# Investigating the Influence of Urban Land Use and Landscape Pattern on PM<sub>2.5</sub> Spatial Variation Using Mobile Monitoring and WUDAPT

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# **Research Highlight**

- Investigating the spatial variation of PM<sub>2.5</sub> in a compact urban scenario;
- Mobile monitoring method was adopted to achieve a better spatial understanding;
- An application of LCZ scheme and WUDAPT level 0 product in urban air quality study;
- Land use/landscape pattern metrics were adopted as the predictors;
- Land use/landscape patterns are influential to the spatial variation of PM<sub>2.5</sub>.

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# 5 **ABSTRACTS**

6 Particulate matter that  $< 2.5 \ \mu m$  in aerodynamic diameter (PM<sub>2.5</sub>) has been recognized as one 7 of the principal pollutants that degrades air quality and increases health burdens. In this study, 8 we employ the MLR and GWR modelling method to obtain estimation models for PM2.5 with 9 a set of land use/landscape metrics as predictor variables. The study focused on investigating 10 the influence of urban land use and landscape pattern on PM<sub>2.5</sub> spatial variation, specifically, 11 on identification of influential landscape classes/types that regulate PM2.5 concentration 12 levels. The spatial PM2.5 concentration in the compact urban scenario of Hong Kong was 13 sampled by conducting a series of mobile monitoring campaigns. The Local Climate Zone 14 (LCZ) Scheme and World Urban Database and Portal Tools (WUDAPT) level 0 database 15 were adopted as the basis of the calculation of land use/landscape metrics. These metrics were then adopted as the predictors to explain the spatial variations in  $PM_{2.5}$ . 62% of the 16 17 variance in PM<sub>2.5</sub> can be explained by the resultant GWR model using only five land 18 use/landscape classes, and without using any traffic-related variables or data from emission 19 inventory. The findings can inform the urban planning strategies for mitigating air pollution 20 and also indicate the usefulness of LCZ and WUDAPT in estimating the spatial variation of 21 urban air quality.

#### 22 **Keywords**

23 air pollution; land use; landscape pattern; mobile monitoring; WUDAPT

#### 24 1. INTRODUCTION

25 More than half of people globally live in urban area and even will increase to over two-thirds 26 by 2050 (UN, 2014). Nowadays, unprecedented rate of urbanization results in air pollution in 27 urban areas and subsequent health impacts on urban population. Over 90 percent of the global population are exposing to air pollution that beyond the recommended level confirmed by 28 29 WHO recently (UN, 2016). The amount of death caused by air pollution reached to 650 30 million in 2012 which accounts for 11.6% of the annual death toll in the world. Hence, the 31 life risks caused by exposure to air pollution requires global attention (UNEP, 2012). With 32 the rapid urban development in recent years, environmental issues associated with air 33 pollution have become an enormous challenge to most of the large cities in Asia (Schwela et 34 al., 2012). As one of the most compact cities in Asia, Hong Kong is experiencing the 35 challenges from severe air pollution (Kim Oanh et al., 2006; Schwela et al., 2012). Air 36 quality monitoring data from local authority indicates that Hong Kong still fails to meet the 37 WHO air quality standards (Brajer et al., 2006) despite efforts in the last decade (HKEPD, 38 2005). Notably, the annual average PM<sub>2.5</sub> concentration is double of the WHO standard. 39  $PM_{25}$  - particulate matter (PM) that < 2.5 µm in aerodynamic diameter, has been recognized 40 as one of the principal pollutants that degrades air quality and is associated with 41 cardiovascular and respiratory mortality and hospitalizations (Lin et al., 2017; Wong et al., 42 2002). According to the World Health Statistics (WHO, 2016), approximate 90% of the 43 population living in cities was exposed to PM concentrations exceeding the WHO air quality 44 guidelines (AQGs) (WHO and UNAIDS, 2006). It heavily influences the liveability of urban 45 areas and the living quality of urban population.

46 Urban development significantly changes the natural land cover and landscape patterns

47 (Landsberg, 1981) and such a highly artificial landscape and land cover in urbanized areas

48 considerably altered local climate (Pielke and Avissar, 1990), air quality (Bogucki and Turner,

49 1987) and biodiversity (Alkemade et al., 2009). As such, it is important to optimize land use 50 allocation/landscape planning for an environmental and sustainable urban development has 51 been emphasized (de Groot et al., 2010). It has been observed that the spatial variation of 52 intraurban air pollution closely relates to land use planning (Foley et al., 2005; Xian, 2007). 53 Different land use types in the city have varied effects on the urban air quality. Industrial 54 areas and heavy traffic usually contribute to a considerably high concentration level of both 55 particulate matters (PM<sub>2.5</sub>, PM<sub>10</sub>) and gaseous pollutants (CO, NO<sub>X</sub>) due to the large emission 56 intensity (de Hoogh et al., 2013; Habermann et al., 2015; Ross et al., 2006). In compact urban 57 areas, zones with high level of air pollution spatially correlate with commercial and 58 residential land use because the compact urban form blocks air ventilation and, consequently, 59 impede the dispersion of air pollutants (Shi et al., 2017). Open space is also influential to 60 pollutant dispersion. Proximity to open urban public space (e.g. public squares, city parks, 61 playgrounds) contributes to a better air movement (Ng, 2009) and hence benefits pollutant 62 dispersion. Differently, proximity to waterfront area has both benefits and inconveniences to 63 local air quality. The specific condition might depend on the climatic characteristics and 64 geographical contexts. Proximity to waterfronts often provides better ventilation for pollutant 65 dispersion. However, a strong radiation condition plus the presence of primary air pollutants react and form troposphere ozone in waterfronts areas (Simpson, 1994). 66

A modification in landscape patterns also affects the spatial variation of air pollution by
interfering with critical atmospheric processes that are decisive to the transport, deposition,
and dispersion of the air pollutants (Pielke et al., 2002; Weaver and Avissar, 2001). For
example, there have been many studies emphasizing the importance of urban greening and
forests to the improvement of urban air quality (Escobedo et al., 2011; Nowak et al., 2006).
Vegetation has the capacity to separating aerosols and chemicals from the atmosphere.
Generally speaking, the concentrations of particulate air pollutants can be significantly

reduced due to the influence of vegetation on the deposition velocity, particularly, when the vegetation is close to the emission sources (Janhäll, 2015). However, the specific situation depends on the types of pollutants (e.g.  $PM_{2.5}$  or VOC), the types of vegetations (e.g. tall tree or low bush), and the geometrical characteristics of street canyons (Vos et al., 2013).

78 Despite that the land use is one of the most important determinants of urban air quality, most 79 of the current studies only adopted the areal composition (the total area of each type of land 80 use in a certain spatial extent) as the indicator to quantify the land use (Hoek et al., 2008). 81 The spatial pattern (e.g. the allocation, layout, evenness, fragmentation, etc.) of different land 82 use types have been rarely considered in the investigation of the spatial variation of 83 intraurban air quality. This is an obvious research gap because the spatial variation of intraurban air quality associates with the land use planning via many different pathways 84 85 (Frank et al., 2006). Facilitated by the rapid development in geographic information system 86 (GIS) technologies, hundreds of indicators/metrics have been developed to quantify land use 87 allocation and landscape patterns (Gustafson, 1998), which has been considered as the 88 prerequisite to the studies in urban ecological research (McGarigal, 2006). However, there 89 are only a limited amount of studies that focuses on the relationship between urban land 90 use/landscape patterns and urban air pollution (Wu et al., 2015). Therefore, the present study 91 aims to achieve a comprehensive understanding on the influence of land use and landscape 92 planning on the spatial pattern of PM<sub>2.5</sub> in Hong Kong.

Mobile monitoring, as an efficient method of the spatial investigation, has been increasingly
used in the intraurban air quality research and pollution exposure studies (Adams and
Kanaroglou, 2016; Hagler et al., 2010; Isakov et al., 2007; Westerdahl et al., 2005; Xu et al.,
2017) due to its advantages of spatial coverage over the limited amount of the sparsely
distributed air quality monitoring stations. In this study, by conducting a series of vehicularbased mobile monitoring campaigns, the ground-level PM<sub>2.5</sub> concentrations were sampled in

99 different parts of Hong Kong with varied land use/landscape. After the mobile monitoring 100 campaigns, the mobile monitored spatial PM<sub>2.5</sub> data were collated in GIS. Meanwhile, the land use and landscape pattern of Hong Kong was quantified by calculating a set of well-101 102 established landscape pattern metrics based on the globally standardized Local Climate Zone 103 (LCZ) scheme (Stewart and Oke, 2012). Multivariate statistical correlation analysis was then 104 performed to correlate the spatial PM<sub>2.5</sub> data with the landscape pattern metrics. As the results, 105 the correlation models were developed for the spatial estimation of intraurban air pollution. 106 The resultant models were validated by the monitoring data from fixed air quality stations 107 operated by the local authority. On top of the models, the influence of urban land use and 108 landscape planning on the spatial patterns of PM<sub>2.5</sub> was investigated by identifying the critical 109 metrics of land use/landscape patterns. Figure 1 demonstrates the workflow of the present 110 study.



112 Figure 1. The workflow chart of the present study.

#### 113 **2. METHODS**

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# 2.1. Quantifying the Land Use and Landscape Spatial Pattern

#### 115 **2.1.1.** The Application of LCZ Scheme and WUDAPT Level 0 Product

116 Most of the current studies focused on the influence of land use on urban air quality only use 117 the area and distance as the indicator/predictor to measure the land use and proximity to open 118 space (Hoek et al., 2008). The detailed spatial pattern (e.g. the configuration, allocation, 119 evenness, fragmentation, clustering, edge effects, etc.) of different land use/landscape types 120 have rarely been quantified in the investigation of the spatial variation of intraurban air 121 pollution. Previous land use/landscape studies usually use the land use data provided by the 122 local governmental authorities of the study area, which makes the cross-cities comparison 123 becomes difficult due to the varied land use classification schemes. Currently, the USGS 124 land-use and land-cover category is an internationally idiomatic standard classification of 125 land use (Anderson, 1976). For example, the most popularly used model in the field of 126 atmospheric pollution modelling - Weather Research and Forecasting model coupled with 127 Chemistry (WRF-Chem) adopts the USGS 24-category land-use data as the default built-in 128 land use data (Grell et al., 2005). In Hong Kong, the lack of land resources and high degree of 129 population aggregation jointly form a compact and vertical mode of urban development. In 130 the high-density urban built-up areas, there are a considerable number of high-rise 131 buildings/skyscrapers with varied functions at different floors (Lau et al., 2005). In contrast to 132 the high-density urban core, there are more than 70% of the total land area (approximately 133 1100 km<sup>2</sup>) are vegetated mountainous areas and urban forests (Taylor, 1986). The mixing of 134 highly diverse land use shapes an extremely heterogeneous landscape of Hong Kong so such 135 a unique urban context cannot be well depicted by the USGS 24-category. Based on the 136 widely used land surface classification scheme - local climate zone (LCZ) (Bechtel et al.,

- 137 2015; Stewart and Oke, 2012), both built-up areas and natural land cover can be classified
- 138 into 17 distinct types for the depiction of the land use diversity and variability of the context
- 139 of Hong Kong, especially for the densely built-up areas (Table 1).
- 140 Table 1. The land use categories of Hong Kong a comparison between WUDAPT and
- 141 USGS 24-category land use classification.

WUDAPT C	Classification based on LCZ	USGS 24-category Land Use Classification			
LCZ Category	Land Use/Landscape Description	Land Use Category	Land Use/Landscape Description		
LCZ 1	Compact High-rise	20			
LCZ 4	Open High-rise	20	Urban (High-rise)		
LCZ 2	Compact Mid-rise	1	Urban (Midriga)		
LCZ 5	Open Mid-rise	1	Orban (Mid-fise)		
LCZ 3	Compact Low-rise				
LCZ 6	Open Low-rise				
LCZ 7	Lightweight Low-rise	22	Urbon (Low rise)		
LCZ 8	Large Low-rise	23	Oldan (Low-fise)		
LCZ 9	Sparsely Built				
LCZ 10	Heavy Industry				
LCZ A	Dense Trees	15	Mixed Forest		
LCZ B	Scattered Trees	15	WIXed Folest		
LCZ C	Bush, Scrub	8	Shrubland		
LCZ D	Low Plant	5	Cropland/Grassland Mosaic		
LCZ E	Bare Rock or Paved	10	Parran or Sparaaly Vagatatad		
LCZ F	Bare Soil or Sand	19	Barren of Sparsely vegetated		
LCZ G	Water	16	Water Bodies		

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143 WUDAPT is a global initiative project volunteered by local urban experts. It aims to establish 144 a global urban database based on the LCZ scheme (Mills et al., 2015). The level 0 data provides a 17-type land classification map at fine spatial scale and has sufficient quality for 145 146 environmental research application (Bechtel et al., 2019). Now there are over 150 cities' 147 standardized LCZ data available on the WUDPAT data platform and this initiative has 148 attracted a growing multi-disciplinary research community's interest. In Hong Kong, a 149 WUDAPT level 0 database has been developed at a fine spatial resolution of 100m in a series 150 of previous studies based on satellite images (Ren et al., 2016; Wang et al., 2017). The results 151 of the accuracy assessment indicate that the resultant WUDAPT classification of Hong Kong

is suitable for depicting of the diversity and variability of the landscape of Hong Kong.
Therefore, it was adopted by the present study as the basis of land use and landscape analysis.

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# 2.1.2. The Calculation of Landscape Metrics

As highly quantifiable measures, the calculation of landscape metrics have been incorporated 155 156 into the satellite image-based land use/land cover analysis (Southworth et al., 2002). Most of 157 the landscape metrics are developed based on the classic "patch-corridor-matrix" theory in 158 landscape ecology (Forman, 1995). In the present study, six landscape metrics were selected 159 based on literature (Neel et al., 2004; Roy and Mark, 1996) to quantify the detailed spatial 160 pattern of different land use/landscape types by utilizing knowledge of landscape ecology. 161 They are separated into two different groups because they belong to different landscape 162 levels: the class-level and the landscape-level. Briefly speaking, four class-level metrics 163 represent the quantity and the spatial pattern of one particular type of land use/cover within 164 the unit area. Two landscape-level metrics evaluate the combination, arrangement, and 165 mixing of all different types of land use/cover within the unit area. Four class-level landscape 166 metrics were selected to represents the spatial pattern of each type of land use/landscape 167 classes - percentage of landscape types (PLAND), Largest Patch Index (LPI), Aggregation 168 Index (AI), Connectance Index (CONNECT). Two widely used landscape-level metric -169 contagion index (CONTAG) and Shannon's Evenness Index (SEI) was adopted to quantify 170 the diversity of the land use. In this study, Fragstats (version 4) – a widely used program for 171 spatial pattern analysis of categorical maps, was used to calculate all landscape metrics 172 (McGarigal et al., 2012).

PLAND is the most basic class-level metric of landscape composition. It calculates the areal
proportion of a certain type of landscape in the focused area (refers to each moving

window/round buffer in this study, see section 2.3) as a percentage value, which can becalculated as follows:

$$PLAND = P_i = \frac{\sum_{j=1}^{n} a_{ij}}{A} * 100$$
 Equation 1

177 where,  $P_i$  is the PLAND of landscape type (LCZ class, in this study) *i*. *n* is the total number 178 of patches of the specified landscape type in the study area.  $a_{ij}$  is the area of landscape patch 179 *j* of the landscape type *i*. LPI is also a measure of the areal proportion of specific landscapes, 180 which is similar with PLAND. The only difference is that LPI is only calculating the 181 percentage of the largest single patch instead of accounting all patches of the specified 182 landscape type (Equation 2). Therefore, it is a measure of the dominance of each landscape 183 type in the study area.

$$LPI = \frac{\prod_{j=1}^{j} a_{ij}}{A} * 100$$
 Equation 2

184 AI has been developed to measure the spatial aggregation levels of a specific landscape type 185 in the study area (He et al., 2000). Some earlier developed landscape metrics are scale-186 dependent which means that the calculation results will be to a certain extent sensitive to the 187 map resolution (Turner and Gardner, 2015). AI overcomes the above limitation of those 188 previous metrics, therefore, was selected by this study to evaluate the aggregation level of 189 patches of each landscape type. AI is a percentage value of the frequency of the spatial 190 adjacencies between the patches of a specified landscape type. AI = 0, when all patches of the 191 specified landscape type are entirely dispersed. The details of calculation have been 192 demonstrated by He et al. (2000) in their study. CONNECT quantitatively evaluates the 193 functional connectivity between patches of each built-up or landscape type (in this study, the

LCZ sites). It is an important concept in landscape ecology (Tischendorf and Fahrig, 2000).
All patches of the same type in the study area is firstly paired. Based on a threshold of
distance, each pair of patches is defined to be either connected or unconnected in terms of
their landscape function. As an indicator of the functional connectivity, CONNECT
calculates the percentage of connected pairs (Equation 3):

$$CONNECT = \frac{\sum_{\substack{j \neq k}}^{n} c_{ijk}}{\frac{n_i(n_i - 1)}{2}} * 100$$
 Equation 3

where  $n_i$  is the total number of patches of the specified landscape type in this study area 199 (there are a total of  $n_i(n_i - 1)/2$  pairs). j and k are the two patches of a pair.  $c_{iik} = 1$  if the 200 201 two patches are connected, otherwise,  $c_{ijk} = 0$ . At the landscape-level, the evaluation of 202 landscape contagion in this study is based on an improved metric - CONTAG which is 203 developed by Li and Reynolds (1993) (The detailed algorithm has been demonstrated by their 204 study). CONTAG evaluates the landscape aggregation in a certain study area by taking all 205 landscape types into consideration. It is a percentage value ranges from 0 (all landscape types 206 in the study area are maximally disaggregated) to 100 (all landscape types in the study area 207 are maximally aggregated). SEI is another widely-used landscape-level metric of measuring 208 the diversity of land use/landscape composition in a certain area, which ranges from 0 (no 209 diversity, one single type of landscape dominates the entire study area) to 1 (high diversity 210 without dominance effect, the proportion of all types of landscape are perfectly the same in 211 the study area). SEI was calculated based on the following equation:

$$SEI = \frac{-\sum_{i=1}^{m} (P_i * \ln P_i)}{\ln m}$$
 Equation 4

where,  $P_i$  is the PLAND of landscape type (LCZ class) *i*. *m* is the total number of landscape types in the study area. All landscape metrics were normalized to [0,1] and used as the predictors of PM<sub>2.5</sub> concentration in later analysis (section 2.3.1).

- 215 **2.2. PM<sub>2.5</sub> Mobile Monitoring Campaigns**
- 216

#### 2.2.1. Monitoring Plan

217 Mobile monitoring method has been increasingly adopted to investigate the spatial variation 218 of urban air quality (Adams and Kanaroglou, 2016; Xu et al., 2017). In this present study, the 219 spatial variation of ground-level PM<sub>2.5</sub> in Hong Kong were investigated by a series of 220 vehicular-based mobile monitoring. The mobile monitoring method has been successfully 221 adopted in a preliminary study of Hong Kong to investigate the street-level particulate air 222 pollution in the downtown area of Hong Kong within a relatively small spatial extent (Shi et 223 al., 2016). However, the design of monitoring route is largely determined by the study 224 objective. It should be noticed that Hong Kong is a mountainous city with a highly 225 heterogeneous landscape pattern and a compact urban scenario in its built-up area. To serve 226 the objective of the present study, the mobile monitoring route has to be entirely redesigned 227 in order to cover a broad range of various types of land use and landscapes. As the results, 228 two monitoring routes with a total length of about 90 km were designed by the present study 229 (Figure 2). The first route has a length of 35 km and mainly passes through more built-up 230 areas with artificial land covers and landscapes. The second route has a length of 55 km 231 mainly covers the natural landscapes/land cover.



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Figure 2. The location of Hong Kong, and the two mobile monitoring routes used in the present study in sampling the ground-level  $PM_{2.5}$  in different types of the land use/landscapes of Hong Kong (based on the LCZ classification scheme).  $PM_{2.5}$  data of corresponding time period of mobile monitoring campaign from the four labelled air quality stations will be used as the external validation dataset. Modified from: Shi et al. (2018).

Mobile monitoring campaigns with repeated monitoring runs on the same route at properly-238 239 selected time slots are required for reliable observations (Elen et al., 2013). On top of that, the 240 spatiotemporal data can be spatially aggregated for each monitored location on the route to 241 obtain a robust estimation on spatial pattern of the air quality (Hatzopoulou et al., 2017). Three particular time slots of each day were selected by this study for monitoring the PM<sub>2.5</sub> 242 243 spatial variation in a diurnal cycle, which are 09:00 am to 11:00 am, 2:00 pm to 4:00 pm, and 244 7:00 pm to 9:00 pm. Considering the regional transportation of the PM<sub>2.5</sub> from the Pearl River Delta region (PRD) of Mainland China affects Hong Kong only one-third of time in the year 245

mainly during the winter time (Lau et al., 2007; Yuan et al., 2006), all monitoring campaigns
were conducted between July and October to avoid the dominance effect of regional air
pollution. The mobile monitoring campaigns were shared by the present study and another
previous study on the spatial investigation of air temperature (Shi et al., 2018). Therefore,
details information has been provided by the above previous study.

251

# 2.2.2. Instrumentation and Data Calibration

252 A compact multi-purpose vehicle with a PM<sub>2.5</sub> monitor and microclimate probes equipped 253 was used for the mobile measurement campaigns in the present study. The concentration 254 level of PM<sub>2.5</sub> was monitored by a DustTrak DRX aerosol monitor (hereinafter the DustTrak) 255 with a temporal frequency of 1Hz. The air temperature  $(T_a, {}^{\circ}C)$  and relative humidity (RH, %)256 were synchronously monitored by a set of TESTO<sup>TM</sup> 480 Thermometers. A GPS locator and 257 a video camera were also installed to record the geographical position and the surrounding 258 conditions. Before being installed on the measurement vehicle, the DustTrak monitor was 259 collocated with a roadside air quality monitoring station (Mong Kok Station) of the Hong 260 Kong Environmental Protection Department (HKEPD) (HKEPD, 2013) for calibration. The 261 annual average RH of Hong Kong is approximate 80% which is a relatively high level. All 262 main chemical components of the aerosol are measured by the DustTrak (a light scattering 263 instrument), which account for about 70% or more of PM<sub>2.5</sub> mass. Consequently, the reading will increase with high relative humidity due to the increase in the average particle size 264 265 associated with condensational growth of hygroscopic components of the aerosol (Swietlicki, 266 2004; Zhang, 1996). Therefore, the DustTrak readings were firstly corrected to remove the 267 influence of the particle-bound water using the synchronously monitored *RH* (Equation 5) 268 based on Ramachandran et al. (2003):

$$PM_{2.5DRXRH} = PM_{2.5DRX} / \left[ 1 + 0.25 \frac{RH^2}{(1 - RH)} \right]$$
 Equation 5

269 where the  $PM_{2.5DRX}$  is the uncorrected DustTrak readings. The  $PM_{2.5DRXRH}$  is the corrected 270 readings in which the remove the influence of the particle-bound water has been removed. 271 The collocation comparison method with a linear relationship-based calibration is commonly 272 used for the calibration of DustTrak DRX and has been used in previous studies in Hong 273 Kong (Che et al., 2016; Li et al., 2018; Li et al., 2017). Similarly, in the present study, the 274 DustTrak used for mobile monitoring was collocated with the aforementioned monitoring 275 station for a 12-hour collocation campaign. A linear regression was then performed to derive 276 the relationship between hourly averaged readings from the DustTrak and the hourly monitoring data of the reference station. The resultant  $r^2 = 0.898$  indicates a good relationship. 277 278 Therefore, the slope of the linear regression (which is 1.69) was used as the calibration factor 279  $(CF_{PM2.5DRX})$  for the photometric calibration of the DustTrak monitor (Equation 6).

$$PM_{2.5DRXRHCF} = \frac{PM_{2.5DRXRH}}{CF_{PM2.5DRX}}$$
 Equation 6

where the  $PM_{2.5DRXRH}$  is the hourly averaged readings from the DustTrak after humidity correction.  $PM_{2.5DRXRHCF}$  is the resultant value after both the humidity correction and photometric calibration. The above humidity correction and photometric calibration were performed for all  $PM_{2.5}$  data from the mobile monitoring campaigns before further data processing.

285 **2.2.3. Data Processing** 

The complex roadside environment of Hong Kong is usually being influenced by intense traffic flows and other roadside anthropogenic activities. Some abnormal data samples (show as the spikes and outliers in the dataset) that influenced by anomalous pollution sources have been observed in our measurement data. The sampled PM<sub>2.5</sub> values could be much higher than the typical ambient concentration level, when driving closely behind heavy-duty diesel vehicles or driving near building construction sites/roadside food restaurants. In this study, a 4-order polynomial Savitzky–Golay (S-G) filter was used to deal with the abnormal data spikes. S-G filter is a moving average filter developed for eliminating the data noise without significant distortion of the data (Orfanidis, 1995). A data span of 11 (the mean of the sampling point numbers in per HK LCZ map cell) was used as the data span for performing the data filter.

297 Temporal effects in background PM<sub>2.5</sub> concentration level need to be removed from the 298 spatial dataset. Temporal adjustments were made for each mobile monitoring dataset to 299 eliminate the impacts of hour-to-hour difference. Hourly PM25 monitoring data from the 300 nearest HKEPD general air quality monitoring station were used as the reference for the 301 temporal adjustment of each mobile monitoring data point based on a linear assumption of 302 temporal changes in background PM<sub>2.5</sub> concentration level. The reference air quality 303 monitoring stations in the study area were shown in Figure 2. The spatial estimation of air 304 quality trend is also sensitive to the data processing strategies (Brantley et al., 2014). An 305 appropriate spatial scale is also essential to the spatial investigation of air quality 306 (Lightowlers et al., 2008). In this study, the spatial scale of data aggregation is determined to 307 be in conformity with the spatial resolution of the Hong Kong LCZ map. The cell size of Hong Kong LCZ map as WUDAPT level 0 product is 100m × 100m. Therefore, a distance of 308 309 100m was used as the spatial interval to create a groups of equally spaced aggregation points 310 along the two mobile monitoring routes (a total of 826 aggregation points was generated). All 311 measured PM<sub>2.5</sub> data were then aggregated to these aggregation points by mean and used as 312 the response variables in the statistical modelling later.

313

# 2.3. Correlating the Land Use/Landscape Pattern with PM<sub>2.5</sub> Observations

314

# 2.3.1. Predictor Variables and Response Variable

315 The spatial gradient of all landscape metrics mentioned above was analyzed over entire Hong Kong by using a moving windows method. A round-shaped buffer was used as the shape of 316 317 the moving window. It was created for each cell of the LCZ classification map (mentioned in 318 section 2.1) so that the six metrics can be calculated for each land use type at each location of 319 Hong Kong. A series of buffer radius  $(R_{Buffer})$  were adopted to investigate the landscape 320 pattern at different spatial scales - 100, 200, 300, 400, 500, 750, 1000, 1500, 2000m. The  $R_{Buffer}$  ranges from a small spatial scale of a small street block (100m) to a large spatial size 321 that similar to a common Tertiary Planning Unit (TPU) of Hong Kong (2000m). The 322 323 calculated values of all above metrics at all PM2.5 data aggregation points (results from 324 section 2.2) were extracted and used as the predictor datasets. The longitude (X-coordinates), 325 latitude (Y-coordinates), and altitude (Z) of each point (based on HK1980 Transverse 326 Mercator project coordinate system) were also used as the candidate predictor variables of the 327 correlation model. The corresponding aggregated PM<sub>2.5</sub> data were used as the response 328 variables.

329

#### **2.3.2.** Developing the Correlation Model

As introduced in section 2.1.2, the four class-level metrics among the six metrics are designed to represent the spatial pattern of each land use/landscape type, which means that these metrics need to be calculated for each land use/landscape type listed in Table 1 (17 times in total). The same metric calculated using two different  $R_{Buffer}$  are used as two separate predictor variables in the development of correlation model. For example, the PLAND of the type LCZ 1 calculated using 100 m and 200 m buffers will be regarded as two different metrics in this study, such that there are 70 metrics need to be calculated using nine 337 different buffers. With the geo-coordinates (X, Y, and Z), as the results, a total of 633 338 predictor variables need to be examined during the modelling process which possibly leads to 339 multicollinearity issues due to this large number of predictors (Franke, 2010). The 340 multicollinearity in predictor variable data causes unreliable regression modelling results in 341 environmental and ecological research, which should be minimized (Abdul-Wahab et al., 342 2005; Graham, 2003). To serve as a reference for urban land use planning and landscape 343 management, our regression modelling process aims to include those most significant 344 predictors that would explain as much as of the influence of land use and landscape in the 345 spatial variation of the response variables - PM<sub>2.5</sub> concentration. Therefore, the following 346 stages of works were performed to screen all candidate variables and retain only a subset of 347 significant variables. Only a limited number of variables will be finally included in the 348 resultant model.

349 Stage 1 – Identifying the most influential moving window size/buffer for each metric. The 350 impact range of different land use/landscape types may vary due to the differences in the 351 emission, deposition rate as well as the complex physical or chemical basis of the particulate 352 air pollutant diffusion and dispersion. Geographically, land use/landscape pattern quantified by a specific metrics within its most influential buffers explains the variation of  $PM_{2.5}$ 353 354 concentration to the greatest extent. Above is the reason behind performing the moving 355 windows analysis based on a series of different  $R_{Buffer}$ . For example, the heavy industrial 356 land use (LCZ 10 in Table 1) could affect the PM<sub>2.5</sub> concentration level within a geographical 357 extent of several kilometers, while an isolated small piece of vegetated area (e.g. a small 358 urban park in LCZ B) could only improve the ambient air quality within a couple of hundred 359 meters. Therefore, the most influential size of moving windows - R<sub>Buffer</sub> will not be identical 360 for those variables included in the resultant model. The correlation coefficient (r) between the 361 response variable –  $PM_{2.5}$  concentration and each metric calculated within the nine  $R_{Buffer}$ 

were calculated based on simple linear regression. Only the  $R_{Buffer}$ -based metric which has the highest |r| (considering that *r* could be either positive or negative, absolute value was used for the correlation comparison) were selected as the predictor variables and included in the next stage of the correlation analysis.

366 Stage 2 – Constructing multiple linear regression (MLR) model. The statistical correlation
367 analysis starts from a classic multiple linear regression analysis (Equation 7):

$$PM_{2.5i} = \alpha_1 Var_1 + \alpha_2 Var_2 + \dots + \alpha_n Var_n + \gamma + \varepsilon \qquad Equation \ 7$$

where  $PM_{2.5i}$  is the averaged  $PM_{2.5}$  concentration value at the aggregation point *i*. The model 368 includes *n* land use/landscape metrics as the predictor variables.  $\alpha_1, \ldots, \alpha_n$  are the 369 coefficient estimates of the metrics  $V\alpha r_1, \dots, V\alpha r_n$  at the aggregation point *i*.  $\gamma$  is the model 370 intercept, and  $\varepsilon$  is the residual. For example,  $V\alpha r_1$  could be  $PLAND_{LCZ1,200m}$  which represent 371 the areal proportion of LCZ 1 calculated within a round-shaped buffer with a radius of 200m. 372 373 As the basis of any further correlation analysis, MLR model was firstly constructed based on 374 the variable subset from Stage 1. There will be still dozens of candidate variables were still 375 involved as the potential predictors. Therefore, LASSO (Least Absolute Shrinkage and 376 Selection Operator) is performed to identify a subset of influential predictors which possibly 377 contains the best predictor variables. LASSO is a variable selection method which can be 378 used to automatically screen a subgroup of significant predictor variables of the response 379 variable from a large set of candidate predictors (Tibshirani, 1996), which is particularly 380 useful to the relatively large predictor dataset of the present study where collinearity is 381 potentially a problem. Restrictive VIF rules have been used to ensure that there is no 382 collinearity among final included independent variables in resultant models. For example, the 383 studies by Vienneau et al. (2013) and Shi et al. (2016), etc. The subset of predictor variables was further refined by adopting the following rules: Only variables with a p-value < 0.001384

and VIF < 3 in the MLR model will be included. All other variables selected by LASSO will</li>
still be excluded.

387 Stage 3 – Incorporating spatial non-stationarity into correlation analysis. A small number of 388 most influential predictor variables has been selected and used to construct an MLR model at 389 stage 2. However, the MLR model are still constructed based on a fixed effect model 390 structure, in which the effects of predictor variables are presumed to be spatially stationary. 391 However, the influence of some predictors could be spatially variant due to the landscape 392 heterogeneity of Hong Kong. The MLR model developed by performing a stepwise statistical 393 procedure for selecting important independent variables must be further calibrated to deal 394 with the spatial non-stationarity (Leung et al., 2000). Therefore, in this study, using the subset of most influential predictor variables that previously identified, geographically weighted 395 396 regression (GWR) modelling is performed to incorporate the spatial non-stationarity into the 397 correlation model. GWR is a widely-adopted method of dealing with such spatial non-398 stationarity in PM<sub>2.5</sub> spatial estimation (van Donkelaar et al., 2015). GWR deals with the 399 spatial non-stationarity by constructing local correlations for different spatial locations 400 instead of using one global correlation for the entire spatial domain (Brunsdon et al., 1998). 401 The coefficient estimates of GWR model variables are spatially variant as well (Equation 8):

$$PM_{2.5i} = \sum_{n} \alpha_n(u_i, v_i) VAR_{n, d} + \gamma_i + \varepsilon_i \qquad Equation 8$$

402 where  $PM_{2.5i}$  is the averaged  $PM_{2.5}$  concentration value at the aggregation point *i*.  $u_i, v_i$  are 403 the geo-coordinates of the aggregation point *i*.  $\alpha_n$  are the coefficient estimates of the *n* land 404 use/landscape metrics ( $VAR_{n,d}$ ) calculated within the  $R_{Buffer}$  of *d*.  $\gamma_i$  and  $\varepsilon_i$  are the intercept 405 and residuals of GWR model.

#### 406 **2.3.3. Model Validation**

407 Both internal validation and external validation were conducted to examine the performance 408 of the resultant models. For the internal validation, leave-one-out cross-validation (LOOCV) was adopted. Cross-validation adjusted  $r^2$  (LOOCV  $r^2$ ) and root-mean-square error (RMSE) 409 410 were calculated. About the external validation, the resultant MLR and GWR model 411 performance were further examined by the monitoring data from four fixed air quality 412 stations operated by the local authority - HKEPD (Figure 2). The 2016 annual averaged PM<sub>2.5</sub> data from four air quality stations outside the monitoring route were compared with the 413 414 estimated PM<sub>2.5</sub> concentration value based on resultant models.

# 415 **2.4. Incorporating the Emission-related Predictors into Models**

416 In the previous section, land use and landscape metrics were used as predictors to estimate spatial PM<sub>2.5</sub>. In this section, based on the same methodology, more predictors directly 417 418 related to the PM<sub>2.5</sub> emissions will be examined to further improve the estimation accuracy. 419 Same statistical methods (LASSO, MLR, GWR) and model criteria (p-value < 0.001 and VIF 420 < 3) were adopted to ensure the robustness of resultant model. Road traffic is a major 421 emission source of PM<sub>2.5</sub> in Hong Kong. Therefore, the annual average daily traffic (AADT) 422 values which counted by the local authority to represents the traffic volume and road line 423 density are used as the indicators of traffic-related PM<sub>2.5</sub> emission. The spatial data of AADT 424 and road line density were analyzed by using the same moving windows method described in 425 section 2.3.1. The road line density was calculated separately for major roads  $(RD_{Major})$  and minor roads  $(RD_{Minor})$ . Additionally, the count of bus stops (BUSST) is also calculated using 426 the buffers, since bus as a heavy-duty vehicle is a considerable PM2.5 source. The emission 427 428 from marine transportation is another major PM<sub>2.5</sub> source in Hong Kong (Lau et al., 2007). 429 To take this into consideration, the proximity (spatial distance) to marine routes and facilities

of each PM<sub>2.5</sub> aggregation points was calculated and used as a predictor variable. In the 1980s,
the labor-intensive and high-pollution emission industries of Hong Kong have been relocated
to Mainland China. Therefore, the present study does not include any industry pollutionrelated predictors. As the results, 38 more emission-related predictors were examined for
improving the GWR model.

### 435 **3. RESULTS**

The most influential buffers for each metric were identified by only keeping the  $R_{Buffer}$ based metric corresponding to the buffer size which has the highest |r|. Additionally, those variables with a weak and/or statistically insignificant correlation with PM<sub>2.5</sub> (|r| < 0.1, pvalue > 0.05) were also excluded and not used as the input for LASSO regression modelling. As the results, only 42 variables (include X, Y, and Z) remained to be used for regression modelling (Table 2).

442 Table 3 and Figure 3 shows the resultant MLR model from stage 2 (mentioned in section 443 2.3.2). Seven predictor variables are included by the MLR model and already explain almost 444 47% variation in the measured PM<sub>2.5</sub>. The results indicate the significance of land use and landscape pattern in explaining the spatial variation of PM2.5. After incorporating spatial non-445 446 stationarity into correlation analysis, the model performance was further improved. The adjusted  $r^2$  of GWR model is 0.622 (Table 4 and Figure 4). The external validation results 447 show that the adjusted  $r^2$  between the modelled PM<sub>2.5</sub> data and the 2016 annual averaged 448 PM<sub>2.5</sub> data from the air quality stations are 0.699 and 0.871 for the MLR model and GWR 449 model (without emission-related predictors included) respectively. Figure 5 shows the PM<sub>2.5</sub> 450 451 prediction maps derived from both the MLR and the GWR model.

452 As described in section 2.4, using the above MLR model as the basis, 38 more predictors that 453 directly related to the PM<sub>2.5</sub> emissions were examined (*AADT*, road line density, *BUSST*, and 454 the distance to the marine routes and facilities). The same method (mentioned in section 2.3.2)

455 was used to identify the most influential emission related predictors. As the results, two

456 influential emission related predictors were identified -  $AADT_{100m}$  and  $RD_{Major,750m}$ . After

457 incorporating these two predictors into the MLR model, the model adjusted  $r^2$  increased from

458 0.469 to 0.515. Moreover, the predictor  $LPI_{LCZ4,1500m}$  becomes statistically insignificant due

459 to the collinearity and therefore being excluded. However, adding these two traffic emission-

460 related predictors doesn't substantially changes the GWR model performance (adjusted  $r^2$  =

461 0.599, AICc = 4852.911). This indicates that the LCZ scheme and WUDAPT level 0 product

462 could indirectly represent the road network organization.

463 Table 2. Summary of the most influential R<sub>Buffer</sub> of selected land use/landscape predictor

464 variables for MLR and GWR modelling (unit: m). CONTAG and SEI are landscape-level

465 metrics which are not calculated for each land use/landscape type. Brackets indicate a

466 negative correlation with  $PM_{2.5}$  concentration; n.s. – Not significant statistically (p-value >

467 0.05); n.a. – Not available; Bold font indicates the final subset of variables that meet the

468 *criteria of p-value* < 0.001 *and VIF* < 3 *in MLR model.* 

Land use/	Land Use/	DLAND	I DI	AT	CONNECT	CONTAC	SEI
Landscape	Description	PLAND	LFI	AI	CONNECT	CUNIAG	SEI
	Common High mine	1000	1500	750			
LCZ I	Compact High-rise	1000	<u>1500</u>	/50	n.s.	n.a.	n.a.
LCZ 2	Compact Mid-rise	400	400	<u>400</u>	n.s.	n.a.	n.a.
LCZ 3	Compact Low-rise	n.s.	n.s.	n.s.	n.s.	n.a.	n.a.
LCZ 4	Open High-rise	2000	<u>1500</u>	2000	n.s.	n.a.	n.a.
LCZ 5	Open Mid-rise	500	500	500	n.s.	n.a.	n.a.
LCZ 6	Open Low-rise	(300)	(300)	(300)	n.s.	n.a.	n.a.
LCZ 7	Lightweight Low-rise	n.s.	n.s.	n.s.	n.s.	n.a.	n.a.
LCZ 8	Large Low-rise	n.s.	n.s.	n.s.	n.s.	n.a.	n.a.
LCZ 9	Sparsely Built	(1500)	(1500)	(2000)	n.s.	n.a.	n.a.
LCZ 10	Heavy Industry	n.s.	n.s.	750	n.s.	n.a.	n.a.
LCZ A	Dense Trees	(500)	<u>(500)</u>	(500)	n.s.	n.a.	n.a.
LCZ B	Scattered Trees	(1500)	<u>(1500)</u>	<u>(1500)</u>	n.s.	n.a.	n.a.
LCZ C	Bush, Scrub	(2000)	(2000)	(2000)	n.s.	n.a.	n.a.
LCZ D	Low Plant	(750)	(750)	(750)	n.s.	n.a.	n.a.
LCZ E	Bare Rock or Paved	2000	2000	2000	n.s.	n.a.	n.a.
LCZ F	Bare Soil or Sand	(400)	(400)	(400)	n.s.	n.a.	n.a.
LCZ G	Water	n.s.	n.s.	n.s.	n.s.	n.a.	n.a.
All Types	n.a.	n.a.	n.a.	n.a.	n.s.	400	<u>(400)</u>

470 Table 3. The performance and structure of the resultant MLR model of  $PM_{2.5}$  concentration.

- *All variables that meet the criteria of p-value < 0.001 and VIF < 3 in MLR model. Variable*
- 472 name: for example, "LPI\_LCZ 1\_1500" refers to the Largest Patch Index of land use type -
- *LCZ1 calculated within the buffer of 1500m.*

The resultant MLR model of PM <sub>2.5</sub> concentration using land use/landscape metrics as predictors											
$r^2$		0.474									
adjusted r <sup>2</sup>	0.469										
LOOCV r <sup>2</sup>		0.464									
RMSE		5.093									
n		826									
AICc	5043.611										
Predictor	Coefficient	95% CI	95% CI	Std Error	t Ratio	VIF					
Variables	Estimates	Lower	Upper								
Model Intercept	33.445	30.915	35.975	1.289	25.950						
LPI <sub>LCZ1,1500m</sub>	29.014	25.333	32.696	1.875	15.470	2.170					
LPI <sub>LCZ4,1500m</sub>	6.794	2.690	10.899	2.091	3.250	1.396					
LPI <sub>LCZA,500m</sub>	-4.330	-6.479	-2.180	1.095	-3.950	2.235					
LPI <sub>LCZB,1500m</sub>	-44.052	-60.309	-27.795	8.282	-5.320	1.863					
$AI_{LCZ2,400m}$	8.094	5.182	11.006	1.484	5.460	1.027					
AI <sub>LCZB,1500m</sub>	5.053	2.906	7.199	1.094	4.620	2.383					
SEI <sub>400m</sub>	-5.610	-5.610 -7.953 -3.268 1.193 -4.700 1.617									

- *Table 4. The performance and statistical summary of coefficient estimates of the resultant*
- *PM*<sub>2.5</sub> concentration *GWR* model without emission-related variables.

The resultant GWR model of PM <sub>2.5</sub> concentration									
by incorporating spatial non-stationarity									
$r^2$		0.622							
adjusted r <sup>2</sup>					0.622				
LOOCV r <sup>2</sup>					0.620				
RMSE		3.282							
n					826				
AICc		4815.135							
Predictor	Mean	Std.	Min	10%	25%	Median	75%	90%	Max
Variables		Dev.		Quantiles	Quantiles		Quantiles	Quantiles	
Model Intercept	32.036	2.461	27.532	28.527	29.894	32.364	33.906	35.283	36.495
LPI <sub>LCZ1,1500m</sub>	42.007	23.376	10.550	28.464	31.123	33.277	36.068	85.479	119.712
LPI <sub>LCZ4,1500m</sub>	6.052	15.029	-15.717	-11.428	-7.882	0.986	22.333	26.174	28.570

LPI <sub>LCZA,500m</sub>	-0.820	4.454	-6.796	-5.770	-3.481	-2.397	2.837	6.273	10.299
LPI <sub>LCZB,1500m</sub>	-19.625	53.490	-90.074	-75.347	-61.330	-38.883	3.012	78.601	119.892
AI <sub>LCZ2,400m</sub>	7.635	1.316	5.584	5.739	6.260	7.891	8.636	9.412	10.269
AI <sub>LCZB,1500m</sub>	3.564	3.703	-3.438	-2.083	0.243	4.200	6.438	8.132	9.934
SEI <sub>400m</sub>	-4.694	4.058	-11.542	-10.859	-7.496	-4.772	-1.751	1.302	3.114

477

478



479 Figure 3. The residual of the resultant MLR model of  $PM_{2.5}$  concentration.



GWR Coefficient Estimates & Standardized Residuals

Figure 4. The spatial non-stationarity in coefficient estimates of predictor variables and
model intercept of PM<sub>2.5</sub> concentration GWR model without emission-related variables.



483

484 Figure 5. The  $PM_{2.5}$  prediction maps derived from the MLR, and the GWR models.

# 485 **4. DISCUSSION**

# 486 4.1. Influential Moving Window Sizes/Buffers

487 As mentioned in section 2.3.2, the most influential moving window size/buffer for each 488 metric has been identified by calculating and keeping the  $R_{Buffer}$ -based metric corresponding 489 to the buffer size which has the highest |r|. The sensitivity of the correlation between 490 landscape metrics and the response variable to the changes of buffer size was illustrated in 491 Figure 6.



493 Figure 6. The plot of the |r| between landscape metric included by the model and PM<sub>2.5</sub>,
494 calculated using various buffer sizes.

495 Findings from the process of identification of influential buffers indicate:

496 (1) All variables of CONNECT were excluded due to their correlation with PM<sub>2.5</sub> are weak

497 (all  $|\mathbf{r}| < 0.1$ ) and statistically insignificant (p-value > 0.05). Therefore, CONNECT was

498 excluded by the statistical modelling. CONNECT value for each land use/landscape types at

499 most of the aggregation points are 0, which is the cause of the weak and insignificant

500 correlation. This fact affirms the highly heterogeneous and fragmented city landscape pattern

501 of Hong Kong.

502 (2) For each type of land use/landscape, the influential buffers of PLAND, LPI, and AI are 503 similar, which confirms the differences in the impact range of land use types. Except those 504 excluded variables, the types in urban built-up areas (LCZ 1 to LCZ 6) generally have a 505 smaller influential buffer size than those types in suburban and rural areas (LCZ A to LCZ G). 506 The correlation between LCZ7/LCZ8 and PM<sub>2.5</sub> are also weak (|r| < 0.1) and statistically 507 insignificant (p-value > 0.05) because their areal proportion is quite small in Hong Kong. 508 Similarly, LCZ 9 also has large influential buffer which is possibly because that they are 509 sparsely distributed in different part of Hong Kong and usually has a small area, therefore, 510 may not be included by those smaller buffers (> 1000m).

(3) LCZ 1 and LCZ 4 have larger influential buffers than other built-up areas, which implies
that the higher-rise built environment has a larger impact range. From the viewpoint of urban
fluid dynamics, higher-rise buildings usually have larger influential range on the near-surface
wind field as such hamper the pollution dispersion in a larger area.

515 (4) LCZ A represents the densely vegetated trees. The significance of variable  $LPI_{LCZA,500m}$ 516 indicates that it is important to have enough amount of high-quality urban greening within a buffer of 500m. In other words, a large patch of dense trees, for example, a centralized park would be beneficial to the mitigation of air pollution of neighborhoods. The accessibility to urban greenery at the neighborhood scale should be given a high priority in urban planning and design practice. The inclusion of variable –  $LPI_{LCZB,1500m}$  indicates that it could also be very useful to sparsely arrange trees at the urban district level. This finding is particularly useful for highly urbanized cities that have only limited land resources can be used for greening in their intraurban areas.

(5) CONTAG quantifies the contagion of a certain type of land use (e.g. LCZ 1), while SEI evaluates landscape diversity. CONTAG are positively correlated with PM<sub>2.5</sub> concentration, while the correlation of SEI is negative. Notably, these two landscape-level metrics share the same influential buffer which is 400m. Above findings indicate that higher diversity of the neighboring area help with the improvement of air quality. The contagion of those compact land use types in urban built-up areas (LCZ 1, LCZ 2, and LCZ 4), could largely decrease the dynamic potential of pollution dispersion.

#### 531 **4.2. Resultant Models and the Revelation for Urban Planning Practice**

532 As described in section 3, two resultant models were developed – MLR and GWR model. 533 The development of the MLR model allows the recognition of the most influential metrics 534 and the identification of their influencing spatial buffers. Seven predictor variables are 535 included by the MLR model and already explain almost one half of the variation in the 536 measured PM<sub>2.5</sub>. In the resultant models, both LPI<sub>LCZ1.1500m</sub> and LPI<sub>LCZ4.1500m</sub> is positively related to the PM<sub>2.5</sub> concentration level indicates that a large area of high-rise building 537 development could hamper the near-ground pollutant emissions, therefore, should be avoided 538 in urban planning process. AI<sub>LCZ2.400m</sub> also has a positive relationship with PM<sub>2.5</sub> 539 540 concentration level. Although LCZ 2 has a lower level of building height than LCZ 1 and

541 LCZ 4, the higher ground coverage ratio still negatively affects the pollution dispersion.

Similar findings could also be observed between LCZ 1 and LCZ 4. The coefficient estimates of LCZ 1 is much larger than LCZ 4, which indicates LCZ 1 has a more significant influence on the near-ground air quality due to its higher ground coverage ratio. The aggregation of high-rise and /or high ground coverage ratio building development is not recommended. This recommendation can be also supported by the negative correlation between  $SEI_{400m}$  and  $PM_{2.5}$  concentration. The inclusion of  $LPI_{LCZA,500m}$ ,  $LPI_{LCZB,1500m}$ ,  $AI_{LCZB,1500m}$  affirms that urban greening is an effective way of mitigating urban air pollution.

The development of the GWR model allows further incorporation of the spatial nonstationarity. As shown in the resultant GWR model, by incorporating spatial non-stationarity into the spatial analysis, only five land use/landscape classes can already explain more than 60% of the spatial variation in PM<sub>2.5</sub>, without using any traffic-related variables or data from emission inventory. Above indicates the considerable influence of urban land use/landscape pattern on the spatial air quality as well as the usefulness of WUDAPT in explaining the

555 spatial variation of urban air quality.

#### 556 **4.3. Limitations**

557 Although the aforementioned findings are informative and useful, there are still several 558 limitations currently did not overcome by the present study. These limitations need to be 559 considered very carefully and should be further investigated by follow-up studies. First, the relatively high cross-validation adjusted  $r^2$  value might be because of the limited number of 560 561 locations for validation and the stations are relatively close to the measurement routes. 562 Therefore, additional measurements should be conducted to acquire more external validation 563 data that further away from the current mobile monitoring routes). Moreover, the current 564 study is a test case only based on the one case city. The transferability and applicability of the

565 current LCZ-based research methodology for other cities and regions need to be further 566 investigated. To be more specific, for example, the present study does not include any industry pollution-related predictors, which means that the effect of industry type of land use 567 568 was not investigated. This is reasonable for Hong Kong because the high-pollution emission 569 industries have been relocated outside Hong Kong. This limitation could introduce 570 uncertainties because the effect of industry type of land use is influential to the air quality of 571 other study areas, especially for those industrial-oriented cities. Another limitation is that 572 although external validation has been conducted using another dataset, the dataset is still 573 measured in the same city. Therefore, future work should focus on the external validation and 574 the feasibility test of the current methods for other cities and areas. Considering the 575 collinearity between LCZ related land use/landscape metrics and traffic-related predictors has 576 been found, future work should also focus on investigating the representative of LCZ and 577 WUDAPT level 0 product on the spatial emissions. Last but not least, the possible nonlinear 578 relationship for landscape metrics has not been explored yet as there are a very large number 579 of predictors. The interaction and polynomial terms in the correlation between landscape 580 metrics and PM<sub>2.5</sub> should be explored by follow-up studies.

### 581 5. CONCLUSIONS

582 The present study is one of the first applications of LCZ scheme and WUDAPT level 0 583 product in the spatial estimation of intraurban air quality. The spatial PM<sub>2.5</sub> concentration in 584 the compact urban scenario of Hong Kong was sampled by conducting a series of mobile 585 monitoring campaigns. The WUDAPT level 0 database was adopted as the basis of the 586 calculation of land use/landscape metrics which were used as the predictor variables to 587 explain the spatial variations in PM<sub>2.5</sub> concentration. By utilizing the WUDAPT and combing 588 the knowledge of urban landscape planning, this study investigates the influence of urban 589 land use/landscape patterns on PM2 5 concentration and develops spatial models that could

590	explain the PM <sub>2.5</sub> spatial variation. By providing straightforward quantitative correlation
591	between land use/landscape pattern and $PM_{2.5}$ concentration level, the study outputs could
592	inform the urban planning strategies for mitigating air pollution. The resultant GWR model
593	shows that only five land use/landscape classes can already explain 62% of the spatial
594	variation in $PM_{2.5}$ , without using any traffic-related variables or data from emission inventory,
595	which shows the usefulness of LCZ scheme in estimating the spatial variation in urban air
596	quality. This could also be particularly useful to the urban air quality assessment in those
597	cities and areas where the long-term monitoring data, fine-grained traffic data, and detailed
598	emission inventory are not available. More importantly, for the application of the globally
599	standardized WUDAPT level 0 database, this study method can provide opportunities for
600	standardizing $PM_{2.5}$ spatial mapping method and contributing to the global estimation of
601	PM <sub>2.5</sub> . This would greatly help researchers and scientists to quickly estimate the spatial
602	pattern of urban air pollution by using free satellite images and other open resources, such as
603	WUDAPT products.

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# LOCATION OF STUDY AREA





### **GWR Coefficient Estimates & Standardized Residuals**



N

# PM2.5 MLR





# PM<sub>2.5</sub> GWR

