# Predictors of pain and disability outcomes following spinal surgery for

# chronic low back and radicular pain: A systematic review

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# Abstract

Objectives: Success rates of spinal surgeries to treat chronic back pain are highly variable and useable prognostic indicators are lacking. We aimed to identify and evaluate preoperative predictors of pain and disability after spinal surgery for chronic low back/leg pain (CLBP). Methods: Electronic database (01/1984-03/2021) and reference searches returned 2622 unique citations. Eligible studies included adults with CLBP lasting ≥3 months undergoing first elective lumbar spine surgery, and outcomes defined as change in pain (primary)/disability (secondary) after ≥3 months. We included 21 reports (6899 participants), 7 judged to have low and 14 high risk of bias. We performed narrative synthesis and determined the quality of evidence (QoE). Results: Better pain outcomes were associated with younger age, higher education, and no spinal stenosis (low QoE); lower preoperative pain, less comorbidities, lower pain catastrophizing, anxiety and depression (very low QoE); but not with symptom duration (moderate QoE), other sociodemographic factors (low QoE), disability, or sensory testing (very low QoE). More favorable disability outcomes were associated with preoperative sensory loss (moderate QoE); lower job-related resignation and neuroticism (very low QoE); but not with socioeconomic factors, comorbidities (low QoE), demographics, pain, or painrelated psychological factors (very low QoE). Discussion: In conclusion, absence of spinal stenosis potentially predicts greater pain relief and preoperative sensory loss likely predicts reduction in disability. Overall, QoE for most identified associations was low/very low.

#### Keywords

Chronic low back pain; spinal surgery; predictors; pain; disability

#### 1. Introduction

Approximately 40% of the worldwide population will experience low back pain (LBP) in their lifetime [1]. While most acute episodes resolve within several weeks [2], over 60% of people with LBP are estimated to have persistent or recurring pain a year later [3]. Chronic LBP is the single greatest cause of years lived with disability worldwide [4]. Its rapidly rising prevalence is expected to increase further given an aging population, increase in obesity, and reduction in activity, which are significant risk factors for LBP [5]. Accordingly, the rates of surgeries to treat LBP secondary to spinal pathologies have approximately doubled in the US and UK over the previous decade [6,7]. While spinal surgery costs the UK National Health Service approximately £500 million annually [8], its success rates are highly variable. Only about 60% of patients undergoing index lumbar spine surgery achieve minimal clinically important reductions in pain intensity [9–11].

Reliable predictive factors have the potential to inform clinical decision making to help maximize patient benefit and cost-effectiveness, yet there are no clear guidelines on useful predictors. Common surgical indications include symptom severity, non-response to conservative treatment, and imaging evidence of underlying pathology [12,13]. However, regarding prognosis, the UK National Institute for Health and Care Excellence suggests not using factors such as BMI, smoking status, or psychological distress to select patients for spinal surgery due to insufficient high-quality evidence [12]. Therefore, a comprehensive synthesis and evaluation of evidence regarding predictors of spinal surgery outcomes for chronic LBP is warranted. Knowledge of pre-identified reliable prognostic factors could inform clinical decision making regarding the best course of treatment, and also guide individualized preoperative interventions targeting modifiable risk factors to optimize patient outcomes. For instance, fusion surgery for back pain has better outcomes if patients have successfully completed a pain management course with cognitive-behavioral therapy [14].

Previous systematic reviews addressing similar questions were restricted to specific pathologies such as disc herniation [15–19] or surgical interventions such as spinal fusion [20–22]. However, the prognostic value of sociodemographic, health-related, and psychological patient characteristics for reduction in pain and disability may be independent of medical diagnosis and type of surgery, and considering broader LBP population could potentially mitigate the issues of insufficient amount or quality of evidence faced by previous reviews. Notably, the potential impact of LBP duration appears overlooked, as except for a review from 2011 looking at predictors of differential response to fusion versus conservative treatment [20,21], none of the relevant systematic reviews in the field specifically considered individuals with chronic symptoms that may be more resistant to treatment. Indeed, there is little change in pain and disability over the course of LBP if the symptoms do not resolve within several weeks since their onset [2,23] and individuals who have been living with symptoms for longer show poorer response to LBP treatments [24,25]. Given these gaps in evidence, the current review aimed to identify and evaluate preoperative predictors of pain and disability outcomes after spinal surgery for the treatment of chronic LBP and/or radicular pain.

#### 2. Methods

This systematic review was conducted and reported in accordance with the general principles outlined in the Centre for Reviews and Dissemination (CRD) guidance for conducting reviews in health care [26] and the Preferred Reporting Items for Systematic reviews and Meta-Analyses (PRISMA) [27]. A review protocol has been prospectively registered at PROSPERO (ref. CRD42020180845) prior to formal screening of search results against eligibility criteria.

# 2.1. Search strategy

The search strategy was developed in collaboration with an information specialist (MM). For full electronic search strategy, including notes on any limits and search filters, see **Text S1**, **Supplemental Digital Content 1**. Electronic database searches (MEDLINE, EMBASE, PsycINFO, CINAHL, Cochrane Central Register of Controlled Trials [CENTRAL]) were performed on 8 April 2020

and updated on 29 March 2021. Search results were exported to EndNote library and de-duplicated. We also manually searched the reference lists of included studies and relevant systematic reviews [15–22,28–30] to identify any additional primary studies [31].

# 2.2. Eligibility criteria

**Table 1** summarizes the eligibility criteria in a modified PICOTS format for reviews of prognostic

 studies (Population, Index and Comparator prognostic factors, Outcomes, Timing, Setting) [32] with

 additional specification of eligible study designs and publication formats. For detailed justification

 for choosing specific criteria and decision rules in case of uncertainty, see **Text S2, Supplemental Digital Content 2**.

In line with our aim to identify relevant predictors of change in pain and/or disability after spinal surgery, we applied broad and comprehensive inclusion criteria, thus as *index prognostic factors*, we considered any baseline factors, assessed prior to surgery, investigated for their potential to predict these outcomes. There were no restrictions applied to *comparator prognostic factors*, defined as 'adjusted for' factors used to investigate the independent prognostic value of a particular index prognostic factor over and above other (comparator) factors, as we considered both unadjusted and adjusted prognostic effects, where available.

Our primary outcome was change in pain intensity measured as (a) proportion of patients achieving minimal clinically important difference (MCID, as defined by study authors) in back and/or leg pain intensity, or (b) the magnitude of reduction in back and/or pain intensity from baseline to the last available follow-up as a continuous score. We included 0-10 Numerical Rating Scale (NRS) and 0-100 Visual Analog Scale (VAS; scores can be transformed into a 0-10 scale) as recommended pain measures in LBP research [33,34]. Our secondary outcome included change in disability measured as (a) proportion of patients achieving MCID on Oswestry Disability Index (ODI [35]), Roland-Morris Disability Questionnaire (RMDQ [36]), or Core Outcome Measures Index (COMI [37]), (b) the magnitude of reduction in disability on these measures from baseline to the last available follow-up

as a continuous score, or (c) return to work. ODI and RMDQ were recommended as the core measures of physical functioning/disability outcomes in back pain research [33,34,38], and COMI has been adapted as multidimensional outcome measure by the European Spine Society [39]. In addition to these condition-specific outcome measures, we also considered non-specific functional measures such as Short Form Health Survey for narrative synthesis. Return to work was also included as an objective measure of functional improvement, however, this outcome will be reported in a separate manuscript. Eligible studies reported at least one of the above-mentioned outcomes with ≥3 months follow-up. Throughout the article, we refer to positive pain and disability outcomes, that is, achieving MCID or greater reduction in pain or disability, consistent with a success of or greater benefit from surgery.

# 2.3. Study selection

To limit any potential selection bias, two reviewers (MH and RD) independently screened titles and abstracts, and then full texts, against the eligibility criteria for inclusion in the systematic review. Any disagreements were resolved by discussion and consensus, and an opinion from a third reviewer (MW) was sought where necessary. Abstracts with uncertain eligibility were included in the full text screening. Custom screening and selection tables in MS Excel, piloted on five randomly selected full-text articles, were used to record the selection process and reasons for exclusion. If eligibility could not be determined with certainty based on the information provided in the full text, supplementary materials, or related publications, additional details were requested from the study's corresponding authors (n = 10), who were re-contacted after a week if no response was received. The selection process is outlined in a PRISMA flowchart [27] (Figure 1).

# 2.4. Data extraction

Data extraction followed the Checklist for Critical Appraisal and Data Extraction for Systematic Reviews of Prognostic Factor Studies (CHARMS-PF) suggesting the key items to be extracted from primary studies of prognostic factors [32]. Two independent reviewers (MH and SC) piloted the CHARMS-PF-based tool on 2 randomly selected included studies. Data was recorded in a data extraction form in MS Excel, a template of which is provided in **Table S3**, **Supplemental Digital Content 3**. Each reviewer extracted the data from half of the included articles and checked the data extracted by the other reviewer for accuracy. Any disagreements between the reviewers' judgements were successfully resolved by discussion and consensus.

Where possible, we extracted the adjusted effects of prognostic factors from multivariate models, however, to retain as much of the available data as possible, we also separately extracted unadjusted prognostic effects from univariate models. We aimed to obtain common effect estimates for each type of outcome, that is, odds ratio (OR) for binary outcomes or standardized mean difference for continuous outcomes and confidence intervals or standard errors of these estimates, or correlation coefficients for continuous outcomes where unadjusted associations were reported. To avoid potential selection bias, if the desired effect estimates were not reported, we converted or calculated the desired ones based on available data (e.g. 2x2 tables) using effect size calculators [40,41].

# 2.5. Risk of bias assessment

The risk of bias (RoB) was assessed at study level, using Quality in Prognosis Studies (QUIPS) tool [42–44]. Each of 6 QUIPS domains (study participation, study attrition, prognostic factor measurement, outcome measurement, study confounding, statistical analysis and reporting) was rated as being at high, moderate, or low RoB. Domain ratings were guided by prompting items based on criteria suggested by Grooten et al. [42], which we modified and elaborated for the purpose of the current review question. All available reports based on the same study were appraised separately where applicable. Following the calibration of the QUIPS form between 2 independent reviewers (MH and SC), additional criteria were specified that included degrading RoB in the study attrition domain for studies that retrospectively recruited only patients who had complete follow-up data, as complete cases may be systematically different from eligible study sample. We specified the key characteristics of interest (age, sex, socioeconomic status, duration of symptoms, location of pain, underlying pathology, type of surgery) in study participation and attrition domains as relevant

to the current review question. The prompting item regarding the source of target population did not contribute to study participation domain RoB ratings as this is not commonly reported in the field under review. No specific set of required confounders was defined *a priori* as there is no established agreement on which factors should be included, but RoB ratings in the study confounding domain were downgraded in absence of any adjusted analyses. Finally, inadequate sample size or lack of power calculation were considered as potential sources of bias in the statistical analysis and reporting domain. The complete QUIPS template is available in **Table S4**, **Supplemental Digital Content 4**. Each reviewer independently rated the RoB for half of the included reports, and each checked the ratings of the other reviewer for agreement. Any disagreements were resolved by consensus. The overall RoB for each report was rated as 'low' if all six domains of QUIPS were judged to be at low-moderate RoB, or 'high' if one or more domains were judged to be at high RoB [45]. Results of this assessment were considered in the narrative synthesis and grading the level of evidence.

#### 2.6. Data synthesis

Given sufficient and appropriate data for quantitative synthesis, we planned to perform metaanalyses of the effects of predictive factors on the primary and secondary outcomes. However, quantitative synthesis was not possible because many prognostic factors were only assessed in single studies and the remaining studies were too heterogeneous in terms of analysis types and outcome and predictor definitions. In particular, it was not feasible to combine effect estimates from studies using different analysis methods or reporting insufficient information to allow transformations, studies using continuous and dichotomous outcomes or predictors, different cutoffs for dichotomous outcomes, or different categorizations of the same predictors.

Therefore, we presented a tabular summary of adjusted and unadjusted associations between index prognostic factors and each outcome, accompanied by a narrative synthesis of the results. We summarized the number of studies that investigated relationships between each predictor and outcome, discussed the direction and strength of any associations and the consistency of evidence

across studies, and evaluated the findings considering the results of RoB assessment at the study and outcome level.

# 2.7. Grading of evidence

Two reviewers (MH and MC) simultaneously and collaboratively evaluated the strength of evidence for pain and disability outcomes using the Grading of Recommendations, Assessment, Development and Evaluations (GRADE) tool [46] adapted for reviews of prognostic studies [47]. The overall quality of evidence for association of each category of predictors with each outcome was rated as high, moderate, low, or very low, reflecting the level of confidence that the true effect lies close to the estimate of the effect.

Phase of investigation determined the starting quality of evidence. This was considered high for phase-3 studies providing evidence of the mechanisms of action of the prognostic factor on the outcome, and for hypothesis-driven phase-2 studies testing independent associations of a prognostic factor with outcome. The starting quality was considered moderate for phase-1 studies exploring potential associations between prognostic factors and the outcomes, thus generating hypotheses about identified relationships [47]. Studies which investigated hypothesized associations but only in unadjusted analyses or for a class of multiple predictors, were classified as phase-1 studies. From this initial grade, the quality of evidence was downgraded for (a) serious study limitations when most evidence was from high RoB studies or from unadjusted analyses; (b) clinically meaningful inconsistency in results across studies (e.g. variable direction of or presence of a significant association) that could not be explained by differences in population characteristics, duration of follow-up, definitions of the predictor or outcome; (c) indirectness where the study sample, predictor, or outcome did not accurately reflect the review question in the majority of studies (e.g., where it was not possible to verify minimum duration of pain in the study sample, or the cut-off for successful outcome markedly differed from its common definition); (d) imprecision of the effect estimate in most studies, which could stem from inadequate sample size or lack of precision in reporting the effect size; and (e) potential publication bias assumed if the value of a

specific prognostic factor has not been repeatedly investigated (e.g. in  $\geq$ 4 studies) or if smaller studies tended to report significant / larger effects relative to larger studies. In case of presence of factors increasing the confidence in available evidence, the quality ratings were upgraded for (f) moderate (d = 0.5, OR = 2.5, r = 0.3) or large (d = 0.8, OR = 4.25, r = 0.5) effect sizes; and (g) possible 'dose' effect within or between the studies, where higher levels of the prognostic factor lead to larger effect size.

#### 3. Results

#### 3.1. Study selection

The searches identified 2622 unique records. **Figure 1** illustrates the flow of the studies through the selection process. **Text S5, Supplemental Digital Content 5** includes a list of screened full text reports that were not eligible for the current review, with specific reasons for exclusion. Most articles were excluded due to ineligible population studied, that is, including patients with symptom duration <3 months or those with history of previous spine surgeries. For 5 reports [48–52] it was not possible to confirm whether all patients experienced symptoms for  $\geq$ 3 months because the minimum duration data was not available, however, these reports were included in the review based on available average duration data suggesting chronic LBP. In total, 21 eligible reports of 18 studies assessing predictors of pain or disability outcomes were included in the review. Nine studies reported both outcomes [10,48–51,53–57], while 4 focused only on pain [9,11,52,58], and 5 only on disability [59–65].

# 3.2. Study characteristics

The characteristics of included studies are summarized in **Table 2**. There were 10 single- and 8 multicenter studies, spanning 13 different countries, with the United States, Sweden, and Switzerland being the most common locations. Ten studies had prospective and 8 retrospective design. Eight reports [10,11,51,53,60–62,64] were classified as phase-2 studies when considering specific independent associations whereas the remaining reports were classified as phase-1 studies. The

majority of included cohorts had spinal stenosis or disc herniation pathologies and underwent decompression or fusion surgeries. The follow-up duration ranged from 3 to 48 months after surgery, with a median of 14.5 across all studies. We included only the last available follow-up, unless eligible analysis was only conducted for an earlier time point [54].

Note that Gepstein et al. [48] analyzed two ethnic groups separately, which we consider to be 2 cohorts, whereas 2 reports from Kim et al. [49,50] appear to be based on 2 largely overlapping populations, thus we attribute these results to a single study cohort in this review. Three other reports [60–62] were classified as post-hoc subgroup analyses of one interventional study, and since each report conducted separate analyses on spinal stenosis and degenerative spondylolisthesis subgroups, these are considered as two unique cohorts in the current review.

#### 3.3. Risk of bias in included studies

The 2 reviewers agreed on 83% of QUIPS domain ratings across 21 included reports, with Cohen's kappa = 0.72, 95% CI [0.61 to 0.82] indicating substantial agreement [66,67], before reaching 100% consensus. **Table S6, Supplemental Digital Content 6** presents the final domain-specific and overall RoB ratings for each included report, and **Figure 2** depicts the summary of these ratings across all reports.

Most studies were rated to have low RoB in outcome measurement, prognostic factor measurement, and study confounding domains. Despite a variety of measures used to assess the same predictors or outcomes, most studies used validated instruments and justified cut-offs. Serious study limitations (high RoB) in domains most relevant to prognosis were found in 2 studies for study participation [53,65] and in 9 studies for study attrition [10,49,50,52,54,56,60–62], but none for outcome measurement. High study participation RoB resulted from a lack of reporting regarding sample recruitment and insufficient details to confirm adequate participation of eligible subjects. Serious study limitations in the study attrition domain were most common and stemmed from inadequate response rates and no attempts to record or report reasons for and characteristics of

participants lost to follow-up to determine if there were important differences between those who completed the study and those who did not. Regarding other QUIPS domains, 2 studies were judged to have severe limitations in study confounding [49,57], and 4 in statistical analysis and reporting [48,56,57,63] domains. High study confounding RoB was related to unadjusted analysis or only partial reporting of the method of adjustment used and definition of exact confounders. Severe limitations of statistical analysis and reporting concerned inadequate sample size for the number of prognostic factors analyzed, incomplete reporting of the statistical models used (including underlying assumptions, e.g. multicollinearity), and indications of selective results reporting (e.g., lacking information on candidate predictors that were not statistically significant). Notably, the assessment of RoB relies on the level of reporting and in many cases it was downgraded due to unclear reporting or missing information. Overall, 14 reports (66%) were assessed to be at high RoB (with one or more domains judged as high) and 7 (33%) as low RoB (with all domains judged as low or moderate) [45].

# 3.4. Results of syntheses

In the interest of brevity, below we present detailed syntheses for factors that were found to be predictors of the outcomes of interest, whereas non-predictors are briefly summarized in the main text and more detailed results and discussion can be found in **Text S7**, **Supplemental Digital Content 7**.

# *3.4.1. Primary outcome: change in pain intensity*

Fourteen included reports based on 13 studies examined predictors of pain relief in 14 patient cohorts (5780 participants in total). Most studies measured pain using 0-10 VAS [9,48–50,53] or NRS [10,54,58] scales, however, 0-100 VAS [11,51,52,56,57] and Pain Index [55] scales were also used. Ten studies assessed change in pain intensity as a continuous outcome [10,11,48–52,54–57], and 5 studies as a dichotomous one. The latter defined clinically significant improvement as 30% [53,58], 70% [9], 18/100 points [11], or 2/10 points [10] reduction in pain from preoperative baseline to

postoperative follow-up. Change in leg and back pain were examined as separate outcomes in 6 studies [10,11,49–51,56,57], 2 considered leg pain alone [52,54], and 5 assessed pain in general [9,48,53,55,58]. **Table 3** presents the results for each of these outcomes separately, however, since the within-study findings were largely consistent across back and leg pain outcomes, in the narrative synthesis we refer to change in pain intensity in general as a single outcome.

#### 3.4.1.1. Sociodemographic predictors

Four studies investigated the associations between demographic and socioeconomic factors and pain outcomes in 5 cohorts including a total of 680 unique patients.

Three studies (1 low, 2 high RoB) investigated the association between *age* and pain outcomes, revealing inconclusive evidence. One study (high RoB) reported that older age was associated with less pain relief after surgery in adjusted analysis in an Arab cohort (moderate effect), and in unadjusted analyses in both Arab and Jewish cohorts (moderate and small effects) [48], whereas two studies found no association between these factors across adjusted and unadjusted analyses [9,53]. This inconsistency may stem from the fact that Gepstein et al. [48] recruited a significantly older population (≥65 years) compared to other studies.

A single high RoB study [48] examined the association of *education level* with pain outcomes in two ethnic cohorts, reporting better pain outcomes with increasing number of years of education in a Jewish cohort in adjusted and unadjusted analyses (small effects), but no significant association in an Arab cohort in unadjusted analysis. The Jewish cohort had significantly higher average education level compared to the Arab cohort (9.73 vs. 8.06 years), suggesting potential 'dose' effect within this study.

No significant associations with pain relief were found for other sociodemographic predictors, including *gender* (1 low, 3 high RoB studies [9,48,53,57]), *ethnicity* (1 high RoB study [48]), *work status* (1 phase-2 high RoB study [53]), or *workers compensation* (1 high RoB study [53]).

#### 3.4.1.2. Health-related predictors

Eleven studies investigated the associations between health- and symptom-related factors and pain outcomes in 12 cohorts including a total of 5308 unique patients.

Four studies (2 low, 2 high RoB) [11,48,52,55] investigated whether type of *spinal pathology* was associated with pain outcomes. One phase-2 low RoB study reported greater reductions in back pain in patients with degenerative disc disease and herniated nucleus pulposus without (but not with) radiculopathy relative to those with spinal stenosis, and greater reductions in leg pain in patients with degenerative disc disease and herniated nucleus pulposus with radiculopathy, relative to spinal stenosis [11]. The second, phase-1 high RoB study reported greater reductions in leg pain in patients with multilevel (relative to single-level) stenosis and those with (relative to without) spondylolisthesis [52]. Analyses in both studies were adjusted for potential confounders, and defined change in pain levels as continuous outcomes. Unadjusted analyses in 2 low and 1 high RoB studies found no significant associations with type of pathology in an isthmic spondylolisthesis cohort [55] or in a Jewish cohort with spinal stenosis [48], except that not having *sciatica* was related to better outcomes in an Arab cohort with spinal stenosis (small effect) [48], and more patients with degenerative disc disease than with stenosis achieved significant reduction in back pain [11].

Four studies (1 low RoB, 3 high RoB) [9,10,54,56] investigated the prognostic effect of *symptom duration* on pain outcomes, overall showing evidence for no effect. One phase-2 high RoB study found no effect of 6-12 or >12 months duration of conservative treatment on reductions in leg and back pain in adjusted analyses [10]. Unadjusted analyses across three studies corroborated this finding, except for 1 high RoB study suggesting that patients with disc herniation who had symptoms for 3-12 months reported greater reductions in leg and back pain than those who had symptoms for >12 months [56]. The latter effect sizes were small; thus, we did not downgrade the evidence for symptom duration for inconsistency.

Two studies (1 low RoB, 1 high RoB) investigated the association between preoperative *pain intensity* and pain outcomes. Anderson [53] found that higher pain intensity was a marginally significant independent predictor of poorer pain outcomes (small effect), whereas Hegarty et al. [9] found no associations between these factors across adjusted and unadjusted analyses. This inconsistency is unlikely to be clinically relevant and may stem from the differences in the definition of outcome and duration of follow-up (30% pain reduction 24 months after surgery [53] vs. 70% pain reduction 3 months after surgery [9]). Average pain intensity was comparable across the two cohorts (6.8/10 [53] vs. 6.5 and 5.7/10 [9]).

One high RoB study assessed the predictive value of having *night-time pain*, reporting moderate adverse effect of this factor on pain reduction in unadjusted analyses in both ethnic cohorts [48]. A single high RoB study [48] assessed the effects of number, type and severity of *comorbidities* on pain outcomes in Arab and Jewish cohorts. In adjusted analyses, greater pain relief was predicted by absence of peripheral arterial disease and osteoarthrosis (small effects), absence of diabetes in the Arab cohort (moderate effect); and having lower number of comorbidities, not having peripheral arterial disease, diabetes, osteoarthritis, and no history of total joint replacement in the Jewish cohort (small effects). Unadjusted analyses showed that lower number of comorbidities and not having osteoarthritis (small effects); and lower number of comorbidities, no history of total joint replacement, and lower American Society of Anesthesiologists class (small effects), not having peripheral arterial disease and diabetes (moderate effects), and absence of osteoarthritis in the Jewish cohort (large effect), were associated with more favorable pain outcomes. Lower American Society of Anesthesiologists class that based on preoperative comorbid conditions.

The same high RoB study [48] tested the effect of *body mass index* on pain outcomes. Results of unadjusted analyses in Arab and Jewish cohorts indicate small effects of lower body mass index on greater pain reduction.

We found no significant associations with pain reduction for other investigated health-related factors, including *pain quality* (1 low RoB study [9]), *sensory detection and pain thresholds* (2 low RoB studies [9,58]), *conditioned pain modulation* (1 low RoB study [58]), *disability* (2 low RoB studies including 1 phase-2 study, 2 high RoB studies [9,51–53]), and *smoking* (1 high RoB study [53]).

# 3.4.1.3. Psychological predictors

Four studies (5 reports) investigated the associations between psychological factors and pain outcomes in 5 cohorts including a total of 746 unique patients.

Two studies (1 low RoB, 1 high RoB) evaluated the effect of *pain catastrophizing* on pain outcomes in unadjusted analyses. One low RoB study reported moderate effect size for lower total pain catastrophizing score, and significant effects of lower scores on the helplessness, rumination, and magnification subscales in relation to achieving ≥70% reduction in pain 3 months after surgery [9]. The high RoB study found the opposite effect (although it was not possible to estimate its size), whereby dichotomized high, compared to low, pain catastrophizing group reported greater reduction in back pain up until 12 months after surgery [50]. The latter study also reported no significant effect of pain catastrophizing on leg pain outcome [50].

One low RoB study tested the effect of *anxiety* on pain outcomes in unadjusted analyses [9]. Patients who achieved  $\geq$ 70% reduction in pain had lower baseline levels on anxiety. While independent t-test analysis indicated a moderate effect, it did not replicate when Spearman's rank correlation was used.

Two studies (1 low RoB, 1 high RoB) examined the effect of *depression* on pain outcomes in unadjusted analyses. One high RoB study reported moderate effects of absence of depression

diagnosis on better pain outcomes in Arab and Jewish cohorts [48]. Another, low ROB study found no significant association between self-reported depression score and  $\geq$ 70% pain reduction [9]. Inconsistent findings may arise from different operationalizations of the predictor, outcome, and the duration of follow-up.

No significant associations were found for the remaining psychological factors, including *pain sensitivity* (1 high RoB study [49]), *pain drawing* (1 low RoB study [55]), and *mental functioning* (low RoB study [9]).

# 3.4.2. Secondary outcome: change in disability

Seventeen included reports based on 14 studies examined predictors of disability outcomes in 15 patient cohorts (6899 participants in total). Several studies measured disability (or physical functioning) using ODI [49–51,54,56,59–62,64,65], SF-36 Physical Functioning (PF) subscale [49,50,57,60–62], SF-12 Physical Component Summary (PCS) [51,54], and RMDQ [53,63]. There were also single studies using COMI [10], PROMIS Physical Function (PF) subscale [51], Disability Rating Index [55], and Barthel Index [48]. Ten studies assessed change in disability as a continuous outcome [10,48–51,54–57,60–63], and 5 studies as a dichotomous one. The latter defined clinically significant improvement as 30% (RMDQ, ODI; [53,64]), 50% (ODI; [59]), 17/100 points (ODI; [65]), or 2/10 points (COMI; [10]) reduction in disability from preoperative baseline to postoperative follow-up. **Table 4** presents the results for each of the disability measures separately, however, since the within-study findings were largely consistent across different measures, in the narrative synthesis we refer to change in disability as a single outcome.

#### 3.4.2.1. Sociodemographic predictors

Four studies investigated the associations between demographic and socioeconomic factors and disability outcomes in 4 cohorts including a total of 656 unique patients. None of the evaluated factors was found to be related to reduction in disability, including *age* (1 high RoB study [53]),

gender (3 high RoB studies [53,57,65]), ethnicity (1 high RoB study [48]), work status (1 high RoB phase-2 study [53]), and workers compensation (1 high RoB study [53]).

# 3.4.2.2. Health-related predictors

Seven studies (9 reports) investigated the associations between health- and symptom-related factors and disability outcomes in 8 cohorts including a total of 3715 unique patients.

Five studies (1 low, 4 high RoB) examined the prognostic value of disease *duration* for disability outcomes. One phase-2 study found that patients with spinal stenosis who had symptoms for <12 months reported greater improvement in physical function than those with longer symptom duration (small effect), but duration had no effect on disability in patients with degenerative spondylolisthesis in adjusted analyses [61]. However, another phase-2 study [10] and 1 phase-1 study [54] found no effects of disease duration on disability outcomes in spinal stenosis cohorts across adjusted and unadjusted analyses, resulting in mixed evidence regarding the prognostic value of symptom duration in this type of pathology. Two remaining studies provided inconsistent evidence in disc herniation cohorts, where one suggested that symptom duration <12 months was associated with greater reduction in disability (small effect) [56], and the other found no significant effect of disease duration [64].

A single phase-2 low RoB study [64] investigated whether *sensory detection threshold* is an independent predictor of disability outcomes, reporting that greater sensory loss (higher threshold) was associated with greater odds of achieving a clinically significant reduction in disability (moderate effect) across adjusted and unadjusted analyses. Out of multiple QST parameters measured in this study, the authors considered only sensory detection threshold as a candidate predictor of disability outcomes, as it significantly differed between patients and control participants.

Four studies (2 low, 2 high RoB) examined whether preoperative *disability* predicts disability outcomes. One phase-2 study (low RoB) and 1 phase-1 study (high RoB) found that less severe

baseline disability was an independent predictor of greater improvement postoperatively (unclear and moderate effects, respectively) across a range of continuous disability outcomes except change in ODI [51,63]. Two other studies (low and high RoB) reported no significant association between baseline disability and achieving MCID in disability in adjusted [53] and unadjusted [64] analyses. This inconsistency could not be explained by specific study characteristics, such as population, type of surgery, study design, or follow-up duration - there were no consistent differences between the studies reporting negative association and no association between baseline disability and surgery outcome.

We found no evidence for significant prognostic value of other health-related factors, including having *sciatica* (1 low RoB study [55]), *pain intensity, bothersomeness, and its neuropathic component* (1 low, 1 high RoB study [53,64]), *body mass index* (1 phase-2 low RoB study [60,62]), *smoking* (1 high RoB study [53]), and *sleep quality* (1 low RoB study [64]).

3.4.2.3. Psychological predictors

Four studies (5 reports) assessed the relationships between psychological factors and disability outcomes in 4 cohorts including 560 unique patients in total.

A single low RoB study [55] investigated the relationship between *pain drawing* and disability outcomes. Unadjusted analysis suggested that patients with organic pain drawing reported greater reduction in disability than those with non-organic pain drawing, however, the effect was only marginally significant and due to insufficient results reporting it was not possible to estimate its magnitude or precision.

Two studies (1 low, 1 high RoB) examined the effect of *depression* on change in disability. One unadjusted analysis suggested that lower depression scores were associated with greater improvement of disability [63], while the other one found no significant association [64]. Both studies examined similar disc herniation cohorts undergoing discectomy, yet the discrepancy in their

findings could be attributed to different measures of depression (Psychological general well-being index vs HADS) and disability (continuous RMDQ reduction vs dichotomous 30% reduction in ODI)

One high RoB study [63] assessed the association between *vitality* and disability outcomes, indicating that high vitality was related to greater improvement of disability in unadjusted analysis.

A single high RoB study [63] investigated the effect of *job-related resignation* on disability outcomes, demonstrating a moderate effect of lower resignation on greater improvement of disability in adjusted analysis, and consistent significant effect in unadjusted analysis.

One low RoB study [59] assessed the effect of *neuroticism* on change in disability. Unadjusted analysis suggested that lower neuroticism was associated with higher odds of achieving at least 50% reduction in ODI (small effect).

The remaining psychological factors, including *pain catastrophizing* (1 low, 1 high RoB study [50,64]), *pain sensitivity* (1 high RoB study [49]), *kinesiophobia* (1 low RoB study [64]), *mental functioning* (1 low RoB study [64]), and *anxiety* (1 low RoB study [64]) showed no significant associations with disability outcomes.

# 3.5. Quality of evidence

Detailed GRADE assessment of the quality of evidence is presented in **Supplemental Digital Content 8 (Table S8a** for pain outcomes, **Table S8b** for disability outcomes) and summaries of findings regarding each outcome are presented in **Figure 3**. GRADE was carried out at the level of the following predictor categories: demographic factors (age, gender, ethnicity), socioeconomic characteristics (education, work status, worker's compensation), diagnosis (spinal pathology, sciatica), symptom duration, pain (intensity, quality, nigh-time pain, bothersomeness, neuropathic component of pain), quantitative sensory testing (sensory and pain thresholds, conditioned pain modulation), disability, comorbidities (comorbid conditions, body mass index, smoking), pain-related psychological factors (pain catastrophizing, pain sensitivity, pain drawing, kinesiophobia), affective-

motivational (mental functioning, anxiety, depression, vitality, job-related resignation), and personality factors (neuroticism).

Overall, we found moderate-quality evidence that *pain reduction* after surgery is not related to symptom duration, and low-quality evidence for no association with demographic or socioeconomic factors, although there was some indication that age may have a negative, and education level a positive effect on pain reduction, depending on population characteristics. We also found low-quality evidence that type of spinal pathology may be an independent predictor of pain relief, with absence of stenosis in particular being associated with more favorable outcomes. The evidence for the prognostic value of the remaining factor categories was of very low quality. Specifically, we found very low evidence for negative prognostic value of preoperative pain and comorbidities. There was also very low evidence for associations between psychological pain-related and affective factors with pain outcomes, with some indication of potential negative effects of pain catastrophizing, anxiety, and depression. Finally, there was very low evidence that preoperative disability and quantitative sensory testing are not independent predictors of pain reduction.

Most evidence contributing to the prediction of pain outcomes was from phase-1 studies, resulting in default moderate quality for all but 2 associations. More than half of the studies had high RoB, and in some low RoB studies, only unadjusted analyses were reported or eligible for the current review, resulting in downgrading the quality for severe study limitations in the majority of examined associations. Any inconsistencies in the results could be accounted for by the differences in study characteristics or measures used. In 4 out of 10 examined associations, the quality was downgraded for indirectness, mostly due to insufficient information in some studies to confirm that all patients had chronic pain [48–51]. Imprecision due to inadequate sample size or insufficient results reporting to enable evaluation of the precision of effect estimate (e.g. only reporting *p* values or omitting standard errors and confidence intervals for effect estimates) also contributed to downgrading the quality of evidence for the majority of associations [9,48,52,58]. Potential publication bias, which is a

common issue in prognostic factor research, was further exacerbated by the fact that many associations were evaluated in a very small number of studies. Only a few associations were supported by moderate / large effect sizes (for comorbidities and affective factors) or demonstrated potential 'dose' effects (demographic factors), thus there were limited basis for upgrading of confidence in the available evidence.

Regarding the secondary *disability* outcomes, there was moderate-quality evidence (from a single study) that greater sensory loss independently predicts more favorable disability outcomes. We found low-quality mixed evidence for the prognostic value of symptom duration, with some studies suggesting potential negative effect of longer disease duration on disability outcomes in patients with spinal stenosis or disc herniation, and others indicating no effect in the same pathologies as well as degenerative spondylolisthesis. Similarly, the effect of baseline disability on disability outcomes, there was low-quality evidence suggesting that work-related socioeconomic factors and comorbidities (body mass index or smoking) are not independent predictors of disability outcomes. The evidence for the prognostic value of the remaining factor categories was of very low quality. Specifically, there was very low evidence that affective-motivational psychological factors (particularly job-related resignation) and neuroticism may be negatively related to improvement of disability. There was also very low-quality evidence that demographic factors, presence of sciatica, baseline pain features, sleep quality, and pain-related psychological factors do not predict disability outcomes.

Only 5 of the summarized associations were tested in confirmatory studies, while others were only explored in phase-1 studies, resulting in moderate starting quality for over half of the predictor categories. Most were downgraded for severe study limitations, as the majority of the available evidence was based on high RoB studies, with some relying only on unadjusted analyses. For half of the reported associations, inconsistency was not a problem as they were based on single studies.

The remaining predictor categories demonstrated consistent findings, except for baseline disability and symptom duration where the discrepancies could not be explained by any differences between the included studies. Only pain-related psychological factors were downgraded for indirectness, where studies with uncertainty regarding pain duration eligibility formed half of contributing evidence. The quality of evidence was downgraded for imprecision in over half of the summarized associations, mainly due to insufficient results reporting to evaluate the precision of reported effect estimates, and in fewer cases inadequate sample size [48,59,63–65]. All associations except for demographic factors, symptom duration, disability, and pain-related psychological factors were downgraded for potential publication bias because the available evidence was based on single or very few studies. The only cases for upgrading the level of evidence based on reported moderate effect size were sensory function and job-related resignation, however, for the latter the overall quality rating remained very low due to other serious concerns. There was no indication of 'dose' effects in any of the predictor categories.

# 4. Discussion

We systematically reviewed and synthesized the existing evidence regarding preoperative predictors of reduction in pain and disability after spinal surgery for chronic LBP and leg pain. The key findings are that for both outcomes, sociodemographic characteristics have overall limited prognostic value, and there is uncertain evidence for possible importance of psychological factors. Among the healthrelated factors, there is a potential effect of type of spinal pathology, and less certain effects of preoperative pain and comorbidities on the primary pain outcome, whereas sensory loss is likely associated with the secondary disability outcome, and the evidence is mixed regarding potential effects of symptom duration and preoperative disability on the same outcome.

This review advances the existing literature by summarizing a range of potential predictors of pain intensity and disability outcomes, which are highly important to patients and constitute the most common surgical goals [10,68]. Operationalizing these outcomes as changes from baseline to follow-

up allowed for more precise quantification of reduction in pain and improvement in disability. Our synthesis further benefits from the thorough assessment of quality of evidence, both at the study level and the level of particular associations, using tools specifically adapted to prognostic studies [44,47].

# 4.1. Sociodemographic predictors

Although sociodemographic factors appear to be unrelated to pain or disability outcomes (lowquality evidence), we found some evidence suggesting that lower general education level and older age might be associated with less pain relief from surgery, depending on sample characteristics. Specifically, a positive effect of education on pain reduction may only manifest in patients with higher education levels [48]. Similarly, presence of both significant (in older spinal stenosis cohorts [48]) and not significant (in younger discogenic LBP cohorts) effects of age may suggest that this association manifests only in older age groups. Three previous reviews reported similar associations between older age and worse surgery outcomes [15,28], or younger age and better outcomes [19], in populations largely affected by disc herniation. The prognostic effect of age might therefore depend on the type of spinal pathology.

#### 4.2. Health-related predictors

Several health-related factors were identified as potential predictors of reduction in pain or disability after surgery. Previous systematic reviews concerned with this class of predictors focused only on disc herniation cohorts [15,18,19], thus our review extends the existing evidence synthesis to a broader range of spinal pathologies. In fact, the type of diagnosis itself may be an important predictor, as cohorts with spinal stenosis presented with less reduction in back and leg pain after surgery compared to degenerative disc disease and disc herniation cohorts (low-quality evidence). Another study (not eligible for this review) concluded that differences in outcomes may not depend on the specific pathology, but rather on patients' age as those with spinal stenosis tend to be older than patients with disc herniation [69]. The impact of these two factors may be difficult to disentangle.

Contrary to our expectation that patients with chronic LBP (implying longer symptom duration) could experience less benefit from surgery, we found moderate-quality evidence that symptom duration is likely unrelated to pain outcomes, while its effect on reduction in disability appears to be mixed (low-quality evidence). Similar inconsistencies are apparent among previous reviews indicating either no association [18] or negative effect of longer symptom duration on spinal surgery outcomes [19,28]. The mixed evidence may depend on the composition of the studied samples: studies in which most patients experienced symptoms for <12 months tended to report greater benefit from earlier surgery [56,61], and those in which most patients had symptoms for >12 months reported no significant associations with surgery outcomes [10,54]. While 12 months cut-off was commonly used to distinguish longer and shorter symptom duration, it is possible that a lower cut-off, e.g., the point at which LBP becomes chronic, would allow better discrimination between favorable outcomes.

In line with two previous reviews [15,28], we found very low-quality evidence that less preoperative pain may be an independent predictor of better pain (but not disability) outcomes, although this effect appears sensitive to the pain reduction cut-off used. There was moderate-quality evidence for the association between greater sensory loss in the affected extremity (consistent with nerve root compression) and more favorable disability outcomes, suggesting greater improvement in the context of clear neurological pathology that can be directly addressed by surgery.

The current inconsistent evidence concerning the effect of baseline disability on pain relief (very low-quality) weights towards lack of association, whereas the evidence for disability outcomes (low-quality) could not be easily reconciled, similar to previous reviews [15,18,28]. Possibly, worse baseline disability may be related to smaller improvement in disability after surgery as a continuous outcome [51,63], but not as MCID [53,64].

Medical comorbidities are known to increase the risk of postoperative complications [70,71], however, their effect on longer-term spinal surgery outcomes is less clear [19,72]. We found very

low-quality evidence supporting significant associations between present comorbidities and smaller benefit from surgery in terms of reduction in pain, but not disability (low-quality).

## 4.3. Psychological predictors

We found several significant associations between psychological factors and pain and disability outcomes, but based on very low-quality evidence. Previous systematic reviews identified depression, anxiety, somatization, neuroticism, poor coping, and catastrophizing as important predictors of spinal surgery outcomes [15,22,28,30] and our findings in chronic LBP cohorts are largely in agreement. Lower pain catastrophizing and anxiety appear to be related to improved pain-specific but not disability outcomes. Negative effects of depression were also present, but not consistently for either outcome, potentially due to varying assessment methods. Furthermore, we found a significant independent association between lower job-related resignation and greater improvement in disability, which may be related to higher motivation to return to work after surgery. Finally, neuroticism, which reflects a predisposition to experience negative affect and maladaptive responses to stress and is considered a risk factor for a range of health problems [73], was also found to predict less improvement in disability after spinal surgery.

# 4.4. Limitations

Our confidence in the reviewed associations is limited by the quality of available evidence, as detailed in sections 3.3 and 3.5. The overall low / very low quality stems from the dominance of exploratory rather than confirmatory studies, lack of adjustment for potential confounders in several associations, and imprecision of effect estimates related to insufficient results reporting or inadequate sample size. Another reason is high overall RoB in 66% of the included studies, with severe limitations most prevalent in the study attrition and analysis and reporting domains. Therefore, some of the reported relationships are likely to be different for cases with and without complete follow-up, inadequately representing the studied samples, and some of the reported results are likely to be spurious or biased due to inadequate analysis or reporting [44]. Confidence in independent prognostic value of certain preoperative factors is also limited by different sets of

potential confounders used across the reviewed studies, as the magnitude and significance of any associations may depend on other included predictors. The quality of evidence is further limited by the fact that many associations were examined only in a small number of studies. This may be because certain potential predictors, such as psychological risk factors, are rarely formally documented before spinal surgery, e.g. in prospective spine registries [74–76]. Considering high exclusion rate due to ineligible symptom duration, it is possible that including studies with unrestricted duration would provide additional evidence for the reviewed associations in LBP more generally, although this would be beyond the scope of the current review concerning chronic LBP populations.

Quantitative synthesis of the results of reviewed studies was not possible due to their methodological heterogeneity and incomplete reporting, and some decisions regarding the review process may have contributed to this. We included several different measures of pain and disability outcomes, as these were commonly used and validated in the population of interest. We further considered both dichotomous and continuous outcomes due to their clinical utility and precision, respectively. Although included follow-up intervals covered a broad range of stages of recovery (3-48 months), patient-reported outcomes following spinal surgery assessed over multiple time periods are known to be strongly correlated [77,78]. The scope of the review included a range of spinal pathologies and types of surgery under the assumption that there are prognostic factors that are common across different populations and interventions. While this approach has further contributed to the heterogeneity of results, at the same time it strengthens the generalizability of identified associations, especially between health-related factors and surgery outcomes, which have been previously summarized only in specific patient populations [15,18,19].

To use all available data, some effect measures had to be estimated based on reported results, extracted from figures, or recalculated for eligible subgroups, which could have added uncertainty to the results synthesis. We also included both adjusted and unadjusted analyses where available.

Although unadjusted effect estimates provided lower-quality evidence due to potential alternative explanatory factors, they can uncover predictors of interest worthy of further investigation.

Our search strategy did not seek non-English language or 'grey' literature. Although these restrictions motivated by pragmatic reasons and limited resources may have introduced a potential information bias, there is no consistent evidence that language-restricted reviews lead to biased effect estimates [79], and including unpublished or non-peer-reviewed sources of evidence could have limited the precision and confidence in the results. Finally, while data extraction, QUIPS, and GRADE assessments were conducted dually, the reviewers' decisions were not entirely independent, as each reviewer primarily assessed half of the included studies and verified the other reviewer's judgements for the remaining studies before joint discussions to reach consensus.

#### 4.5. Implications

Through comprehensive evaluation of the existing evidence regarding preoperative predictors of reduction in pain and disability after spinal surgery for chronic LBP, we have highlighted certain gaps and issues that should be addressed by further research. Prospective studies could address the participation and attrition biases by reporting the characteristics of patients who were excluded as non-eligible or lost to follow-up. Power analysis and transparent reporting of the analytic assumptions and methods used, and provision of complete data including non-significant results would prevent potentially spurious results contributing to low quality of evidence. Confirmatory studies testing the direction and strength of independent associations while controlling for potential confounders are also needed to provide higher certainty in evidence regarding prognosis [47]. We propose that factors which presented low or very low-quality evidence of potential significant (baseline pain, comorbidities, and psychological factors) or unclear relationships with spinal surgery outcomes (symptom duration and baseline disability) should be tested as independent predictors, while sensory deficits and type of spinal pathology (possibly interacting with age) are adjusted for. If the prognostic value of these factors is confirmed in future studies, further research would be

warranted to evaluate the effectiveness of interventions addressing the modifiable risk factors before surgery to improve its outcomes.

## 4.6. Conclusions

The success of spinal surgery for chronic LBP is susceptible to clinical heterogeneity of patients. We found a likely association between sensory loss and improved disability outcomes, and a potential relationship of spinal stenosis with less pain relief. Age and general education may also contribute to the extent of pain reduction, depending on population characteristics. While these predictors could potentially assist in weighing risks and benefits when deciding on the best course of treatment, at the current quality of evidence they should not determine qualification for surgery. Other sociodemographic factors do not appear to predict surgery outcomes, while symptom duration is likely unrelated to pain outcomes but may be adversely related to disability outcomes, similar to baseline disability. The associations between spinal surgery outcomes and other potential predictors are less certain. More high-quality confirmatory studies are needed to establish reliable prognostic factors for patients with chronic LBP.

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#### Supplemental Digital Content

Supplemental Digital Content 1.doc Search strategies for electronic databases
Supplemental Digital Content 2.doc Elaboration on eligibility criteria and decision rules
Supplemental Digital Content 3.doc Data extraction form template
Supplemental Digital Content 4.doc Risk of bias assessment form template
Supplemental Digital Content 5.doc Excluded full text reports with reasons
Supplemental Digital Content 6.doc Risk of bias judgements
Supplemental Digital Content 7.doc Results of syntheses and discussion of non-predictors of pain

and disability outcomes

Supplemental Digital Content 8.doc GRADE quality of evidence assessment

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## **Figure legends**

**Figure 1.** Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) flow diagram [27]. Reports reflect individual published articles, and in some cases more than one report based on the same study was published. Note that some studies reported more than one outcome of interest, therefore, the numbers of included studies and reports per outcome do not add up to the total number of included studies and reports.

**Figure 2.** Risk of bias across 21 included reports in each Quality of Prognosis Studies (QUIPS) [44] domain and overall assessment of risk of bias across all domains.

**Figure 3.** Overall quality of evidence for the reviewed associations according to Grading of Recommendations, Assessment, Development and Evaluations (GRADE) framework [46,47]. QST, quantitative sensory testing.





	Change in	pain int	ensity	Change in disability			
Baseline predictors	N of cohorts (patients)	Effect	Overall quality	N of cohorts (patients)	Effect	Overall quality	
Sociodemographic							
Demographic	5 (680)	×	0000	4 (656)	$\times$	0000	
Socioeconomic	3 (326)	×	0000	1 <mark>(</mark> 93)	×	0000	
Health-related							
Diagnosis	5 (893)	$\checkmark$	0000	1 (164)	$\times$	0000	
Symptom duration	4 (4066)	×	0000	5 (4474)	?	0000	
Pain	4 (379)	$\checkmark$	0000	2 (141)	×	0000	
QST	2 (116)	×	0000	1 <mark>(</mark> 48)	$\checkmark$	0000	
Disability	4 (318)	×	0000	4 <b>(</b> 313)	?	0000	
Comorbidities	3 (326)	$\checkmark$	0000	3 (897)	$\times$	0000	
Sleep				1 <mark>(</mark> 48)	$\times$	0000	
Psychological							
Pain-related	3 (388)	$\checkmark$	0000	3 (383)	$\times$	0000	
Affective-motivational	3 (273)	$\checkmark$	0000	2 <b>(</b> 90)	$\checkmark$	0000	
Personality				1 (183)	$\checkmark$	0000	

## Sig. association: Yes $\checkmark$ , No $\times$ , Unclear ?

## Quality of evidence:

HighModerateLowVery low

**Table 1.** Inclusion and exclusion criteria.

		Include		Exclude
Population	٠	Adults (≥18 years)	•	Revision surgery / history of previous lumbar
	•	Chronic (lasting or recurring for ≥3 months)		spine surgery
		low back pain and / or lumbar radicular pain	•	Pathology of tumor, trauma, infection, or
		(pain radiating to the leg due to nerve root		inflammatory disease
		compression)	•	Spinal Cord Stimulator implantation /
	•	Primary lumbar / lumbosacral spine surgery		injections, chemical or radiofrequency
				interventions
Predictors	٠	Preoperative assessment of prognostic factors	•	Intraoperative, genetic, or radiographic
				predictors
Outcomes	•	Change in back and / or leg pain intensity	•	Only postoperative assessment of outcomes
	•	Change in function / disability		without baseline reference
	•	Change in Core Outcome Measure Index	•	Pain / disability assessed only using measures
				without a continuous score or as part of a
				composite outcome
Timing	•	Outcomes assessed ≥3 months post-surgery		
		(no upper follow-up limit)		
Setting	٠	Spinal surgery sites or registries / databases of		
		operated patients		
Study	•	Randomized / nonrandomized controlled	•	No investigation of associations between
design		study		preoperative factors and postoperative
	•	Cohort study		outcomes
	•	Case-control study	•	Case study / series
	•	Registry / database study		
Publication	٠	Original research	•	Review
type	•	Peer-reviewed	•	Conference abstract
	•	English language		
	•	Publication period from 1984		

## Table 2. Study characteristics.

Study ID, setting	Study type		Рори	lation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,	-		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
Anderson 2006	Prospective	Exclusion:	N = 106; Age <48	Discogenic LBP	Anterior lumbar	Work status at time of	Change in pain (0-	24 months
[53]; Orthopedic	cohort	significant	and >48; Duration		interbody fusion	surgery; Smoking;	10 VAS); Change in	(81%)
Surgery and		psychosocial	≥6 months			Gender; Worker's	disability (RMDQ)	
Rehabilitation		abnormalities				compensation; Age;		
Department,		following				Baseline pain; Baseline		
University		psychological				disability; Levels fused;		
Hospital		assessment				Cage type		
(US)								
Cushnie 2019	Retrospective	Inclusion:	N = 466; Age M =	Degenerative	Decompression	Symptom duration	Change in disability	12 months
[54]; 18	cohort	neurogenic	65, SD = 11; 62%	stenosis			(ODI); Change in	(69%)
Neurosurgery and	(registry-	claudication or	male; Duration				physical functioning	
Orthopedic Spine	based)	radiculopathy as	6% 3-6, 18% 6-12,				(SF-12 PCS); Change	
Surgery Hospitals,		chief complaint;	27% 12-24, 46%				in leg pain (0-10	
part of		Exclusion:	>24 months				NRS)	

Study ID, setting	Study type		Pop	ulation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,	_		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
prospective		scoliosis,						
multicenter		spondylolisthesis						
Canadian Spine								
Outcomes and								
Research								
Network registry								
(Canada)								
Ekman 2009 [55];	Prospective	Inclusion:	N = 164; Age 18–	Isthmic	47%	Pain drawing; Sciatica;	Change in pain	24 months
University	cohort	severely	55, M = 40; 43%	spondylolisthesis	posterolateral	Work status <sup>d</sup>	(Pain Index);	(98%)
Hospital, Spine		restricted	male; Duration		fusion (78% 1-		Change in disability	
Centre, 2 General		function for ≥1	≥12 months		level, 22% multi-		(DRI)	
Hospitals		year; Exclusion:			level), 53%			
(Sweden)		drug or alcohol			posterolateral			
		abuse, psychiatric			interbody fusion			
		disorders						

Study ID, setting	Study type		Рори	lation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,	-		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
					(76% 1-level, 24%			
					multi-level)			
Gepstein 2007	Retrospective	Inclusion:	N = 220; [Arab	Spinal stenosis	58%	Ethnicity; Leg pain; Night-	Change in pain	M = 46
[48]; 2 Spinal	case-control	disabling back/leg	cohort] N = 69;		decompressive	time pain; Peripheral	(VAS); Change in	months
Units, University	(internal	pain and	Age 65-NR, M =		laminectomy,	arterial disease; Diabetes;	function (Barthel	(80%)
Hospital (Israel)	registry)	progressive	71; 53% male;		22% discectomy,	Osteoarthritis; Total joint	Index)	
		decline in walking	Duration M =		20% both	replacement; Depression;		
		ability	58.08 months;			Number of comorbidities;		
			[Jewish cohort] N			ASA class; Gender; Age;		
			= 151; Age 65-NR,			BMI; Education level		
			M = 72; 52%					
			male; Duration M					
			= 42.61 months					
Hagg 2003 [59];	RCT, post-hoc	Inclusion: severe	N = 201; Age 25–	Degenerative	Posterolateral	Neuroticism	Change in disability	24 months
19 Orthopedic	analysis	CLBP of ≥2 years	64, M = 43, SD =	spondylosis	fusion (67%		(ODI)	(91%)

Study ID, setting	Study type		Popula	ation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,			Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
Departments		duration, back	8; 49% male;		instrumented,			
(Sweden)		pain more severe	Duration 24–408,		33% non-			
		than leg pain, no	M = 94.08, SD =		instrumented)			
		radiculopathy, ≥1	81.84 months					
		year of sick leave						
		/ equivalent						
		disability / failed						
		conservative						
		treatment, ≥7/10						
		Function and						
		Working Disability						
		Score; Exclusion:						
		psychiatric illness,						
		spondylolisthesis,						
		spinal stenosis,						
		painful and						

Study ID, setting	Study type		Рори	lation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,	-		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
		disabling arthritic						
		hip joints						
Hegarty 2012 [9];	Prospective	Inclusion: ASA	N = 53;	Disc herniation	Microdiscectomy	Age; Gender; Duration of	Persistent post-	3 months
Neurosurgery	cohort	classification I-II,	[PPSP] N = 20;	with nerve root	(open)	pain; Pain quality and	surgical pain	(100%)
Institute (Ireland)		failed ≥12 weeks	Age 27–50, Mdn =	compression		severity; Physical	(change in pain on	
		non-operative	40; 45% male;			disability; Anxiety;	movement, 0-10	
		treatment;	Duration 3-60,			Depression; Pain coping	VAS)	
		Exclusion: cauda	Mdn = 9; [no			strategies; Health-related		
		equina syndrome,	PPSP] N = 33; Age			quality of life; Sensory,		
		spinal or genetic	22–55, Mdn = 39;			pain perception, and		
		abnormalities,	57% male;			tolerance thresholds		
		pregnancy,	Duration 3-48,					
		gabapentin /	Mdn = 6 months					
		pregabalin /						
		opioids use 2						

Study ID, setting	Study type		Рорь	ulation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,	-		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
		weeks before						
		surgery, other						
		pain syndrome						
		occurring ≥weekly						
		with ≥3/10						
		intensity, refusal						
		to return for						
		follow-up						
Kim 2015a <sup>c</sup> [49];	Prospective	Exclusion: history	N = 171; Age 40–	Spinal stenosis	40% fusion, 60%	Pain sensitivity	Change in disability	12 months
Orthopedic	cohort	of major	80, M = 62, SD =		decompression		(ODI); Change in	(73%)
Surgery		psychiatric	14; 36% male;				back pain (0-10	
Department,		disorder,	Duration M =				VAS); Change in leg	
University		peripheral	10.91, SD = 9.05				pain (0-10 VAS);	
Hospital (South		vascular disease,	months				Change in physical	
Korea)		concurrent						

Study ID, setting	Study type		Рори	lation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,	-		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
		serious medical					functioning (SF-36	
		condition					PCS)	
Kim 2015b <sup>c</sup> [50];	Prospective	Exclusion: history	N = 138; Age 40–	Spinal stenosis	48% fusion, 52%	Pain catastrophizing	Change in disability	12 months
Orthopedic	cohort	of major	80, M = 66, SD =		decompression;		(ODI); Change in	(75%)
Surgery		psychiatric	11; 34% male;		70% 1-level, 30%		back pain (0-10	
Department,		disorder,	Duration M =		multi-level		VAS); Change in leg	
University		peripheral	11.89, SD = 8.91				pain (0-10 VAS);	
Hospital (South		vascular disease,	months				Change in physical	
Korea)		concurrent					functioning (SF-36	
		serious medical					PCS)	
		condition						
McGuire 2014 <sup>c</sup>	RCT &	Inclusion:	N = 413; Age M =	Spinal stenosis	87%	Extreme obesity; Age;	Change in physical	48 months
[60]; 13	observational	neurogenic	63, SD = 12; 62%		decompression,	Gender; Race; Smoking	function (SF-36 PF);	(70%)
Multidisciplinary	cohort, post-	claudication or	male; Duration		6% instrumented	status; Compensation	Change in disability	
Spine Clinics (US)		radicular pain			fusion, 5% non-	status; Comorbidities		

Study ID, setting	Study type		Рори	lation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,	_		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
	hoc subgroup	with neurologic	61% <12, 39% ≥12		instrumented	(joint, stomach, bowel	(ODI, MODEMS	
	analysis	signs, symptoms	months		fusion	and intestinal problems,	version)	
		for ≥12 weeks,	N = 391; Age M =	Degenerative	71%	osteoporosis, other);		
		surgical	65, SD = 10; 31%	spondylolisthesis	instrumented	Number of		
		candidates;	male; Duration		fusion, 21% non-	moderately/severely		
		Exclusion:	65% <12, 35% ≥12		instrumented	stenotic levels; Self-		
		spondylosis,	months		fusion, 6%	assessed baseline health		
		isthmic			decompression	trend; Treatment		
		spondylolisthesis				preference; Baseline		
						stenosis bothersomeness;		
						Baseline score on		
						outcome measure;		
						Centre		
Muller 2019 [58];	Prospective	Inclusion:	N = 141; Age M =	Degenerative	Decompression	Electrical pain detection	Failed back surgery	12 months
3 tertiary care	cohort	lumbosacral	61, SD = 14; 42%	pathology: 55%	(35% without	thresholds; Pressure pain	syndrome	(97%)

Study ID, setting	Study type		Рори	lation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,			Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
centers,		radiculopathy,	male; Duration	spinal stenosis,	instrumental	detection and tolerance	(persistence of	
Department of		chronic low back	24% >60, 100% ≥3	63%	stabilization); 68%	thresholds; Heat pain	pain, 0-10 NRS)	
Anesthesiology		pain ≥3/10 on	months	spondylolisthesis,	1-level, 32%	detection thresholds;		
and Pain		NRS on most		77% endplate	multi-level	Cold pain detection		
Medicine		days/week;		changes, 15%		thresholds; Cold pressor		
(Switzerland)		Exclusion:		scoliosis, 49%		test; Conditioned pain		
		bilateral pain		severe facet joint		modulation; Type of		
		below the knees,		degeneration,		surgery; Number of		
		neurological		87% severe disc		operated segments;		
		comorbidities,		degeneration,		Gender; Catastrophizing;		
		psychiatric		12% ≥50% fatty		BMI; Lasègue sign; Finger-		
		comorbidities		degeneration		floor distance; Baseline		
		(except		muscles		disability; Non-opioid and		
		depression),				opioid analgesics intake		
		previous						
		instrumented						

Study ID, setting	Study type		Рори	lation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,	-		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
		spinal surgery,						
		current surgery						
		>3 segments,						
		multiple somatic						
		comorbidities,						
		unable to contact						
		before surgery						
Patel 2019 [51];	Retrospective	Inclusion: surgery	N = 130; Age M =	Degenerative	1-level	Baseline disability; BMI;	Change in physical	12 months
Orthopedic	cohort	between 2015-	52, SD = 11; 58%	pathology	transforaminal	Worker's compensation	function (PROMIS	(100%)
Surgery	(internal	2017; Exclusion:	male; Duration M		interbody fusion		PF); Change in	
Department (US)	registry)	multi-level fusion,	= 33.2, SD = 50,				disability (ODI);	
		unavailable pre-	Mdn = 14 months				Change in physical	
		operative PROMIS					functioning (SF12	
		or immediate					PCS); Change in	
		post-operative					back pain (VAS);	

Study ID, setting	Study type		Рори	lation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,	-		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
		pain and narcotics					Change in leg pain	
		use data					(VAS)	
Radcliff 2011 <sup>c</sup>	RCT &	Inclusion:	N = 413; Age M =	Spinal stenosis	86%	Symptom duration; Age;	Change in physical	48 months
[61]; 13	observational	neurogenic	63, SD = 12; 62%		decompression,	Gender; BMI; Race;	function (SF-36 PF);	(70%)
Multidisciplinary	cohort, post-	claudication or	male; Duration		6% instrumented	Smoking status;	Change in disability	
Spine Clinics (US)	hoc subgroup	radicular pain	61% <12, 39% ≥12		fusion, 5% non-	Compensation status;	(ODI, MODEMS	
	analysis	with neurologic	months		instrumented	Comorbidities (joint,	version)	
		signs, symptoms			fusion	stomach, bowel and		
		for ≥12 weeks,	N = 391; Age M =	Degenerative	71%	intestinal problems,		
		surgical	65, SD = 10; 31%	spondylolisthesis	instrumented	osteoporosis, other);		
		candidates;	male; Duration		fusion, 21% non-	Number of moderately /		
		Exclusion:	65% <12, 35% ≥12		instrumented	severely stenotic levels;		
		spondylosis,	months		fusion, 5%	Self-assessed baseline		
		isthmic			decompression	health trend; Treatment		
		spondylolisthesis				preference; Baseline		

Study ID, setting	Study type		Рори	lation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,	_		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
						stenosis bothersomeness;		
						Baseline score on		
						outcome measure;		
						Centre		
Rihn 2012° [62];	RCT &	Inclusion:	N = 413; Age M =	Spinal stenosis	87%	Obesity; Age; Gender;	Change in physical	48 months
13	observational	neurogenic	63, SD = 12; 62%		decompression,	Race; Smoking status;	function (SF-36 PF);	(70%)
Multidisciplinary	cohort, post-	claudication or	male; Duration		6% instrumented	Compensation status;	Change in disability	
Spine Clinics (US)	hoc subgroup	radicular pain	61% <12, 39% ≥12		fusion, 5% non-	Comorbidities (joint,	(ODI, MODEMS	
	analysis	with neurologic	months		instrumented	stomach, bowel and	version)	
		signs, symptoms			fusion	intestinal problems,		
		for ≥12 weeks,	N = 391; Age M =	Degenerative	71%	osteoporosis, other);		
		surgical	65, SD = 10; 31%	spondylolisthesis	instrumented	Number of moderately /		
		candidates;	male; Duration		fusion, 21% non-	severely stenotic levels;		
		Exclusion:	65% <12, 35% ≥12		instrumented	Self-assessed baseline		
		spondylosis,	months			health trend; Treatment		

Study ID, setting	Study type		Рори	lation	Index & Comparator prognostic factors <sup>a</sup>	Outcomesª	Timing	
		Inclusion /	Sample	Diagnosis	Surgery (type,	_		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
		isthmic			fusion, 6%	preference; Baseline		
		spondylolisthesis			decompression	stenosis bothersomeness;		
						Baseline score on		
						outcome measure;		
						Centre		
Schade 1999 [63];	Prospective	Inclusion:	N = 46; Age 20–	Disc herniation	Discectomy	Baseline disability; Extent	Change in disability	24, range
3 Orthopedic and	case-control,	employed, 6-8	50, M = 35; 74%	with radicular leg		of neural compromise;	(RMDQ)	23–30
Neurosurgery	post-hoc	weeks of failed	male; Duration	pain		Job-related resignation;		months
Departments	subgroup	conservative	46% 3-6, 26% 6-			Depression; Vitality <sup>e</sup>		(91%)
(Switzerland,	analysis	treatment,	12, 28% >12					
Canada, US)		availability for	months					
		clinical and MRI						
		examination						
		before surgery;						
		Exclusion: rapid						

Study ID, setting	Study type		Рори	lation	Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing	
		Inclusion /	Sample	Diagnosis	Surgery (type,	-		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
		progressive motor						
		deficit, cauda						
		equina syndrome,						
		no Swiss						
		residency						
Sigmundsson	Prospective	Exclusion: fusion,	N = 109; Age M =	Spinal stenosis	Decompression	Walking distance; Spinal	Change in leg pain	12 months
2012 [52];	cohort	high-grade	71, SD = 10; 51%			pathology; Age; Baseline	(0-100 VAS)	(90%)
Orthopedic	(internal	spondylolisthesis,	male; Duration			leg and back pain;		
Department, part	registry)	low-grade	42% (leg) and			Duration of leg and back		
of prospective		spondylolisthesis	49% (back) >24			pain		
Swedish Spine		with spondylosis,	months					
Register (Sweden)		instability, higher						
		level of back pain						
		than leg pain						

Study ID, setting	Study type		Рори	lation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,			Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
Støttrup 2019	Retrospective	Inclusion: 1st	N = 1531; Age M	Disc herniation	Discectomy	Duration of leg pain	Change in disability	12 months
[56]; Centre for	cohort	episode, 12 weeks	= 46, SD = 15;	with radicular leg			(ODI); Change in	(79%)
Spine Surgery and	(registry-	of failed	52% male;	pain			back pain (0-100	
Research, part of	based)	conservative	Duration 72% 3-				VAS); Change in leg	
prospective		treatment;	12, 28% >12				pain (0-100 VAS)	
national		Exclusion: cauda	months					
DaneSpine		equina syndrome,						
registry		severe neurologic						
(Denmark)		deficits						
Stromqvist 2008	Retrospective		N = 301; Age 18–	Disc herniation	Disc degeneration	Gender	Change in leg pain	12 months
[57]; Orthopedic	cohort		82, M = 42; 55%		surgery (41%		(0-100 VAS);	(80%)
Department, part	(internal		male; Duration		microscopic, 59%		Change in back pain	
of prospective	registry)		most patients 3-		open)		(0-100 VAS);	
Swedish Spine			12, 10% ≥24				Change in health	
Register (Sweden)			months				outcomes (SF-36)	

Study ID, setting	Study type		Рори	lation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,	-		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
Tampin 2020 [64];	Prospective	Inclusion:	N = 53; Age 18–	Disc herniation	Microdiscectomy	Mechanical detection	Change in disability	12 months
Neurosurgery	cohort	radicular leg pain	65, M = 38, SD =	with radicular leg		threshold; Gender;	(ODI)	(91%)
Department		in L5 or S1	11; 51% male;	pain		Baseline leg and back		
(Australia)		dermatomal	Duration 4–50, M			pain intensity and		
		distribution due	= 11.7, SD = 7.5			bothersomeness;		
		to nerve root	months			Baseline disability;		
		compression at				Symptom duration;		
		L4/5 or L5/S1,				Anxiety; Depression; Pain		
		elective				catastrophizing;		
		neurosurgery				Kinesiophobia; Sleep		
		waitlist;				quality; Physical and		
		Exclusion:				mental functioning		
		diabetes,						
		vascular,						
		neurological, or						

Study ID, setting	Study type		Рори	lation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,	-		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
		psychiatric						
		disease						
Watkins 1986	Retrospective	Inclusion:	N = 42; Age 27–	CLBP of	Anterior	Gender; Age <sup>d</sup>	Change in disability	≥24 months
[65]; Orthopedic	cohort	disabling CLBP,	56, M = 42, SD =	intervertebral and	interbody fusion		(ODI)	(100%)
Hospital (UK)	(internal	available baseline	1; 55% male;	nerve root				
	registry)	and >2 years	Duration 12–300,	etiology				
		follow-up	M = 103.2, SD =					
		outcomes	13.2 months					
Zweig 2011 [11];	Retrospective	Inclusion: ≥6	N = 433; Age 19-	37% degenerative	1-level total disc	Type of pathology;	Change in back pain	12-35, M =
SWISSspine	cohort	months failed	65, M = 42, SD =	disc disease, 16%	replacement	Gender; Age; Pre-	(0-100 VAS);	22, SD = 8
prospective	(registry-	conservative	9.2; 40% male;	disc herniation		operative pain	Change in leg pain	months
multicenter	based)	treatment, 1-3	Duration ≥6	without		medication;	(0-100 VAS)	(100%)
registry		years follow-up	months	radiculopathy,		Intervertebral level		
(Switzerland)		available		17%		operated; Depression;		
				radiaulanathu				

radiculopathy

Study ID, setting	Study type		Рори	lation		Index & Comparator prognostic factors <sup>a</sup>	Outcomes <sup>a</sup>	Timing
		Inclusion /	Sample	Diagnosis	Surgery (type,	-		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
				without disc		Type of work; Working		
				herniation		activity level		
				(stenosis), 30%				
				disc herniation				
				with				
				radiculopathy				
Zweig 2017 [10];	Retrospective	Inclusion:	N = 2016; Age 22-	Degenerative	Decompression	Duration of conservative	Change in back pain	3–30, M =
Spine Tango	cohort	preoperative and	97, M = 68, SD =	spinal stenosis		treatment; Age; Gender;	(0-10 NRS); Change	17, SD = 8
prospective	(registry-	≥1 postoperative	11; 53% male;			ASA; Number of affected	in leg pain (0-10	months
multicenter	based)	COMI, ASA	Duration 38% 6–			segments; Level	NRS); Change in	(100%)
registry		classification,	12, 62% >12			operated; Surgical goal;	COMI (0-10)	
(Australia,		eligible for ≥3	months			Patient-reported main		
Belgium,		months follow-				problem; Type of surgery;		
Germany, Poland,		up; Exclusion:				Follow-up duration;		
		spondylolisthesis,						

Study ID. setting	Study type		Popul	ation	Index & Comparator	Outcomes <sup>a</sup>	Timing	
,						prognostic factors <sup>a</sup>		5
		Inclusion /	Sample	Diagnosis	Surgery (type,	_		Follow-up
		exclusion criteria <sup>b</sup>	characteristics	(pathology)	levels)			(resp. rate)
Switzerland, UK,		deformity,				Baseline back pain, leg		
US)		countries without				pain, COMI score		
		a validated						
		language COMI						

ASA, American Society of Anesthesiologists; BMI, Body Mass Index; CLBP, Chronic Low Back Pain; COMI, Core Outcome Measures Index; DRI, Disability Rating Index; HNP, herniated nucleus pulposus; LBP, Low Back Pain; M, mean; Mdn, median; MODEMS, Musculoskeletal outcomes Data Evaluation and Management Systems; MRI, Magnetic Resonance Imaging; NR, not reported; NRS, Numeric Rating Scale; ODI, Oswestry Disability Index; PCS, Pain Catastrophizing Scale; PPSP, Persistent Post-Surgical Pain; PROMIS, Patient-Reported Outcomes Measurement Information System; PSQ, Pain Sensitivity Questionnaire; RCT, Randomized Controlled Trial; RMDQ, Roland Morris Disability Questionnaire; SD, standard deviation; SF-36 / SF-12, Short Form Health Survey; VAS, Visual Analog Scale.

<sup>a</sup> Only eligible index prognostic factors or those included in eligible analyses as comparator prognostic factors are listed; only eligible outcomes are listed.

<sup>b</sup> Listed only inclusion/exclusion criteria that were not covered by the eligibility criteria for the systematic review.

<sup>c</sup> Reports based on the same or overlapping populations: Kim 2015a and Kim 2015b (largely overlapping cohorts); McGuire 2014, Radcliff 2011, and Rihn 2012 (the same two subgroups from

the SPORT trial, spinal stenosis and degenerative spondylolisthesis cohorts are reported separately due to how they were analyzed).

<sup>d</sup> Data could not be extracted due to insufficient reporting.

<sup>e</sup> Unclear which additional candidate predictors were considered due to selection of factors for multivariate modeling based on significance of their univariate associations with outcome and missing information on those that were not significant.

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
	Sociodemographic					
	Age					
Anderson	Age <48 years vs >48	VAS (0-10) 30% pain	24 (M =	106	I. Multivariate logistic regression; adjusted for:	I. <48 years: OR = 0.63 (0.21 to 1.92),
2006 [53]	years	reduction	30)		baseline work status (working), smoking (yes),	p = .41;
					gender (NR), worker's compensation (yes), age	>48 years: OR = 0.78 (0.19 to 3.23), p
					>48, baseline pain (0-10 VAS), baseline disability	= .73
					(RMDQ), levels fused (single), cage type (BAK)	
Hegarty	Age (years)	No PPSP (≥70%	3	53	I. Multivariate logistic backward stepwise	I. b =05, SE = .04, Wald = 1.8, p =
2012 [9]		reduction in 0-10 VAS			regression; adjusted for: present pain intensity,	.16, OR = 1.0, chi-square = 1.9, p = .16
		movement related			disability (RMDQ)	
		pain intensity [5min				II. No PPSP Mdn = 39, range 22 – 55,
		walking test] in the			II. Mann-Whitney U test (unadjusted);	PPSP Mdn = 40, range 27 – 50; U =
		past 2 weeks) vs PPSP			III. Spearman's rho correlation (unadjusted)	284, z = 0.85, p = .39;
		(<70% reduction)				III. rho =11, p = .41;

**Table 3.** Effects of prognostic factors on change in pain from baseline to the last available follow-up.

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
Gepstein	Age (years)	VAS (0-10) pain	M = 46	220 (69	I. Multiple linear regression; adjusted for: [Arab]	I. Arab: b = -0.27, SE = 0.08, beta = -
2007 [48]		change		Arab, 151	diabetes (yes), osteoarthritis (yes), peripheral	0.31, t = -3.42, p = .001 (R <sup>2</sup> = 0.27)
				Jewish)	arterial disease (yes)	
						II. Arab: r =408, p < .001; Jewish: r =
					II. Spearman's rank correlation (unadjusted)	203, p < .05
	Gender					
Anderson	NR (reference level	VAS (0-10) 30% pain	24 (M =	106	I. Multivariate logistic regression; adjusted for:	I. OR = 1.46 (0.54 to 3.97), p = .45
2006 [53]	not specified –	reduction	30)		baseline work status (working), smoking (yes),	
	direction of the				worker's compensation (yes), age <48, age >48,	
	effect uncertain)				baseline pain (0-10 VAS), baseline disability	
					(RMDQ), levels fused (single), cage type (BAK)	
Stromqvist	Female vs male	VAS (0-100) leg pain	12	301 (136	I. Analysis of covariance (unadjusted – covariates	I. Female M = 20, male M = 16, p > .05
2008 [57]		mean reduction		female, 165	not specified)	
		VAS (0-100) back pain		male)		I. Female M = 36, male M = 40, p > .05
		mean reduction				

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
Gepstein	Female vs male	VAS (0-10) pain	M = 46	220 (69	I. Wilcoxon rank sum test (unadjusted)	I. Arab: r = .27, p < .05; Jewish: r = .19,
2007 [48]		change		Arab, 151		p < .05
				Jewish)		
Hegarty	Male vs female	No PPSP (≥70%	3	53 (28 male,	I. Chi-square test (unadjusted);	I. 19 male, 14 female had no PPSP, *
2012 [9]		reduction in 0-10 VAS		25 female)	II. Spearman's rho correlation (unadjusted)	OR = 1.66 (0.54 to 5.08);
		movement related				II. rho =08, p = .56
		pain intensity [5min				
		walking test] in the				
		past 2 weeks) vs PPSP				
		(<70% reduction)				
	Ethnicity					
Gepstein	Israeli Arabs vs Israeli	VAS (0-10) pain mean	M = 46	220 (69	I. Independent samples t-test (unadjusted)	I. Arab M = 4.91, SD = 0.41, Jewish M
2007 [48]	Jews	reduction		Arab, 151		= 4.85, SD = 2.7, p > .05, *d = -0.03 (-
				Jewish)		0.31 to 0.26)

Education

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
Gepstein	Years of education	VAS (0-10) pain	M = 46	220 (69	I. Multiple linear regression; adjusted for: [Jewish]	I. Jewish: b = 0.13, SE = 0.05, beta =
2007 [48]		change		Arab, 151	diabetes (yes), osteoarthritis (yes), total joint	0.16, t = 2.53, p = .012 (R <sup>2</sup> = 0.44);
				Jewish)	replacement (yes), comorbidities (number);	
						II. Arab: r = .17, p > .05; Jewish: r =
					II. Spearman's rank correlation (unadjusted)	.27, p < .001
	Work status					
Anderson	Working (including	VAS (0-10) 30% pain	24 (M =	105 (49	I. Multivariate logistic regression; adjusted for:	I. OR = 0.78 (0.28 to 2.21), p = .64
2006 [53]	home working and	reduction	30)	working, 65	smoking (yes), gender (NR), worker's	
	studies) vs not			not	compensation (yes), age <48, age >48, baseline	
	working			working)	pain (0-10 VAS), baseline disability (RMDQ), levels	
					fused (single), cage type (BAK)	
	Worker's compensatio	n				
Anderson	Compensation claim	VAS (0-10) 30% pain	24 (M =	106 (50	I. Multivariate logistic regression; adjusted for:	I. OR = 2.07 (0.75 to 5.75), p = .16
2006 [53]	vs no compensation	reduction	30)	compensati	baseline work status (working), smoking (yes),	
				on, 36 no	gender (NR), age <48, age >48, baseline pain (0-10	
				compensati	VAS), baseline disability (RMDQ), levels fused	
				on)	(single), cage type (BAK)	

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
	Health-related					
	Spinal pathology					
Zweig 2011	Degenerative disc	VAS (0-100) back pain	12-36 (M	433 (160	I. General linear model; adjusted for: gender	I. DDD M = 49.8, HNP-NoRad M =
[11]	disease (DDD) vs	reduction	= 22)	DDD, 68	(female); age; pre-operative pain medication (yes);	45.9, Stenosis M = 32.6, HNP-Rad =
	HNP without			HNP-NoRad,	intervertebral level operated (L3/4 / L4/5 / L5/S1);	45.2;
	radiculopathy (HNP-			73 stenosis,	pharmacologically treated depression (yes); type of	Stenosis < DDD, p = .001; Stenosis <
	NoRad) vs Stenosis			132 HNP-	work (sedentary / physical / housewife / retired /	HNP-NoRad, p = .032; Stenosis < HNP-
	vs HNP with			Rad)	unemployed); working activity level (unable to	Rad, p = .064
	radiculopathy (HNP-	VAS (0-100) leg pain			work / 10-40% / 50-90% / 100%)	I. DDD M = 34.7, HNP-NoRad M =
	Rad)	reduction				33.4, Stenosis M = 21.8, HNP-Rad M =
						34;
						Stenosis < DDD, p = .026; Stenosis <
						HNP-Rad, p = .040
		VAS (0-100) back pain			I. Univariate logistic regression (unadjusted)	I. 84% DDD, 77.4% HNP-NoRad, 60.6%
		≥18 vs <18 points				Stenosis, 71.7% HNP-Rad ≥18
		reduction				reduction;
						Stenosis < DDD, p = .002; Stenosis <

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
						HNP-NoRad, p = .16; Stenosis < HNP-
						Rad, p = .54
		VAS (0-100) leg pain				I. 66.7% DDD, 56.5% HNP-NoRad,
		≥18 vs <18 points				60.1% Stenosis, 71.7% HNP-Rad ≥18
		reduction				reduction;
						HNP-Rad > DDD, p = 1.00; HNP-Rad >
						HNP-NoRad, p = .25; HNP-Rad >
						Stenosis, p = .77
Sigmundsson	Levels of stenosis:	VAS (0-100) leg pain	12	76	I. Multivariable regression; adjusted for: age,	I. Multi-level: B = -15 (-30 to -0.2), p =
2012 [52]	multi-level vs 1-level	reduction			baseline leg and back pain (0-100 VAS), baseline	.05
					walking distance, duration of leg and back pain	
					(>24 months), spondylolisthesis (yes)	
	Spondylolisthesis vs				I. Multivariable regression; adjusted for: age,	I. Spondylolisthesis: B = -16 (-31 to -1),
	no spondylolisthesis				baseline leg and back pain (0-100 VAS), baseline	p = .04
					walking distance, duration of leg and back pain	
					(>24 months), stenosis (multilevel)	
	Sciatica					

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
Ekman 2009	Sciatica (pain	Pain Index (0-100)	24	164 (119	I. Mann-Whitney U test (unadjusted)	I. Sciatica M = 19, no sciatica M = 30, p
[55]	symbols below the	mean reduction		sciatica, 45		= .85
	knee on Pain			no sciatica)		
	Drawing) vs no					
	sciatica					
Gepstein	Leg pain vs no leg	VAS (0-10) pain	M = 46	220 (69	I. Wilcoxon rank sum test (unadjusted)	I. Arab: r =26, p < .05; Jewish: r = -
2007 [48]	pain	change		Arab, 151		.05, p > .05
				Jewish)		
	Symptom duration					
Cushnie	Duration of the main	NRS (0-10) leg pain	12	466 (26 3-6	I. Wilcoxon signed-rank test (unadjusted)	I. 3-6 months M = -4.7 (-3.4 to -5.9); 6-
2019 [54]	neurologic leg	mean change		months, 85		12 months M = -4.5 (-3.8 to -5.3); 12-
	complaint (months):			6-12		24 months M = -4 (-3.5 to -4.6); >24
	3-6 vs 6-12 vs 12-24			months, 125		months M = -3.7 (-3.3 to -4.2) [fig]
	vs >24			12-24		overlapping CIs - no significant
				months, 230		differences
				>24		
				months)		

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
Zweig 2017	Duration of pre-	NRS (0-10) back pain	3 – 30 (M	2016 (758	I. Chi-square test after propensity score weighing	I. 400 6-12 months, 715 >12 months
[10]	operative	≥2 vs <2 points	= 17)	6-12	adjustment for: age, gender (female), ASA (1 / 2 /	had ≥2 change; *OR = 0.85 (0.71 to
	conservative	change		months,	>2), number of affected segments (1 / 2–3 / >3),	1.02);
	treatment (months):			1258 >12	level operated (L1/2–L2/3 / L3/4 / L4/5 / L5/S1),	II. OR = 0.85 (0.69 to 1.02) [fig];
	6-12 vs >12			months)	surgical goal (pain reduction / functional /	
					neurological improvement), patient-reported main	III. 402 6-12 months, 720 >12 months
					problem (back / leg pain / sensory disturbances /	had ≥2 change; *OR = 0.84 (0.70 to
					other), type of surgery, follow-up duration,	1.01)
					baseline back pain, leg pain, COMI score;	
					II. Multiple logistic regression; adjusted for inverse	
					probability of treatment weight (propensity score),	
					sequestrectomy (yes), foraminotomy (yes)	

III. Chi-square test (unadjusted);

mate (95% CI) <sup>c</sup>
onths, 886 >12 months
onths, 886 >12 months
e; *OR = 0.86 (0.71 to
0.72 to 1.05) [fig];
onths, 860 >12 months
e; *OR = 0.96 (0.79 to
s M = 2.1, SD = 3.2, >12
.2, SD = 3.2; *MD = 0.1
), SE = 0.15, p = .497, d =
0.12);
ns M = 2.1, SD = 3.2, >12
.2, SD = 3.2; *MD = 0.1
Study ID
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Støttrup
2019 [56]

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
				>12		0.002), SE = 2.08, p = .05; d = -0.11 (-
				months)		0.22 to 0.00)
		VAS (0-100) mean leg				I. 3-12 months M = 45.10 (42.87 to
		pain reduction				47.34), >12 months M = 35.21 (31.51
						to 38.91); *MD = -9.89 (-14.14 to -
						5.64), SE = 2.16, p < .001; d = -0.26 (-
						0.37 to -0.15)
Hegarty	Duration of pain	No PPSP (≥70%	3	53	I. Mann-Whitney U test (unadjusted)	I. No PPSP Mdn = 6, range 3 – 48,
2012 [9]	(months)	reduction in 0-10 VAS				PPSP Mdn = 9, range 3 - 60; U = 282, z
		movement related				= 0.89, p = .36
		pain intensity [5min				
		walking test] in the				
		past 2 weeks) vs PPSP				
		(<70% reduction)				
	Pain intensity					
Anderson	VAS (0-10) pain	VAS (0-10) 30% pain	24 (M =	106	I. Multivariate logistic regression; adjusted for:	I. OR = 0.76 (0.58 to 1.00), p = .049
2006 [53]		reduction	30)		baseline work status (working), smoking (yes),	

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
					gender (NR), worker's compensation (yes), age	
					<48, age >48, baseline pain (0-10 VAS), levels fused	
					(single), cage type (BAK)	
Hegarty	Present pain	No PPSP (≥70%	3	53	I. Multivariate logistic backward stepwise	I. b = .53, SE = .34, Wald = 2.6, p = .17,
2012 [9]	intensity (0-5; scale	reduction in 0-10 VAS			regression; adjusted for: age (years), disability	OR = 1.67, chi-square = 2.8 p = .09;
	not clear)	movement related			(RMDQ);	
		pain intensity [5min				II. No PPSP Mdn = 2, range = $0 - 5$ ,
		walking test] in the			II. Mann-Whitney U test (unadjusted);	PPSP Mdn = 2, range 1 - 5; U = 320, z =
		past 2 weeks) vs PPSP			III. Spearman's rho correlation (unadjusted)	-1.8, p = .85, r =25;
		(<70% reduction)				III. rho = .11, p = .43
	Preoperative pain				I. Mann-Whitney U test (unadjusted);	I. No PPSP Mdn = 6.5, range 1 – 10,
	severity (0-10 VAS)				II. Spearman's rho correlation (unadjusted)	PPSP Mdn = 5.7, range 0 - 7; U = 278, z
						= -0.95, p = .34, r =13;
						II. rho =006, p = .96

Pain quality

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
Hegarty	Short form McGill	No PPSP (≥70%	3	53	I. Mann-Whitney U test (unadjusted);	I. No PPSP Mdn = 14, range 2 – 44,
2012 [9]	Pain Questionnaire	reduction in 0-10 VAS			II. Spearman's rho correlation (unadjusted)	PPSP Mdn = 17, range 4 – 43; U = 237,
	score	movement related				z = -1.6, p = .11, r =24;
		pain intensity [5min				II. rho =16, p = .26
		walking test] in the				
		past 2 weeks) vs PPSP				
		(<70% reduction)				
	Night-time pain					
Gepstein	Night-time pain vs no	VAS (0-10) pain	M = 46	220 (69	I. Wilcoxon rank sum test (unadjusted)	I. Arab: r =43, p < .001; Jewish: r = -
2007 [48]	night-time pain	change		Arab, 151		.38, p < .001
				Jewish)		
	Sensory detection thre	eshold				
Hegarty	Electrical sensory	No PPSP (≥70%	3	53	I. Mann-Whitney U test (unadjusted)	I. No PPSP Mdn = 8.7, range 0.9 - 17.2,
2012 [9]	(mA) detection	reduction in 0-10 VAS				PPSP Mdn = 6.9, range 1.2 - 25.3; U =
	threshold on	movement related				277, z = 0.9, p = .33
	contralateral	pain intensity [5min				
	forearm and affected	walking test] in the				

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
	dermatome on the	past 2 weeks) vs PPSP				
	affected and	(<70% reduction)				
	contralateral lower					
	limbs					
	Pain detection thresho	old				
Hegarty	Electrical pain (mA)	No PPSP (≥70%	3	53	I. Mann-Whitney U test (unadjusted)	I. No PPSP Mdn = 18.9, range 3.8 -
2012 [9]	detection threshold	reduction in 0-10 VAS				62.1, PPSP Mdn = 20.5, range 1.5 -
	on contralateral	movement related				82.0; U = 283, z = 0.8, p = .39
	forearm and affected	pain intensity [5min				
	dermatome on the	walking test] in the				
	affected and	past 2 weeks) vs PPSP				
	contralateral lower	(<70% reduction)				
	limbs					
Muller 2019	Electrical pain (mA)	No FBSS (≥30%	12	113	I. Multiple logistic regression; adjusted for:	I. (a) single: OR = 1.54 (0.54 to 4.35), p
[58]	detection threshold	reduction in max. 0-			electrical pain detection on single (b) and repeated	= .42;
	on (a) single, (b)	10 NRS pain intensity			(a) stimulation (mA), pressure pain detection and	(b) repeated: OR = 1.75 (0.65 to 4.55),
	repeated stimulation	during the last 7 days)			tolerance on 2 <sup>nd</sup> toe, 2 <sup>nd</sup> finger, and most pain back	p = .27

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
		vs FBSS (<30%			site (kPa), heat pain detection on leg and most pain	
		reduction)			back site (<50.5 °C), and cold pain detection	
					thresholds on leg and most pain back site (>0.0 °C),	
					cold pressor (hand withdrawal <120s), CPM (%	
					without), type of surgery (instrumented / not),	
					operated segments (multiple / single), gender	
					(female), catastrophizing (PCS), BMI, Lasègue sign	
					(positive), finger-floor distance (>10cm), baseline	
					disability (ODI), non-opioid (yes) and opioid (yes)	
					analgesics	
	Pressure pain (kPa)				I. Multiple logistic regression; adjusted for:	I. (a) 2 <sup>nd</sup> toe: OR = 0.50 (0.18 to 1.45),
	detection threshold				electrical pain detection on single and repeated	p = .20;
	on (a) 2 <sup>nd</sup> toe, (b) 2 <sup>nd</sup>				stimulation (mA), pressure pain detection on $2^{nd}$	(b) 2 <sup>nd</sup> finger: OR = 0.59 (0.24 to 1.47),
	finger, (c) most				toe (b, c), 2 <sup>nd</sup> finger (a, c), and most pain back site	p = .26;
	painful back site				(a, b) and tolerance (kPa), heat pain detection on	(c) back: OR = 1.00 (0.37 to 2.78), p =
					leg and most pain back site (<50.5 $^\circ C)$ , and cold	1.00
					pain detection thresholds on leg and most pain	

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
					back site (>0.0 °C), cold pressor (hand withdrawal	
					<120s), CPM (% without), type of surgery	
					(instrumented / not), operated segments (multiple	
					/ single), gender (female), catastrophizing (PCS),	
					BMI, Lasègue sign (positive), finger-floor distance	
					(>10cm), baseline disability (ODI), non-opioid (yes)	
					and opioid (yes) analgesics	
	Heat pain detection				I. Multiple logistic regression; adjusted for:	I. (a) leg: OR = 1.22 (0.41 to 3.57), p =
	threshold <50.5 °C vs				electrical pain detection on single and repeated	.72;
	≥50.5 °C on (a) leg,				stimulation (mA), pressure pain detection and	(b) back: OR = 1.67 (0.36 to 7.69), p =
	(b) most painful back				tolerance on 2 <sup>nd</sup> toe, 2 <sup>nd</sup> finger, and most pain back	.51
	site				site (kPa), heat pain detection on leg (b) and most	
					pain back site (a) (<50.5 $^\circ$ C), and cold pain	
					detection thresholds on leg and most pain back	
					site (>0.0 $^{\circ}$ C), cold pressor (hand withdrawal	
					<120s), CPM (% without), type of surgery	
					(instrumented / not), operated segments (multiple	

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
					/ single), gender (female), catastrophizing (PCS),	
					BMI, Lasègue sign (positive), finger-floor distance	
					(>10cm), baseline disability (ODI), non-opioid (yes)	
					and opioid (yes) analgesics	
	Cold pain detection				I. Multiple logistic regression; adjusted for:	I. (a) leg: OR = 0.71 (0.26 to 1.96), p =
	threshold >0.0 °C vs				electrical pain detection on single and repeated	.51;
	≤0.0 °C on (a) leg, (b)				stimulation (mA), pressure pain detection and	(b) back: OR = 0.78 (0.29 to 2.08), p =
	most painful back				tolerance on $2^{nd}$ toe, $2^{nd}$ finger, and most pain back	.62
	site				site (kPa), heat pain detection on leg and most pain	
					back site (<50.5 $^{\circ}$ C), and cold pain detection	
					thresholds on leg (b) and most pain back site (a)	
					(>0.0 °C), cold pressor (hand withdrawal <120s),	
					CPM (% without), type of surgery (instrumented /	
					not), operated segments (multiple / single), gender	
					(female), catastrophizing (PCS), BMI, Lasègue sign	
					(positive), finger-floor distance (>10cm), baseline	

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
					disability (ODI), non-opioid (yes) and opioid (yes)	
					analgesics	
	Pain tolerance thresho	bld				
Muller 2019	Pressure pain (kPa)	No FBSS (≥30%	12	113	I. Multiple logistic regression; adjusted for:	I. (a) 2 <sup>nd</sup> toe: OR = 1.00 (0.38 to 2.63),
[58]	tolerance threshold	reduction in max. 0-			electrical pain detection on single and repeated	p = 1.00;
	on (a) 2 <sup>nd</sup> toe, (b) 2 <sup>nd</sup>	10 NRS pain intensity			stimulation (mA), pressure pain tolerance on $2^{nd}$	(b) 2 <sup>nd</sup> finger: OR = 1.27 (0.43 to 3.70),
	finger, (c) most	during the last 7 days)			toe (b, c), 2 <sup>nd</sup> finger (a, c), and most pain back site	p = .66;
	painful back site	vs FBSS (<30%			(a, b) and detection (kPa), heat pain detection on	(c) back: OR = 0.86 (0.30 to 2.44), p =
		reduction)			leg and most pain back site (<50.5 $^\circ C$ ), and cold	.78
					pain detection thresholds on leg and most pain	
					back site (>0.0 °C), cold pressor (hand withdrawal	
					<120s), CPM (% without), type of surgery	
					(instrumented / not), operated segments (multiple	
					/ single), gender (female), catastrophizing (PCS),	
					BMI, Lasègue sign (positive), finger-floor distance	
					(>10cm), baseline disability (ODI), non-opioid (yes)	
					and opioid (yes) analgesics	

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
	Cold pressor test (1.5				I. Multiple logistic regression; adjusted for:	I. OR = 1.20 (0.32 to 4.55), p = .78
	°C), hand withdrawal				electrical pain detection on single and repeated	
	time <120sec vs				stimulation (mA), pressure pain detection and	
	≥120sec				tolerance on $2^{nd}$ toe, $2^{nd}$ finger, and most pain back	
					site (kPa), heat pain detection on leg and most pain	
					back site (<50.5 $^{\circ}$ C), and cold pain detection	
					thresholds on leg and most pain back site (>0.0 °C),	
					CPM (% without), type of surgery (instrumented /	
					not), operated segments (multiple / single), gender	
					(female), catastrophizing (PCS), BMI, Lasègue sign	
					(positive), finger-floor distance (>10cm), baseline	
					disability (ODI), non-opioid (yes) and opioid (yes)	
					analgesics	
Hegarty	Electrical pain (mA)	No PPSP (≥70%	3	53	I. Mann-Whitney U test (unadjusted)	I. No PPSP Mdn = 26.6, range 7 - 95.8,
2012 [9]	tolerance threshold	reduction in 0-10 VAS				PPSP Mdn = 34.1, range 3.1 - 99; U =
	on contralateral	movement related				277, z = 0.9, p = .34
	forearm and affected	pain intensity [5min				

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
	dermatome on the	walking test] in the				
	affected and	past 2 weeks) vs PPSP				
	contralateral lower	(<70% reduction)				
	limbs					
	Conditioned pain mod	lulation (CPM)				
Muller 2019	CPM, percentage of	No FBSS (≥30%	12	113	I. Multiple logistic regression; adjusted for:	I. OR = 0.85 (0.19 to 3.70), p = .83
[58]	participants without	reduction in max. 0-			electrical pain detection on single and repeated	
	increase of pressure	10 NRS pain intensity			stimulation (mA), pressure pain detection and	
	pain detection	during the last 7 days)			tolerance on 2 <sup>nd</sup> toe, 2 <sup>nd</sup> finger, and most pain back	
	threshold on 2 <sup>nd</sup> toe	vs FBSS (<30%			site (kPa), heat pain detection on leg and most pain	
	(test stimulus) after	reduction)			back site (<50.5 $^{\circ}$ C), and cold pain detection	
	cold pressor test				thresholds on leg and most pain back site (>0.0 °C),	
	(conditioning				cold pressor (hand withdrawal <120s), type of	
	stimulus)				surgery (instrumented / not), operated segments	
					(multiple / single), gender (female), catastrophizing	
					(PCS), BMI, Lasègue sign (positive), finger-floor	

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
					distance (>10cm), baseline disability (ODI), non-	
					opioid (yes) and opioid (yes) analgesics	
	Disability / physical fu	nction				
Hegarty	Physical disability	No PPSP (≥70%	3	53	I. Multivariate logistic backward stepwise	I. b =22, SE = .11, Wald = 4.5, p =
2012 [9]	due to low back pain	reduction in 0-10 VAS			regression; adjusted for: age (years), present pain	.03, OR = 0.83, chi-square = 5.9, p =
	(RMDQ score; 0-24)	movement related			intensity	.015;
		pain intensity [5min				
		walking test] in the			II. Mann-Whitney U test (unadjusted)	II. No PPSP Mdn = 16.5, rage 3 – 23,
		past 2 weeks) vs PPSP				PPSP Mdn = 17.5, range 8 - 23; U =
		(<70% reduction)				230, z = -1.8, p = .06, r =25
	Physical Component				I. Independent t-test (unadjusted)	I. No PPSP M = 30.9, SE = 6.4, PPSP M
	Score (PCS; SF-36)				II. Spearman's rho correlation (unadjusted)	= 32.9, SE = 5.9, p = .1; *d = -0.06 (-
						0.62 to 0.50);
						II. rho =24, p = .08
Anderson	RMDQ score (0-24)	VAS (0-10) 30% pain	24 (M =	106	I. Multivariate logistic regression; adjusted for:	I. OR = 1.06 (0.95 to 1.20), p = .30
2006 [53]		reduction	30)		baseline work status (working), gender (NR),	
					worker's compensation (yes), age <48, age >48,	

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
					baseline pain (0-10 VAS), baseline disability	
					(RMDQ), levels fused (single), cage type (BAK)	
Patel 2019	PROMIS PF (0-100)	VAS (0-100) back pain	12	130 (20	I. Multiple linear regression; adjusted for BMI	I. Mild M = -4.1, moderate M = -3.7,
[51]	disability subgroup:	change		mild, 83	(obesity ≥30 kg/m <sup>2</sup> ), worker's compensation	severe M = -2.2; p = .222
	mild (40-50) vs	VAS (0-100) leg pain		moderate,	insurance (yes)	I. Mild M = -2.8, moderate M = -3.1,
	moderate (30-39.9)	change		27 severe)		severe M = -3.1; p = .229
	vs severe (20-29.9)					
Sigmundsson	Walking distance	VAS (0-100) leg pain	12	76	I. Multivariable regression; adjusted for: age,	I. >1000m walking distance: B = -72 (-
2012 [52]	>1000m vs 500-	reduction			baseline leg and back pain (0-100 VAS), duration of	107 to -37), p < .001
	1000m vs 100-499m				leg and back pain (>24 months), spondylolisthesis	
	vs <100m				(yes), stenosis (multilevel)	
	Physical comorbidity					
Gepstein	Number of	VAS (0-10) pain	M = 46	220 (69	I. Multiple linear regression; adjusted for: [Jewish]	I. Jewish: b = -0.31, SE = 0.13, beta = -
2007 [48]	comorbidities	change		Arab, 151	diabetes (yes), osteoarthritis (yes), total joint	0.19, t = -2.46, p = .015 (R <sup>2</sup> = 0.44);
				Jewish)	replacement (yes), education (years);	
						II. Arab: r =24, p < .05; Jewish: r = -
					II. Spearman's rank correlation (unadjusted)	.25, p < .01

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
	Having vs not having				I. Multiple linear regression; adjusted for: [Arab]	I. Arab: b = -1.26, SE = 0.55, beta = -
	peripheral arterial				age, diabetes (yes), osteoarthritis (yes);	0.20, t = -2.28, p = .026 (R <sup>2</sup> = 0.27);
	disease (ICD-9 code)					
					II. Wilcoxon rank sum test (unadjusted)	II. Arab: r =41, p < .001; Jewish: r = -
						.35, p < .001
	Having vs not having				I. Multiple linear regression; adjusted for: [Arab]	I. Arab: b = -2.07, SE = 0.46, beta = -
	diabetes (ICD-9 code)				age, osteoarthritis (yes), peripheral arterial disease	0.42, t = -4.51, p < .001 (R <sup>2</sup> = 0.27);
					(yes) / [Jewish] osteoarthritis (yes), total joint	Jewish: b = -2.11, SE = 0.54, beta = -
					replacement (yes), education (years),	0.31, t = -3.93, p < .001 (R <sup>2</sup> = 0.44);
					comorbidities (number);	
						II. Arab: r =40, p < .001; Jewish: r = -
					II. Wilcoxon rank sum test (unadjusted)	.49, p < .001
	Having vs not having				I. Multiple linear regression; adjusted for: [Arab]	l. Arab: b = -1.34, SE = 0.54, beta = -
	osteoarthritis (ICD-9				age, diabetes (yes), peripheral arterial disease (yes)	0.23, t = -2.48, p = .016 (R <sup>2</sup> = 0.27);
	code)				/ [Jewish] diabetes (yes), total joint replacement	Jewish: b = -2.29, SE = 0.61, beta = -
					(yes), education (years), comorbidities (number);	0.32, t = -3.75, p < .001 (R <sup>2</sup> = 0.44);

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
					II. Wilcoxon rank sum test (unadjusted)	II. Arab: r =27, p < .05; Jewish: r = -
						.55, p < .001
	Having vs not having				I. Multiple linear regression; adjusted for: [Jewish]	I. Jewish: b = -2.46, SE = 0.85, beta = -
	total joint				diabetes (yes), osteoarthritis (yes), education	0.23, t = -2.89, p = .004 (R <sup>2</sup> = 0.44);
	replacement (ICD-9				(years), comorbidities (number);	
	code)					II. Arab: r = .06, p > .05; Jewish: r =
					II. Wilcoxon rank sum test (unadjusted)	.51, p < .001
	ASA class				I. Wilcoxon rank sum test (unadjusted)	II. Arab: r =03, p > .05; Jewish: r = -
						.29, p < .001
	BMI					
Gepstein	BMI (kg/m²)	VAS (0-10) pain	M = 46	220 (69	I. Spearman's rank correlation (unadjusted)	I. Arab: r =25, p < .05; Jewish: r = -
2007 [48]		change		Arab, 151		.30, p < .001
				Jewish)		
	Smoking					
Anderson	Smoking vs not	VAS (0-10) 30% pain	24 (M =	106	I. Multivariate logistic regression; adjusted for:	I. OR = 1.14 (0.42 to 3.13), p = .80
2006 [53]	smoking	reduction	30)		baseline work status (working), smoking (yes),	
					gender (NR), worker's compensation (yes), age	

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
					<48, age >48, baseline disability (RMDQ), levels	
					fused (single), cage type (BAK)	
	Psychological					
	Pain catastrophizing					
Kim 2015b	PCS total score (0-	VAS (0-10) leg pain	3, 6, 12	138 (68 low	I. Mixed model for repeated measures	I. PCS group p = .040; Time p < .001;
[50]	50): low <25 vs high	reduction		PCS, 70 high	(unadjusted): Fixed effects: PCS (low / high), Time	PCS x Time p = .820
	≥25	VAS (0-10) back pain		PCS)	(baseline / 3 / 6 / 12 months), PCS x Time	I. PCS group p < .001; Time p < .001;
		reduction			interaction; Random effect: Subject	PCS x Time p = .030
Hegarty	PCS a) total score; b)	No PPSP (≥70%	3	53	I. Independent t-test (unadjusted);	a) I. No PPSP M = 31.6, SE = 14.9, PPSP
2012 [9]	helplessness	reduction in 0-10 VAS			II. Spearman's rho correlation (unadjusted)	M = 43.9, SE = 12.6; t(51) = -3.0, p =
	subscale; c)	movement related				.004, r =38;
	rumination subscale;	pain intensity [5min				II. rho =26, p = .06;
	d) magnification	walking test] in the				b) I. No PPSP M = 14.3, SE = 7.2, PPSP
	subscale	past 2 weeks) vs PPSP				M = 20, SE = 6.6; p < .05; *d = -0.15 (-
		(<70% reduction)				0.71 to 0.40)
						c) I. No PPSP M = 5.6, SE = 3.9, PPSP M
						= 9.3, SE = 3.2; p < .001; *d = 0.55 (-

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
						0.74 to 0.37)
						d) I. No PPSP M = 10.8, SE = 5.2, PPSP
						M = 15.3, SE = 4.4; p < .05; *d = -0.17
						(-0.73 to 0.39)
	Pain sensitivity					
Kim 2015a	PSQ total score (0-	VAS (0-10) leg pain	3, 6, 12	171 (87 low	I. Mixed model for repeated measures	I. PSQ group: p < .001; Time p < .001
[49]	10) low <6.5 vs high	reduction	3, 6, 12	PSQ, 84	(unadjusted): Fixed effects: PSQ (low/high), Time	(no contrasts); PSQ x time interaction
	≥ 6.5			high PSQ)	(baseline / 3 / 6 / 12 months), PSQ x Time	p = .950
		VAS (0-10) back pain		171 (87 low	interaction; Random effect: Subject	I. PSQ group p < .001 (overall); Time p
		reduction		PSQ, 84		< .001 (no contrasts); PSQ x time
				high PSQ)		interaction p = .126
		VAS (0-10) leg pain	12	124 (64 low	I. Independent t-test (unadjusted)	I. 51.90% (Low PSQ), 38.87% (High
		mean percent	12	PSQ, 60		PSQ) decrease, p = .206.
		reduction		high PSQ)		
		VAS (0-10) back pain		124 (64 low		I. 42.76% (Low PSQ), 34.55% (High
		mean percent		PSQ, 60		PSQ) decrease, p = .398
		reduction		high PSQ)		

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
	Pain Drawing					
Ekman 2009	Pain Drawing:	Pain Index (0-100)	24	164 (126	I. Mann-Whitney U test (unadjusted)	I. Organic M = 31, non-organic M = 23,
[55]	organic (organic,	mean reduction		organic, 38		p = .09
	possibly organic) vs			non-		
	non-organic (non-			organic)		
	organic, possibly					
	non-organic)					
	Mental functioning					
Hegarty	Mental Component	No PPSP (≥70%	3	53	I. Independent t-test (unadjusted)	I. No PPSP M = 43.7, SE = 11.6, PPSP
2012 [9]	Score (MCS; SF-36)	reduction in 0-10 VAS				M = 37.4, SE = 9.9; p = .1, *d = 0.11 (-
		movement related				0.45 to 0.66)
		pain intensity [5min				
		walking test] in the				
		past 2 weeks) vs PPSP				
		(<70% reduction)				

Anxiety

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
Hegarty	Anxiety subscale	No PPSP (≥70%	3	53	I. Independent t-test (unadjusted);	I. NO PPSP M = 6.2, SE = 2.9, PPSP M =
2012 [9]	score (HADS)	reduction in 0-10 VAS			II. Spearman's rho correlation (unadjusted)	8.5, SE = 3.9; t(51) = -2.4, p = .02, r = -
		movement related				.31;
		pain intensity [5min				II. rho =18, p = .19
		walking test] in the				
		past 2 weeks) vs PPSP				
		(<70% reduction)				
	Depression					
Hegarty	Depression subscale	No PPSP (≥70%	3	53	I. Independent t-test (unadjusted);	I. No PPSP M = 6.6, SE = 3.9, PPSP M =
2012 [9]	score (HADS)	reduction in 0-10 VAS			II. Spearman's rho correlation (unadjusted)	8.5, SE = 4.6; t(51) = -1.47, p = .14, r =
		movement related				1;
		pain intensity [5min				II. rho =17, p = .22
		walking test] in the				
		past 2 weeks) vs PPSP				
		(<70% reduction)				

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure, definition <sup>a</sup>	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
			(months)			
Gepstein	Depression vs no	VAS (0-10) pain	M = 46	220 (69	I. Wilcoxon rank sum test (unadjusted)	I. Arab: r =30, p < .05; Jewish: r = -
2007 [48]	depression (ICD-9	change		Arab, 151		.32, p < .001
	code)			Jewish)		

ASA, American Society of Anesthesiologists; BAK, Bagby and Kuslich cage; BMI, body mass index; COMI, Core Outcome Measures Index; CPM, conditioned pain modulation; d, Cohen's d (standardized mean difference); FBSS, failed back surgery syndrome; HADS, Hospital Anxiety and Depression Scale; HNP, herniated nucleus pulposus; ICD-9, International Classification of Diseases, 9<sup>th</sup> revision; L1-5, lumbar spine segment; M, mean; MD, mean difference; Mdn, median; NR, not reported; NRS, Numeric Rating Scale; ODI, Oswestry Disability Index; OR, odds ratio; PCS, Pain Catastrophizing Scale; PPSP, persistent post-surgical pain; PROMIS PF, Patient-Reported Outcomes Measurement Information System - Physical Function; PSQ, Pain Sensitivity Questionnaire; RMDQ, Roland Morris Disability Questionnaire; S1, sacral spine segment; SD, standard deviation; SE, standard error; SF-36 PCS / MCS, Short Form Health Survey - Physical / Mental Component Summary; VAS, Visual Analog Scale.

<sup>a</sup> Greater disability indicated by higher ODI, RMDQ, and COMI scores, and lower SF-36, and PROMIS scores; greater pain intensity indicated by higher VAS and NRS scores. Reduction / improvement in outcome is from preoperative baseline to follow-up. For consistency, outcome definitions (and direction of effect estimates) were reversed in studies reporting prediction of a *failure* rather than success of surgery (Hegarty 2012, Muller 2019).

<sup>b</sup> If categories not specified, factor analyzed as a continuous variable.

<sup>c</sup> Statistics and 95% confidence intervals reported where available. \* Effects estimated from available data where possible. [fig], results extracted from a figure.

Table 4. Effects of prognostic factors on change in disability from baseline to the last available follow-up.

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
	Sociodemographic					
	Age					
Anderson	Age <48 years vs	RMDQ (0-24) 30%	24 (M =	93	I. Multivariate logistic regression; adjusted for:	I. Age <48 years: OR = 0.88 (0.30 to
2006 [53]	>48 years	reduction	30)		baseline work status (working), smoking (yes),	2.61), p = .82;
					gender (?), worker's compensation (yes), age >48,	Age >48 years: OR = 1.28 (0.32 to
					baseline pain (0-10 VAS), baseline disability	5.07), p = .72
					(RMDQ), levels fused (single), cage type (BAK)	
	Gender					
Anderson	NR (reference level	RMDQ (0-24) 30%	24 (M =	93	I. Multivariate logistic regression; adjusted for:	I. OR = 1.27 (0.49 to 3.31), p = .62
2006 [53]	not specified –	reduction	30)		baseline work status (working), smoking (yes),	
	direction of the				worker's compensation (yes), age <48, age >48,	
	effect uncertain)				baseline pain (0-10 VAS), baseline disability	
					(RMDQ), levels fused (single), cage type (BAK)	
Stromqvist	Female vs male	SF-36 physical	12	301 (136	I. Analysis of covariance (unadjusted – covariates	I. Female M = 27, male M = 31, p > .05
2008 [57]		function subscale (0-		female, 165	not specified)	
				male)		

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
		100) mean				
		improvement				
Watkins 1986	Male vs female	ODI (0-100), ≥17 vs	>24	42 (23 male,	I. 2 x 2 frequency table (unadjusted)	I. 14 male, 8 female ≥17 reduction;
[65]		<17 reduction		19 female)		*OR = 2.14 (0.62 to 7.37)
	Ethnicity					
Gepstein 2007	Israeli Arabs vs	Barthel index (0-100)	M = 46	220 (69	I. Independent samples t-test (unadjusted)	I. Arab M = -9.86, SD = 11.01, Jewish
[48]	Israeli Jews	mean change		Arab, 151		M = -9.20, SD = 8.49, p > .05, *d = 0.07
				Jewish)		(-0.21 to 0.36)
	Work status					
Anderson	Working (including	RMDQ (0-24) 30%	24 (M =	93	I. Multivariate logistic regression; adjusted for:	I. OR = 0.62 (0.23 to 1.73), p = .36
2006 [53]	home working and	reduction	30)		smoking (yes), gender (NR), worker's	
	studies) vs not				compensation (yes), age <48, age >48, baseline	
	working				pain (0-10 VAS), baseline disability (RMDQ), levels	
					fused (single), cage type (BAK)	

Worker's compensation

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
Anderson	Compensation	RMDQ (0-24) 30%	24 (M =	93	I. Multivariate logistic regression; adjusted for:	I. OR = 1.61 (0.59 to 4.39), p = .35
2006 [53]	claim vs no	reduction	30)		baseline work status (working), smoking (yes),	
	compensation				gender (NR), age <48, age >48, baseline pain (0-10	
					VAS), baseline disability (RMDQ), levels fused	
					(single), cage type (BAK)	
	Health-related					
	Sciatica					
Ekman 2009	Sciatica (pain	Disability Rating Index	24	164 (119	I. Mann-Whitney U test (unadjusted)	I. Sciatica M = 17, no sciatica M = 18, p
[55]	symbols below the	(0-100) mean		sciatica, 45		= .82
	knee on Pain	reduction		no sciatica)		
	Drawing) vs no					
	sciatica					
	Symptom duration					
Radcliff 2011	<12 months vs ≥12	SF-36 Physical	48	413 (255	I. Mixed model for repeated measures: Fixed	I. <12 months M = 24.7, SE = 1.9, ≥12
[61] (Spinal	months (at	Functioning (PF; 0-		<12 months,	effects: Symptom duration (<12m / ≥12m); Time-	months M = 16.9, SE = 1.8, p(MD) =
stenosis)	enrolment)	100) improvement			varying covariate: Treatment (surgery / non-	.002, *d = -0.28 (-0.48 to -0.08)

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
		ODI (0-100; MODEMS		158 ≥12	surgical, time baseline / 12 / 24 / 36 / 48 months);	I. <12 months M = -22.3, SE = 1.5, ≥12
		version) reduction		months)	Random effect: Subject; Adjusted for: age, gender	months M = -16.2, SE = 1.4, p(MD) =
					(female), BMI, race (white), smoking status	.002, *d = 0.28 (0.08 to 0.48)
					(smoker), compensation status (any),	
					comorbidities (joint, stomach, bowel, intestinal	
					problems, osteoporosis, other - present), number	
					of moderately/severely stenotic levels (0 / 1 / 2 /	
					3+), self-assessed baseline health trend (getting	
					better / staying the same / getting worse),	
					treatment preference (non-surgical / not sure /	
					surgery), baseline stenosis bothersomeness,	
					baseline score on outcome measure, center (NR)	
Radcliff 2011	<12 months vs ≥12	SF-36 Physical	48	391 (254	I. Mixed model for repeated measures (as above)	I. <12 months M = 26.6, SE = 1.9, ≥12
[61]	months (at	Functioning (PF; 0-		<12 months,		months M = 25.8, SE = 1.7, p(MD) =
(Degenerative	enrolment)	100) improvement				.74, *d = -0.03 (-0.24 to 0.18)

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
spondylolisth		ODI (0-100; MODEMS		137 ≥12		I. <12 months M = -23.6, SE = 1.4, ≥12
esis)		version) reduction		months)		months M = -22.1, SE = 1.3, p(MD) =
						.44, *d = 0.08 (-0.13 to 0.28)
Tampin 2020	Symptom duration	ODI (0-100) ≥30% vs	12	48	I. Independent t-test (unadjusted)	I. ≥30% M = 11.4, SD = 7.6, <30% M =
[64]	(months)	<30% reduction				12.4, SD = 7.0, p = .751, *d = -0.13 (-
						0.94 to 0.67)
Støttrup 2019	Duration of leg pain	ODI (0-100) mean	12	1531 (1095	I. Analysis of variance (unadjusted)	I. 3-12 months M = 25.96 (24.49 to
[56]	(months): 3-12 vs	reduction		3-12		27.43), >12 months M = 19.68 (17.41
	>12			months, 436		to 21.94); *MD = -6.28 (-9.02 to -
				>12		3.54), SE = 1.39, p < .001; d = -0.26 (-
				months)		0.37 to -0.14)
Cushnie 2019	Duration of the	ODI (0-100) reduction	12	466 (26 3-6	I. Wilcoxon signed-rank test (unadjusted)	I. 3-6 months M = -24 (-16 to -33); 6-
[54]	main neurologic leg			months, 85		12 months M = -21 (-17 to -25); 12-24
	complaint			6-12		months M = -17 (-14 to -21); >24
				months, 125		months M = -15 (-13 to -17) [fig]

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
	(months): 3-6 vs 6-			12-24		overlapping Cls - no significant
	12 vs 12-24 vs >24			months, 230		differences
		SF-12 Physical		>24		I. 3-6 months M = 12 (7 to 17); 6-12
		Component Score		months)		months M = 10 (8 to 12.5); 12-24
		(PCS; 0-100)				months M = 9.5 (8 to 11.5); >24
		improvement				months M = 8 (7 to 9) [fig]
						overlapping CIs - no significant
						differences
Zweig 2017	Duration of pre-	COMI (0-10) ≥2 vs <2	3 – 30 (M	2016 (758	I. Chi-square test after propensity score weighing	I. 497 6-12 months, 825 >12 months
[10]	operative	points change	= 17)	6-12	adjustment for: age, gender (female), ASA (1 / 2 /	had ≥2 change; *OR = 1.00 (0.83 to
	conservative			months,	>2), number of affected segments (1 / 2–3 / >3),	1.21);
	treatment			1258 >12	level operated (L1/2–L2/3 / L3/4 / L4/5 / L5/S1),	II. OR = 1.00 (0.83 to 1.20) [fig];
	(months): 6-12 vs			months)	surgical goal (pain reduction / functional /	
	>12				neurological improvement), patient-reported main	III. 497 6-12 months, 823 >12 months
					problem (back / leg pain / sensory disturbances /	had ≥2 change; *OR = 1.01 (0.83 to

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
					other), type of surgery, follow-up duration,	
					baseline back pain, leg pain, COMI score;	
					II. Multiple logistic regression; adjusted for inverse	
					probability of treatment weight (propensity score),	
					sequestrectomy (yes), foraminotomy (yes);	
					III. Chi-square test (unadjusted)	
		COMI (0-10) mean			I. General linear model after propensity score	I. 6-12 months M = 3.4, SD = 2.8, >12
		reduction			weighing adjustment for: age, gender (female),	months M = 3.4, SD = 2.9; *MD = 0 (-
					ASA (1 / 2 / >2), number of affected segments (1 /	0.26 to 0.26), SE = 0.13, p = 1.00, d = 0
					2–3 / >3), level operated (L1/2–L2/3 / L3/4 / L4/5 /	(-0.09 to 0.09)
					L5/S1), surgical goal (pain reduction / functional /	
					neurological improvement), patient-reported main	II. 6-12 months M = 3.4, SD = 2.8, >12
					problem (back / leg pain / sensory disturbances /	months M = 3.3, SD = 2.9; *MD = -0.1
					other), type of surgery, follow-up duration,	(-0.36 to 0.16), SE = 0.13, p = .448, d =
					baseline back pain, leg pain, COMI score;	-0.04 (-0.13 to 0.06)

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
					II. General linear model (unadiusted)	
	Pain intensity					
Anderson	VAS (0-10) pain	RMDQ (0-24) 30%	24 (M =	93	I. Multivariate logistic regression; adjusted for:	I. OR = 1.10 (0.85 to 1.41), p = .48
2006 [53]		reduction	30)		baseline work status (working), smoking (yes),	
					gender (NR), worker's compensation (yes), age	
					<48, age >48, baseline pain (0-10 VAS), levels fused	
					(single), cage type (BAK)	
Tampin 2020	Average leg pain	ODI (0-100) ≥30% vs	12	48	I. Independent t-test (unadjusted)	I. ≥30% M = 5.9, SD = 1.8, <30% M =
[64]	intensity (0-10 NRS)	<30% reduction				4.3, SD = 2.3, p = .041, *d = 0.85 (0.04
	over last week					to 1.67)
	Average leg pain					I. ≥30% M = 6.0, SD = 2.0, <30% M =
	intensity (0-10 NRS)					4.4, SD = 1.9, p = .057, *d = 0.81 (-0.0
	over last 24 hours					to 1.62)

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
	Average back pain					I. ≥30% M = 4.4, SD = 2.5, <30% M =
	intensity (0-10 NRS)					3.8, SD = 3.1, p = .599, *d = 0.23 (-0.57
	over last week					to 1.04)
	Average back pain					I. ≥30% M = 4.7, SD = 2.5, <30% M =
	intensity (0-10 NRS)					4.3, SD = 2.7, p = .700, *d = 0.16 (-0.64
	over last 24 hours					to 0.96)
	Pain bothersomenes	S				
Tampin 2020	Leg pain	ODI (0-100) ≥30% vs	12	48	I. Independent t-test (unadjusted)	I. ≥30% M = 2.8, SD = 0.8, <30% M =
[64]	bothersomeness (0-	<30% reduction				2.3, SD = 0.9, p = .122, *d = 0.61 (-0.20
	5) over last 2 weeks					to 1.43)
	Back pain					I. ≥30% M = 2.1, SD = 1.0, <30% M =
	bothersomeness (0-					1.8, SD = 0.7, p = .581, *d = 0.31 (-0.49
	5) over last 2 weeks					to 1.11)
	Neuropathic pain cor	nponent				

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
Tampin 2020	PainDETECT score (-	ODI (0-100) ≥30% vs	12	48	I. Independent t-test (unadjusted)	I. ≥30% M = 15.8, SD = 5.4, <30% M =
[64]	1 – 38)	<30% reduction				15.3, SD = 4.4, p = .821, *d = 0.10 (-
						0.71 to 0.90)
	Sensory detection th	reshold				
Tampin 2020	Mechanical	ODI (0-100) ≥30% vs	12	48	I. Multiple logistic regression; adjusted for: gender	I. OR = 2.63 (1.09 to 6.37), p = .032;
[64]	detection threshold	<30% reduction			(female), anxiety, depression, pain catastrophizing;	
	on most painful					II. ≥30% z = -1.8, SE = 0.3 (-2.2 to -1.6),
	back site (sensory				II. Independent t-test (unadjusted)	<30% z = -0.6, SE = 0.25 (-0.9 to -0.4)
	loss z-score)					[fig], p = .008
	Disability					
Anderson	RMDQ score (0-24)	RMDQ (0-24) 30%	24 (M =	93	I. Multivariate logistic regression; adjusted for:	I. OR = 1.02 (0.91 to 1.14), p = .78
2006 [53]		reduction	30)		baseline work status (working), gender (NR),	
					worker's compensation (yes), age <48, age >48,	
					baseline pain (0-10 VAS), baseline disability	
					(RMDQ), levels fused (single), cage type (BAK)	

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
Schade 1999	RMDQ score (0-24)	RMDQ (0-24)	24 (23-30)	42	I. Stepwise multiple regression analysis; adjusted	I. Beta = 0.33, T = 2.87, p < .01; final
[63]		reduction			for: extent of neural compromise (none / minor /	model: F(3,39) = 13.1, p < .001, R =
					major), job-related resignation	0.71, R <sup>2</sup> = 0.50, adj. R <sup>2</sup> = 0.46
Patel 2019	PROMIS PF (0-100)	PROMIS Physical	12	130 (20	I. Multiple linear regression; adjusted for BMI	I. Mild M = 11.1, moderate M = 10.0,
[51]	disability subgroup:	Function (0-100)		mild, 83	(obesity ≥30 kg/m <sup>2</sup> ), worker's compensation	severe M = 10.1; p = .012
	mild (40-50) vs	score change		moderate,	insurance (yes)	
	moderate (30-39.9)	ODI (0-100) change		27 severe)		I. Mild M = -23.7, moderate M = -19.9,
	vs severe (20-29.9)					severe M = -17.0; p = .497
		SF-12 Physical				I. Mild M = 15.4, moderate M = 10.1,
		Component Score				severe M = 9.6; p = .040
		(PCS; 0-100) change				
Tampin 2020	ODI score (0-100)	ODI (0-100) ≥30% vs	12	48	I. Independent t-test (unadjusted)	I. ≥30% M = 18.4, SD = 6.2, <30% M =
[64]		<30% reduction				15.1, SD = 5.6, p = .201, *d = 0.54 (-
						0.27 to 1.35)

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
	SF-36 Physical				I. Mann-Whitney U test (unadjusted)	I. ≥30% Mdn = 35.6, IQR = 7.8, <30%
	Component Score					Mdn = 38.4, IQR = 11.4, range 26-49,
	(0-100)					p = .104
	ВМІ					
Rihn 2012	Non-obese (BMI	SF-36 PF (0-100)	48	413 (250	I. Mixed model for repeated measures: Fixed	I. Non-obese M = 22.5, SE = 1.7, obese
[62] (Spinal	<30) vs obese	improvement		non-obese,	effects: Obesity (non-obese / obese); Time-varying	M = 18.2, SE = 2.1, p(MD) = .10, *d = -
stenosis)	(BMI≥30)			163 obese)	covariate: Treatment (surgery / non-surgical, time	0.16 (-0.36 to 0.04)
					baseline / 12 / 24 / 36 / 48 months); Random	
					effect: Subject; Adjusted for: age, gender (female),	
					race (white), smoking status (smoker),	
					compensation status (any), comorbidities (joint,	
					stomach, bowel and intestinal problems,	
					osteoporosis, other - present), number of	
					moderately/severely stenotic levels (0 / 1 / 2 / 3+),	
					self-assessed baseline health trend (getting better	
					/ staying the same / getting worse), treatment	

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
					preference (non-surgical / not sure / surgery),	
					baseline stenosis bothersomeness, baseline score	
					on outcome measure, center (NR)	
		ODI (0-100; MODEMS				I. Non-obese M = -20.1, SE = 1.3,
		version) reduction				obese M = -17.6, SE = 1.6, p(MD) =
						.22, *d = 0.12 (-0.08 to 0.32)
Rihn 2012	Non-obese (BMI	SF-36 PF (0-100)	48	391 (235	I. Mixed model for repeated measures (as above)	I. Non-obese M = 27.9, SE = 1.6, obes
[62]	<30) vs obese	improvement		non-obese,		M = 22.1, SE = 2, p(MD) = .022, *d = -
(Degenerative	(BMI≥30)			156 obese)		0.24 (-0.44 to -0.03)
spondylolisth						
esis)						
		ODI (0-100; MODEMS				I. Non-obese M = -23.1, SE = 1.2,
		version) reduction				obese M = -21.7, SE = 1.5, p(MD) =
						.46, *d = 0.08 (-0.16 to 0.31)

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
McGuire 2014	Non-obese (BMI <	SF-36 PF (0-100)	48	413 (250	I. Mixed model for repeated measures: Fixed	I. Non-obese M = 22.5, SE = 1.7, obese
[60] (Spinal	30) vs obese (30 ≤	improvement		non-obese,	effects: Obesity (non-obese / obese / extremely	M = 18.4, SE = 2.6, extremely obese M
stenosis)	BMI < 35) vs			104 obese,	obese); Time-varying covariate: Treatment (surgery	= 17.9, SE = 3.4, p = .26
	extremely obese			59	/ non-surgical, time baseline / 12 / 24 / 36 / 48	
	(BMI ≥ 35)			extremely	months); Random effect: Subject; Adjusted for:	
				obese)	age, gender (female), race (white), smoking status	
					(smoker), compensation status (any),	
					comorbidities (joint, stomach, bowel and intestinal	
					problems, osteoporosis, other - present), number	
					of moderately/severely stenotic levels (0 / 1 / 2 /	
					3+), self-assessed baseline health trend (getting	
					better / staying the same / getting worse),	
					treatment preference (non-surgical / not sure /	
					surgery), baseline stenosis bothersomeness,	
					baseline score on outcome measure, center (NR);	
					Wald test	

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
		ODI (0-100; MODEMS				I. Non-obese M = -20.1, SE = 1.3,
		version) reduction				obese M = -17.6, SE = 2, extremely
						obese M = -17.3, SE = 2.7, p = .46
McGuire 2014	Non-obese (BMI <	SF-36 PF (0-100)	48	391 (235	I. Mixed model for repeated measures (as above);	I. Non-obese M = 27.9, SE = 1.6, obese
[60]	30) vs obese (30 ≤	improvement		non-obese,	Wald test	M = 22.8, SE = 2.5, extremely obese M
(Degenerative	BMI < 35) vs			90 obese,		= 21.2, SE = 3, p = .069
spondylolisth	extremely obese			66		
esis)	(BMI ≥ 35)			extremely		
				obese)		
		ODI (0-100; MODEMS				I. Non-obese M = -23.2, SE = 1.3,
		version) reduction				obese M = -22, SE = 2, extremely
						obese M = -21.3, SE = 2.4, p = .75
	Smoking					
Anderson	Smoking vs not	RMDQ (0-24) 30%	24 (M =	93	I. Multivariate logistic regression; adjusted for:	I. OR = 0.56 (0.21 to 1.54), p = .26
2006 [53]	smoking	reduction	30)		baseline work status (working), smoking (yes),	
					gender (NR), worker's compensation (yes), age	

Study ID	Prognostic factor	Outcome		Sample size	Analysis	Effect estimates
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
					<48, age >48, baseline disability (RMDQ), levels	
					fused (single), cage type (BAK)	
	Sleep quality					
Tampin 2020	Sleep quality over	ODI (0-100) ≥30% vs	12	48	I. Mann Whitney U test (unadjusted)	I. ≥30% Mdn = 5.9, IQR = 3.9, <30%
[64]	last week (VAS 0-	<30% reduction				Mdn = 4.5, IQR = 3.2, range 0-10, p =
	10)					.320
	Psychological					
	Pain catastrophizing					
Kim 2015b	PCS total score (0-	ODI (0-100) reduction	3, 6, 12	138 (68 low	I. Mixed model: Fixed effects: PCS (low / high),	I. PCS group: p < .001;
[50]	50): low <25, high			PCS, 70 high	Time (baseline / 3 / 6 / 12 months), PCS x Time	Time: p < .001;
	≥25			PCS)	interaction; Random effect: Subject (unadjusted)	PCS x Time: p = .016 (no contrasts)
			12	103 (54 low	I. Paired t-test (unadjusted)	I. Low PCS M = 14.7, SD = 22.4, high
				PCS, 49 high		PCS M = 22.5, SD = 17.7; d = -0.38 (-
				PCS)		0.77 to 0.001), p = .053
Study ID	Prognostic factor	Prognostic factor Outcome		Sample size	Analysis	Effect estimates
-------------	-------------------------	----------------------------------	-----------	-------------	--	---
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
Tampin 2020	PCS total score	ODI (0-100) ≥30% vs	12	48	I. Mann-Whitney U test (unadjusted)	I. ≥30% Mdn = 20.5, IRQ = 16.5, range
[64]		<30% reduction				NR, <30% Mdn = 13.0, IQR = 22.0,
						range 1-39, p = .328
	Pain sensitivity					
Kim 2015a	PSQ total score (0-	ODI (0-100) reduction	3, 6, 12	171 (87 low	I. Mixed model for repeated measures: Fixed	I. PSQ group p < .001 (overall), Time p
[49]	10) low <6.5 & high			PSQ, 84	effects: PSQ (low/high), Time (baseline / 3 / 6 / 12	< .001, PSQ x Time interaction p =
	≥6.5			high PSQ)	months), PSQ x Time interaction; Random effect:	.757 (no contrasts)
					Subject (unadjusted)	
			12	124 (64 low	I. Paired t-test (unadjusted)	I. Low PSQ M = 12.4, SD = 10.1, high
				PSQ, 60		PSQ M = 9.9, SD = 8.2; *d = -0.27 (-
				high PSQ)		0.63 to 0.08)
	Pain Drawing					
Ekman 2009	Pain Drawing:	Disability Rating Index	24	164 (126	I. Mann-Whitney U test (unadjusted)	I. Organic M = 19, non-organic M = 11,
[55]	organic vs non-	(0-100) mean		organic, 38		p = .050
	organic	reduction		non-		
				organic)		

Study ID	Prognostic factor Outcome		Sample size	Analysis	Effect estimates	
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
	Fear of movement					
Tampin 2020	Tampa Scale for	ODI (0-100) ≥30% vs	12	48	I. Independent t-test (unadjusted)	I. ≥30% M = 44.5, SD = 6.6, <30% M =
[64]	Kinesiophobia	<30% reduction				42.6, SD = 9.4, p = .505, *d = 0.27 (-
						0.53 to 1.07)
	Mental functioning					
Tampin 2020	SF-36 Mental	ODI (0-100) ≥30% vs	12	48	I. Mann-Whitney U test (unadjusted)	I. ≥30% Mdn = 43.2, IQR = 14.7, range
[64]	Component Score	<30% reduction				NR, <30% Mdn = 50.6, IQR = 16.3,
	(0-100)					range 28-62, p = .370
	Anxiety					
Tampin 2020	Anxiety subscale	ODI (0-100) ≥30% vs	12	48	I. Mann-Whitney U test (unadjusted)	I. ≥30% Mdn = 8.0, IRQ = 5.2, range
[64]	score (HADS)	<30% reduction				NR, <30% Mdn = 7.0, IQR = 7.0, range
						2-16, p = .932
	Depression					
Tampin 2020	Depression subscale	ODI (0-100) ≥30% vs	12	48	I. Mann-Whitney U test (unadjusted)	I. ≥30% Mdn = 6.0, IRQ = 5.2, range
[64]	score (HADS)	<30% reduction				NR, <30% Mdn = 7.0, IQR = 6.0, range
						2-16, p = .775

Prognostic factor	actor Outcome		Sample size	Analysis	Effect estimates
Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
definition <sup>a</sup>		(months)			
Depression subscale	RMDQ (0-24)	24 (23-30)	42	I. Parametric univariate analysis (unadjusted)	I. p < .05
from Psychological	reduction				
general well-being					
index					
Vitality					
Vitality subscale	RMDQ (0-24)	24 (23-30)	42	I. Parametric univariate analysis (unadjusted)	I. p < .05
from Psychological	reduction				
general well-being					
index					
Job-related resignation	on				
4-item Job-related	RMDQ (0-24)	24 (23-30)	42	I. Stepwise multiple regression analysis; adjusted	I. Beta = 0.40, T = 3.53, p < .001; final
resignation scale (1-	reduction			for: baseline disability (RMDQ), extent of neural	model: F(3,39) = 13.1, p < .001, R =
5 Likert ratings)				compromise (none / minor / major);	0.71, R <sup>2</sup> = 0.50, adj. R <sup>2</sup> = 0.46;
				II. Parametric univariate analysis (unadjusted)	II. p < .05
	Prognostic factor Measure, definition <sup>a</sup> Depression subscale from Psychological general well-being index Vitality Vitality subscale from Psychological general well-being index Job-related resignation 4-item Job-related resignation scale (1- 5 Likert ratings)	Prognostic factorOutcomeMeasure, definitionaMeasure, definitionadefinitionaMeasure, definitionadefinitionaRMDQ (0-24)from Psychological general well-beingreductionindexVitalityVitalityVitality subscale general well-beingfrom Psychological general well-beingreductiongeneral well-beingreductiongeneral well-beingreductiongeneral well-beingreductiongeneral well-beingreductiongeneral well-beingreductionjob-related resignationRMDQ (0-24)resignation scale (1-reduction5 Likert ratings)Feduction	Prognostic factorOutcomeMeasure,Measure, definitionFollow-updefinition(months)Depression subscaleRMDQ (0-24)24 (23-30)from Psychologicalreductiongeneral well-beingindexVitalityVitalityVitality subscaleRMDQ (0-24)24 (23-30)from Psychologicalreductiongeneral well-beingindexJob-related resignationJob-related resignation4-item Job-relatedRMDQ (0-24)24 (23-30)resignation scale (1-reduction5 Likert ratings)Image: Comparison of the second s	Prognostic factorOutcomeSample sizeMeasure,Measure, definitionaFollow-upN analyzeddefinitiona(months)(months)N analyzedDepression subscaleRMDQ (0-24)24 (23-30)42from Psychologicalreductiongeneral well-beingN analyzedindexVitalityVitality24 (23-30)42from Psychologicalreduction24 (23-30)42from Psychologicalreductiongeneral well-beingN analyzedindexJob-related resignation24 (23-30)42from Psychologicalreduction24 (23-30)42from Psychologicalreduction24 (23-30)42from Psychologicalreduction24 (23-30)42from signation scale (1-reduction24 (23-30)42filexJob-relatedRMDQ (0-24)24 (23-30	Prognostic factorOutcomeSample sizeAnalysisMeasure,Measure, definitionaFollow-upN analyzedMethod, adjusted for factors <sup>b</sup> / unadjusteddefinitiona(months)N analyzedMethod, adjusted for factors <sup>b</sup> / unadjustedDepression subscaleRMDQ (0-24)24 (23-30)42I. Parametric univariate analysis (unadjusted)from PsychologicalreductionreductionIndexVitalityVitalityVitality24 (23-30)42I. Parametric univariate analysis (unadjusted)from PsychologicalreductionreductionIndexVitality subscaleRMDQ (0-24)24 (23-30)42I. Parametric univariate analysis (unadjusted)from PsychologicalreductionreductionIndexJob-related resignation4124 (23-30)42I. Stepwise multiple regression analysis; adjusted4-item Job-relatedRMDQ (0-24)24 (23-30)42I. Stepwise multiple regression analysis; adjustedfor: baseline disability (RMDQ), extent of neuralcompromise (none / minor / major);II. Parametric univariate analysis (unadjusted)

Neuroticism

Study ID	Prognostic factor Outcome		Sample size	Analysis	Effect estimates	
	Measure,	Measure, definition <sup>a</sup>	Follow-up	N analyzed	Method, adjusted for factors <sup>b</sup> / unadjusted	Estimate (95% CI) <sup>c</sup>
	definition <sup>a</sup>		(months)			
Hagg 2003	Neuroticism	ODI (0-100) ≥50% vs	24	183	I. Stepwise forward multiple regression analysis	I. Beta = -0.096; OR = 0.91 (0.87 to
[59]	subscale from	<50% reduction			(unadjusted - single factor selected based on	0.95); constant = 3.808
	Karolinska Scales of				univariate analysis)	
	Personality					
	standardized T					
	score					

ASA, American Society of Anesthesiologists; BAK, Bagby and Kuslich cage; BMI, body mass index; COMI, Core Outcome Measures Index; d, Cohen's d (standardized mean difference); DRI, Disability Rating Index; HNP, herniated nucleus pulposus; L1-5, lumbar spine segment; M, mean; MD, mean difference; MODEMS, Musculoskeletal outcomes Data Evaluation and Management Systems; NR, not reported; ODI, Oswestry Disability Index; OR, odds ratio; PCS, Pain Catastrophizing Scale; PROMIS PF, Patient-Reported Outcomes Measurement Information System - Physical Function; PSQ, Pain Sensitivity Questionnaire; RMDQ, Roland Morris Disability Questionnaire; S1, sacral spine segment; SD, standard deviation; SE, standard error; SF-12/36 PF, Short Form Health Survey - Physical Functioning; VAS, Visual Analog Scale;

<sup>a</sup> Greater disability indicated by higher ODI, RMDQ, and COMI scores, and lower SF-36, and PROMIS scores; greater pain intensity indicated by higher VAS scores. Reduction / improvement in outcome is from pre-operative baseline to follow-up. For consistency, outcome definitions (and direction of effect estimates) were reversed in studies reporting prediction of a *failure* rather than success of surgery (Tampin 2020).

<sup>b</sup> If categories not specified, factor analyzed as a continuous variable.

<sup>c</sup> Statistics and 95% confidence intervals reported where available. \* Effects estimated from available data where possible. [fig], results extracted from a figure.

# Predictors of pain and disability outcomes following spinal surgery for chronic low back and radicular pain: A systematic review

# Supplemental Digital Content

## Contents

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### Supplemental Digital Content 1: Search strategies for electronic databases

#### A1. MEDLINE Ovid

- 1. exp Spine/su [Surgery]
- 2. ((spine or spinal) adj5 (surger\* or surgical\*)).ti,ab,kw.
- 3. 1 or 2
- 4. exp Lumbar Vertebrae/
- 5. exp Low Back Pain/
- 6. exp Lumbosacral Region/
- 7. ("low\* back" or lumbar or lumbosacral).ti,ab,kw.
- 8. 4 or 5 or 6 or 7
- 9. 3 and 8
- 10. exp Lumbosacral Region/su [Surgery]
- 11. exp Lumbar Vertebrae/su [Surgery]
- 12. exp Low Back Pain/su [Surgery]
- 13. exp Sciatica/su [Surgery]
- 14. ((lumb\* or "lower back") adj5 (surger\* or surgical\*)).ti,ab,kw.
- 15. 10 or 11 or 12 or 13 or 14
- 16. 9 or 15
- 17. exp chronic pain/
- 18. ((chronic or constant or persist\* or longterm or long-term or "long standing" or longstanding or "long lasting" or longlasting) adj5 (pain or lbp)).ti,ab,kw.
- 19. (clbp or lumbar radiculopathy or lumbar radicular pain or sciatica or "postoperative pain").ti,ab,kw.
- 20. exp sciatica/
- 21. 17 or 18 or 19 or 20
- 22. 16 and 21
- 23. exp pain/
- 24. exp Recovery of Function/
- 25. pain\*.ti,ab,kw.
- 26. "Visual Analogue Scale".ti,ab,kw.
- 27. VAS.ti,ab,kw.
- 28. "Numeric Rating Scale".ti,ab,kw.
- 29. "Numerical Rating Scale".ti,ab,kw.
- 30. NRS.ti,ab,kw.
- 31. "Numeric Pain Rating Scale".ti,ab,kw.
- 32. "Numerical Pain Rating Scale".ti,ab,kw.
- 33. NPRS.ti,ab,kw.
- 34. function\*.ti,ab,kw.
- 35. disabilit\*.ti,ab,kw.
- 36. ODI.ti,ab,kw.
- 37. "Oswestry Disability Index".ti,ab,kw.
- 38. "Oswestry Disability Questionnaire".ti,ab,kw.
- 39. "Roland and Morris Disability Index".ti,ab,kw.
- 40. "Roland and Morris Disability Questionnaire".ti,ab,kw.
- 41. RMDQ.ti,ab,kw.
- 42. RDQ.ti,ab,kw.
- 43. "Core Outcome Measures Index".ti,ab,kw.
- 44. COMI.ti,ab,kw.
- 45. exp return to work/
- 46. "return to work".ti,ab,kw.
- 47. "back to work".ti,ab,kw.
- 48. "work engagement".ti,ab,kw.
- 49. employment.ti,ab,kw.
- 50. or/23-49
- 51. 22 and 50
- 52. exp Predictive Value of Tests/

- 53. exp risk factor/
- 54. Predict\*.ti,kw.
- 55. prognos\*.ti,kw.
- 56. (Validat\* or Rule\*).mp.
- 57. (Predict\* and (Outcome\* or Risk\* or Model\* or value)).mp.
- 58. ((History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\*) and (Predict\* or Model\* or Decision\* or Identif\* OR Prognos\*)).mp.
- 59. (Decision\* and (Model\* or Clinical\*)).mp.
- 60. (Prognostic and (History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\* or Model\* or value)).mp.
- 61. Logistic Models/
- 62. exp clinical decision rules/
- 63. Stratification.mp.
- 64. exp ROC Curve/
- 65. Discrimination.mp.
- 66. discriminate\*.mp.
- 67. c-statistic.mp.
- 68. "c statistic".mp.
- 69. "Area under the curve".mp.
- 70. AUC.mp.
- 71. Calibration.mp.
- 72. Indices.mp.
- 73. Algorithm.mp.
- 74. Multivariable\*.mp.
- 75. or/52-74
- 76. 51 and 75
- 77. animals/
- 78. humans/
- 79. 77 not 78
- 80. 76 not 79
- 81. case reports.pt.
- 82. 80 not 81
- 83. limit 82 to english language
- 84. limit 83 to yr="1984 -Current"

1. Lines 52-75 reflect an adapted Ingui filter (Ingui et al., 2001; Geersing et al., 2012), adapted to this specific study and Ovid format, and updated to include current indexing terms.

#### A2. Embase

- 1. exp spine surgery/
- 2. ((spine or spinal) adj5 (surger\* or surgical\*)).ti,ab,kw.
- 3. 1 or 2
- 4. exp lumbar vertebra/
- 5. exp low back pain/
- 6. exp lumbosacral region/
- 7. ("low\* back" or lumbar or lumbosacral).ti,ab,kw.
- 8. 4 or 5 or 6 or 7
- 9. 3 and 8
- 10. exp lumbar vertebra/su [Surgery]
- 11. exp low back pain/su [Surgery]
- 12. exp sciatica/su [Surgery]
- 13. ((lumb\* or "lower back") adj5 (surger\* or surgical\*)).ti,ab,kw.
- 14. 10 or 11 or 12 or 13
- 15. 9 or 14

- 16. exp chronic pain/
- 17. ((chronic or constant or persist\* or longterm or long-term or "long standing" or longstanding or "long lasting" or longlasting) adj5 (pain or lbp)).ti,ab,kw.
- 18. (clbp or lumbar radiculopathy or lumbar radicular pain or sciatica or "postoperative pain").ti,ab,kw.
- 19. exp sciatica/
- 20. 16 or 17 or 18 or 19
- 21. 15 and 20
- 22. exp pain/
- 23. pain\*.ti,ab,kw.
- 24. "Visual Analogue Scale".ti,ab,kw.
- 25. exp visual analog scale/
- 26. VAS.ti,ab,kw.
- 27. "Numeric Rating Scale".ti,ab,kw.
- 28. exp numeric rating scale/
- 29. "Numerical Rating Scale".ti,ab,kw.
- 30. NRS.ti,ab,kw.
- 31. "Numeric Pain Rating Scale".ti,ab,kw.
- 32. "Numerical Pain Rating Scale".ti,ab,kw.
- 33. NPRS.ti,ab,kw.
- 34. function\*.ti,ab,kw.
- 35. disabilit\*.ti,ab,kw.
- 36. ODI.ti,ab,kw.
- 37. "Oswestry Disability Index".ti,ab,kw.
- 38. "Oswestry Disability Questionnaire".ti,ab,kw.
- 39. "Roland and Morris Disability Index".ti,ab,kw.
- 40. "Roland and Morris Disability Questionnaire".ti,ab,kw.
- 41. exp Oswestry Disability Index/
- 42. RMDQ.ti,ab,kw.
- 43. RDQ.ti,ab,kw.
- 44. "Core Outcome Measures Index".ti,ab,kw.
- 45. COMI.ti,ab,kw.
- 46. exp return to work/
- 47. exp work resumption/
- 48. (return adj to adj work).ti,ab,kw.
- 49. (back adj to adj work).ti,ab,kw.
- 50. "work engagement".ti,ab,kw.
- 51. employment.ti,ab,kw.
- 52. or/22-51
- 53. 21 and 52
- 54. exp predictive value/
- 55. exp risk factor/
- 56. Predict\*.ti,kw.
- 57. prognos\*.ti,kw.
- 58. (Validat\* or Rule\*).ti,ab,kw.
- 59. (Predict\* and (Outcome\* or Risk\* or Model\* or value)).ti,ab,kw.
- 60. ((History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\*) and (Predict\* or Model\* or Decision\* or Identif\* or Prognos\*)).ti,ab,kw.
- 61. (Decision\* and (Model\* or Clinical\*)).ti,ab,kw.
- 62. (Prognostic and (History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\* or Model\* or value)).ti,ab,kw.
- 63. "Logistic Model\*".ti,ab,kw.
- 64. "clinical decision rule\*".ti,ab,kw.
- 65. Stratification.ti,ab,kw.
- 66. exp receiver operating characteristic/
- 67. Discrimination.ti,ab,kw.
- 68. discriminate\*.ti,ab,kw.
- 69. c-statistic.ti,ab,kw.

- 70. "c statistic".ti,ab,kw.
- 71. (Area adj under adj the adj curve).ti,ab,kw.
- 72. AUC.ti,ab,kw.
- 73. Calibration.ti,ab,kw.
- 74. Indices.ti,ab,kw.
- 75. Algorithm.ti,ab,kw.
- 76. Multivariable\*.ti,ab,kw.
- 77. 54 or 55 or 56 or 57 or 58 or 59 or 60 or 61 or 62 or 63 or 64 or 65 or 66 or 67 or 68 or 69 or 70 or 71 or 72 or 73 or 74 or 75 or 76
- 78. 53 and 77
- 79. limit 78 to (english language and yr="1984 -Current")

- 1. Predictive filter uses .ti,ab,kw rather than .mp as the database functionality is not as specific as MEDLINE.
- 2. There is no surgery subheading for the thesaurus term 'exp lumbosacral region/' therefore this is omitted.
- 3. There is no publication type available for case report or case study so unable to exclude.

#### A3. Cochrane CENTRAL

- #1 MeSH descriptor: [Spine] explode all trees and with qualifier(s): [surgery SU]
- #2 (((spine or spinal) NEAR/5 (surger\* or surgical\*))):ti,ab,kw
- #3 {OR #1-#2}
- #4 MeSH descriptor: [Lumbar Vertebrae] explode all trees
- #5 MeSH descriptor: [Low Back Pain] explode all trees
- #6 MeSH descriptor: [Lumbosacral Region] explode all trees
- #7 ("low\* back" or lumbar or lumbosacral):ti,ab,kw
- #8 {OR #4-#7}
- #9 #3 AND #8
- #10 MeSH descriptor: [Lumbosacral Region] explode all trees and with qualifier(s): [surgery SU]
- #11 MeSH descriptor: [Lumbar Vertebrae] explode all trees and with qualifier(s): [surgery SU]
- #12 MeSH descriptor: [Low Back Pain] explode all trees and with qualifier(s): [surgery SU]
- #13 MeSH descriptor: [Sciatica] explode all trees and with qualifier(s): [surgery SU]
- #14 (((lumb\* or "lower back") NEAR/5 (surger\* or surgical\*))):ti,ab,kw
- #15 {OR #10-#14}
- #16 #9 OR #15
- #17 MeSH descriptor: [Chronic Pain] explode all trees
- #18 (((chronic or constant or persist\* or longterm or long-term or "long standing" or longstanding or "long lasting" or longlasting) NEAR/5 (pain or lbp))):ti,ab,kw
- #19 ((clbp or lumbar radiculopathy or lumbar radicular pain or sciatica or "postoperative pain")):ti,ab,kw
- #20 MeSH descriptor: [Sciatica] explode all trees
- #21 {OR #17-#20}
- #22 #16 AND #21
- #23 MeSH descriptor: [Pain] explode all trees
- #24 MeSH descriptor: [Recovery of Function] explode all trees
- #25 (pain\*):ti,ab,kw
- #26 ("Visual Analogue Scale"):ti,ab,kw
- #27 (VAS):ti,ab,kw
- #28 ("Numeric Rating Scale"):ti,ab,kw
- #29 ("Numerical Rating Scale"):ti,ab,kw
- #30 (NRS):ti,ab,kw
- #31 ("Numeric Pain Rating Scale"):ti,ab,kw
- #32 ("Numerical Pain Rating Scale"):ti,ab,kw
- #33 (NPRS):ti,ab,kw

- #34 (function\*):ti,ab,kw #35 (disabilit\*):ti,ab,kw #36 (ODI):ti,ab,kw #37 ("Oswestry Disability Index"):ti,ab,kw #38 ("Oswestry Disability Questionnaire"):ti,ab,kw #39 ("Roland and Morris Disability Index"):ti,ab,kw #40 ("Roland and Morris Disability Questionnaire"):ti,ab,kw #41 (RMDQ):ti,ab,kw #42 (RDQ):ti,ab,kw #43 ("Core Outcome Measures Index"):ti,ab,kw #44 (COMI):ti,ab,kw MeSH descriptor: [Return to Work] explode all trees #45 #46 ("return to work"):ti,ab,kw #47 ("back to work"):ti,ab,kw #48 ("work engagement"):ti,ab,kw #49 (employment):ti,ab,kw #50 {OR #23-#49} #51 #22 AND #50 #52 MeSH descriptor: [Predictive Value of Tests] explode all trees #53 MeSH descriptor: [Risk Factors] explode all trees #54 Predict\*:ti,kw #55 prognos\*:ti,kw #56 Validat\* or Rule\* #57 (Predict\* and (Outcome\* or Risk\* or Model\* or value)) ((History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\*) and (Predict\* or #58 Model\* or Decision\* or Identif\*OR Prognos\*)) #59 (Decision\* and (Model\* or Clinical\*)) #60 (Prognostic and (History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\* or Model\* or value)) #61 MeSH descriptor: [Logistic Models] this term only #62 MeSH descriptor: [Clinical Decision Rules] explode all trees #63 Stratification MeSH descriptor: [ROC Curve] explode all trees #64 #65 Discrimination #66 discriminate\* #67 c-statistic "c statistic" #68 #69 "Area under the curve" AUC #70 #71 Calibration #72 Indices #73 Algorithm #74 Multivariable\* #75 {OR #52-#74} #76 #51 AND #75
- #77 MeSH descriptor: [Animals] this term only
- #78 MeSH descriptor: [Humans] this term only
- #79 #77 NOT #78
- #80 #76 NOT #79 with Publication Year from 1984 to 2020, in Trials

1. Cannot limit to English language

#### A4. CINAHL

S1 (MH "Spine+/SU")

- S2 TI ( (spine or spinal) N5 (surger\* or surgical\*) ) OR AB ( (spine or spinal) N5 (surger\* or surgical\*) )
- S3 (MH "Lumbar Vertebrae/")
- S4 (MH "Low Back Pain/")
- S5 TI ( "low\* back" or lumbar or lumbosacral ) OR AB ( "low\* back" or lumbar or lumbosacral )
- S6 S1 OR S2
- S7 S3 OR S4 OR S5
- S8 S6 AND S7
- S9 (MH "Lumbar Vertebrae/SU")
- S10 (MH "Low Back Pain/SU")
- S11 (MH "Sciatica/SU")
- S12 TI ( (lumb\* or "lower back") N5 (surger\* or surgical\*) ) OR AB ( (lumb\* or "lower back") N5 (surger\* or surgical\*) )
- S13 S9 OR S10 OR S11 OR S12
- S14 S8 OR S13
- S15 (MH "Chronic Pain")
- S16TI ( (chronic or constant or persist\* or longterm or long-term or "long standing" or longstanding or<br/>"long lasting" or longlasting) N5 (pain or lbp) ) OR AB ( (chronic or constant or persist\* or longterm or<br/>long-term or "long standing" or longstanding or "long lasting" or longlasting) N5 (pain or lbp) )
- S17 TI ( clbp or lumbar radiculopathy or lumbar radicular pain or sciatica or "postoperative pain" ) OR AB ( clbp or lumbar radiculopathy or lumbar radicular pain or sciatica or "postoperative pain" )
- S18 (MH "Sciatica")
- S19 S15 OR S16 OR S17 OR S18
- S20 S14 AND S19
- S21 (MH "Pain+")
- S22 (MH "Functional Status")
- S23 TI pain\* OR AB pain\*
- S24 (MH "Visual Analog Scaling")
- S25 TI "Visual Analogue Scale" OR AB "Visual Analogue Scale"
- S26 TI VAS OR AB VAS
- S27 TI "Numeric Rating Scale" OR AB "Numeric Rating Scale"
- S28 TI "Numerical Rating Scale" OR AB "Numerical Rating Scale"
- S29 TI NRS OR AB NRS
- S30 TI "Numeric Pain Rating Scale" OR AB "Numeric Pain Rating Scale"
- S31 TI "Numerical Pain Rating Scale" OR AB "Numerical Pain Rating Scale"
- S32 TI NPRS OR AB NPRS
- S33 TI function\* OR AB function\*
- S34 TI disabilit\* OR AB disabilit\*
- S35 TI ODI OR AB ODI
- S36 TI "Oswestry Disability Index" OR AB "Oswestry Disability Index"
- S37 TI "Oswestry Disability Questionnaire" OR AB "Oswestry Disability Questionnaire"
- S38 TI ( "Roland and Morris Disability Index" ) OR AB ( "Roland and Morris Disability Index" )
- S39 TI ( "Roland and Morris Disability Questionnaire" ) OR AB ( "Roland and Morris Disability Questionnaire" )
- S40 TI RMDQ OR AB RMDQ
- S41 TI RDQ OR AB RDQ
- S42 TI "Core Outcome Measures Index" OR AB "Core Outcome Measures Index"
- S43 TI COMI OR AB COMI
- S44 (MH "Job Re-Entry")
- S45 TI "return to work" OR AB "return to work"
- S46 TI "back to work" OR AB "back to work"
- S47 TI "work engagement" OR AB "work engagement"
- S48 TI employment OR AB employment

- S49
   S21 OR S22 OR S23 OR S24 OR S25 OR S26 OR S27 OR S28 OR S29 OR S30 OR S31 OR S32 OR S33 OR

   S34 OR S35 OR S36 OR S37 OR S38 OR S39 OR S40 OR S41 OR S42 OR S43 OR S44 OR S45 OR S46 OR

   S47 OR S48
- S50 S20 AND S49
- S51 (MH "Predictive Value of Tests")
- S52 (MH "Risk Factors+")
- S53 TI Predict\*
- S54 TI prognos\*
- S55 TI (Validat\* or Rule\*) OR AB (Validat\* or Rule\*)
- S56 TI (Predict\* and (Outcome\* or Risk\* or Model\* or value)) OR AB (Predict\* and (Outcome\* or Risk\* or Model\* or value))
- S57 TI ((History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\*) and (Predict\* or Model\* or Decision\* or Identif\* or Prognos\*)) OR AB ((History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\*) and (Predict\* or Model\* or Decision\* or Identif\* or Prognos\*))
- S58 TI (Decision\* and (Model\* or Clinical\*)) OR AB (Decision\* and (Model\* or Clinical\*))
- S59 TI (Prognostic and (History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\* or Model\* or value)) OR AB (Prognostic and (History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\* or Model\* or value))
- S60 (MH "Multiple Logistic Regression")
- S61 (MH "Decision Support Systems, Clinical")
- S62 TI "clinical decision rule\*" OR AB "clinical decision rule\*"
- S63 TI Stratification OR AB Stratification
- S64 (MH "ROC Curve")
- S65 TI Discrimination OR AB Discrimination
- S66 TI discriminate\* OR AB discriminate\*
- S67 TI c-statistic OR AB c-statistic
- S68 TI "c statistic" OR AB "c statistic"
- S69 TI "Area under the curve" OR AB "Area under the curve"
- S70 TI AUC OR AB AUC
- S71 TI Calibration OR AB Calibration
- S72 TI Indices OR AB Indices
- S73 TI Algorithm OR AB Algorithm
- S74 TI Multivariable\* OR AB Multivariable\*
- S75
   S51 OR S52 OR S53 OR S54 OR S55 OR S56 OR S57 OR S58 OR S59 OR S60 OR S61 OR S62 OR S63 OR

   S64 OR S65 OR S66 OR S67 OR S68 OR S69 OR S70 OR S71 OR S72 OR S73 OR S74
- S76 S50 AND S75
- S77 PT Case Study
- S78 S76 NOT S77
- S79 (MH "Animals")
- S80 (MH "Human")
- S81 S79 NOT S80
- S82 S78 NOT S81 Limiters Publication Year: 1984-2020 Narrow by Language: English

#### A5. PsycINFO

- S1 DE "Spinal Column" OR DE "Spinal Cord"
- S2 DE "Surgery"
- S3 S1 AND S2
- S4 TI ( (spine or spinal) N5 (surger\* or surgical\*) ) OR AB ( (spine or spinal) N5 (surger\* or surgical\*) )
- S5 S3 OR S4

- S6 DE "Lumbar Spinal Cord"
- S7 TI ( "low\* back" or lumbar or lumbosacral ) OR AB ( "low\* back" or lumbar or lumbosacral )
- S8 S6 OR S7
- S9 S5 AND S8
- S10 TI ( (lumb\* or "lower back" or sciatica) N5 (surger\* or surgical\*) ) OR AB ( (lumb\* or "lower back" or sciatica) N5 (surger\* or surgical\*) )
- S11 S9 OR S10
- S12 DE "Chronic Pain"
- S13 TI ( (chronic or constant or persist\* or longterm or long-term or "long standing" or longstanding or "long lasting" or longlasting) N5 (pain or lbp) ) OR AB ( (chronic or constant or persist\* or longterm or long-term or "long standing" or longstanding or "long lasting" or longlasting) N5 (pain or lbp) )
- S14 TI ( clbp or lumbar radiculopathy or lumbar radicular pain or sciatica or "postoperative pain" ) OR AB ( clbp or lumbar radiculopathy or lumbar radicular pain or sciatica or "postoperative pain" )
- S15 S12 OR S13 OR S14
- S16 S11 AND S15
- S17 DE "Predictability (Measurement)"
- S18 DE "Risk Factors"
- S19 TI Predict\*
- S20 TI prognos\*
- S21 TI (Validat\* or Rule\*) OR AB (Validat\* or Rule\*)
- S22 TI (Predict\* and (Outcome\* or Risk\* or Model\* or value)) OR AB (Predict\* and (Outcome\* or Risk\* or Model\* or value))
- S23 TI ((History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\*) and (Predict\* or Model\* or Decision\* or Identif\* or Prognos\*)) OR AB ((History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\*) and (Predict\* or Model\* or Decision\* or Identif\* or Prognos\*))
- S24 TI (Decision\* and (Model\* or Clinical\*)) OR AB (Decision\* and (Model\* or Clinical\*)) S25 TI (Prognostic and (History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\* or Model\* or value)) OR AB (Prognostic and (History or Variable\* or Criteria or Scor\* or Characteristic\* or Finding\* or Factor\* or Model\* or value))
- S26 TI "clinical decision rules\*" OR AB "clinical decision rules\*"
- S27 TI ("ROC curve" OR stratification) OR AB ("ROC curve" OR stratification)
- S28 TI Discrimination OR AB Discrimination
- S29 TI discriminate\* OR AB discriminate\*
- S30 TI c-statistic OR AB c-statistic
- S31 TI "c statistic" OR AB "c statistic"
- S32 TI ("Area under the curve" OR AUC) OR AB ("Area under the curve" OR AUC)
- S33 TI Calibration OR AB Calibration
- S34 TI Indices OR AB Indices
- S35 TI Algorithm OR AB Algorithm
- S36 TI Multivariable\* OR AB Multivariable\*
- S37
   S17 OR S18 OR S19 OR S20 OR S21 OR S22 OR S23 OR S24 OR S25 OR S26 OR S27 OR S28 OR S29 OR

   S30 OR S31 OR S32 OR S33 OR S34 OR S35 OR S36
- S38 S16 AND S37
- S39 S16 AND S37 Narrow by Language: English

- 1. Earliest paper from 1986, so no date limits required
- 2. Small numbers retrieved without need to add in outcome terms

### Supplemental Digital Content 2: Elaboration on eligibility criteria and decision rules

Population included adults with chronic low back pain, defined as pain that lasts or recurs for more than three months [80], with or without lumbar radicular pain, that is, pain radiating into the leg due to compression of a nerve root [81]. Where chronic pain population was not specified, or minimum pain duration was not reported, we allowed a minimum three months of failed conservative treatment as a proxy measure of symptom duration. We included patients who underwent primary lumbar or lumbosacral spine surgery, and excluded those with history of previous lumbar spine surgery, to distinguish chronic back and/or leg pain from failed back surgery syndrome and chronic postsurgical pain, which may differ in population characteristics and predictors of outcome [82-84]. For the same reasons, we excluded studies that reported on pathology of cancer/tumor, infection, trauma, or inflammatory disease. We further excluded spinal cord stimulator implantation, injections, chemical and radiofrequency interventions, as these interventions differ in indications and therapeutic mechanisms from spinal surgery. Studies specifically investigating whether additional pre- or postsurgical intervention (other than usual care) affects the outcomes were also excluded, as we aimed to investigate the outcomes of the surgical treatment itself.

Example *index prognostic factors* of interest included factors that are routinely used in patient assessment (e.g. 'flags' considered to be risk factors for the development of disability in musculoskeletal conditions [85]), have demonstrated ability to predict treatment outcomes in chronic pain in previous research (e.g. psychological factors, [86]), or have theoretical basis for such potential predictive ability (e.g. components of fear-avoidance model of chronic musculoskeletal pain [87]). We excluded radiographic predictors (as diagnostic tests or surgical indications), genetic predictors (due to their limited availability in clinical practice), and any intraoperative or postoperative predictors (beyond the scope of this review), unless they were included as *comparator prognostic factors*. There is no agreed minimum set of comparator prognostic factors for spinal surgery outcomes, thus both unadjusted and adjusted prognostic effects were eligible, where available.

Our decision to include both dichotomous (minimal clinically important difference in pain/disability) and continuous *outcomes* was motivated by their clinical utility and precision, respectively. We excluded studies that reported only postoperative assessment of pain or function without any baseline reference or used measures of pain or function that do not provide a continuous score as unsuitable for the assessment of change. We further excluded composite outcomes, as the predictive effects of the same factors might differ for each component outcome. The *timing* of outcome assessment must have been at least 3 months after surgery, without upper time limit. Where multiple follow-up intervals were analyzed, we included the last available follow-up, as commonly done in previous systematic reviews in the field [e.g., 84,92,93]. This solution also assured consistent treatment of all reviewed studies and maximized the use of available data as the last follow-up is often the main endpoint for which the primary analysis is conducted and therefore complete results are reported [e.g., 10,11,49,50,55,58]. Eligible *settings* included spinal surgery sites or registries / databases of patients who underwent spinal surgery.

We included a range of study designs (see **Table 1**), as long as they reported pre- and postsurgical assessment of outcomes and investigated relationships between presurgical factors and pain or function outcomes. Both prospective and retrospective studies were eligible – although retrospective investigations may be susceptible to poorer data quality and unmeasured predictors, they often allow analyzing longer follow-up and larger sample size than prospective studies, therefore providing valuable evidence in prognostic research. However, we excluded case studies and case series, which are considered to provide low level of evidence regarding prognosis [94,95].

We included peer-reviewed articles reporting original research published in English language from January 1984 to March 2021 (inclusive), whereas reviews, commentaries, editorial articles, conference abstracts, and study protocols were not eligible. Although we did not seek unpublished studies, we assessed publication bias on outcome level, and re-run the electronic database search prior to the final synthesis (March 2021) to include any recently published eligible articles. Non-English language publications were excluded as translation would not be feasible. The limits of the publication period reflect when Magnetic Resonance Imaging started being used for diagnosis of spinal pathologies [88] and thus could inform surgical treatments.

For 10 studies with insufficient details to determine eligibility, the necessary information was not available (n = 3) or could not be obtained from the authors (n = 7). However, five of these reports were included in the systematic review, because all other eligibility criteria were met and the information available or obtained from the authors suggested likely eligibility. This uncertainty was considered in the quality assessments. We excluded reports that lacked any indication of symptom duration in the studied cohorts. Reports that included subgroups with symptom duration <3 months were considered eligible if they presented analysis results allowing to extract data specific to eligible subgroups [54,60,10]. The same logic applied to reports that included subgroups undergoing surgical and conservative treatments if it was possible to extract data specific to eligible surgical cohorts [59-61,63].

The primary reason for exclusion was recorded as the first of the following categories for which eligibility was not met: ineligible population, predictors, outcome, timing, study design, publication type (see Figure 1). If eligibility in the former category could not be determined due to insufficient information reported, the subsequent exclusion category was recorded as the primary reason.

## Supplemental Digital Content 3: Data extraction form template

		Source of data	Participants					
Reviewer	Study	Study design	Recruitment	Setting	Eligibility	Participant characteristics	Type of	Participation
	ID						surgery	
Initials,	Author	Prospective /	Method (e.g.	Number, type,	Inclusion	Age (mean, SD); gender (% male);	% each	% recruited out
mark if	Year	retrospective; RCT /	consecutive);	and location of	and	ethnicity (% each category); SES (%	category	of those
verified		non-randomized	time period	centers (e.g. 5	exclusion	each category); pain location (%		screened for
		controlled trial / cohort	(years)	Neurosurgery	criteria	back, leg, both); pain intensity,		eligibility
		study / case-control		Departments in	(list)	disability (mean, SD); symptom		
		<pre>study / registry-based;</pre>		the UK) /		duration (mean, SD; months);		
		phase of investigation		registry name		pathology (% each category)		

**Table S3.** Data extraction form adapted from CHARMS-PF checklist [32].

Sample size		Prognostic factors (PF)						
Calculation	N of	N of PFs	Definition, measurement, and handling of PFs	Time of PF	Missing PF data			
reported	participants			measurement				
Yes / no; method (e.g. events per variable)	At baseline	Total N of index PFs (list); total N of comparator / adjusted for / confounding / controlled for PFs (list)	Construct measured, definition, method of measurement, *note if blinded, setting, handling of continuous factor (cut-off points or categories, if relevant), *note if not consistent across participants; for each index and comparator PF; author/date of non-standard measures	N of months / weeks / days / hours before surgery (pre- operative PFs)	% of sample with missing data on each PF, imputation method			

Outcomes			Attrition			
Definition, measurement, and handling of outcomes	Time of outcome measurement	Missing outcome data	Response rate	Reasons for loss to follow-up	Lost to follow-up participant characteristics	
Construct measured, definition, method of measurement, *note if blinded, setting, handling of continuous outcomes (cut-off points or categories, if relevant), *note if not consistent across participants; for each outcome (list non- eligible outcomes, but mark in red); author/year for non-standard measures	N of months / years after surgery; list all follow-ups	% of sample with missing data on each outcome, imputation method (or complete case analysis)	% of baseline sample with complete outcome data at the longest follow-up (unless eligible outcomes only available at earlier follow-up); method of handling missing data (e.g. complete case analysis)	% each category	Outcome / PF information collected (*note if not attempted); similar / different to completed participants	

Analysis				
Modelling method	Modelling assumptions	Selection of PFs for	Selection / exclusion of PFs	Handling continuous PFs
		multivariable modelling	during multivariable modelling	
Univariate /	Which checked, what method,	Method (e.g. all candidate	Method (e.g. backward / forward	Method (e.g. dichotomization,
multivariate; method	whether satisfied (e.g. linearity, co-	PFs considered, preselection	selection, full model approach;	categorization, linear, non-linear);
(e.g. linear, logistic,	linearity for	of established PFs, retaining	order of entry if applicable);	any cut off with justification;
Cox, parametric	correlational/regression analyses;	those significant from	criteria (e.g. p, AIC)	method to identify non-linear
survival, competing	normality, homogeneity of	univariable analysis);		associations (e.g. splines, fractional
risks regression);	variance, sphericity for ANOVA;	selection criteria (e.g.		polynomials)
software	parametric / non-parametric tests)	statistical significance)		

Results			
Effect estimates	Comparator PFs	Selective reporting	Interpretation and discussion
Adjusted and unadjusted effect estimates (RR / OR / HR / MD) with 95% Cls / variance / SE for each PF; for regression report F test with df and p, Rs, and final Bs and/or betas with SE, t-test and/or Ps for each PF, and mean with SD of outcome if available; N of participants included in each analysis if different from total sample size; format: by outcome	Set of adjusted for / confounding factors for each index PF (list)	Yes (list any primary outcomes and PFs included in methods but not results section) / no	Appropriate / inappropriate if missing results interpretation, comparison with other studies, discussion of generalizability and strengths and limitations

# Supplemental Digital Content 4: Risk of bias assessment form template

**Table S4.** Adapted Quality of Prognosis Studies (QUIPS) [42–44] assessment form template.

Biases	Issues to consider for judging overall rating of RoB	Study Methods & Comments	Rating	Criteria
Instructions to assess the risk of each potential bias:	These issues will guide your thinking and judgment about the overall risk of bias within each of the 6 domains. Some 'issues' may not be relevant to the specific study or the review research question. These issues are taken together to inform the overall judgment of potential bias for each of the 6 domains.	Provide comments or text excerpts in the boxes below, as necessary, to facilitate the consensus process that will follow.	Rating of reporting: Rate the adequacy of reporting as <b>yes</b> , <b>partial</b> , <b>no</b> , or <b>unsure</b> . Rating of RoB: Rate potential risk of bias for each of the 6 domains as <b>high</b> , <b>moderate</b> , or <b>low</b> considering all relevant issues.	Criteria to aid the rating decisions and interrater agreement (weights* in brackets).
1. Study Participation	Goal: To judge the risk of selecti participants).	on bias (likelihood t	hat relationship between PF	and outcome is different for participants and eligible non-
Source of target population	The source population or population of interest is adequately described for key characteristics (age, sex, socioeconomic status, duration of symptoms, location of pain, underlying pathology, type of surgery).		yes / partial / no / unsure	This item may not be taken into account since it is not common to report information of the source population in this field - this is rather covered by the eligibility criteria. (0)
Method used to identify population	The sampling frame and recruitment are adequately described, including methods to identify the sample sufficient to limit potential bias (number and type used,		yes / partial / no / unsure	<b>yes</b> : Information available on patients' recruitment, e.g. consecutive eligible patients, prospective or retrospective identification of eligible patients, etc. (1) <i>Note: Non-consecutive recruitment may lead to selective sampling bias.</i>

Biases	Issues to consider for judging overall rating of RoB	Study Methods & Comments	Rating	Criteria
	e.g., referral patterns in health care).			
Recruitment period	Period of recruitment is adequately described.		yes / partial / no / unsure	<b>yes:</b> Information available in the beginning and end of recruitment or data collection, or the period covered in a database / registry search of eligible patients. (0.5)
Place of recruitment	Place of recruitment (setting and geographic location) are adequately described.		yes / partial / no / unsure	<b>yes:</b> Information available on the setting (or registry name), number and type of centers involved, and name of the hospital or geographical location (at least country). (1) <i>Note: Multi-center studies are likely to include more representative population than single-center ones.</i>
Inclusion and exclusion criteria	Inclusion and exclusion criteria are adequately described (e.g., including explicit diagnostic criteria or "zero time" description).		yes / partial / no / unsure	<b>yes:</b> At least 1 inclusion and 1 exclusion criterion should be given. (1)
Adequate study participation	There is adequate participation in the study by eligible individuals.		yes / partial / no / unsure	<b>yes:</b> Information available on number of individuals screened for eligibility / identified as eligible and approached (sample population) and those recruited into the study (study population), and reasons for exclusion; alternatively, participation should be at least 80%. (1) <i>Note: Data of non-included or lost participants may not be available, but quality can still be maintained if high participation / response rates are reported.</i>
Baseline characteristics	The baseline study sample (i.e., individuals entering the study) is adequately described for key characteristics (age, sex, socioeconomic status, duration of symptoms, location of pain, baseline pain intensity or disability,		yes / partial / no / unsure	<b>yes:</b> Basic information available regarding listed key characteristics (at least 6/8). (1)

Biases	Issues to consider for judging	Study Methods	Rating	Criteria
	overall rating of ROB	& comments		
	underlying pathology, type of surgery).			
Study Participation Summary	The study sample represents the population of interest on key characteristics, sufficient to limit potential bias of the observed relationship between PF and outcome.		high / moderate / low	<ul> <li>high: The relationship between the PF and outcome is very likely to be different for participants and eligible non-participants.</li> <li>(1) ≥3 'no' / ≥2 'no' if the rest partial.</li> <li><i>Example: low participation rate, study sample has different sex and age distribution than source population, recruitment of very selective rather than consecutive sample of eligible patients.</i></li> <li>moderate: The relationship between the PF and outcome may be different for participants and eligible non-participants.</li> <li>(1) ≤1 'no' if the rest is 'yes' or 'partial' / ≤2 no if the rest is 'yes'.</li> <li>low: The relationship between the PF and outcome is unlikely to be different for participants and eligible non-participants.</li> </ul>
				<ul> <li>(1) All 'yes' with ≤2 'partial'.</li> <li>Example: high participation rate, consecutive recruitment of eligible participants, sample characteristics similar to source population.</li> </ul>
2. Study Attrition	Goal: To judge the risk of attritic participants).	on bias (likelihood t	hat the relationship betweer	n PF and outcome are different for completing and non-completing
Proportion of baseline sample available for analysis	Response rate (i.e., proportion of study sample completing the study and providing outcome data) is adequate.		yes / partial / no / unsure	<b>yes:</b> The response rate should be at least 80% for the longest follow-up. (1) Note: If response rate is 100% (could be assumed for registry data if only participants with complete follow-up were recruited), the remaining items not relevant (0). Responses to the last 3 items weighted according to response rate.
Attempts to collect information on participants who dropped out	Attempts to collect information on participants who dropped out of the study are described.		yes / partial / no / unsure	<b>yes:</b> Information available on the methods and timing. (0.5) Note: Unlikely to attempt collecting missing outcome data. If baseline data collected, it would be provided for the following items.

Biases	Issues to consider for judging	Study Methods	Rating	Criteria
	overall rating of RoB	& Comments		
Reasons and potential impact of subjects lost to follow-up	Reasons for loss to follow-up are provided.		yes / partial / no / unsure	<b>yes:</b> Any information available on the reasons for dropouts. (1) Note: If reasons not consistent, it would suggest that participants were missing at random.
Outcome and prognostic factor information on those lost to follow- up	Participants lost to follow-up are adequately described for key characteristics (age, sex, socioeconomic status, duration of symptoms, location of pain, baseline pain intensity or disability, underlying pathology, type of surgery).		yes / partial / no / unsure	<b>yes:</b> Basic information available regarding listed key characteristics (at least 4/8). (1) <i>Note: Unlikely that any outcomes would be collected from lost participants, focus on baseline characteristics (also below).</i>
	There are no important differences between key characteristics (see above) and outcomes in participants who completed the study and those who did not.		yes / partial / no / unsure	yes: There should be no clinically important or statistically significant differences between the completing participants and drop-outs regarding demographic and illness-related key characteristics, and outcomes if that information was collected. (1) Note: 'no' if there are important differences; 'unsure' if not tested; 'partial' if differences on only some of the factors. If no differences, it would suggest that participants were missing at random.
Study Attrition Summary	Loss to follow-up (from baseline sample to study population analyzed) is not associated with key characteristics (i.e., the study data adequately represent the sample) sufficient to limit potential bias to the observed relationship between PF and outcome.		high / moderate / low	<b>high:</b> The relationship between the PF and outcome is very likely to be different for completing and non-completing participants. (1) $\geq 2$ 'no' in the last 3 items if <80%. <i>Example: High probability that participants who completed the study and those who dropped out differ in a way that distorts the associations between predictors and outcomes.</i> <b>moderate:</b> The relationship between the PF and outcome may be different for completing and non-completing participants. (1) $\leq 1$ 'no' in the last 3 items if <80% / $\leq 3$ no in the last 3 items if >80% / only complete cases eligible and no information on those lost to follow-up.

Biases	Issues to consider for judging overall rating of RoB	Study Methods & Comments	Rating	Criteria
				<ul> <li>low: The relationship between the PF and outcome is unlikely to be different for completing and non-completing participants.</li> <li>(1) 100% response rate / ≤3 'partial' in the last 3 items if &gt;80%.</li> <li>Example: Complete follow-up or evidence of participants missing at random.</li> </ul>
3. Prognostic Factor Measurement	Goal: To judge the risk of measu	irement bias related	d to how PF was measured (	differential measurement of PF related to the level of outcome).
Definition of the PF	A clear definition or description of 'PF' is provided (e.g., including dose, level, duration of exposure, and clear specification of the method of measurement).		yes / partial / no / unsure	<b>yes:</b> There should be a clear definition of PF, e.g. information on which questions were used, how the data was collected, or how the variable was constructed, etc. (1) <i>Note: What construct was measured with what instrument, total score or subscales used, self-reported or assessed by investigator.</i>
Valid and Reliable Measurement of PF	Method of PF measurement is adequately valid and reliable to limit misclassification bias (e.g., may include relevant outside sources of information on measurement properties, also characteristics, such as blind measurement and limited reliance on recall).		yes / partial / no / unsure	<b>yes:</b> There should be a reference of reliability / validity study or information on these features in the paper; when different prognostic factors are included with different RoB, this should be noted and solved in the data synthesis phase. (1) <i>Note: Note whether assessment was blinded / performed by independent investigator (not relevant for self-report); in prospective studies PF assessment is inherently blinded to outcome.</i>
	Continuous variables are reported or appropriate cut- points (i.e., not data- dependent) are used.		yes / partial / no / unsure	<b>yes:</b> Continuous used / any cut-offs used should NOT be based on the distribution of the data, but on established cut-offs in the field of chronic pain / spinal surgery. (1 / 0 if NA) <i>Note:</i> Categorization of continuous factors contributes to loss of power, but main concern is how it was done; NA if factor initially categorical.

Biases	Issues to consider for judging	Study Methods	Rating	Criteria
	overall rating of RoB	& Comments		
Method and Setting of PF Measurement	The method and setting of measurement of PF is the same for all study participants.		yes / partial / no / unsure	<b>yes:</b> The PF should be the same, but the method or setting could be different provided that they are reliable (e.g. VAS or NRS); importantly, the timing of PF measurement relative to surgery should be reported and similar across participants. (1) <i>Note: ideally standardized assessment, performed in the same setting (home / hospital) by the same person.</i>
Proportion of data on PF available for analysis	Adequate proportion of the study sample has complete data for PF variable.		yes / partial / no / unsure	<b>yes:</b> There should be at least 80% available with complete data for any PF considered in the review. (1) <i>Note: 'unsure' if missingness not reported.</i>
Method used for missing data	Appropriate methods of imputation are used for missing 'PF' data.		yes / partial / no / unsure	<b>yes:</b> There should be some form of imputation used, but even if not, it could still be a 'yes' if 80% of the sample has complete data. (0.5) Note: Imputation preferred, as complete case analysis might be invalid if data is not missing at random.
PF Measurement Summary	<i>PF</i> is adequately measured in study participants to sufficiently limit potential bias.		high / moderate / low	<ul> <li>high: The measurement of the PF is very likely to be different for different levels of the outcome of interest.</li> <li>(1) ≥2 'no'.</li> <li>Example: Using unreliable methods of PF measurement, or different approaches for participants, which result in systematic misclassification.</li> <li>moderate: The measurement of the PE may be different for</li> </ul>
				different levels of the outcome of interest. (1) ≤1 'no'.
				<ul> <li>low: The measurement of the PF is unlikely to be different for different levels of the outcome of interest.</li> <li>(1) ≤2 'partial' if the rest is 'yes'.</li> <li>Example: PF measured similarly for all participants, using valid, reliable measures.</li> </ul>
4. Outcome Measurement	Goal: To judge the risk of bias re	elated to the measu	rement of outcome (differen	ntial measurement of outcome related to the baseline level of PF).

Biases	Issues to consider for judging	Study Methods	Rating	Criteria
	overall rating of RoB	& Comments		
Definition of the Outcome	A clear definition of outcome is provided, including duration of follow-up and level and extent of the outcome construct.		yes / partial / no / unsure	<b>yes:</b> There should be a clear definition of outcome, e.g. information on which questions were used, how the data was collected, how the variable was constructed, whether any recommendations on outcome measures were used, etc.; timing of outcome measurement should be clearly stated. (1) <i>Note: What construct was measured with what instrument, total</i> <i>score or subscales used.</i>
Valid and Reliable Measurement of Outcome	The method of outcome measurement used is adequately valid and reliable to limit misclassification bias (e.g., may include relevant outside sources of information on measurement properties, also characteristics, such as blind measurement and confirmation of outcome with valid and reliable test).		yes / partial / no / unsure	yes: There should be a reference of reliability / validity study or information on these features in the paper; population on which reliability / validity was assessed should corresponds to the population of interest; blind measurement is not required as we're interested in patient-reported (pain, function) outcomes. (1)
Method and Setting of Outcome Measurement	The method and setting of outcome measurement is the same for all study participants.		yes / partial / no / unsure	<b>yes:</b> The outcome should be the same, but the method or setting could be different provided that they are reliable (e.g. VAS or NRS, in-person or phone / postal / online administration) and valid for the use in chronic pain / spinal surgery population; importantly, the timing of outcome measurement relative to surgery should be similar across participants. (1)
Outcome Measurement Summary	Outcome of interest is adequately measured in study participants to sufficiently limit potential bias.		high / moderate / low	<ul> <li>high: The measurement of the outcome is very likely to be different related to the baseline level of the PF.</li> <li>(1) ≥2 'no'.</li> <li>Example: Likely different measurement of outcome related to the extent of exposure to the prognostic factors.</li> </ul>

Biases	Issues to consider for judging	Study Methods	Rating	Criteria
	overall rating of RoB	& Comments		
				<ul> <li>moderate: The measurement of the outcome may be different related to the baseline level of the PF.</li> <li>(1) ≤1 'no'.</li> <li>low: The measurement of the outcome is unlikely to be different related to the baseline level of the PF.</li> <li>(1) ≤2 'partial' if the rest is 'yes'.</li> <li>Example: outcome measured similarly for all participants, using valid, reliable measure.</li> </ul>
5. Study Confounding	Goal: To judge the risk of bias d	ue to confounding (	i.e. the effect of PF is distorte	ed by another factor that is related to PF and outcome).
Important Confounders Measured	All important confounders, including treatments (there is no specific set of required factors), are measured.		yes / partial / no / unsure	<b>yes:</b> There should be at least one confounder considered, as defined by the study authors; however, in a broad review in the field of CLBP with multifactor associations between prognostic factors and outcomes, it is not feasible to define a minimum set of potential confounders that should considered. (1) <i>Note: 'yes' if multivariate analysis.</i>
Definition of the confounding factor	Clear definitions of the important confounders measured are provided (e.g., including dose, level, and duration of exposures).		yes / partial / no / unsure	<b>yes:</b> There should be a clear definition of confounders, e.g. information on which questions were used, how the data was collected, or how the variable was constructed, etc. (1) <i>Note: What construct was measured with what instrument, total score or subscales used, self-reported or assessed by investigator; likely to be the same as for PFs if multivariate analysis.</i>
Valid and Reliable Measurement of Confounders	Measurement of all important confounders is adequately valid and reliable (e.g., may include relevant outside sources of information on measurement properties, also characteristics, such as blind measurement and limited reliance on recall).		yes / partial / no / unsure	<b>yes:</b> There should be a reference of reliability / validity study or information on these features in the paper (not relevant for basic demographic characteristics, e.g. sex or age); rationale for including a factor as a confounder should be provided. (1) <i>Note: Note whether assessment was blinded / performed by independent investigator (although not relevant for self-report); in prospective studies assessment is inherently blinded to outcome; likely to be the same as for PFs if multivariate analysis.</i>

Biases	Issues to consider for judging	Study Methods	Rating	Criteria
	overall rating of RoB	& Comments		
Method and Setting of Confounding Measurement	The method and setting of confounding measurement are the same for all study participants.		yes / partial / no / unsure	<b>yes:</b> The confounder should be the same, but the method or setting could be different provided that they are reliable (e.g. VAS or NRS); importantly, the timing of confounder measurement relative to surgery should be reported and similar across participants. (1) Note: Ideally standardized assessment, performed in the same setting (home / hospital) by the same person.
Method used for missing data	Appropriate methods are used if imputation is used for missing confounder data.		yes / partial / no / unsure	<b>yes:</b> There should be some form of imputation used, but even if not, it could still be a 'yes' if 80% of the sample has complete data. (1) <i>Note: 'unsure' if missingness not reported.</i>
Appropriate Accounting for Confounding	Important potential confounders are accounted for in the study design (e.g., matching for key variables, stratification, or initial assembly of comparable groups).		yes / partial / no / unsure	<b>yes:</b> There should be some form of randomization, stratification, or matching for confounders in controlled studies. (1 / 0) <i>Note: This item and the one below treated interchangeably - either form of accounting for confounder(s) is sufficient for a 'yes'.</i>
	Important potential confounders are accounted for in the analysis (i.e., appropriate adjustment).			<b>yes:</b> Analysis should account for at least one confounder (i.e. multivariate analyses are assumed to be less biased, while univariate analyses are assumed to be associated with potential bias, although both are included in this review). (1 / 0) Note: This item and the one above treated interchangeably - either form of accounting for confounder(s) is sufficient for a 'yes'; this item more likely if multivariate analysis.
Study Confounding Summary	Important potential confounders are appropriately accounted for, limiting potential bias with respect to the relationship between <i>PF</i> and <i>outcome</i> .		high / moderate / low	<ul> <li>high: The observed effect of the PF on the outcome is very likely to be distorted by another factor related to PF and outcome.</li> <li>(1) 'no' on the 1st item.</li> <li>Example: Another factor related to both PF and the outcome is likely to explain the effect of the PF.</li> <li>moderate: The observed effect of the PF on outcome may be distorted by another factor related to PF and outcome.</li> <li>(1) 'yes' on the 1st item and ≤2 'no' on the rest.</li> </ul>

Biases	Issues to consider for judging	Study Methods	Rating	Criteria
	overall rating of RoB	& Comments		
				<ul> <li>low: The observed effect of the PF on outcome is unlikely to be distorted by another factor related to PF and outcome.</li> <li>(1) 'yes' on the 1st item and ≤3 partial on the rest.</li> <li>Example: Adequate measurement of potential confounding variables and inclusion of these variables in a prespecified multivariate analysis.</li> </ul>
6. Statistical Analysis and Reporting	Goal: To judge the risk of bias re	elated to the statist	ical analysis and presentatio	on of results.
Presentation of analytical strategy	There is sufficient presentation of data to assess the adequacy of the analysis.		yes / partial / no / unsure	<b>yes:</b> There should be enough information available to understand the statistical methods applied, so that it can be determined whether they are correct. (1) <i>Note: Tests should be specified, level of data (categorical / continuous); distinction between planned / follow-up analyses; sample size calculation or adequate N of events / participants (min. 10 events, e.g. achieving MCID, for dichotomous outcomes; min. 20 participants per continuous PF); significance level used.</i>
Model development strategy	The strategy for model building (i.e., inclusion of variables in the statistical model) is appropriate and is based on a conceptual framework or model.		yes / partial / no / unsure	<b>yes:</b> There should be information available on whether statistical assumptions were satisfied; if a model is described, methods of any pre-selection of variables and / or criteria for inclusion of variables in the model, with rationale, should be presented. (1) <i>Note: See data extraction table for possible approaches; large N of PFs in combination with small sample / events N increases risk of spurious correlations and overfitting; assumptions like normality or independence not relevant for correlational analyses; advised to check if non-linear transformations of continuous PFs are indicated; full model approach has lowest predictor selection bias but requires prior knowledge; stepwise / forward selection increases risk of overfitting; backward selection acceptable based on criteria like p / AIC / c-change.</i>
	The selected statistical model is adequate for the design of the study.		yes / partial / no / unsure	<b>yes:</b> There should be some form of statistical analysis description available, resulting in information on the effect of PF on the outcome. (1) <i>Note: Cls for effect estimates desired, exact p values.</i>

Biases	Issues to consider for judging	Study Methods	Rating	Criteria
	overall rating of Rob	& Comments		
Reporting of results	There is no selective reporting of results.		yes / partial / no / unsure	<b>yes:</b> All primary outcomes and PFs described in the method section should be included in the results section with words or in numbers (tables, figures). (1) Note: Results for candidate predictors should be reported even if not significant; group / sample average descriptives for PFs and / or outcomes not directly relevant for correlational analysis but would allow to assess the prevalence of PF / outcome in the study sample.
Statistical Analysis and Presentation Summary	The statistical analysis is appropriate for the design of the study, limiting potential for presentation of invalid or spurious results.		high / moderate / low	<ul> <li>high: The reported results are very likely to be spurious or biased related to analysis or reporting.</li> <li>(1) ≥2 'no'.</li> <li>Example: Only significant results reported with omission of some primary outcomes and PFs.</li> <li>moderate: The reported results may be spurious or biased related to analysis or reporting.</li> <li>(1) ≤1 'no' if the rest is 'yes' / 'partial'.</li> <li>low: The reported results are unlikely to be spurious or biased</li> </ul>
				related to analysis or reporting. (1) ≤2 'partial' if the rest is 'yes'. Example: Statistical analysis appropriate for the data, statistical assumptions satisfied, and all primary outcomes reported.

**Note.** Current version of the form was adapted from QUIPS risk of bias assessment instrument for prognostic factor studies by Hayden et al. [44] based on original QUIPS version by Hayden et al. [43]. Criteria for ratings of reporting were adapted from Grooten et al. [42].

\*Weights indicate the importance of each prompting item for determining the RoB rating in each bias domain (1 = important, 0 = not important)

### Supplemental Digital Content 5: Excluded full text reports with reasons

- Abbott AD, Tyni-Lenné R, Hedlund R. Leg pain and psychological variables predict outcome 2–3 years after lumbar fusion surgery. European Spine Journal 2011;20:1626–1634. – Ineligible population (postoperative intervention) & Ineligible outcome (not change from baseline)
- Ablin JN, Berman M, Aloush V, Regev G, Salame K, Buskila D, Lidar Z. Effect of Fibromyalgia Symptoms on Outcome of Spinal Surgery. Pain medicine 2017;18:773–780. – Ineligible population (cervical and lumbar spine surgery; symptom duration not reported) & Ineligible timing (short follow-up)
- Aghayev E, Roder C, Zweig T, Etter C, Schwarzenbach O. Benchmarking in the SWISSspine registry: results of 52 Dynardi lumbar total disc replacements compared with the data pool of 431 other lumbar disc prostheses. European spine journal 2010;19:2190–9. – Ineligible population (symptom duration not recorded)
- Ahmadi SA, Burkert I-P, Steiger H-J, Eicker SO. Multidimensional long-term outcome analysis after singlelevel lumbar microdiscectomy: a retrospective single-centre study. European Journal of Orthopaedic Surgery & Traumatology 2018;28:189–196. – Ineligible population (short symptom duration)
- Amundsen T, Weber H, Nordal HJ, Magnaes B, Abdelnoor M, Lilleas F. Lumbar spinal stenosis: Conservative or surgical management? A prospective 10-year study. Spine 2000;25:1424–1436. - Ineligible outcome (composite/categorical measure) & Ineligible population (unclear symptom duration)
- 6. Andersen MØ, Ernst C, Rasmussen J, Ankjær T, Carreon LY. Predictive Factors of Successful Return to Work Following Discectomy. Global Spine Journal 2020:2192568220960399. - Ineligible population (short symptom duration)
- Andersen MO, Fritzell P, Eiskjaer SP, Lagerback T, Hagg O, Nordvall D, Lonne G, Solberg T, Jacobs W, van Hooff M, Gerdhem P, Gehrchen M. Surgical Treatment of Degenerative Disk Disease in Three Scandinavian Countries: An International Register Study Based on Three Merged National Spine Registers. Global Spine Journal 2019;9:850–858. – Ineligible population (previous surgery)
- 8. Andersen T, Christiansen FB, Laursen M, Høy K, Hansen ES, Bünger C. Smoking as a predictor of negative outcome in lumbar spinal fusion. Spine 2001;26:2623–2628. Ineligible population (previous surgery)
- 9. Anderson JT, Haas AR, Percy R, Woods ST, Ahn UM, Ahn NU. Return to work after diskogenic fusion in workers' compensation subjects. Orthopedics 2015;38:e1065–e1072. Ineligible population (symptom duration not reported)
- 10. Anderson JT, Haas AR, Percy R, Woods ST, Ahn UM, Ahn NU. Single-level lumbar fusion for degenerative disc disease is associated with worse outcomes compared with fusion for spondylolisthesis in a workers' compensation setting. Spine 2015;40:323–331. Ineligible population (previous surgery)
- Archer KR, Seebach CL, Mathis SL, Riley LH 3rd, Wegener ST. Early postoperative fear of movement predicts pain, disability, and physical health six months after spinal surgery for degenerative conditions. The spine journal 2014;14:759–67. – Ineligible population (previous surgery, lumbar and cervical)
- Archer KR, Wegener ST, Seebach C, Song Y, Skolasky RL, Thornton C, Khanna AJ, Riley ILH. The effect of fear of movement beliefs on pain and disability after surgery for lumbar and cervical degenerative conditions. Spine 2011;36:1554–1562. – Ineligible population (previous surgery, lumbar and cervical) & Ineligible study design (case series)
- 13. Arpino L, Iavarone A, Parlato C, Moraci A. Prognostic role of depression after lumbar disc surgery. Neurological Sciences 2004;25:145–147. - Ineligible study design (case series)
- 14. Asher AL, Devin CJ, Archer KR, Chotai S, Parker SL, Bydon M, Nia, H, Harrell FE, Speroff T, Dittus RS, Philips SE, Shaffrey CI, Foley KT, McGirt MJ. An analysis from the Quality Outcomes Database, Part 2. Predictive model for return to work after elective surgery for lumbar degenerative disease. Journal of Neurosurgery: Spine 2017;27:370–381. Ineligible population (previous surgery, short symptom duration)
- 15. Atlas SJ, Deyo RA, Keller RB, Chapin AM, Patrick DL, Long JM, Singer DE. The Maine Lumbar Spine Study, part II: 1-Year outcomes of surgical and nonsurgical management of sciatica. Spine 1996;21:1777–1786. Ineligible population (short symptom duration)
- Atlas SJ, Deyo RA, Keller RB, Chapin AM, Patrick DL, Long JM, Singer DE. The Maine Lumbar Spine Study, part III: 1-Year outcomes of surgical and nonsurgical management of lumbar spinal stenosis. Spine 1996;21:1787–1795. – Ineligible population (short symptom duration)

- 17. Atlas SJ, Keller RB, Chang Y, Deyo RA, Singer DE. Surgical and nonsurgical management of sciatica secondary to a lumbar disc herniation: Five-Year outcomes from the Maine lumbar spine study. Spine 2001;26:1179–1187. Ineligible population (short symptom duration)
- Behrend C, Prasarn M, Coyne E, Horodyski M, Wright J, Rechtine GR. Smoking Cessation Related to Improved Patient-Reported Pain Scores Following Spinal Care. The Journal of bone and joint surgery American volume 2012;94:2161–6. – Ineligible population (no intervention)
- Bennett EE, Walsh KM, Thompson NR, Krishnaney AA. Central Sensitization Inventory as a Predictor of Worse Quality of Life Measures and Increased Length of Stay Following Spinal Fusion. World neurosurgery 2017;104:594–600. – Ineligible population (thoracic and lumbar, symptom duration not reported)
- Berg S, Fritzell P, Tropp H. Sex life and sexual function in men and women before and after total disc replacement compared with posterior lumbar fusion. Spine journal 2009;9:987-994. – Ineligible predictors (not baseline)
- 21. Bernd L, Schiltenwolf M, Mau H, Schindele S. No indications for percutaneous lumbar discectomy? International Orthopaedics 1997;21:164–168. – Ineligible population (age <18 years, short symptom duration)
- 22. Bjarke Christensen F, Stender Hansen E, Laursen M, Thomsen K, Bünger CE. Long-term functional outcome of pedicle screw instrumentation as a support for posterolateral spinal fusion: randomized clinical study with a 5-year follow-up. Spine 2002;27:1269-1277. Ineligible predictors (intraoperative) & Ineligible study design (no investigation of associations between preoperative factors and postoperative outcomes)
- Block AR, Ohnmeiss DD, Guyer RD, Rashbaum RF, Hochschuler SH. The use of presurgical psychological screening to predict the outcome of spine surgery. The spine journal: official journal of the North American Spine Society 2001;1:274–82. Ineligible population (previous surgery), Ineligible predictors (composite) & Ineligible outcome (composite)
- 24. Blondel B, Tropiano P, Gaudart J, Huang RC, Marnay T, Blondel B, Tropiano P, Gaudart J, Huang RC, Marnay T. Clinical results of lumbar total disc arthroplasty in accordance with Modic signs, with a 2-year-minimum follow-up. Spine (03622436) 2011;36:2309–2315. Ineligible population (previous surgery)
- Bouras T, SStranjalis G, Loufardaki M, Sourtzis I, Stavrinou LC, Sakas DE. Predictors of long-term outcome in an elderly group after laminectomy for lumbar stenosis. Journal of Neurosurgery: Spine 2010;13:329– 334. – Ineligible population (previous surgery, unclear if lumbar, unclear symptom duration) & Ineligible study design (case series)
- Brox JI, Reikerås O, Nygaard Ø, Sørensen R, Indahl A, Holm I, Keller A, Ingebrigtsen T, Grundnes O, Lange JE, Friis A. Lumbar instrumented fusion compared with cognitive intervention and exercises in patients with chronic back pain after previous surgery for disc herniation: A prospective randomized controlled study. Pain 2006;122:145–155. Ineligible population (previous surgery)
- Burgstaller JM, Wertli MM, Steurer J, Kessels AGH, Held U, Gramke H-F, Group LS. The Influence of Preand Postoperative Fear Avoidance Beliefs on Postoperative Pain and Disability in Patients with Lumbar Spinal Stenosis: Analysis of the Lumbar Spinal Outcome Study (LSOS) Data. Spine 2017;42:E425–E432. – Ineligible population (short symptom duration)
- 28. Carreon LY, Jespersen AB, Støttrup CC, Hansen KH, Andersen MO. Is the Hospital Anxiety and Depression Scale Associated with Outcomes After Lumbar Spine Surgery? Global Spine Journal 2020;10:266–271. – Ineligible population (symptom duration not reported) & Ineligible study design (case series)
- 29. Carreon LY, Glassman SD, Kantamneni NR, Mugavin MO, Djurasovic M. Clinical outcomes after posterolateral lumbar fusion in workers compensation patients: A case-control study. Spine 2010;35:1812–1817. Ineligible population (previous surgery)
- 30. Carreon LY, Glassman SD, Djurasovic M, Dimar JR, Johnson JR, Puno RM, Campbell MJ. Are Preoperative Health-Related Quality of Life Scores Predictive of Clinical Outcomes After Lumbar Fusion? Spine 2009;34.
   – Ineligible population (previous surgery)
- Chaichana KL, Mukherjee D, Adogwa O, Cheng JS, McGirt MJ. Correlation of preoperative depression and somatic perception scales with postoperative disability and quality of life after lumbar discectomy. J Neurosurgery Spine 2011;14:261–7. – Ineligible population (short symptom duration)
- 32. Dance C, DeBerard MS, Cuneo JG. Pain acceptance potentially mediates the relationship between pain catastrophizing and post-surgery outcomes among compensated lumbar fusion patients. Journal of Pain

Research 2017;10:65–72. – Ineligible predictors (not baseline), Ineligible outcome (not change from baseline) & Ineligible population (symptom duration not reported)

- D'Angelo C, Mirijello A, Ferrulli A, Leggio L, Berardi A, Icolaro N, Miceli A, D'Angelo V, Gasbarrini G, Addolorato G. Role of trait anxiety in persistent radicular pain after surgery for lumbar disc herniation: a 1year longitudinal study. Neurosurgery 2010;67:265–71. – Ineligible population (short symptom duration), Ineligible outcome (not change from baseline) & Ineligible study design (case series)
- De la Garza-Ramos R, Bydon M, Abt NB, Sciubba DM, Wolinsky J-P, Bydon A, Gokaslan ZL, Rabin B, Witham TF. The impact of obesity on short- and long-term outcomes after lumbar fusion. Spine 03622436 2015;40:56–61. Ineligible outcome (not change from baseline) & Ineligible population (symptom duration not reported)
- 35. DeBerard MS, LaCaille RA, Spielmans G, Colledge A, Parlin MA. Outcomes and pre-surgery correlates of lumbar discectomy in Utah Workers' Compensation patients. Spine Journal 2009;9:193–203. Ineligible population (previous surgery, unclear symptom duration)
- den Boer JJ, Oostendorp RAB, Beems T, Munneke M, Evers AWM. Continued disability and pain after lumbar disc surgery: the role of cognitive-behavioral factors. Pain 2006;123:45–52. – Ineligible population (short pain duration, age <18 years)</li>
- 37. Dipak S, Shrestha R, Dhoju D, Kayastha SR, Jha SC. Study of clinical variables affecting long term outcome after microdisectomy for lumbar disc herniation. Kathmandu University Medical Journal 2015;13:333–340.
   Ineligible population (short symptom duration) & Ineligible outcome (not change from baseline)
- Dzioba RB, Doxey NC. A prospective investigation into the orthopaedic and psychologic predictors of outcome of first lumbar surgery following industrial injury. Spine 1984;9:614–623. – Ineligible population (short symptom duration, interventions included injections)
- 39. Edwards RR, Klick B, Buenaver L, Max MB, Haythornthwaite JA, Keller RB, Atlas SJ. Symptoms of distress as prospective predictors of pain-related sciatica treatment outcomes. Pain 2007;130:47–55. Ineligible population (short symptom duration, surgical and conservative treatment)
- Ekselius L, Von Knorring L, Enskog J, Ordeberg G. Effect of personality disorders on treatment outcome of surgery for low back pain. Journal of Musculoskeletal Pain 1996;4:87–96. – Ineligible predictors (not baseline)
- 41. Ford JJ, Kaddour O, Page P, Richards MC, McMeeken JM, Hahne AJ. A multivariate prognostic model for pain and activity limitation in people undergoing lumbar discectomy. British Journal of Neurosurgery 2020.– Ineligible population (short symptom duration)
- 42. Franklin GM, Haug J, Heyer NJ, McKeefrey SP, Picciano JF. Outcome of lumbar fusion in Washington State workers' compensation. Spine (Phila Pa 1976) 1994;19:1897–903. Ineligible population (previous surgery, unclear symptom duration)
- Froholdt A, Reikeraas O, Holm I, Keller A, Brox JI. No difference in 9-year outcome in CLBP patients randomized to lumbar fusion versus cognitive intervention and exercises. European Spine Journal 2012;21:2531-2538. – Ineligible population (previous surgery) & Ineligible study design (no investigation of associations between preoperative factors and postoperative outcomes)
- 44. Furunes H, Hellum C, Brox JI, Rossvoll I, Espeland A, Berg L, Brogger HM, Smastuen MC, Storheim K. Lumbar total disc replacement: predictors for long-term outcome. European spine journal: official publication of the European Spine Society, the European Spinal Deformity Society, and the European Section of the Cervical Spine Research Society 2018;27:709–718. – Ineligible population (previous surgery)
- 45. Gehrchen MP, Dahl B, Katonis P, Blyme P, Tøndevold E, Kiær T. No difference in clinical outcome after posterolateral lumbar fusion between patients with isthmic spondylolisthesis and those with degenerative disc disease using pedicle screw instrumentation: a comparative study of 112 patients with 4 years of follow-up. European Spine Journal 2002;11:423–427. Ineligible population (previous surgery, symptom duration not reported)
- Gepstein R, Shabat S, Arinzon ZH, Berner F, Catz A, Folman F. Does obesity affect the results of lumbar decompressive spinal surgery in the elderly? Clinical Orthopaedics and Related Research 2004:138–144. – Ineligible study design (case series) & Ineligible population (symptom duration not reported)
- 47. Giannadakis C, Nerland US, Solheim O, Jakola AS, Gulati M, Weber C, Nygaard EP, Solberg TK, Gulati S. Does Obesity Affect Outcomes after Decompressive Surgery for Lumbar Spinal Stenosis? A Multicenter,

Observational, Registry-Based Study. World Neurosurgery 2015;84:1227–1234. – Ineligible population (symptom duration not recorded)

- Giannadakis C, Solheim O, Jakola AS, Nordseth T, Gulati AM, Nerland US, Nygaard ØP, Solberg TK, Gulati S. Surgery for Lumbar Spinal Stenosis in Individuals Aged 80 and Older: A Multicenter Observational Study. Journal of the American Geriatrics Society 2016;64:2011–2018. – Ineligible population (previous surgery, unclear symptom duration)
- 49. Graver V, Haaland AK, Magnaes B, Loeb M. Seven-year clinical follow-up after lumbar disc surgery: results and predictors of outcome. British Journal of Neurosurgery 1999;13:178–84. Ineligible population (short symptom duration)
- 50. Graver V. New dissertation: long-term results and predictors of outcome in lumbar disc surgery with special attention to the impact of hypofibrinolysis, psychological distress and the extent of the surgical intervention. Advances in Physiotherapy 2000;2:93–95. Ineligible population (short symptom duration)
- 51. Greenough CG, Taylor LJ, Fraser RD. Anterior lumbar fusion: Results, assessment techniques and prognostic factors. European Spine Journal 1994;3:225–230. Ineligible population (previous surgery, unclear symptom duration)
- 52. Gulati S, Nordseth T, Nerland US, Gulati M, Weber C, Giannadakis C, Nygaard ØP, Solberg TK, Solheim O, Jakola AS. Does daily tobacco smoking affect outcomes after microdecompression for degenerative central lumbar spinal stenosis? A multicenter observational registry-based study. Acta Neurochirurgica 2015;157:1157–1164. Ineligible population (previous surgery, symptom duration not recorded)
- 53. Gum JL, Glassman SD, Carreon LY. Is Type of compensation a predictor of outcome after lumbar fusion? Spine 2013;38:443–448. Ineligible population (previous surgery, unclear symptom duration)
- 54. Gunzburg R, Keller TS, Szpalski M, Vandeputte K, Spratt KF. Clinical and psychofunctional measures of conservative decompression surgery for lumbar spinal stenosis: A prospective cohort study. European Spine Journal 2003;12:197–204. – Ineligible study design (case series) & Ineligible population (symptom duration not reported)
- 55. Hakkinen A, Ylinen J, Kautiainen H, Airaksinen O, Herno A, Kiviranta I. Does the outcome 2 months after lumbar disc surgery predict the outcome 12 months later? Disability Rehabilitation 2003;25:968–72. – Ineligible predictors (not baseline) & Ineligible outcomes (not change from baseline)
- 56. Hanigan WC, Elwood PW, Henderson JP, Lister JR. Surgical results in obese patients with sciatica. Neurosurgery 1987;20:896–9. Ineligible population (short symptom duration)
- 57. Hassan Hashisha RK, Ali SM, Awad TE. Impact of tramadol abuse on clinical outcome of lumbar discectomy patients'. NeuroToxicology 2019;75:9–13. Ineligible population (short symptom duration)
- 58. Haugen AJ, Brox JI, Grovle L, Keller A, Natvig B, Soldal D, Grotle M. Prognostic factors for non-success in patients with sciatica and disc herniation. BMC Musculoskeletal Disorders 2012;13:183. Ineligible population (short symptom duration)
- 59. Havakeshian S, Mannion AF. Negative beliefs and psychological disturbance in spine surgery patients: a cause or consequence of a poor treatment outcome? European Spine Journal 2013;22:2827–35. Ineligible population (additional pre/post-surgery intervention) & Ineligible outcomes (none of interest)
- Hellum C, Johnsen LG, Gjertsen Ø, Berg L, Neckelmann G, Grundnes O, Rossvoll I, Skouen JS, Brox JI, Storheim K. Predictors of outcome after surgery with disc prosthesis and rehabilitation in patients with chronic low back pain and degenerative disc: 2-year follow-up. European Spine Journal 2012;21:681-690. – Ineligible population (previous surgery)
- 61. Hennessy W, Wagner E, Dumas BP, Handley P. In Lumbar Fusion Patients, How Does Establishing a Comfort Function Goal Preoperatively Impact Postoperative Pain Scores? Pain Management Nursing 2015;16:841–845. Ineligible population (short symptom duration, additional pre/post-surgery intervention), Ineligible outcome (not change from baseline) & Ineligible timing (unclear follow-up duration)
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- 109.Sandén B, Försth P, Michaëlsson K. Smokers show less improvement than nonsmokers two years after surgery for lumbar spinal stenosis: A study of 4555 patients from the Swedish spine register. Spine 2011;36:1059–1064. – Ineligible population (symptom duration not recorded)
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- 113.Siccoli A, Staartjes VE, de Wispelaere MP, Schröder ML. Association of time to surgery with leg pain after lumbar discectomy: is delayed surgery detrimental? Journal of Neurosurgery: Spine 2019:1–8. – Ineligible population (short symptom duration)
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- 119.Sinikallio S, Aalto T, Airaksinen O, Herno A, Kröger H, Viinamäki H. Depressive burden in the preoperative and early recovery phase predicts poorer surgery outcome among lumbar spinal stenosis patients: a oneyear prospective follow-up study. Spine (Phila Pa 1976) 2009;34:2573–8. – Ineligible population (previous surgery, symptom duration not reported)
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Spine Journal : official journal of the North American Spine Society 2018;18:1318–1324. – Ineligible population (short symptom duration) & Ineligible outcome (not change from baseline)

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- 124.Skouen JS, Larsen JL, Gjerde IO, Hegrestad SE, Vollset SE. Cerebrospinal fluid protein concentrations in patients with sciatica caused by lumbar disc herniation: an investigation of biochemical, neurologic, and radiologic predictors of long-term outcome. Journal of Spinal Disorders 1997;10:505–11. Ineligible outcomes (not change from baseline) & Ineligible population (symptom duration not reported)
- 125.Slover J, Abdu WA, Hanscom B, Weinstein JN. The impact of comorbidities on the change in short-form 36 and Oswestry scores following lumbar spine surgery. Spine 2006;31:1974–1980. Ineligible population (symptom duration not reported)
- 126.Solberg TK, Nygaard Øystein P, Sjaavik K, Hofoss D, Ingebrigtsen T. The risk of "getting worse" after lumbar microdiscectomy. European Spine Journal 2005;14:49–54. – Ineligible study design (case series) & Ineligible population (unclear symptom duration)
- 127.Sorensen LV, Mors O, Skovlund O. A prospective study of the importance of psychological and social factors for the outcome after surgery in patients with slipped lumbar disk operated upon for the first time. Acta neurochirurgica 1987;88:119–25. Ineligible outcomes (composite/categorical measure) & Ineligible population (unclear symptom duration and age)
- 128.Staartjes VE, de Wispelaere MP, Vandertop WP, Schroder ML. Deep learning-based preoperative predictive analytics for patient-reported outcomes following lumbar discectomy: feasibility of center-specific modeling. The spine journal : official journal of the North American Spine Society 2019;19:853–861. Ineligible population (previous surgery, short symptom duration)
- 129.Stienen MN, Smoll NR, Hildebrandt G, Schaller K, Gautschi OP. Influence of smoking status at time of surgery for herniated lumbar disk on postoperative pain and health-related quality of life. Clinical Neurology and Neurosurgery 2014;122:12–9. Ineligible population (previous surgery, symptom duration not reported) & Ineligible outcome (categorical measure)
- 130. Tandon V, Campbell F, Ross ERS. Posterior lumbar interbody fusion: Association between disability and psychological disturbance in non-compensation patients. Spine 1999;24:1833–1838. Ineligible population (previous surgery)
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- 132. Trief PM, G William, Fredrickson B. A Prospective Study of Psychological Predictors of Lumbar Surgery Outcome. Spine 2000;25. Ineligible population (previous surgery)
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- 136.Tuomainen I, Pesonen J, Rade M, Pakarinen M, Leinonen V, Kröger H, Airaksinen O, Aalto T. Preoperative Predictors of Better Long-term Functional Ability and Decreased Pain Following LSS Surgery: A Prospective Observational Study with a 10-year Follow-up Period. Spine 2020;45:776–783. – Ineligible population (previous surgery) & Ineligible outcome (not change from baseline)

- 137.Turner JA, Herron L, Weiner P. Utility of the MMPI Pain Assessment Index in predicting outcome after lumbar surgery. Journal of Clinical Psychology 1986;42:764–9. – Ineligible population (shorty symptom duration, age <18 years) & Ineligible outcome (not change from baseline, composite)
- 138. Vaidya R, Carp J, Bartol S, Ouellette N, Lee S, Sethi A. Lumbar spine fusion in obese and morbidly obese patients. Spine 2009;34:495–500. Ineligible population (previous surgery)
- 139. Van Susante J, Van De Schaaf D, Pavlov P. Psychological distress deteriorates the subjective outcome of lumbosacral fusion: A prospective study. Acta Orthopaedica Belgica 1998;64:371–377. – Ineligible study design (case series)
- 140.Vangen-Lønne V, Madsbu MA, Salvesen Ø, Nygaard ØP, Solberg TK, Gulati S. Microdiscectomy for Lumbar Disc Herniation: A Single-Center Observational Study. World Neurosurgery 2020;137:e577–e583. – Ineligible population (age <18 years, unclear symptom duration)
- 141. Vialle E, de Oliveira Pinto BM, Vialle LR, Gomez JDC. Evaluation of psychosomatic distress and its influence in the outcomes of lumbar fusion procedures for degenerative disorders of the spine. European Journal of Orthopopedic Surgery Traumatol 2015;25:25–28. Ineligible outcome (not change from baseline)
   &Ineligible population (symptom duration and age not reported)
- 142.Vodičar M, Košak R, Gorenšek M, Korez R, Vrtovec T, Koder J, Antolič V, Vengust R. Vertebral End-Plate Perforation for Intervertebral Disc Height Preservation After Single-level Lumbar Discectomy: a Randomized-controlled Trial. Clinical Spine Surgery 2017;30:E707-E712 – Ineligible population (short symptom duration) & Ineligible outcome (not change from baseline)
- 143. Wahlman M, Häkkinen A, Dekker J, Marttinen I, Vihtonen K, Neva MH. The prevalence of depressive symptoms before and after surgery and its association with disability in patients undergoing lumbar spinal fusion. European Spine Journal 2014;23:129–134. Ineligible population (previous surgery)
- 144. Wankhade UG, Umashankar MK, Jayakrishna Reddy BS. Functional outcome of lumbar discectomy by fenestration technique in lumbar disc prolapse-return to work and relief of pain. Journal of Clinical and Diagnostic Research 2016;10:9–13 Ineligible population (short symptom duration)
- 145.Weinstein JN, T TD, Lurie, JD, Tosteson, ANA, Blood, E, Hanscom, B, Herkowitz, H, Cammisa, F, Albert, T, Boden, SD, Hilibrand, A, Goldberg, H, Berven, S, An, H. Surgical versus nonsurgical therapy for lumbar spinal stenosis. New England Journal of Medicine 2008;358:794–810. Ineligible predictors (intraoperative)
- 146. Wilson-MacDonald J, Fairbank J, Frost H, Yu LM, Barker K, Collins R, Campbell H. The MRC spine stabilization trial: surgical methods, outcomes, costs, and complications of surgical stabilization. Spine 2008;33:2334-2340. Ineligible population (previous surgery), Ineligible predictors (intraoperative) & Ineligible study design (no investigation of associations between preoperative factors and postoperative outcomes)
- 147.Yamashita K, Aono H, Yamasaki R. Clinical classification of patients with lumbar spinal stenosis based on their leg pain syndrome: Its correlation with 2-year surgical outcome. Spine 2007;32:980–985. – Ineligible study design (Case series) & Ineligible population (symptom duration not reported)
- 148.Ziegler DS, Jensen RK, Storm L, Carreon L, Andersen MO. The Association Between Early Postoperative Leg Pain Intensity and Disability at 1-Year and 2-Year Follow-Up After First-Time Lumbar Discectomy. Global Spine Journal 2021;11:81–88. – Ineligible population (short symptom duration) & Ineligible outcome (not change from baseline)

#### Supplemental Digital Content 6: Risk of bias judgements

#### Table S6. Risk of bias in the included studies.

	Bias Domains											
Study ID	1. Study Participation	2. Study Attrition <sup>a</sup>	3. Prognostic Factor Measurement	4. Outcome Measurement	5. Study Confounding	6. Statistical Analysis and Reporting	Assessment of Risk of Bias <sup>b</sup>					
Anderson 2006 [53]	high	moderate	low	moderate	low	moderate	HIGH					
Cushnie 2019 [54]	moderate	high	moderate	low	low	moderate	HIGH					
Ekman 2009 [55]	moderate	moderate	low	low	moderate	moderate	LOW					
Gepstein 2007 [48]	moderate	moderate	moderate	low	moderate	high	HIGH					
Hagg 2003 [59]	low	moderate	moderate	low	moderate	moderate	LOW					
Hegarty 2012 [9]	low	low	low	low	low	moderate	LOW					
Kim 2015a [49]	low	high	low	low	high	low	HIGH					
Kim 2015b [50]	low	high	low	low	low	low	HIGH					
McGuire 2014 [60]	moderate	high	low	low	moderate	low	HIGH					
Muller 2019 [58]	moderate	moderate	moderate	low	low	moderate	LOW					
Patel 2019 [51]	low	moderate	low	low	low	moderate	LOW					
Radcliff 2011 [61]	moderate	high	moderate	low	moderate	moderate	HIGH					
Rihn 2012 [62]	moderate	high	low	low	moderate	low	HIGH					
Schade 1999 [63]	low	moderate	moderate	moderate	low	high	HIGH					
Sigmundsson 2012 [52]	moderate	high	moderate	low	low	moderate	HIGH					
Støttrup 2019 [56]	low	high	moderate	low	moderate	high	HIGH					
Stromqvist 2008 [57]	moderate	moderate	low	low	high	high	HIGH					
Tampin 2020 [64]	moderate	moderate	low	low	low	moderate	LOW					
Watkins 1986 [65]	high	moderate	low	moderate	moderate	moderate	HIGH					
Zweig 2011 [11]	low	moderate	moderate	moderate	low	moderate	LOW					
Zweig 2017 [10]	low	high	moderate	moderate	low	moderate	HIGH					

<sup>a</sup> Study attrition ratings were downgraded from low to moderate for studies that retrospectively included only those patients who had complete follow-up data and did not provide information about the number and/or characteristics of patients who were not included due to incomplete follow-up data [11,51,57,65].

<sup>b</sup> Low = all domains low or moderate; high = one or more domains high [45].

# Supplemental Digital Content 7: Results of syntheses and discussion of non-predictors of pain and disability outcomes

### 1. Results

#### 1.1. Primary outcome: change in pain intensity

### 1.1.1. Sociodemographic factors

Four studies (1 low, 3 high RoB) examined the prognostic effect of *gender*. Female gender was associated with better pain outcomes in both ethnic cohorts in 1 high RoB study (small effect sizes in unadjusted analyses) [48], whereas the remaining studies reported no significant associations in adjusted [53] and unadjusted analyses [9,57].

Only a single high RoB study [48] investigated the potential effect of *ethnicity* (Israeli Arabs vs. Israeli Jews) in unadjusted analysis, indicating no significant association with pain outcomes.

A single phase-2 high RoB study [53] evaluated the independent effect of pre-operative *work status* on pain outcomes, which was not significant. Another phase-1 low RoB study [55] suggested that patients who were working before surgery had greater improvement in pain than those not working, however, data supporting this conclusion could not be extracted and thus it was not included in the current review.

One high RoB study [53] assessed the prognostic value of *worker's compensation status*, which had no significant effect in adjusted analysis.

### 1.1.2. Health-related factors

One low RoB study [9] tested the effect of *pain quality* on change in pain after and found no significant association in unadjusted analysis.

One low RoB study [9] assessed the prognostic value of *sensory detection threshold* for pain outcomes, demonstrating no significant association in unadjusted analysis. Two low RoB studies [9,58] examined the effects of *pain detection thresholds*, which consistently did not predict pain outcomes in adjusted [58] and unadjusted [9] analyses. The same 2 studies found no effect of *pain tolerance thresholds* on pain outcomes in adjusted [58] and unadjusted [58] and unadjusted [58].

A single low RoB study [58] assessed the effect of *conditioned pain modulation* on pain outcomes, reporting no significant association in adjusted analysis.

Four studies (2 low RoB, 2 high RoB) examined the effect of baseline *disability* on pain outcomes. One low RoB study [9] and 1 high RoB study [52] reported that lower disability defined as RMDQ score or self-reported walking distance of more than 1000 meters was associated with better pain outcomes (small and unclear effects, respectively) in adjusted analyses, but not in unadjusted analyses [9]. The 2 remaining studies, including 1 phase-2 study [51], found no association between these factors in adjusted analyses [51,53]. The discrepancy in the results may be due to different definition of outcome and shorter follow-up time (70% pain reduction 3 months after surgery) in Hegarty et al.'s [9] study compared to other studies, and different definition of the prognostic factor in Sigmundsson et al.'s study (walking distance) [52].

Only a single, high RoB study assessed the effect of *smoking* status on pain outcomes, which was not significant in an adjusted analysis [53].

#### 1.1.3. Psychological factors

A single low RoB study assessed the effect of *mental functioning* on pain outcomes, reporting no significant association in unadjusted analysis [9].

A single high RoB study investigated the effect of *pain sensitivity* score on reduction of back and leg pain in unadjusted analyses, reporting no significant associations with either outcome [49].

One low RoB study assessed the prognostic value of *pain drawing*, reporting no significant association of organic vs. non-organic signs with pain outcomes in unadjusted analysis [55].

### 1.2. Secondary outcome: change in disability

### 1.2.1. Sociodemographic factors

A single high RoB study examined the effect of *age* categorized as more or less than 48 years on disability outcome. Adjusted analysis showed no significant association [53].

Three high RoB studies assessed the prognostic value of *gender*. One adjusted [53] and 2 unadjusted [57,65] analyses consistently indicated that gender was not related to disability outcomes.

One high RoB study compared the change in disability between Israeli Arabs and Israeli Jews, showing no significant effect of *ethnicity* in unadjusted analysis [48].

A single high RoB phase-2 study assessed the independent contribution of pre-operative *work status* on disability outcomes in adjusted analysis, which showed no significant effect of this factor. Another phase-1 low RoB study [3] indicated that patients who were working before surgery had greater improvement in disability than those not working, however, this finding was not included in the current review because it was not possible to extract any supporting data.

One high RoB study indicated that *worker's compensation* claim also did not significantly affect the disability outcome in adjusted analysis.

### 1.2.2. Health-related factors

One low RoB study assessed whether having *sciatica* (measured using a Pain Drawing) affected disability outcomes, showing no significant effect in unadjusted analysis [55].

Two studies (1 low, 1 high RoB) assessed the effect of preoperative *pain intensity* on disability outcome, indicating no significant associations in adjusted [53] and unadjusted [64] analyses. There was one exception, where out of a range of pain-related candidate predictors including average leg and back pain intensity and bothersomeness in the past 24 hours or 1-2 weeks and neuropathic pain component score, only average leg pain intensity over last week demonstrated a large marginally significant difference between patients who achieved MCID in disability (higher preoperative pain intensity) and those who did not [64].

Two phase-2 high RoB reports based on the same study investigated whether *body mass index* is an independent predictor of disability outcomes in spinal stenosis and degenerative spondylolisthesis cohorts. Both reports demonstrated no significant association between obesity and disability outcomes in adjusted analyses in either patient cohort, regardless of whether the body mass index was categorized into 'no obesity' and 'obesity' [62] or included an additional 'extreme obesity' category [60]. One exception was that non-obese relative to obese patients reported greater improvement on SF-36 PF (small effect), but not on ODI [62].

A single high RoB study assessed the effect of *smoking* status on disability outcome, indicating no significant association in adjusted analysis [53].

One low RoB study [64] examined the effect of *sleep quality* on disability outcomes, reporting no significant difference in unadjusted analysis.

## 1.2.3. Psychological factors

Two studies (1 low, 1 high RoB) [50,64] examined the effect of *pain catastrophizing* on disability outcomes in unadjusted analyses. Classification of patients into low and high pain catastrophizing

did significantly interact with changes in ODI across three follow-up time points, indicating greater reduction in disability in high pain catastrophizing group, however, the difference in how much each group improved from baseline to 12 months follow-up was not statistically significant [50]. Similarly, average pain catastrophizing scores did not differ between patients who did and those who did not achieve MCID in disability [64].

One low RoB study [64] assessed the effect of *kinesiophobia* (fear of pain due to movement) on disability outcomes, showing no significant differences in unadjusted analysis.

An effect of *pain sensitivity* on disability outcome was assessed in one high RoB study [49]. There were no significant differences between low and high pain sensitivity groups in the degree of ODI improvement in unadjusted analyses.

A single low RoB study [64] assessed the effect of *mental functioning* on disability outcomes, reporting no significant association in unadjusted analysis.

The effect of *anxiety* on achieving MCID in disability was examined in one low RoB study [64], reporting no significant association.

#### 2. Discussion

While younger age and higher education level are potential predictors of greater reductions in pain after surgery, we found no evidence for prognostic value of other *sociodemographic* characteristics, that is, gender and work-related factors, for either pain or disability outcomes.

For a range of *health-related* factors, we found no associations with reduction in pain or disability outcomes. Presence of sciatica in spondylolisthesis was unrelated to pain or disability outcomes (very low-quality evidence), although there was some evidence that it may predict pain outcomes in spinal stenosis, which was generally associated with less reduction in pain after surgery. Apart from the likely associations between greater sensory loss and more improvement in disability, the current review provides very low-quality evidence that quantitative sensory testing, including pressure pain sensitivity and tolerance [58], may be unrelated to change in pain intensity after surgery (although e.g. enhanced temporal summation, consistently predicting persistent postsurgical pain [89], has not yet been investigated in spinal surgery context). While there may be an effect of baseline disability on disability outcomes, we found very low-quality evidence for no association with change in pain intensity after surgery. Contrary to the assumption that obesity or smoking would be related to worse health outcomes more generally, there is no sufficient evidence to suggest that these factors should inform selection of patients with chronic LBP for surgery. While sleep disturbance has been linked to the development and severity of chronic pain symptoms [90,91], we found very low-quality evidence that sleep quality is unrelated to change in disability after surgery [64].

Not all *psychological* factors demonstrated predictive ability for reduction in pain or disability after surgery. Although pain-related factors, driven by pain catastrophizing, were associated with pain outcomes, pain drawing and pain sensitivity had limited prognostic value. The same factors as well as kinesiophobia were unrelated to disability outcomes, possibly because they represent pain-specific psychological constructs. In contrast to its association with pain outcomes, anxiety was not related to improvement in disability. General mental functioning showed no relationships with either surgical outcome. Notably, majority of these psychological factors were only tested in single studies.

## Supplemental Digital Content 8: GRADE quality of evidence assessment

Table S8a. Quality of evidence for associations between baseline prognostic	tic factors and change in pain intensity outcome.
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	N of ronorto.							Phase	GRADE factors								
Potential PEc	n of reports;	Un	adjus	sted		۹djus	ted			Downgrade if $ imes$					if√	Overall	
i otentiari i s	participants	+	0	-	+	0	-		Study limitations	Inconsistency	Indirectness	Imprecision	Publication bias	Mod./large effect size	Dose effect	quality	
Sociodemographic																	
DEMOGRAPHIC	4 reports		2	2		2	1	1	×	$\checkmark$	$\checkmark$	×	$\checkmark$	×	$\checkmark$	++	
(age, gender,	[9,48,53,57]; 5																
ethnicity)	cohorts; 680																
	participants																
SOCIOECONOMIC	2 reports	1	1		1	1		2	×	$\checkmark$	$\checkmark$	$\checkmark$	×	×	×	++	
(education, work	[48,53]; 3																
status, worker's	cohorts; 326																
compensation)	participants																
Health-related	•								•			•		-			
DIAGNOSIS (spinal	4 reports	1	3		2			1	$\checkmark$	$\checkmark$	$\checkmark$	×	$\checkmark$	?	×	++	
pathology, sciatica)	[11,48,52,55];																
	5 cohorts; 893																
	participants																
SYMPTOM	4 reports		3	1		1		2	×	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	×	×	+++	
DURATION	[9,10,54,56]; 4																
	cohorts; 4066																
	participants																
PAIN (pain intensity,	3 reports		2	2		1	1	1	×	$\checkmark$	×	×	×	×	×	+	
pain quality, night-	[9,48,53]; 4																
time pain)	cohorts; 379																
	participants		-														
QST (sensory	2 reports		2			2		1	$\checkmark$	$\checkmark$	$\checkmark$	×	×	×	X	+	
detection, pain	[9,58]; 2																
detection, and pain	conorts; 116																
tolerance threshold,	participants																
	A venerte		1			2	2	1	1	/							
DISABILITY			1			2	2	1	$\checkmark$	$\checkmark$	×	×	$\checkmark$	×	×	+	
	[9,51–53]; 4																

	Nofronorte	N of roports: Unadjusted							GRADE factors							
Potential PEs	cohorts:	Un	onaujusteu			۹ajus	tea	Phase	Downgrade if $ imes$					Upgrade if $\checkmark$		Overall
	participants	+	0	-	+	0	-	Thuse	Study limitations	Inconsistency	Indirectness	Imprecision	Publication bias	Mod./large effect size	Dose effect	quality
	cohorts; 318 participants															
COMOBRBIDITIES (comorbidity, BMI,	2 reports [48,53]; 3			2		1	2	1	×	$\checkmark$	$\checkmark$	×	×	$\checkmark$	×	+
smoking)	cohorts; 326 participants															
Psychological																
PAIN-RELATED (pain catastrophizing, pain sensitivity, pain drawing)	4 reports [9,49,50,55]; 3 cohorts; 388 participants	1	3	1				1	×	$\checkmark$	×	×	~	?	?	+
AFFECTIVE (, mental functioning, anxiety, depression)	2 reports [9,48]; 3 cohorts; 273 participants		1	2				1	×	$\checkmark$	×	×	×	$\checkmark$	?	+

Phase, phase of investigation determining the starting quality of evidence before downgrading/upgrading based on GRADE factors (phase-1, moderate; phase-2, high). For unadjusted and adjusted analyses: '+', number of significant effects with a positive value (presence of or higher score on the prognostic factor is associated with better outcome, or absence of or lower score with worse outcome); '0', number of not significant effects; '-', number of significant effects; '-', no serious limitations (or present for moderate/large effect size, dose effect); '-', serious limitations; NA, not applicable. For overall quality of evidence: +, very low; ++, low; +++, moderate; ++++, high.

**Table S8b.** Quality of evidence for associations between baseline prognostic factors and change in disability outcome.

	N of somester	Line allocate al							GRADE factors							
Potential PEc	N OF reports;	Unaujusted		Adjusted			Phace		Upgrade if $\checkmark$		Overall					
i otentiari ro	participants	+	0	-	+	0	-	-	Study limitations	Inconsistency	Indirectness	Imprecision	Publication bias	Mod./large effect size	Dose effect	quality
Sociodemographic																
DEMOGRAPHIC (age, gender, ethnicity)	4 reports [48,53,57,65]; 4 cohorts; 656 participants		4			1		1	×	$\checkmark$	$\checkmark$	×	$\checkmark$	×	NA	+
SOCIOECONOMIC (work status, worker's compensation)	1 report [53]; 1 cohort; 93 participants					1		2	×	NA	$\checkmark$	$\checkmark$	×	×	NA	++
Health-related	1	T			1			I	1					1	T	
DIAGNOSIS (sciatica)	1 report [55]; 1 cohort; 164 participants		1					1	×	NA	$\checkmark$	×	×	×	NA	+
SYMPTOM DURATION	5 reports [10,54,56,61,6 4]; 6 cohorts; 4474 participants		3	1		2	1	2	×	×	$\checkmark$	1	$\checkmark$	×	×	++
PAIN (pain intensity, bothersomeness, neuropathic component)	2 reports [53,64]; 2 cohorts; 141 participants		1			1		1	×	$\checkmark$	$\checkmark$	$\checkmark$	×	×	×	+
QST (sensory detection threshold)	1 report [64]; 1 cohort; 48 participants	1			1			2	$\checkmark$	NA	$\checkmark$	×	×	$\checkmark$	NA	+++
DISABILITY	4 reports [51,53,63,64]; 4 cohorts; 313 participants		1			1	2	2	√	×	$\checkmark$	×	$\checkmark$	×	×	++
COMORBIDITIES (BMI, smoking)	3 reports [53,60,62]; 3					3		2	×	$\checkmark$	$\checkmark$	$\checkmark$	×	×	×	++

	N of somester								GRADE factors							
Potential PEc	n of reports;	Una	adju	sted	A	djus	sted	Phase		Downgrade if $ imes$					if√	Overall
	participants	+	0	-	+	0	-		Study limitations	Inconsistency	Indirectness	Imprecision	Publication bias	Mod./large effect size	Dose effect	quality
	cohorts; 897															
	participants															
SLEEP	1 report [64];		1					1	$\checkmark$	NA	$\checkmark$	×	×	×	NA	+
	1 cohort; 48															
	participants															
Psychological																
PAIN-RELATED (pain	4 reports		2	1				1	×	$\checkmark$	×	×	$\checkmark$	×	×	+
catastrophizing,	[49,50,55,64];															
pain sensitivity,	3 cohorts; 383															
pain drawing,	participants															
kinesiophobia)																
AFFECTIVE-	2 reports		1	1			1	1	×	$\checkmark$	$\checkmark$	×	×	$\checkmark$	NA	+
MOTIVATIONAL	[63,64]; 2															
(mental	cohorts; 90															
functioning,	participants															
anxiety, depression,																
vitality, job-related																
resignation)																
PERSONALITY	1 report [59];			1				1	×	NA	$\checkmark$	×	×	×	NA	+
(neuroticism)	1 cohort; 183															
	participants															

Phase, phase of investigation determining the starting quality of evidence before downgrading/upgrading based on GRADE factors (phase-1, moderate; phase-2, high). For unadjusted and adjusted analyses: '+', number of significant effects with a positive value (presence of or higher score on the prognostic factor is associated with better outcome, or absence of or lower score with worse outcome); '0', number of not significant effects; '-', number of significant effects; '-', no serious limitations (or present for moderate/large effect; ', serious limitations (or not present for moderate/large effect; ', very low; ++, low; +++, moderate; ++++, high.

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