

**Developing a thermal suitability index to assess artificial turf applications
for various site-weather and user-activity scenarios**

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HIGHLIGHTS

- We measured thermal condition of artificial & natural turfs in three weather types
- Artificial turf (AT) notably increases surface and air temperatures on sunny days
- Thermal sensation among users with various activities were compared based on mPET
- A 9-point thermal suitability index is developed to assess AT in design and use
- AT is an alternative to natural turf only for limited site and user scenarios

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3
4 **ABSTRACT**

5 Lawns are highly recognized and indispensable elements in the urban landscape. Due to water-
6 saving, low maintenance cost, and avoided health-environmental impacts of agrochemical
7 usage, artificial turf (AT) has increasingly replaced some natural turf (NT) sports fields and
8 recreational lawns. It remains controversial whether AT is a healthy alternative to NT. We
9 asked the research question, "Where and for whom the AT is (or isn't) suitable regarding user
10 thermal sensation partaking various activities?" We established a field experiment at adjoining
11 AT and NT fields in humid-tropical Hong Kong. Detailed microclimatic data were recorded
12 under sunny, cloudy and overcast weather conditions to calculate the modified physiological
13 equivalent temperature (mPET) as a thermal comfort index. Activities covering a range of
14 metabolic rates were selected to evaluate user thermal sensation. AT experienced considerably
15 raised ground surface temperatures on sunny days with a consequential increase in near-ground
16 ambient air temperatures and the environs. The inter-turf temperature difference was somewhat
17 subdued under cloudy and overcast weather. A regression model allowed the successful
18 development of a nine-point thermal suitability index (TSI) to assess AT applications and
19 provide a simple rule-of-thumb for design practice. To avoid undue heat stress, AT use can
20 only be recommended for certain site-weather and user-activity scenarios. The TSI can be
21 applied to other climatic zones by gleaning on-site microclimatic data and enlisting the
22 proposed regression-modelling method. A comprehensive AT assessment scheme can be
23 developed by incorporating the TSI to inform future AT installation and use decisions.

24
25 *Keywords:* Natural and artificial turf; Thermal comfort; Modified physiological equivalent
26 temperature; User activity; Thermal suitability index; Turf design and application

28 **1. Introduction**

29 *1.1. Controversy about the application of artificial turf*

30 Due to savings in irrigation-water usage and maintenance cost, artificial turf has
31 increasingly replaced natural turf in sports fields, and lawns in architectural, landscape, and
32 urban design (Schneider et al., 2014). However, its adoption and conversion as a substitute for
33 natural grass have remained controversial regarding impacts on the environment and human
34 health (Cheng et al., 2014; Watterson, 2017). Some studies provided evidence of the litany of
35 direct and indirect harmful effects of artificial turf. Others have focused on lower
36 environmental impacts based on life-cycle assessment, mainly due to relatively low
37 maintenance requirements.

38 *1.1.1. Concerns on negative environmental and health impacts*

39 Under the looming influence of climate change, climate-sensitive environmental
40 planning and design measures that adopt the nature-based solution are preferred. They include
41 but are not limited to: increasing urban greenery and vegetation to mitigate the urban heat island
42 (UHI) effect (Farhadi et al., 2019) and reduce the carbon footprint (Strohbach et al., 2012);
43 increasing pervious surfaces to decrease water runoff and improve groundwater recharge
44 (Chithra et al., 2015). Creating and conserving natural grass sites such as lawns or turfs in
45 cities can sustain their evaporative cooling properties to suppress temperature rise (Salata et al.,
46 2015).

47 Artificial turf is usually made of surficial synthetic pile fibers that emulate natural grass
48 leaf blades anchored in a granular infill substrate which could be rubber granules (Fleming,
49 2011). It is characterized by low albedo, water-holding capacity and specific heat to induce
50 notable heat absorption and retention (Aoki, 2009; Yaghoobian et al., 2010). Such fundamental
51 thermal properties can significantly increase ground surface temperatures and consequently
52 increase ambient air temperatures near the ground as well as its surroundings (Jim, 2016).
53 Many investigations have been conducted to enhance understanding of artificial turf impacts
54 on surface and ambient temperatures (Jim, 2017; Petrass et al., 2014; Ramsey, 1982; Thoms et
55 al., 2014). In some extreme cases, the surface temperature of artificial turf can be 30°C higher
56 than natural grass (Loveday et al., 2019; McNitt and Petrunak, 2010). A study shows that using
57 lighter and highly-reflective colors for either the turf infill or the fiber or both can only achieve
58 limited surface temperature reduction (Penn State's Center for Sports Surface Research, 2012).
59 For example, changing the fiber color from green to white achieves an approximately 6 °C

60 reduction in artificial turf surface temperature. Such a reduction is limited when compared with
61 the considerable thermal contrast between AT and NT, and it becomes insignificant after a
62 couple of hours of radiative heating. Concerning climate mitigation, replacing natural ground
63 with heat-absorbent artificial turf may be counter-productive.

64 The on-site and off-site chemical toxicity of artificial turf on the environment and
65 human health has raised concerns (Li et al., 2010; Nilsson et al., 2008). The accumulation of
66 waste materials on the synthetic leaf blades and substrate granules which have limited self-
67 cleaning capability may foster the growth of harmful bacteria to aggravate infection of wounds,
68 including the hazardous health risk of methicillin-resistant *Staphylococcus aureus* (MRSA)
69 (Waninger et al., 2011).

70 *1.1.2. Supportive views on applying artificial turf*

71 Lawns denote a highly recognized and indispensable element in the urban landscape
72 (Ignatieva et al., 2017; Robbins, 2012). However, to maintain the natural grass fields' thriving,
73 green and aesthetic appeals to people, a substantial amount of irrigation is needed (Milesi et
74 al., 2005). In some European, Australian, and American cities (particularly, cities in arid and
75 semi-arid climatic regions), watering for grass fields is limited during hot summers (Hogue and
76 Pincetl, 2015), which can cause decline and withering of the natural turf. Such periodic
77 degradation demands additional restoration works (Ignatieva et al., 2020).

78 The application of toxic chemicals in lawn fertilization and pest and weed control has
79 raised ecological and health concerns (Karr et al., 2007; Penick, 2013; Robbins et al., 2001).
80 Under such circumstances, some quarters of the industry have advocated artificial turf as a
81 “green and environment-friendly” product based on some commonly expressed justifications.
82 They include requiring only occasional and a small amount of watering, and not requiring
83 fertilizing, mowing, weeding and pest control. Some lifecycle assessments show the
84 environmental benefits of using artificial turfs. Investigation on the use-times for both natural
85 turf and artificial turf indicates a longer available time in a year-round period due to shorter
86 maintenance time and stronger resistance to rainfall impacts on field playability (Simon, 2010).
87 Recent studies on sports safety indicate no significant difference in injury occurrence between
88 artificial and natural turfs (Calloway et al., 2019).

89 *1.2. Research question*

90 The application of artificial turf is not limited to outdoor sports fields. It has been
91 adopted in various landscape and urban designs, including semi-indoor and indoor spaces. The

92 fundamental difference between target functions and main design goals could largely explain
93 the controversy mentioned above, as to whether artificial turf is a suitable choice depends
94 largely on the functions and user groups. In other words, it is important to correctly understand
95 how the advantages and disadvantages of natural turf and artificial turf affect the achievement
96 of design goals before deciding on the choice. As discussed in the above background literature
97 sections, many studies cover a broad range of topics about natural and artificial turfs and their
98 advantages and disadvantages, but most studies tend to favor one against the other. In other
99 words, most studies attempt to conclude to convince users that one is better than the other.
100 Comparative research on applicability analysis is scarce.

101 Thermodynamic properties and thermal comfort are the most controversial topics about
102 the two turf types. Regarding the thermal environment, similar to most ground-surface
103 materials used in the built environment, the surface temperature of artificial turf largely
104 depends on climatic factors, particularly incoming solar radiation and wind speed (Devitt et al.,
105 2007). The incoming solar radiation is affected by cloudiness and sky conditions. The geometry
106 of surrounding buildings affects shading and ventilation (wind speed). The body type, clothing,
107 activities, and corresponding physiological indicators (such as metabolic rate) vary notably
108 among user groups. Therefore, it is difficult to judge the suitability of artificial turf, as the
109 influence of the above factors on the environment and health can vary considerably. Thus,
110 determining the suitability of applying artificial turf demands location-specific assessments.

111 Considering the above issues, the basic premise of this study is to find the basis to install
112 the artificial turfs where they are needed and suitable to most users and activities. In other
113 words, we would avoid artificial turfs where users are more sensitive to their heat-related health
114 impacts and have higher requirements on surface properties. This study aimed to provide
115 quantitative information to answer the critical question: "Where and for whom the artificial turf
116 is (or isn't) suitable regarding user thermal sensation partaking various activities?"

117 **2. Materials and methods**

118 To answer the above research question, we designed a comparative experiment on the
119 thermal environment of artificial turf (AT) and natural turf (NT) under three main weather
120 types. Based on field measurements of the thermal conditions of AT and NT, we evaluated the
121 suitability of AT and NT for various potential user groups by incorporating the user
122 characteristics (activities and clothing when using the sites).

123 *2.1. Synchronized co-located measurement of the thermal environment*

124 This section introduces the study sites, weather conditions, design of the controlled
125 experiment, and the microclimatic instruments. The thermal comfort condition is jointly
126 regulated by air temperature, humidity, wind speed, and radiation. However, the major
127 difference between AT and NT in regulating thermal comfort conditions lies in their differential
128 modification of heat fluxes (Gustin et al., 2018). Therefore, all variables of background weather
129 condition and ambient environment had to be controlled in this study. Accordingly, we
130 implemented a temporal-synchronized co-located measurement campaign of AT and NT. The
131 study site is the main sports center of the University of Hong Kong, situated at a large coastal
132 open space on the southwest side of Hong Kong Island (Fig. 1). This study enlisted two adjacent
133 sports fields with a very high site-averaged sky view factor ($SVF > 0.9$). Especially, the two
134 instrument locations situated at the center of the sports fields are well exposed to sunlight and
135 have a high permeability to air ventilation ($SVF > 0.92$ at the measurement point) with no
136 nearby obstacles. Since the sports center, as a high-standard sports facility, hosts a large number
137 and range of sports events and activities, the NT field is irrigated regularly, and the AT surface
138 is properly maintained. The proximity of the two sites facilitated the design of a well-controlled
139 experiment.

140 (Insert Fig. 1 here)

141 **Fig. 1.** Locations of the study sites and microclimatic monitoring instruments in humid-
142 subtropical Hong Kong. The inset photographs show the artificial turf, natural turf, and
143 instrument set.

144
145 The inset picture at the right side of Fig. 1 and

146
147 Table *I* shows the monitoring instruments. To ensure data integrity, continuity, and
148 reliability, each measurement location was equipped with two replicated instruments sets (main
149 and backup). Such a setup ensured that the experimental data would not be interrupted by
150 random factors and instrument failures. The two duplicated datasets are very similar, with no
151 statistically significant difference. Therefore, we used the data from the main set for further
152 analysis. All sensors were calibrated and tested before the start of the measurement campaign.

153
154 **Table 1**

155 The detailed technical information of the instruments used in the microclimatic
156 measurements at the study sites.

157 (Insert Table 1 here)

158

159 In temperate, subtropical, and tropical climate zones, summer is a period of high heat-
160 related health risks (e.g., heat stroke). Therefore, the measurement campaigns were conducted
161 in the summer (from July to August). The available measurement dates depended on the
162 simultaneous availability of both sports fields for our research (outside use and maintenance
163 periods) and weather conditions. The measurements were not conducted under extreme
164 inclement weather conditions such as typhoons and rainstorms. In Hong Kong, the sky
165 condition is complex (Ng et al., 2007). The cloud amount could change substantially and
166 quickly in a single day to induce a complicated diurnal profile of global solar radiation. To
167 understand the influence of weather on the thermal performance of AT and NT, we chose days
168 with representative specific weather types. In sum, we collected data of six complete days
169 representing three main weather types (cloudy, overcast, sunny) that complied with our
170 selection criteria (

171 Table 2).

172

173 **Table 2**

174 The weather conditions on the six measurement days: sunny, cloudy, and overcast, respectively.
175 Both the data gleaned at the study sites and recorded by the government weather station (Hong
176 Kong Observatory, HKO) are listed.

177 (Insert Table 2 here)

178 ^a Bright sunshine refers to solar radiation intensity $I^* \geq 120 \text{ W/m}^2$.

179 *2.2. Evaluation of thermal comfort*

180 *2.2.1. Radiant heat from exposure to AT and NT environment*

181 Mean Radiant Temperature (T_{mrt}) is a fundamental parameter to calculate the thermal
182 comfort index. It evaluates the heat load on a person by assessing the radiant heat received by
183 the human body (Fanger, 1970; Tredre, 1965). A commonly used T_{mrt} calculation method
184 (Equation 1), based on the theory of Kuehn et al. (1970), employs the globe temperature T_g ($^{\circ}\text{C}$)
185 measured by a standard globe thermometer (a black-painted copper sphere with a diameter of
186 150 mm and a thickness of 0.4 mm).

$$T_{mrt} = \left[(T_g + 273.15)^4 + \frac{1.10 * 10^8 * v^{0.6}}{\epsilon * d^{0.4}} (T_g - T_a) \right]^{0.25} - 273.15 \quad (1)$$

187 where T_{mrt} is Mean Radiant Temperature; ε is the emissivity of the globe (0.95 for the standard
188 black globe thermometer); d is the globe diameter in meter (0.15 for the standard black globe);
189 T_a is measured air temperature ($^{\circ}\text{C}$); T_g is globe temperature measured by the globe ($^{\circ}\text{C}$); v is
190 wind speed (m/s). However, previous studies pointed out the limitation of using standard black
191 globes in outdoor environments (Thorsson et al., 2007) as it overestimates the influence of
192 short-wave radiation (Olesen et al., 1989). In an environment with intense direct solar radiation,
193 this limitation could introduce bias to the calculation since the NT surface has a higher albedo
194 (which reflects more solar radiation). To overcome the above limitation, the method proposed
195 by Matzarakis et al. (2010) was adopted to calculate T_{mrt} . The entire surroundings of a
196 measurement location are assumed to consist of a total of n isothermal surfaces, and each
197 isothermal surface has a corresponding spherical solid angle factor F_i . In our study, as
198 mentioned in Section 2.1, the two adjacent sports fields are flat and have a very high sky view
199 factor close to 1 with no obstacles near the measuring locations. Therefore, the entire
200 surroundings of the measurement locations are assumed to be divided into two parts, which are
201 sky and ground. Correspondingly, the F_i for both parts are estimated as 0.5. In the outdoor
202 environment, there are two components of radiant heat from each isothermal surface i , which
203 are long-wave radiation E_i and diffuse and reflected solar radiation D_i . In this study, E_i is
204 directed measured by the Kipp and Zonen CNR4 Net radiometer (Section 2.1). As a special
205 surface, the sky has an additional component - direct solar radiation, which is time and
206 geolocation-dependent (dependent on the sun elevation angle γ , and the solar radiation
207 intensity I^*). Together with the surface projection factor f_p which can be calculated from
208 Equation 2 (Matzarakis et al., 2007). Based on the commonly used calculation method (Fanger,
209 1970; Jendritzky, 1990; Jendritzky and Nübler, 1981), T_{mrt} can be calculated using Equation 3.

$$f_p = 0.308 \times \cos\left[\frac{\gamma(0.998 - \gamma^2)}{5 \times 10^4}\right] \quad (2)$$

$$T_{mrt} = \left[\frac{1}{\sigma} \sum_{i=1}^n \left(E_i + \alpha_k \frac{D_i}{\varepsilon_p} \right) F_i + \frac{f_p \alpha_k I^*}{\varepsilon_p \sigma} \right]^{0.25} - 273.15 \quad (3)$$

210 where σ is the Stefan–Boltzmann constant ($5.67 \times 10^{-8} \text{ W}/(\text{m}^2\text{K}^4)$); ε_p is the emission
211 coefficient of the human body (standard value 0.97); α_k is the absorption coefficient of the
212 irradiated body surface area of short-wave solar radiation (standard value 0.7). However, the
213 CNR4 only measures global solar radiation. It does not separately measure direct solar
214 radiation and diffuse/reflected solar radiation. In that case, the ratio of diffuse to global

215 radiation is set based on a typical estimation by Brown and Gillespie (1995). Specifically,
216 diffuse radiation can be estimated as 10% of the total amount under clear sky conditions.

217 2.2.2. Calculation of mPET thermal comfort index

218 In this study, the modified physiological equivalent temperature (mPET) (Chen and
219 Matzarakis, 2018) was selected as the thermal comfort index to compare AT and NT. The
220 mPET is a further development of the physiological equivalent temperature (PET), which is a
221 well-known and widely used universal index for the biometeorological assessment of the
222 thermal environment (Höppe, 1999; Mayer and Höppe, 1987). Both PET and mPET were
223 developed based on the Munich Energy-Balance Model for Individuals (MEMI), a bio-
224 climatological model of the human body's energy balance. According to the MEMI, mPET can
225 be calculated based on the measured T_a (°C), v (m/s), T_{mrt} (°C), water vapor pressure (p_a , hPa),
226 metabolic rate (M) and clothing index (clo). The value p_a was calculated from measured T_a and
227 RH using Equation 4 (Cena and Clark, 1981):

$$p_a = RH * 10 * \exp\left(16.6536 - \frac{4030.183}{T_a + 235}\right) \quad (4)$$

228 In subtropical Hong Kong, wind and humidity are influential factors of thermal comfort
229 and thermal sensation (Ng and Cheng, 2012). Therefore, we enlisted mPET, which is superior
230 for overcoming the limited sensitivity to wind speed and humidity in the original PET (Chen
231 and Matzarakis, 2018). The T_a , v , T_{mrt} , p_a depend on actual measurements of the thermal
232 environment, and the M and clo are user characteristics. Therefore, the suitability of applying
233 artificial turfs is not only determined by the objective thermal environment but also by
234 subjective factors about user activities (e.g., either relaxing or sports). In this study,
235 combinations of M and clo were set to investigate the thermal comfort condition of different
236 user groups. The energy released by metabolism is regulated by actual muscular activity
237 (MacLaren and Morton, 2011). A series of M was used to represent activities that usually
238 happen on lawns (Table 3). Either a summer dressing style or a professional athlete dress code
239 (Li and Wang, 2018) with a usual value of M = 0.3 clo was used in our calculations.

240 **Table 3**

241 A list of user activities commonly conducted on lawns and their corresponding metabolic rate
242 (The values are relevant to both common citizens and professional athletes).

243 (Insert Table 3 here)

244 Source: ISO (2005)

245 2.2.3. *Thermal sensation and suitability of using artificial turf*

246 The thermal sensation is subjective and closely related to the preferences of different
247 groups of people. People living in different climatic zones with various cultural backgrounds
248 have different levels of physiological and mental adaptation (Kántor et al., 2012). The actual
249 impact of the same PET value on different groups of people tend to vary (Table 4). In the
250 present study, we use the results from a previous questionnaire survey in Hong Kong as the
251 criteria to evaluate thermal perception to match the local study area. It was conducted by Ng
252 and Cheng (2012) to understand the thermal sensation of people in hot and humid climatic
253 conditions. It employs a transverse thermal comfort survey as the experimental method, widely
254 used and proved to be fit-for-purpose in outdoor thermal comfort research in various climatic
255 zones. Specifically, field measurement was conducted to record the immediate micro-
256 meteorological environment, and the subject's demographic information and thermal sensation
257 were recorded simultaneously by a questionnaire. A total of 3639 diverse subjects were
258 evaluated during an extended period spanning summer, autumn and winter across two years,
259 providing a broad range of physical environmental condition and PET from as low as 12 °C to
260 over 41 °C.

261 **Table 4**

262 PET-based thermal sensation vote and physiological heat or cold stress in different climate
263 zones (Köppen climate classification). Our proposed 9-point thermal suitability index (TSI)
264 scale has been added to the table.

265 (Insert Table 4 here)

266 ^a Based on the study of He et al. (2015).

267 ^b Based on the study of Ng and Cheng (2012), and used in this study.

268 ^c Based on the study of Matzarakis et al. (1999).

269

270 2.3. *Developing a thermal suitability index (TSI)*

271 We developed a thermal suitability index (TSI) to assess AT in architectural and urban
272 designs based on potential users' thermal comfort and thermal perception. Multiple linear
273 regression was computed to assess the relationship between the thermal suitability (response
274 variable) and key controlling factors (predictor variables). We expect the TSI to be a simple
275 and straightforward rule-of-thumb explained in layman language so that designers could easily
276 understand and implement it in their practice. We hope to break the knowledge barrier to bridge
277 science with policy and practice. Table 4 includes our proposed 9-point Likert TSI score
278 ranging from -4 to 4 (based on a 9-point Likert scale). For example, a condition without thermal
279 stress in the AT environment will be assigned a TSI score of 0. The medium heat stress in AT

280 environment will correspond to a TSI score of 2 and a slightly cool condition -1. Our TSI score
 281 is designed as a continuous instead of a categorical variable so that a value with decimal can
 282 denote pro-rata and linearly a physically meaningful thermal condition.

283 Physically, the TSI score based on user thermal sensation is jointly regulated by key
 284 variables of air temperature, humidity, wind speed, radiation, human activities, and clothing.
 285 However, not all factors could be fully controlled by design measures. Many influential factors
 286 largely depend on the current site microclimate and background weather. For example, under
 287 subtropical weather and in the compact urban context of Hong Kong, the background humidity
 288 often remains at a relatively high level most of the time (Ng and Cheng, 2012), and wind speed
 289 depends on air ventilation of the site and environs (Ng, 2009). Typically, light summer clothing
 290 (briefs, long light-weight trousers, open-neck shirt with short sleeves, light socks and shoes)
 291 has a usual value of $M \leq 0.5$ clo. However, during hot and humid summertime in tropical or
 292 sub-tropical areas like Hong Kong, the typical clothing (briefs, shorts, open-neck shirt with
 293 short sleeves, light sock and sandals) has an M value of 0.3 clo (Fanger, 1970). Therefore, the
 294 two essential but controllable factors of TSI are human activities and global solar radiation.
 295 Specifically, human activities depend on the design purpose of the site (whether the lawn
 296 surface is a sports field or a public open space for leisure). The global solar radiation is
 297 determined by site location (outdoor locations with high solar accessibility, or outdoor or semi-
 298 outdoor locations mostly under shading, or indoor space without global solar radiation) and
 299 weather condition (clear sky, partially cloudy, or overcast, which change with the site operating
 300 hours). Therefore, we included user activity, weather type and global solar radiation as
 301 predictor variables, the first two of which are categorical variables. Dummy variables were
 302 introduced to express the categorical predictor data. The model equation is shown in Equation
 303 5:

$$\begin{aligned}
 & \textit{Suitability score} \\
 & = \beta_0 + \beta_1 I(\textit{user activity } 0.8 \textit{ Met}) \\
 & \quad + \beta_2 I(\textit{user activity } 1.0 \textit{ Met}) + \beta_3 I(\textit{user activity } 1.2 \textit{ Met}) \\
 & \quad + \beta_4 I(\textit{user activity } 1.6 \textit{ Met}) + \beta_5 I(\textit{user activity } 2.0 \textit{ Met}) \\
 & \quad + \beta_6 I(\textit{user activity } 4.7 \textit{ Met}) + \beta_7 I(\textit{weather type cloudy}) \\
 & \quad + \beta_8 I(\textit{weather type overcast}) + \beta_9 (\textit{solar radiation})^*
 \end{aligned} \tag{5}$$

304

305 where $I(\textit{user activity } ..\textit{Met})$ is a dummy variable representing user activities at various
 306 metabolic rates (reference = user activity - Sports - running at 15 km/h, see Table 3). $I(\textit{weather}$

307 *type ..*) is a dummy variable representing a specific weather type (reference = weather type
308 sunny). β_0 is the model intercept. $\beta_1, \beta_2, \dots, \beta_9$ are the slope of predictor variables. To evaluate
309 the model performance, adjusted R^2 was adopted to provide a more precise indicator of the
310 model correlation. It considers the total amount of predictor variables as an influential factor
311 of model performance and avoids possible interference caused by the excessive number of
312 predictors relative to the data. However, such interference did not concern the present study as
313 the data amount is much larger than the number of predictor variables. Statistically, collinearity
314 between predictors causes model overfitting. The variance inflation factor (VIF) was calculated
315 for all predictor variables to suppress multicollinearity in the model. Moreover, a 10-fold cross
316 validation was also performed to examine the robustness of the resultant model.

317 **3. Results**

318 *3.1. Difference in air temperature, surface temperature and mean radiant temperature*

319 Considering that most lawn use occurs during the daytime, we first extracted the data
320 measured during the daytime for research (0600 to 1900 h, based on the local summer sunrise
321 and sunset time). The surface temperature of the two turf types (AT and NT), as well as the air
322 temperature and mean radiation temperature at 1.5 m height, were compared under three
323 weather types, respectively ((Insert Fig. 2 here)

324 **Fig. 2** left graph). Before performing the temperature comparison, wind speed
325 measurements above the two turf types were examined to avoid the bias caused by the
326 difference in wind condition. The average wind speed to two decimal places at both turfs was
327 0.42 m/s. The Analysis of Variance (ANOVA) and the Student's t-test also indicated no
328 significant difference between the two groups of wind speed data.

329 The ANOVA test and the Student's t-test were performed to compare the average
330 temperature values. The test results indicated a significant difference in surface and air
331 temperatures between AT and NT and among three weather types, both at a significance level
332 of $p < 0.05$. Especially, the surface temperature of AT was significantly higher than NT in all-
333 weather types. The inter-turf difference in surface temperature was the largest in sunny weather,
334 which reached 18.3 °C. In cloudy and overcast weather, the difference was 9.5 °C and 4.6 °C,
335 respectively. The surface temperature of AT shot to a maximum of 74.6 °C on sunny days.
336 Even on cloudy days with less solar radiation, it still attained 52.5 °C. The T_{mrt} followed a
337 similar pattern: The averaged T_{mrt} of AT was 3.4 °C and 0.8 °C higher than NT in sunny and
338 cloudy weather, respectively. Moreover, the terrestrial radiation from AT lifted the ambient air

339 temperature over the turf surface by more than 0.5 °C in comparison with NT. However, the
340 0.4 °C inter-turf difference in T_{mrt} in overcast weather was statistically not significant.

341 The nighttime thermal environment is important for Hong Kong as hot nights could
342 pose greater health threats to the public than hot days (Shi et al., 2019). The lawn is an essential
343 element of urban green space, especially for compact urban areas lacking outdoor open space.
344 We found that AT remained slightly hotter than NT in sunny and cloudy weather in the
345 nighttime ((Insert Fig. 2 here)

346 **Fig. 2** right graph). In overcast weather, AT and NT were similar in air temperature and
347 T_{mrt} . This pattern indicated the subdued daytime insolation could influence nighttime AT
348 performance.

349 (Insert Fig. 2 here)

350 **Fig. 2.** Comparison of daytime (left) and nighttime (right) surface temperature of AT and NT,
351 as well as comparison of their corresponding air temperature and mean radiation temperature
352 at 1.5 m height, under three weather types (quantile box-plot and mean value are shown).

353

354 3.2. *Physiological heat stress of AT and NT and user thermal perception*

355 In this section, physiological heat stress and users' thermal perception were compared
356 between AT and NT in two ways, namely weather type and user activity. The results by weather
357 types are shown in Fig. 3 left graph. We aggregated all types of activities and divided the data
358 into three weather types.

359 The comparison showed no significant difference between AT and NT in the user
360 physiological heat stress on cloudy and overcast days. The difference between the very hot
361 situation and the hot situation was 3.2 % in cloudy weather. Notably, under the overcast
362 weather with almost no direct solar radiation, the difference in user thermal sensation was slight
363 between AT and NT. However, under sunny weather with strong solar radiation intensity, the
364 proportion of hot and very hot situations in AT was 7.7% and 15.1% higher than NT,
365 respectively.

366 The comparison results by user activities are shown in Fig. 3 right graph. NT provided
367 a better thermal environment than AT for activities with a relatively low metabolic rate. The
368 percentage of extreme heat stress in AT was 4.4%, 8.5%, and 13.5% for reclining, seated
369 relaxed and standing relaxed, respectively. NT under the weather brought no extreme heat
370 stress and less strong heat stress to users, thus creating a more satisfying or less stressful

371 thermal environment for leisure. As expected, NT even occasionally brought a slightly cool
372 sensation for users in relaxing, which is much needed to mitigate urban heat. Therefore, NT is
373 more suitable for place-making for public open space.

374 (Insert Fig. 3 here)

375 **Fig. 3.** Comparison of physiological heat stress and users' thermal perception between AT and
376 NT. The proportion of different physiological stress conditions has been plotted by weather
377 type (left) and user activity (right).

378

379 Although NT performs better than AT for standing heavy activities and sports, both
380 turfs could not provide thermal relief to users (especially the athletes) due to the inherently
381 high metabolic rate of the activities and the hot summer in the subtropical climatic zone.
382 Additional heatstroke prevention and cooling measures are necessary for athletes and heavy-
383 activity users, even in NT fields. Therefore, we excluded the thermal index data of these two
384 high metabolic-rate activities from our subsequent analysis of the temporal trend of heat stress
385 of AT and NT to render the results more generalizable for the general public. The histograms
386 in Fig. 4 shows the temporal distribution of various heat stress levels in AT and NT
387 environments. It displays two noticeable differences: (1) Compared to AT, NT greatly reduced
388 the extreme heat stress in 1200 to 1500 h; and (2) NT occasionally provided a slightly cool
389 condition in the hour before sunset, bringing physical and mental comfort to citizens in hot
390 weather.

391 (Insert Fig. 4 here)

392 **Fig. 4.** Daytime temporal trend of mPET of the two turf types in three different weather types.
393 Slightly cool condition appears in NT before sunset.

394 3.3. Regression model and proposed thermal suitability index (TSI)

395 Following the method described in Section 2.3, we performed regression modelling to
396 assess the relationship between thermal comfort-based suitability score and factors
397 representing user activity, weather type, and incoming solar radiation. The resulting regression
398 model is summarized in Table 5. It demonstrated a practically usable performance with an
399 adjusted R^2 of 0.849 and an RMSE of 0.52. A 10-fold cross validation R^2 of 0.848 indicates
400 the robustness of the resultant model. Except for the predictor variable Weather Type
401 [Overcast], other predictor variables registered a significance level of $p < .0001$. The model
402 itself had a significance level of $p < .0001$. The model performance values indicated the ready
403 applicability of TSI as a practical rule-of-thumb for quick evaluation of AT suitability.

404 The VIF was calculated for all predictor variables, with a VIF < 2, indicating the
405 absence of severe multicollinearity in the model. It was reasonable to find no collinearity
406 between weather type and solar radiation in the model. Under different weather types, when
407 the solar radiation was the same, the corresponding thermal comfort conditions might still be
408 different. In cloudy weather, the AT surface would be randomly and alternately exposed to
409 direct sunlight or shaded by clouds. For a specific period with a certain amount of incoming
410 solar radiation, the measured AT surface temperature depended on the antecedent exposure or
411 shading conditions in the previous several minutes due to the lag effect of surface temperature
412 change. Sunny weather at user activity of 9.5 Met was not included in the model structure due
413 to variable redundancy. Moreover, this particular permutation represented the strongest heat
414 stress. As mentioned in Section 3.2, under such circumstances, both AT and NT were not able
415 to provide thermal relief to users (particularly the athletes), and additional heatstroke
416 prevention and cooling measures would be necessary. Even NT would demand measures to
417 minimize heat-related health risks.

418 The regression model (Table 5) provides a simple equation to estimate the thermal
419 comfort-based TSI score. The dummy variables took the value 1 or 0 to represent the presence
420 or absence of the categorical feature. For example, to model a user activity of reclining (0.8
421 Met), we set the predictor variable user activity [0.8 Met] to 1 and set other variables of user
422 activity to 0. This rule applied to all variables except the incoming solar radiation, which
423 directly used the measured or estimated continuous data. We used a case scenario to apply the
424 equation under overcast weather with total incoming solar radiation intensity of 150 W/m² and
425 user activity of seated relaxed (1.0 Met). The equation would yield a TSI score of 1.2,
426 corresponding to a slightly warm situation listed in Table 4.

427 **Table 5**

428 The resultant regression model shows the relationship between thermal comfort-based
429 suitability score and factors representing user activity, weather type, and incoming solar
430 radiation.

431 (Insert Table 5 here)

432 ^a Refer to Table 3 for the specific user activities with different levels of metabolic equivalent.

433 **4. Discussion**

434 *4.1. AT is a possible alternative to NT but only for limited scenarios*

435 It is true that AT demands less watering, fertilizing and other maintenance inputs than
436 NT. However, in the cardinal interest of user thermal health and safety, it should be noted that
437 AT is an acceptable alternative to NT but only for certain scenarios. This study found no
438 significant difference between AT and NT in user thermal sensation in overcast weather when
439 the direct solar radiation is absent and the incoming solar radiation is not strong. This finding
440 indicates that it is acceptable to use AT to replace NT in locations and contexts with little direct
441 solar radiation and only a limited amount of incoming solar radiation. In design practice, these
442 contexts could be a semi-outdoor space or an outdoor space amply shaded by surrounding
443 buildings most of the time. Before deciding whether AT should be installed, running a
444 numerical simulation of solar accessibility of the project site would be wise to provide
445 quantitative evidence on the shading regime, especially in summer.

446 For spaces well exposed to intense solar radiation, AT is not recommended due to users'
447 high heat stress risk. AT increases the ambient air temperature by more than 0.5 °C. In
448 extremely hot weather, even a small increment in air temperature could significantly drive the
449 probability of heat-related health risks (Grundstein et al., 2018). Using AT cannot be
450 recommended for the scenarios of users exposed to direct sunlight and engaged in heavy
451 activities with a high metabolic rate. However, for indoor fields in stadiums and sports centers
452 with proper building air ventilation, AT presents an acceptable substitute for NT, partly because
453 it does not need an energy-intensive artificial daylighting system required by outdoor NT
454 (Navvab, 1999).

455 Under intense solar exposure, the excessively heated AT surface could be cooled down
456 by additional watering (Kanaan et al., 2020). However, as the cooling effect of watering on AT
457 temperature is short-lived if not ephemeral (Jim, 2016; Serensits et al., 2011), frequent
458 irrigation requiring a notable amount of water could discount the water-saving benefits of AT.
459 In any case, spraying water during a game is impractical, hence this method of cooling AT
460 fields could only have limited applicability.

461 *4.2. Transferability of TSI to other climatic zones*

462 Weather background (especially the incoming solar radiation regime) and the city
463 context vary from location to location. As mentioned in Section 2.2.3, the thermal sensation
464 varies among regions in different parts of the world. Moreover, the diverse cultural background

465 also regulates dressing habits. Therefore, appropriate modifications would be needed before
466 transferring and applying the present study results to other climatic zones. As our research
467 methodology and workflow are straightforward, adjusting the TSI to match local natural and
468 cultural conditions would be simple. Specifically, a controlled experiment similar to our field
469 measurement campaign would be necessary for the cities where the suitability of using AT in
470 design practice must be evaluated. Based on the empirical microclimatic data, the regression
471 modelling method explained in Section 2.3 can be performed to establish the statistical
472 relationship between the TSI score and key factors of the study site.

473 *4.3. Imperative of holistic suitability evaluation of artificial turf*

474 Our TSI to assess AT has been developed principally from the perspective of human
475 thermal sensation, given the high priority to prevent heat-related health risks and ensure users'
476 health. Some studies on the life-cycle analysis of artificial turfs have indicated a high
477 environmental cost due to the cradle-to-grave ecological footprints of raw material extraction,
478 product manufacturing, air and water pollution, as well as health burden during usage, and
479 waste disposal concerning the limited useful life span.

480 With a limited understanding of AT's total environmental and health encumbrance,
481 developing a holistic suitability evaluation scheme is pertinent. It can be conceived for effective
482 knowledge transfer from science to practice that is readily applicable to the industry and easily
483 understood by policy-makers and laypersons. The example of the Solar Photovoltaic System
484 (solar PV panels) can offer enlightenment. Similar debates and controversies on solar PV
485 panels have gone on for many years. Efforts have been made in developing guidance notes and
486 assessment criteria in many countries, which provide evidence-based decision-making on their
487 installation. In a similar vein, AT should only be used under suitable scenarios. The simple and
488 straightforward TSI developed in this study can be incorporated into a comprehensive
489 suitability evaluation scheme for the science-informed application of artificial turf in urban
490 open space and architectural design.

491 *4.4. Limitations and future works*

492 The measurements and experiments of the current research were carried out in the
493 subtropical climate. Therefore, our study mainly observed the heat stress brought by AT in the
494 hot summer climate. Consequently, it is a limitation that the present study cannot provide
495 evidence for design practice in avoiding cold physiological stress. Future works could expand

496 the measurements to other climatic regions and seasons. Currently, the investigation of thermal
497 comfort is entirely based on in-situ measurements and calculations of the thermal comfort index.

498 No questionnaire surveys have been conducted to investigate the actual attitudes,
499 behaviors, perceptions and health impacts in using both AT and NT sites. Questionnaires
500 surveys of selected respondent groups regarding age, gender, body configuration, health status
501 and sports training could be adopted in future research. Such surveys could be conducted
502 together with a separate field experiment at another pair of AT and NT sites, which will also
503 provide data for further external validation and fine-tuning of the model of suitability score. In
504 addition, this study predicts thermal sensation based on typical contemporary clothing settings
505 by assuming the clothing index as a single standard value. In future works, it would be valuable
506 to include studies on traditional vernacular costumes from different cultural backgrounds to
507 contribute to the design practice for equity and inclusion.

508 **5. Conclusion**

509 In this study, the thermal environments of artificial and natural turfs were measured and
510 compared in different weather. It is found that the artificial turf significantly increased
511 temperature but only on sunny days. Under the cloudy and overcast conditions, the difference
512 between AT and NT was limited. Based on the data from a simultaneous and co-located
513 measurement of the thermal environment in a site with adjoining artificial and natural turfs,
514 thermal sensations among users conducting various activities were compared by calculating
515 the mPET thermal comfort index. With these empirical measurement data and thermal comfort
516 computations, we developed by regression modelling a new thermal suitability index to assess
517 AT, aiming at informing the practical design and usage application. The index can contribute
518 a necessary and critical part of a holistic suitability evaluation scheme to rationalize the
519 application of artificial turf in urban open space and architectural design.

520 Last but not least, our finding can lead to the practical recommendation that artificial
521 turf is a possible alternative to natural turf only for limited site-weather and user-activity
522 scenarios. For many circumstances with harmful impacts on user health, the use of AT should
523 be avoided. Thus, the application of AT in design practice should be evaluated by a case-by-
524 case analysis. Urban planners, architects, landscape designers, policy-makers, and stakeholders
525 need to understand that AT has been invented only to solve specific problems and cater to
526 certain scenarios. It should not be regarded as a complete substitute for NT. It is also obviously
527 biased to advocate the artificial turf is a “green and environment-friendly” product. AT is not

528 a counterpart of NT. It is synthetic, not living grass. They are essentially two different entities
529 conceived for different purposes that happen to have some overlapping functionality.

530

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685

686 **List of tables**

687 **Table 1**

688 The detailed technical information of the instruments used in the microclimatic
689 measurements at the study sites.

690

691 **Table 2**

692 The weather conditions on the six measurement days: sunny, cloudy, and overcast, respectively.
693 Both the data gleaned at the study sites and recorded by the government weather station (Hong
694 Kong Observatory, HKO) are listed.

695

696 **Table 3**

697 A list of user activities commonly conducted on lawns and their corresponding metabolic rate
698 (The values are relevant to both common citizens and professional athletes).

699

700 **Table 4**

701 PET-based thermal sensation vote and physiological heat or cold stress in different climate
702 zones (Köppen climate classification). Our proposed 9-point thermal suitability index (TSI)
703 scale has been added to the table.

704

705 **Table 5**

706 The resultant regression model shows the relationship between thermal comfort-based
707 suitability score and factors representing user activity, weather type, and incoming solar
708 radiation.

709

710

711 **Table 1**
 712 The detailed technical information of the instruments used in the microclimatic
 713 measurements at the study sites.

| Parameter | Abbreviation and unit | Sensor information | Sensor accuracy |
|-------------------------------------|-----------------------|---|--------------------------|
| Air temperature (at 1.5 m height) | T_a (°C) | Hobo S-THB Thermistor, Bourne, MA, USA | $\pm 0.2^\circ\text{C}$ |
| Turf surface temperature | T_s (°C) | Apogee SI-111 Infrared radiometer, Logan, UT, USA | $\pm 0.2^\circ\text{C}$ |
| Relative humidity (at 1.5 m height) | RH (%) | Hobo S-THB Thermistor, Bourne, MA, USA | $\pm 2.5\%$ |
| Wind speed (at 2.0 m height) | v (m/s) | Hobo S-WCA, Cup anemometer, Bourne, MA, USA | ± 0.5 m/s |
| Global solar radiation | I^* and D_{sky} | Kipp & Zonen CNR4 Net radiometer, Delft, the Netherlands (sensors facing upwards) | $< 5\%$ W/m ² |
| Sky thermal radiation | E_{sky} | | |
| Reflected solar radiation | D_{ground} | Kipp & Zonen CNR4 Net radiometer, Delft, the Netherlands (sensors facing downwards) | |
| Ground thermal radiation | E_{ground} | | |

714

715

716 **Table 2**
 717 The weather conditions on the six measurement days: sunny, cloudy, and overcast, respectively.
 718 Both the data gleaned at the study sites and recorded by the government weather station (Hong
 719 Kong Observatory, HKO) are listed.

| Date (yyyymmdd) | Weather type | Location | Aggregated incident solar radiation (MW/m ²) | Bright sunshine duration (h) ^a | Air temperature (T _a , °C) | | | Relative humidity (RH, %) Mean (Min-Max) |
|--------------------|-----------------|----------|--|---|--|------|-------|--|
| | | | | | Max | Min | Range | |
| 20140705 | Sunny | Site | 24.49 | 9.8 | 34.4 | 28.3 | 6.1 | 80 (69-91) |
| | | HKO | 23.97 | 9.9 | 33.8 | 28.9 | 4.9 | 76 (65-84) |
| 20140706 | Sunny | Site | 22.10 | 10.0 | 34.8 | 28.9 | 5.9 | 80 (67-88) |
| | | HKO | 20.60 | 7.5 | 32.9 | 27.9 | 5.0 | 79 (63-92) |
| 20140707 | Overcast | Site | 6.48 | 4.5 | 30.5 | 27.7 | 2.8 | 87 (78-92) |
| | | HKO | 6.84 | 0.9 | 30.3 | 26.9 | 3.4 | 84 (77-91) |
| 20140804 | Sunny | Site | 23.70 | 9.0 | 34.4 | 28.6 | 5.8 | 80 (67-92) |
| | | HKO | 20.91 | 6.9 | 32.7 | 27.2 | 5.5 | 81 (66-97) |
| 20140805 | Cloudy | Site | 13.24 | 9.8 | 32.3 | 28.2 | 4.1 | 83 (73-88) |
| | | HKO | 10.69 | 2.0 | 30.7 | 27.3 | 3.4 | 86 (78-96) |
| 20140812 | Cloudy | Site | 13.43 | 7.3 | 32.3 | 26.0 | 6.3 | 89 (82-99) |
| | | HKO | 13.84 | 3.4 | 31.4 | 25.3 | 6.1 | 85 (73-98) |

720 ^a Bright sunshine refers to solar radiation intensity $I^* \geq 120 \text{ W/m}^2$.

721

722 **Table 3**

723 A list of user activities commonly conducted on lawns and their corresponding metabolic rate
724 (The values are relevant to both common citizens and professional athletes).

| User activity | Metabolic rate (W/m ²) | Metabolic equivalent (MET) |
|--|------------------------------------|----------------------------|
| Reclining | 46 | 0.8 |
| Seated relaxed | 58 | 1.0 |
| Standing relaxed (e.g., entertainment) | 70 | 1.2 |
| Standing, light activity (e.g., coaching) | 93 | 1.6 |
| Standing, medium activity (e.g., warming up) | 116 | 2.0 |
| Standing, heavy activity (e.g., training) | 275 | 4.7 |
| Sports - running at 15 km/h | 550 | 9.5 |

725 Source: ISO (2005)

726

727 **Table 4**
 728 PET-based thermal sensation vote and physiological heat or cold stress in different climate
 729 zones (Köppen climate classification). Our proposed 9-point thermal suitability index (TSI)
 730 scale has been added to the table.

| Thermal perception | Physiological heat stress or cold stress | Proposed thermal suitability index (TSI) scale | PET for Beijing - warm temperate zone (°C) ^a | PET for Hong Kong - subtropical climate zone (°C) ^b | PET for Western/middle Europe - oceanic climate zones and the hybrid oceanic/continental climate zone (°C) ^c |
|--------------------|--|--|---|--|---|
| Very cold | Extreme cold stress | -4 | < -4 | < 13 | < 4 |
| Cold | Strong cold stress | -3 | -4~8 | 13~17 | 4~8 |
| Cool | Medium cold stress | -2 | 8~16 | 17~21 | 8~13 |
| Slightly cool | Slight cold stress | -1 | 16~22 | 21~25 | 13~18 |
| Neutral | No thermal stress | 0 | 22~28 | 25~29 | 18~23 |
| Slightly warm | Slight heat stress | 1 | 28~32 | 29~33 | 23~29 |
| Warm | Medium heat stress | 2 | 32~38 | 33~37 | 29~35 |
| Hot | Strong heat stress | 3 | 38~44 | 37~41 | 35~41 |
| Very hot | Extreme heat stress | 4 | > 44 | > 41 | > 41 |

731 ^a Based on the study of He et al. (2015).
 732 ^b Based on the study of Ng and Cheng (2012), and used in this study.
 733 ^c Based on the study of Matzarakis et al. (1999).
 734

735 **Table 5**

736 The resultant regression model shows the relationship between thermal comfort-based
 737 suitability score and factors representing user activity, weather type, and incoming solar
 738 radiation.

| Predictor variable ^a | Estimate | Std Error | t Ratio | Prob> t | VIF |
|--|----------|-----------|---------|---------|------|
| Intercept | 1.68e+0 | 1.03e-2 | 163.66 | <.0001* | . |
| User activity [0.8 Met] | -1.00e+0 | 2.02e-2 | -49.57 | <.0001* | 1.71 |
| User activity [1.0 Met] | -8.02e-1 | 2.02e-2 | -39.69 | <.0001* | 1.71 |
| User activity [1.2 Met] | -6.20e-1 | 2.02e-2 | -30.67 | <.0001* | 1.71 |
| User activity [1.6 Met] | -4.49e-1 | 2.02e-2 | -22.24 | <.0001* | 1.71 |
| User activity [2.0 Met] | -2.53e-1 | 2.02e-2 | -12.53 | <.0001* | 1.71 |
| User activity [4.7 Met] | 1.28e+0 | 2.02e-2 | 63.52 | <.0001* | 1.71 |
| Weather Type [Cloudy] | -4.91e-2 | 1.23e-2 | -3.99 | <.0001* | 1.79 |
| Weather Type [Overcast] | -1.06e-2 | 1.50e-2 | -0.71 | 0.481 | 1.85 |
| Incoming solar radiation (W/m ²) | 2.42e-3 | 2.95e-5 | 82.12 | <.0001* | 1.07 |

739 ^a Refer to Table 3 for the specific user activities with different levels of metabolic equivalent.

740

741 **List of figures**

742 **Fig. 1.** Locations of the study sites and microclimatic monitoring instruments in humid-
743 subtropical Hong Kong. The inset photographs show the artificial turf, natural turf, and
744 instrument set.

745

746 **Fig. 2.** Comparison of daytime (left) and nighttime (right) surface temperature of AT and NT,
747 as well as comparison of their corresponding air temperature and mean radiation temperature
748 at 1.5 m height, under three weather types (quantile box-plot and mean value are shown).

749

750 **Fig. 3.** Comparison of physiological heat stress and users' thermal perception between AT and
751 NT. The proportion of different physiological stress conditions has been plotted by weather
752 type (left) and user activity (right).

753

754 **Fig. 4.** Daytime temporal trend of mPET of the two turf types in three different weather types.
755 Slightly cool condition appears in NT before sunset.

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Developing a thermal suitability index to assess artificial turf applications for various site-weather and user-activity scenarios

Figures

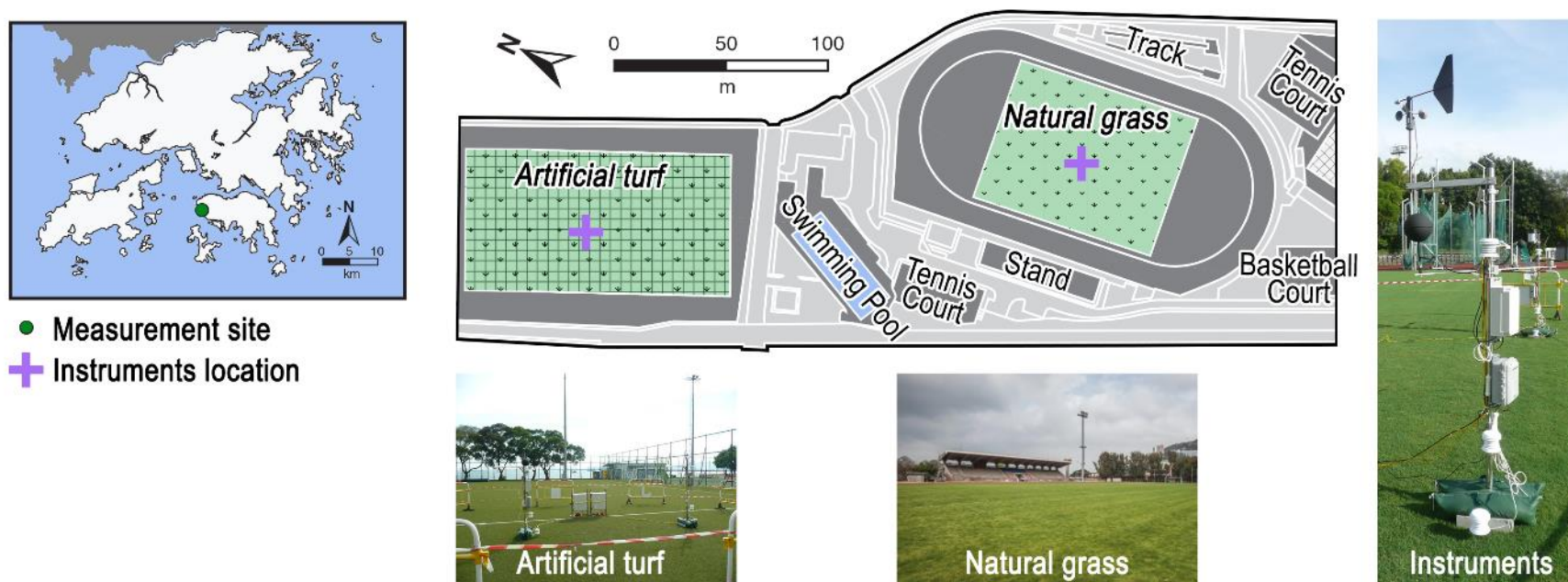


Fig. 1. Locations of the study sites and microclimatic monitoring instruments in humid-subtropical Hong Kong. The inset photographs show the artificial turf, natural turf, and instrument set.

Comparison of T_{mrt} & T_a & T_s of AT and NT in three weather types

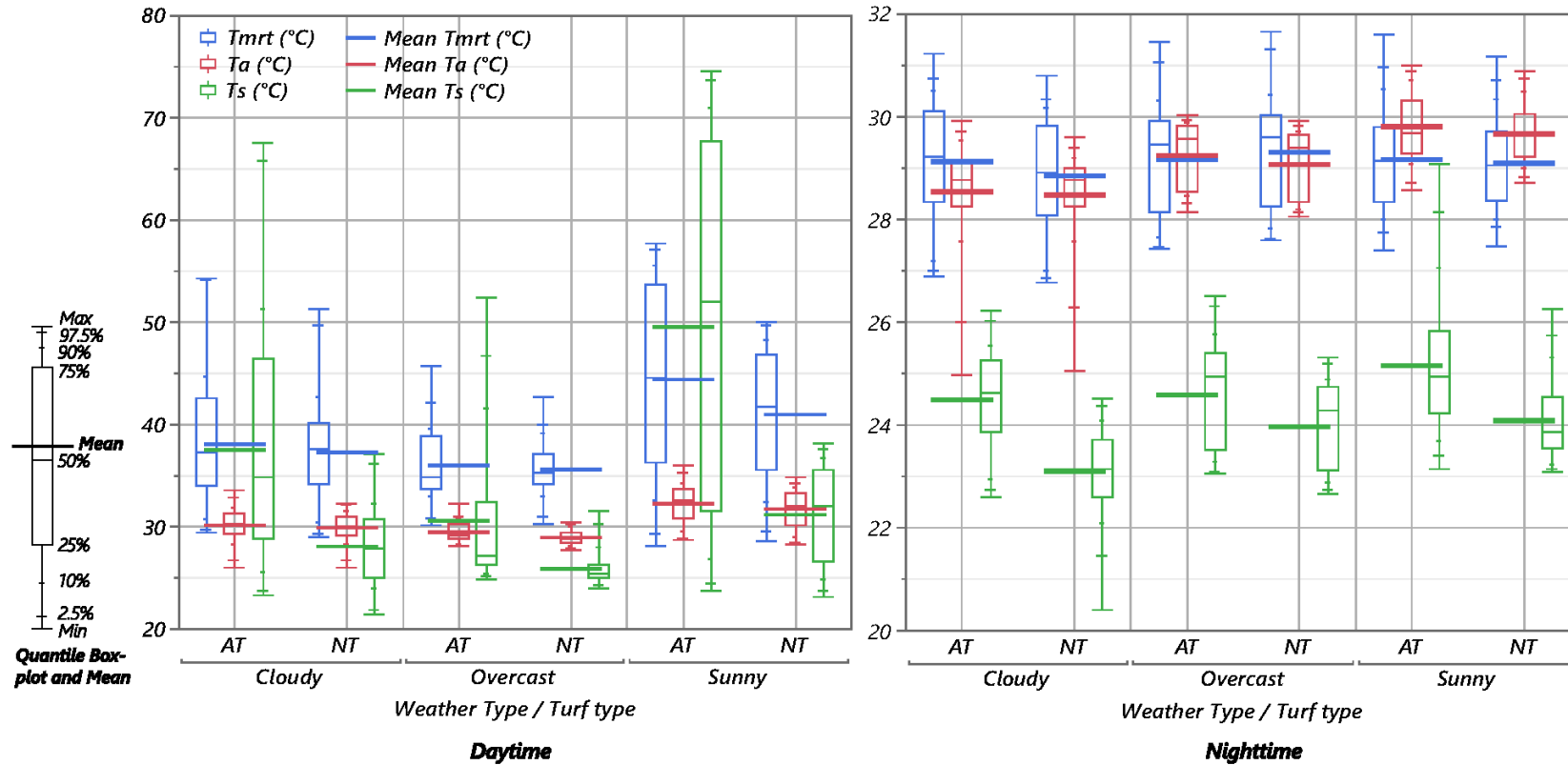


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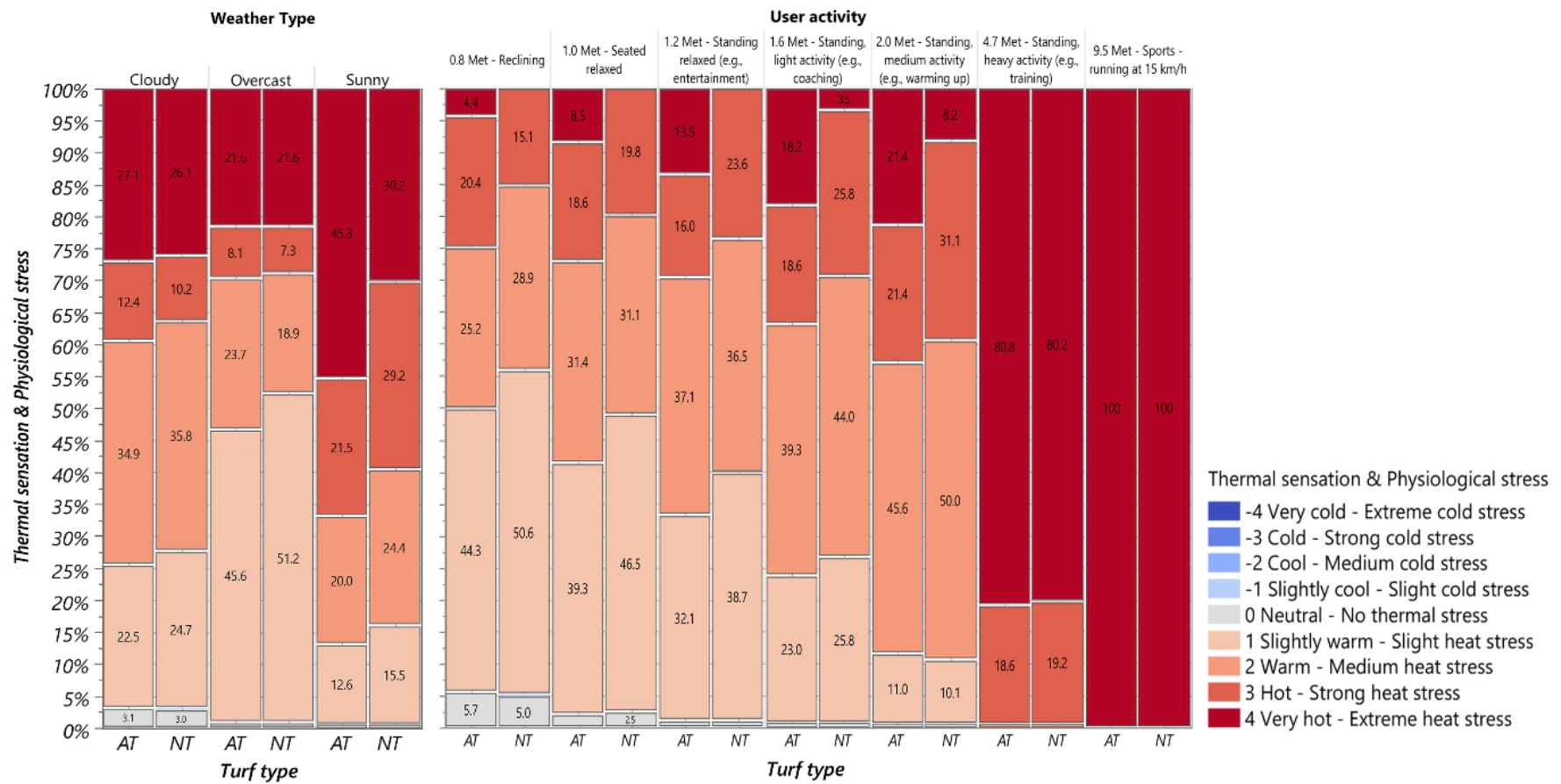


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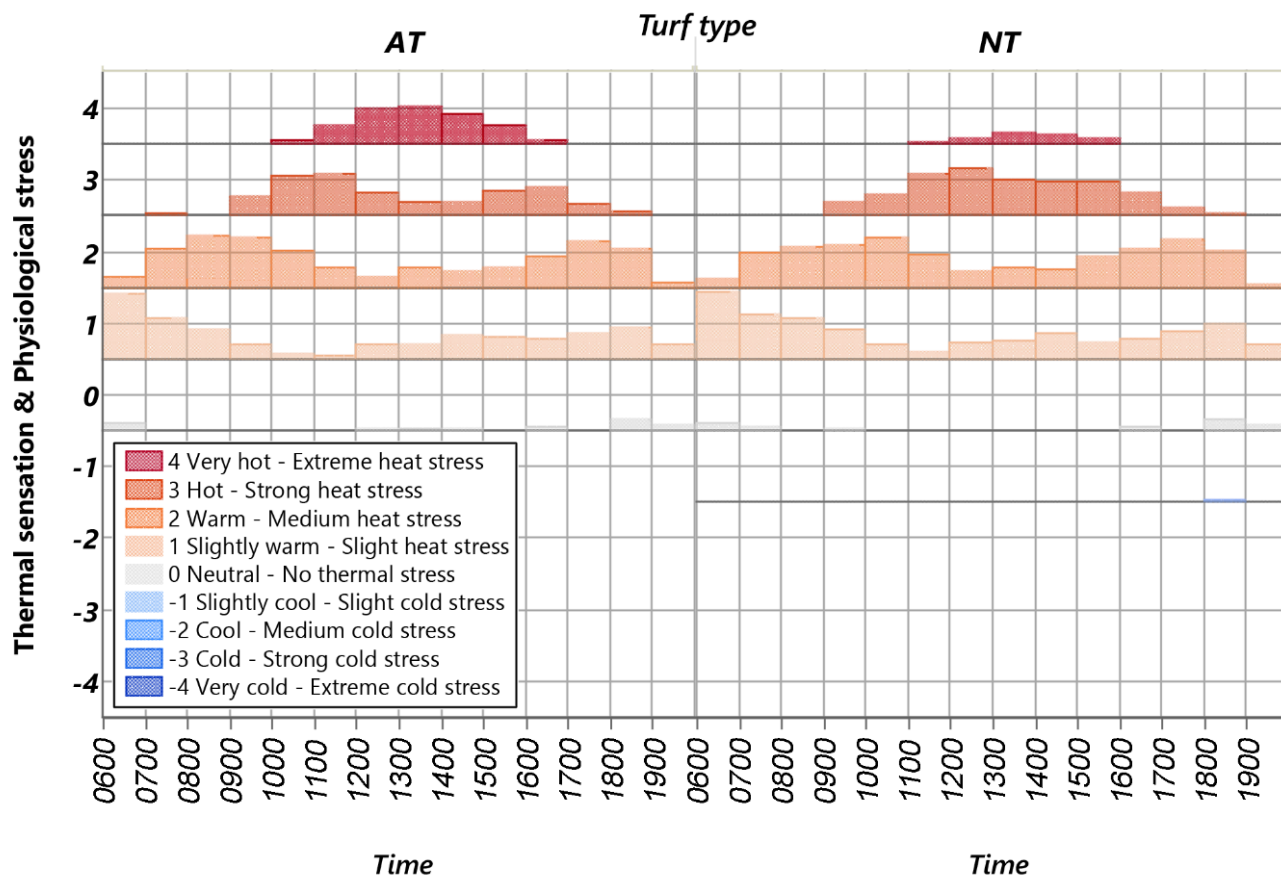


Fig. 4. Daytime temporal trend of mPET of the two turf types in three different weather types. Slightly cool condition appears in NT before sunset.

CRedit authorship contribution statement

Yuan Shi Formal analysis, Writing - original draft

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