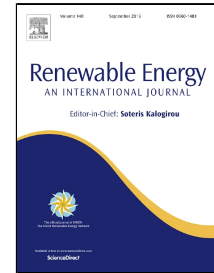


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Estimates of the Impact of Extreme Heat Events on Cooling Energy Demand in Hong Kong

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Abstract

To better understand the relationship between energy consumption, and prevailing climatic condition, the present study uses Hong Kong's observed air temperature records, end-use electricity consumption, and population datasets to: (a) investigate the spatial pattern of cooling energy requirement i.e. cooling degree days on a typical normal and extremely hot summer day using co-kriging geospatial mapping technique; (b) analyze the annual trend of cooling degree days in the city; and (c) quantify the impact of extreme heat events on the summer cooling energy requirements. Results revealed reasonable predictability of city-wide cooling degree days with the co-kriging method which uses two covariates i.e. "elevation of the weather station" and "building volume density within the 1000m radius neighboring area". Homogeneity and heterogeneity in cooling degree days' distribution were found during the summer daytime and nighttime, respectively indicating the method's ability to delineate the urban heat island effect with increased magnitude during extreme heat events. Quantitatively, the extreme heat events increased cooling degree days by 80 – 140% depending on the event type, a range consistent in recent years (2011 - 2015). Lastly, we provided the implications of our findings to building and urban design; and future energy planning.

Keywords: Extreme heat, cooling degree days, space cooling, electricity consumption, sustainable cities, green building, urban heat island

45 **1 Introduction**

46 **1.1 Background of study**

47 The fifth assessment report (AR5) of the Intergovernmental Panel on Climate Change (IPCC)
48 revealed the indisputable warming of the earth's surface up to about 0.9°C since the late 19th
49 century[1]. This abrupt change is driven largely by increased anthropogenic greenhouse gas
50 emissions since the pre-industrial era caused by economic and population growth, and urban
51 development with impacts in almost all sectors of human living including agriculture, aviation,
52 road transportation, water resources and energy [2]. Coupled with the current rate of
53 urbanization, global warming and urban heat island (UHI) effect have been attributed as two
54 of the causatives of increasing energy demand and consumption especially during the summer
55 period of most tropical and sub-tropical cities or countries [3,4]. In fact, the intensification of
56 higher ambient temperature induced by global climate change in recent years has resulted in
57 increased cooling energy consumption across the world [5,6]. Santamouris [7] estimated the
58 average Global Energy penalty per unit of surface and degree of UHI intensity as 0.74
59 kWh/m²/K while the average total energy load of representative buildings consumed for
60 heating and cooling purposes increased by 11% between 1970 and 2010. Akbari [8] found
61 increases in air temperature explain 5–10% of urban peak electric demand, with a typical rise
62 of 2–4% for every 1°C rise in daily maximum temperature over 15–20°C in the United States.
63 However, the demand of cooling or heating energy varies across climate zones; in cold
64 countries, where heating energy demand is higher, less energy will be required for buildings'
65 heating during winter while buildings' cooling energy demand increases in tropical countries
66 during hot summer [2,9]. Thus, the high outdoor ambient temperature will significantly
67 influence energy consumption by increasing the demand for refrigeration and air conditioning;
68 and reducing space heating demand [10]. This observation is raising public awareness
69 concerning energy use and climate change implications and helped generate a lot of interests
70 in having a better understanding of energy consumption and its correlations with the prevailing
71 weather conditions. With the continued global climate change and urbanization rate, cities will
72 become more susceptible to extreme heat events in which it is very likely hot days and nights;
73 and intensity and frequency of heatwaves will further increase in the future as projected by
74 IPCC [11]. This directly implies higher energy demand and consumption if human thermal
75 stress will be tamed and desirable human thermal comfort in indoor spaces will be actualized.

76

77

78

79 **1.2 Relationship between ambient temperature and cooling energy** 80 **requirement**

81 Climate has been long understood as a major influencing factor of energy consumption in
82 cities [12] with air temperature leading the ranks of the climatic factors even as others such as
83 relative humidity, solar radiation cannot be underemphasized [13,14]. Studies across the
84 globe and subtropical climates especially had shown an increasing trend of temperature and
85 summer discomfort over the past decades, and consequently, more cooling energy demand
86 is anticipated [15]. To estimate the heating and cooling demands at different spatial scales,
87 degree-days method described as the most simple, and practical of all other methods is widely
88 used for measuring the influence of climate, i.e. severity of winter and summer conditions on
89 heating and cooling requirements [16,17]. The degree days basically provide an
90 understanding of the thermal needs and energy consumption patterns of a building, city and
91 region [18]. It is subdivided into the heating and cooling components i.e. Heating Degree Days
92 (HDDs) and Cooling Degree Days (CDDs) and can be defined thus [17]: HDDs is the sum of
93 the difference between the outdoor temperature and a selected base temperature, taking into
94 account only positive values while CDDs is calculated from temperatures above the base
95 temperature. The base temperature is defined as the outdoor temperature above or below
96 which thermal equilibrium is reached in the building, i.e. no need for heating or cooling as the
97 case may be and varies across climatic regions and different building types [19]. The use of
98 degree-day methods in the citywide and building-scale energy analysis is presented in several
99 studies, e.g. [20–24]. Thus, the present study will adopt this approach in investigating the
100 spatio-temporal trend of cooling energy requirement during typical and extremely hot summer
101 period in Hong Kong.

102

103 **1.3 Motivation and Objective of study**

104

105 An overview of end-use energy consumption in Hong Kong [25] revealed highest electricity
106 consumption in the summer months (June – September) in two dominant sectors (residential
107 and commercial) most of which are used for space cooling and refrigeration suggesting the
108 role of ambient mean temperature as an undoubted determinant of residential and commercial
109 electricity consumption. In the wake of future climate, the Hong Kong Observatory has
110 projected long term increasing trend in air temperature which could signal more electricity
111 consumption especially for space cooling. While previous studies [12,26,27] have studied the
112 trend of CDD in Hong Kong, they used a single station data, i.e. Hong Kong Observatory
113 headquarters at Tsim Sha Tsui of the Kowloon Peninsula. The unarguable reason is mainly
114 that of its long years of record dated back to 1885 and its location in an urban area which
115 implies the urban effect is accounted for in the temperature record. However, since the mid-

116 1980s, more automatic weather stations have been installed across the city paving the way to
117 address the insufficient information on the spatial distribution of intra-urban temperature
118 difference variations and other derived variables such as cooling or heating degree days [28].
119 The spatial understanding of CDD for instance will enhance climate-responsive urban
120 planning and design and sustainable urban living thus help the Government make an objective
121 and targeted action for the most vulnerable areas.

122
123 Furthermore, Fung *et al.* [29] studied the temperature dependence of the monthly energy
124 consumption for the period from 1990 to 2004 and indicated that temperature rise resulted
125 from global warming and local urbanization could have implications on the energy sector of
126 Hong Kong. Lee *et al.* [12] extended the study by attempts to identify the monthly variation of
127 energy consumption and the correlation between energy consumption and climate factors in
128 Hong Kong using the monthly energy consumption data and meteorological observations from
129 1970 to 2009. They found CDD had a significant positive correlation with electricity
130 consumption in both residential and commercial sectors in warm months and the consumption
131 per unit CDD increased from the 1970s to the 2000s, probably due to the higher living standard
132 and the increased popularity of air-conditioning during this period. Futuristically, the projected
133 increase in the mean temperature denotes a significant increase in the frequency and intensity
134 of extreme heat events by the end of the 21st century which may have consequent implications
135 on the future energy demand provided the current energy consumption pattern is not altered.

136
137 Meanwhile, the previous studies as described above have averaged-out the role of extreme
138 heat events on cities' or building energy consumption while the scientific evidence of energy
139 requirement on extremely hot days or events will aid futuristic energy planning since the
140 frequency and intensity of the very hot weather events are projected to increase under either
141 medium-low or high emission scenario. Thus, in the present study, the summer CDD trend
142 analysis will be extended to 2015 from 1970 while the impact of extreme heat events on
143 cooling energy requirement will also be investigated. Thus, a more comprehensive
144 understanding of extremely hot weather in an urban environment and implication on energy
145 consumption will be acquired and documented. These are in addition to the better
146 understanding of the spatial variation of Hong Kong summer cooling requirement under typical
147 and extremely hot weather using spatial interpolation techniques.

148

149 **2 Data and methods**

150 **2.1 Study area**

151 Hong Kong (22°16'50"N, 114°10'20"E) has a monsoon-influenced subtropical climate
152 characterized as hot-humid in the summer with maximum daily air temperature often reaching
153 31°C or above but could reduce to 26°C in urban and 24°C in rural areas at night with high
154 humidity [30,31]. Under the combined effect of global climate change and local urbanization
155 there is a long term increasing trend in the average temperature in Hong Kong. Trend analysis
156 of the annual mean temperature data from 1885 to 2017 showed an average rise of 0.12°C
157 per decade with faster rate observed in the latter half of the 20th century, reaching 0.18°C per
158 decade during 1988-2017 [32,33]. More critically, more frequent extreme heat events such as
159 very hot days and hot nights have been observed in Hong Kong since the inception of her
160 urbanization in the 1960s [34–36] and projected increasing trend is throughout the 21st
161 century [11,31]. The annual count of very hot days and hot nights has increased significantly
162 from 19th to 20th century with an expected further increase in the 21st century [34].
163 Consequently, building energy demand and consumption is on the increase and may further
164 increase in response to future climate change.

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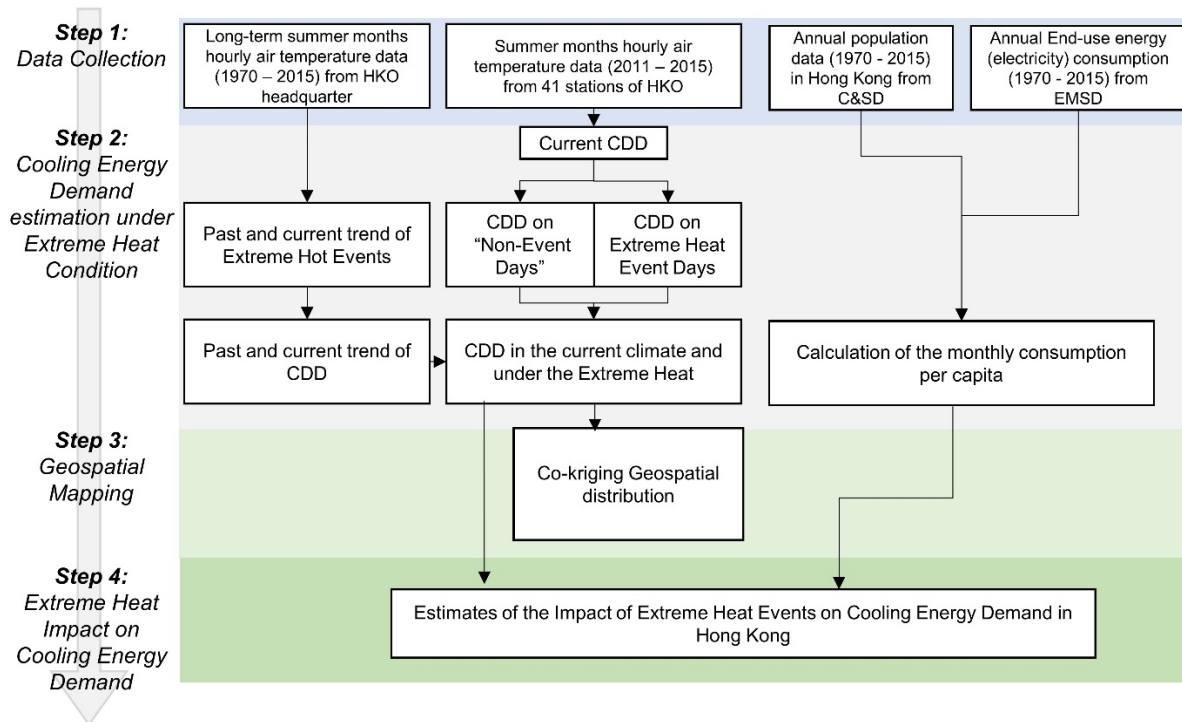
166 **2.2 Data description, selection and distribution**

167

168 The methodological framework of this study is presented in Fig.1, it highlights main research
169 steps taken to actualize the objectives of this study. Several datasets have been obtained and
170 summarized in Table 1 which also contains the purpose or usage of each dataset. For the
171 purpose of long-term trend analysis and estimation of CDD, we obtained summer months' (i.e.
172 June, July, August, and September) hourly air temperature data from 1970 – 2015 of the Hong
173 Kong Observatory Headquarters (HKOHq) station, the oldest of all Hong Kong's weather
174 stations which has continuous record of temperature data since 1885 apart from a break
175 during World War II from 1940 to 1946 and located at the urban area [37]. Similarly, for the
176 historical trend analysis of electricity consumption, summer end-use monthly electricity
177 consumption data of same period (1970 – 2015), cumulative (or single point data) for Hong
178 Kong was obtained from the Census and Statistics Department (C&SD) of Hong Kong while
179 the annual population data (1970 - 2015) in Hong Kong from C&SD was used to calculate the
180 monthly consumption per capita.

181

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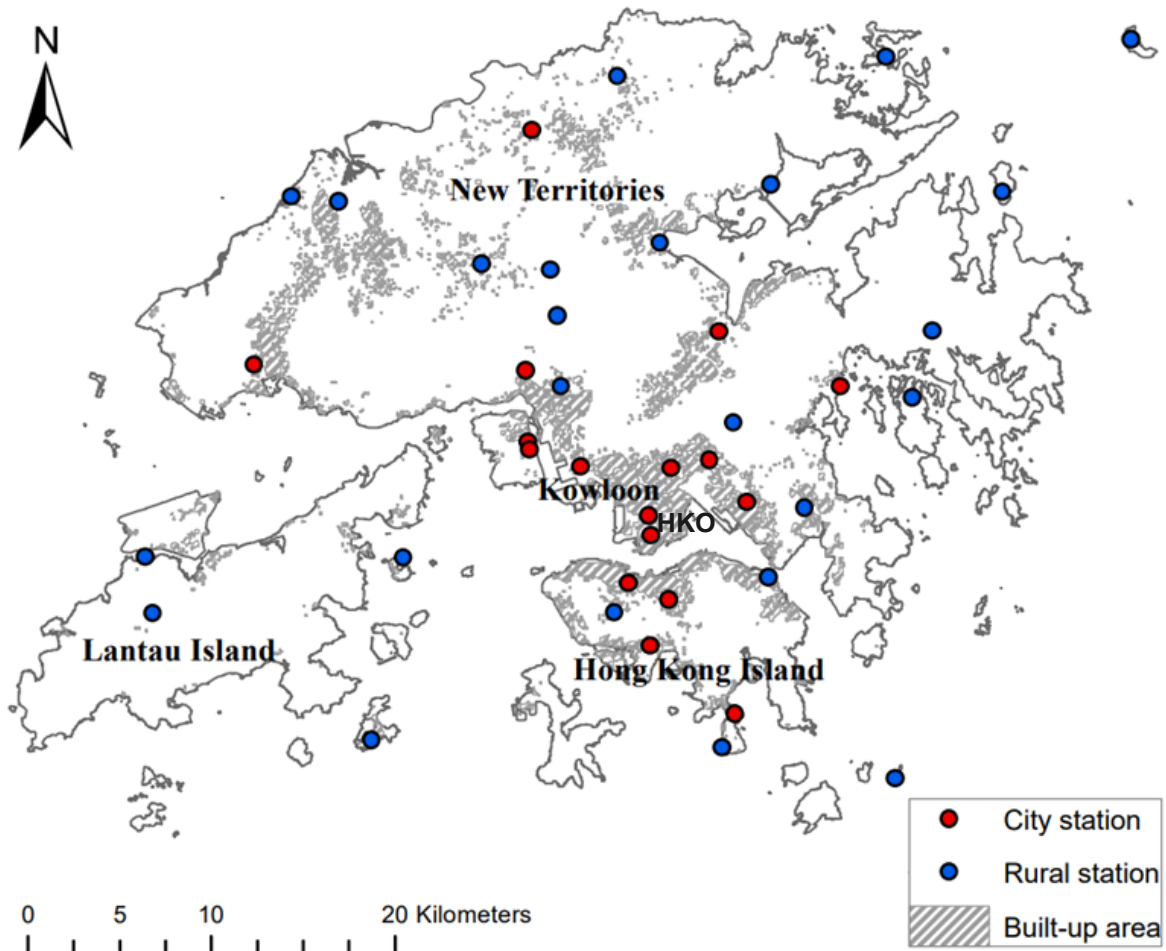


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Fig.1 Methodological flowchart on the study

187 For the purpose of geospatial mapping of CDD across the city, hourly air temperature data of
 188 summer month from 40 other air temperature stations (making a total of 41) was obtained from
 189 the Hong Kong Observatory. The 41 stations can represent both city and rural environments
 190 of Hong Kong (Fig.2). Commencement date of data recording of each station differs, thus for
 191 uniformity, we selected data from 2011 – 2015 because these years have a complete
 192 database of temperature for all stations, and more extreme hot records have been recorded
 193 in these years and thus used for spatial mapping of the summer CDD.

194



195
196
197
198 **Fig.2** Distribution of the Hong Kong Observatory stations

Table 1 Summarized list and description of the applied dataset for this study

Data type and source	Data period	purpose and description
Hourly air temperature (Hong Kong Observatory)	1970 - 2015	This long-term dataset is only available for the HKO Headquarter station. It was used as representative data for the trend analysis CDD and estimation of relationship with space cooling energy consumption
Hourly air temperature (Hong Kong Observatory)	2011 – 2015	Data available for all weather stations in Fig.3 for the stated period and therefore applied for geospatial interpolation of Citywide CDD.
End-use Energy (Electricity) consumption (Energy and Mechanical Services Department, HKSAR)	1970 - 2015	Available data is cumulated over Hong Kong
Population (Census and Statistics Department, HKSAR)	1970 - 2015	Available data is cumulated over Hong Kong

199

200 **2.3 Definitions of extreme heat events (EHEs) and calculation of cooling degree day**

201 In Hong Kong, the Hong Kong Observatory defines the very hot day (VHD) and hot night (HN)
202 respectively as days with daily maximum temperature ≥ 33 °C and daily minimum temperature

203 ≥ 28 °C. In this study, as the data analysis of CDD is on an hourly basis, to minimize the
 204 instantaneous temperature effect, the VHD (HN) was identified using the hourly temperature
 205 dataset, i.e. at least 1 h of daytime maximum (minimum) temperature ≥ 33 °C (28°C). To
 206 characterize prolonged extreme heat event, at least three consecutive VHDs (3VHDs), and at
 207 least two consecutive HNs (2HNs) were selected and analyzed [38]. These temperature
 208 thresholds are in accordance with the 95th percentiles of T_{\max} (32.8 °C) and T_{\min} (28.2 °C)
 209 estimated from 2007–2014 temperature data in Hong Kong, and consistent with the 95th (or
 210 above) percentile threshold method for defining heat waves, an approach adopted in
 211 estimating the heat-related mortality data in many cities and countries [38,39]. For estimating
 212 the cooling energy requirement during the typical and extreme summer period in Hong Kong,
 213 average CDD per hour was calculated for days without any of the extreme heat event or
 214 reference condition hereafter named Non-Event Days (NED) and compared with the
 215 corresponding extreme heat period (VHD, HN, 3VHDs and 2HNs) to estimate the impact of
 216 Extreme Heat Events (EHEs) on CDD in Hong Kong.

$$217 \quad CDD_{NED} = \frac{1}{N} \sum_{i=1}^{JJAS} (T_i - T_b)_{NED} \quad (\text{for } T_b > T_i, (T_i - T_b) = 0) \quad [1a]$$

$$218 \quad CDD_{EHE} = \frac{1}{N} \sum_{i=1}^{JJAS} (T_i - T_b)_{EHE} \quad (\text{for } T_b > T_i, (T_i - T_b) = 0) \quad [1b]$$

220
 221 To estimate the impact of each of the EHE on cooling energy requirement, the relative
 222 difference (%) between CDD during each EHE and corresponding NED was calculated thus:
 223

$$224 \quad EHEI(\%) = \left(\frac{CDD_{EHE} - CDD_{NED}}{CDD_{NED}} \right) \times 100 \quad [2]$$

225
 226 Where:
 227 CDD_{NED} = Cooling Degree Days on a Non-Event Day (NED) during the i th hour of the day (07:00 – 18:00)
 228 or night (19:00 – 06:00) of the summer months i.e. JJAS- June, July, August and September
 229 CDD_{EHE} = Cooling Degree Days on an EHE day or period (i.e. VHD, HN, 3VHDs or 2HNs) during the i th
 230 hour of the day or night
 231 T_i = actual air temperature at the i th hour of the day or night
 232 T_{base} = is defined as the temperature at which a building reaches thermal equilibrium with the incoming
 233 energy and the environment i.e. the base temperature above which cooling will be required which
 234 is 26°C for this study following Ministry of Housing and Urban-Rural Development in China
 235 (2005)[12]
 236 N = Total number of hours
 237 $EHEI(\%)$ = Extreme Heat Event Impact on cooling energy requirement
 238

239 2.4 Geospatial analysis technique

240 As mentioned earlier, the long-term air temperature monitoring data are acquired from the
 241 local authority – HKO. The air temperature is only monitored by the sparsely-built monitoring

242 network as such the CDD could only be calculated at the locations of 41 weather stations.
 243 However, a fine-grained spatial estimation of CDD is necessary for analyzing the spatial trend
 244 of CDD on “EHE” and “Non-EHE” days in Hong Kong. Therefore, the spatial analysis needs
 245 to be performed to provide the continuous spatial estimation of CDD. Kriging and co-kriging
 246 are two spatial interpolation methods that have been widely used to create spatially continuous
 247 climate-related data [40]. They estimate the value of a variable or indicator of interest at an
 248 unmonitored location based on the values at neighboring monitored locations by fitting a semi-
 249 variogram model which is a function of spatial distance. Based on the context of the present
 250 study, the semi-variogram model is shown as the follows:

$$251 \quad \hat{\gamma} = \frac{1}{2n(d)} \sum_{s_i - s_j = d} (T_{s_i} - T_{s_j})^2 \quad [3]$$

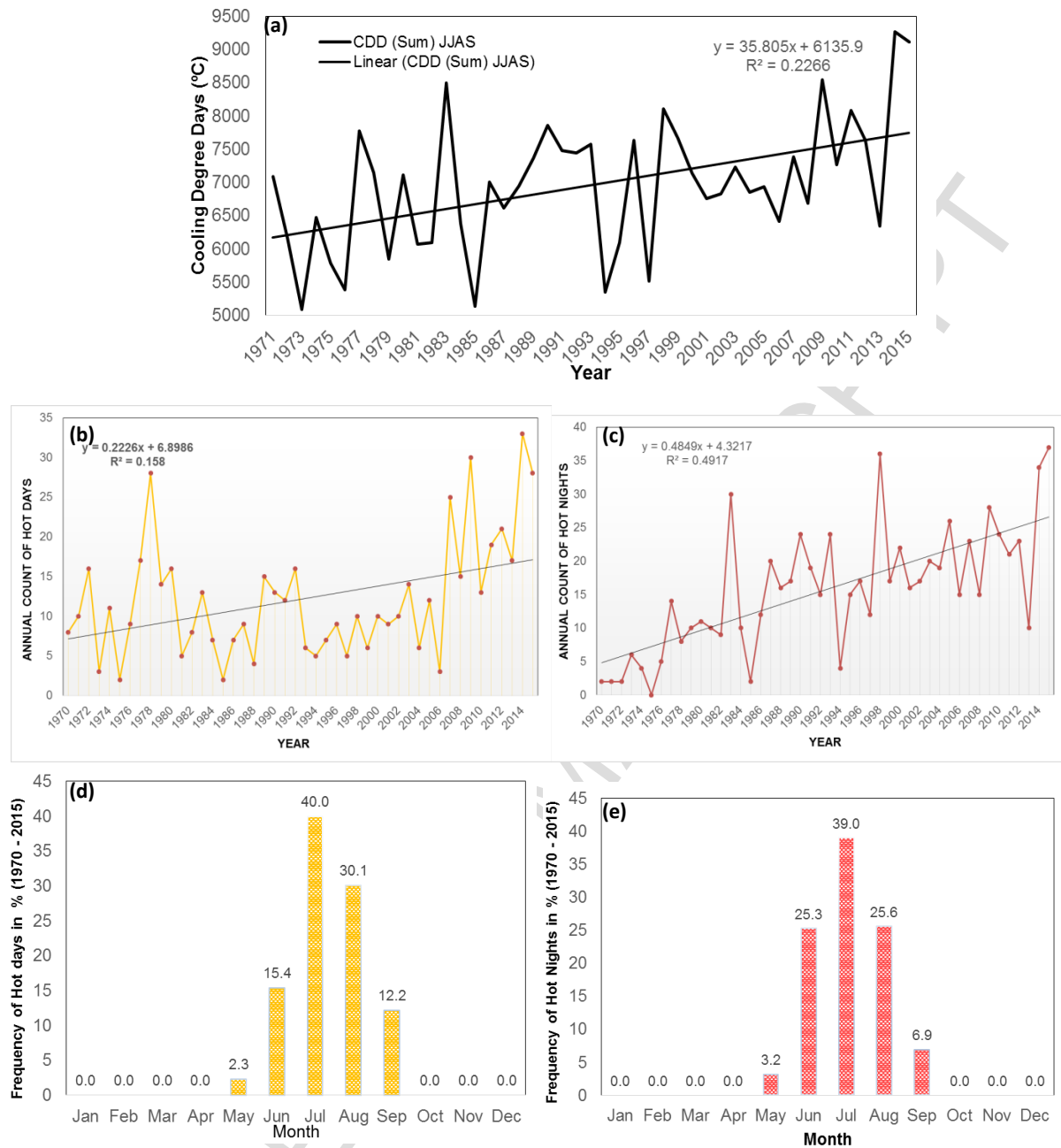
252 where $\hat{\gamma}$ is the semi-variogram. Each two weather monitoring stations (at the geo-location s_i
 253 and s_j) are paired by the model. T_{s_i} and T_{s_j} are the temperature data monitored by the two
 254 stations at s_i and s_j . d is the spatial distance between stations s_i and s_j . $n(d)$ is the total amount
 255 of the pairs of all 41 monitoring stations. Co-kriging spatial interpolation method is well-known
 256 and described in Cressie (1993). Compared with the kriging, it allows additional predictor
 257 variables that exhibit inter-correlations with the variable of interest, which possibly produces
 258 better prediction performance than kriging method [41]. This could be particularly helpful in
 259 exploring the underlying influence of urban topography on urban climate-related parameters.
 260 Previously, the co-kriging method has been tested in Hong Kong for spatial prediction of air
 261 temperature at different spatial scales [28,42]. In the present study, co-kriging is adopted to
 262 estimate the spatial distribution of CDD over Hong Kong. To take the spatial heterogeneity of
 263 the urban topography and morphology into consideration, the elevation of the weather station
 264 and building volume density were used as the covariates for improving the robustness of
 265 spatial interpolation results. To account for the influence of urbanization in the surrounding
 266 area, the averaged building volume density within the 1000m radius neighboring area was
 267 calculated and used as a covariate. The 1000m-search radius is determined based on the
 268 spatial resolution used in the previous study of air temperature spatial mapping over Hong
 269 Kong [40].

270 **3 Results**

271 **3.1 Trends of cooling degree days and extreme heat events in Hong Kong**

272
 273 Fig. 3(a) shows the variation of summer (June to September) CDD at the HKOHq station from
 274 1970 – 2015. Increasing trend with an $R^2 = 0.23$ and significant at a 95% confidence interval
 275 was observed. An average increase of 35°C per year for CDD was observed from the
 276 regression equation. The maximum value of 9000°C was observed in the summer year of

277 2013 while a minimum of 5000°C was observed in 1973. A bigger value signifies higher
278 summer temperature and consequently higher energy consumption for space cooling by air
279 conditioning. The trend is generally consistent with the increasing pattern of mean temperature
280 observed at the same station under the combined influence of global warming and local
281 urbanization [12]. Over the same time period of 1970 – 2015, Fig. 3(b and c) shows an
282 increasing trend of extreme heat events (i.e. very hot days, VHD and hot nights,HN counts
283 using hourly data) in Hong Kong. The results reveal an increase of 0.2 VHD/year ($R^2 = 0.16$,
284 statistically significant at 95% confidence level) and 0.5HN/year ($R^2 = 0.49$, statistically
285 significant at 95% confidence level) within the period even as the trend in the recent years
286 shows a more significant rate of increase. Considering the monthly distribution of VHD and
287 HN within the same period as presented in Fig. 3(d&e), 97% and 98% occurred within the
288 typical summer months classified as for June, July, August, and September. The monthly
289 distribution revealed about 40% of the total annual VHD and HN occurred in July; and usually
290 more HN (25%) than VHD (15%) occurred in June while more VHD than HN occurred in both
291 August and September. However, recent observation suggests the occurrence of EHE
292 signatures in earlier months, especially May. For instance, in May 2018, 15 consecutive VHD
293 (17 – 31 May, 2018) was recorded including 5 HN and less than 5mm of rainfall within the
294 period in Hong Kong [43].



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Fig. 3 (a) Time series of summer Cooling Degree-Day (June – September) season from 1970 -2015. Annual count of (b) Very Hot Days and (c) Hot nights from 1970 -2015. Monthly distribution of (d) Very Hot Days (f) Hot Nights.

300 3.2 Spatial variation of CDD and comparison between ‘EHE’ days and ‘NED’

301 The previous section has given an overview of the trends of summer mean temperature and
302 CDD in Hong Kong using a long-term dataset of the HKOHq station. Here we present the
303 spatial distribution of the summer CDD per hour of the day, daytime (07:00 – 18:00) and
304 nighttime (19:00 – 06:00) using the co-kriging spatial interpolation method. Some previous
305 studies [20,21,44] have applied contour interpolation in mapping CDD in the respective cities
306 even though the accuracy of the resultant data relative to actual is often not reported. Another

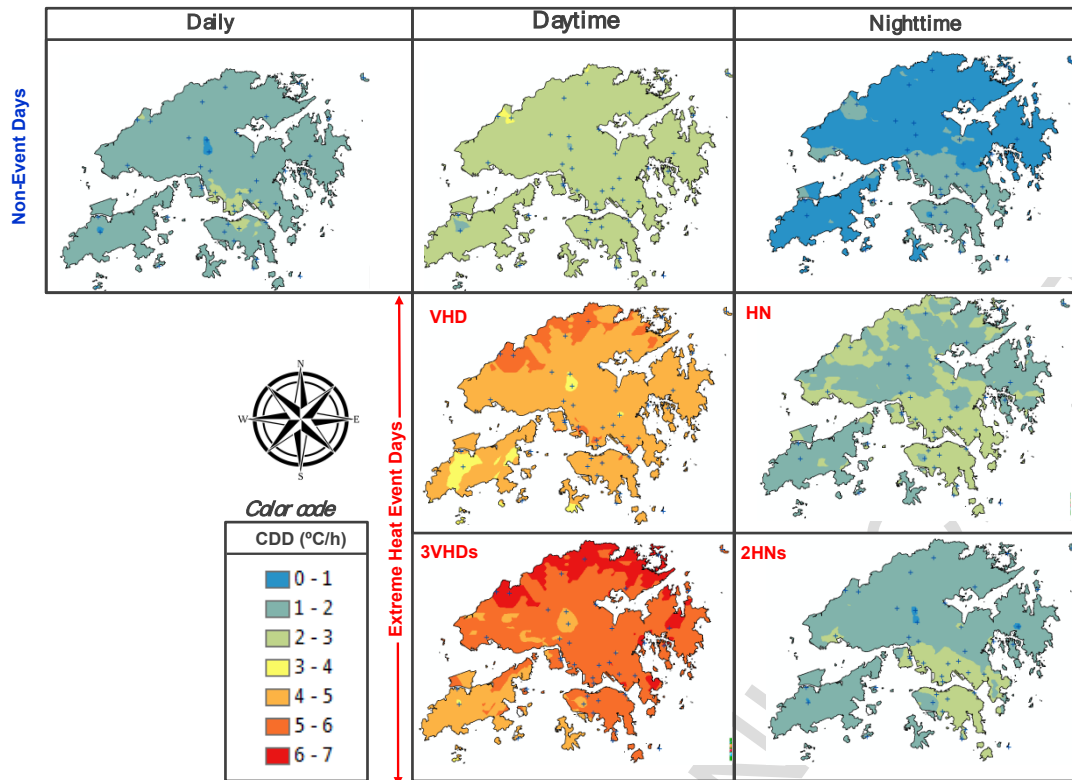
307 attempt has been made to construct the spatial map from point observations based on multiple
308 regression [48]. It allows the incorporation of geographical factors (longitude, latitude, and
309 elevation, etc.) as the spatial predictors, to produce spatial maps. In the present study, to
310 improve the spatial prediction, we have applied the co-kriging method with two covariates i.e.
311 "elevation of the weather station" and "building volume density within the 1000m radius
312 neighboring area", the later accounts for the influence of urbanization. Cai et al [28] shows the
313 comparison of the accuracy of geospatial interpolation of intra-urban temperature variation
314 under extreme hot weather over Hong Kong using ordinary kriging and co-kriging methods.
315 The co-kriging approach (which incorporates digital elevation model information, sky view
316 factor and the vegetation cover information) provided a better spatial predictability of extremely
317 hot weather and their spatial patterns than ordinary kriging method. Hence, we present
318 geospatial maps of CDD of Hong Kong using co-kriging method (see Fig. 4). The maps collage
319 allows for comparison between hourly CDD on Non-Event Days (NED), Extreme Heat Event
320 Days (EHE) i.e. isolated Very Hot Day (VHD), Hot Night (HN), and prolonged high temperature
321 cases i.e. at least 3 consecutive Very Hot Days (3VHDs) and at least 2 consecutive Hot Night
322 (2HNs). Fig.4(a) shows the spatial interpolation of daily averaged CDD on NED with $R^2= 0.88$
323 (see Fig. 5), most areas and districts experience a daily average CDD of 1 - 2°C/h while the
324 highly urbanized areas such as the Kowloon and a portion Hong Kong Island experienced 2 -
325 3°C/h suggesting the influence the urban heat island effects and dense urbanization on CDD
326 while areas around the Tai Mo Shan (station elevation about 950 meters) observed lowest
327 CDD of 0 - 1°C/h. Considering the daytime and nighttime conditions separately, we found
328 homogeneity and heterogeneity CDD distribution, respectively. For daytime, an average of 2-
329 3°C/h was observed across the city. The open urban settings i.e. less sky obstruction of the
330 New Territories, areas void of high-rise buildings or high mountains commensurate the urban
331 heat island effects in the urbanized Kowloon and the western Hong Kong Island areas during
332 the daytime on NED. On typical EHE days and period, the daytime CDD increased
333 considerably across the city. For instance, on typical VHD, it ranges between 4 – 6°C/h which
334 further increased to 5 – 7°C/h during the daytime of prolonged high temperature cases
335 characterized as 3VHDs with a mapping accuracy of 77% and 72% for VHD and 3VHDs,
336 respectively(see Fig. 5). Clearly, the low urban density areas i.e. the new territories are more
337 vulnerable to heat hazard although when population density is considered higher cooling
338 energy will be consumed in the Kowloon and west Hong Kong Island areas. During the
339 nighttime on NED, CDD of 1-2°C/h and 0-1°C/h was found in urbanized and less urbanized
340 areas, respectively and with a mapping accuracy of 63% and 62% (see Fig.5). The nighttime
341 distribution pattern further echoes the effect of urban heat island due to intense urbanization
342 in the Kowloon Peninsula and Hong Kong Island. At nighttime, CDD increased from 0-2°C/h
343 on a typical summer night to 1-3°C/h on typical HN and 2HNs with reasonable prediction (R^2

344 =0.70 and 0.90 for HN and 2HN, respectively(see Fig. 4). Again the effect of urbanization was
 345 clearly noticed and the higher values were mostly found at the highly dense urbanized
 346 Kowloon Peninsula and Hong Kong Island characterized dense urban canyons which is
 347 capable of trapping heat i.e. lower night time cooling rate in the urban area, and reduce wind
 348 speed leading to generally higher nighttime temperature in urban areas during prolong high
 349 temperature condition i.e. 2HNs. Overall, Table 2 summarizes the impact of the built
 350 environment on the cooling energy requirement by comparing the absolute average CDD
 351 between urban and rural stations. Generally, the built environment contributes to the increase
 352 in CDD on both event and non-event days, however, this impact is stronger at nighttime in
 353 both cases. The daytime contribution of the built environment across the city landscape
 354 weakens when the heat events persist for more than a day. The reverse is true for the
 355 nighttime where an increase in the impact of built environment was observed with increase
 356 length of hot nights indicating heterogeneity. The features indicate the vulnerability of this
 357 area to nighttime heat hazards and consequent higher energy demand and consumption to
 358 attain desirable thermal comfort

359 **Table 2** Impact of built environment on cooling energy requirement

Average CDD (°C/h)	Non-Event Days			Extreme Heat Event Days			
	Daily	Daytime	Nighttime	VHD	3VHDs	HN	2HNs
Urban Stations	2.14	3.0	1.44	5.2	6.0	2.72	2.54
Rural Stations	1.61	2.5	0.83	4.2	5.1	1.83	1.66
Impact of Built Environment (%)	32.9	20.0	73.5	23.8	17.6	48.6	53.0

360



361

362 **Fig. 4** Spatial variation of average summer cooling degree days per hour on typical non-event
 363 and extreme heat event days from 2011 to 2015

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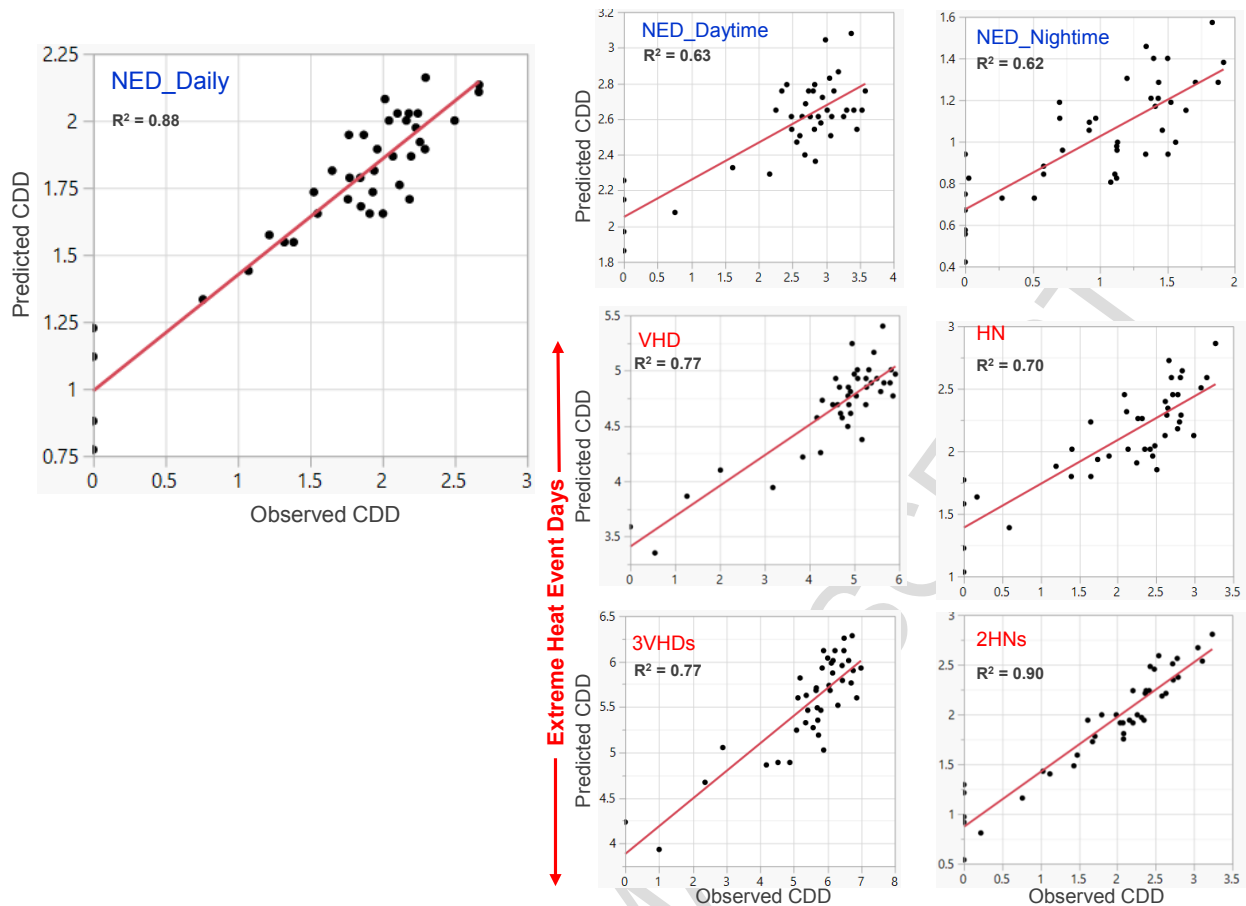
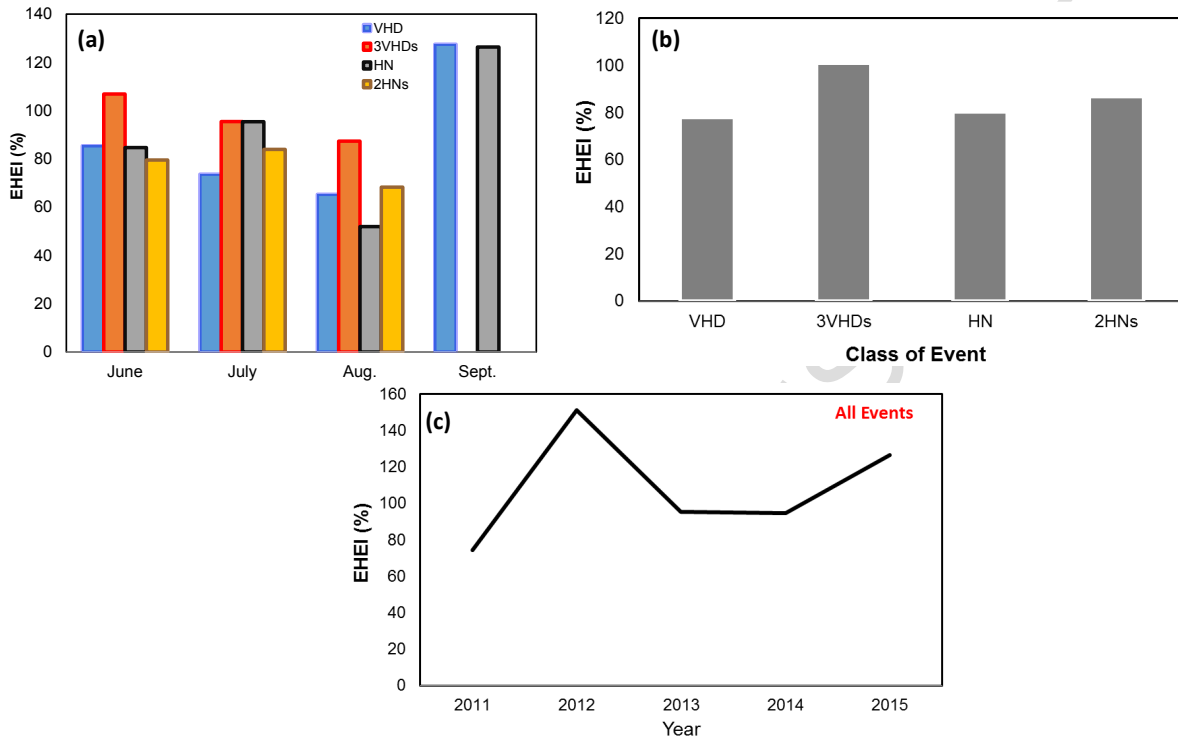


Fig. 5 Co-kriging spatial interpolation performance

3.3 Quantifying the impact of extreme heat events on cooling energy requirement

In this section, we have estimated the impact of Extreme Heat Event (EHE) on cooling energy demand in Hong Kong, we calculated the relative difference (%) in CDD on EHE days/ period relative to a corresponding Non-Event condition using Eq. 2 i.e. Extreme Heat Event Impact, EHEI. This aims at having an outlook on energy demand and consumption during extremely hot weather and the consequent need for energy planning as the frequency and intensity of extreme heat events is on the increasing trend. To analyze this impact, we only used data from HKOHq which is representative of the dense urban area where the majority of the population live. Here, we present the average value for the recent years (2011-2015). The monthly distribution of the EHEI for each of the EHE class is shown in Fig.6 (a) which revealed the highest impact of 3VHDs across all months except in September when its absence and that of 2HNs implies zero impact while the other two have up to 120% EHEI during that month. The yearly average per EHE class is shown in Fig. 6(b) which indicates about 80% and 100% CDD increase on a typical VHD and Heatwave period (3VHDs), respectively. Similarly, nighttime CDD increase by 80% during HN and 2HNs. On the annual scale (Fig. 6(c), for all

387 EHE combined relative to NED between 2011 and 2015, CDD increased from between 80%
 388 and 140%. Clearly it can be understood that energy consumption for space cooling can
 389 increase between 80% and 140% during EHE depending on the intensity of the event in the
 390 year under consideration.
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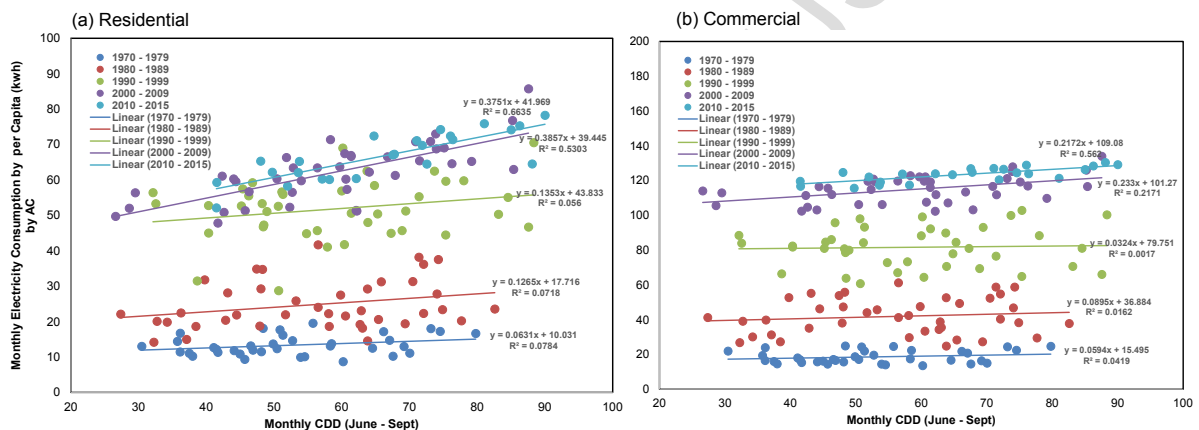
Fig.6 Impact of EHE on cooling energy requirement (CDD) between 2011 – 2015

395 3.4 Relationship between electricity consumption and space cooling

396 To understand the relationship between electricity consumption, space cooling and CDD, we
 397 first applied the population figure over the study period and the average air-conditioning end-
 398 use proportion which ranges between 35 – 36% and 29 - 34% for residential and commercial
 399 sector, respectively between 2005 – 2015 [25] as a correction factor to convert the 'electricity
 400 consumption' to 'electricity consumption for space cooling per capita' before correlating with
 401 CDD. Results of the correlation and regression statistics are shown in Fig.7 and Table 3. Initial
 402 analysis with all datasets (1970 – 2015) pooled revealed a weak relationship of CDD and
 403 space cooling electricity consumption. Even though the statistical relationship is significant at
 404 95% confidence level, the R and R² are somewhat low i.e. 0.36 and 0.13; 0.28 and 0.1; and
 405 0.31 and 0.1 for residential, commercial and both sectors combined, respectively. Further
 406 subdivision of the dataset to decadal scale reveals an increase in the strength of the
 407 relationship between space cooling energy consumption and CDD; For instance, between
 408 1970 and 1999, the slope (i.e. space cooling electricity consumption per capita per degree of
 409 CDD) is 0.06 – 0.14; 0.06 – 0.09 and 0.12 – 0.21 for residential, commercial and both sectors

410 combined, respectively with accompanying statistically significant R and R². However, since
 411 the beginning of this century, all indicators of relationship have strengthened and significant
 412 at 95% confidence level. In recent years, per capita space cooling energy consumption is
 413 0.38kWh/°C , 0.22kWh/°C , and 0.59kWh/°C for residential, commercial and both sectors
 414 combined, respectively while the R = 0.74 – 0.89 and R² = 0.56 – 0.79. Minimal uncertainty
 415 of ±0.01kWh/°C, ±0.02kWh/°C, and ±0.18kWh/°C was observed for residential, commercial
 416 and both sectors combined, respectively if full range of air-conditioning end-use proportion in
 417 recent years were considered. In general, our finding is similar to reported a previous study
 418 which also revealed a significant positive correlation with electricity consumption in warm
 419 months and the consumption per unit CDD increased from the 1970s to the 2000s and
 420 attributed the pattern to higher living standard and the increased popularity of air-conditioning
 421 during in recent decades [12].

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425 **Fig. 7** The relationship between monthly electricity consumption for space cooling per capita
 426 and month cooling degree days

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Table 3 Correlation statistics between monthly energy electricity consumption for space cooling per capita and month cooling degree days

(a) Residential

Decades	Slope (kWh/°C)	R	R ²	Significant?
1970 - 1979	0.06	0.28	0.08	No
1980 - 1989	0.13	0.27	0.07	No
1990 - 1999	0.14	0.23	0.06	No
2000 - 2009	0.39	0.72	0.53	Yes
2010 - 2015	0.38	0.81	0.66	Yes
1970 - 2015	0.53	0.36	0.13	Yes

(b) Commercial

Decades	Slope (kWh/°C)	R	R ²	Significant?
1970 - 1979	0.06	0.2	0.04	No
1980 - 1989	0.09	0.12	0.02	No
1990 - 1999	0.03	0.04	0.002	No
2000 - 2009	0.23	0.47	0.22	Yes
2010 - 2015	0.22	0.74	0.56	Yes
1970 - 2015	0.76	0.28	0.08	Yes

(c) Residential + Commercial

Decades	Slope (kWh/°C)	R	R ²	Significant?
1970 - 1979	0.12	0.24	0.06	No
1980 - 1989	0.21	0.19	0.04	No
1990 - 1999	0.17	0.13	0.02	No
2000 - 2009	0.62	0.65	0.42	Yes
2010 - 2015	0.59	0.89	0.79	Yes
1970 - 2015	1.29	0.31	0.1	Yes

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4 Implications to city planning and green building design

4.1 Implications and recommendations for city planning

455 One of the major findings from this study is the increasing trend of cooling degree days in
456 Hong Kong and the strength of its relationship with space cooling electricity consumption. Our
457 analysis reveals that in the most recent years, per capita space cooling electricity consumption
458 per unit CDD is 0.38kWh/°C, 0.22kWh/°C, and 0.59kWh/°C for residential, commercial and
459 both sectors combined, respectively. These two sectors contribute largely to a whopping 90%
460 of the total electricity consumed by buildings in Hong Kong [32]. The recent closer relationship
461 has been associated with rising income and living standards in Hong Kong [12] thus space
462 cooling cost affordability for the majority has resulted in a rapid increase in the number of air-
463 conditioners used in Hong Kong in the last two decades [45]. This is evident by the increasing
464 saturation rates of the air conditioner which stood at 51% for public residential buildings to
465 87.1% and 92.8% by 1999 in public and private residential buildings respectively [46,47].
466 Moreover, the ownership level of air conditioners in both public and private residential
467 buildings were 1.67 and 2.66 units per household respectively [12]. Therefore, implementation
468 of energy saving measures at residential and commercial buildings levels is inevitable as Hong
469 Kong seeks to meet her 2025 energy saving target. More so, the increasing strength of the
470 predictability of cooling requirement with the length of the prolonged heat days during the day
471 and night (Fig. 6) indicate a strong contribution of the built environment to urban heat and
472 cooling energy requirement. This is due to the urban heat island effect especially in the

473 nighttime, higher cooling energy requirement in the downtown areas i.e. areas with compact
474 and high density urban morphology than that in rural areas in Hong Kong. On the average,
475 the difference ranges between 20 –74% and 17–53% on events and non-event days,
476 respectively as indicated in Table 2. The fast urbanization experienced in Hong Kong between
477 the 1970s to the 2010s [48] i.e. urban sprawl and population increases might have contributed
478 to this corresponding increases in space cooling electricity consumption as the years grow by.
479 Thus, an urgent and proper climate-sensitive planning and design action to mitigate the UHI
480 effect is needed. To ensure energy saving, conscious effort in both demand and supply sides
481 must be in place because energy-efficient building designs are poised to help lower the energy
482 demand and reduce emissions as discussed below.

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484 **4.2 Recommendation for green buildings design and sustainability**

485 On the demand side, the Hong Kong Green Building Council Limited (HKGBC) BEAM Plus
486 assessment offers independent assessments of building sustainability performance. The
487 assessment [49,50] gives credits and incentives to spatial planning and building designs
488 actions for building energy efficiency. For residential buildings, average solar irradiance of all
489 facades must lower than 395kWh/m²/April-October; site permeability of 20% relative to nearby
490 buildings/obstructions; 20% of the habitable space can utilize natural ventilation; Overall
491 Thermal Transfer Value (OTTV) of habitable spaces is less than or equal to 30 W/m²; and the
492 Vertical Daylight Factor (VDF) of habitable spaces are 50% more than the baseline
493 requirements are recommended and rewarded. Also, for all building types excluding
494 residential, consideration of: built form and building orientation for enhanced energy
495 conservation; optimum spatial planning to enhance energy conservation; building permeability
496 provisions of building features to enhance the use of natural ventilation; and provision of: fixed
497 or movable horizontal/vertical external shading devices; and movable external shading
498 devices for major atrium facade windows or skylights are all included in the guidebooks [49,50].
499 While the listed recommendations ensure both building energy efficiency and indoor thermal
500 comfort, we opined that revision to include:

501 (1) Because of the higher daytime cooling degree days in open or low density areas,
502 heterogeneous insulation factor within the city could be adopted i.e. buildings in less dense
503 areas like new territories should be more insulated as they are more susceptible to incident
504 radiation;

505 (2) Given the number of existing (or older) buildings in the Hong Kong's urban
506 landscape, energy conservation measure through retro-commissioning and retrofitting of
507 should be expedited as the number of these buildings will still be dominant (36%) by 2050 and
508 their total replacement make take up to the end of this century;

509 (3) Given the projected increase in the mean temperature, frequency of extreme hot
510 weather and consequent increase in future cooling energy demands in the future climate if the
511 current energy consumption pattern persists. Thus, energy saving targets may not be
512 actualized if more stringent benchmarks and passive design recommendation are not
513 introduced early enough. For instance, the set point temperature which is currently at 24 -
514 26°C depending on building use may increase as the ambient air temperature increases under
515 the influence of climate change and frequency/intensity of extremely hot weather and which
516 will also lead increased cooling energy. For instance, Li et al.[16] deduced that due to climate
517 change and associated adaptation, higher set point temperature of 27 - 28°C will be possibly
518 acceptable in the future as experienced in Japan in 2005 when occupants of central
519 government ministry buildings were asked to adjust the summer air conditioning setpoint to
520 28°C until the beginning of September [51] ;

521 (4) Greening from building to urban scales cannot be overemphasized: Several studies
522 from Hong Kong and elsewhere have shown through measurements and numerical simulation
523 the importance of greening measures in reducing energy demand. With the strong contribution
524 of local climatic condition as revealed from our findings, it can be deduced that the reduction
525 of local environmental air temperature can help reduce heat transfer into the building thus
526 reducing demands for cooling. One way of achieving this is compliance with the prescribed
527 greenery coverage ratio. Depending on the gross floor area, 20 – 30% greening coverage ratio
528 should be actualized as recommended by the Sustainable Building Design Guideline also
529 known as APP-152 [52]. A recent study [53] have numerically found the implementation of
530 30% GCR in a 500 x 500km² neighborhood of Hong Kong to reduce CDD by up to 1°C and
531 equivalent to 3000kWh energy saving and \$450 in cost on a typical summer day. Also,
532 implementation of green roof especially in Hong Kong urban neighborhood has the potential
533 to reduced electricity peak demand by up to 5% especially in low density areas [54]. Other
534 studies [55,56] have shown that vertical greening system is capable of reducing radiant load
535 and reducing buildings annual cooling energy consumption. Lastly, there is need to
536 understand the social behavior pattern in order to enhance public education and relevant
537 energy saving design/measures, in particular during extreme heat events.

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539 On the supply side, carbon emissions due to energy use in the built environment should be
540 further reduced to help combat climate change in addition to savings from the demand side.
541 Buildings can be used as vehicles or platforms actualize this and improve the proportion of
542 renewable in the projected fuel mix for electricity generation in 2020 which currently stands at
543 has 3–4%. Undoubtedly, renewable plays an important role in the decarbonisation of the
544 electricity sector and meeting Hong Kong's 2025 Energy saving targets. Therefore, support
545 and implementation of renewable energy infrastructure such as solar cooling for building

546 applications especially in the New Territories areas with low rise buildings will be hugely
547 beneficial. This is because solar-powered air conditioning systems can provide desirable
548 energy performance while addressing the increases in electricity use as a result of increasing
549 summer cooling requirements as observed in our analysis.

550 **5 Conclusion**

551 This study has provided a spatial understanding of cooling energy requirement on “Non-Event
552 Days” and days of “Extreme Heat Events” in Hong Kong using co-kriging geospatial mapping
553 technique as compared with ordinary kriging approach. It also aimed at investigating the
554 annual trend of cooling degree days in the city while quantifying the impact of extreme heat
555 events on the summer cooling energy requirements. Based on our findings, improved
556 predictability of city-wide cooling degree days with the co-kriging was observed and thus
557 recommended ahead of the ordinary kriging and contour mapping methods. The
558 recommended method is capable of capturing the urban heat island effect as homogeneity
559 and heterogeneity in cooling degree day’s distribution was found during the summer daytime
560 and nighttime, respectively. We also found the extreme heat events increased cooling degree
561 days by 80 – 140% depending on the event type, a range consistent in recent years (2011 -
562 2015). Findings from this study provide building professions and energy/environmental policy
563 makers with relevant information about the likely order of magnitude changes in energy
564 consumption especially in the building sector in order to encourage the implementation of
565 sustainable and resilient mitigation measures such as prescribed in section 4. Although the
566 present work was conducted for subtropical climates, the study framework is applicable in
567 other locations with similar or different climates. Also, the current work’s aim is to quantify the
568 contribution of climatic factor only to cooling energy requirement, In future work, fine-scale
569 energy consumption, social behaviour pattern, economic factors, building footprint data,
570 climate change and population density dataset could be utilized where available for spatial
571 estimation of per capita space cooling per cooling degree days in the current and future climate.

572

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578

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Highlights

- Co-kriging method was adopted for city-wide mapping of cooling degree days (CDD).
- The impact of climate change & extreme heat events on summer CDD was quantified.
- CDD increased by 80 – 140% due to extreme heat events in recent years.
- Higher CDD was observed in the built environment especially at nighttime.