

# 1 Artificial Ground Freezing by Solid Carbon Dioxide – Analysis of 2 Thermal Performance

3 Petr Nikolaev<sup>a,b1</sup>, Majid Sedighi<sup>a</sup>, Hamid Rajabi<sup>a,c</sup> and Alexander Pankratenko<sup>b</sup>

4 <sup>a</sup> Department of Mechanical, Aerospace and Civil Engineering, School of Engineering, The  
5 University of Manchester, Oxford Rd, Manchester, M13 9PL, United Kingdom

6 <sup>b</sup> Department of Construction of Underground Structures and Mines, Mining Institute,  
7 National University of Science and Technology MISIS, Leninskyi pr. 4, Moscow, 119049,  
8 Russia

9 <sup>c</sup> Department of Civil Engineering and Industrial Design School of Engineering, University  
10 of Liverpool, The Quadrangle, Brownlow Hill, L69 3GH, United Kingdom

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## 13 **Abstract:**

14 Artificial ground freezing (AGF) is a ground improvement technique that is commonly used to  
15 create temporary earth support and groundwater control system during underground  
16 constructions (tunnels, shafts and mines). In the past two decades, solid carbon dioxide (SCD)  
17 has received increasing interest as a source of cold to freeze the soils. SCD provides a faster  
18 and safer solution to lower the ground temperature below the freezing point compared with  
19 alternative and conventional AGF techniques using refrigerants such as liquid nitrogen (LN).  
20 The existing analytical models for the design of AGF cannot provide accurate **prediction** of the  
21 SCD-based artificial ground freezing as they do not consider the specificity of heat transfer to  
22 the sublimated SCD. **In addition**, they neglect the thermal resistance due to the effects of the  
23 layers of freeze pipe, drilling mud and casting materials in the overall heat transfer. We present  
24 a new semi-analytical model for the formation of a frozen body during SCD-based ground  
25 freezing that takes into account the presence of additional sources of thermal resistance at the

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<sup>1</sup> Corresponding author: Petr Nikolaev, [Petr.Nikolaev@manchester.ac.uk](mailto:Petr.Nikolaev@manchester.ac.uk)

26 freeze pipe. The proposed model describes the formation dynamics of single-ice cylinders and  
27 plane ice-wall along with temperature distributions within the freezing mass and SCD  
28 consumption. The proposed model is tested against **the known laboratory test results and**  
29 alternative numerical models to demonstrate the accuracy of the solution for predicting all  
30 characteristics of ice-wall dynamics.

31

32 **Keywords:** Artificial ground freezing; Ground improvement technique; Solid carbon dioxide;  
33 Two-phase Stephan problem; Tunnelling.

34

## 35 **1. Introduction**

36 Artificial ground freezing (AGF) is a temporary construction technique to provide safe  
37 excavation, improving slope stability and groundwater control in mining and underground  
38 construction projects (Andersland et al., 2004; Bell, 2013; Harris, 1995; Trupak, 1974). AGF  
39 has been proved to be a reversible eco-friendly process to temporarily convert the soil moisture  
40 into ice in order to improve the hydro-mechanical properties of the ground. Soil particles in the  
41 artificial freezing process are firmly consolidated by the locally formed iced particles, creating  
42 an ice wall with higher strength and lower permeability compared to the unfrozen soil. There  
43 are generally two types of coolants used in AGF: i) liquid brine (calcium chloride) which is  
44 circulated in chilled conditions (-25 to -35 °C) throughout a closed network of buried freeze  
45 pipes and surface refrigeration system (Andersland et al., 2004), and ii) expandable  
46 refrigerants, e.g. liquid nitrogen (LN), which is poured into open freeze pipes, where it is  
47 vaporised at a low temperature (down to -196 °C) (Harris, 1995). Interest in the use of solid  
48 carbon dioxide (SCD) in the ground freezing projects has recently emerged with a number of  
49 successful demonstration applications; particularly in Russia (Nikolaev and Shuplik, 2019a;  
50 Shuplik and Nikolaev, 2019; Shuplik, 1989). This method assumes direct loading of the  
51 granulated SCD into freeze pipes, where it sublimates and reduces the temperature of the freeze  
52 pipe wall to the sublimation temperature (down to -78.9 °C). The use of SCD in ground freezing  
53 provides a simpler and safer coolant compared with LN (Shuplik and Nikolaev, 2019).

54 Accurate prediction of the thermal behaviour of the ground freezing process by SCD  
55 sublimation is critical for the design and implementation, especially to achieve a complete  
56 formation of ice walls and a secure excavation/workspace. Thermal analysis is specifically  
57 critical for the evaluation of the freezing front location, design of piping arrangement plan,  
58 freezing time and the optimisation of the refrigerant consumption for a cost-effective ground  
59 freezing design.

60 The foundation of the method that is currently used to design the SCD freezing works was  
61 created by Shuplik (1989). Accordingly, for typical geological conditions, the parameters can  
62 be defined based on empirical relationships that were obtained from a set of laboratory  
63 experimental studies. However, for the wide range of geological conditions, the empirical  
64 method may not provide an accurate prediction of the frozen wall delivery (Nikolaev, 2016).

65 Due to the complexity of the AGF problem and the absence of analytical solutions, the main  
66 approach for the AGF design is numerical simulations (Alzoubi et al., 2020). However, this  
67 approach is usually time-consuming for studying the scenarios for engineering optimization  
68 which is a critical step in the AGF design. Moreover, SCD ground freezing is often used in  
69 emergencies when the ground freezing parameters must rapidly be determined. The analytical  
70 solution can be also effectively used to specify the thermophysical properties of soils during  
71 the freezing by comparing the sets of analytical results with the measured temperature fields.

72 It should be noted that the known analytical and semi-analytical models of the AGF cannot  
73 accurately combine heat flow and groundwater migration (Alzoubi et al., 2020). However, in  
74 ordinary geological conditions, the velocity of groundwater rarely exceeds 2 m/day. This value  
75 does not affect the process of ice wall formation by the brine freezing method (Andersland et  
76 al., 2004), therefore, it also does not prevent the creation of ice wall by SCD ground freezing.  
77 Due to that, in the present paper, the effects of groundwater flow on the ice wall formation are  
78 not considered.

79 This paper, for the first time, presents a semi-analytical model for the design of single-ice  
80 cylinders and a plane-ice wall in AGF (i) that considers real-time dynamics of ice-wall  
81 formation by the SCD ground freezing method to define freezing time, the temperature  
82 distribution within ice-wall and refrigerant consumption and (ii) that includes the effects of  
83 thermal properties of freeze pipe materials, drilling mud and casting pipes in ground freezing.  
84 The ice walls are assumed to be shaped through two stages during ground freezing which

85 include the formations of single ice cylinders and a united ice-wall as a flat plane body  
86 (Lunardini and Varotta, 1981; Trupak, 1974). If the diameter of the ice-wall that is being  
87 created by a single freeze pipe is larger than the distances between the neighbouring pipes, the  
88 ice-wall may be considered a flat plane body (Cai et al., 2019, 2018). In both stages, it is  
89 assumed that unsteady-state analytical and semi-analytical solutions to the heat transfer  
90 problem can independently be developed. Semi-analytical and analytical solutions for a thin  
91 ice-wall are usually derived from single-ice cylinder formation theory mostly for Neumann  
92 boundary conditions applied to freeze pipe's wall (Boles and Ozisik, 1983; Li et al., 2018;  
93 Zhou et al., 2018). The existing solutions proposed for the heat transfer problem in ground  
94 freezing are not suitable for SCD assisted-freezing since the associated boundary condition for  
95 the case of SCD assisted-freezing is rather a Dirichlet boundary condition (i.e. constant  
96 temperature on the inner freeze pipe's surface). It has been reported from laboratory studies  
97 (Nikolaev, 2016; Shuplik, 1989) that the grains of SCD are attached to the freeze pipe's wall  
98 and maintain its temperature around  $-70-74$  °C during the whole freezing process.

99 Only a few analytical/semi-analytical solutions to the formation of a single ice cylinder that  
100 consider Dirichlet conditions have been proposed. Cai et al. (2018) proposed a generalisation  
101 of the solutions by Jiang et al. (2010) and Zhou and Zhou (2012). However, due to the  
102 assumptions that were made during its construction the perfect accuracy compared to the  
103 numerical solution was not reached. This solution was complemented by the semi-analytical  
104 relations for the flat plane body formation in (Cai et al., 2019). Another approach was  
105 formulated by Xu et al. (2020), however, due to the nonlinearity in the proposed two-phase  
106 Stefan approach, its application to the engineering practice may be limited. In addition, there  
107 is an absence of an analytical capability to assess the distributions of temperature within a thick  
108 ice-wall created by single or multi-row freezing pipes (Alzoubi et al., 2020). The accurate  
109 solutions for the temperature distribution in circular and linear ice-walls (with constant surface

110 temperature) are only available for the steady-state heat transfer (Hu et al., 2019; Shao et al.,  
111 2020).

112 These models usually divide soil around a freeze pipe into frozen and unfrozen zones. In real  
113 operations, the diameter of freeze pipes is lower than that of boreholes due to the necessity of  
114 space for installation simplicity (Davydov, 1980), and the freeze pipe after installation is  
115 covered by a thick layer of drilling mud and, possibly, one or several layers of casing pipes.  
116 The application of the polymeric freeze pipes was recently proposed to use in excavations by  
117 tunnel boring machines (TBMs) to avoid damage to their cutting tools after AGF completion  
118 (Cai et al., 2020). The thermal resistance of polymeric pipes and heat conductivity of casing  
119 and mud layers might be different from surrounding soil and should be considered in AGF  
120 design (Shuplik and Nikitushkin, 2011). Plastic freeze pipes with better isolation are also  
121 suitable for long-term freezing projects to control energy loss, where they can be an alternative  
122 to the air-insulated freeze pipes discussed by (Zueter et al., 2020, 2021). In this case, the  
123 thickness of the plastic pipe can be adjusted for the thermal resistance of the freeze pipe needed  
124 in a different geological profile.

125 Available field data are limited for AGF by solid carbon dioxide (Nikolaev, 2016; Shuplik and  
126 Nikolaev, 2019), and do not include complete sets of the necessary description of the geological  
127 conditions, thermo-physical properties and/or loading condition of SCD. Therefore, to assess  
128 the accuracy of the developed model, we compare the computational results with the datasets  
129 of a unique laboratory experiment by Shuplik (1989), another semi-analytical model (Cai et  
130 al., 2019, 2018) and with numerical simulation.

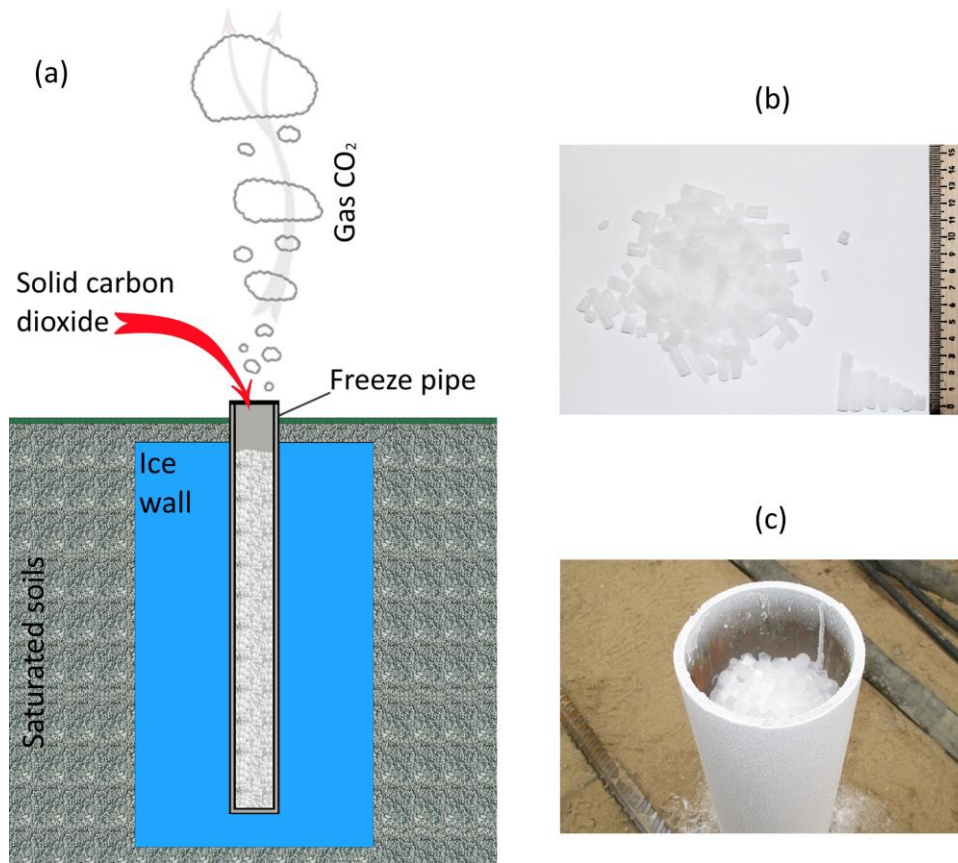
131 The paper is structured as followed. In Section 2 we briefly discuss the idea of the SCD ground  
132 freezing method and the experience of its application. In Section 3 we derived the semi-  
133 analytical solution for the formation of a single ice cylinder and a line flat ice body that take  
134 into account the presence of additional sources of thermal resistance at the freeze pipe surface,

135 such as the materials of freeze and casting pipes walls and the drilling mud layers. In this  
136 section, we also proposed the relationships for the expandable refrigerant consumption rate. In  
137 Sections 4 – 7 we considered several test problems and compare the results of the developed  
138 semi-analytical solutions with the numerical results that were obtained by the finite element  
139 method (FEM) simulation. The main conclusions are drawn in Section 8.

## 140 **2. Artificial ground freezing by solid carbon dioxide**

### 141 *2.1 Overview of the method*

142 The simplest way of using granulated SCD for ground freezing is to load it directly into the  
143 freeze pipes (Nikolaev and Shuplik, 2019; Shuplik and Nikolaev, 2019), where it sublimates  
144 and withdraws heat from the surrounding soil reducing its temperature to about -78.8 °C  
145 depending on the pressure in the pipe (see Fig. 1). The ice-cylinders around freeze pipes  
146 gradually merge to form a thin ice-wall with a typical height up to 40 m (Shuplik, 1989).  
147 However, some critical excavations require thicker ice walls which can be achieved by several  
148 rows of freeze pipes. Other possible schemes that use SCD as a source of cold are discussed in  
149 (Nikolaev and Shuplik, 2019; Nikolaev, 2016).



150

151 **Fig. 1.** Solid carbon dioxide ground freezing method. (a) – the scheme of the method; (b) –

152 solid carbon grains; (c) – SCD in a freeze pipe

153 The ice-wall formation by SCD is fast, typically taking up to one week, in comparison to  
 154 traditional brine delivering an ice-wall up to several weeks or months (Harris, 1995). The  
 155 preparation of the ground facility for the SCD is much simpler and quicker since there is no  
 156 need to ground pipelines, special storage, transportation facilities, refrigerated vehicles and  
 157 major services (water or electricity). The use of SCD is fairly safe in comparison to LN, and  
 158 its total amount needed for 1m<sup>3</sup> of frozen soil is three times lower than LN (Nikolaev, 2016).

159 The SCD freezing method has currently fully replaced LN freezing in Moscow underground  
 160 construction. This replacement happened because of two major reasons. First, due to the more  
 161 complicated installation process of the LN freezing system, the total time that is necessary to  
 162 stabilize the given amount of soils for LN and SCD freezing are approximately the same. At  
 163 the same time, the cost of LN freezing is much higher. The second reason is that LN freezing



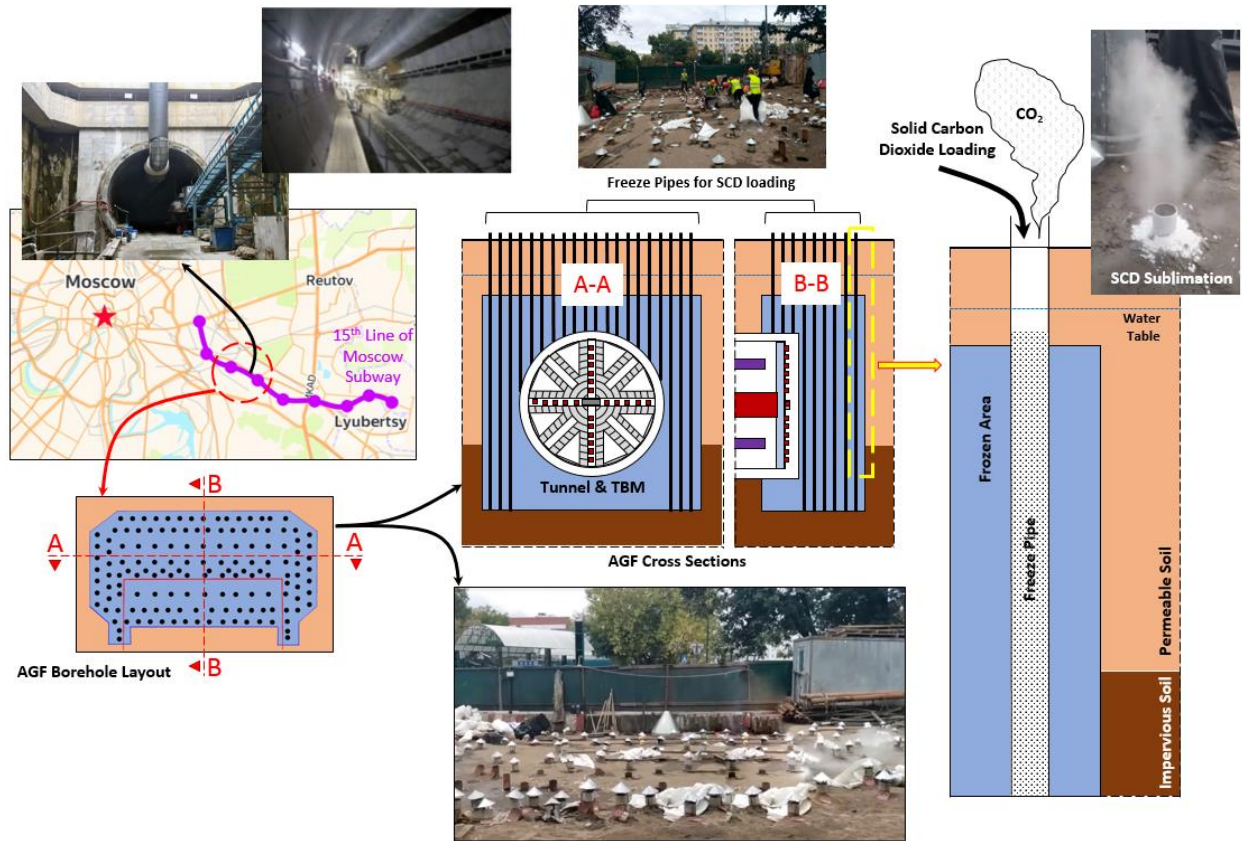
164 is a complicated and dangerous method (there are known cases when inhalation of extremely  
165 cold LN gas coming out from the freeze pipes or direct contact with the liquid by workers leads  
166 to fatal consequences), that needs special cautiousness during the workflow; at the same time,  
167 SCD freezing can be realized with little special preparations by unqualified workers.

## 168 *2.2 Brief history of the method application*

169 For the first time in civil engineering practice, the SCD was initially used in the AGF to stabilise  
170 permafrost soils in the middle of 1960th (Maksimov and Zamyatin, 1969). Nearly at the same  
171 time, the possible application of the SCD to freeze soils was mentioned by Shuster (1972), but  
172 since then it hasn't been developed worldwide. However, the application of solid carbon  
173 dioxide for freezing soils has been actively developing in the Soviet Union and later in Russia.  
174 It was used in many construction projects, some of them are discussed in (Nikolaev, 2016;  
175 Shuplik, 1989), see also (Shuplik and Nikolaev, 2019).

176 At the earlier stage of the method development, the typical volume of frozen soils for one  
177 project was between 300 and 1000 m<sup>3</sup>. In the recent applications, this range has significantly  
178 increased. For example, in 2016-2018, during the construction of the connection tunnel  
179 between the newly built 'Petrovskiy park' station and the operating 'Dinamo' station of the  
180 Moscow subway system, more than 3000 m<sup>3</sup> of soil were frozen by SCD to ensure the safety  
181 of construction work at the depth between 25 and 40 m in fully saturated unstable soils close  
182 to the operating station and tunnels. In 2018, this method of freezing was applied to stabilize  
183 more than 2500 m<sup>3</sup> of soils around the cutter head of a 10.7m TBM to support the maintenance  
184 works that were conducted to replace the TBM's cutting tools that wear out untimely in  
185 unsuitable geological conditions during the construction of the 15<sup>th</sup> subway line (see Fig. 2).  
186 It should be noted that in that project, the significant difficulties arose due to the large  
187 inclination of the freeze pipes from their project positions, which reached up to 2m, even if the  
188 freeze pipe lengths were only up to 40m. It happened because the soils around the TBM were

189 compacted, mixed and damaged by a 10m TBM that was unsuccessfully pushed forward with  
 190 blunt cutting tools. However, for normal method application, where untouched soils are frozen,  
 191 the inclination of freeze pipes is quite small due to their short length (mostly up to 20m, rarely  
 192 up to 40m) and the high accuracy of modern boring equipment. Because of that, the borehole  
 193 inclination may be neglected during the SCD ground freezing design.



194  
 195 **Fig. 2.** Ground freezing during tunnelling of the 15th line of the Moscow subway system  
 196

197 In 2020, this method was used to liquidate an emergency that happened at a depth of more than  
 198 25m during the sinking of one of the construction pits for the second circle line of the Moscow  
 199 subway system. In that project, the volume of soils that has been frozen by this ground  
 200 improvement method has reached 3700 m<sup>3</sup>, for which around 1500 tonnes of SCD were used.  
 201 The existing experience indicates that the applied design method cannot properly predict the  
 202 consumption of the SCD that very often led to the overconsumption of the refrigerant. In the

203 current projects, the assurance coefficient that is used during the estimation of the total  
204 necessary amount of SCD can reach 1.3 - 1.5, which was fairly acceptable for small  
205 construction projects when the total necessary amount of SCD rarely exceeded a couple of  
206 hundred tons. However, for the large projects typical for nowadays practice, such a coefficient  
207 leads to a difference of more than one thousand tons between the project applied (prepaid and  
208 delivered) amount of SCD and the really necessary refrigerant total consumption. Due to that,  
209 the development of the new design method is an important and relevant engineering task.

### 210 **3. Semi-analytical model of ice-wall formation**

211 The formation process of an ice-wall by the AGF can be generally divided into two phases: (a)  
212 the formation of individual ice cylinders until they are merged together and (b) the development  
213 of a united ice-wall in the form of a flat plane body (Lunardini and Varotta, 1981; Trupak,  
214 1974). In the present paper, we will follow this approach. Additionally, to this assumption,  
215 several others are used:

- 216 • Soil thermal properties are homogeneous and constant for both states (liquid and solid).  
217 The densities of ice and water are equal; therefore, the coupled mechanical effect is  
218 neglected.
- 219 • No groundwater flow is presented in freezing soils, i.e. natural and forced convection  
220 is ignored;
- 221 • The entire volume of groundwater is frozen at the same constant temperature which  
222 makes it possible to neglect the presence of a transition (mushy) zone between liquid  
223 and frozen regions. The thermodynamic equilibrium is established immediately after  
224 the phase change.
- 225 • As the SCD ground freezing is mainly used to freeze the soils between 10 and 40 m  
226 depths, where the temperature of soils is nearly constant, temperature in the developed

227 model is assumed to be independent to depth. Therefore, the problem is reduced to 1D  
228 heat transfer.

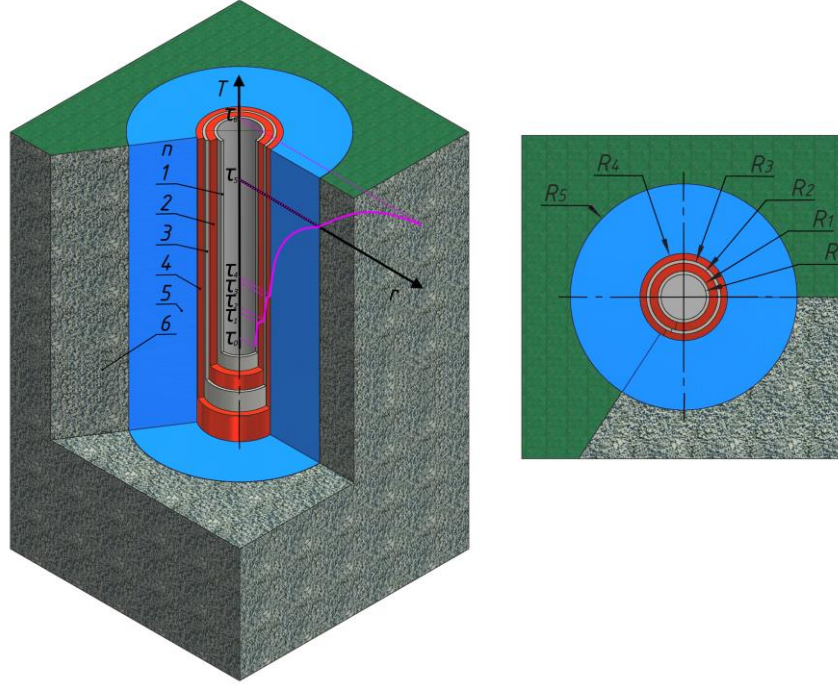
229 • The phase change of water within the drilling mud layer is not considered. At time  $t =$   
230 0, the layer with  $n = k$  already exists with a small thickness.

231 • Temperature of the inner surface of the freeze pipe is constant during the entire freezing  
232 period.

233 • There is a uniform initial temperature of the soil layer. The temperature at the infinite  
234 distance from the freeze pipe is constant.

### 235 3.1. Formation of a single ice cylinder

236 The freezing of soils around a single freeze pipe can be represented in the following way. The  
237 temperature field around a single freeze pipe in a two-dimensional domain can be assumed to  
238 be a sum of  $k + 1$  cylindrical layers, that represent the exact location of the freeze pipe wall,  
239 drilling mud and casing pipe wall, see Fig. 3. As shown in this figure,  $R_0$  as inner radius of a  
240 freeze pipe wall and  $R_n$  is the outer radius of  $n^{\text{th}}$  layer. The layer of frozen soil has  $n = k$  and  
241  $R_k = R_k(t)$ , and the layer of unfrozen soil has  $R_{k+1} = \infty$ . Here,  $n$  and  $k$  are positive integer  
242 numbers. A cylindrical coordinate system is applied to describe the heat transfer process.



243

244 **Fig. 3.** The geometry of the proposed analytical model of a single ice cylinder consisting of  
 245 freeze pipe wall ( $n = 1$ ), drilling mud layer ( $n = 2$ ), casting pipe wall ( $n = 3$ ), drilling mud  
 246 layer ( $n = 4$ ), frozen soils ( $n = 5$ ) and an unlimited layer of unfrozen soils ( $n = 6$ ).

247 The partial differential equation for heat conduction in a cylindrical coordinate system for the  
 248  $n^{\text{th}}$  layer is (Kakaç et al., 2018):

$$\frac{\partial T_n}{\partial t} = \alpha_n \left( \frac{\partial^2 T_n}{\partial r^2} + \frac{1}{r} \frac{\partial T_n}{\partial r} \right), \quad R_{n-1} \leq r \leq R_n \quad (1)$$

249 where  $T_n = f(r, t)$  is the temperature distribution within the  $n^{\text{th}}$  layer,  $t$  is time,  $\alpha_n = \frac{\lambda_n}{(c_n \rho_n)}$  is  
 250 a thermal diffusivity coefficient of materials within  $n^{\text{th}}$  layer.  $\lambda_n$  [ $\text{W} \cdot (\text{m} \cdot ^\circ\text{C})^{-1}$ ],  $c_n$  [ $\text{J} \cdot (\text{kg} \cdot ^\circ\text{C})^{-1}$ ]  
 251 and  $\rho_n$  [ $\text{kg}/\text{m}^3$ ] are thermal conductivity, heat capacity, and density, respectively. In order to  
 252 develop the solution to Eq. (1), the initial and boundary conditions for the temperature that are  
 253 related to the change of the temperature in the radial direction must be defined. In the  
 254 development of the model, an assumption that temperature does not vary with depth is made.  
 255 Such assumption is fairly accurate, as the ground freezing by solid carbon dioxide is mainly

256 used in the interval of depth between 10 and 40 meters, where temperature remains constant  
 257 during the year and does not significantly vary with depth. It gives

- 258 • The initial temperature is equal for the layers:

$$T_n(r, 0) = \tau_k, \quad \text{for } n \leq k \quad (2)$$

$$T_n(r, 0) = \tau_{k+1}, \quad \text{for } n = k + 1$$

- 259 • At the boundaries of the layers, Dirichlet boundary conditions are considered as:

$$T_n(R_0, t) = \tau_0, \quad \text{for } n = 1 \quad (3)$$

$$T_n(R_n, t) = T_{n+1}(R_n, t) = \tau_n, \quad \text{for } n \leq k$$

$$T_n(\infty, t) = \tau_{k+1}, \quad \text{for } n = k + 1$$

- 260 • At the boundaries between 1 to  $k$  layers, the heat flux continuity is assumed:

$$\left( \lambda_n \frac{\partial T_n}{\partial r} - \lambda_{n+1} \frac{\partial T_{n+1}}{\partial r} \right) \Big|_{r=R_n} = 0 \quad (4)$$

- 261 • At the boundary between the layer  $k$  and  $k + 1$ , the phase change occurs for which the

262 Eq. (4) takes a different form given as :

$$\left( \lambda_k \frac{\partial T_k}{\partial r} - \lambda_{k+1} \frac{\partial T_{k+1}}{\partial r} \right) \Big|_{r=R_k(t)} = L \frac{dR_k(t)}{dt} \quad (5)$$

263 where  $L(\text{J/m}^3)$  is the latent heat of water solidification.  $\tau_n = f(t)$  is the temperature on the  
 264 inner surface of the  $n^{\text{th}}$  cylindrical layer. The temperature  $\tau_k$  corresponds to the freezing  
 265 temperature of groundwater and  $\tau_{k+1}$  is the initial temperature of soils.

266 The accurate solution to the heat conductivity equation with a phase change (1) can be derived

267 by replacing the two variables of  $r$  and  $t$  by a single variable  $x_n$  following (Cai et al., 2018;

268 Kakaç et al., 2018):

$$x_n = \frac{r^2}{4\alpha_n t} \quad (6)$$

269 By substituting Eq. (6) into Eq. (1):

$$\frac{\partial^2 T_n}{\partial x_n^2} + \left(1 + \frac{1}{x_n}\right) \frac{\partial T_n}{\partial x_n} = 0 \quad (7)$$

270 The solution to Eq. (7) can be found as the function of  $Ei(x_n)$  (Cai et al., 2018; Kakaç et al.,  
271 2018) :

$$T_n = A_n Ei'(x_n) + B_n \quad (8)$$

272 where  $A_n$  and  $B_n$  are unknown parameters, and  $Ei'(x_n) = \int_{x_n}^{\infty} \left(\frac{e^{-p}}{p}\right) dp$  is an integral  
273 exponential function.

274 The classical derivation of  $A_n$  and  $B_n$  in the case of Neumann boundary conditions at the  
275 cylindrical domain has been presented in the literature, e.g., (Kakaç et al., 2018). For the case  
276 of Dirichlet boundary conditions (3) that is of interest in this study, the values of  $A_n$  and  $B_n$   
277 can be calculated following the same approach proposed by Cai et al. (2018). Therefore, the  
278 substitution of Eq. (8) into Eq. (7) and further return substitution (6),  $A_n$  and  $B_n$  are defined as:

$$A_n = \frac{(\tau_{n-1} - \tau_n)}{[Ei'(x_n|_{r=R_{n-1}}) - Ei'(x_n|_{r=R_n})]} \quad (9a)$$

$$B_n = \tau_{n-1} - A_n Ei'(x_n|_{r=R_{n-1}}) \quad (9b)$$

279 For the layer +1 , we have  $Ei'(x_n|_{r=R_{n-1}}) = Ei'(x_k)$  and  $Ei'(x_n|_{r=R_n}) = 0$ .

280 The substitution of Eqs. (9) into Eq. (8) can generate relationships that define temperature  
281 distribution ( $T_n$ ) in the frozen zone:

282 • For layers 1 to  $k$  :

$$T_n = \tau_{n-1} + (\tau_n - \tau_{n-1}) \frac{\left[ Ei' \left( \frac{R_{n-1}^2}{4\alpha_n t} \right) - Ei' \left( \frac{r^2}{4\alpha_n t} \right) \right]}{\left[ Ei' \left( \frac{R_{n-1}^2}{4\alpha_n t} \right) - Ei' \left( \frac{R_n^2}{4\alpha_n t} \right) \right]}, \quad R_{n-1} \leq r \leq R_n \quad (10)$$

283 • For the layer  $k + 1$  :

$$T_{k+1} = \tau_{k+1} + (\tau_k - \tau_{k+1}) \frac{Ei' \left( \frac{r^2}{4\alpha_{k+1} t} \right)}{Ei' \left( \frac{R_k(t)^2}{4\alpha_{k+1} t} \right)}, \quad R_k(t) \leq r \leq \infty \quad (11)$$

284 The substitution of Eq. (10) into Eq. (4) gives:

$$\tau_n = \frac{\frac{\lambda_n \tau_{n-1} \left[ Ei' \left( \frac{R_n^2}{4\alpha_{n+1} t} \right) - Ei' \left( \frac{R_{n+1}^2}{4\alpha_{n+1} t} \right) \right]}{\exp \left( \frac{R_n^2}{4\alpha_n t} \right)} + \frac{\lambda_{n+1} \tau_{n+1} \left[ Ei' \left( \frac{R_{n-1}^2}{4\alpha_n t} \right) - Ei' \left( \frac{R_n^2}{4\alpha_n t} \right) \right]}{\exp \left( \frac{R_n^2}{4\alpha_{n+1} t} \right)}}{\frac{\lambda_n \left[ Ei' \left( \frac{R_n^2}{4\alpha_{n+1} t} \right) - Ei' \left( \frac{R_{n+1}^2}{4\alpha_{n+1} t} \right) \right]}{\exp \left( \frac{R_n^2}{4\alpha_n t} \right)} + \frac{\lambda_{n+1} \left[ Ei' \left( \frac{R_{n-1}^2}{4\alpha_n t} \right) - Ei' \left( \frac{R_n^2}{4\alpha_n t} \right) \right]}{\exp \left( \frac{R_n^2}{4\alpha_{n+1} t} \right)}} \quad (12)$$

285 It is noted that for layer  $n = k - 1$  in Eq. (12), we have  $R_{n+1} = R_k(t)$ .

286 To define the radius of the interface between frozen and unfrozen soil –  $R_k(t)$  - at a given time  
 287 we should assume the general form of this function. Associated parameters can be defined by  
 288 solving a transcendent equation found by substitution of Eq. (10) and Eq. (11) into Eq. (5). The  
 289 general form of that relation that defines the dynamics of the freezing front location can be  
 290 described by:

$$R_k(t) = \beta_1 t^\omega + R_{k-1}, \quad \frac{dR_k(t)}{dt} = \omega \beta_1 t^{\omega-1} \quad (13)$$

291 where  $\beta_1$  and  $\omega$  are constants.

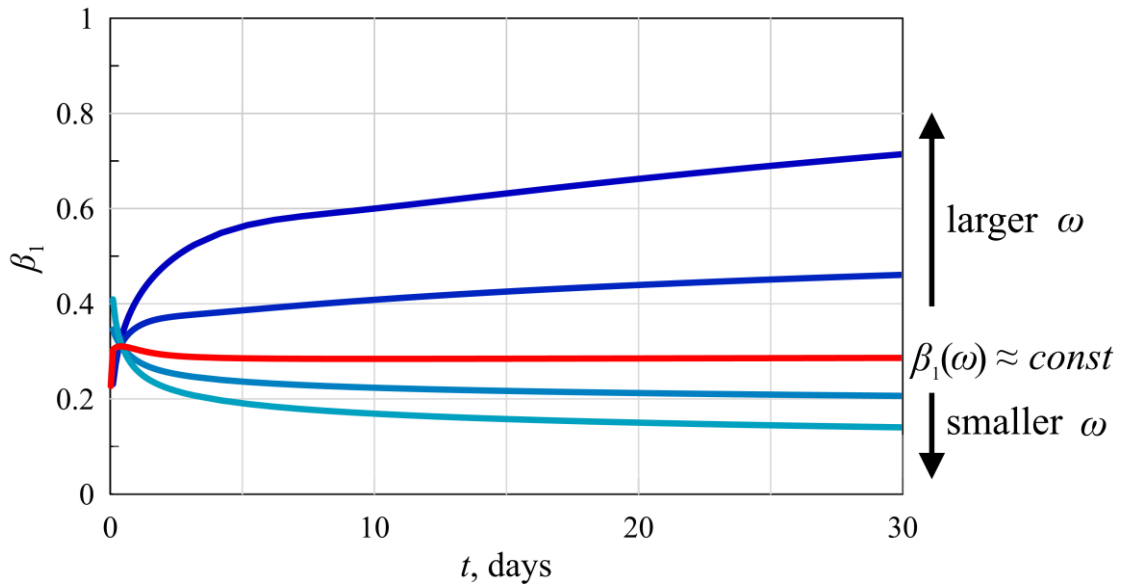
292 In the classical solution of phase change heat transfer problem (1) with Neumann boundary  
 293 conditions, the parameter  $\omega$  is assumed to be  $\frac{1}{2}$  (Kakaç et al., 2018). The same value was  
 294 applied by Cai et al. (2019, 2018) for the Dirichlet boundary condition (3). However, this  
 295 definition does not ensure an accurate description of the dynamics of the freezing front. If is



296 considered that both constants of (13) as unknown, then the substitution of Eq. (10) and Eq.  
 297 (11) into Eq. (5) with taking Eq. (13) into account gives:

$$\begin{aligned}
 & \lambda_k \frac{(\tau_k - \tau_{k-1}) \exp\left(-\frac{(\beta_1 t^\omega + R_{k-1})^2}{4\alpha_k t}\right)}{Ei'\left(\frac{R_{k-1}^2}{4\alpha_k t}\right) - Ei'\left(\frac{(\beta_1 t^\omega + R_{k-1})^2}{4\alpha_k t}\right)} & (14) \\
 & + \lambda_{k+1} \frac{(\tau_k - \tau_{k+1}) \exp\left(-\frac{(\beta_1 t^\omega + R_{k-1})^2}{4\alpha_{k+1} t}\right)}{Ei'\left(\frac{(\beta_1 t^\omega + R_{k-1})^2}{4\alpha_{k+1} t}\right)} \\
 & = \frac{\omega \beta_1 L}{2} (\beta_1 t^{2\omega-1} + R_{k-1} t^{\omega-1})
 \end{aligned}$$

298 Eq. (14) shows that  $\beta_1$  should not generally be a constant value, otherwise, it does not satisfy  
 299 Eq. (13). However, for a range of values of  $\omega$ , particularly for  $t \gg 0$ ,  $\beta_1$  changes very little,  
 300 see Fig. 4. Therefore, we can assume that there is a constant value  $\omega$  for which  $\beta_1 \approx const$  at  
 301  $\tau \gg 0$ . The value of these parameters can be numerically found via Eq. (14) by solving it at  
 302 two different time instances ( $t \gg 0$ ).



303

304 **Fig. 4.** Variations of function  $\beta_1$  with time

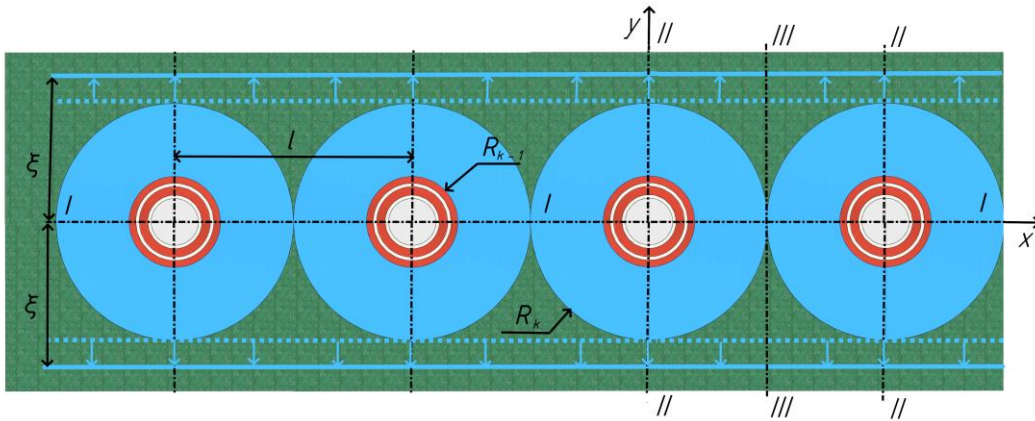
305 Having defined  $\omega$  and  $\beta_1$ , the formation of a single ice cylinder can be mathematically

306 described through Eq. (13). The temperature distribution at any time and position (of the frozen  
 307 front) can be found by Eq. (10) and (11).

308 It should be noted that a similar approach to define the dynamics of 1D soil freezing **in multi-**  
 309 **layered domains** was recently independently formulated for the cartesian coordinate system by  
 310 Huang and Rudolph (2022) and it was called ‘a hybrid analytical-numerical technique’.

### 311 3.2. Formation of a line ice-wall

312 The overlap and combination of individual ice cylinders gradually generate an ice-wall which  
 313 can be described by one-dimensional solidification similar to a flat panel (Fig. 5) (Cai et al.,  
 314 2019). The constant temperature of this panel should be defined as an average value in the I-I  
 315 plane. The mathematical formulation of this problem is:



316

317

**Fig. 5.** Schematic of the formation of line ice-wall

$$\begin{aligned} \frac{\partial T_f}{\partial t'} &= \alpha_k \frac{\partial^2 T_f}{\partial y^2}, \quad 0 \leq y < \xi(t') \\ \frac{\partial T_{un}}{\partial t'} &= \alpha_{k+1} \frac{\partial^2 T_{un}}{\partial y^2}, \quad \xi(t') \leq y < \infty \end{aligned} \quad (15)$$

318 with the initial and boundary conditions to be:

$$\left( \lambda_k \frac{\partial T_f}{\partial y} - \lambda_{k+1} \frac{\partial T_{un}}{\partial y} \right) \Big|_{y=\xi(t')} = L \frac{d\xi(t')}{dt'},$$

$$T_f(y, 0) = \tau_k, T_{un}(y, 0) = \tau_{k+1}, \quad (16)$$

$$T_f(0, t') = \tilde{\tau}_1, \quad T_f(\xi, t') = T_{un}(\xi, t') = \tau_k, \quad T_{un}(\infty, t) = \tau_{k+1},$$

319 where  $T_f$  and  $T_{un}$  are temperature distributions within frozen and unfrozen areas, and  $\tilde{\tau}_1$  is the  
 320 average temperature in the I-I plane for the whole period of ice-wall formation;  $\xi(t')$  is the  
 321 position of the phase change front. The time  $t'$  is introduced to ensure the closure of the single  
 322 ice-cylinder solution of Eq. (13) to the flat panel solution at the time  $t_{sic}$ , and is defined as  $t' =$   
 323  $t - (t_{sic} - t'_{sic})$ , where  $t'_{sic}$  is the time of the plane ice-wall formation with the thickness  
 324  $\xi(t'_{sic}) = R_k(t_{sic})$ .

325 The problem of 1D heat transfer with phase change, that is equivalent to the presented system  
 326 of Eqs. (15) and (16), has a well-known analytical solution that is presented, e.g. in (Kakaç et  
 327 al., 2018). Following to it, as a solution to the system of Eqs.(15) and (16), we can write:

- 328 • For the frozen area:

$$T_f(y, t') = \tilde{\tau}_1 + (\tau_k - \tilde{\tau}_1) \frac{\operatorname{erf}\left(\frac{y}{(4\alpha_k t')^{\frac{1}{2}}}\right)}{\operatorname{erf}\left(\frac{\beta_2}{(4\alpha_k)^{\frac{1}{2}}}\right)}, \quad 0 \leq y \leq \xi(t'), \quad (17)$$

- 329 • For the unfrozen area:

$$T_{un}(y, t') = \tau_{k+1} + (\tau_k - \tau_{k+1}) \frac{\operatorname{erfc}\left(\frac{y}{(4\alpha_{k+1} t')^{\frac{1}{2}}}\right)}{\operatorname{erfc}\left(\frac{\beta_2}{(4\alpha_{k+1})^{\frac{1}{2}}}\right)}, \quad \xi(t') \leq y \leq \infty, \quad (18)$$

330 where  $\operatorname{erfc}(x) = 1 - \operatorname{erf}(x)$  is a complementary error function, and  $\beta_2$  is the root of the  
 331 transcendent equation:

$$\frac{\lambda_k(\tau_k - \tilde{\tau}_1) \exp\left(-\frac{\beta_2^2}{4\alpha_k}\right)}{\alpha_k^{\frac{1}{2}} \operatorname{erf}\left(\frac{\beta_2}{(4\alpha_k)^{\frac{1}{2}}}\right)} + \frac{\lambda_{k+1}(\tau_k - \tau_{k+1}) \exp\left(-\frac{\beta_2^2}{4\alpha_{k+1}}\right)}{\alpha_{k+1}^{\frac{1}{2}} \operatorname{erfc}\left(\frac{\beta_2}{(4\alpha_{k+1})^{\frac{1}{2}}}\right)} = \frac{\beta_2}{2} L\sqrt{\pi} \quad (19)$$

332 where the definition of the error function is  $\operatorname{erf}(x) = \frac{2}{\sqrt{\pi}} \int_0^x e^{-p^2} dp$ .

333 The position of the freezing front is defined as:

$$\xi(t') = \beta_2 t'^{\frac{1}{2}}, \quad t'_{sic} \leq t' \quad (20)$$

334 To ensure the accurate description of the considered physical problem by the proposed  
 335 analytical solution (17) – (20), the temperature  $\tilde{\tau}_1$  must be accurately defined. This value must  
 336 take into account the presence of additional sources of thermal resistance on the freeze pipe  
 337 surface, and accurately approximate the temperature along  $x$ -axis and its change with the time.  
 338 In (Cai et al., 2019),  $\tilde{\tau}_1$  is defined as an average of temperature of the freeze pipe wall and  
 339 temperature in the middle point between the pipes when the ice-wall reaches its projected size.  
 340 Here, given space average value as  $\hat{\tau}_1$ , we propose a new formulation of  $\tilde{\tau}_1$  in the I-I plane that  
 341 considers the presence of additional thermal resistances. It is originated from the solution for  
 342 the steady-state temperature field within an ice-wall developed in (X. Hu et al., 2017; Hu et al.,  
 343 2019). This solution generalizes a classical model (Bakholdin, 1963) and is based on a  
 344 hydromechanical solution (Charny, 1948) as:

$$T_f(x, y) = \frac{\tilde{\tau}_{k-1} - \tau_k}{\ln \frac{2\pi R_{k-1}}{l} - \frac{\pi}{l} \xi} \left\{ \frac{1}{2} \ln \left[ 2 \left( \cosh \frac{2\pi y}{l} - \cos \frac{2\pi x}{l} \right) \right] - \frac{\pi}{l} \xi \right\} + \tau_k \quad (21)$$

345 where  $x, y$  are Cartesian coordinates (Fig. 5), and  $\tilde{\tau}_{k-1}$  is the time average temperature of the  
 346 outer surface of the multi-layered wall of the freeze pipe. To define the space average  
 347 temperature of the I-I plane ( $\hat{\tau}_1$ ), we consider  $y = 0$  in Eq. (21), and then

$$T_f(x, 0) = \frac{\tilde{\tau}_{k-1} - \tau_k}{\ln \frac{2\pi R_{k-1}}{l} - \frac{\pi}{l} \xi} \left[ \frac{1}{2} \ln \left( 2 - 2\cos \frac{2\pi x}{l} \right) - \frac{\pi}{l} \xi \right] + \tau_k \quad (22)$$

348 As the temperature field is symmetrical at III-III plane, we can find the integral space average  
349 value as:

$$\hat{t}_I = \frac{2}{l} \left[ \int_0^{R_{k-1}} \tilde{\tau}_{k-1} dx + \int_{R_{k-1}}^{\frac{l}{2}} \tau_f(x, 0) dx \right] \quad (23)$$

350 There is not an analytical solution for the second integral of Eq. (23). Therefore an approximate  
351 approach based on a Taylor series expansion has been adopted by which the logarithm in Eq.  
352 (22) at  $x = 0$  gives  $\ln \left( 2 - 2\cos \left( \frac{2\pi x}{l} \right) \right) = 2\ln \left( \frac{2\pi x}{l} \right) - \frac{\pi^2 x^2}{3l^2} + O(x^4)$  which can be substituted  
353 into Eq. (23). If the  $R_{k-1}^3$  is ignored, we obtain:

$$\hat{t}_I = \tau_k + (\tilde{\tau}_{k-1} - \tau_k) \left\{ \frac{2R_{k-1}}{l} + \frac{\ln \pi - 1 + \frac{2R_{k-1}}{l} \left[ 1 - \ln \left( \frac{2\pi R_{k-1}}{l} \right) \right] - \frac{\pi^2}{72} - \frac{\pi \xi}{l} \left( 1 - \frac{2R_{k-1}}{l} \right)}{\ln \frac{2\pi R_{k-1}}{l} - \frac{\pi}{l} \xi} \right\} \quad (24)$$

354 To define  $\tilde{\tau}_{k-1}$ , the steady-state heat flux through the multi-layered cylindrical wall is assumed  
355 which gives:

$$\tilde{\tau}_{k-1} = \tau_0 - \frac{q_w}{\pi} \left( \sum_{p=1}^{p=k-1} \frac{1}{2\lambda_p} \ln \frac{R_n}{R_{n-1}} \right) \quad (25)$$

356 In Eq. (25), the heat flux through the multi-layered cylindrical wall of the freeze pipe ( $q_w$ )  
357 should be known. To calculate it, we can use the classical steady-state solution (Bakholdin,  
358 1963):

$$q_w = 2\lambda_k \tilde{\tau}_{k-1} \left( \frac{1}{\pi} \ln \frac{l}{2\pi R_{k-1}} + \frac{\xi}{l} \right)^{-1} \quad (26)$$

359 Substituting Eq. (26) into Eq. (25), after mathematical transformations, gives:

$$\tilde{\tau}_{k-1} = \tau_0 \left[ 1 + 2\lambda_k \left( \sum_{p=1}^{p=k-1} \frac{1}{2\lambda_p} \ln \frac{R_n}{R_{n-1}} \right) / \left( \ln \frac{l}{2\pi R_{k-1}} + \frac{\pi\xi}{l} \right) \right]^{-1} \quad (27)$$

360 The time average value of space average temperature in the I-I plane is finally defined as:

$$\tilde{\tau}_1 = \frac{\hat{\tau}_1 \left( \frac{l}{2} \right) + \hat{\tau}_1(\xi_p)}{2} \quad (28)$$

361 where  $\xi_p$  is the project (final) thickness of the ice-wall.

362 The temperature distribution within the freeze pipe wall, casting pipe walls and drilling mud  
 363 layers can be defined based on the known temperature of these layer boundaries that are  
 364 described by:

$$\tilde{\tau}_n = \tau_0 - \frac{q_w}{\pi} \left( \sum_{p=1}^{p=n} \frac{1}{2\lambda_p} \ln \frac{R_p}{R_{p-1}} \right) \quad (29)$$

365 Eq. (20) enables us to define the dynamics of a line ice-wall formation considering drilling  
 366 mud and freeze pipe wall materials. The temperature field within the multi-layered freeze pipe  
 367 wall can be presented based on Eq. (29) and at any point within the frozen body by Eq. (21)  
 368 and unfrozen region by Eq. (18).

### 369 3.3. Refrigerant consumption

370 The rate of SCD consumption within a freeze pipe -  $G$ (kg/s) - is defined based on the energy  
 371 conservation law where the heat flux through the ground has to be equal to the heat flux being  
 372 sorbed by the refrigerant due to evaporation or sublimation (Nikolaev, 2016; Shuplik, 1989).

373 The consumption rates are defined as

374 • For a single ice-cylinder formation:

$$G_{sic} = \left( -\lambda_1 \frac{\partial T_1}{\partial r} \Big|_{r=R_0} 2\pi R_0 h \right) / L_{CO_2} \quad (30)$$

375 • For a plane ice wall:

$$G_{liw} = \left( -\lambda_k \frac{\partial T_f}{\partial y} \Big|_{y=0} 2lh \right) / L_{CO_2} \quad (31)$$

376 where  $L_{CO_2}$  is the latent heat of solid carbon dioxide sublimation as 572 kJ/kg.

377 The substitution of Eq. (10) into Eq. (30) determines the refrigerant consumption of a single  
378 ice-cylinder formation:

$$G_{sic} = \frac{-4\pi\lambda_1(\tau_0 - \tau_1)e^{-\frac{R_0^2}{4\alpha_1 t}}h}{L_{CO_2} \left[ Ei' \left( \frac{R_0^2}{4\alpha_1 t} \right) - Ei' \left( \frac{R_1^2}{4\alpha_1 t} \right) \right]} \quad (32)$$

379 The consumption for the formation of one segment of plane ice wall can be similarly predicted  
380 by substitution of Eq. (17) into Eq. (31) with taking (20) into account as:

$$G_{liw} = - \frac{2\lambda_k lh(\tau_k - \tilde{\tau}_1)}{L_{CO_2} (\pi\alpha_k t')^{\frac{1}{2}} \operatorname{erf} \left( \frac{\beta_2}{(4\alpha_k)^{\frac{1}{2}}} \right)} \quad (33)$$

381 The developed semi-analytical model can be calculated in the following way.

- 382 1. The initial conditions and the model geometry is defined.
- 383 2. The parameters  $\omega$  and  $\beta_1$  are obtained by considering two time instances  $t$ , e.g. 3 days  
384 and 50 days.
- 385 3. A complete set of equations are established that contains two transcendent Eq. (14) for  
386 two values of  $t$  and  $k - 1$  Eqs. (12) which define the temperature at the boundaries  
387 between the layers  $\tau_n$ . As an example, if there is no additional layer of thermal

388 resistance on the freeze pipe surface, there is no Eq. (12), if there is one layer, there is  
389 one additional Eq. (12).

390 4. This system of equations, described in step 3, is solved by a Newton-Raphson method  
391 (or similar numerical solvers).

392 5. When the coefficients  $\omega$  and  $\beta_1$  and all values of  $\tau_n$  are defined, the dynamics of the  
393 ice-cylinder formation can be estimated by Eq. (13) and temperature within the layer  
394 by Eqs. (10) and (11).

395 6. When the radii of the single ice cylinder reach the value  $l/2$ , the first stage of the ice-  
396 wall creation is finished, and the plane ice-wall dynamics should be considered, which  
397 follows below steps:

398 7. The average temperature of the plane-ice wall boundary  $\tilde{\tau}_1$  is defined by Eq. (28). For  
399 that Eqs. (27) and (24) are subsequently solved for  $\xi = l/2$  and  $\xi = \xi_p$ .

400 8. The transcendent equation (19) is solved to find the coefficient  $\beta_2$ , that let us predict  
401 the dynamics of ice-wall formation by Eq. (20).

402 9. The temperature distribution for the unfrozen zone is calculated by Eq. (18) and for the  
403 frozen zone by Eq. (21).

404 In the next sections, we aim to present a set of verifications and validations of the proposed  
405 analytical solution AGF process to demonstrate its ability to describe the process of ground  
406 freezing by solid carbon dioxide. The calculation results are compared with the results of  
407 another analytical model (Cai et al., 2019, 2018). That model was chosen for the comparison  
408 as it was developed for the same set of initial and boundary conditions and the model geometry.  
409 As that model includes some assumptions, we also provide the results of the FEM numerical  
410 simulation of the same problems and the results of laboratory experiments. As there are no  
411 analytical models and experiments that consider the additional sources of thermal resistance on  
412 the freeze pipe surface, the developed model is compared with the FEM numerical simulation.



413 In Section 4 we will consider the solid carbon ground freezing that is modelled without taking  
 414 into account the presence of additional sources of thermal resistance (free pipe wall, the layer  
 415 of the drilling mud etc.). In Section 5 we validate our result by comparing the calculation results  
 416 with the laboratory experiment data by Shuplik (1989). In Section 6, we will solve the case of  
 417 solid carbon dioxide ground freezing to compare the possible effects of the material of freeze  
 418 pipe onto the phase front dynamics. In section 7, we will discuss the ability of the model to  
 419 determine the solid carbon dioxide consumption rate.

#### 420 **4. Verification of the semi-analytical model application to the SCD ground freezing design**

421 This section compares the results of the analytical model and the alternative FEM solution  
 422 alongside the results of the known analytical solution (Cai et al., 2019, 2018). The numerical  
 423 simulation was carried out by using COMSOL Multiphysics program. The application of  
 424 COMSOL for heat transfer problems with phase changes during ground freezing has also been  
 425 examined and reported (Hu et al., 2018; Hu and Liu, 2016; Huang et al., 2018; Nikolaev et al.,  
 426 2022; Tounsi et al., 2019). The FEM solves the partial differential equations of 1D  
 427 axisymmetric and 2D heat transfer with phase changes in an isotropic homogenous medium. It  
 428 should be noted, that COMSOL Multiphysics implement the apparent heat capacity method to  
 429 ensure the continuous change of the thermophysical properties of soils from its frozen to  
 430 unfrozen values. It leads to appearance of the transition (mushy) zone, that is neglected by the  
 431 proposed semi-analytical model. However, as the applied temperature range of the transition  
 432 zone (2 °C) is small compared to the freezing temperature (up to -70 °C), the presence of such  
 433 region can be neglected.

434 **Table 1.** Thermal and physical properties of the soil (Case 1)

Material		Density (kg/m <sup>3</sup> )	Thermal conductivity (W/(m°C))	Heat capacity (J/(kg °C))	Latent heat of solidification (·10 <sup>8</sup> J/m <sup>3</sup> )
Soft clay	Frozen	1670	2.06	1720	1.22

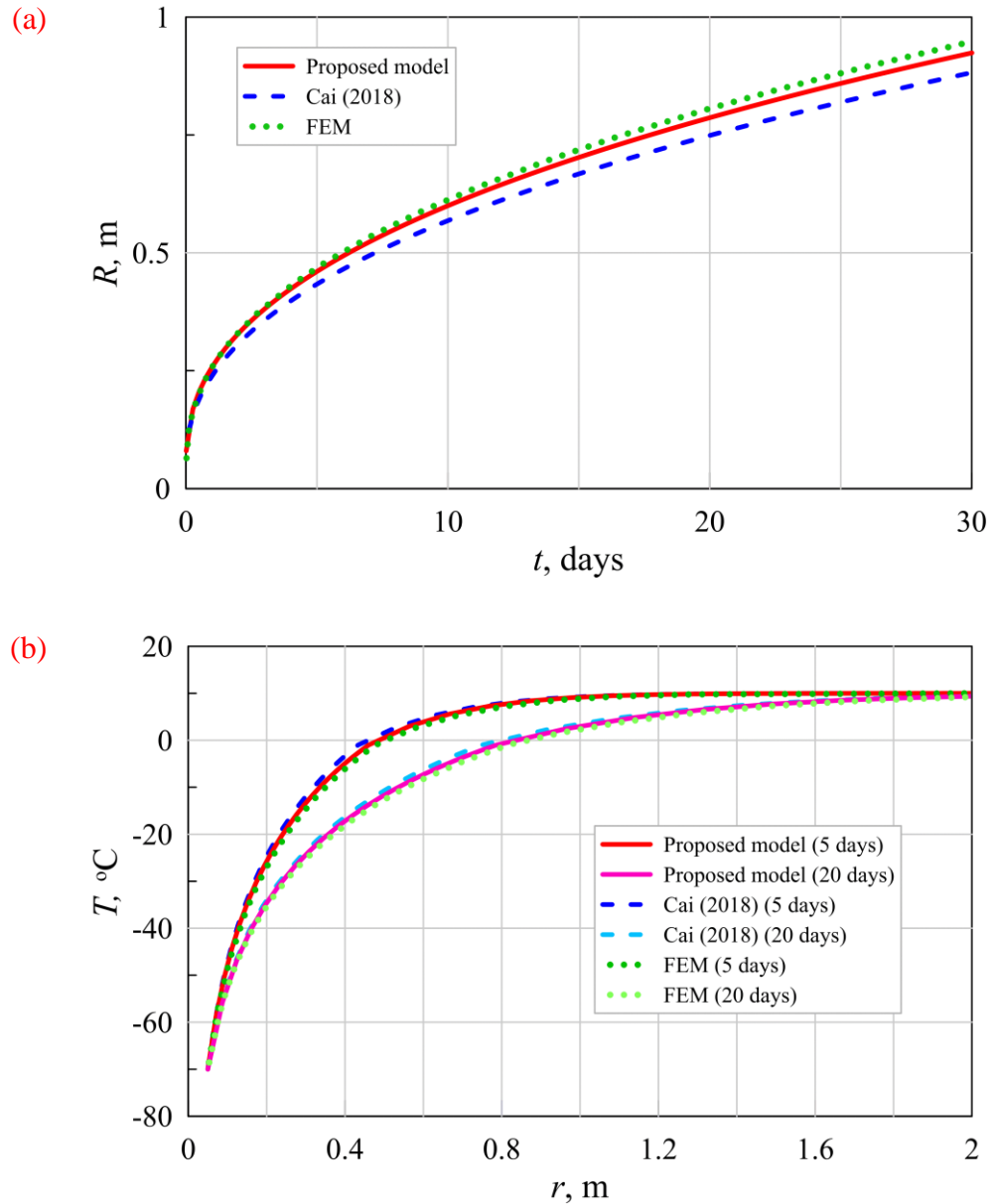
Unfrozen	1.65	3400
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435

436 The case study deals with the formation of an ice-wall without additional cylindrical layers on  
 437 the freeze pipe wall. The temperature of freeze pipe is assumed to be  $\tau_0 = -70$  °C as a typical  
 438 condition for SCD AGF. The inner radius of the freeze pipe is  $R_0 = 0.05$  m. The soil is a soft  
 439 clay with 30.3 wt.% moisture (J. Hu et al., 2017). Thermal and physical properties are provided  
 440 in Table 1. It is noted that in this study the phase transition temperature is considered to be -1  
 441 °C, and the initial temperature of soils to be 10 °C.

442 *4.1 The formation of a single ice cylinder:*

443 The first step in the application of our semi-analytical model for the formation of a single ice  
 444 cylinder is to determine the coefficients  $\omega$  and  $\beta_1$  (Eq. (14)). It is evident that there is a value  
 445 of  $\omega$  at which the parameter  $\beta_1$  is nearly constant for  $t > 3$  days. The function of  $\beta_1 = f(\omega, t)$   
 446 is considered at two time moments of  $t = 3$  days and  $t = 50$  days to be able to assume  $\omega =$   
 447 0.422 and  $\beta_1 = 0.208$  in this problem. The position of freezing front (Eq. (13)) and  
 448 temperature distribution (Eq. (10) and (11)) from the analytical solution, FEM model and the  
 449 known model (Cai et al., 2018) are presented in Fig. 6. It can be observed that the position of  
 450 the freezing front was underestimated by the model (Cai et al., 2018), while our new model  
 451 and FEM simulation showed a fair agreement. The temperature distribution is also described  
 452 by the new model better.



453 **Fig. 6.** The position of (a) freezing front and (b) temperature distribution from the proposed  
 454 semi-analytical solution, the known model (Cai et al., 2018) and FEM simulation.

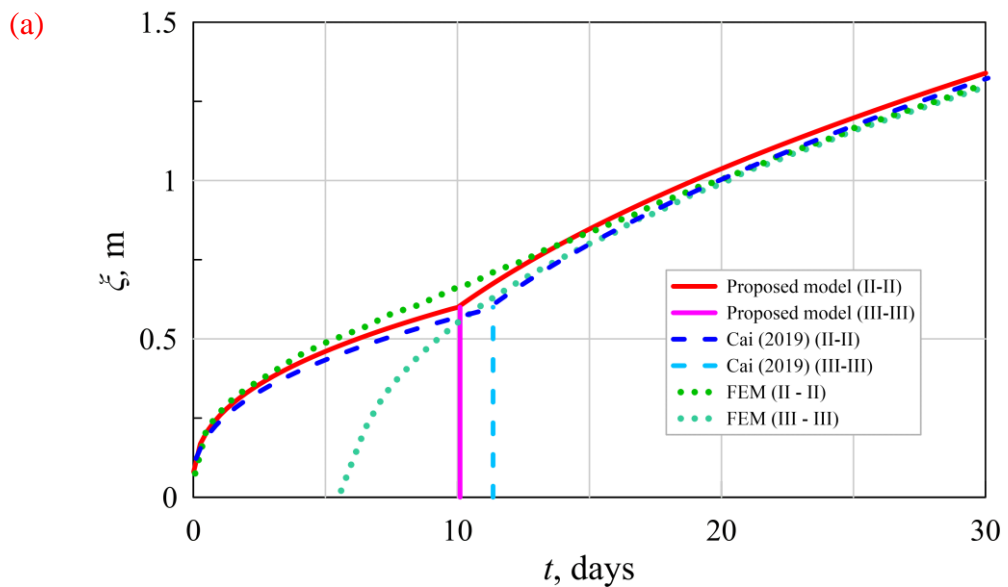
455 Based on these results, we can conclude the proposed model of single-ice cylinder formation  
 456 **is very accurate and it can be used** for the ground freezing design for the condition of the  
 457 constant temperature of freeze pipe's wall.

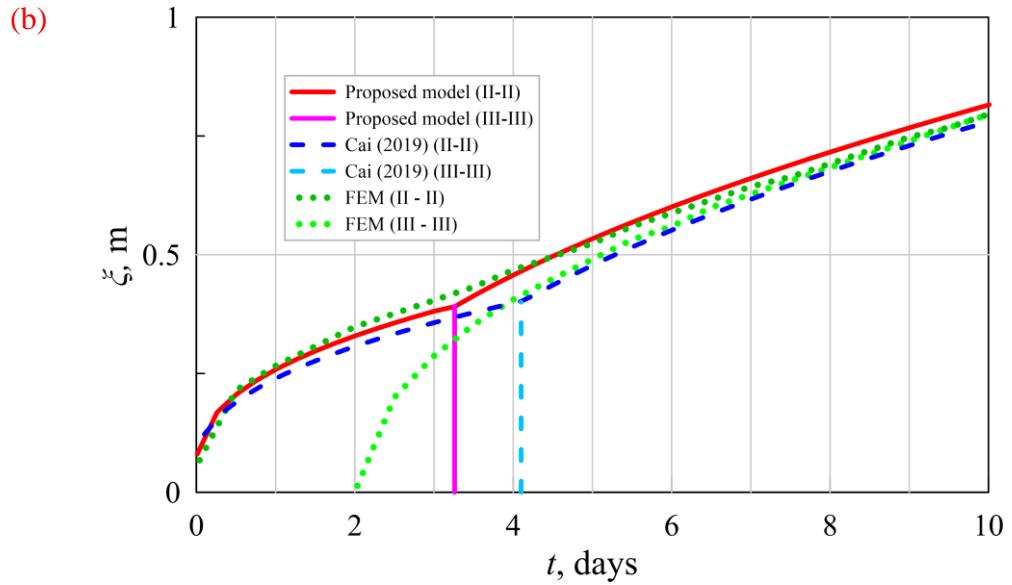
458 *4.2 The formation of plane ice-wall:*

459 For the freezing process using expandable refrigerants, the typical distances between freeze

460 pipes  $l$  are normally between 0.8 – 1.2 m, which lets us effectively create the ice-walls with a  
 461 thickness up to  $2l$  (Dorman, 1971). Therefore, in this section, we consider two cases: (Case 1)  
 462 for the first one the distance between pipes is  $l = 1.2$  m and (Case 2) for the second one the  
 463 distance between pipes is  $l = 0.8$  m. For both cases, the thickness of the ice wall, that have to  
 464 be created, is  $2\xi = 2l$ .

465 Based on the developed approach, the proposed problem can be represented as a 1D ice-body  
 466 close to a wall with constant temperatures, which are defined by Eq. (28), which gives of  $\tilde{\tau}_1 =$   
 467  $-52.5$  °C for  $l = 1.2$  m and  $\tilde{\tau}_1 = -55.9$  °C for  $l = 0.8$  m. These values let the coefficient of  
 468 Eq. (20) from the transcendent equation (19). Fig. 7 compares the results of the semi-analytical  
 469 model, FEM solution and the known model (Cai et al., 2019, 2018).





470 **Fig. 7.** The position of freezing front based on the relation (20), the model (Cai et al., 2019)  
 471 and FEM simulation for  $l = 1.2$  m(a) and  $l = 0.8$  m(b) in cross section II-II and III-III (see  
 472 Fig. 5)

473 There is a close agreement between the results of our proposed method with FEM. The  
 474 overestimation for the thickness of ice-wall after the ice wall closure is less than 10% which is  
 475 an acceptable range for the ground freezing engineering. The time that is necessary to create  
 476 the ice wall with the project thickness is predicted well. According to the developed model, the  
 477 total freezing time to create the ice wall with the thickness  $2l$  is 25.2 days for  $l = 1.2$  m and  
 478 9.8 days for  $l = 0.8$  m. For the same condition, the FEM model estimates the freezing time  
 479 equals 26.5 days for  $l = 1.2$  m, and 10.1 days for  $l = 0.8$  m. The results indicate that the  
 480 proposed model is slightly more accurate than the previously developed model (Cai et al.,  
 481 2019).

## 482 5. Validation of the semi-analytical model application to the SCD ground freezing design

483 To validate the developed model, in this section the results of the analytical model are  
 484 compared with the results of the laboratory tests that were conducted in 1980<sup>th</sup> in Moscow  
 485 Mining Institute and presented in (Shuplik, 1989). During this study, the freezing of saturated

486 soils was performed by a single and a group of 1 m long freeze pipes (0.1 and 0.219 m  
 487 diameters) that were fulfilled by solid carbon dioxide. The soils were in a thermally insulated  
 488 box with the sizes of 3.6m x 2.5m x 1m. The pieces of SCD were made by manual crashing of  
 489 SCD blocks to the sizes of up to 3-4 cm. It should affect the direct application of the results to  
 490 granulated SCD that is commonly used in practice nowadays, which shape is more uniform  
 491 and has a small diameter. The thermophysical properties of soil are presented in Table 2. For  
 492 more details about the experimental methodology and the used automatic measurement  
 493 equipment, see (Shuplik, 1989).

494 **Table 2.** Thermal and physical properties of the soil (Case 2)

Material		Density (kg/m <sup>3</sup> )	Thermal conductivity (W/(m°C))	Heat capacity (J/(kg °C))	Latent heat of solidification (·10 <sup>8</sup> J/m <sup>3</sup> )
Coarse grained sand	Frozen	2530	2.7	1420	1.132
	Unfrozen		2.02	1760	

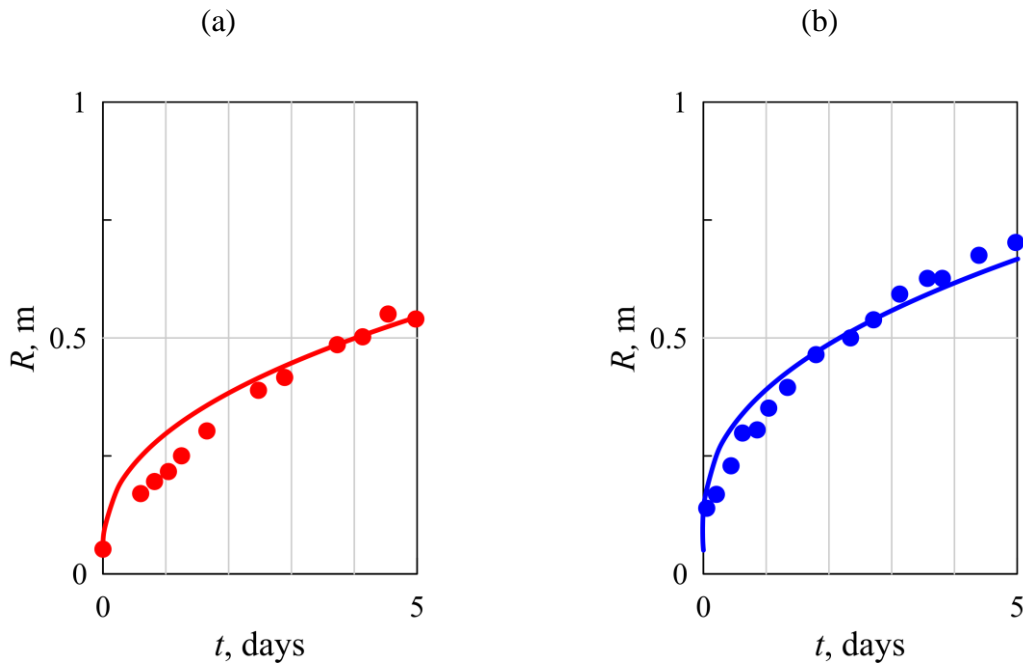
495 For this case, we do not consider the additional thermal resistance of the freeze pipe wall. The  
 496 phase transition temperature is 0 °C

497 *5.1 The formation of a single ice cylinder:*

498 Let us make a theoretical assessment of the laboratory results for the freezing of soils by 0.1m  
 499 and 0.219 m diameter freeze pipes with the soil initial temperatures of 10 °C.

500 The first step in the application of our semi-analytical model for the formation of a single ice  
 501 cylinder is to determine the coefficients  $\omega$  and  $\beta_1$  (Eq. (14)). It is evident that there is a value  
 502 of  $\omega$  at which the parameter  $\beta_1$  is nearly constant for  $t > 3$  days. The function of  $\beta_1 = f(\omega, t)$   
 503 is considered at two time moments of  $t = 3$  days and  $t = 50$  days to be able to assume  $\omega =$   
 504 0.431 and  $\beta_1 = 0.247$  for 0.1m diameter and 10 °C initial temperature and  $\omega = 0.427$  and  
 505  $\beta_1 = 0.279$  for 0.219m diameter and 10 °C initial temperature. The position of the freezing  
 506 front (Eq. (13)) and the results of the laboratory tests (Shuplik, 1989) are presented in Fig. 8.

507 It can be observed that the position of the freezing front was overestimated by the proposed  
 508 model during the first 2 days. It is because at the initial period, due to the intense sublimation  
 509 of SCD the contact between the pipe wall and the refrigerant was not complete, as a result, the  
 510 temperature of the freeze pipe wall was higher than the constant value used in the model. The  
 511 disagreement at the final stage of the experiments can be explain by the effects of the  
 512 boundaries of the soil box that distorted the axisymmetric temperature distribution, whereas  
 513 the analytical model considers the boundless domain. Nevertheless, the presented agreement  
 514 can be considered acceptable.

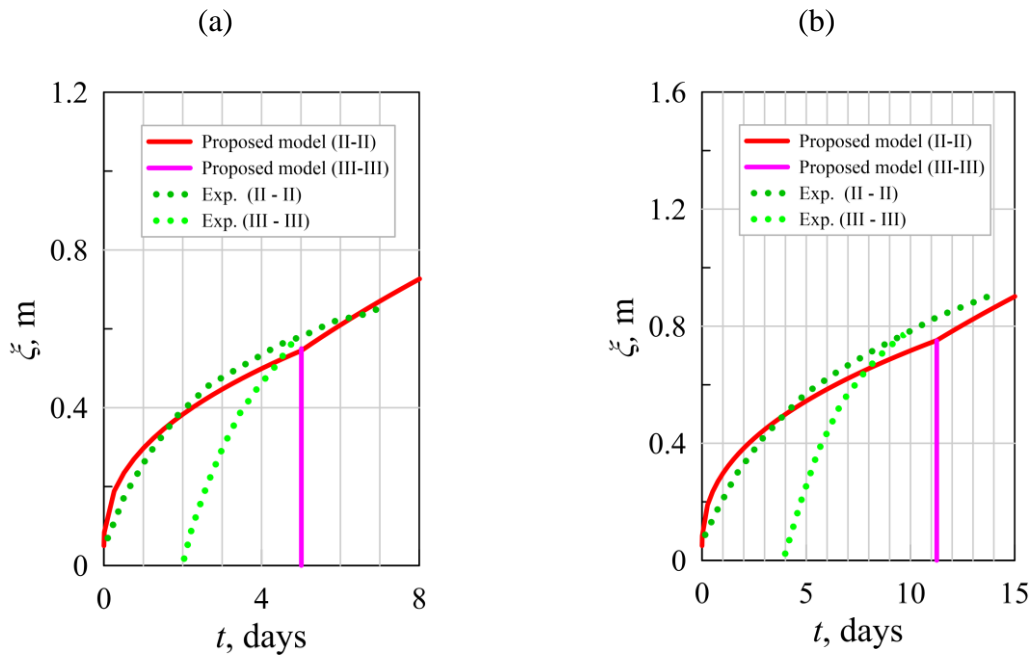


515 **Fig. 8.** The position of freezing front from the proposed semi-analytical solution (lines) and  
 516 the laboratory results (dots) by Shuplik (1989) for the 0.1m diameter freeze pipe (a) and  
 517 0.219m diameter freeze pipe (b)

518 *5.2 The formation of plane ice-wall:*

519 In this section, we consider two cases: for the first one, the distance between pipes is  $l = 1.1$  m  
 520 and for the second one, the distance between pipes is  $l = 1.5$  m. For both cases, the thickness  
 521 of the ice wall, that have to be created, is assumed to be  $2\xi = 1.2l$ .

522 Based on the developed approach, the proposed problem can be represented as a 1D ice-body  
 523 close to a wall with constant temperatures, which are defined by Eq. (28), which gives of  $\tilde{\tau}_1 =$   
 524  $-46.29$  °C for  $l = 1.1$  m and  $\tilde{\tau}_1 = -40.35$ °C for  $l = 1.5$  m. These values let the coefficient  
 525 of Eq. (20) from the transcendent equation (19). Fig. 9 compares the results of the semi-  
 526 analytical model and the results of the laboratory tests (Shuplik, 1989).



527 **Fig. 9.** The position of freezing front based on the relation (20) and laboratory experiment  
 528 (Shuplik, 1989) for  $l = 1.1$  m (a) and  $l = 1.5$  m (b) in cross section II-II and III-III (see Fig.  
 529 5)

530 There is a fairly good agreement between the results of the proposed model and the laboratory  
 531 experiment. The model slightly underestimates the dynamics of the freezing front in the II-II  
 532 plane for both cases, which can be considered as an additional factor of safety. The time that is  
 533 necessary to create the ice wall with the project thickness is predicted well. According to the  
 534 developed model, the total freezing time to create the ice wall with the thickness  $1.2l$  is 6.8  
 535 days and 7 days based on the semi-analytical model and the experiment respectively for  $l =$   
 536  $1.1$  m; and 15 days and 14 days based on the semi-analytical model and the experiment



537 respectively for  $l = 1.5 \text{ m}$ . Such close agreement can be considered acceptable for engineering  
 538 practice. It should be noted that, as in the case of a single ice cylinder formation, the  
 539 disagreement at the final stage of the experiment can be explain by the effects of the boundaries  
 540 of the consider soil domain, that was used in the laboratory tests, whereas the analytical model  
 541 is formulated for the boundless domain.

## 542 6. Analysis of the influence of additional sources of thermal resistance

543 The reliability of our developed analytical methods in describing the AGF process with an  
 544 additional thermal resistance of freeze pipe material and drilling mud is assessed in this section.  
 545 The soil in the model is medium sand with 35% water content, which properties are applied in  
 546 accordance with Pimentel et al. (2007). The phase transition temperature is  $0 \text{ }^\circ\text{C}$ , and the  
 547 thermophysical properties of drilling mud were calculated based on its density ( $1290 \text{ kg/m}^3$ )  
 548 and the ratio of clay particles (40wt.%) (Dorman, 1978). The temperature of the freeze pipe is  
 549  $\tau_0 = -70 \text{ }^\circ\text{C}$  as typical conditions for the SCD AGF process. The inner and outer radius of the  
 550 freeze pipe, as well as the outer radius of the drilling mud layer, are  $R_0 = 0.05 \text{ m}$ ,  $R_1 =$   
 551  $0.056 \text{ m}$ , and  $R_2 = 0.08 \text{ m}$ , respectively. Two types of freeze pipe materials were considered  
 552 as steel and polymer (polyvinyl chloride (PVC)) (Cai et al., 2020). Thermal and physical  
 553 properties are presented in Table 3 (Eiermann and Hellwege, 1962; Titow, 1984).

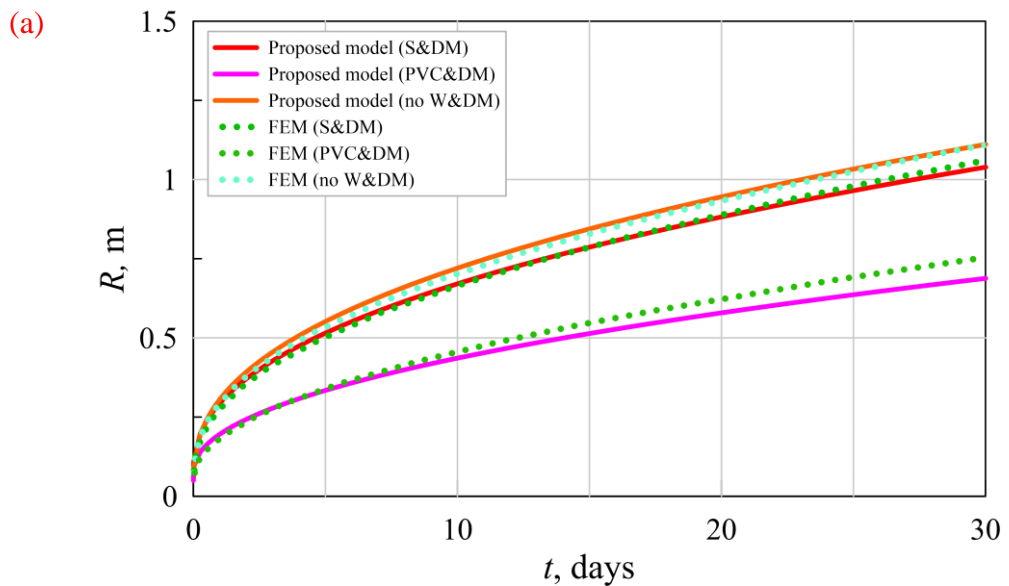
554 **Table 3.** Thermals and physical properties of the considered materials (Case 3)

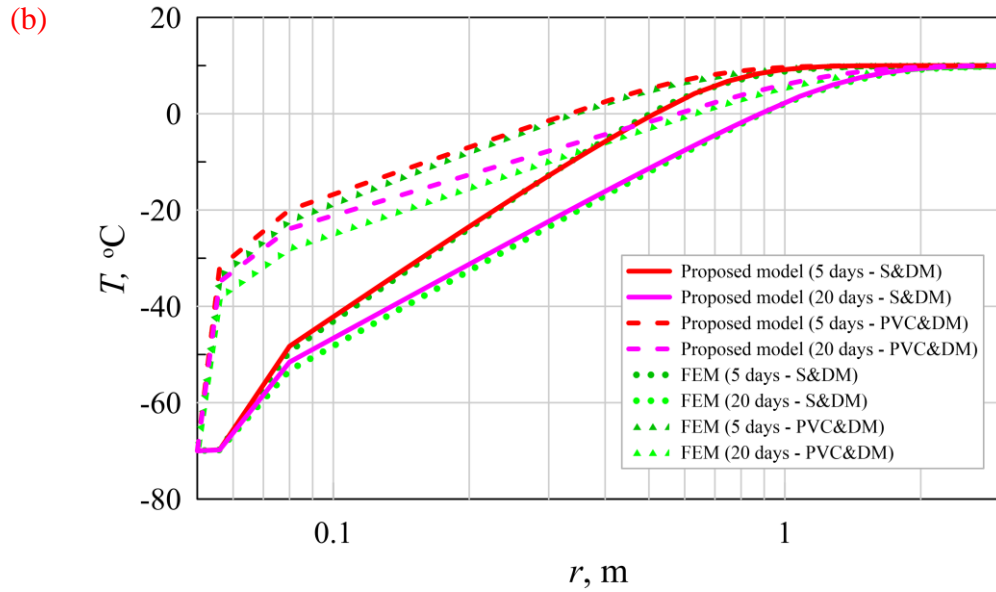
Material	Density ( $\text{kg/m}^3$ )	Thermal conductivity ( $\text{W}/(\text{m }^\circ\text{C})$ )	Heat capacity ( $\text{J}/(\text{kg }^\circ\text{C})$ )	Latent heat of solidification ( $10^{-8}\text{J}/\text{m}^3$ )
Polyvinyl chloride	1300	0.16	900	
Steel	7850	50	460	
Drilling mud	1290	1.6	1520	
Sand	Frozen		3.28	
	Unfrozen	1958	2	3850

555 *6.1 The formation of a single ice cylinder:*

556 The Eq. (14), at two time moments  $t = 3$  days and  $t = 50$  days, gives  $\omega = 0.441$  and  $\beta_1 =$   
 557  $0.214$  for a steel (S) freeze pipe and drilling mud (DM) layer, and  $\omega = 0.487$  and  $\beta_1 = 0.116$   
 558 for the polyvinyl chloride (PVC) pipe and DM layer. Without freeze pipe material and DM  
 559 layer (no W&DM), we have  $\omega = 0.418$  and  $\beta_1 = 0.256$ . As there are not any similar semi-  
 560 analytical solutions for such a condition, the presented model is only compared with FEM  
 561 simulation (Fig. 10).

562 The semi-analytical model defines the dynamic of the ice front with reasonable preciseness.  
 563 For the PVC pipe, it underestimates the position of ice wall formation compared with FEM up  
 564 to 9% by the 30 days. It should be noted that the solution without additional sources of thermal  
 565 resistance may be different for both types of pipe materials. For the case with the steel pipe,  
 566 the freeze front radius would be up to 7% higher, while the difference could be up to 50% for  
 567 the case with PVC pipe which indirectly highlights the sensitivity of the AGF simulations to  
 568 the presence of ice wall material and the drilling mud layer.





569 **Fig. 10.** The position of (a) freezing front and (b) temperature distribution based on the  
 570 proposed model and FEM simulation.

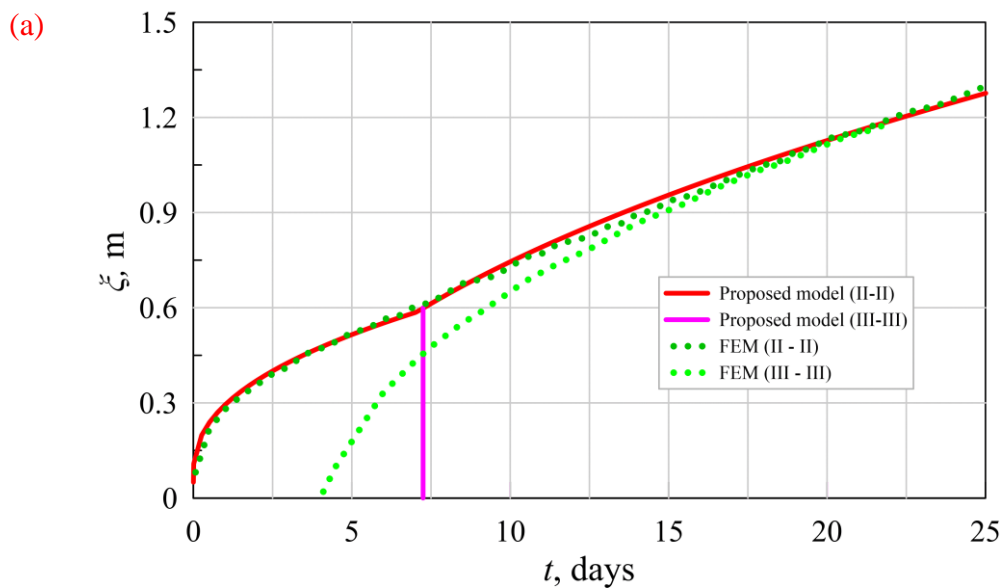
571 The presented results demonstrate that the consideration of additional sources of thermal  
 572 resistance can significantly affect the prediction of single-ice cylinder formation. Even if the  
 573 difference in the radius with and without the layers of drilling mud and the freeze material may  
 574 be insufficient, the freezing time is determined completely different. For the present case, the  
 575 difference in the necessary time for the creation of a 2m diameter ice-cylinder is up to 5 days.  
 576 For the SCD ground freezing, it means that the refrigerant must be delivered to the  
 577 construction site for 5 days more, and the workers who are participating in the loading must  
 578 also be working for 5 additional days. For example, if the daily consumption of SCD is 50  
 579 tonnes/day, it may lead to a shortage of 250 tonnes of refrigerant that very often cannot be  
 580 replenished without several days of preparation in the SCD production factory.

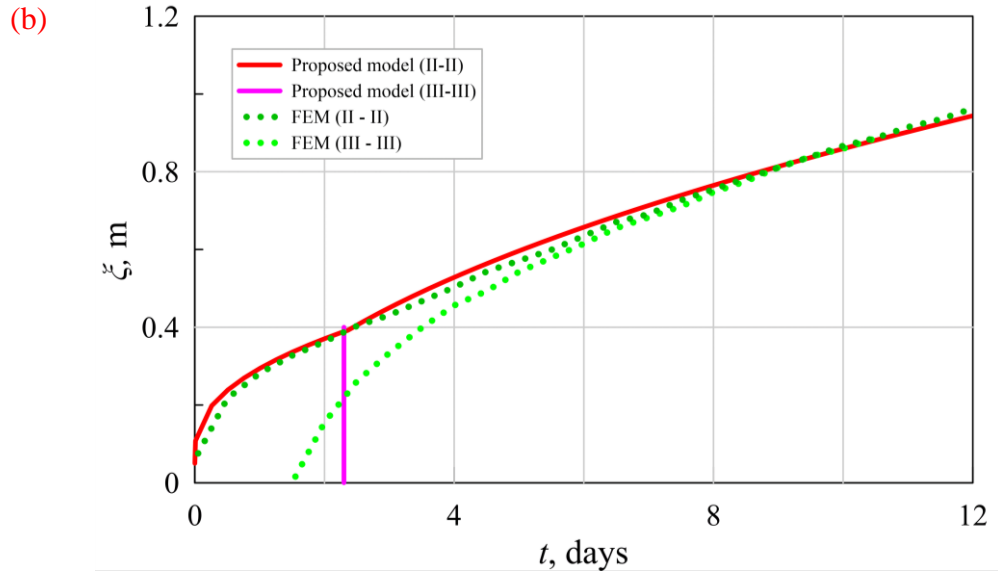
### 581 6.2 The formation of plane ice-wall:

582 In order to study the behaviour **with taking into account** the effects of additional sources of  
 583 thermal resistance, we consider two **freeze pipe positions**: for the first one the distance between  
 584 pipes is  $l = 1.2$  m and for the second one it is  $l = 0.8$  m. For both cases, the project thickness

585 of the ice wall is  $2\xi = 2l$ . For the case of steel pipes, the constant temperature of the wall was  
 586 calculated as  $\tilde{\tau}_1 = -37.8$  °C for  $l = 1.2$  m, and  $\tilde{\tau}_1 = -40.8$  °C for  $l = 0.8$  m. These values  
 587 let the coefficient of Eq. (20) from the transcendent equation (19). The position of the freezing  
 588 front is presented in Fig. 11.

589 The analytical and FEM results are in close agreement with regard to the prediction of the  
 590 freezing front. For ice-wall thickness, the discrepancy between the two solutions is less than  
 591 8% during the entire freezing process. The time for reaching the project thickness of the wall  
 592 is defined fairly accurate by the proposed model. When  $l = 1.2$  m, the project thickness is  
 593 reached on 22.2 days and 22.3 days, according to the developed model and FEM results,  
 594 respectively. When  $l = 0.8$  m, it is reached on 8.7 days and 8.8 days by the same calculation  
 595 methods.



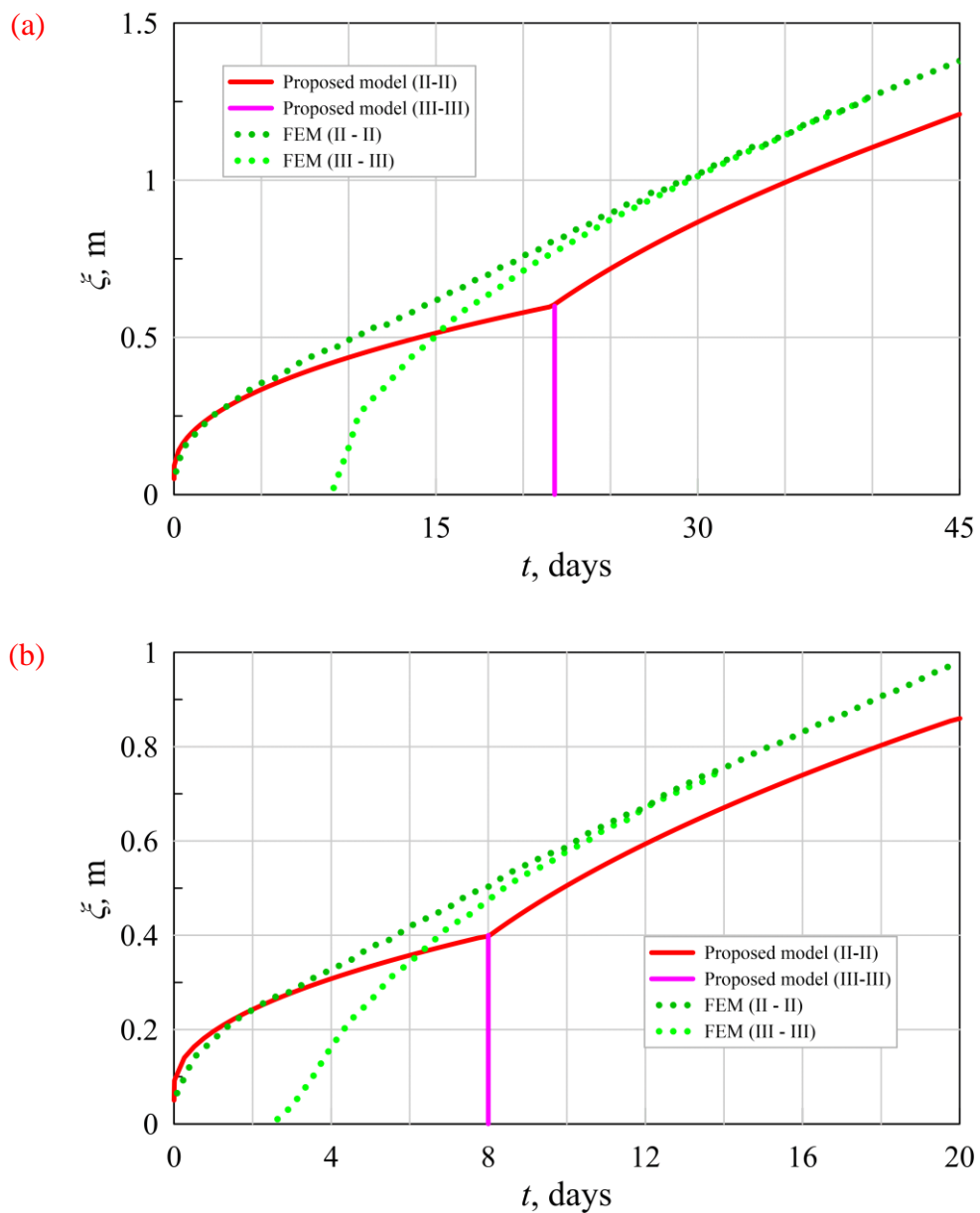


596 **Fig. 11.** The position of freezing front for the steel freeze pipe wall based on the relation (20)  
 597 and FEM simulation for  $l = 1.2$  m(a) and  $l = 0.8$  m(b) in cross-section II-II and III-III (see  
 598 Fig. 5)

599 For the case of PVC pipes, the wall constant temperature is found to be  $\tilde{\tau}_1 = -23.9$  °C for  $l =$   
 600  $1.2$  m, and  $\tilde{\tau}_1 = -24.8$  °C for  $l = 0.8$  m based on Eq. (28) which lets us find the coefficient  
 601 of Eq. (20). The computational results for this semi-analytical model and FEM results are  
 602 presented in Fig. 12. It is evident that the proposed model does not agree with the simulation  
 603 results well. However, the inaccurate assessment of the time that is necessary to create the ice  
 604 wall with the project thickness, may be considered acceptable for engineering purposes. Thus,  
 605 for  $l = 1.2$  m, the project thickness of the ice wall is reached on 44.1 days and 37.2 days,  
 606 according to the developed model and FEM results, respectively. For  $l = 0.8$  m, it is reached  
 607 on 18.0 days and 15.2 days by the same calculation methods. The discrepancies are less than  
 608 18%. As in both cases, the developed model overestimates the time of freezing, this difference  
 609 may be considered as an additional safety factor.

610 It should be noted that even if the developed semi-analytical model can describe the dynamic  
 611 of single ice cylinder formation for the PVC freeze pipe quite well (see Section 6.1), in the case

612 of the group of freeze pipes, the model accuracy during the first stage of ice wall formation is  
 613 worse. It happens because, for the PVC pipes, the time of the first stage is sufficiently longer  
 614 than for the steel pipe, due to that the influence of the neighbouring pipes became sufficient.  
 615 In this case, the initial assumption that the process of the ice-wall formation can be divided into  
 616 two independent stages became less accurate. More detailed mathematical representation of  
 617 the first stage of ice wall formation can significantly improve the overall accuracy of the model,  
 618 as even now, the second stage is described qualitatively well.



619 **Fig. 12.** The position of freezing front for the PVC freeze pipe wall based on the relation

620 (26), and FEM simulation for  $l = 1.2$  m(a) and  $l = 0.8$  m(b) in cross-section II-II and III-III  
621 (see Fig. 5)

622 The proposed model is the first sufficiently accurate semi-empirical model that lets us consider  
623 additional sources of thermal resistance during AGF design. This section provided a further  
624 demonstration that the additional sources of thermal resistance should be considered during the  
625 AGF design to ensure the accurate determination of freezing time and, based on it, the accurate  
626 schedule for the solid carbon dioxide delivery to the construction site.

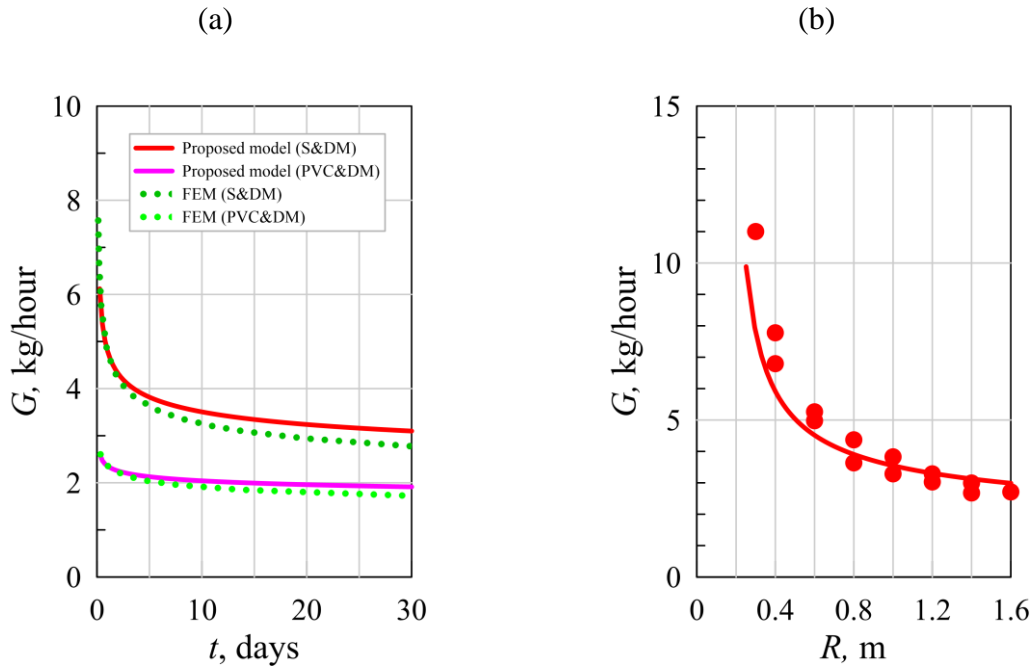
627 In the next section, we will consider the ability of the developed model to determine the solid  
628 carbon dioxide consumption rate.

## 629 **7. Determination of solid carbon dioxide consumption**

630 To illustrate the ability of the developed model to determine solid carbon dioxide consumption,  
631 let us define this parameter for the conditions of the examples discussed in the previous  
632 sections.

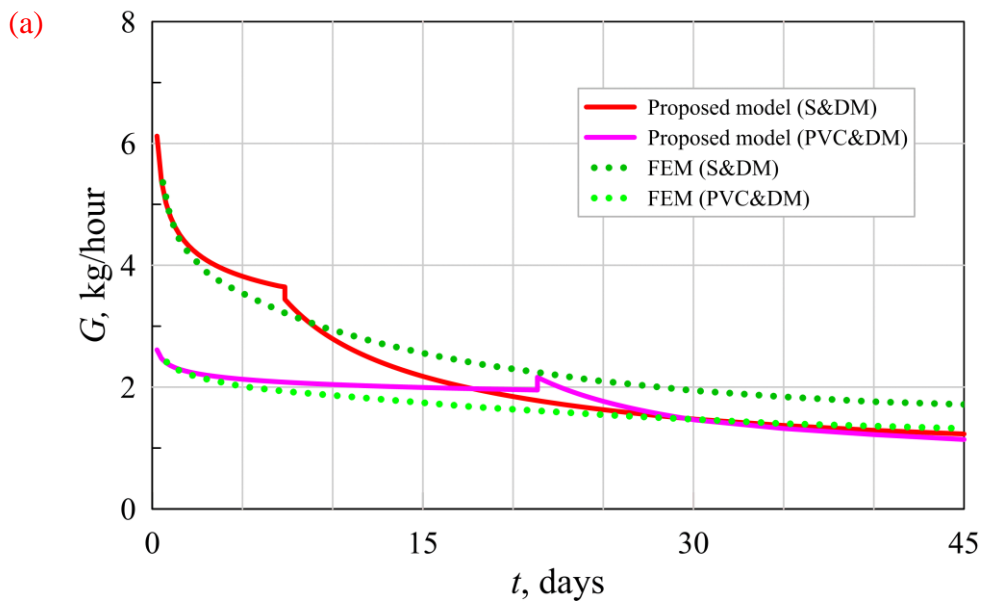
633 The consumption of SCD was calculated at any time by Eq. (32) (a pipe with  $h = 1$  m) and  
634 compared with FEM as shown in Fig. 13a. The presented results demonstrate that the difference  
635 between FEM and the semi-analytical solution is less than 11% for both freeze pipe materials.  
636 In Fig. 13b, the comparison between the results of the semi-analytical model and the laboratory  
637 experiment is presented for the case of 0.1m diameter freeze pipe. This experiment was  
638 conducted twice. Even if the semi-analytical model slightly underestimates the consumption of  
639 SCD during the initial period, the overall agreement can be considered very good. Therefore,  
640 the proposed model can effectively be used to determine the solid carbon dioxide consumption  
641 rate during the formation of single ice cylinders.

642

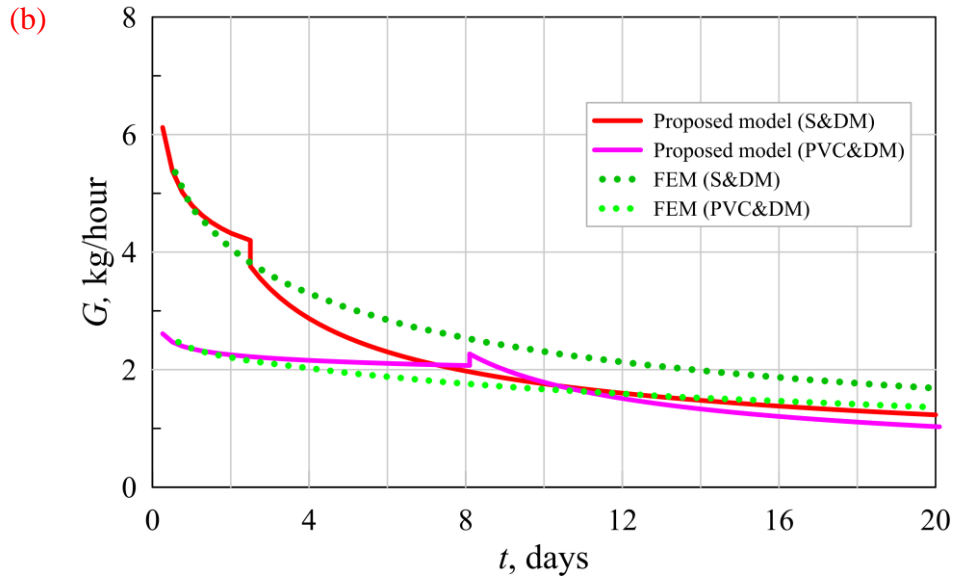


643 **Fig.13.** The consumption of SCD during the formation of a single ice-cylinder according to  
 644 the relation (32) and FEM simulation (a), and according to the relation (38) and the  
 645 laboratory experiment by Shuplik (1989) (b).

646 At any time for the pipes with the length of  $h = 1$  m, from Eq. (32) and (33), a similar rate  
 647 can be calculated from the proposed model and FEM simulation as shown in Fig. 14.







648 **Fig. 14.** The consumption of SCD during the formation of plane ice-wall according to the  
 649 relations (32) and (33) and FEM simulation, a – for 1.2 m, b – 0.8 m

650 The results show that the proposed relation (32) overestimates the consumption of SCD until  
 651 the moment when separate ice cylinders are merged. In addition, the calculated results by the  
 652 relation (33) are smaller than the simulation results. The difference observed can be up to 30%.  
 653 This fact illustrates the limitations of the proposed semi-analytical model for the assessment of  
 654 refrigerant consumption. A more accurate approach for the determination of the average  
 655 temperature in the I-I plane can increase the preciseness of the presented model, however, it is  
 656 still under development.

## 657 **8 Conclusions**

658 This paper introduced a new semi-analytical model for the artificial ground freezing by using  
 659 solid carbon dioxide process which describes the dynamics of single ice cylinders formation  
 660 and(b) resultant plane ice wall development where separate cylinders are being merged. The  
 661 model is developed to assume a constant temperature on the inner freeze pipe's surface (e.g.,  
 662 Dirichlet boundary condition). For the first time, our analytical model considers additional  
 663 sources of thermal resistances (freeze pipe materials, drilling mud and casing pipe walls) in

664 the calculation of AGF dynamic parameters and also determines the consumption of solid  
665 carbon dioxide during the formation of the ice wall. The dynamic parameters of the AGF  
666 process predicted by our model are more accurate than those of the available models when  
667 compared with numerical simulation. For the typical freezing conditions, the discrepancy  
668 between the results of our model and FEM results for the prediction of the freezing time to  
669 create the ice wall with the project thickness is less than 4% for the steel pipes and 18% for  
670 polyvinylchloride freeze pipes. The proposed model provides fairly accurate results and can be  
671 used to design artificial ground freezing by using solid carbon dioxide for engineering practices  
672 and generalised for the construction of thermal energy systems.

673 To develop a further understanding of the ice wall formation that is delivered by solid carbon  
674 dioxide ground freezing, the following questions should be answered in the future studies:

- 675 1. How does heat transfer to the freeze pipes fulfilled by solid carbon dioxide is changing  
676 over the depth?
- 677 2. How do properties of SCD (density, grain shape and size etc.) affect the intensity of  
678 heat transfer?
- 679 3. Which loading regime of the solid carbon dioxide granules should be maintained to  
680 ensure the economically effective formation of the ice wall?
- 681 4. How **can** the application of the SCD ground freezing change the frost heave behaviour  
682 of frozen soils?
- 683 5. Which polymer material is the most suitable for the freeze pipes? What is the optimum  
684 application range for such types of freeze pipes?

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689 and introduced to him this unique ground freezing method and inspired him to develop this  
690 research.

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