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A cross-sectional analysis of Patient-Reported Outcome Measures in Core Outcome Sets reveals huge overlap within and across disease areas but lack of harmonization in recommended instruments

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Abstract:	<p>Objective There is no comprehensive assessment of which patient-reported outcomes (PROs) are recommended in core outcome sets (COS), and how they should be measured. The aims of this study are to analyze the health domains targeted by PROs, the specific patient-reported outcomes measures (PROMs) recommended, and their overlap within and across different disease areas.</p> <p>Study Design and Setting We selected COS studies collected in a publicly available database that included at least one recommended PROM. We gathered information on study setting, disease area, and targeted outcome domains. Full-text of recommended instruments were obtained and an analysis of their characteristics and content performed. We classified targeted domains according to a predefined 38-item taxonomy.</p> <p>Results Overall, we identified 94 COS studies that recommended 323 unique instruments, of which: 87% were included in only one COS; 77% were disease-specific; 1.5% preference-based; and 61% corresponded to a full questionnaire. Most of the instruments covered broad health-related constructs, such as global quality of life (25%), physical functioning (22%), emotional functioning and wellbeing (7%).</p> <p>Conclusion The wealth of recommended instruments observed even within disease areas does not fit with a vision of systematic, harmonized collection of PROM data in COS within and across disease areas.</p>
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Milan, 30th November 2020

Dear Editor,

I hope this letter finds you well despite the unprecedented circumstances we are going through.

I am delighted to submit our manuscript “A cross-sectional analysis of Patient-Reported Outcome Measures in Core Outcome Sets reveals huge overlap within and across disease areas but lack of harmonization in recommended instruments” for consideration in *Journal of Clinical Epidemiology*.

We mapped 94 Core Outcome Sets (COS) for research and practice across 26 disease areas and identified a total of 323 unique Patient-Reported Outcome Measures (PROMs) were recommended for use. Of these, 87% were recommended in only one COS, with each COS including a median of 4.5 instruments. We investigated what domains these PROMs targeted based on a previously published taxonomy (*J Clin Epidemiol* 2018 Apr;96:84-92). Both disease-specific and general health domains were covered, however global quality of life (25%) and physical functioning (22%) were the most frequently targeted. Overall, a fragmented landscape of recommended PROMs in COS emerges, calling for better harmonization of PRO selection and measurement.

We believe our study will be an important contribution to expand knowledge on the effective and efficient use of PROMs in clinical research and practice.

We look forward to hearing back from you,

kind regards

Oriana Ciani, PhD

On behalf of all coauthors

A cross-sectional analysis of Patient-Reported Outcome Measures in Core Outcome Sets reveals huge overlap within and across disease areas but lack of harmonization in recommended instruments

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Abstract

Objective There is no comprehensive assessment of which patient-reported outcomes (PROs) are recommended in core outcome sets (COS), and how they should be measured. The aims of this study are to analyze the health domains targeted by PROs, the specific patient-reported outcomes measures (PROMs) recommended, and their overlap within and across different disease areas.

Study Design and Setting We selected COS studies collected in a publicly available database that included at least one recommended PROM. We gathered information on study setting, disease area, and targeted outcome domains. Full-text of recommended instruments were obtained and an analysis of their characteristics and content performed. We classified targeted domains according to a predefined 38-item taxonomy.

Results Overall, we identified 94 COS studies that recommended 323 unique instruments, of which: 87% were included in only one COS; 77% were disease-specific; 1.5% preference-based; and 61% corresponded to a full questionnaire. Most of the instruments covered broad health-related constructs, such as global quality of life (25%), physical functioning (22%), emotional functioning and wellbeing (7%).

Conclusion The wealth of recommended instruments observed even within disease areas does not fit with a vision of systematic, harmonized collection of PROM data in COS within and across disease areas.

Keywords: core outcome set; COS; patient-reported outcome measure; PRO; PROM; outcomes research

1
2 Highlights

- 3 • A total of 323 unique PROMs were recommended for use across 94 COS and
4 26 disease areas.
 - 5 • 87% of instruments were recommended in only one COS, and each COS
6 included a median of 4.5 instruments.
 - 7 • Targeted outcomes ranged from disease-specific to general health domains,
8 with variability across disease areas.
 - 9 • Overall, global quality of life (25%) and physical functioning (22%) were the
10 most frequently targeted health outcome domains.
 - 11 • A fragmented landscape of recommended PROMs in COS calls for better
12 harmonization of PRO selection and measurement.
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Introduction

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2 The last years have witnessed an increased commitment by the health services
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4 research and professional community to involving patients and the public in the
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6 development, delivery and evaluation of health care services (1). In parallel, the
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8 assessment of patient-reported outcomes (PROs) has gained international traction
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10 as one of the enablers of patient-centered healthcare. Combining clinical, genomic,
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12 and proteomic with PRO data provides the most complete picture of a patient's
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14 health status and may fuel, in the context of the clinical encounter and beyond,
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16 shared decision making and individualized care (2). Regulatory agencies define a
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18 PRO as any report of the status of a patient's health condition that comes directly
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20 from the patient, without interpretation by a practitioner or anyone else (3, 4). PROs
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22 complement existing information and physical examinations by providing
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24 standardized assessments of how patients function or feel with respect to their
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26 health, quality of life, mental well-being, or satisfaction with the healthcare process
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28 (5). Hence, PROs are typically collected using formally designed and validated
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30 questionnaires. A patient-reported outcome measure (PROM) is defined as an
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32 instrument, scale, or single-item measure used to assess a range of relevant health
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34 domains as perceived and self-reported by the patient (6).
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40 However, several issues related with the collection (e.g. length, assessment
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42 schedule), analysis (e.g. missing data, multiple testing), reporting (e.g. cherry-picking
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44 of results) and interpretation (e.g. cut-off scores, clinically meaningful thresholds) of
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46 PROs may jeopardize their effective use and dissemination in clinical research and
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48 practice (7).
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51 One of the challenges relates to the availability of many validated PROMs, which
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53 means that different outcomes are reported from a multiplicity of items and scales,
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55 often making use of non-standardized terminology, developed by different groups
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57 and disciplines (for example, clinical versus psychological) or for differing purposes
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59 (for example, measurement of health in generic populations versus disease-specific
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1 patient groups) (8). This problem hinders comparison of PROMs and data synthesis
2 across studies.

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4 A potential solution to this challenge lies in the development and use of core
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6 outcome sets (COS). A COS is a minimum set of outcomes to be measured in any
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8 trial and, increasingly, also in observational studies and clinical practice in a given
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10 disease area, with the aim of improving the efficiency of the research process and
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12 transparency in reporting of results. Agreement on a minimum set of outcomes and
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14 how these should be measured enables comparison and synthesis of results across
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16 studies or sites (9). PROs are becoming increasingly common components of COS.
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18 In the Core Outcome Measures in Effectiveness Trials (COMET) database, which is
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20 a public online database collecting COS development studies across all disease
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22 areas, outcomes relating to 'life impact' were recommended in 43% of 299 COS
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24 published before 2016 (10).

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28 When selecting instruments for measuring recommended PROs, COS developers
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30 should examine the validity and quality of PROMs, their content and similarities
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32 across instruments, scales and items, in order to reduce duplication and promote
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34 efficiency whilst preserving patient involvement throughout the entire process (8).

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38 Whilst there is some evidence about methods used to select outcome measurement
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40 instruments generally (11), there is no comprehensive assessment of which PROs
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42 are proposed and how they are recommended to be measured in COS.

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44 The aims of this study are: (1) to review COS that include PROs, and identify their
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46 target health domains, (2) to identify which specific PROMs are recommended to
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48 measure PROs, and describe their main characteristics, (3) to assess the amount of
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50 overlap of target health domains across individual PROMs, and (4) the amount of
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52 overlap of recommended PROMs across different disease areas.

53 54 55 56 57 *Materials and Methods*

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1 This study is based on a cross-sectional analysis of COS studies included in a
2 comprehensive database initiated and maintained by the University of Liverpool as
3 part of the COMET Initiative, which promotes the development and uptake of COS
4 across a wide range of disease areas (<http://www.comet-initiative.org>). The database
5 is regularly updated (12) (9), in order to ensure the currency of its content.
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10 *Inclusion and exclusion criteria*

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13 Out of the list of COS development studies identified from the original COMET
14 systematic review and annual updates (12), we selected those studies that included
15 at least one PRO amongst the recommended outcomes and made a
16 recommendation on how to measure it (i.e. specified a PROM).
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24 We excluded COS development studies that only provided a recommendation on the
25 core outcome domains (what to measure) without clarifying how to measure them, or
26 studies that discussed how to measure PROs without a clear endorsement for one of
27 the measures reviewed. For the purpose of this analysis, we considered the
28 definition of PRO given above (3, 4), hence we excluded caregiver-, surrogate- or
29 proxy-reported outcomes.
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40 *Study Selection*

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42 All identified articles were then screened independently by two researchers (MSK,
43 KS) to identify those including PROs. Disagreements were discussed to reach
44 consensus between the two reviewers on whether the study fulfilled the eligibility
45 criteria for this analysis.
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53 *Data extraction*

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55 Data extraction was initially piloted on five randomly selected COS studies (13-17) by
56 four reviewers (OC, MM, MSK, KS) to finalize an Excel® spreadsheet for
57 standardized collection of relevant information. The template included descriptive
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1 characteristics of each COS development study (setting; disease area – as defined
2 by COMET; target population), names of recommended instruments with
3
4 accompanying information (full questionnaire, stand-alone question, subscale of an
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6 existing questionnaire; generic or disease-specific; administration mode; specific
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8 PROMs selection methods; target domain as reported by COS developers). We
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10 considered generic instruments as those designed to be applicable across a wide
11
12 range of populations and interventions, whilst specific measures are designed to be
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14 relevant to particular interventions or in certain subpopulations (18). Moreover, we
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16 classified the instruments as preference-based when yielding preference weights for
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18 quality-adjusted life years calculations (19, 20). Because terminology is not
19
20 universally agreed in this field, we extracted verbatim the target domain of the PROM
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22 as reported by COS developers, and then matched this to a 38-item taxonomy for
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24 outcome classification (10).
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28 For each unique PROM recommended, we conducted online searches to obtain the
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30 full text (unless already included in the COS development studies). We recorded
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32 whether full-texts could not be found, could not be obtained free of charge, or were
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34 not available in one of the languages spoken by the four data extractors (English,
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36 German, Italian). Additional detailed information were collected based on full-texts of
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38 recommended PROMs (structure of the instrument; number of items; verbatim items)
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40 to conduct content analysis. Each individual item included in the instruments was
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42 then matched to the same 38-domain outcome taxonomy used to classify target
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44 domains. Data extraction was double-checked by at least one reviewer.
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50 51 *Data Analysis*

52 We summarized occurrence of PROMs recommended and their characteristics
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54 across COS development studies by means of descriptive statistics and bar charts.
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56 We used cross-tabulation to investigate what target domains are recommended
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58 across COS development studies and across disease areas. Bubble charts were
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1 used as a generalization of the scatter plot to display the relationship between the
2 outcome taxonomy classification, disease area, and the number of instruments
3 recommended. We reported results for characteristics of all recommended PROMs
4 (full sample of unique PROMs). We used the same methods to conduct additional
5 analyses on the contents of individual items of recommended PROMs in selected
6 disease areas where full texts were available ('content analysis' sample).
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15 *Results*

16 *Characteristics of COS studies*

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18 Out of 337 COS development studies screened, we included 94 COS development
19 studies that included PROs with recommendations on both what (i.e. which target
20 domains) and how (i.e. which PROM) to measure target domains (Figure 1, list
21 available in Supplementary Material). Cohen's kappa for agreement about inclusion
22 was 0.83. Included COS studies spanned 26 different disease areas, with some
23 more frequently investigated than others: there were 16 COS in Neurology, 11 in
24 Rheumatology, 10 in Orthopaedics & Trauma, 9 in Lung&Airways, 8 in Heart &
25 Circulation, and 7 in Cancer.
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40 *Characteristics of PROMs recommended*

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42 The total number of unique instruments recommended across these 94 COS
43 development studies was 323. These include variations of existing PROMs, such as
44 shorter versions (e.g. Short Form-12 (SF-12) as a shortened version of the Short
45 Form-36 (SF-36)). When these variations were considered as if related to the same
46 instrument, the total number of unique PROMs recommended was 243.
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53 The vast majority of the 323 instruments was recommended in only one COS study
54 (280, 87%). 23 instruments (7%) were recommended in 2 COS studies, 8 (2.5%)
55 were recommended in 3 COS studies, and 6 (2%) were recommended in 4 COS
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1 studies (**Error! Reference source not found.**). Lastly, there were few instruments
2 (2%) that were recommended in more than 4 COS studies.
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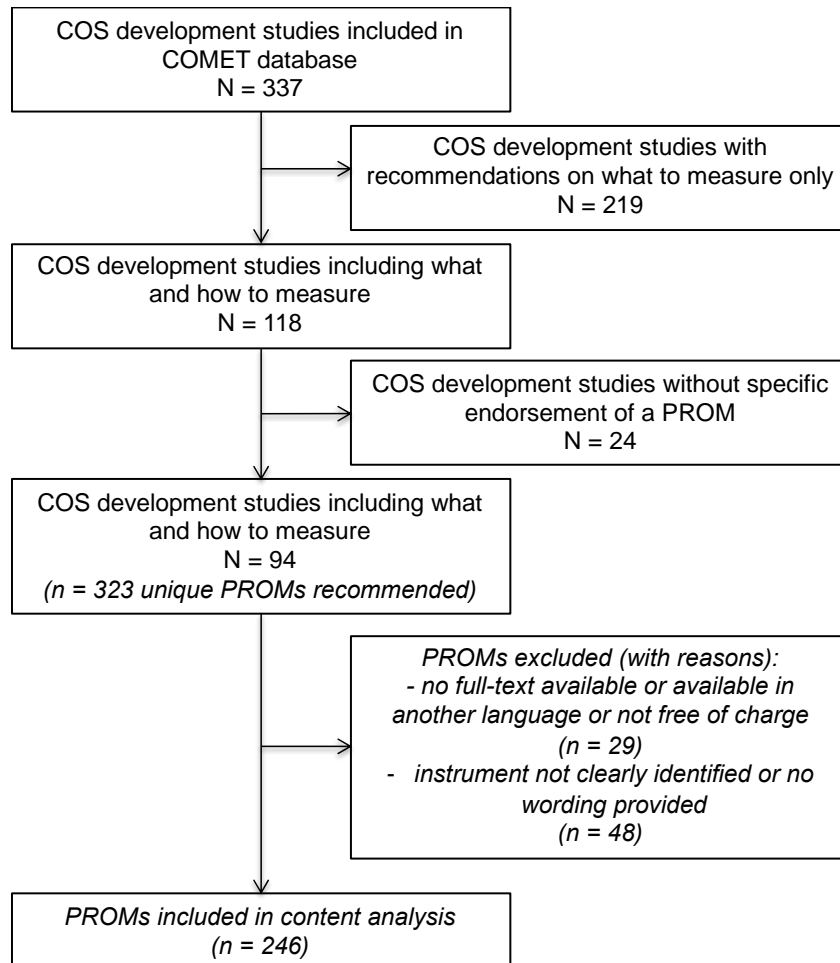


Figure 1 Flow chart of COS studies and recommended instruments selection process

41 The average number of unique instruments recommended per COS was 4.5 (median
42 3, minimum 1, maximum 17). Most frequently, only one instrument was
43 recommended (n = 18 COS), but on the other end, there were 9 COS studies
44 including recommendations for 10 or more PROMs to be used (Figure 2).
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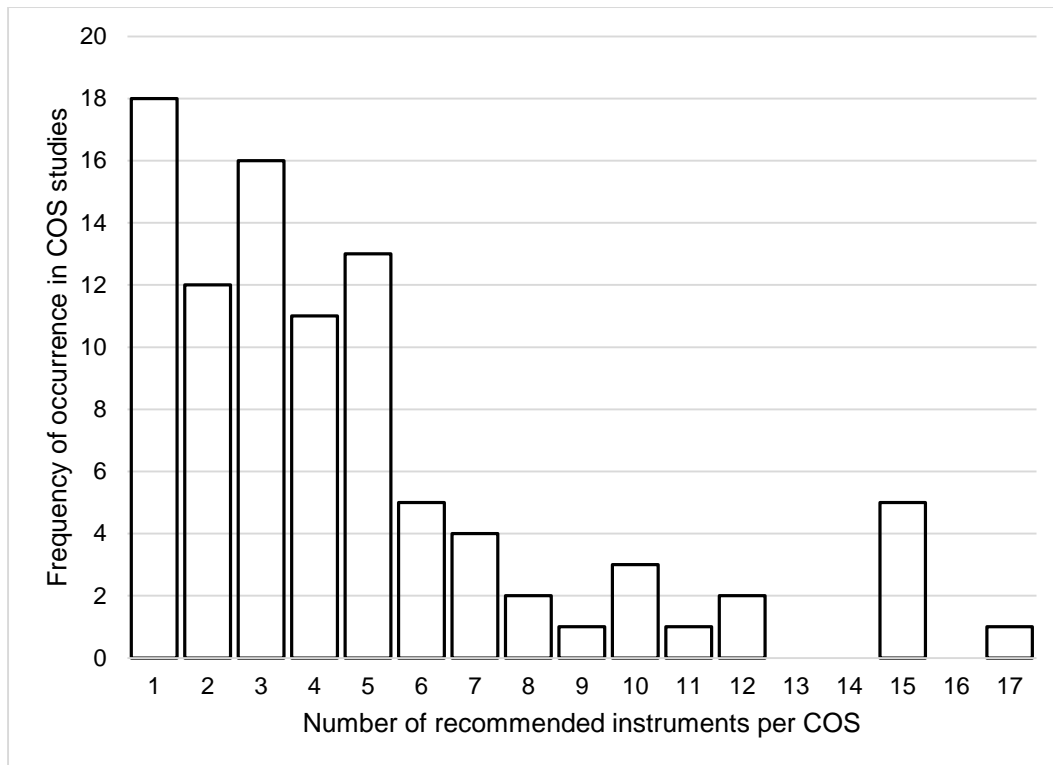


Figure 2 Distribution of number of instruments recommended in a COS study

Overall, the majority of the instruments recommended were disease-specific (248, 77%), that is, they were designed to be relevant to a specific disease, population, function or condition (20). However, half of the 20 instruments recommended in 3 or more COS were generic (**Error! Reference source not found.**). Overall, five preference-based instruments (1.5%) were identified.

The majority of recommended instruments were full questionnaires (61%). A significant minority (18%) were questions or subscales of existing instruments (e.g. the bodily pain subscale of the SF-36, or the cognition subscale of the Functional Independence Measure (FIM)). In most cases, no information was given by COS developers regarding the validation of subscales to be used instead of the full questionnaire. In one study (13), it was explicitly mentioned that the bodily pain subscale of SF-36 had a strong psychometric support and extensive normative data. In other studies, there was a generic reference to subscale validation (14) or a statement that single items were believed to be more informative, and even more

statistically sensitive, than the overall questionnaires (21). Lastly, one study (22) provided a list of referenced studies that developed and tested individual components of a full instrument (i.e. the PROMIS-29 questionnaire).

	No. of instruments (% of total)
Unique instruments recommended	323 (100%)
<i>of which total no. of instruments excluding variants</i>	243 (75%)
Instruments recommended in 1 COS	280 (86.5%)
Instruments recommended in 2 COS	23 (7%)
Instruments recommended in 3 COS	8 (2.5%)
Instruments recommended in 4 COS	6 (2%)
Instruments recommended in > 4 COS	6 (2%)
- <i>SF-12 (in 5 COS)</i>	
- <i>HAQ-DI (in 6 COS)</i>	
- <i>NRS or NRS for pain intensity (in 11 COS)</i>	
- <i>EQ-5D-3L (in 15 COS)</i>	
- <i>SF-36 (in 27 COS)</i>	
Generic measures	75 (23%)
- <i>of which preference-based (i.e. EQ-5D, HUI3, QWB, SF-6D)</i>	4 (1.2%)
Disease-specific measures	248 (77%)
- <i>of which preference-based (i.e. PORPUS)</i>	1 (0.3%)
Full questionnaires	196 (61%)
Questions (or subscales) of existing instruments	59 (18%)
Single questions	68 (21%)
- <i>of which NRS or VAS</i>	35 (11%)
- <i>of which other single questions</i>	33 (10%)

Table 1 Characteristics of recommended PROMs

COS = Core Outcome Set; EQ-5D: EuroQol-5 Dimension; HAQ-DI = Health Assessment Questionnaire Disability Index; HUI = Health Utility Index; NRS = Numeric Rating Scale; QWB = Quality of Wellbeing; PORPUS = Patient-Oriented Prostate Utility Scale; SF = Short Form; VAS = Visual Analogue Scale

More than one in five recommended instruments (21%) were single questions, i.e. either individual questions taken out of existing questionnaires, or stand-alone questions that COS developers deemed relevant. These were often numerical rating

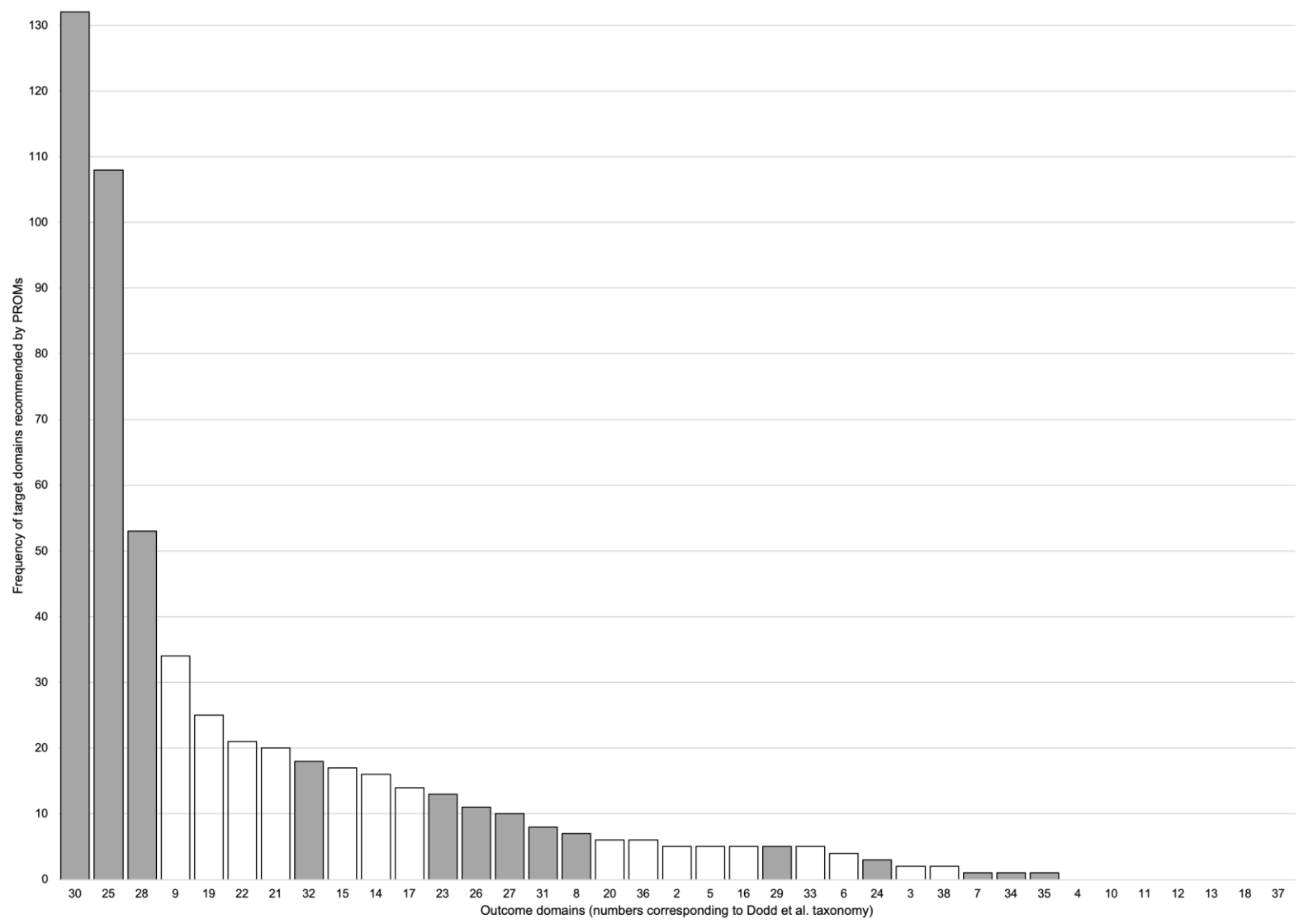
1 scales (NRS) or visual analogue scales (VAS) for pain (35 out of 68 single
2 questions). Stand-alone questions or questions forming part of larger questionnaires
3 were not always reported to be validated. In one study, the authors acknowledged
4 missing validation, but stated that the committee still deemed instruments 'extremely
5 important' and therefore recommended them (14). Some stand-alone questions were
6 recommended without clear indication of wording to use (e.g. recommended to self-
7 report overall uveitis-related disability assessment by children (23), patient global
8 score (24) or use of rescue analgesics (25)). Content analysis was not possible for
9 these questions.

20 21 22 *Outcome domains targeted by COS developers for recommended PROMs*

23 'Global quality of life' (domain 30) was found to be the most frequently targeted
24 domain across disease areas, followed by physical functioning (domain 25) and
25 emotional functioning and wellbeing (domain 28) (Figure 3). Recommended PROMs
26 were mostly used to capture general health related outcome domains, rather than
27 organ-, system- or disease- specific outcome domains (10), with some variation
28 across disease areas. Figure 4 shows how often each domain was targeted by
29 recommended instruments in each of the 26 disease areas examined in the COS
30 studies.

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Figure 3 Outcome domains targeted by recommended PROMs across disease areas



White bars relate to organ-, system- or disease- specific outcome domains. Shaded bars relate to generic health-related outcome domains.

Figure 4 Outcome domains targeted in recommend PROMs by disease area

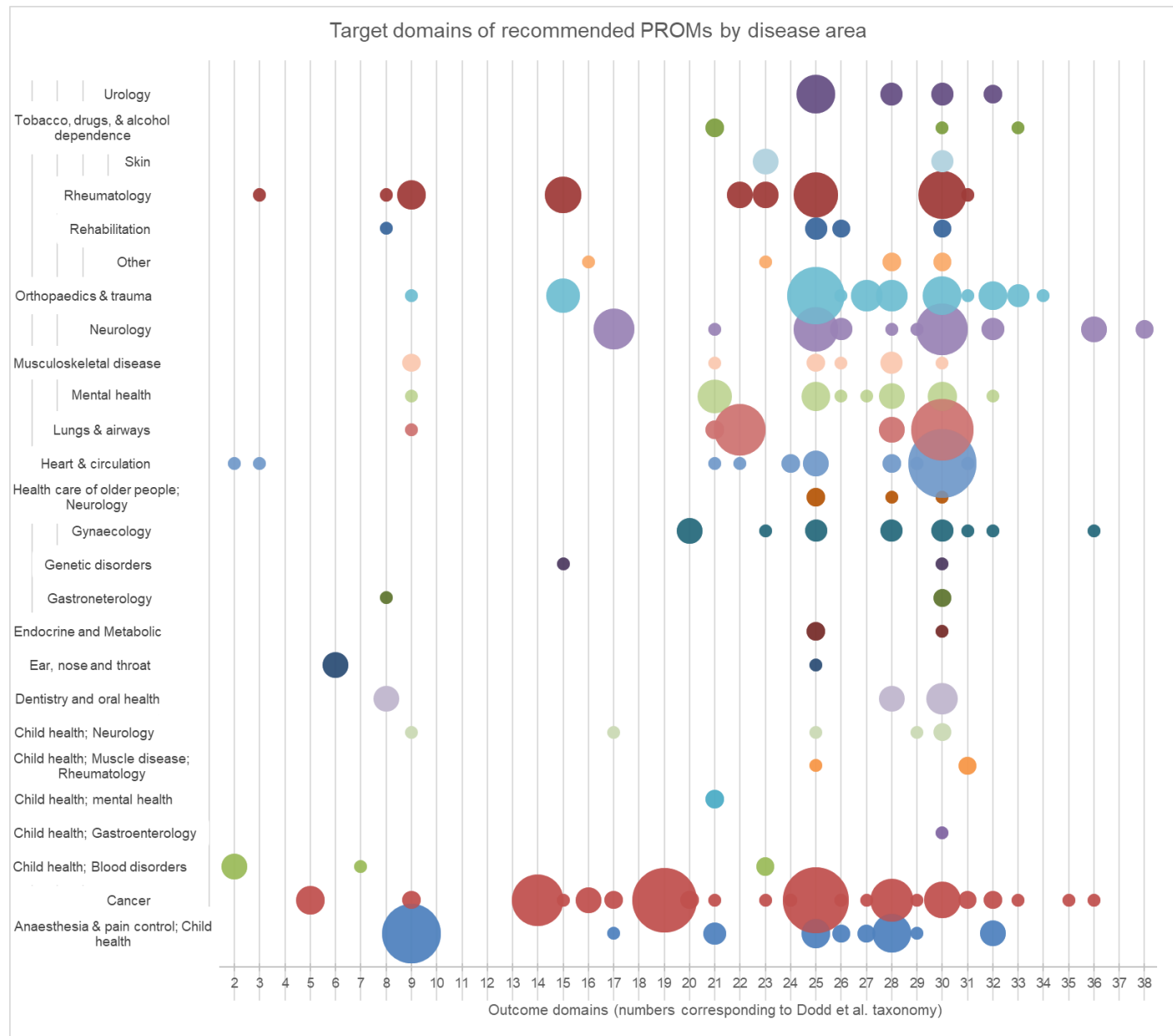


Figure shows the 38 outcome domains (horizontal axis) targeted by 323 unique recommended instruments by disease area (vertical axis). The list of outcome domains is provided below (reproduced under CC BY license from Dodd et al., 2018). Bubble sizes indicate frequency of an individual domain being the target domain of a recommended PROM in the disease area. For example, in COS for urology, domain 25 (physical

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functioning) was the target domain of 9 recommended PROMs, domain 28 (emotional functioning/wellbeing) was the target domain of 3 recommended PROMs, domain 30 (global quality life) was the target domain of 3 recommended PROMs, and domain 32 (delivery of care) was the target domain of 2 recommended PROMs. Details provided in Supplementary Material.

1: Mortality/survival	9: General outcomes	17: Nervous system outcomes	25: Physical functioning	33: Personal circumstances
2: Blood and lymphatic system outcomes	10: Hepatobiliary outcomes	18: Pregnancy, puerperium and perinatal outcomes	26: Social functioning	34: Economic
3: Cardiac outcomes	11: Immune system outcomes	19: Renal and urinary outcomes	27: Role functioning	35: Hospital
4: Congenital, familial and genetic outcomes	12: Infection and infestation outcomes	20: Reproductive system and breast outcomes	28: Emotional functioning/wellbeing	36: Need for intervention
5: Endocrine outcomes	13: Injury and poisoning outcomes	21: Psychiatric outcomes	29: Cognitive functioning	37: Societal/carer burden
6: Ear and labyrinth outcomes	14: Metabolism and nutrition outcomes	22: Respiratory, thoracic and mediastinal outcomes	30: Global quality of life	38: Adverse events/effects
7: Eye outcomes	15: Musculoskeletal and connective tissue outcomes	23: Skin and subcutaneous tissue outcomes	31: Perceived health status	
8: Gastrointestinal outcomes	16: Outcomes relating to neoplasms: benign, malignant and unspecified (including cysts and polyps)	24: Vascular outcomes	32: Delivery of care	

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2 *Methods used for selecting PROMs and specific considerations by COS developers*

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4 Occasionally, authors provided details specifically on the methodology adopted in
5
6 selecting PROMs. An OMERACT group reported that PROMs were discussed
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8 separately from other outcomes included in the COS by organizing a dedicated
9
10 break-out session attended by patient research partners, in addition to researchers
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12 and clinicians (26), a group composition that differed from those of other break-out
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14 sessions which did not involve patients. Among the available techniques for
15
16 generating consensus on PROM selection, the Delphi technique by using mailed or
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18 online surveys was reported in few cases (14, 27, 28); in another study (29), Delphi
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20 was used for gaining consensus from healthcare professionals, while patients were
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22 separately involved in a focus group.
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26 The justification given to support the choice of the recommended PROMs was
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28 generally in line with the principle of 'standard practice' being the instrument
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30 commonly adopted in clinical studies of a specific condition, sometimes COS
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32 developers acknowledged lack of 'superior tools'. In a few studies, the authors made
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34 reference to some forms of validation and/or reliability, described as 'internal
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36 consistency', 'discrimination', 'test-retest' or 'concurrent/convergent validity',
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38 'divergent validity', 'discriminant validity', 'content validity' (30-32). Other criteria
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40 mentioned for PROMs selection, were appropriateness; responsiveness;
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42 comprehensiveness; interpretability; precision of scores; acceptability; burden and
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44 feasibility; availability and equivalence of alternate forms and methods of
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46 administration (e.g., self-report, interviewer); and availability and equivalence of
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48 versions for different cultures and languages (33-37).
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55 *Content analysis of recommended PROMs by disease area*

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57 For the purpose of content analysis, the number of included unique instruments
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59 decreased from 323 to 246 (Figure 1, list available in the Supplementary Material).
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1 This is because we were not able to locate the full text, could not obtain it free of
2 charge, or could not find it in one of covered languages in the research team (n=29),
3
4 but most frequently because COS developers did not provide sufficient details in
5
6 terms of proposed language for the item, or response options (n=48).
7

8
9 There were six disease areas with five or more COS studies recommending PROMs
10
11 (i.e. neurology, rheumatology, orthopaedics and trauma, lungs and airways, cancer,
12
13 and heart and circulation). For these disease areas, we conducted content analysis
14
15 to identify outcome domains targeted by individual items or subscales within each
16
17 recommended instrument.
18

19
20 Among included COS studies, 16 referred to a neurological condition, including four
21
22 COS for headaches and migraine (38-41), two for amyotrophic lateral sclerosis (42,
23
24 43), and one each for ischaemic stroke (44), cerebral palsy (28), insomnia (45),
25
26 peripheral neuropathy (46), Charcot-Marie-Tooth disease (47), traumatic brain injury
27
28 (48), multiple sclerosis (49), post-stroke aphasia (50), mild or moderate dementia
29
30 (51), and sensorimotor recovery after stroke (52). In total, 47 unique instruments
31
32 were recommended in neurology, but only two instruments were recommended more
33
34 than once: SF-36 (in 4 COS) and EQ-5D (in 3 COS).
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37
38 Among the 24 instruments for which full texts or clear wording were available, the
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40 median number of items per recommended PROM was 17.5, ranging from 5 to 54
41
42 items. Figure 55 provides an overview of the domains targeted within each
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44 recommended PROM across the neurology COS studies, with an indication of the
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46 frequency with which each outcome domain is targeted.
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Figure 5 Outcome domains targeted by recommended PROMs across COS in Neurology

Item domains in recommended PROMs for COS in neurology

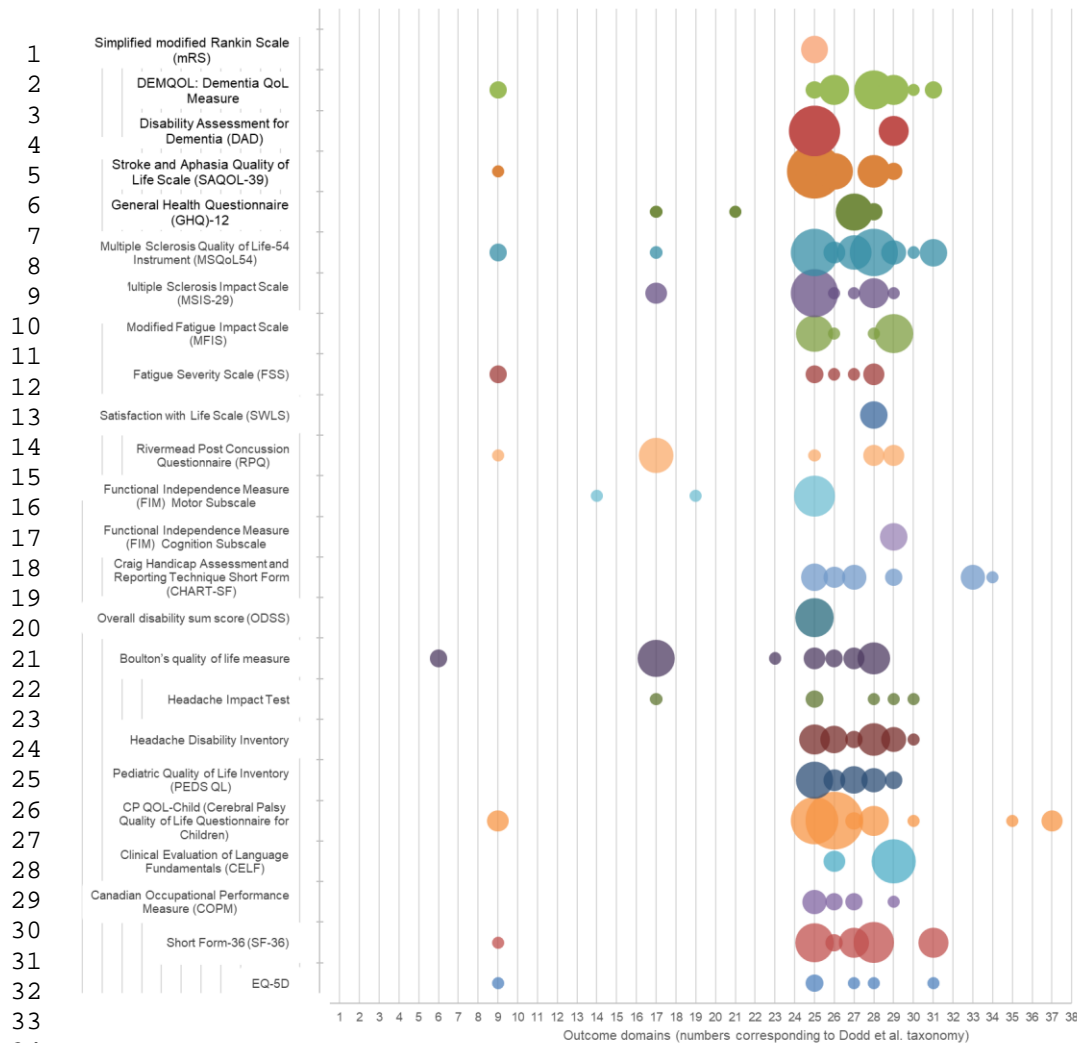


Figure shows the 38 outcome domains (horizontal axis) targeted by 24 recommended instruments (vertical axis) in neurology COS. The list of outcome domains is provided Figure 4 (reproduced under CC BY license from Dodd et al., 2018). Bubble sizes indicate frequency of individual items in recommended PROMs being categorized in the domains listed below. For example, in the SF-36, 10 items were categorized in domain 25 (physical functioning), 2 items in domain 26 (social functioning), 6 items in domain 27 (role functioning) etc.

Further content analysis of the individual items showed thematic overlap by outcome domains categorized, with an illustration related to the physical functioning domain in

Table 2.

Physical functioning	Example items
<i>Washing oneself</i>	<ul style="list-style-type: none"> - Does your health now limit you in these activities? If so, how much? - Bathing or dressing yourself (SF-36) - Does Patient need help when washing, rinsing or drying the body? (FIM, Functional Independence Measure - Motor Subscale) - Self-care (have no problems with self-care/some problems washing or dressing myself/ I am unable to wash or dress myself) (EQ-5D-3L) - During the past week, how much trouble did you have taking a bath or shower? (Stroke and Aphasia Quality of Life Scale, SAQOL-39)
<i>Walking</i>	<ul style="list-style-type: none"> - Do you have any problem with your walking? (ODSS, Overall disability sum score) - How do you usually get around for about 10 metres? (Without aid /With one stick or crutch or holding to someone's arm/ With two sticks or crutches or one stick or crutch and holding to someone's arm/ With a wheelchair) (ODSS, Overall disability sum score) - Does your health now limit you in these activities? If so, how much? - i. Walking one block (SF-36) - Mobility (I have no problems/some problems in walking about/I am confined to bed) (EQ-5D-3L) - Does Patient need help to walk 150 feet (50 m)/go 150 feet (50m) in a wheelchair? (FIM, Functional Independence Measure – Motor Subscale) - During the past week, how much trouble did you have walking? (Stroke and Aphasia Quality of Life Scale, SAQOL-39) - During the past week, how much trouble did you have walking without stopping to rest, or using a wheelchair without stopping to rest? (Stroke and Aphasia Quality of Life Scale, SAQOL-39)
<i>Other disease-specific aspects</i>	<ul style="list-style-type: none"> - Compared with before the accident, do you now (i.e., over the last 24 hours) suffer from sleep disturbance? (RPQ, Rivermead Post Concussion Questionnaire) - I restrict my recreational activities because of my headache (HDI, Headache Disability Inventory) - Your ability to keep up physically with your peers? (CP QOL-Child, Cerebral Palsy Quality of Life Questionnaire for Children) - In the past two weeks, how much has your MS limited your ability to grip things tightly (e.g. turning on taps)? (MSIS-29, Multiple Sclerosis Impact Scale)

Table 2 PROMs items mapped to Physical Functioning domain in Neurology

Similar patterns are seen for the content analyses performed across PROMs recommended in other disease areas (Supplementary Material).

Discussion

In this study we mapped recommendation of PROMs as part of standardized outcome collection in clinical research and practice across various conditions. By searching a comprehensive database of COS studies, we included 94 studies that spanned 26 different disease areas, with some more frequently represented in the sample (e.g. neurology, rheumatology, lungs & airways, cancer). This might reflect

1 different attitudes and familiarity across specialties in dealing with PROs type of
2 outcome measures.

3
4 Despite the intended aim of striving for harmonization and alignment of outcomes,
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6 we found a fragmented landscape of recommended PROMs in COS. A total of 323
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8 unique PROMs were recommended for use. The vast majority (87%) of instruments
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10 were recommended in only one COS, and individual COS recommended a median of
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12 more than 4 instruments (range, 1-17) each. We found that, by recommending
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14 PROMs, COS developers targeted a variety of outcome domains from disease
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16 activity or severity of disease to personal circumstances or need for intervention. This
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18 finding may reflect long-standing use of PROs in some conditions or a more holistic
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20 view of the impact a disease has on patients' lives in some disease areas rather than
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22 others. However, most of the instruments (25%) covered 'global quality of life'
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24 (domain 30), physical functioning (22%) (domain 25), followed by emotional
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26 functioning and wellbeing (7%) (domain 28). These are broad health-related
27
28 constructs that appear consistently targeted across disease areas, yet a broad range
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30 of different instruments was recommended to measure them. This was true even
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32 within disease areas, where our in-depth content analysis of individual PROMs
33
34 revealed significant overlap among specific items of recommended instruments.
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36 Although some of our findings may be explained by the heterogeneity of disease
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38 areas, which encourages development of disease-specific instruments that are
39
40 preferred to generic ones, there is still scope for increased harmonization of outcome
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42 measurement even within disease areas.

43
44 Almost one in four recommended instruments were generic, meaning that they were,
45
46 in principle, applicable to a wider population, regardless of any existing condition or
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48 state, and therefore of potential relevance across several COS. Indeed, we found
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50 that generic measures were more likely to be recommended in 3 or more COS, and
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52 therefore contributed to the harmonization of outcome measurement across disease
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54 areas. Only five (1.5%) instruments were preference-based, and therefore useful for
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1 cost-utility analyses; four of these were generic measures, with potential use in cost-
2 utility analyses across disease areas.

3
4 Finally, while not the focus of our study, we identified some issues with
5
6 methodological quality of selecting PROMs. Firstly, the total number of questions to
7
8 be answered by a single patient was more than 100 for more than a quarter of all
9
10 COS. Whilst some of the recommended instruments might be relatively quick to
11
12 administer, these figures suggest that COS developers might not have routinely
13
14 considered the burden for patients to complete multiple questionnaires. While
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16 standards have been published that call for the inclusion of patients in the COS
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18 development process (53), these are still relatively recent and would not have been
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20 available during the development of COS included in our sample. Furthermore, we
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22 found that evidence for validation of recommended instruments was not commonly
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24 reported.
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28 More than 60% of recommended instruments were full-questionnaires, 18% were
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30 subscales or items from full-questionnaires and 21% were single-item or stand-alone
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32 questions, mostly NRS or VAS. Evidence on validation was mostly lacking for stand-
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34 alone questions, whilst establishing sufficient psychometric validation should be one
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36 of the standard criteria for including/dropping/adding PROMs from a COS. Moreover,
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38 we were not able to track the exact wording of the chosen question and answer in a
39
40 significant number of cases (n = 48). This is an interesting finding, because without a
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42 clear statement on how the question and possible answers should be framed with
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44 instructions to give to the patient, the COS might fail to achieve its intended purpose,
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46 that is to standardize outcome measurement and ensure comparability.
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49
50 This study has limitations. We did not assess the methodological rigor with which
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52 COS were developed and whether COS developers followed the COMET and
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54 COSMIN guidance (53, 54) to first identify the domains to be measured, and in the
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56 next step identify and evaluate potential instruments for these.
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1 Striving for efficient PRO data collection is an imperative in the context of rising use
2 of real-world data for clinical research, audit and quality improvement. Patients, as
3 well as health care professionals administering PROMs, are unlikely or unable to
4 spend a considerable amount of time filling out questionnaires. In a recent mapping
5 exercise from COS studies in prostate cancer to existing real world data sources, we
6 found that self-reported outcome measures are a dimension not typically covered in
7 routinely collected data sources (55). However, the current technological landscape
8 would allow for a wide-scale, standardized, continuous collection of PROMs that is
9 integrated in clinical practice and everyday care (2). Of course, issues of
10 interoperability, data governance, security, privacy, logistics and ethics must be
11 addressed in advance but incorporation in routinely collected data of the voice,
12 preferences, and experience of the patient is theoretically possible locally, regionally,
13 and even nationally. The wealth of recommended instruments observed, even within
14 disease areas, the use of single questions, often developed ad hoc and without
15 proper validation, does not fit with a vision of systematic, harmonized collection of
16 PROM data and reveal lack of a much needed effort to agree on standardized
17 measurement tools across key target domains.

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Conflict of interest

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