



16 **Abstract**

17 The study of soot characteristics in plasma-flame interaction was necessary to  
18 improve combustion efficiency and reduce pollutant emissions. This work  
19 experimentally investigated effects of plasma on soot formation, evolution and  
20 characteristics in ethylene diffusion flames by both optical diagnostic and sampling  
21 methods. The optical diagnostic method was employed to capture the two-dimensional  
22 distribution of soot temperatures and concentrations. Moreover, the nanostructure,  
23 crystallite properties and oxidation reactivity of soot from diffusion flames with plasma  
24 addition were obtained using transmission electron microscopy, Raman spectroscopy,  
25 thermogravimetric analysis and X-ray diffraction spectroscopy. The flame shapes and  
26 luminosity varied depending on the electrical parameters and oxygen concentration. It  
27 was found that the overall temperature increased somewhat with plasma activation, but  
28 remained roughly constant when the discharge frequency or applied voltage increased.  
29 The soot concentration immediately reduced with plasma on and then displayed  
30 different trends as the discharge frequency or applied voltage increased. The variation  
31 in soot concentration was caused by the combination of several parameters, including  
32 flame behavior, soot temperature and the effect of the electric field by energetic and  
33 chemically active species. The generated soot showed typical chain-like aggregates  
34 without plasma, but more film-like materials were presented with plasma acting on  
35 flames. The soot graphitization degree decreased notably with plasma generation  
36 resulting in higher oxidation reactivity because the shorter residence time and the  
37 plasma activation were simultaneously obtained, which both illustrated an inhibition

38 effect of plasma on soot emissions. The disordered carbon content related to soot  
39 oxidation reactivity.

40

41 **Keywords:** Soot characteristics, Plasma, Diffusion flames

## 42 **1. Introduction**

43 Soot particles produced during the incomplete combustion of hydrocarbon fuels  
44 not only reduce the performance of the combustion system but also cause significant  
45 environmental pollution and health problems [1-3]. The increasing stringency of soot  
46 emission regulations has prompted a slew of studies investigating efficient combustion  
47 systems and devices. It is critical to take action to reduce soot emissions into the  
48 atmosphere. New insights into soot structure and oxidation reactivity enable us to better  
49 understand the soot production process and develop effective soot-reduction strategies.  
50 Nanoscale structural variations in soot have been found to affect its oxidation reactivity  
51 depending on fuel and combustion conditions [4-7]. Following that, numerous studies  
52 extensively investigated the correlation between soot internal structure and oxidation  
53 reactivity under different conditions [8-11] and established a strong structure property  
54 relationship.

55 Electric fields or plasma can be a viable option for combustion control. The  
56 influence of the external electric fields on the flames has been extensively investigated  
57 to develop advanced combustion systems with high energy efficiency to improve flame  
58 stability and reduce pollutant emissions. Calcote proposed an ionic mechanism based  
59 on two observations: the correlation between soot and charged species in the flame and

60 the more rapid reactions between neutral species and charged hydrocarbon ions[56-58].  
61 For soot formation, Calcote also pointed out the location of the ions just before the  
62 formation of soot nanoparticles and the similar soot and ionic concentrations [58]. In  
63 the electric field, the influence on soot inception is complicated by the production of an  
64 ionic wind. Weinberg et al. demonstrated that the soot growth is more or less associated  
65 with charged species and it can be controlled by an electric field [12]. The effects of  
66 flame exposure to an external electric field on soot emission have also been investigated  
67 in several experiments [13-16]. Park et al. [13] reported a less amount of soot particles  
68 in a counterflow non-premixed laminar flames using Direct Current (DC) electric fields.  
69 They found that the luminosity of the typical bright yellow flame caused by soot  
70 particles was reduced, indicating that the number of soot particles was reduced  
71 significantly by applying an electric field. Due to the heavy dependence of polycyclic  
72 aromatic hydrocarbons (PAHs) and soot formation on temperature, residence time, and  
73 fuel mole fraction [17], the modified flow field via the ionic wind may produce an  
74 unfavorable environment for soot formation and growth. Sayed-Kassem et al. [18]  
75 found that the soot volume fraction diminishes and the average primary particle  
76 diameter increased slightly in a diffusion flame when an electric field was applied. The  
77 main reason for this is that the ionic wind-driven flow field reduces the flow residence  
78 time and increases the temperature field, which are important factors for the formation  
79 and growth of soot. The accelerated chemical ions in the flame entrap air in such a way  
80 that the flame is aerated, increasing oxidation and thus reducing soot formation [59].

81 Plasma is a collection of neutral and charged particles which are electrically



82 neutral on average and exhibit collective effects [19]. Compared to the thermal plasma,  
83 non-thermal plasma (NTP) has higher electron temperature and is more kinetically  
84 active due to the rapid production of active radicals and excited species via electron  
85 impact dissociation, excitation, and subsequent energy relaxation. On the one hand, the  
86 non-thermal plasmas generate high concentrations of cryogenic ions [21, 27, 28]. On  
87 the other hand, the efficient generation of radicals and active species allows plasma to  
88 change the kinetic pathway [19, 20]. Non-thermal plasma techniques have progressed  
89 in the field of soot emission suppression. Cha et al. [22] addressed the issue that the  
90 plasma could affect the soot formation process and combustion characteristics, while  
91 the temperature and the concentration of major species were not influenced much by  
92 the plasma generation. This conclusion was also verified in other investigations [23].  
93 Non-thermal plasma has also been widely explored for soot emissions as an after-  
94 treatment method for engines. The challenge for conventional soot reduction methods  
95 is that they start working at a minimum temperature of 250 °C, while the typical exhaust  
96 temperatures of engines are in a wider range between 120 and 650 °C. In this  
97 temperature range, plasma is one of the most promising options for post-treatment  
98 technology. Okubo et al. [24] investigated the simultaneous treatment of soot and NO<sub>x</sub>  
99 utilizing catalysis combined with non-thermal plasma. The soot was eliminated in their  
100 investigation under the condition of lean oxygen. Shi et al. [25] found the evolution law  
101 of deposited particles when a non-thermal plasma flowed through the diesel particulate  
102 filters. The weak component of the particle floc structure broke first during oxidation  
103 to form a chain structure.

104           Considering the exceptional performance of non-thermal plasma in reducing the  
105 formation and emission of soot particles, the aforementioned studies have extensively  
106 explored the corresponding variation of overall soot or the analysis of kinetics with  
107 regard to plasma addition. However, to our best knowledge, quite a few available  
108 studies have investigated the detailed influences of the plasma-flame interaction on soot  
109 emissions and characteristics in any systematic way. The study of soot characteristics  
110 in plasma-flame interaction was necessary to improve combustion efficiency and  
111 reduce pollutant emissions. It also helped to develop the relevant soot formation  
112 mechanisms in plasma-flame interaction and find a new way to remove the soot  
113 deposited in the combustion chamber. We aim to enhance the fundamental  
114 understanding of the soot characteristics in the plasma-flame interaction at ambient  
115 conditions by combining optical diagnostics of the diffusion flame and comprehensive  
116 characterization of the soot.

117           Based on the reasons above, the objective of this study is to investigate the effects  
118 of non-thermal plasma on the soot formation and combustion characteristics in  
119 hydrocarbon diffusion flames by adopting a wire-cylinder-type dielectric barrier  
120 discharge (DBD) reactor. In the current study, combinations of the optical and sampling  
121 methods were introduced to fully diagnose the soot characteristics. For the optical  
122 diagnostics, a two-color method was used to obtain the detailed two-dimensional  
123 temperature distributions and soot concentration under different experimental  
124 conditions. The soot shape was derived from transmission electron microscopy (TEM)  
125 image analysis after a thermophoretic sampling approach was utilized to sample soot

126 particles inside the flame. A quartz plate sampling system was utilized to obtain soot  
127 particles in the flame exhaust for further soot reactivity research by thermogravimetric  
128 analysis (TGA), detailed crystallite analyses by X-ray diffraction (XRD), and Raman  
129 spectroscopy.

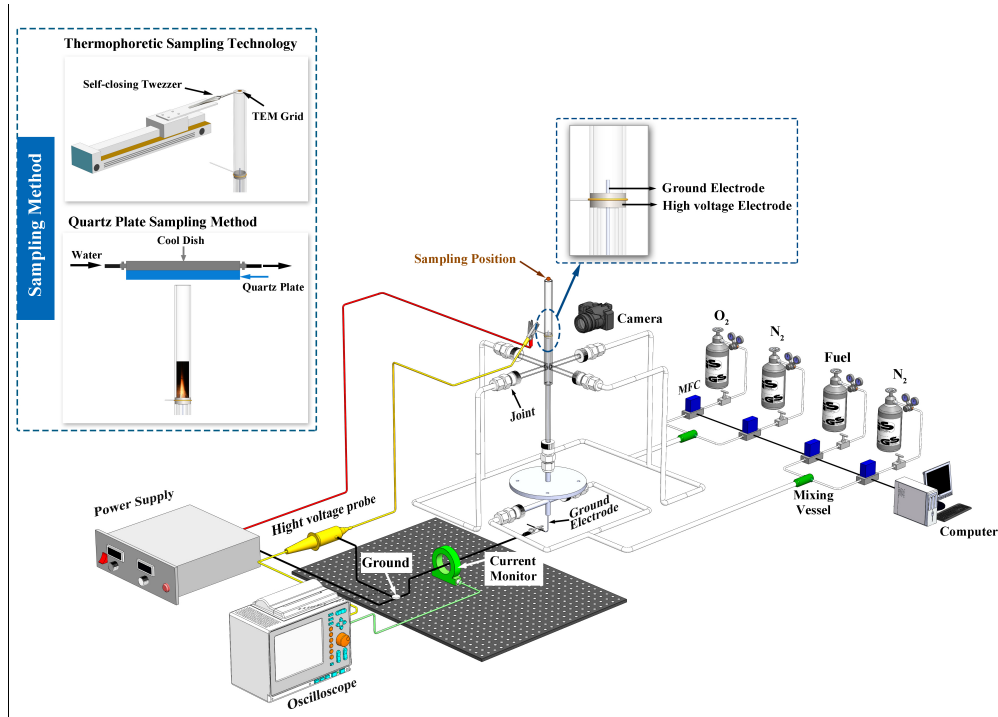
## 130 **2. Experimental methodologies**

### 131 *2.1 Experimental setup and flame condition*

132 Fig. 1 depicts the whole experimental system, which includes replaceable coflow  
133 burners, mass flow controllers (Sevenstar, CS200A), holders, mixing vessels, a  
134 nonthermal plasma-generating system, a visualization setup, a quartz glass plate with  
135 circulating water, and a thermophoretic sampling device. The burner featured an inner  
136 fuel nozzle made of a quartz tube with 4 mm i.d. and 6 mm o.d., as illustrated  
137 schematically in Fig. 1. The coflow oxidant was delivered through the outer tube, which  
138 had an 8 mm i.d. and a 10 mm o.d. The combustion in this tubular combustion chamber  
139 was isolated from the atmosphere because it took place inside the burner. Ethylene was  
140 selected as the base fuel because ethylene has been widely and extensively used for soot  
141 fundamental studies [7, 8, 13, 26]. Ethylene was not only an important fossil fuel but  
142 also an intermediate product of the pyrolysis of macromolecular hydrocarbon fuel. The  
143 fuel was ethylene (>99.95%), and the mixture of nitrogen and oxygen was used as an  
144 oxidizer. Mass-flow controllers were used for flow rate control with 98% accuracy.

145 The nonthermal plasma-generating system consisted of a DBD reactor, an  
146 electrical parameter monitoring system, and a power supply. The DBD reactor had a  
147 wire-cylinder-type electrode. In the center of the quartz tube, a stainless steel wire with

148 an outer diameter of 1 mm served as an inner electrode and protruded 6 mm above the  
149 fuel nozzle exit. The wire protrusion was adopted to minimize excessive soot deposition  
150 and tip impacts on plasma formation. The cylindrical electrode made from a woven  
151 stainless mesh having a width of 4 mm surrounded the outer quartz tube, and it was  
152 located the 1 mm downstream from the fuel nozzle exit. The outer quartz tube served  
153 as a dielectric barrier for plasma generation to ensure the creation of a stable uniform  
154 plasma [21]. The DBD reactor was supplied by a high voltage pulse power supply with  
155 a peak-to-peak voltage of 20 kV and a frequency of 5 kHz. The applied voltage and  
156 current waveforms were measured by a high voltage probe (the Tektronix P6015A,  
157 bandwidth 75 MHz) and a current probe (Pearson 6585), respectively. All the electrical  
158 signals were sampled by a four-channel digital oscilloscope (TDS2024C). The sample  
159 rate of the oscilloscope is 2 GS/s. The combustion process was recorded using a digital  
160 single-lens reflex camera (Nikon Co. Ltd., D850, 24-85 mm lens) in front views to  
161 investigate the variation rules of flame morphologic characteristic parameters with  
162 plasma generation.



**Fig. 1** Schematic diagram of experimental set up. (Color online)

The specific experimental flame conditions are listed in Table 1. For each condition, the fuel (ethylene) flow rate was fixed at 150 ml/min, and the total oxidant flow rate was fixed at 650 ml/min. To determine the effect of O<sub>2</sub> concentration and the plasma-flame interaction on soot formation and combustion characteristics, the O<sub>2</sub> concentration in the oxidant was increased from 21% to 40%, and the electrical parameters also varied for comparison. The O<sub>2</sub> concentration could not be further increased in the present study because the high temperature would damage the quartz combustor. Different flame notations could be found in Table 1.

**Table 1.** Flame experimental conditions.

Flame notation	Applied voltage, $U$ (kV)	Discharge frequency, $f$ (kHz)	O <sub>2</sub> concentration ( $X_{O_2}$ )	Gas flow rate (ml/min)			
				C <sub>2</sub> H <sub>4</sub>	N <sub>2</sub> (Diluent)	O <sub>2</sub>	N <sub>2</sub> (Carrier)
21%-0-0	0	0					
21%-12-2.5	12	2.5	21%	150	100	136.5	513.5
21%-12-4.0	12	4.0					

21%-10-2.5	10	2.5					
21%-15-2.5	15	2.5					
25%-0-0	0	0					
25%-12-2.5	12	2.5					
25%-12-4.0	12	4.0	25%	150	100	162.5	487.5
25%-10-2.5	10	2.5					
25%-15-2.5	15	2.5					
30%-0-0	0	0					
30%-12-2.5	12	2.5					
30%-12-4.0	12	4.0	30%	150	100	195	455
30%-10-2.5	10	2.5					
30%-15-2.5	15	2.5					
35%-0-0	0	0					
35%-12-2.5	12	2.5					
35%-12-4.0	12	4.0	35%	150	100	227.5	422.5
35%-10-2.5	10	2.5					
35%-15-2.5	15	2.5					
40%-0-0	0	0					
40%-12-2.5	12	2.5					
40%-12-4.0	12	4.0	40%	150	100	260	390
40%-10-2.5	10	2.5					
40%-15-2.5	15	2.5					

174 *2.2 Determination of two-dimensional distributions for temperatures and KL factors.*

175 The optical diagnostic techniques were adopted to visualize the spatial  
176 distributions of temperature and soot. The system consists of an optical probe, a charge-  
177 coupled device (CCD) camera with the optical transmission, a frame grabber, a  
178 microcomputer, and associated software. The objective lens of the probe has a 90  
179 viewing angle. The camera has a 1/3-inch CCD panel with a resolution of 960 H×1280  
180 W pixels. A coupling lens with a focus of 25 mm portrays the flame onto the CCD panel.  
181 The frame grabber installed in the computer converts the analog image signal into  
182 digital images with 8-bit digitization. The entire imaging system provides a frame rate  
183 of 40 frames per second.

184 The two-color pyrometry method was adopted to measure the two-dimensional

185 distributions of soot temperatures and  $KL$  factors since direct-contact temperature  
186 measurement of the flame inside the combustor using thermocouples was difficult and  
187 inconvenient. Two-color or multicolor approaches have been widely utilized to measure  
188 soot temperature and concentration in various combustion systems, and they have been  
189 proved useful and effective for flame and soot diagnosis [35-37]. The two-color  
190 pyrometry method can only measure the temperature of small substances (soot here)  
191 within a flame rather than its surrounding gas. But due to the tiny size of soot particles,  
192 the temperature difference between soot and the surrounding gas should be less than 10  
193 K. Therefore, the temperature measured here by two-color method can be regarded as  
194 the flame temperature approximately. According to the examination results in our  
195 previous studies [52, 54, 55], the error of two-color method was less than 100 K or 5%,  
196 which met the accuracy of this work and was reasonable to conduct some comparisons  
197 based on the same standard. Based on the empirical correlation [39, 40], the  $KL$  factor  
198 can be used to qualitatively compare soot concentration (or soot volume fraction), with  
199 a greater value in a specific area indicating a higher soot concentration. More detailed  
200 principles and derivation process of the two-color pyrometry method are given in the  
201 previous studies [8, 52]. The wavelengths of red (700 nm) and green (546 nm) were  
202 chosen as the measurement temperature band in this experiment. In addition, for the  
203 presence of flame instability after the addition of plasma, at least five pictures are  
204 compared to establish the typicality and reproductivity of the results, respectively.

### 205 *2.3 Soot characterizations*

206 A quartz plate with circulating water was settled horizontally 1 mm upper the

207 outlet of the channel to collect soot after successful ignition. The soot was then peeled  
208 off from the plate for further soot nanostructure and oxidation analyses. This sampling  
209 method was used for soot collection in many studies [5, 29, 53]. The collection duration  
210 was set at 10 min for the following characterization analyses in the present investigation  
211 because, in accordance with our earlier research [29, 30], it had little impact on the soot  
212 properties. Besides, a thermophoretic sampling device [5, 31], which was composed of  
213 an electric cylinder and a TEM grid fixed by a self-closing tweezer, was also used to  
214 capture soot at the outlet of the combustor (the same position of soot collection by  
215 quartz plate) for further TEM analysis.

216 In this study, the morphology evolution of soot samples was analyzed by a Philips  
217 Tecnai 12 transmission electron microscope. During this process, four or more different  
218 locations were selected to establish the typicality and accuracy of TEM results for each  
219 experimental condition. For quantitative information, several TEM images were  
220 processed using Nano Measurer software to evaluate the average sizes of soot particles  
221 with and without plasma activation.

222 Raman analysis can provide a measure of disorder relative to graphitic content and  
223 is based upon semi-empirical relationships for quantitative information. A Horiba  
224 Jobin-Yvon LabRAM HR800 confocal Raman microscope with a 10 mW Ar ion laser  
225 was used for the Raman spectroscopy analysis. The excitation wavelength is 514.5 nm.  
226 The laser power was decreased to ensure adequate repeatability of the spectra in order  
227 to avoid laser-induced heating effects on the change of the carbon structure. The Raman  
228 spectra were recorded in four different positions with an exposure time of 40 s in the



229 range of 800-3500  $\text{cm}^{-1}$ . The crystalline parameters of soot particles from various  
230 plasma-flame interactions were derived from XRD analysis. An X-ray powder  
231 diffractometer (Rigaku D/max 2500 PC) with Cu-K $\alpha$  X-ray source ( $\lambda = 0.154 \text{ nm}$ ) was  
232 employed. XRD patterns were obtained at 45 kV and 100 mA in the  $2\theta$  range from  $10^\circ$   
233 to  $110^\circ$  with a step size of  $2\theta=0.02^\circ$  and an angular speed of 1 s/step.

234 An STA 449 F3 Jupiter thermogravimetric analyzer from NETZSCH with  
235 recording software was used to evaluate the oxidation reactivity. Firstly, the soot sample  
236 with a load of  $5\pm 0.2 \text{ mg}$  was uniformly placed into the crucible before the TGA test.  
237 The sample was then heated to  $300^\circ\text{C}$  at a heating rate of  $10^\circ\text{C}/\text{min}$  and held constant  
238 in the Ar atmosphere for 1 h to remove the volatile organic component (VOF). Finally,  
239 the soot sample was further heated to  $500^\circ\text{C}$ , with 22% oxygen and 78% argon  
240 replacing the atmosphere at a total flow rate of 100 ml /min. The oxidation reactivity of  
241 each sample was evaluated by comparing the standardized mass loss curves of each  
242 soot during the isothermal process. In the thermogravimetric analysis experiment, three  
243 replications of the experiment under each condition were performed to establish the  
244 typicality and accuracy. According to our previous studies, the accuracy of this method  
245 was 95% with 4.7% uncertainty [30].

### 246 **3. Results and discussion**

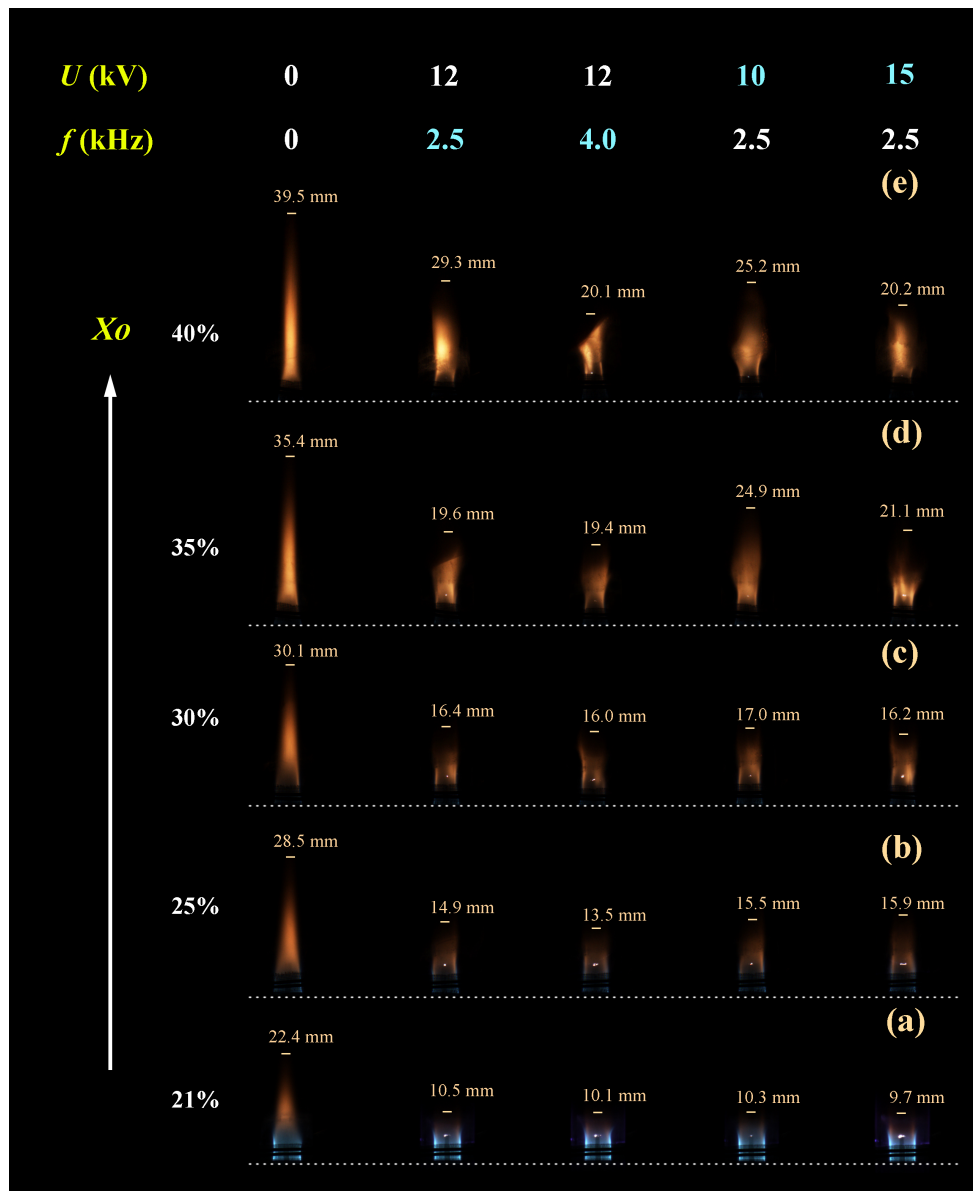
#### 247 *3.1 Flame typical features*

248 Fig. 2 depicts typical flame images under various experimental conditions. The  
249 photographs were arranged to increase oxygen content (21%-40%) from bottom to top,  
250 while the images from left to right displayed flames under various discharge settings.

251 The flame contained a weak yellow region at 21% O<sub>2</sub> concentration with small amounts  
252 of soot formation, but it got more yellow luminous at 30% and 40% O<sub>2</sub> atmospheres,  
253 suggesting a higher soot temperature and more soot generation. A possible reason for  
254 this discrepancy might be that the higher O<sub>2</sub> concentrations contributed to higher  
255 ethylene conversion rates and larger heat release, which accelerated the growth rate of  
256 the soot surface and resulted in more luminous areas detected from the soot radiation  
257 [31]. Furthermore, raising the O<sub>2</sub> concentration lessened the diluting impact by  
258 increasing the concentration of less inert N<sub>2</sub>.

259 In this study, the cylindrical electrode was placed above the outflow of the burner  
260 inner tube to generate plasma in the flame region. Regardless of the effect of oxygen,  
261 Fig. 2 shows that the flame length and luminous intensity from the radiation of soot  
262 particles decreased rapidly when plasma was imposed on flames. The previous studies  
263 have reported that applying the high voltage electric field resulted in a decrease in flame  
264 length [22, 32]. The flame altered from roughly conical to nearly cylindrical. The flame  
265 length and light intensity dropped further as the discharge frequency increased, as seen  
266 in Fig 2. At varying oxygen concentrations, the flame height and light intensity showed  
267 different trends as the applied voltage rose. There may be three main reasons  
268 responsible for this phenomenon. First of all, the flame length of a diffusion flame is  
269 generally governed by the balance between the axial convection and the radial diffusion  
270 [32, 33]. In radial convection caused by ionic winds, the equilibrium mechanism can be  
271 changed. Radial convection might be important in strong streamers to reduce flame  
272 length. This effect is substantiated by the nearly cylindrical flame in the plasma regime.

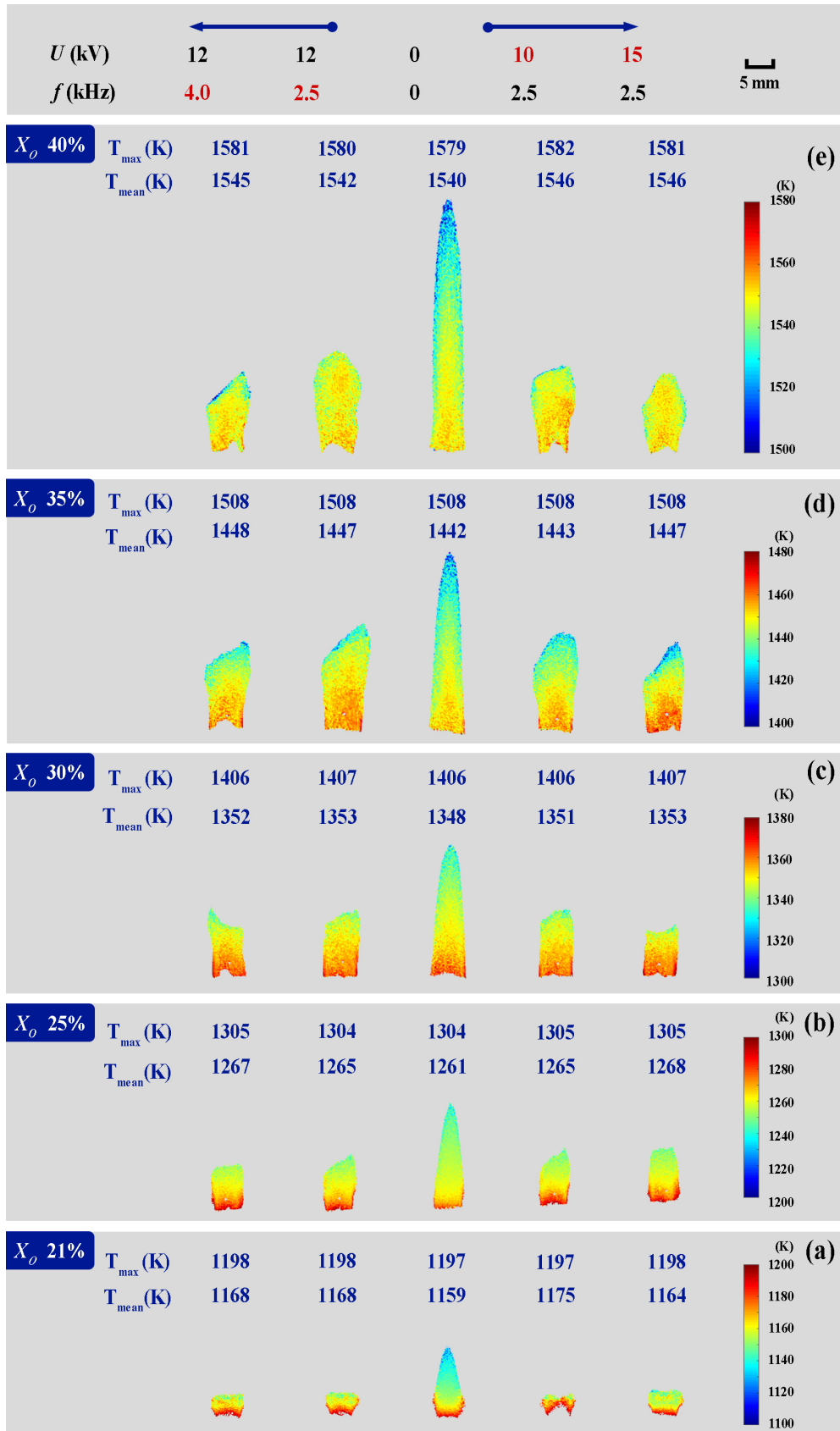
273 In addition, plasma is well recognized for producing a large number of reactive species,  
 274 including radicals such as O, O<sub>3</sub>, OH, and H [34]. The effect of these reactive species  
 275 produced by nonthermal plasma may also reduce flame length. The other factor is the  
 276 reduced soot formation, which decreases the flame luminosity and also leads to a shorter  
 277 path for soot particles before it was oxidized out of the flame reaction zone.



278  
 279 **Fig. 2** Representative images of flames at various conditions, (a)  $X_O = 21\%$ , (b)  $X_O = 25\%$ , (c)  $X_O =$   
 280  $30\%$ , (d)  $X_O = 35\%$ , (e)  $X_O = 40\%$ . (Color online)

281        *3.2 Temperature and KL factor distributions*

282        The measured distributions of soot temperatures with different oxygen  
283 concentrations at various plasma discharge conditions are presented in Fig. 3, with the  
284 mean temperature and the peak temperature marked above each image, respectively.  
285 The maximum temperature ( $T_{\max}$ ) remained constant approximately at the same oxygen  
286 concentration. In the case of pure flame, the mean temperature ( $T_{\text{mean}}$ ) of the flame grew  
287 dramatically as oxygen content increased. It could be explained that higher  
288 temperatures were discovered as the oxygen concentration increased, owing to the  
289 participation of more fuel and a greater amount of heat release amount. With plasma  
290 generation, there was a slight tendency for the mean temperature to rise at all oxygen  
291 concentrations. The mean temperature fluctuated within 5 K with the increase of the  
292 applied voltage and discharge frequency. The temperature difference with the variation  
293 of electrical parameters has been discovered to be insignificant. In addition, the  
294 distribution of soot temperatures had altered significantly compared with that without  
295 the presence of plasma. It could be observed from Fig. 3 that although the flame height  
296 decreased and the low-temperature zone decreased with plasma generation, the high-  
297 temperature zone expanded.



298

299 **Fig. 3** Temperature distributions for the variation of discharge conditions at different oxygen

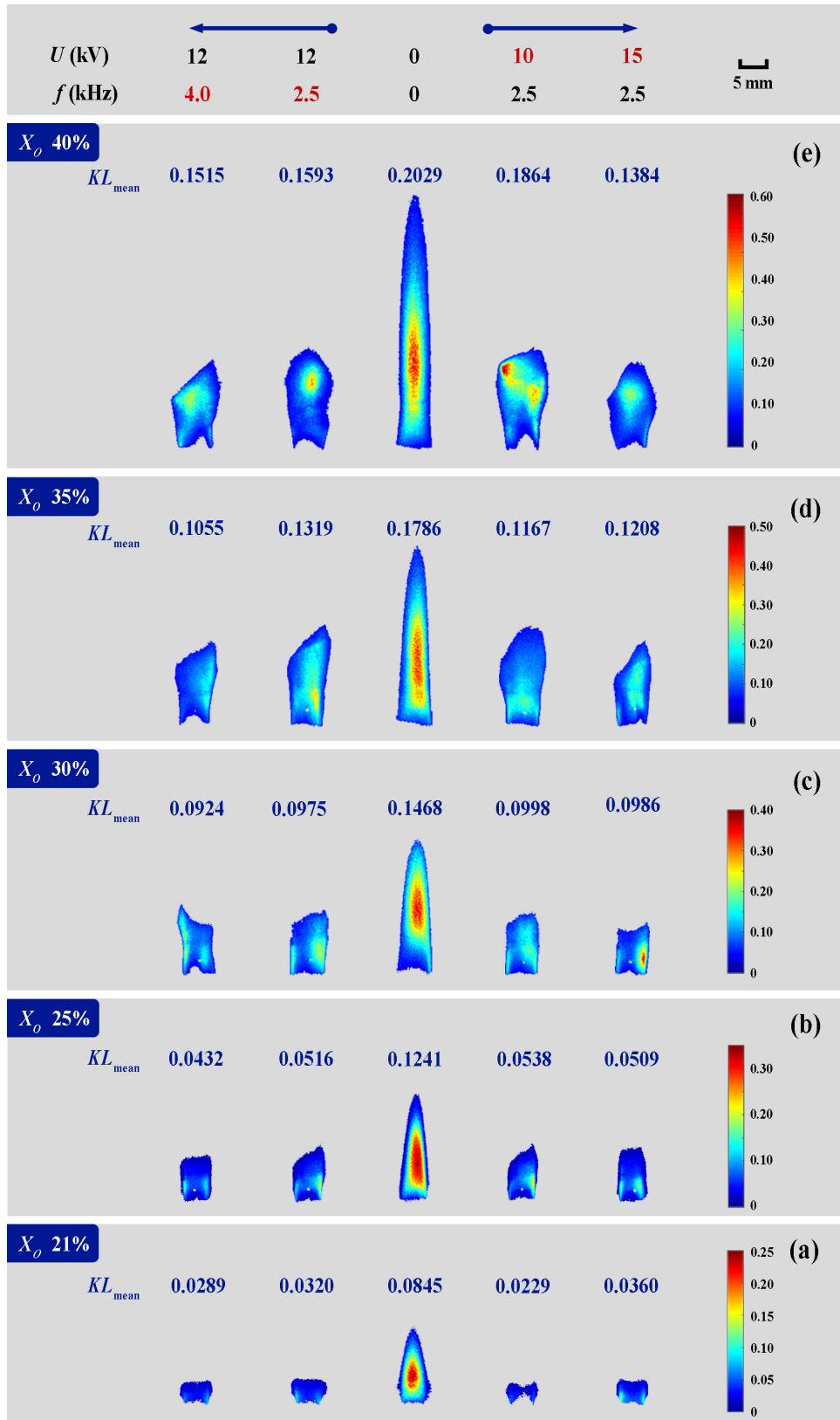
300 concentration combustion conditions, (a)  $X_o = 21\%$ , (b)  $X_o = 25\%$ , (c)  $X_o = 30\%$ , (d)  $X_o = 35\%$ , (e)

301  $X_O = 40\%$ . (Color online)

302 The distributions of  $KL$  factors at different oxygen concentrations at various  
303 plasma discharge conditions were presented in Fig. 4, and the mean  $KL$  factor ( $KL_{\text{mean}}$ )  
304 was marked above each image, respectively. The  $KL$  factor could be used to compare  
305 the soot concentration (or soot volume fraction) qualitatively, with a greater value  
306 indicating higher soot concentration in that region [38, 41]. Without the presence of  
307 plasma,  $KL_{\text{mean}}$  grew dramatically as oxygen concentration increased. In Fig. 4, the  
308 overall soot concentration decreased rapidly at first with plasma generation and then  
309 further revealed different tendencies as the higher discharge frequency or higher applied  
310 voltage was induced. As the discharge frequency increased, the soot concentration  
311 decreased regardless of oxygen concentration. This tendency was well consistent with  
312 the results from planar laser-induced incandescence (PLII) and fluorescence (PLIF)  
313 techniques by Park et al. [13] as well as the previous section's visual observation of  
314 flame luminosity in Fig. 2. When the voltage was 10 kV, it could be found that the  $KL$   
315 factors were significantly lower than when there without plasma, such as from 0.0845  
316 to 0.0229 ( $X_O = 21\%$ ), from 0.1241 to 0.0538 ( $X_O = 25\%$ ), from 0.1468 to 0.0998 ( $X_O$   
317 = 30%), from 0.1786 to 0.1167 ( $X_O = 35\%$ ), from 0.2029 to 0.1864 ( $X_O = 40\%$ ).  
318 However, as the applied voltage increased from 10 kV to 15 kV, the alteration in soot  
319 concentration did not reflect an obvious regularity. Soot suppression performance  
320 cannot be determined just by considering voltage variation alone. The soot  
321 concentration can be affected by several factors simultaneously with the nonthermal  
322 plasma. Firstly, the number of discharge channels generated per unit time increases as

323 the discharge frequency enhances. The collision probability of high-energy electrons  
324 and particles in the flame increased accordingly, which might promote the reduction of  
325 soot concentration. According to Matsuzawa et al. [16], plasma-induced reaction  
326 intensification could help convert ionic species into neutral non-soot-precursors and  
327 reduce soot precursor emissions. The electric field intensity increased as the applied  
328 voltage increased at a constant discharge frequency. In a single discharge cycle, more  
329 gas breakdowns occurred, which meant that the number and average energy of electrons  
330 significantly increased. The intensified reaction between electrons and gas resulted in  
331 the production of more active species [42], which promoted the oxidation of soot. Song  
332 et al reported the abatement capability of particulate matter (PM), hydrocarbons (HCs),  
333 and  $\text{NO}_x$  from an actual diesel exhaust as functions of voltage at a fixed frequency [43].  
334 Secondly, in the case of the same flow rate of fuel and oxidizer, the alteration of flame  
335 length affects the residence time of soot growth, which affects the soot concentration in  
336 the flame. The modified flow field through the ionic wind may provide an unfavorable  
337 environment for soot to form and grow, because the formation of PAHs and soot  
338 particles depends on temperature, residence time in a hot environment, and fuel mole  
339 fraction [13, 17]. Finally, soot temperatures may have an impact on the soot inception  
340 and surface growth rate as well. Therefore, when the plasma interacts with the flame  
341 directly, the synergy of the above three factors influenced the soot concentration.

342



343

344 **Fig. 4**  $KL$  factor distributions for the variation of discharge conditions at different oxygen

345 concentration combustion conditions, (a)  $X_o = 21\%$ , (b)  $X_o = 25\%$ , (c)  $X_o = 30\%$ , (d)  $X_o = 35\%$ , (e)

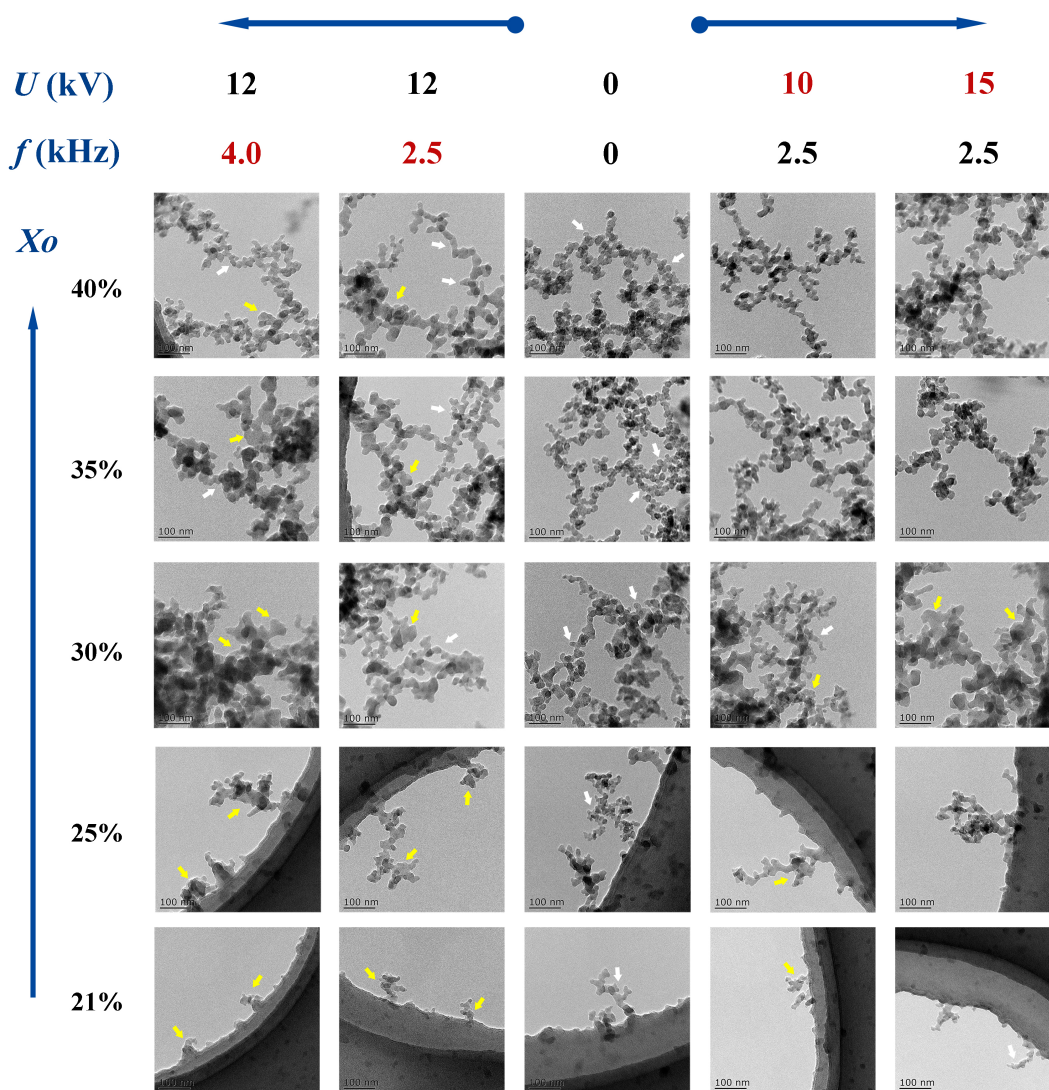


346  $X_O = 40\%$ . (Color online)

### 347 *3.3 Soot morphology evolutions*

348 Representative TEM morphological images of the soot samples with and without  
349 plasma discharge are illustrated in Fig. 5 with a resolution of 100 nm. The soot  
350 morphology without plasma appeared to be chain-like or tufted aggregates composed  
351 of hundreds of monomers or spherules with legible margins, as indicated by the white  
352 arrows. Many prior investigations [44, 45] had reported morphology of mature soot that  
353 looked similar to this structure. They were a resemblance to the particle collision and  
354 agglomeration process, which implied the high maturity of soot [9, 12]. The TEM  
355 results clearly showed the different characteristics of soot. However, in the case of  
356 plasma activation, the evolution of soot morphology started to happen. Some larger  
357 films or liquid-like materials with less defined boundaries and irregular-shaped  
358 protrusions appeared, as marked by the yellow arrows. At the higher oxygen  
359 concentrations ( $X_O \geq 35\%$ ), more liquid-like materials could be observed, and the chain  
360 structure was still visible as the discharge frequency increased. When the plasma with  
361 higher frequency was introduced to flames with a lower oxygen concentration ( $X_O <$   
362  $35\%$ ), the morphology of soot particles exhibited typical film-like materials with hardly  
363 visible chain-like clusters of small particles. These could be attributed to the chemical  
364 condensation of heavy PAHs, indicating an early stage of the soot production process  
365 [7, 46]. However, the evolution of soot morphology did not follow a monotonous  
366 regularity with the increase of applied voltage. This phenomenon was presumably  
367 caused by the cooperation of multiple factors, such as the residence time variation, the

368 different temperatures, and a direct effect of plasma via activation of species. These  
 369 observations well illustrated the morphology evolutions in the suppression process of  
 370 soot formation with various plasma discharges.



371

372 **Fig. 5** Morphology transition of soot (length scale of 100 nm) with various plasma discharge  
 373 conditions. (Color online)

374 The primary particle diameters were calculated using Nano Measurer particle size  
 375 statistics software, which captured images for statistics at each location. Since there the  
 376 number of soot particles collected at low concentrations ( $X_o < 30\%$ ) was limited, only  
 377 the primary particle sizes at higher oxygen concentrations ( $X_o \geq 30\%$ ) were given here

378 for comparison. Fig. 6 depicts the mean primary particle diameter ( $d_p$ ) in flames with  
379 various plasma discharge conditions. In general,  $d_p$  gradually decreased with oxygen  
380 concentration.  $d_p$  decreased rapidly due to the soot oxidation with the oxygen  
381 concentration ranging from  $X_{O_2} = 30\%$  to  $40\%$  [47]. Another intriguing aspect of the  
382 soot collected through plasma-flame interaction was that the soot particle size is larger  
383 than that from the flame without plasma discharge. Moreover, as the discharge  
384 frequency increased, a larger  $d_p$  could be obtained. In fact, on the one hand, it might be  
385 because the particles are bigger and more nascent containing film-like particles.  
386 Matsuzawa et al. argued that the plasma-induced reaction intensification may aid in the  
387 conversion of ionic species into neutral non-soot precursors [16]. On the other hand,  
388 the flame with plasma discharge is distinctly shorter than without plasma, which  
389 reduces the oxidation process in combustion. Thus, the degree of soot oxidation with  
390 plasma activation reduces, which results in larger particle size. The effect of increasing  
391 applied voltage on soot particle size has no discernible trend due to the synergistic  
392 influence of many factors. Three major factors might be responsible for the difference  
393 in the  $d_p$ , including (1) the flame behavior, which might affect the residence time of soot  
394 particles in flames; (2) soot temperature, which might affect the soot inception and  
395 surface growth rates (3) a direct effect of electric field via activation of species.

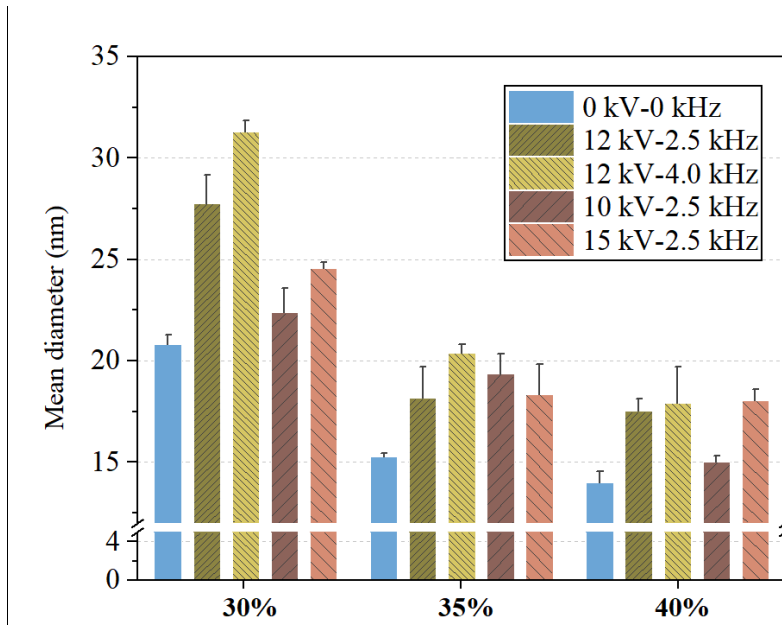


Fig. 6 Mean diameter of soot particles. (Color online)

396

397

### 398 3.4 Raman spectra analysis

399 Some mass Raman spectrum, XRD patterns and loss curves of the low oxygen

400 concentration ( $X_o = 21\%$ ,  $25\%$ ,  $30\%$ ) were missing because the soot production under

401 these conditions was quite small and it was difficult to collect enough quality for further

402 analysis. Therefore, we chose two oxygen concentrations ( $X_o = 35\%$  and  $X_o = 40\%$ )

403 for further analysis of the soot characteristics, including Raman spectra, XRD, and TGA

404 analysis. Fig. 7 shows the Raman spectra of soot samples along with curve fittings for

405 identifying the first-order spectral parameter. The Raman spectra were analyzed with a

406 five-band curve fitting method as in previous studies done [5, 29, 48]. Four Lorentzian

407 functions (for the graphite band G at  $\sim 1580\text{ cm}^{-1}$ , and the defect bands D1, D2, and D4

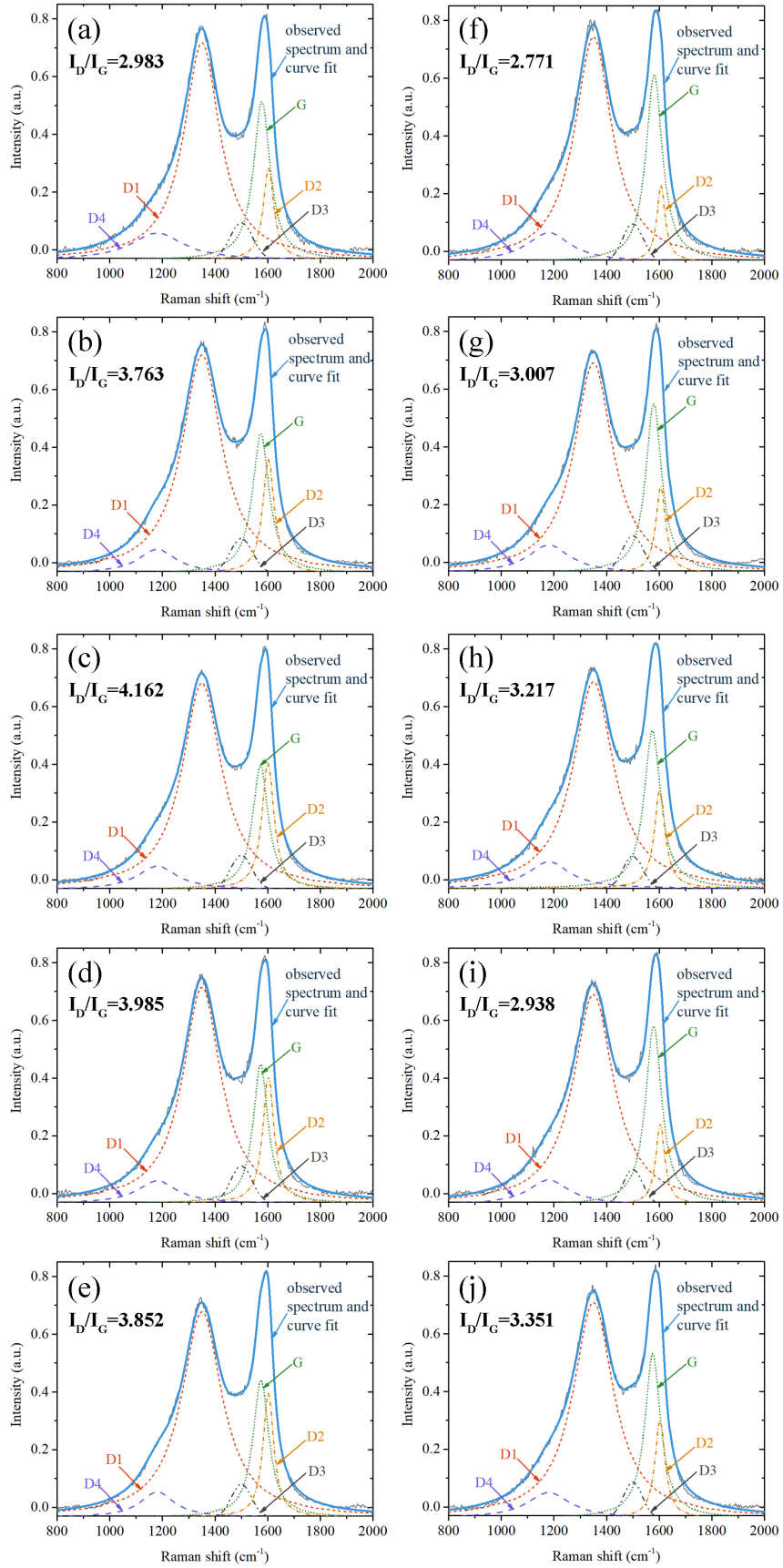
408 at  $\sim 1350\text{ cm}^{-1}$ ,  $1620\text{ cm}^{-1}$  and  $1180\text{ cm}^{-1}$ , respectively) and one Gaussian function (for

409 the defect band D3 at  $\sim 1500\text{ cm}^{-1}$ ) were used. The G-peak and the D2-peak designated

410 for the soot samples could move within a narrow range around  $1580\text{ cm}^{-1}$  and  $1620\text{ cm}^{-1}$

411  $^{-1}$  to have a good fit for the curve. All signals were normalized to the peak intensity. Data

412 on the first-order band locations (Raman Shift), full width at half maximum (FWHM),  
413 and integrated intensity ratios of D1 and G bands ( $I_D/I_G$ ) of soot samples are presented  
414 in Tables S1 and S2. The mean values of the G band FWHM measured for soot without  
415 plasma activation were  $73\text{ cm}^{-1}$  for  $X_O = 35\%$  and  $71\text{ cm}^{-1}$  for  $X_O = 40\%$ . Higher values  
416 were observed for the soot at the plasma-flame interaction:  $77\text{ cm}^{-1}$  and  $74\text{ cm}^{-1}$ . The  
417 D1 bands FWHM for the soot without plasma were slightly lower ( $172\text{ cm}^{-1}$ ) than for  
418 all the investigated soot with plasma ( $185\text{-}188\text{ cm}^{-1}$ ) for  $X_O = 35\%$ , indicating that the  
419 wider crystallite size distributions in soot samples with plasma activation [29]. The  
420 mean values of the FWHM for the D3 band with plasma varied in the range of  $97\text{-}104$   
421  $\text{cm}^{-1}$  for  $X_O = 35\%$ , which was larger than that of the soot ( $90\text{ cm}^{-1}$ ) from the flame  
422 without plasma discharge. The higher values of the FWHM for the D3 band with plasma  
423 activation indicated more amorphous carbon content. For the distinction of the different  
424 soot samples, the D4 band FWHM showed no tendency for changes with the  
425 conditioning.



426

427 **Fig. 7** Curve fit with band combination for the first-order Raman spectra of soot particles collected

428 from the flame conditions with various plasma discharges: (a) 35%-0-0, (b) 35%-12-2.5, (c) 35%-  
429 12-4.0, (d) 35%-10-2.5, (e) 35%-15-2.5, (f) 40%-0-0, (g) 40%-12-2.5, (h) 40%-12-4.0, (i) 40%-10-  
430 2.5, (j) 40%-15-2.5. (Color online)

431 The  $I_D/I_G$  ratio is commonly used to assess the degree of disorder in soot by  
432 comparing the integrated intensity of the D1 band with the fitted G band, as shown in  
433 Fig. 8. For  $X_{O_2}=35\%$ , the  $I_D/I_G$  ratios of soot from different flames exhibited a ranking  
434 of  $35\%-0-0 (2.983 \pm 0.281) < 35\%-12-2.5 (3.763 \pm 0.280) < 35\%-15-2.5 (3.852 \pm 0.309)$   
435  $< 35\%-10-2.5 (3.985 \pm 0.248) < 35\%-12-4.0 (4.162 \pm 0.228)$ . The result illustrated the  
436 soot with plasma activation was more disordered. The soot samples with plasma at the  
437 higher discharge frequency contained more disordered carbon. The same pattern  
438 regarding the plasma's impact on soot for  $X_{O_2} = 35\%$  was also seen in  $X_{O_2} = 40\%$  cases.  
439 However, the  $I_D/I_G$  showed no tendency for changes with the applied voltages, which  
440 might be associated with the synergistic influence of many factors such as the flame  
441 length, soot temperature, and a direct effect of plasma via activation of species. The  
442 results in this chapter indicated that the  $I_D/I_G$  ratios of soot with plasma discharge were  
443 higher than those of soot without plasma, implying that the soot with plasma is more  
444 disordered and has a lower degree of graphitization. Since disordered carbon is more  
445 vulnerable to oxygen attack [49, 50], soot from flames with plasma may be more  
446 reactive.

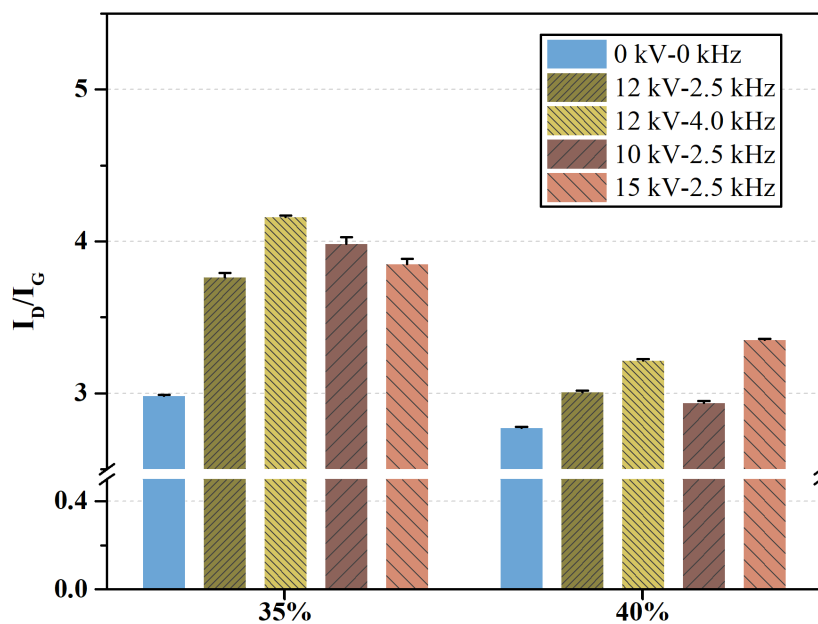


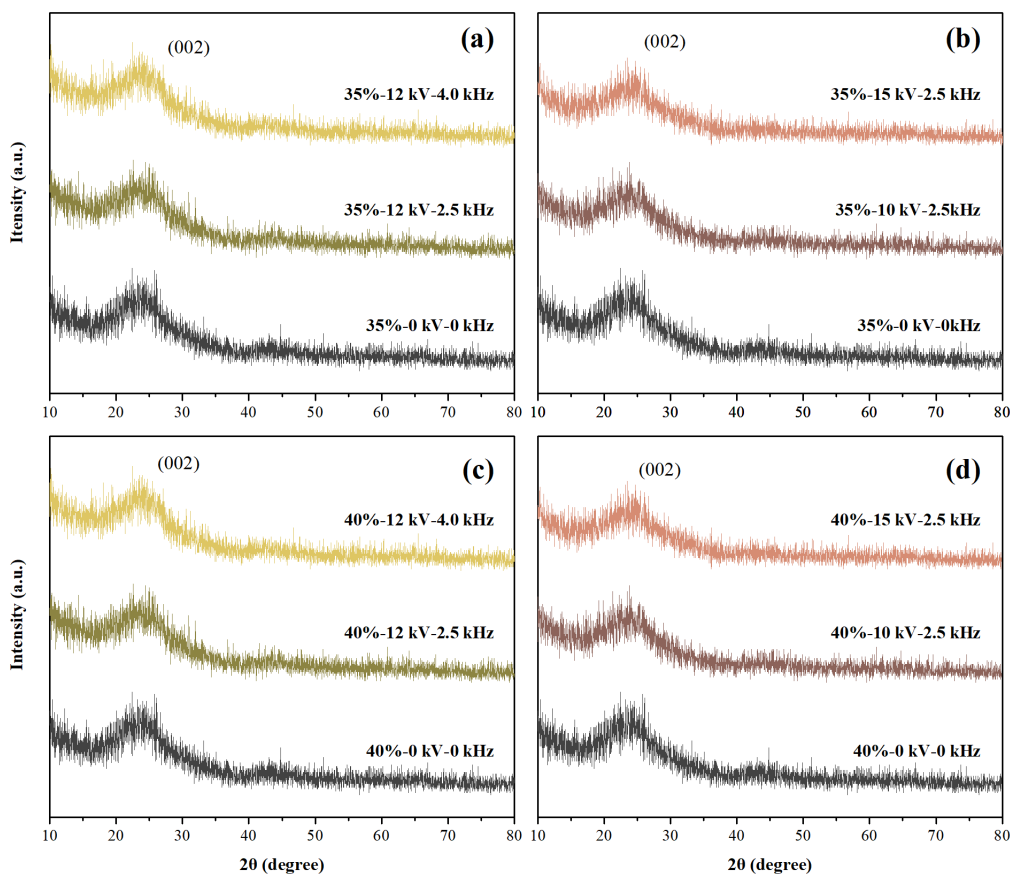
Fig. 8  $I_D/I_G$  ratios for soot samples derived from different flames. (Color online)

### 3.5 XRD analyses for soot

Fig. 9 illustrates the baseline corrected diffraction patterns of soot from the post-flame region with plasma. A distinct primary peak at  $\sim 24^\circ$  is observed in all patterns, which was 002 reflection. The 002 reflections indicate the presence of crystalline graphite carbon, and their asymmetrical characteristics show that PAHs contain aliphatic chains [51]. As shown in Fig. 10, the peak diffraction angles of soot particles under different conditions were extracted from the XRD spectra in Fig. 9. The soot diffraction peak shifted to the right for conditions of increasing oxygen concentration (35%-0-0, 40%-0-0) suggesting that the increase in oxygen concentration caused the higher soot graphitization degree. This result further verified the Raman observation of the higher soot graphitization degree as the oxygen concentration increased. Moreover, with plasma generation, the soot diffraction peak shifted left visibly. The result suggested that soot with plasma activation was less graphitic and more reactive. For the

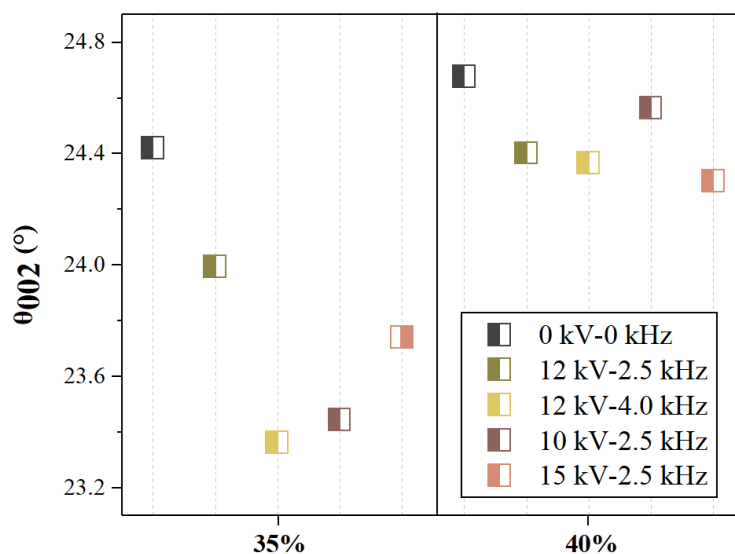


462 conditions of an increasing discharge frequency (35%-12-2.5, 35%-12-4.0, 40%-12-2.5,  
 463 and 40%-12-4.0), the soot diffraction peak shifted left all the time, which represented  
 464 the decline of the soot graphitization degree. However, as the applied voltage increased  
 465 (35%-10-2.5 and 35%-15-4.0), the soot diffraction peak first shifted left and then right  
 466 slightly for  $X_O = 35\%$ , indicating the soot graphitization degree decreased first and then  
 467 increased. When the applied voltage was increased with a fixed discharge frequency  
 468 (40%-10-2.5 and 40%-15-4.0), the soot diffraction peak still shifts left all time. The  
 469 results above from the XRD measurement show a good agreement with the result  
 470 obtained from the Raman analysis.



471  
 472 **Fig. 9** XRD patterns of soot particles under different flame conditions: (a-b)  $X_O=35\%$ , (c-d)  $X_O=40\%$ .

473 (Color online)



474

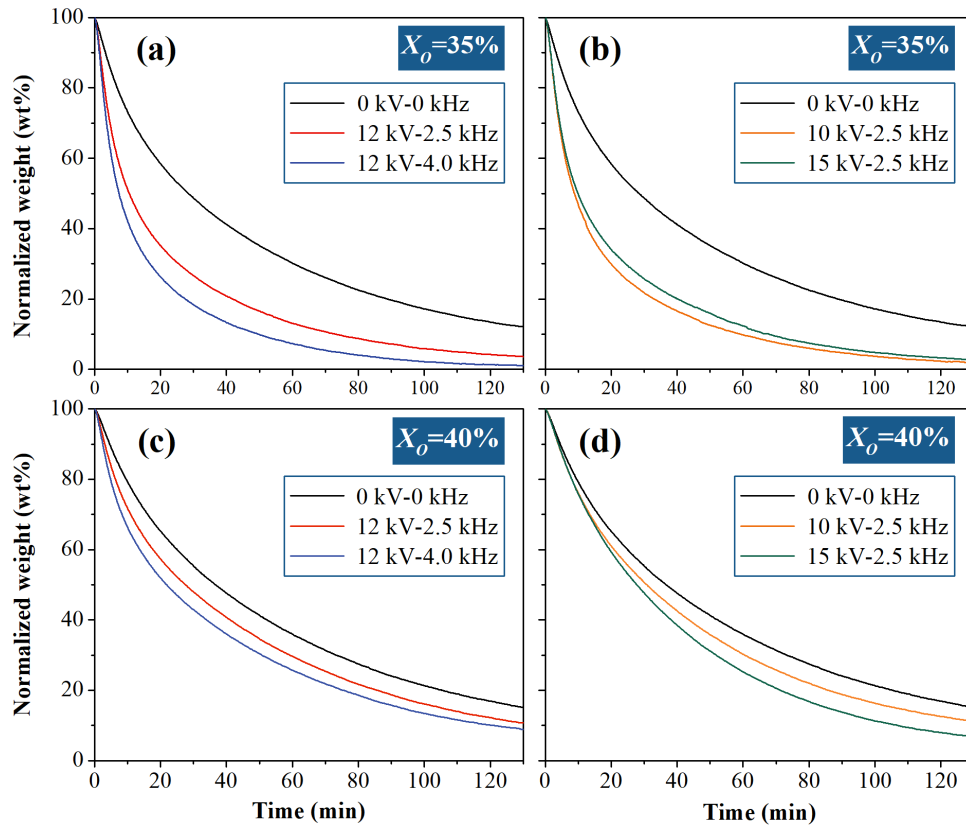
475 **Fig. 10** The peak diffraction angles of soot particles under different conditions. (Color online)

476 *3.6 Isothermal oxidation of soot*

477 Fig. 11 illustrates the results of soot oxidation reactivity, which reflected the  
 478 chemical properties of soot under various conditions to some extent. The normalized  
 479 weight curves show an oxidation reactivity order of soot from flames. The overall mass  
 480 loss curves displayed a similar trend but distinct oxidation rates. The larger slope of the  
 481 mass loss curve meant a higher oxidation rate of soot and represented stronger reactivity.

482 As illustrated in Fig. 11, soot with a higher oxidation rate was detected in the  
 483 higher oxygen concentration without plasma generation. Furthermore, regardless of the  
 484 oxygen concentration, soot produced from the plasma-flame interaction had a stronger  
 485 reactivity than it from the flames without plasma. This variation in soot oxidation  
 486 behavior depended on their nanostructure differences. As shown in section 3.3, soot  
 487 from the plasma-flame interaction presented a film-like material with irregular shaped  
 488 protrusions. This kind of structure evolved from the chemical condensation of heavy  
 489 PAHs, indicating that the soot was still young. It had been demonstrated in Section 3.4

490 that more disordered carbon was observed in the nanostructure of soot produced from  
491 the plasma-flame interaction. Since disordered carbon is more vulnerable to oxygen  
492 attack [49, 50], soot from flames with plasma may be more reactive. TGA results further  
493 confirmed that plasma generation could indeed enhance soot oxidation reactivity since  
494 the soot has a low carbonization degree with more disordered carbon. In Fig. 11,  
495 regardless of oxygen concentration, soot from the plasma-flame interaction with the  
496 higher discharge frequency (35%-12-4.0 and 40%-12-4.0) always exhibited a stronger  
497 reactivity than that produced with the lower discharge frequency (35%-12-2.5 and 40%-  
498 12-2.5) for the same applied voltage, which also had reasonable consistency with the  
499 analyses of TEM and Raman data that the plasma-flame interaction contributed to the  
500 formation of soot with lower carbonization degree. However, the enhancement of the  
501 applied voltage (from 10 kV to 15 kV) induced the decreased reactivity of the soot  
502 samples at  $X_{O} = 35\%$ . The soot reactivity increased as the applied voltage was increased  
503 with fixed discharge frequency (40%-10-2.5 and 40%-15-4.0) for  $X_{O} = 40\%$ . The  
504 plasma activation, a shorter residence time, and temperature variation contributed to the  
505 formation of soot with different levels of carbonization and reactivity. In conclusion,  
506 the different characteristics of soot were further confirmed by TGA results.



507

508 **Fig. 11** TGA curves of soot particles for the various discharge conditions in both  $O_2$  concentration:

509 (a-b)  $X_{O_2}=35\%$ , (c-d)  $X_{O_2}=40\%$ . (Color online)

#### 510 **4. Conclusion**

511 The present work was designed to understand the effects of plasma-flame  
 512 interaction on the combustion characteristics and the evolutions of soot morphology,  
 513 graphitization degree, and reactivity in diffusion flames by using optical diagnostic and  
 514 sampling methods. The flame shapes and luminosity varied depending on the electrical  
 515 parameters and oxygen concentration. The flame length was decreased as the plasma  
 516 was coupled to the flame, which might be attributed to the increase of radial convection  
 517 induced by ionic wind. The overall soot concentration decreased rapidly at first with  
 518 plasma generation and then further revealed different tendencies as the higher discharge  
 519 frequency or higher applied voltage was induced. The variation of the soot

520 concentration across the flame depended on a variety of factors, including flame  
521 behavior, soot temperature, and the effect of energetic and chemically active species.  
522 The alteration of flame length affects the residence time of soot growth, which affects  
523 the soot concentration in the flame. Considering that the variation of soot temperature  
524 might affect the soot inception and surface growth rates, the slight increase in  
525 temperature could advance the generation of soot with the plasma-flame interaction.  
526 The intensified reaction between electrons and gas resulted in the production of more  
527 active species, which promoted the oxidation of soot. The generated soot showed  
528 typical chain-like aggregates without plasma activation, but more film-like materials  
529 were presented as plasma was turned on. The soot graphitization degree decreased  
530 notably with plasma generation because the shorter residence time and the plasma  
531 activation were simultaneously obtained, which both illustrated an inhibition effect of  
532 plasma on soot emissions. The soot reactivity with plasma activation was higher than  
533 that of the soot without plasma because soot produced by the plasma-flame interaction  
534 had more disordered carbon which was more accessible to oxygen. The plasma  
535 activation, flame length alteration, and temperature variation all contributed to the soot  
536 with different carbonization degrees and reactivity.

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