Resilience, Fire and the UK Codes and Standards. Where are they and where could they go?

M. Manes¹, D. Lange² & D. Rush¹

¹ School of Engineering, University of Edinburgh, Edinburgh, UK

² School of Civil Engineering, University of Queensland, Brisbane, AU

Abstract

The term resilience is being more widely adopted in fire safety engineering, however, its comprehensive description is not clearly explained or correctly applied in practice. This study, therefore, defines the *categories, dimensions, characteristics, capacities, objectives* and *missions* possessed by resilience to provide a holistic understanding of the term. This is followed by an analysis and classification of the UK Standards and Codes addressing resilience considering their *administrative* and *engineering* features of resilience, and their resilience *dimensions* with definitions of fire resilience measures and approaches. A practical example of a fire resilience framework is applied in educational buildings considering internal resilience for a safe facility, risk reduction and disaster management, and external resilience involving redundancy of resources and community support. Finally, a fire resilience design framework is created in which structural and fire safety engineering are considered clarifying the steps to follow in a comprehensive design process based on a flow chart. This paper will contribute to the creation of a unified terminology and understanding of the concept linked to resilience to be adopted in various disciplines.

Keywords:

Resilience, fire prevention, building management system, social environment planning, structural integrity and safety, regulations.

1 Introduction

Currently, a common shared vision about risks and their mitigations does not exist and it is not clear how to increase the resilience of individuals, communities and the built environment¹. Several resilience frameworks have been created in disciplines other than fire safety engineering but common aspects are present and similar purposes can be established in various contexts such as critical infrastructure and seismic engineering.

The Critical Infrastructure Resilience Framework defined by NIPP 2013² considers physical, cyber and human elements critical in infrastructure and the framework supports a decision-making process to inform risk-management actions. NIST has created a community resilience plan for buildings and infrastructures³ in which actions and activities are defined as planning steps involving a collaborative planning team, understanding of the social dimension and built environment, determining goals and objectives, planning developments, defining and implementing a plan. The UK Government⁴ has defined the critical national infrastructure resilience and its components are *resistance* preventing damage and disruption and reducing vulnerability, *reliability* represented by the capacity to maintain operation, *redundancy* with the availability of backup installations, and *response and recovery* to rapidly respond to and recover from an incident⁴.

The REDi (Resilience-based Earthquake Design Initiative for the Next Generation of Buildings) Rating System evaluates resilience in buildings subjected to earthquakes considering *building resilience*, *organizational resilience* and *ambient resilience*, and planning and evaluation representing the *loss assessment*⁵. Bruneau identifies system resilience in the scenario of earthquakes considering robustness and rapidity and showing how the resilience dimensions can be integrated into a method able to evaluate resilience for infrastructure systems and communities⁶. The analysis includes the possibility of having a fire following an earthquake scenario with cascading events causing social and economic losses⁷. Indeed, it is hypothesized that cities prepared for several hazards are more resilient than those for single hazard⁸, as responsibilities shift from individuals to communities⁹. Moreover, the evaluation of common indicators, quantified measures and structural effects of natural hazards will define the building response¹⁰.

Within fire safety engineering literature, the term resilience is being used more and more often. Between 2000-2015, the term "resilience" appears in papers with "fire engineering" or "fire safety engineering" just under 4% of the time on articles able to be found on Google Scholar. This increases to almost 7.5% between 2015 and 2019, up to 11.1% between 2019 and mid-2021.

It would, therefore, be useful to have a common definition and general resilience framework independently of the hazard analysed¹¹. In this paper, definitions, terminology, concepts and a framework related to resilience are applied to the fire safety problem to support their correct understanding and applications in practice.

2 A comprehensive understanding of resilience

A unique definition for resilience is difficult to find. The word comes from the Latin word *resilio* that means 'jump back'¹². According to the United Nations, resilience is:

"The ability of a system, community or society exposed to hazards to resist, absorb, accommodate, adapt to, transform and recover from the effects of a hazard in a timely and efficient manner, including through the preservation and restoration of its essential basic structures and functions through risk management¹³."

Linkov considers resilience as a complimentary attribute able to apply adaptation and mitigation strategies to improve risk management where risk is the loss in functionality and it depends on threats, vulnerabilities and consequences given by a specific risk¹⁴. In seismic engineering, resilience is defined as "*the ability of social units (e.g. organizations, communities) to mitigate hazards, contain the effects of disasters when they occur, and carry out recovery activities in ways that minimize social disruption and mitigate the effects of future earthquakes*"⁶.

The contemporary use of the term "resilience" therefore implies a holistic view and covers *societal risks* as social stability and public health; *organizational* or *geopolitical risk* affecting political decisions, resolution of conflicts and disputes on resources; *economical risk* as to the failure of critical infrastructures on which economic activities are based; and *technological risk* involving for example infrastructure disruptions¹⁵.

The above-mentioned risks also represent the resilience dimensions that can be classified in *internal resilience* (technological, organizational and economical dimensions) and *external resilience* (societal, technological, organizational and economic dimensions) ¹⁶. It is clear then that resilience needs to be defined in multiple domains¹⁴. Despite the dimension considered, the following characteristics contribute to its resilience as stated by Bruneau et al.⁶:

- Robustness: the ability of a system to withstand stress without loss in functionality,
- Redundancy: the capacity of satisfying function in case of disruption,

- *Resourcefulness*: the capacity to establish priorities and mobilize resources in the presence of disruption, and
- Rapidity: ability to meet priorities in a time-effective manner to contain losses.

Moreover, resilience systems possess three fundamental capacities¹:

- *Absorptive capacity*: ability to prepare for, mitigate and prevent negative impacts to prevent and restore basic functions,
- *Adaptive capacity*: ability to modify or change to mitigate potential damage and guarantee the continuity of functions, and
- Transformative capacity: ability to create a new system to avoid the impact of disruption.

Resilience, therefore, is a network of capacities that respond to disruptions and results in a dynamic process that influences and is influenced by, *private users* such as individuals, families, business and organizations and *public ones* such as communities, local and national governments³. Norris et al.¹⁷ affirm that community resilience is a process that links a network of adaptive capacities, based on resources with dynamic attributes, to adaptation after a disruption.

2.1 Resilience objectives and missions

The objective of life safety is usually considered as a necessary requirement to be incorporated with property protection¹¹ and continuity of function and business. In general, the objectives have the following hierarchy: life safety, environmental protection, property protection and continuity to families and business.

Consensus about the resilience objectives can be found in literature: in the field of seismic engineering, Bruneau defines the resilience objectives to minimize the impact in the reduction of life quality and economic losses due to an earthquake event⁶. The National Infrastructure Protection Plan (NIPP) 2013 for critical infrastructures in the USA² establishes as objectives to assess and analyse hazards to inform risk management activities, secure against threats through actions to reduce risk, enhance resilience by minimizing the consequences of incidents based on planning and mitigation, and applying effective responses and ensure quick recovery, share action and vision, promote learning and adaptive capacity.

Similar goals and objectives are defined by the National Institute of Standards and Technology (NIST) and involve the definition of community hazards and levels; prediction of performance to guarantee social functions; definition of desired recovery performance goals based on social needs and the identification of dependencies and cascading events³. For building codes, the primary objective considering specific events is to guarantee life safety and prevent collapse defining an acceptable level of tolerable threat to a building; however, the objectives can also involve property protection and continuity providing a minimum level of functionality, quick recovery, and improvement for future hazards¹⁸.

Resilience is composed of *engineered and administrative features* where the former considers the technical aspects of disaster resilience while the latter includes elements such as preparing for, planning for, responding to and recovering from disasters, or in this case fires¹⁹. Therefore, resilience missions can be expressed as:

- Prevention or Preparing to recover from potential hazards;
- *Mitigation/Absorption* with the reduction of the impacts on lives and property;
- *Response* to protect humans and the environment in the aftermath of the event;
- *Recovery* through restoration and strengthening of communities and built environment²⁰;
- Adaption is also considered in the list of the resilience mission¹⁴; and

- Learning after the incidents is necessary to increase preparedness for possible future events.

The activities of planning, prepare and absorb are generally linked to risk management integrating risk mitigation techniques¹⁸. The concepts pertinent to the idea of Resilience are summarized in Table 1.

Categories	Dimensions	Characteristics	Capacity	Missions	Objectives
Users	Societal	Resistance	Absorptive	Prevent	Life safety
Community	Organizational	Robustness	Adaptive	Absorb	Property Protection
Property	Technical	Redundancy	Transformative	Respond	Continuity
Business	Economical	Rapidity		Recovery	Environmental protection
Environment				Adapt	
				Learn	

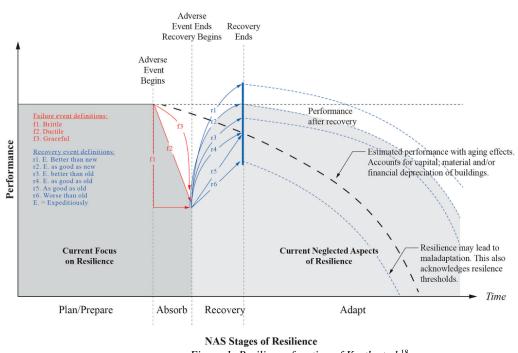
Table 1: Concepts pertinent to the idea of Resilience

2.2 Measuring resilience

Resilience can be measured by the area generated by the incident to regain the normal functionality where the resilience triangle represents the loss of functionality due to the disruption and the possible restorations or recoveries over time²¹. For the resilience quantification, the process could be based on the evaluation of system performance and no-performance functions²². The National Institute of Standards and Technology (NIST) quantifies resilience for a building or infrastructure (referred to the built environment) in terms of functionality against the time to recovery after a disruption³ based on an improved level of functionality or deterioration due to ageing and lack of appropriate maintenance.

Linkov states that resilience is related to a loss of functionality and recovery curve where the resilience missions of a system, to plan, absorb, recover and adapt, are now considered life-cycle stages and can be plotted in the graph of functionality against time. Another fundamental life-cycle stage is learning from the event and from the previous conditions that caused the disruption. Furthermore, resilience could be analysed in different time horizons as *immediate* to guarantee life safety, *intermediate* for essential functions and *long-term* to establish normal functionality¹⁴. Indeed, risk composed of threat, vulnerability and consequences, is strictly connected to the concept of resilience where risk analysis and management have been widely used to predict the likelihood and consequences of potential hazards and quantify the complexity of uncertainties.

Kurth et al.¹⁸ expresses the resilience life-cycle as a curve (Figure 1) with adapted resilience definitions from the National Academy of Science and included previous studies thinking, amongst which were Linkov¹⁴ and Ayyub²³. The three main concepts that are the basis of the graph are *functionality*, *recovery* and *adaption*. For the *functionality* linked to the performance in Figure 1, satisfying minimum code criteria may not guarantee functionality and inoperability could affect community resilience. Intrinsic and connected building functions need to be evaluated in terms of interdependencies and ability to function. *Recovery* (different recovery event definitions from r1 better than new to r6 worse than old in Figure 1) implies quick reparability from failure (brittle f1, ductile f2 and graceful failure f3 in Figure 1) and when system recovery is discussed, it is important to shift from the evaluation of failures to the understanding of the interdependencies and the ability to function. *Adaption* (after the recovery phase in Figure 1) represents the ability to accommodate unknown events and be more capable to recover¹⁸.



*Figure 1: Resilience function of Kurth et al.*¹⁸ mance in time can be evaluated with the resilience func

If the evolution of performance in time can be evaluated with the resilience function, it is also important to quantify resilience and determine some resilience indicators. In earthquake engineering, the resilience concept has been quantified in three main reductions of failure (adverse event) probabilities, consequences, and time to recovery⁶. There are also some resilience indicators or metrics that are defined to match the outcomes of the impact of social capital and wellbeing of a population or a system evaluated in different levels considering threat and consequences¹⁸. The indicators of the Organization for Economic Co-operation and Development¹, investigated in Section 5, are *system resilience indicators, negative resilience indicators, process indicators, output indicators* and *proxy indicators*.

Some examples of metrics for resilience are defined by Kurth such as the functionality of building after the incident, recovery time, operational and maintenance costs and likelihood of collapse¹⁸. The aim is, therefore, to refine the social and economic measures of community resilience and translate them into technical and organizational system performance criteria⁶.

2.3 Resilience frameworks in fire safety

Fire resilience frameworks are usually based on those developed for critical infrastructures or earthquake engineering. According to Gernay et al.¹¹, life safety and property protection need to be considered as fire safety requirements and the role of fire responders has to be investigated to improve safety and reduce fire damage allowing prompt recovery after a fire incident. Fire damage can range from destruction of belongings through to spread to other buildings and even structural damage and collapse. When considering the latter, three problems should be analysed modelling fires: fire development, heat transfer model and thermomechanical response. Moreover, Ouyang et al.²⁴ affirmed that the infrastructure resilience subjected to fires needs to be based on three stages analysis: a *resistant capacity* that includes the limitation of fire load and effective use of the fire protection system; the *absorptive capacity* which reduces the impact of fire to maintain residual functionality while preventing disproportionate damage cascading effects, and also the *restorative capacity* linked to the recovery time after the disruption. The main difference between the three stages analysis and the classification of

Table 1 is represented by the resistant and restorative capacities that in Table 1 are considered as characteristics rather than capacities.

Prescriptive fire codes often address life safety without explicitly considering financial losses and disruption due to fire incidents. Farsangi et al.²⁵ address the progress in fire engineering defining the steps to follow for a resilient and holistic approach where the following problems are evaluated:

- *Goal problem*: ensuring life protection and property protection given by direct losses;
- Scale problem: shifting the approach from individual components to the system;
- *Uncertainty problem*: accounting for uncertainties and evaluate probabilistic risk assessment to reduce risks;
- Hazard scenario problem: considering multi-hazards scenarios.

In the framework suggested by Farsangi et al., the following steps need to be followed: the data collection, the characterization of design fire scenarios, the analysis of structural response, the assessment of damage and the calculation of the consequences²⁵.

NFPA 550 provides a systematic approach to evaluate a fire safety strategy where an initiating event creates a risk to exposed populations. This represents a tool to inform disaster resilience decisions²⁶ but in general fire resilience is evaluated in terms of performance of specific design subjected to fire and the related direct financial losses without considering the impact and downtime due to fire incidents on community and indirect financial losses, respectively. Fire resilience plans, then, are usually focused on the technical and economic dimensions neglecting those that are societal and organizational.

The UK Fire and Resilience Officer for construction, describes a range of fire engineering design, services, fire modelling, and development of standards for construction materials. There is also a specific section for safety and resilience in which the aim is to deliver plans for services to secure, protect and guarantee the first response and sustain resilience for communities²⁷.

Having summarised relevant literature relating to resilience, in the following Section, an analysis of how resilience is considered within the UK standards and codes is examined.

3 Resilience in the UK Standards and Codes

Prescriptive codes are intended to ensure that the performance objectives of life safety of occupants and some degree of property protection are achieved but this does not necessarily imply that the building would be functional or able to be re-occupied immediately after a fire⁵. Resilience is addressed in codes and standards where some policies require others to be implemented or need a longer time to have an effect¹⁶. Labaka et al.¹⁶ developed research in which resilience policies for critical infrastructure are investigated, their influence on resilience analysed in terms of prevention, absorption and recovery and a methodology defined for the best order in which they should be implemented. A similar investigation is now presented.

3.1 Investigation of resilience in UK Standards and Codes

The UK Standards listed in Table 2 are investigated to understand how they address resilience where older and replaced standards are considered in the analysis due to the possible different guidelines provided. A distinction between *administrative* and *engineering features* has been established and the fields evaluated are those presented by the Fire Protection Research Foundation for the analysis of NFPA codes and standards that embody resilience and presents information adopted such as technical references¹⁹. In particular, the *administrative features* are divided into definition, performance goals and suggested framework. For the *engineering features*, the fields considered are the resilience

characteristics in terms of the fire problem. Finally, descriptions are provided in relation to resilience missions as described in Section 2.1.

Codes	Replaced by	
PAS 911:2007 Fire strategies – Guidanc	e and framework for their formulation	
BS 65000:2014 Guidance or	n organizational resilience	
BS 25999-1:2006 Business continuity management - Part1: Code of practice	BS EN ISO 22313:2014 Social security – Business continuity management systems – Guidance	
BS 25999-2:2007 Business continuity management - Part2: Specifications	BS EN ISO 22301:2014 Societal security – Business continuity management systems – Requirements	
BS PD 7974-8:2012 Application of fire safety engineering principles to the design of building. Part 8: Property protection, business and mission continuity, and resilience	BS 7974:2019 Application of fire safety engineering principles to the design of buildings – Code of practice	

Table 2: UK Standards addressing resilience aspects

PAS 911:2007²⁸ is intended to provide guidance to create and review the fire safety strategy and does not give detailed recommendations or specifications for the applications of fire safety and protection which are usually covered in national standards and codes. It explains a methodology to integrate national standards within a framework giving several tools and methodologies that can be adopted in the analytical phases of the preparation of the fire strategy.

Indeed, BS 25999-1:2006²⁹, BS 7974-8:2012³⁰, replaced by BS 7974:2019³¹, and BS 65000:2014³², takes the form of guidance and recommendations and are not quoted as specifications or code of practice where qualified people can apply their provisions and need to justify any actions that deviate from the recommendations. BS EN ISO 22313:2014 ³³ which updated BS 25999-1:2006, affirms that the Standard provides guidance on the requirements specified in BS EN ISO 22301:2014³⁴ which has replaced BS 25999-2:2007³⁵.

3.1.1 Administrative features

3.1.1.1 Resilience definitions

For the *administrative features*, the definition of resilience involves organizations and it is expressed by BS 65000:2014³² as "*a strategic objective to help an organization to survive and prosper*" and it involves characteristics such as being adaptive, competitive and robust. Moreover, organizational resilience involves the capacity to anticipate, respond and adapt from minor incidents to major shocks or constant changes. The BS 65000:2014 affirms that resilience deals with disruption, changes and uncertainties. It appears as a combination of continuity and long-term viability based on strategic changes. More generally, organizational resilience is defined by BS 25999-1:2006²⁹, BS 25999-2:2007³⁵ and BS 7974-8:2012³⁰ as "*the ability of an organization to resist being affected by an incident*".

3.1.1.2 Performance goals

The BS 65000:2014³² provides guidance on building resilience clarifying the nature and scope for top management, identifying main components reviewing and implementing measures for improvements and recommending good practice building a culture of resilience. Resilience is therefore considered to be an outcome of effective governance that successfully evaluates opportunity, mitigates risks, and appoints appropriate people and teams to make decisions.

BS 65000:2014 presents organizational resilience, while BS 25999-1:2006²⁹ introduces business continuity with code of practice and BS 25999-2:2007³⁵ defines specifications to assess the

organization's ability to meet regulatory, customer, and the organization's own requirements related to what is described in BS 25999-1:2006. In BS 25999-1:2006, business continuity management guarantees critical activities in various time steps establishing the maximum tolerable period of disruption and identifying inter-dependent activities.

BS 25999-1 and 2 have been replaced by BS EN ISO 22313:2014³³ and BS EN ISO 22301:2014³⁴, respectively. They discuss social security and business continuity management systems. BS EN ISO 22313:2014 defines the importance of a business continuity management system to understand the organization's necessity and to implement the capacity to deal with disruption monitoring performances, defining priorities, understanding threats and establishing arrangements to resume activities.

The PAS 911:2007²⁸ and BS PD 7974-8:2012³⁰, are focused on fire safety strategies and fire safety engineering principles, respectively. In PAS 911:2007²⁸, the benefits of the fire safety strategy imply an understanding of fire safety requirements for premises and occupants, considerations of fire precautions, including life safety, property protection, business continuity, and environmental, review of design criteria and the creation of a framework to integrate protection measures with specific, measurable, achievable, realistic and time-related objectives.

BS PD 7974-8:2012³⁰ affirms that despite life safety being a mandated requirement by national building regulations, property protection and business continuity are fundamental to increase resilience to fire incidents and future use describing a business impact analysis process (BIA) to inform qualitative design review (QDR). This British Standard document has been replaced by BS 7974:2019 which affirms that fire safety engineering needs to reduce the damage of property, loss of productive capacity and reputation.

3.1.1.3 Suggested resilience framework

The frameworks suggested by the Standards of Table 2 are presented to critically evaluate how resilience could be addressed.

In BS 65000:2014³², building resilience is described according to six main steps as to:

- 1. Be informed to:
 - a. Identify what has to be protected;
 - b. Prepare resources to anticipate, identify, review and control problems and improvements;
 - c. Optimize risk management framework(s); and
 - d. Understand the lessons to be learnt.
- 2. Set directions, specifying clear roles and responsibilities.
- 3. Bring coherence; knowledge needs to be shared to coherently address risks and opportunities amongst all parts of organizations.
- 4. Develop an adaptive capacity to:
 - a. Quickly respond to changes;
 - b. Support innovations, flexibility and agility; and
 - c. Promote activities and behaviours to facilitate new conditions
- 5. Strengthen the organizations to:
 - a. Reduce the likelihood of disruptions;
 - b. Improve adaptability increasing redundancy; and
 - c. Predict and mitigate foreseen and unforeseen impacts.
- 6. Validate and critically review previous experiences creating appropriate training.

BS 25999-1:2006²⁹ presents a business continuity management program based on lifecycle in which four steps should be followed to:

- 1. Understand the organization, evaluate impacts and define a maximum period of disruption and a minimum level of functionality;
- 2. Determine business continuity management strategies considering people, premises, information, technology, supplies and stakeholders;
- 3. Develop and implement business continuity management response establishing incident response structure and actions to contain events; and
- 4. Exercise, maintain and review.

The overall recovery objective is composed of main time steps: incident response, business continuity and recovery highlighting the dependencies between business impact analysis, which manages the acute phase, and business continuity²⁹.

In BS 25999-2:2007³⁵, the Plan-Do-Check-Act cycle is composed of four steps to Plan (establish), Do (implement and operate), Check (monitor and review) and Act (maintain and improve). The cycle, included in the updated document of BS EN ISO 22313:2014³³, explains how the business continuity requirements and expectations of interested parties are inputs to generate business continuity outcomes based on actions and processes. BS 7974-8:2012³⁰ is focused on fire safety engineering including property protection, business and mission continuity and resilience. It provides a fire safety engineering framework considering a *qualitative design review* to define objectives and identify hazards, a *quantitative analysis* to consider solutions and *assessment against criteria* in which the outcomes of quantitative analysis are compared against agreed criteria. In particular, qualitative design review is useful to examine evidence and fire statistics to establish scenarios for quantified evaluations and a business impact analysis is usually considered as input in every qualitative design review. According to BS PD 7974-8:2012, a business impact analysis is composed of the definition of scope, specific for fire disruptions; data collection, considering the maximum tolerable period and recovery time; and moderation process.

The updated version BS PD 7974:2019³¹ considers an expanded fire safety engineering framework where it does not necessarily guarantee adequate design and approval bodies should be consulted. The framework is composed of the following main stages: qualitative design review, analysis, assessment against criteria, internal peer review and quality assurance, report and presentation of results, and external peer review.

The qualitative design review includes the definition of objectives for fire (life safety, loss prevention and environment), identification of fire hazards and possible consequences, the setting of acceptable criteria, identification of method of analysis and establishment of fire scenarios. BS 7974:2019³¹ presents an example of a timeline between fire development, evacuation and damage to property. While the timeline of BS 7974:2019 considers only the fire response of occupants, this paper also investigates the contribution of firefighters with the dispatch, preparation, travel time, set-up, occupant rescue and fire extinguishment highlighting the components of fire response and mitigation. Furthermore, BS 7974:2019 does not extend the timeline after the incident neglecting the recovery time and the possible implications on activity restoration and property repairs for a short or long disruption. Once the normal level of functionality is reached, performances could be implemented to achieve a higher level than the one before the incident (Figure 2).

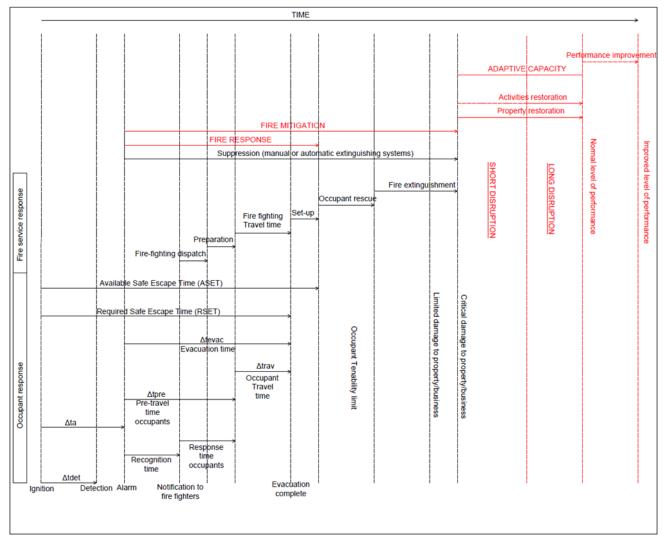


Figure 2: Improved example of the timeline provided by BS 7974:2019 (red additions are improvements)

3.1.1.4 Administrative features and missions

The Standards of Table 2, are evaluated based on how they address the six resilience missions considering their *administrative features*.

To prevent:

- PAS 911:2007, fire precautions with respect to broader objectives that may include life safety, property protection and environmental considerations.
- BS 65000:2014, to predict and mitigate foreseen and unforeseen events.
- BS 25999-1:2006, to identify what needs to be done before an accident occurs to protect people, premises, technology, information, supply chain, stakeholders and reputation.
 <u>Replaced by:</u> BS EN ISO 22313, to monitor and review the performances and effectiveness of business continuity management systems.
- BS PD 7974-8:2012, to control fire to prevent the destruction of a building. <u>Replaced by:</u> BS 7974:2019, to identify fire hazards and potential consequences.

To respond:

- PAS 911:2007, components of the fire strategy timeline.
- BS 65000:2014, measures to develop and implement the business continuity management response and containing incident.
- BS 25999-1:2006, methods of restoring an organization's ability to supply products and services to an agreed level within an agreed time after the disruption.
- BS 7974:2019, fire and human response translated into the building design process.

To absorb/mitigate:

- PAS 911, to enhance business continuity
- BS 65000:2014, to establish a resilient organisation
- BS 25999-1:2006, to improve the ability to achieve objectives against disruption.
- <u>Replaced by:</u> BS EN ISO 22313:2014, to implement and operate control measures for the overall capability to manage disruptive incidents. Mitigating, responding to and managing impacts.
- BS PD 7974-8:2012, business impact analysis in combination with business continuity management to ensure adequate availability of critical activities.

<u>Replaced by:</u> BS 7974:2019, fire safety measures to ensure that the functional objectives are met. Protect people and building structure.

To recover:

- PSA 911, analysis of business interruption (short and long term).
- BS 65000:2014, to strengthen the ability to address disruptive events, emergent risks, and changes through recovery to an agreed state.
- BS 25999-1:2006, to provide a method of restoring the ability to supply products and services to an agreed level within an agreed time after the disruption.
 <u>Replaced by:</u> BS EN ISO 22313, business continuity strategies stabilizing, continuity, resuming and recovering prioritized activities.
- BS PD 7974-8:2012, business impact analysis defines the timescale for the disruption. <u>Replaced by:</u> BS 7974:2019, maintain ongoing business viability.

<u>To adapt:</u>

- BS 65000:2014, to develop adaptive capacity.

To learn:

- PAS 911:2007, to investigate the existing management of fire safety systems. _
- BS 65000:2014, to identify and capture lessons to be learnt. -
- BS EN ISO 22313, to maintain and improve the business continuity management adopting _ corrective actions, based on the results of management review. Continuous management review and improvement.

3.1.2 Engineering features

For the engineering features, PAS 911:2007²⁸ and BS PD 7974-8:2012³⁰ updated by BS 7974:2019³¹ are mainly focused on the technical measures required to address fire safety issues while the others, listed in Table 2, mainly cover organizational and management issues. The Approved Document B -Volume 2 Buildings other than dwelling houses 2010³⁶ (named AD B Vol. 2 2010) is also considered which is composed of five chapters (B1 to B5).

The engineering features are classified according to five of the six resilience missions while the mission to adapt is considered related more to the *administrative features* (Table 3).

	Prevent	Respond	Absorb	Recover	Learn
PAS 911:2007, to define design criteria, assess risks and hazards and model fire with simulation techniques.	Х				
BS PD 7974-8:2012, to define property protection objectives controlling fire to prevent the destruction of the building. The fire safety engineering framework includes qualitative design, quantitative design and acceptance against criteria.	Х		x		
AD B Vol. 2 2010, (B3) to ensure a sufficient degree of fire separation within buildings and with adjoining ones; (B4) to restrict the spread of fire from the building to another one.	Х		Х		
PAS 911:2007, to identify occupants and building characteristics (means of escape and provision for fire-fighting) and assess methods of evacuation.		Х			
BS PD 7974-8:2012, to establish fire protection tactics for firefighters to improve resilience as manual fire-fighting.		Х			X
AD B Vol. 2 2010, (B1) to define means of giving alarm and means of escape, (B5) to guarantee access to fire appliances and facilities in the structure to support fire-fighters in their rescue operations.		Х			
PAS 911:2007, to define fire compartments and separations, identify fire and smoke movement, assess internal linings, furnishings and processes.	Х		X		
BS PD 7974-8:2012, to establish fire protection tactics for improving resilience such as minimizing ignition source and combustible, effective fire detection and suppression, passive fire protection, compartmentation and ventilation.	Х		x		
AD B Vol. 2 2010, (B2) to inhibit fire spread over internal linings and (B3) spread of fire and smoke in concealed spaces, (B3) to ensure building stability in a fire incident and provide automatic fire suppression, (B4) to determine the adequate fire resistance of external walls and roofs to protect the external envelope.			X		
BS PD 7974-8:2012, to guarantee redundancy and prioritize activities for resuming resources.				X	
BS PD 7974-8:2012, to establish fire protection tactics for improving resilience such as training and management and evaluate cost-benefit analysis.	Х				Х

Table 3: Engineering features classified according to the resilience missions in Standards and Code

3.1.3 Standards and Codes in the resilience function

It is important to understand how the Standards of Table 2, currently in place, and the AD B Vol. 2 2010³⁶ contribute to the resilience function considering the resilience missions. Based on Figure 3, the Standards and Code appear to be concentrated in the first part of the function and particularly in the sector of prevention, absorption and response. Their guidelines decrease moving towards the recovery and adaption phases. The AD B Vol.2 provides guidance for prevention, absorption and response without considering the recovery and adaption phases.

Therefore, due to the obtained distribution of the Standards and Codes, it is fundamental to integrate various guidelines to cover all the objectives and missions, investigate *administrative* and *engineering* features and cover a comprehensive resilience assessment in the case of fire incidents integrating the social, organizational, technical and economic dimensions.

After a literature review about the main concepts of resilience, its application in various disciplines and the related guidelines present in Standards and Codes, the paper is now focused on the applications of resilience in fire safety engineering.

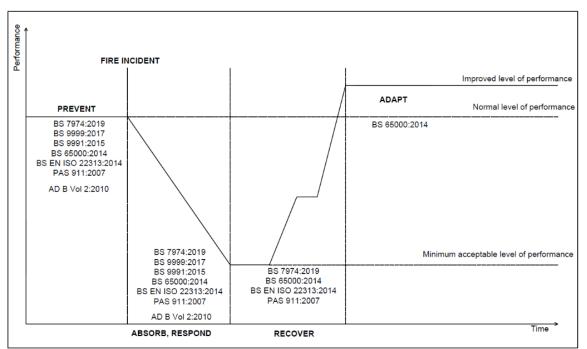


Figure 3: Standards and Codes and the resilience function

4 Resilience applied to fire incidents

The Organization for Economic Co-operation and Development¹ states that a resilience system can be described according to the following questions: Resilience of what? referred to the definition of the resilience categories; Resilience to what?; Resilience of who? defining who owns the problem; Resilience achieved with?; Resilience over which timeframe? This paper has answered those questions considering the fire incidents in buildings according to the five resilience categories with the related resilience dimensions and characteristics (Table 4).

The category of *property* implies considerations related to the technical, organizational and economic dimensions where robustness and rapidity are required. The property needs to be prepared for fire incidents and damage, understanding their likelihood and potential consequences. In a fire, there are directly and indirectly affected actors as specified by Thomas et al.³⁷ where the direct losses involve deaths, injuries, health and psychological impacts while the indirect losses affect the economic and

supply chain and accelerated economic decline of a community. Furthermore, the means of achieving resilience can be classified according to the resilience dimensions where technical aspects involve fire prevention, with fire design, code application, and fire risk assessment, and fire mitigation including fire safety measures, fire response, limitation of ignition sources and combustible materials, and effective compartmentation. The preparedness of building and the evaluation of the economic impact are fundamental to guarantee organizational and economic resilience. These aspects need to be continuously evaluated in the design, construction and maintenance phases.

Business comprehends organizational, technical and economic dimensions where the system should present redundancy of resources and rapidity of response. The impact on property and disruption of activity needs to be investigated pre- and post-fire. The negative consequences could highly affect the business continuity involving owners, stakeholders, workers, customers, investors, reputation and the community who benefit from the business activities. It is, therefore, important to establish impact and continuity plans in the design and maintenance phases, respectively. Managers and staff need to continuously attend training programmes to guarantee preparedness and effective response and review fire safety measures able to limit consequences of fire incidents and enhance continuity of business.

The category of *users* implies social and technical dimensions related to the fire incidents involving the potential harms to occupants and visitors, and fire-fighters during the rescue and fire-extinguishment operations. In this case, resilience is achieved with appropriate evacuations plans and ensuring the structural stability of the building during design, maintenance and clean-up after fires.

A fire incident could have implications also on the surrounding *community*, especially if a functional property is involved. The rapidity of response and resourcefulness are required and the impacts on the social and organizational dimensions could be reduced by arranging alternative services and applying prevention and mitigation measures. National and local policies should include these evaluations.

For the impact on the *environment*, the combustion of the material as well as the water damage and contamination during firefighting should be considered. The resilience of an ecosystem needs to be identified according to potential modification of natural resources, air, vegetation and animals. Therefore, it is important to establish appropriate protocols for materials, to guarantee sustainable engineering approaches supported by national and international policies.

				RESILIENCE		-	
	Of What? Ca	8	To What?	Of Who? Actors	Resilience achieved with?	Over	timeframe?
Category	Dimension	Characteristic				Over	timetranie.
Property	Technical Organizational Economic	Robustness Rapidity	Fire Incidents (likelihood) Fire damage (consequences)	Owners Stakeholders Occupants Governments Regulators Experts	Technical FIRE PREVENTION Fire PREVENTION Fire design Codes application Fire risk assessment FIRE MITIGATION Fire safety measures (active, passive) Fire response (occupants, firefighters) Limited ignition sources, combustible materials Compartmentations Organizational Building structural preparedness Economic Economic (direct, indirect losses)	Design	Maintenance
Business	Organizational Technical Economic	Redundancy Resourcefulness Rapidity	Fire impact on property Activity disruption	Owners Stakeholders Workers Costumers Investors Community	Organizational Impact analysis Continuity plans Technical Training programme Economic Economic (direct, indirect losses)	Design	Maintenance
Users	Social Technical	Rapidity Resourcefulness	Fire incident	Occupants Visitors Firefighters	Technical Evacuation plans Structural stability Social Reduction of toxic material	Design	Maintenance
Community	Social Organizational	Rapidity Resourcefulness	Fire in building Fire adjacent buildings Fire in functional property: - Schools - Hospitals	Occupants People in the surrounding area	Organizational/Social Alternative services Fire social impact	Nation	ıl, local policies
Environment	Social	Rapidity Resourcefulness	Toxic material combustion Resource pollution	Surrounding communities Nature	<u>Technical</u> Material certificate and protocols	Nationa	l, local policies

Table 4: Fire resilience questions according to resilience categories

5 Fire resilience measures

The resilience indicators presented by the Organization for Economic Co-operation and Development¹ have been applied to the fire incidents and classified in Table 5 according to the resilience categories. Furthermore, a recovery indicator is added to describe how quickly a system or components return to normal functionality.

STAGE	TYPES	CATEGORIES	MEASURES	
	System resilien	ce indicators		
te		Property	Likelihood	
			Damage	Material deterioration Reduction in property life
Immediate Review	How a system is affected when a fire	Business	Disruption	Leadership Network Flexibility
	occurs	Users	Fatalities	•
			Casualties	
		Community	Level of service	
		Environment	Pollution level	
0	Process indica	tors		
nedi evie	How actions are used for decisions	Business	Achievements of milestones in the resilience	e plan
			Applications of improved safety measures	
	Output indicat	ors		
	-	Property	No structural failures	
			Cost of repairs	
Review	Related to		Cost of maintenance	
Sev	system	silience Business	Functionality level	
	indicators		Benefit of investigating resources	
	indicators	Users	Successful evacuation plans	Limited fatalities/casualties
	Recovery indic	ators		
ïre	How a system	Property	Recovery of components	
Post-fire	recovers to		Recovery of systems	
Po	normal	Business	Recovery of activities	
	functionality	Users	Recovery to usual life	
	Proxy impact i	ndicators		
	Approximate o	r represent a phen	omenon in the absence of a direct measure	

Table	5:	Fire	resilience	measures
10000	~.	1 110	restrictie	measures

System resilience indicators describe how the system is affected when a fire occurs over time. For property, likelihood and damage considering for the latter, an analysis of material deterioration and reduction in property life. An indicator for business is the disruption time or maximum tolerable period of inactivity and this is highly dependent on leadership, level of flexibility and the ability to be supported by a good network of people and companies.

Process indicators describe how actions are used for decisions and a set of measures need to be defined in the resilience time frame or as milestones in fire resilience assessments to understand if the outcomes are generating the expected performances.

The *output indicators* are strictly related to the system resilience indicators and measure the results of activities or programmes in a system or building. Good output indicators are represented by the lack of structural failure and the limited or null cost of repairs and maintenance after fire incidents for property and by high functionality level after negative events and high achievements of benefits based on resource investments for business. A reduction of fatalities and casualties proves successful evacuation strategies in combination with appropriate alarm systems.

Recovery indicators determine how rapidly a system recover to normal functionality. Tolerable times of recovery should be established to guarantee continuity. Recovery time of system or component could be adopted for property and downtime period for activities. Finally, a *proxy impact indicator* approximates or represents a phenomenon in the absence of a direct measure, and this is very complex and highly dependent on the objectives of the plan.

If a strategy has a negative impact on other areas, this is represented as a *negative resilience indicator*. Negative indicators combined with positive ones become complex when the analysis is qualitative rather than quantitative. Over-protection for properties or over-allocation of resources for business could lead to severe economic impact without applying effective measures as well as lack of strategy optimization. However, negative indicators could be captured as the negative of one of the system resilience indicators. For example, over-protection could be referred to the absence of a positive indicator (economic or resource optimisation) as opposed to the presence of a negative one. Therefore, it would be suggested to consider only system resilience indicators, process indicators, output indicators, recovery indicators and proxy impact indicators.

6 Fire resilience approach

The fire resilience approach should highlight the main steps to follow in the design as presented in Table 6. The context is established defining appropriate measures according to the building functions. Moreover, the five resilience categories need to be included without neglecting the impacts that a specific decision could have in other areas and the objectives determined according to the required level of performances. It is fundamental to evaluate system conditions before and after an incident and consequences in the short- and long- term.

Fire risk assessments consider multi-hazards and multi-domains, identifying gaps, and processes and conditions affecting the system to highlight interdependencies between several parts and ensure continuity. Risk treatment includes the components of risk such as reduction of likelihood and consequences. It is important to specify that resilience management and risk management are complementary techniques highlighting the broader perspective that resilience brings.

The resilience life-cycle stages should be applied to guarantee a quick response, effective mitigation strategies, prompt recovery after fires, based on administrative and engineering features as specified in Section 3, and learning from the incident. Resistance, robustness, rapidity and resourcefulness are necessary characteristics to ensure the success of the fire resilience approach.

Finally, the analysis needs to improve the performances of the systems with a continual review of the process to ensure flexibility and applying changes to enhance absorptive capacity to limit damage, adaptive capacity to deal with unforeseen events, transformative capacity to learn from negative incidents and strengthen the system.

	RESILIENCE APPROACH			
	Define property type			
		Property		
		Business		
Context	Who needs to be considered	Users		
		Community		
		Environment		
	Define objectives			
	Define time	Pre-	Event	
		Post-	Event	
Time		Immediate		
		Short-term		
		Long-term		
	Risk identification	Fire incident		
		Multi-hazards		
		Multi-domains		
Disruption/Hazard	Risk assessment	Identify gaps		
Dist uption/ mulan u		Processes	affecting the	system
		Conditions		
	Risk treatment	Reduction of likelihood		
		Reduction of consequences	1	1
		Guarantee quick response	Enhance:	
		Absorb/mitigate	Rapidity	Administrat
Application of	Resilience missions,	consequences	Robustness	ive and
resilience	characteristics, and features	Guarantee quick recovery	Increase	engineering
		Investigation of previous	resources	features
		incidents		
Improvement of performances		Absorptive capacity	Stability	
	Resilience capacities	Adaptive capacity	Flexibility	
	Kesmence cupacines	Transformative capacity	Change	
		Learn from past incidents		

6.1 Fire resilience framework in educational buildings

The fire resilience approach presented in Table 6 is now applied to an educational building considering internal and external resilience to provide a general methodology to follow during the design and maintenance phases as shown in Table 7 and Table 8. Certainly, the framework needs to be adapted to the building considering specific characteristics and functions.

Evaluating internal resilience, the United Nations Office for Disaster Risk Reduction³⁸ states that the objectives for comprehensive school safety are to protect students and staff during the fire incidents and firefighters in the rescue operations, guarantee continuity of education and strengthen risk reduction and resilience. It also defines three main phases of achieving these objectives represented by:

- A. Safe facilities,
- B. Risk reduction, and
- C. Disaster management.

INT	TERNAL RESILIE	NCE	
	Objectives		
	tudents, staff and fir		
	Continuity of educati		
Strengthe	en risk reduction and	resilience	
	How?		
	<u>A. Safe facilities</u>		
	B. Risk reduction		
A. Safe facilities	. Disaster manageme	ent	
A. Suje jucilites		Assess vulnerability	
	Existing		es mitigation options
Type of school	Existing	-	
Type of school		Develop and implem Smart size selection	
	New		
	D '11'	Resilience plans	
Ensure structural and non-structural stability	Building		
Piece dance latin and lines.	Contents		
Fire codes and regulation compliance			Total floor area
	Size and shape		Room
			Doors
			Windows
	Thermal insulation		Walls
			Ceilings
	Compartmentations		
		Detection	
		Notification	Alarms
	Safety systems		Human response
Building design		Suppression	Extinguish systems
			Firefighters
		Fuel nature	
		Fire load	
	Fuel		Decorative materials
		Fuel arrangements	Bulletin boards
			Ceiling decoration
			Corridors
	Means of escape		Hallways
	Wealts of escape		People with disabilities
			Access for firefighters
B. Risk reduction	Pre-, post- and duri		
	Staff and students e		
Risk reduction in school curricula		gthen the relationship	with communities
	Conduct exercises		
	D	Fire location	
	Pre-fire conditions		
Fire reduction		Item first ignited	
	Fire spread	Material affecting sp	
	_	Control fire develop	ment
	Life protection	Protect the exposed	

Table 7: Fire resilience framework for educational buildings – Part 1

Table 8: Fire resilience framework for educational buildings – Part 2

C. Disaster management	Pre-, post- and during a fire			
Assess the school				
Make a plan				
Implement plan (short and long-term)				
Identify, acquire and store emergency resor	urces			
Purchase insurance				
Create redundancy facilities				
Strength leadership				
Post-Incident	<u>Recovery</u>			
Significance of what lost	Wealth of school			
Time of the year	Community response			
Availability of alternatives	Replaced of damage or destroyed resources			
	Responsiveness of contractors			
	Actively dealing with trauma			
EXTERNAL RESILIENCE				
	Objectives			
Impro	we health, safety and wellbeing			
	Increase preparedness			
	Strengthen awareness			
	Protect the environment			
	How?			
Educate the community				
	Information			
Invo	olve national/local authorities			
Is the educat	ional building an emergency shelter?			

For a *safe facility* (Table 7), it is fundamental to define the type of school and building characteristics. In existing buildings, there is a need to assess vulnerability, identify and evaluates mitigation options and develop and implement plans while for a new school, a smart size selection and an optimized fire resilience plan need to be established³⁹. Structural and non-structural stability is ensured³⁸ evaluating building and contents⁴⁰. As for any other property type, educational buildings have to be compliant with fire codes and regulations⁴¹. The building design is subdivided into the evaluation of size and shape of the building, thermal insulation⁴², effective compartmentation and optimized fire safety systems including detection, notification and suppression⁴³. The evaluation of fuel load is analysed according to fuel nature, load and arrangements⁴² and a contribution to the fire spread could be due to the presence of decorative materials on walls⁴¹. Means of escapes should consider the high number of people in the building⁴¹.

Benefits have been seen in the integration of *risk reduction* in school curricula⁴⁴ involving the education of staff and students⁴¹, establishing strong relationships with the community and frequent training⁴⁰. Analysis of pre-fire conditions⁴¹, and fire spread with appropriate control measures for fire development and life safety⁴², need to be determined. The *disaster management* is usually continuously implemented according to three actions of assessing the educational building, creating a fire strategy, and implementing the fire resilience plan⁴⁵.

As shown in Table 8, for a successful *disaster management plan*, it is important to strengthen leadership, identify, acquire and store emergency resources and potentially purchase insurance⁴⁰. A network of redundancy facilities could reduce disruption time and guarantee continuity of education. After an incident, fundamental is learning from past experiences and evaluate the significance of losses and the availability of alternatives⁴⁶. Recovery is dependent on how wealthy is the school, the community response, the rapidity in replacing damage and destroyed resources, and how actively the school deals with the trauma⁴⁶.

The objectives of external resilience (Table 8) involve the improvement of health safety and wellbeing of people attending the school, protection of the environment from the combustion of toxic material⁴⁷, an increase of preparedness and awareness. Community education is fundamental⁴⁷ and involves the creation of emergency plans at a national and local level. If the educational building assumes the role of an emergency shelter, a high level of functionality after a fire needs to be ensured.

7 Fire design for resilience

It is now important to define an approach able to integrate fire safety engineering in the design of structural systems. This goal supports the capacity to understand building performances subjected to fire rather than applying prescriptive codes⁴⁸.

Johann et al.⁴⁸ describe a flowchart subdivided in three main areas of interest that converged in the final design and have to be analysed separately and in their combination:

- A. The design for gravity loads considering wind and seismic loads for the structural design in normal temperature conditions;
- B. The modification or protection for fire conditions; and
- C. The design for fire impact.

The *modification or protection for fire conditions* implies in-service building evaluations with the description of in-situ characteristics considering the original structural design based on inspection, statistics and failure rate according to built, pre and post-fire conditions. The *design for fire impact* is composed of structural design fire, description of performances, and decision on acceptable performances. In Johann et al.'s flow-chart, evaluations on sprinkler suppression are not included (Figure 4).

This paper considers the framework created by Johann et al.⁴⁸, rearrange and improve the information in a unique flowchart with new parts added to describe the steps to follow. The new framework created provides an engineering tool able to include fire safety approaches in structural design and is subdivided into:

- A. Inputs variables,
- B. Requirements and objectives,
- C. Structural design, and
- D. Fire design.

In Figure 5, the description of the *inputs* is necessary for the structural and fire design and it involves the definition of the materials adopted and their characteristics in function of the temperature. Structural element dimensions, connections, coatings, and barriers need to be established as well as gravity, wind and seismic loads. Moreover, the room needs to be modelled in terms of room size, ventilation, boundary thermal properties, fuel load, insulations and considering statistics and potential variability.

The *requirements and objectives* are based on acceptable criteria considering deterministic (e.g. deflection limit) and probabilistic (e.g. range of magnitude and frequency for fire hazards) criteria or applying a comparative approach based on performance-based approaches.

Designers could consider *structural design* for normal temperatures investigating collapse loads, deformations and failure modes. Completed the structural design, the diamond shape on top of the flow chart in Figure 6 requires if the conditions for stability are satisfied. With a negative answer, it is necessary to return to the definition of inputs while with a positive one, the fire design begins.

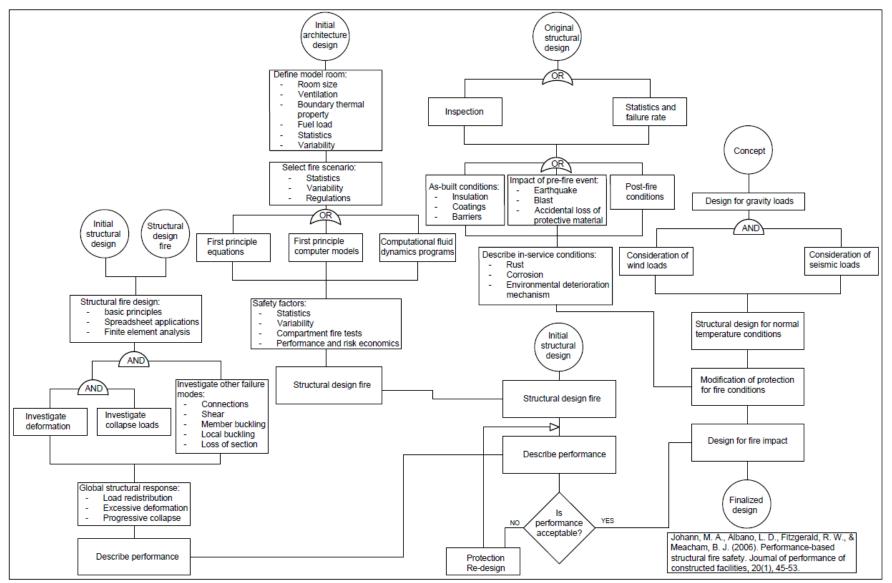


Figure 4: Performance-based structural fire safety chart⁴⁸

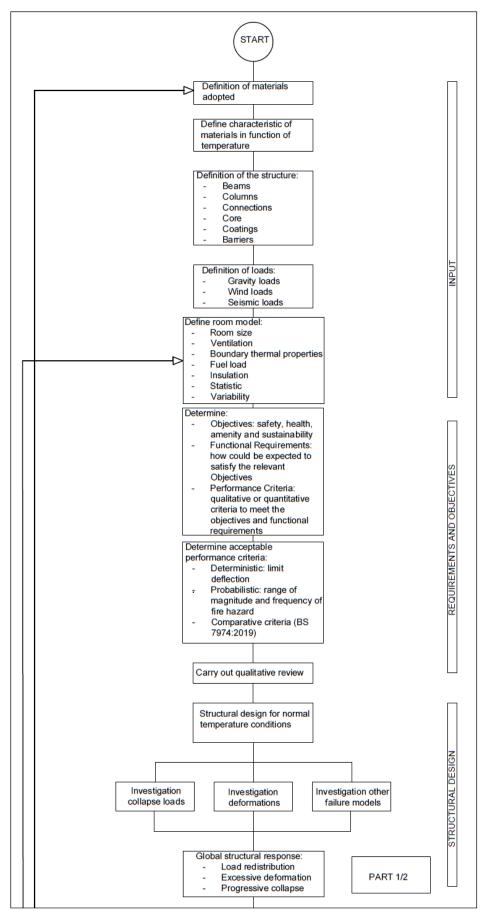


Figure 5: Improved flow chart for fire design based on Johann et al.⁴⁸ – Part 1

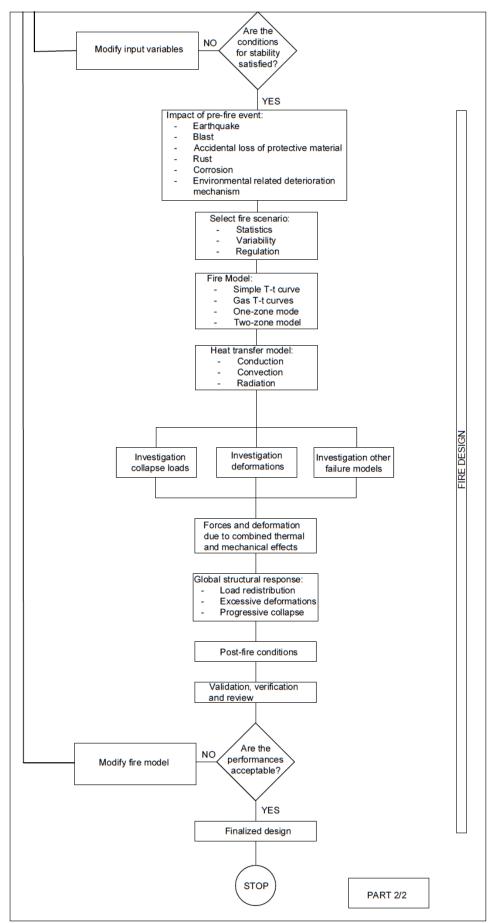


Figure 6: Improved flow chart for fire design based on Johann et al.⁴⁸ – Part 2

In *fire design* (Figure 6), pre-fire events could impact the structure as earthquakes, blast, accidental loss of protective materials, rust, corrosion or environmental related deterioration mechanisms. A fire scenario needs to be chosen based on statistics, variability and regulations. Several fire models could be adopted such as simple T-t curve, Gas T-t curves, One or two-zone models. Consequently, the evaluation of heat transfer models developed for conduction, convection and radiation and investigation of collapse loads, deformation and other failure models need to be examined. Considerations on forces and deformations due to combined thermal and mechanic effects need to be analysed to provide a global structural response considering load redistribution, excessive deformation, and progressive collapse. The fire design is also composed of post-fire conditions evaluation, validation, verification and review.

In the diamond shape at the bottom of Figure 6, if the performances are not acceptable, the fire design needs to be modified. Otherwise, the design is finalized. This fire design methodology presented could be applied to every property type equipped with different safety measures.

8 Discussion and conclusions

The concept of resilience includes specific characteristics, capacities, objectives, and missions. Based on the assessment provided by various disciplines, resilience could be applied and measured in fire safety. Resilience in fire safety needs to be addressed considering the fire resilience questions related to actors, objectives, dimensions, characteristics, and missions. Various resilience measures could determine the progress of the resilience approach such as system, process, output and recovery indicators defined in specific time over the process applied.

UK Standards and Codes guidelines have been investigated considering administrative and engineering features and the resilience missions. They appear to mainly cover the objectives of life safety and partially property protection. Specifications about business management and continuity are available; however, when the guidelines are plotted in the resilience function they are usually concentrated in the response and absorption phases and become scarce moving towards the adaptation and learning ones.

An educational building has been considered for the application of a fire resilience approach evaluating internal and external resilience. Considering the study developed by Johann et al.⁴⁸, a fire resilience design has been created based on the definition of inputs, requirements and objectives, structural design and fire design following a continuous flow of assumptions and validation based on deterministic and probabilistic variables and acceptable performances.

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Authorship

Martina Manes: Conceptualization, Writing – original draft. David Lange: Conceptualization, Writing – review and editing. David Rush: Conceptualization, Writing – review and editing.

Declaration of competing interest

The authors declare that there is no conflict of interest.

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