1 <b>Con</b>	nparative	genomics	of Staphy	lococcus	capitis	reveals	species
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# 15 Abstract

16 Staphylococcus capitis is primarily described as a human skin commensal but is now emergent 17 as an opportunistic pathogen isolated from bloodstream and prosthetic joint infections, and neonatal 18 intensive care unit (NICU) associated sepsis. We used comparative genomic analyses of S. capitis to 19 provide new insights of commensal scalp isolates from varying skin states (healthy, dandruff lesional 20 and non-lesional), and to expand our current knowledge of the species populations (scalp isolates, n =59, other skin isolates, n = 7, publicly available isolates, n = 120). A highly recombining population 21 22 structure was revealed, with genomes including the presence of a range of previously described 23 staphylococcal virulence factors, cell wall-associated proteins, and two-component systems. Genomic 24 differences between the two described S. capitis subspecies were explored and reveal determinants 25 associated exclusively with each. The subspecies ureolyticus was distinguished from subspecies capitis by differences in antimicrobial resistance genes,  $\beta$ -lactam resistance genes and  $\beta$ - class phenol soluble 26

modulins and gene clusters linked to biofilm formation and survival on skin. This study will aid further
research into classification of *S. capitis* and virulence linked phylogroups to monitor the spread and
evolution of *S. capitis*.

# 30 Keywords

31 Staphylococcus, capitis, genome, scalp, dandruff, genomics

# 32 Introduction

33 Staphylococcus capitis was first isolated from healthy human skin in 1975 and classified as a 34 coagulase-negative Staphylococcus species (CoNS) (1). S. capitis is frequently found on the human 35 scalp and the forehead and thrives in lipid-rich areas where sebaceous glands are abundant. The species 36 was recently associated with dandruff presenting scalps (1-4). S. capitis is described as two subspecies, 37 capitis and *ureolyticus*, based on their differences in urease production and maltose fermentation (2). 38 Urease production, encoded in staphylococci by *ureDEFG* has been reported to be crucial to bacterial 39 adaptation, virulence and host immune defence (5). Although the function of urease in S. capitis 40 subspecies specifically is not clear, recent studies suggest that urease is essential for pH homeostasis, viability when under weak acid stress and in CoNS survival within multispecies biofilms (5-7). 41 Therefore, urease production in S. capitis ssp. ureolyticus could aid in S. capitis colonisation and 42 43 infection.

Previous investigations sought to characterise the two *S. capitis* subspecies isolated from NICUs, with respect to clinically relevant phenotypes, including antimicrobial susceptibility, structure of the *ica* operon and biofilm formation (8, 9). Studies associate increased prevalence of multidrug resistance (MDR), biofilm formation ability, and variation in the *ica* operon with *S. capitis* ssp. *ureolyticus* compared to *S. capitis* ssp. *capitis* (8), as well as differences in transcriptional response to erythromycin (9). While studies have described the *S. capitis* subspecies, none have sought to genotypically characterise them using WGS. 51 Many reports link S. capitis with a range of human diseases, being isolated frequently from prosthetic joint infections (10-12), prosthetic valve endocarditis (13-15) and late-onset sepsis in 52 53 newborns at neonatal intensive care units (8, 16-19). The role of S. capitis in these infections was studied 54 with reference to other well-described and clinically important species within the Epidermidis cluster 55 group, i.e., Staphylococcus epidermidis and Staphylococcus caprae (20-22). S. capitis encodes 56 important virulence factors required for biofilm production, persistence and immune evasion (20). The species is considered, in common with other CoNS species such as S. epidermidis, to have a lower 57 58 virulence potential than S. aureus because CoNS encode a reduced suite of exotoxins associated with 59 invasive disease (23, 24).

50 Species of CoNS do possess sufficient virulence factors to be opportunistic pathogens, which 51 explains their contribution to the burden of nosocomial infection (25). Several CoNS possess a 52 sufficiently large repertoire of virulence factor genes, including those linked to adhesion and biofilm 53 formation, affording them both commensal and pathogenic traits (23, 25, 26). Important to the evolution 54 of the genus, CoNS are proposed to act as a reservoir of mobile genetic elements (MGE) (25). In their 55 commensal life cycle, they exist closely with other bacteria on skin and mucosal surfaces and increase 56 their genetic diversity via recombination and frequent acquisition of MGE (26-28).

In the study presented here, we whole-genome sequenced 59 *S. capitis* isolates sampled from scalp skin and performed whole-genome sequencing analysis (WGSA), incorporating a further 127 publicly available sequences, with the aim to expand knowledge of *S. capitis* population structure, genotypic definition of subspecies and identify factors that are likely to contribute to virulence, competition, and colonisation.

# 72 Materials and Methods

## 73 Dataset and bacterial isolates

Whole-genome sequencing (WGS) was performed on 59 *S. capitis* isolates of scalp skin (healthy scalp site, n=22, dandruff scalp site, n=17, healthy site of dandruff scalp, n=20), collected in the UK in 2017. Scalp samples were obtained using the method of Williamson and Kligman (29). The collection sample site was located and an appropriate clear and straight parting in the hair (~10 cm long) 78 was secured to maximize exposure of the scalp. A Teflon cup (18 mm internal diameter, 6 cm high) 79 was placed onto the hair parting. A volume of 2.0 mL sampling collection medium (phosphate buffered 80 saline plus 0.1% Triton-X-100) was applied to the collection site and the skin agitated in the liquid for 81 one minute with a Teflon rod. The resulting 4.0 mL sample was transferred to a sterile tube. For each 82 scalp sample taken, 100  $\mu$ L of wash was plated on agar and up to four distinct colonies were isolated 83 from the staphylococcal selective medium: (1% (w/v) Tryptone (Oxoid), 0.5% (w/v) lab lemco powder 84 (Oxoid), 0.3% (w/v) yeast extract, 1.3% (w/v) agar (Lab M), 0.1% (w/v) sodium pyruvate (JT Baker 85 Chemicals), 0.05% (w/v) glycine (JT Baker Chemicals), 2.25% (w/v) potassium thiocyanate (JT Baker 86 Chemicals), 0.12% (w/v) NaH<sub>2</sub>PO<sub>4</sub>.2H<sub>2</sub>O (JT Baker Chemicals), 0.2% (w/v) lithium chloride (JT Baker 87 Chemicals), 0.5 % (v/v) glycerol (JT Baker Chemicals), 1.0% (v/v) sodium azide (Sigma Aldrich), 88 3.0% (v/v) sterile egg yolk emulsion (Lab M), pH 7.2).

89 Additionally, 7 skin isolates were sequenced that included culture collection type strains of both 90 S. capitis subspecies (ATCC 49325 (S. capitis subsp. ureolyticus) and ATCC 27840 (S. capitis subsp. 91 *capitis*) (Supplementary Table 1). Also included were published genomic data available from GenBank 92 (https://www.ncbi.nlm.nih.gov/genbank/), Sequence Read Archive (https://www.ncbi.nlm.nih.gov/sra) 93 or European Nucleotide Archive (https://www.ebi.ac.uk/ena), and accession numbers are listed in 94 Supplementary Table 1. A total of 11 publicly available, complete, published and taxonomically 95 classified as S. capitis genomes were downloaded. All publicly available S. capitis genome reads were 96 also downloaded and subject to quality control. This study included WGS reads from Illumina 97 sequencing only, with more than 10X coverage. All publicly available datasets were then phylogenetically reconstructed (as described below) and Treemmer v0.3 was used to reduce 98 99 redundancies within the public dataset, leaving 120 genomes to be included in further analyses (30).

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## 101 Genome sequencing and phylogenetic analysis

All isolates selectively obtained in this study, together with 7 further strains comprising culture collection type strains which have been formally defined taxonomy (included in this study: NCTC 104 11045 & DSM 6717) and skin isolates, were grown in 10 mL BHI broth (Lab M) overnight, shaking at 105 37 °C. Subsequently, 1 mL of each overnight culture was centrifuged for 1 min at 5000 rpm and resuspended in 180 µl lysis buffer (20 mM Tris- HCl pH8, 2 mM EDTA, 1.2% Triton X-100); the cells
of each clone were extracted to obtain high-quality genomic DNA using the QIAGEN DNeasy Blood
& Tissue Kit and eluted in 10 mM Tris-HCl (pH 8.5).

109 DNA concentration was measured using a Thermo Scientific Nanodrop, a Qubit plus 110 visualisation after gel electrophoresis on 1% (w/v) agarose gels (at 90 mV for 40 min with a 1 kb 111 ladder). For sequencing, the extracted DNA was in a final volume of 60  $\mu$ l (concentration 1-30 ng  $\mu$ l<sup>-1</sup>) 112 was submitted for Illumina DNA sequencing by MicrobesNG (http://www.microbesng.uk, which is 113 supported by BBSRC (grant number BB/L024209/1)) using Nextera XT library protocol on a HiSeq 114 platform, generating 250 bp paired end reads (Illumina, San Diego, CA, USA). The resulting datasets 115 are available from the SRA under BioProject number PRJEB47273. Adapters and low-quality bases 116 were trimmed with Trimmomatic v??? (31), and read qualities were assessed using FastQC v0.11.7 117 (https://www.bioinformatics.babraham.ac.uk/projects/fastqc/) and MultiQC v1.0 (32). Genome 118 sequences were *de novo* assembled and annotated using Unicycler v 0.4.7 (33) with default parameters, 119 using SPAdes v 3.15.4 (34), and Prokka v 1.14.6 (35).

120 Sequence reads from 186 S. capitis isolates (scalp isolates, n = 59, other skin isolates, n = 7, 121 publicly available isolates, n = 120) were mapped to the reference genome S. capitis AYP1020, which 122 was isolated from human blood (20), (NCBI Genome accession GCA\_001028645.1) using Snippy 123 v.4.4.3 with minimum coverage of 4 to generate core genome SNP alignment files 124 (https://github.com/tseemann/snippy)(20). The phylogeny of the strains was reconstructed by generating a Maximum-likelihood (ML) tree with the substitution model GTR+G+ASC and 1000 125 126 bootstrap replicates using IQTREE v 1.6.12 (36), based on the core genome alignment without the recombining regions identified by Gubbins v2.3.4 (37). Gubbins was run with default parameters on 127 the core genome alignment of 186 strains, which generated a chromosomal SNP alignment length of 128 129 59,972 bp. Additionally, regions containing phage were identified using PHASTER (38) and MGE 130 sequences were identified from the reference genomes, and co-ordinates were used to mask these sites 131 using BEDTools v 2.29.2 (39). The population structure was investigated using hierarchical Bayesian analysis of population structure with r-hierBAPS, specifying two clusters levels, 20 initial clusters and
infinite extra rounds (40). Visualisations were performed using iTOL v4.2 (41).

To measure the extent of *S. capitis* genomic diversity, pairwise SNP distance was determined from the alignment of core genome SNPs identified outside regions of recombination using snp-dists v 0.7.0 (https://github.com/tseemann/snp-dists). To examine the phenotypic basis for the separation of the two subspecies within the phylogenetic reconstruction (Figure 2 & 3) the API *Staph-Ident* Strip system was used to analyse biochemical profiles of multiple isolates included in this study (BioMérieux, Marcy l'Etoile, France). The API Staph-Ident Strip system was used according to the manufacturer's instructions.

#### 141 **Pangenome analysis**

Pangenome analysis of all 186 isolates was performed using the Panaroo v1.1.2 software package with default parameters, MAFFT alignment and a core gene threshold of 90% (42). Predicted coding gene sequences in all isolates were extracted from the gene presence and absence matrix provided by Panaroo v.1.1.2, separated into core and accessory gene groups and input into eggnogmapper v 2.1.6 to identify cluster of orthologs groups (COG) (42, 43).

To identify genes enriched in *S. capitis* ssp. *ureolytics*, the output files from Panaroo were used as an input for Scoary v1.6.16 (44), a microbial pan-GWAS tool, to infer genes overrepresented in the subspecies. Scoary was used with the settings: -no\_pairwise flag and only genes with a Benjamini– Hochberg p < 0.05 and an odds ratio (OR) > 1 were considered to be overrepresented in the subspecies cluster.

## 152 In silico analysis of dataset

for 153 Genetic determinants AMR were identified using ABRicate v0.9.8 (https://github.com/tseemann/abricate) with NCBI Bacterial Antimicrobial Resistance Reference Gene 154 155 Database, with a default minimum DNA percentage identity of 80% (45). Other potential virulence 156 factors, such as phenol soluble modulins and exoenzymes, cell wall associated proteins and two-157 component systems were identified by homology searches, using BLASTp of annotated reference 158 genomes (S. capitis AYP1020 (Genbank assembly accession: GCA\_001028645.1), S. epidermidis 159 RP62a (Genbank assembly accession: GCA\_000011925.1) and *S. aureus* MW2 (Genbank assembly

accession: GCA\_00307695.1) and pangenome data from various studies (20, 46-49).

Average nucleotide identity (ANI) indices were used to quantify genetic relatedness of the two *S. capitis* subspecies. ANI estimates the genetic relatedness between two genomes to assess species boundaries. To compare the genetic relatedness of the *S. capitis* subspecies in this study, FastANI v1.2 was used with default settings to compare all isolates of potential ssp. *ureolyticus* and ssp. *capitis* isolates, using the recommended cut-off score of >95% that indicates isolates belong to the same species (50). *S. capitis* ssp. *ureolyticus* culture collection isolates were also compared to other ssp. *ureolyticus* isolates, similar to Bannerman and Kloos (1991).

168 **Ethics approval** 

Written informed consent was obtained from all enrolled participants. The study protocol was
reviewed and approved by an Independent Ethics Committee and operated at Unilever Port Sunlight,
United Kingdom. The study was conducted in compliance with the Declaration of Helsinki and its
subsequent revisions.

# 173 **Results**

#### 174 Genome composition

S. *capitis* sequence reads (scalp isolates, n = 59, other skin isolates, n = 7, publicly available isolates, n = 120) were assembled into draft genomes with an average of 85 contigs. The mean size of the assembled genomes ranged from 2.2-2.6 Mb. Each genome had a mean 2,335 (2,087– 2,565) predicted protein sequences (CDSs) with a meanGC content of 32.7%, similar to the *S. capitis* reference genome AYP1020 (20).

The pan-genome of the 186 *S. capitis* isolate dataset comprised 4,471 unique clusters of orthologous groups (COGs). The pan-genome was further divided into 2,034 (45.4%) core genes (shared by all genomes) and 2,437 (54.5%) accessory genes (shared by some genomes) (Figure 1B). Gene accumulation curves reflected an open pan-genome, where the addition of each new genome increases the total gene pool (Figure 1A). *S. capitis*, like other CoNS have a somewhat limited core genome but display an open pan-genome due to the introduction of novel or accessory genes by meansof HGT.

187 Further pan-genomes analysis of each S. capitis subspecies revealed most annotated genes in 188 the accessory genomes of both S. capitis ssp. capitis (53 %) and ssp. ureolyticus (57 %) were poorly 189 characterized, this was also true of the core genome (ssp. capitis 41 % and ssp. ureolyticus 38 %). The 190 finding could indicate the presence of novel gene clusters in the S. capitis genome and a low level of 191 curation. The most abundant categories in both subspecies core genomes were those linked to essential 192 gene functions, such as transcription (ssp. capitis 7 % and ssp. ureolyticus 7 %). In contrast, the 193 accessory genomes were enriched with gene clusters associated with replication, recombination and 194 repair (ssp. capitis 9 % and ssp. ureolyticus 9 %), as observed in the pan-genome analysis of each 195 subspecies (Figure 1C).

#### 196 **Population Structure and genetic diversity**

197 To explore the population structure of S. capitis, a maximum-likelihood phylogenetic tree was 198 constructed based on chromosomal SNP alignment length of 59,972 bp. This revealed two distinct 199 clades separated by an average pairwise distance of 7,538 core genome SNPs. The position of strains 200 included in this study to contextualise scalp isolates within an established S. capitis population and 201 described in the literature as either S. capitis subspecies, as well as culture collection type strains within 202 the phylogenetic reconstruction, was used to determine that S. capitis ssp. capitis isolates belonged to 203 the upper clade and ssp. *ureolyticus* to the bottom clade (Figure 2). While the S. capitis ssp. capitis 204 clade comprised of a single dominant subclade, S. capitis ssp. ureolyticus is more diverse and comprises 205 3 clades. Population structure was also inferred using BAPS to cluster genomes based on shared patterns 206 of variation, which was congruent with the phylogeny. In this study, clinical isolates are defined as 207 those isolated from a clinical setting e.g., hospital neonatal unit or from a host with a disease state. 208 Whereas commensal isolates are defined as those isolated from healthy hosts and the community. 209 Isolate origins were overlaid onto the phylogeny and revealed clinical isolates were predominantly 210 found in the S. capitis ssp. ureolyticus clade (78/106), while commensal isolates were associated with 211 the S. capitis ssp. capitis clade (59/82). Of note, 22 clinical isolates are interspersed across the dominant 212 sub-clade within the S. capitis ssp. capitis clade and 23 commensal isolates are interspersed across the two *S. capitis* ssp. *ureolyticus* sub-clades (Figure 2). The distribution could indicate that commensal and clinical isolates belonging to each subspecies are genetically similar and evolved from a common ancestor or alternatively *S. capitis* is a true opportunistic pathogen, and many strains have disease potential.

Extensive recombination was observed among the study isolates, with recombination most evident in BAPS clusters 2, 3, 4 and 5, which collectively contain 293 recombination blocks. BAPS cluster 1 revealed less recombination (total of 2 recombination blocks), however this is likely due to the reference genome itself being clustered in this group. Recombination was inferred across large regions of the chromosome, predominantly within the first ~750 kb and the last ~1 Mb of the genome (Supplementary Figure 1). The recombination data is consistent with *S. capitis* having arisen following extensive recombination events (16).

## 224 Insights into S. capitis pathogenicity

225 The CoNS that colonise human skin are generally considered to be non-pathogenic species 226 specialised for healthy human skin and mucosal surfaces, but they are now emerging as important 227 opportunistic pathogens (23, 25, 27, 51-53). Antimicrobial resistance properties are important factors of nosocomial infection. Therefore, we screened for presence of genetic determinants for AMR and 228 229 identified genes predicted to encode for resistance against tetracyline,  $\beta$ -lactam, bleomycin, fosfomycin, 230 methicillin resistance, fusidic acid streptogramin A, macrolide, linezolid, trimethoprim and 231 aminoglycoside. Amongst the S. capitis genomes analysed, 48 % (5 % S. capitis ssp. capitis and 44 % 232 S. capitis ssp. ureolyticus) were classified as MDR, carrying genetic determinants conferring resistance 233 against three or more classes of drugs (Figure 2). MDR was found in 78 % of isolates from the 234 ureolyticus clade, compared to 11 % of isolates from the capitis clade. When the dataset was stratified 235 by isolate origin, we found clinical isolates carried more AMR genes in comparison with commensal 236 isolates. A total of 635 AMR linked genes were found across clinical isolates (n = 99) compared to 169 237 found in commensal isolates (n = 81).

In addition to AMR genes, we also investigated the role of phenol-souble modulins (PSMs) contributing to the virulence potential of *S. capitis*. PSMs are a novel toxin family that have 240 antimicrobial activity (54, 55) and have been attributed to the competitive success of CoNS due to their ability to inhibit the growth of other commensal bacteria such as Cutibacterium acnes (O'Neill et al., 241 2020). A total of 5 gene clusters encoding  $\beta$ -class PSMs were identified (Figure 3), with gene clusters 242 243 1634, 1469 and 2040 found in >98 % of isolates, sharing >90 % similarity when locally aligned to 244 PSMs described and isolated from S. capitis, by O'Neill et al., (O'Neill et al., 2020). Similar to AMR 245 gene presence and absence, PSM-associated gene clusters were found more abundantly in the S. capitis 246 ssp. ureolyticus clade relative to the S. capitis ssp. capitis clade (Figure 3). Specifically, gene clusters 247 1421 and 1472 were found in 66 % and 55 % of isolates from the *ureolyticus* clade, in contrast to 3 % 248 and 0 % isolates from the *capitis* clade. (Figure 4).

To further investigate variation of the  $\beta$ -class PSMs identified, we performed multiple sequence alignment of corresponding amino acid sequence from the 6 gene clusters, which revealed conservation of residues attributed to the maintenance of the amphipathic nature of the peptides, essential to PSM antimicrobial activity (56). Specifically, lysine at 3<sup>rd</sup> and/or tryptophan at 20<sup>th</sup> position are putatively associated with providing antibacterial activity of  $\beta$ -class family peptides (56), both of which are conserved in the peptide sequences of this study (Figure 4).

255 Additionally, we specifically screened for the presence of orthologous CDS that likely 256 contribute to S. capitis pathogenicity. Including, staphylococcal cell wall associated (CWA) proteins 257 curated with potential virulence roles, including biofilm-associated proteins IcaRADBC, capsule 258 biosynthesis proteins CapDACB, surface adhesins AtlE, Pls, Aap, FnbpA, SesA, SesB, SesC, SesG, 259 Ebp and Bap, and MSCRAMMs SdrX, SdrZL, SdrH, SdrF and SdrG. Of these CWA proteins, 11 were 260 encoded in S. capitis AYP1020. Across the 186 S. capitis genomes analysed, sesA, sesB, sesC, sesG, 261 icaRADBC, fbnpA, capDACB and atlE were found in all isolates. MSCRAMM genes sdrX and sdrZL 262 were found in >95 % of genomes investigated. Contrastingly, the surface adhesin gene pls was found in <10 % of S. capitis genomes and was absent from ssp. capitis (Figure 3). Notably, genes that were 263 264 absent from the S. capitis AYP1020 genome (determined in this study as S. capitis ssp. ureolyticus) but 265 present in other CoNS species such as, S. epidermidis RP62a (Cameron et al., 2015), included sdrF, ebp, bap, sdrH, sdrG and aap. These genes were absent from >95 % of the genomes included in this 266

study (20) (Figure 3). The secreted protein genes *hlb, clpP, clpBCX, sepA, htrA, lip, geh1, geh2* and *lipA* were present in >95 % of all the isolates. The presence of a suite of exoproteins could contribute
to host colonisation, persistence, infection and immune evasion, important to both pathogenesis and
colonisation (25). Notably, *sspA, sspB* and *sspC* were absent in *S. capitis* genomes; the serine protease
SspA promotes invasion in *S. aureus* (57) (Figure 3).

272 The 16 two component systems (TCS) described in S. aureus are conserved in other closely 273 related CoNS, indicative of adaptive and highly versatile species (58, 59). The number of TCS found 274 within bacterial genomes is proportional to genome size, diversity of environment and the complexity 275 of bacterial cellular processes (59). TCS form part of complex regulatory systems that respond to 276 multiple environmental signals and are vital to the capacity of staphylococcal species to colonise, 277 survive on different body surfaces and cause a diverse spectrum of disease (58, 60). S. aureus TCS are 278 extensively characterised and therefore the 186 S. capitis genomes included in this study were screened 279 for homologous protein sequences. Of the 16 TCS, 14 were found in S. capitis. This is intermediate 280 with S. epidermidis (16 TCS) and S. saprophyticus (11 TCS) with numbers determined by Rapun-Araiz, 281 et al. (2020) to be indeterminate of genome size in staphylococci (Table 1).

#### 282 S. capitis subspecies definition

283 To biochemically assess differences between the two subspecies S. capitis ssp. capitis and S. 284 capitis ssp. ureolyticus based upon the original descriptions of Bannerman and Kloos (2) the API Staph-285 Ident Strip system was used (BioMérieux, Marcy l'Etoile, France). A total of 22 isolates sampled in this 286 study that were spread across the phylogenetic tree (11 assigned to the S. capitis ssp. capitis clade and 287 11 assigned to the S. capitis ssp. ureolyticus clade), as well as type and culture collection stains were 288 tested for classifying phenotypic traits. Among the 11 isolates belonging to the S. capitis ssp. ureolyticus 289 clade, only 4 (33 %) tested urease-positive/maltose-positive and 10 (91%) S. capitis ssp. capitis isolate 290 tested urease-negative/maltose-negative, indicating an unreliable phenotype of urease activity and 291 maltose fermentation as a subspecies definition (Supplementary Figure 2).

292 Since the original phenotypic trait descriptors for *S. capitis* did not sufficiently discriminate 293 between the subspecies, we then investigated correlation between the presence of genes *ureDEFG*, 294 which are known to control urease production in staphylococci (5). These genes were found to be a part 295 of the S. capitis core genome from pangenome analysis. However, as urease production is inducible and controlled by a complex network of genes, including CcpA, Agr and CodY in S. aureus (7), we 296 297 sought to quantify and analyse the genetic differences between S. capitis ssp. ureolyticus and capitis. 298 Analysis of the average nucleotide identity (ANI) between isolates determined here as S. capitis ssp. 299 ureolyticus and S. capitis ssp. capitis revealed that genomes from the two subspecies shared little 300 genetic differences with 96% ANI. Pan-genomic comparative analysis also revealed limited gene 301 content variation of 1% between the two subspecies. To help identify genes that could be used to 302 discriminate between the subspecies and serve as diagnostic markers for rapid identification by PCR in 303 future studies, we identified significantly overrepresented genes of each subspecies. This approach 304 identified a total of 38 gene clusters found across all S. capitis ssp. capitis genomes and 13 across all S. 305 capitis ssp. ureolyticus genomes (Table 2, Supplementary Table 2 & 3). Upon closer inspection of 306 differential genes output from Scoary, the majority of unique genes identified were in fact divergent 307 gene orthologues (Table 2 & Supplementary Table 2). An example of this is the *icaC* gene, which 308 encodes for an intercellular adhesion protein. While one version of this gene cluster was only found in 309 S. capitis ssp. ureolyticus isolates (98 %), another version sharing blastp identity of 22 % was only 310 present in S. capitis ssp. capitis isolates (0%).

311 To further investigate specific genetic signatures associated with each S. capitis subspecies, we 312 applied Scoary to identify genes that are overrepresented in S. capitis ssp. capitis, as well as S. capitis ssp. *ureolyticus*. A total of 1,086 predicted gene clusters were found to differ significantly (p < 0.05) 313 314 between the subspecies, although there were no significant differences found between assigned 315 functional COG categories (Table 1, Supplementary Table 2, Figure 1). Gene clusters with a known 316 function identified as being enriched in S. capitis ssp. capitis isolates include those for the arginine 317 catabolic mobile element (ACME), encoding arginine deiminase activity found in various species of 318 Staphylococcus. Most S. capitis isolates in this study contain a Type V ACME gene cluster, however 319 different conserved versions are found in each subspecies. ACME types are currently characterised by 320 presence and absence of the opp3 operon, encoding an arginine deaminase pathway, the arc operon, an 321 oligopeptide permease ABC transporter and kdp operon, encoding a potassium transporter (61-64). A 322 Type V ACME gene cluster is indicated by the presence of all three associated operons (64). Additional gene clusters enriched in ssp. capitis included those encoding: SasC cell wall anchored protein; and 323 324 CrtN dehydrosqualene desaturase involved in staphyloxanthin biosynthesis (Table 2, Supplementary 325 Table 2 & 3). In S. capitis ssp. ureolyticus, genes predicted to encode for virulence factors were found 326 to be enriched. Specifically, genes with antimicrobial-associated functions including \beta-lactam 327 resistance protein BlaR and  $\beta$ -class phenol soluble modulins (Table 2, Supplementary Table 2 & 3). 328 This is concurrent with the current literature that S. capitis ssp. ureolyticus as the more virulent 329 subspecies (8, 11).

# 330 **Discussion**

*S. capitis* is an opportunistic pathogen that is associated with increasing reports of bloodstream infections and neonatal infections in intensive care units. Currently, *S. capitis* is mostly studied with reference to the well-described and clinically important *S. epidermidis*. In the absence of a prior expansive study of *S. capitis* genomes from the scalp, the current work aimed to explore WGS of the species. The aim was to expand knowledge of its population structure and compare genomic differences between commensal and clinical isolates to gain understanding of the genetic factors that contributes to *S. capitis* pathogenicity.

338 Pangenome analysis indicated that the S. capitis has an open pangenome, which could arise 339 from a capacity to acquire exogenous DNA whilst living in extensive bacterial communities. The large 340 accessory genome size suggests that S. capitis contains a large repertoire of genes that confer advantages 341 under particular environmental conditions to support its colonisation and/or cause infections in clinical 342 settings. Similarly, other members of the Epidermidis cluster group, such as S. caprae and S. 343 epidermidis, have a large, open pangenome state that contrasts with certain other CoNS e.g., 344 Staphylococcus lugdunensis (65, 66). It can therefore be hypothesised that in common with other 345 staphylococci, horizontal gene transfer (HGT) has led to the acquisition of virulence genes within S. 346 capitis genomes (65). The identification of more virulent strains of S. capitis ssp. ureolyticus, in greater 347 frequencies in clinical settings combined with less virulent strains isolated from other sources, such as 348 the scalp could indicate a potential contextual basis for the HGT events. The addition of high-quality

long read genomic information from more extensive longitudinal studies, including sample collection from varying skin site locations, such as dry, moist, lipid rich and non-lipid rich areas, as well as more clinical isolates would allow further investigation into understanding the association of *S. capitis* subspecies and scalp skin state. Thus, adding to the many studies using the higher resolution afforded by WGS have enabled important differences to be uncovered in *S. capitis* genomes, such as their multidrug resistance profiles across different geographic regions (8, 16, 20).

355 Phylogenetic analysis revealed clustering of two distinct clades that likely represent the two 356 subspecies, herein termed the S. capitis spp. capitis and the S. capitis spp. ureolyticus clade. Most of 357 the clinical isolates from this study belonged to the S. capitis spp. ureolyticus clade and commensal 358 isolates belonging to the S. capitis spp. capitis clade, suggesting that S. capitis spp. ureolyticus is more 359 associated with clinical infections. This agrees with the current literature that indicates S. capitis spp. 360 *ureolyticus* is the more virulent subspecies, which is linked to the presence of genes for biofilm 361 formation and methicillin resistance (8, 11). The observation of multiple clinical isolates interspersed across the dominant sub-clade of S. capitis spp. capitis clade indicates that clinical and commensal 362 isolates share a similar genetic background, and while S. capitis spp. capitis is less associated with 363 clinical infection it can have disease potential. 364

Although the scalp-associated isolates sampled in this study were not from clinical infections, potential virulence-linked genes were found throughout their genomes, highlighting *S. capitis* versatility and potential for adaptation that might cause significant disease in settings like the NICU. Further exploration of sequence differences will be required to unravel defining features of *S. capitis* subspecies to support the hypothesis that *S. capitis* ssp. *ureolyticus*, or genomes belonging to the *S. capitis* ssp. *ureolyticus* clade, are generally more virulent.

Many published studies focus on *S. capitis* strains isolated from NICU and other clinical settings, describing the emergence of drug resistance in response to the use of antimicrobial and antiseptic therapy to treat CoNS infections (16-18, 67, 68). Antimicrobial resistance to vancomycin and fusidic acid was reported among *S. capitis*, like *S. aureus*, suggesting the occurrence of inter-species genetic exchange (16, 67). 376 In addition to antimicrobial resistance, biofilm formation was proposed to be an important virulence trait of S. capitis in both clinical and commensal settings (8, 23). In keeping with this proposal, 377 378 the current work confirms the presence of biofilm-related genes in the S. capitis genomes studied, 379 including *icaRADBC* operon, *ebh* and *atlE*, and extends it by identifying an IcaC encoding gene cluster 380 discriminating the subspecies with its presence in ssp. *ureolyticus*. The role of IcaC (Table 2) in S. 381 *capitis* was described by Cui, et al as activity modifying synthesised glucan by acetylation (2015). A more extensive investigation of IcaC, including S. capitis isolated from different sources could 382 383 contribute to further understanding trait differences that determine ssp. specialisation. The suite of 384 biofilm genes facilitate staphylococcal primary attachment by binding to extracellular matrix 385 molecules, and intercellular aggregation (23). The presence of these genes may confer a selective 386 advantage in both a clinical setting and on the scalp and forehead. Further phenotypic studies of biofilm 387 formation, metabolism, and multidrug resistance in S. capitis isolates, including those in this study will 388 extend our knowledge of speciation and specialisation of staphylococci and S. capitis, and could help 389 with precise studies of factors that pertain to emergent clinical disease.

Currently, subdivision of S. capitis to ssp. ureolyticus and ssp. capitis is based upon original 390 391 descriptions of S. capitis ssp. ureolyticus urease activity, ability to produce acid from maltose, fatty acid 392 profile, larger colony size and DNA sequence differentiation (2). The multiple discriminating traits 393 were not explored in full here but biochemical analysis using API Staph-Ident Strip system, the most 394 common method to discriminate S. capitis subspecies, was tested on 14 isolates sampled from this study 395 and 3 isolates from the culture collection type strains. Discrepancy between biochemical and whole genome phylogenetic assignment of the subspecies were observed, as only 33 % of tested isolates that 396 397 belonged to the S. capitis ssp. ureolyticus clade tested positive for urease activity. Highlighting that 398 classification of S. capitis subspecies by urease activity is unreliable and requires confirmation using 399 other discriminating traits.

400 Further analysis to characterise the genetic relatedness between the two subspecies using ANI 401 revealed genomes of each subspecies were similar, sharing 96 % nucleotide identity. Instead, we 402 investigated discriminating gene clusters and observed that *S. capitis* spp. *ureolyticus* genomes were 403 enriched with antimicrobial resistance gene functions, such as  $\beta$ -lactam resistance genes and  $\beta$ - class 404 phenol soluble modulins. Whereas S. capitis spp. capitis genomes were enriched with gene clusters linked to skin survival based on the presence of the arginine catabolism mobile element (ACME) that 405 encodes enzymes to counteract low pH (62, 63). ACME is a genomic island first described in S. aureus 406 407 USA300 and in S. epidermidis ATCC 12228 (69, 70). It was shown to enhance staphylococcal 408 colonisation of the skin and mucous membranes, showing similar characteristics to the staphylococcal cassette chromosome mec (SCCmec) element (61, 71). While not investigated functionally here in S. 409 410 *capitis* it is likely to have a similar function. We hypothesise that ACME activity that discriminates ssp. 411 capitis could represent a key factor of subspeciation through niche specialisation. Analysis of virulence 412 gene profiles determined that as a species, S. capitis has a similar repertoire of virulence genes to several 413 other CoNS species, with respect to AMR, PSMs and secreted proteases (65, 72). Investigation of the 414 subspecies classifications highlighted here demonstrate that further analysis is required for robust 415 markers of subspecies classification within their core genomes, although several genes exclusive to 416 each subspecies were identified and could serve as subspecies biomarkers.

417 In conclusion, this study identified distinct clustering of the two subspecies of S. capitis and 418 determined gene clusters for traits that might rapidly progress our understanding of S. capitis relevant 419 to disease. Specifically, we propose that the original subspecies definition ssp. *ureolyticus* needs to be 420 reconsidered based on species subclades that define it based on the importance of MDR and virulence. It is likely that the widespread use of antimicrobials, the openness of the S. capitis pangenome and 421 422 acquisition of MGEs with beneficial mutations has promoted the emergence of virulence traits in S. capitis isolates. Continued research into classification of S. capitis as subspecies versus virulence linked 423 424 phylogroups will improve surveillance of the spread and evolution of S. capitis.

# 425 Data Summary

426 Short read sequences supporting the findings of this study have been deposited in the European
427 Nucleotide Archive (https://www.ebi.ac.uk/ena/) under the project accession number PRJEB47273.
428 Accession numbers for isolates used in this study are listed in Supplementary Table 1. Publicly available
429 sequences were downloaded from GenBank (https://www.ncbi.nlm.nih.gov/genbank/), Sequence Read

- 430 Archive (<u>https://www.ncbi.nlm.nih.gov/sra</u>) or European Nucleotide Archive
- 431 (<u>https://www.ebi.ac.uk/ena</u>), and accession numbers are listed in Table Supplementary Table 1.

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# 436 **Conflict of Interest Statement**

This study received funding from Unilever PLC. The funder was involved in the collection of scalp isolates used in this study. The funder had no role in study design, analysis, interpretation of the data, the writing of this article or the decision to submit it for publication. All authors declare that the research was conducted in the absence of any other competing interests.

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## 444 Author Notes

445 C.E.C and M.J.H conceived and designed this study. C.E.C performed all the data analysis and
446 interpretation for the results under the scientific guidance of R.J.B and M.J.H. C.E.C and M.J.H drafted
447 the manuscript. All authors contributed to editing of the manuscript.

# 448 Figure Legends

## 449 Figure 1. Representation of the pangenome and COG functional annotation of *S. capitis* genomes.

(A) Pangenome curve generated by plotting total number of gene families in the pan (blue) and core(red) genome of *S. capitis*. The graph represents how the pan- and core- genomes vary as genomes are

452 added in random order. As the number of genomes increased, the pan-genome increased. (B)

453 *Staphylococcus capitis* pangenome statistics. The size of the pangenome, including core (shared by >95

% of isolates), shell (found in 15-95 % of isolates) and cloud (found in <15 % of isolates) genes. (C)</li>
Functional annotation of the core and accessory genomes of *S. capitis* subsp. *capitis* and *S. capitis*subsp. *ureolyticus*. Percentages of the core and accessory genomes annotated according to COG
functional categories.

458 Figure 2. Maximum-likelihood phylogeny based on core genome alignments of 186 S. capitis 459 isolates, presenting the presence and absence of antimicrobial resistance genes. ML tree is midpoint 460 rooted and bootstrap support values were calculated from 1,000 replicates. The first colour block 461 represents rhierBAPS clustering, dots describe the setting where isolates were retrieved; green = 462 commensal (including scalp samples from this study), red = clinical and grey = unknown. Filled grey 463 triangles describe scalp isolates from this study. The subspecies differentiation of S. capitis is presented 464 as the subclades described as BAPS groups 1, 3, 4 and 5. Presence (coloured blocks) and absence (white 465 blocks) of antimicrobial resistance is denoted for each isolate (\*Quaternary Ammonium Compounds). 466 The scale bar represents the number of nucleotide substitutions per site. Figure was visualised using iTol v 4.2 (41). 467

Figure 3. Maximum-likelihood phylogeny based on core genome alignments of 186 S. capitis 468 469 isolates, presenting the presence and absence of genes linked to CoNS virulence potential. ML tree 470 is midpoint rooted and bootstrap support values were calculated from 1,000 replicates. The first colour 471 block represents rhierBAPS clustering, dots describe the setting where isolates were retrieved; green = 472 commensal (including scalp samples from this study), red = clinical and grey = unknown. Filled grey triangles describe scalp isolates from this study. The subspecies differentiation of S. capitis is presented 473 as the subclades described as BAPS groups 1, 3, 4 and 5. Presence (coloured blocks) and absence (white 474 475 blocks) of virulence genes is denoted for each isolate. The scale bar represents the number of nucleotide 476 substitutions per site. Figure was visualised using iTol v 4.2 (41).

477 Figure 4. Multiple sequence alignment of β-class Phenol Soluble Modulins (PSMs) of *S. capitis* 478 isolates. MSA of β-class PSMs protein sequences found in *S. capitis* genomes from this study and those 479 described by O'Neill *et al.*, (2020) (sequences marked with ▲) created with ClustalW (73). Residues 480 are coloured based on amino acid property (Red: small and hydrophobic, blue: acidic, magenta: basic, 481 green: hydroxyl, sulfhydryl, and amine and grey: unusual), positions that contain fully conserved

- 482 residues are marked with an asterisk and positions marked with a colon indicate conservation between
- 483 groups of amino acids with similar properties.

484

485

Two- component system	S. aureus reference	S. capitis reference	Presence in S. capitis ssp. capitis (%)	Presence in S. capitis ssp. ureolyticus (%)	Function
walRK	MW0018	AYP1020_RS09955	100	100	Cell wall maintenance, cell viability
	MW0019	AYP1020_RS09960	100	100	
hptSR	MW0198		0	0	Intracellular survival, uptake
	MW0199		0	0	phosphate
lytSR	MW0236		0	0	Autolysis, eDNA release,
	MW0237		0	0	biomi
graRS	MW0621	AYP1020_RS00130	100	100	AMPs resistance,
	MW0622	AYP1020_RS00135	100	100	growth at low pH
saeSR	MW0667	AYP1020_RS00365	100	100	Virulence factors regulation
	MW0668	AYP1020_RS00360	100	100	
tcs7SR	MW1208	AYP1020_RS03075	100	100	Uncharacterised function
	MW1209	AYP1020_RS03080	100	100	-
arlRS	MW1304	AYP1020_RS03545	100	100	Pathogenesis mechanisms
	MW1305	AYP1020_RS03540	100	100	-
srrBA	MW1445	AYP1020_RS03875	100	100	Anaerobic respiration, metabolism, growth at low temperatures
	MW1446	AYP1020_RS03880	100	100	
phoRP	MW1636	AYP1020_RS04760	100	100	Phosphate uptake and homeostasis
	MW1637	AYP1020_RS04765	100	100	

airRS	MW1789	AYP1020_RS05370	100	100	Oxidative stress response
	MW1790	AYP1020_RS05375	100	100	
varRS	MW1824	AYP1020_RS05700	100	100	Cell wall- affecting
	MW1825	AYP1020_RS05705	100	100	resistance, cell wall biosynthesis
agrCA	MW1962	AYP1020_RS06010	100	100	Quorom sensing control
	MW1963	AYP1020_RS06005	100	100	virulence factors
kpdDE	MW2002	AYP1020_RS09655	100	100	Potassium homeostasis
	MW2003	AYP1020_RS09660	100	100	regulation
hssRS	MW2282	AYP1020_RS07580	100	100	Heme metabolism
	MW2283	AYP1020_RS07585	100	100	regulation
nreCB	MW2313	AYP1020_RS07750	100	100	Response to low oxygen,
	MW2314	AYP1020_RS07755	100	100	reduction
braSR	MW2544	AYP1020_RS08920	100	100	Antimicrobial peptide
	MW2545	AYP1020_RS08925	100	100	resistance

487 Table 1. Two-component systems in *S. aureus* and *S. capitis*. Presence and absence of the 16 TCS
488 of *S. aureus* (MW2) described in *S. capitis* reference genome AYP1020 and isolates included in this
489 study.

Gene name	S. capitis reference	Annotation	S. capitis ssp. capitis (%)	S. capitis ssp. ureolyticus (%)
group_2869		Hypothetical protein	100	0
group_459		Putative phage head morphogenesis protein	100	0
crtNX		Dehydrosqualene desaturase	100	4.76
hdcA		Histidine decarboxylase proenzyme	100	6.67
hsdM		Type I restriction-modification system methyltransferase subunit	100	21.90
group_1023		Putative transcriptional regulator	100	29.52
group_2665	AYP1020_RS09385	Putative protein YjdF	100	34.29
sasCX		Cell-wall-anchored protein SasC	100	35.24
arcAX		Arginine deiminase	98.72	6.67
arcCX		Carbamate kinase ArcC1	98.72	7.62
arcDX		Arginine/ornithine APC family amino acid-polyamine-organocation transporter antiporter	98.72	7.62
argFX		Ornithine carbamoyltransferase	98.72	7.62
hsdSX		Type I restriction modification DNA specificity protein;type I restriction modification system site specificity determination subunit HsdS_1	98.72	7.62
trkG		Trk family potassium (K+) transporter ABC protein	98.72	11.43
arsB		Arsenical pump membrane protein	98.72	16.19
cdr		Coenzyme A disulfide reductase	98.72	19.05
arsR		Arsenical resistance operon	98.72	19.05
group_2407		Arsenate reductase (glutaredoxin)	98.72	20.95
arsA		Arsenical pump-driving ATPase	98.72	55.24
arsD		Arsenical resistance operon trans- acting repressor ArsD	92.31	37.14
pyrBX		Aspartate carbamoyltransferase catalytic subunit	98.72	0.95
group_1353	AYP1020_RS02690	Hypothetical protein	0	100
group_798	AYP1020_RS11575	Hypothetical protein	0	100
group_1413	AYP1020_RS11570	Hypothetical protein	0	99.05
icaCX	AYP1020_RS08445	Putative poly-beta-16-N-acetyl-D- glucosamine export protein	0	98.10
fmrO	AYP1020_RS12300	rRNA methyltransferase FmrO;hypothetical protein	35.90	96.19
dapF	AYP1020_RS09635	Diaminopimelate epimerase	35.90	96.19

qorB	AYP1020_RS06480	Cobalt (Co2+) ABC superfamily ATP binding cassette transporter membrane protein	0	78.10
group_1472		Antibacterial protein (phenol soluble modulin)	0	55.24
mqo		Putative malate:quinone oxidoreductase 2	0	64.76
pfpI		Intracellular protease	0	60
opp1B		Oligopeptide ABC superfamily ATP binding cassette transporter membrane protein	35.90	84.76
opp1D		Oligopeptide ABC superfamily ATP binding cassette transporter ABC protein	35.90	84.76
group_687		Hypothetical protein	35.90	84.76
group_585		MFS family major facilitator transporter	35.90	84.76
opp1A		Oligopeptide ABC superfamily ATP binding cassette transporter binding protein	35.90	84.76
opp1C		Oligopeptide ABC superfamily ATP binding cassette transporter membrane protein	35.90	84.76
opp1F		ABC superfamily ATP binding cassette transporter ABC protein	35.90	84.76
blaRX		β-lactamase regulatory protein	6.41	62.86

491

492 Table 2. Gene clusters found significantly enriched in either *S. capitis* ssp. *capitis* or ssp. 493 *ureolyticus* (p < 0.001). Gene clusters, presence and absence, and functional descriptions were obtained 494 from Panaroo and Scoary pangenome analysis of assembled genomes. X these genes exist in different 495 conserved versions in isolates. *S. capitis* reference gene numbers are from *S. capitis* AYP1020 496 (Genbank Assembly Accession: GCA\_001028645.1) (20). The complete list can be found at 497 Supplementary Table 2.

# 498 Supplementary Information

499 Figure S1. Analysis of the *S. capitis* genome alignment with Gubbins. The maximum likelihood 500 phylogenetic reconstruction of *S. capitis* is shown on the left, with coloured bands highlighting 501 rhierBAPS clustering and isolation site of genomes. Filled grey triangles describe scalp isolates from this study. Homologous recombination events for each *S. capitis* genome ordered based on their position
in the AYP1020 reference genome (shown along the top) are shown on the right. Recombination blocks
detected in >1 isolate are shown in red, while blocks affecting a single isolate are indicated in blue.
Figure visualised using Phandango (74).

506 Figure S2. Staphylococcus capitis API-Staph test results. Representative S. capitis ssp. capitis and 507 ssp. *ureolyticus* isolates, including culture collection type strains were biochemically analysed to 508 confirm phenotypic signature of subspecies. ML tree is midpoint rooted and bootstrap support values 509 were calculated from 1,000 replicates. The first colour block represents rhierBAPS clustering, dots 510 describe the setting where isolates were retrieved; green = commensal (including scalp samples from 511 this study), red = clinical and grey = unknown. Filled grey triangles describe scalp isolates from this study. The subspecies differentiation of S. capitis is presented as the subclades described as BAPS 512 513 groups 1, 3, 4 and 5. Tests include substrates (from left to right): NO substrate, D-GLUcose, D-514 FRUctose, D-ManNosE, D-MALtose, D-LACtose, D-TREhalose, D-MANnitol, XyLiTol, D-515 MELibiose, potassium NIT rate, β-naphthly phosphate, sodium pyruvate, D-RAF finose, D-XYL ose, D-516 SACcharose, Methy-αD-Glucopyranoside, N-Acetyl-Glucosamine, L-arginine and UREa. 517 Table S1. Staphylococcus capitis isolates used in this study. Isolates were either isolated in this study, 518 type strains or sequence data obtained from the NCBI database.

Table S2. Genes significantly differentiating *S. capitis* subspecies. Gene identification output from
 Scoary analysis.

521 Table S3. Representative protein sequences of hypothetical gene clusters that significantly

- 522 differentiate S. capitis subspecies. Gene identification output from Scoary analysis and protein
- 523 sequence information from annotated genome assemblies.

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