probing of potential dependent polaron/bipolaron population as a compliment to the spectroscopic evidence, and thus has found extensive use in CP and OMIEC materials. 11 12 EPR samples are very sensitive to the local environment, thereby provide information about the molecular structure in the vicinity of unpaired electrons. Briefly, the energy level of a single 13 electron splits under the magnetic field, and the incident microwave can be resonantly absorbed 14 when its energy matches the Zeeman splitting. In the conventional interpretation (shown in 15 Figure 8b), the electrons of a bipolaron are perfectly paired (S=0, singlet), while the polaron has 16 17 a strong signal in the EPR due to the presence of a single electron (S=1/2, doublet). Recently, calculations by Zozoulenko et al. have supplied a new interpretation of the bipolaronic signal,¹⁶⁰ 18 19 shown in Figure 8c. The +2 valence bipolaron is in triplet state with EPR signal, and the higher valence (+4, +6...) bipolaron presents the same singlet state as the conventional theory without 20 21 EPR signal.



1

Figure 8 (a) In situ/operando EPR setup. (b) In situ EPR for PEDOT electrochemical doping in
NaCl electrolyte illustrating the transition from neutral species to polaron and then to bipolaron.
Reproduced with permission from [²⁵⁴]. Copyright 2005 Elsevier. (c) New interpretation of in
situ EPR results after the introduction of highly charged polaritons. Adapted with permission
from [¹⁶⁰]. Copyright 2019 American Chemical Society.

Similar to the case of IR absorption spectroscopy, most in situ/operando experiments on 7 conjugated polymers are currently performed at steady state in acetonitrile²⁵³⁻²⁵⁶ or ionic 8 liquids;²⁵⁷ currently no time-resolved operando EPR experiments have been reported. For 9 different polythiophenes, the paramagnetic signal of EPR first increases and then decreases with 10 11 increasing oxidation voltage, implying that the paramagnetic polaron is generated at lower oxidation voltages and subsequently transforms to the non-magnetic bipolaron. The obtained 12 EPR spectra can be fitted by a weighted combination of Gaussian and Lorentzian peaks after 13 deconvolution, where the Gaussian term represents the defects fixed on the polymer backbone 14 15 and the radicals trapped at the chain ends, and the Lorentzian term represents the polarons after doping.²⁵⁴ A competing and more prevailing explanation is that the Gaussian term represents the 16 paramagnetic polaron in the crystalline phase of the polymer, while the Lorentzian term 17 represents the paramagnetic polaron in the amorphous phase, which arises at higher oxidation 18 potentials.^{255, 258} A recent report also studies the relationship between the electron spin relaxation 19 and polymer structure in conjugated polymers.²⁵⁹ EPR spectra combined with DFT calculations 20 21 found that conjugated polymers with near-planar backbone conformation and high crystallinity 22 were characterized by long spin relaxation times at room temperature.

Both in situ and operando EPR measurements are complicated in aqueous environments, as 1 2 electrolyte side reactions can produce oxygen-containing radicals or paramagnetic oxygen 3 molecules. Currently, studies of the electrochemical oxidation of PEDOT in aqueous solutions have been reported, in which the researchers used a strongly acidic electrolyte (H_2SO_4 , pH = 0.3) 4 to suppress the side reactions.²⁶⁰ The authors compared the relative concentration changes of 5 polarons and bipolarons extracted in EPR with the conductivity measured at different voltages, 6 demonstrating that bipolarons are also carriers in OMIEC materials. All these studies lay the 7 8 foundation for future time-resolved operando EPR spectroscopy of OMIEC materials in various 9 working environments.

10 5.2 Nuclear Magnetic Resonance

By measuring the chemical shifts of targeted element, Nuclear Magnetic Resonance (NMR) 11 gives crucial molecular and ionic information in OMIEC materials, including their population, 12 environment, and dynamics, as displayed in Figure 9a. The technique has been implemented in 13 operando OMIEC characterization recently²⁵² and its implementation is the subject of further 14 development. While NMR is most often used to confirm the chemical structure of molecules in 15 16 solution, most pertinent to OMIECs is solid-state NMR (ssNMR), which mainly provides 17 information on atomic/ionic chemical environment and composition. Chemical shifts reveal the electron cloud density at the periphery of the atom, thus effectively distinguishing atoms of the 18 19 same element that are in different chemical environments. The integration of different peaks in an NMR spectrum directly provides the ratio of these atoms and gives compositional 20 21 information. Using conventional 1D and 2D H-1/C-13 ssNMR techniques, the amorphous phase 22 composition, crystal stacking structures and molecular doping level of CP semiconductors have been well characterized.²⁶¹ Probing other elements can effectively open NMR for fruitful 23 operando studies, by quantifying the ionic/molecular environment, population, and dynamics in 24 25 OMIEC operation, as illustrated below.

As a technique sensitive to different chemical bonds, ssNMR is helpful to track chemical reactions and quantify the population of molecular/ionic species involved. For instance, the degradation of lithium battery materials has been studied by tracing lithium-containing small molecules^{262, 263} and oligomers²⁶⁴ in the solid electrolyte interphase. Similar OMIEC stability

experiments should be possible, offering the possibility to directly identify degradation products. 1 2 In addition, ssNMR has been used to confirm the carbonyl lithiation of polymers in organic 3 lithium-ion battery electrodes.^{265, 266} When the doping cation is the proton, ssNMR can directly measure the degree of protonation and its binding sites in different CP materials,²⁶⁷ which when 4 extended to OMIECs should further elucidate proton conduction in acidic conditions. Extending 5 6 ssNMR to other commonly used elements (H, C, F), the technique is one of the few methods for identifying specific species and local ion coordination in conjugated polymers without needing 7 known calibration standards for comparison. 8

9 Operando NMR has already been carried out in polymer electrolyte systems analogous to OMIECs. In Li-7 ssNMR studies of lithium salts dissolved in poly(ethylene oxide) (PEO) show a 10 sharp peak at -1.3 ppm,²⁶⁸ which corresponds to the fast transport of lithium ions resulting from 11 the segmental motion of PEO chains shown in Figure 9c.^{269, 270} The narrow, sharp peak can be 12 clearly discriminated from other Li ion peaks, such as static Li⁺ in close proximity to PEO 13 chains²⁶⁸ or crystalline Li salts.²⁷⁰ Despite the weaker signal intensity of Na comparing to Li,²⁷¹ 14 ssNMR studies of Na⁺ have been successful and exhibit similar results.²⁷² ssNMR has also been 15 used to differentiate ions absorbed on carbon nanotubes and free ions, based on the strong 16 chemical shift affected by the aromatic ring current.²⁷³ In OMIEC materials, this can be used to 17 differentiate the ions present adjacent to the conjugated backbone from those on the sides. 18 Distinguishing elements from different environments allows one to track the transport of these 19 elements in OMIECs. Jin et al has reported operando NMR in electrolyte gated PEDOT:PSS 20 (setup shown in Figure 9b) and reveal the ion/solvent injection/extraction during 21 electrochemical cycling.²⁵² Using PSS-Na as well as aqueous NaCl solutions as standards, Na⁺ in 22 PEDOT:PSS in PEDOT-rich domains and PSS-rich domains were identified separately by Na-23 23 NMR. Through H-NMR shown in Figure 9d, the free water molecules present in the electrolyte 24 25 can be distinguished from the water molecules bound to the polymer. By integrating the NMR signals of these molecules/ions in different environments, ion transport and distribution can be 26 27 obtained.

In addition, operando NMR is able to track ionic transport dynamics. The diffusion coefficients
of different ions can be extracted from the intensity decay of NMR echo signal,^{274, 275} and thus
allows for calculation of the transference numbers of anions and cations.^{276, 277} Operando pulsed-

field gradient NMR (PFG-NMR), based on the displacement of ions in the length range of 10-100 μ m, has been used for the direct observation of ion dynamics in supercapacitor electrodes,²⁷⁸ as shown in **Figure 9e**. This technique shows a temporal resolution of milliseconds, which is sufficient for application in the study of ion diffusion and interaction in CP semiconductors.²⁷⁹ By changing the magnetic field gradient, the diffusion coefficient D is readily fitted from the curve between normalized NMR peak intensity $\frac{I}{I_0}$ and b factor, shown in Equation 2:

$$\frac{I}{I_0} = e^{-Db} \quad (2)$$

8 Where b factor is a function related to the strength magnetic field gradient, the measured nuclei9 and the pulse pattern, defined by Equation 3:

10
$$b = g^2 (\gamma 2\delta)^2 (\Delta - \delta/6 - \tau/2) \quad (3)$$

Here, g is the strength of the magnetic field gradient, γ is the nucleus gyromagnetic ratio, δ is the duration of an individual magnetic field gradient pulse. Δ is the observation time, and τ is the delay time between pulses.²⁷⁸ As for now, in situ PFG-NMR has been already reported to determine self-diffusion coefficient of Na⁺ in PEDOT:PSS films (no external potential) and is consistent with previous results measured by moving front experiments.²⁵²



1

Figure 9 (a) In situ/operando NMR shows the potential for revealing ion/molecule local 2 environment, chemical reactions, and transport dynamics; the chemical structure of a glycolated 3 4 thiophene-based OMIEC is shown as a representative example with the dashed grey boxes 5 referring to ion coordination, hydration, and transport properties elaborated on in other panels. (b) Operando NMR setup for OMIECs; Adapted from [²⁵²] under CC BY 4.0 license. (c) In situ 6 ssNMR determines cation coordinating with glycol chain and its transport rate in Li salt and PEO 7 blends; Reproduced with permission from [²⁶⁸]. Copyright 2020 American Chemical Society. (d) 8 9 Operando NMR differentiates the free water in electrolyte and the bound water in PEDOT:PSS and tracks the solvent uptake kinetics; Adapted from [252] under CC BY 4.0 license. (e) PFG-10

1 NMR gives diffusion coefficient of ions in graphene. Reproduced with permission from [²⁷⁸].

2 Copyright 2017 Springer Nature.

3 To conclude Section 5, operando ssNMR demonstrates the potential to distinguish ionic environments and chemically-bound species in OMIECs, as well as to determine ionic dynamics. 4 Despite the widespread coverage of operando ssNMR experiments in recent years, most ssNMR 5 6 instruments still require anhydrous and low-conductivity powder materials for the measurements 7 that need to be rotated at the magic angle. Also, comparing to the C and H atoms, other elements present hurdles to high quality ssNMR signal with high time resolution, especially for 8 9 quadrupole atoms (such as Na-23 and K-39). To obtain high quality NMR signals requires bulk polymers, which makes the application of this technique on thin film geometry difficult. The 10 demand for bulk materials also limit operando NMR to materials that have already been 11 commercialized (e.g. PEDOT:PSS), while less accessible to new synthetic exploration. 12

13 6. X-ray and Neutron Techniques

X-ray and neutron-based techniques can be divided mainly into absorption and scattering 14 15 methods. In contrast to the previously discussed optical absorption, which probes molecular vibrations and valence electronic states, X-ray absorption probes the core electronic energy 16 levels of atoms and has a strong elemental specificity, thus allowing for characterization of the 17 18 chemical composition and atomic/ionic environment of OMIEC materials. X-ray absorption 19 spectroscopy is not currently applied in operando characterization and will be discussed in 6.1 and 6.2 for X-ray absorption and X-ray photoelectron spectroscopy, respectively. Both X-ray 20 21 scattering and neutron scattering techniques give similar structural information, however they are sensitive to different scattering sources: while X-ray scattering is sensitive to electronic density, 22 23 neutron scattering probes atomic nuclei. Depending on the collection angle of the X-ray scattering, X-ray scattering techniques are further divided into small-angle X-ray scattering 24 (SAXS) and wide-angle X-ray scattering (WAXS), as described in 6.3. Small-angle X-ray 25 26 scattering targets structural information at larger length scales, such as the contrast of different phases in a thin film and the size of domains. WAXS in OMIECs, often carried out in a grazing 27 incidence, gives information about intermolecular packing. Neutron-related techniques will be 28 29 described in 6.4 and include small angle neutron scattering (SANS), quasi-elastic neutron

- 1 scattering (QENS) and neutron reflectometry (NR). The information that can be obtained from
- 2 these techniques is compared in **Table 3**.
- **Table 3**. Summary of X-ray and neutron techniques employed in operando study of OMIECs.

Method	Description	Electroni c carrier Informat ion	Ionic carrier Information	Structural & Morphology Information	Representative operando/ in situ example(s)	Implementatio n barriers
XRF	Measure elemental specific X-ray florescence	-	Type/ concentration	-	CP/metal composites ²⁸⁰ -282	Background signal from electrolyte
XAFS	Measure the absorption edge of specific elemental electronic transitions	-	Environment, coordination	-	CP/metal composites ²⁸⁰ -282	Low time resolution
XPS	Measure the number and kinetic energy of emitted photoelectrons from X-ray excitation	-	Type/ concentration, vertical distribution	-	PS ²⁸³	Limited to surface, mostly in vacuo
WAXS	Measure the X- ray scattering pattern at wide scattering angles (q between 0.1 Å ⁻¹ - 3 Å ⁻¹)	-	-	Crystalline packing, disorder	P3HT ^{165, 284} PEDOT:PSS ¹ 22 Pg2T-TT ³³ PB2T-TEG ²⁸⁵	Electrolyte scattering
SAXS	Measure the X- ray scattering pattern at small scattering angles (q between 0.005 Å ⁻¹ - 0.2 Å ⁻¹)	-	-	Meso-scale domain size and purity, phase separation	PBDTTT-C- T ²⁸⁶	-
XPCS	Measure temporally coherent fluctuations of scattered spots	-	Mobility	Meso-scale domain, phase separation, aggregation/ packing	Li/PEO ²⁸⁷	Extent of coherence
SANS	Measure the elastic neutron scattering at small scattering angles	-	-	Meso-scale domain, phase separation	P3HT ²⁸⁸	Long integration time

QENS	Measure quasi- elastic neutron scattering	-	Molecular dynamics	Segmental dynamics	-	Instruments not widely available
NR	Measure neutron reflectivity	-	Chemical composition	Thickness, surface roughness	PEDOT:PSS ² 89	Long integration time

1

2 6.1 X-ray absorption

X-ray absorption spectroscopy (XAS) techniques have the potential to be performed in operando 3 OMIEC characterization of elemental composition and environment.^{290, 291} XAS can be divided 4 into X-ray absorption/fluorescence spectroscopy and X-ray absorption edge techniques. The 5 6 former monitors selective X-ray absorption through the transition of the inner shell electrons and 7 distinguishes different elements within the sample. The latter scans the energy near the X-ray absorption edge of a particular element to obtain inter-atomic distances and coordination. The 8 region from the pre-edge to tens of eV above the edge is commonly referred to the near-edge X-9 ray absorption fine structure (NEXAFS) or X-ray absorption near-edge structure (XANES). 10 11 Experiments that probe wider energy regions up to 1000 eV above edge energy, are named as extended X-ray absorption fine structure (EXAFS).²⁹² The oscillation of the X-ray absorption 12 above the absorption edge originates from the interference between the wave function of 13 photoelectrons and the backscattering of nearby atoms.^{293, 294} 14

15 6.1.1 X-ray Fluorescence

16 The analysis of the elemental composition of soft matter interfaces by X-ray fluorescence (XRF) has been widely reported,²⁹⁵ and is possible to be applied in operando OMIEC compositional 17 characterization. To avoid the influence of the bulk solution on the analysis, experiments are 18 often performed with a grazing incidence setup. In multilayer polymer films²⁹⁶ and lipid 19 layers,²⁹⁷ the absolute ion concentration as well as its vertical distribution has been determined 20 by varying the grazing incidence angle of X-rays. The need to vary the incident angle implies a 21 longer total measurement time such that depth profiling with XRF is not suitable for time-22 resolved kinetic studies. To fix the incidence angle less than the X-ray critical angle of the 23 24 substrate (bulk water or solid substrate), total reflection X-ray fluorescence (TRXF) sacrifices

spatial resolution in order to gain a shorter measurement time.^{298, 299} The solvent exchange 1 2 kinetics of chloroform-loaded metal organic framework films in contact with water has been 3 studied by tracking Cl Ka line in TRXF.³⁰⁰ When compressing the film by applying external pressure, the Cl fluorescence decreases significantly with the increasing surface pressure. The 4 above-mentioned system successfully differentiated the same element (Cl) in solvent background 5 6 and thin layer materials, which is the key problem to overcome in operando OMIEC compositional characterization. This similarity suggests it may be possible to apply operando 7 8 TRXF to track the ion composition in OMIEC thin films and allow for non-destructive, real-time readout. 9

10 6.1.2 XANES and EXAFS

XANES and EXAFS spectra are obtained by measuring X-ray absorption and fluorescence while 11 the incident X-ray energy is scanned across the absorption edge of peaks of specific elemental 12 electronic transitions. While attractive for operando characterization of OMIEC materials, these 13 techniques have yet to be applied. Instead of time-resolved study, the long single measurement 14 times makes these techniques preferable for steady-state characterization. XANES analysis, 15 16 which focuses on the near edge features, usually requires the measured energy edge of standard 17 samples and fine control of incident X-ray energy. In the study of CP films, incident angle dependent XANES is generally used to target the C K-edge to distinguish different components 18 and determine the packing direction³⁰¹⁻³⁰⁴ as well as fine grained microstructure in the film.¹⁷⁸, 19 ^{305, 306} In addition, a high energy resolution XANES library has been established for commonly 20 used conjugated polymers.³⁰⁷ Recently, in situ XANES on the S K-edge has been employed on 21 the interconversion of lithium-sulfur compounds during the charging and discharging of lithium-22 sulfur batteries.^{308, 309} EXAFS, which measures the interference patterns above the edge is 23 Fourier transformed to give the coordination around the target atom with the average distance to 24 25 neighboring atoms. The technique has been applied to study the coordination of ions in PEO by measuring the K-edge of metal ions,^{310, 311} and provides useful information about the local 26 structure of the amorphous region.³¹² For XANES and EXAFS to achieve an in situ ion 27 environment measurement, the influence of background ions in the electrolyte must be 28 29 overcome. Initial work with metal/CP composite in situ XAS is promising.²⁸⁰⁻²⁸² Carried out in transmission, minimizing the thickness of the contacting electrolyte layer may be sufficient, but 30

1 in the grazing configuration different approaches will need to be developed. It is expected that

2 operando XANES and EXAFS will give ion environment/coordination information in OMIECs

3 if we choose proper doping ions with sensitive X-ray absorption edges. Compared to operando

4 NMR that require thick films or bulk samples, XAS is not limited by the sample geometry and is

5 well suitable for thin film OMIECs.

6 6.2 X-ray Photoelectron Spectroscopy

7 X-ray photoelectron spectroscopy (XPS) is an important elemental analysis tool that can 8 quantitatively differentiate the content of different elements in a sample and distinguish different valence states of the same element. Based on the photoelectric effect, the system detects the 9 10 number and kinetic energy of emitted photoelectrons arising from X-ray excitation of the atoms on the sample surface. Similar to X-ray fluorescence, XPS is a potential option for revealing the 11 12 chemical composition of OMIEC materials with voltage applied. In addition, the ability of ion milling/etching allows us to obtain information on the composition of depth profile at the same 13 location of the sample during the measurement. This technique should be applicable to operando 14 experiments of solid-state OMIEC devices that can be operated in high vacuum. However, 15 16 OMIEC devices that are interfaced with or immersed in electrolyte are likely incompatible with depth profiling XPS. 17



Figure 10 (a) Schematization of the polyelectrolyte hydration probing by in situ APXPS. (b) O
1s spectra of PS, PS-OH and PSS-Na as a function of relative humidity (RH) at room
temperature. Intensities are normalized to the O 1s peak from the polymer. The O 1s component
from the functional group of the polymer in the surface region is shown in pink, while the
absorbed water contribution is shown in blue. Adapted with permission from [²⁸³]. Copyright
2020 American Chemical Society.

7 The biggest obstacle to applying XPS to operando experiments is the vacuum measurement 8 condition due to the small attenuation length of soft X-ray in air. Most in situ experiments with OMIECs have not involved liquid electrolytes, instead monitoring aging in air,³¹³ or thermal 9 annealing.³¹⁴ Recently, in situ XPS studies of water sorption of different chemical modified 10 polystyrene (PS) films has been reported by Gokturk et al.²⁸³ The technique shown in Figure 11 10a, named ambient pressure XPS (APXPS), was performed at pressures below 5 Torr and 12 allowed for the presence of water vapor. To achieve the non-vacuum condition, intense tender X-13 ray (energy between 1.0 and 5.0 keV) supplied a from synchrotron light source were required. 14 When the sample is cooled below room temperature, 100% relative humidity (RH) can be 15 reached in the chamber. Through the study of three different PS films (PS, PS-OH, and PSSNa), 16 the authors succeeded in distinguishing different oxygens atoms of water and the polymer itself, 17 shown in Figure 10b. The water volume adsorbed in the three films as well as stoichiometric 18 ratios of different elements were adequately quantified by fitted peak area and the corresponding 19 photoionization cross sections. 20

The ability to test from 0% to 100% RH would not only enable XPS studies of vapor swelling in OMIEC materials, but lays the foundation for XPS in the presence of liquid electrolytes. Ideally, operando XPS characterization of OMIECs requires the material being electrochemically biased. Currently, operando APXPS has successfully achieved the characterization of electrochemical oxidation on inorganic electrodes,^{315, 316} which implies the feasibility of this technique for application in voltage-dependent CP and OMIEC compositional characterization, especially in cases with solid state and moderately hydrated OMIEC-based devices.

APXPS may be an attractive technical route to operando XPS implementation of OMIEC
materials, but there are still some major challenges to overcome. The signal of XPS, although it

can be analyzed quantitatively, is less sensitive compared to the XRF.³¹⁷ It is necessary to use a 1 2 long integration time and integrate multiple times for one element in XPS. In the APXPS 3 experiment mentioned above, the beam was irradiated for 10-15 seconds. Spectra at different positions were summed to improve the signal-to-noise ratio.²⁸³ In addition, condensed matter 4 cannot block the optical path of X-ray beam and emitted photon electrons in XPS, thus requiring 5 6 the absence of electrolyte above OMIEC film and special design of operando cells. Using ionic 7 liquids (ILs) in replacement of aqueous electrolytes will facilitate XPS measurements due to the low vapor pressure of ILs, and in situ XPS analysis of chemical/electrochemical reactions at IL 8 surface have been reported.³¹⁸⁻³²⁰ Lastly, APXPS is not a widespread technology and relies on 9 synchrotron light sources. At present, most benchtop XPS still need to be performed in vacuum. 10 Operando XPS on benchtop is challenging and require a specialized cell with a beam path of few 11 hundred nanometers. Similarly, ultraviolet photoelectron spectroscopy has been employed in 12 ambient to probe the ionization potential of conjugated polymers in situ during electrochemical 13 doping.³²¹ 14

15 6.3 X-ray scattering

X-ray scattering/diffraction techniques are a powerful tool for the characterization of OMIECs.
Across the broader fields of organic electronics and polymeric ion conductors, scattering
techniques have revealed intermolecular spacings, crystallite size, paracrystallinity, phase
separation, domain size/shape/spacing, domain purity, etc^{28, 322, 323} at the sub-nm to 100 nm scale.
This has facilitated the establishment of structure-property relationships that have driven
materials advances. Similarly, X-ray scattering techniques are a straightforward route to improve
morphological understanding and establish structure-property design rules in OMIECs.

Undergirding X-ray scattering techniques is a relationship between the scattered X-ray wave
vector and characteristic length scales of electron density heterogeneity (intermolecular and/or
domain). The instrumental geometry and sample preparation of X-ray scattering techniques show
a great diversity. X-ray scattering of OMIEC materials is usually performed in synchrotron with
semicrystalline film samples, while X-ray Diffraction (XRD) experiments performed on
benchtop require single crystals or powders. X-ray incidence modes tend towards grazing or
transmission, and the signal collection ranges from ultra-small to wide angles.

Currently, ex situ, in situ, and operando X-ray scattering experiments have been applied to
OMIEC materials. Most of the in situ studies have focused on the formation and post-processing
of polymeric OMIEC thin films. However, the intermolecular stacking and mesoscale structure
of OMIEC material dynamically change during operation and are highly relevant to the electrical
properties (ion/hole/electron transport) of the material. Therefore, operando X-ray scattering of
OMIECs is primed to provide crucial application related structure-property information and is an
indispensable part of the multimodal operando characterization toolbox of OMIEC materials.

8 6.3.1 Wide Angle X-ray Scattering

As a widely established technique for molecular packing characterization of conjugated polymer 9 10 thin films, Wide Angle X-ray Scattering (WAXS) has been performed in operando OMIEC characterization recently. WAXS experiments on OMIEC materials usually apply Grazing 11 12 Incidence Wide Angle X-ray Scattering (GIWAXS) rather than the transmission mode, because GIWAXS has increased scattering signal (from a larger beam footprint, wave guiding, and 13 scattering events from both the direct and substrate reflected beam) and captures out-of-plane 14 (normal to the sample plane) scattering missing in the transmission mode. The technique focuses 15 16 on structural information on the periodic repetition within crystalline domains in the range of 17 0.1-5 nm, and the relationship between scattering angle 2θ and interplanar spacing d can be described with Braggs' law (Equation 4): ²⁸ 18

19
$$q = \left(\frac{4\pi}{\lambda}\right) \sin\theta = \frac{2\pi}{d_{hkl}} \quad (4)$$

where *q* is the scattering vector, λ is the X-ray wavelength and h, k, l are Miller indices for designating planes within a crystal. In the crystalline domain of most OMIECs, polymer chains stack in three dimensions and form lamellar stacks (h00), π stacks (0k0), and backbone repeating structures (001) that can be identified by their GIWAXS pattern. The coherence length L_c related to the domain size can be calculated from peak width by Scherrer equation (Equation 5):²⁸

25
$$L_c = \frac{2\pi K}{\Delta_q} \quad (5)$$

where K is a shape factor typically from 0.8-1 and Δ_q is the full width at half-maximum 1 2 (FWHM) of the peak of interest. The pseudo-Voigt mixing parameter n and paracrystallinity g can be extracted from scattering peak shape, and are associated with non-cumulative disorder 3 4 and cumulative disorder.³²⁴ Since WAXS arises from diffraction in ordered/crystalline domains, it is by definition insensitive to the structure of disordered/amorphous regions. To probe both the 5 6 crystalline and amorphous domains, WAXS must be combined with complimentary techniques 7 which are sensitive to the amorphous domains (e.g. UV-Vis, Raman, NMR, XPCS, scanning 8 probe, etc).

9 This structural information as well as the texture information from GIWAXS pattern are often 10 correlated with electronic transport in conjugated polymers. The vast majority of OMIEC studies 11 have been carried out on as cast films or films ex situ processed with electrolyte exposure and/or electrochemical cycling,^{82, 186, 285, 325-327} similar to the studies of molecular doping in CPs.^{328, 329} 12 While the goal of such ex situ studies is to determine the changes in microstructure and texture 13 following electrolyte exposure and/or electrochemical cycling (doping/dedoping), as discussed 14 previously, such ex situ measurements often fail to mimic application relevant conditions. For 15 example, the structural changes accompanying electrochemical doping/dedoping in OMIEC 16 devices is not guaranteed to persist after the removal from the operating environment due to the 17 absence of continued applied voltages, evaporation of solvent, reactions with ambient, etc. In 18 19 addition, many parameters, such as diffraction peak intensity and background scattering, 20 complicate quantitative comparisons in diffraction patterns between different exposure sites and samples. Operando GIWAXS enables continuous measurements on the same site in operation 21 relevant conditions with actively applied potentials, thus circumventing the above limitations. 22 Lastly, operando GIWAXS allows direct correlation of structural changes with simultaneously 23 24 measured electronic properties, and time-resolved scattering patterns can reveal kinetic information on said correlations. 25

There is a large body of work on the in situ characterization of CP film deposition³⁸ and postprocessing which can be leveraged towards operando GIWAXS studies of OMIECs.^{42, 43} In situ studies of vapor annealing of conjugated polymer films present a case that approaches the operating conditions of OMIEC materials.^{42, 43} One study traced the lattice swelling in both chloroform (CF) and tetrahydrofuran (THF), demonstrating the applicability of in situ/operando

GIWAXS in different solvent vapors.⁴² CP film deposition methods studied by in situ GIWAXS 1 include spin coating,^{330, 331} blade coating³³² and slot-die coating.³³³ For film deposition, a small 2 3 amount of solvent remains on the surface of the newly formed film at the initial stage, which is similar to the operando GIWAXS with the presence of liquid electrolyte. These studies capture 4 the changes in coherence lengths as crystallites form and the changes in d-spacing as the film 5 dries, thus identifying different regimes and mechanisms of film formation.³³¹ With some 6 7 modifications, these in situ GIWAXS setups can also be used for operando experiments, especially the blade coating setup, creating a rolling drop meniscus cell shown in Figure 11a,b. 8



9

Figure 11 (a) The view perpendicular (top) to and along (bottom) incident X-ray beam of 10 meniscus cell based on blade coating setup. (b) In-situ electrochemical cell for WAXS 11 measurement reported by Thelen et al.. Reproduced with permission from [²⁸⁴]. Copyright 2015 12 American Chemical Society. (c) The view perpendicular (top) to and along (bottom) incident X-13 ray beam of operando GIWAXS setup of poly ionic liquid (PIL) device reported by Thomas et 14 al., note that the X-ray beam pass through the thin PIL layer. Reproduced with permission from 15 ^{[165}]. Copyright 2018 John Wiley & Sons. (d) The side view of the polyamide cell reported by 16 Bischak et al.. Reproduced with permission from [²⁸⁵]. Copyright 2020 American Chemical 17

Society. (e) The side view of the cone cell reported by Paulsen et al.; (f) The side view of the frit
 cell reported by Paulsen et al.;

3 In recent years, several implementations of operando (GI)WAXS have been reported for solventfree OMIEC and CP materials. Thelen et al. reported operando transmission WAXS experiments 4 with the Lithium transporting block co-polymer OMIEC material, poly(3-hexylthiophene)-b-5 6 poly(ethylene oxide) (P3HT-PEO), in a transmission geometry yielding good resolution of the scattering signal.²⁸⁴ Expansion of the lamellar spacing and contraction of the π -stacking of P3HT 7 8 block were observed after electrochemical oxidation, implying the intercalation of anions into 9 the lattice. Ideally, the grazing geometry is preferred for thin film OMIEC materials. An operando GIWAXS analysis of OECT with a P3HT thin film channel cast on polyelectrolyte was 10 carried out to obtain structural information of P3HT under different bias.¹⁶⁵ The incident X-ray 11 beam penetrated the polyelectrolyte layer and was reflected by the gold substrate, as shown in 12 Figure 11c. It should be noted that both these experimental setups were solvent free and relevant 13 to solid-state devices of similar arrangement. While relative changes in structure during 14 operation are accessible, solid electrolyte scattering is conflated with OMIEC scattering which 15 could add complication to both data acquisition and analysis of OMIEC-specific information. 16

17 Many applications of OMIEC materials are performed in environments with mobile anions, cations, and solvent molecules. In these cases, overcoming scattering from the substrate and/or 18 19 electrolyte is critical for isolation of OMIEC scattering. One route is to position the X-ray beam on the OMIEC film of interest but well away from the contacting electrolyte, and applying a high 20 21 voltage to drive the lateral transport of ions into the region of film probed by the X-rays.³³⁴ This approach, however, is limited in the type and quality of data accessible, i.e. lamellar peak 22 23 changes at large charging voltages (+4V) which may not be relevant in devices. For 24 measurements of thin films immersed in an aqueous environment, Bischak et al. implemented an 25 in situ WAXS experiments (incident angle of 2°) using a cell consisting of PB2T-TEG thin film on a gold-plated polyimide substrate inverted in a well filled with aqueous electrolyte (Figure 26 27 11d).²⁸⁵ This revealed a lamellar expansion for ion intercalation in the crystalline region in qualitative agreement with their ex situ results, informing non-Fickian ion transport p-type 28 29 glycolated thiophenes. During multiple electrochemical cycling, the lamellar peak intensity and position switched between two states, showing that the structure change is reversible. In this 30

setup, only the incident X-ray beam was isolated from the electrolyte. As the OMIEC of interest 1 2 was on the underside of the Au coated polyimide window, a grazing incidence was not possible 3 as the beam had to penetrate through the substrate to probe the OMIEC. Thus, the transmitted beam continued through the electrolyte, likely producing electrolyte scattering in the mid to high 4 q scattering vector range (where electrolyte scattering is most problematic); this region of data 5 6 was not reported. The X-ray beam is not reflected at the interface between the OMIEC material 7 and the electrolyte in this cell, and thus leads to technical difficulties to apply the operando technique for common OMIEC materials. Additionally, the in-plane signal of the scattered 8 9 pattern was severely diminished, and only the lamellar peak in out-of-plane direction was successfully captured. Thus, while convincingly confirming the particular ion-intercalation 10 phenomena of interest in that work, the cell design employed does not represent a generalizable 11 12 operando GIWAXS test bed for OMIECs.

Alternatively, Paulsen et al. reported a cone cell (Figure 11e) with a knife-edge to seal the liquid 13 electrolyte above the OMIEC material.¹²² By reducing the cell material at the cone tip and 14 matching OMIEC films with thickness approaching that of the incident X-ray beam height, the 15 incident and scattered X-rays have a negligible path through the cell wall and a minimal path 16 through the overlaying electrolyte thereby lowering the intensity of background scattering. The 17 authors successfully monitored the real-time lamellar spacing oscillation of acid treated 18 PEDOT:PSS in operating condition and extracted the kinetic time constants of 19 20 charging/discharging. However, the broad electrolyte scattering peak still persisted to the point 21 that time resolved measurements of π -stack changes were not possible, with π -stack scattering 22 only sufficiently resolved with longer exposures (integration time) at equilibrated potentials. From these scattering patterns at equilibrated potentials, the authors proved the reversibility of 23 both lamellar and π -stack spacing changes. While the operando cone cell is successful for 24 25 resolving certain features (i.e. lamellar kinetics) and readily allows for typical assembly of electrochemical measurements, it is ultimately only suitable for thick (several microns or more) 26 27 OMIECs with relatively strong scattering. The low crystalline fraction and the high degree of disorder of most OMIEC materials which make them weakly diffracting,³³⁵ combined with the 28 ubiquity of the thin film geometry (a few 100 nm or less) in OMIEC device applications, may 29 30 limit the broad applicability of the cone cell for OMIEC operando WAXS.

To enable broadly applicable operando GIWAXS on OMIEC thin films, Paulsen et al. further 1 reported a "frit cell" (Figure 11f and Figure 12a) for liquid electrolyte-contacting OMIEC 2 3 charging measurements.³³ The frit cell separates the X-ray path from the electrolyte by using a polished porous X-ray absorbing substrate onto which OMIEC thin films were transferred. 4 Capillary action enabled electrolyte to contact with the OMIEC film from below, leaving the 5 6 headspace above the film open for the incident and scattered X-rays to pass unencumbered. Thin 7 films of weaker scattering materials shown to be impractical in cone cells were selected for measurement in the frit cell, and high-fidelity time resolved scattering patterns were obtained 8 during electrochemical cycling, as shown in Figure 12b. Since the incident beam did not pass 9 through the aqueous electrolyte, both the (010) π -stack scattering and multiple orders of (h00) 10 lamellar scattering of pg2T-TT were well resolved and readily fit, yielding quantitative structural 11 information (d-spacings and coherence lengths, for example). The reversible lamellar and π 12 spacing change during CV cycling was confirmed. Despite the wide applicability and ease of 13 14 operation for different OMIEC materials, the method still shows room for improvement. While potential control is achieved, the charging current related to the structural changes is 15 16 overwhelmed by currents arising from electrochemical side reactions due to using a stainless steel frit. In addition, the distribution and homogeneity of pores on the frit surface may affect the 17 18 uniformity and kinetics of ion migration, which makes the current frit cell only suitable for quasi steady-state measurements, or transient measurements where ion transport in the OMIEC is not 19 20 the rate limiting step. It is expected that optimized frit materials selection or modification will overcome some of these drawbacks. 21





Figure 12 (a) 3D rendering of the printed operando cell with porous frit substrate/working
electrode, highlighting the underlying electrolyte reservoir and electrolyte path coupling the film
of interest to potentiostatic control; (b) p(g2T-TT) lamellar/π stack peak center over time with
swept electrochemical potential.

6 6.3.2 Small Angle X-ray Scattering

7 Small Angle X-ray Scattering (SAXS) reveals different domain structures in the range of 1-100

8 nm (mesoscale). The technique has not been implemented in OMIEC operando characterization,

9 but shows potential for tracking OMIEC domain changes under working conditions. Mesoscale

inhomogeneities of OMIECs are highly probable because OMIECs are often multicomponent 1 2 systems (CPs combined with polyelectrolyte or polymer electrolyte), with phase inhomogeneity 3 (between crystalline and amorphous domains), along with likely compositional inhomogeneity of ions between components and phases.¹ These complex mesoscale features are sensitive to 4 electrochemical potential, chemical environment, and temperature. With ex situ experiments, the 5 6 mesoscale structures are significantly altered (due to solvent evaporation, loss of charge state, 7 and ion diffusion), highlighting the importance of operando SAXS characterization. The 8 insensitivity to liquid electrolytes in SAXS is an important prerequisite for operando 9 characterization. While WAXS focuses on molecular packing peaks located in high q range, SAXS concerns the pattern in low q range, corresponding to the size and shape of the aggregates. 10 Due to the small scattering angle change in SAXS, electrolyte background absorption and 11 12 scattering (in high q range) has little effect on the signal of interest, thus making this technique particularly suitable to study heterogeneous OMIEC morphologies. For example, the use of 13 14 SAXS in inhomogeneous stirred systems to observe polymer particle aggregation allowed to successfully distinguish between molecularly dissolved copolymer chains and nascent micelle 15 formation in turbid monomer emulsions.³³⁶ The similarity of such systems to OMIECs makes 16 SAXS promising for in situ/operando studies in swollen polymer thin film with and without 17 18 liquid electrolyte.

19 In experiments on polymer films, grazing incidence small angle scattering (GISAXS), similar to GIWAXS, is usually employed to increase the effective integration area of the film, to improve 20 21 the signal-to-noise ratio, and to capture the anisotropic meso-structure often present in thin films. 22 A model with Distorted Wave Born Approximation (DWBA) correction is used to fit the characteristics of the Yoneda peaks (without obvious 2D features) and 2D scattering patterns to 23 correct the multiple reflections due to this geometry.³³⁷ The shape and size information of 24 25 different domains is extracted from the form factor, and the aggregation distribution is related to the structure factor. If the GISAXS pattern exhibits a distinct peak, its corresponding real space 26 27 distance responds to the ordered spacing of mesoscale domains and can be calculated from 28 Braggs' law (i.e. in ordered block co-polymer or colloidal crystal systems).

Again, work toward operando SAXS for OMIECs builds on work from across the field of
organic electronics and polymer thin films. In situ GISAXS has been reported for block

copolymers ³³⁸⁻³⁴² and BHJ systems during film processing.⁴² The studies of block copolymers 1 2 focused on the thermal and/or vapor annealing. By controlling the flow rate of the solvent-3 saturated inert gas, the polymer is slowly swollen surrounded by the saturated solvent vapor. During vapor swelling, the distribution of the solvent and the morphology of the individual 4 domains within the polymer can be directly extracted from the 2D diffraction pattern. The 5 characterization of BHJ systems in organic photovoltaics ranges from the crystallization in BHJ 6 film formation^{286, 343, 344} to nanoscale phase separation during the annealing of BHJ films.^{345, 346} 7 In a three-phase BHJ system with additives, Bokel et al. defined the integrated scattering 8 invariant as $\int_{q_{min}}^{q_{max}} Iq^2 dq$, and tracked the relative volume ratio of each phase by this volume-9 dependent invariant during a blade coating process.²⁸⁶ By modeling the ternary phase diagram, 10 the function of additive 1,8-diiodoocatane (DIO) was revealed, which brings the BHJ close to the 11 equilibrium liquid-solid phase conditions and allows a steady state phase separation. These 12 successful in situ experiments demonstrate the technical feasibility of operando OMIEC 13 GISAXS characterization. 14

15 The successful implementation of operando GISAXS characterization relies on enhancing the domain contrast in OMIEC materials and can be achieved by appropriate selection of both ionic 16 17 dopant and X-ray energy. The ability of GISAXS to determine the mesoscale inhomogeneity comes from its sensitivity to electron density inhomogeneity, in contrast to the nucleus density in 18 19 neutron scattering and reflection. From the choice of X-ray wavelength, incident light resonant with elemental absorption edge also increases the phase contrast. Resonant soft X-ray scattering 20 (RSoXS) has been widely employed for polymer studying near the carbon K-edge,³⁴⁷ and its 21 high chemical sensitivity allows solving morphological and compositional problems in multi-22 phase, multicomponent systems.³⁴⁸ Ex situ RSoXS has been reported to determine the absolute 23 24 PEDOT concentration of the PEDOT:PSS-rich phase and surrounding PSS-rich matrix in PEDOT:PSS.¹⁷⁸ The absence of energetic transitions in the carbon K edge makes dopant ions 25 only contribute to the linear background absorption and can be easily removed. Due to the low 26 penetration depth of soft X-rays, this technique is usually performed in vacuum and is therefore 27 28 suitable for the characterization of solid-state samples. Sealed microfluidic cells have been reported for in situ studies of the self-assembly kinetics of block polymers, and show the 29 potential for operando characterization of OMIECs requiring additional liquid electrolytes. The 30

1 penetration depth can be improved by using hard X-rays especially above 10keV, but the

2 inherent electron density contrast between aggregates might be too low to obtain an analyzable

3 scattering pattern. Choosing tender X-rays for the resonant S K-edge might balance the X-ray

4 penetration depth with a reasonable electron density contrast and is suitable for operando

5 experiments at ambient pressure.

The multimodal use of operando GISAXS with other characterization techniques is another
future target. Further confirmation of molecular aggregates can be done using ex situ/in situ
probe techniques. In addition, the full-microscale structure of OMIEC films can be fully depicted
by incorporating operando GIWAXS described in 6.3.1.

10 6.3.3 X-ray Photon Correlation Spectroscopy

X-ray photon correlation spectroscopy (XPCS) records temporally coherent fluctuations of 11 12 scattered spots but has not been applied for OMIEC characterization. The technique shows a µs level time resolution and provides the information of structure relaxation kinetics (mechanical 13 14 information) and ionic velocity that are especially interesting for operando OMIEC investigations. The spatial inhomogeneity of the material can also be extracted by analyzing the 15 statistics of the diffracted spot distribution and scale. In principle, due to the high coherence of 16 the incident beam, the diffraction pattern obtained by XPCS can directly be used to reconstruct 17 18 all the structural details inside the material, which is unique among all the operando 19 characterizations. Similar to WAXS and SAXS, choosing low energy coherent X-ray gives higher phase contrast and intense signal but might lead to significant beam damage after long 20 term exposure. Oppositely, high energy X-ray are rarely absorbed by the polymer film and 21 electrolyte but might lose necessary scattering contrast. 22



1

Figure 13 (a) The setup of XPCS. Reproduced with permission from [³⁴⁹]; Copyright 2007
Springer Nature. (b) The structure relaxation curve of epoxy/layered silicate composites after t
seconds aging time. The relaxation time τ can be extracted from the curves. Reproduced with
permission from [³⁵⁰]. Copyright 2019 American Chemical Society. (c) Local ionic velocity can
be extracted from the fitting of time correlation function in Li salt/PEO blends. Adapted with
permission from [²⁸⁷]. Copyright 2020 Royal Society of Chemistry.

As shown in Figure 13a, XPCS can take both the grazing incidence mode to probe the surface of 8 liquids/thin films,^{351, 352} and the transmission mode to acquire bulk information of solid samples 9 or hydrogels.^{353, 354} In the transmission mode, the spatial mapping resolution of XPCS is on the 10 order of microns. The thickness of the studied sample in a recent report was only 140um, which 11 is only a factor of 20x thicker than drop cast OMIEC films.³⁵⁵ The experimental approach has 12 now been reported for studying soft materials, including the growth and the diffusion of 13 nanoparticles,^{356, 357} the cross-linking of polymers³⁵⁸ under heating^{350, 359} and UV curing 14 processes,³⁶⁰ as well as the stress relaxation of polymer electrolytes.^{353, 361} 15

The statistical interpretation of the XPCS pattern requires the calculation of the time correlation
function (Equation 6), and the complex morphology and rheology of material at short time scales
can be studied:

19
$$g_2(\boldsymbol{q}, t, \tau) = \frac{\langle l(\boldsymbol{q}, t) l(\boldsymbol{q}, t+\tau) \rangle_{\boldsymbol{q}, t \to t+\tau}}{\langle l(\boldsymbol{q}, t) \rangle_{\boldsymbol{q}, t \to t+\tau} \langle l(\boldsymbol{q}, t+\tau) \rangle_{\boldsymbol{q}, t \to t+\tau}} \quad (6)$$

Where q is the wave factor of certain points in the scattering pattern and I is the scattered
 intensity of that point. The average bracket (…)_{q,t→t+τ} describes an average over correlations
 within a narrow range of time τ.

The relaxation time constant τ_0 of the system from the correlation function reflects the ability of the polymer to maintain its own microstructure and intermolecular network, as shown in **Figure 13b**. In addition, heterodyne XPCS, which mixes the static reference scattering signal with the dynamic scattering signal of the electrolyte, provides the ionic velocity with voltage applied.²⁸⁷ The correlation function g is expressed as a function (Equation 7) of the velocity vector v, the scattering vector q, the angle χ between two vectors, and the delay time τ :

10
$$g_{2}(\boldsymbol{q},\tau) = 1 + \beta(1-h)^{2} + h^{2}\beta e^{-2\left(\frac{\tau}{\tau_{0}(q)}\right)^{\gamma}} + 2h(1-h)\beta \cos(\omega\tau)e^{-\left(\frac{\tau}{\tau_{0}(q)}\right)^{\gamma}}$$
(7)

11 Where *h* is the heterodyne fraction and ω is derived oscillation frequency (Equation 8):

12
$$\omega = \boldsymbol{q} \cdot \boldsymbol{v} = q \boldsymbol{v} \cos \boldsymbol{\chi} \quad (8)$$

The local electrolyte velocity can be extracted as the fitting variable by angularly resolved 13 sampling of a series of XPCS frames, as shown in Figure 13c. Considering the simultaneous 14 ability to obtain material SAXS or WAXS data in XPCS measurements, it can be expected that 15 16 XPCS promises to capture mesoscale dynamics by small angle XPCS as well as crystalline chain dynamics by wide angle XPCS during OMIEC operation. The technique has not currently been 17 performed in OMIECs owing to relatively new availability of coherent X-ray sources and in 18 19 situ/operando cell design to maintain beam coherence. The significant data analysis/modelling requires powerful computing capabilities to handle large data sets and to perform real-time data 20 analysis in experiments. Despite the aforementioned obstacles, XPCS still shows the future for 21 22 solving the long-standing problem of submicron spatial structure-property relationship of OMIEC during electrochemical doping/dedoping. 23

- 24 6.4 Neutron Scattering and Reflection
- 25 6.4.1 Small Angle Neutron Scattering

Small-angle neutron scattering (SANS) is a non-destructive scattering technique to detect the 1 morphology of segregated phases. Neutron beams mainly interact with atomic nuclei to produce 2 3 isotropic scattering, and the scattering ability is not related to the atomic number but the nuclei density. As a result, SANS is very sensitive to light atoms and has a deeper penetration into the 4 material, making it suitable for OMIECs forming hydrogels or operating in liquid electrolytes. 5 6 For scattering at small wave vectors, individual atoms are not resolved, and the scattering process is governed by the interference of neutron waves from domain geometry. Therefore, 7 similar to small angle X-ray scattering, SANS provides information on material heterogeneity 8 including domain or particle shape and dimension. 9

10 In situ SANS measurements have long been applied to monitor the self-assembly of organic conducting polymers in solution,^{362, 363} and are feasible for operando OMIEC characterization. 11 Bastianini et al. used UV-Vis spectroscopy and SANS to trace P3HT in solution and successfully 12 revealed the formation of P3HT nanowires, showing that P3HT molecules first aggregate into 13 14 one-dimensional rods and then slowly self-assemble into two-dimensional π stacked lamellae.²⁸⁸ Although there are no relevant reports on the application of SANS for operando measurements of 15 OMIEC materials, SANS has been employed in situ to study the ionic liquid driven formation of 16 PEDOT:PSS microgels³⁶⁴ and ex situ to study the effects of solvent annealing on PEDOT:PSS 17 thin films.³⁶⁵ SANS is crucial to probe the internal aggregation shape and distribution for 18 hydrogel OMIEC materials where X-ray diffraction cannot be used. The reasons that hinder the 19 application of SANS include the scarce experimental facilities, the large number of samples 20 required, and the long integration time. 21

22 6.4.2 Quasi-elastic Neutron Scattering

23 Quasi-elastic Neutron Scattering (QENS) reveals picosecond level polymer dynamics and has 24 been performed on polyelectrolytes³⁶⁶ and conjugated polymers.³⁶⁷⁻³⁷² The technique measures 25 the dynamic structure factor $S(q, \omega)$ as a function of the wave factor **q** and the neutron frequency 26 ω around the elastic scattering peak.³⁷³ The incident neutrons exchange their kinetic energy with 27 mobile polymer fragments; the larger the frequency difference $\Delta \omega$, the higher the polymer 28 mobility. The intermediate scattering function I(q, t) is derived from the inverse Fourier

29 transform of the dynamic structure factor.³⁷⁴ The \mathbf{q} dependent time constant can be fitted from

1 the intermediate scattering function and yields polymer dynamics at different length scales.

2 Classical detectors for QENS are time-of-flight (TOF) and back-scattering (BS) spectrometers

3 with energy resolution of 10-100 μ eV and 1 μ eV, respectively.³⁷⁴

The Neutron Spin-echo (NSE) method directly measures I(q, t) with incident neutrons of wide 4 energy distribution.³⁷⁵ This method does not require a monochromatic incident light, and thus 5 yields higher signal intensity as well as energy resolution at the neV level.³⁷⁴ In conjugated 6 7 polymers with different side chains, QENS was used to compare temperature dependent polymer dynamics, including side chain motion and backbone torsion.^{368, 369} The technique has also been 8 applied to molecular doped P3HT for microscale dopant dynamics.³⁶⁷ In addition, QENS is able 9 to separate molecular dynamics of different components in P3HT:PCBM blends through 10 selective deuteration (causing different cross section).³⁷⁰⁻³⁷² Due to the time scale matching of 11 QENS experiments and molecular dynamics simulations (MD), the latter are often combined 12 with QENS to further characterize the motion of polymers.^{368, 371, 376, 377} Probability distribution 13 functions can be extracted from the QENS fit results³⁷⁸ and directly compared with simulation 14 results for different molecular modes. The success of QENS applied to other conjugated 15 polymers lays the foundation for ultrafast dynamic probing of OMIECs. In association with MD, 16 this technique can elucidate and distinguish side chain and backbone dynamics, corresponding to 17 the migration and coupling of ionic and electronic species in operating OMIECs. However, the 18 application of QNES – particularly for NSE – is still limited by the presence of very few 19 20 instruments across the world.

21 6.4.3 Neutron Reflectometry

Neutron reflectometry (NR), or alternatively neutron reflectivity, shows great opportunity for 22 operando OMIEC characterization due to its sensitivity to material surface and interfacial 23 structure, including film thickness, surface roughness, and interfacial roughness. With a known 24 25 elemental composition, NR gives the neutron scattering length density of the different layers in a multilayer film and thus also provides information on the atomic density in the different layers. 26 27 Time-resolved neutron reflection experiments have been reported for the study of material swelling and molecular diffusion in materials, which are core questions in the study of OMIEC 28 materials that require solvent involvement in operation.^{289, 346} Bießmann et al. reported a time-29

resolved NR study of the swelling kinetics of PEDOT:PSS by different treatment with the time 1 resolution of 30 seconds.²⁸⁹ By fitting a multilayer model to the scattering length density in the 2 3 vertical direction of the film, neutron reflection not only provides information about the vertical layer composition (i.e., dry vs. wet film) and thickness, but also allows accurate calculation of 4 the water content in the film. Another report investigated the phase separation and the 5 distribution of components of P3HT:PCBM during annealing.³⁴⁶ Combining the data from ex 6 7 situ experiments, the authors modeled a mixture heterojunction (BHJ) with pre-extracted densities of both materials and successfully obtained the exact thickness and chemical 8 9 composition of each layer of the whole film at different temperatures. A recent report from Galuska et al. monitored the film thickness and the water content change of polymer floated on 10 the liquid surface,³⁷⁹ which shows the feasibility of in situ/operando NR on thin film OMIECs. 11 Looking forward, in situ/operando NR has the potential to show the swelling and chemical 12 exchange of OMIEC materials in operation conditions. In addition to conventional thin film state 13 14 measurements, OMIEC applications with different material geometries, such as hydrogels and thick battery electrodes/polyelectrolytes, can be designed to investigate the device physics of 15 16 OMIEC materials using the powerful vertical resolution of in situ/operando NR.

17 7. Quartz Crystal Microbalance

Quartz Crystal Microbalance (QCM) techniques are a powerful gravimetric tool for the operando 18 19 study of OMIECs, as they provide time resolved information on mass transport of solvent and/or ionic species into or out of a thin film by monitoring nanogram-level mass change, density, and 20 21 film thickness of OMIEC materials. QCM can be employed to measure the mass change after 22 electrolyte exposure, swelling, or doping/dedoping processes, allowing to accurately characterize the corresponding volume change and contribute to the determination of ion-solvent 23 24 composition. In addition, the extracted the shear modulus, density, thickness, and viscosity of 25 OMIEC thin films can be used to quantify the film homogeneity. The unique information available through QCM measurements provides data on ion transport dynamics, ionic-electronic 26 27 interactions and morphology changes in OMIECs during operation.

The QCM mass analysis is based on the piezoelectric effect of quartz crystals. When a sinusoidal voltage is applied to a quartz crystal, the crystal will oscillate mechanically at a precise

vibrational frequency determined by its total mass (with polymer sample loaded) and mechanical
 properties. In air or near vacuum, the frequency change Δf is proportional to the mass change Δm
 of a quartz crystal, known as the Sauerbrey equation (Equation 9):

$$\Delta f = -\frac{2{f_0}^2}{A\sqrt{\rho_a\mu_a}}\Delta m \quad (9)$$

4

Where f_0 is the resonant frequency of the fundamental mode of the chip and A is the 5 piezoelectrically active area, both related to the bare quartz chip. The constants ρ_q and μ_q are the 6 7 density and shear modulus of quartz, respectively. The experiments require to deposit the OMIEC material on a thin quartz crystal together with connecting electrodes, as shown in Figure 8 9 14a. The QCM relates changes in the resonant frequency of the crystal to small mass changes occurring in OMIEC thin films upon solvent swelling or ion doping with nanogram-level 10 11 accuracy. The technique also records the oscillation frequency at multiple overtones of the resonant frequency. The penetration depth decreases as the overtone number increase, thus 12 different orders of overtones reflect the mass change at different vertical regions of the 13 sample.³⁸⁰ This principle has been applied on ionic liquid³⁸¹ and conjugated polymer thin films³⁷⁹ 14 to qualitatively extract mass change at different vertical depth. 15

Commonly used QCM sensors also record the dissipation of the vibrational oscillations, known 16 as OCM-D³⁸⁰ (OCM with dissipation monitoring), which quantifies the oscillation dampening in 17 soft materials (including polymer thin film and electrolytes) due to their viscoelasticity. The 18 19 dissipation term is proportional to the decay time constant of the oscillation amplitude. Since the frequency domain signal is the Fourier transform of the time domain signal, this term is obtained 20 from the half width at half-maximum of the conductance peak at the resonance frequency.³⁸² In 21 OMIECs, the dissipation term is not only important to quantify viscoelasticity but is also 22 necessary for quantitative mass analysis. In fact, solvent swelling or changes in the film 23 thickness significantly affect viscoelastic properties of OMIEC films, causing their vibrational 24 25 oscillation frequency to deviate from the Sauerbrey equation. This phenomenon can be described using the Kelvin-Voigt model (Equation 10), which approximates the dissipation term as the 26 ratio of dissipated energy to stored energy: 27

1
$$D \approx \frac{E_{dissipated}}{E_{stored}}$$
 (10)

Voinova et al. used this model to describe the the QCM-D response in terms of the frequency
change Δf (Equation 11) and the dissipation change ΔD (Equation 12) and the viscoelasticity of
the adsorbed soft film layer:³⁸³

$$\Delta f = Im \left(\frac{\beta}{2\pi\rho_q h_q}\right) \quad (11)$$

5

$$\Delta D = -Re\left(\frac{\beta}{\pi f \rho_q h_q}\right) \quad (12)$$

7 Where f is the oscillation frequency, h_q and ρ_q are the thickness and density of quartz,

8 respectively; β is a function of the complex shear modulus G*, film thickness h_f and film

9 density ρ_f , is the coefficient of the following wave propagating differential equation (Equation 10 13):³⁸⁴

11
$$F = -\eta_f \frac{\partial v_x}{\partial z}\Big|_{z=0} = \beta v_x \quad (13)$$

Here, η_f is the film viscosity, x is the quartz oscillation direction, and z is orthogonal to the QCM chip plane. When the film is thinner than the penetration depth of the oscillation wave, the mass change can be calculated from fitted thickness h_f and density ρ_f . This model has been widely used in the quantitative analysis of QCM-D data in liquid-solid interface systems.³⁸⁵⁻³⁸⁹ Recently, other QCM-D models have also been proposed to enhance the suitability of the theory for practical applications.^{382, 390, 391}

Qualitatively, the dissipation term in QCM-D relates to the viscoelasticity of the film and reflects the change in stiffness of the film, which also serves as an additional check for mass change (e.g. electrochemical doping or biomolecule loading). For example, in the case of an OMIEC-based biosensor, QCM-D was used not only to characterize the mass change of a phospholipid bilayer and protein upon loading, but also to demonstrate the very presence of these molecules by the change of viscoelasticity on the film surface.³⁹² Cendra et al. corroborated the hydration of the 1 films in different environments by analyzing the dissipation changes of the solvated OMIEC

2 films. A higher water content in the polymer film led to a decrease in the film stiffness, thus

3 increasing the viscoelastic response.¹⁸⁶ In addition, dissipative QCM studies are an ideal tool to

4 characterize the rheology and mechanical properties of OMIEC materials used in biological

5 environments, notably their stiffness (mis)match to the surrounding tissue.

6 The electrochemical quartz crystal microbalance with dissipation monitoring (EQCM-D) enables 7 the control of electrochemical potential, thus reproducing the operating conditions of OMIEC 8 devices. In the work of Savva et al., EQCM-D was used to directly monitor voltage-triggered ion uptake and the corresponding current changes in PEDOT:PSS.⁴⁴ The reported mass loss in the 9 polymer film upon doping demonstrates that most cations leave the doped OMIEC thin film. 10 Assuming a complete ionic compensation of the holes at the maximum dedoping level and an 11 unchanged cation/water ratio, the authors estimated the dopant concentration at different 12 13 voltages. The potential dependent doping efficiency, a measure of the ionic-electronic coupling, 14 was defined by the ratio between the dopant concentration and the hole concentration (from CV) of the OMIEC film. In a subsequent study, the authors used EQCM-D on the accumulation mode 15 OMIEC material pg2T-TT by assuming that only anions are involved in electrochemical 16 doping.⁴⁵ The EQCM-D analysis showed that the dopant anions entering the pg2T-TT film were 17 hydrated, but the water swelling exceeded the amount needed to solvate the ions. The effect of 18 electrolyte concentration on swelling was also studied: the film swelled more and retained more 19 20 water at lower electrolyte concentrations, an effect that the authors attributed to osmotic pressure (see Figure 14b,c). Similar compositional estimates of swelling OMIECs during electrochemical 21 doping have been reported with different ionic dopants¹⁸⁶ and glycol side chain content.^{20, 393} 22 Despite referring to different electrolyte-OMIEC pairs, these studies can still be compared with 23 each other as they are mostly monitoring solvent-induced effects, as opposed to the penetration 24 of bare ions. It is worth noting that the estimation of dopant concentration by EQCM-D relies on 25 assumptions of 100% doping efficiency at the maximum dedoping potential. Given the 26 27 increasing availability of black box EQCM-D systems, it is important to verify the applicability 28 of common models and assumptions to OMIEC film properties (i.e. thickness, mechanical properties). To further improve data interpretation, EQCM-D could be integrated with other 29

1 compositional analysis methods such as XRF and Glow-discharge optical emission spectroscopy







Figure 14 (a) EQCM setup for the study of pg2T-TT, where the OMIEC film is in contact with
electrolyte; (b) Changes in the film mass when electrochemical doped in four electrolytes of
varying ion concentrations; (c) The polymer–electrolyte interface and the differences in the
swelling behavior of the film in low- and high-concentration electrolytes. Adapted with
permission from [⁴⁵]. Copyright 2019 American Chemical Society.

9 8. Scanning Probe Techniques

Operando scanning microprobe techniques have the capability to provide spatially resolved 10 information on the microstructure,²⁸⁵ charge distribution^{86, 395} and chemical composition³⁹⁶ of 11 OMIEC materials.³⁹⁶ These include scanning electrochemical microscopy, atomic force 12 microscopy, and its many derivatives. Atomic force microscopy has been applied in the 13 14 characterization of OMIEC materials due to its adaptability to a wide range of environments as described in 8.1 - 8.5. Scanning electrochemical microscopy has not yet been directly applied to 15 16 the real-time operational characterization of OMIEC materials yet. However, imaging of similar systems has been reported in recent years and will be briefly described in 8.6. Compared to other 17 techniques, probe-based techniques directly image OMIEC materials and spatially resolved 18 morphology, electrical and mechanical properties of the material. A general comparison among 19 these probe techniques is presented in Table 4. 20

21 Table 4. Summary of probe techniques employed in operando study of OMIECs

Method	Description	Electronic carrier	Ionic carrier Information	Structural & Morphology	Representative in situ	Implementatio n barriers
		Information		Information	example	
KPFM	Map surface potential and morphology	Spatial distribution	-		MEH-PPV ^{86,} 395	No electrolyte between probe/sample
cAFM	Map local conductivity and surface morphology	Local conductivity	l vity - Domain/phas		PFBT ³⁹⁷	Signal screening in electrolyte
IR- AFM	Map high-spatial resolution IR absorption and surface morphology	Spatial distribution/ concentration	Ionic Environment	separation, surface topology	-	Signal screening in electrolyte
Strain- AFM	Map mechanical properties and surface morphology	-	Ion uptake/ swelling		PEDOT:PSS ³⁹⁶	-
SECM	Map local electrochemical behavior of solid/liquid interface	-	Redox reaction	-	PEDOT:PSS ³⁹⁸	Large tip, low spatial resolution

1

2 8.1 Atomic Force Microscopy

As a well-established technique, atomic force microscopy (AFM) has been widely applied in the 3 morphological characterization of soft materials such as polymers and biological samples,³⁹⁹⁻⁴⁰¹ 4 especially in the study of phase/domain separation.⁴⁰¹⁻⁴⁰³ For polymeric samples, AFM acquires 5 three-dimensional topography and phase maps of sample surface, detected by a sharp cantilever 6 7 probe in tapping (intermittent contact) mode. The height of the AFM probe is usually accurately 8 determined by measuring the deflection of a laser beam, as shown in Figure 15a. The phase 9 image, relating to the hardness, elasticity, viscosity and friction of the detected point, is recorded simultaneously with the height map by measuring the fundamental resonant frequency of the 10 probe.³⁹⁹ The surface morphology of thin films, including phase separation, elastic modulus, 11 roughness, as well as granular size, shape, and distribution can be imaged and quantified in 12 atmospheric and aqueous environments. The material elasticity, viscoelasticity and surface 13 adhesion can be obtained by recording the energy dissipation of probe-sample interaction.⁴⁰⁴ 14 Comparing to other microscope techniques such as scanning tunnelling microscopy and 15

traditional electron microscopy, AFM is suitable for OMIEC materials due to the modest
 requirements for sample preparation, versatility and widespread commercial availability.⁴⁰⁵ In
 addition, unlike electron microscopy, AFM is a nondestructive technique: surface damage can be
 avoided simply by using non-contact or tapping modes.

The high spatial resolution and wide environmental adaptability of AFM make this technique
highly advantageous for operando characterization of OMIECs. Spatial resolution at the
molecular level has been reported for structural characterization at the liquid/solid interface.⁴⁰⁶ In
situ AFM has also been applied on polyelectrolytes to study the swelling in water vapor⁴⁰⁷ and
the relaxation process in aqueous solutions.⁴⁰⁸ In addition, the interfacial morphology changes
during electrochemical polymerization of EDOT has been observed by in situ AFM.⁴⁰⁹

Operando AFM experiments are usually coupled to additional techniques to achieve a two-11 12 dimensional image of other physical properties in addition to height and phase profile. These additional techniques include mechanical, optical, and electrical probes. While most of non-13 scanning probe operando characterization focus on overall changes of OMIEC morphology, 14 operando AFM provides local information on optical,⁴¹⁰ mechanical,^{396, 411} electrical,^{86, 395, 412} 15 and structural properties, 413-415 as well as their surface distribution. Thanks to the availability of 16 AFM probes made from conductive materials, AFM can be directly used for in situ/operando 17 characterization of OMIECs under applied voltage/current, as shown respectively for Kelvin 18 19 probe (Figure 15b) and conducting probe force microscopy (Figure 15c) setups. By scanning the surface of OMIEC materials during electrochemical doping/dedoping, these operando AFM 20 21 measurements can quantitatively determine the local electrical properties while recording the 22 surface morphology of the material. Meanwhile, infrared photo-atom force microscopy (Figure 23 15d) and strain microscopy (Figure 15e) provide spatial optical and mechanical information of 24 the material, respectively. The additional information obtained by these mapping techniques 25 (discussed in the following sections) makes them essential for the study of OMIEC in operation.


2 Figure 15 (a) AFM setup in general; The probe tip of (b) Kelvin probe force microscopy

3 (KPFM); (c) conductive AFM; (d) infrared photo-atom force microscopy (IR-AFM); (e) strain

4 AFM; and (f) Scan electrochemistry microscope.

5 8.2 Kelvin probe force microscopy

Operando Kelvin probe force microscopy (KPFM) has been used for the characterization of 6 7 OMIEC materials in the absence of an electrolyte. In addition to spatially resolved mechanical properties, this technique enables the mapping of the surface potential of thin films, revealing the 8 spatial distribution of doping⁴¹⁶ and trapped charges.⁴¹⁷ The technique has been applied to the 9 study of phase separation^{418, 419} and molecular aggregation by accurately mapping the 10 distribution of HOMO levels in different regions of the film. In KPFM, extra voltage pattern is 11 applied between the tip of a conventional non-contact AFM and the sample. A DC voltage is 12 applied to offset the contact potential difference between the sample surface while a 13 superimposed AC voltage is used to probe the surface potential variations.⁴²⁰ With a known tip 14 work function (the energy difference between the vacuum and the Fermi level), the work 15 function at single point on the sample surface can be obtained by the compensating DC voltage. 16 The gap between the probe tip and the material surface must be free of contaminants (preferably 17 in vacuum) in order to record a stable signal. Although the technique has achieved a high spatial 18

resolution under ambient conditions,⁴²¹ KPFM remains challenging to carry out in the presence
 of liquid electrolytes.⁴²²

KPFM has been applied to the study of solid-state light emitting electrochemical cells (LEECs) 3 in operation. The carrier and electric field distribution in small molecule transition metal 4 complex salts used in LEECs has been studied by KPFM.⁴²³ Similarly, in polymeric OMIEC 5 materials, Matyba et al. measured the spatially resolved potential distribution of ionic doped 6 MEH-PPV under active applied bias, revealing the formation of p-n junctions.³⁹⁵ A significant 7 potential drop occurs in the center of the material channel, inducing light emission at the 8 9 boundary between the p- and n-doped regions, shown in Figure 16a. With positive bias applied, 10 the anion and the hole on the polymer backbone migrate to opposite electrodes, leading to charge buildup and eventually screening the electric field, as shown in **Figure 16b**. During the 11 relaxation process following the removal of external bias, the change in the potential profile 12 13 measured by KPFM allowed the estimation of ion mobilities. A similar phenomenon was also revealed in the operando KPFM study of conjugated polyelectrolytes by Collins et al.⁸⁶ 14



15

Figure 16 (a) Micrograph showing the light emission from a planar LEC device during steadystate operation at V =5 V (left) and the positive and negative electrodes are indicated by the +

18 and – sign, respectively. The right column shows the 2D topographic image, electrostatic

1 potential profile recorded on a pristine device under open-circuit conditions, temporal evolution

- 2 before balance with V = 5 V (the arrow indicates increasing time), and the subsequent steady-
- 3 state at V = 5 V; (b) The transient charge distribution after a shift to the open-circuit condition
- 4 (top) and the steady-state charge distribution at V = +5 V (bottom), where V_{bi} indicates the built-
- 5 in potential over the junction. The net charge motion is indicated by the white arrows. Adapted
- 6 with permission from $[^{395}]$. Copyright 2009 Springer Nature.

7 Operando KPFM shows excellent time resolution and has been reported on the characterization of OFETs, in which the charge distribution and trapped charge on the material surface are 8 9 directly related to the performance of the device. Although OFET devices do not involve ionic conductivity in operation, the following example still demonstrate the insight for electronic 10 charge dynamics. Yamagishi et al. used KPFM to perform millisecond resolution surface 11 potential mapping on OFETs made from DNTT with different gate bias,⁴²⁴ and successfully 12 revealed the different time scales for the decay of free carriers and trapped carriers inside the 13 film after turning off the device.⁴¹⁷ Additionally, KPFM reveals the spatial inhomogeneity of 14 carrier diffusion rate in operating device channels. Due to the high resistance near the electrode, 15 16 the carriers accumulated near the electrode edge immediately diffuse to the electrode, while the carriers in the channel center diffuse relatively slowly. This uneven carrier distribution can be 17 confirmed with the results of Raman mapping mentioned in Section 4.3.¹⁴⁰ In recent years, 18 KPFM with fast free force reconstruction has been developed using the G-Mode platform. In this 19 technique, the quantitative recovery of the electrostatic force achieves a time resolution of $\sim 10 \,\mu s$ 20 and enables ultrafast surface potential measurements.⁴²⁵ This breakthrough makes this method 21 suitable for the 2D study of fast carrier kinetics. 22

23 8.3 Conducting Probe Microscopy

Conducting Atomic Force Microscopy (cAFM) working in tapping mode already possesses
reliable repeatability for soft materials and shows great potential for the operando OMIEC
characterization of local conductivity and viscoelastic properties. Unlike KPFM, cAFM works in
contact with the sample surface and applies a DC bias voltage between the probe tip and the
substrate to measure the I-V response at the desired point. The local conductivity map as well as
the surface morphology can be imaged, even on samples that are highly resistive or surrounded

by insulating regions.⁴²⁶⁻⁴²⁸ Because the current can be collected from a larger area than the 1 2 probe tip, the spatial resolution of the conductivity map is lower than the topographic resolution 3 (10-20 nanometers), but still outperforms standard electrical measurement techniques. The technique effectively avoids surface damage caused by the lateral friction of the probe tip and the 4 constant large current densities near the probe tip.⁴²⁸ For organic conjugated materials, the ability 5 to quantify the local carrier mobility opens up the possibility of identifying the inhomogeneous 6 7 current paths and quantifying the density of conducting spots in the presence of both crystalline and amorphous domains.^{429, 430} cAFM has been used to characterize mechanical properties and 8 charge transport properties of conjugated polymers during thermal annealing^{431, 432} and photo 9 induced conductivity.^{433, 434} In addition, Yang et al. investigated the local mobility and charge 10 transport process of conjugated polyelectrolytes (PFBT-X, X is the ions) using cAFM by varying 11 the forward and reverse direction of the bias voltage on the substrate (ITO).³⁹⁷ In samples with 12 small counter anions (PFBT-Br), the tighter interchain contacts with anions cause higher 13 14 mobility of the material and strongly decrease the charge injection barriers. For OMIEC 15 materials with external electrolyte in operation, the presence of liquid electrolyte and surface 16 contamination can reduce the reproducibility and validity of cAFM experimental results. However, cAFM working in contact mode does not require operation in vacuum, such that 17 18 hydrated OMIECs (in high RH conditions) can be studied. Ambient operation leaves open the possibility to design in situ/operando electrochemical cells that allow electrolyte contact, similar 19 20 to the frit cell employed for operando GIWAXS.

21 8.4 Infrared photo-atom force microscopy (IR-AFM)

22 Infrared photo-atom force microscopy (IR-AFM) provides high-spatial resolution IR absorption 23 map as well as the surface morphology and is expected to be compatible with operando OMIEC 24 characterization. IR-AFM achieves a tip limited spatial resolution of 10-100 nm, which is 25 unattainable with traditional wavelength limited FTIR technology. After scanning surface height information, the infrared laser is turned on to detect local IR absorption at the sample surface. 26 27 Based on different method to acquire IR absorption spectra, IR-AFM can be divided into scattering scanning near-field microscopy (s-SNOM), photo-induced force microscopy (PiFM) 28 29 and photo-thermal induced resonance (PTIR, also known as AFM-IR and photo expansion microscopy).⁴³⁵ s-SNOM directly measures the scattering IR light and therefore captures a 30

scatter background. The other two approach variants extract the IR information from the 1 2 vibration of AFM probe and are more suitable for OMIEC materials than s-SNOM due to their 3 high signal to noise ratio. PiFM measures IR induced dipole-dipole interactions between the AFM probe tip and the sample surface.^{436, 437} Due to the ability to distinguish the characteristic 4 absorption of different components in the sample, this technique has now been widely used in the 5 study of phase separation in organic solar cell materials⁴³⁸ and the distribution of individual 6 components in cast block co-polymer films.^{439, 440} In a recent report, PiFM was used to observe 7 the process of ion diffusion relaxation in dry OMIEC films (PB2T-TEG and P3MEEMT) with no 8 potential bias.²⁸⁵ The sharp ionic moving front between the neutral and oxidized regions of the 9 material were mapped out by following the characteristic IR absorption peaks of the doped anion 10 PF₆. Although PiFM shows the highest spatial resolution among all IR-AFM techniques, this 11 12 technique works in non-contact mode and requires vacuum conditions, thus preventing its application to hydrated OMIEC materials or those requiring aqueous/liquid electrolytes. As an 13 alternative to PiFM, PTIR works in contact or tapping modes,⁴⁴¹ and measures the thermal 14 expansion of the sample correlated to IR absorption.⁴⁴² The technique has been applied to 15 characterize the distribution and transport of water molecules in polymer systems,^{443, 444} and is 16 promising for in situ spatial resolution of species within OMIEC materials. 17

18 8.5 Strain microscopy

19 Operando electrochemical strain microscopy (ESM) has been applied in OMIEC

20 characterization³⁹⁶ and works in aqueous electrolytes for potential dependent strain

21 mapping.^{445,446} An alternating electric field is applied via a nanoscale conducting probe, inducing

22 an electron transfer between the tip and the material surface. The inhomogeneous electrostatic

field redistributes the moving ions in the solid-state material, which triggers a probe vibration

caused by local strain on the material surface.⁴⁴⁷ In ESM, the conducting probe acts as a counter

electrode for the OMIEC material, providing charging voltage to the material to simulate the

- 26 electrochemical doping/dedoping of the material in the electrolyte, and thus enabling the
- 27 operando characterization of the OMIEC devices at the nanoscale. ESM is useful for studying
- 28 ion diffusion and solvent swelling during OMIEC operation: this technique directly reveals the
- 29 change in viscoelastic properties and volume in different mesoscale domains under
- 30 doping/dedoping conditions. Together with cAFM, ESM allows to characterize in real time the

- 1 electrical and mechanical properties of OMIECs, deduce ion migration mechanisms and
- 2 ultimately guide the molecular design and post-processing of materials.^{448, 449}



Figure 17 Topography (a) and ESM amplitude images (b–d) of a typical P3HT film in 20 mM
KCl. ESM images taken with the tip at 0 V (b), -350 mV (c) and +350 mV (d). (e), Histograms
of the ESM amplitude of the same location under different bias conditions, indicating that
swelling is primarily observed in forward bias (negative gate voltage) conditions. (f), Switching
spectroscopy ESM 'remnant' loops taken at different ionic concentration with the same AFM tip
showing enhanced hysteresis at higher concentrations, consistent with a finite ion relaxation
time. Adapted with permission from [³⁹⁶]. Copyright 2017 Springer Nature.

11 The characterization of local variations in ion transport of OMIEC materials by operando ESM 12 was reported by Giridharagopal et al., who measured the sub-nanometer volumetric expansion of a P3HT films due to ion uptake after electrochemical doping.³⁹⁶ The swelling of P3HT films with 13 different voltage level was explored by applying an additional DC charging voltage to the probe, 14 15 shown in Figure 17a-d. Attributed to counter ion uptake, positive correlation between film swelling and electrochemical doping was observed. In addition to the overall swelling rate, the 16 dispersion (width of distribution, Figure 17e), voltage-dependent hysteresis (Figure 17f) and 17 local heterogeneity of film swelling were also analyzed. By matching the swelling heterogeneity 18 with the stiffness heterogeneity of the film, the ion uptake was related to the mechanical 19

1 properties of different domains. This example illustrates how ESM can reveal the strong

2 correlation between morphology, ion concentration, and electrochemical behavior in OMIECs.

3 As this technique is further popularized in the future, the real time monitoring of ion diffusion

4 across different types of OMIEC materials should be within reach.

5 8.6 Scanning electrochemical microscopy

Scanning electrochemical microscopy (SECM) employs an electrochemical scanning probe near 6 7 an electrolyte immersed material to assess its local redox state. This technique can thus be 8 applied to operando OMIEC characterization in the presence of an external electrolyte. SECM quantifies surface fluxes of redox species with high spatial and temporal resolution by recording 9 either the current or potential response.⁴⁵⁰ This technique is applicable to various soft matter 10 systems containing liquid electrolytes (such as gels, aqueous electrolytes and ionic liquids) to 11 study ion diffusion,⁴⁵¹ local conductivity^{452, 453} and reaction kinetics.⁴⁵⁴ Warren et al. reported the 12 use of SECM for imaging modified PEDOT electrodes during biosensing.³⁹⁸ Regional 13 homogeneity in NADH redox as well as dopant leaking were monitored by SECM and used to 14 optimize the fabrication and post-processing processes of the PEDOT based biosensor. By 15 selecting the appropriate SECM quantification and imaging mode, the redox reactions occurring 16 17 in both biosensing and small molecule monitoring using OMIEC materials can be monitored in operando conditions. This advantage is particularly relevant for OMIEC devices, as redox active 18 species have been reported to significantly affect the performance, stability and lifetime of 19 OMIEC materials.¹⁸⁵ Mapping these species via SECM is key to better understanding Faradaic 20 21 reactions in mixed transport devices, and this insight will directly enable the development of improved OMIEC-based devices. 22

The techniques discussed in **Section 8** allow for the direct mapping of physical and chemical processes in OMIECs. Such mapping is amongst the highest resolution that can be achieved for many of these characteristics, however some challenges and drawbacks exist that can limit implementation or applicability. For example, the scanning probe study of charge/potential distributions is limited to devices where charge transport occurs in-plane, which is not necessarily representative of vertically stacked devices; where local microstructural features and transport pathways are likely different in- and out-or-plane. In addition, common to all the

scanning probe approaches is the direct probing of surface properties, which are not necessarily
 representative of bulk properties. As such, the effect of bulk or out-of-plane morphology is lost
 and must be assumed or separately investigated.

4 9. Electron Microscopy

In situ/operando electron microscopy techniques have enabled nanometer-resolution imaging of 5 soft matter samples in ambient and liquid environments,⁴⁵⁵ and are thus appealing for 6 applications in OMIEC materials. Conventional scanning transmission electron microscopes 7 8 (STEM) and transmission electron microscopes (TEM) involve the imaging of solid materials in vacuum, making these techniques suitable only for OMIECs with intrinsic ions working without 9 10 external electrolytes. In recent years, liquid-phase electron microscopy (LPEM) has enabled the time-resolved characterization of fully solvated soft materials at room temperature.⁴⁵⁶ This 11 technique has been widely used for in situ imaging of lithium-ion batteries,⁴⁵⁷ biomolecules/cells 12 and molecular dynamic studies of polymerization processes.⁴⁵⁸ 13

Direct imaging of PEDOT clusters formed during electrochemical deposition has been reported 14 by in situ liquid-phase transmission electron microscopy (LPTEM).⁴⁵⁹ This technique employs a 15 liquid flow cell (Figure 18a) with a channel thickness of 500 nm. Thin (50 nm) electron-16 transparent silicon nitride films are designed to seal the liquid and enable the cell to withstand 17 18 the high vacuum of the microscope, as shown in Figure 18b. The electrochemical deposition 19 images of PEDOT are shown in Figure 18c-e, from which the morphology and coverage ratio of the PEDOT deposited on the glassy electrode can be clearly visualized. This example 20 demonstrates the possibility of in situ/operando imaging of OMIEC materials by LPTEM under 21 different potential, which requires pre-encapsulation of the cast polymer film prior to cell 22 23 fabrication. In addition to using SiN as the electron window for operando LPEM, graphene has also been chosen in this technique for its excellent mechanical properties, physical 24 impermeability, and chemical stability.^{460, 461} Due to the atomic thickness of graphene, the spatial 25 resolution of LPTM can be further increased,⁴⁶² from several nanometers to atomic level.⁴⁶³ 26 Performing operando EM on OMIECs still requires more efforts, including reducing damage of 27 OMIEC materials from the electron beam and solvent perturbation during measurements,⁴⁶⁴ as 28 well as controlling decomposition products from radiation exposure of organic materials.⁴⁵⁶ 29





Figure 18 Top view of LPTEM electrochemical chip showing (a) electrical contact pads with the
liquid flow cell (white), working (blue), reference (green) and counter electrodes (yellow); b)
Higher magnification image for glassy carbon working electrode with thin electron-transparent
silicon nitride window. Electrochemical deposition of PEDOT under a constant voltage of +1.2V
after (c) 10s, (d) 20s and (e) 100s. Adapted with permission from [⁴⁵⁹]. Copyright 2015 American
Chemical Society.

Apart from the morphology determination, electron microscopy can also combine with X-ray detectors (mostly silicon drift detectors) for elemental analysis, known as energy dispersive Xray microanalysis (EDS).⁴⁶⁵ This technique measures elemental characteristic X-rays produced by outer shell electrons as they fill the inner shell holes created by the incident electron beams.⁴⁶⁶ There are two major problems to be solved before EDS can be used for the operando characterization of OMIECs: polymers are notorious for electron beam damages, and the additional EDS measurement would further damage the samples. The outer shell electron

15 transitions of light elements (for example, C, N and O) are known to excite Auger electrons,

causing a decrease in X-rays yield.⁴⁶⁷ Consequently, higher incident electron beam doses are
required to obtain EDS signals for conjugated polymers. The second problem is the relatively
high vacuum requirement for EDS measurements, due to electron beam scattering and X-ray
absorption of the atmosphere. There have been reports for environmental EDS at the resin/Al
interface with the existence of water vapor and helium (up to 15 torr).^{468, 469} However, this is still
far away from the operando conditions of most OMIECs, which makes EDS less suitable for
mixed conducting applications than other elemental analysis methods such as XRF and APXPS.

8 10. In Silico Characterization Methods

The advantages of computational approaches when investigating OMIEC materials go beyond 9 10 simply reproducing experimentally observed trends. On the one hand, the atomistic resolution of the 'computational microscope' offers the possibility to directly observe local events or 11 12 individual features that most spectroscopic or structural characterization techniques can only capture as the average ensemble of all possible states. Molecular simulations can also have a 13 14 remarkable predictive power in the rational design of materials. Data-driven approaches that combine inexpensive computational methods with machine learning and high-throughput 15 16 algorithms have enabled the screening of large libraries of compounds that can be optimized in silico before bench-top testing, thus accelerating innovation.⁴⁷⁰⁻⁴⁷³ Finally, simulations can be 17 used to run 'alchemical' experiments, where the effect of a particular substitution or group on a 18 19 given material's properties is tested by either removing it, displacing it or chemically altering it; whereas the same wet lab experiment can often be too time consuming or not possible, it can 20 21 become affordable and sometimes even trivial to run in silico.⁴⁷⁴

In the following subsections, we outline the main advantages and limitations of molecular 22 23 simulations in complementing operando measurements on OMIECs, focusing mainly on electronic structure calculations and molecular dynamics simulations, and how these two 24 25 frameworks can be integrated to characterize the structural and transport properties of OMIECs (see Figure 19). Among ab initio methods we focus on density functional theory calculations as 26 they are the most widely employed in the computational materials science and organic 27 electronics communities, either as a standalone technique (Section 10.1.1) or in combination 28 29 with classical simulations (Section 10.1.2-10.1.3). In Section 10.2 we discuss classical

- 1 molecular dynamics simulations, detailing OMIEC-relevant applications for both atomistic
- 2 (Section 10.2.1) and coarse-grained force fields (Section 10.2.2). We leave out of this discussion
- 3 numerical device models such as Monte Carlo algorithms,⁴⁷⁵⁻⁴⁷⁸ master equation approaches⁴⁷⁹,
- 4 ⁴⁸⁰ or drift-diffusion models,^{101, 481, 482} as these methods are reliant on parameters derived from ab
- 5 initio calculations⁴⁸³ and highly dependent on experimental device setup.^{484, 485}



6

7 Figure 19: Overview of the computational methods for OMIECs characterization presented in

8 Section 10, and representative examples of the information that can be extracted at the atomic

9 scale and nanoscale level.

10 10.1. Ab initio electronic structure methods

- 11 Electronic structure calculations are routinely used in the characterization of OMIECs and the
- 12 wider class of organic conducting materials. They are essential tools to elucidate the reactivity,
- 13 spectroscopic signatures (Raman, IR and UV-Vis, see Section 4), structural features
- 14 (conformational energies, steric interactions) as well as electrochemical, electronic and energetic
- 15 parameters related to electronic mobility (frontier orbital energies, orbital localization,
- 16 reorganization energies).

Thanks to their optimal balance between accuracy and computational cost,^{486, 487} density 1 2 functional theory (DFT) and its time-dependent formalism (TD-DFT) are the most widely 3 employed electronic structure methods for the study of organic semiconductors and OMIEC materials. For this reason, DFT will be the main focus of the following section. The general 4 principle of DFT states that the electronic properties of a multi-electron system are a functional 5 (function of a function) of its electronic density, however the exact mathematical form describing 6 electron correlation in many body systems is not known.^{486, 487} Different approximations have 7 been developed to build functionals of the electronic density, and many density functional 8 approximations (DFAs) are available.^{488, 489} While a detailed discussion of DFAs is well beyond 9 the scope of this review, we must mention that many widely used functionals contain empirical 10 parameters, and can therefore fail when applied to different classes of compounds than the data 11 12 set they were optimized against.

The popularity of DFT and the wide choice of software implementations to perform calculations 13 have essentially turned it into a 'black box' tool;^{490, 491} DFT calculations of small OMIEC 14 oligomers are a routinely performed to gain insight on orbital energies, polaron delocalization 15 length or torsional barriers between conjugated units. However, it must be pointed out that many 16 popular DFAs present well known shortcomings⁴⁹² that can negatively affect the reliability of the 17 predictions made. For this reason, a range of corrections have been developed to address these 18 issues. For instance, the excessive delocalization of the wavefunction resulting in the 19 underestimation of barrier heights, bandgaps and excited state energies⁴⁹³ can be prevented by 20 using range-separate functionals.⁴⁹⁴ Another important drawback of DFAs is in the incorrect 21 representation of dispersion interactions, that are subtle yet extremely consequential for the 22 stability of organic and biological materials. Dispersion-inclusive functionals are now the 23 standard for the study of non-covalent interactions in systems like molecular crystals or 24 supramolecular complexes.^{489, 495} 25

Semiempirical methods derived from DFT or Hartree-Fock formalisms are commonly used for
the calculation of large systems (>100 atoms) or in high-throughput studies, as they afford a
computational speed up of three orders of magnitude with respect to DFT.⁴⁹⁶ In these methods,
interatomic integrals constituting the Hamiltonian are either taken from tabulated, pre-calculated
contributions (as in DFTB, where they are calculated from DFT), or partially neglected (as for

HF-based methods). In the latter case, experimental data such as the enthalpy of formation ΔH_f 1 are also used to fit the parameters, therefore these methods can perform poorly for systems 2 3 falling outside the intended class of materials. Besides, semiempirical methods inherit the shortcomings relative to their parent methods: the lack of electron correlation (particularly for 4 HF)^{497, 498} and the poor treatment of dispersion interactions,⁴⁹⁹ which is also present in certain 5 DFAs. Just as in the case of DFT, these issues have been addressed by developing dispersion 6 corrections aimed at better reproducing non-bonded interactions such as van der Waals 7 interactions or hydrogen bonds.⁴⁹⁶ In the context of OMIECs, semiempirical methods with the 8 appropriate corrections can be a useful, cost effective tool to study charge transport in soft 9 materials. 10

11 10.1.1 Standalone DFT methods

In the context of OMIEC and related polymers, DFT calculations have been a key aide in the 12 study of their reactivity,⁵⁰⁰⁻⁵⁰⁴ conformational^{505, 506} and electronic properties,^{507, 508} charge 13 transport⁵⁰⁹, ion doping^{244, 509-513} and thermoelectric properties.^{512, 514} Notably, DFT has become 14 the standard computational methodology for the interpretation of spectroscopic features arising 15 from inelastic neutron scattering experiments,³⁷⁰ UV-Vis,^{515, 516} IR and Raman spectroscopy.^{146,} 16 ^{245, 517-521} In combination with Raman spectroscopy, insight from DFT calculations can resolve 17 fine polymer stacking features that cannot be fully characterized from X-ray scattering 18 experiments alone.^{146, 243, 517} The spectral signatures of organic semiconducting species can be 19 attributed to either internal modes or inter-molecular modes. While internal modes can be studied 20 by performing DFT calculations of single molecules or oligomers in vacuum, ⁵²² those associated 21 with long-range ordering and by intermolecular aggregation can be obtained by performing 22 periodic DFT calculations on crystalline cells.^{517, 523} However, the degree of fine tuning and the 23 possibility to apply higher order perturbation corrections (beyond the harmonic 24 approximation)^{520, 524, 525} to achieve a correct reproduction of experimental transitions contribute 25 to make theoretical vibrational spectroscopy far from a black-box method.^{526, 527} In addition, the 26 O(N³) scaling of standard DFT methods (where N is the number of atoms) poses a limit in the 27 size of the system as well as the possibility to take into account environmental factors. As an 28 example, the calculation for a single oligomer in DFT is often limited to less than 10 repeat units, 29

and it is usually necessary to perform calculations for a series of small oligomers in order to
 verify that Raman spectral features are converged to the polymer limit.^{146, 243}

3 DFT methods have been also used to describe the effect of ion doping on the charge density, charge localization and energy levels of OMIECs and related materials.^{509-513, 528} A recent 4 work⁵²⁹ estimates the capacitive interaction between a p-doped EDOT oligomer and negative 5 6 ions, arguing that each ion-polaron couple could be considered as an independent capacitor. The 7 calculated capacitance is in qualitative agreement with experiments, despite the lack of polarization and screening effects arising from solvent molecules and electrostatics/dispersion 8 9 interactions between doped polymer chains. This idealized model is an initial step towards a molecular-scale description of the capacitance of PEDOT and other OMIECs; further work 10 integrating a DFT approach with MD-simulated OMIEC morphologies in the presence of 11 water⁵³⁰⁻⁵³² will be key to describe the interactions between OMIECs and electrolytes in 12 operando conditions. 13

14 10.1.2 DFT calculations on nanoscale morphologies

15 Overall, the conformational disorder and heterogeneous morphology that characterize OMIEC materials limit the scope of electronic structure calculations, which on their own can only 16 provide information around a local energy minimum. Conversely, DFT or semiempirical single-17 18 point calculations performed on an ensemble of polymer conformations stemming from classical 19 molecular dynamics trajectories can be extremely informative. The data extracted from such a collection allows the calculation of key charge transport parameters such as density of states, 20 localization length, energetic disorder, electronic couplings between chains and percolation 21 pathways for charge carriers, ultimately allowing to estimate the electronic mobility.⁵³³ Rolland 22 23 and coworkers employ this sequential approach to study amorphous aggregates of PEDOT: Tos at different hydration levels.⁵³⁴ PEDOT is p-doped by negatively charged Tos molecule in an 24 overall electroneutral simulation box, mimicking operating conditions. The relationship between 25 morphology and mobility is analyzed by calculating percolative networks across the material and 26 evaluating charge mobility through a mean-field approach. For simulations on shorter PEDOT 27 oligomers, as the water content increases, the mobility decreases due to the inter-crystallite links 28 29 being weakened. In the case of longer chains, however, the mobility plateaus and is not affected

by hydration. These results are in good agreement with experimental reports on conducting
 polymers,⁸⁴ showing how effective π-stacking in small subdomains is more important than long range order and providing a general framework to describe morphology and mobility trends in
 PEDOT-based OMIECs.⁸⁴

The mean field approach used by Rolland et al. to calculate charge mobilities is effective to 5 6 describe general trends, however it should be noted that this approach neglects the interaction between charge carriers at high charge densities,⁸⁵ the screening effect of the environment and 7 the electrolyte-polaron interactions that are expected to play a role under device relevant 8 9 operating conditions. In order to fully elucidate this picture, a new theoretical/computational paradigm able to describe ionic and electronic conduction, and the interaction between ionic and 10 electronic charge carriers, in a high dielectric medium and at high charge density will need to be 11 developed.^{79, 535} Structural and spectroscopic information in operando conditions will be critical 12 13 to achieve a better fundamental understanding of the interplay between electronic processes, ion doping and diffusion across heterogeneous morphologies, thus informing the development of 14 computational and theoretical framework for mixed conduction. 15

16 10.1.3 Quantum mechanics/molecular dynamics simulations

Quantum mechanics/molecular mechanics (QM/MM, also known as QM/MD from molecular 17 18 dynamics) simulations combine the possibility to investigate electronic properties of a system while accounting for dynamic effects with a classical potential.^{536, 537} This feature is especially 19 attractive for the study of solvent effects and electrolyte doping in OMIECs. QM/MM 20 algorithms subdivide the system in 2 regions, one treated at QM level and the other with a 21 classical force field. The feedback between the 2 layers can be implemented in different ways, 538-22 ⁵⁴² but the general feature of these models is that the QM region affects the forces of the MM 23 region while the latter in turn affects the potential energy landscape calculated by the former. 24 25 The timescale accessible by QM/MM simulations strongly depends on the level of theory and 26 number of atoms in the QM portion of the system. This is because DFT calculations scale with $O(N^3)$ while MD simulations scale with O(N), where N is the number of atoms in the system. 27 QM/MM methods are widely employed in the study of reactivity, solvatochromic effects, 28 photochemical processes and are thus well established in the biomolecular field.⁵⁴³⁻⁵⁴⁶ In the case 29

of conjugated polymers, polyelectrolytes and OMIECs, these methods have so far been 1 underutilized;⁵⁴⁷⁻⁵⁴⁹ few examples exist of QM/MM simulation of conjugated polyelectrolytes to 2 investigate solvation effects⁵⁵⁰ or study the electronic properties of redox polymers in bulk-like 3 amorphous morphologies.⁵⁵¹ The possibility to describe dynamic polarization effects,⁵⁵² charge 4 localization and ion doping at the quantum mechanical level makes QM/MM schemes suitable 5 6 for the study of mixed ionic/electronic conduction. However, if studying short oligomers at 7 infinite dilution where the QM region is as small and localized is technically possible, this would represent an idealized model. The study of realistic, thin film-like morphologies would create a 8 9 substantial bottleneck as the entire polymer phase would need to be treated at the QM level. As the computing resources required for large scale, accurate DFT calculations become cheaper, and 10 semiempirical or linear-scaling electronic structure methods reach full maturity, QM/MM 11 schemes could become more widespread in the study of OMIECs and electrolyte interfaces. 553-555 12

13 10.2. Molecular Dynamics Simulations

Molecular dynamics (MD) simulations are a key tool for in silico OMIEC characterization. They 14 can provide valuable insight on both i) dynamical properties such as electrolyte diffusion, 15 16 segmental chain motion and swelling and ii) equilibrium properties such as aggregation, non-17 bonding interactions and conformational features (side chain and backbone flexibility, electrolyte coordination). Due to the possibility to apply external forces, MD simulations can also be used to 18 investigate viscoelastic properties⁵⁵⁶⁻⁵⁵⁹ and thermoelectric behavior.^{509, 560-562} In all the instances 19 above, and particularly when studying OMIECs or other polymers, extended sampling of the 20 21 system is required to capture the full range of thermally accessible states. The structural 22 information obtained from MD simulations includes radial distribution functions, simulated 23 scattering patterns, order parameters, and coordination numbers; making it an ideal complement 24 to X-ray scattering and absorption characterization techniques. Notably, atomistic MD studies 25 have been successfully paired to QENS techniques to investigate the segmental motion of backbone and side chain fragments in polymer melts (see Section 6.4.2). 26

27 Classical MD simulations describe the time evolution of a system according to Newton's

equations of motion, treating atoms as rigid spheres with fixed connectivity and neglecting

29 chemical reactivity and charge polarizability. The system can be defined either at the atomistic

level or at a coarser scale; in order of decreasing resolution and computational cost, they range 1 2 from all-atom MD simulations, to united-atom models where hydrogens are omitted, to coarse-3 grained (CG) MD, where each 'bead' can represent multiple atoms or molecules. The collection of parameters describing all bonded and non-bonded interactions in the system is defined as a 4 force field, and is usually parameterized against experimental data and/or quantum chemical 5 calculations for a given class of compounds.⁵⁶³⁻⁵⁶⁵ Simulating a novel system requires a careful 6 selection of the most appropriate force field parameters, and it is common to refine many-body 7 8 parameters (partial atomic charges and torsional potentials between molecular fragments) using 9 DFT calculations as a reference. This is particularly important in the field of conjugated polymers when dealing with a novel organic fragment or connecting pattern between groups. 10

11 10.2.1 Atomistic Molecular Dynamics

Several atomistic MD studies of OMIECs have provided insight on general structure-property relationships that indirectly inform device operation. The prominence of PEDOT and its blends across a wide range of applications has spurred many studies dedicated to understanding its interfacial properties, self-assembly and electronic transport properties.⁵⁶⁶⁻⁵⁷¹ Recent studies have focused on understanding electrolyte-polymer interactions⁵⁷² and morphological changes^{572, 573} in conditions mimicking those of operating devices.⁵⁷⁴

18 Conjugated polymers bearing glycolated side chains have recently surged as alternatives to 19 PEDOT in mixed conducting devices. Various computational studies on these materials have focused on the interactions between electrolytes and polar side chains in glycolated 20 polythiophenes, highlighting how the choice of anions,⁵⁷⁵ as well as the side chain chemistry²¹, 21 ⁵⁷⁶ modulate ion coordination, chelation and conductivity (see also Figure 20a).^{21, 577} Other 22 23 studies have leveraged MD simulations jointly with experimental characterization techniques to elucidate morphological trends. Side chain engineering studies^{578, 579} have highlighted the key 24 25 role of the ethylene glycol chain length in determining the balance between ordered and 26 disordered microstructure, where the former is driven by backbone π -stacking and the latter is dominated by increased conformational entropy. The challenge of side chain engineering studies 27 is the simultaneous presence of other concurring variables that cannot be completely decoupled: 28 29 molecular weight, polydispersity and solubility are just some of them. This results in nonlinearities and hinders a straightforward prediction of mobility trends. In this respect, MD
 simulations offer an inexpensive way of decoupling these effects by performing computational
 experiments under controlled conditions.

While most examples reported so far tend to approximate experimental non-operating conditions 4 or do not explicitly account for the doping effect on OMIECs, few studies have attempted the 5 simulation of doped mixed conducting materials to reproduce experimental swelling behavior 6 and electrolyte-polymer interactions. A report by Gladisch et al.⁴⁶ uses MD simulations to 7 investigate the reversible swelling and water intake of the glycolated polythiophene p(gT2) in the 8 9 fully doped state and in the presence of electrolytes. The simulations results show the swelling of 10 the doped polymer aggregate is entirely due to the electrostatic interaction between polymer and electrolyte, which drives the ions with its solvation shell inside the polymer film. This conclusion 11 is corroborated by a counter-experiment in which counterions are already inserted within the 12 13 polymer aggregate: in this case once the polymer is doped the swelling does not occur. Gosh et al. recently studied another reversible structural change in an OMIEC material (PB2T-TEG) 14 upon oxidation and ion injection.^{285, 580} In this case, MD simulations highlight the role of the 15 substrate in promoting a morphology transition during electrochemical oxidation and ion 16 injection; the anisotropy between simulated scattering patterns in-plane and out-of-plane agrees 17 with experimentally reported X-ray diffraction data (see Figure 20b). 18



2 **Figure 20.** Examples of atomistic MD simulations elucidating structural changes upon ion

- 3 doping in OMIECs. a) MD simulations of the liquid crystal 4T/PEO4 in the presence of LiTFSI.
- 4 The morphology and calculated GIWAXS patterns at different values of r = [Li+]/[EO] are
- 5 shown. Adapted from [⁵⁷⁷]. Copyright 2019 American Chemical Society. b) MD simulations of a
- 6 PB2T-TEG hydrated polymer film on a gold substrate at different oxidation degrees.
- 7 Morphology and X-ray diffraction (XRD) simulated curve plotted along the π - π stacking and
- 8 lamellar directions. Adapted from [⁵⁸⁰]. Copyright 2020 American Chemical Society.

It is important to note that the timescales for morphological changes observed in operando 1 2 experiments transcend those normally achievable with all-atom MD (~1 µs). A study of the 3 structural evolution and swelling behavior of OMIECs with atomistic MD frameworks is possible, albeit only if we limit ourselves to oligomers short enough to escape kinetic traps 4 within this simulation window (see Section 4.3). However, it is still possible to gain some insight 5 6 from the simulation of shorter oligomers. The characteristic polymer length scales observed in 7 scattering experiments are essentially dictated by π -stacking and lamellar spacing, and these 8 features are expected to be found in both short-range and long-range ordered domains, regardless 9 of polymer length. This might explain why computational studies such as the above, despite the smaller system size and shorter timescale, successfully capture the key trends and structural 10 11 transitions caused by electrolyte insertion and doping.

12 Nonetheless, atomistic MD simulations present several issues that currently limit their impact on 13 OMIECs studies, particularly for operando conditions. On the one hand, the need to refine and check force field parameters for each new fragment means that a certain degree of manual 14 intervention is always needed, making parameterization and validation rather tedious tasks. In 15 16 this context, efforts to increase reproducibility by simultaneously standardizing the force field development pipeline and making datasets available on public repositories are underway. 17 Notably, machine learning tools are starting to take off as a way to achieve classic transferable 18 force fields, ⁵⁸¹⁻⁵⁸⁴ however autonomous learning algorithms present two main issues that 19 currently limit their immediate application to OMIEC materials: the need to gather large training 20 sets, which is challenging given the few available mixed conducting polymers, and the fact that 21 some of these models do not explicitly include long range electrostatic interactions. 585-588 22

23 Indeed, the biggest fundamental obstacle limiting the straightforward application of MD 24 simulations to operando studies of OMIECs is the classical treatment of electrostatic forces. If 25 the structural and morphologic properties of OMIECs cannot be represented successfully with fixed charges, the transport properties associated with operando conditions appear more 26 27 challenging. The high electrolyte concentrations achieved in mixed conducting devices and the importance of ion-OMIEC electrostatic interactions limit the accuracy of force fields having 28 29 fixed point charges, which in practice adopt a mean-field approximation. As in the case of ionic liquids, the strong polarization in OMIECs in operating conditions makes this approximation no 30

longer valid, potentially leading to errors in the estimation of diffusion properties, ion-ion 1 correlation and screening effects.⁵⁸⁹⁻⁵⁹¹ The adoption of fluctuating charge schemes⁵⁹² or Drude 2 3 oscillator models⁵⁹³ provide a solution, however at an additional computational cost. The continuous increase in high-performance computing power has contributed to the 4 implementation of polarizable models for large-scale simulations.^{589, 594-598} The research 5 community is actively developing models for solid-state polymer electrolytes, given their 6 relevance for energy storage applications.⁵⁹⁹ Overall, this effort will soon make large-scale 7 accurate atomistic simulations more mainstream, enabling their use for OMIECs. 8

9 10.2.2 Coarse-grained models

10 Classical all-atom MD simulations are not suited for the sampling of rare events or phenomena having timescales that far exceed those of molecular vibrations, such as those involving 11 12 segmental motion and chain relaxation in polymer melts. In relation to OMIECs, polymer electrolytes and polyelectrolytes, it is well understood that segmental motion is a critical 13 phenomenon affecting ion transport. Unless simulating short oligomer chains, an 'equilibrated' 14 morphology cannot be achieved, and the system reaches instead a kinetically trapped state. 15 16 Among the solutions to this issue, coarse-grained (CG) models have proven very successful both 17 to study the general behavior of polymers and to enable the simulation of realistic morphologies. CG models represent molecules as a collection of beads and springs,⁶⁰⁰ each bead 18 encompassing several atoms. This lower resolution allows to effectively smoothen the potential 19 energy surface of the system, integrate over the faster degrees of freedom to overcome kinetic 20 21 traps and explore materials properties at the mesoscale.

General CG models are 'top down' models, meaning they are not meant to reproduce a specific 22 23 molecule but rather apply to a wide class of materials, for which it is possible to extract general trends and structure-properties rules. They have been applied successfully to investigate the 24 charge transport in redox-active polymers,⁶⁰¹ the morphology of polymer electrolytes, the ion-25 polymer interactions and ion diffusion,^{602, 603} A recent work from Khot et al.⁶⁰⁴ uses a top-down 26 approach to model the morphology and ionic/electronic mobility of a generic OMIEC polymer at 27 different hydration levels and doping levels. The model uses a hybrid coarse grained model that 28 29 combines Gay-Berne ellipsoids and spherical MARTINI beads to describe a generic glycolated

conjugated polymer (see Figure 21a). The key findings showed that accounting for electrostatic
ion-polymer interactions suppressed electronic mobility, however the differences in mobility at
different hydration levels cover a relatively small range. On the contrary, ion mobility was found
to increase linearly with water content, unlike in other CG simulations of OMIECs.⁶⁰⁵

The opposite, top-down approach in CG simulations is instead to explicitly map a system to a 5 collection of beads, parameterizing the force field to reproduce either the structural,606,607 6 thermodynamic properties,⁶⁰⁸ or the forces⁶⁰⁹ of the corresponding all-atom system.^{610, 611} This 7 method has two main advantages: it is chemistry-specific, and it gives the possibility to 8 9 'backmap' to the all-atom model as needed, for instance to perform electronic structure calculations starting from simulation snapshots of the equilibrated system. Although some tools 10 to streamline the mapping and parameterization of CG models are available, 606, 612-615 however 11 there is not a universal guideline or standard as to how to map systems with a low degree of 12 symmetry, such as conjugated fragments or fragments having an uneven number of atoms. 13

Overall, the longer parameterization procedure is compensated by a substantial speed up of the 14 simulations between 2 and 5 orders of magnitude⁶¹⁶ with respect to all-atom simulations, making 15 CG simulations extremely attractive for the study of experimentally-relevant OMIECs 16 17 morphologies. Indeed, this approach was used to study the interplay between polymer oxidation, swelling morphology and ion diffusion in PEDOT:Tos⁶⁰⁵ (see also Figure 21b) and 18 PEDOT:PSS.⁶¹⁷ Modarresi and coworkers simulated the aggregation of PEDOT:PSS in water at 19 different pH (corresponding to different PSS protonation states) starting from either a 20 homogeneous dispersion or a core PEDOT-shell PSS,⁶¹⁸ in the presence of Na⁺ and Cl⁻ ions. For 21 the homogeneous dispersion, the formation of PEDOT crystallites was not observed regardless 22 23 of the pH level. On the other hand, the core-shell morphology was found to be dependent on the pH. The PEDOT-rich and PSS-rich regions were maintained at pH=0 and pH=5, while at 24 25 intermediate pH (3.5) the simulations showed a higher degree of dispersion and a more homogeneous phase. Another study from the same group investigated the effect of PEDOT 26 oxidation on swelling.⁶¹⁹ When a net positive charge was added to PEDOT and a corresponding 27 amount of protons were removed from PSS, the PSS-rich phase swelled with water. In the 28 29 PEDOT-rich phase, swelling was found to be proportional to the oxidation state, with the fully oxidized PEDOT swelling the most. 30



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2 Figure 21. Comparison between top-down (left) and bottom-up (right) coarse grained MD approaches for the study of OMIECs. a) Force field for a generic mixed conducting polymer 3 with polar side chains. b) Overview of the procedure used in ref. [⁶⁰⁴] to extract information on 4 ion dynamics, electronic transport and mixed conductor morphology. Kinetic Monte Carlo 5 6 simulations are used to characterize polaron dynamics, in particular (1) interchain charge 7 transfer, (2) intrachain charge transfer, (3) $\pi - \pi$ aggregation, and (4) ion-polaron coupling. Adapted with permission from [⁶⁰⁴]. Copyright 2021 American Chemical Society. c) Coarse-8 grained force field of PEDOT: Tos used to investigate its aggregation and morphology at 9 different hydration levels. d) Electronic mobility as a function of water content and PEDOT 10 chain length from coarse-grained PEDOT: Tos morphologies backmapped to the atomistic level. 11 Adapted with permission from ref. [618] under CC BY 3.0 license. 12

13 Overall, CG simulations find their ideal application in the study of amphiphilic polymers such as

14 OMIECs and can capture the relevant trends in hydration, electrolyte percolation and polymer

- 15 aggregation at a device relevant scale. However, these models present the same caveats
- 16 discussed above regarding the treatment of electrostatic interactions, with some additional issues.

For instance, the loss of directional interactions such as hydrogen bonds means that ad-hoc models that re-insert these features⁶²⁰ will need to be used for materials where these interactions dictate the nanoscale morphology. In a similar way, losing the atomistic resolution might also preclude the study of systems where confinement effects are important, such as the trapping of solvent or electrolyte inside ordered domains or in gel-like phases.

6 Moreover, CG trajectories are effectively accelerated with respect to atomistic ones. While in 7 some cases it is possible to apply a 'rescaling factor' to retrieve the corresponding atomistic 8 timescales, in some cases – particularly where multiple CG resolutions are used – the actual scaling factor for dynamical properties will be ambiguous at best.^{615, 621} Therefore, when 9 extracting electrolyte diffusion constants from CG dynamics it is best to either report a trend by 10 comparing different ions or simulation conditions or seek a qualitative agreement with 11 experiments. Obtaining accurate estimates of ion/electrolyte diffusion in CG models (as well as 12 in all-atom MD) depends not only on the fine tuning of non-bonded interaction terms of the 13 chosen force field, but also on the presence of friction or dissipative energy terms.⁶¹⁵ 14

Reverting from the CG system to its all-atom equivalent – an operation known as backmapping – 15 allows to observe atomic-scale interactions at CG-equilibrated morphologies;⁶¹⁷ however this 16 17 imposes an upper limit on the system size and/or simulation length. If the goal is to perform ab initio calculations on each conjugated fragment to retrieve a distribution of orbital energies or 18 19 electronic couplings over many trajectory frames, this could mean having to perform hundreds of thousands of calculations - a daunting task even with approximate electronic structure methods. 20 21 In such a scenario it may seem attractive to bypass the atomistic backmapping altogether, and 22 instead train a machine learning model to reproduce the key electronic properties of a polymer segment directly from the CG trajectory.⁶²² A fully coarse-grained approach incorporating 23 insight extracted from electronic structure calculations would be an extremely valuable tool to 24 25 bridge the current divide between device-scale phenomena and simulations in many polymerbased devices, especially those based on OMIECs. 26

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Table 5. Summary of in silico methods employed in the study of OMIECs.

Method	Description	No. of atoms	Timescale	Information extracted	Representati ve example(s)	Implementation barriers
Electronic structure methods	$\hat{H}\psi = E\psi$ $\hat{\Psi} = E\psi$ Methods solving the Schrödinger equation at frozen nuclei. DFT is the most widespread.	10-10 ²	-	Optimized geometries, conformational energies, electronic energy levels, charge transport parameters	PEDOT ^{501, 507, 515, 517-519, 528, 529, 623}	Scaling O(N ³); no dynamic or explicit environment effects
QM/MD	Inner shell treated at QM level; outer shell treated classically (MD)	10 -10 ² (QM shell), 10 ³ -10 ⁸ (MD shell)	Depends on size and update frequency of QM portion	Adds dynamic and solvation effects to the QM information	Oligothiophen es ^{549, 550}	Scaling of QM shell; QM/MD boundary can affect results ^{538,} ^{624, 625}
All-atom MD	Atoms (bonds) treated as rigid spheres (springs) described by classical dynamics	10 ³ -10 ⁸	10 ⁻⁶ -10 ⁻⁴ s	Electrolyte diffusion rates, coordination environment, polymer morphology, viscoelastic properties	PEDOT:PSS, ⁵ 73 PEDOT:Tos, ⁵ ³⁴ glycolated oligo/polythio phenes, ^{575,576,} ^{578,579} PB2T- TEG ⁵⁸⁰	Rare events require enhanced sampling methods ⁶²⁶
Coarse-Grained MD		-	-	Accelerated dynamics capture self- organization and ion/solvent diffusion in device-scale morphologies	PEDOT:Tos, ⁶ 05 PEDOT:PSS, ⁶ 18 generic OMIECs ⁶⁰⁴	Cannot correctly describe time- dependent phenomena; requires backmapping to atomistic MD

Atoms are mapped to beads, reducing the degrees of freedom.	5			
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2 11. Conclusion and perspectives

3 Operando characterization aims to perform accurate structural, morphological, mechanical, and compositional analysis of application-relevant OMIECs to reveal their complex structure-4 5 property relationships and how they change during device operation. Such insights would guide mechanistic understanding of transport, optoelectronic and mechanical properties, to better 6 7 understand devices, and to design new high performing materials. This review illustrates recent 8 advances in operando OMIEC characterization, including spectroscopy, scattering, gravimetry, 9 microscope probe techniques and computational simulation. Among these techniques, operando 10 spectroscopies provide valuable insight on electronic transitions, charge populations and molecular packing. Operando scattering techniques focus on structural information at the 11 molecular and domain scale. Scanning probe techniques map spatial resolved morphology, 12 13 electrical and mechanical properties of OMIEC materials. In silico approaches have provided 14 complementary information to spectroscopic and structural techniques and are being leveraged to unveil electronic and ionic transport at molecular scale. Most of these currently available 15 16 operando characterizations can be done for OMIECs operating in dry conditions, however many OMIEC based technologies require the presence of residual solvent or an external liquid 17 18 electrolyte which adds challenges towards experimental implementation. In general, operando characterization for OMIECs requires the enhancement of the effective OMIEC signal as well as 19 20 the reduction of the background, for example, to separate the electrolyte and the beam path. In 21 addition, operando experiments often demand high temporal resolution, which requires the improvement of the characterization signal-to-noise ratio as well. Operando experiments also 22 seek good spatial resolution, especially for mapping techniques. 23

24 On the theoretical side, the framework to describe electronic charge transport in organic

semiconductors is well established, but a complete characterization of ion doping, and ionic-

26 electronic coupling is still lacking. If a classic, mean-field picture is perhaps sufficient to

27 describe the behavior of highly doped organic materials,⁶²⁷ this model may no longer hold for

intermediate or low ionic strengths and charge densities.^{79, 535} For these reasons, a comprehensive
theory of electronic-ionic transport in OMIECs is a crucial step in the systematic betterment of
mixed conducting devices and materials. Conversely, ion diffusion and coordination properties
are straightforward observables that naturally emerge from molecular dynamic simulations;
although observing these phenomena across device-relevant morphologies is still challenging
due to the long simulation times required to study polymer melts.

7 Existing operando techniques are not yet sufficient to fully elucidate the complex structural and compositional relationships in OMIECs during operation. The introduction of new operando 8 9 techniques, such as X-ray absorption spectroscopy, X-ray photon correlation spectroscopy, and 10 electron microscopy are promising in future OMIEC characterization. First, these techniques add solid experimental evidence and details to the already existing operando toolbox. For example, 11 12 electron microscopy directly images the nano scale molecular structure/packing and is a powerful 13 complement to scattering techniques. Second, they provide unique information of OMIECs in 14 working conditions. For example, XAS has the potential to reveal compositional dynamics and molecular coordination, both of which are difficult to elucidate via other techniques. XPCS 15 16 uniquely tracks the chain dynamics of polymers and opens new perspectives on ion transport.

Finally, we should also look towards the combination of operando techniques to provide 17 comprehensive and accurate description of OMIECs. This has been relatively absent in previous 18 19 reports. A common approach is to perform separate operando characterizations of the same 20 material and then combine the results. Operando UV-Vis-NIR spectroscopy has been reported to unravel the operational kinetics in combination with operando GIWAXS techniques. This 21 22 combines the time-revolved charge population and structural information. Joint analysis of 23 multimodal results require caution because different techniques may have different requirements 24 for film geometry. A more challenging yet advantageous approach is to integrate multiple 25 techniques on the same sample for simultaneous multi-modal characterization. The incorporation of mapping means with spectroscopic techniques enables the simultaneous acquisition of spectral 26 27 information with spatial resolution. The incorporation of an energy detector to an X-ray scattering experiment allows to obtain both structural and compositional changes of the material 28 29 in single measurement. Some EQCM-D setups have optical transparent windows above the sealed liquid chamber and allow reflective optical measurements. These setups combine 30

gravimetric and spectroscopic information that is important in OMIECs with external
 electrolytes.

Lastly, computational characterization has been a key complement for spectroscopic techniques.
DFT calculations are routinely compared to UV-Vis-NIR, IR, and Raman spectra. Similarly, MD
simulations with scattering experiments have mutually informed each other, advancing the study
of OMIECs morphology and structure. The continued integration between experimental and
computational work allows the simulation results to inform experiments, while at the same time
the experimental input is key to refine in silico predictions.

These developments and efforts for operando characterization will increase the understanding of 9 10 fundamental process in OMIECs, for instance charge transport, ion-electron coupling, cyclic stability and side reactions/byproducts. This provides better guidance and experimental 11 predictions for application oriented OMIEC molecular design. Operando experiments are also 12 13 able to direct material processing to achieve desired properties, which is lacking in OMIEC materials processing due to its large parameter space. While operando techniques have no doubt 14 15 accelerated the study of a wide array of functional, solid-state devices, the incorporation of mixed charged species, mass transport, and often solvent molecules or liquid electrolytes 16 17 introduces challenges that required added attention and technique development. The targeted material optimization will significantly advance the field of bioelectronics, energy storage, 18 19 neuromorphic, displays, actuators/soft robotics and drug delivery/ion pumps. The advancement 20 of universal operando characterization tools will also benefit adjacent fields with 21 systems/interfaces similar to OMIECs, such as hybrid organic-inorganics, biopolymers, and hydrogels. 22

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