- Linking of pedestrian spaces to optimize outdoor air ventilation and quality in
 tropical high-density urban areas
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14

15 Abstract

16 Pedestrian spaces in cities allow a large number of outdoor activities. However, they are vulnerable to 17 vehicular pollutants. This study aims to investigate how pedestrian spaces should be linked to optimize 18 wind conditions and air quality in tropical cities. Numerical simulations are conducted to evaluate various 19 upwind-to-downwind linking patterns in urban areas with three levels of high-density. The results 20 suggest that wind velocity and pollutant concentration can be effectively optimized by adjusting their 21 linking patterns even without compromising building density. However, wind velocity and pollutant 22 concentration are not always inversely related. Key findings are achieved: 1) expanding pedestrian 23 spaces particularly those at the upwind of a vehicle road introduces more prevailing wind which 24 improves both air ventilation and quality in most scenarios; 2) offsetting pedestrian spaces at the 25 upwind/downwind of a vehicle road generates more displacement (i.e., span-wise and vertical) flow 26 which enhances pollutant dispersion; 3) diverging pedestrian spaces from the upwind to downwind 27 restricts transmitting pollutants to the downwind; and 4) diversifying urban block configurations with 28 more non-uniform linking patterns improves air quality but is less useful to wind conditions. A better-29 ventilated pedestrian environment is expected to encourage outdoor activities, promoting sustainable 30 living styles and vibrant mixed-use urban developments.

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32 Key words

35 **1. Introduction**

Tropical cities suffer from weak wind conditions for long periods of time annually. In high-density urban areas, the presence of compact built environment further weakens the wind conditions [1-3] and causes a series of relevant environmental issues, such as air pollution. Without adequate urban ventilation, air pollutants remain longer inside street canyons, hence leading to poorer air quality at pedestrian level [4-6].

Open space; urban ventilation; pollutant dispersion; urban design; CBD; CFD

41 In Singapore, road vehicle is responsible for the major air pollutants in many urban areas [7]. These 42 transport-related pollutants contribute to ambient levels of air toxics which can cause a variety of health 43 effects, such as neurological, cardiovascular, respiratory, reproductive and immune system damage [8-13]. Some of these pollutants have been known or suspected as human carcinogens [8] and associated 44 45 with excess mortality [12]. To indicate the levels of air quality, local agency has established the Pollutant 46 Standards Index [14] which considers the classified concentration limits of six harmful air pollutants, 47 including particulate matter (PM₁₀), fine particulate matter (PM_{2.5}), sulphur dioxide (SO₂), carbon monoxide (CO), ozone (O₃) and nitrogen dioxide (NO₂). Along with the index, long-term targets have 48 49 been set to satisfy the international criteria recommended by the "National ambient air quality standards" [15] of the United States and "World Health Organization (WHO) air quality guidelines" [16]. With these 50 51 efforts and implemented measures, Singapore enjoys significantly better air quality than many cities in 52 Asia on an annual average basis [7]. However, those high-density urban areas, such as the central 53 business district (CBD), still suffer from lasting exposure to vehicle emissions due to the heavy traffic and compact built environment. So far, these threats tend to be underestimated because of the lack of 54 high-resolution spatial information of air pollution across the island, as suggested by Velasco and Roth 55 [17]. Worse still, the health risk related to pollutant exposure may increase under hot and humid 56 microclimates [18], which dominate the deep street canyons in Singapore. 57

Recently, Singapore government has drafted a new master plan [19] which will guide the city's developments over the next 10 to 15 years. As outlined in this master plan, the CBD will be transformed into a vibrant mixed-use district. To this end, more public spaces, housings and amenities will be introduced into the developing marina bay district. With the concession of the overall building density, 62 pedestrian spaces are expected to have a wider range of layouts and more linkages, especially at the 63 ground level. This vision offers the city center an opportunity to optimize its outdoor ventilation by 64 appropriately arranging and linking a variety of pedestrian spaces (Fig. 1).

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Fig. 1. A vision to transform a high-density CBD into a vibrant mixed-use district: (a) narrow and conventional pedestrian spaces versus (b) expanded and vibrant pedestrian spaces (source: google earth).

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70 The optimization of pedestrian-level flow and pollutant dispersion in high-density urban areas has 71 been brought into focus in recent decades [20, 21]. With the advances of wind tunnel and Computational 72 Fluid Dynamics (CFD) techniques, the effects of design strategies of street canvons and buildings on 73 improving outdoor ventilation and air quality have been evaluated parametrically by many studies. For 74 street canyon designs, a large number of investigations have been conducted into flow and pollutant 75 dispersion behaviors in uniform street canyons [22-24] and orthogonal street intersections [25-27] with 76 various aspect ratios and orientations. More recently, a few research focuses have been given into non-77 uniform street canyons and non-orthogonal street intersections. For example, Ramponi et al. [28] 78 explored the ventilation efficiency in parallel street canyons with unequal street widths and suggested 79 the benefit of introducing a wider main street which acted as a sink of clean air. He et al. [29] evaluated 80 the ventilation performance in four-way street intersections with various intersection angles and 81 proposed orientation design strategies for the upwind and downwind streets respectively. Additionally, 82 some studies have also been conducted inside actual street canyons and intersections [30-35]. For building designs, one of the main study focuses was on the effects of building permeability/density, such 83 84 as building separations, setbacks, and voids/lift-ups, on improving air ventilation and quality [36-38]. 85 Another main study focus was on the effects of non-uniform building geometries/dimensions, such as

building height variability, on redirecting and mitigating in-canyon air pollutants [39-41]. Practical urban
design guidelines, such as "Qualitative guidelines on air ventilation" [42] of Hong Kong, have also been
achieved as deliverables of the relevant research.

89 Despite much research on a number of design parameters of street canyons (e.g., aspect ratios and 90 orientations) and buildings (e.g., permeability/density and height variability), the literature review reveals 91 insufficient investigation into the patterns of linear open spaces. Particularly, compared with the uniform 92 linear open spaces (e.g., vehicle roads), the linear open spaces with diverse morphologies (e.g., 93 pedestrian spaces inside urban blocks) attracted much less attentions. Consequently, it is still unclear 94 how a variety of pedestrian spaces inside urban blocks should be linked to form breezeways [43] and 95 improve urban ventilation. The studies on the linked pedestrian spaces have presumably been 96 neglected due to the presence, in many high-density urban areas, of a morphology with little or total 97 absence of pedestrian spaces inside urban blocks. This, however, is not necessarily the typical situation 98 in Singapore's CBD and other high-density and mixed-use urban areas.

99 To fill the gaps, the purposes of this study are twofold. First, it attempts to cross-compare the effects 100 of various linking patterns of pedestrian spaces on outdoor ventilation and air quality in high-density 101 urban areas by numerical simulations. Second, based on the findings in the first objective, it attempts 102 to provide relevant design recommendations for linking pedestrian spaces and improving air ventilation. 103 As mentioned earlier in this section, the trend of vibrant mixed-use developments in high-density urban 104 areas expects a substantial increase of pedestrian-level activities and risks of exposure to vehicle 105 emissions. As such, a properly-linked and well-ventilated pedestrian spaces is essential to safeguard 106 the public's health.

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108 2. Methodology

109 2.1. Urban model developments

In this section, various generic urban models to be evaluated by CFD simulations were developed. There are two steps: 1) to abstract a road network and six types of basic urban blocks based on actual high-density urban morphologies (Section 2.1.1); and 2) to develop sixteen scenarios of urban models by using various combinations of the six types of basic urban blocks along with the road network (Section 2.1.2).

116 2.1.1. Road network and urban blocks

At the first step, an orthogonal road network was abstracted from a developing high-density and mixed-use area (Fig. 2) at Singapore's CBD. This road network forms a uniform grid plan for all urban blocks. Together with the road network, six types of basic urban blocks were abstracted to represent three levels of typical high-density at the CBD, i.e., Baseline (B), Typical (T), and Moderate (M) highdensity.

The B level refers to the typical maximum allowable density in high-density urban blocks in the new 122 123 master plan [44]. At this level, the baseline urban block type (i.e., Type B) was abstracted from the 124 conventional commercial block design (i.e., narrow pedestrian spaces with bulky podiums). The T and M levels refer to the density with concession to allow more pedestrian spaces in mixed-use urban blocks 125 [44]. At these two levels, five urban block types, transformed from Type B, were used to represent 126 127 different basic patterns of pedestrian spaces (i.e., T1/T2: offset pattern, M1: expanded pattern, M2: 128 setback pattern, and M3: separated pattern). The three levels of high-density range from Building Coverage Ratio (BCR) (i.e., footprint area of buildings divided by land area of the block) of 70% to 40%, 129 Gross Plot Ratio (GPR) (i.e., gross floor area of buildings divided by land area of the block) of 12 to 7, 130 and Frontal Area Ratio (FAR) (i.e., building frontal area perpendicular to the axis of main pedestrian 131 spaces divided by land area of the block) of 40% to 20%. 132



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Fig. 2. A fixed road network abstracted from Singapore's developing CBD and six types of urban blocks in three levels of high-density: Baseline (B), Typical (T), and Moderate (M) (*BCR*: building coverage ratio; *GPR*: gross plot ratio; and *FAR*: frontal area ratio).

139 2.1.2. Urban models with various linking patterns of pedestrian spaces

At the second step, sixteen scenarios of urban models were developed by combining the six types of basic urban blocks (Fig. 2), abstracted in Section 2.1, in the grid plan. As shown in Fig. 3, the baseline scenario (S1) represents the conventional high-density urban morphology, consisting of Type B urban blocks with the highest density. The other scenarios (S2 – S16) consider concession in density by transforming the urban blocks from Type B to others. Among these scenarios, S2 – S10 combine Types T1, T2 and M1 at the upwind (i.e., blocks 1 and 2) and center (i.e., blocks 3 – 6), linking the pedestrian spaces with aligned, offset, and expanded patterns. S11 – S16 combine Types M1, M2 and M3 at the

- upwind (i.e., blocks 1-4) and downwind (i.e., blocks 5-8), linking the pedestrian spaces with expanded,
- 148 setback and separated patterns.
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151 Fig. 3. Urban models developed by various linking patterns, i.e., (a) the baseline; (b) combinations of aligned,

152 offset and expanded patterns; and (c) combinations of expanded, setback and separated patterns, of six types of

urban blocks (Fig. 2).

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155 2.2. CFD simulations

156 In this section, CFD simulations were conducted to the sixteen scenarios of urban models developed 157 in Section 2.1. CFD technique has been increasingly used as an alternative to wind tunnel technique 158 for evaluating urban flow and pollutant dispersion with the advances in computer powers [20, 45]. In 159 this study, the simulation works were conducted by a commercial CFD code, scSTREAM (version 14). The steady-state Reynolds-averaged Navier-Stokes (RANS) model was applied to solve both turbulent 160 161 flow and pollutant dispersion in isothermal conditions. A number of studies [21, 46-48] have compared 162 the pros and cons between two prevailing CFD turbulent models, RANS and Large-eddy Simulation 163 (LES). Compared with LES, RANS is not able to reproduce the instantaneous motions of large eddies 164 due to the parameterization in calculation. However, RANS is used more frequently than LES so far due 165 to its better balance between efficiency (i.e., much less computational cost) and accuracy (i.e., 166 comparable simulation results on a time-averaged basis). Given the large number of scenarios to be simulated and the limited computer power, RANS is considered a "fit-for-purpose" turbulent model for 167 168 this study.

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170 2.2.1. Turbulent flow model

171 The flow-related computational settings of the current CFD code follow the guidelines [49] published 172 by Architectural Institute of Japan (AIJ) and have been validated by a wind tunnel experiment conducted at Department of Building, National University of Singapore [29]. Among these settings, the size of the 173 computational domain was prescribed to 3600 × 3600 × 550 m³ (X × Y × Z), as shown in Fig. 4a, to 174 allow sufficient buffer distances between the urban model and domain boundaries. Cartesian grids were 175 used inside the domain, where finer cells cover the podium structures and pedestrian spaces with the 176 177 maximum stretching ratio of 1.3, as shown in Fig. 4b and 4c. The total cell number generated in each 178 simulation scenario is around 6 million.



181Fig. 4. Computational domain and mesh arrangements near buildings: (a) domain in horizontal dimension; (b)182mesh in horizontal dimension; and (c) mesh in vertical dimension.

184 At the domain inlet, the vertical inflow profiles of wind velocity (*U*), turbulence kinetic energy (*k*) and 185 turbulence dissipation rate (ϵ) were calculated by the following equations [49]:

186
$$U = \frac{U_*}{\kappa} ln\left(\frac{z+z_0}{z_0}\right) \tag{1}$$

$$k = \frac{U_*^2}{\sqrt{C_\mu}}$$
(2)

188
$$\varepsilon = \frac{U_*^3}{\kappa(z+z_0)} \tag{3}$$

where the friction velocity (U) was estimated by Singapore's long-term average wind speed (i.e., 2.65 m/s at 15 m) at Changi climate station [50]; the roughness length (z_0) was set to 1 m, representing Singapore's urban terrain characteristics; the von Karman constant (κ) was set to 0.4; and the model constant (C_{μ}) was set to 0.09. Correspondingly, the outflow was set to be natural.

The domain ground applied z_0 of 0.4 m and 0.03 m in the non-built area (i.e., central area) and builtup area (i.e., surrounding area) respectively. This setting minimized the inflow inhomogeneity [51] and meanwhile ensured the high resolution of computational grids near the ground [52]. The domain top and lateral walls applied free slip conditions. In the domain, the RANS standard κ - ϵ model was selected to solve the turbulent flow as determined by the validation study [29].

199 2.2.2. Pollutant dispersion model

200 The pollutant transport model of the current CFD code was based on the governing equation of time-201 averaged pollutant concentration:

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$$\frac{\partial U_j c}{\partial x_j} = \frac{\partial}{\partial x_j} \left[(D_m + D_t) \frac{\partial c}{\partial x_j} \right] + S$$
(4)

$$D_t = \frac{v_t}{Sc_t} \tag{5}$$

where x_j and U_j are the coordinates and velocity component in direction j, respectively; c is the timeaveraged concentration (kgm⁻³); D_m and D_t are the molecular and turbulent diffusivity of a diffusive species (m²s⁻¹), respectively; S is the source terms; v_t is the kinematic eddy viscosity; and Sc_t is the turbulent Schmidt number, where 0.9 is employed in the current CFD code [53].

To reproduce the pollutants from vehicles, the simulations set all road surfaces in the urban models (i.e., green areas in Fig. 2) as uniformly-distributed sources of emissions. Carbon monoxide (CO), as one of the harmful air pollutants reviewed in Section 1, was selected as the tracer gas. Based on the traffic counts of six vehicle types at Singapore's CBD and the corresponding vehicle emission factors (Table 1), the input CO mass flow rate (*M*) per unit road length was calculated to be 0.000037825 kgm⁻¹ s⁻¹ using the following equation:

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$$M = \frac{\sum_{i=1}^{n} N_i K_i}{L} \tag{6}$$

where the traffic flow of vehicle type i (*Ni*) was counted by Velasco and Tan [54] at a five-lane segment of Raffles Quay bordered by Cross and Telegraph streets during the morning peak hours; the emission factor of vehicle type i (*Ki*) was provided by Ng and Chau [55]; and the length of the target street segment (*L*) is 90 m.

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Table 1. Traffic counts per hour (N_i) [54] and emission factors (K_i) [55] of vehicle type i.

| Vehicle type <i>i</i> | Ni (-) | <i>K</i> _i (g h ⁻¹) |
|-----------------------|--------|--|
| Passenger cars | 1406 | 3.62 |
| Taxis | 549 | 3.37 |
| Motorcycles | 177 | 24.2 |

| Buses | 166 | 4.69 |
|---------------------|-----|------|
| Light good vehicles | 197 | 1.04 |
| Heavy good vehicles | 25 | 2.39 |

To ensure the accuracy of the pollutant transport model, a validation was conducted by using the wind tunnel data in a pollutant dispersion experiment from Tominaga and Stathopoulos [21]. This set of experimental data has been widely used to validate pollutant behaviors in CFD for sharp-edged building arrays [21, 38, 56], hence fitting the morphology of urban models used in the current study. The CFD validation settings and results are attached in the Appendix of this paper.

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228 **3. Results and Discussion**

229 **3.1**. Indicators of outdoor wind condition and air quality

This study used two indicators, normalized wind velocity (U^{*}) and pollutant concentration (c^{*}) [57], to evaluate wind conditions and air quality in various scenarios of linking patterns of pedestrian spaces:

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$$U^* = \frac{U}{U_{\infty}}$$
(7)

$$c^* = \frac{cU_{\infty}WH}{ML} \tag{8}$$

where U_{∞} refers to the free-stream wind velocity (ms⁻¹); *W* refers to the road width (20 m); and *H* refers to the pedestrian-level height (2 m). The defined U^* and c^* indicate the ratios of the simulated *U* and *c* to the reference *U* and *c* in free-stream conditions.

To evaluate the overall performance of U^* and c^* , they were calculated on area-averaged basis at the height for evaluation. Specifically, the area-averaged U^* and c^* were calculated at three categories of open spaces of the central area, i.e., open spaces at blocks 3 – 6, canyons 1 – 2, and canyons 3 – 4 (Fig. 5), using the following equations:

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$$U_{Area}^{*} = \frac{\sum_{x=i,y=j}^{n} U_{xy}^{*}}{n}$$
(9)

242
$$c_{Area}^* = \frac{\sum_{x=i,y=j}^n c_{xy}^*}{n}$$
(10)

where *x* and *y* are the coordinates of data points at a calculation area (*x* and *y* with intervals of 5 m);

244 U^*_{xy} and c^*_{xy} are U^* and c^* at these data points respectively; and *n* is the number of these data points. 245 To further evaluate the fluctuating performance of pollutant dispersion along the axis of the main

pedestrian spaces, c^* was calculated on transect-averaged basis at the height for evaluation. Specifically, the transect-averaged c^* was calculated at equidistant transects ($c^*_{Transect}$) covering the pedestrian spaces at block 3, canyon 1, and block 5 (Fig. 5), using the following equation:

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$$c_{Transect}^* = \frac{\sum_{x=i}^m c_x^*}{m}$$
(11)

where *x* is the coordinates of data points at each calculation transect (*x* ranging from -180 to -20 m with intervals of 5 m); c^*x is c^* at these data points; and *m* is the number of these data points.





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Fig. 5. Diagram of areas and transects at open spaces inside urban blocks and vehicle roads for averaging U^* and c^* at the height for evaluation.

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257 **3.2.** Overall performance: Area-based analysis results

258 3.2.1. Cross-comparison of aligned, offset and expanded pedestrian spaces

To understand the overall performance in different scenarios of urban models (S1 – S10), the pedestrian-level wind velocity and pollutant concentration at both urban blocks and road canyons are analyzed on area-averaged basis in two prevailing wind directions. As shown in Fig. 6, all scenarios have worse air quality in the oblique wind direction ($\beta = 45^\circ$), since pollutants from upwind vehicle roads in both *x* and *y* directions can be directed into the center of the urban area.

At the urban blocks (Fig. 6a–b), which are the main focus of this study, reducing building density

265 from the baseline (S1 (BCR = 70%)) to other scenarios (S2 – S10 (BCR > 50% – 60%)) significantly increases U^*_{Area} regardless of wind directions. With the enhanced prevailing wind, substantial 266 267 decreases of C^*_{Area} are observed when $\beta = 0^\circ$. However, the enhanced prevailing wind cannot guarantee 268 a lower c^*_{Area} when $\beta = 45^\circ$. There are two main reasons. First, the enhanced oblique flow on one hand 269 dilutes pollutants in the stream-wise direction (i.e., y direction), and on the other hand introduces more 270 pollutants in the span-wise direction (i.e., x direction). Second, the enhanced oblique flow is tendentially 271 more complex due to its stronger vorticity [25] and may complicate the pollutant dispersion behaviors. 272 Compared with the upwind aligned patterns (S2, S5 and S8), the upwind expanded patterns (S4, S7 and S10) enhance both the wind conditions and pollutant dispersion; and the upwind offset patterns 273 274 (S3, S6 and S9) enhance the pollutant dispersion rather than wind velocity, suggesting that the enhanced pollutant dispersion is driven by the changes of flow directions. Similar results are also 275 observed in the comparison among the central aligned (S2 - S4), central expanded (S8 - S10), and 276 277 central offset (S5 – S7) patterns.

At the road canyons, although the ambient air quality is less critical to the outdoor activities than that at the urban blocks, adequate ventilation is still required to reduce the pollutant concentration near the source. At canyons 1 - 2 (Fig. 6c–d), similar as at the urban blocks, the expanded and offset patterns are generally more effective in improving the air ventilation and quality than the aligned patterns. However, the wind conditions and air quality at canyons 3 - 4 (Fig. 6e–f) are less sensitive to the linking patterns of pedestrian spaces.



Fig. 6. Normalized area-averaged velocity (U^*_{Area}) and concentration (c^*_{Area}) at pedestrian level in S1 – S10 (baseline: S1; central aligned: S2 – S4; central offset: S5 – S7; central expanded: S8 – S10; upwind aligned: S2, S5, S8; upwind offset: S3, S6, S9; upwind expanded: S4, S7, S10).

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290 **3.2.2.** Cross-comparison of expanded, setback and separated pedestrian spaces

For S11 – S16, the cross-comparison of U^*_{Area} and c^*_{Area} at both urban blocks and road canyons is 291 292 shown in Fig. 7. At the urban blocks (Fig. 7a-b), compared with S2 - S10 (BCR > 50% - 60%), the lower density in S11 – S16 (BCR > 40%) further enhances the flow. Significant improvements on the air 293 294 guality are also observed when $\beta = 45^{\circ}$ due to the higher permeability in the stream-wise direction (i.e., 295 y direction). The linking patterns of the pedestrian spaces in S11 – S16 still affect both U^*_{Area} and c^*_{Area} . 296 although their effects are less significant than those in S2 - S10. In general, the upwind expanded 297 patterns (S11 and S14) have the highest U^{*}_{Area} and lowest c^{*}_{Area} , while the upwind separated patterns (S13 and S16) generate the weakest wind conditions and poorest air quality. 298

299 At canyons 1 - 2 (Fig. 7c–d), the effects of the linking patterns on U^*_{Area} and c^*_{Area} are relatively

small, and slightly better air ventilation and quality is observed in the upwind expanded patterns (S11 and S14). At canyons 3 - 4 (Fig. 7e–f), much larger U^*_{Area} and smaller c^*_{Area} are observed in the upwind setback patterns (S12 and S15) when $\beta = 0^\circ$. In these two patterns, the pedestrian spaces are adjacent to the vehicle roads to form wider air paths, which help to generate stronger stream-wise flow and accelerate the pollutant dispersion.





Fig. 7. Normalized area-averaged velocity (U^*_{Area}) and concentration (c^*_{Area}) at pedestrian level in S11 – S16 (baseline: S1; downwind expanded: S11 – S13; downwind separated: S14 – S16; upwind expanded: S11, S14; upwind setback: S12, S15; upwind separated: S13, S16).

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311 **3.3.** Fluctuating performance: Transect-based analysis results

312 **3.3.1.** Cross-comparison of aligned, offset and expanded pedestrian spaces

To understand the pollutant dispersion along the axis of the main pedestrian spaces of different linking patterns (S1 – S10), the distribution of pollutant concentration at block 3, canyon 1, and block 5 are analyzed on transect-averaged basis. As described in Fig. 8, c^{*} transect peaks at canyon 1, where the pollutant source is located, while higher c^{*} transect is observed at block 5 than block 3 due to the combined effects of weaker wind conditions and heavier pollutant accumulation at the downwind.

318 Among different linking patterns, the offset and expanded patterns are generally more effective to mitigate the pollutants than the aligned patterns. When $\beta = 0^{\circ}$, for example, the maximum c^{*} transect at 319 320 canyon 1 and block 5 in the central expanded patterns (S8 and S10) and central offset patterns (S5 and 321 S6) is half of those in the central aligned patterns (S2 - S4). Furthermore, compared with the expanded 322 patterns, the offset patterns can be more effective to improve the air quality although they have higher 323 building density. In particular, the lowest $c^{\star_{Transect}}$ is observed when the pedestrian spaces are offset at 324 both the upwind and central blocks (S6) instead of only offsetting either the upwind (S3) or central (S5) 325 pedestrian spaces. The better air quality in the offset patterns is mainly attributed to the larger drag 326 force formed by the building windward facades at the road canyons as suggested by some previous 327 studies (e.g. [58, 59]). The larger drag force enhances the span-wise flow and vertical mixing, which therefore accelerate the pollutant dispersion. 328

When $\beta = 45^{\circ}$, the expanded patterns perform better than the offset patterns on improving air quality 329 330 especially at the downwind, as they introduce stronger stream-wise (i.e., y direction) flow which prevents 331 the span-wise (i.e., x direction) pollutant transport into the road canyon and urban block. The lowest 332 $c^{*_{Transect}}$ is observed when the expanded patterns are arranged at both the upwind and central blocks 333 (S10). This result is in line with previous studies [43, 60], which suggested that more open spaces allow 334 the prevailing wind to penetrate deeper into urban areas and improve air ventilation. In particular, the expanded pattern at the central blocks (S8) achieves lower $c^{*_{Transect}}$ than that at the upwind blocks (S4), 335 336 suggesting that the pollutant dispersion is more sensitive to the immediate surroundings instead of the 337 upwind settings.

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Fig. 8. Normalized transect-averaged concentration (c^* *Transect*) at pedestrian level in S1 – S10.

342 To complement the lack of understanding of the three-dimensional pollutant dispersion mechanism occurring at different linking intersections, the pedestrian-level concentration contours at three patterns 343 of pedestrian spaces, i.e., aligned (S2), offset (S5), and expanded (S10), are plotted in Fig. 9 and the 344 vertical profiles of U^*_{Area} and area-averaged turbulence intensity (I_{Area}) at canyon 1 is plotted in Fig. 10. 345 346 At the pedestrian level, when $\beta = 0^{\circ}$, where the main pollutant source is at canyon 1, serious trapping of pollutants is observed at the stagnant zones sheltered by podiums in the aligned pattern. This 347 situation is improved in the offset and expanded patterns as pollutants are dispersed by the enhanced 348 horizontal flow. When $\beta = 45^{\circ}$, the prevailing wind transports exterior pollutants from canyon 3 and leads 349 350 to pollutant accumulation at canyon 1 in both the aligned and offset patterns. The pollutants at the center 351 of canyon 1 are dispersed in the expanded pattern as the exterior pollutants are condensed at the entry





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Fig. 9. Normalized pollutant concentration (*c**) at pedestrian level of canyon 1 with aligned, offset, and expanded patterns.

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358 At the upper levels, the largest U^*_{Area} remains in the expanded pattern from the pedestrian level till 359 the building roof level, indicating the pattern's best potential to optimize outdoor air quality. Comparable U^*_{Area} is observed in the offset pattern near the pedestrian level when $\beta = 0^\circ$, but it increases slowly 360 with heights and may cause more trapping of pollutants in the upper air. The results of IArea reveal the 361 largest variations within the podium layer (i.e., 0 – 16 m), implying that the flow and dispersion behaviors 362 363 are the most complex near the podiums. Within this layer, the largest *I*_{Area} is seen in the aligned pattern when $\beta = 0^{\circ}$, confirming that pollutants in this pattern is mainly dispersed by turbulent diffusivity and 364 365 hence easily trapped. The offset and expanded patterns can take better use of the prevailing wind and 366 displacement (i.e., span-wise and vertical) flow to disperse pollutants, as confirmed by their smaller IArea. 367





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heights of canyon 1 with aligned, offset, and expanded patterns.

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372 **3.3.2.** Cross-comparison of expanded, setback and separated pedestrian spaces

For S11 – S16, the distribution of $c^{*_{Transect}}$ is cross-compared in Fig. 11. Among these scenarios, the 373 374 combinations with wider air paths (i.e., expanded and setback patterns) at the upwind and narrower air 375 paths (i.e., separated patterns) at the downwind achieve the optimal air quality. For example, when β = 376 45°, S14, which combines the upwind expanded pattern with the downwind separated pattern, achieves significantly lower $c^*_{Transect}$ at canyon 1 and block 5 than other scenarios. This upwind-to-downwind 377 378 diverged pattern introduces more prevailing wind from the upwind, and meanwhile generates more 379 displacement (i.e., span-wise and vertical) flow at the road canyon which restricts the pollutants entering 380 the downwind pedestrian spaces. In comparison, the upwind-to-downwind converged pattern (S13), 381 which combines the upwind separated and downwind expanded patterns, leads to the highest c*transect, 382 which is double that in S14. The different results in S13 and S14 suggest pollutant dispersion can be 383 improved by properly linking the pedestrian spaces even though building density is not compromised.



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Fig. 11. Normalized transect-averaged concentration ($c^*_{Transect}$) at pedestrian level in S11 – S16.

The pedestrian-level concentration contours at four linking patterns of pedestrian spaces, i.e., upwind expanded + downwind expanded (S11), upwind setback + downwind expanded (S12), upwind separated + downwind expanded (S13), and upwind expanded + downwind separated (S14), are shown in Fig. 12 and the vertical profiles of U^*_{Area} and area-averaged turbulence intensity (*I*_{Area}) at canyon 1 is shown in Fig. 13.

393 At the pedestrian level, in the comparison among the three upwind patterns, the upwind setback and 394 upwind separated patterns (S12 and S13) cause pollutant accumulation at the center of canyon 1 395 especially when $\beta = 45^{\circ}$ due to the building wall effects [61]. The accumulation occurs at the axis of 396 main pedestrian spaces and can easily affect pedestrian activities. Different from the upwind setback and upwind separated patterns, the upwind expanded pattern (S11) disperses pollutants along the axis of main pedestrian spaces as it effectively channels the prevailing wind. In the comparison between the upwind-to-downwind converged (S13) and upwind-to-downwind diverged (S14) patterns, S13 generates ta flow regime that easily transmits exterior pollutants from canyon 3 into canyon 1. In contrast, the flow regime in S14 enhances the horizontal pollutant dispersion in multiple directions and reduces exterior pollutants transmission from canyon 3.

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Fig. 12. Normalized pollutant concentration (*c**) at pedestrian level of canyon 1 with expanded, setback, and separated patterns.

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At the upper levels, the U^*_{Aree} profiles in the four patterns are similar when $\beta = 0^\circ$, while larger U^*_{Aree} is observed in the two scenarios with upwind expanded patterns (S11 and S14) when $\beta = 45^\circ$. This result is consistent with those observed at the pedestrian level, suggesting that the upwind expanded pattern is effective to increase wind availability which is crucial to pollutant dispersion. Additionally, it should be noted that, in most of the scenarios, the peak I_{Aree} is observed at the roof level of podiums. At this level, relatively intensive turbulent diffusivity and vertical mixing may occur, hence increasing the chances of vertical air exchange to promote pollutant dilution.



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Fig. 13. Normalized area-averaged velocity (U^*_{Area}) and area-averaged turbulence intensity (I_{Area}) at different heights of canyon 1 in S11 – S14 with upwind/downwind expanded, setback, and separated patterns.

Finally, to address the main effects (i.e., urban block permeability and morphological diversity) of the linking patterns of pedestrian spaces on outdoor wind conditions and air quality, all scenarios evaluated in Sections 3.2 and 3.3 are categorized into two sets based on the number of urban block type(s) at the central area (i.e., urban block type > 1 (S5 – S10 and S12 – S15); urban block type = 1 (S1 – S4, S11 and S16)) for a correlation analysis.

As suggested in Fig. 14, both U^*_{Area} and c^*_{Area} show strong correlations with *BCR*, suggesting that increasing urban block permeability is a crucial consideration when linking the pedestrian spaces for improving air ventilation and pollutant dispersion. More importantly, the correlation result also suggests that, with the same *BCR*, the scenarios with multiple urban block types have similar U^*_{Area} but obviously lower c^*_{Area} , compared with the scenarios with a single urban block type. This result implies that increasing urban block morphological diversity is helpful to pollutant dispersion, and therefore should be taken into account when linking the pedestrian spaces.



Fig. 14. Correlations of *BCR* with U^*_{Area} and c^*_{Area} in linking patterns consisting of single/multiple urban block types (note: U^*_{Area} and c^*_{Area} are the averaged values at plots 3 – 6 in two wind directions).

436 **4. Final Discussion and Conclusion**

This study uses CFD simulations to investigate how pedestrian spaces should be linked to optimize the ambient flow and vehicular pollutant dispersion in tropical high-density urban areas. As such, a parametric study is conducted to evaluate various upwind-to-downwind linking patterns of pedestrian spaces in both typical (BCR > 60%) and moderate (BCR > 40%) high-density scenarios. Key findings and design recommendations for linking pedestrian spaces to improve air ventilation and quality in highdensity urban areas are summarized in Fig. 15 and as follows:





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Pedestrian spaces and urban ventilation: the linking patterns of pedestrian spaces are highly related
 to urban block permeability and morphological diversity, which affect air ventilation. In other words,
 air ventilation can potentially be optimized by adjusting the linking patterns of pedestrian spaces
 even without compromising building density.

Pedestrian spaces and vehicle roads: an explicit classification of pedestrian-level open spaces (i.e.,
pedestrian spaces and vehicle roads) is needed in an accurate urban ventilation assessment.
Different types of open spaces may have very different flow and pollutant dispersion behaviors (Figs.
6 and 7), as well as different tolerances of pollutant exposures [15, 16]. Particularly, more attention
should be paid to the pedestrian spaces, since they are mainly responsible to outdoor activities and
can adopt more flexible linking designs after the grid plan is established [52].

3) Expanding pedestrian spaces: in typical high-density urban areas (BCR > 60%), reducing building density can significantly improve both wind conditions and air quality in most scenarios. Ideally, the pedestrian spaces are recommended to be expanded from the upwind urban blocks (e.g., urban blocks at water front) till the target urban blocks, such as S10 in Fig. 8, in order to introduce more prevailing wind to enhance flow penetration and pollutant dispersion. Alternatively, it is also recommended to only expand the upwind pedestrian spaces adjacent to the target emission source

(e.g., S8 in Fig. 8). This design recommendation suggests the needs to reduce building density and
frontal blockages for improving urban ventilation, which is consistent with the existing urban design
guidelines for high-density cities [43] as well as the discussions in previous studies [38, 60, 62].

467 4) Offsetting pedestrian spaces: in typical high-density urban areas, offsetting pedestrian spaces can 468 be even more effective than expanding the pedestrian spaces in mitigating air pollutants, although it is less effective to increase wind velocity on average. Particularly, pedestrian spaces at both the 469 470 upwind and the target urban blocks are recommended to be offset (e.g., S6 in Fig. 8), in order to 471 increase drag force and generate more span-wise flow and vertical mixing. However, attentions 472 should be paid to avoid a drastic increase of span-wise pollutant transmission when oblique 473 prevailing wind occurs. This design recommendation provides the possibility to improve air quality 474 without reducing building density, which is usually hard to be compromised in high-density urban 475 developments [63].

476 5) Diverging pedestrian spaces: in moderate high-density urban areas (BCR > 40%), urban blocks 477 can have more design and combination options. When combining two urban blocks with different configurations, the one with wider air paths at the pedestrian level (e.g., expanded and setback 478 479 patterns) should be arranged at the upwind in order to introduce more prevailing wind. Meanwhile, the one with narrower air paths (e.g., separated pattern) should be arranged at the downwind in 480 481 order to generate more displacement (i.e., span-wise and vertical) flow to prevent transmitting the 482 pollutants downwind. These upwind-to-downwind diverged patterns (e.g., S14 and S15 in Fig. 11) can considerably mitigate the pollutants at vehicle roads and downwind pedestrian spaces. In 483 contrast, the upwind-to-downwind converged patterns (e.g., S13 in Fig. 11) are not recommended, 484 since it can lead to relatively worse air quality. 485

6) *Diversifying urban block types*: overall, diversifying urban block types benefit air quality rather than wind conditions on average at pedestrian spaces in high-density urban blocks. With multiple urban block types, the pedestrian spaces are linked into variable patterns, which diverse the flow behaviors and optimize the pollutant dispersion. Furthermore, it also implies the needs to better understand the impacts of the more diverse linking patterns of pedestrian spaces, since they negatively affect the correlations of *BCR* with the performance of ambient wind conditions and air quality (Fig. 14).

Air ventilation at pedestrian spaces is crucial to both human health and comfort [64, 65]. The proposed urban design recommendations can be used for optimizing both outdoor wind conditions and air quality which are not always inversely related as proved in this study. They are particularly useful in high-density urban areas in tropical climates suffering from weak wind conditions, such as Singapore and Hong Kong. It is expected that a better ventilated pedestrian environment can encourage more outdoor activities, which potentially promote more sustainable and healthy living styles as well as more vibrant mixed-use urban developments.

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502 5. Limitations and Future Works

This study focuses on the effects of linking patterns of pedestrian spaces, while the urban block 503 models remain ideal and generic which exclude the effects of building design features (e.g., building 504 505 permeability and variability) and street obstacles (e.g., trees and shrubs). However, it should be noted 506 that these features/obstacles might introduce significant perturbations to flow and pollutant behaviors in reality. Besides, this study mainly focuses on the flow and air pollutant behaviors at the pedestrian 507 level, while some of their behaviors at the upper levels remain uncertain. Future work is necessary to 508 509 investigate the flow and air pollutant exchanges at the roof and lateral boundaries of the urban canopy 510 [41] so as to better understand their mechanism in different urban patterns. Additionally, the current CFD technique (RANS) is known to have deficiency and unable to predict instantaneous flow and 511 dispersion behaviors [20]. However, it provides reliable steady-state predictions on a spatially-averaged 512 513 basis as revealed by the validations in the Appendix. Due to the lack of field measurement data, the current input pollutant mass flow rate in the simulations is estimated by traffic count data and may cause 514 515 deviations on the absolute values in the output. However, this study enables comparisons of design options in terms of wind conditions and air quality, and identification of potential environmental problem 516 517 at the pedestrian spaces for design improvements.

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519 **Declaration of Competing Interest**

520 The authors declare that they have no known competing financial interests or personal relationships 521 that could have appeared to influence the work reported in this paper.

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530 Appendix: CFD validations

To validate the pollutant transport model of the current code for sharp-edged building arrays, CFD simulations were conducted to replicate the settings in a wind tunnel experiment [21]. The settings include a street canyon model and boundary conditions of inflow and emission source as listed in Table 2. The effects of the materiality and roughness of the model facades in the experiment were excluded since they can be considered negligible and the relevant information is not availability. Both the RANS standard κ-ε (STD) and RNG κ-ε (RNG) turbulence models were tested in the simulations.

- 537
- 538

Table 2. Boundary conditions of inflow and emission source in the wind tunnel experiment [21].

| Inflow to Inflow wind speed | Inflow turbulence | Emission onosion | Emission | |
|--------------------------------|----------------------|---|---------------|-------------------|
| | intensity | Emission species | concentration | Emission velocity |
| Interpolated profile | Interpolated profile | Ethylene (C ₂ H ₄) | 1000 ppm | 0.456 m/s |

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Fig. 16 depicts the street canyon model and the validation results of pollutant concentration at three 540 heights. Overall, the numerical data (STD and RNG) is consistent with the experimental data (EXP) at 541 542 all heights. The best agreement is seen at the middle height (z/D = 0.5), while deviations are found at the upwind of the bottom height (z/D = 0.1) and downwind of the top height (z/D = 1.0). These deviations 543 have also been found in validations with other CFD codes [21, 38, 56]. They can be caused by the 544 545 deficiency of RANS which underestimates the turbulent diffusion due to the lack of data of reciprocating 546 motions of large eddies [21]. Consequently, RANS underestimates the span-wise pollutant transport 547 driven by turbulent diffusivity and overestimates the stream-wise pollutant transport driven by in-canyon 548 vortex along with prevailing wind.

549 Despite the deviations at limited test points, the overall fair agreement in the validation suggests the

reliability of the current CFD model for predicting the in-canyon pollutant concentration on a spatiallyaveraged basis (i.e., line-averaged results at different heights) rather than a point basis. Thus, the current CFD model is appropriate for the evaluations based on area-averaged and transect-averaged data, and fits the purposes of the current study.

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555

556 Fig. 16. Pollutant concentration (c) results along the test lines at different heights: wind tunnel experimental data

557 (EXP), and CFD simulation data with RANS standard κ - ϵ (STD) and RNG κ - ϵ (RNG) turbulence models.

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