

1 **Assessing Spatial Variability of Extreme Hot Weather**
2 **Conditions in Hong Kong: A Land Use Regression**
3 **Approach**

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8 *Yuan SHI^{a,*}, Chao REN^{a,b,c}, Meng CAI^a, Kevin Ka-Lun LAU^{b,c,d}, Tsz-Cheung LEE^e,
9 Wai-Kin WONG^e*

10 ^a School of Architecture, The Chinese University of Hong Kong, Shatin, N.T.,
11 Hong Kong S.A.R., China

12 ^b The Institute of Environment, Energy and Sustainability (IEES), The Chinese
13 University of Hong Kong, Shatin, N.T., Hong Kong S.A.R., China

14 ^c Institute Of Future Cities (IOFC), The Chinese University of Hong Kong,
15 Shatin, N.T., Hong Kong S.A.R., China

16 ^d CUHK Jockey Club Institute of Ageing, The Chinese University of Hong
17 Kong, Shatin, N.T., Hong Kong S.A.R., China

18 ^e Hong Kong Observatory, Kowloon, Hong Kong S.A.R., China

19 The corresponding author's* email addresses: shiyuan@cuhk.edu.hk
20 (Secondary email: shiyuan.arch.cuhk@gmail.com)

21 Phone: +852-39439428.

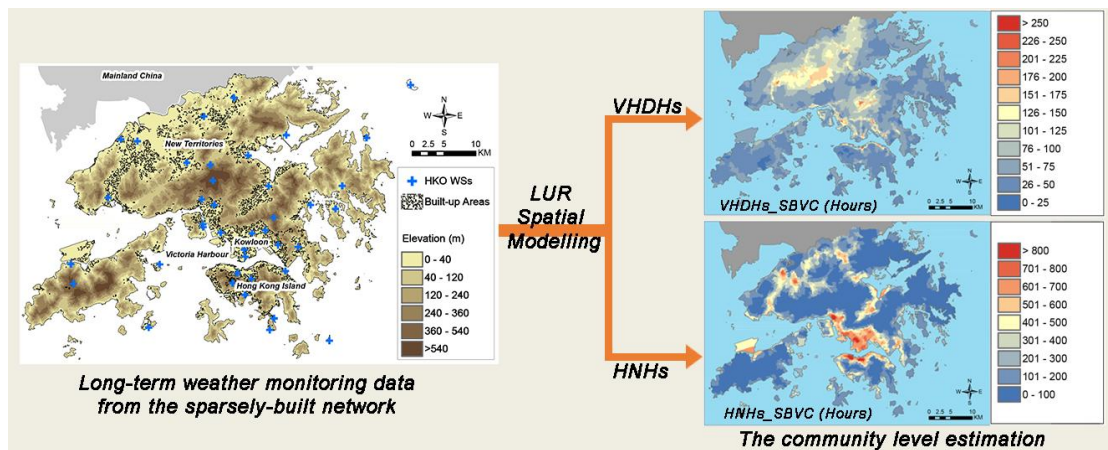
22 Postal addresses: Rm505, AIT Building, School of Architecture, The Chinese
23 University of Hong Kong, Shatin, NT, Hong Kong

24 **Research highlight**

- 25 ● Applying land use regression (LUR) in the spatial estimation of extreme hot
- 26 weather conditions.
- 27 ● Reducing spatial uncertainties in the heat-related health impact assessment.
- 28 ● Land surface morphology was integrated and identified as influential factors.
- 29 ● VHDHs and HNHs were mapped at the community level in Hong Kong.
- 30 ● Significant spatial variations were found under the extreme hot weather
- 31 conditions.

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33 **Graphical abstracts**



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36 **Abstract**

37 The number of extreme hot weather events have considerably increased in Hong
38 Kong in the recent decades. The complex urban context of Hong Kong leads to a
39 significant intra-urban spatial variability in climate. Under such circumstance, a
40 spatial understanding of extreme hot weather condition is urgently needed for heat
41 risk prevention and public health actions. In this study, the extreme hot weather events
42 of Hong Kong were quantified and measured using two indicators – very hot day
43 hours (VHDHs) and hot night hours (HNHs) which were counted based on the
44 summertime hourly-resolved air temperature data from a total of 40 weather stations
45 (WSs) from 2011 to 2015. Using the VHDHs and HNHs at the locations of the 40
46 WSs as the outcome variables, land use regression (LUR) models are developed to
47 achieve a spatial understanding of the extreme hot weather conditions in Hong Kong.
48 Land surface morphology was quantified as the predictor variables in LUR modelling.
49 A total of 167 predictor variables were considered in the model development process
50 based on a stepwise multiple linear regression (MLR). The performance of resultant
51 LUR models was evaluated via cross validation. VHDHs and HNHs were mapped at
52 the community level for Hong Kong. The mapping results illustrate a significant
53 spatial variation in the extreme hot weather conditions of Hong Kong in both the
54 daytime and nighttime, which indicates that the spatial variation of land use
55 configurations must be considered in the risk assessment and corresponding public
56 health management associated with the extreme hot weather.

57 **Keywords**

58 Extreme hot weather events; land use regression; spatial mapping; land surface
59 morphology; Hong Kong

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60 1. INTRODUCTION

61 Climate change has become a major challenge to human health and environmental
62 sustainability (WMO and WHO 2015, IPCC 2014). It has been foreseen that not only
63 a warming trend is ahead, but also extreme hot weather events would become more
64 intense, more frequent, and longer lasting (Meehl and Tebaldi 2004, Field 2012,
65 Stocker 2014). Under such circumstance, heat-related health impact has become an
66 increasing concern for environmental health (Hajat and Kosatky 2010). With more
67 than half of the global population now living in cities, the United Nations adopted the
68 New Urban Agenda in 2016 to set a new global standard and roadmap for sustainable
69 urban development, including the actions to address climate change and strengthen
70 the resilience of cities for reducing the risk and impact of natural disasters (UN 2016).
71 In this regard, urbanized areas are of emerging concern because the urban heat island
72 (UHI) effect further exacerbates the intensity and frequency of the heat wave and
73 extreme hot weather events (Oke 1973, Oke 1997, Tan et al. 2010, Li and Bou-Zeid
74 2013). Such situation makes cities, especially high-density and compact large cities
75 more vulnerable to extreme hot weather (Uejio et al. 2011, WMO and WHO 2015).

76 Urban climatic condition varies at different locations within the city due to the
77 spatial differences in land use configurations and inhomogeneous land surface
78 characteristics (Hart and Sailor 2009). This leads to a significant spatial variability in
79 the extreme hot weather condition. For example, an urbanized area with dense
80 building clusters absorbs more shortwave solar radiation during the daytime and
81 releases more longwave radiation during the nighttime. The deep street canyons in
82 urban areas trap the heat and consequently accumulate more heat than rural areas
83 (Arnfield 2003). There are also other effects from the spatially varied urban wind
84 environment (Comrie 2000) and anthropogenic heat (Taha 1997). As the results,

1 85 urban areas would experience more prolonged and intense heat wave events than rural
2 86 areas under similar background meteorological condition. Moreover, the intra-urban
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4 87 spatial variation in urban configuration/building environments also leads to the intra-
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7 88 urban differences in the frequency, intensity, and duration of the heat wave events. It
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10 89 has been indicated that people living in intra-urban areas experience a more intense
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12 90 UHI (Clarke 1972) and consequently at a higher heat-related life risk (Besancenot
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14 91 2002). However, many of current studies on the heat waves or extreme hot weather
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17 92 events prediction, heat-related urban vulnerability and health impacts are based solely
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19 93 on the temporal analysis, but lack of a more comprehensive spatial understanding
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22 94 (Kaiser et al. 2007, Le Tertre et al. 2006, Kysely 2002). In such cases, the evaluation
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24 95 of urban vulnerability to extreme hot weather and the prevention strategies-making
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26 96 would be biased due to “The Uncertain Geographic Context Problem (UGCoP)”
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29 97 (Kwan 2012). Kwan (2012) points out that the findings on the influence of area-based
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31 98 attributes on the outcomes of individual could be affected by the geographic
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34 99 delineation of contextual units or neighbourhoods because of the spatial uncertainty.
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36 100 The effects of UGCoP are even more significant in large cities with a complex
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38 101 geographic context. Therefore, acquiring a detailed spatial understanding of the
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41 102 extreme hot weather events is essential to heat risk prevention and public health
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43 103 actions (Buscail, Upegui, and Viel 2012). In recent years, relevant studies have been
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46 104 conducted for the spatial mapping of heat-related risks in many large or megacities
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48 105 worldwide (Klein Rosenthal, Kinney, and Metzger 2014, Wolf and McGregor 2013,
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51 106 Lemonsu et al. 2015, El-Zein and Tonmoy 2015, Dugord et al. 2014). Significant
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53 107 spatial variabilities of heat-related health impact were found in all the above cases
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56 108 which indicates that heat-related health risks are considerably varying from place to
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58 109 place because of the spatial heterogeneity of the urban physical environment. The

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110 spatial uncertainty introduced by taking the entire city as a whole in health burden
111 assessment will lead to large bias.

112 Hong Kong is a large city situated at the southeast side of the Pearl River
113 Delta (PRD) region of China (Figure 2). It has a total area of about 1104 km², owing
114 to its mountainous topography with steep slopes over 20 percent of the total land area,
115 most of the urban activities are concentrated on built-up areas which take up about 24
116 percent of land (DEVB 2017). The population of more than seven million makes
117 Hong Kong one of the densest cities worldwide. Hong Kong has a typical sub-tropical
118 maritime climate based on the Köppen-Geiger Climate Classification (Peel,
119 Finlayson, and McMahon 2007). It features hot and humid summer season (June to
120 August) with a seasonal averaged air temperature of 23.4 °C and a mean relative
121 humidity of approximately 81 percent. The average annual precipitation in Hong
122 Kong is about 2400 mm (HKO 2015).

123 Under the combined effect of global climate change and local urbanization,
124 there is a long term increasing trend in the average temperature in Hong Kong.
125 Moreover, Hong Kong is experiencing an increasing influence of extreme hot weather
126 (Wang et al. 2016, Chan, Kok, and Lee 2012, Wong, Mok, and Lee 2011). The
127 prolonged period of extreme hot weather has led to severe health issues in recent
128 years (Ho et al. 2017, Sham 2015). Since an earlier study on investigating the
129 weather-mortality relationship (Yan 2000) was conducted, there have been several
130 studies focusing on the correlation between the health burdens and hot weather
131 conditions (Goggins, Woo, et al. 2012, Goggins, Chan, et al. 2012, Chan et al. 2012).
132 An evaluation indicator, Hong Kong Heat Index (HKHI), has been developed by the
133 Hong Kong Observatory (HKO) to cater for the humid and hot summer condition in

134 Hong Kong and adopted to enhance the heat stress information services in Hong Kong
135 (Lee et al. 2016). However, a limitation still exists, which is that the time-series
136 analysis does not fully consider spatial factors due to complex topography and urban
137 environment. It has been observed that the complex urban land use and surface
138 characteristics of Hong Kong lead to a significant intra-urban spatial variability in
139 climate (Shi, Lau, and Ng 2017). Using a UHI intensity index (UHII), Goggins, Chan,
140 et al. (2012) proved that the temperature-related mortality in those areas with a high
141 UHI intensity is higher than the areas with a low UHI intensity. However, simply
142 referencing the air temperature measured by the nearest weather station (WS) still
143 introduce large uncertainties and biases into the heat-related health impact assessment.
144 The above indicates that a comprehensive spatial understanding of the extreme hot
145 weather events is urgently needed for urban heat disaster prevention and public health
146 management of Hong Kong. The urban topography is also a major modifying factor
147 of the spatial characteristic of urban climate (Ketterer and Matzarakis 2014). The
148 complex land surface morphology changes the atmospheric conditions at different
149 spatial scales (Raupach and Finnigan 1997), which will consequently alter the spatial
150 pattern of air temperature (Draxler 1986). The interaction between the mountainous
151 topography and the urban boundary layer climate is complicated and vary at different
152 places in Hong Kong (Tong et al. 2005). Therefore, it is helpful to take the land
153 surface morphology into account, while investigating the spatial variability of the
154 extreme hot weather.

155 As a robust and widely used technique for the spatial mapping of
156 environmental exposure, land use regression (LUR) model has been applied for
157 investigating the spatial variability of the environmental exposure of the air pollution
158 (Ryan and LeMasters 2007), heat (Shi, Katzschner, and Ng 2017) and noise (Xie, Liu,

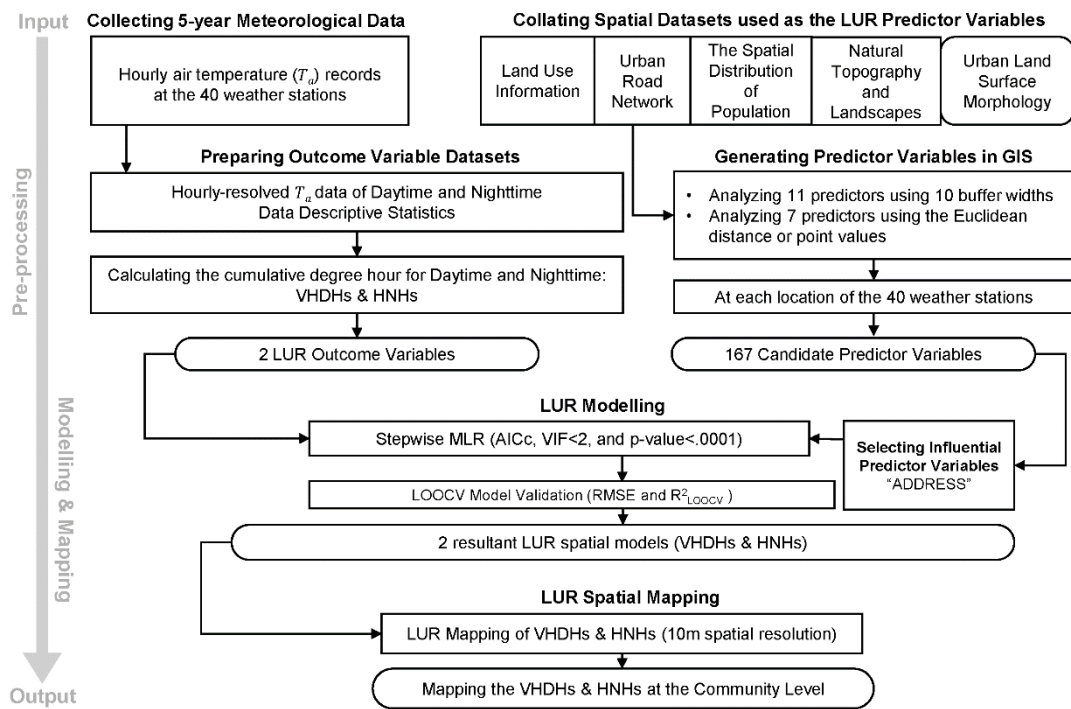
159 and Chen 2011). Using onsite measured data, an LUR model assesses the
160 environmental exposure level (outcome variables) at unmeasured places by
161 considering the land use composition, population density and other urban
162 configurations as the predictors. The dependence on data makes the LUR a data-
163 intensive method. Taking the advantage of the extensive input dataset, LUR
164 modelling enables a fine-scale spatial estimation for unmeasured areas when dealing
165 with the geographic heterogeneity in large cities. It has been found that LUR usually
166 has a slightly better performance when compared with other geostatistical methods for
167 spatial assessment (Hoek et al. 2008, Adam-Poupart et al. 2014).

168 In this paper, we investigate the spatial pattern of the summertime extreme hot
169 weather condition via LUR modelling in the complex heterogeneous geographic
170 context of Hong Kong. Besides all conventionally used LUR predictors (Ryan and
171 LeMasters 2007), land surface morphology was also quantified and adopted as the
172 predictor variables by this study to enhance the robustness of LUR models of the
173 extreme hot weather. Adopting the LUR modelling technique, we aim to map the
174 spatial pattern of the summertime extreme hot weather of Hong Kong at the
175 community level, using two indicators – annual VHDHs and annual HNHs.

176 **2. MATERIALS AND METHODS**

177 In this study, the spatial variation in the summertime extreme hot weather events
178 (both daytime and nighttime) was investigated based on a 5-year (2011 - 2015) hourly
179 air temperature records from a total of 40 WSs maintained by the Hong Kong
180 Observatory (HKO), the meteorological authority in Hong Kong. A set of
181 conventionally used LUR predictor variables (include but not limited to land use,
182 population density, elevation) were extracted and generated using the land use and
183 urban configuration information. The heterogeneous land surface morphology was

184 quantified by a set of urban morphological/morphometrical indexes. These indexes
 185 were further collated in the geographical information system (GIS) and processed into
 186 a series of geographic information layers. Data extracted from these layers at a set of
 187 LUR buffer widths of the WSs' locations were also incorporated into the LUR models
 188 as predictor variables. Figure 1 provides a flow diagram of the method used in this
 189 study.

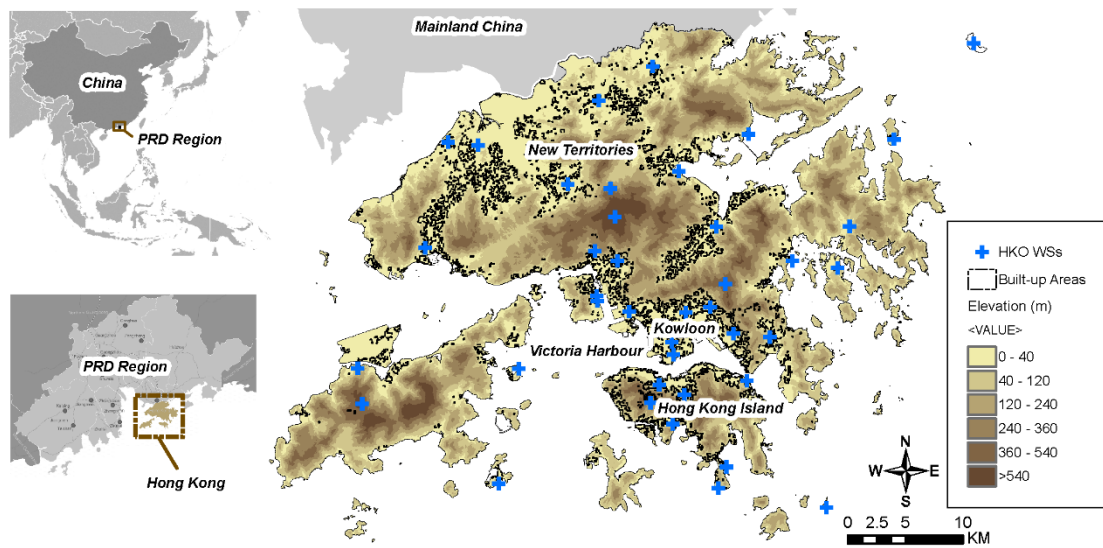


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 191 *Figure 1. The flow diagram of the method used in this present study.*

192 **2.1. LUR Outcome Variables – Quantifying the Extreme Hot Weather Condition**

193 The case city investigated in this study is Hong Kong. The weather of Hong Kong has
 194 a considerable spatial variability due to the effects of the mountainous topography,
 195 complex land surface and urban morphology as well as the circulation of land - sea
 196 breeze (Chin 1986, Yan 2007, Mok, Wu, and Cheng 2011). Under the circumstance of
 197 climate change and local urbanization, the rate of increase in annual average air
 198 temperature became faster in the recent decades in Hong Kong (Leung et al. 2004,
 199 Wing-lui, Tsz-cheung, and Kin-yu 2010). To investigate and represent the most recent

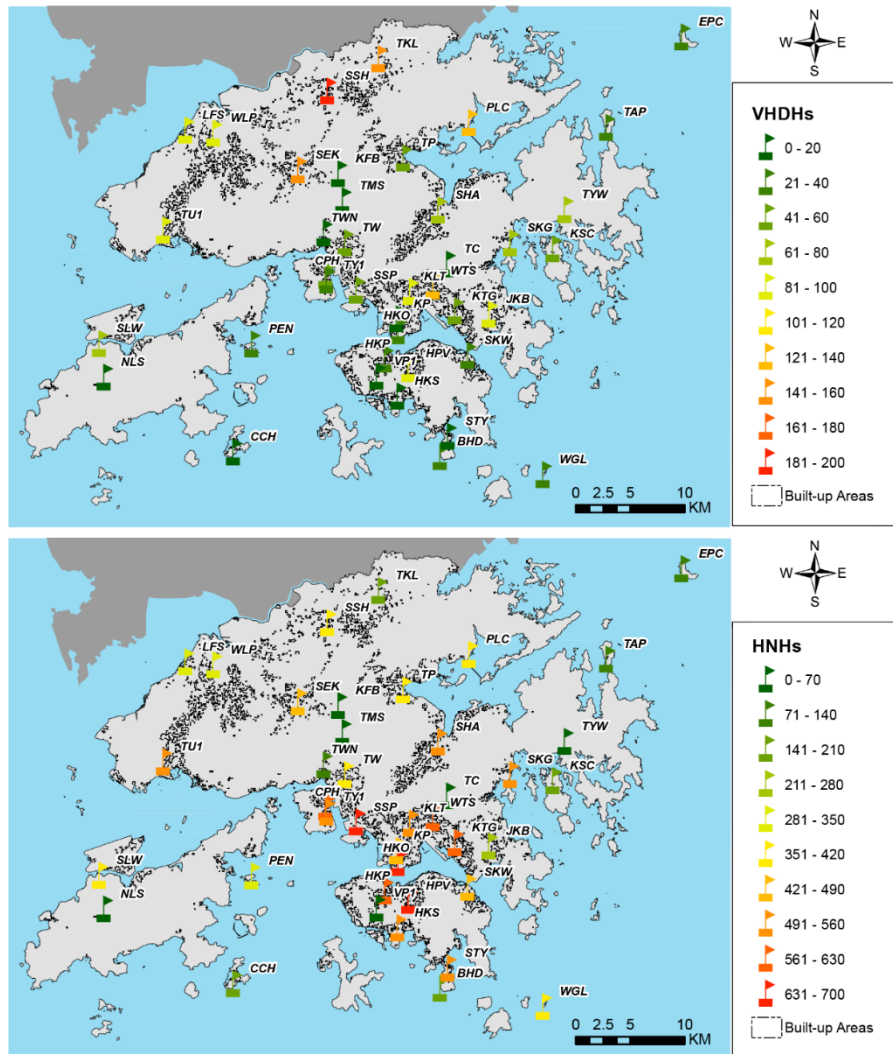
200 weather condition of Hong Kong, a 5-year (2011-2015) hourly air temperature (T_a ,
 201 °C) dataset monitored by a total of 40 WSs (Figure 2) was acquired from the HKO as
 202 the basis of quantifying the extremely hot weather conditions. The relevant metadata
 203 of the T_a datasets were also collected, which are including but not limited to the
 204 geographic locations, elevations and the neighbouring environment of each WS (HKO
 205 2017b).



206
 207 *Figure 2. The study area and the 40 WSs of the HKO weather monitoring network in*
 208 *Hong Kong.*

209 In this study, based on the HKO weather records, two extreme hot weather
 210 condition indicators – VHDHs and HNHs were used as the outcome variables of the
 211 LUR modelling. The extreme hot weather events are commonly quantified by the
 212 intensity and duration (Anderson and Bell 2011). The two indicators used in this study
 213 are developed based on the concept of the cumulative degree hour (simply speaking,
 214 the amount of hot hours, hereafter referred HHS) adopted in a previous research
 215 (Macnee and Tokai 2016) and the general definition of very hot days and hot nights
 216 adopted by HKO (2017a). The VHDHs refers to the total number of hours greater
 217 than or equal to 33 °C during the day (7:00 - 18:00 HKT). The HNHs refers to the

218 total number of hours greater than or equal to 28 °C at night (1:00 – 6:00 and 19:00 –
 219 24:00 HKT). VHDHs and HNHs were calculated for the entire summer of Hong Kong
 220 which define as the period from June to August (Sham 2015).



221
 222 *Figure 3. The spatial pattern of the 5-year averaged annual VHDHs (upper) and*
 223 *HNHs (below) at the locations of WSs in Hong Kong. The flags in the figure represent*
 224 *the WS locations. The colour scale represents the numbers of VHDHs and HNHs*
 225 *(unit: hour).*

226 2.2. LUR Predictor Variables

227 Five categories of data/information were collected and collated in the GIS as the
 228 predictor variables for the development of the LUR model of the VHDHs and HNHs:

229 (1) land use information, (2) urban road networks, (3) the spatial distribution of

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230 population, (4) natural topography and landscapes, and (5) urban land surface
231 morphology. A total of ten different buffer widths, range from 100m (which is a
232 spatial scale of a small street block) to 3000m (represent the spatial scale of a district)
233 were used for generating predictor variable datasets. The data processing of the first
234 four categories of the predictor variables datasets is explained in details in the
235 supplementary material of this article, as they have been widely used in LUR
236 modelling studies. Different from most of the previous LUR studies, in the present
237 study, the urban land surface morphology is also quantified and included as the
238 predictor variables.

239 The spatial pattern of UHI is significantly affected by the near-surface wind
240 field, which is highly related to the land surface morphology. The near-surface wind
241 field is largely determined by the interactions between the land surface and the
242 atmosphere (Arnfield 2003). In the complex urban context of Hong Kong, the land
243 surface morphology varies at different places. Such spatial heterogeneity in land
244 surface morphology leads to a complex spatial variability in the air pressure
245 (Landsberg 1981). For example, the hilly topography has a substantial influence in the
246 air flow (Lai, Lee, and Lau 2014). Moreover, it has long been emphasized that the
247 building density and building arrangement significantly affect the urban ventilation
248 (Bottema 1997, Franck et al. 2013, Clarke 1972). Therefore, it could be beneficial to
249 analyse and incorporate the land surface morphology as the predictors for
250 investigating the spatial pattern of the extreme hot weather condition. By means of
251 GIS, the geomorphometrical analysis has been widely adopted in the
252 topoclimatological research (Böhner and Antonić 2009). In this present study, a set of
253 land surface morphological indexes were adopted as the predictor variables. Three
254 building parameters - building volume density (σ_{Bldg}), sky view factor (Ψ_{SVF}), frontal

255 area ratio (λ_F) were used to depict the land surface morphology of built environment
 256 in the high-density intraurban area. Rainfall is also an important meteorological factor
 257 in mitigating heat waves (Wilby 2007, Lam, Kok, and Shum 2012). Windward-
 258 leeward index (WLI), as a commonly-used geomorphometrical predictor of wind and
 259 precipitation (Bohner 2006), was selected to consider the topographical effect of the
 260 mountainous geomorphology. Above variables have been confirmed to be effective to
 261 represent the complex near-surface wind condition of Hong Kong (Shi, Lau, and Ng
 262 2017).

263 σ_{Bldg} is a dimensionless ratio ranges from 0 to 1, which measures of the
 264 relative building density of a site based on the overall urban density level of an entire
 265 study area. Assume that there is a total of m sites in the study area and there is a total
 266 of n buildings in each of these m sites, the total building volume in site j (V_j) was
 267 calculated using Eq. 1, where A_{Pi} is the footprint area of the building i . h_i is the
 268 building height of the building i . The $\sigma_{Bldg,j}$ is defined as the ratio of V_j to the
 269 calculated maximum building volume (V_{max}) in the entire study area (Eq. 2):

$$V_j = \sum_{i=1}^n A_{Pi} h_i \quad \text{Eq. 1}$$

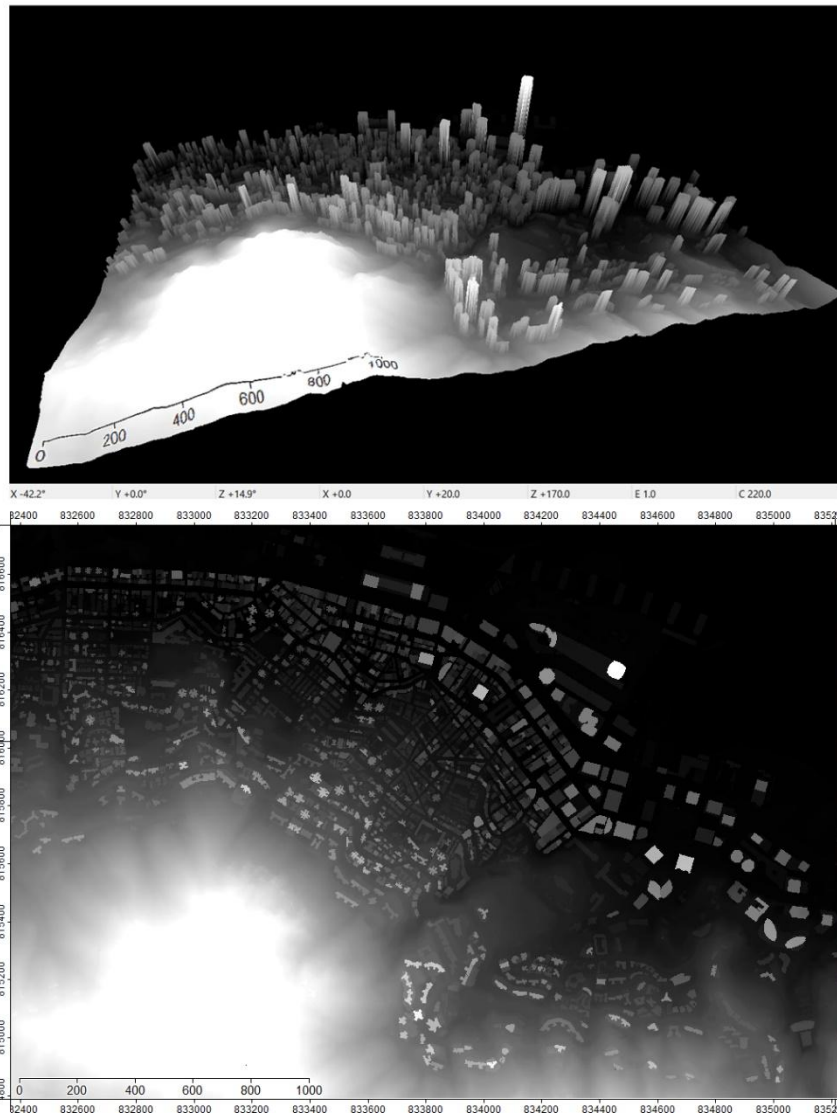
$$\sigma_{Bldg,j} = \frac{V_j}{V_{max}} \quad \text{Eq. 2}$$

270 Ψ_{SVF} , as a dimensionless ratio ranges from 0 to 1, describes the openness of a
 271 near-surface point location to the sky hemisphere (Watson and Johnson 1987). It was
 272 commonly recognized and used as a proxy of the incoming shortwave solar radiation
 273 and intraurban air temperature differences (Svensson 2004). In this study, a high-
 274 resolution (2m-resolution) digital terrain model (DTM) (Figure 4) of Hong Kong was
 275 created by combining the digital elevation data and the building surveying data. The
 276 Ψ was calculated at each single point of the DTM surface by following the calculation

277 method by Dozier and Frew (1990). The detailed geometry calculation has been
 278 mentioned in their article:

$$\Psi_{SVF} = \frac{1}{2\pi} \int_0^{2\pi} [\cos \beta \cos^2 \varphi + \sin \beta \cdot \cos(\Phi - \alpha) \cdot (90 - \varphi - \sin \varphi \cos \varphi)] d\Phi \quad \text{Eq. 3}$$

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281 *Figure 4. A 3D view (upper) and plan view (below) of a sample of the input high-*
 282 *resolution DTM data of Hong Kong.*

283 λ_F is defined as the ratio of the total projected frontal area of all buildings in a
 284 particular site to the total land area of the site. There are two commonly-used methods

285 of site zoning for the calculation of λ_F , which are the orthogonal grid method (OGM)
 286 (Ng et al. 2011) and Thiessen polygon method (TPM) (Gál and Unger 2009). In this
 287 study, the TPM was used due to the irregular building arrangements. Assume that
 288 there are a total of m sites in the entire study area, λ_{Fj} is the frontal area ratio of the
 289 site j in the study area. λ_{Fj} can be calculated by using Eq. 4, where n is the total
 290 number of buildings in the site j . The A_{Fi} is the projected frontal area of the building
 291 i under a prescribed wind direction (θ). Therefore, the total projected frontal area was
 292 calculated as $\sum_{i=1}^n A_{Fi}$ (the overlapped projection of the building frontal area between
 293 buildings was only calculated for once). Using the one-hour mean wind direction
 294 records from the nearest weather station operated by HKO, the 16-wind direction
 295 probability-weighted frontal area ratio $\bar{\lambda}_F$ can be then calculated via Eq. 5.

$$\lambda_{Fj} = \left(\sum_{i=1}^n A_{Fi} \right) / A_{Tj} \quad \text{Eq. 4}$$

$$\bar{\lambda}_{Fj} = \sum_{\theta=1}^{16} \lambda_{Fj(\theta)} \cdot P(\theta) \quad \text{Eq. 5}$$

296
 297 *WLI* (ranges from 1, represent a fully windward position to the value of -1,
 298 which is a leeward position) is a land surface morphological parameter that describes
 299 the spatial relationship between the land surface angular slope and a prescribed wind
 300 direction (Böhner and Antonic 2009). The *WLI* value at a particular location in the
 301 DTM surface data under the condition of a prescribed wind direction (θ) was
 302 calculated via Eq. 6, Eq. 7, and Eq. 8 based on the windward and leeward horizon
 303 parameter function, which are H_φ and H_η respectively (Bohner 2006, Huang 2017).
 304 For a particular location in the DTM surface, $\Delta h_{\varphi i}$ and $\Delta h_{\eta i}$ are the horizontal
 305 distances in the windward and leeward direction, while $\Delta z_{\varphi i}$ and $\Delta z_{\eta i}$ are the vertical

306 distances in the windward and leeward direction respectively. More details can be
 307 found in Huang (2017). The calculation was completed in the open source package
 308 SAGA GIS (Olaya 2004) in this study. Similar with the calculation of the $\bar{\lambda}_F$, the 16-
 309 wind direction probability-weighted WLI (\overline{WLI}) was calculated for the entire area of
 310 the DTM of Hong Kong (Eq. 9).

$$H_{\varphi} = \frac{\sum_{i=1}^n \frac{1}{\Delta h_{\varphi i}} \cdot \tan^{-1}\left(\frac{\Delta z_{\varphi i}}{\Delta h_{\varphi i}^{0.5}}\right)}{\sum_{i=1}^n \frac{1}{\Delta h_{\eta i}}} + \frac{\sum_{i=1}^n \frac{1}{\Delta h_{\eta i}} \cdot \tan^{-1}\left(\frac{\Delta z_{\eta i}}{\Delta h_{\eta i}^{0.5}}\right)}{\sum_{i=1}^n \frac{1}{\Delta h_{\eta i}}} \quad \text{Eq. 6}$$

$$H_{\eta} = \frac{\sum_{i=1}^n \frac{1}{\ln(\Delta h_{\eta i})} \cdot \tan^{-1}\left(\frac{\Delta z_{\eta i}}{\Delta h_{\eta i}^{0.5}}\right)}{\sum_{i=1}^n \frac{1}{\ln(\Delta h_{\eta i})}} \quad \text{Eq. 7}$$

$$WLI_{(\theta)} = H_{\varphi} \cdot H_{\eta} \quad \text{Eq. 8}$$

$$\overline{WLI} = \sum_{\theta=1}^{16} WLI_{(\theta)} \cdot P_{(\theta)} \quad \text{Eq. 9}$$

311

312 2.3. LUR Modelling

313 2.3.1. LUR Buffering Analysis

314 Except for the distance-based and point-based predictors, all the other predictor
 315 variables were calculated using buffering analysis. Buffering analysis is a widely-used
 316 geospatial analysis method in GIS, which defines a zone around a location of interest
 317 using a specific width. In this study, ten different LUR buffering widths (100m, 200m,
 318 300m, 400m, 500m, 750m, 1000m, 1500m, 2000m, and 3000m). As the results, a
 319 total of 167 candidate predictor variables were considered in this study. Table 1 shows
 320 a full list of all candidate predictor variables involved in the LUR modelling process
 321 of this study.

322 Table 1. A full list of all candidate predictor variables involved in the LUR statistical
 323 modelling process of this study.

Predictor Variables		Variables' Units	Geospatial Analysis Methods	Variables' Code
Land Use Information (refer to section 1 of the Supplementary Material)				
Total area within the buffer	Residential land use	m ²	Buffer ^a	LU-RES
	Commercial land use	m ²	Buffer	LU-COM
	Industrial land use	m ²	Buffer	LU-IND
	Government land use	m ²	Buffer	LU-GOV
	Open space land use	m ²	Buffer	LU-OPN
Urban Road Network (refer to section 2 of the Supplementary Material)				
Road network line density	Trunk road/expressways	km/km ²	Buffer	RD-TRU
	Primary road	km/km ²	Buffer	RD-PRI
	Secondary road	km/km ²	Buffer	RD-SEC
	Tertiary road	km/km ²	Buffer	RD-TER
	Ordinary road	km/km ²	Buffer	RD-ORD
Road area ratio (%)		Standardized to [0-1]	Buffer	RD-RATIO
The Spatial Distribution of Population (refer to section 3 of the Supplementary Material)				
Population density		people per km ²	Buffer	POPULATION
Natural Topography and Landscapes (refer to section 4 of the Supplementary Material)				
Geo-location (HK1980)	Longitude	m	Point	X
	Latitude	m	Point	Y
	Elevation	m	Point	Z
Distance to the nearest sources	Waterbody and waterfront	km	Distance	D-WATER
	Artificial urban parks	km	Distance	D-PARK
	Natural forestry areas	km	Distance	D-FOREST
Urban Land Surface Morphology (refer to section 2.2) ^b				
Building volume density		Standardized to [0-1]	Buffer	σ_{Bldg}
Sky view factor		Standardized to [0-1]	Buffer, Point ^c	Ψ_{SVF}
Frontal area ratio		Dimensionless	Buffer	λ_F
Windward-leeward index		Dimensionless	Buffer	WLI
Notes:				
a) A total of ten buffer widths were used: 100m,200m,300m,400m,500m,750m,1000m,1500m,2000m,3000m;				
b) Variables depended on a prescribed wind direction were calculated based on the HKO meteorological records;				
c) Originally, Ψ_{SVF} is developed for a point location. Therefore, besides the averaged Ψ_{SVF} in different buffer widths, point Ψ_{SVF} values at each the location of each WS were defined as the variable within a 0 m buffer and used as a predictor variable in this study as well.				

324

325 2.3.2. Influential Predictor Variables - "ADDRESS" Selection

326 The commonly adopted stepwise regression (Tabachnick and Fidell 2001) was used
 327 for the LUR model development of this present study. LUR modelling is essentially a
 328 multiple linear regression (MLR) process. It has known that involving too many input
 329 predictors during the multiple linear regression modelling leads to collinearity, which
 330 further causes over-fitting problems and spurious resultant regression models (Tu et

331 al. 2005). Therefore, for this present study, it is beneficial to perform a pre-screening
 332 of the complete predictor variable set to reduce the number of the final input variables
 333 for the next-step LUR modelling. Therefore, a practical and efficient variable
 334 screening method - the “A Distance Decay REgression Selection Strategy
 335 (ADDRESS)” developed by Su et al. (2009) was adopted in this study. This method is
 336 essentially a sensitivity test for each buffer-based predictor variable to test the
 337 sensitivity of the variables to different buffers and identify the critical buffer(s) for
 338 each variable. To perform the sensitivity test for a particular predictor variable
 339 (VAR_i), first, a group of simple linear regression models was developed using the ten
 340 buffer widths. The models could be represented by two common equations:

$$VHDHs_i = \alpha_{ij}VAR_{test,buffer j} + \beta_{ij} \quad \text{Eq. 10}$$

$$HNHs_i = \alpha_{ij}VAR_{test,buffer j} + \beta_{ij} \quad \text{Eq. 11}$$

341 where $VHDHs_i$ is the VHDHs at the location i . $VAR_{test,buffer j}$ is the testing variable
 342 calculated within the buffer width j (refers to the section 2.3.1 and Table 1 for the
 343 value of j). α_{ij} is the model slope of the $VAR_{test,buffer j}$. β_{ij} is the intercept of the
 344 model. The simple linear regression model was developed for each of the ten values
 345 of j . For each testing variable, ten simple linear regression models were developed
 346 (the resultant ten models share the same model structure as indicated by Eq. 10). A
 347 distance-decay curve (a function of buffer widths) was then plotted based on the ten
 348 corresponding Pearson correlation coefficients (R) for each $VAR_{i,buffer j}$ (Figure 5).
 349 The critical buffer widths (mainly the peaks and inflection points) of each buffer-
 350 based variable were identified by adopting As the results, only variables at the critical
 351 buffers were kept as the final input variables for next-step LUR modelling. The same
 352 pre-screening procedure was repeated for another outcome variable – HNHs (Eq. 11).

2.3.3. Stepwise Regression LUR Modelling and Model Cross Validation

Stepwise regression technique has been widely applied for screening predictor variables for the multivariate analyses (Jennrich 1977, Miller 1984, Miller 2002). In this present study, SAS JMP statistical software was used to select predictor variables and optimize the LUR models (Freund, Littell, and Creighton 2003, Sall et al. 2012). The minimum Akaike information criterion (AIC) is one of the most widely-used criteria in stepwise MLR. In this study, it was used to determine the optimal LUR models of the VHDHs and HNHs. The variance inflation factor (VIF) was calculated for each predictor variables of the developed models. The criteria of $VIF < 2$ was applied to exclude those predictor variables with significant collinearity before constructing the final LUR models. For each of the developed LUR models, the adjusted R^2 ($\overline{R^2}$) values was checked to evaluate the prediction performance. Leave-one-out cross validation (LOOCV) was also performed to examine the resultant models (both the $RMSE_{LOOCV}$ and the R^2_{LOOCV} were calculated for each resultant model). The structure of the resultant LUR models of the VHDHs (Eq. 12) and HNHs (Eq. 13) can be illustrated as the following equation:

$$VHDHs_i = \alpha_1 VAR_{1j1} + \alpha_2 VAR_{2j2} + \dots + \alpha_n VAR_{njm} + \beta_i + \varepsilon \quad \text{Eq. 12}$$

$$HNHs_i = \alpha_1 VAR_{1j1} + \alpha_2 VAR_{2j2} + \dots + \alpha_n VAR_{njm} + \beta_i + \varepsilon \quad \text{Eq. 13}$$

where $VHDHs_i$ and $HNHs_i$ are the VHDHs and HNHs at the location i . $VAR_{1j1}, VAR_{2j2}, \dots, VAR_{njm}$ are the predictor variables calculated within the buffer width $j1, j2, \dots, jm$. $\alpha_1, \alpha_2, \dots, \alpha_n$ are the corresponding correlation coefficients of the predictors. β_i is the model intercept. ε is the model residual.

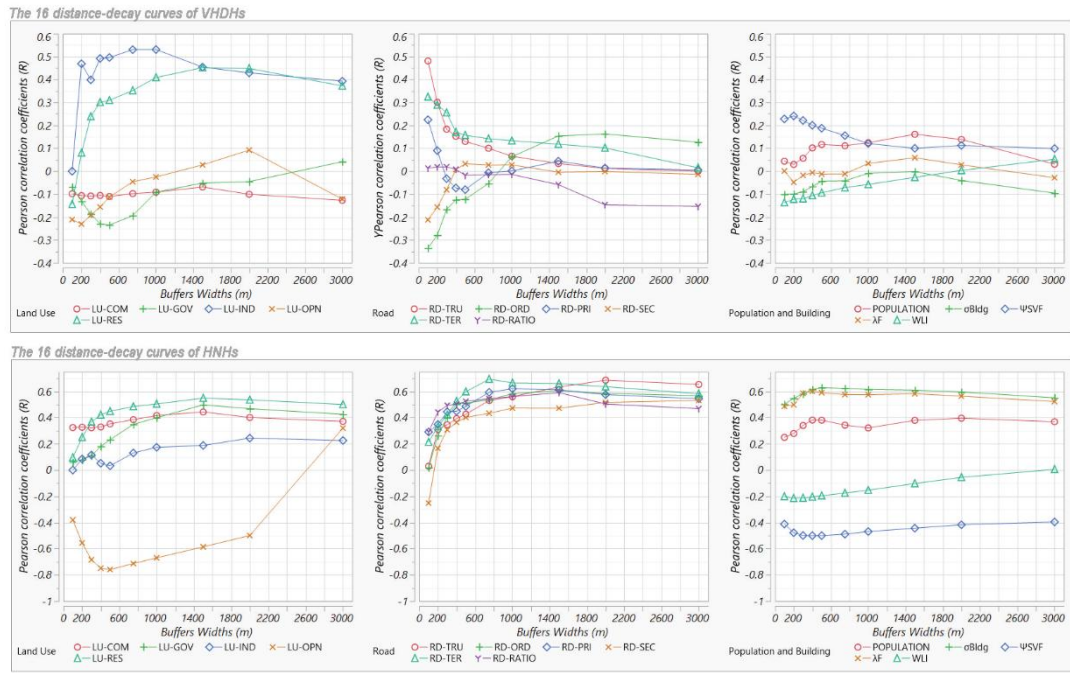
3. RESULTS AND DISCUSSIONS

3.1. Influential Predictor Variables at the Critical Buffers

As described in the methodology section, the “ADDRESS” method (Su, Jerrett, and

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376 Beckerman 2009) was adopted by this present study as the method of the sensitivity
377 test of buffer widths and the influential predictor variable selection. As the results, a
378 total of 32 distance-decay curves were created to understand the correlation between
379 the predictors and outcome variables (Figure 5). Based on these distance-decay curves,
380 the critical buffer widths of each variable were identified (Table 2). There are some
381 common influential variables between VHDHs and HNHs. These variables share the
382 similar effects on the HHs between daytime and nighttime. These variables include
383 the land use-related variables LU-RES, LU-IND, LU-OPN, the POPULATION, and
384 the road network-related variables RD-TRU, RD-PRI, RD-TER. Both LU-RES and
385 LU-IND have a positive correlation with the HHs, while the correlation between LU-
386 OPN and HHs is negative. LU-RES and LU-IND portray the spatial distribution of the
387 building-related anthropogenic heat sources. High emission intensity of the
388 anthropogenic heat aggravates the HHs in both daytime and nighttime. Similarly, the
389 RD-TRU, RD-PRI, RD-TER are also positively correlated with the HHs because of
390 the vehicular heat exhaust. The POPULATION has the same critical buffer width of
391 1500m with LU-RES, which is as expected because the population census data should
392 be consistent with the layout of residential land use area in the city. *WLI*, as a land
393 surface morphological parameter, reflects the wind availability. A larger *WLI* value at
394 a location indicates a better ventilation (more air flows), which further implies a lower
395 possibility of the heat aggregation at that particular location. Therefore, the *WLI* has a
396 negative correlation with both the VHDHs and HNHs as expected.



397
398 *Figure 5. The 32 distance-decay curves of Pearson correlation coefficients between*
399 *all buffer-based variables and VHDHs/HNHs.*

400
401 *Table 2. The sensitivity test results of the critical buffer widths for each buffer-based*
402 *variable and the selection of influential predictor variables for the stepwise*
403 *regression modelling input.*

Outcome Variables Predictor Variables	VHDHs			HNHs		
	Critical Buffer (m)	Correlation	Used as the Modelling Input	Critical Buffer (m)	Correlation	Used as the Modelling Input
LU-RES	1500	positive	Y	1500	positive	Y
LU-COM	500	negative	Y	1500	positive	Y
LU-IND	1000	positive	Y	2000	positive	Y
LU-GOV	500	negative	Y	1500	positive	Y
LU-OPN	200	negative	Y	500	negative	Y
RD-TRU	100	positive	Y	2000	positive	Y
RD-PRI	100	positive	Y	1000	positive	Y
RD-SEC	n.a	n.a	N	n.a	n.a	N
RD-TER	100	positive	Y	750	positive	Y
RD-ORD	n.a	n.a	N	400	positive	Y
RD-RATIO	n.a	n.a	N	1500	positive	Y
POPULATION	1500	positive	Y	1500	positive	Y
σ_{Bldg}	100	negative	Y	500	positive	Y
Ψ_{SVF}	200	positive	Y	400	negative	Y
λ_F	n.a	n.a	N	400	positive	Y
WLI	100	negative	Y	200	negative	Y

Notes:
 "n.a" : The correlation changes between positive and negative with the increase of buffer widths. The variable will not be used as the modelling input.
 "Y" : The variable was used as the modelling input;
 "N": The variable was not used as the modelling input.

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3 405 Daytime-nighttime differences have been observed in some other influential
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6 406 variables. For example, the land surface morphological parameters σ_{Bldg} and Ψ_{SVF} ,
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8 407 and also land use variables LU-COM and LU-GOV. These variables have the
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11 408 opposite correlation with the VHDHs and HNHs. σ_{Bldg} has a negative correlation
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13 409 with the VHDHs because during the daytime, building clusters with a larger density
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15 410 blocks most of the incoming solar radiation from the open sky, consequently reduce
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17 411 the accumulation of the heat within the street (Yang et al. 2017). However, a larger
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19 412 building volume also absorbs more shortwave solar radiation during the daytime and
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21 413 thus stores more heat. During the nighttime, the heat is released from the buildings in
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23 414 the form of longwave radiation. It is trapped by the dense building clusters and
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25 415 increases the temperature of the ambient air volume (Nunez and Oke 1977). The
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27 416 effect of Ψ_{SVF} is similar to the σ_{Bldg} but works in an opposite way because a larger
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29 417 Ψ_{SVF} allows more incoming solar radiation during the daytime and could be helpful to
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31 418 the nighttime heat dissipation (Oke 1981). LU-COM negatively correlates with
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33 419 VHDHs and positively correlates with HNHs, which is possibly because that the built
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35 420 environment of the commercial land use areas in Hong Kong usually have a very
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37 421 large building volume (due to the extremely high land price and the commercial
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39 422 value). The effect of LU-COM is more similar with the σ_{Bldg} due to the influence of
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41 423 the building volume. LU-GOV also has different correlations with VHDHs and HNHs
42
43 424 during the daytime and nighttime.

425 Besides the LU-RES and POPULATION, all other variables have different
426 critical buffer widths between the VHDHs and HNHs. The differences in the critical
427 buffers of the urban land surface morphological variables (σ_{Bldg} , Ψ_{SVF} , and WLI)

428 could be explained by the differences in the atmosphere-land surface energy balance
 429 during the daytime and nighttime (Oke 1988). Although there are slight differences,
 430 the critical buffers of σ_{Bldg} , Ψ_{SVF} , and WLI all have a relatively small spatial scale of
 431 100m to 400m, which is basically at the urban neighborhood scale. Such findings
 432 indicate that the effect of radiation and air flow on the VHDHs and HNHs could only
 433 be effectively evaluated by fine-scale investigations. For all other land use and road
 434 network-related variables, the critical buffers of the HNHs are larger than the VHDHs,
 435 which indicates that the urban setting/configurations have a larger sphere of influence
 436 on the HHs during the nighttime than the daytime, which indicates a stronger
 437 influence. Some of the variables have a correlation changes between positive and
 438 negative with the increase of buffer widths. These variables were not used as the input
 439 data of the stepwise regression modelling.

440 3.2. Resultant LUR Models of VHDHs and HNHs

441 Using the influential predictor variables that identified in section 3.1 (Table 2), the
 442 LUR models of the VHDHs and HNHs were developed (Eq. 14 and Eq. 15 in Table 3
 443 and Figure 6). The two resultant LUR models meet the requirements that: (1) the
 444 model and all model predictor variables have a significant level of p-value smaller
 445 than 0.0001; (2) all model predictor variables meet the criteria of VIF less than 2.

446 *Table 3. The resultant LUR models of VHDHs and HNHs (all models and predictors*
 447 *meet the criteria of $p < .0001$ and $VIF < 2$).*

Resultant LUR Model of VHDHs	
Outcome Variable	VHDHs
R^2	0.742
$\overline{R^2}$	0.712
$RMSE_{Loocv}$	23.86
Mean of Outcomes	52.59
p-value	< .0001
R^2_{Loocv}	0.706
Model Structure	$VHDHs = (-1.470e - 4) * LU_GOV_{500m} + 8.898 * RD_EXP_{100m} -$ Eq. 14 $0.154 * Z + (1.531e - 2) * D_WATER + 58.851$

Resultant LUR Model of HNHs	
Outcome Variable	HNHs
R ²	0.822
$\overline{R^2}$	0.801
RMSE _{LOOCV}	95.057
Mean of Outcomes	349.81
p-value	< .0001
R ² _{LOOCV}	0.767
Model Structure	$HNHs = (-5.110e - 4) * LU_OPN_{500m} + 75.716 * RD_TER_{750m} - 0.144 * Z + 279.380 * \lambda_{F400m} + 449.704$ Eq. 15

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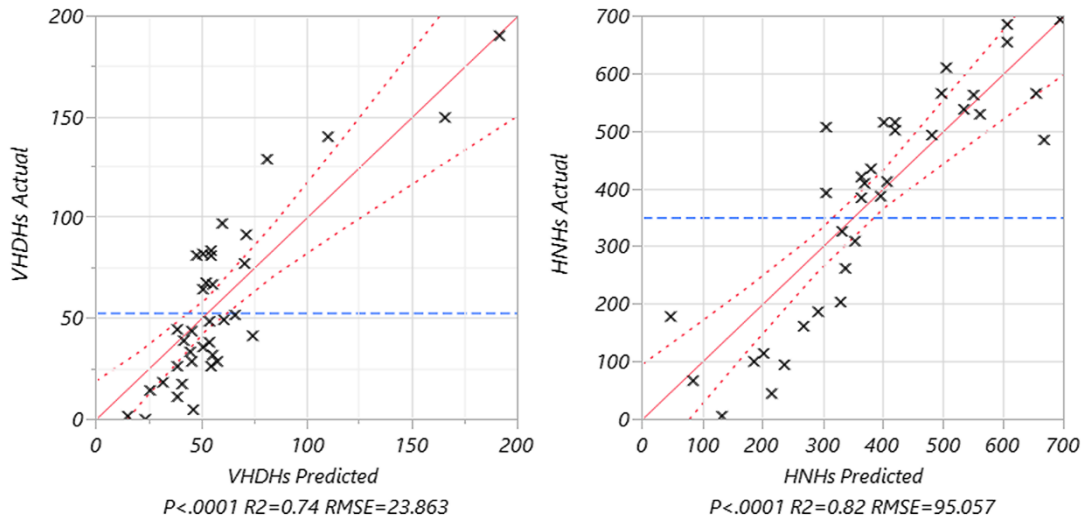
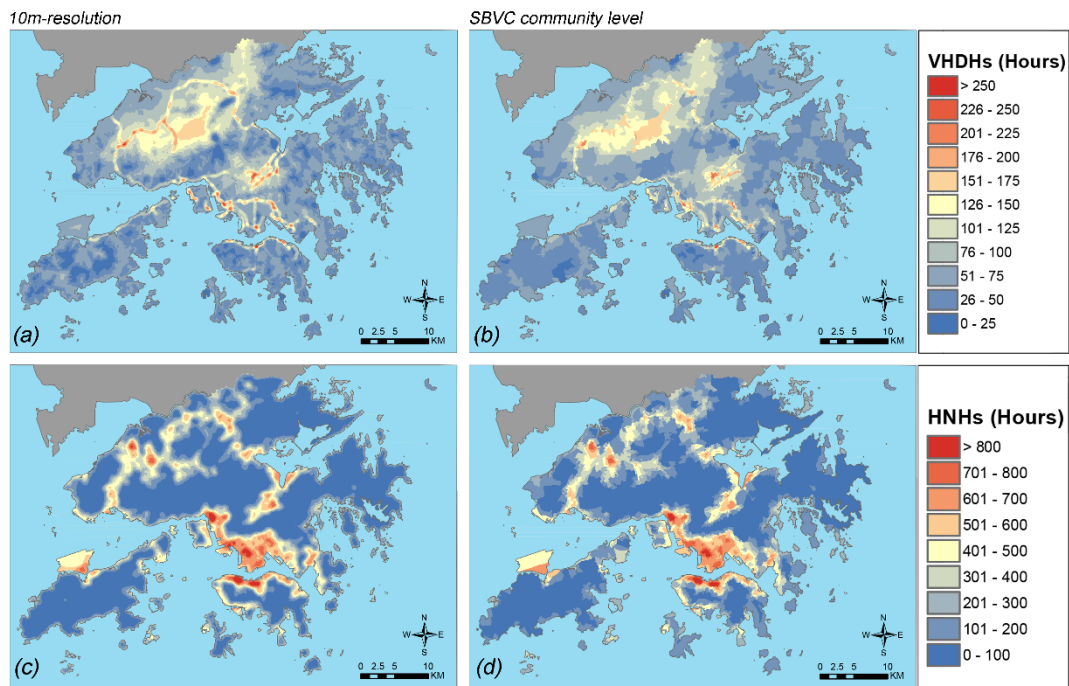


Figure 6. The actual-by-predicted regression plot of the resultant LUR models of VHDHs (left) and HNHs (right). Each data point is corresponding to the validation of at the location of a weather station.

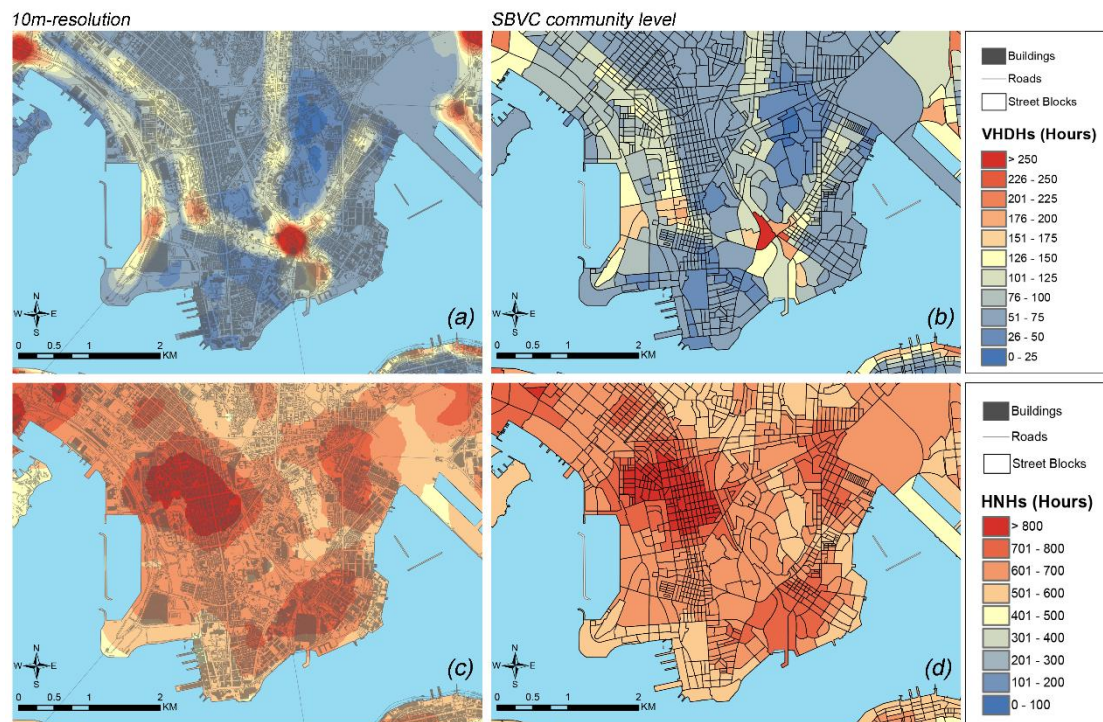
3.3. Spatial Mapping of VHDHs and HNHs

On top of the resultant LUR models, the spatial mapping was performed for the VHDHs and HNHs respectively. First, all predictor variables included in the two resultant LUR models were calculated for each location within the land area of Hong Kong in GIS. As the results, seven geographical raster layers were generated. The spatial mapping of VHDHs and HNHs were then performed based on the resultant LUR models shown in Table 3. Considering the study area has a total area of more than 1000km², a spatial resolution of 10m was applied for all the mappings in this study to balance the mapping precision and the size of the database files. For the urban context of Hong Kong, a spatial resolution of 10m would be fine enough for

463 any further applications in the investigation of the extreme weather conditions and the
 464 assessment of heat-related health risks. The fine-scale resultant mapping could also be
 465 used as the background weather reference/input setting of the analysis of building
 466 energy consumption for the local sustainable building design practice. Figure 7(a) and
 467 (c) shows the 10m-resolution mapping results of the VHDHs and HNHs. To support
 468 public health preparedness, response and relief measures in the community level, the
 469 mapping results were further aggregated at the community level based on the zoning
 470 of SB/VC. Figure 7(b) and (d) shows the final mapping results of the VHDHs and
 471 HNHs at the community level of Hong Kong.



472
 473 *Figure 7. The 10m-resolution LUR mappings of the VHDHs (a) and HNHs (c) and the*
 474 *resultant spatial maps of the VHDHs (b) and HNHs (d) at the community level of*
 475 *Hong Kong.*



476

477 *Figure 8. The zoom-in 10m-resolution LUR maps of the VHDHs (a) and HNHs (c)*
 478 *and the zoom-in resultant spatial maps of the VHDHs (b) and HNHs (d) at the*
 479 *community level of the high-density downtown area in Kowloon Peninsula.*

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481 4. DISCUSSIONS

482 4.1. Findings and Contributions

483 This present study measures and estimates the spatial pattern of the extreme hot
 484 weather condition of Hong Kong by using the VHDHs and HNHs based on weather
 485 observation in 2011-2015 as the indicators. Using LUR techniques, two statistical
 486 models of the VHDHs and HNHs were developed. For both of the two resultant
 487 models, only the four most influential and most contributing predictor variables were
 488 selected from an extensive set of candidate predictor variables. The $\overline{R^2}$ of the VHDHs
 489 model and the HNHs model are 0.712 and 0.801 respectively. The two models also
 490 have a comparable R_{LOOCV}^2 of 0.706 and 0.767 correspondingly, which confirms the
 491 robustness of the model prediction performance.

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492 The VHDHs model contains the predictor variables of LU_GOV_{500m} (negative
493 correlation with the VHDHs), RD_EXP_{100m} (positive correlation), Z (negative
494 correlation), and D_WATER (positive correlation). The presence of the LU_GOV in
495 the model is likely because government and community buildings in GIC sites are
496 generally low- to mid-rise with better consideration of the surrounding environment.
497 In Hong Kong, governmental projects take more environmental measures, which
498 makes the government lands usually have a lower building density than other types of
499 lands. Therefore, LU-GOV to some extent reduce the possibility of heat accumulation
500 and has a negative correlation with the VHDHs. This also indicates the effectiveness
501 of the sustainable and environmental development strategies developed by the Hong
502 Kong Building Department (BD) in recent years (BD 2011a, b). These strategies are
503 mandatory for most of the government development projects and aim to mitigate the
504 impacts on urban climate due to urbanization and climate change. However, there are
505 many different functions in governmental land areas - government, institution and
506 community (GIC) sites of Hong Kong. Some are typical office buildings while the
507 others are the 24-hour operating public facilities. For those nighttime running
508 facilities, the heat emission could be a possible explanation of the positive correlation
509 between LU-GOV and HNHs.

510 The positive correlation with RD_EXP within a small buffer width implies the
511 significant effect of vehicular heat exhaust within a short range (which can be clearly
512 observed in Figure 8). As indicated by a previous study in US (Hart and Sailor 2009),
513 road density is an important influencing factor of the local UHI intensity. It has been
514 found that the air temperature above the major roads is closely related to the traffic-
515 related anthropogenic activity. The consistency between the findings between the

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516 previous study and the present study indicate that the anthropogenic heat emission
517 from the vehicular sector is still a determinant of UHI in Hong Kong despite the
518 different urban scenario. The environmental benefits of the proximity to waterfront
519 have been confirmed under the urban context of Hong Kong (Ng and Ren 2015). The
520 cooling effect of sea-breeze was revealed from the positive correlation between
521 VHDHs and D_WATER.

522 Different to the VHDHs, the HNHs were largely influenced by the heat
523 dissipation rate during the nighttime. LU_OPN_{500m} and the building morphological
524 parameter λ_{F400m} were proved to be the determining factors of the urban cooling and
525 ventilation (Ng and Ren 2015, Shi, Lau, and Ng 2017). Such influence can be clearly
526 observed in Figure 8. The resultant HNHs models prove that more open space and
527 urban morphological permeability are helpful to the mitigation of the extreme hot
528 weather conditions, especially in nighttime. The presence of the RD_TER_{750m} in the
529 HNHs model is similar to the RD_EXP_{100m} in the VHDHs model. Both the VHDHs
530 and HNHs have a negative correlation with the elevation Z , which is as expected
531 because of the negative correlation between air temperature and altitude. It should be
532 noticed that significant correlations between VHDHs/HNHs and WLI have been
533 found, which confirms the importance of the wind in the heat dissipation. However,
534 WLI finally being excluded from the resultant HNHs model because of its collinearity
535 with the other surface morphological variables.

536 The most important contribution of this present study is that it translates all
537 qualitative common understandings into a set of comprehensive quantitative
538 knowledge. The spatial pattern of the extreme hot weather events can be objectively
539 and reasonably estimated not only for each community but also at a much finer spatial

1 540 scale for Hong Kong at a higher level of robustness. As current ground-level weather
2 541 station network do not extensively covered urban areas due to the limited land
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4 542 availability, there is a possible under-representation of urban effect in the temperature
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7 543 data and corresponding indicators of extreme hot weather (Szymanowski and Kryza
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9 544 2009). All above findings will contribute a more comprehensive spatial understanding
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11 545 of extreme hot weather conditions in a complex and heterogeneous geographic
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13 546 context of Hong Kong and form the scientific basis for future analysis when higher
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15 547 spatial resolution monitoring data is available. Such information will also be useful
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17 548 for identifying any sub-groups of the population that are at risk or vulnerable to such
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19 549 risks (Michelozzi et al. 2010) and improve the preparedness of extreme hot weather
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21 550 and associated response measures as well as the future enhancement of heat stress
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23 551 information services (WHO 2008). The fine-scale spatial mapping can also be used as
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25 552 the background reference and help with better urban planning design and the analysis
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27 553 of building energy consumption for the local sustainable building design practices.
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35 554 **4.2. Limitations and Future Works**

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37 555 Although the meteorological records used in this present study is a long-term hourly-
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39 556 resolved historical dataset of a period of 5 years, the total amount of WSs might be
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41 557 still limited and could not represent every type of the urban settings/configurations.
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43 558 The complicated hilly topography, heterogeneous land surface and building / street-
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45 559 level effects in Hong Kong make the local weather conditions vary significantly
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47 560 among different places. It is possible that there are still some other types of urban
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49 561 settings/configurations are not being investigated by the existing WSs yet. In future
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51 562 studies, the model performance could be potentially improved by setting up more
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53 563 short-term WSs to provide further information of the extreme hot weather condition in
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55 564 different places. Currently, this study already provides a fine-scale spatial
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1 565 understanding of the total amount of HHs during daytime and nighttime in summer
2 566 for Hong Kong from a long-term perspective. The follow-up studies will focus on the
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4 567 mapping of the spatial pattern of the mean, minimum, maximum and hourly air
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7 568 temperature to further investigate the spatiotemporal variations of the extreme hot
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9 569 weather, which will allow a more detailed understanding/estimation of the extreme
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11 570 heat events, their potential impacts to various sectors of the society and to explore
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13 571 applications in location-specific weather forecasts that better take into consideration
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16 572 of the effects due to the urban settings/configurations.
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20 573 **5. CONCLUSION**

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22 574 Investigating the spatial pattern of extreme hot weather condition at the community
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24 575 level is essential to the estimation of the heat-related vulnerability and relevant
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26 576 potential impacts to different sectors of the society. This study estimates the amount
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28 577 of summertime cumulative hot hours at the community level for daytime and
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30 578 nighttime respectively in Hong Kong. On the basis of the resultant LUR models (with
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32 579 the identifying the influential predictors), our findings have clearly showed that there
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34 580 are significant spatial variations in the extreme hot weather conditions in the territory
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36 581 and various land surface morphology indicators were identified as influential factors
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38 582 to the observed spatial variations.
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45 583 The scholars, professionals and policy makers are increasingly becoming
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47 584 aware of the strong linkage between extreme hot weather and urbanization (Stone,
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49 585 Hess, and Frumkin 2010, ENB 2017). Those quantitative relationships implied by the
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51 586 resultant models will provide useful references for stakeholders and policy makers to
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53 587 formulate relevant measures to adapt and mitigate various negative impacts of the
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55 588 extreme hot weather and improve the quality of living environment through
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589 integrating spatial climatic considerations in optimizing the urban planning and
590 development, implementing environmental planning strategies and sustainable
591 building design practices, and enhancing heat stress information services and related
592 preparedness, response and relief measures in the community level. This is
593 particularly essential for cities such as Hong Kong, where the large population and the
594 compact building environment makes it more susceptible to extreme hot weather
595 conditions (Ng et al. 2011). This study will help with the enhancement of Hong
596 Kong's resistance to future extreme weather against the background of climate change
597 and continuous city development.

598

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606 Effect of Extreme Heat Events on Mortality and Potential Improvement to Existing
607 Hot Weather Warning System in Hong Kong”. The authors especially wish to thank
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