1	Soil-expended seismic metamaterial with ultralow and wide bandgap
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14	Abstract: The low-frequency wide-bandgap characteristics of the seismic metamaterial
15	can suppress the propagation of vibrations and reduce the risk of extreme loadings such
16	as earthquakes. The stringent requirement of lattice size extensively increasing with the
17	cost of forming seismic metamaterial using general engineering materials. We design
18	soil-expanded seismic metamaterial to reduce the scale restriction on artificial materials.
19	Two types of soil-expanded lattice are created, and the bandgap characteristics for the
20	lattice are obtained through the transfer matrix method. The propagation process for
21	finite periodic lattice is simulated by the finite difference method in the time domain. It
22	is found that the acceleration amplitudes in the wave propagation region are suppressed
23	by 90% for the seismic metamaterial with rubber components. The response spectra

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24 further indicate that seismic metamaterials can reduce seismic risk in targeted areas.

25 **Keywords:** Vibration attenuation, Seismic metamaterial, Bandgap, Periodic structures,

- 26 Soil-structure interaction, Seismic prevention.
- 27

# 28 **1. Introduction**

29 Earthquake, sudden and devastating natural disaster, has been well known for bringing 30 huge casualties and economic losses and is still a huge challenge for all mankind [1-3]. 31 Various theories and techniques have been developed to promote structural seismic 32 resistance, but these measures can only protect the structure in which it is located, and 33 earthquake-indenergy-absorbing elements can cause considerable damage under large 34 earthquakes. These damages are often difficult to repair or even so difficult to replace 35 that the structure can no longer be used [4,5]. The presence of these problems makes 36 earthquakes still a huge threat to cities. Recently, the advent of phononic crystal 37 acoustic metamaterials has allowed us to directly control the propagation of elastic 38 waves. Seismic waves are elastic; they can also be controlled by phononic crystals, 39 meaning that region-scale phononic crystals have the potential to enable earthquake 40 protection for entire urban areas. Phononic crystal is an artificial acoustic metamaterial 41 composed of periodically distributed media [6] when region-scale metamaterials are 42 particularly designed for seismic this kind of metamaterial as seismic crystals [7] or 43 seismic metamaterials(SMs) [8].

Seismic metamaterials consist of a series of identical lattices that prevent elastic waves in certain frequency ranges from propagating through the metamaterial. These frequency ranges are often referred to as bandgaps and are the essence of seismic metamaterials that can control the propagation of seismic waves. The realization of bandgaps is based on periodically repeating lattices. The geometry, density, and Young's modulus of the lattice control the location and width of the band gaps.
Specially designed low-frequency bandgap seismic metamaterials can prevent seismic
waves from propagating into urban areas or critical structures such as power plants and
hospitals.

53 Over the last decade, considerable literature has grown up around the topic of surface 54 wave attenuation by seismic metamaterials. A number of authors have considered the 55 formation of bandgaps by periodically burying artificial structures below the ground to 56 attenuate seismic surface waves. Pu [9, 10] analyzed surface wave attenuation by 57 periodically cylindrical concrete piles, calculated the effect of soil stratification on 58 bandgaps, and proposed a new method for identifying surface wave bandgaps. Zhang 59 [11], Miniaci [12] and Amanat [13] presented seismic metamaterials with different 60 cross-sections, they calculated the bandgaps and transmission properties of seismic 61 metamaterials by commercial finite element software. Considering the anisotropy of 62 the soil, Guo et al [14] combined theoretical derivation and numerical modelling to 63 calculate the bandgaps and transmission curve of rubber-steel piles. The authors 64 proposed a low-frequency bandgap seismic metamaterial in anisotropic soil. Using 65 laboratory experiments, Zeng [15] and Chen [16] verified the bandgaps of two different seismic metamaterials through transmission curve. Different with mentioned above, 66 67 Brûlé [17] and Kacin [18] constructed periodic holes with different distribution shapes 68 in natural soil and verified the ability of seismic metamaterials to attenuate surface 69 waves by amplitude distribution. In addition, some studies have shown that the periodic 70 attachment of specially designed structures to the soil surface is also capable of forming 71 bandgaps. By commercial finite element software, the bandgaps and transmission curve 72 of built-up structural steel sections [19], H-fractal seismic metamaterial [20], 73 Minkowski-like fractal seismic metamaterial [21], T-shaped seismic metamaterial [22]

and Matryoshka-like seismic metamaterial [23] are well analyzed. Recently, Zeng [24]
pointed out that negative Poisson's ratio materials are more conducive to the formation
of low-frequency metamaterials. However, such studies remain narrow in focus dealing
only with surface waves attention.

78 Seismic waves are divided into surface waves and body waves. Surface waves 79 propagate only in the horizontal direction, while body waves can propagate in both the 80 horizontal and vertical directions. There is little published data on the attention of body 81 waves through seismic metamaterials [7,25]. As noted by Geng, one-dimensional 82 layered seismic metamaterials can effectively attenuate body waves propagating in any direction [25]. They calculated the bandgap of a two-component layered seismic 83 84 metamaterial by a theoretical method and discussed the effect of material properties on 85 the width and location of the first-order bandgap. Geng argues that it is hard for two-86 component seismic metamaterials to form low-frequency bandgaps when the artificial 87 material thickness is less than the seismic wavelength. However, they only calculated 88 the bandgaps for shear waves. For longitudinal waves in soil, which have longer 89 wavelengths, it is even more difficult to form bandgaps with the same lattice thickness. 90 In addition, little is known about the transmission properties of seismic metamaterials 91 with only finite dimensions in the aperiodic direction.

This paper discusses the case of attention of body waves by one-dimensional seismic metamaterial. Two kind of one-dimensional seismic metamaterial to reduce the thickness of artificial material are presented, both of them expanded lattice by soil. There are two primary aims of this study: 1. To investigate the influence of soil thickness to bandgaps. 2. To ascertain transmission properties of this kind of seismic metamaterial. Firstly, the effect of soil thickness and artificial material thickness on the bandgap of both longitudinal wave and shear wave by transfer matrix method is 99 analysed. Subsequently, the finite difference method in time domain (FDTD) is used to 100 simulate the transmission properties of one-dimensional seismic metamaterials. Finally, 101 the transmission properties of layered seismic metamaterials with finite size in 102 aperiodic direction are simulated by 2D FDTD considering the practical situation.

# 103 2. Model and Bandgaps of soil-expanded lattice

## 104 **2.1 The model of soil-expanded lattices**

105 Many researchers have utilized soil as a matrix to form two- or three-dimensional 106 seismic metamaterials. However, one-dimensional layered seismic metamaterials have 107 no difference between matrix and scatterer, and the lattice contains only artificial 108 material. As a result, such a lattice cannot have dimensions comparable to seismic 109 wavelengths. Although it can somewhat control transverse waves, it isn't easy to control 110 longitudinal waves. To make the lattice size of the one-dimensional layered seismic 111 metamaterial comparable to the seismic wavelength, we propose two soil-expanded 112 lattices, as shown in Fig. 1.

113 Fig. 1(a) shows a schematic diagram of the protection of a target building by one-114 dimensional seismic metamaterial. As shown in Fig. 1(a), the seismic metamaterial is 115 placed in front of the target building. As shown in Fig. 1(b) and Fig. 1(c), two types of 116 soil-expanded lattices are illustrated. The red dashed box marked the lattice. The lattice 117 shown in Fig. 1(b) consists of concrete and soil (CS lattice), while the lattice shown in 118 Figure 1(c) consists of a concrete layer wrapped in rubber in front and behind and soil 119 (CRS lattice). Compared to Fig. 1(b), the CRS lattice in Fig. 1(c) contains rubber, which 120 is more conducive to forming band gaps through the local resonance mechanism.



It is worth noting that both soil-expanded lattices make the soil an integral part of the lattice. So in both soil-expanded lattices, increasing the thickness of the soil increases the lattice size. As the seismic metamaterial is buried in the soil, controlling the soil thickness is easy to realize, that is, maintaining the burial distance between each concrete layer.

## 128 **2.2 bandgaps of soil-expanded lattice**

Eqn. 1 shows the equation for the propagation of an elastic wave in a onedimensional metamaterial.

$$\frac{\partial^2 U(x,t)}{\partial t^2} = \frac{1}{C} \cdot \frac{\partial^2 U(x,t)}{\partial x^2} \tag{1}$$

131 where U(x,t) is the displacement field in the computational domain, and C is the 132 elastic wave velocity. In infinitely repeating one-dimensional layered metamaterials, 133 the displacement fields at the ends of the lattice satisfy the Bloch period condition 134 shown in Eqn. 2.

$$U(x+a) = e^{-ika} \cdot U(x) \tag{2}$$

135 where U(x) is the displacement at the left end of the lattice, and U(x+a) is the

displacement at the right end of the lattice. i is an imaginary number and k is the wave number, a is the length of lattice. The eigenfrequency analysis of each wave number k of the lattice shown in Fig. 1(b) and Fig. 1(c) using the transfer matrix method enables the dispersion equation for the field expansion lattice to be obtained, as shown in Eqn. 3.

$$2\cos[k(a_1+a_2)] = \cos\left(\frac{\omega a_1}{C_1}\right)\cos\left(\frac{\omega a_2}{C_2}\right) - \frac{C_1\mu_2}{\mu_1C_2} \cdot \sin(\frac{\omega a_1}{C_1})\sin(\frac{\omega a_2}{C_2}) - \frac{C_2}{\omega \cdot \mu_2} \cdot \left(\frac{\mu_1 \cdot \omega \cdot \sin(\frac{\omega a_1}{C_1})\sin(\frac{\omega a_2}{C_2})}{C_1} - \frac{\omega \cdot \mu_2\cos\left(\frac{\omega a_1}{C_1}\right)\cos\left(\frac{\omega a_2}{C_2}\right)}{C_2}\right)$$
(3-a)

$$2\cos[k(a_1+a_2)] = \cos\left(\frac{\omega a_1}{C_1}\right)\cos\left(\frac{\omega a_2}{C_2}\right) - \frac{(\lambda_2+2\mu_2)C_1}{(\lambda_1+2\mu_1)C_2} \cdot \sin\left(\frac{\omega a_1}{C_1}\right)\sin\left(\frac{\omega a_2}{C_2}\right) - \frac{C_2}{\omega\cdot(\lambda_2+2\mu_2)} \cdot \left(\frac{(\lambda_1+2\mu_1)\cdot\omega\cdot\sin\left(\frac{\omega a_1}{C_1}\right)\sin\left(\frac{\omega a_2}{C_2}\right)}{C_1} - \frac{\omega\cdot(\lambda_2+2\mu_2)\cos\left(\frac{\omega a_1}{C_1}\right)\cos\left(\frac{\omega a_2}{C_2}\right)}{C_2}\right) - \frac{(3-b)}{C_2}$$

$$2\cos[k(a_{1}+a_{2})] = \frac{1}{C_{2} \cdot C_{3}^{2} \cdot C_{4} \cdot \mu_{2} \cdot \mu_{3}^{2} \cdot \mu_{4}} \cdot \{ \cos^{2}(\frac{\omega a_{1}}{C_{3}}) \cdot [-\sin(\frac{\omega a_{1}}{C_{2}})\sin(\frac{a_{2}\omega}{C_{4}}) \cdot (C_{3}^{2}\mu_{4}^{2} + C_{4}^{2}\mu_{3}^{2}) \cdot (C_{2}^{2}\mu_{3}^{2} + C_{3}^{2}\mu_{2}^{2}) + 4\cos(\frac{\omega a_{1}}{C_{2}})\cos(a_{2}\frac{\omega a_{1}}{C_{4}})C_{2}C_{3}^{2}C_{4} \cdot \mu_{2} \cdot \mu_{3}^{2} \cdot \mu_{4}] - 2C_{3}\mu_{3}\sin(\frac{\omega a_{1}}{C_{3}})\cos(\frac{\omega a_{1}}{C_{3}})\cos(\frac{\omega a_{1}}{C_{3}}) \cdot [C_{2}\mu_{2}\cos(\frac{\omega a_{1}}{C_{2}})\sin(a_{2}\frac{\omega a_{1}}{C_{4}})(C_{3}^{2}\mu_{4}^{2} + C_{4}^{2}\mu_{3}^{2}) + C_{4}\mu_{4}\sin(\frac{\omega a_{1}}{C_{2}})\cos(a_{2}\frac{\omega a_{1}}{C_{4}})(C_{2}^{2}\mu_{3}^{2} + C_{3}^{2}\mu_{2}^{2})] + \sin(\frac{\omega a_{1}}{C_{2}})\sin(\frac{\omega a_{2}}{C_{4}})(C_{2}^{2}C_{4}^{2}\mu_{3}^{2} + C_{4}^{2}\mu_{3}^{2}) - 2\cos(\frac{\omega a_{1}}{C_{2}})\cos(\frac{\omega a_{2}}{C_{4}})(C_{2}^{2}C_{4}^{2} + C_{4}^{2}\mu_{3}^{2}) - 2\cos(\frac{\omega a_{1}}{C_{2}})\cos(\frac{\omega a_{2}}{C_{4}})(C_{2}^{2}C_{4}^{2} + C_{4}^{2}\mu_{3}^{2}) - 2\cos(\frac{\omega a_{1}}{C_{2}})\cos(\frac{\omega a_{2}}{C_{4}})(C_{2}^{2}C_{4}^{2} + C_{4}^{2}\mu_{3}^{2}) + 4\cos(\frac{\omega a_{1}}{C_{2}})\cos(a_{2}\frac{\omega a_{1}}{C_{4}})(C_{2}^{2}\mu_{3}^{2} + C_{3}^{2}\mu_{2}^{2})] + \cos(\frac{\omega a_{1}}{C_{2}})\cos(\frac{\omega a_{2}}{C_{4}})(C_{2}^{2}C_{4}^{2} + C_{4}^{2}\mu_{3}^{2}) - 2\cos(\frac{\omega a_{1}}{C_{2}})\cos(\frac{\omega a_{2}}{C_{4}})(C_{2}^{2}C_{4}^{2} + C_{4}^{2}\mu_{3}^{2}) + C_{4}^{2}(\lambda_{2} + 2\mu_{2})^{2}) + 4\cos(\frac{\omega a_{1}}{C_{2}})\cos(a_{2}\frac{\omega a_{1}}{C_{4}})(C_{2}^{2}C_{3}^{2} + C_{3}^{2}\mu_{2})] - 2\cos(\frac{\omega a_{1}}{C_{3}})\cos(\frac{\omega a_{1}}{C_{3}})\cos(\frac{\omega a_{1}}{C_{4}})(C_{2}^{2}(\lambda_{4} + 2\mu_{4})^{2} + C_{4}^{2}(\lambda_{4} + 2\mu_{4})^{2}) + C_{4}^{2}(\lambda_{4} + 2\mu_{4})^{2}\sin(\frac{\omega a_{1}}{C_{2}})\cos(a_{2}\frac{\omega a_{1}}{C_{4}})(C_{2}^{2}(\lambda_{4} + 2\mu_{4})] - 2\cos(\frac{\omega a_{1}}{C_{3}})\cos(\frac{\omega a_{1}}{C_{4}})(C_{2}^{2}(\lambda_{4} + 2\mu_{4})^{2} + C_{4}^{2}(\lambda_{4} + 2\mu_{4})^{2}) + C_{4}^{2}(\lambda_{4} + 2\mu_{4})^{2}\sin(\frac{\omega a_{1}}{C_{2}})\cos(a_{2}\frac{\omega a_{1}}{C_{4}})(C_{2}^{2}(\lambda_{4} + 2\mu_{4})] - 2\cos(\frac{\omega a_{1}}{C_{3}})\cos(\frac{\omega a_{1}}{C_{3}})\cos(\frac{\omega a_{1}}{C_{4}})(C_{2}^{2}(\lambda_{4} + 2\mu_{4})^{2}) + C_{4}^{2}(\lambda_{4} + 2\mu_{4})^{2}\sin(\frac{\omega a_{1}}{C_{2}})\cos(a_{2}\frac{\omega a_{1}}{C_{4}})(C_{2}^{2}(\lambda_{4} + 2\mu_{4})^{2}) + C_{4}^{2}(\lambda_{4} + 2\mu_{4})^{2}) + C_{4}^{2}(\lambda_{4} + 2\mu_{4$$



Eqn. (3-c) and Eqn. (3-d) describes the dispersion equation for the CRS lattice. The detailed analysis of Bloch's boundary conditions and the derivation of Eqn. 3 can be found in Supplementary Material S1 and literature [26-28].

151 When the wave number k in Eqn. 3 is specified, a number of eigenfrequency f that 152 satisfy the equation can be calculated. Since the lattice is periodically repeated, the 153 dispersion curve is obtained by calculating only the wave number k within the first

154 Brillouin zone
$$(k \in \left(-\frac{\pi}{2a}, \frac{\pi}{2a}\right))$$
, where *a* is the length of the lattice. When there is

155 no corresponding wave number k in a section of frequency, these frequency sections 156 are called bandgaps. As we can see, there is more than one bandgap. The upper and 157 lower boundaries of bandgaps determine the location and width of the bandgaps. If an 158 elastic wave has only frequencies within the bandgaps, such an elastic wave cannot 159 propagate through seismic metamaterials. Seismic waves are ultra-low frequency 160 elastic waves, the main frequency component of which is 0-15 Hz. Therefore, seismic 161 metamaterials should have a bandgap that is sufficiently low frequency and as wide as 162 possible. The bandgap with the smallest lower bound mark is bandgap 1st, and as the frequency rises, the other bandgaps are 2nd, 3rd, and so on. By taking  $k = \pi/(2a)$  into 163 164 Eqn. 3, we can obtain the upper and lower bound frequencies for the odd bandgaps. Bringing k = 0 into Eqn.3 gives the upper and lower bounds of the bandgap for order 165 166 bandgaps.

Since the lower bound of bandgap number one has the lowest frequency, we take  $k = \pi/2 \cdot a$  into account Eqn. 3 and calculate odd bandgaps. Note that the dispersion equations for the CS and CRS lattices have the artificial material layer thickness parameter and the soil thickness parameter, which can influence the upper and lower bound of the band gap. Therefore, the frequency f is calculated using the artificial

172	material layer thickness parameter $a_1$ and the soil thickness parameter $a_2$ as
173	variables, as shown in Fig. 2. Fig. 2(a) shows the distribution of the upper and lower
174	boundaries of the odd-numbered bandgap for the CS lattice in both modes. The vertical
175	coordinate is the frequency, the axis $a_1$ represents the concrete thickness, and the axis
176	$a_2$ represents the soil layer thickness. Fig. 2(b) shows the distribution of the upper and
177	lower boundaries of the odd-numbered bandgap of the CRS lattice for both modes. The
178	vertical coordinate is the frequency f, the axis $a_1$ represents the total thickness of the
179	rubber and concrete layers, and the axis $a_2$ represents the soil thickness. The material
180	data are shown in Tab. 1, where $V_p$ and $V_s$ is the wave velocity of the longitudinal
181	wave and shear wave. $\rho$ is the density of the material, $\mu$ and $\lambda$ are the Lame
182	coefficient of the material.

183

Table 1 Material property in CS and CRS lattice

Matarial	$V_p$	$V_s$	ρ		1
Material	(m/s)	(m/s)	(g/cm³)	μ	λ
C30 Concrete	3800	2820	2.36	9.4×10 <sup>9</sup>	1.82×10 <sup>10</sup>
Soil	1500	210	1.93	8.49×10 <sup>7</sup>	2.12×10 <sup>9</sup>
Rubber	571	45	1.3	2.67×10 <sup>6</sup>	4.18×10 <sup>8</sup>

Fig. 2 shows that the upper and lower boundaries of the odd-numbered bandgaps corresponding to different layer thicknesses. Both lattices can reduce the lower boundary of the bandgap by adding concrete and soil thickness. This may be due to the fact that increasing the concrete layer thickness is equivalent to increasing the resonator mass of the local resonance mechanism and reducing the band gap position. The CRS lattice is covered with softer rubber than soil, and the same thickness of concrete makes it easier for the local resonance mechanism to form, requiring less concrete thickness.
However, the increased thickness of the artificial material layer increases the cost of the
seismic metamaterial. Fig. 2(b)(d) shows the results of the analysis of the longitudinal
wave mode, where we consider the value of 30m for the CS lattice and 10m for the
CRS lattice.

196 Another trend in the bandgap in Fig. 2 is that the upper and lower boundary spacing 197 of bandgap number one becomes smaller as the soil thickness increases, which means 198 that the bandgap width decreases. There are two reasons for this phenomenon: on the 199 one hand, increasing soil thickness allows for a significant increase in lattice size, which 200 facilitates the acquisition of low-frequency band gaps. On the other hand, when the 201 thickness of the soil increases infinitely, the seismic metamaterial will converge 202 infinitely to the natural soil. However, natural soils do not have any bandgap, which makes the distance between the upper and lower boundaries of the bandgap decrease 203 204 with increasing soil thickness.



208 get the upper and lower bounds for the odd-numbered and even-numbered bandgaps,

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<sup>209</sup> respectively, as shown in Fig. 3.



(a) CSs lattices in shear wave of odd bandgap



(e) CRS lattices in shear wave of odd bandgap



(b) CS lattices in shear wave of even bandgap



(f) CRS lattices in shear wave of even bandgap



(c) CS lattices in longitudinal wave of odd bandgap



(g) CRS lattices in longitudinal wave of odd bandgap



(d) CS lattices in longitudinal wave of even bandgap



(h) CRS lattices in longitudinal wave of even bandgap

211 The upper and lower boundaries of the bandgap are circled with a red dash line in 212 Fig. 3, while the grey rectangle represents the dominant frequency of the seismic wave 213 between 0 and 15 Hz. When the red dashed box intersects the grey part of the diagram, 214 the soil thickness is such that a valid bandgap can be formed. For example, Fig. 3(a)(b) 215 the complete bandgap of CS lattice in shear wave model. The 1<sup>st</sup> bandgap are circled with red dash line, and has the intersect with grey part when  $a_2$  is less than 1m. 216 However, Fig. 3(c)(d) shows that the 1<sup>st</sup> bandgap intersect with grey part when  $a_2$ 217 more than 10m. For more valid bandgaps in longitudinal wave, the value of  $a_2$  could 218 219 be 50m. As the value of  $a_2$  is more than 50m, the intersection of red wireframe and gray part gets narrower. So the value of  $a_2$  should be 50m. Likely, we can get the soil 220 221 thickness of CRS lattice. Tab. 2 shows the size of two lattices. 222 Table2 Size of two lattices

Fig. 3 Variation of bandgap with the thickness of soil

Lattice	<i>a</i> <sub>1</sub> (m)	<i>a</i> <sub>2</sub> (m)	<i>a</i> (m)
CS	30	50	80
CRS	10	30	40

Taking the thickness shown in Tab. 2 to Eqn.3, the Fig. 4 shows the dispersion curves for the CS and CRS lattice. The grey part in Fig. 4 is the bandgap. The CS and CRS

226 lattices can form bandgaps within 0-15Hz in both modes.



## 228 **3. Numerical simulation of soil-expanded lattice**

The time-domain finite-difference method of acceleration loading is used to simulate the propagation of seismic waves through seismic metamaterials. This numerical method improves the standard time-domain finite-difference method and allows for convenient statistics on the computational domain's maximum acceleration and maximum displacement. The numerical calculation methods are described in Supplementary Material S2 and can also be found in the literature [29-31].

When the seismic metamaterial in Fig. 1(a) has infinite dimensions in the y-direction and seismic waves cannot pass the seismic metamaterial, the computational domain can be reduced to one dimension. Fig. 5 shows the one-dimensional computational domain. The PML absorption boundary is set at both ends of the computational domain, and the

- source is 50 m from the left-end boundary. The time history of point S1 and point S2
- 240 and the distribution of maximum acceleration and maximum displacement are recorded
- 241 during the computation.



242

Fig. 6 Seismic metamaterial with finite length in y direction

When the seismic metamaterial in Fig. 1(a) has only a finite size in the y-direction, there is a possibility that the seismic wave will go around to the back of the seismic metamaterial during propagation, as shown in Fig. 6. The computational domain is a square area of 1.2km×2.0km. The four sides of the square are set with PML absorption boundaries. The length of seismic metamaterial in the y-direction is 600m.

#### 250 **3.1 1D numerical analysis**

We used seismic wave data from the PEER website, El-Centro Array #9, from the earthquake of Imperial Valley-05. this seismic wave has a rich low frequency component. Fig. 7 shows the time history and spectrum of the seismic wave.

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256 Considering shear waves, the seismic waves were analyzed using the finite difference 257 method in the time domain through the one-dimensional computational domain shown 258 in Fig. 5, and the maximum displacement and acceleration distributions within all 259 computational domains were counted, as shown in Fig. 8.

Fig. 8 shows the distribution of the maximum acceleration and displacement of the seismic wave through the 1D computational domain. The "Uniform" and "SMs" labels mark the natural soil and the seismic metamaterial, respectively, as shown by the blue and red curves in Fig. 8. "F zone" and "P zone" mark the front and back of the seismic metamaterial. Fig. 8(a)(b) shows the CS seismic metamaterial compared to natural soil,

and Fig. 8(c)(d) shows the CRS seismic metamaterial compared to natural soil.



(c) Peak Displacement of CRS
 (d) Peak Acceleration of CRS seismic
 seismic metamaterial
 Fig. 8 Max displacement and acceleration

Firstly, both lattices effectively attenuate seismic waves, as seen from the red curve in Fig. 8, which is lower in the 'P zone' than the blue curve. It can be seen that the red curve in Fig. 8(b)(d) is significantly lower than the blue curve. Both lattices are better at attenuating acceleration than displacement. As acceleration is an important indicator of earthquake engineering, it can directly respond to the magnitude of the seismic forces in a structure. Therefore, installing seismic metamaterials in front of the protected building can effectively reduce the seismic forces on the structure.

Secondly, the attenuation process of seismic waves differs significantly between the two lattices. The maximum acceleration within the CS seismic metamaterial in Fig. 8(b) produces a peak at the concrete layer, and the peak decreases as the lattice increases. The peak is probably due to the high shear force where the concrete is located, and the acceleration required to balance the shear force increases accordingly, creating a peak. In contrast, the maximum acceleration within the CRS seismic metamaterial decays directly to near zero in the first lattice, and there is no peak in the acceleration within the seismic metamaterial. The wave impedance of the concrete layer is much higher than that of the rubber layer, resulting in a direct reflection of the elastic wave so that the maximum acceleration in the CRS seismic metamaterial is more evenly distributed and very close to 0. The red curve in the "F zone" section of Fig. 8(d) is higher than the blue curve, illustrating the reflection phenomenon.



 $T_n(s)$ 

(a) CR lattice acceleration response spectrum



(b) CRS lattice acceleration response spectrum

 $T_n(s)$ 

286	Fig. 9 response spectrum of seismic metamaterial
287	The dashed line in Fig. 9 is the response spectrum for the time course recorded at
288	monitoring point S1, and the solid line is the response spectrum for the table time course
289	recorded at monitoring point S2. The different colored curves represent the additional
290	damping of the response spectra. It can be seen that the peak of the response spectrum
291	at point S2 is significantly lower than the peak of the response spectrum at point S1,
292	irrespective of the damping level. Buildings in the 'P zone' are at substantially lower
293	seismic risk than those in the 'F zone.' Both seismic metamaterials significantly reduce
294	the seismic hazard in the protected area.

295 **3.2 Lattice Equivalence Phenomenon** 

In contrast to higher-dimensional seismic metamaterial, the scatterer and matrix within the lattice of a 1D seismic metamaterial do not strictly distinguish between each other, which are merely different layers. When we introduce such soil-expanded lattice,

- 299 the lattice just is a finite number of layers inserted periodically in the soil. The lattice 300 positions indicated by the red boxes in Fig. 1 are only one case, and Fig. 10 shows a
- 301 fully equivalent lattice schematic to the red boxes.



(b) CRS lattice equivalence Fig. 10 Phenomenon of lattice equivalence

In Fig. 10(a) and Fig. 10(b), the red wireframe and other frames are equivalent lattices, and the difference between them is mainly the difference in lattice form. In the figure's red wireframe and other color wireframes, any wireframes of equal length can be completely equivalent lattices. This lattice equivalence makes it impossible for elastic waves to identify a complete CS or CRS lattice. At both ends of the seismic metamaterial, the part that acts as a soil infill will still have significant fluctuations and will not get shock absorption because it is a soil infill.

# 310 **3.3 2D numerical analysis**

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311 For the CRS lattice, when the seismic metamaterial has finite dimensions in the y-

direction, we use a two-dimensional time domain finite difference method to simulate the propagation of elastic waves in the seismic metamaterial. We choose a harmonic wave located within the bandgaps as the seismic source, and Eqn. 5 shows the time expression.

$$a(t) = 0.01 \times \left[ 0.5 \cdot \sin(2\pi \cdot 8t) + 0.3 \cdot \sin(2\pi \cdot 18t) + 0.2 \cdot \sin(2\pi \cdot 23t) \right]$$
(5)

Fig. 11 gives the results of numerical calculations of seismic metamaterials with a finite length in the y-direction. On the left are the results for natural soil, labeled 'uniform.' The seismic metamaterials simulation results are on the right, labeled "SMs." The red boxes mark the areas of enhanced vibration due to reflections, and the green boxes mark the areas of seismic protection provided by the seismic metamaterials. When the length of the seismic metamaterial is limited in the y-direction, the area of protection provided by the seismic metamaterial is a trapezoid.



323

Fig. 11The attenuation and amplification zone of finite length without rotation

# 325 **4. Conclusion**

In this paper, two kinds of soil-expanded seismic metamaterial models were proposed. Then, the transfer matrix method investigated the effect of layer thickness on the bandgaps of the CS lattice and CRS lattice. Subsequently, the propagation of elastic waves through the seismic metamaterial is simulated using the time domain finite 330 difference method. The way in which the seismic metamaterials work is discussed by 331 comparing the maximum acceleration and maximum displacement distributions of the 332 seismic metamaterials and the natural soil in the one-dimensional computational 333 domain. By analyzing the response spectrum in front of the seismic metamaterial and 334 the response spectrum behind the seismic metamaterial, it is demonstrated that the two 335 seismic metamaterials can enhance the seismic safety of the target building. The range 336 of seismic safety zones formed by the seismic metamaterials is found by comparing the 337 maximum acceleration and maximum displacement distributions of the seismic 338 metamaterials with the natural soil in the two-dimensional calculation domain.

(1) Both CRS lattice and CS lattice could form lower bandgaps by soil expanded
mechanism. While the thickness of the soil layer can lower the position of the
bandgap, it can also lead to a reduction in the width of the band gap. A reasonable
soil layer thickness should take into account an appropriate reduction of the band
gap position and not an excessive narrowing of the bandgap width.

- 344 (2) The CS lattice attenuates seismic waves slowly and more strongly with a higher
  345 number of lattices, while the CRS lattice reflects them directly, independent of
  346 the number of lattices.
- 347 (3) The distribution of the maximum displacement and the distribution of the
  348 maximum acceleration indicates that the wave cannot distinguish lattice position
  349 due to the lattice equivalence phenomenon.
- (4) Compared with natural soil, the peak value of the response spectrum in the
  protected area after the addition of lattice decreased, among which the peak value
  of the response spectrum in the s-wave mode decreased by 90 %.

353 (5) Seismic metamaterials of finite length can form trapezoidal seismic safety zones.

354 The vibration-strengthening zone due to reflections is also trapezoidal.

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## 363 Supplement Material S1

364 The one-dimensional fluctuation equation is as in Eqn. S1-1.

$$\frac{\partial^2 U}{\partial t^2} = \frac{1}{C} \cdot \frac{\partial^2 U}{\partial x^2}$$
(S1-1)

where *C* is the wave velocity, which can be determined as longitudinal wave velocity by  $c_p = \sqrt{(2\mu + \lambda)/\rho}$  or shear wave velocity  $c_s = \sqrt{\mu/\rho}$ , corresponding to the shear wave propagation equation and the longitudinal wave propagation equation. Assuming that the model satisfies infinite repetition in the period domain, the computational model satisfies the Bonn-Kamen boundary condition, as shown in Fig. 1.



370

Fig. S1 Bonn-Kamen Boundary

371 One-dimensional seismic metamaterials possess the Bonn-Kamen boundary 372 condition shown in Fig. S1. It is assumed that the blue arrows represent the field 373 functions  $\Phi(x)$  at the coordinates x, and the white origin represents the position of the 374 lattice. When the number of lattices is limited, the crystal is assumed to take the form of a ring of radius R. Assuming that there are  $n_1$  lattices in the ring, the field function

after one lattice satisfies equation S1-2.

$$\left|\Phi(x)\right|^{2} = \left|\Phi(x+a)\right|^{2} \tag{S1-2}$$

377 where a is the lattice length. Eqn. S1-2 is equivalent to Eqn. S1-3.

$$\Phi(x) = q_1 \cdot \Phi(x+a) \tag{S1-3}$$

378 After  $n_1$  lattice, the field function returns to the coordinate x and satisfies the Eqn. 379 S1-4

$$\Phi(x) = q_1^n \cdot \Phi(x) \tag{S1-4}$$

380 Obviously,  $q_1^n = 1$ , and  $q_1 = \sqrt[n]{1}$ , and  $q_1$  can be replaced by Eqn. S1-5.

$$q_1 = e^{i \cdot 2\pi \cdot \frac{n}{n_1}} \tag{S1-5}$$

As the radius R of the ring crystal increases, the number of lattices  $n_1$  increases accordingly, as shown in Figure S1-(b). As R increases to infinity, the crystal can be considered to be in the form of a straight chain, and for each lattice passed, the form of the field function will satisfy Eqn. S1-6.

$$\Phi(x) = e^{i\frac{2\pi n}{N}\frac{(x+a)}{a}}\Phi(x+a) = e^{i\cdot k\cdot a}\Phi(x+a)$$
(S1-6)

385 where,  $k = \frac{2\pi \cdot n}{N}$ , N is the total number of lattices. Eqn. S1-6 is the Bonn-Kamen

386 boundary condition.

## 387 Supplement Material S2

388 389



Fig. S1 Transfer matrix calculation process

Fig. S2 shows a one-dimensional lattice, with A, B, C...N being the different material layers. Two adjacent layers should satisfy displacement continuity and shear stress continuity. The nth layer displacement and shear stress are shown in Eqn. S2-1 and Eqn. S2-2 respectively.

$$u_n(x_n) = A_n \sin\left(\frac{\omega}{C_{sn}} x_n\right) + B_n \cos\left(\frac{\omega}{C_{sn}} x_n\right)$$
(S2-1)

$$\tau_n(x_n) = \frac{\mu_n \omega}{C_{sn}} \left[ A_n \cos\left(\frac{\omega}{C_{sn}} x_n\right) - B_n \sin\left(\frac{\omega}{C_{sn}} x_n\right) \right]$$
(S2-2)

where  $x_n$  is the local coordinate of the nth level.  $A_n$  and  $B_n$  is the coefficient to be determined.  $C_{sn}$  is the shear wave velocity. Let  $Z_n(x_n)$  be the state function, as shown in Eqn. S2-3.

$$Z_n(x_n) = H_n(x_n) \cdot \Psi(x_n)$$
(S2-3)

397 where  $H_n(x_n)$  is the state quantity, as shown in Eqn. S2-4.  $\Psi(x_n)$  is the 398 coefficient like vector to be determined, as shown in Eqn. S2-5.

$$H_{n}(x_{n}) = \begin{bmatrix} \sin\left(\frac{\omega}{C_{sn}}x_{n}\right) & \cos\left(\frac{\omega}{C_{sn}}x_{n}\right) \\ \frac{\mu_{n}\omega}{C_{sn}}\cos\left(\frac{\omega}{C_{sn}}x_{n}\right) & -\frac{\mu_{n}\omega}{C_{sn}}\sin\left(\frac{\omega}{C_{sn}}x_{n}\right) \end{bmatrix}$$
(S2-4)  
$$\Psi = \begin{bmatrix} A_{n} & B_{n} \end{bmatrix}^{T}$$
(S2-5)

The state function on the left side of the nth level is shown in Equation S2-6. The state function on the right-hand side of the nth level is shown in Eqn. S2-7.

$$Z_n^L = H_n(0) \cdot \Psi_n \tag{S2-6}$$

$$Z_n^R = H_n(a_n) \cdot \Psi_n \tag{S2-7}$$

401 The relationship between  $Z_n^L$  and  $Z_n^R$  is shown in Eqn. S2-8.

$$Z_n^R = H_n(a_n) [H_n(0)]^{-1} \cdot Z_n^L$$
(S2-8)

402 Due to the continuous displacement between two adjacent layers, there is

$$Z_{n+1}^L = Z_n^R \tag{S2-8}$$

403 Thus, the state function from the leftmost to the rightmost part of the lattice satisfies404 Eqn. S2-9.

$$Z_{n}^{R} = \left(H_{n}\left(a_{n}\right)\left[H_{n}\left(0\right)\right]^{-1} \cdot H_{n-1}\left(a_{n-1}\right)\left[H_{n-1}\left(0\right)\right]^{-1} \dots H_{1}\left(a_{1}\right)\left[H_{1}\left(0\right)\right]^{-1}\right)Z_{1}^{L}$$
(S2-9)

And the state function is one that satisfies the Bonn-Kamen boundary condition, asshown in Eqn. S2-10.

$$Z_n^R = e^{ika} \cdot Z_1^L \tag{S2-10}$$

407 The characteristic equation for lattice is shown in Eqn. S2-11.

$$0 = \left(\mathbf{H}_{n}\left(a_{n}\right)\left[\mathbf{H}_{n}\left(0\right)\right]^{-1} \cdot \dots \mathbf{H}_{1}\left(a_{1}\right)\left[\mathbf{H}_{1}\left(0\right)\right]^{-1} - e^{ika}\mathbf{I}\right)Z_{1}^{L}$$
(S2-10)

408 By solving this characteristic equation, the corresponding dispersion curve of the 409 lattice can be obtained.

#### 410 Supplement Material S3

411 The one-dimensional fluctuation equation is shown in Eqn. S3-1. The two-412 dimensional z-mode fluctuation equation is shown in Eqn. S3-2.

$$\frac{\partial^2 U(x,t)}{\partial t^2} = \frac{1}{C} \cdot \frac{\partial^2 U(x,t)}{\partial x^2}$$
(S3-1)

$$\rho \frac{\partial^2 U_z(x, y, t)}{\partial t^2} = \mu \frac{\partial^2 U_z(x, y, t)}{\partial x^2} + \mu \frac{\partial^2 U_z(x, y, t)}{\partial y^2}$$
(S3-2)

413 Where,  $C_s$  and  $C_p$  are the transverse and longitudinal wave velocities of the 414 material,  $\rho$  and  $\mu$  and  $\lambda$  are the density and Lame coefficients of the material, 415 respectively. U(x,t) and U(x,y,t) are the displacement fields in the 1D elastic 416 wave equation and the 2D elastic wave equation.

417 Using the Taylor expansion to approximate the partial derivative term, the central418 difference form partial derivative term is shown in Eqn. S3-3 and Eqn. S3-4.

$$D_{xx}U(x,t) = \frac{\partial^2 U(x,t)}{\partial x^2} = \frac{U(x+\Delta x,t) + U(x-\Delta x,t) - 2 \cdot U(x,t)}{\Delta x^2}$$
(S3-3)

$$D_{tt}U(x,t) = \frac{\partial^2 U(x,t)}{\partial t^2} = \frac{U(x,t+\Delta t) + U(x,t-\Delta t) - 2 \cdot U(x,t)}{\Delta t^2}$$
(S3-4)

When a reasonable spatial step  $\Delta x$  and time step  $\Delta t$  are chosen, the entire computational domain is discretized into a spatial grid and a temporal grid. The spatial grid consists of two multidimensional arrays representing the displacements in the computational domain and the accelerations in the computational domain: the accelerations and displacements at positions i, j at the kth instant are denoted as  $U_{i,j}^k$ and  $a_{i,j}^k$ .

In the numerical simulation of elastic waves in the computational domain, it isnecessary to calculate from the moment 0 at the beginning of the time interval to the

427 end of the time interval. The central difference format of the time-domain finite-428 difference method is to extrapolate the displacement of the computational domain at 429 the next moment from the displacement at the current moment and the historical 430 displacement point by point. At the time  $t_0$ , the acceleration at a point in the computational domain is  $a_{i,j}^k$ . At the time  $t_0 + \Delta t$ , the computational domain is excited 431 by an external force and the acceleration of the entire computational domain is denoted 432 as  $a_{i,j}^{k+1}$ . In the above equation, the acceleration layer  $a_{i,j}^k$  at the moment of  $t_0$  should 433 be calculated from the displacement layer  $U_{i,j}^k$  as shown in Eqn. S3-5. 434

$$a_i^k = \frac{U_{i+1}^k + U_{i-1}^k - 2U_i^k}{\Delta t^2}$$
(S3-5)

When the computational domain is affected by an external force at time  $t_0$ , the acceleration distribution  $a_i^{k+1}$  of the whole computational domain , which consists of the acceleration of the whole domain at time  $t_0$  and the acceleration of the affected domain after the external force, is shown in Eqn. S3-6.

$$a_i^k = a_{0i}^k + a_{pi}^k$$
(S3-6)

Eqn. S3-6 is the value of the acceleration layer at the moment  $t_0$  after the specified acceleration has been loaded. Based on the acceleration distribution in the calculation domain of Eqn. S3-6, the displacement  $U_{i,j}^{k+1}$  at the next moment can be back-calculated, as shown in Eqn. S3-7.

$$U_{i,j}^{k+1} = \Delta t^2 \cdot a_{i,j}^k + 2U_{i,j}^k - U_{i,j}^{k-1}$$
(S3-7)

443 The acceleration and displacement layers are interconverted as shown in Fig. S3.





