

1 **Comprehensive optical diagnostics for flame behavior and**
2 **soot emission response to a non-equilibrium plasma**

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22 **Abstract**

23 This study reported comprehensive optical diagnostics for flame behavior and soot
24 emission under directly coupling of the plasma with the flame at specific heights. The
25 morphometric parameters of unstable flames under the direct plasma coupling were
26 quantified. We also proposed an optical method to eliminate plasma luminescence from
27 the field of view to extract flame intensity. At the same discharge height, with the
28 increase of discharge frequency, the flame height decreased, while the flame horizontal
29 extension distance and deflection angle increased. The relationships between flame
30 morphologic and electrical parameters were obtained. The experimental results
31 suggested a one-to-one correspondence between plasma action and flame deflection or
32 shortening. When the plasma interacted with the flame, the overall temperature and soot
33 emission of the flame decreased compared with that without plasma. At the same
34 discharge height, the flame temperature and soot decreased further with the increase of
35 discharge frequency. The soot emission changed more remarkably with the discharge
36 frequency for higher discharge heights. With the increase of voltage, the flame
37 temperature and soot increased at the lower discharge heights. The analysis of the
38 experiment data demonstrated that the variation of soot emission was caused by the
39 cooperation of multiple factors.

40

41 **Keywords:** optical diagnostics; non-equilibrium plasma; flame behavior; soot
42 formation

43

44 Nomenclature

B	The collective term for the RGB value of background [-]
d	The electrode gap [mm]
d_1	Diameter of cylindrical stainless steel electrodes [mm]
d_2	Diameter of alumina ceramic tubes [mm]
f	Discharge frequency [kHz]
H	Discharge height [mm]
I	The intermittent level [-]
L	Length of the burner outlet [mm]
L_1	The length of overlap between the electrodes [mm]
$L_{f,0}$	Flame horizontal extension distance without plasma [mm]
$L_{f,p}$	Flame horizontal extension distance with plasma [mm]
N	Number of elements in a sample [-]
P	The collective term for the RGB value of plasma luminescence [-]
U	Applied voltage [kV]
W	Width of the burner outlet [mm]
X_i	The i_{th} variable
\bar{X}	The average value of the variable
δX_i	Uncertainty in the result
$Z_{f,0}$	Mean flame height above the electrodes without plasma [mm]
$Z_{f,T}$	Flame height from burner outlet to the bottom of electrodes [mm]
$Z_{f,p}$	Mean flame height above the electrodes with plasma [mm]

$Z_{f, h}$ Hypothetical flame height above the electrodes after the deflection
[mm]

Greek symbols

η The normalized total flame height, $\eta = \frac{Z_{f, p} + Z_{f, T}}{Z_{f, 0} + Z_{f, T}}$ [-]

θ Deflection of flame above electrodes with plasma [°],

$$\theta = \tan^{-1}\left(\frac{L_{f, p}}{Z_{f, p}}\right)$$

θ_0 Deflection of flame above electrodes without plasma [°],

$$\theta_0 = \tan^{-1}\left(\frac{L_{f, 0}}{Z_{f, 0}}\right)$$

σ The standard deviation of a population

45

46

47 **1. Introduction**

48 Combustion is the principal mode of energy conversion. However, the energy
49 conversion efficiency of existing combustion engines is still low, and it is becoming
50 increasingly difficult to ignore the impact of fossil fuel burning on climate change and
51 air pollution [1]. In addition, energy conversion and utilization face severe challenges
52 with the update of environmental systems and requirements [2, 3]. Therefore, many
53 researchers were committed to developing new engines and fuel technologies to
54 effectively improve engine efficiency and reduce emissions **Error! Reference source**
55 **not found.**-8]. Based on such requirements, plasma-assisted combustion (PAC) had
56 drawn extensive attention in recent years. Furthermore, extensive efforts have been
57 made to study flame characteristics in plasma–flame interactions [9-13].

58 Plasma discharge can enhance combustion and control emissions via thermal,
59 kinetic, and transport pathways due to its unique advantages in producing active species
60 and modifying transport processes. Extensive efforts have been made to understand the
61 emission control of plasma-assisted combustion in direct current (DC), alternating
62 current (AC), microwave, and nanosecond discharge [14-19]. Li et al. [20]
63 experimentally found plasma generation would increase the intensity of OH radicals'
64 radiation when applied voltage was under a certain value. When applied voltage
65 exceeded a certain value, the discharge stream kept increasing with the reduction of OH
66 radicals' radiation. Cha et al. [21] suggested that the plasma could affect the soot
67 formation process and combustion characteristics, while the flame temperature and the
68 concentration of major species were not influenced much by the plasma generation.

69 This conclusion was also verified in other investigations [7]. Besides, plasma-assisted
70 fuel oxidation was reported in Refs [22, 23] to control emissions. The results showed
71 that the absorbance spectra of CO, CO₂, H₂O, and CH₂O appeared with the plasma
72 discharge due to the plasma-assisted CH₄ oxidation [30]. Moreover, with the increase
73 in discharge repetition frequency, the concentrations of CO, CO₂, and H₂O increased
74 significantly, and the concentration of CH₄ decreased.

75 In terms of the active form of plasma, the studies on the direct coupling of the
76 plasma in the flame are relatively quite limited compared with the plasma acting on the
77 fuel or the oxidant before the combustion. To focus on the performance of the plasma-
78 flame interaction directly, scholars carried out a series of related studies. Sun et al. [24]
79 established a PAC system in a counterflow diffusion flame to study the direct coupling
80 dynamic effects of plasma on flame ignition and extinction. The experimental results
81 indicated that the active species generated by the plasma could change the chemical
82 kinetic pathways of fuel oxidation at low temperatures. In addition, Varella et al. [25]
83 experimentally investigated the effect of PAC on pollutant emissions of a premixed
84 flame. The generation of free radicals and excited state substances increased, which
85 accelerated the oxidation of CO to CO₂ and the combustion of methane. The direct
86 coupling of the plasma with the flame was developing intensively, while the related
87 researches for controlling emissions as soot particles were very scarce.

88 The flame behavior and its morphologic characteristics are also essential to
89 estimate flame response to the plasma, as well as flame regulation for direct coupling
90 between the discharge and the flame. Plasma, when it is applied to hydrocarbon flames,

91 generates ionic wind due to the electric body force on the charge carrying species. The
92 ionic wind has been shown to influence flame behavior, propagation speed, and stability
93 of flames. In recent years, flame behaviors in PAC also obtained some attention. The
94 deformation of co-flow diffusion flame with the plasma was experimentally
95 investigated [26]. When the plasma was generated between the electrodes, the flames
96 were strongly distorted and regularly extended toward the one electrode. A similar
97 phenomenon could be also observed in a hydrogen diffusion flame combined with a
98 plasma actuator [27]. But, the flames extended in the opposite direction under the
99 influence of plasma compared to the study in Ref. [26]. Tang et al. [28] discussed the
100 manner which the coaxial dielectric barrier discharge (DBD) plasma served as a
101 disturbance to the laminar premixed flame. Results showed that the disturbance can
102 either deflect the flame sheet or oscillate the flame edge. They correlate the fluctuation
103 and deflection of the flame with the instability of the heat release rate. Despite many
104 efforts in plasma-assisted combustion research, quantitative or even qualitative
105 understanding of the flame behavior with plasma coupling has not been well-
106 accomplished.

107 Plasma provides an unprecedented opportunity for combustion and emission
108 control owing to its unique capability in producing active species and heat and
109 modifying transport processes. Plasma-assisted combustion was developing intensively,
110 while the related technique in flame characteristic diagnosis by optical method were
111 very scarce. The effective and good diagnostics method on the plasma-assisted
112 combustion can be beneficial for better utilization of energy and reduce the pollutants

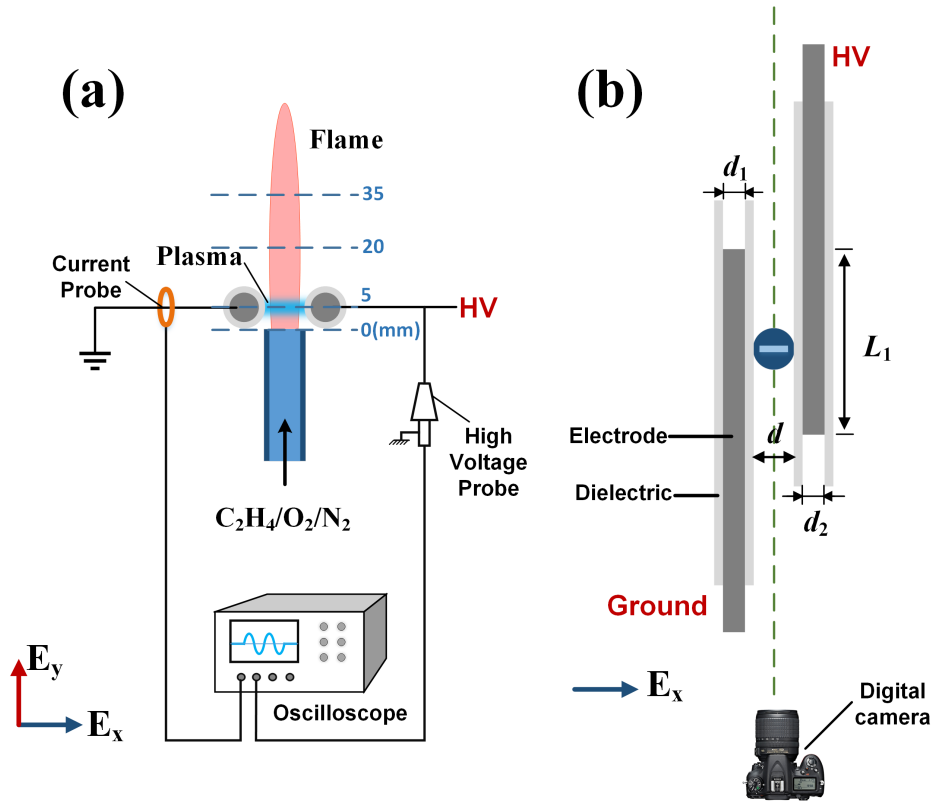
113 formation. Based on the basic idea of flame quantification in the fire field, this study
114 conducts to define and analyze the flame characteristics in the direct coupling between
115 the small-scale plasma and the flame. On the other hand, to the best of our knowledge,
116 few studies reported the detailed influences of the direct plasma coupling on soot
117 emission and temperature distributions. The major difficulty lies in the interference of
118 the simultaneous luminescence of plasma and flame on the flame diagnosis. In our study,
119 it could provide an optical diagnostic method combined with effective separation of the
120 flame intensity from the plasma one and obtain the two-dimensional soot emission and
121 temperature distribution in flames.

122 In this paper, the optical diagnostic method including extraction of flame
123 morphologic characteristics, and the two-dimensional distributions of the temperature
124 and the KL factor were described in Section 2. Some results and discussion about the
125 effects of electrical parameters associated with plasma on the flame behavior and soot
126 emission were given in Section 3. After that, some conclusions were outlined in Section
127 4.
128

129 **2. Experimental methodologies**

130 **2.1 Experimental setup**

131 Fig. 1 depicted the schematic of the experimental system, which was composed of
132 a combustion system, the double DBD electrode assembly, a mixture supply system,
133 and the data acquisition system.



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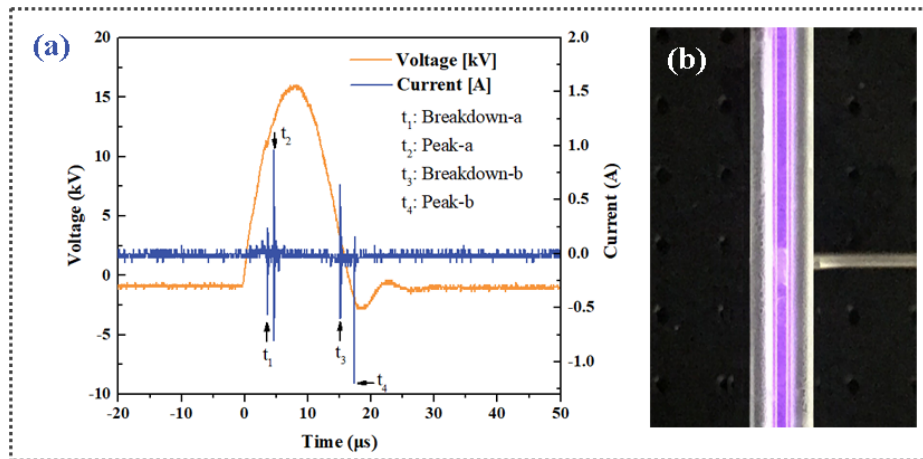
135 Fig. 1 Schematic diagram of experimental set up: (a) front view, (b) vertical view.

136 Burner outlet dimensions $0.4 \text{ mm} \times 4 \text{ mm}$, diameter of stainless steel electrode
137 rods $d_1 = 4 \text{ mm}$, electrode overlap $L_1 = 40 \text{ mm}$, the outside diameter of alumina
138 ceramic tubes $d_2 = 6 \text{ mm}$, electrode gap $d = 5 \text{ mm}$. The electrode plane is located
139 at different heights above the burner outlet.

140 In the present study, ethylene was selected as the base fuel because ethylene had
141 been widely and extensively used for soot fundamental studies [31-33]. For the present
142 experimental study, an ethylene partial premixed flame was formed at the burner outlet.

143 This flame form was chosen for two main reasons: (1) to avoid the deposition of soot
144 on the insulating medium, (2) to avoid changing the soot distribution due to the contact
145 of the flame with the electrodes. The burner made of quartz had a rectangular exit slot
146 of 0.4 mm (L) \times 4 mm (W) and a length of 150 mm to ensure a fully developed laminar
147 flow profile. The flow rates of ethylene, oxygen, argon, and nitrogen were controlled
148 by mass flow controllers (MFC) with 98% accuracy. The combustor provided ample
149 access for the discharge electrodes applying the electric field across the flame radially
150 at a certain height above the burner outlet. The simple structure and flexibility at
151 multiple pressures made the DBD a good alternative to manipulating combustion for
152 plasma actuators. The electrodes were located at different heights symmetrically above
153 the burner exit, including 5 mm, 20 mm, and 35 mm (noted as H05, H20, and H35), as
154 shown in Fig. 1(a). The discharge was generated between two parallel cylinder stainless
155 steel electrodes with $d_1 = 4$ mm in diameter, covered by alumina ceramic tubes with the
156 outside diameter of $d_2 = 6$ mm. The length of the electrode overlap was $L_1 = 40$ mm.
157 Two parallel insulating rod electrodes were used to prevent the discharge fila-mentation
158 and generate a more diffuse plasma. The discharge gap ($d = 5$ mm) between two
159 electrodes was set directly above the nozzle along the axis of the premixed flow. The
160 electrodes were powered by a custom-made high-voltage pulse generator with a peak-
161 to-peak voltage of 20 kV and a frequency of 50 kHz. The applied voltage and current
162 waveforms were measured by a high voltage probe (the Tektronix P6015A, bandwidth
163 75 MHz) and a current probe (Pearson 6585), respectively. All the electrical signals
164 were sampled by a four-channel digital oscilloscope (TDS2024C). The sample rate of

165 the oscilloscope is 2 GS/s. Two distinct current pulses are clearly visible. The first pulse
 166 occurs at the rising front of the voltage pulse and the second current pulse occurs at the
 167 falling front of the voltage. Fig. 2(a) plotted the typical applied voltage (U) and the total
 168 current waveforms for a gap distance of 5 mm. Fig. 2(b) showed the image of the plasma
 169 discharge from the top view. To shield the flame from dust or ventilation from the
 170 surroundings, the transparent box made of polymethyl methacrylate was applied, which
 171 was evenly set up with gas inlets around the box and outlets on the top of the box. The
 172 combustion system and the discharge system were housed in this box.



173
 174 Fig. 2 (a) Applied voltage, current waveforms and (b) image of discharge sustained.

175 2.2 Measurements of flame behaviors

176 A digital single-lens reflex camera (1920×1080 pixels, 50 fps) was employed to
 177 record the flame morphologic characteristics from the front-view. The position of the
 178 digital camera was fixed in the experiments, as shown in Fig. 1(b). The above methods
 179 needed to be validated due to the errors caused by the difference in camera angle of
 180 views before experiments (shown in supplementary C). The image could be divided
 181 into the flame region and the non-flame region. The distinction between the two regions

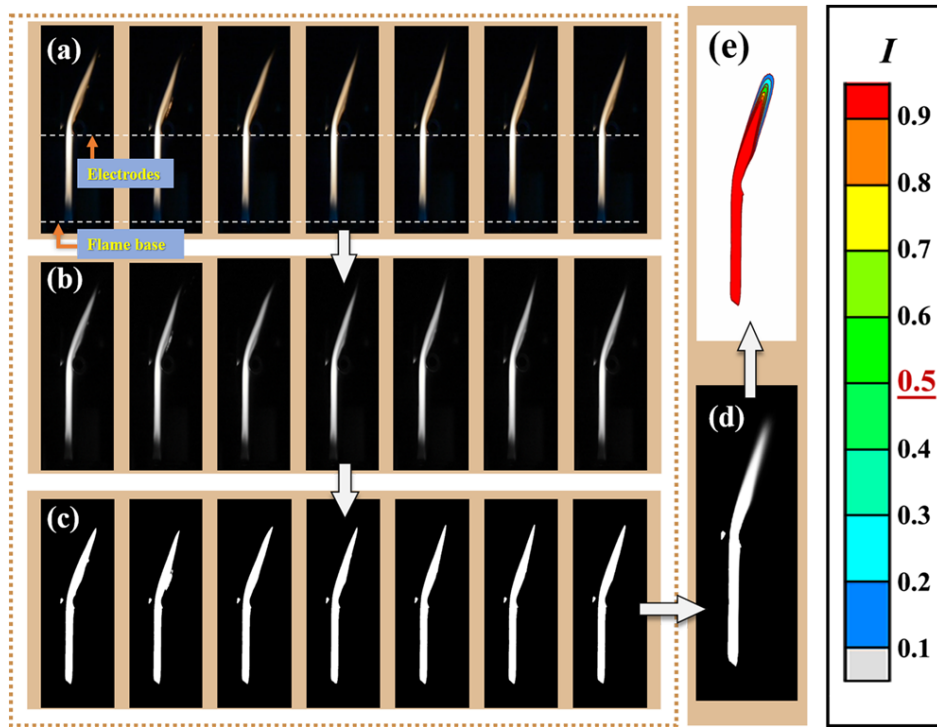
182 was determined by the brightness value of the image pixels. The image processing
183 method was similar to the method developed by Hu et al. [35, 36]. The flow chart of
184 the image processing procedure was shown in Fig. 3(a)-(d). The extracted continuous
185 flame images (Fig. 3(a)) were converted into grayscale images (Fig. 3(b)) to determine
186 the brightness of each point on the images. Based on the grayscale pixel values and
187 threshold values, the grayscale images were converted into binary images (Fig. 3(c)).
188 The point whose luminance was higher than the threshold value was considered the
189 flame, and the point whose luminance was lower than the threshold value was the non-
190 flame.

$$\text{if } I(x, y) \geq \text{level}, I(x, y) = 255 \quad (1)$$

$$\text{else if } I(x, y) < \text{level}, I(x, y) = 0 \quad (2)$$

191 The "level" value in the equations is not a fixed value. The formula here is for
192 readers to understand the distinction between flame area and non-flame area in the
193 binary image in this method. The consecutive binary images were averaged to achieve
194 the average images (Fig. 3(d)). Fig. 3(e) shows a typical flame intermittency contours
195 with plasma coupling. The intermittency (I) is defined as the fraction of the time,
196 which represents the probability of the flame appearing at the z height [37]. It gradually
197 decreases from a constant value of 1 to 0 as the height increases. The flame
198 intermittency contour is obtained by analyzing the recorded consecutive flame images
199 (1500 frames, 30 s) as applied in [33], where the mean flame morphologic parameters
200 are determined at intermittency of 50% (i.e., $I = 0.5$). The relative uncertainty of flame
201 morphologic parameter measurements was less than 8.0% with 95% confidence. Each

202 condition was repeated three times.

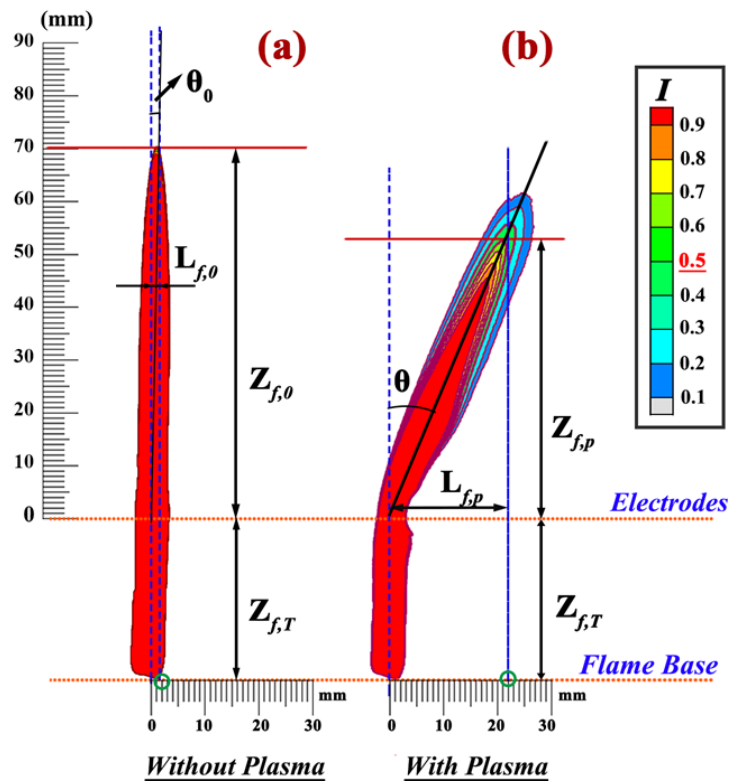


203

204 Fig. 3 Typical image processing of the flame and mean flame morphologic
205 parameters at intermittency of 50%. ((a): original image, (b): gray image, (c):
206 binary image, (d): average image, (e): the intermittency contour image.)

207 Fig. 4 showed typical flame intermittency contours. In this study, the flame
208 morphologic parameters included three types, i.e., the flame height, horizontal
209 extension distance of the flame, and the deflection angle. The flame height from the
210 burner outlet to the bottom of electrodes (labeled $Z_{f,T}$), which was a constant value,
211 was independent of the plasma coupling. The flame height above the electrodes ($Z_{f,0}$:
212 without plasma, $Z_{f,p}$: with plasma), the flame horizontal extension distance ($L_{f,0}$:
213 without plasma, $L_{f,p}$: with plasma), and the deflection angle (θ_0 : without plasma, θ :
214 with plasma) were subject to the plasma and other factors. The above parameters were
215 defined from the contour at intermittency $I = 0.5$. Each test condition was repeated three

216 times.



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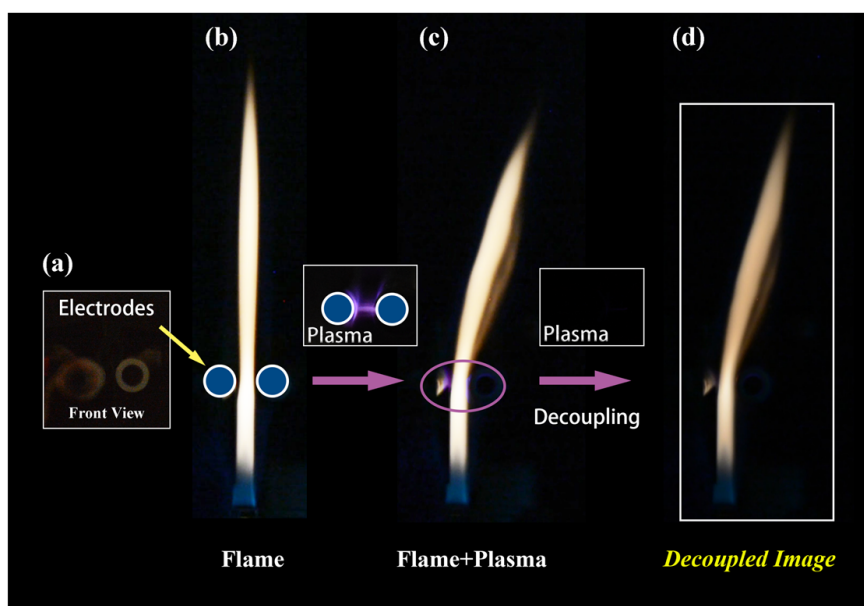
218 Fig. 4 Typical flame intermittency contours and definition of flame characteristic

219 parameters ((a) without plasma coupling, (b) with plasma coupling).

220 2.3 Novel extraction method for two-dimensional temperature and *KL* factor 221 distributions

222 Since it was rough and difficult to measure flame temperatures using
223 thermocouples due to the existence of an electric field in the flame, optical diagnostic
224 like the two-color method was selected to measure the two-dimensional distribution of
225 temperature and the *KL* factor. This method had been widely used in the nonintrusive
226 in-situ diagnostics of combustion behavior [38-42], and it was approved that this
227 method is effective for flame and soot diagnostics. However, the two-color method
228 could not be directly applied to the flame coupled with plasma, because the intensity

229 emitted by plasma disturbed and overlapped the intensity from the flame. In this paper,
230 a novel method could eliminate the luminescence of plasma in the field of view via
231 changing imaging parameters (Photosensitivity) without affecting the flame structure.
232 Fig. 5 showed the image processing procedure of plasma filtration under the plasma-
233 flame coupling. Fig. 5(a) illustrated the front view of two parallel electrodes. The
234 position of the flame relative to the electrodes was shown in Fig. 5(b). When the plasma
235 was generated, flame and plasma emitted light simultaneously and there were
236 overlapping regions delineated by the purple ellipse in Fig. 5(c). In this paper, the
237 plasma luminescence area was small enough, and the spectrum of the plasma and flame
238 luminescence in the overlapping region are different. There was an assumption that the
239 intensity of plasma emission in the absence of flame was the same as that in the plasma
240 coupled with flame. Therefore, we filtered out the plasma luminescence without flame
241 by adjusting the imaging parameters. Then, the imaging parameters satisfying the above
242 conditions are applied to the flame with the plasma coupling, as shown in Fig. 5(d).

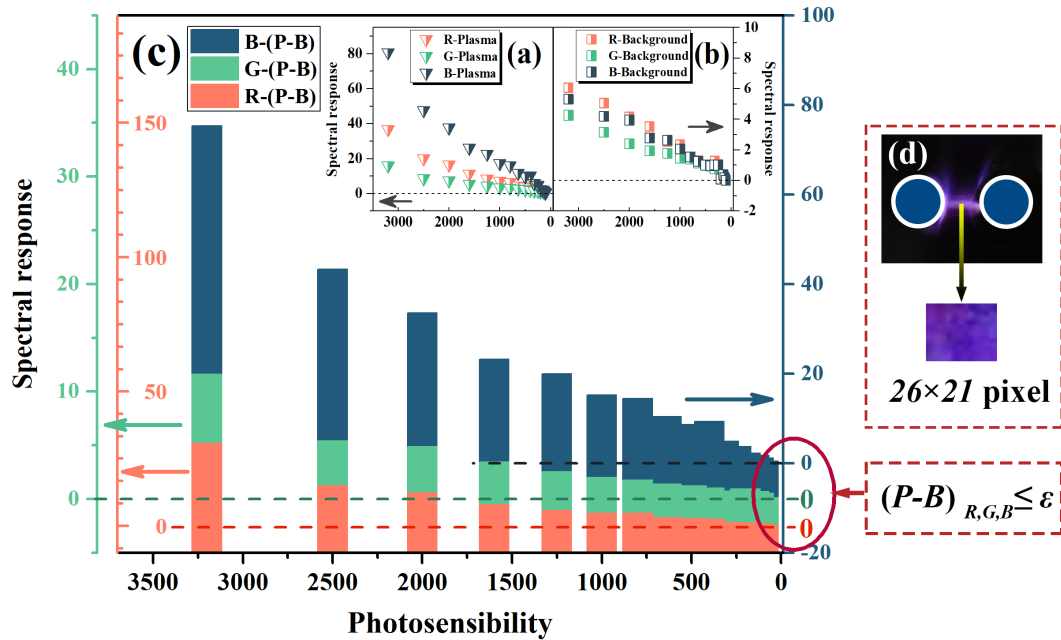


243

244 Fig. 5 The decoupling process of plasma-flame luminescence and flame extraction.
245 (a) The relative position of the electrodes under the front view. (b) The position of
246 the flame relative to the electrodes without plasma. Plasma-flame luminescent
247 simultaneously (c) before and (d) after decoupling.

248 For plasma removal in the field of view, by keeping other parameters of the camera
249 unchanged and only changing the photosensitivity, the plasma imaging under different
250 camera parameters was obtained. The RGB values of images were acquired by image
251 processing. To reduce the effect of noise, the 26×21 pixels from the same location of
252 sixty images were selected to obtain the average RGB value of plasma luminescence,
253 as shown in Fig. 6(d). The plasma-background overlapping radiation (labeled P) images'
254 RGB values were obtained shown in Fig. 6(a) correspondingly for different
255 photosensitivity from 100 to 3500. Fig. 6(b) showed the variation of the RGB value of
256 the background (labeled B) at the same position for different photosensitivity. Fig. 6(c)
257 plotted the difference of the RGB values (labeled $(P-B)_{R, G, B}$) between the plasma-
258 background overlapping luminescence and the background luminescence without
259 plasma at different photosensitivity, which represented the RGB value of plasma
260 luminescence. As the photosensitivity decreased, the value of $(P-B)_{R, G, B}$ decreased
261 respectively. In this study, a small value sufficiently was set to 0.5, denoted as ε . When
262 the maximum value of the $(P-B)_{R, G, B}$ at certain photosensitivity was less than or equal
263 to ε , it was considered that the plasma luminescence in the field-of-views could be
264 ignored. Although this method provided the possible feasibility of the method above,
265 digital imaging was affected by numerous factors, such as the external environment, the
266 flame luminescence spectral response, and the intensity emitted by the plasma.

267 Therefore, the imaging parameters should be adjusted appropriately for different
 268 shooting subjects.



269

270 Fig. 6 The RGB values as the photosensitivity changes, (a) Plasma-Background
 271 overlapping luminescence (labeled P), (b) Background luminescence (labeled B)
 272 and (c) Plasma luminescence $(P-B)_{R,G,B}$. (d) Calculation area.

273 The detailed principle and derivation process of the two-color method were shown
 274 in Supplementary Materials Parts A and B. It had been proven that the KL factor was
 275 proportional to the soot emissions in the flame [44]. Based on the empirical correlation
 276 [30, 43], the soot emissions could be qualitatively by the KL factor. The exposure time
 277 and aperture value of the camera were set as 1/500 s and f-9, respectively. The focal
 278 length was set to be 85 mm. Red (700 nm) and green (546 nm) wavelengths were
 279 selected as the measurement temperature bands. It should be noted that the temperature
 280 distribution measured by this method represented the soot particle temperature. The
 281 temperature of the combustion gases cannot be directly measured. However, these

282 temperatures were still useful to illustrate the temperature levels and compare different
283 combustion processes for different discharge and flame conditions based on the same
284 standard. For the unsteady flame with plasma coupling, the distribution of two-
285 dimensional temperature and KL factor was calculated five times under the same
286 operating conditions. The average values were used for further analysis.
287

288 **3. Discussion**

289 **3.1 Flame instability analysis**

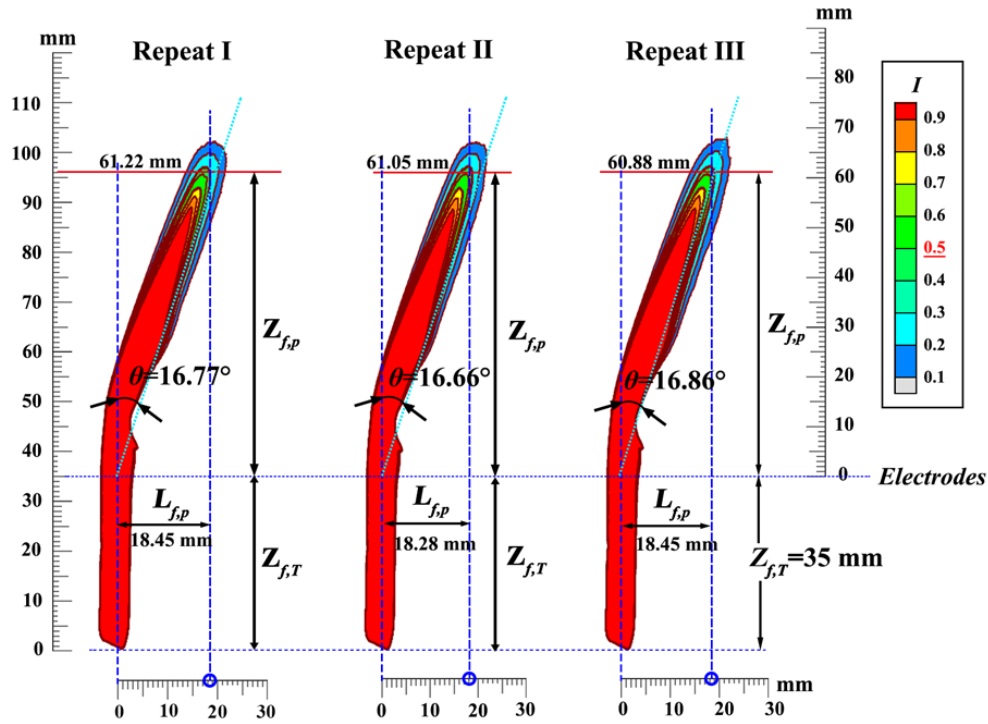
290 The oscillation of a premixed flame was attributed to the direct coupling of the
291 plasma on the flame. The supplementary movie showed a typical oscillating flame
292 deforming into the shape as shown in Fig. 3(a) with plasma coupling. To study the
293 effects of plasma-flame coupling on flame behavior, it was necessary to quantify the
294 oscillating flame. As the definition in section 2.2, each condition was repeated three
295 times. The experimental uncertainty could be estimated with

$$X_i = X_i(\text{measured}) \pm \delta X_i \quad (3)$$

$$\sigma = \sqrt{\frac{\sum_{i=1}^n (X_i - \bar{X})^2}{N-1}} \quad (4)$$

296 where the value of X_i (measured) represented the mean value of a set of N observations
297 in a multiple-sample experiment. The value of δX_i represented 2σ for sample analysis
298 (i.e., $\delta X_i = 2\sigma$), where σ was the standard deviation of the population of measurements.
299 Since 95% of all the elements were within $\pm 2\sigma$ of the average value [54,55], we could
300 estimate that the mean value must be within $\pm 2\sigma$ of the observation with 95%
301 confidence. The average values are used for further analysis. Fig. 7 showed the typical
302 flame intermittency contour of three repeats as an example. The relative uncertainty
303 ($2\sigma/\text{Average}$) of the flame morphologic parameter measurements for all the tests were
304 found to be less than 8.0% with 95% confidence (i.e., $2\sigma/\text{Average} \leq 8.0\%$). The typical
305 data is demonstrated in Table 1, which showed the variation of characteristic parameters
306 under the impact of discharge frequency. More detailed data could be found in Table
307 S1-S5. The oscillation in flames was also found in previous studies [45]. This effect

308 was most likely caused by the residual electric field after the discharge pulse, producing
 309 the electrohydrodynamic force (“ion wind”) on the charges generated during the
 310 discharge.



311

312

Fig. 7 Typical flame intermittency contour for three repeats.

313

Table 1. Estimation of the measurement uncertainty of the typical flame morphologic parameters

314

($Z_{f,p}$, $L_{f,p}$, θ) at different discharge frequencies. Electrodes were placed 35 mm in height above the

315

burner outlet.

Electrode height	Parameter	f (kHz)	Repeat I	Repeat II	Repeat III	Average	σ	$\frac{2\sigma}{Average} \times 100\%$
35 mm	$Z_{f,p}$ (mm)	0.5	85.36	85.19	85.53	85.36	0.17	0.40
		1.0	83.32	82.47	81.79	82.53	0.77	1.86
		1.5	78.90	79.41	79.41	79.24	0.29	0.74
		2.0	72.27	72.44	72.61	72.44	0.17	0.47
		2.5	69.72	69.89	69.72	69.78	0.10	0.28
		3.0	67.85	67.85	67.68	67.79	0.10	0.29
		3.5	64.28	64.45	63.94	64.22	0.26	0.81
		4.0	61.22	61.05	60.88	61.05	0.17	0.56
		4.5	62.58	62.41	62.07	62.35	0.26	0.83
			$L_{f,p}$	0.5	10.20	10.03	10.03	10.09

<i>(mm)</i>	1.0	12.75	13.18	12.84	12.92	0.22	3.48
	1.5	16.75	17.26	16.58	16.86	0.35	4.20
	2.0	14.54	14.79	14.54	14.62	0.15	2.01
	2.5	15.90	15.73	16.24	15.95	0.26	3.26
	3.0	18.11	17.60	18.28	17.99	0.35	3.93
	3.5	18.11	17.94	17.94	17.99	0.10	1.09
	4.0	18.45	18.28	18.45	18.39	0.10	1.07
	4.5	22.27	21.76	22.27	22.10	0.29	2.66
	<hr/>						
<i>θ(°)</i>	7	6.81	6.71	6.69	6.74	0.07	1.97
	8	8.70	9.08	8.92	8.90	0.19	4.25
	9	11.98	12.26	11.79	12.01	0.24	3.93
	10	11.37	11.54	11.32	11.41	0.11	2.01
	11	12.84	12.68	13.11	12.88	0.22	3.36
	12	14.94	14.54	15.11	14.86	0.29	3.96
	13	15.73	15.55	15.67	15.65	0.09	1.17
	14	16.77	16.66	16.86	16.76	0.10	1.14
	15	19.59	19.22	19.74	19.52	0.27	2.72

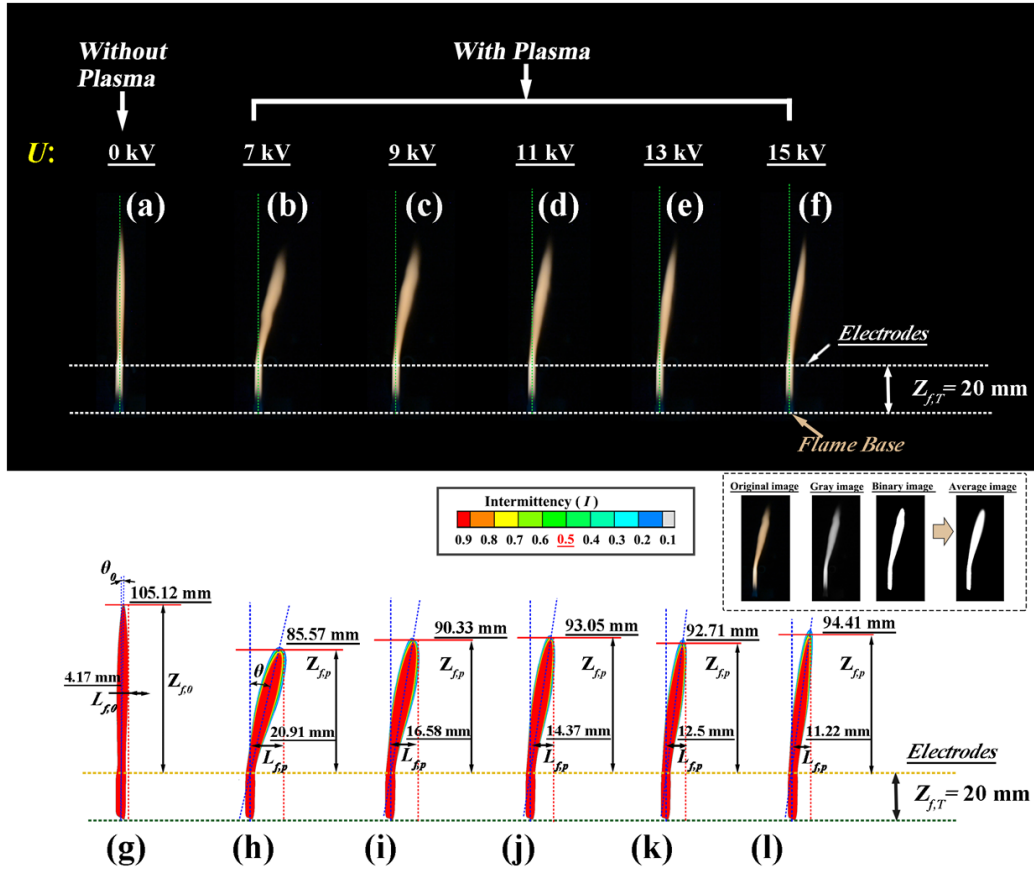
316 **3.2 Effects of Electrical Parameters on Flame Behavior**

317 Fig. 8 showed the typical flame shapes and intermittency contours (I) for the
318 coupling of various plasma with different applied voltages (U). The flame height could
319 be divided into two parts: (1) the flame height from the burner outlet to the bottom of
320 electrodes ($Z_{f,T}$), which was a constant, insusceptible by plasma, (2) the flame height
321 above the bottom of electrodes ($Z_{f,O}$: without plasma, $Z_{f,p}$: with plasma), which was at
322 the mercy of the plasma. The entire flame height above the burner outlet was marked
323 as $Z_{f,p} + Z_{f,T}$ (with plasma) or $Z_{f,O} + Z_{f,T}$ (without plasma). The flame horizontal
324 extension distance ($L_{f,p}, L_{f,O}$) and the deflection angle (θ, θ_0) with and without plasma
325 were defined by the contour at intermittency $I = 0.5$ (average flame appearance
326 probability) [46,47].

327 *3.2.1 Flame height*

328 The photographs of the ethylene premixed flame without and with the plasma
329 coupling were shown in Figs. 8 and 9. It could be found that the partial flame above the

330 parallel electrodes deflected to the high-voltage electrode with plasma generation. With
331 the coupling of the plasma with the flame, the local flame above the parallel electrodes
332 rapidly converted the stable flame to one unsteadily and was unable to support a laminar
333 combusting flow. In Fig. 8, the flame height increased roughly with the increase of
334 applied voltage. As the discharge frequency increased, the reduction of flame height
335 above the electrodes was shown in Fig. 9. The fluctuation of the flame decreased
336 slightly with the increase of voltage, as demonstrated, while the fluctuation increased
337 with the discharge frequency increasing. In the previous studies, with the plasma
338 coupling, the flame height was changed significantly, due to the flame tilting effect and
339 the change in gas entrainment and mixing [26, 29]. The inclination of the flame had a
340 certain contribution to the reduction of the flame height. However, it was not clear
341 whether the change of flame height was only affected by flame deflection.



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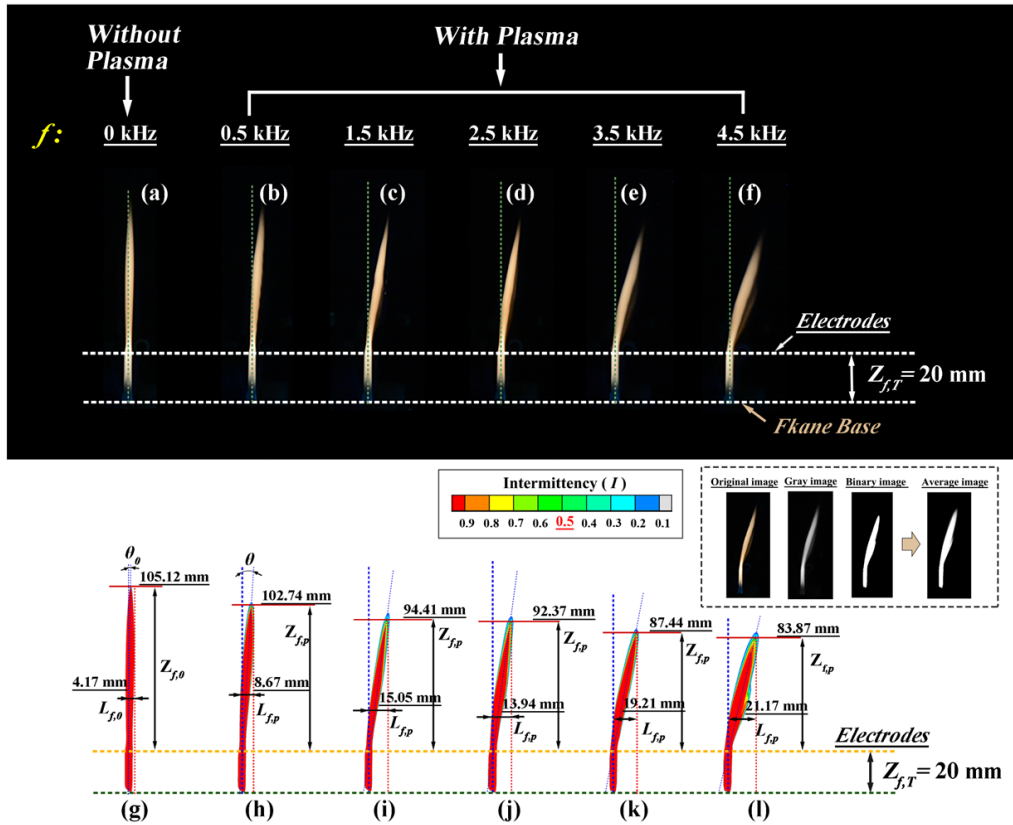
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Fig. 8 Typical flames with (b)-(f) and without (a) plasma coupling of different voltages. (g)-(l) Typical flame intermittency contour. Definition of initially flame height ($Z_{f,0}$) and flame horizontal extension distance ($L_{f,0}$) without plasma, as well as the flame height ($Z_{f,p}$) and the flame downwind horizontal extension distance ($L_{f,p}$) with plasma (different applied voltages, U) on the intermittency contour. (Combustor opening: 4 mm (L) \times 0.4 mm (W); $f = 2.0$ kHz).

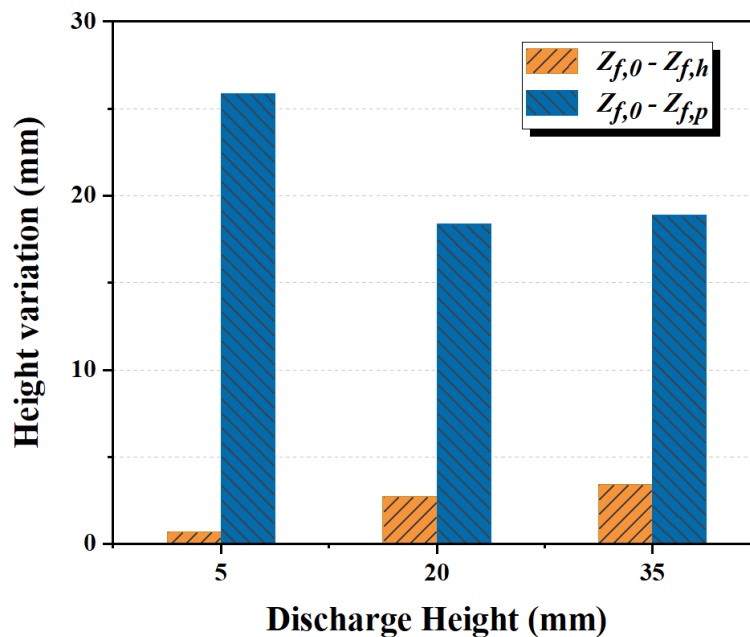


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350 Fig. 9 Typical flames with (b)-(f) and without (a) plasma coupling of different
 351 frequencies. (g)-(l) Typical flame intermittency contour. Definition of initially
 352 flame height ($Z_{f,0}$) and flame horizontal extension distance ($L_{f,0}$) without plasma,
 353 as well as the flame height ($Z_{f,p}$) and the flame downwind horizontal extension
 354 distance ($L_{f,p}$) with plasma (different discharge frequency, f) on the intermittency
 355 contour. (Combustor opening: 4 mm (L) \times 0.4 mm (W); $U = 15.6$ kV).

356 To determine the effect of flame deflection, we assumed that the partial
 357 downstream flame height affected only by flame deflection was denoted as $Z_{f,h}$, which
 358 was not the actual flame height above the electrode after deflection. It was assumed that
 359 the flame length above the electrode remained the same almost. The change in flame
 360 height is only affected by the deflection angle. The difference between the mean flame
 361 height without plasma ($Z_{f,0}$) and the measured mean flame height ($Z_{f,p}$) or assumed
 362 flame height ($Z_{f,h}$) in three discharge heights were analyzed in Fig. 10. The variation

363 of assumed flame height above the electrodes was calculated (labeled $Z_{f,0} - Z_{f,h}$) only
 364 depending on the deflection of the flame. It was found that these values fluctuate within
 365 5 mm in Fig. 10. Nevertheless, the measured flame height ($Z_{f,0} - Z_{f,p}$) decreased by at
 366 least 18 mm with the plasma generation. Therefore, the changes observable in the flame
 367 height were not only determined by the deflection of the flame, but also by the influence
 368 of the plasma itself. Sayed-Kassem et al. [56] also found that the electric field was
 369 shown to modify the flame height without deflection to promote the burning process.
 370 Therefore, the influence of plasma itself on the flame height should be considered.
 371 Besides, scholars believed that when the flame was exposed to an electric field, the
 372 visible changes in flame structure were mainly attributed to the ionic wind [48-50]. The
 373 effect of the ion wind on the flame was qualitatively similar to the results in Ref. [27]
 374 in a diffusion flame combined with a plasma, which would be discussed in detail in the
 375 subsequent sections.



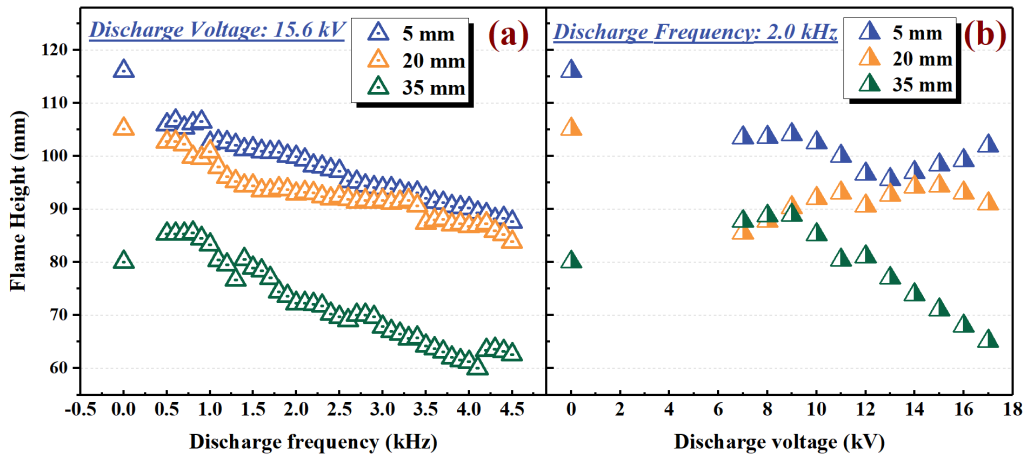
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Fig. 10 Difference between mean flame height without plasma ($Z_{f,0}$) and measured

378 mean flame height ($Z_{f,p}$) or assumed flame height ($Z_{f,h}$) ($U = 15.6$ kV, $f = 4.0$ kHz).

379 In Fig. 11, measured flame heights ($Z_{f,p}$) as a function of the different electrical
380 parameters were calculated. In Fig. 11(a), the flame height decreased with the increase
381 of the discharge frequency at the same discharge height. A comparison of the flame
382 height for three discharge heights was conducted with and without plasma coupling. It
383 could be noted that the variations of flame height with discharge frequency were
384 comparatively small for the relatively lower heights (H05 and H20). There was a more
385 notable decrease in flame height with the higher discharge frequency for the high
386 discharge height (H35). Correspondingly, to investigate the effect of applied voltage
387 variation on flame behavior, different applied voltages from 7 to 17 kV were applied.
388 The flame height presented a fluctuating within 10 mm for H05 and H20, with no
389 obvious variation trend, illustrated in Fig. 11(b). The same fluctuation also occurred at
390 the discharge height of 35 mm. However, the flame height under this condition
391 presented a significant decrease with the increase of applied voltage. The reduction of
392 flame height has also been observed by applying the high-voltage electric field [41],
393 which was attributed to the ionic wind acting on the flow field. The flame height of a
394 flame usually depended on the balance between axial convection and radial diffusion.
395 The radial convection caused by the ionic wind changed the balance mechanism, which
396 could reduce the flame height. In addition, the diminution in flame height might be
397 referred to as an elevation in burning rate due to the electric body forces effect [56].



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Fig. 11 The measured flame height ($Z_{f,p}$) versus the plasma with different electrical parameters, including (a) discharge frequency (f) and (b) applied voltage (U) for three discharge heights.

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3.2.2 Flame horizontal extension distance and deflection angle

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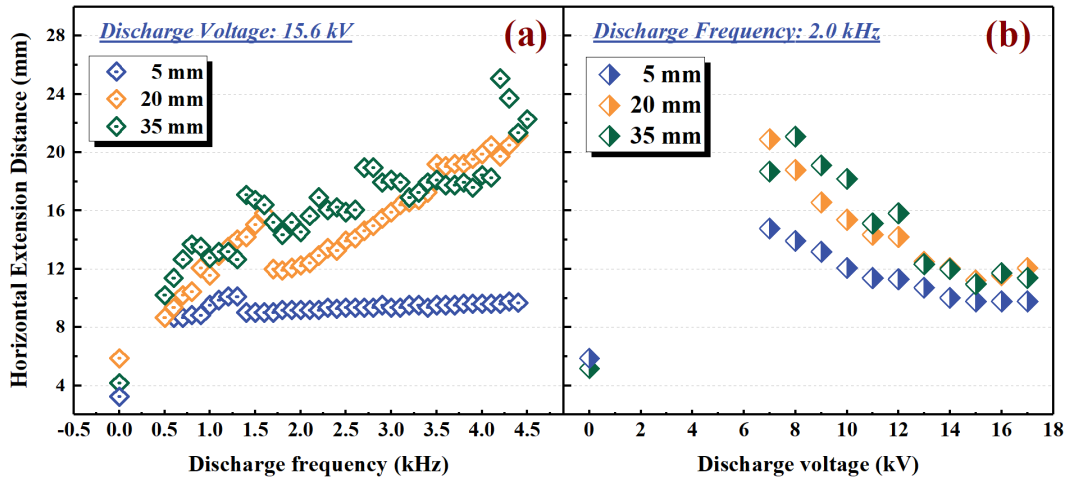
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The flame horizontal extension distance increased with the increase of the discharge frequency, accompanied by the fluctuation instead of monotonically increasing, as shown in Fig. 12 (a). Due to the difference in plasma coupling position, the effects of different discharge heights on the horizontal flame extension distance were discrepant. At the higher discharge height (H35), it was obvious that the horizontal elongation distance of the flame increases more under the same discharge parameters. Nevertheless, the increase of applied voltage led to the decrease of flame horizontal extension distance, as shown in Fig. 12(b). Besides, the horizontal extension distance of the flame changed significantly at the relatively lower applied voltage (7-12 kV) but became little correlation with the higher discharge voltage (larger than 12 kV). With the increase of the applied voltage (7-17 kV), there was no obvious difference in the variation in horizontal extension distance in the three discharge heights.



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Fig. 12 The flame horizontal extension distance ($L_{f,p}$) as a function of (a) the discharge frequency (f) and (b) voltage (U) for three discharge heights.

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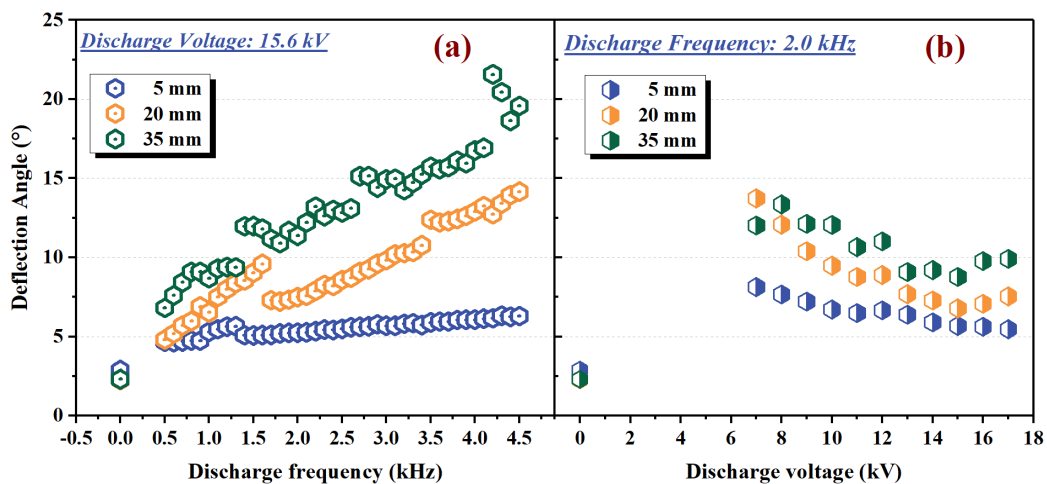
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In Fig. 13(a), the tendency of deflection angle variation was similar to the horizontal extension distance with the increase of the discharge frequency. The increase in the flame deflection angle at the same frequency range was more pronounced for the higher discharge height (H35). For the increase of applied voltage, the deflection angle decreased, as shown in Fig. 13(b). However, with the increase of applied voltage, the trend for the decrease of the deflection angle was no obvious discrepancy in the three discharge heights. In addition, the deflection angle of the flame fluctuated within the range of 5-8°.



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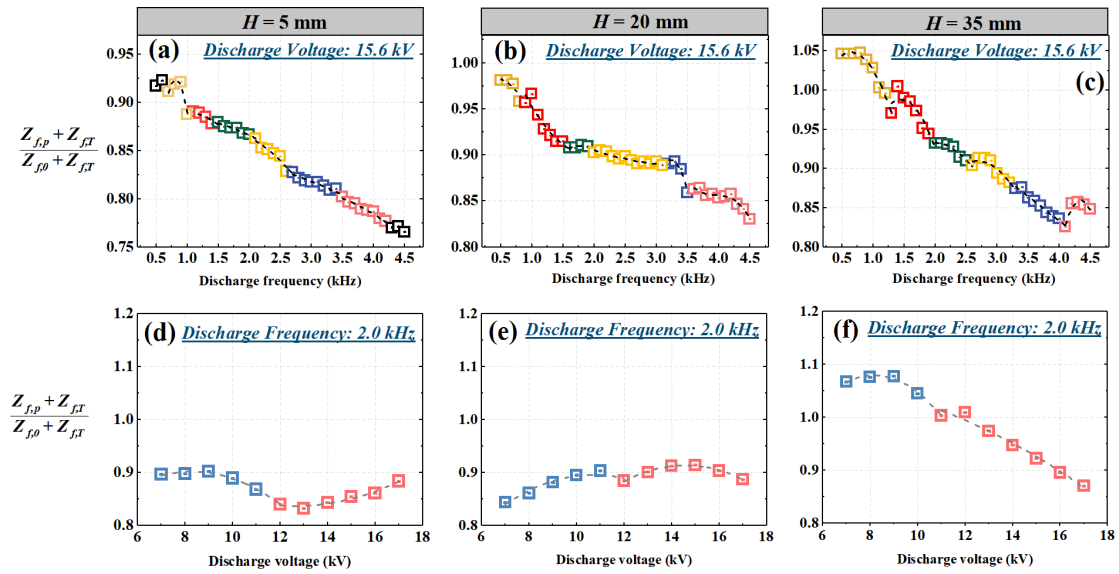
427 Fig. 13 The flame deflection angle (θ) as a function of (a) the discharge frequency
428 (f) and (b) voltage (U) for three discharge heights.

429 3.3 Dynamics of flame behavior

430 The results above suggested that the variation of the flame morphologic
431 parameters should be related to the physical mechanism of the plasma. Within the scope
432 of the discharge parameters in this study, the three flame behavior parameters had some
433 rules with the changes in electrical parameters.

434 Fig. 14 plotted the normalized total flame height ($\frac{Z_{f,p}+Z_{f,T}}{Z_{f,0}+Z_{f,T}}$) versus the discharge
435 frequency and voltage. The piecewise relationship between the normalized total flame
436 height and the discharge frequency could be fitted in Fig. 14(a)-(c), with different colors
437 representing segments and dashed lines representing fitted curves. The specific values
438 were shown in Table S6, corresponding to three discharge heights (H05, H20, and H35).
439 The trend of dimensionless height over a set frequency range could be represented by
440 a quadratic polynomial fitting in six segments. Besides, with the increase of the
441 discharge frequency, three processes of normalized flame height were identified (rapid
442 descent stage, relatively stable stage, and second descending stage). For H05, the rapid
443 descent stage had a smaller slope and fluctuation than for H20 and H35. The normalized
444 flame height of H05 required a higher discharge frequency to enter the relatively stable
445 stage. It could be found that the higher the discharge heights, the greater the overall
446 slope of the height changed. These results proved that the flame with plasma coupling
447 at the higher discharge heights was more sensitive to the plasma effect during the
448 increase of discharge frequency. Similar to the discharge frequency, the relationship

449 between the normalized total flame height and the applied voltage could be fitted and
 450 expressed by a quadratic polynomial, as demonstrated in Table S7. It could be seen that
 451 the change of dimensionless flame height with applied voltage can be divided into two
 452 stages in Fig. 14(d)-(f), with 12 kV as a demarcation point.

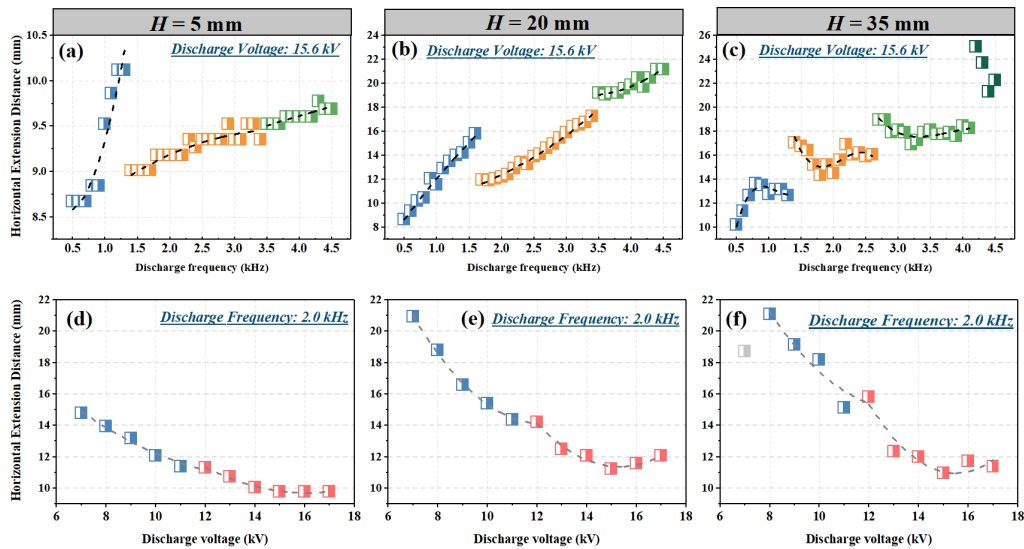


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454 Fig. 14 Plotting of normalized total flame height $\left(\frac{Z_{f,p} + Z_{f,T}}{Z_{f,0} + Z_{f,T}}\right)$ against the discharge
 455 frequency ((a)-(c)) and voltage ((d)-(f)). The piecewise relationship was
 456 represented by a different color, and the fitted curves was depicted with a black
 457 dotted line.

458 Based on the flame intermittency contours (I) as exemplified in Fig. 9, 2D scatter
 459 plots of the horizontal extension distance ($L_{f,p}$) versus the electrical parameters were
 460 depicted in Fig. 15. Within the scopes of the set frequency, the variation of horizontal
 461 extension distance escalated piecewise. In the range of 0.5-1.5 kHz, the horizontal
 462 extension distance had a rapid increasing process under the conditions of H05 and H20.
 463 In the second stage, there was initially a sharp decrease compared to the later stage
 464 (about 1.5 kHz) of the first stage. Later, with the increase of the frequency, the

465 horizontal extension distance increased, as shown in Fig. 15(a)-(b). At the same time,
 466 the growth amplitude of H20 was greater than that of H05. Besides, as the discharge
 467 frequency increased, the horizontal extension distance showed stronger fluctuations at
 468 higher discharge heights (H35), as shown in Fig. 15(c). The function of flame horizontal
 469 extension distance and discharge frequency could be fitted to polynomial, as shown in
 470 Table S8. In Fig. 15(d)-(f), the horizontal extension distance of the flame decreased
 471 with the increment of the voltage. The flame horizontal extension distance ($L_{f,p}$) as a
 472 function of the applied voltage (U) could be expressed by a quadratic polynomial (Table
 473 S9). It was noted that the data of Table S9 showed relatively more scatter for H35 (Fig.
 474 15(f)) than for lower discharge height (H05 and H20).



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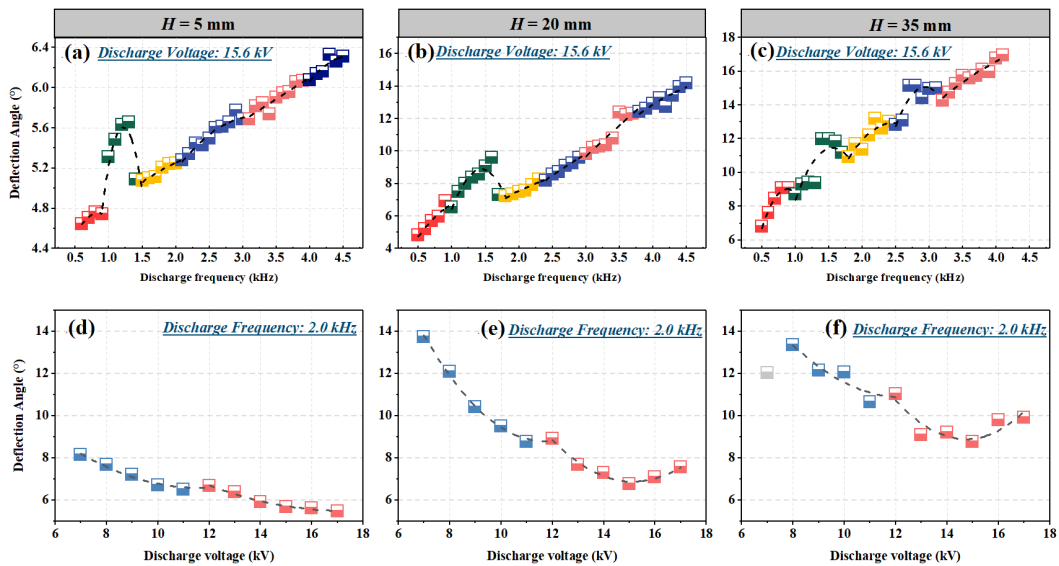
476 Fig. 15 Flame horizontal extension distance ($L_{f,p}$) as function of the discharge
 477 frequency ((a)-(c)) and voltage ((d)-(f)) for three discharge heights.

478 To study the amplitude of the overall deflection of the flame above the electrode,

479 Fig. 16 illustrated the variation of the deflection angle with the discharge parameters.

480 In the discharge frequency from 0.5 to 4.5 kHz, the flame deflection angle gave an

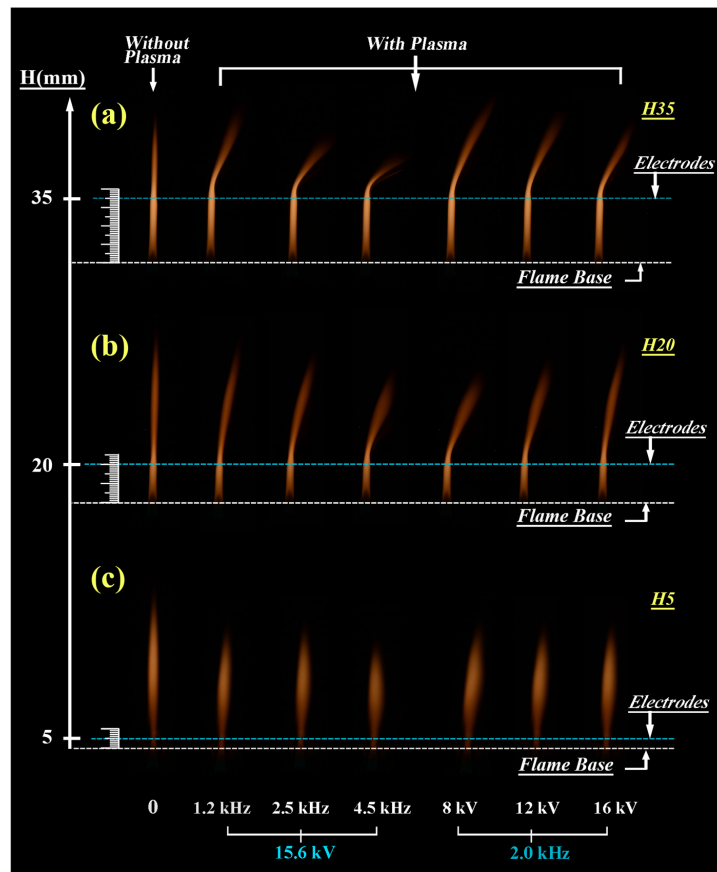
481 analogical increasing tendency for three discharge heights. For instance, there was a
 482 noticeable fluctuation between 1 and 1.6 kHz, as shown in Fig. 16(a)-(c), and the degree
 483 of fluctuation increased with the rising of discharge height. The trend of the flame
 484 deflection angle over a set frequency range could be represented by a quadratic
 485 polynomial fitting in six segments. At higher discharge heights, the increase of
 486 deflection angle was greater under the same electrical parameters. The functional
 487 relationship between the deflection angle and the discharge frequency was represented
 488 by the quadratic polynomial in Table S10. Additionally, in Fig. 16(d)-(f), the deflection
 489 angle reduced overall with the increase of applied voltage. It could be seen that the
 490 change of dimensionless flame height with applied voltage could be divided into two
 491 stages in Fig. 16(d)-(f). Table S11 provided the flame deflection angle as a function of
 492 the applied voltage.



493
 494 Fig. 16 Flame deflection angle (θ) as function of the discharge frequency ((a)-(c))
 495 and voltage ((d)-(f)) for three discharge heights.

496 **3.4 Temperature and *KL* factor distributions**

497 The typical flame images with and without plasma coupling at different discharge
498 heights are shown in Fig. 17. At the same discharge height, it could be observed in Fig.
499 17 that the luminous intensity from the radiation of soot particles decreased rapidly with
500 the increase of discharge frequency. There might be two main reasons responsible for
501 this phenomenon. The first one might be attributed to the ionic wind acting on the flow
502 field as mentioned in section 3.2.1. The other factor was the reduced soot formation
503 (which will be demonstrated in detail later), which could decrease the flame luminosity
504 and also led to a shorter path for soot particles before it was oxidized out the flame
505 reaction zone. However, the increase of applied voltage might lead to the opposite
506 results for H05 and H20. As mentioned in section 3.2.1, the fluctuation of the flame
507 decreased slightly with the increase of voltage, as demonstrated while the fluctuation
508 increased with the discharge frequency increasing. This phenomenon applied to the
509 plasma-flame coupling at all three heights.



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Fig. 17 Typical images of flames with and without plasma coupling at different discharge heights ((a) 35 mm, (b) 20 mm, (c) 5 mm).

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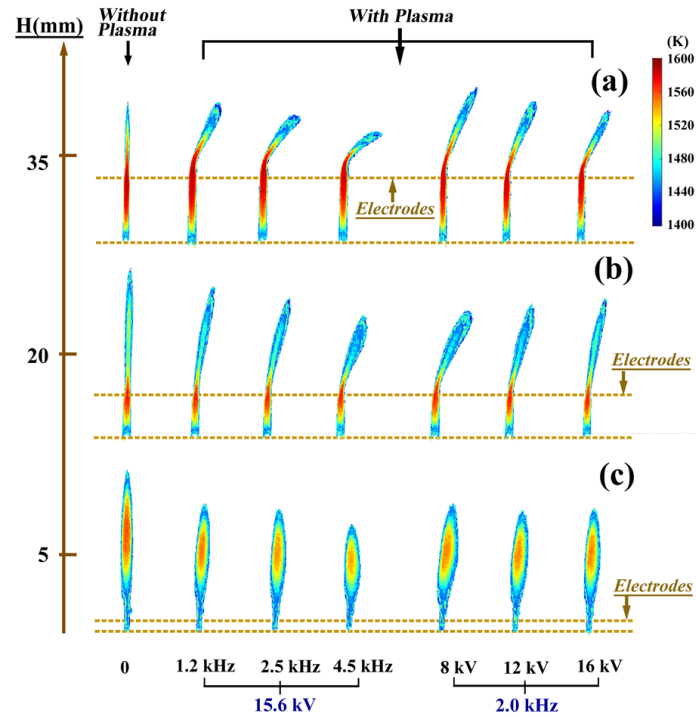
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The measured distributions of temperatures in three discharge heights at various electrical conditions were presented in Fig. 18. The overall partition of flame temperature was unaffected by the plasma-flame coupling. When the plasma couple with the flame, it should be paid close attention to that the temperature distribution of the flame had no significant change from the burner outlet to the electrodes compared to that without plasma. The temperature distribution of the local flame above the electrode changed under the direct plasma coupling.



520

521

Fig. 18 Temperature distributions with various discharge conditions at different discharge heights ((a) 35 mm, (b) 20 mm, (c) 5 mm).

522

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In Fig. 19(a)-(b), the effect of plasma coupling on the variations of maximum

524

flame temperatures (T_{max}) was presented. In the case of no plasma coupling, the max

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temperature was 1564 K for H05. It was found a decrease of the maximum flame

526

temperature (1554 K) as the plasma generation at 1.2 kHz in Fig. 19(a). Then, as the

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discharge frequency increased to 4.5 kHz, the results demonstrated that the maximum

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flame temperature decreased to 1544 K for H05. In Fig. 19(b), the max temperature of

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H05 decreased first from 1564 to 1553 K and then remained constant as the plasma

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with a higher voltage coupled to the flame. As visualized in Fig. 17(c), the position of

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the plasma coupling was close to the exit of the burner. The max temperature was

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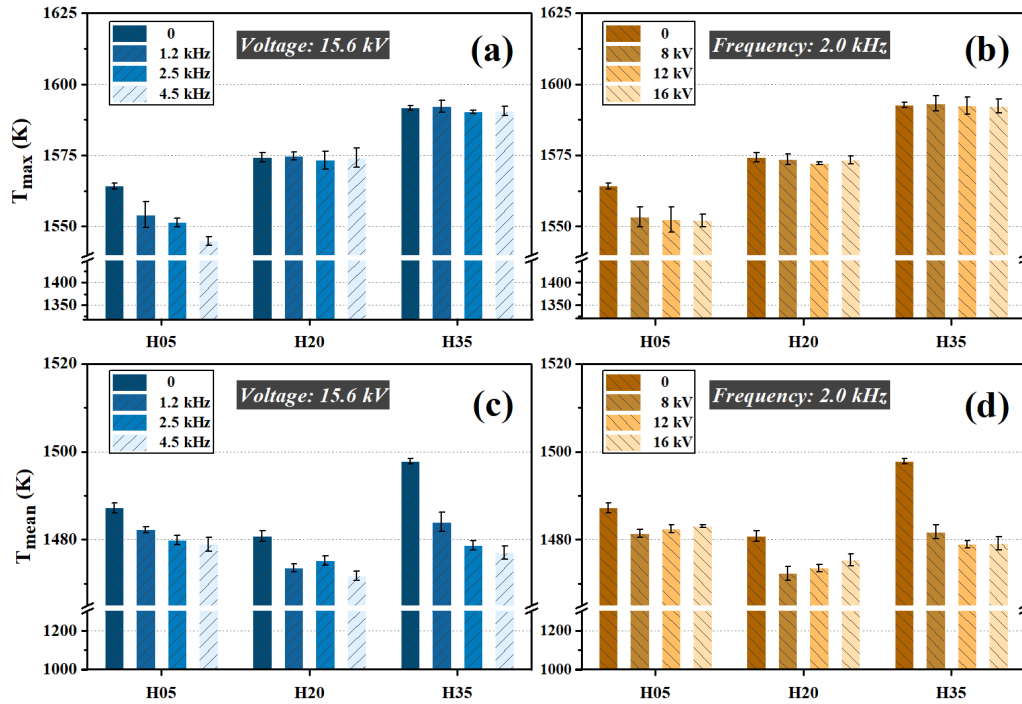
affected by plasma coupling because the high-temperature area of the flame was located

533

above the electrodes. At the higher discharge heights (H20, H35), the maximum flame

534 temperature increased slightly with the plasma addition. With the increase of applied
535 voltage and frequency, the max temperature had no significant change for the condition
536 of H20 and H35. This might be attributed to the high-temperature zone of the flame
537 located at or below the location where the plasma is generated, as shown in Fig. 18(b)-
538 (c). The local flame from the burner outlet to the electrodes was independent of the
539 plasma coupling.

540 Since the partial flame from the burner outlet to the electrodes was independent of
541 the plasma coupling, the average temperature of the flame was calculated by taking
542 only the part of the flame above the electrode for different conditions of plasma
543 coupling. First, the average temperature of the flame would decrease when the plasma
544 generated in Fig. 19(c)-(d), which is most effective for H35. Then, as the discharge
545 frequency increased, plasma coupling caused a decrease in the mean temperature in Fig.
546 19(c). With the increase of voltage, the average flame temperature rose slightly for H05
547 and H20. The changing trend of the mean flame temperature was consistent with those
548 of the flame length and fluctuation in Fig. 17(a)-(b). However, with the increase of
549 applied voltage, the flame temperature decreased first and then increased for H35.



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Fig. 19 The (a)-(b) maximum temperature (T_{max}) for the entire flame and (c)-(d) average flame temperature (T_{mean}) above the electrodes at different discharge heights under the action of different plasma.

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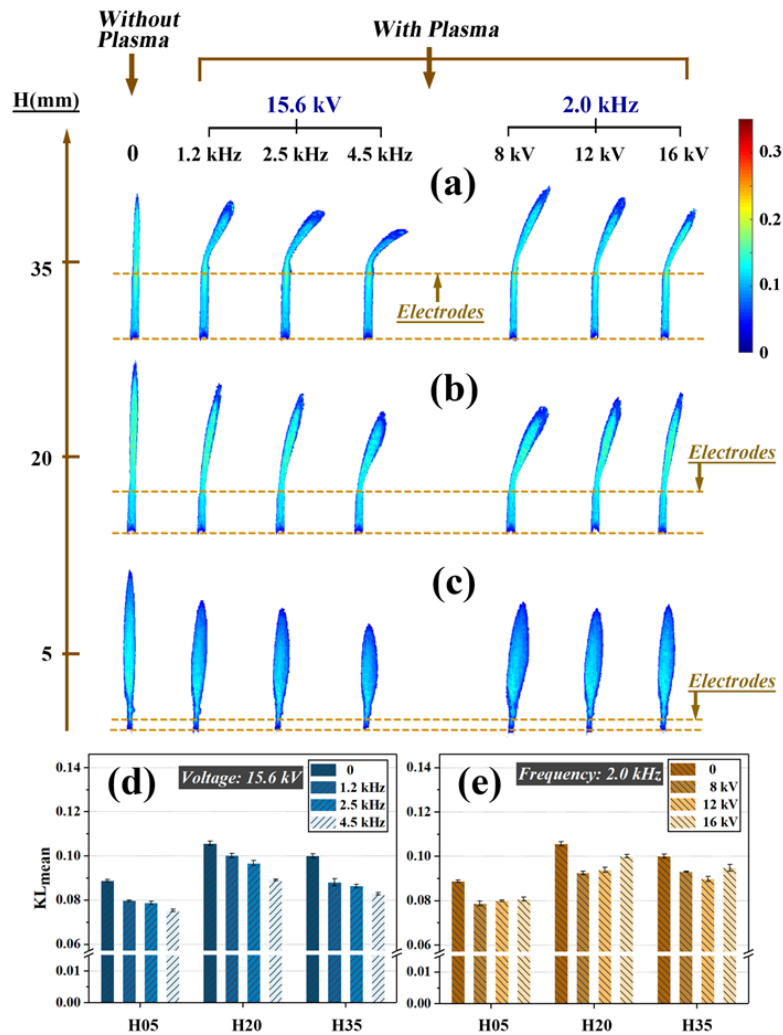
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The KL factor could be used to qualitatively compare the volume fraction of soot, the larger value of which could manifest more soot production in that region [51-54]. The synergistic effect of plasma-flame coupling on the soot emission could be experimentally examined by the two-color method at different electrical conditions. Three major factors might be responsible for the difference in the KL factor, including (1) the flame behavior, which might affect the residence time of soot particles in flames; (2) the flame temperature, which might affect the soot inception and surface growth rates (3) a direct effect of electric field via activation of species due to collisions with electrons and ions [55]. As illustrated in Fig. 20 (a)-(c), the distributions of KL factors in H35, H20, and H05 at various electrical parameters were presented, respectively.

564 Similarly, in Fig. 20(a)-(c), the soot emission had no significant change from the burner
 565 outlet to the electrodes compared to that without plasma, when the plasma was coupled
 566 with the flame. For the local flame above the electrode, the soot emission decreased
 567 significantly under the direct coupling of the plasma with the flame.



568

569 Fig. 20 KL factor distributions with various plasma coupling (a):H35, (b):H20,
 570 (c):H05). The average KL factor (KL_{mean}) as a function of (d) the discharge
 571 frequency and (e) voltages at different discharge heights.

572 Fig. 20(d) showed the variation trend of mean KL factors with the increase of
 573 discharge frequency. The effect of electric field addition in a hydrocarbon flame on soot

574 precursor reduction was confirmed [55]. They found that the specific chemical reaction
575 was intensified through the electron injection. In Fig. 20(d), the soot emission decreased
576 further with the increase of discharge frequency. This effect was stronger for H35
577 compared to the coupling of plasma and flame at the other two altitudes (H05 and H20).
578 The reasons for the reduction of soot in the present experiment could be explained as
579 follows. Firstly, as the discharge frequency increased, the number of discharge channels
580 generated per unit time appreciably increased. The number of high-energy electrons
581 increased, and the motion of which intensified per unit of time. The collision probability
582 of high-energy electrons and particles in the flame increased accordingly, which might
583 promote the reduction of soot. Matsuzawa et al [55] suggested that the plasma-induced
584 reaction intensification might contribute to convert ionic species into neutral non-soot-
585 precursors and reduce the emission of soot precursors. Secondly, with the plasma
586 coupling, the flame length was significantly reduced, which led to a shortened residence
587 time for soot particle growth. This effect further conducted the reduction of the soot
588 emission. Finally, as shown in Fig. 19(c), as the frequency increased, the decrease in
589 flame temperature could inhibit the generation of soot. When the plasma was coupling
590 to the flame directly, the synergy of the above three factors reduced the the amount of
591 soot as the discharge frequency increased.

592 The effect of applied voltage on soot emission was exhibited in Fig. 20(e). With
593 the increase of applied voltage (8-16 kV), the mean KL factor for H05 and H20
594 increased monotonically. However, the mean KL factor for H35 decreased first and then
595 increased with the increase of applied voltage. The reasons for the variation of soot with

596 plasma coupling could be explained as follows. Firstly, with the increase of applied
597 voltage, the flame height gradually increased slightly for the discharge height of 5 and
598 20 mm, as shown in Fig. 20(b)-(c). The increase of the residence time of soot was
599 accompanied by the increase of soot. At the discharge height of 35 mm, the soot
600 emission at applied voltage of 12 kV was less than that at the applied voltage of 8 kV,
601 which corresponds to the reduction in flame height in Fig. 20(a). The alteration of flame
602 length will affect the residence time of soot growth, which further affects the soot
603 emission. Secondly, the electric field intensity increased with the applied voltage
604 increasing at constant discharge frequency. More gas breakdowns occurred in a single
605 discharge cycle, which meant that the number and average energy of electrons
606 significantly increased. More active species were produced due to the intensified
607 reaction between electrons and gas [56], which promoted the oxidation of soot. Song et
608 al reported the abatement capability of particulate matter (PM), hydrocarbons (HC),
609 and NO_x as functions of voltage at the fixed frequency from an actual diesel exhaust
610 [57]. Finally, as shown in Fig. 19(d), the variation trend of temperature was consistent
611 with the variation of soot in Fig. 20(e). Considering the aspects above, it could be found
612 that plasma-flame coupling at different heights had different synergistic mechanisms
613 for soot emission as the applied voltage increased. For H05 and H20, it could be found
614 that the influence of the electric field itself on soot generation is not the dominant factor
615 combined with the variation of soot emission. Correspondingly, the ion wind that
616 affecting the flame behavior and the variation of flame temperature had more obvious
617 effects on the soot emission. Conversely, the flame height, variation of the electric field,

618 and the temperature might be the dominant factors affecting the variation of soot
619 emission for H35 when the voltage was less than 12 kV. When the applied voltage is
620 greater than 12 kV, the increase of soot was mainly attributed to the increase of
621 temperature.
622

623 **4. Conclusion**

624 In this paper, comprehensive optical diagnostics for flame behavior and soot
625 emission under plasma-flame coupling at different heights were investigated, including
626 quantifying the flame morphologic characteristics, and using a novel diagnostic method
627 to decouple the plasma and flame luminescence to further extract the two-dimensional
628 distribution of flame temperature and soot emission. The main conclusions obtained in
629 this study were summarized as follows:

630 (1) For results of the flame behavior, at the same discharge height, with the
631 increase of discharge frequency, the flame height decreased while the flame
632 horizontal extension distance and the deflection angle increased. The flame
633 morphometric parameters changed more remarkably with electrical parameters
634 for the higher discharge heights. The experimental results showed the affected
635 field of the flame behavior matched the field acted by pulse discharge, which
636 suggested a one-to-one correspondence between plasma action and flame
637 deflection or shortening.

638 (2) At the same discharge height, the flame temperature and soot decreased with
639 the increase of discharge frequency. The soot emission changed more
640 remarkably with the discharge frequency for relatively higher discharge
641 heights. With the increase of voltage, the flame temperature and soot increased
642 at the lower discharge heights (5 and 20 mm), and decreased first and then
643 increased at the higher discharge v height (35 mm). The variation of the soot
644 emission across the flame depended on a variety of factors, including the flame

645 behavior, the flame temperature, and the effect of electric field by energetic
646 and chemically active species.

647 In this paper, the experimental results obtained and the optical diagnosis method
648 proposed provided an essential basis to quantify the flame behavior from the directly
649 coupling of the plasma with the flame. The decoupling method of plasma and flame
650 luminescence established the foundation for optical diagnosis of flame in direct plasma-
651 flame coupling.

652

653 **CRedit authorship contribution statement**

654 **Dandan Qi:** Writing - original draft, Methodology, Conceptualization, Investigation,
655 Formal analysis. **Kaixuan Yang:** Investigation. **Xuan Zhao:** Validation, Investigation.

656 **Danhua Mei:** Supervision, Writing - review & editing. **Yaoyao Ying:** Data curation,
657 Project administration. **Lei Xu:** Data curation, Project administration. **Xin Tu:**

658 Supervision, Writing - review & editing. **Dong Liu:** Supervision, Resources, Validation,
659 Data curation, Funding acquisition, Writing - review & editing.

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665 **Supplementary data**

666

667 **References**

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