



UNIVERSITY OF
LIVERPOOL

“Are proximal phalangeal fractures in racehorses consistent with a fatigue-type fracture?”

Thesis submitted in accordance with the requirements of the University of Liverpool for the degree of Master in Philosophy by Dr Giulia Lipreri, DVM, MRCVS.

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1 **Abstract**

2 Fractures of the proximal phalanx are common orthopaedic injuries of racing Thoroughbreds.
3 These fractures are a welfare and an economical concern. Proximal phalangeal fractures occur
4 in a predictable pattern, having a sagittal conformation and extending distally from the sagittal
5 groove of the proximal articular surface of the first phalanx. Debate exists regarding whether
6 these fractures are the result of an acute monotonic overload or of inadequate adaptive
7 response of the bone to exercise.

8 The aim of this study is to analyse the microstructural characteristics of the subchondral and
9 trabecular bone of the proximal sagittal groove of the proximal phalanx in horses with
10 different training history (racing and non-racing) and injury status (with and without a
11 proximal phalangeal fracture) using micro-computed tomography (μ CT).

12 We hypothesised that microstructural characteristics of the subchondral and trabecular bone
13 of the proximal sagittal groove of the proximal phalanx would vary significantly: (1) across the
14 dorso-to-palmar and medial-to-lateral aspects of the proximal sagittal groove of race-trained
15 horses that have sustained a proximal phalangeal fracture, (2) between race-trained and
16 untrained horses, (3) between race-trained horses that had not sustained proximal phalangeal
17 fracture and race-trained horses that had sustained proximal phalangeal fracture.

18 Fore limb proximal phalanges were collected from three groups of horses: untrained control
19 group (UC), race-trained control group (TC) and race-trained fracture group (TF). The proximal
20 sagittal groove was resected and imaged with μ CT. Eighteen volumes of interest (VOIs) were
21 identified within the subchondral bone (SCB) and trabecular bone (TBB) layers. Each VOI was
22 analysed using a μ CT bone analysis software. Tissue mineral density (TMD mg/cm³), was
23 calculated for each VOI within both the SCB and the TBB layer. Total porosity was calculated
24 for VOIs within the subchondral bone only. Bone volume fraction (bone volume/tissue

25 volume), trabecular thickness (Tb.Th. μm), trabecular separation (Tb.Sp. μm), trabecular
26 number (Tb.N. $1/\mu\text{m}$), connectivity density (C.D.), and degree of anisotropy (D.A.) were
27 calculated for each VOI within the trabecular bone layer only. Analysis of variance (ANOVA)
28 and Friedman's test were used respectively for normally and non-normally distributed data to
29 investigate differences between the groups and between VOIs within each group. Significance
30 was set at $p \leq 0.05$.

31 The study results showed that horses that experienced a proximal phalangeal fracture had
32 higher TMD, higher BV/TV and higher Tb.Th., with lower Tb.Sp., Tb.N. and C.D. compared to
33 horses that did not experience fracture. Moreover, in the TC group, the D.A. is overall
34 significantly higher, compared to both the TF and the UC groups.

35 In conclusion, an increased subchondral bone TMD along with trabecular thickening and
36 disruption of the trabecular bone architecture, may predispose the horse to proximal
37 phalangeal fracture and may represent an inadequate adaptation to race-training. Our data
38 support previously reported clinical findings suggesting that sagittal proximal phalangeal
39 fractures have characteristics of fatigue-type fracture. This study suggests that sagittal
40 proximal phalangeal fractures occur in horses that respond to race-training by continued bone
41 modelling in the face of suppressed remodeling. Inhibition of remodelling may allow
42 accumulation of fatigue damage that can ultimately result in fracture. Further histological
43 studies are however necessary to confirm the above statement.

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49 **Declaration by the author**

50

51 This thesis is composed of my original work, and contains no material previously published or
52 written by another person except where due reference has been made in the text. I have clearly
53 stated the contribution by others to the thesis.

54 **Contributions by others to the thesis**

55

56 The majority of the work integrated into this thesis was conducted by Dr Giulia Lipreri.

57 Dr Ellen Singer, Dr Luis Rubio Martínez and Professor Rob van't Hof provided intellectual input

58 on the study design, materials and methods, statistical analysis, interpretation of results,

59 editorial comment and final review on the original research on which the thesis was based.

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- 250 **List of abbreviations used in alphabetical order**
- 251 ATP – adenosine triphosphate
- 252 BRU – bone remodeling unit
- 253 BV/TV – bone volume/tissue volume (bone volume fraction)
- 254 C – colt
- 255 C.D. – connectivity density
- 256 D.A. – degree of anisotropy
- 257 DKK-1 – Dickkopf factor 1
- 258 F – flat races
- 259 G – gelding
- 260 IGF – 1 - Insulin-like growth factor 1
- 261 LF – left fore limb
- 262 M – mare
- 263 MCPJ – metacarpophalangeal joint
- 264 MC3 – third metacarpal bone
- 265 NH – national hunt races
- 266 NO – Nitric Oxide
- 267 OPG – osteoprotegerin
- 268 PGE₂ – Prostaglandin E2
- 269 PP – proximal phalanx
- 270 PSBs – proximal sesamoid bones
- 271 PSG – proximal sagittal groove
- 272 RANKL – receptor activator of nuclear factor Kappa-B ligand
- 273 RF – right fore limb

274 SCB – subchondral bone
275 TBB – trabecular bone
276 Tb.N. – trabecular number
277 Tb.Sp. – trabecular separation
278 Tb.Th. – trabecular thickness
279 TMD – tissue mineral density
280 WNT – Wingless-related integration site

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298	Chapter 1
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322 Fractures of the proximal phalanx (PP) are common orthopaedic injuries of racing
323 Thoroughbreds. These fractures, as most of the musculoskeletal injuries of racehorses, are a
324 welfare and an economical concern. Proximal phalangeal fractures occur in a predictable
325 pattern, having a parasagittal conformation and extending distally from the sagittal groove of
326 the proximal articular surface of the first phalanx (Smith 2014a, Ellis 1987, Markel 1985).
327 However, etiopathology of PP fractures is still a matter of discussion. Debate exists whether
328 sagittal fractures of the PP are the result of an acute monotonic overload or of inadequate
329 adaptive response of the bone to exercise.

330 This study analyses and compares microstructural and anisotropic characteristics of the
331 subchondral bone (SCB) and trabecular bone (TBB) of the proximal sagittal groove of the PP
332 in horses with different training history (racing and non-racing horses) and injury status
333 (horses with and without sagittal fractures of PP) by use of micro-computed tomography. The
334 ultimate objective of the study is to better understand the role that inadequate adaptive
335 response of bone to exercise contributes to sagittal fractures of the PP.

336 Chapter 2 reviews the current literature relating to bone biology with particular focus on bone
337 modeling/remodeling and on bone adaptation to exercise. Also included is a review of the
338 literature on PP fractures.

339 Chapter 3 details the materials and methods, as well as the statistical analysis, used to obtain
340 our final data.

341 Chapter 4 details the results obtained from the analysis of our data.

342 Chapter 5 provides a general discussion of the results, lists the main limitations of the study
343 and recommends future directions of research.

344 References cited in the MPhil are listed at the end of the thesis.

345

346	Chapter 2
347	Literature review
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370 **2.1 Equine Skeleton**

371 The main role of the mammalian skeleton is to provide structural support and a means of
372 locomotion using jointed bones stabilized and moved by ligaments, tendons and muscles. The
373 bony skeleton also provides protection for vital organs and a reservoir of minerals. The
374 skeleton has evolved to provide maximum strength with minimal mass. The shape and size of
375 individual bones are determined by genetic and functional factors to provide appropriate
376 structure for functional demand with a low risk of failure and without incurring excess energy
377 expenditure. The demands for energetic efficiency are greater in animals evolved for high-
378 speed locomotion, such as horses. The horse is an unguligrade animal, standing on the hoof
379 of the third digit. The distal end of the limb has evolved to form a hoof. The major muscle
380 masses of the equine limbs are positioned proximally to reduce the energy required to move
381 the limb as it swings backward and forward in cursorial locomotion. Bone mass is also
382 minimized at the distal extremities and safety margins decrease toward the distal extremity
383 of the limbs (Sinclair et al. 2014).

384 The horse has evolved to become a high-speed animal and has also been the subject of
385 selective breeding as an elite animal athlete. The Thoroughbred racehorse is a prime example
386 of selection for speed. However it is suggested that this selection process might have reached
387 its limit (Hill et al. 1988, Bailey et al. 2022). Despite the efforts of breeders, the winning times
388 of Thoroughbreds in the English classic horse races have not fallen substantially over the past
389 50 years. In men and women's track events, new records are set almost yearly. In the Olympic
390 games, for example, the time taken for men to run 1500 metres declined by 15 seconds (7%)
391 from 1936 to 1984. These improvements cannot be attributed to genetic change, but to better
392 training, health, tracks, and wider screening of the population. Unfortunately, equine sport
393 science is not yet developed or applied to the same extent as in human athletics. Both human

394 and equine skeleton has the capacity to respond to changes in mechanical loading in the short
395 term and can optimize for energetic efficiency in relation to changes in mechanical demands
396 (Sinclair et al. 2014).

397

398 **2.2 Bone as a tissue**

399 The skeleton is a metabolically active organ, which is composed of water (10%), inorganic
400 material (65%) and organic material (25%) (Burr and Akkus 2014). The inorganic component,
401 primarily hydroxyapatite, imparts high compressive strength. The organic matrix of bone
402 consists largely of type I collagen (approximately 90%), with small amounts of lipid and non-
403 collagenous proteins. The fibrous protein collagen imparts high tensile strength. Non-
404 collagenous proteins within the bone matrix include signalling molecules (such as
405 transforming growth factor beta and insulin-like growth factor I) and regulators of
406 mineralization (such as osteocalcin and dentin matrix protein) (Sims and Martin 2019). Adult
407 bone tissue comprises three major populations of cells: osteoblasts, osteoclasts and
408 osteocytes. Osteoblasts are derived from stromal mesenchymal cells present in the bone
409 marrow. There are cells at different stages of differentiation within the mesenchymal
410 “osteoblast lineage” that perform a wide range of functions: bone collagen matrix production,
411 regulation of mineralization and osteoclastogenesis, production of paracrine and autocrine
412 factors (cytokines, growth factors, prostanoids, proteinases). The osteoblast lineage forms
413 communication systems profoundly influencing bone formation, bone resorption and
414 haematopoiesis (Sims and Martin 2020). Osteoclasts are derived from circulating monocytes
415 (haematopoietic origin), are multi-nucleated and form through fusion. The main function of
416 osteoclasts is bone resorption. When activated, osteoclasts reside on a bone surface with a
417 ruffled border and create acidic resorption lacunae. Osteoclasts secrete hydrochloric acid to

418 dissolve hydroxyapatite, as well as secreting Cathepsin K to degrade type I collagen (Takahashi
419 et al. 2019). Osteocytes are osteoblasts that became entrapped within the bone matrix during
420 bone formation. These cells make up 90-95% of all bone cells in the adult skeleton and are
421 recognized as the major orchestrator of bone homeostasis, including mechanical sensing and
422 transducing mechanical signals into chemical signals to regulate both bone formation and
423 resorption during remodeling (Schaffler et al. 2014). Bone remodeling is a crucial process to
424 maintain a balance of bone homeostasis. Osteocytes regulate bone remodeling in direct and
425 indirect ways. Osteocytes sense the mechanical stimuli based on changes in stress and strain
426 in the bone , which directs their job of orchestrating the activity of osteoblasts and clasts. As
427 a consequence, osteocytes indirectly regulate bone resorption and bone formation, resulting
428 in a balance of bone homeostasis (Robling and Bonewald 2020). Osteocytes control
429 osteoclastogenesis by stimulating Receptor Activator of Nuclear Factor Kappa-B Ligand
430 (RANKL - molecule responsible of osteoclast differentiation) expression and/or availability,
431 and by inhibition of osteoprotegerin (OPG - inhibitor of osteoclast differentiation) expression
432 and/or availability (Cao et al. 2020). Osteocytes also regulate osteoblast activity by producing
433 both inhibitory (Sclerostin and Dickkopf factor 1) and stimulatory (Prostaglandin E₂, Insuline-
434 like growth factor 1, Wingless-related integration site glycoproteins, Nitric Oxide free radical
435 and Adenosine Triphosphate Nucleotide) factors. Mechanical stimulation can induce secretion
436 of both stimulatory and inhibitory molecules by the osteocytes (Cao et al. 2020). Depending
437 on the position within a loaded bone, some osteocytes stimulate bone formation whilst in
438 other locations inhibition takes place. This results in change of bone shape.

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442 **2.3 Bone modeling and remodeling**

443 Both during growth and maturation and in the skeletally mature adult, bone responds to
444 mechanical loading. Bone is also the main store of calcium and phosphate in the body, and
445 bone metabolism regulates calcium and phosphate homeostasis. The relationship of the
446 pathophysiology of functional adaptation to training and conditioning regimens in general,
447 and in the horse in particular, is important in maximizing performance and minimizing skeletal
448 injury.

449 Skeletal morphology is determined by two processes: modeling and remodeling. Bone
450 modeling describes the process by which bones are shaped or reshaped by the independent
451 action of osteoblasts and osteoclasts. In bone modelling, the activities of osteoblasts and
452 osteoclasts are not necessarily coupled spatially or temporally, as is the case in bone
453 remodeling. Bone modeling defines skeletal development and growth and is responsible for
454 the shaping of bones. Modeling includes both formation and resorption of bone. Bone
455 formation takes place from the beginning of skeletogenesis during foetal life until the time
456 when the longitudinal growth of the skeleton is completed. Modeling is also responsible for
457 changes in bone shape associated with aging or in response to mechanical load. A change in
458 bone shape is seen, for example, in tennis players where the arm used to hold the racket has
459 a higher bone mass than the other arm (Kontulainen et al. 2002). In remodeling, the actions
460 of osteoblasts and osteoclasts occur in sequence on the same bone surface. Remodeling
461 occurs asynchronously throughout the skeleton at many anatomically distinct sites termed
462 bone remodeling units (BRUs). Remodeling persists throughout life. In humans, it has been
463 estimated that 3–4 million BRUs are initiated each year and that 1 million BRUs are actively
464 engaged in bone turnover at any time (Manolagas 2000). Remodeling is a process
465 characterized by four phases: the activation phase when the osteoclasts are recruited; the

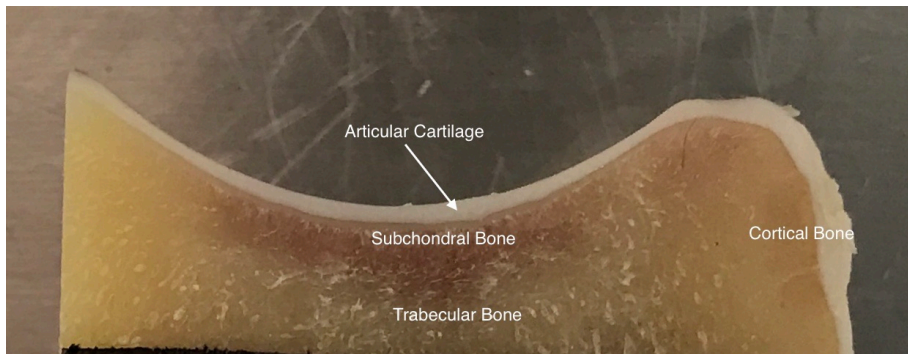
466 resorption phase, when the osteoclasts resorb bone; the reversal phase, in which the
467 osteoclasts undergo apoptosis or become inactive, and the osteoblasts are recruited; and the
468 formation phase, where the osteoblasts lay down new organic bone matrix that subsequently
469 mineralizes. The purposes of remodeling are many, including calcium homeostasis and the
470 replacement of old and damaged bone with new bone, essentially repair of damaged bone.
471 By removing old and damaged bone, targeted remodeling plays a key role in maintaining the
472 mechanical strength of bone; however, excessive remodeling and repair poses a risk to bone
473 strength as it destabilizes bone and introduces stress concentrators (Einhorn 1992). Even
474 targeted remodeling may be harmful according to the hypothesis that excessive strain causes
475 regional microdamage, which leads to targeted remodeling removing the damaged bone and
476 a larger volume of the surrounding undamaged bone. The temporary bone volume deficit
477 increases the strain in neighbouring bone and the potential establishment of a vicious cycle
478 between damage and repair (Allen and Burr 2008; Martin 1995). On the other hand,
479 remodeling has an important role in prolonging the fatigue life of a given volume of bone by
480 replacing bone that has accumulated microdamage (Taylor et al. 2004). Bone remodeling rates
481 are reduced during conditions of sustained high-magnitude cyclic loading and microdamage
482 accumulating (Jee et al 1990, Jee et al. 1991). This phenomenon is observed in Thoroughbred
483 racehorses in training (Whitton et al. 2010). At sites of high bone density known to be
484 subjected to high loads, such as the third metacarpal bone dorsal cortex and the subchondral
485 bone of the palmar aspect of the third metacarpal condyles, decreased porosity and fewer
486 resorption surfaces are observed when horses are in training compared with those that are
487 resting from training (Riggs et al. 1999).

488

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490 **2.4 Bone Organization**

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Figure 1: Sagittal section of the proximal aspect of the proximal phalanx showing the cortical and trabecular bone.

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496 Macroscopically, the bone is organized in cortical bone and trabecular bone (Figure 1).

497 Cortical bone is the primary component of the shafts and diaphysis of long and short bones of

498 the extremities. Cortical bone is also found surrounding trabecular bone of vertebral bodies

499 and cuboidal bones, at the metaphysis of long bones and in the skull. Trabecular bone is found

500 primarily in the metaphysis of the long bones, as well as in the vertebrae, ribs and iliac crest.

501 Trabecular bone derives its primary mechanical benefit from its architecture, which provides

502 structural support without increasing weight of the entire bone. One important mechanical

503 function of the trabecular bone is to provide a means for the bone to funnel the stresses

504 imposed on it to the stronger cortical bone. The architecture of the trabecular bone can be

505 characterized by Trabecular Number (Tb.N.), Trabecular Thickness (Tb.Th.), Trabecular

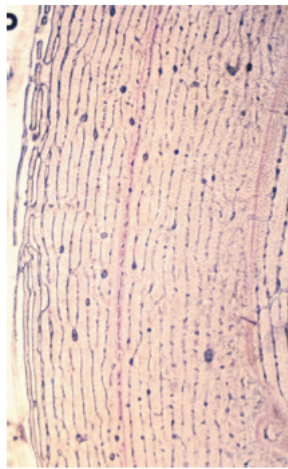
506 Separation (Tb.SP.) and degree of interconnection (Connectivity Density – C.D.).

507 As a composite material, bone matrix is anisotropic meaning that its structural orientation and

508 mechanical properties change depending on the direction of load application. Bone is

509 strongest in compression, weaker in shear and weakest in tension. The architecture of the

510 matrix in relation to the osteocytes forms the basic unit of structure, termed the lamella
511 (Figure 2).



512
513 Figure 2: Photomicrograph of a transverse section of laminar bone. From: Skeletal physiology:
514 responses to exercise and training. In: "Equine Sports Medicine and Surgery". Eds. Hinchcliff,
515 K.W., Kaneps, A.J., Geor, R.J. 2nd ed. Elsevier).

516
517 The architecture of these bone lamellae in different geometrical arrangements forms the basis
518 of the different histological types of bone. In cortical bone, concentric circumferential lamellae
519 form osteons and when these are formed in the original development of the bone they are
520 termed 'primary osteons'. A similar architecture is also seen in the secondary osteons that are
521 formed to repair damage such as microcracks. Lamellae formed around vascular networks or
522 plexi result in laminar or the less regular plexiform bone often seen in ungulates like the horse.
523 This type of bone allows very rapid increases in cross-sectional area with later consolidation
524 (Currey 2001). Lamellar bone can also form circumferential lamella on the entire periosteal
525 and endosteal surfaces of individual bones. The trabecular bone is formed by "plates and rods"
526 of bone and are formed of aligned bone lamellae. The plates and rods are strategically
527 arranged in relation to the trajectories of principal compressive and tensile stresses. In
528 embryological development and in the early stages of fracture repair a rapidly forming bone

529 with irregular lamellae, coarse collagen fibres and large osteocyte lacunae is seen. This is
530 called 'woven' bone and is rapidly remodeled to the various types of organized lamellar bone,
531 such as primary and secondary osteonal bone. Bone morphology has been related to the
532 mechanical loading requirements of different specific bones. For example, the orientation of
533 collagen fibers in the cranial and caudal cortices of the radius reflects the mechanical
534 requirements of this bone. Strain gauge studies have shown that this bone is loaded in both
535 compression and bending with principal tensile strains aligned with the long axis of the bone
536 in the cranial cortex and principal compressive strains aligned to the long axis in the caudal
537 cortex (Biewener et al. 1983). The arrangement of collagen fibers in relation to this pattern of
538 loading has been demonstrated by Riggs et al. (1993). This has been supported by a
539 comprehensive analysis of matrix morphology in the equine radius, in which the analysis of
540 primary bone and bone within secondary osteons of the cranial and caudal cortices of the
541 radius were arranged appropriately to optimize for the pattern of functional loading (Mason
542 et al. 1995). This study also confirmed a predominant longitudinal arrangement of collagen
543 fibers in the cranial cortex of this bone, to resist the functional tensile strains at this location.
544 Any consistent changes in the magnitude and pattern of loading induce a modeling response
545 in which the bone cell activity will modify the matrix to maintain the optimization of the overall
546 bone architecture in relation to the new prevailing loading conditions. Matrix, and embedded
547 osteocytes, can be removed by osteoclasts and new matrix formed by osteoblasts. This
548 coupled cellular activity allows bone as both a material and structure to be changed in terms
549 of mass and distribution throughout life.

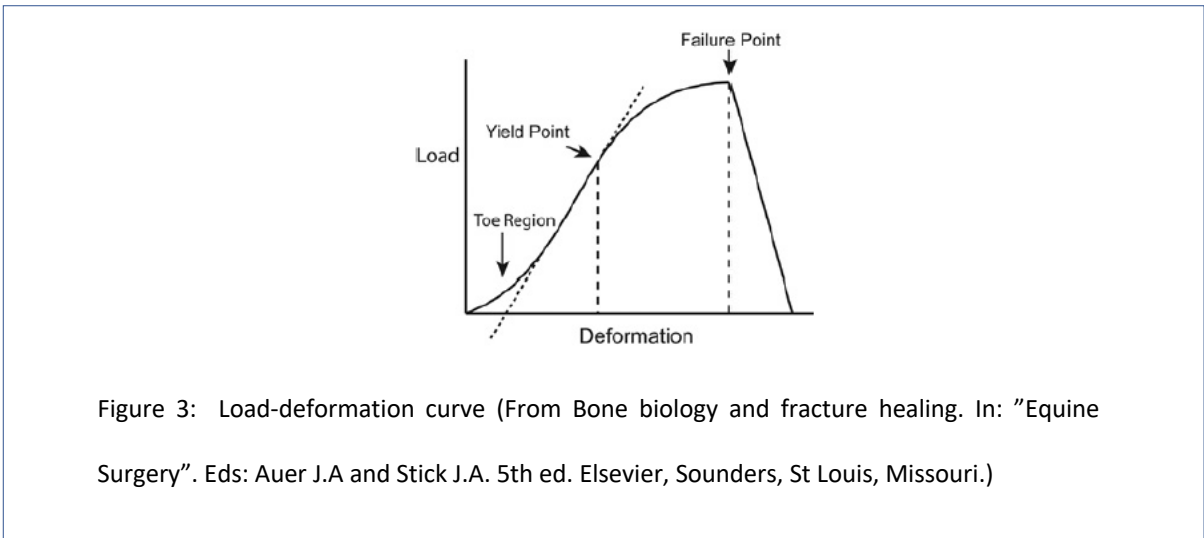
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551

552

553 **2.5 Skeletal biomechanics**

554 The mechanical properties of bone are determined by size, shape, and internal structure as
555 well as composition. When a force is applied to a bone, deformation of the structure occurs.
556 The response of bone to application of external forces called loads is used to quantify specific
557 measures of mechanical behaviour. When testing the structural properties of a bone, the
558 relationship between load and displacement can be represented graphically on a load-
559 deformation curve (Figure 3).



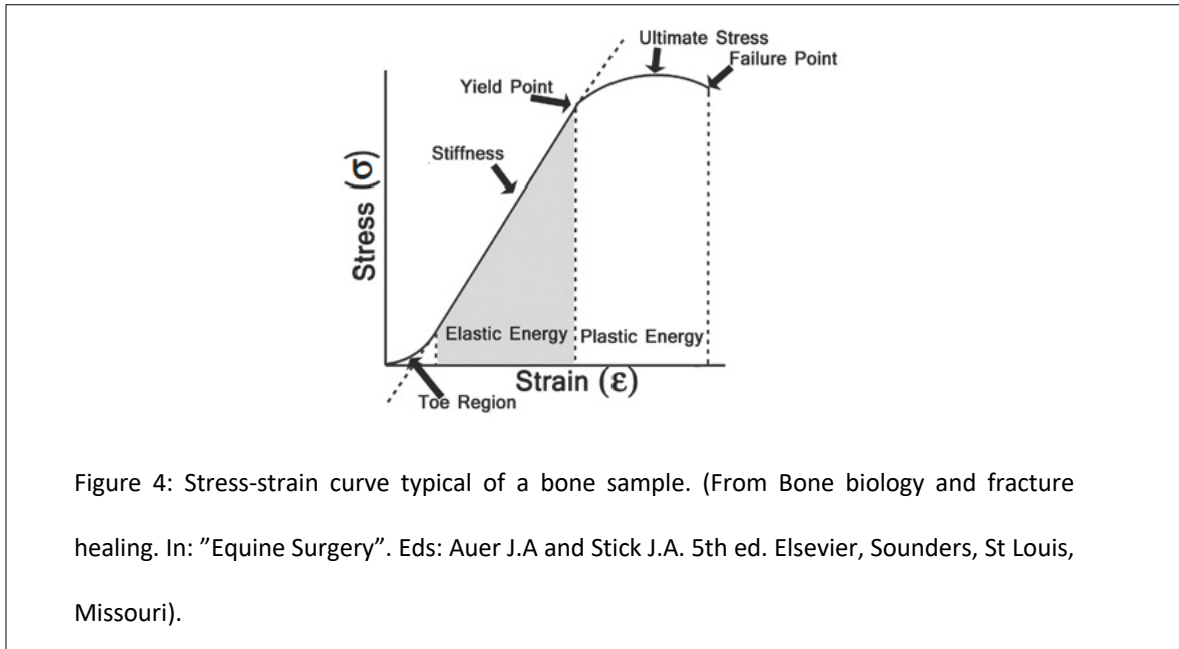
564 The initial curved segment of the curve is the toe region, a low-force, high-deformation region
565 that reflects tissue fluid motion as well as straightening and stretching of protein fibres such
566 as collagen and elastin. The following linear segment of the curve is the elastic region and
567 shows the ability to resist deformation. In this region, the structure will return to its original
568 form when the load is removed. This section of the curve is often referred to as the stiffness.
569 It is critical to understand that the stiffness refers to the composite structure or construct and
570 depends on the shape and interaction between separate components. As loading continues
571 through the elastic region, the yield point is reached, beyond which permanent deformation
572 occurs in the plastic segment of the curve. That is, the structure will not return to its original

573 shape when the load is removed. The amount of plastic deformation, like elastic deformation,
574 depends on the applied load. With increasing load, the bone will eventually undergo
575 significant plastic or irreversible deformation at a load and deformation defined as the failure
576 point. The ultimate load is the load beyond which the structure essentially loses all capacity
577 to withstand increasing forces. The ultimate load may be identical to or higher than the failure
578 load. Taken together, the information on a load-deformation curve largely depends on the
579 sample configuration and the relative orientation of the load (Lopez 2019).

580 To assess the mechanical behaviour of a material, the force-deformation relationship is
581 normalized by dividing the applied force by the cross-sectional area (stress, σ) and the
582 deformation by the original length (strain, ϵ) to eliminate the influence of the structure's
583 geometry. Material properties may be of greatest interest in investigations surrounding the
584 properties of fracture callus or the effects of exercise or diet on bone. For material properties
585 testing, areas of interest are typically included in specimens prepared from larger structures.
586 The specimens are generally identical in size, shape, and microstructural orientation. As
587 indicated earlier, the testing process may be very similar to the testing of structural properties,
588 but the load and deformation data are stress and strain, respectively.

589 Stress (σ) is the intensity of the force divided by the area that it acts upon. Common stress
590 units include pounds per square inch (psi) and pascals (Pa). Strain (ϵ) is the change in
591 dimension divided by the original dimension. A stress-strain curve typically looks very similar
592 to a load-deformation curve with stress on the ordinate versus strain on the abscissa (Figure
593 4). The slope of the elastic segment of the stress-strain curve is called Young's elastic modulus
594 when the stress is normal, and the strain is proportionately linear to the applied stress. The
595 slope is the shear modulus when the stress is primarily shear. The yield and failure points are
596 similar to those described earlier, with notable exceptions of the units and that they represent

597 material versus structural properties. Additionally, the ultimate stress and strain may be
598 different from the failure stress and strain. The yield or failure energy is dissipated when the
599 structure yields (yield strength) and then fails (failure strength).



600
601 Figure 4: Stress-strain curve typical of a bone sample. (From Bone biology and fracture
602 healing. In: "Equine Surgery". Eds: Auer J.A and Stick J.A. 5th ed. Elsevier, Saunders, St Louis,
603 Missouri).

604
605 When a crack starts to form, energy is released as two new surfaces are created. If the amount
606 of energy released is less than that required to form the crack, the crack will stop. Otherwise,
607 the crack will continue to spread and more cracks will form, consistent with catastrophic
608 failure. The more energy stored in a bone prior to failure, the greater the comminution and/or
609 soft tissue damage that will be caused when a fracture occurs. A number of material
610 properties can be derived from a stress-strain diagram. A higher elastic modulus indicates a
611 stiffer material that has higher resistance to deformation. Compliance is the opposite of
612 stiffness. The terms "ductile" and "brittle" describe the area under the stress-strain curve and
613 represent the toughness of a material. Toughness is the capacity of a material to sustain
614 deformation without failure. A larger area under the curve indicates a tougher material. A
615 ductile material has extensive plastic deformation and high-energy absorption prior to failure.

616 A brittle material, like glass, has a sudden failure without any considerable plastic deformation
617 and comparably low-energy absorption. The ability of the material to store or absorb energy
618 without permanent deformation is the resilience of the material. The modulus of resilience is
619 equal to the area under the stress-strain curve in the elastic region. Cortical bone is more
620 brittle than trabecular bone and fails at lower strain, albeit a higher load. Trabecular bone
621 stores more energy prior to failure compared with cortical bone, owing to higher toughness.
622 As bone deforms, it stores the applied energy as strain energy. The energy is released when
623 the bone fractures. Due to its microstructure, bone is stiffer when loaded at a faster rate, and
624 hence it stores more energy prior to failure at a faster compared to a slower loading rate.
625 Therefore, a bone loaded rapidly fails at a higher load and releases more energy than if it is
626 loaded slowly (Lopez 2019).

627 Fractures can occur as a result of a single load beyond the failure point or repeated loads
628 below the failure point. Bones that fail from repeated cyclic loading are typically viewed as
629 failing secondary to fatigue. The resistance to fatigue failure is a function of resistance to
630 initiation and/or propagation of microcracks. In a fatigue test, the endurance limit of a bone
631 is the stress level under which no fractures can develop regardless of the number of loading
632 cycles applied. Cortical bone is particularly vulnerable to cyclic stresses. Repeated application
633 of compressive stress can cause progressive accumulation of microcracks. The rate of
634 microcrack accumulation (i.e. microcrack density versus stress cycle number) varies with
635 stress levels. At high stress levels, microdamage initially increases in a non-linear fashion and
636 then stabilizes until just prior to failure. At lower stress levels, initial non-linear crack
637 accumulation occurs, after which damage accumulates rapidly and as a function of applied
638 stress. At a high cycle number, the rate of damage accumulation levels off prior to failure
639 (O'Brien et al. 2005). High stress levels can cause failure at lower microcracks densities than

640 lower stress ranges. Although cortical bone is relatively susceptible to fatigue failure, repair
641 and remodeling processes provide protection from failure. Microcrack formation plays a role
642 in initiating the remodeling process, based on the hypothesis that disruption of canalicular
643 processes by microcrack formation may be a key trigger (Wang et al. 2016). New bone
644 deposition in the form of secondary osteons arrests microcracks propagation. A balance
645 between stress levels and the rate of remodelling is required for the remodeling process to
646 effectively mitigate fatigue-induced microcracks (Lopez 2019). The most common fatigue
647 fractures reported in Thoroughbred racehorses are fractures of the humerus, tibia, ilium and
648 third metacarpal bone (Martinelli et al. 2003, Ramzan 2011).

649 The strength of bone is derived from a combination of bone mass (often measured as bone
650 mineral density) and all the other factors that contribute to its strength, also termed bone
651 quality. Bone mineral density can refer to two different measurements:

652 1) The combined density of a well-defined volume which contains a mixture of both bone and
653 soft tissue, such as a selected volume of medullary trabecular bone in a femur or tibia, is
654 measured as “bone mineral density” (BMD). This parameter relates to the amount of bone
655 within a mixed bone-soft tissue region but does not give information about the material
656 density of the bone itself (Bouxsein et al 2010).

657 2) The density measurement restricted to within the volume of calcified bone tissue, such as
658 cortical bone, excluding surrounding soft tissue, is called “tissue mineral density” (TMD). By
659 contrast to BMD, TMD gives us information about the material density of the bone itself, and
660 ignores surrounding soft tissue (Bouxsein et al 2010).

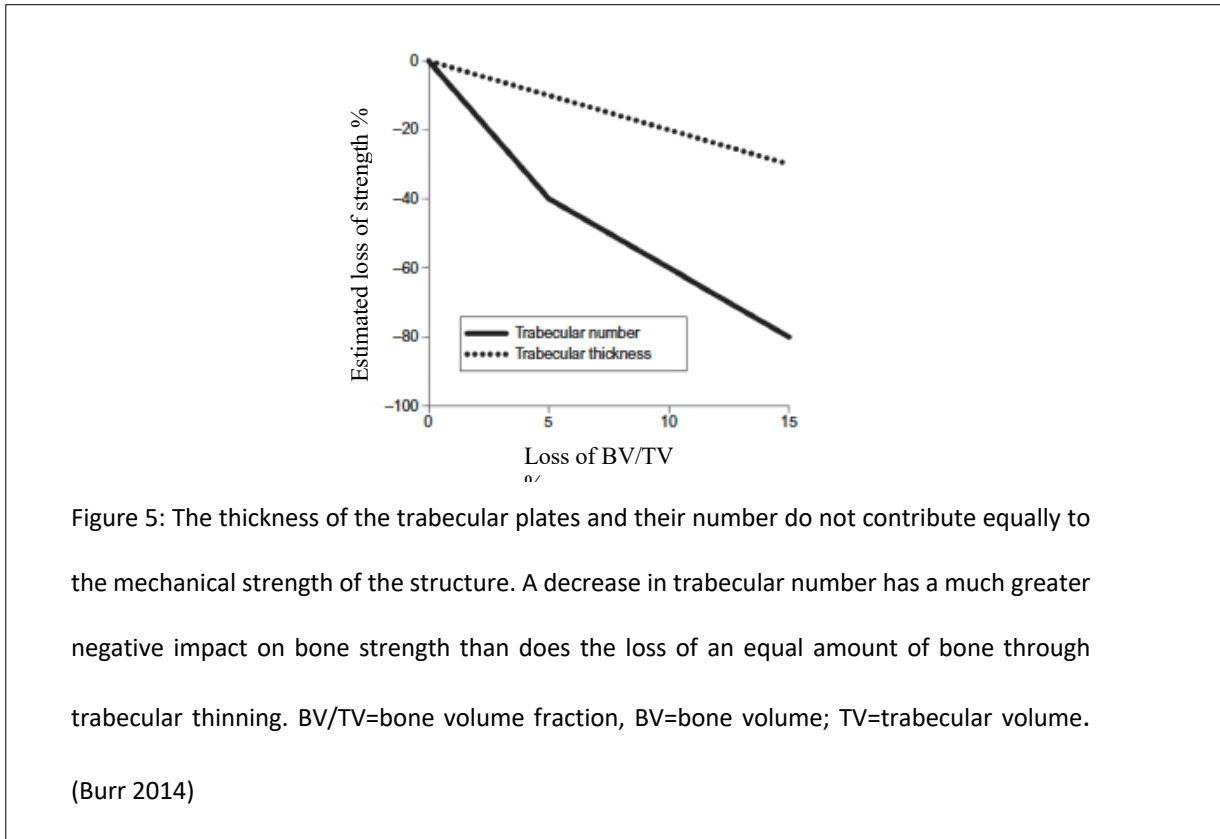
661 Bone quality includes four primary physiologic and structural qualities:

662 1) rate of bone turnover,

663 2) bone architecture and geometry,

664 3) properties of the collagen-mineral matrix,
665 4) microdamage accumulation.
666 Bone physiologic and structural qualities are not independent (Burr 2014).

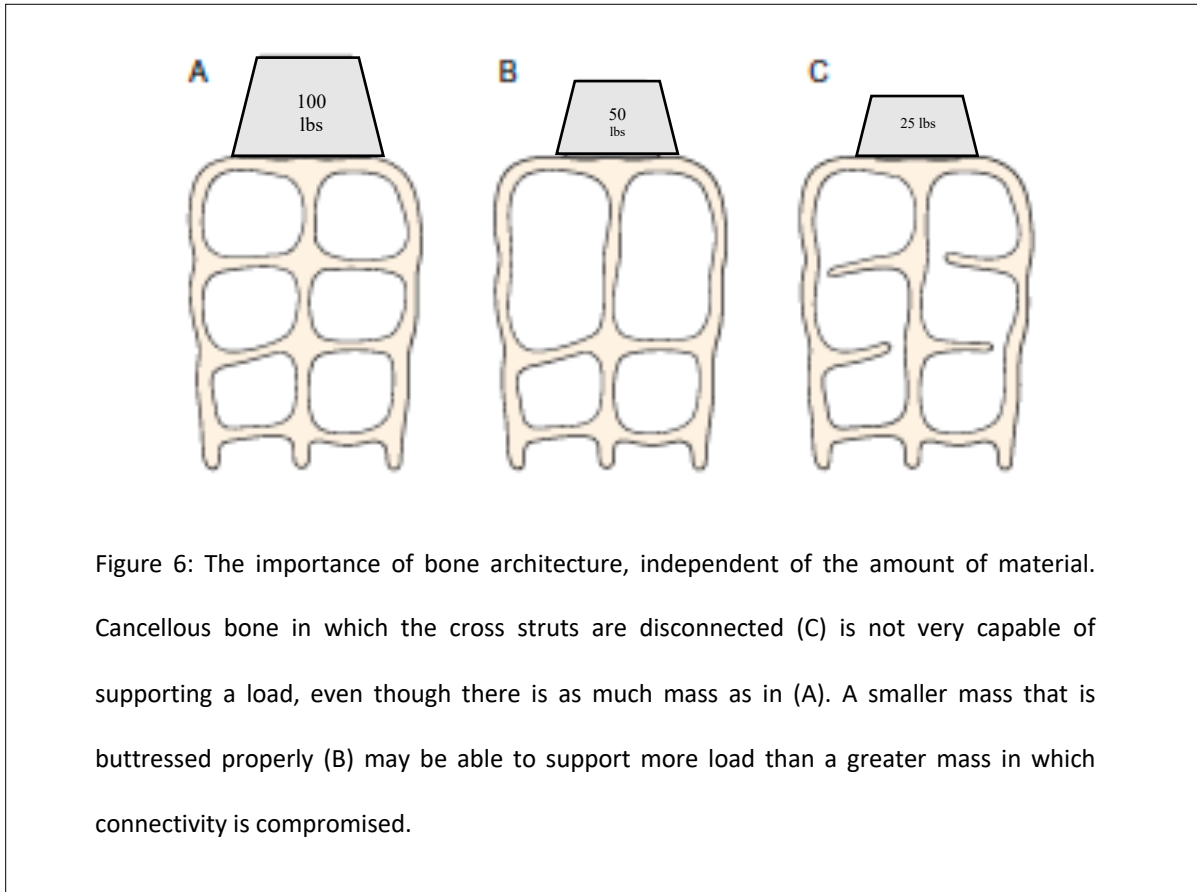
667



675 Trabecular bone architecture contributes to the overall cancellous bone volume. The same
676 bone volume can contain trabeculae that are organized in different ways. A connected
677 trabecular architecture (indicated by the C.D.) is important. There is likely to be an ideal
678 relationship between Tb.Th. and Tb.N., dependent on the location and primary direction of
679 loading. However, the loss of complete trabeculae (reducing Tb.N.) reduces the strength and
680 stiffness of bone by two to three times more than does losing the same amount of bone via
681 trabecular thinning (Figure 5). The loss of whole trabeculae reduces connectivity within the
682 entire structure (low C.D.), which makes the structure much less capable of bearing weight

683 and less able to direct stresses to the cortex compared to maintaining the connection but
684 making them thinner (Figure 6) (Burr 2014).

685



693 Bone's mechanical tensile and compressive strength is also affected by total porosity, another
694 feature of microarchitecture (Augat et al. 2006). Cortical porosity consists of a network of
695 canals which provide conduits for the vasculature to permeate the cortex and, ultimately,
696 sustain the osteocytes. These canals can either be incorporated into the bone during growth
697 as 'primary' canals or can be the products of tissue turnover and thus referred to as
698 'secondary' canals. Secondary canals are associated with secondary osteons. McCalden et al.
699 (1993) reported that cortical porosity explained 76% of the variance in cortical bone ultimate
700 tensile strength. High cortical porosity has been negatively associated with bone elastic

701 modulus (i.e., material stiffness), toughness, elasticity, and impact energy absorption capacity
702 (Currey 1988).

703

704 **2.6 Bone adaptation to exercise**

705 Functional adaptation is the response of bone to changes in mechanical demands. The bone
706 response to a given load depends on the strain magnitude and on the interaction of strain
707 magnitude, frequency, rate, distribution and repetitions (Cullen et al. 2001).

708 In animal loading models, bone adapts within months to new loads showing transient
709 increases in bone formation (modeling) resulting in small regional increases in bone area
710 (Cullen et al. 2000). When load cycle number is extremely high and intense loads are applied,
711 as occurs in military training, an almost pathological response has been measured with up to
712 11% bone gain in 14 weeks (Margulies et al 1986). More traditional responses to exercise have
713 been reported as a 2.2% increase in tibial BMD after 15 weeks of basic training (Casez et al.
714 1995) or, in gymnasts, as a 2.8% increase in BMD at the spine after 8 months of training.
715 According to Cullen et al. (2001), as applied force and strain increases, the number of cycles
716 required to initiate bone formation decreases. Short periods of osteogenic cyclical
717 deformation can induce a maximal adaptive response (Boston and Nunamaker 2000, Sinclair
718 et al. 2014).

719 In the horse, the level of bone deformation increases as a function of locomotor speed (Sinclair
720 et al. 2014). An osteogenic exercise regimen, given for only a short period each day, can rapidly
721 induce a localized adaptive hypertrophy of trabecular bone of the dorsal regions of the third
722 and radial carpal bones, along with a thickening of the subchondral bone plate and a
723 thickening of both the calcified and hyaline layers of the overlying articular cartilage (Firth et
724 al. 1999a,b, Murray et al. 2000). These morphological changes result in a change to the

725 mechanical properties of the microstructure. Long-term response to loading with associated
726 stiffening of the bone in the dorsal region of the third and radial carpal bones may relate to
727 the common occurrence of cartilage fibrillation and cartilage and subchondral bone
728 breakdown in this region (Sinclair 2014).

729 If the race-training is based upon a structured increase in duration of short periods of exercise
730 that induces high bone strain, the bone may adapt. The physiologic adaptation (modeling) will
731 condition the bone for athletic exercise. On the other hand, a pathophysiologic response will
732 lead to microdamage and stress-fractures which can lead to catastrophic fractures (Fleck et
733 al. 2003). Accumulation of microcracking within the bone matrix results in a remodeling
734 response in which the secondary bone has long term inferior properties to primary bone.

735 Experimental studies using the non-invasively loaded rat antebrachium have demonstrated
736 that cortical bone in the rat initiates intracortical resorption when the bones are fatigued in
737 vivo (rapid application of osteogenic cyclical loading). Bentolila et al. (1996) demonstrated that
738 intracortical resorption foci occur in association with linear microcracks. By 10 days after
739 cessation of cyclical loading, there are almost 40% fewer linear microcracks present than
740 acutely after fatigue and there is a predominance of secondary osteons (Bentolila 1996). The
741 changes seen in the rat ulna experimental model used by Bentolila et al. (1996), are closely
742 related to those seen in studies on the induction of dorsal third metacarpal disease in
743 Thoroughbred racehorses, using a high volume of high strain rate exercise (Boston and
744 Nunamaker 2000). As mentioned earlier in this chapter, bone remodeling rates are reduced
745 in Thoroughbred racehorses in training, where bone sustains high-magnitude cyclic loading.
746 Remodeling suppression may allow accumulation of microdamage (Jee et al 1990, Jee et al.
747 1991, Whitton et al. 2010). At sites of high bone density known to be subjected to high loads,
748 such as the third metacarpal bone dorsal cortex and the subchondral bone of the palmar

749 aspect of the third metacarpal condyles, decreased porosity and fewer resorption surfaces are
750 observed when horses are in training compared with those that are resting from training. The
751 association between sclerosis and condylar fractures in racehorses has led to the hypothesis
752 that subchondral sclerosis contributes to fracture formation (Riggs et al. 1999). It was suggest
753 that the marked changes in stiffness at the junction of the sclerotic condyle and the trabecular
754 sagittal ridge result in increased shear forces and, consequently accumulation of microdamage
755 (Riggs et al. 1999). Subsequent focal remodelling increases porosity, which weakens the bone
756 and predisposes to parasagittal condylar fractures. Yet there exists no other evidence for this
757 apart from the observed association (Martig et al. 2014). A detrimental effect of densification
758 is difficult to reconcile with the improved biomechanical properties associated with increased
759 bone volume fraction of metacarpal subchondral bone (Rubio-Martinez et al. 2008, Martig et
760 al. 2014, Martig et al 2020).

761 Controlled osteogenic exercise during training would induce a gradual adaptive response and
762 increase the bone mass with minimal damage of the matrix, thus preserving the mechanical
763 properties of the skeletal structure and reducing the risk of catastrophic failure. Training
764 regimens that appear to optimize bone adaptation without matrix damage comprise short
765 periods of high-intensity exercise (Sinclair 2014, Boston and Nunamaker 2000).

766

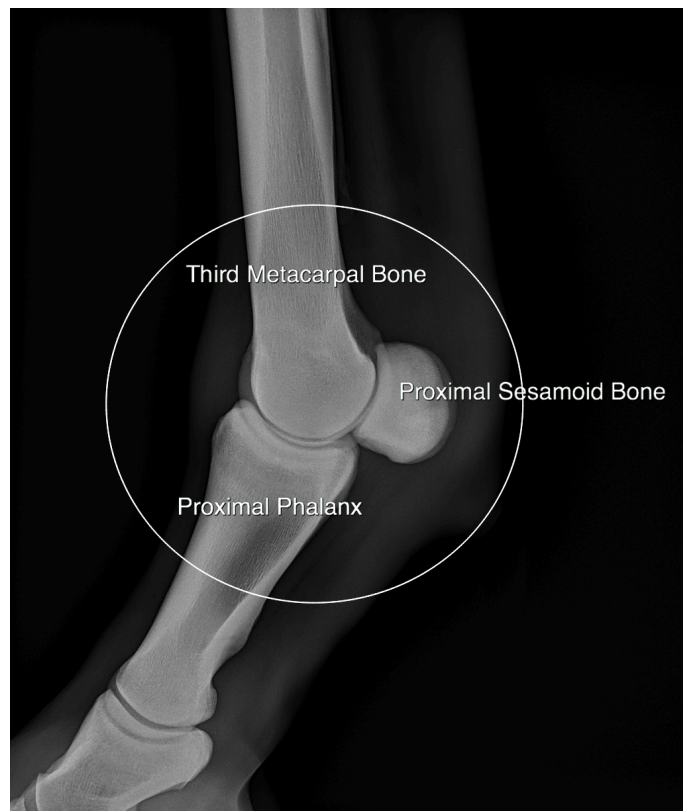
767 **2.7 The equine proximal phalanx**

768 **2.7.1 The proximal phalanx as part of the fetlock joint.**

769 The proximal phalanx (PP), together with the third metacarpal/metatarsal bone (MC3) and
770 the proximal sesamoid bones (PSB), form the bone component of the equine
771 metacarpo/metatarsophalangeal joint (fetlock joint) (Figure 7).

772 The fetlock joint is a high-motion joint that sustains the largest loads of the equine distal limb
773 during locomotion (Merritt et al. 2008, Harrison et al. 2010). A single synovial space is divided
774 into dorsal and palmar/plantar pouches. The dorsal pouch extends approximately 5cm
775 proximal to the joint space, between the third metacarpal bone and the common digital
776 extensor tendon.

777



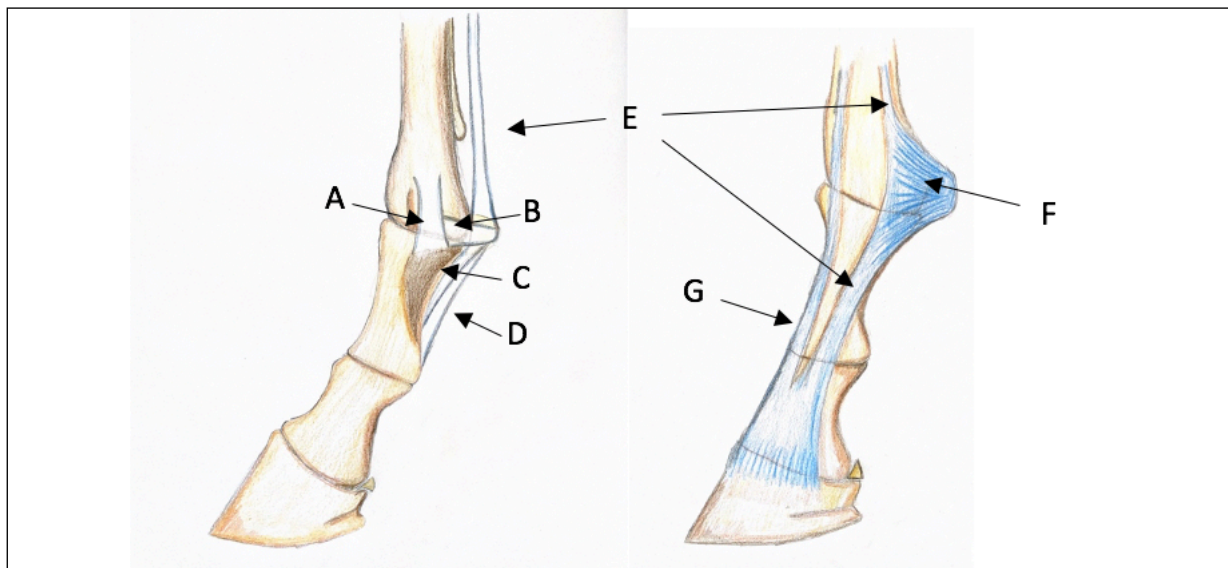
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779 Figure 7: Lateromedial radiographic image of a left fore fetlock joint
780 (metacarpophalangeal joint). The bony components of the joints are
781 indicated.

782

783 The palmar/plantar pouch is more voluminous and extends at least 3 cm proximal to the
784 proximal sesamoid bones. The ligamentous elements of the fetlock joint are complex and
785 important. The fetlock joint is supported by an elastic suspensory apparatus composed of the

786 suspensory ligament, the proximal sesamoid bones and the distal sesamoidean ligaments
787 (Bukowiecki 1987). The suspensory ligament branches insert on the proximal, palmar/plantar
788 abaxial margins of the PSBs and functionally continue through the distal sesamoidean
789 ligaments to attach the distal portion of the PSBs to the proximal and the middle phalanges.
790 From the proximal sesamoid bone insertion, the suspensory ligament branches extend
791 laterally and medially, either side of the fetlock joint, to join the common digital extensor
792 tendon. The suspensory apparatus stores and returns elastic strain energy during locomotion
793 Additional ligamentous support is provided by well-developed medial and lateral collateral
794 metacarpo/metatarsophalangeal and metacarpo/metatarsosesamoidean ligaments, which
795 constrain the almost purely sagittal motion of this joint. The common digital extensor tendon
796 passes over the dorsal aspect of the joint but does not provide any functional support to the
797 joint (Alexander, 1984) (Figure 8).

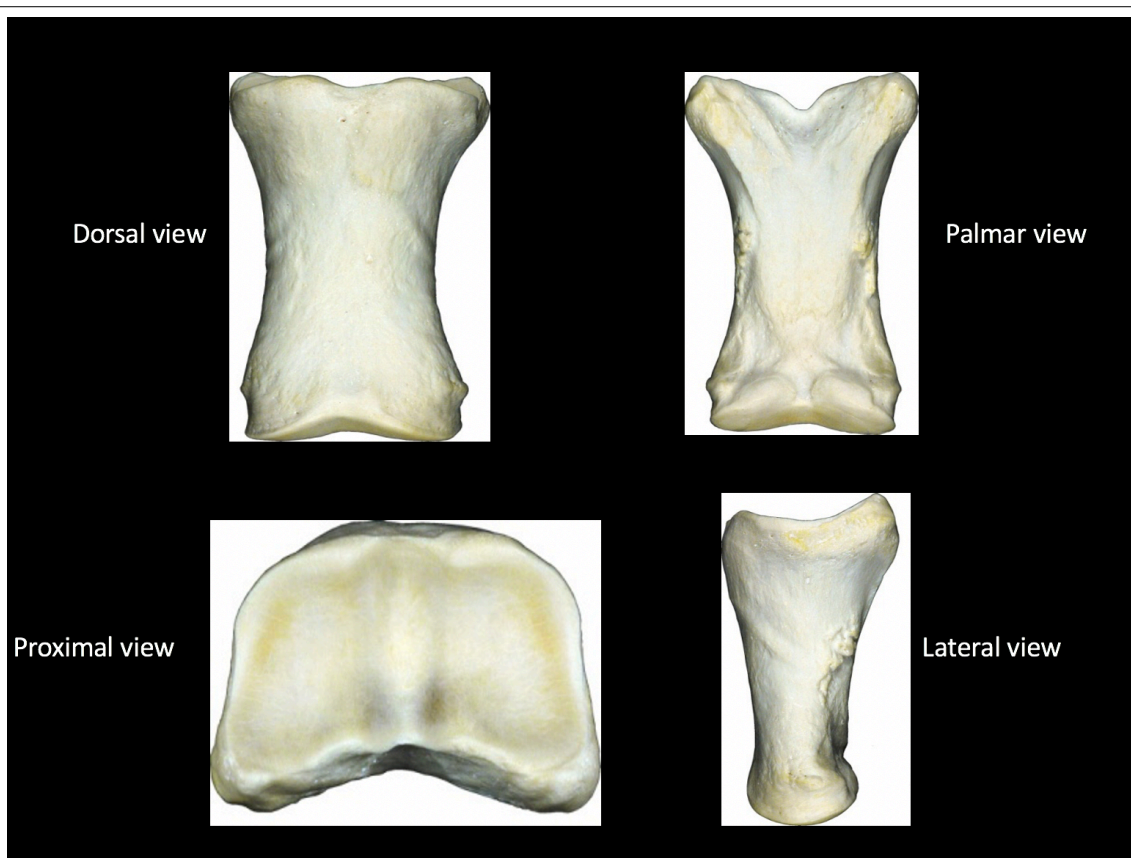


798
799 Figure 8: drawing of the ligaments and tendons of the fetlock (A lateral collateral ligament of
800 the fetlock, B lateral collateral ligament of the sesamoid bone, C oblique sesamoid ligament,
801 D straight sesamoid ligament, E suspensory ligament, F palmar annular ligament, G common
802 digital extensor tendon).

803 **2.7.2 Anatomy of the proximal phalanx**

804 The proximal phalanx is a long bone and appears as a cylinder that is flattened on the
805 palmar/plantar aspect and, to a lesser extent, dorsally. The proximal phalanx has well-
806 demarcated cortices, a medullary cavity and a proximal and distal articular surface. On the
807 palmar/plantar aspect, the proximal phalanx presents a triangular rough area for ligamentous
808 insertion of the oblique sesamoidean ligaments. The proximal articular surface of the proximal
809 phalanx is wider than the distal surface, due to narrowing of the bony cylinder at the distal
810 end. The proximal sagittal groove divides the proximal articulating surface into the lateral and
811 medial glenoid cavities and articulates with the sagittal ridge of the distal third
812 metacarpus/metatarsus.

813



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816

Figure 9: Anatomical images of the dorsal, palmar, proximal and lateral aspects of the equine proximal phalanx (Courtesy of Dr.Sue Stover, DVM, PhD, ACVS)

817 The medial glenoid cavity is slightly wider than the lateral one, matching the anatomy of the
818 congruent distal metacarpal condyles. The distal articular surface of the proximal phalanx is a
819 saddle shaped trochlea, which is congruent with the articular facets on the middle phalanx.
820 The distal articular surface of the proximal phalanx is formed by a lateral and a medial condyle,
821 which are divided by a distal sagittal groove. The medial condyle is slightly larger than the
822 lateral (Parks 2003, Barone 1995) (Figure 9).

823

824 **2.7.3 Biomechanics of the metacarpophalangeal joint**

825 Most of the fetlock joint movements between the MCIII and the PP occur with flexion and
826 extension in the sagittal plane; however, collateromotion (approximately 3°) and axial rotation
827 (approximately 4°) occur (Clayton et al. 2007, Singer et al. 2013). The joint is extended in the
828 normal standing position and hyperextension occurs when high loads are applied, such as in
829 the case of the horse galloping at high speed (Palmer and Bertone 1996). An increase in fetlock
830 joint extension of at least 37° from stance to gallop loads is reported (Singer et al. 2013). In
831 vitro studies showed that movement outside of the sagittal plane is highly correlated with
832 extension of the fetlock joint. In particular, increased collateromotion and external rotation of
833 the PP relative to MCIII is noted as the fetlock joint is subjected to higher loads (Clayton et a.
834 2007, Singer et al. 2013).

835 A finite element analysis model demonstrated that muscle-tendon forces, joint loads and
836 cartilage contact stresses of the MCPJ increase as locomotion speed increases from walking
837 to trotting and finally to cantering (Harrison et al. 2014). *In vitro*, the contact area of the
838 proximal articulating surface of the PP with the distal condyles of the MCIII is approximately
839 68% when a sagittal plane load of 1,800N, mimicking the stance phase, is applied (Brama et
840 al. 2001). In this condition, the contact area is located slightly towards the palmar/plantar

841 edge of the joint surface, with a relatively large non-contact area at the dorsal articular margin.
842 When the sagittal plane load is increased to 10,500N, mimicking the gallop, the dorsolateral
843 and dorsomedial joint margins come into full contact. When the load is increased further to
844 12,000 N, mimicking jumping, the dorsal articular margin comes in full contact, including the
845 sagittal groove (Brama et al. 2001). Joint pressures in the continuously loaded central area of
846 the fetlock joint are relatively low in the standing horse but can increase up to 6-fold when
847 maximum loads are applied. The dorsal articular margin of the PP encounters higher pressures
848 than the central region, but only intermittently during peak loads (Brama et al. 2001). When
849 the fetlock joint loading was investigated during a simulated walk there was a linear increase
850 of the pressure within the sagittal groove of the PP from midstance to break-over, but a
851 decreased pressure on the lateral and medial aspects of the sagittal groove once the mid-
852 stance position had passed, creating a pressure gradient within the proximal articulating
853 surface of the PP (Den Hartog et al. 2009).

854

855 **2.8 Proximal phalangeal fractures in TB racehorses**

856 **2.8.1 Epidemiology**

857 Fractures of the PP are musculoskeletal injuries described both in racehorses (Parkin et al.
858 2004, Verheyen et al. 2004, Tetens et al. 1997) and in sport horses (Kuemmerle et al. 2008).
859 In racing Thoroughbreds, PP fractures are reported as the first (Bathe 1994) or second
860 (Ramzan et al. 2011) most common long bone fracture, accounting for approximately 15% of
861 all fracture types. PP fractures occur more often during training than during racing (Bathe
862 1994, Verheyen et al. 2004, Smith 2017), affect fore limbs more than hind limbs (Parkin et al.
863 2004, Smith 2017) and lack a predilection for left or right limb (Verheyen et al. 2004, Ramzan
864 et al. 2011, Smith 2017). According to Parkin et al. (2004) racing Thoroughbreds competing in

865 flat races on turf are more commonly affected by PP fractures than racehorses competing on
866 all-weather or in hurdle and steeplechase races. However, epidemiological studies
867 investigating only PP fractures in racing Thoroughbreds are lacking.

868

869 **2.8.2 Fracture configuration**

870 The most common configuration of PP fractures is sagittal or parasagittal, originating at the
871 proximal articular surface of the sagittal groove and propagating distally (Markel et al 1985,
872 Smith and Wright 2014a). A less commonly reported fracture configuration is the frontal plane
873 longitudinal fracture (Markel et al 1985). A classification for parasagittal fractures of the PP
874 was initially proposed by Markel et al. (1985) and Ellis et al. (1987) and then further revised
875 by Smith and Wright (2014a) as follows:

- 876 – Short incomplete: fracture extending in a sagittal plane from the sagittal groove of the
877 proximal articular surface into the epiphysis or metaphysis of the bone, <30 mm
878 distally (Figure 10a).
- 879 – Long incomplete: fracture extending in a sagittal plane from the sagittal groove of the
880 proximal articular surface into the diaphysis of the bone, ≥30 mm distally (Figure 10b).
- 881 – Complete: fracture extending in a sagittal plane from the sagittal groove of the
882 proximal articular surface into the diaphysis of the bone and exiting into either the
883 proximal interphalangeal joint or through any aspect of the cortex of the bone (Figure
884 10c).
- 885 – Comminuted: complete multipiece (≥3 pieces) fractures of any configuration (Figure
886 10d).

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Figure 10: (a) dorsopalmar radiographic image of a short incomplete PP fracture, (b) dorsopalmar radiographic image of a long incomplete PP fracture, (c) dorsopalmar radiographic image of a complete not comminuted PP fracture, (d) dorsolateral-palmaromedial oblique radiographic image of a comminuted PP fracture.

910 Currently evidence is lacking to determine whether parasagittal fractures originate on the
911 palmar, middle or dorsal aspect of the sagittal groove of the proximal phalanx. In the
912 Thoroughbred racehorse, short incomplete fractures are mainly dorsally located but can also
913 be located midway between the dorsal and palmar/plantar cortices (Richardson and Dyson

914 2011, Ruggles 2011). Brunisholz et al. (2015) found that, in sport horses not used for racing,
915 short incomplete fractures were located more dorsal within the sagittal groove in forelimbs
916 and more plantar in hind limbs.

917

918 **2.8.3 Clinical signs and diagnosis**

919 Clinical signs vary from subtle to obvious lameness and are related to the severity of the
920 fracture. Horses with shorter incomplete parasagittal fractures may show variable degrees of
921 lameness with accompanying signs of fetlock joint effusion, pain on manipulation of the
922 fetlock joint and pain with firm pressure applied over the mid-dorsal aspect of the PP.
923 Complete displaced fractures and comminuted fractures of the PP result in severe non weight-
924 bearing lameness with obvious localizing signs of swelling and pain (Richardson and Dyson
925 2011).

926 Radiography is the imaging modality of choice to diagnose PP fractures; however, short
927 incomplete fractures can sometimes be difficult to visualise with radiography. Magnetic
928 resonance imaging (MRI) and computed tomography (CT) are sensitive techniques for the
929 diagnosis of short incomplete parasagittal fractures of the PP that are not evident on plain
930 radiographs (Nixon 2019, Ruggle 2011, Lipreri et al. 2018). Smith and Wright (2014b) published
931 a retrospective cross-sectional study in which prodromal fracture pathology was detected
932 radiographically in 14% (n=15/110) of the evaluated fractured PPs. The prodromal
933 radiographic changes consisted of irregular periosteal and/or endosteal new bone in the
934 dorsoproximal quadrant of the PP. Moreover, the subchondral bone plate measured at the
935 proximal sagittal groove was significantly thicker in the fractured compared to the
936 contralateral limbs (Smith and Wright 2014b).

937

938 **2.8.4 Management**

939 The main treatment goal for parasagittal fractures of the PP is anatomic reduction, restoration
940 of the articular surface and stabilisation with internal fixation to allow primary bone healing
941 across the fracture site. The choice of treatment is dictated by the fracture configuration, the
942 degree of lameness and concerns about further fracture propagation. Short and long
943 incomplete parasagittal fractures, as well as complete minimally displaced parasagittal
944 fractures are repaired using cortex screws placed in lag fashion. The number of screws is
945 determined by the length and configuration of the fracture. PP fractures without an intact
946 strut of bone are poor candidates for internal fixation. A transfixation cast or an external
947 skeletal fixator device are indicated for salvaging horses with extremely comminuted fractures
948 (Nixon 2019).

949

950 **2.8.5 Prognosis**

951 Prognosis for return to function of horses that experience PP fractures is determined by
952 multiple factors, including: fracture configuration, extent of proximal articular surface
953 involvement (proximal and distal), treatment chosen and expected athletic career of the
954 horse.

955 Horses with incomplete fractures of the PP are reported to have a 67- 89% chance to return
956 to racing soundness after treatment (Holcombe et al., 1995). More recently, Smith et al, (2017)
957 reported that 92% of Thoroughbred racehorses with short incomplete parasagittal fractures,
958 managed with internal fixation returned to racing. Prognosis for horses with non-comminuted
959 complete fractures of the PP depends on whether the proximal interphalangeal (PIP) joint is
960 involved. Horses with fractures that enter the PIP joint are reported to have an approximately
961 50% chance to return to racing. Of those horses with complete fractures that exited the lateral

962 cortex, 71% returned to racing (Holcombe et al., 1995, Markel et al., 1985). Horses with
963 moderately comminuted fractures of the PP have an overall poor prognosis for racing;
964 however, prognosis for survival is good (Kraus et al. 2004). Horses with severely comminuted
965 fractures of the PP have a guarded (58%) prognosis for survival (Kraus et al. 2004).

966

967 **2.8.6 Pathogenesis**

968 The pathogenesis of PP fractures has not been fully elucidated. Debate exists about whether
969 sagittal fractures of the proximal phalanx are the result of an acute monotonic overload or of
970 inadequate adaptive response of the PP subchondral and trabecular bone to exercise.

971 The initial proposed aetiology for parasagittal fractures of the PP related to fetlock joint
972 biomechanics (Rooney 1977). The theory proposed that the fracture occurred during the
973 second half of the stance phase of the stride, as the fetlock joint is moving from an extended
974 to a flexed position. *In vitro* studies showed that an increase in the load (representative of
975 locomotion speed) corresponds to an increased contact between the sagittal ridge of MCIII
976 and the sagittal groove of the PP and, at the same time, to an increased collateromotion and
977 external rotation of PP relative to MCIII (Brama et al. 2001, Den Hartog et al. 2009, Clayton et
978 al. 2007, Singer et al. 2013). Presence of movements outside of the sagittal plane during the
979 phase of maximum load may ultimately lead to fracture as an acute biomechanical event or
980 monotonic overload (Markel & Richardson, 1985; Ellis et al. 1987; Holcombe et al. 1995)

981 In contrast, Fackelman (1973) suggested that parasagittal fractures of the PP are of the fatigue
982 type, as the majority of the musculoskeletal injuries of racehorses, and occur as a result of
983 repeated stress on the bone. The consistent location, the characteristic configuration of
984 parasagittal fractures of the PP and the common presence of reactive periosteal new bone at
985 or adjacent to fracture sites supports this theory (Smith and Wright 2014b). Moreover, all the

986 horses included in the study sustained a PP fracture during high-speed exercise, and no
987 traumatic event were reported. These characteristics suggest that parasagittal PP fractures
988 are the ultimate manifestation of a more chronic pathological process (Stover and Murray,
989 2008). A recent study demonstrated that racehorses that experience a parasagittal fracture of
990 the PP have a larger variance of subchondral bone mineral density across the proximal
991 articulating surface of the PP compared to racehorses that did not experience fracture (Noble
992 et al., 2016). Variations of bone mineral density mirror variations in the mechanical properties
993 of bone tissue and may be suggestive of remodelling processes occurring across the proximal
994 aspect of PP (Noble et al., 2016).

995

996 **2.9 Summary**

997 PP fractures are common orthopaedic injuries of racing Thoroughbreds. As with other
998 musculoskeletal injuries, also proximal phalangeal fractures are a financial and welfare
999 concern. Over the past twenty years, many scientific studies have been investigating the
1000 pathogenesis of fractures involving the third metacarpal/metatarsal condyles and the
1001 proximal sesamoid bones, however, research investigating PP fractures is lacking. For this
1002 reason we decided to focus our attention of fractures of the PP.

1003 Using a finite element analysis, O'Hare et al. (2013) analysed the loads experienced by the PP
1004 in stance, walk, trot and gallop. O'Hare's model indicates that the entire sagittal groove
1005 experiences high stress when compared with the rest of the bone, supporting the clinical
1006 observation that the proximal sagittal groove corresponds to the most common site for
1007 fractures in the equine PP. For this reason, we elected to focus our attention on the region of
1008 and adjacent to the proximal sagittal groove.

1009 Most studies on fractures of the metacarpal/metatarsal condyles investigate microstructure
1010 and biomechanical behaviour of the subchondral bone plate but not of the underlying
1011 trabecular bone. However, Toth et al. (2013) found a linear correlation between the trabecular
1012 bone region bone mineral density and fatigue strength. Multiple clinical studies recognized
1013 osseous trauma within both the subchondral bone and the adjacent trabecular bone of the
1014 proximal sagittal groove of the PP as a cause of lameness in Thoroughbred racehorses and
1015 sports horses. This osseous trauma is usually detected with advanced imaging modalities,
1016 such as MRI and gamma scintigraphy (Dyson et al. 2011, Ramzan et al. 2010, Gold et al. 2017,
1017 Lipreri et al. 2018). It was speculated that osseous trauma detected withing the SCB and TBB
1018 of the proximal sagittal groove may represent early stage of PP fracture (Ramzan et al. 2010).
1019 As a result, we elected to investigate not only the subchondral bone but also the trabecular
1020 bone within the region of the proximal sagittal groove.

1021

1022 The aim of this study was to analyse the microstructural and anisotropic characteristics of the
1023 subchondral (SCB) and trabecular (TB) bone of the proximal sagittal groove of the PP in horses
1024 with different training history (racing and non-racing) and injury status (with and without a
1025 proximal phalangeal fractures) using micro-computed tomography (μ CT) with the following
1026 objectives:

1027 1) To understand the effect of race-training on the microstructural characteristics of the
1028 SCB and TB of the proximal sagittal groove of the PP.

1029 2) To understand the potential contribution of inadequate adaptive bone response of SCB
1030 and TB to the pathophysiology of PP fractures in race-trained horses

1031 3) To use the information from Objectives 1 and 2 to help identify clinical strategies to
1032 identify horses at increased risk of fracture of the PP.

1033 We hypothesised that:

1034 1) Microstructural characteristics of the subchondral and trabecular bone of the proximal
1035 sagittal groove of the PP would vary significantly across the dorso-to-palmar and medial-to-
1036 lateral aspects of the proximal sagittal groove of race-trained horses that have sustained a PP
1037 fracture.

1038 2) Microstructural characteristics of the subchondral and trabecular bone of the proximal
1039 sagittal groove of the PP would vary significantly between race-trained and untrained horses.

1040 We expect an overall increase in bone density (BMD and BV/TV), decreased total porosity and
1041 increased trabecular thickness in race-trained horses compared to untrained horses.

1042 3) Microstructural characteristics of the subchondral and trabecular bone of the proximal
1043 sagittal groove of the PP would vary significantly between race-trained horses that had not
1044 sustained PP fracture and race-trained horses that had sustained PP fracture. In particular, we
1045 expect evidence of disruption of trabecular architecture (low trabecular number, low
1046 connectivity density and low degree of anisotropy) in horses that experience a PP fracture.

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1057 **Chapter 3**
1058 **Materials and Methods**
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1081 **3.1 Ethical approval**

1082 Ethical approval for the study was granted by the University of Liverpool Veterinary Research
1083 Ethics Committee (VREC507). About 70 % of the samples for the study were collected from
1084 material previously saved from approved projects carried out with Horserace Betting Levy
1085 Board (HBLB) funding. The rest of the samples were collected from horses euthanized at the
1086 University of Liverpool for reasons unrelated to the project or from horses referred to the
1087 University of Liverpool for post-mortem investigation. The study is a cadaver study and relies
1088 on post-mortem dissection only.

1089

1090 **3.2 Sample population**

1091 The proximal phalangeal bones were collected post-mortem from three groups of horses:

1092 A. Untrained Control Group (UC): Bones in this group were from horses that had never
1093 undergone race-training and that were euthanized for reasons other than limb fracture.

1094 B. Race-Trained Control Group (TC): Bones from race-fit Thoroughbreds that were
1095 euthanized for reasons unrelated to musculoskeletal injury. The left or right fore PP was
1096 randomly selected for collection.

1097 C. Race-Trained Fractured Group (TF): Bones from race-fit Thoroughbreds that were
1098 euthanized having sustained a comminuted fracture of the PP. The contralateral non-
1099 fractured PP to a fractured PP was collected.

1100

1101 **3.3 Sample processing for microcomputed tomography (μ CT) imaging**

1102 Following disarticulation from the metacarpophalangeal and proximal interphalangeal joint,
1103 the PPs were cleaned of surrounding soft tissue, wrapped in wet paper towel and cling film

1104 and stored frozen at -20° until further sectioning. Before sectioning, the bones were defrosted
1105 at room temperature over a 24-hour period.

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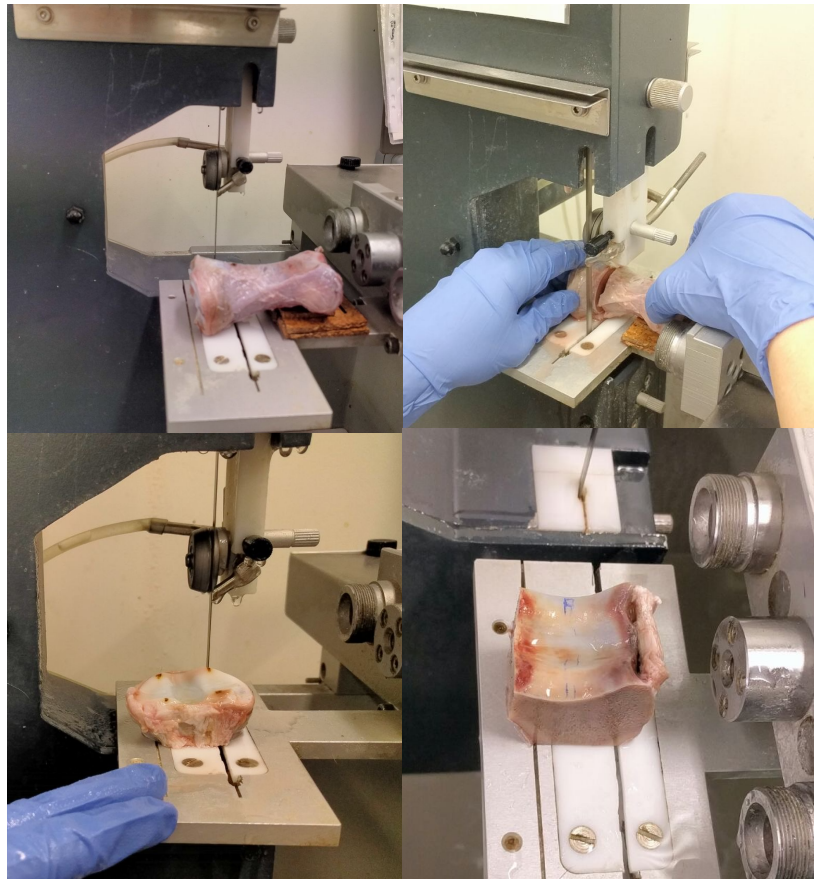
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1118 Figure 11: images showing how the bone blocks are obtained using a Diamond Band
1119 Pathology Saw (Exakt Technologies Inc.)

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1121 Once defrosted, the bones were initially sectioned transversally, 2 cm distal to the sagittal
1122 groove using a Diamond Band Pathology Saw (Exakt Technologies Inc.). Two parasagittal cuts
1123 were then made 1 cm lateral and 1 cm medial to the most distal point of the sagittal groove
1124 (Figure 11). An approximately 2 mm section of the palmar aspect of the bone was removed
1125 in order to obtain a flat surface. The dimensions of the sample were determined by the
1126 maximum size that fit the sample chamber of the micro-computed tomography (μ CT) scanner

1127 (Skyscan1272, Bruker, Belgium). The blocks of bone were fixed in a 10% formalin solution for
1128 72 hours and then stored in 70% ethanol until μ CT was performed.

1129 **3.4 Acquisition of microCT images**

1130 Shortly before μ CT imaging, the bone samples were removed from 70% ethanol solution and
1131 the outside was dried using tissue paper. The sample was then tightly wrapped with paraffin
1132 film (Laboratory Parafilm[®]) to prevent drying of the bone sample. The sample was then
1133 positioned on a support in vertical position, with the palmar aspect of the sample facing down.
1134 The sample was secured to the support using dental wax. The samples were then placed into
1135 the μ CT scanner (Skyscan1272, Bruker, Belgium) and the microtomographic images acquired
1136 (Figure 12). The following settings were selected:

- 1137 – Rotation: 0.4° stepsize over 180°
- 1138 – Energy filter: Al 1mm
- 1139 – Pixel size: 15 μ m
- 1140 – IBinning: 3x3, resulting in 1344x896 pixel X-Ray projection images
- 1141 – Offset scan with two camera positions
- 1142 – X-ray source: 70kV and 142 μ A.
- 1143 – Exposure time: 2596ms
- 1144 – Volumetric reconstruction of the acquired images was performed using NRecon[®]
1145 reconstruction software (Output scaling to 8-bit: 0-0.07, Ring artefact correction 5,
1146 Beam hardening correction 38%).

1147 After image reconstruction, the μ CT attenuation values were calibrated into hydroxyapatite
1148 density (mg/cm^3) by use of a hydroxy-apatite calibration phantom (QRM GmbH MicroCT-HA
1149 Phantom, Diameter=25mm, Inserts=5mm diameter, HA 0, 50, 200, 800, 1200 mg/cm^3).

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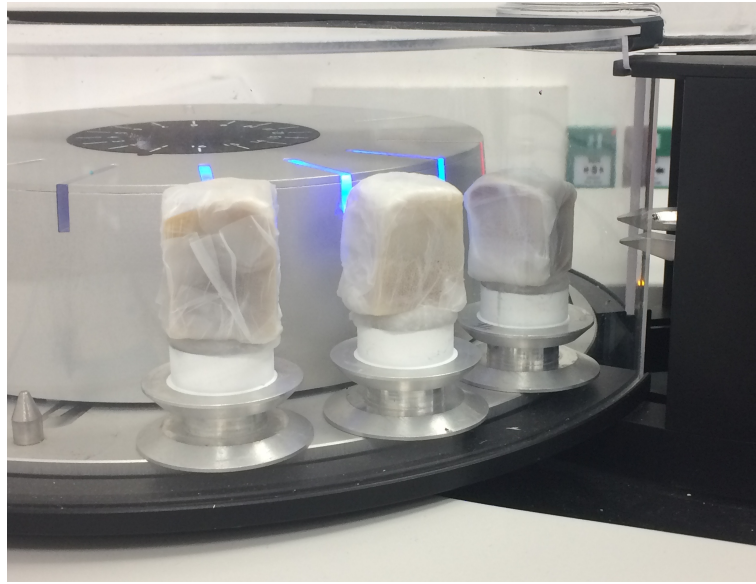
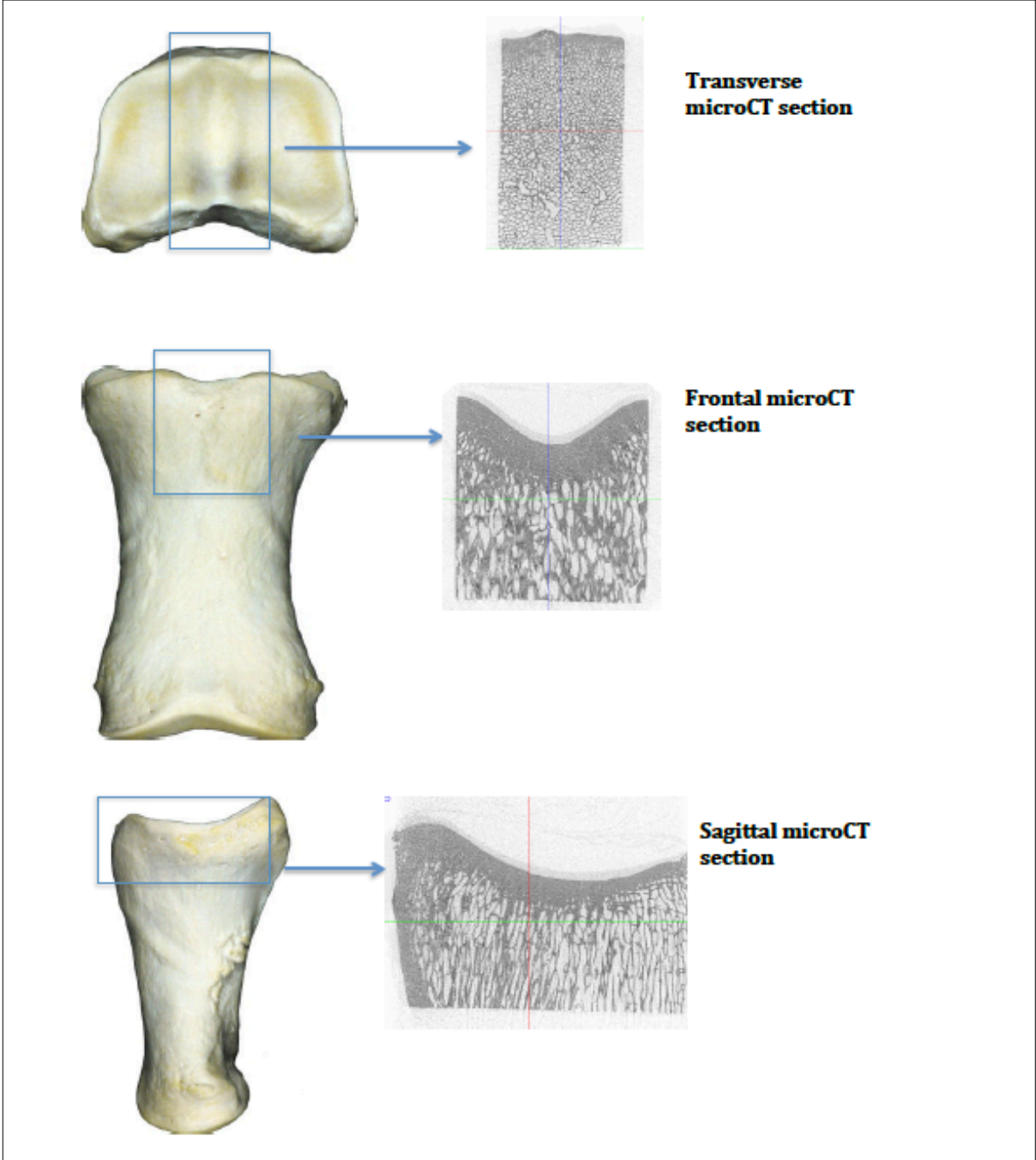


Figure 12: Image showing the bone blocks into the μ CT scanner. The bone blocks are tightly wrapped with paraffin film (Laboratory Parafilm[®]) and positioned on a support in vertical position

3.5 MicroCT image analysis

Following volumetric reconstruction of the acquired images (Figure 13), a total of 18 volumes of interest (VOIs) were defined using Dataviewer software (Bruker Skyscan, Belgium) (Figure 14). Nine volumes of interest (VOIs) were identified within the SCB layer and 9 within the TBB layer. Each volume of interest was saved as a separate dataset using Dataviewer. The VOIs within the subchondral bone measured 1500mm x 1500mm x 1500mm and the VOIs within the trabecular bone measured 5250mm x 5250mm x 5250mm. The VOIs within the trabecular layer were positioned 3750mm distally to the VOIs within the subchondral bone layer. In each layer, the VOIs were localized at 25% (dorsal), 50% (middle) and 75% (palmar) of the distance from dorsal to palmar as measured across the articular surface of the PP. Within these three regions, the VOIs were classified as central (halfway between the lateral and medial aspect of

1175 the sagittal groove), medial (7 mm medial to the central region of the sagittal groove) and
1176 lateral (7 mm lateral to the central region of the sagittal groove) (Figure 14).



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1178 Figure 13: schematic representation a transverse, frontal and sagittal μ CT section following
1179 volumetric reconstructions of the acquire images

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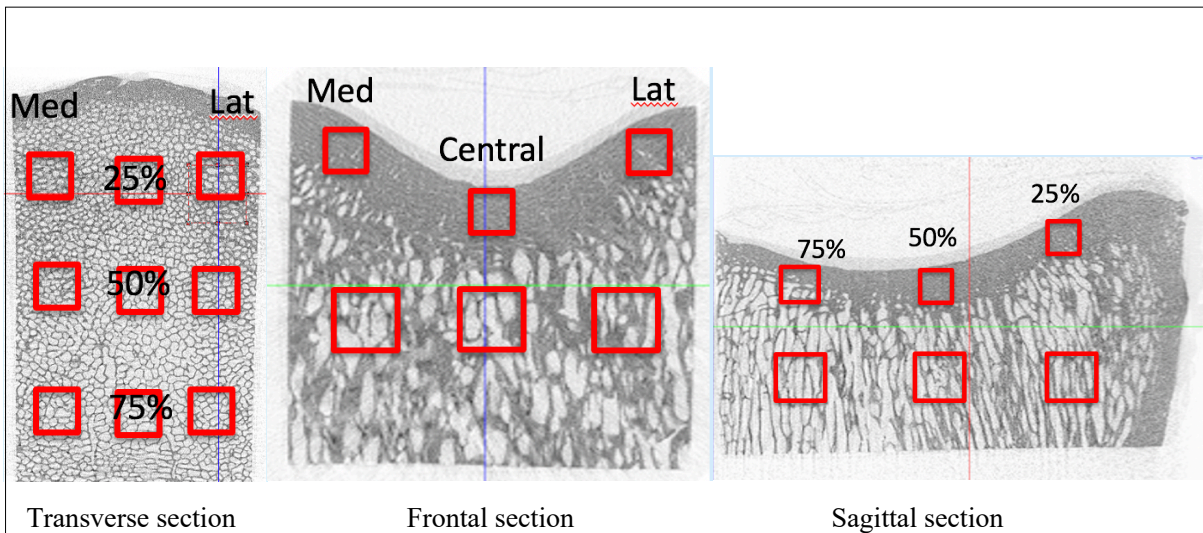


Figure 14: schematic representation of the 18 VOIs identified within the subchondral and trabecular bone of the proximal sagittal groove of the PP. Both within the subchondral and the trabecular bone, the VOIs were localized at 25% (dorsal), 50% (middle) and 75% (palmar) of the distance from dorsal to palmar as measured across the articular surface of the PP. Within the dorsal, middle and palmar regions, the VOIs were classified as central (halfway between the lateral and medial aspect of the sagittal groove), medial (7 mm medial to the central region of the sagittal groove) and lateral (7 mm lateral to the central region of the sagittal groove).

1193 Each VOI was analysed using a μ CT bone analysis software (CTAn, Bruker Skyscan, Belgium).
 1194 Tissue mineral density (TMD mg/cm^3 - density restricted to the calcified bone tissue, excluding
 1195 surrounding soft tissue), was calculated for each VOI within both the subchondral bone and
 1196 the trabecular bone layer. Total porosity was calculated for VOIs within the subchondral bone
 1197 only. Bone volume fraction (BV/TV – proportion of total volume of the cube of bone that was
 1198 occupied by bone tissue), trabecular thickness (Tb.Th μm), trabecular separation (Tb.Sp μm),
 1199 trabecular number (Tb.N. $1/\mu\text{m}$), connectivity density (C.D. - number of trabecular
 1200 connections/ μm^3), and degree of anisotropy (D.A. measure of how highly oriented
 1201 substructures are within a volume) were calculated for each VOI within the trabecular bone
 1202 layer only. The parameters were software generated (CTAn, Bruker Skyscan, Belgium).

1203 **3.6 Statistical analysis**

1204 Statistical analysis was performed using GraphPad Prism®. Data were assessed for normality
1205 using Shapiro-Wilk test. ANOVA and Friedman’s test were used respectively for normally and
1206 non-normally distributed data to investigate how the parameters varied between VOIs within
1207 each group and how the three experimental groups differed from each other.

1208 *Statistical analysis within each group.* Separately within the lateral, central and medial aspect
1209 of the subchondral bone layer of the sagittal groove, each variable was compared between
1210 dorsal (25%), middle (50%) and palmar (75%). Moreover, separately within the dorsal (25%),
1211 middle (50%) and palmar (75%) aspect of the subchondral bone layer of the sagittal groove,
1212 each variable was compared between the lateral, central and medial aspects of the sagittal
1213 groove. The same comparisons were then performed within the trabecular bone layer of the
1214 sagittal groove.

1215 *Statistical analysis between groups.* The variables measured in each of the 18 VOIs were
1216 compared between the three groups.

1217 Statistical significance was set with a P value <0.05. However statistical results with a P value
1218 between 0.05 and 0.1 were kept in consideration. These results with a P value higher than
1219 0.05 but less or equal to 0.1, even if not statistically significant, were interpreted as indicators
1220 of “trends”.

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1227 **Chapter 4**

1228 **Results**

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1251 **4.1 Sample population**

1252 Proximal phalanges were collected from 23 horses and were assigned to three experimental
 1253 groups: untrained control (UC; n=6), race-trained control (TC; n=9) and race-trained fracture
 1254 (TF; n=8). The untrained control group consisted of 4 geldings and 2 mares. Their mean age
 1255 was 10.8 years (range 3-10 years). Three PPs were from the left front limb and 3 from the right
 1256 front. Horses included in the race-trained control group were all geldings with a mean age of
 1257 8.4 years (range: 5-10 years). Seven were competing in National Hunt races and two in flat
 1258 races. Five PPs were from the left front limb and 4 from the right front limb. The race-trained
 1259 fracture group consisted of seven geldings and one colt. Their mean age was 7.25 years (range
 1260 5-11 years). One horse was competing in flat races and the other seven were competing in
 1261 National Hunt races. Five PPs were from the left front limb and 3 from the right front. The
 1262 fractures had a comminuted configuration. PPs from hind limbs were not included.

1263

	UC	TC	TF
Number	n=6	n=9	n=8
Gender	n=2M, n=4G	n=9G	n=7G, n=2C
Age	range 3-10 years	range: 5-10 years	range 5-11 years
Limb	3LF, 3RF	5LF, 4RF	5LF, 3RF
Discipline	Low level riding	7NH, 2F	7NH, 1F

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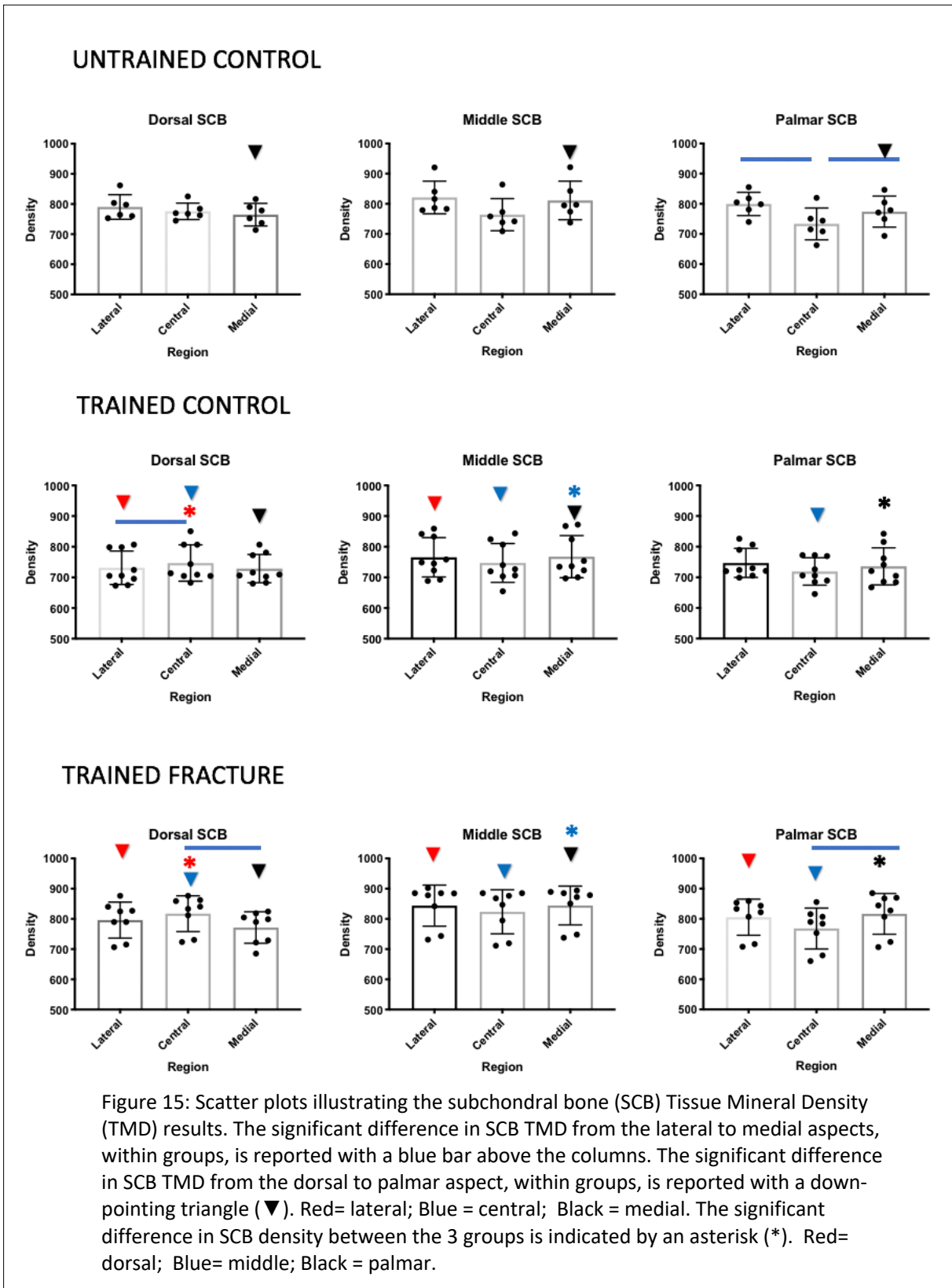
1265 Table 1: summary of the sample population details. M= mare, G= gelding, C=colt, LF= left fore
 1266 limb, RF= right fore limb, NH= national hunt races, F= flat races

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1269 4.2 Subchondral bone

1270 4.2.1 Tissue Mineral Density



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1276 WITHIN GROUPS

1277 When assessed in a frontal plane (lateral to medial), the subchondral bone tissue mineral
1278 density (SCB TMD) of the UC group is similar from lateral to medial in the dorsal and middle
1279 aspects of the sagittal groove. However, in the palmar aspect of the sagittal groove, the SCB
1280 TMD is lower in the central region compared to the lateral ($p=0.0007$) and medial ($p=0.006$)
1281 regions. In both race-trained groups (TC, TF), the SCB TMD in the dorsal aspect of the sagittal
1282 groove is higher in the central region compared to the adjacent lateral (TC, $p= 0.04$) and medial
1283 (TF, $p= 0.003$) regions.

1284 When assessed in sagittal plane (from dorsal to palmar), the SCB TMD of the UC group is
1285 similar in the lateral and central aspects. However, within the medial aspect, the SCB TMD is
1286 higher in the middle region compared to the dorsal ($p=0.04$) and palmar ($p=0.02$) regions. A
1287 similar pattern is noted also in the medial aspect of the sagittal groove of race-trained horses
1288 (TC and TF), where the SCB TMD is higher in the middle region compared to the dorsal region
1289 (TC, $p=0.006$, TF, $p= 0.0009$).

1290

1291 BETWEEN GROUPS

1292 There were no significant differences in SCB TMD of the sagittal groove between the UC group
1293 and both race-trained groups (TC and TF). However, the SCB TMD of the TC group was
1294 significantly lower centrally in the dorsal aspect ($p=0.03$), and medially in the palmar aspect
1295 ($p=0.04$) of the sagittal groove compared to the TF group. Moreover, in the TC group, the SCB
1296 TMD of the lateral aspect of the sagittal groove was lower in the dorsal ($p=0.09$), middle
1297 ($p=0.06$), and palmar ($p=0.07$) aspects compared to the TF group.

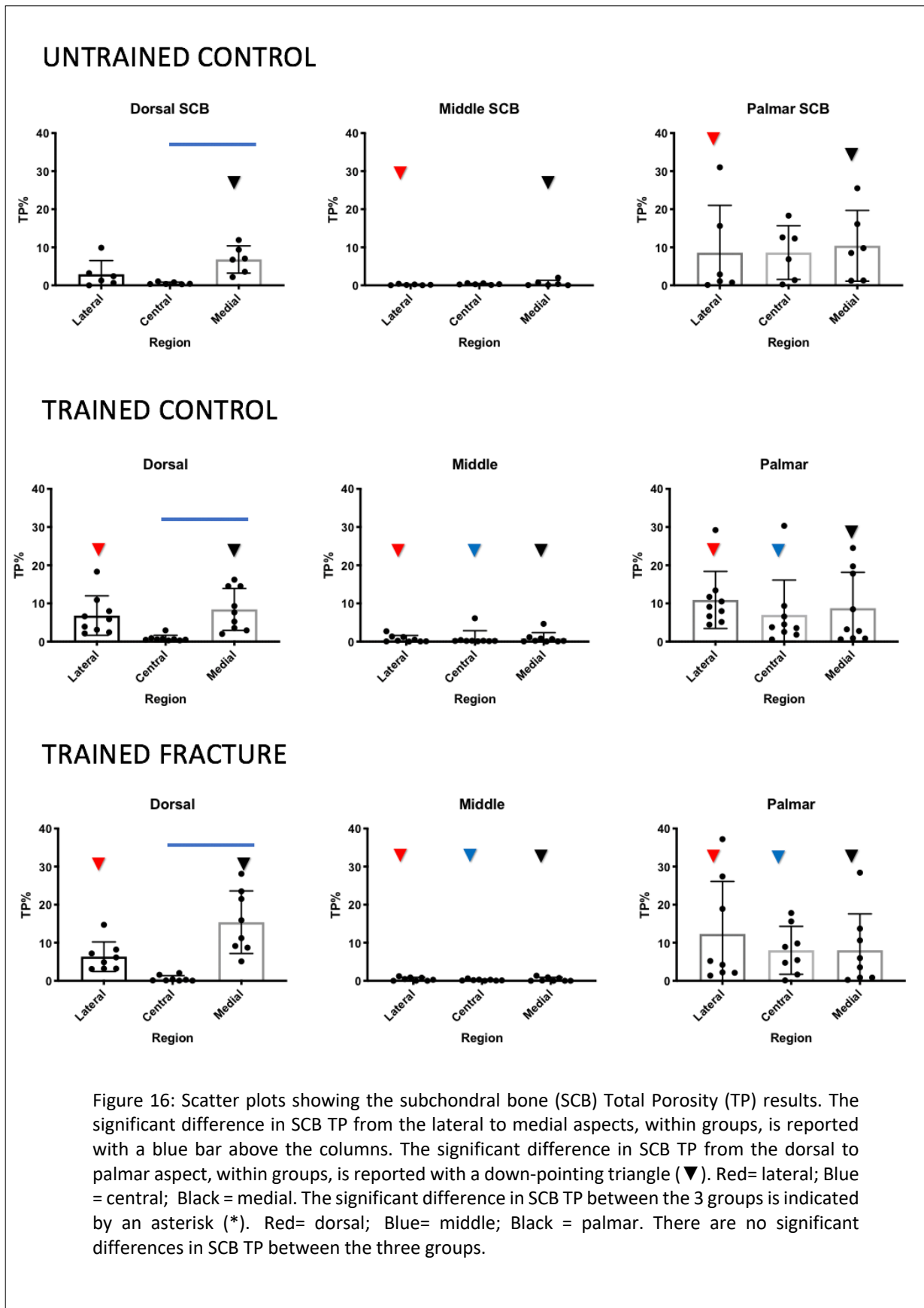
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SCB VOI	UC		TC		TF	
	Mean	SD	Mean	SD	Mean	SD
VOI 1	696.52	19.7261289	767.26	70.1667817	790.09	40.8652216
VOI 2	709.71	19.7479687	776.56	70.918473	775.85	27.4500206
VOI 3	699.52	14.7500174	754.25	59.8491696	764.92	37.4942592
VOI 4	726.15	29.9672099	807.52	82.4260375	820.91	54.1994089
VOI 5	708.57	29.5237587	768.65	79.9932106	764.05	24.2743477
VOI 6	710.99	22.2624118	811.09	75.8478237	811.22	26.8604497
VOI 7	700.94	24.6092161	770.33	82.953746	782.99	37.1738235
VOI 8	692.9	27.3511088	731.47	79.9305848	733.39	52.5667895
VOI 9	713.3	35.293822	779.25	75.8342339	774.03	51.510182

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Table 2 – Means and standard deviations for subchondral bone Tissue Mineral Density (SCB TMD, units of mg/cm³)



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Figure 16: Scatter plots showing the subchondral bone (SCB) Total Porosity (TP) results. The significant difference in SCB TP from the lateral to medial aspects, within groups, is reported with a blue bar above the columns. The significant difference in SCB TP from the dorsal to palmar aspect, within groups, is reported with a down-pointing triangle (▼). Red= lateral; Blue = central; Black = medial. The significant difference in SCB TP between the 3 groups is indicated by an asterisk (*). Red= dorsal; Blue= middle; Black = palmar. There are no significant differences in SCB TP between the three groups.

1310 WITHIN GROUPS

1311 When assessed in a frontal from plane, the subchondral bone total porosity (SCB TP) was
1312 significantly lower in the central region compared to the medial region in the dorsal aspect of
1313 all three groups (UC $p=0.002$, TC $p=0.002$, TF $p=0.0005$). When assessed in a sagittal plane, in
1314 the UC group, the SCB TP is significantly lower in the middle compared to the palmar locations
1315 ($p=0.02$) on the lateral aspect of the sagittal groove. In the medial aspect, the SCB TP is
1316 significantly lower in the middle compared to both the dorsal and palmar aspects ($p=0.02$). In
1317 the central aspect of the groove, the SCB TP tends to be lower in the middle compared to the
1318 palmar region ($p=0.1$). The patterns described above for UC are similar in the TC and TF groups
1319 with the addition of significant differences laterally between dorsal and middle ($p=0.02$) and
1320 middle and palmar ($p=0.006$), and centrally between middle and palmar ($p=0.0005$). The
1321 pattern was similar between the bones of the two race-trained groups.

1322 BETWEEN GROUPS

1323 There are no significant differences in subchondral bone total porosity between the three
1324 groups. However, in the dorso-lateral and dorso-medial aspect of the sagittal groove, the SCB
1325 TP tends to be higher in the TF group compared to the UC group ($p=0.1$).

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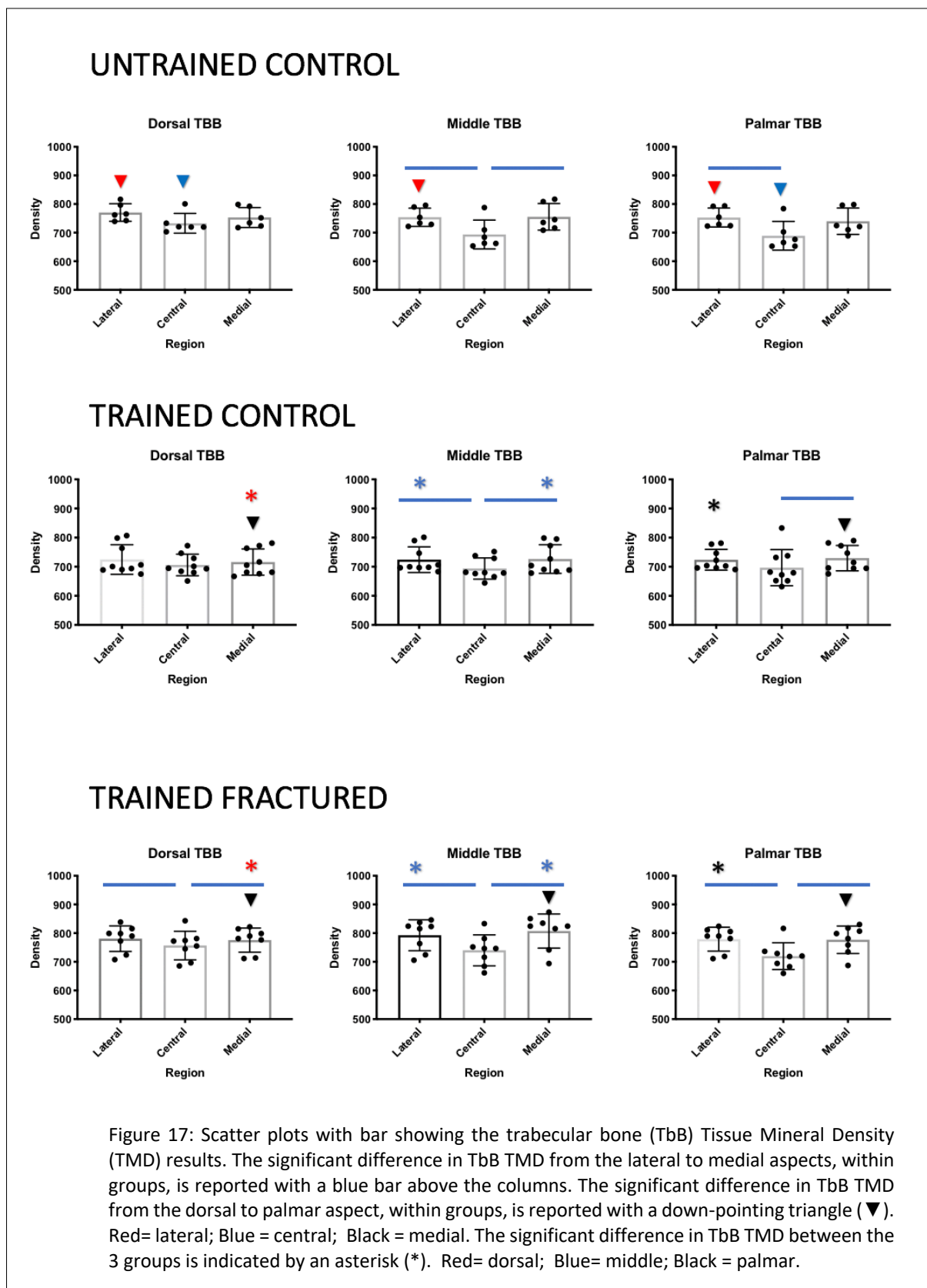
SCB VOI	UC		TC		TF	
	Mean	SD	Mean	SD	Mean	SD
VOI 1	2.91E+00	3.60696243	6.82E+00	5.17482239	5.96E+00	3.80281684
VOI 2	5.43E-01	0.31227984	8.36E-01	0.81544263	5.81E-01	0.73175295
VOI 3	6.81E+00	3.60787752	8.44E+00	5.48885081	1.38E+01	9.01727088
VOI 4	1.66E-01	0.13590184	6.96E-01	0.92027236	4.20E-01	0.44930351
VOI 5	3.48E-01	0.18343023	8.58E-01	1.97923483	2.37E-01	0.20515846
VOI 6	5.54E-01	0.75503557	8.75E-01	1.47261566	3.71E-01	0.50055455
VOI 7	8.57E+00	12.4459378	1.09E+01	7.45457162	1.12E+01	13.3067181
VOI 8	8.62E+00	7.05761944	7.00E+00	9.12202845	7.19E+00	6.37414197
VOI 9	1.04E+01	9.27043514	8.75E+00	9.40054242	7.23E+00	9.26254666

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Table 3 – Means and standard deviations for subchondral bone Total Porosity (SCB TP %)

1336 4.3 Trabecular Bone

1337 4.3.1 Tissue Mineral Density



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1341 WITHIN GROUPS

1342 When assessed on a frontal plane, the trabecular bone TMD (TbB TMD) of the lateral (UC
1343 $p=0.02$, TC $p=0.002$, TF $p=0.02$) and medial (UC $p=0.02$, TC $p=0.006$, TF $p=0.002$) aspects of the
1344 middle region is significantly higher compared to the central aspect in all three groups. In the
1345 palmar region, the TbB TMD of only the lateral aspect is significantly higher than the central
1346 aspect ($p=0.01$) in the UC group, with only the medial aspect higher in the TC group ($P= 0.02$).
1347 However, in the TF group, the TbB TMD of both the dorsal and palmar aspects was significantly
1348 higher laterally (dorsal $p=0.03$, palmar $p=0.001$) and medially (dorsal $p=0.04$, palmar $p=0.003$)
1349 compared to the central region.

1350 When assessed on a sagittal plane, in the UC group, TbB TMD in the dorsolateral aspect of the
1351 sagittal groove is significantly higher than in the middlelateral ($p=0.0007$) and palmarolateral
1352 ($p=0.0007$) aspects. In the central region, the TbB TMD is significantly higher in the dorsal
1353 region compared to the palmar region ($p=0.01$). The pattern was different in the race-trained
1354 groups. In the TC group, the TbB TMD in the palmaromedial aspect of the sagittal groove is
1355 significantly higher than in the dorsomedial aspect ($p=0.04$). In the TF group, the TbB TMD in
1356 the middle-medial aspect of the sagittal groove is significantly higher than in the dorsomedial
1357 ($p=0.03$) and palmaromedial ($p=0.006$) aspects.

1358 BETWEEN GROUPS

1359 No significant differences are noted between the UC and the TC group or between the UC and
1360 the TF groups. However, the TbB TMD was significantly higher in the TF group compared to
1361 the TC group in the dorsomedial ($p=0.02$) middle-medial (0.008), middle-lateral ($p=0.01$) and
1362 palmarolateral ($p=0.01$) regions.

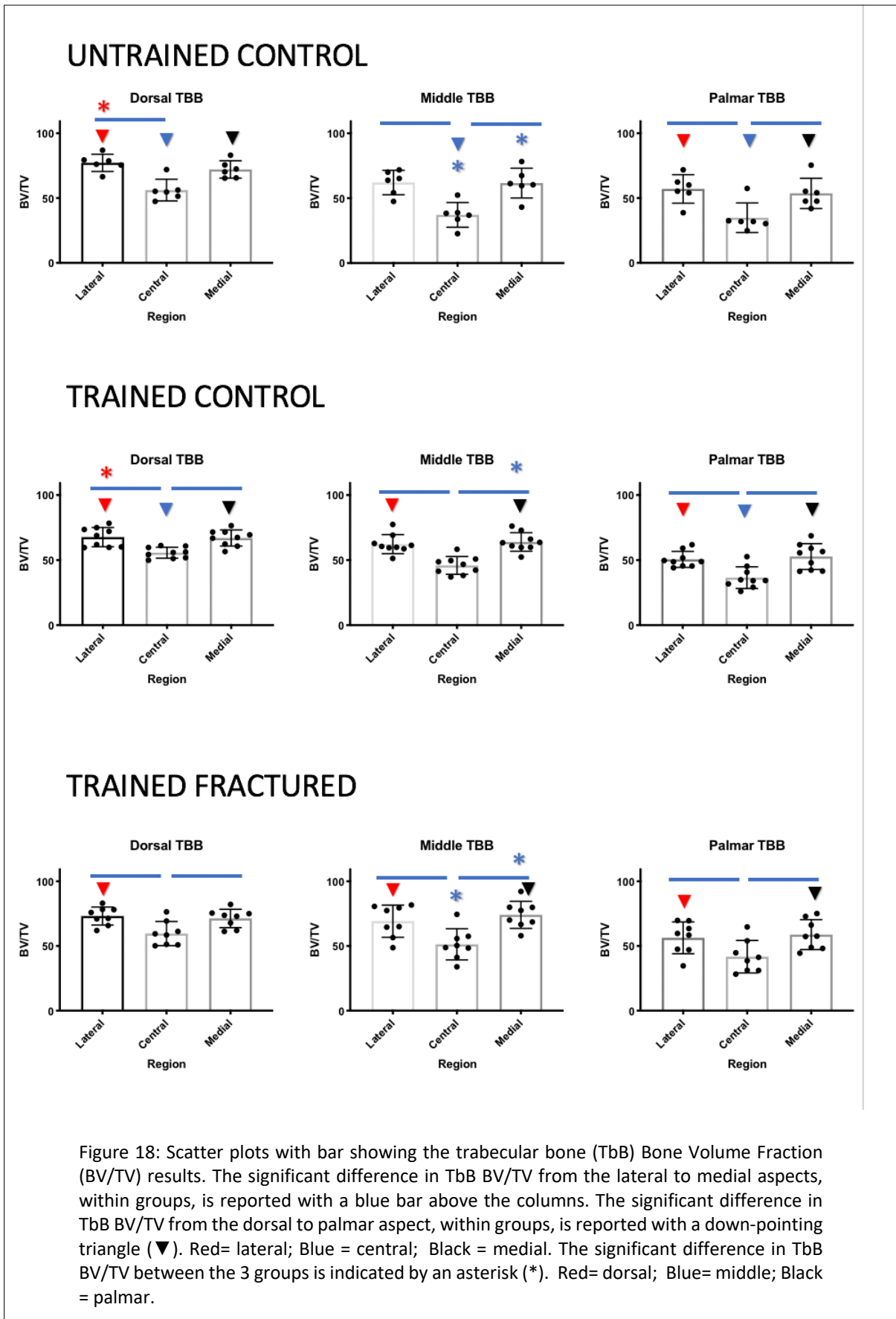
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TBB VOI	UC		TC		TF	
	Mean	SD	Mean	SD	Mean	SD
VOI 10	692.46	10.9712816	767.94	56.35857	770.33	30.5141803
VOI 11	684.22	18.0108201	736.47	64.8862018	732.8	34.6360191
VOI 12	687.74	19.0016209	757.95	52.9979702	753.01	34.8954634
VOI 13	696.74	7.64535522	778.12	63.798551	753.89	31.8768356
VOI 14	671.07	14.1536433	714.35	70.5912489	693.45	50.5074715
VOI 15	695.34	17.7442845	778.9	72.3691709	755.28	46.469362
VOI 16	701.96	11.1727998	767.59	51.2672748	752.72	33.1271439
VOI 17	661.58	19.5567296	709.82	62.7065169	689.09	50.0817146
VOI 18	703.74	24.4080237	759.44	55.1661144	739.94	45.9506849

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Table 4 – Means and standard deviations for trabecular bone Tissue Mineral Density (TbB TMD, units of mg/cm³)



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Figure 18: Scatter plots with bar showing the trabecular bone (TbB) Bone Volume Fraction (BV/TV) results. The significant difference in TbB BV/TV from the lateral to medial aspects, within groups, is reported with a blue bar above the columns. The significant difference in TbB BV/TV from the dorsal to palmar aspect, within groups, is reported with a down-pointing triangle (▼). Red= lateral; Blue = central; Black = medial. The significant difference in TbB BV/TV between the 3 groups is indicated by an asterisk (*). Red= dorsal; Blue= middle; Black = palmar.

1377 WITHIN GROUPS

1378 When assessed in a frontal plane, in the UC group, the TbB BV/TV within the lateral aspect
1379 was higher compared to the central aspect in the dorsal ($p=0.001$), middle ($p=0.001$) and
1380 palmar regions ($p=0.001$). In the TC and TF groups, the TbB BV/TV within the lateral and medial
1381 aspects was higher compared to the central aspect in the dorsal (TC lateral $p=0.002$, TC medial
1382 $p=0.006$, TF lateral $p=0.001$, TF medial $p=0.03$), middle (TC lateral $p<0.0001$, TC medial
1383 $p<0.0001$, TF lateral $p=0.01$, TF medial $p=0.003$), and palmar regions (TC lateral $p=0.001$, TC
1384 medial $p=0.009$, TF lateral $p=0.01$, TF medial $p=0.003$).

1385 When assessed in a sagittal plane, in the UC group, the TbB BV/TV is significantly higher
1386 dorsally than palmarly in the lateral ($p=0.002$), central ($p<0.0001$) and medial ($p=0.01$) aspects.
1387 Moreover, in the central aspect, the TbB BV/TV is significantly higher in the dorsal compared
1388 to the middle region ($p=0.008$). A similar trend is also noted in the race-trained groups, where
1389 the TbB BV/TV decreases from dorsal to palmar.

1390 BETWEEN GROUPS

1391 The TbB BV/TV in the dorsolateral region is significantly higher in the UC group than in the TC
1392 group ($p=0.04$). However, in the middlecentral region, the TbB BV/TV is significantly lower in
1393 the UC group compared to the TF group ($p=0.03$). In the middlemedial aspect of the sagittal
1394 groove, the TbB BV/TV tends to be lower in the UC ($p=0.06$) and TC group ($p=0.09$) compared
1395 to the TF group.

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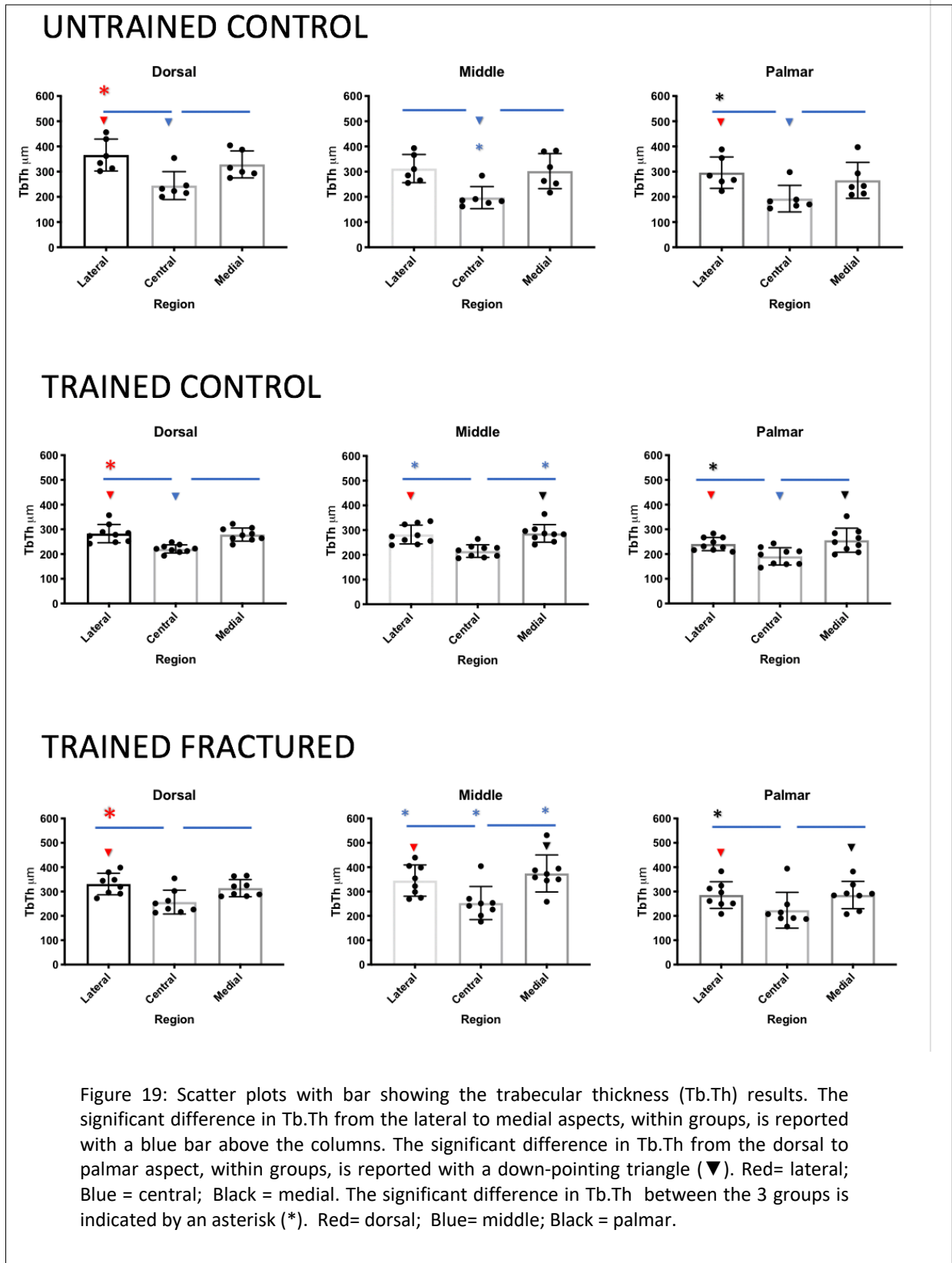
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TBB VOI	UC		TC		TF	
	Mean	SD	Mean	SD	Mean	SD
VOI 10	7.72E+01	6.62891458	6.77E+01	7.36967437	7.36E+01	6.63871154
VOI 11	5.62E+01	8.37886163	5.57E+01	4.23E+00	5.92E+01	8.86357019
VOI 12	7.21E+01	6.69011709	6.70E+01	6.17699214	7.19E+01	6.87405459
VOI 13	6.21E+01	9.44922143	6.23E+01	7.30688649	6.94E+01	11.6078756
VOI 14	3.72E+01	9.56549745	4.59E+01	6.80809714	4.95E+01	12.4841196
VOI 15	6.16E+01	11.4422525	6.39E+01	7.15863226	7.36E+01	9.93785896
VOI 16	5.70E+01	11.0026849	5.06E+01	6.13708432	5.73E+01	11.862956
VOI 17	3.48E+01	11.4027251	3.66E+01	8.34157753	4.11E+01	11.938421
VOI 18	5.36E+01	11.7384382	5.28E+01	9.87909945	5.94E+01	10.9834282

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1402 Table 5 – Means and standard deviations for trabecular bone Bone Volume Fraction (TbB
1403 BV/TV %)

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1415 WITHIN GROUPS

1416 When assessed on a frontal plane, in all three groups, the Tb.Th is significantly higher in the
1417 lateral (UC dorsal $p=0.004$, UC middle $p=0.01$, UC palmar 0.002 , TC dorsal $p=0.0007$, TC middle
1418 $p=0.002$, TC palmar 0.006 , TF dorsal $p=0.001$, TF middle $p=0.01$, TF palmar 0.001) and medial
1419 (UC dorsal $p=0.01$, UC middle $p=0.006$, UC palmar 0.003 , TC dorsal $p=0.0008$, TC middle
1420 $p=0.006$, TC palmar 0.002 , TF dorsal $p=0.003$, TF middle $p=0.03$, TF palmar 0.005) aspects
1421 compared to central aspect.

1422 When assessed on a sagittal plane, the Tb.Th of the UC group is significantly higher in the
1423 dorsolateral compared to the palmarolateral aspect ($p=0.01$) and in the dorsocentral aspect
1424 compared to the middlecentral ($p=0.006$) and palmarocentral aspects ($p<0.0001$). A similar
1425 pattern was noted in the TC group, where the Tb.Th was significantly higher in the dorsolateral
1426 ($p=0.006$) and middlelateral ($p=0.002$) aspect compared to the palmarolateral aspect, in the
1427 dorsocentral aspect compared to the palmarocentral aspect ($p=0.02$) and in the middlemedial
1428 compared to the palmaromedial aspect ($p=0.02$). Similarly, in the TF group, the Tb.Th is
1429 significantly higher in the dorsolateral ($p=0.008$) and middlelateral ($p=0.008$) aspect compared
1430 to the palmarolateral aspect and in the middlemedial compared to the palmaromedial aspect
1431 ($p=0.01$).

1432 BETWEEN GROUPS

1433 In the dorsolateral region of the sagittal groove, the Tb.Th is significantly higher in the UC
1434 compared to the TC group ($p=0.01$). In the same region, the Tb.Th tends to be higher in the TF
1435 compared to the TC group ($p=0.1$).

1436 In the middlemedial region, the Tb.Th is significantly higher in the TF compared to the TC
1437 ($p=0.04$). In the middlelateral region, the Tb.Th tends to be higher in the TF compared to the
1438 TC group ($p=0.1$). In the middlecentral region, the Tb.Th tends to be higher in the TF compared

1439 to the UC group (p=0.1). In the palmarolateral region, the Tb.Th tends to be higher in the UC
 1440 (p=0.09) and in the CF group (p=0.1) compared to the TC group.

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TBB VOI	UC		TC		TF	
	Mean	SD	Mean	SD	Mean	SD
VOI 10	3.66E+02	63.3172011	2.83E+02	36.7500994	3.31E+02	44.3516291
VOI 11	2.45E+02	55.636948	2.21E+02	16.3991219	2.56E+02	49.0506481
VOI 12	3.29E+02	53.4568299	2.79E+02	26.7061688	3.14E+02	35.1043045
VOI 13	3.12E+02	55.9643664	2.82E+02	38.0715734	3.45E+02	64.2340788
VOI 14	1.97E+02	44.0102458	2.15E+02	25.5961798	2.53E+02	68.0846194
VOI 15	3.03E+02	69.2940313	2.87E+02	35.4829665	3.74E+02	75.9255884
VOI 16	2.96E+02	62.3526803	2.41E+02	26.5187995	2.86E+02	54.6442332
VOI 17	1.93E+02	52.9866742	1.90E+02	34.8923667	2.23E+02	73.6952728
VOI 18	2.65E+02	71.0756028	2.56E+02	48.7800222	2.86E+02	55.8165851

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1444 Table 6 – Means and standard deviations for Trabecular Bone Thickness (Tb.Th, units of
 1445 μmg)

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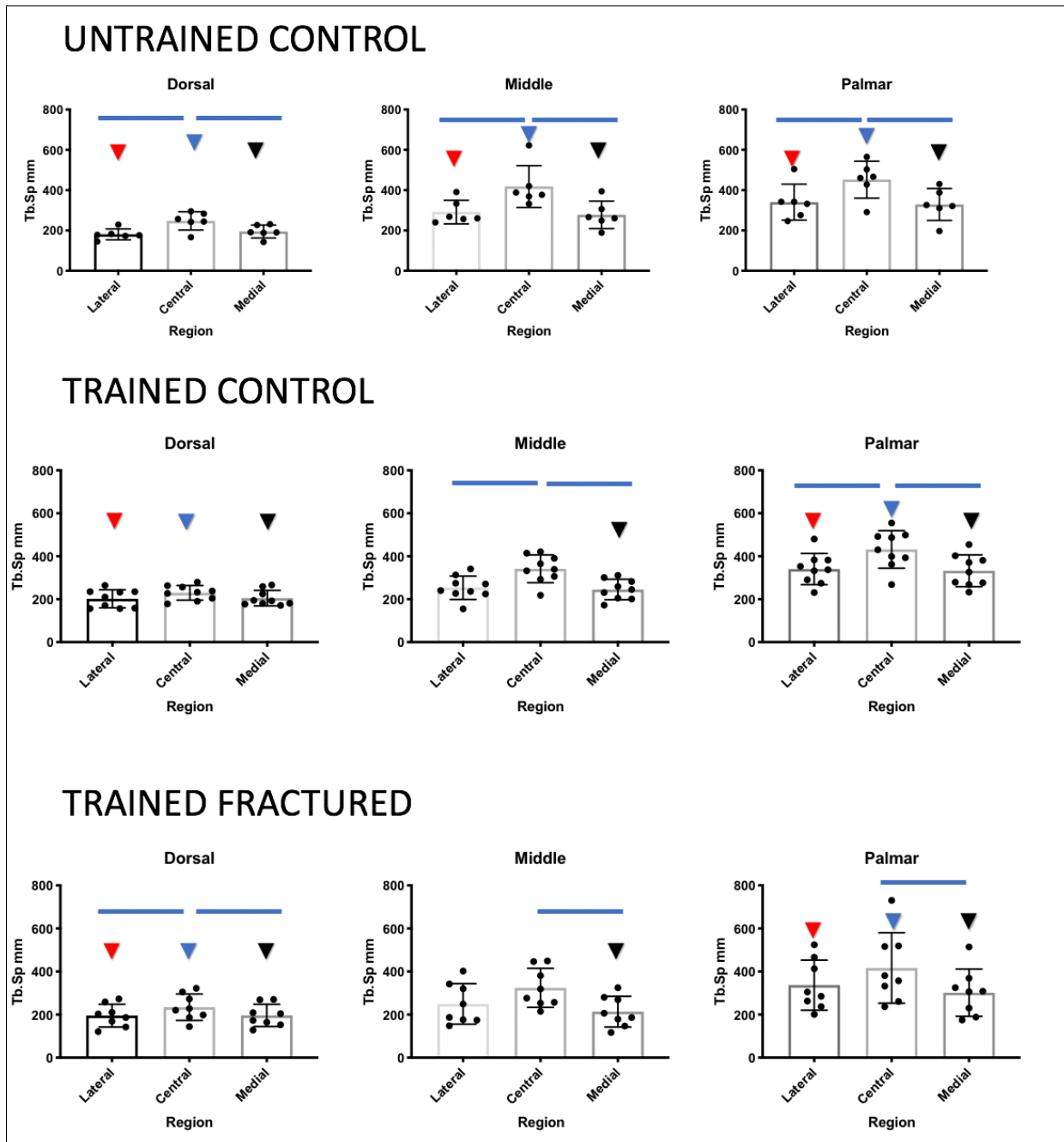
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Figure 20: Scatter plots with bar showing the trabecular separation (Tb.Sp) results. The significant difference in Tb.Sp from the lateral to medial aspects, within groups, is reported with a blue bar above the columns. The significant difference in Tb.Sp from the dorsal to palmar aspect, within groups, is reported with a down-pointing triangle (▼). Red= lateral; Blue = central; Black = medial. The significant difference in Tb.Sp between the 3 groups is indicated by an asterisk (*). Red= dorsal; Blue= middle; Black = palmar.

1468 WITHIN GROUPS

1469 When assessed in a frontal plane, in the UC group, the Tb.Sp is significantly lower in the lateral
1470 and medial aspects compared to the central aspect. This is noted in the dorsal, middle and
1471 palmar aspects (dorsolateral $p=0.006$, middlelateral $p=0.03$, palmarolateral $p=0.005$,
1472 dorsomedial $p=0.02$, middlemedial $p=0.001$, palmaromedial $p=0.001$). A similar pattern is
1473 noted in the TC and TF group, except for the dorsal aspect of the sagittal groove, where, no
1474 significant difference in Tb.Sp was noted. In the TC group, in the middle and palmar regions,
1475 the Tb.Sp is significantly lower in the lateral (middle $p=0.002$, palmar $p=0.01$) and medial
1476 (middle $p=0.006$, palmar= 0.01) aspect compared to the central aspect. In the TF group, in the
1477 middle and palmar regions, the Tb.Sp is significantly lower in the lateral (middle $p=0.07$,
1478 palmar $p=0.008$) and medial (middle $p=0.07$, palmar= 0.008) aspect compared to the central
1479 aspect.

1480 When assessed from the dorsal to palmar aspect, the Tb.Sp tends to be lower dorsally and
1481 higher palmarly in all three groups. In the UC group, in the lateral, central and medial aspect
1482 of the sagittal groove, the Tb.Sp. is significantly lower dorsally compared to the middle (lateral
1483 $p=0.002$, central $p=0.007$, medial $p=0.04$) and palmar (lateral $p=0.004$, central $p=0.0004$,
1484 medial $p=0.005$) region. In both TC and TF groups, in the lateral, central and medial aspect of
1485 the sagittal groove, the Tb.Sp is significantly lower dorsally compared to the palmar aspect (TC
1486 lateral $p=0.0002$, TC central $p<0.0001$, TC medial $p=0.0005$, TF lateral $p=0.0005$, TF central
1487 $p=0.0005$, TC medial $p=0.005$).

1488 BETWEEN GROUPS

1489 In the middle medial region, the Tb.Sp tends to be lower in the TF group compared to the UC
1490 group, however, no significant difference is noted ($p=0.1$).

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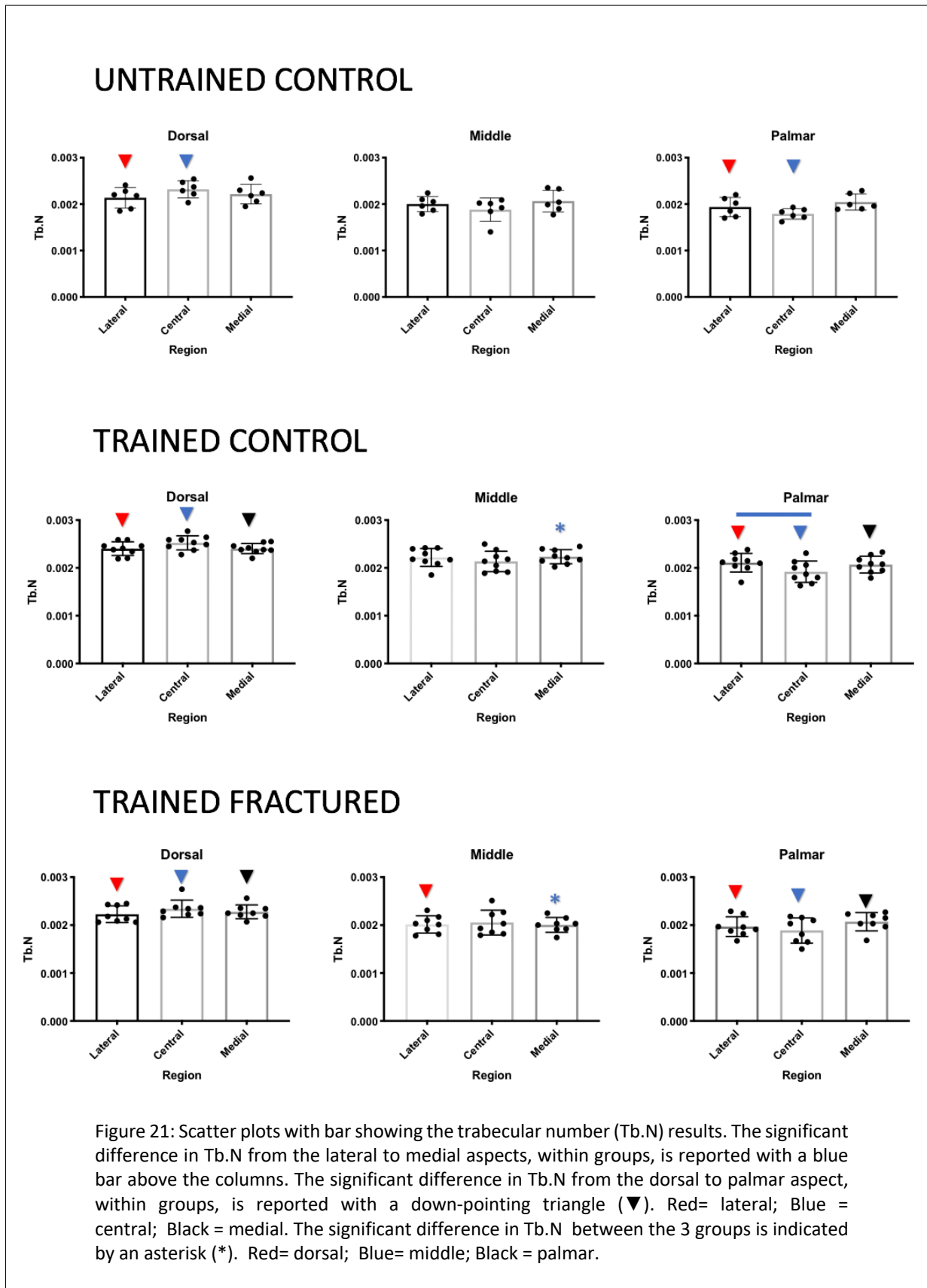
TBB VOI	UC		TC		TF	
	Mean	SD	Mean	SD	Mean	SD
VOI 10	1.81E+02	27.3097233	2.02E+02	41.7234284	1.95E+02	52.8124028
VOI 11	2.48E+02	45.4590195	2.30E+02	33.8043374	2.34E+02	60.9770933
VOI 12	1.95E+02	31.8090738	2.05E+02	36.1123081	1.96E+02	52.0229276
VOI 13	2.92E+02	58.4478334	2.53E+02	54.3091713	2.50E+02	94.260268
VOI 14	4.18E+02	103.855671	3.42E+02	65.0173514	3.24E+02	90.5397254
VOI 15	2.77E+02	68.2789121	2.45E+02	47.6346934	2.14E+02	71.3455434
VOI 16	3.40E+02	89.3226187	3.40E+02	72.7356649	3.37E+02	116.496379
VOI 17	4.52E+02	91.6470232	4.32E+02	87.06172	4.17E+02	163.616056
VOI 18	3.29E+02	79.1825461	3.32E+02	73.8500023	3.02E+02	109.811101

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Table 7 – Means and standard deviations for Trabecular Trabecular Separation
(Tb.Sp, units of μmg)

1496 **4.3.5 Trabecular Number**

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1506 WITHIN GROUPS

1507 When assessed in a frontal plane, no significant differences in Tb.N are detected across the
1508 sagittal groove in the UC and TF groups. However, in the TC group, the Tb.N was significantly
1509 higher in the palmarolateral compared to the palmarocentral region ($p=0.02$).

1510 When assessed in a sagittal plane, in the UC group, the Tb.N is significantly higher in the
1511 dorsolateral ($p=0.02$) and dorsocentral ($p=0.01$) region of the sagittal groove compared to the
1512 palmarolateral and palmarocentral region, respectively. This pattern is also noted in both TC
1513 and TF group (TC dorsolateral $p=0.002$, TC dorsocentral $p=0.0002$, TF dorsolateral $p=0.001$, TF
1514 dorsocentral $P=0.0003$). However, in these 2 groups, the Tb.N is also significantly higher in the
1515 dorsomedial compared to the palmaromedial region (TC $p=0.0002$, TF $p=0.05$).

1516 BETWEEN GROUPS

1517 In the middlemedial region, the Tb.N is significantly higher in the TC compared to the TF group
1518 ($P=0.04$). The Tb.N tends to be higher in the TC group compared to the TF and UC groups in
1519 the dorsal regions of the sagittal groove (TF lateral $p=0.1$, TF central $p=0.06$, UC lateral $p=0.05$,
1520 UC central 0.1, UC medial $p=0.06$).

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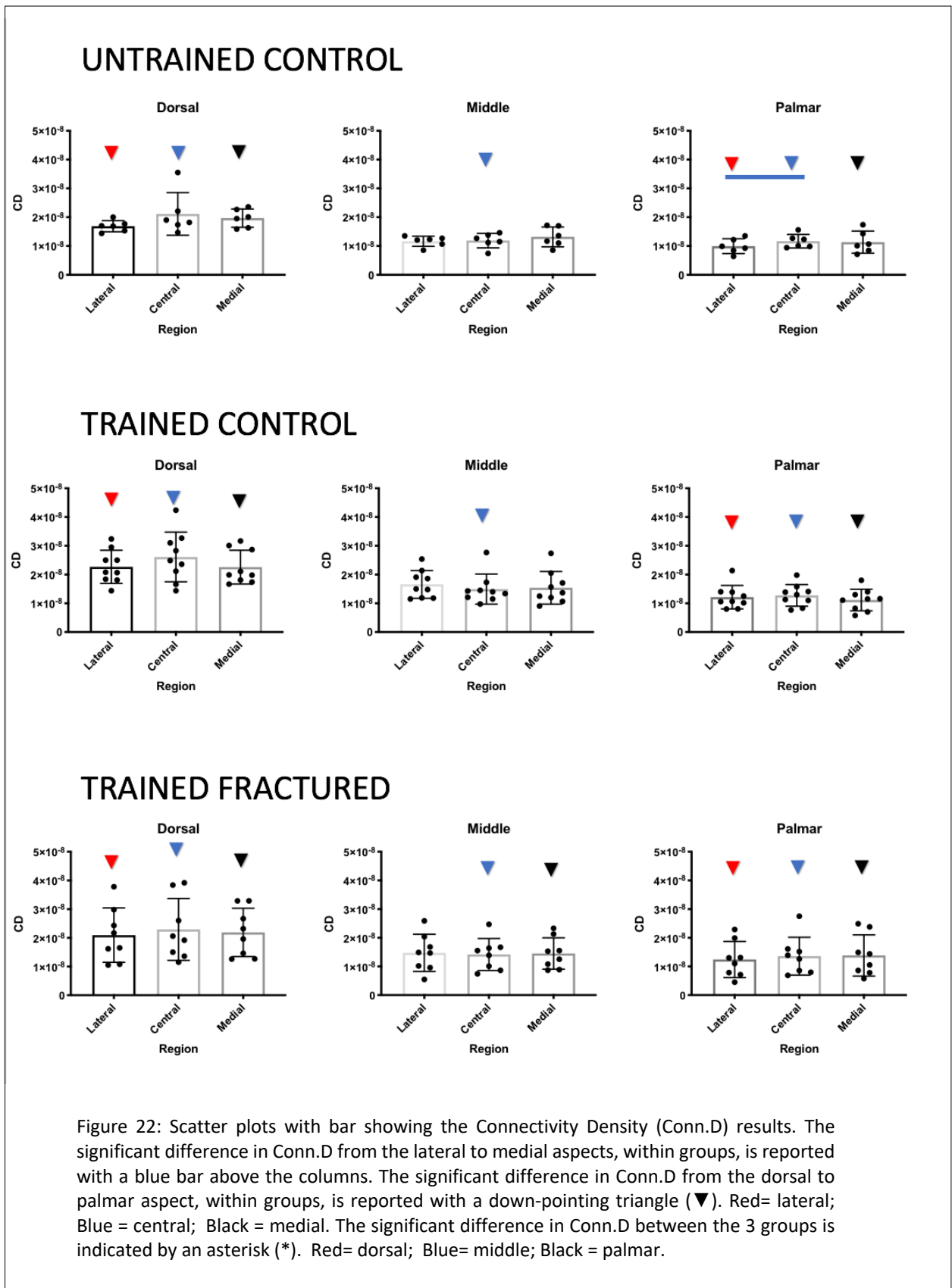
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TBB VOI	UC		TC		TF	
	Mean	SD	Mean	SD	Mean	SD
VOI 10	2.14E-03	0.00021744	2.40E-03	0.00014275	2.23E-03	0.00017411
VOI 11	2.32E-03	0.00018364	2.52E-03	0.00014621	2.34E-03	0.00017894
VOI 12	2.22E-03	0.00020999	2.40E-03	0.00010736	2.28E-03	0.00014546
VOI 13	2.00E-03	0.00016313	2.22E-03	0.00018712	2.01E-03	0.0001789
VOI 14	1.88E-03	0.00024841	2.14E-03	0.0002152	2.05E-03	0.00025616
VOI 15	2.06E-03	0.00023297	2.23E-03	0.00014598	2.00E-03	0.00015601
VOI 16	1.94E-03	0.00020716	2.11E-03	0.00019508	1.97E-03	0.000207
VOI 17	1.79E-03	0.00011275	1.92E-03	0.00022368	1.89E-03	0.00026346
VOI 18	2.04E-03	0.00017299	2.07E-03	0.00017494	2.07E-03	0.00019115

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Table 8 – Means and standard deviations for Trabecular Number (Tb.N, units of 1/μmg)



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1542 WITHIN GROUPS

1543 When assessed in a frontal plane, in the UC group, the Connectivity Density (Conn.D) is
1544 significantly higher in the palmaro-central compared to the palmaro-lateral region ($p < 0.05$).

1545 In the TC and TF groups, no significant differences in Conn.D were noted on a frontal plane.

1546 When assessed in a sagittal plane, in all three groups, the Conn.D in the lateral, central and
1547 medial aspect of the sagittal groove, is significantly higher in the dorsal regions compared to
1548 the palmar regions (UC lateral $p = 0.004$, UC central $p = 0.02$, UC medial $p = 0.004$, TC lateral
1549 $p < 0.0001$, TC central $p = 0.002$, TC medial $p < 0.0001$, TF lateral $p = 0.0005$, TF central $p = 0.001$, TF
1550 medial $p = 0.001$). The Conn.D is also significantly higher in the dorsocentral compared to the
1551 middle central region (UC $p = 0.02$, TC $p = 0.01$, TF $p = 0.03$). Only in the TF group, the Conn.D in
1552 the dorsomedial region is significantly higher compared to both the middlemedial ($p = 0.03$)
1553 and palmaromedial ($p = 0.001$) regions.

1554 BETWEEN GROUPS

1555 No statistically significant differences in Conn.D are noted between groups. However, in the
1556 dorsolateral region of the sagittal groove, the Conn.D tends to be higher in the TC group
1557 compared to the UC group ($p = 0.1$).

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TBB VOI	UC		TC		TF	
	Mean	SD	Mean	SD	Mean	SD
VOI 10	1.69E-08	1.9641E-09	2.27E-08	5.7786E-09	2.10E-08	9.4673E-09
VOI 11	2.12E-08	7.4037E-09	2.61E-08	8.6459E-09	2.29E-08	1.076E-08
VOI 12	1.97E-08	3.1768E-09	2.26E-08	5.895E-09	2.19E-08	8.4198E-09
VOI 13	1.17E-08	1.7728E-09	1.66E-08	4.84E-09	1.48E-08	6.4915E-09
VOI 14	1.19E-08	2.5211E-09	1.50E-08	5.2147E-09	1.42E-08	5.5653E-09
VOI 15	1.32E-08	3.4497E-09	1.54E-08	5.6933E-09	1.45E-08	5.457E-09
VOI 16	9.96E-09	2.5744E-09	1.22E-08	4.0813E-09	1.24E-08	6.3155E-09
VOI 17	1.17E-08	2.3407E-09	1.28E-08	3.7495E-09	1.36E-08	6.593E-09
VOI 18	1.13E-08	3.8271E-09	1.12E-08	3.7654E-09	1.38E-08	7.1839E-09

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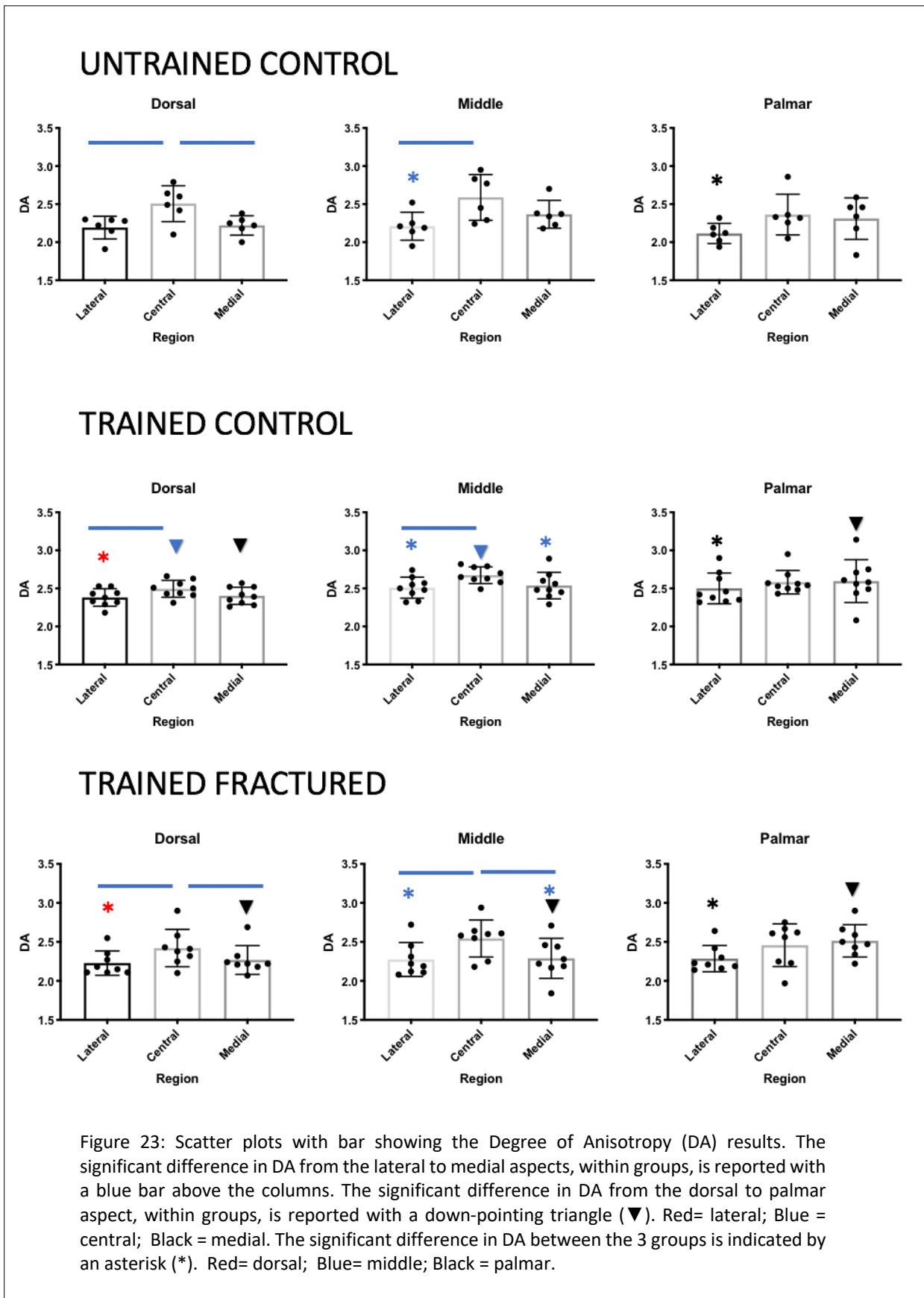
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Table 9– Means and standard deviations for trabecular bone Connectivity Density (Conn.D, units of $1/\mu\text{mg}^3$)

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Figure 23: Scatter plots with bar showing the Degree of Anisotropy (DA) results. The significant difference in DA from the lateral to medial aspects, within groups, is reported with a blue bar above the columns. The significant difference in DA from the dorsal to palmar aspect, within groups, is reported with a down-pointing triangle (▼). Red= lateral; Blue = central; Black = medial. The significant difference in DA between the 3 groups is indicated by an asterisk (*). Red= dorsal; Blue= middle; Black = palmar.

1580 WITHIN GROUPS

1581 For the dorsal and middle region of each group, the DA was higher centrally compared to
1582 lateral (UC dorsal $p=0.02$, UC middle $p=0.004$, TC dorsal $p=0.02$, TC middle $p=0.006$, TF dorsal
1583 $p=0.02$, TF middle $p=0.03$). In the UC and TF groups, the DA was higher centrally compared to
1584 medial for the middle region also (UC $p=0.02$, TF $p=0.02$). No significant differences in DA are
1585 noted between the medial, central and lateral sites palmarly.

1586 When assessed on a sagittal plane, in the UC group, there are no significant differences in DA.
1587 However, there were some differences noted in the race-trained groups. In the TC group, the
1588 DA in the central aspect of the sagittal groove is significantly higher in the middle compared
1589 to the dorsal region ($p=0.02$). In the medial aspect of the sagittal groove, the DA is significantly
1590 higher in the palmar compared to the dorsal aspect ($p=0.02$). In the TF group, in the medial
1591 aspect of the sagittal groove, the DA in the palmar aspect is significantly higher compared to
1592 both the dorsal ($p=0.03$) and middle ($p=0.01$) aspect.

1593 BETWEEN GROUPS

1594 The DA is significantly higher in the TC group compared to the TF group in the dorso-lateral
1595 ($p=0.05$) and middle-medial ($p=0.05$) aspect of the sagittal groove. Moreover, the DA is
1596 significantly higher in the TC group compared to both the UC and the TF groups in the middle-
1597 lateral (TC-UC $p=0.03$, TC-TF $p=0.03$) and palmaro-lateral aspect (TC-UC $p=0.001$, TC-TF
1598 $p=0.05$) of the sagittal groove.

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TBB VOI	UC		TC		TF	
	Mean	SD	Mean	SD	Mean	SD
VOI 10	2.19E+00	0.14675289	2.38E+00	0.11381587	2.22E+00	0.148354
VOI 11	2.51E+00	0.23453394	2.49E+00	0.11223023	2.41E+00	0.22510332
VOI 12	2.22E+00	0.12876287	2.40E+00	0.11514542	2.26E+00	0.17728628
VOI 13	2.21E+00	0.18355846	2.51E+00	0.13680146	2.23E+00	0.24914685
VOI 14	2.59E+00	0.3005244	2.67E+00	0.10855191	2.52E+00	0.23199442
VOI 15	2.37E+00	0.18342776	2.54E+00	0.17429543	2.28E+00	0.24071933
VOI 16	2.12E+00	0.13265905	2.50E+00	0.20239481	2.24E+00	0.20841491
VOI 17	2.36E+00	0.26705621	2.58E+00	0.15310395	2.44E+00	0.26126991
VOI 18	2.31E+00	0.27180423	2.60E+00	0.28120149	2.48E+00	0.21751399

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Table 10– Means and standard deviations for trabecular bone Degree of Anisotropy (DA)

1608 **Chapter 5**

1609 **Discussion**

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1632 This study analyses the microstructural characteristics (density and architecture) of the
1633 subchondral (SCB) and trabecular (TB) bone of the proximal sagittal groove (PSG) of the PP in
1634 horses with different training history (racing and non-racing) and injury status (with and
1635 without a proximal phalangeal fracture).

1636 We found that the microstructural characteristics of the subchondral and trabecular bone vary
1637 across the dorsopalmar and lateromedial aspect of the PSG; however, contrary to our
1638 hypothesis, these variations are noted in all three groups and not only in the TF group. On the
1639 other hand, significant differences in SCB and TB microstructural characteristics were noted
1640 between the three groups.

1641 Significant differences in SCB and TBB TMD were noted transversally and longitudinally across
1642 the sagittal groove in all three groups. This finding provides only partial support for our
1643 hypothesis because significant differences in SCB TMD were detected in all three groups, not
1644 only in the race-trained fractured group (TF). However, within the UC group, a significant
1645 difference in SCB TMD was noted only within the palmar aspect, in contrast to the race-trained
1646 horses (TF and TC), where significant differences in SCB TMD were noted within the palmar
1647 and the dorsal aspects of the joint. The pattern of different SCB TMD dorsally is consistent
1648 with the higher loads experienced by the metacarpophalangeal joint in the race-trained
1649 groups compared to the UC group. During the stance phase, the contact area between the
1650 distal aspect of the third metacarpal bone and the proximal aspect of the proximal phalanx is
1651 located slightly towards the palmar/plantar edge of the joint surface, with a relatively large
1652 non-contact area at the dorsal articular margin. When the sagittal plane load is increased to
1653 10,500N, mimicking the gallop, the dorsolateral and dorsomedial joint margins come into full
1654 contact (Brama et al. 2001). Therefore, the dorsal aspect of the sagittal groove of race-trained
1655 horses is subjected to higher loading compared to horses that never experience race training.

1656 The differences in TMD across the sagittal groove may be explained by presence of focal areas
1657 of remodeling, where bone resorption is occurring, in the regions of increased contact
1658 between the distal MC3 and PP. Considering that significant differences in TMD are noted in
1659 all three groups, presence of a gradient of density within the sagittal groove is unlikely to be
1660 a predisposing factor for proximal phalangeal fractures.

1661 Both within the subchondral bone and the trabecular bone layers, the tissue mineral density
1662 is significantly higher in the TF group compared to the TC group. Similarly, BV/TV is significantly
1663 higher in the middle-central region of TF group compared to the TC and UC groups. These
1664 findings partially support our hypothesis that microstructural characteristics of the PSG vary
1665 significantly between TF and TC group. No significant difference in TMD was noted between
1666 the trained control and the untrained group or between the trained control and the untrained
1667 group. This finding is in contrast with our hypothesis that an increased bone density is noted
1668 in both race-trained groups compared to the untrained group. The increase in bone density in
1669 the TF group may be explained by an increased modelling coupled to reduced remodelling
1670 occurring in SCB and TB of horses that experienced proximal phalangeal fracture. Regular
1671 strenuous exercise has been reported to inhibit remodelling in young Thoroughbreds and
1672 human endurance athletes (Firth et al. 2005, McCarthy et al. 1992) leading to a higher degree
1673 of tissue mineralization. Excessive/increased mineralization increases bone stiffness and
1674 strength; while also, leading to reduced toughness and increased susceptibility to fractures,
1675 especially in high energy events. Our data showing that BV/TV is greater in specific VOI of TF
1676 bones is similar to previous post mortem studies on proximal sesamoid bones reporting an
1677 increased BV/TV of fractured proximal sesamoid bones as compared to both control
1678 racehorses and horses living at pasture (Cresswell et al. 2019, Peloso et al. 2015, Anthenill et
1679 al. 2010, Young et al. 1991). In Cresswell's study (2019), the palmar surface of the sesamoid

1680 bones, where fracture most likely initiates, had the greatest increase in BV/TV, highlighting
1681 the association between increased BV/TV and fracture pathology. Similar findings were
1682 reported by multiple studies investigating the distal metacarpal condyles of racing
1683 Thoroughbreds, where fatigue damage is mainly located in areas of increased bone volume
1684 fraction (Norrdin and Stover 2006, Rubio-Martinez et al. 2008, Muir et al. 2006; Whitton et al.
1685 2018). Whitton et al. (2010) reported that horses that had fracture of the third metacarpal
1686 condyle had less porous condylar SCB and higher BV/TV at the fracture sites. Contrary to
1687 Whitton's study, our results did not show statistically significant differences in TP between the
1688 three groups. However, racehorses that sustained a proximal phalangeal fracture *tended* to
1689 have increased SCB TP in the dorsomedial and dorsolateral aspects of the sagittal groove
1690 compared to the UC. This finding is in contrast with the fact that an overall increased bone
1691 density is noted in the TF group. Assuming that focal porosity is related to areas of bone
1692 resorption due to remodelling secondary to a period of rest or to microcracks accumulation,
1693 then increased porosity may weaken the bone at a focal point, increasing the risk of fracture.
1694 In human medicine, cortical porosity has recently been proposed as a quantifiable marker of
1695 bone loss and bone fragility that can be used by the clinician to identify bones at risk for
1696 fracture (Bala et al. 2015). Significant differences in subchondral bone total porosity were
1697 noted transversally and longitudinally across the sagittal groove within all three groups. This
1698 finding is in contrast with our hypothesis that significant differences would be noted in the TF
1699 group but not in the UC and TC groups. Total porosity was consistently lower in the middle
1700 compared to the dorsal and palmar aspects of the sagittal groove in all three groups. Similarly
1701 the TMD was higher in the middle compared to the dorsal and palmar aspects of the sagittal
1702 groove in all three groups.

1703 When assessed in a frontal plane, the BV/TV was consistently lower in the central regions
1704 compared to the lateral and medial regions in all three groups. When assessed on a sagittal
1705 plane, the BV/TV decreases from dorsal to palmar in all three groups. These findings are in
1706 contrast with our hypothesis stating that microstructural characteristics of the PSG varies
1707 significantly across its dorsopalmar and lateromedial aspect in the TF group but not in the UC
1708 and TC groups.

1709 The architecture of cancellous bone can be characterized by number, thickness, separation
1710 and connectivity density (Burr 2014). Each of these factors contributes to the overall
1711 cancellous bone volume, but the same bone volume can contain trabeculae that are organized
1712 in different ways (i.e. more trabeculae that are thinner or fewer, thicker trabeculae). Our data
1713 shows that, in all three groups, the Tb.Th., Tb.N. and Connectivity Density decrease from the
1714 dorsal to palmar aspect of the PSG. On the other hand, the trabecular separation increases
1715 from the dorsal to palmar aspect. Again, these findings are in contrast with our hypothesis
1716 stating that the bone microstructural characteristics vary significantly across the dorsopalmar
1717 and lateromedial aspect of the PSG of TF group but not TC and UC groups. However, when the
1718 architecture parameters were compared between the different groups, it was noted that the
1719 TbTh, similarly to the BV/TV, is significantly higher in the middlecentral region of TF group
1720 compared to the other groups. Moreover, the TF group *tends* to have lower trabecular
1721 separation, trabecular number and connectivity density compared to the TC group. These
1722 findings support our hypothesis, since evidence of trabecular bone disruption is noted in the
1723 TF but not on the TC group. Horses that experienced a proximal phalangeal fracture have
1724 higher bone density, higher BV/TV and higher Tb.Th, but lower trabecular separation,
1725 trabecular number and connectivity density compared to horses that did not experience a
1726 fracture. It has been shown that the loss of complete trabeculae (reducing Tb.N) reduces the

1727 strength and stiffness of bone by two to three times more than does losing the same amount
1728 of bone via trabecular thinning (Burr 2014). The reason for the reduction in strength and
1729 stiffness is that the loss of whole trabeculae reduces connectivity within the entire structure,
1730 which makes the structure much less capable of bearing weight and less able to direct stresses
1731 to the cortex than does maintaining the connections but making them thinner (Burr 2014).

1732 The degree of anisotropy (DA) of the trabecular bone is a measure of how highly oriented
1733 trabeculae are within the bone volume. Trabecular bone adapts its orientation depending on
1734 mechanical load and can become anisotropic. Instead of being homogeneously distributed
1735 and randomly oriented (isotropy) within a whole bone specimen, trabeculae are strategically
1736 positioned, conferring upon the bone its anisotropic characteristics. Trabeculae are oriented
1737 along the principal directions of forces within the bone, making the bone stronger in that
1738 particular loading direction (Lanyon 1987). Our study shows that there are significant
1739 variations in DA of the PSG on a sagittal plane of race-trained horses but not of untrained
1740 horses. In race-trained horses, the DA is significantly higher in the dorsal regions. Since the DA
1741 provides insight into the directional dependence of bone strength and the dorsal aspect of the
1742 sagittal groove of race-trained horses is subjected to higher loading compared untrained
1743 horses, it is not surprising that the DA is significantly higher dorsally compared to the middle
1744 and palmar regions in race-trained horses (Turner 1992). This finding partially supports our
1745 hypothesis that microstructural differences are noted across the PSG in the TF, but not in UC.

1746 In the TC group, the DA was overall significantly higher, compared to both the TF and the UC
1747 groups. An increased DA in the TC group may indicate a better organization and a positive
1748 adaptation of the trabecular bone to race-training. The more isotropic microstructure of the
1749 trabecular bone in the TF group can be explained by a higher degree of sclerosis as evidenced
1750 by an increase in bone volume fraction caused by the narrowing and filling in of marrow spaces

1751 (Boyde 1999). A lower DA, together with a lower trabecular number and connectivity density
1752 may indicate an overall sclerotic but poorly organized trabecular bone that is less capable of
1753 load bearing, less able to direct stresses to the cortex and has not positively adapted to race-
1754 training.

1755 Overall, the results demonstrate the presence of some prodromal microstructural changes
1756 within the PSG of TF group. An increased subchondral bone density along with trabecular
1757 thickening and disruption of the trabecular bone architecture, may predispose the horse to
1758 PP fracture and may represent an inadequate adaptation to race-training. Our data support
1759 previously reported clinical findings suggesting that sagittal proximal phalangeal fractures are
1760 of the fatigue type. Smith et al (2014) reported an increased thickness of the subchondral
1761 bone of the proximal aspect of the PP in Thoroughbred racehorses that experience sagittal PP
1762 fracture. Powell (2012) described trabecular bone mineral densification around the fracture
1763 line in 4 of 19 Thoroughbred racehorses diagnosed by MRI to have short incomplete
1764 parasagittal fractures of the proximal phalanx.

1765 The current scientific evidence, including the data obtained in this study, suggest that sagittal
1766 proximal phalangeal fractures occur in horses that respond to race-training by continued bone
1767 modelling in the face of suppressed remodeling. Inhibition of remodelling may allow
1768 accumulation of fatigue damage that can ultimately result in fracture. Further histological
1769 studies are necessary to confirm the above statement. In particular presence of osteoid,
1770 reversal lines (both indicators of remodelling) and microcracks within the PSG of horses with
1771 different training history and injury status should be explored using histomorphometry.

1772 This study has multiple limitations. Firstly, the sample size is modest. The training and medical
1773 history of each horse included in the study is unknown. Previous studies showed that training
1774 is one of the most important modifiable variables affecting the risk of musculoskeletal injury

1775 in racehorses (Boston et al 2000, Boden et al. 2007a, Boden et al. 2007b). Knowing the
1776 different training regimes of the horses included in the study, would have helped
1777 understanding why some horses developed a functional adaptation to race-training and why
1778 some did not. Based on our findings, it is not possible to determine why some race-trained
1779 horses have an increased tissue mineral density, increased BV/TF poor trabecular architecture
1780 and decreased DA compared to others. It can be a subjective “poor” adaptation to race
1781 training or it can be the results of training methods that do not allow functional adaptation
1782 to the abnormal loads. Although the racehorses included in the study were racing at the time
1783 of their injury, the pre-race soundness was not and could not be assessed, so any evidence of
1784 pre-fracture lameness was not available.

1785 Horses included in the TF group were euthanized due to presence of a comminuted proximal
1786 phalangeal fracture. According to Ramzan (2011), the most common configuration of
1787 proximal phalangeal fractures in the UK is the incomplete. Another limitation of our study is
1788 that our sample population may not be a truly representative population of horses with
1789 proximal phalangeal fractures. Horses with an incomplete proximal phalangeal fracture are
1790 more common and carry an overall good prognosis following repair and they are less likely to
1791 be euthanized compared to horses with a comminuted proximal phalangeal fracture.

1792 The imaging modality used in this study is not applicable to clinical setting. The main risk
1793 parameters identified in our study cannot be readily determined in a living horse. This is the
1794 main barrier to application of the study findings to clinical practice.

1795

1796 In conclusion race-trained horses that have sustained a proximal phalangeal fracture have an
1797 increased SCB density, increased trabecular thickness, reduced trabecular number, trabecular
1798 separation, and trabecular connectivity within the proximal sagittal groove region. These

1799 findings would support the theory that an inadequate adaptive bone response within the
1800 proximal sagittal groove might contribute to sagittal fractures of the first phalanx.
1801 Identification of the horse at increased risk of proximal phalangeal fracture remains a
1802 challenge, however, advanced imaging modalities available in clinical setting, such as gamma
1803 scintigraphy, magnetic resonance imaging and computed tomography are useful in identifying
1804 early signs of osseous damage within the proximal aspect of the proximal phalanx.

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