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AEROsol generic classification using a novel Satellite remote sensing Approach (AEROSA)

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Numerous studies (hereafter GA: general approach studies) have been made to classify aerosols into desert dust (DD), biomass-burning (BB), clean continental (CC), and clean maritime (CM) types using only aerosol optical depth (AOD) and Ångström exponent (AE). However, AOD represents the amount of aerosol suspended in the atmospheric column while the AE is a qualitative indicator of the size distribution of the aerosol estimated using AOD measurements at different wavelengths. Therefore, these two parameters do not provide sufficient information to unambiguously classify aerosols into these four types. Evaluation of the performance of GA classification applied to AErosol Robotic NETwork (AERONET) data, at sites for situations with known aerosol types, provides many examples where the GA method does not provide correct results. For example, a thin layer of haze was classified as BB and DD outside the crop burning and dusty seasons respectively, a thick layer of haze was classified as BB, and aerosols from known crop residue burning events were classified as DD, CC, and CM by the GA method. The results also show that the classification varies with the season, for example, the same range of AOD and AE were observed during a dust event in the spring (20th March 2012) and a smog event in the autumn (2nd November 2017). The results suggest that only AOD and AE cannot precisely classify the exact nature (i.e., DD, BB, CC, and CM) of aerosol types without incorporating more optical and physical properties. An alternative approach, AEROsol generic classification using a novel Satellite remote sensing Approach (AEROSA), is proposed to provide aerosol amount and size information using AOD and AE, respectively, from the Terra-MODIS (MODerate resolution Imaging Spectroradiometer) Collection 6.1 Level 2 combined Dark Target and Deep Blue (DTB) product and AERONET Version 3 Level 2.0 data. Although AEROSA is also based on AOD and AE, it does not claim the nature of aerosol types, instead providing information on aerosol amount and size. The purpose is to introduce AEROSA for those researchers who are interested in the generic classification of aerosols based on AOD and AE, without claiming the exact aerosol types such as DD, BB, CC, and CM. AEROSA not only provides 9 generic aerosol classes for all observations but can also accommodate variations in location and season, which GA aerosol types do not.

KEYWORDS

MODIS, AERONET, AOD, Ångström exponent, aerosol types, classification, biomass burning, dust particles

1 Introduction

Atmospheric aerosols, originating from both natural and anthropogenic sources, play a crucial role in influencing climate, atmospheric visibility, cloud microphysical properties, and the hydrological cycle by interacting with incoming solar and outgoing terrestrial radiation. The characterization of aerosol types helps to improve modeling aerosol radiative forcing and thus to understand their effects on climate and the Earth's radiation budget. Previous studies have characterized aerosol types using ground-based remote sensing instruments such as Sunphotometers (Eck et al., 1999; Dubovik et al., 2002; Omar et al., 2005; Lee et al., 2010; Russell et al., 2010), and satellitebased remote sensing observations (Nakajima and Higurashi, 1998; Higurashi and Nakajima, 1999; Higurashi and Nakajima, 2002; Barnaba and Gobbi, 2004; Kim et al., 2007; Russell et al., 2014). In general, wavelength-dependent physical and optical properties are required to characterize aerosols into distinct types such as biomass-burning aerosol (source: forest fires and cropresidue burning), urban-industrial aerosol (source: fossil fuel combustion), maritime aerosol (source: ocean), dust aerosol (source: deserts), and clean continental aerosol (source: anthropogenic activities) (Dubovik et al., 2002).

The Ångström Exponent (AE) is a useful parameter for aerosol type characterization (Eck et al., 1999) as it provides an indication of the shape of the particle size distribution and can be estimated from ground-based measurements (Schuster et al., 2006) as well as satellite remote sensing retrievals (Nakajima and Higurashi, 1998). Previous studies show that AE values approaching 0 indicate the dominance of coarse-mode aerosols (with diameters larger than 1 µm) and AE values greater than 1.0 indicate the dominance of accumulation mode or fine-mode aerosol (smaller than 1 µm) (Kaufman et al., 1994; Eck et al., 1999). AE values for specific events have been reported using ground-based remote sensing instruments for biomass-burning aerosol (Eck et al., 1999; Reid et al., 1999; Eck et al., 2001a; Eck et al., 2001b; Eck et al., 2003; Keil and Haywood, 2003), urban aerosol (Eck et al., 1999), maritime aerosol (Smirnov et al., 2002), and dust aerosol (Eck et al., 1999; Kubilay et al., 2003; Masmoudi et al., 2003; Eck et al., 2005). Nakajima and Higurashi (1998) have estimated AE values from satellite-based observations to differentiate between coarsemode and fine-mode aerosol on a global scale.

Aerosol types are associated with their sources. For example, biomass-burning results in the emission of smoke aerosol (Reid and Hobbs, 1998; Remer et al., 1998), volcanic eruption emits ash into the atmosphere (d'Almeida, 1987), breaking waves produce sea-salt aerosol (Hoppel et al., 1990; Smirnov et al., 2002; de Leeuw et al., 2011), biogenic aerosol particles and their precursor gases are emitted by plants (Artaxo et al., 1998; Kavouras et al., 1998), and fossil fuel combustion produces urban-industrial aerosol (Remer et al., 1998). Seaspray aerosol and desert dust aerosol are governed by directly emitted coarse particles. The other sources predominantly produce fine mode particles, either directly, such as BC (black carbon), or through precursor gases such as AVOC and BVOC (anthropogenic and biogenic volatile organic compounds), NH₃ (ammonium), SO₂ (sulphurdioxide) and NO₂ (nitrogen oxide). Furthermore, the aerosol particles are emitted in a pool of aerosol particles and thus a "pure" aerosol type is hard to find in the atmosphere, except maybe for dust during a dust storm, or seaspray aerosol during a storm at sea and at remote locations. Usually, the aerosol is a mixture and therefore the type is hard to identify. Also, the aerosol size distribution and composition change due to chemical and physical transformation processes in the atmosphere such as aerosol aging (Reid and Hobbs, 1998), changes in response to variations in relative humidity, and the interactions between aerosol particles and clouds (Remer et al., 1998).

For the classification of aerosol types, approaches based on the use of aerosol optical depth (AOD) and AE have been developed (Toledano et al., 2007; Kalapureddy et al., 2009; Kaskaoutis et al., 2009; Pathak et al., 2012; Yu et al., 2016; Kumar et al., 2018). In general, there are two types of approaches for characterization of aerosol types using AOD and AE: 1) Specific Events Approach (SEA) studies which report the AOD and AE values along with other optical and physical properties from AErosol Robotic NETwork (AERONET)/Sunphotometer measurements for specific events such as biomass-burning, urban-industrial emissions, and dust storms (Table 1) (Kaufman and Sendra, 1988; Remer et al., 1998;

Aerosol Type Date/Yea		Site	AOD	AE	References	
Biomass burning	28 July 1998	Concepcion, Bolivia	AOD ₅₀₀ ~ 0.23	AE ₄₄₀₋₆₇₅ ~ 1.78	Eck et al. (1999)	
	2 Aug 1998	Concepcion, Bolivia	$\mathrm{AOD}_{500} \sim 0.57$	$AE_{440-675} \sim 1.70$		
	24 Aug 1998	Concepcion, Bolivia	$AOD_{500} \sim 2.09$	$AE_{440-675} \sim 1.61$		
	Sept 01, 1997	Mongu, Zambia	$AOD_{500} \sim 1.29$	$AE_{440-675} \sim 1.58$		
	1993-1994	Amazonian Forest, Brazil	$0.1 \leq \mathrm{AOD}_{440} \leq 3.0$	$1.2 \leq {}^{*}AE \leq 2.1$	Dubovik et al. (2002)	
	1998-1999	Bolivia	$0.1 \leq \mathrm{AOD}_{440} \leq 3.0$	$1.2 \le AE \le 2.1$		
	1993-1995	South American Cerrado, Brazil	$0.1 \leq \mathrm{AOD}_{440} \leq 2.1$	$1.2 \le AE \le 2.1$		
	1995-2000	African Savanna, Zambia	$0.1 \leq \mathrm{AOD}_{440} \leq 1.5$	$1.4 \le AE \le 2.2$		
	1994–1998	Boreal Forest, US, and Canada	$0.1 \leq \mathrm{AOD}_{440} \leq 2.0$	$1.0 \le AE \le 2.3$		
Urban-industrial	25 June 1997	GSFC, Greenbelt, MD	AOD ₅₀₀ ~ 0.38	AE ₄₄₀₋₆₇₅ ~ 1.82	Eck et al. (1999)	
	15 July 1997	GSFC, Greenbelt, MD	$AOD_{500} \sim 1.02$	AE ₄₄₀₋₆₇₅ ~ 1.55		
	15 May 1998	GSFC, Greenbelt, MD	$\mathrm{AOD}_{500} \sim 0.17$	$AE_{440-675} \sim 1.79$		
Urban-industrial and mixed	25 June 1997	GSFC, Greenbelt, MD	$0.1 \le AOD_{440} \le 1.0$	$1.2 \le AE \le 2.5$	Dubovik et al. (2002)	
	1999	Crete-Paris, France	$0.1 \leq \mathrm{AOD}_{440} \leq 0.9$	$1.2 \le AE \le 2.3$		
	1999-2000	Mexico City	$0.1 \leq \mathrm{AOD}_{440} \leq 1.8$	$1.0 \le AE \le 2.3$		
	1999–2000	Maldives (INDOEX)	$0.1 \leq \mathrm{AOD}_{440} \leq 0.7$	$0.4 \le AE \le 2.0$		
Desert dust	18 April 1998	Dalanzadgad, Mongolia	AOD ₅₀₀ ~ 0.44	AE ₄₄₀₋₆₇₅ ~ 0.21	Eck et al. (1999)	
	Aug. 5, 1998	Bahrain	$\mathrm{AOD}_{500} \sim 0.41$	$AE_{440\text{-}675} \sim \ 1.06$		
Desert dust and oceanic	1998-2000	Bahrain, Persian Gulf	$0.1 \le AOD_{1020} \le 1.2$	$0 \le AE \le 1.6$	Dubovik et al. (2002)	
	1998-2000	Solar Village, Saudi Arabia	$0.1 \leq \mathrm{AOD}_{1020} \leq 1.5$	$0.1 \le AE \le 0.9$		
	1993-2000	Cape Verde	$0.1 \le AOD_{1020} \le 2.0$	$-0.1\leq\mathrm{AE}\leq0.7$		
	1995-2000	Lanai, HI	$0.01\le{\rm AOD}_{1020}\le0.2$	$0.0 \leq \mathrm{AE} \leq 1.5$		

TABLE 1 AOD and AE for aerosol types retrieved from AERONET by SEA studies.

^aAE, for all wavelengths.

Eck et al., 1999; Reid et al., 1999; Eck et al., 2001a; Eck et al., 2001b; Dubovik et al., 2002; Eck et al., 2003; Kubilay et al., 2003; Eck et al., 2005; Giles et al., 2012); 2) General Approach (GA) studies use a wide range of AOD and AE values (Table 2), reported by SEA studies, to characterize aerosol into 4 distinct types such as 1) Desert Dust aerosol (DD: coarse particles with high AOD), 2) Biomass Burning/Urban-Industrial aerosol (BB/ UI: fine particles with high AOD), 3) Clean Continental aerosol (CC: fine particles with low AOD), and 4) Clean Maritime aerosol (CM: mixed particles with low AOD) (Kaskaoutis et al., 2007; Kalapureddy et al., 2009; Kaskaoutis et al., 2009; Pathak et al., 2012; Li et al., 2013; Sreekanth, 2014; Rama et al., 2015; Bibi et al., 2016; Tiwari et al., 2016; Xia et al., 2016; Alam et al., 2018; Gharibzadeh et al., 2018; de Oliveira et al., 2019; Yousefi et al., 2020). The AOD and AE ranges used in GA methods for each of these 4 types are those defined for local/ regional scales covered by the SEA studies and hence have limited applicability, i.e., for the location and during the seasons where and when the SEA measurements were made. Such point measurements are representative of a relatively small area around the location. As AOD refers to the amount of aerosol and the AE provides an indication of the size of the governing aerosol particles, this information is not sufficient to unambiguously characterize aerosol types such as DD, BB, CC, or CM unless a specific aerosol event occurs with a governing aerosol type for which most of the properties are evident. Therefore, the hypothesis (objective) of this study is that "the use of AOD and AE is insufficient for characterizing aerosols into 4 distinct types unless additional information is available." To test this hypothesis, AOD and AE were obtained from Sunphotometer measurements at two AERONET sites in Pakistan and from the Terra-MODIS (MODerate resolution Imaging Spectroradiometer) Collection 6.1 (C6.1) Level 2 combined Dark Target and Deep Blue (DTB) aerosol product to characterize the aerosol into the four aerosol types (DD, BB, CC, and CM) using GA methods (Table 2). The MODIS and AERONET results were compared and evaluated. In addition, an alternative generic classification method,

Aerosol type	AOD	AE	Site	References
Clean maritime (CM) aerosols	AOD ₅₅₀ < 0.1	$AE_{470-660} < 1.0$	Durban	Kumar et al. (2015)
	$AOD_{550} < 0.2$	$AE_{470-660} < 0.9$	Nanjing	Kumar et al. (2018)
	$AOD_{500} < 0.2$	$AE_{380-1025} < 0.9$	Dibrugarh	Pathak et al. (2012)
	$AOD_{440} < 0.15$	$AE_{440-870} < 0.7$	Beijing	Yu et al. (2016)
	$AOD_{500} < 0.3$	$AE_{380-870} < 0.9$	Hyderabad	Kaskaoutis et al. (2009)
	$AOD_{500} < 0.15$	$AE_{380-1020} < 1.3$	Arabian sea	Kalapureddy et al. (2009)
	$AOD_{500} < 0.3$	$AE_{440-870} < 0.9$	Desalpar	Patel et al. (2017b)
Clean continental (CC) aerosols	$AOD_{550} < 0.1$	$AE_{470-660} > 1.0$	Durban	Kumar et al. (2015)
	$AOD_{550} < 0.2$	$AE_{470-660} > 0.9$	Nanjing	Kumar et al. (2018)
	$AOD_{500} < 0.2$	$AE_{380-1025} < 1.4$	Dibrugarh	Pathak et al. (2012)
	$AOD_{440} < 0.2$	$AE_{440-870} > 1.0$	Beijing	Yu et al. (2016)
	$AOD_{500} < 0.3$	$AE_{440-870} > 1.0$	Desalpar	Patel et al. (2017b)
Biomass burning/urban-industrial (BB/UI) aerosols	$0.01 < AOD_{500} < 1.7$	$0.7 < AE_{440-870} < 1.7$	Pakistan	Bibi et al. (2016)
	$AOD_{550} > 0.2$	$AE_{470-660} > 1.0$	Durban	Kumar et al. (2015)
	$AOD_{550} > 0.3$	$AE_{470-660} > 1.0$	Nanjing	Kumar et al. (2018)
	$AOD_{500} > 0.35$	AE ₃₈₀₋₁₀₂₅ >1.0	Dibrugarh	Pathak et al. (2012)
	$AOD_{440} > 0.8$	$AE_{440-870} > 1.0$	Beijing	Yu et al. (2016)
	$AOD_{500} > 0.5$	$AE_{380-870} > 1.0$	Hyderabad	Kaskaoutis et al. (2009)
	$AOD_{500} > 0.2$	AE ₃₈₀₋₁₀₂₀ >1.0	Arabian sea	Kalapureddy et al. (2009)
	$AOD_{500} > 0.6$	$AE_{440-870} > 1.0$	Desalpar	Patel et al. (2017b)
Desert dust (DD) aerosols	$0.5 < AOD_{500} < 2.9$	0.01	Pakistan	Bibi et al. (2016)
	$AOD_{550} > 0.3$	$AE_{470-660} < 0.7$	Durban	Kumar et al. (2015)
	$AOD_{550} > 0.5$	$AE_{470-660} < 0.7$	Nanjing	Kumar et al. (2018)
	$AOD_{500} > 0.45$	$AE_{380-1025} < 0.7$	Dibrugarh	Pathak et al. (2012)
	$AOD_{440} > 1.0$	$AE_{440-870} < 0.7$	Beijing	Yu et al. (2016)
	$AOD_{500} > 0.6$	$AE_{380-870} < 0.7$	Hyderabad	Kaskaoutis et al. (2009)
	$AOD_{500} > 0.25$	$AE_{380-1020} < 0.7$	Arabian sea	Kalapureddy et al. (2009)
	$AOD_{500} > 0.6$	$AE_{440-870} < 0.6$	Desalpar	Patel et al. (2017b)

TABLE 2 The ranges of AOD and AE for aerosol types used in GA methods.

AEROSA (AEROsol generic classification using a novel Satellite remote sensing Approach), is described in Methods, with 9 generic aerosol classes defined based on the AOD and AE values, rather than assigning any connection to chemical composition. These classes were named based on the definition of AOD (low, medium, and high) and AE (coarse, mixed, and fine). The reason for proposing this approach is the limited amount of information available from most satellitebased sensors which limits the detail which can be provided from the retrieval, similar to the approach followed for aerosol retrieval in ESA's cci project (European Space Agency; climate change initiative) Aerosol_cci (de Leeuw et al., 2015). The AEROSA approach was implemented using spatially distributed AOD and AE data provided from satellite retrievals, as well as AOD and AE from local ground-based Sunphotometer measurements. This approach can improve confidence in the characterization of aerosols from remote sensing instruments, and provide a more accurate, generic standard for aerosol class recognition. It is important to clearly state that the objective of this study is not to determine exact aerosol types and identify their sources; this is not possible using AOD and AE alone. The determination of more detailed aerosol information and aerosol sources requires multiple optical and physical aerosol properties as reported by previous SEA studies (Eck et al., 1999; Dubovik et al., 2002). The purpose is to test the hypothesis of this study

and show that the classification of aerosol types by GA methods using only AOD and AE (Table 2) may not always be accurate and unambiguous, in particular in specific situations as illustrated in this study. CALIOP Level 2 Verison 4.2 images were also used for validation of aerosol type classification results by GA methods. In this study, AEROSA is proposed for defining generic aerosol classes, for those researchers who are interested in the generic classification of aerosol based on AOD and AE, without claiming the exact nature of aerosol types (i.e., DD, BB, CC, and CM).

2 Datasets

2.1 Terra-MODIS products

The MODIS Level 2 aerosol product (MOD04) provides spectral AOD retrievals at 10 km spatial resolution based on the Dark Target (DT) (Levy et al., 2013) algorithms over land and ocean, and the Deep Blue (DB) algorithm (Hsu et al., 2013) over land. Over- and under-estimations in DT and DB AOD retrievals have been reported (Bilal and Nichol, 2015), especially in the study area (Pakistan) (Bilal et al., 2016). In the present study, the combined DT and DB (DTB) AOD retrievals based on the Terra-MODIS Collection 6.1 Level 2 aerosol product were used for the development of AEROSA. The MODIS aerosol product over Pakistan was obtained from March 2000 to February 2018 (18 years) from the NASA Level-1 and Atmosphere Archive and Distribution System (LAADS) Distributed Active Archive Center (DAAC) (https://ladsweb.modaps.eosdis.nasa.gov/). Furthermore, the MODIS Level 1 swath product (MOD021KM) at 1 km spatial resolution and MODIS thermal/fire anomaly data during the known aerosol events were obtained to check the classification results.

2.2 AErosol Robotic NETwork version 3 level 2.0 data

The Aerosol Robotic Network (AERONET) (Holben et al., 1998; Holben et al., 2001), a global network of well-calibrated Sunphotometers (see https://aeronet.gsfc.nasa.gov/for detail), provides regular measurements of the AOD at 340, 380, 440, 500, 675, 870, 1,020, and 1,640 nm, and the AE at 340-440 nm, 380-500 nm, 440-675 nm, and 500-870 nm at three data quality levels i.e., Level 1.0 (unscreened), Level 1.5 (cloud-screened), and Level 2.0 (cloud-screened and quality-assured), under cloud-free skies (Smirnov et al., 2000) for every 15 min with an uncertainty of 0.01-0.02 (Holben et al., 2001). For comparison purposes, 8 years (2007-2014) of AERONET Version 3 Level 2.0 data (AOD₅₀₀ and AE₄₄₀₋₆₇₅) (Giles et al., 2019) for Lahore and Karachi (Pakistan), were obtained from the AERONET website (https://aeronet.gsfc.nasa.gov/). AERONET data from 1999 to 2018 were also obtained for Solar village and KAUST-Campus sites (Saudi Arabia) for comparison purposes.

2.3 Cloud-aerosol lidar infrared pathfinder satellite observation aerosol subtypes data

The Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) on the Cloud-Aerosol Lidar Infrared Pathfinder Satellite Observation (CALIPSO), was launched by NASA on 28th April 2006. CALIOP provides information on the vertical distribution of aerosols in the atmosphere along the flight track, i.e., aerosol backscatter and extinction as well as aerosol types, for both day and night times at the global scale. However, the lidar footprint is very small, with a laser beam diameter of 70 m on the ground. The new updated CALIOP V4.2 algorithm classifies the tropospheric aerosol types into seven subtypes: clean marine, pure dust, polluted continental/smoke, clean continental, polluted dust, elevated smoke, and dusty marine. The CALIOP aerosol classification algorithm classifies aerosol subtypes based on several physical characteristics, including altitude, location (i.e., latitude and longitude), surface type, particulate depolarization ratio, and integrated attenuated backscatter (Kim et al., 2018). Each aerosol subtype is associated with

TABLE 3 The GA aerosol types used for evaluation against CALIOP aerosol subtypes and MODIS true-color composites.

GA aerosol types	AOD ₅₅₀	AE ₄₇₀₋₆₆₀
Desert Dust (DD) Aerosols	>0.3	<0.7
Biomass Burning (BB) Aerosols	>0.3	>1.0
Clean Continental (CC) Aerosols	<0.3	>1.0
Clean Maritime (CM) Aerosols	<0.3	<1.0

extinction-to-backscatter ratio (i. e., lidar ratio), which is considered a key parameter in retrieval algorithm and determined based on observation, modeling, and cluster analysis of a multiyear dataset obtained from AEWRONET measurements (Omar et al., 2009; Winker et al., 2009; Kim et al., 2018; Young et al., 2018). Several studies showed that the aerosol subtypes are reliable (Rupakheti et al., 2019; Ali et al., 2020; Qiu et al., 2021) and highly consistent with those classified by AERONET measurements (Mielonen et al., 2009; Burton et al., 2013; Falah et al., 2022). In addition, the V4.2 algorithm revised the lidar ratio for aerosol subtypes and introduced new aerosol subtypes (dusty marine), improving aerosol optical properties retrieval (Kim et al., 2018). In the present study, CALIOP Level 2 V4.2 images were used (https://www-calipso.larc.nasa.gov/products/ lidar/browse_images/std_v4_index.php?d=2012, accessed on 20 June 2021) for validation of aerosol classification results by GA methods.

3 Research methodology

The research methodology of the present study is based on the following steps:

1) Aerosols were classified into 4 distinct types (Table 3) such as DD, BB, CC, and CM using GA methods. In this exercise, AOD at 550 nm (AOD₅₅₀) and AE at 440–675 nm (AE_{440–675}) measured at the AERONET sites in Lahore and Karachi were used with the thresholds provided by GA studies in Table 2. AERONET does not provide AOD at 550 nm (AOD₅₅₀), therefore AOD₅₅₀ was obtained from interpolation using AERONET AOD₅₀₀ and AE_{440–675} measurements (Eq. 1).

$$AOD_{550} = AOD_{500} \left(\frac{550}{500}\right)^{-AE_{440-675}}$$
(1)

 The GA aerosol types (Table 3) results were evaluated by comparison with the CALIOP aerosol subtypes obtained over the above-mentioned AERONET sites between 2009 and 2015. Due to the low temporal resolution (16)

AOD	AE	AEROSA class	AOD ₅₅₀	AE ₄₇₀₋₆₆₀
Low-Amount	Coarse-mode	LACA	$\leq 0.17^{a}$	≤0.5
	Mixed-mode	LAMA		>0.5, ≤1.0
	Fine-mode	LAFA		>1.0
Medium-Amount	Coarse-mode	MACA	>0.17, ≤0.56 ^b	≤0.5
	Mixed-mode	MAMA		>0.5, ≤1.0
	Fine-mode	MAFA		>1.0
High-Amount	Coarse-mode	HACA	>0.56	≤0.5
	Mixed-mode	HAMA		>0.5, ≤1.0
	Fine-mode	HAFA		>1.0

TABLE 4 AEROSA generic classes using MODIS DTB AOD and AE retrievals.

aFirst quartile (Q1).

bThird quartile (Q3).



FIGURE 1

AEROSA generic classes using spatially distributed coincident Terra-MODIS DTB AOD₅₅₀ and AE₄₇₀₋₆₆₀ retrievals (N = 180297) from March 2000 to February 2018. Where LACA: low-amount coarse-mode aerosols, LAMA: low-amount mixed-mode aerosols, LAFA: low-amount fine-mode aerosols; MACA: medium-amount coarse-mode aerosols, MAMA: mediumamount mixed-mode aerosols, MAFA: mediumamount mixed-mode aerosols, MAFA: mediumamount finemode aerosols; and HCCA: high-amount coarse-mode aerosols, HAMA: high-amount mixed-mode aerosols, HAFA: high-amount fine-mode aerosols (see Table 4).

days) and narrow swath width of CALIOP data only 8 colocated CALIOP-AERONET images were identified.

- 3) The GA aerosol types (Table 3) were also verified over the Lahore AERONET site using true-color composites of the Terra-MODIS Level 1 swath product (MOD021KM) at 1 km spatial resolution and MODIS thermal/fire anomaly data during the known aerosol events.
- 4) This study hypothesizes that AOD and AE cannot be used to precisely and unambiguously define distinct aerosol types (BB, DD, CC, and CM). However, one can define generic aerosol classes based on AOD and AE, i.e., on the aerosol amount (low, medium, or high) using AOD as a proxy and

aerosol size (coarse, mixed, or fine mode) using AE as a proxy. To this end, 9 new generic aerosol classes (Table 4) were defined based on MODIS AOD₅₅₀ and AE₄₇₀₋₆₆₀ (see below). The $AE_{470-660}$ was computed from AOD_{470} and AOD₆₆₀ using Eq. 2 (Eck et al., 1999) using MODIS DTB AOD₄₇₀ and AOD₆₆₀ together with AOD₅₅₀, which were generated using the Simplified Merge Scheme (SMS) (Bilal et al., 2017; Bilal et al., 2018a; Bilal et al., 2018b). SMS provides AOD data with a quality that is comparable to that of the combined DTB AOD retrievals available in the Data Scientific Set (SDS) "AOD_550_Dark_Target_Deep_Blue_Combined" which significantly improved the spatio-temporal coverage. For this purpose, only the highest quality-assured DT (QA = 3) and DB (QA \ge 2) regionally distributed daily spectral AOD retrievals at 470, 550, and 660 nm were considered, as recommended in the literature (Levy et al., 2013; Sayer et al., 2013; Sayer et al., 2014; Levy et al., 2015). The DTB AOD₅₅₀ and AE₄₇₀₋₆₆₀ data were validated against AERONET AOD₅₅₀ and AE₄₄₀₋₆₇₅ measurements to assure MODIS data quality and reliability. To increase the number of AERONET-MODIS collocations, at least two AERONET AOD measurements were considered between 10:00 and 12: 00 Pakistan Standard Time (PKT), matching the Terra-MODIS overpass time over the region, and at least 2 out of 9 MODIS pixels were considered within a spatial window of 3×3 pixels centered on the AERONET site.

$$AE_{470-660} = -\ln\left[\frac{AOD_{470}}{AOD_{660}}\right] / \ln\left[\frac{470}{660}\right]$$
(2)

5) For AEROSA, coincident DTB AOD₅₅₀ and AE₄₇₀₋₆₆₀ retrievals were extracted for a spatial window of 1×1 pixel (10 km \times 10 km) for 63 cities in Pakistan (Supplementary Table S1), which represent a variety of different land-use types and atmospheric conditions. A total of 9 generic aerosol classes (Table 4) were introduced using the descriptive statistics (N = 180297, Q1 = 0.17, and

Date	Site	AOD	AE	GA types	CALIOP subtype	Verification
17.07.2009	Lahore	1.16	1.54	BB	Dust/Polluted Dust/Elevated Smoke	Failed
08.10.2009	Karachi	0.20	0.84	СМ	Dust/Clean Continental	Failed
18.12.2009	Karachi	0.15	1.29	CC	Dusty Marine	Failed
24.12.2009	Lahore	0.34	1.39	BB	Dust/Polluted Dust	Failed
04.05.2011	Lahore	0.96	0.89	Unclassified	Dust	Failed
09.01.2012	Karachi	0.55	1.06	BB	Dust/Dusty Marine	Failed
02.02.2015	Karachi	0.67	1.00	BB	Dust/Polluted Dust/Dusty Marine	Failed
13.02.2017	Lahore	0.33	1.36	BB	Polluted Dust/Polluted Continental	Failed

TABLE 5 Verification of GA aerosol types against CALIOP aerosol subtypes over the Lahore (31.48° N, 74.264° E) and Karachi (24.946° N, 67.136° E) AERONET sites located in Pakistan.

Q3 = 0.56) of the extracted AOD₅₅₀ retrievals and fixed AE₄₇₀₋₆₆₀ ranges (<0.5, 0.5–1.0, and >1.0). The present study does not use aerosol types such as DD, BB, CC, and CM referring to their source. Instead, AEROSA defines new names of generic aerosol classes based on the definition of AOD and AE only. AEROSA was also implemented using AERONET AOD and AE and all available data were classified. Note that when using GA methods, which provide 4 aerosol types based on ground-based AOD and AE measurements, most of the data remain unclassified.

6) In this study, the same ranges of AOD and AE cannot be used as used by GA methods (Table 2) to define AEROSA generic classes because 1) the ranges of AOD and AE used in GA methods are defined for a specific region, which may not apply to aerosol types in other regions and thus introduce error and inaccurate classification of aerosol types, 2) 41%-56% of the data remain unclassified by GA methods (Patel et al., 2017a; Patel et al., 2017b; Kumar et al., 2018), and 3) GA methods can be used to classify only 4 aerosol types, whereas, for AEROSA, 9 generic aerosol classes are defined. The novel AEROSA approach uses first (Q1) and third quartile (Q3) values of AOD to define thresholds thresholds for low, moderate, and high aerosol amounts and fixed AE ranges to define coarse (<0.5), mixed (0.5-1.0), and fine (>1.0) mode aerosols based on SEA studies. Results from the application of the AEROSA generic aerosol classification to MODIS DTB AOD data extracted for 63 cities in Pakistan, using the descriptive statistics (Q1 = 0.17 and Q3 = 0.56), are graphically presented in Figure 1. The fixed AE ranges used in Table 4 and Figure 1 are supported by previous studies (Kaufman et al., 1994; Eck et al., 1999; Reid et al., 1999; Giles et al., 2012). AEROSA can be implemented for any region using both spatially distributed satellite-retrieved AOD data and ground-based Sunphotometer measurements to define AOD thresholds (Q1 and Q3) based on the descriptive statistics. As this study hypothesizes that AOD and AE alone cannot always precisely characterize BB, DD, CC, and CM aerosol types and their sources, new names were introduced as given in Table 4, to recognize

generic aerosol classes using the ranges of AOD and AE, which characterize them.

7) AEROSA generic classes using MODIS data were evaluated against the generic classification using AERONET data and AEROSA performance was reported using Pearson's correlation coefficient (r). The results from GA (Table 2) and AEROSA (Table 4) applications to two extreme events, i.e., a dust storm in spring (20th March 2012) and a smog event in autumn (2nd November 2017), were evaluated. In addition, the GA (Table 2) and AEROSA approaches (Table 4) were compared using both AERONET and MODIS AOD and AE retrievals.

4 Results

4.1 Verification of general approach aerosol types

Data suitable for the validation of the aerosol types classified by application of GA methods using Sunphotometer AOD and AE are not available. Therefore, CALIOP standard daytime aerosol subtype images over the Lahore and Karachi AERONET sites were used for comparison, as recommended by previous studies (Rupakheti et al., 2019; Ali et al., 2020). To this end, CALIOP aerosol subtype profiles were collected over the AERONET sites for overpasses within ±30 min of the AERONET AOD and AE observations. This resulted in only 8 collocated images (Supplemenatry Figure S1). The collocated CALIOP data show that the aerosol subtypes at the Lahore and Karachi AERONET sites were dominated by Dust, Polluted Dust, and Dusty Marine aerosol (Supplemenatry Figure S1), whereas the GA aerosol types using the collocated AERONET AOD and AE data show BB, CM, and CC aerosol types (Table 5). This discrepancy supports the hypothesis that the GA classification using only AOD and AE does not provide the same aerosol types as the independent classification using CALIOP data. This will be further investigated using data from events for which the aerosol type is known.



The GA classification of the BB, DD, CC, and CM aerosol types was further examined using AOD and AE data from the Lahore AERONET site. Figure 2 shows MODIS true-colour composite images overlaid with MODIS thermal/fire anomaly data, for 16 different days, over areas including the Lahore AERONET site. The images in Figure 2 suggest the occurrence of haze or biomass burning over the area, i.e., events with known aerosol types, as summarized in Table 6, where also results from GA aerosol types classification using AOD and AE (Table 3) from the Lahore AERONET site are presented. In particular, the images during the overpasses on 2006-12-30 and 2010-08-

10 suggest the occurrence of a thin layer of haze over the Lahore AERONET site, in the vicinity of clouds, and on 2007-11-12 and 2010-10-19 a thick layer of haze. For each of these cases, the GA classification using AERONET data resulted in the aerosol type as BB, although crop residue (biomass) burning or forest fires, the source of BB aerosols (Dubovik et al., 2002), was not identified in the MODIS fire data. Similarly, aerosols during the crop residue burning events on 2009-05-24 and 2010-04-16, and a very thin layer of haze on 2010-09-19 and 2014-07-11 were instead classified as DD aerosol types. The crop residue burning produces both fine and mixed-mode aerosols (Le Blond et al., 2017) which

TABLE 6 Evaluation of GA aerosol types using AERONET AOD and AE for specific events governed by known aerosol types; for all events, the GA classification did not assign the expected aerosol types.

Date	AOD	D AE Visual condition						GA type	
		Thin haze	Thick haze	Clouds	Fire	Dust	Clear		
2006-12-30	1.17	1.36	Yes	No	Yes	No	No	No	BB
2007-11-12	1.73	1.10	No	Yes	No	No	No	No	BB
2010-08-10	1.19	1.38	Yes	No	Yes	No	No	No	BB
2010-10-19	2.72	1.18	No	Yes	No	No	No	No	BB
2009-05-24	0.76	0.41	Yes	No	No	Yes	No	No	DD
2010-04-16	0.78	0.40	No	No	Yes	Yes	No	No	DD
2010-09-19	0.47	0.43	Yes	No	No	No	No	No	DD
2014-07-11	0.45	0.32	Yes	No	No	No	No	No	DD
2009-12-17	0.24	1.34	Yes	No	Yes	No	No	No	CC
2009-12-23	0.25	1.35	Yes	No	No	No	No	No	CC
2012-09-11	0.18	1.55	Yes	No	Yes	No	No	No	CC
2014-05-17	0.29	1.19	Yes	No	Yes	Yes	No	No	CC
2010-03-09	0.19	0.96	Yes	No	No	No	No	No	СМ
2010-05-20	0.20	0.79	No	No	No	Yes	No	No	СМ
2010-06-18	0.26	0.88	Yes	No	Yes	No	No	No	СМ
2010-10-25	0.24	0.86	No	No	No	Yes	No	No	СМ

were classified as DD because AOD and AE only provide specific information about aerosol properties integrated over the whole atmospheric column. For the classification of aerosols into distinct aerosol types and to characterize their properties, multiple physical and optical properties are required. Similarly, a thin layer of haze and aerosol particles produced by crop residue burning were classified as CC (row 3) and CM (row 4). CM particles are produced by the interaction between the wind and the ocean surface (Dubovik et al., 2002), but the Lahore AERONET site is approximately 1,200 km from the ocean and it is unlikely that coarse mode particles are transported over such long distances. This can also be seen in Figure 2 (row 4) which clearly shows the presence of haze or crop residue burning aerosol which by GA methods are classified as CM. The 16 images presented in Figure 2 were selected from the more than 400 images we have analyzed, as examples to illustrate the hypothesis, based on AERONET AOD and AE values (Table 6). For example, we analyzed 103 cases from 2007 to 2014 where the GA method classified the aerosol type as BB, based on AOD and AE values given in Table 3, but for only 10 (9.7%) of these cases (103), aerosol particles due to crop residue burning were present in the region. The remainder represented haze (thin or thick layers) and clouds, and even those containing dust particles were classified as BB aerosols. These results support the hypothesis of this study and demonstrate that the aerosol type is often incorrectly classified by GA methods using AOD and AE only.

TABLE 7 Comparison of the AEROSA generic classes using MODIS and AERONET AOD and AE.

AEROSA class	Class (%)				
	AERONET	MODIS			
LACA	0.22	0.38			
LAMA	1.67	2.16			
LAFA	1.37	10.11			
MACA	14.22	12.56			
MAMA	36.07	26.25			
MAFA	18.67	16.25			
HACA	9.27	10.23			
HAMA	9.04	13.69			
HAFA	9.47	8.37			
Pearsons correlation coefficient (r)	0.92				

4.2 Comparison of AEROSA aerosol generic classification using MODIS and AERONET aerosol optical depth and angström exponent

To verify the robustness and accuracy of AEROSA for the generic aerosol classes, AEROSA was implemented with both MODIS and AERONET data and the results were compared. For TABLE 8 The percentage of AEROSA generic classes in Saudi Arabia using AERONET data from 1999 to 2020. The thresholds used for the AEROSA approach over Saudi Arabia are presented in the second and third columns.

AEROSA classes	AOD ₅₅₀	AE440-675	Class (%)	
LACA	<0.20ª	<0.50	7 23	
LACA	≤0.20	≤ 0.50	12.01	
LAFA		>1.0	5.65	
MACA	>0.20, ≤0.44 ^b	≤0.50	24.01	
MAMA		>0.50, ≤1.0	22.57	
MAFA		>1.0	4.14	
HACA	>0.44	≤0.50	18.68	
HAMA		>0.50, ≤1.0	4.69	
HAFA		>1.0	1.03	
aFirst quartile (O1)				

bThird quartile (Q3)

this purpose, Lahore and Karachi AERONET AOD₅₅₀ and AE₄₄₀. $_{675}$ measurements were obtained from 2007 to 2014, and collocated MODIS DTB AOD₅₅₀ and AE₄₇₀₋₆₆₀ retrievals over these two AERONET sites were extracted. The results in Table 7 show that the generic classes determined by the application of AEROSA to MODIS and AERONET data provide similar percentages for each class, with a Pearsons correlation coefficient (r) of 0.92. The differences between generic aerosol classes determined from MODIS and AERONET data, especially in LAFA, MAMA, and HAMA, are related to uncertainties in both MODIS AOD and AE. Overall, these results suggest that the AEROSA performance is similar for the use of either MODIS or AERONET data, and can be implemented using satellite data to define generic aerosol classes over regions where no AERONET stations are available.

To further verify the robustness and performance of AEROSA, the method was applied to a data set from AERONET sites located in the Solar Village and on the KAUST campus, Saudi Arabia. The aerosol optical characteristics including AOD and AE vary from region to region and in Saudi Arabia, they are entirely different from those observed in Pakistan. Therefore, the thresholds of AOD defined by AEROSA need to be adjusted for each region, as described in Section 3. For Saudi Arabia, AOD thresholds (Q1 = 0.20 and Q3 = 0.44) were determined using descriptive statistics of the AERONET data obtained from 1999 to 2018. The results in Table 8 show the large percentage of coarse-mode aerosol types (LACA, MACA, and HACA: 49.92%) over the region, followed by mixed-mode (LAMA, MAMA, and HAMA: 39.26%) and finemode (LAFA, MAFA, and HAFA: 10.82%) aerosol types. The large percentage of coarse- and mixed-mode aerosols in Saudi Arabia was also reported by previous work (Lee et al., 2010; Ali et al., 2020; Logothetis et al., 2020) which supports the AEROSA results for Saudi Arabia. These results demonstrate the application of AEROSA over another region than Pakistan and show the different percentages of generic aerosol

classes due to different atmospheric conditions and aerosol sources.

4.3 Evaluation during extreme pollution events

To demonstrate the hypothesis that "GA methods based on only AOD and AE often incorrectly and unambiguously characterize the nature/properties of aerosol types (e.g., dust, bio-mass, industrial, continental or maritime)," two extreme pollution events over Pakistan; namely, a dust storm (20th March 2012), and a smog event (2nd November 2017) were used. For comparison and evaluation, also the AEROSA approach was applied to these same data sets.

4.3.1 Evaluation during an extreme dust storm event (20th March 2012)

To demonstrate that GA methods do not always accurately classify CC, CM, BB/UI, and DD aerosol types, AEROSA was applied to a dust storm over Pakistan and neighboring countries on 20th March 2012, and the results were compared with those resulting from the application of GA aerosol type classification methods published by Bibi et al. (2016), Kaskaoutis et al. (2009), Pathak et al. (2012), and Patel et al. (2017b). Figure 3A shows a MODIS true-color composite of an extreme dust storm over Pakistan and neighboring countries, and the spatial distribution of the generic aerosol classes by AEROSA is shown in Figure 3B. Results from earlier GA methods (Table 2) mentioned above are reproduced in Figures 3C-F. In Figure 3, some areas are indicated by circles and ellipses where the GA classification results were not matched with the visualization of the true-color composite image (Figure 3A), and/or no classification was achieved. Figure 3B shows that the AEROSA approach provided generic aerosol classes for all cloud-free areas. For example, the black ellipse in the MODIS true-color composite (Figure 3A) indicates dust aerosols and fire spots due to biomass burning, suggesting the presence of mixed-mode aerosols inside the circle. However, none of the 4 GA methods (Figures 3C-F) identifies a mixture of dust and biomass-burning aerosols. Similarly, dust aerosols over the desert and arid land (red circle) and mixed aerosols (yellow circle) were erratically classified by the GA methods as CM by Kaskaoutis et al. (2009) and Patel et al. (2017b), CC and CM by Pathak et al. (2012), and BB/UI by Bibi et al. (2016). These studies do not consider AOD and AE ranges for medium-amount mixed-mode aerosols (violet circles), therefore no classification was achieved. On the other hand, AEROSA was able to classify mixed-mode aerosols (black ellipse) as HAMA, representing mixed-aerosols with higher AOD; aerosols over the desert and arid land were classified as LACA and MACA, and mixed aerosols (yellow circle) were classified as LAMA, LAFA, MAMA, and MAFA. These results demonstrate that AOD and AE alone are able to characterize generic aerosol classes. However, these optical properties (AOD and AE) cannot characterize the nature of aerosol types such as BB, DD, CC, and



FIGURE 3

A severe dust storm over Pakistan and neighboring countries on 20th Match 2012. (A) True color composite using MODIS data, showing the occurrence of dust and fires (red dots); the white areas indicate clouds; (B) AEROSA generic classes. (C–F) Aerosol types were classified using the GA methods published by Bibi et al. (2016) (C); Kaskaoutis et al. (2009) (D); Pathak et al. (2012) (E); Patel et al. (2017b) (F). Where LACA: low-amount coarse-mode aerosols, LAMA: low-amount mixed-mode aerosols, LAFA: low-amount fine-mode aerosols; MACA: medium-amount coarse-mode aerosols, MAMA: medium-amount mixed-mode aerosols, MAFA: medium-amount fine-mode aerosols; CC = clean continental aerosols; BB/UI: biomass burning/urban-industrial aerosols; and DD: desert dust aerosols.

CM. These results also confirm that, in specific cases, the classification of aerosol types using GA methods with only AOD and AE does not provide the desired results. However, the application of the AEROSA approach provides generic aerosol classes with good coverage.

4.3.2 Evaluation during an extreme smog event (2nd November 2017)

Smog is defined as a mixture of smoke and fog, and fog is defined as tiny water droplets suspended in the lower atmosphere with high concentrations. The smoke in this event was emitted from crop residue burning which is confirmed by fire data (Figure 4) and the fog was confirmed by ground-based weather station data obtained from the University of Wyoming (http://weather.uwyo.edu/cgi-bin/ wyowx.fcgi?TYPE=sflist&DATE=20171103&HOUR=05&UNITS= A&STATION=OPLA). Figure 4A shows the MODIS true-color composite over Pakistan and neighboring countries, on 2nd November 2017, when an extreme smog event occurred over the area, accompanied by biomass burning events (black dots). Figure 4B shows the spatial distribution of generic aerosol classes using the AEROSA approach and in Figures 4C–F aerosol types are reproduced which were classified using the GA method with the



AOD and AE thresholds for aerosol types reported by Bibi et al. (2016), Kaskaoutis et al. (2009), Pathak et al. (2012), and Patel et al. (2017b) (similar to Figure 3). The violet circle represents smog, with a very thick aerosol layer, and the red dots represent fire spots from crop residue burning. Our hypothesis that the use of AOD and AE is insufficient for characterizing aerosols into 4 distinct types is illustrated by referring to the circles in Figure 4. For example, blue circles show that the GA method classified coarse particles as DD aerosol type; however, no dust particles were present inside the blue circle as can be seen in the MODIS true-color composite; red circles show that aerosols over the sandy deserts were classified as CM or CC aerosols, whereas these sandy deserts are far from the ocean where CM aerosols are produced and it is unlikely that coarse particles are transported over such long distances; black circles show the classification of aerosol particles as CM aerosols over

the arid and desert surfaces. For this particular smog event, the violet circle shows that none of GA methods were able to achieve the classification results for the smog which is composed of mixed aerosol particles i.e., all pixels remain un-classified. On the other hand, AEROSA shows complete spatial coverage over cloud-free areas. The results also show that the Bibi et al. (2016) thresholds result in the classification of most of the aerosols in the region as BB/UI, especially over Southern Pakistan as well as over sandy deserts, where dust aerosol types are more likely. Using the thresholds from the other three studies, classification for medium and high amounts of mixed-mode aerosols was not achieved, thus leaving pixels unclassified. On the other hand, AEROSA classified smog and smoke haze (a less thick layer of aerosol) as HAMA (dark-red color) and HAFA (green color), respectively. These results suggest that the smog and smoke haze

Data	Approach	AEROSA generic classes (%)					
		LACA + LAMA	LAFA	MAFA + HAF	MACA + HACA	MAMA + HAMA	
MODIS ($N = 180297$)	AEROSA	8.11	17.20	18.14	23.53	33.01	
AERONET ($N = 30766$)	AEROSA	2.54	10.11	24.62	22.79	39.94	
		GA aerosol types (%)					
		СМ	CC	BB/UI	DD	unclassified	
MODIS (N = 180297)	Pathak et al. (2012)	6.26	12.38	8.69	20.28	52.38	
	Yu et al. (2016)	0.48	18.79	2.71	3.88	74.13	
	Kaskaoutis et al. (2009)	15.03	_	5.55	12.92	66.50	
	Kalapureddy et al. (2009)	10.35	_	15.81	33.18	40.66	
	Patel et al. (2017b)	15.03	24.49	4.31	11.29	44.88	
	Kumar et al. (2018)	6.26	22.54	14.86	17.38	38.96	
	Bibi et al. (2016)	_	_	59.38	9.37	31.25	
AERONET ($N = 30766$)	Pathak et al. (2012)	2.63	2.88	18.24	22.42	53.83	
	Yu et al. (2016)	0.26	2.32	4.54	1.66	91.22	
	Kaskaoutis et al. (2009)	11.69	_	11.84	10.82	65.64	
	Kalapureddy et al. (2009)	1.81	_	27.19	40.54	30.47	
	Patel et al. (2017b)	11.69	8.29	8.03	9.41	62.57	
	Kumar et al. (2018)	2.63	3.13	21.21	17.58	55.44	
	Bibi et al. (2016)	—	_	55.91	8.66	35.43	

TABLE 9 Comparison between the aerosol classification results using the AEROSA and GA methods over Pakistan using MODIS data from March 2000 to February 2018 and AERONET data from 2007 to 2014.

are composed of mixed and fine mode particles, respectively, and both have extremely high AOD values. Smog was formed when these particles mixed with the fog present over the region. It should be noted that an area of mixed aerosol particles was also present, categorized as MAMA (magenta color), suggesting that crop residue burning produced both fine- and mixed-mode particles, which is supported by a study in South America (Le Blond et al., 2017). The sandy deserts (red circles) generate aerosols composed of mixed particles classified as LAMA, LAFA, and MAMA. It should also be noted that, during this smog event, no dust aerosols were present inside the blue circle, which were as DD by GA methods; however, AEROSA found different generic classes. In fact, these circles represent coarse particles other than dust, as coarse, as well as fine particles, may often originate from crop-residue burning (Le Blond et al., 2017) as well as from other sources. These results suggest that the characterization of aerosol types based on AOD and AE is seasonally variable and that a single set of AOD/AE values cannot characterize the same aerosol type throughout the year. For example, dust aerosol in spring (20th March 2012) and smog in autumn (2nd November 2017) have the same ranges of AOD and AE. The designation as HAMA by AEROSA can accommodate both aerosol types. These results support the hypothesis of this study that GA classification does not always provide a plausible solution for the aerosol type. Aerosol can more confidently be characterized into generic classes using AEROSA, with good spatial coverage. AEROSA is especially useful over regions where AERONET stations are not available to obtain knowledge about aerosol amount and size.

4.4 Comparison between AEROSA generic aerosol classes and GA aerosol types

To further demonstrate the performance of the AEROSA and GA methods, a comparative analysis was conducted using both MODIS and AERONET data over Pakistan (Table 9). For this purpose, GA methods such as those presented by Pathak et al. (2012), Yu et al. (2016), Kaskaoutis et al. (2009), Kalapureddy et al. (2009), Patel et al. (2017b), Kumar et al. (2018), and Bibi et al. (2016) were considered. For a simple understanding of the comparative analysis, AEROSA generic classes were merged to match with the aerosol types by GA methods (Table 9). The results show a similar percentage of aerosol types by GA methods, to those generic classes defined by AEROSA, as regards the AOD and AE ranges. AEROSA was able to classify all available MODIS and AERONET data, whereas the application of the GA methods left 30-91% of the data and image pixels unclassified. These results demonstrate the greater coverage and advantages of AEROSA compared to GA methods.

5 Discussion and conclusion

General approach (GA) methods use AOD and AE obtained from ground-based Sunphotometer measurements (AERONET) to characterize aerosol types such as DD, BB/UI, CC, and CM aerosol, with thresholds for each aerosol type which vary between different locations. In this study, aerosol types were classified using GA methods with AERONET AOD and AE measurements, and the

results were compared with CALIOP aerosol subtypes images and Terra-MODIS true-color composite images. Aerosol types were also spatially classified using the GA method with MODIS AOD and AE data, during an extreme dust storm event in spring (20th March 2012) and a smog event in autumn (2nd November 2017). Evaluation of the GA classification results shows that a thin haze layer was classified as BB, DD, CC, and CM, and crop residue burning aerosols were classified as DD, CC, and CM, i.e., types which do not accurately describe the aerosol during the occurrence of haze or crop residue burning. The results also suggest that a single set of parameter ranges for AOD and AE may correspond to different aerosol types depending on the time of year. For example, dust aerosols in spring (20th March 2012) and smog in autumn (2nd November 2017) have the same ranges of AOD and AE. Therefore, additional seasonal information would be required to know the exact nature of the aerosol types. The results also show that a single aerosol type is characterized by multiple ranges of AOD and AE, which is not included in the GA method. For example, dust can be composed of mixed as well as coarse-mode aerosols and can exist at low as well as high concentrations.

The results from these studies indicate the following disadvantages of GA methods based on AOD and AE alone: 1) the values of AE and AOD used in GA methods cannot precisely and unambiguously classify aerosol types, 2) for application of GA methods, AOD and AE ranges for aerosol classification vary for different regions, 3) GA methods are limited to point locations and cannot provide spatial distributions of aerosol types, 4) if values of AOD and AE obtained from point measurements for a specific location and season are used for another location and season, the inferred aerosol type may be inaccurate, 5) GA methods do not provide a solution for the classification of all available data, and much of the data remain "unclassified", 6) GA methods are unable to identify and distinguish between mixed-mode dust and smog aerosols, and 7) GA methods classify dust aerosols as CM and coarse-mode aerosols originating during biomass burning activities in autumn, as DD aerosols. These incorrect classifications may overlook the implications of extreme pollution events such as dust storms and biomass burning activities. For example, dust storms can bring harmful pollution as well as dust, which may affect human health and regional air quality. Furthermore, the incorrect classification of aerosol types can introduce significant errors in the calculation of aerosol radiative forcing, aerosol modeling, the Earth's radiation budget, and the understanding of aerosol effects on climate, cloud microphysical properties, and the hydrological cycle.

Therefore, an alternative approach is proposed which provides an aerosol classification into 9 generic classes based on AOD and AE. This approach, called AEROSA (AEROsol classification using a novel Satellite remote sensing Approach) only provides information on the amount of aerosol and the size range, using AOD and AE respectively as proxies. The application of AEROSA to AOD and AE data from both AERONET and MODIS provides a solution for all cases for which successful retrieval results (AOD, AE) are available, with an accuracy depending on the uncertainties in the AOD and AE. The

AEROSA approach has the following advantages: 1) this approach does not depend on ground-based Sunphotometer measurements, 2) can be used to define generic aerosol classes for any region based on the descriptive statistics of AOD, 3) can identify spatiotemporal variations in generic aerosol classes, 4) classifies all available data and no data remain "unclassified", 5) this approach can be implemented with either satellite or ground-based Sunphotometer data, or both, and 6) can be implemented using available satellite aerosol data including aerosol products from geostationary satellites such as GOCI (the Geostationary Ocean Color Imager) (Choi et al., 2018) and Himawari-8 AHI (Advanced Himawari Imager) (Lim et al., 2021). Also, AEROSA can be used to define generic aerosol classes using regionally distributed daily satellite data and can provide generic classes that are representative of true aerosol conditions over a region. For more specific and distinct aerosol types, additional data such as multiple optical and physical properties from AERONET or UV satellite sensors would be required as AOD and AE alone are not useful in this regard, as concluded from this study. In the future, the authors will implement AEROSA with Himari-8 AHI and VIIRS AOD and AE retrievals to study the AEROSA-based generic aerosol classes at regional to global scales.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

Author contributions

Conceptualization: MB; Methodology: MB, and MAA; Software: MB; Validation: MB, and MAA; Formal analysis: MB and MA; Investigation: MB, MA, and MB; Resources: MB and ZQ; Data curation: MB, and MA; Writing—original draft preparation: MB; Writing—review and editing: MB, MA JN, MB, GL, AM, YS, TM, and JK; Visualization: MB, MA, UM, TM, and MN; Supervision: MB; Project administration: MB; Funding acquisition: MB and ZQ. All authors have read and agreed to the published version of the manuscript.

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Conflict of interest

MN was employed by Urban Sector Policy and Management Services Unit (Pvt.) Limited

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The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

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