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Review of in silico models of cerebral blood flow in health and pathology

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1 Review of in silico models of cerebral 2 blood flow in health and pathology

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18 Abstract

19 In this review, we provide a summary of the state-of-the-art in the in silico modelling of
20 cerebral blood flow and its application in in silico clinical trials. Cerebral blood flow plays a
21 key role in the transport of nutrients, including oxygen and glucose, to brain cells, and the
22 cerebral vasculature is a highly complex, multi-scale, dynamic system that acts to ensure that
23 supply and demand of these nutrients are continuously balanced. It also plays a key role in
24 the transport of other substances, such as rt-PA, to brain tissue. Any dysfunction in cerebral
25 blood flow can rapidly lead to cell death and permanent damage to brain regions, leading to
26 loss of bodily functions and death.

27
28 The complexity of the cerebral vasculature and the difficulty in obtaining accurate anatomical
29 information combine to make mathematical models of cerebral blood flow key in
30 understanding brain supply, diagnosis of cerebrovascular disease, quantification of the effects
31 of thrombi, selection of the optimum intervention, and neurosurgical planning. Similar in
32 silico models have now been widely applied in a variety of body organs (most notably in the
33 heart), but models of cerebral blood flow are still far behind. The increased availability of
34 experimental data in the last 15 years however has enabled these models to develop more
35 rapidly and this progress is the focus of this review.

36
37 We thus present a brief review of the cerebral vasculature and the mathematical foundations
38 that underpin cerebral blood flow in both the microvasculature and the macrovasculature.

39 We also demonstrate how such models can be applied in the context of cerebral diseases and
40 show how this work has recently been expanded to in silico trials for the first time. Most work

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2
3 41 to date in this context has been performed for ischaemic stroke or cerebral aneurysms, but
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6 42 these in-silico models have many other applications in neurodegenerative diseases where
7
8 43 mathematical models have a vital role to play in testing hypotheses and providing test beds
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10 44 for clinical interventions.

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1. Introduction

The human brain is a highly complex organ, but one that remains surprisingly poorly understood in many ways. It accounts for 25% of the body's glucose and 20% of the body's oxygen metabolism, supplied by 14% of total blood flow, Kety and Schmidt (1948). The cerebral vasculature is key in ensuring a continuous, sufficient supply of nutrients (essentially oxygen and glucose) to all brain cells. This means that cerebral blood flow (CBF) is very tightly controlled to match supply with demand at both a global scale and a local scale. Since oxygen diffuses slowly and is metabolised quickly, every brain cell lies within approximately 25 μm from a capillary vessel, Abbott et al. (2010). The cerebral vasculature is thus a highly complex, structurally heterogeneous, inter-connected network of blood vessels that provides a continuous supply of oxygen and glucose to brain tissue.

CBF changes in many physiological and pathophysiological conditions. It drops in a linear relationship with age during adulthood (with the rate of decline being dependent upon the location), in parallel with the decrease in metabolic rate with age, see for example the study by Ainslie et al. (2008). Due to stiffening of the vessel walls, there are significant changes in the pulsatile behaviour of CBF with age, with pulse wave velocity increasing substantially, see

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3 99 for example the study by Vaitkevicius et al. (1993). Hypertension (prolonged elevated blood
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6 100 pressure), which is a significant risk factor in nearly all cerebrovascular diseases, has
7
8 101 significant long-term effects on both the cerebral vasculature and CBF patterns. It has also
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10
11 102 been suggested that changes in the cerebral vasculature could provide early warning of
12
13 103 neurological changes, such as Alzheimer's disease, Wardlaw et al. (2013).

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18 105 Although changes in CBF are now known to be implicated in multiple neurodegenerative
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20 106 diseases, we will primarily focus on the role of CBF in stroke and the flow fields associated
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23 107 with aneurysms in this review, as this is the context in which most modelling of CBF (and the
24
25 108 two major in silico trials to date) have been performed to date. Ischaemic stroke is caused by
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27
28 109 the blockage of a supply vessel to the brain with a clot, such that the tissue that is perfused
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30 110 via this vessel becomes starved of oxygen (unless alternatively supplied via a collateral vessel)
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32
33 111 and proceeds to cell death. Haemorrhagic stroke is caused by the rupture of a blood vessel,
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35 112 which leads to the pooling of blood in the extravascular space and a rise in intracranial
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38 113 pressure (ICP). Transient ischaemic attacks (TIA) are sometimes termed 'mini-strokes', but the
39
40 114 relationship between these and 'full' strokes is not yet fully understood, with these not yet
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42
43 115 providing a reliable marker of future events, Wardlaw et al. (2015). An aneurysm is an
44
45 116 abnormal ballooning in the wall of a blood vessel, caused by a localised weakness in the vessel
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47
48 117 wall; these most often occur at vessel bifurcations and can remain steady for decades, Ajiboye
49
50 118 et al. (2015).

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54 120 Clinical therapies for ischaemic stroke essentially aim at recanalization, either through
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56
57 121 breakdown of the clot using recombinant tissue-plasminogen activator (rt-PA), which acts to
58
59 122 catalyse the conversion of plasminogen to plasmin and hence to break down the clot, or
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3 123 through mechanical thrombectomy, which acts to aspirate the clot via a catheter inserted
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6 124 into the brain. Both therapies have proven clinical benefit in the 4-6 hours following stroke
7
8 125 onset, Wardlaw et al. (2012), although both also have risks attached to them, which makes
9
10 126 the outcome difficult to predict in individual subjects. For aneurysms, a wide range of devices
11
12
13 127 has been developed to stabilise the ballooning, by covering the neck of the aneurysm with a
14
15 128 stent and/or coiling within the aneurysm sac. As there are many factors involved, primarily
16
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18 129 due to the highly complex geometries, computational fluid dynamics (CFD) models of the flow
19
20 130 in these local environments have been extensively used to guide treatment, Ishida et al.
21
22
23 131 (2021).

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28 133 This balance of risk and benefit in any clinical intervention has driven the need for
29
30 134 computational models of CBF that can act as a test bed, both in the development of new
31
32 135 interventions and in planning interventions for individual patients. A 3D model of the cerebral
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34
35 136 vasculature and CBF is clearly of significant clinical benefit in the context of the visualisation
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38 137 and quantification of stenoses, vessel occlusions and cerebrovascular malformations such as
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40 138 aneurysms as well as both ischaemic and haemorrhagic stroke, see for example Steinman et
41
42 139 al. (2003), Meijs et al. (2017), Murayama et al. (2019), and Saxena et al. (2019).

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47 141 Such computational models have been widely developed in other organs, for example the
48
49 142 heart and the liver, so extending these to the brain is a natural step. However, the brain poses
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52 143 its own specific challenges in this context, and the work that has been performed is relatively
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54 144 preliminary in many areas. In this review, we thus aim to present a detailed overview of the
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57 145 approaches that have been taken to models of CBF, presenting the pathway to the
58
59 146 development of in silico trials that can be used within a clinical context. We will thus provide
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1
2
3 147 a guide to how CBF modelling is done, both in terms of theoretical and practical approaches.
4

5
6 148 It is worth noting that the review focuses more on the arterial circulation rather than the
7

8 149 venous circulation which reflects the balance of the literature.
9

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11 150

12
13 151 We should explicitly mention here that we will exclude the cerebrospinal (CSF) circulation
14

15 152 here for reasons of space, although there has been some work performed to model this,
16

17
18 153 coupled to the cerebral vasculature, see for example Toro et al. (2022). The glymphatic system
19

20 154 is particularly poorly understood and again this will be left to other reviews. Finally, although
21

22
23 155 the coupling between CBF and oxygen transport is a very tight one with the cerebral
24

25 156 vasculature ensuring a continuous sufficient supply of oxygen and glucose to brain cells, we
26

27
28 157 do not have space to consider models of oxygen transport in the brain and the reader is
29

30 158 referred to other sources, for example Payne 2017, for further detail in this context.
31

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33 159

34 35 36 160 **2. Cerebral vasculature in health and disease**

37 38 39 161 **2.0 Introduction**

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41
42 162 In this section, we briefly consider the anatomy and geometry of the cerebral vasculature,
43

44 163 both in health and disease. We also consider the most common pathological conditions, in
45

46
47 164 particular stroke and aneurysms, for which we will consider the most important clinical
48

49 165 intervention models in more detail later.
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53 54 167 **2.1 Anatomy and geometry**

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56
57 168 The human cerebral vasculature is supplied by four blood vessels that pass through the neck
58

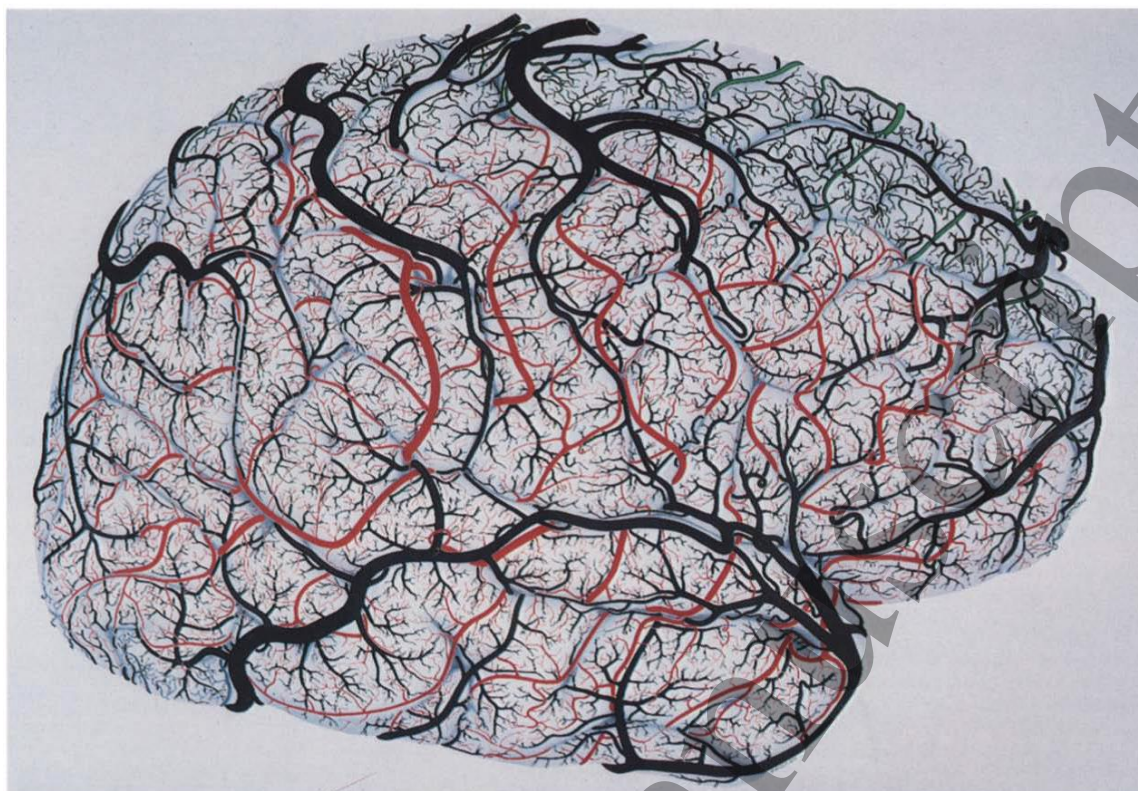
59 169 into the brain: the left and right internal carotid arteries (ICAs) and the left and right vertebral
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3 170 arteries (VAs). The VAs join to form the basilar artery (BA), which is then linked to the ICAs via
4
5
6 171 the circle of Willis (CoW). The CoW is made up of the left and right anterior cerebral arteries
7
8 172 (ACAs), the anterior communicating artery (AcA), the posterior cerebral arteries and the
9
10
11 173 posterior communicating arteries (PcAs). The net result is that there are six major vessels that
12
13 174 supply blood to the brain: the left and right middle cerebral arteries (MCAs), the ACAs, and
14
15 175 the posterior cerebral arteries (PCAs). The cerebrum is thus often divided into six vascular
16
17
18 176 territories for the purposes of modelling CBF. There are of course multiple smaller vessels
19
20
21 177 that branch off from the large arteries, as the vasculature distributes itself through the brain
22
23 178 into the different vascular territories, Figure 1.

24
25 179

26
27
28 180 It should also be noted that only around half the adult population has a complete circle of
29
30 181 Willis, with this fraction being smaller in patient populations, see for example Papantchev et
31
32 182 al. (2013) and Hindenes et al. (2020). There are many types of CoW variant, although the
33
34
35 183 handful of most common variants comprise most cases, with age being a factor in the number
36
37
38 184 of missing segments, Hindenes et al. (2020). This has important implications for collateral flow,
39
40 185 which is a key marker of the response to ischaemia; this is normally graded on a scale of 0-4
41
42 186 based on angiography data, Higashida et al. (2003), and is known to be a factor in clinical
43
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45 187 outcome post stroke, Kleine et al. (2016).

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190 **Figure 1** Cortical pial vessels. Red = tributaries of MCA; green = tributaries of ACA; blue =
191 tributaries of PCA; black = veins. Reproduced with permission from Duvernoy et al. (1981)

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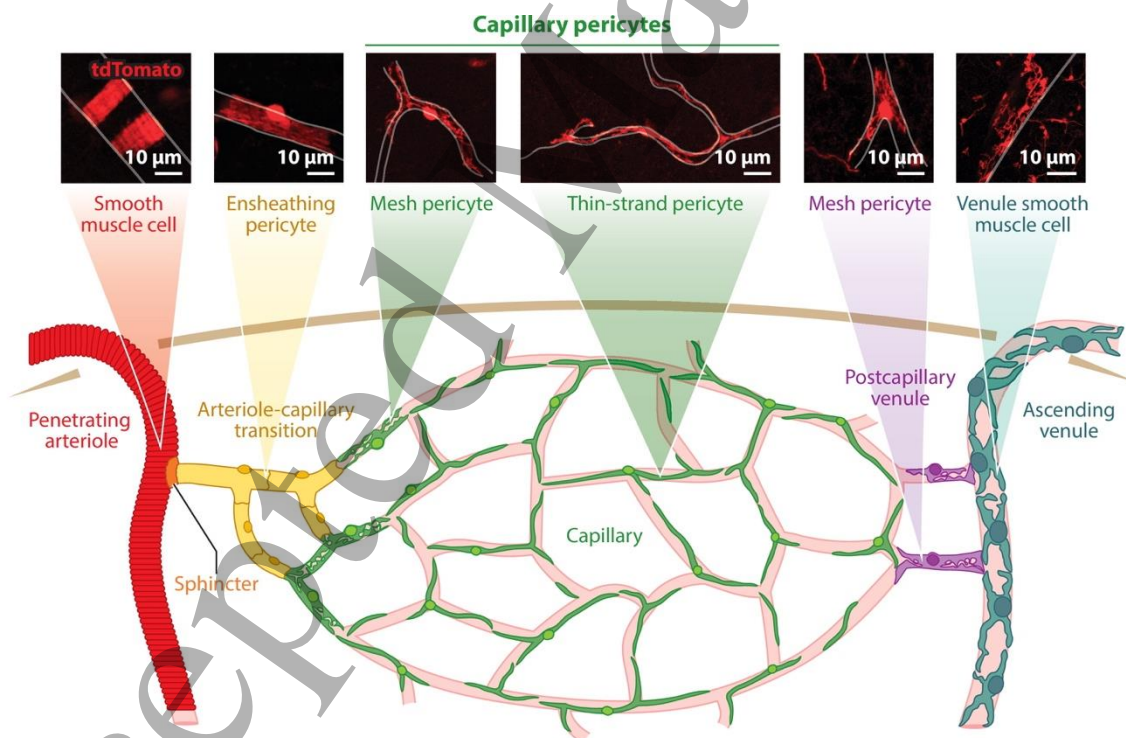
193 The six supply routes form a network of large vessels that is commonly thought of as a
194 bifurcating tree of ever-decreasing diameter and length. Arterial blood enters the individual
195 territories via the leptomenigeal vessels (found on the cortical surface) and the penetrating
196 / descending arterioles that 'dive' into the cortical tissue. These vessels are surrounded by a
197 perivascular space, the Virchow-Robin space, that acts to clear interstitial fluids as part of the
198 glymphatic system, Iliff et al. (2013) and Xie et al. (2013). In a similar manner to the large
199 vessels, these continue to bifurcate, decreasing in diameter and changing in wall composition,
200 until reaching the capillary bed, as shown in Figure 2. There is then a parallel venous

201 circulation, with postcapillary venules feeding into ascending venules and hence back into the
 202 (venous) pial circulation.

203

204 Different vessels feed and drain different layers, with some vessels penetrating the whole
 205 cortex before supplying the capillary bed, Duvernoy et al. (1981). The capillary vessel walls
 206 comprise both an endothelial layer, with endothelial cells coupled by tight junctions forming
 207 the blood-brain-barrier, and the basal membrane. The walls of arteries and veins also contain
 208 smooth muscle cells that play a key role in the regulation of tone and hence diameter; the
 209 role of pericytes, which surround the capillary vessels, in regulating flow has now become
 210 much clearer, see for example Hall et al. (2014).

211



212

213 **Figure 2** Schematic showing different microvascular generations in the cerebral circulation,
 214 reproduced with permission from Hartmann et al. (2022)

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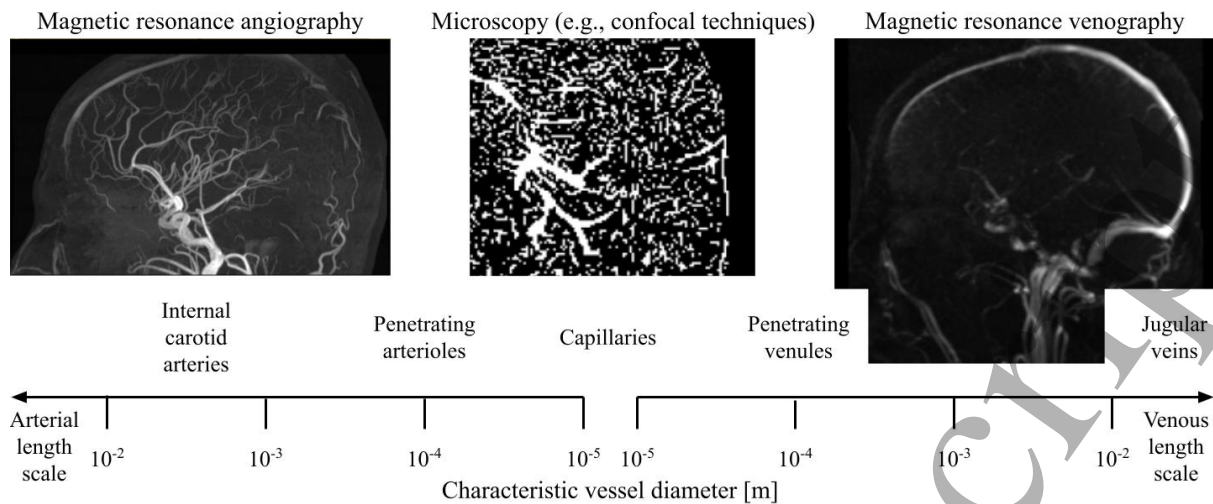
1
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3 216 The capillary bed itself forms a highly interconnected network of vessels that ensure that no
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6 217 brain cell is more than approximately 25 μm from a capillary vessel, Abbott et al., (2010). The
7
8 218 ascending venules (found in a 1:3 ratio to the penetrating arterioles in humans) then drain
9
10 219 the blood back onto the cortical surface. These arteriole-capillary-venule structures can be
11
12
13 220 considered to form units providing blood supply to specific territories, although the size of
14
15 221 these units remains somewhat uncertain due to the often very small samples that available
16
17
18 222 from animal models. This means that it is not yet clear what is a characteristic length for a
19
20 223 representative elementary volume at this length scale².

224

225 There is a parallel venous circulation, where the sinuses drain towards the confluence of
226 sinuses at the back of the head. At this point, fluid enters the transverse sinuses and moves
227 towards the jugular vein via the sigmoid sinus. The jugular veins drain into the subclavian
228 veins and then out of the head through the neck and into the heart via the superior vena cava.
229 It should be noted that few computational studies have considered models of this component
230 of the circulation. A schematic showing the different vasculature components and the
231 corresponding length scales is shown in Figure 3. Quantification of the properties of the
232 vascular tree is normally performed in terms of the Murray exponent. This generally drops
233 from around 2 in larger vessels (such that reflections are avoided, Caro et al. (2012)) to close
234 to 3 (for energy minimisation, Murray (1926)) in smaller vessels.

235

² SJP is grateful to Professor Andreas Linninger for a very informative discussion on this topic.



236

237 **Figure 3** Relationship between imaging data and characteristic length scales. The microscopy
 238 image corresponds to rodent data publicly available thanks to Todorov et al. (2020)

239

240 The cerebral vasculature is of course a highly dynamic, active system that responds to multiple
 241 global and local stimuli to provide a tightly coupled relationship between local supply and
 242 demand. This control is now known to occur over a range of length scales, in both the
 243 arteriolar and capillary vascular beds. Many models of these multiple mechanisms have been
 244 proposed, but we will not consider this control further here as it is beyond the scope of this
 245 review. For further information, see Payne (2016). However, it should be considered carefully
 246 when developing models of the cerebral circulation as this is a key component of its behaviour
 247 and impaired regulation of CBF has been implicated in multiple diseases, including ischaemic
 248 stroke, Aries et al. (2010), dementia, den Abeelen et al. (2014), and traumatic brain injury,
 249 Czosnyka and Miller (2014).

250

251 [2.2 Pathology and treatment](#)

252 Ischaemic stroke is caused by the presence of a thrombus within a large vessel that blocks the
 253 flow through that vessel. Each thrombus is an individual mixture of fibrin, platelets, and other

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2
3 254 blood components; for a review of clot properties and models, see Johnson et al. (2017). The
4
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6 255 composition of the thrombus is dependent on its origin, with large artery atherosclerosis,
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8 256 cardioembolism, and embolic stroke of unknown source being the three most common
9
10 257 sources of stroke, Brinjikji et al. (2021). Two interventions have been shown to have clinical
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13 258 benefit in some patient groups, as described above: thrombolysis, see Wardlaw et al. (2012),
14
15 259 and mechanical thrombectomy, see Berkhemer et al. (2015), Campbell et al. (2015) and Goyal
16
17
18 260 et al. (2016). Essentially thrombolysis acts to dissolve the clot, and thrombectomy acts to
19
20 261 remove the clot to restore flow, each with associated risks.
21
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23 262
24
25 263 In the context of thrombolysis, it is known that the efficacy of the intervention depends on
26
27 264 factors including the location, size, and composition of the clot, the flow field around the clot
28
29 265 and the dose of the thrombolytic agent (varying doses have been adopted in different trials).
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31
32 266 Recombinant tPA is infused intravenously to dissolve the clot but can result in intracerebral
33
34 267 haemorrhage (ICH) or be ineffective due to the clot location; computational models thus have
35
36 268 a role in determining the optimal dose. In the case of thrombectomy, simulations aim to
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38 269 establish near-optimal stent retriever design which might also depend on thrombus
39
40 270 composition and location. In addition, it is important to minimise embolisation which can
41
42 271 subsequently cause micro-occlusions.
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49 273 Intracranial aneurysms are characterised by an outpouching of the arterial wall due to
50
51 274 localised thinning. Rupture can lead to poor outcomes, including cognitive disability and
52
53 275 sudden death. Commonly found at bifurcations, aneurysms in the posterior circulation are
54
55 276 less common and less likely to rupture than those found in the anterior circulation.
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58 277 Unruptured intracranial aneurysms under 7 mm in size tend to be asymptomatic and are often
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3 278 incidentally detected in the course of neuroimaging for other reasons (hence the incidence
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6 279 rate has risen as brain imaging increases in popularity), Tawk et al. (2021).
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281 Guidelines have been published for the management of unruptured intracranial aneurysms,
282 Thompson et al. (2015). Intracranial aneurysms are now routinely treated via endovascular
283 coiling or stenting rather than clipping, with clinical outcomes and protection against
284 rebleeding and aneurysm rupture all shown to be good, Brisman et al. (2005) and Molyneux
285 et al. (2005). The two most common complications are aneurysmal perforation and
286 thromboembolism during endovascular coiling, which must be carefully managed and
287 prevented, Ihn et al. (2018). Unruptured intracranial aneurysms are found in over 3% of the
288 population, Weir et al. (2002), but between 50% and 80% of these do not rupture within the
289 lifetime of the patient, Connolly and Solomon (1998). The balance of risks between treatment
290 and non-intervention needs to be carefully judged.
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It should be noted therefore that there remain relatively few cerebral interventions available,
although these are now starting to be applied more widely in the population. The
complications from intervention failure can, however, be catastrophic, which strongly
support improved techniques to stratify patient groups more accurately and improved
mathematical models to support decision making and intervention planning (the current
state-of-the-art of which are described below).

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2.3 Conclusion

In this section we have briefly explored the anatomy and geometry of the cerebral vasculature.
We then considered the most common pathological conditions and their treatments, noting

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3 302 that there are few available treatments yet, with mathematical models still to play their full
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6 303 part in the clinical intervention pathway.
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10 11 305 **3. Imaging the cerebral vasculature and blood flow**

12 13 14 15 306 **3.0 Introduction**

16
17 307 In this section, we consider how quantitative information can be obtained about both the
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20 308 cerebral vasculature and cerebral blood flow on both a population level and for an individual
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23 309 subject. This will include both ex-vivo and in-vivo information and highlight the recent
24
25 310 technical advances that enable far greater resolution to be obtained than even five years ago.
26
27 311 We will, however, not give an overview of the techniques underlying these modalities, as
28
29
30 312 there are many other excellent sources that provide a full description of these methods.
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34 35 314 **3.1 Reconstruction of the macrovasculature**

36
37 315 Some of the earliest work into quantifying the anatomical and geometrical properties of the
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40 316 cerebral vasculature was performed by Duvernoy et al. (1981) in a pioneering study that
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43 317 injected low viscosity resin into 25 ex-vivo brains shortly after death. This enabled extremely
44
45 318 detailed images to be obtained using Scanning Electron Microscopy (SEM), although limited
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47 319 quantitative data were published until the development of automated tools for vessel
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50 320 segmentation some 25 years later.
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55 322 There are now several publicly available databases for the large vessels, some of which are
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57 323 listed in Table 1. The first such substantial database, noting earlier smaller datasets (number
58
59 324 of subjects < 10) from Cool et al. (2003) and Dufour et al. (2011), was developed and published

325 by Wright et al. (2013), who examined a group of 61 young healthy subjects using 3 T three-
 326 dimensional time-of-flight magnetic resonance angiography (MRA); the database comprises
 327 digital reconstructions of the six major arterial trees from the internal carotid and basilar
 328 arteries down to the 'visible ending' of each branch, Figure 4. With this imaging resolution,
 329 this provided information down to a diameter of approximately 0.8-0.9 mm. Additional
 330 databases have now been made publicly available; although other studies have been
 331 performed, such as Viviani (2016), these are not publicly available at time of writing. Note
 332 that some studies are based on several data repositories from different centres. All these
 333 studies have been performed at 3 T, as this is clinically routine, although there have been
 334 some preliminary smaller studies at 7 T, see for example Nowinski et al. (2011) and the much-
 335 improved resolution available at higher field strengths should enable information down to
 336 smaller length scales to be obtained in future.

337

Study	Number of subjects	Imaging modality	Data repository
Wright et al. (2013)	61 young healthy subjects	3 T MRA	https://cng.gmu.edu/brava
Dunas et al. (2017)	167 elderly healthy subjects	3 T MRA	https://www.nitrc.org/projects/brainarteries
Bernier et al. (2018)	42 healthy subjects	3T MRA	https://github.com/braincharter/vasculature

Mouches and Forkert (2019)	544 healthy subjects	MRA	http://brain-development.org ; http://insight-journal.org/midas/community/view/21
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338

339 **Table 1** Details of publicly available data sets for the cerebral macrovasculature

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341 These datasets now provide researchers with a very substantial amount of information in
 342 large numbers of subjects (noting that all these remain confined to healthy subjects); more
 343 recent studies also provide probability artery-specific atlases from which any arbitrary
 344 number of virtual cerebral macrovasculatures can be constructed in future. Fewer studies
 345 have mapped the venous circulation; hence this is less common and remains more poorly
 346 quantified.

347



348

349 **Figure 4** Reconstruction of cerebral arteries based on angiography data (an example of which
 350 is shown in Figure 3)

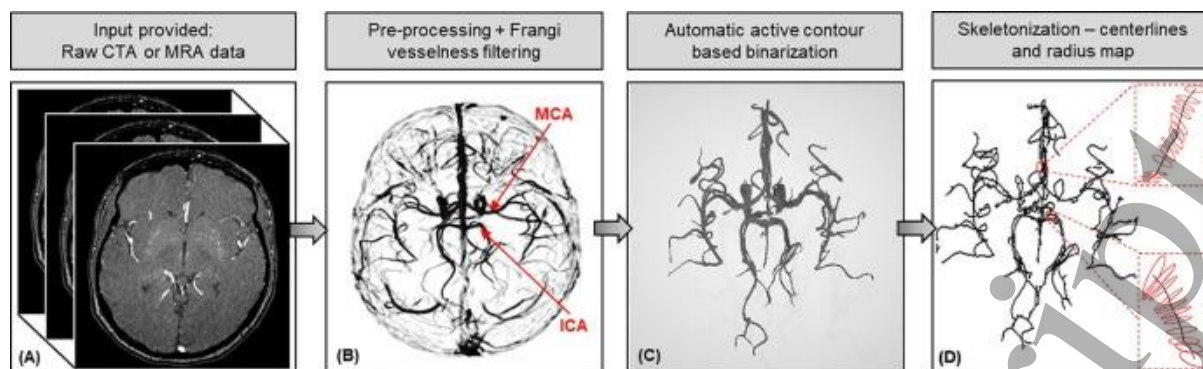
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352 The acquisition and quantification of these data both rely heavily on accurate segmentation
 353 of these vascular images, which remains a key challenge due to the complexity of the available

1
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3 354 data. Early methods relied on manual input, which rendered the segmentation of large
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6 355 volumes impracticable, due to the complex multi-scale nature of the segmentation, see for
7
8 356 example the early reviews by Luo and Zhong (2005) and Lesage et al. (2009). Additionally,
9
10 357 differing imaging protocols and modalities, inconsistent contrast, intensity inhomogeneities,
11
12 358 shadow effects and the small size of the smaller vessels have all served to make robust
13
14 359 segmentation methods highly challenging to develop successfully, Yu et al. (2016), Ajam et al.
15
16
17 360 (2017), Zhao et al. (2018), Deshpande et al. (2021).

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22 362 Multiple methodologies have thus been proposed to tackle this problem, see for example
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24 363 Flaque et al. (2001), Passat et al. (2006), Gao et al. (2012), Wang et al. (2015), Hsu et al.
25
26 364 (2017), Meijs et al. (2017), Chen et al. (2018), Zhao et al. (2018), Livne et al. (2019) and
27
28 365 Goswami et al. (2020), with a recent summary of these cerebral vascular segmentation
29
30 366 methods and their advantages and disadvantages provided by Deshpande et al. (2021). In this
31
32 367 study, they propose and apply successfully automated vessel-enhanced filters that can be
33
34 368 applied across both MRA and invasive computed tomography angiography (CTA) images for
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36 369 the first time. The process is shown in Figure 5, although the authors note that the
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38 370 methodology remains limited by the quality and resolution of the original images. It should
39
40 371 also be noted that in the context of vascular reconstruction the distinction between manual
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42 372 and automated methods is perhaps somewhat blurred, with the need in many algorithms to
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44 373 label 'seed points' to initialise the algorithm.

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376 **Figure 5** Vessel segmentation and skeletonization methodology, proposed by and reproduced
 377 with permission from Deshpande et al. (2021)

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379 Such datasets can generally only reach a resolution of around 1 mm (due to the field strength),
 380 thus proving unable to provide measurements down to the length scale of the pial circulation.
 381 Higher field strength imaging has improved the resolution that can be achieved in vivo, but
 382 ultra-high-resolution angiography will be required to characterise the pial circulation in vivo,
 383 Bollmann et al. (2022), and this remains one of the biggest challenges in modelling CBF. A
 384 recent review of the evolution of human brain atlases, Nowinski (2021), has highlighted the
 385 enormous increase in imaging data and the gradual translation to clinical application,
 386 together with the need for a standard framework to be developed and widely adopted for
 387 these datasets.

388

389 The ability to examine these large datasets means that, in a similar manner to the detailed
 390 characterisation of the microvasculature, described below, the properties of the
 391 macrovasculature can be characterised to quantify length and radius distributions and aspect
 392 ratio and tortuosity in these networks, see for example the analysis by Mut et al. (2014). In
 393 addition, more detailed topological approaches have been adopted to quantify the properties
 394 of the arterial trees, see for example Bendich et al. (2016).

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6 396 Changes to these macrovascular properties can be an indication of both altered function and
7
8 397 increased risk of pathophysiology, Gutierrez et al. (2015), including atherosclerosis, Kim et al.
9
10 398 (2015), and stroke, Lemasson et al. (2016). Vascular remodelling (e.g., the wall mechanical
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12 399 properties) can occur after ischaemic stroke, Liu et al. (2014), and this is an important aspect
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14 400 of the longer-term treatment of these patients although one that has yet to be included in
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16 401 any mathematical model of CBF. Changes in the cerebral macrovasculature properties are
17
18 402 thought to be implicated in the progression of several neurological diseases, including
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20 403 Alzheimer's disease, Arvanitakis et al. (2016).

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26 405 Healthy ageing has also been shown to have a significant effect on the macrovasculature,
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28 406 including the CoW structure, Hedman et al. (2012), and is a major risk factor for cardiovascular
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30 407 diseases, with mortality rates from cardiovascular disease increasing exponentially with age
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32 408 in later life, Ungvari et al. (2010). Studies have shown vessel wall thickening, Farkas et al.
33
34 409 (2006), increased stiffness, Xu et al. (2017), increased tortuosity, Kamenskiy et al. (2015), and
35
36 410 dilation, Gutierrez et al. (2016), reduced number of branches and average order, Chen et al.
37
38 411 (2018). Interestingly, however, cerebral autoregulation has been shown to be unaffected by
39
40 412 ageing, Carey et al. (2000) and van Beek et al. (2008), indicating that even though there are
41
42 413 very large changes to the cerebral vasculature, the active response to changes in blood
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44 414 pressure is maintained with age, Payne (2016).

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48 416 [3.2 Reconstruction of the microvasculature](#)

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50 417 The surface layer of brain tissue is termed the cerebral cortex; this 2-4 mm thick layer plays a
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52 418 key role in many brain processes, including memory, perception, and language. The folded

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3 419 arrangement increases the surface area many times, and it is divided into four lobes (temporal,
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5 420 occipital, parietal, and frontal). The surface layer comprises grey matter, with the deeper
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7 421 matter being made up of white matter; these are named after the shading found in imaging
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9 422 data and reflect the different compositions (grey matter has many neuronal cell bodies, but
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11 423 few axons, whereas white matter has many axons but few neuronal cell bodies).
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18 425 The large cerebral arteries, detailed in the previous section, follow the cortical surface, then
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20 426 feed into a complex network of pial arteries (with a similar pial venous circulation to drain the
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22 427 cortex). These pial arteries bifurcate into penetrating arterioles that drive blood into the
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24 428 cortex and the mesh-like structure of the capillary bed. Since this is beyond the imaging limit
25
26 429 discussed above, information about the microcirculation is limited and has only been
27
28 430 obtained from either *ex vivo* brains or *in vivo* imaging of animal brains. Recently, *in vivo*
29
30 431 imaging of human cerebral microcirculation has become possible in extreme cases (when
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32 432 open-skull surgery is required) using optical polarization spectral imaging, although little data
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34 433 have yet been made available, Pennings et al. (2004).
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42 435 The first study to quantify the statistical properties of the human cerebral microcirculation
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44 436 was that of Cassot et al. (2006), which was based on a re-examination of the *ex vivo* data
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46 437 presented by Duvernoy et al. (1981) for the collateral sulcus in the temporal lobe (based on
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48 438 the quality of the injection) using confocal laser scanning microscopy (CLSM). A similar study
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50 439 was performed in the macaque around the same time, Weber et al. (2008). In these studies,
51
52 440 cortical blood vessels were shown to be centred around pial draining veins, each of which is
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54 441 supplied by between three and six penetrating arterioles. The relationship between the
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56 442 diameter of a pial draining vein and the volume of the surrounding neuronally activated area
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3 443 also provides a clear link between the vascular response to activation and the functional blood
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6 444 oxygen level dependent magnetic resonance imaging (fMRI-BOLD) signal, Lorthois et al.
7
8 445 (2011). Models of the BOLD-fMRI response have thus been constructed from such detailed
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10 446 imaging data from first principles, Gagnon et al. (2015).

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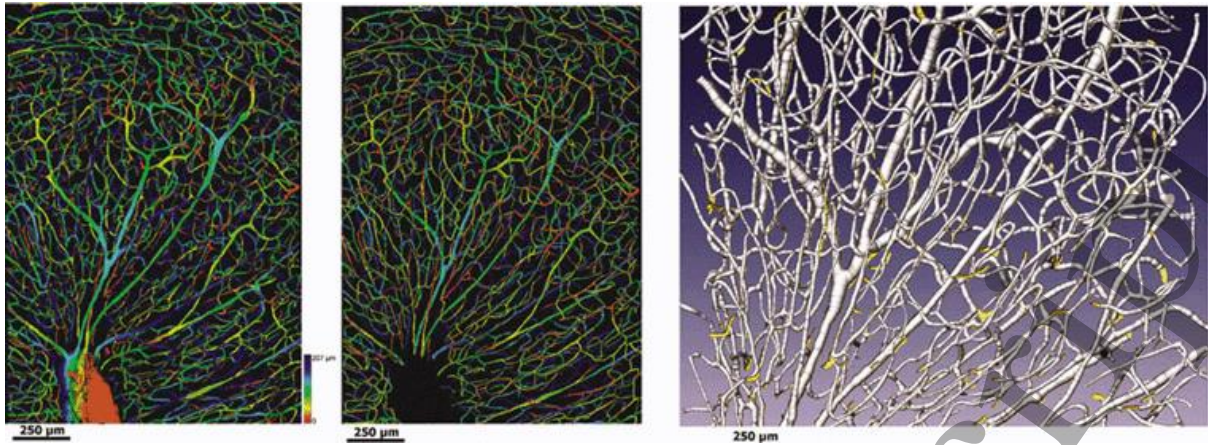
14
15 448 The need for accurate vessel diameter measurements (due to the inverse fourth power
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18 449 relationship with cerebrovascular resistance) means that submicron spatial resolution is
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20 450 required to capture reliably the smallest capillaries (diameter of about 8 microns). Whilst
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23 451 human (and primate) high-resolution imaging data of the microcirculation is severely limited,
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25 452 there are far more data available from rodent imaging. Techniques that have been used
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28 453 include two-photon microscopy with laser ablation to image fluorescently labelled
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30 454 microvasculature, Tsai et al. (2003) and Tsai et al. (2009); synchrotron radiation-based X-ray
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33 455 microscopy to obtain tomographic microvascular images, Reichold et al. (2009) and Guibert
34
35 456 et al. (2010); micro-optical sectioning tomography, Xue et al. (2014); selective plane
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37 457 illumination microscopy, Erturk et al. (2012); optical coherence tomography, Marchand et al.
38
39 458 (2020); and three photon microscopy, Horton et al. (2013).

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45 460 One example of CLSM imaging and subsequent segmentation is shown in Figure 6. It should
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47 461 be noted that when modelling the human cerebral microcirculation, rodent data are often
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49
50 462 relied upon and, for the capillary bed at least, are representative of the human cerebral
51
52 463 microcirculation up to a scaling, Smith et al. (2019). For reasons of space, we refer the reader
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54 464 to the review by Schmid et al. (2019) about the detailed properties of the cerebral
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56 465 microvasculature, including its density, topology, and regulation.

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3 467 Generating blood flow simulations from the imaged data requires segmentation and
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6 468 reconstruction of these networks. Unlike when segmenting the macrocirculation that has far
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8 469 fewer vessels and often good signal-to-noise ratio (see previous section), segmenting the
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10 470 microcirculation requires tackling dense networks with complex connectivity, often poor
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13 471 signal-to-noise ratios, and movement and slicing artefacts, as well as limited depth-
14
15 472 penetration. As a result, a lot of manual post-processing has often been required (see Cassot
16
17 473 et al. 2006) which has inevitably resulted in a dearth of segmented data, Gagnon et al. (2016).
18
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20 474 Improved segmentation processes that utilise deep learning have recently been developed,
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22 475 although these are still semi-automated and require ground truth annotations to prove
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24 476 validity, Tahir et al. (2021). Many of these segmentation models have been developed for
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26 477 ophthalmic images, Leahy et al. (2015), Sharma et al. (2022) and Zhao et al. (2015).
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32 479 Once a network has been accurately reconstructed, its statistical properties can be calculated.
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34 480 These normally include statistical distributions for vessel diameter and length, volume and
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36 481 surface densities, tortuosity, and orientation, as well as diameter ratios and branching angles.
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39 482 These distributions allow for the generation of statistically accurate microcirculation
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41 483 networks, avoiding the need to segment further images. The properties extracted from the
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43 484 images depend upon the specific brain region, although there is not yet any comprehensive
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45 485 study of how these vary across the brain. The original study by Cassot examined two blocks
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47 486 of the same region and showed that the statistical properties are very similar indicating that
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49 487 they are likely to be similar over short length scales, but these may vary over larger length
50
51 488 scales, Shaw et al. (2021). A recent review found no differences in drops in blood flow with
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53 489 age and Alzheimer's disease between brain regions, Graff et al. (2022).
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491

492 **Figure 6** Example of confocal laser scanning microscopy imaging of the human cerebral
493 microcirculation and subsequent reconstruction. Reproduced with permission from Cassot et
494 al. (2006).

495

496 Several classification systems have been developed to label vessels dependent upon their
497 connection to the remainder of the network. Calculations have shown that the networks
498 predominantly comprise bifurcations (around 95% of nodes) with the remainder largely being
499 trifurcations. The Strahler system and the Kassab system have both been used in this context;
500 these essentially build up generation levels from the terminal branches using rules that
501 depend upon the connections and the vessel diameters. This then enables vessel properties
502 to be calculated based upon generation number and often Horton's law is used to postulate
503 a power law relationship. Scaling laws have been extensively used in the coronary circulation,
504 Huo and Kassab (2012), and these could be applied more widely to the cerebral circulation in
505 future. Parameterisation of the network properties then allows for the reconstruction of
506 statistical models that are representative of the underlying network.

507

508 Numerous methods exist for the reconstruction of these microcirculatory models. Voronoi
509 tessellation has often been used to generate the capillary bed, Safaeian and David (2013),

1
2
3 510 Smith et al. (2019), with constrained constructive optimisation (CCO) used for the tree-like
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6 511 branching arterioles or venules, Linninger (2013). Matching statistical properties such as radii,
7
8 512 length ratios, and densities through minimum spanning trees has been used to generate
9
10 513 statistically accurate networks, Su et al. (2011), El-Bouri and Payne (2016). Angiogenesis
11
12
13 514 based methods have also used to generate tree like structures, Schneider et al. (2012), as well
14
15 515 as double bifurcation closures for capillary beds, Hartung et al. (2021). A partial list of
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18 516 reconstructed networks can be found in Table 2 highlighting the many different approaches
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20 517 that have been proposed.
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25 519 The key parameter that 'encodes' information about the microcirculation is the permeability
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27 520 tensor. This can be calculated both from reconstructed networks and real networks and
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29
30 521 provides a concise metric for comparison of the microcirculation behaviour. It thus also
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32 522 enables quantitative comparisons to be made between different conditions: for example, the
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34
35 523 study by Gkontra et al. (2019), which, although in the porcine coronary microcirculation,
36
37 524 showed that the measured permeability tensor dynamically changes in the seven days
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39
40 525 following myocardial infarction. Computational modelling in the cerebral circulation has also
41
42 526 shown that the permeability changes in response to microthrombi (with the changes
43
44
45 527 dependent upon the type of clot and the choice of thrombectomy procedure), El-Bouri et al.
46
47 528 (2021), and these changes have been recently validated in animal models, Xue et al. (2021)
48
49 529 and Xue et al. (2022). Gaining a quantitative understanding of changes in the permeability
50
51
52 530 tensor is a concise way of providing a better understanding of the response both to ischaemia
53
54
55 531 and to therapies such as mechanical thrombectomy.
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Author	Species	Cap.	Pen.	Type	of	Number	Model	Size
			Vessels	Model	of		Length x Width x	
					Vessels		Depth (μm) ³	
Zagzoule & Marc-Vergnes (1986)	Human	Y	Y	Lumped Parameter, 2D		316,858	Whole brain	
Secomb et al. (2000)	Rat	Y	N	Cast, 3D		50	140x150x160	
Beard (2001)	Rat	Y	N	Cast, 3D		50	150x160x140	
Boas et al. (2008)	Rat	Y	Y	Vascular Anatomical Model, 2D		254	N/A	
Fang et al. (2008)	Rat	Y	Y	Cast, 3D		N/A	230x230x450	
Reichold et al. (2009)	Rat	Y	Y	Cast, 3D		N/A	N/A (volume 2.8 mm ³)	
Guibert et al. (2010)	Marmoset	Y	Y	Cast, 3D		16,000	N/A (volume 18 mm ³)	
Lorthoix et al. (2011)	Human	Y	Y	Cast, 3D		10,318	N/A (volume 1.6 mm ³)	

1							
2							
3	Su et al. (2011)	Human	Y	N	Statistical,	1073	500x500x500
4							
5					3D		
6							
7							
8							
9	Safaeian and David (2013)	Human	Y	N	Statistical,	492	600x380x250
10							
11					3D		
12							
13							
14	Blinder et al. (2013)	Mouse	Y	Y	Cast, 3D	25,498	1200x1450x1900
15							
16						(average)	
17							
18							
19							
20	Linninger et al. (2013)	Human	Y	Y	Both, 3D	256,000	3000x3000x3000
21							
22							
23							
24							
25							
26	Gagnon et al. (2015)	Mouse	Y	Y	Cast, 3D	N/A	600x600x662
27							
28							
29							
30							
31							
32	El-Bouri and Payne (2015)	Human	Y	N	Statistical,	~2,000	625x625x625
33							
34					3D		
35							
36							
37							
38	El-Bouri and Payne (2016)	Human	N	Y	Statistical,	1000+	1000x1000x2500
39							
40					3D		
41							
42							
43	Schmid et al. (2017)	Mouse	Y	Y	Cast, 3D	23,496	1130x1130x1480
44							
45						(average)	(average)
46							
47							
48							
49	Gould et al. (2017)	Mouse	Y	Y	Cast, 3D	23,496	1130x1130x1480
50							
51						(average)	(average)
52							
53							
54	Hartung et al. (2021)	Mouse	Y	Y	Statistical,	Whole	Whole brain
55							
56					3D	brain	
57							
58							
59							
60							

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2
3 **533 Table 2** List of models of the cerebral microvasculature found in the literature. The ‘Type of
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6 **534 Model’** refers to the architecture/geometry of the model.

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8
9 **535** Given that studies are often performed in networks obtained from animal models, it should
10
11 **536** be noted that there are multiple, significant, differences between the anatomical and
12
13 **537** geometrical properties of rodent and human microcirculations, which have been described in
14
15
16 **538** detail by Schmid et al. (2019). Although comparisons can be made between animal models
17
18 **539** and computational models of the human microcirculation, care must be taken to ensure that
19
20
21 **540** the comparisons are reasonable with suitable scaling factors normally being required.

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23 **541**

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25
26 **542** As for the macrovasculature, ageing is known to affect the properties of the cerebral
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28 **543** microvasculature. Reduced microvascular density, Brown and Thore (2011), and plasticity,
29
30 **544** Riddle et al. (2003), have both been shown in the cerebral microvasculature. Animal models
31
32
33 **545** have also shown reduced haematocrit, reduced capillary density and higher capillary transit
34
35 **546** time heterogeneity in the transition from middle age to old age, Moeini et al. (2018) where
36
37 **547** conversion between mouse age and human age can be performed using a sliding scale,
38
39 **548** Flurkey et al. (2007), Dutta and Sengupta (2016) and Agoston (2017), enabling the parameters
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41
42 **549** to be translated from animal to human, as done in Graff et al. (2021).

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45 **550**

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47
48 **551** These studies indicate that the microvasculature robustness to changes in arterial
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50 **552** oxygenation reduces in old age. Although many properties of the cerebral microcirculation
51
52 **553** appear to change linearly with age, the overall change in behaviour is strongly non-linear and
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54
55 **554** a ‘tipping-point’ past which any further changes have very significant effects on perfusion has
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57 **555** been proposed. Such effects have also been shown in other studies, Xue et al. (2021), where
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60 **556** the strong non-linearity of the response has again been identified.

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3.3 Measurements of cerebral blood flow

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8 559 There are very many imaging modalities that can be used to provide information about CBF
9
10 560 (and cerebral blood volume, CBV). As this is an enormous topic, we will only very briefly
11
12 561 mention the most common modalities here in the context of obtaining information that can
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14
15 562 be applied directly in developing a model of CBF in humans. For a more detailed coverage of
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17
18 563 this subject in this context, the reader is referred to Payne (2017).
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23 565 It should first be noted that there is a difference between velocity, volumetric flow rate (flow)
24
25 566 and perfusion, which is important to consider in the context of modelling. Velocity is a vector,
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27
28 567 usually measured in cm/s. From the velocity field, the volumetric flow rate is defined based
29
30 568 on surface integration leading to a scalar, usually measured in ml/min, to represent the
31
32
33 569 amount of blood delivered by the vessel (or vessels) per unit time. Finally, perfusion is a scalar,
34
35 570 usually measured in ml/100g/min, accounting for volume flow rate to a given brain tissue
36
37
38 571 mass contained within in a specific volume (e.g., voxel). We note that a perfusion vector might
39
40 572 be introduced although this is rarely considered, and most usually perfusion is taken to be the
41
42
43 573 net flux from the arterial compartment to the capillary compartment (noting that this does
44
45 574 depend upon precisely where the boundary is placed between the two compartments, see
46
47
48 575 Figure 2). Perfusion is typically around 50-60 ml/100g/min in grey matter (and a factor of
49
50 576 around 2.5 lower in white matter), or around $0.009\text{-}0.1\text{ s}^{-1}$ in SI units (assuming that tissue
51
52 577 density is that of water).
53

54
55 578
56

57 579 Flow is often measured using transcranial Doppler (TCD) ultrasound (normally in 2D, although
58
59 580 more recently this has been extended to 3D, Correia et al. (2016)). This is based on

1
2
3 581 measurement of the Doppler shift and was first performed in humans by Aaslid et al. (1982);
4
5
6 582 this Doppler shift can be converted to velocity, although knowledge of the vessel cross-
7
8 583 sectional area is needed to convert this to flow. TCD is very simple and inexpensive to use,
9
10
11 584 making it a popular choice for many studies, although there is no spatial resolution beyond
12
13 585 left side and right side, and it cannot be used in all subjects. TCD measurements have also
14
15 586 been used in conjunction with simple compartmental models to estimate parameters such as
16
17
18 587 arterial compliance, Kim et al. (2009), potentially providing low-cost methodologies for
19
20 588 estimation of key cerebral haemodynamic parameters, Uryga et al. (2019).
21
22

23 589
24
25 590 Optical techniques that have been commonly used in humans include near infra-red
26
27 591 spectroscopy (NIRS), Jobsis (1977), and diffuse correlation spectroscopy (DCS), Boas et al.
28
29 592 (1995). The former is based on optical absorption to measure changes in haemoglobin
30
31
32 593 concentration whereas the latter is based on changes in scattering caused by the movement
33
34 594 of red blood cells. These methods can provide excellent time and spatial resolution (although
35
36
37 595 only to a certain depth) but are limited by being less direct measurements of CBF, containing
38
39 596 both an intracerebral and an extracerebral component, which must be separated out,
40
41
42 597 normally using a mathematical model of the cerebral circulation, Moroz et al. (2012).
43
44

45 598
46
47 599 Perfusion is measured using one of several available imaging modalities, primarily computed
48
49 600 tomography (CT), positron emission tomography (PET), single-photon emission computed
50
51 601 tomography (SPECT), or magnetic resonance imaging (MRI). Each of these modalities can also
52
53
54 602 be used to measure other parameters such as cerebral blood volume. All have their individual
55
56
57 603 advantages and disadvantages in this context, in terms of spatial resolution, signal-to-noise
58
59 604 ratio, and contrast-to-noise ratio, Smith and Webb (2011). CT and MRI are the most
60

1
2
3 605 commonly used modalities, both in clinical studies and in research studies. Note that all these
4
5
6 606 modalities require the use of a kinetic model to map the movement of a tracer (either
7
8 607 endogenous or exogenous) through the cerebral vasculature. These models are typically
9
10 608 highly simplified compartmental models based on the use of Fick's principle, and reviews of
11
12
13 609 these models in this context can again be found in Payne (2017).
14

15 610

16
17
18 611 It should finally be noted that reproducibility between different modalities remains a major
19
20 612 challenge in this area, meaning that care needs to be taken in comparing results obtained
21
22
23 613 using different modalities, or even the same modality in a different machine. One application
24
25 614 of the models described in this review is to simulate the movement of tracers and hence to
26
27 615 provide estimates of the accuracy of these compartmental models through more detailed
28
29
30 616 validation at smaller length scales. There also exist many different modalities to measure
31
32 617 cerebral blood volume (typically measured in ml/100g, normalised by brain tissue mass
33
34
35 618 similarly to perfusion) and cerebral metabolic rate of oxygen, which are not discussed here
36
37 619 for reasons of space.
38

39
40 620

41 42 621 **3.4 Conclusion**

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44
45 622 In this section, we have described the general anatomy and geometry of the cerebral
46
47 623 vasculature, and then detailed the approaches to obtaining quantitative data about both the
48
49
50 624 macrovasculature and microvasculature. The differences in cross-sectional areas between the
51
52 625 two highlights the importance of the microvascular component, which has been less
53
54
55 626 intensively studied. It should be noted that there does remain a noticeable 'imaging gap'
56
57 627 between the two length scales, with very limited information available about the 'middle'
58
59
60 628 vascular generations that comprise the pial circulation. However, recent advances in whole

1
2
3 629 (ex vivo) human brain imaging have opened the possibility of much more detailed parametric
4
5 630 information becoming available, and this is a particularly exciting avenue for future study, as
6
7
8 631 discussed below.
9

10 632

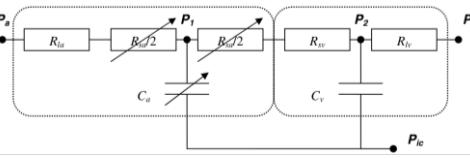

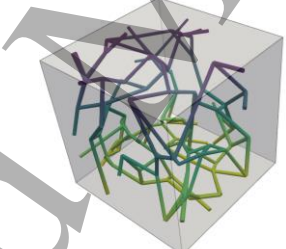
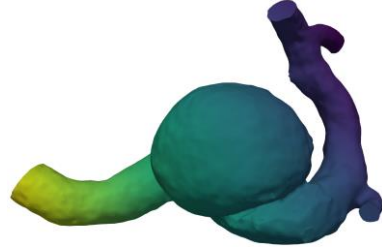
14 633 4. Cerebral blood flow models: Theory

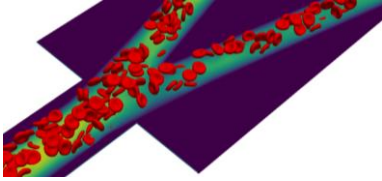

17 634 4.0 Introduction

20 635 In this section, we consider the mathematical foundations for models of cerebral blood flow
21
22 636 at different length scales. A schematic of the different types of models is shown in Figure 7,
23
24 637 highlighting the many different approaches that are taken in this context. It should also be
25
26 638 noted that these models can be coupled together, but that the coupling between the two
27
28 639 (discussed below) is not trivial. The cerebral circulation covers a wide range of length scales,
29
30 640 with vessel diameters ranging from around 10 μm to a few mm, as shown in Figure 8. This
31
32 641 allows for mathematical methods based on the separation of scales to be exploited (as
33
34 642 described below), but also means that, these models are highly computationally complex.
35
36
37
38
39 643

42 644 Before continuing, however, it should be noted that blood is well known to be a non-
43
44 645 Newtonian fluid, with a pseudoplastic (shear-thinning) regime at low strain rates and a yield
45
46 646 shear stress, below which the strain rate is zero. The viscosity is thus strongly dependent upon
47
48 647 the strain rate at low values (and hence this effect is most pronounced in the smaller blood
49
50 648 vessels). Many relationships have been used in this context to characterise the relationship
51
52 649 between shear stress and strain rate, for example those of Casson, Cebal et al. (2002),
53
54 650 Carreau-Yasuda, Herschel-Buckley, and Quemada, Popel and Enden (1993), with the
55
56 651 parameters in these relationships being strongly dependent upon the blood haematocrit. The
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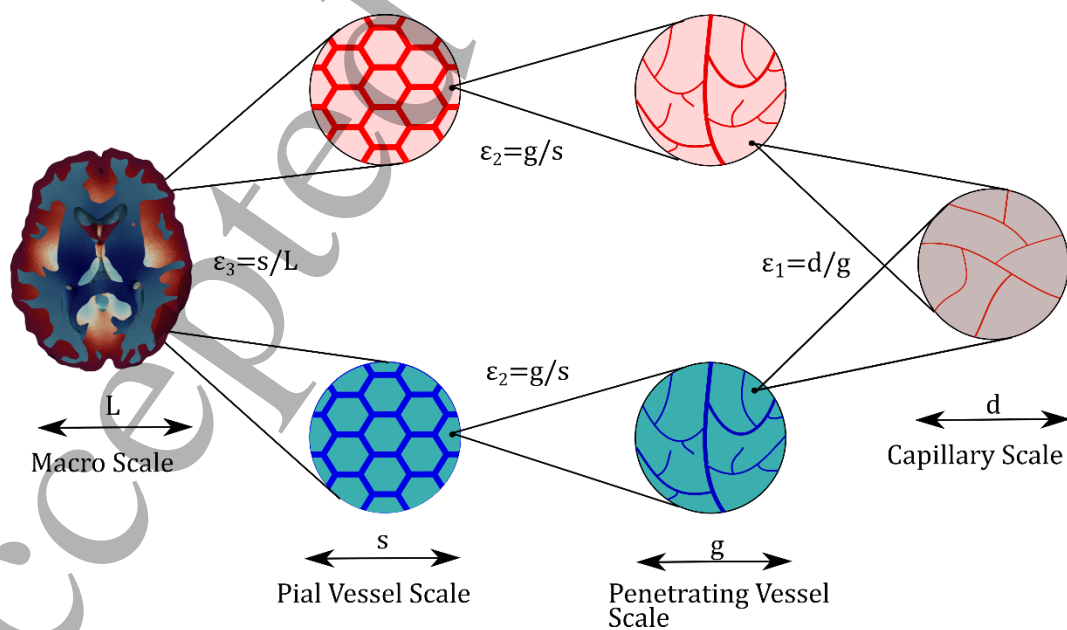
1
2
3 652 choice of relationship has been shown to have a strong influence on some aspects of the
4
5
6 653 simulated flow fields, but not others, so it is not always clear which model to select. In
7
8 654 axisymmetric vessels with diameters less than approximately 100 μm , however, empirical
9
10 655 relationships are commonly used, where the apparent viscosity is a function of vessel
11
12
13 656 diameter and tube haematocrit; several of these have been proposed, see for example Pries
14
15 657 et al. (1990) and Secomb and Pries (2013).
16
17
18 658

Input	Model	Output
<p>Lumped parameters of circulatory compartments</p> <ul style="list-style-type: none"> • Compartmental resistance • Compartmental compliance 	<p>Haemodynamic system model (HDSM)</p> 	<p>Temporal distributions:</p> <ul style="list-style-type: none"> • Pressure • Blood volume • Blood flow rate
<ul style="list-style-type: none"> • Segment lengths • Segment diameters • Effective blood viscosity • Vessel wall properties • Boundary conditions • Initial conditions 	<p>Arterial network model (ANM)</p> 	<p>Spatial and/or temporal distributions:</p> <ul style="list-style-type: none"> • Pressure • Wall deformation • Blood flow rate
<ul style="list-style-type: none"> • Segment lengths • Segment diameters • Effective blood viscosity • Vessel wall properties • Boundary conditions • Initial conditions 	<p>Capillary network model (CNM)</p> 	<p>Spatial and/or temporal distributions:</p> <ul style="list-style-type: none"> • Pressure • Blood flow rate • Wall deformation
<ul style="list-style-type: none"> • Vessel geometry • Effective blood viscosity • Vessel wall properties • Boundary conditions • Initial conditions 	<p>Arterial Navier-Stokes simulator (ANSS)</p> 	<p>Spatial and/or temporal distributions:</p> <ul style="list-style-type: none"> • Velocity • Pressure • Wall deformation • Wall shear stress

<ul style="list-style-type: none"> • Vessel geometry • Blood plasma viscosity • Blood cell properties • Boundary conditions • Initial conditions 	<p>Cell-resolved blood simulator (CRBS)</p> 	<p>Spatial and temporal distributions:</p> <ul style="list-style-type: none"> • Velocity • Pressure • Blood cell deformation • Effective blood viscosity
<ul style="list-style-type: none"> • Brain geometry • Compartmental permeabilities • Intercompartmental coupling coefficients • Mechanical tissue properties • Boundary conditions • Initial conditions 	<p>Porous (poroelastic) tissue model (P(E)TM)</p> 	<p>Spatial and/or temporal distributions:</p> <ul style="list-style-type: none"> • Pressure • Tissue deformation • Perfusion

659 **Figure 7** Overview of models of blood flow at different length scales. Bold font in the 'Output'
 660 column distinguishes primary variables from derived quantities.

661



662

1
2
3 **Figure 8** Schematic of different length scales and scale separation in the cerebral circulation;
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5
6 the parameter ε refers to the scaling between adjacent length scales as utilised in the
7
8 homogenisation procedure
9

10
11 666

12 13 667 4.1 0D lumped parameter models

14
15
16 668 Here, only the key features of 0D models are presented because a comprehensive review on
17
18 669 their properties and applications is provided by Shi et al. (2011). Zero-dimensional cerebral
19
20 670 blood flow models rely on the analogy between electronic and hydraulic systems, Chappell
21
22 671 and Payne (2020). Accordingly, the governing equations of such lumped parameter models
23
24 672 can be derived based on an equivalent electric circuit as depicted by the HDSM model in
25
26 673 Figure 7. To this end, a group of blood vessels is parametrised by their resistance and
27
28 674 compliance symbolising the associated viscous losses (resistance) and blood volume changes
29
30 675 due to vessel wall deformations (capacitance).
31
32
33
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35

36 676

37
38 677 This approach leads to a set of ordinary differential equations which can usually be integrated
39
40 678 using off-the-shelf computational tools once the forcing is set, for example, by defining the
41
42 679 time-dependent cardiac output. Computations capturing potentially multiple heart cycles
43
44 680 usually take only a few seconds with a single core CPU. Primary variables are the pressure
45
46 681 and the blood volume as functions of time, and volumetric blood flow rate thus appears as a
47
48 682 derived quantity. Windkessel models are the most popular members of this model family. The
49
50 683 simplest (two-element) Windkessel model includes a resistor and a capacitor in parallel and
51
52 684 similar systems with more than two parameters remain particularly popular. The major
53
54 685 advantage of this approach is its ability to capture haemodynamics in multiple organs, for
55
56 686 example describing both the pulmonary and the systemic circulations.
57
58
59
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687

688 4.2 Models of blood flow in the macrovasculature

689 One-dimensional network models originate naturally from the lumped parameter description
690 when a one-to-one mapping is established between vessel segments and the corresponding
691 resistors and capacitances. Consequently, a graph can be used to represent the vasculature.
692 The edges of the graph symbolise vessel segments connected at the vertices. Thereafter,
693 governing equations can be obtained based on different assumptions. For example, it is
694 straightforward to distinguish cases with rigid and deformable vessel walls, or steady and
695 unsteady simulations. Choices are typically determined by the choice of the section of the
696 vasculature under consideration.

697

698 4.2.1 Arterial Navier-Stokes simulator (ANSS)

699 Characterising the spatiotemporal behaviour of cerebral blood flow in detail can play an
700 important role in certain pathologies and therapies. To this end, CFD is applied nowadays
701 routinely to study fluid flow in specific parts of the vasculature. In this case, the governing
702 equations are usually the incompressible continuity and Navier-Stokes momentum equations,
703 either in steady or unsteady form. Computations tend to account for the non-Newtonian
704 behaviour of blood using, for example, the Carreau-Yasuda model, Bardossy and Halasz (2011),
705 Boyd et al. (2007).

706

707 The resulting partial differential equation set is typically discretised using standard techniques,
708 such as the finite volume, finite element, or finite difference methods, Botti et al. (2018).
709 Lattice Boltzmann methods are becoming widely used, Mazzeo and Coveney (2008) and Latt
710 et al. (2021), because they ease the mesh-generation task based on clinical data, Kim et al.

1
2
3 711 (2010) and Zavodszky and Paal (2013). Primary variables are the velocity and the pressure
4
5
6 712 fields whereas derived quantities might include the wall shear stresses relevant in pathologies,
7
8 713 Shojima et al. (2004) and Kulcsar et al. (2011). Unsteady simulations usually incorporate
9
10 714 multiple heart cycles to capture well-developed flow features. Such simulations can take
11
12
13 715 several hours on a modern desktop using a multicore Central Processing Unit (CPU); however,
14
15 716 simulation times can be reduced considerably using graphical processing units (GPUs), Huang
16
17
18 717 et al. (2015).

19
20 718

21
22
23 719 Imposing realistic boundary conditions remains a major challenge. In most studies, rigid-
24
25 720 walled blood vessels are assumed. This assumption was found reasonable in intracranial
26
27
28 721 blood vessels where the surrounding tissue provides a solid embedding for the deformable
29
30 722 vessels, Ugron et al. (2014). Nevertheless, fluid-structure interaction (FSI) simulations have
31
32 723 been also carried out, Bazilevs et al. (2010) and Torii et al. (2008), and many of these have
33
34
35 724 addressed the nonlinear hyper-elastic behaviour of the vessel wall, e.g., based on the
36
37 725 Mooney-Rivlin or the Ogden model, Valencia et al. (2013). Regarding the inlet boundary
38
39
40 726 condition, it has been demonstrated that it is necessary to add an elongated inlet segment to
41
42 727 the region of interest to ensure well-developed flow fields, Paal et al. (2007). In addition, if
43
44
45 728 tortuous vessels are located upstream of the region of interest, then at least one bend should
46
47 729 be preserved to account for the corresponding secondary flow.

48
49 730

50
51
52 731 In addition to Reynolds and Womersley numbers, the Dean number is used to quantify the
53
54 732 importance of secondary flows. Beyond inlet segment length, and curvature, the inlet profile
55
56
57 733 can be also important as highlighted by both experimental and computational studies, Jansen
58
59 734 et al. (2014). Inlet volumetric flow rate can be inferred from a haemodynamic system or an

1
2
3 735 arterial network model, Anor et al. (2010) and Ho et al. (2009). Considering the outlet
4
5
6 736 boundary condition, a lumped parameter (Windkessel) model or a porous region, Ugron et al.
7
8 737 (2014), is often connected to the outlet section(s) of the region of interest to account for
9
10 738 downstream blood vessels. Thereafter, a constant pressure outlet boundary condition might
11
12
13 739 be appropriate to characterise the venous pressure.
14

15 740

16
17
18 741 The Circle of Willis is of particular interest, Alnaes et al. (2007). Beyond investigations
19
20 742 concerned with the healthy vasculature, Marshall et al. (2004) and Berg et al. (2014), an ANSS
21
22 743 often targets intracranial aneurysms, Berg et al. (2018) and Berg et al. (2019), clipping, Kimura
23
24 744 et al. (2009), stenting, Janiga et al. (2015), stenoses, Zhang et al. (2013) and Beratlis et al.
25
26 745 (2005), and blood clot formation, Ouared and Chopard (2005). Thanks to comprehensive
27
28 746 clinical datasets and a generic effort to develop automated pipelines, Villa-Uriol et al. (2011)
29
30 747 and Sarrami-Foroushani et al. (2021), patient-specific geometries are gaining popularity
31
32 748 whereas artificial geometries are often considered to improve understanding of fundamental
33
34 749 flow features, Paal et al. (2007). Simulations have now been validated extensively against
35
36 750 well-controlled experiments including phantoms, Ugron et al. (2011), and clinical
37
38 751 measurements, Berg et al. (2014) and Cebal et al. (2009).
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45 752

46 47 753 4.2.2 Arterial network model (ANM)

48
49 754 In the second case, the time-dependence of the flow at time scales of around 1 Hz is explicitly
50
51 755 considered, but the spatial information is reduced to a 1D model. The governing equations
52
53 756 (based on continuity and momentum) are well-established and normally written in terms of
54
55 757 the local flow rate, $Q(x,t)$, and vessel cross-sectional area, $A(x,t)$:
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57
58
59
60

$$758 \quad \frac{\partial A}{\partial t} + \frac{\partial Q}{\partial x} = 0$$

$$759 \quad \frac{\partial Q}{\partial t} + \frac{\partial}{\partial x} \left(\left[\frac{\gamma + 2}{\gamma + 1} \right] \frac{Q^2}{A} \right) + \frac{A}{\rho} \frac{\partial p}{\partial x} = -2\pi(\gamma + 2)v \frac{Q}{A}$$

760 where the flow rate is equal to the product of the vessel cross-sectional area and the area-
 761 averaged velocity, U . Blood is taken to have density ρ and kinematic viscosity ν . The
 762 ‘correction term’ in the convection term compensates for the fact that the area-averaged
 763 momentum term is different from the momentum calculated based on the area-averaged
 764 velocity (since the velocity profile is not flat). The parameter γ is the exponent in the assumed
 765 power law profile for the velocity field.

766
 767 To ‘close the loop’, a relationship between blood pressure, p , and vessel cross-sectional area
 768 is normally applied that models the mechanical response of the vessel wall. Although the
 769 vessel wall can be considered as a viscoelastic material, in the context of the cerebral
 770 circulation, it is much more common to assume a purely elastic material and to formulate this
 771 relationship based on the ‘independent ring’ model. The presence of waves in the flow field
 772 and the presence of vessel nodes means that travelling waves generate reflections: complex
 773 wave forms can be generated that encode information about the geometrical and structural
 774 properties of the network. It should be noted that the number of pressure-area relationships
 775 proposed is very large and that there is thus a wide variety of forms that have been adopted,
 776 see for example Payne (2017), although the ‘independent ring’ model is the most popular in
 777 the context of the cerebral circulation.

778

779 This dynamic 1D approach has been widely applied to the whole of the circulation, with highly
 780 accurate models developed. It has also been applied to the cerebral circulation in the large

1
2
3 781 vessels, see for example Alastruey et al. (2007), although in these cases the microcirculation
4
5 782 (and downstream circulation) is normally modelled via the use of three-element lumped
6
7
8 783 parameter (i.e., Windkessel) circuits. More recently, it has been used together with a
9
10 784 reconstruction algorithm to infer optimal blood flow distributions across the visible part of
11
12
13 785 the arterial network based on partial data, Park et al. (2020).

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15 786

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17
18 787 An alternative dynamic approach to the blood flow in large vessels is based on the formulation
19
20 788 proposed by Womersley (1955), where a sinusoidal driving pressure is applied to a rigid,
21
22 789 axisymmetric vessel (where the convection term is neglected). The resulting flow rate is then
23
24
25 790 given (in the frequency, ω , domain) by:

26
27
28 791
$$\hat{Q} = \frac{\hat{p}\pi R^2}{i\omega\rho} \left\{ 1 - \frac{2}{\alpha i^{3/2}} \frac{J_1(\alpha i^{3/2})}{J_0(\alpha i^{3/2})} \right\}$$

29
30
31
32 792 where the overhat denotes that the variable is in the frequency domain and $\alpha = R \sqrt{\frac{\omega}{\nu}}$ is the
33
34
35 793 Womersley number. The Womersley number is a measure of the importance of the dynamic
36
37 794 term relative to the inertia term and decreases monotonically as the flow passes towards the
38
39 795 smallest vessels, meaning that the flow behaviour changes as the length scale changes.

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42 796

43
44
45 797 This formulation can be used to replace the momentum equation, and this approach has been
46
47 798 extended to develop 1D models of flow in compliant vessels, using a wave equation type
48
49 799 approach. Flores et al. (2016) have shown that the use of the Womersley formulation, coupled
50
51 800 with the continuity equation and a tube law, leads to an equation of the form:

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53
54
55 801
$$\frac{\partial^2 \hat{p}}{\partial x^2} = (i\omega Z' C') \hat{p}$$

1
2
3 802 where the vessel has resistance per unit length of Z' and compliance per unit length of C' .
4
5
6 803 This can be solved with suitable boundary conditions to provide a matrix-style relationship
7
8 804 between inlet and outlet conditions, and then applied to a network of vessels to yield a
9
10 805 dynamic equivalent to the steady state pure resistance formulation. Since the relationship
11
12 806 between pressure and flow is linear, the equations can be formulated in matrix form
13
14 807 (assuming conservation of mass and continuity of static pressure) and the flow field easily
15
16 808 calculated. The computational cost then primarily arises in inverse-transforming the solution
17
18 809 back into the time domain. This has been shown to be in good agreement with full 3D models
19
20 810 of blood flow in compliant arterial networks, indicating that it is a computationally
21
22 811 inexpensive method for solving a dynamic 1D network flow.
23
24
25
26
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29

30 813 This methodology was extended further to consider the case when the parameter $\alpha i^{3/2}$ is
31
32 814 small, using a perturbation method approach, Payne and El-Bouri (2018). This provided a
33
34 815 justification for when the advection term can be neglected, a first order differential equation
35
36 816 approximation for the flow-pressure relationship, a justification for when blood volume can
37
38 817 be modelled as quasi-steady-state, and when the difference between assuming conservation
39
40 818 of static pressure or total pressure at vessel nodes is negligible. Essentially, all four
41
42 819 approximations are valid in vessels of diameter < 1 mm, enabling the governing equations to
43
44 820 be considerably simplified in the microcirculation (as described below).
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50 821

51 822 [4.3 Models of blood flow in the microvasculature](#)

52
53
54 823 Physiologically realistic models of the cerebral microcirculation essentially first became
55
56 824 available in 2006, as a result of new data sets, both ex vivo and in vivo, from the pioneering
57
58 825 studies of Cassot et al. (2006), Lauwers et al. (2008), and Lorthois et al. (2011). This led to a
59
60

1
2
3 826 significant number of new studies and by 2013, Linninger et al. (2013) were able to describe
4
5 827 16 studies into the cerebral microcirculation, with a mixture of human and animal models,
6
7 828 both 2D and 3D simulations, steady and dynamic simulations, and network sizes up to over
8
9
10 829 350,000 vessels. More recently, Payne and El-Bouri (2018), provided an updated summary of
11
12 830 these models and there have been many more since. A summary of the different
13
14
15 831 microvascular models available is provided below, following the list given in Table 2.
16
17

18 832

20 833 4.3.1 Cell-resolved blood simulator (CRBS)

22
23 834 There are numerous models of the microvasculature at different scales. On the smallest scale,
24
25 835 simulations of flows of individual RBCs and platelets are possible in cell-resolved simulations.
26
27 836 The rapid growth of computational power has led to several recent studies that aim to
28
29 837 simulate the transport of individual RBC through simple geometries. These resource-intensive
30
31
32 838 multi-physics simulations couple the mechanical responses of RBCs, white blood cells and
33
34
35 839 platelets with the fluid dynamics of the plasma flow.
36
37

38 840

39
40 841 Cell-resolved simulations consider blood as a suspension of particles – including typically red
41
42 842 blood cells, and platelets – in blood plasma (matrix fluid), Fedosov et al. (2011). Blood plasma
43
44 843 is typically described as a Newtonian fluid governed by the incompressible Navier-Stokes
45
46 844 momentum equations which can be simplified to the unsteady Stokes equations because of
47
48 845 the low Reynolds number (creeping flow dominated by viscous effects), Balogh and Bagchi
49
50 846 (2017). Blood cells are discretized using a surface representation with their deformation
51
52 847 captured by a membrane deformation equation. Cell shapes and mechanical properties are
53
54
55 848 deduced from microscopy, Fung et al. (1981) and Li et al. (2012). Several mechanical models
56
57
58 849 of RBCs have been proposed, for example the spectrin-link membrane model, Li et al. (2005),
59
60

1
2
3 850 and the energy model, Skalak (1973). Primary variables are the fluid velocity and pressure
4
5
6 851 fields and the solid deformation field whereas derived quantities of interest might include the
7
8 852 apparent fluid viscosity and the haematocrit. Input parameters are the computational domain
9
10
11 853 and blood cell shapes (geometry), and the material properties of the plasma and the blood
12
13 854 cells.

14
15 855

16
17
18 856 The fluid equations are usually approximated by the lattice Boltzmann method, MacMeccan
19
20 857 et al. (2009), but finite-volume/spectral solvers have been also utilised, Balogh and Bagchi
21
22
23 858 (2017). Both mass-spring-damper systems, Zavodszky et al. (2017) and finite element models,
24
25 859 Kotsalos et al. (2021) are popular choices to capture membrane deformations caused by fluid
26
27
28 860 pressure and shear stresses. Fluid-solid coupling is typically established by the immersed
29
30 861 boundary method. The computational cost of the corresponding simulations varies in a wide
31
32
33 862 range depending primarily on the number of the modelled blood cells, Alowayyed et al. (2018).
34
35 863 Whereas simulations with a small number of RBCs are feasible on newer multi-core desktops,
36
37
38 864 describing blood flow with about 10,000 RBCs can require computations lasting for multiple
39
40 865 days using hundreds of computer cores. Long time-integration is necessary to reach a
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42
43 866 statistical steady state and a sufficient sample size for averaging. A review of the
44
45 867 corresponding numerical methods is provided by Ju et al. (2015).

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50 869 To date, geometries of interest have been restricted to microscale bifurcations, Bernabeu et
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52 870 al. (2020) and Enjalbert et al. (2021), capillary networks, Ebrahimi and Bagchi (2022), micro-
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54
55 871 aneurysms, Czaja et al. (2022), and porous media, Zhou et al. (2022). Simulations pointed out
56
57 872 the limitations of empirical plasma-skimming models, Enjalbert et al. (2021), and led to the
58
59 873 creation of an advection-diffusion model of haematocrit transport, Zavodszky et al. (2017).
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3 874 Furthermore, computations provide insights into how haemorheology is influenced by
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5 875 diseases, such as malaria, Navidbakhsh and Rezazadeh (2012), sickle cell disease and diabetes,
6
7 876 Hashemi and Rahnama (2016) and into the mechanisms of thrombosis, Chopard et al. (2017).
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9
10 877 Simulations have been validated using microfluidics experiments, van Rooij et al. (2021) and
11
12 878 Zhou et al. (2022). These models open the possibility of simulating both the effective viscosity
13
14 879 and haematocrit splitting effects, providing further insight into the details of the flow at this
15
16 880 length scale, as well as being invaluable in the study of thrombosis and thrombolysis,
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18 881 Mehrabadi et al. (2016) and Belyaev (2018).
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25 883 On a coarser level, treating RBCs effectively as rigid particles, several studies have been
26
27 884 performed that simulate the transport of individual cells within microvascular networks, see
28
29 885 for example Schmid et al. (2017) and Hartung et al. (2018). These models have shown strong
30
31 886 depth-dependence between the cortical layers and analysis of the pathways through the
32
33 887 capillary bed have shown that there are preferred pathways, indicating that the flow field is
34
35 888 not purely a function of the geometry of the network but also a function of the haemodynamic
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37 889 relationships (and hence that regulation of flow is likely to be different at different levels),
38
39 890 Hartung et al. (2018). These models are heavily dependent upon the choice of haematocrit
40
41 891 splitting rules at junctions but have shown that the variability of haematocrit within these
42
43 892 networks is very significant, although at length scales of more than approximately $100\ \mu\text{m}$,
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45 893 the effects on permeability have been shown to be quite small, indicating that this effect is
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47 894 only significant at relatively small length scales.
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896 4.3.2 Capillary network models (CNM)

897 At a scale above cell-resolved simulations lies what can be termed the capillary network
898 model. At this scale, blood is treated as a continuum fluid despite the plug flow characteristics
899 of RBCs flowing through capillary vessels, Pries and Secomb (2003). Due to the laminar nature
900 of blood flow in the microcirculation, a linearisation of the Navier-Stokes equations is used to
901 simulate the blood flow (Stokes flow). This is often simplified to Poiseuille flow which treats
902 the vessel as a resistance to flow, with this resistance dependent on the viscosity of the blood,
903 length, and diameter of the vessel:

$$904 R = \frac{\Delta p}{Q} = \frac{128\mu L}{\pi D^4}$$

905 where the flow rate, Q , is linearly related to the pressure drop, Δp , as a function of the vessel
906 diameter D and length L , and dynamic blood viscosity μ . This equation is, however, strictly
907 only valid for a steady, Newtonian fluid in a rigid, axisymmetric vessel. At this scale the walls
908 of the microvessels are generally considered rigid due to the lack of smooth muscle cell
909 surrounding the vessels. The Poiseuille equation thus provides a very good first order
910 estimate for most vessels, although care must be taken when considering vessels with
911 significant tortuosity, as the resistance to flow can increase rapidly, Mohktarudin et al. (2022),
912 and this can have implications for thrombectomy, Mokin et al. (2020). The tortuosity of blood
913 vessels has been shown to be higher in vascular networks in stroke patients when compared
914 to age-matched healthy controls, Deshpande et al. (2021), so this is an important
915 consideration.

916

917 A key feature of the simulation of flow in the microcirculation is the strong dependence of
918 viscosity on the dimensions of the vessel and the haematocrit due to the Fahraeus-Lindqvist

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3 919 effect. This has resulted in several empirical relationships for viscosity in axisymmetric vessels,
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5
6 920 see for example Pries et al. (1990), Pries et al. (1992), Pries et al. (1996), and Pries and Secomb
7
8 921 (2005). There is some divergence of values between the different relationships, which means
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10 922 that this remains an area in which more experimental data are required.
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15 924 As well as the empirical relationships for the apparent viscosity of flow in small vessels
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17 925 (diameter less than approximately 100 μm), relationships based on experimental data have
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19
20 926 been proposed for haematocrit splitting at bifurcations. This is because at bifurcations the
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22 927 division of red blood cells is not the same as the division of blood flow (hence the haematocrit
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24 928 values in child vessels are not equal to that in the parent vessel). Since changes in haematocrit
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26
27 929 also affect the viscosity of the flow, the flow patterns and haematocrit distributions can thus
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29
30 930 be complicated in interconnected networks and iterative methods must be used to calculate
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32 931 the flow field. Models for this splitting have been proposed by Pries et al. (1990) and Gould
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34 932 and Linninger (2015), although no systematic approach has yet been carried out to explore
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37 933 the range of validity of these models and to compare them with more detailed models of
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40 934 vessel junctions. Alternatively, two-phase flow models that explicitly mimic the flow field as
41
42 935 a red blood cell rich inner layer and a plasma outer layer have been proposed and shown to
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44 936 fit well with experimental data, Sharan and Popel (2001).
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49 938 Modelling approaches for the microvascular networks then fall into two types, based on
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51 939 either a real or a reconstructed network. The former has the advantage of being based on a
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53 940 true network (but is then limited by the field of view of the available image, which is often
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55 941 relatively small) and the latter has the advantage of scalability (but is limited by the accuracy
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58 942 of the reconstruction algorithm). Most studies have adopted the former approach, but it
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3 943 should be noted that the choice of boundary conditions strongly influences the flow field that
4
5 944 is calculated, Lorthois et al. (2011); this can be done either by setting Dirichlet / Neumann
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7 945 boundary conditions on the surface nodes, or by optimising the flow field to match target
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9 946 values of pressure and shear stress within the network, see for example Sweeney et al. (2018).

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15 948 Issues with accurate segmentation have promoted the alternative methodology of generating
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17 949 artificial statistical networks to match experimental measured properties. The advantage of
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19 950 this approach is that it can be scaled up to arbitrary dimension and that it can be characterised
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21 951 in terms of a permeability tensor (and hence scaled up to even larger length scales). Other
22
23 952 authors have developed regular bifurcating networks, see for example Boas et al. (2008) and
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25 953 Payne and Lucas (2018), which provide a simplified representation that can easily be used for
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27 954 simulations of the vasculature response to stimuli, but as these contain no spatial information
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29 955 they are best used as test beds for more complex models.

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37 957 Accurate microvascular models open the possibility of calculating transit time distributions
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39 958 based on network properties, Park and Payne (2013) and Goirand et al. (2021), and fast and
40
41 959 slow pathways have been identified, where local blockages only affect the slow pathways but
42
43 960 global changes in pressure affect both pathways equally. Several studies have been
44
45 961 performed to consider capillary transit time heterogeneity (CTH), see for example Jespersen
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47 962 and Ostergaard (2012) and Ostergaard et al. (2014) and this has been shown to impact oxygen
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49 963 extraction fraction (OEF), although this remains relatively poorly understood. As transit time
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51 964 distributions can be estimated from imaging data via residue functions, this is a promising
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53 965 way of gaining additional information about the microcirculation (although the estimation of
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55 966 these distributions from noisy under-sampled time series remains challenging).

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6 968 However, if the aim is to simulate large regions of the brain, for example a whole lobe or the
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8 969 entire cortex, then simulations of individual microvessels become computationally intractable.

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10 970 Although an entire mouse brain vasculature has recently been simulated based on high-
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12 971 resolution imaging of individual animals together with synthetic networks to cover the whole
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14 972 range of length scales, Linninger et al. (2019) and Hartung et al. (2021), providing significant
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16 973 insight into the flow distributions in a highly complex network, this is substantially smaller
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18 974 than a human brain. A different method to simulate large regions of blood flow is thus
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20 975 required due both to the lack of computational power and the lack of anatomical information
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22 976 about the microvasculature.

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29 978 4.3.3 Porous (poroelastic) tissue model P(E)TM

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31 979 In the poroelastic framework, vessels embedded in a deformable tissue matrix are grouped
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33 980 to describe internal blood (cerebrospinal and interstitial fluid) flows. This method moves from
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35 981 simulating individual microvessels to describing flow through the entire cerebral
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37 982 microcirculation. Once relatively small deformations are assumed compared to the size of the
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39 983 domain of interest, the theoretical connection between the underlying Cauchy momentum
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41 984 equations (including both fluid and solid motions) and the poroelastic formulation is provided
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43 985 by homogenisation or volume-averaging, Shipley and Chapman (2010), Shipley et al. (2020),
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45 986 El-Bouri and Payne (2015).

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53 988 The Navier-Stokes equations for these networks can thus be reduced to the form of a porous
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55 989 medium approximation:

$$56
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59 990 \mathbf{u} = -\mathbf{K}\nabla p$$

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3 991 where the properties of the network are summarised within a permeability tensor, \mathbf{K} , relating
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5 992 the flow field, \mathbf{u} , to the pressure field, p , see for example Shipley and Chapman (2010) and El-
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7 993 Bouri and Payne (2015).
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13 995 Separating the deformable parenchyma (brain tissue) from the blood-filled lumen and the
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15 996 interstitial and cerebrospinal fluid spaces then leads to a generalised multiple network
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17 997 poroelasticity formulation. Both unsteady and steady poroelastic models have been
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19 998 considered and simplified multi-compartmental porous models have been also introduced. In
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21 999 fluid compartments, the permeability tensor, viscosity, compressibility, and Biot parameters
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23 1000 link the strain field to the pressure and fluid velocity fields.
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30 1002 This result can be applied to simulate flow in the microvasculature using any arbitrary number
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32 1003 of compartments e.g., capillary bed, arterioles, venules. The velocity in each compartment
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34 1004 can be calculated via the porous medium approximation and the governing equation for a
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36 1005 porous medium can be applied, i.e.:

$$37 \quad 38 \quad 39 \quad 40 \quad 41 \quad 42 \quad 1006 \quad \phi_j = \alpha_j \varepsilon + \frac{p_j}{Q_j}$$

43 1007 where each individual phase has Biot-Willis coefficient α_j and specific storage Q_j . The
44
45 1008 volumetric strain is finally related to tissue displacement \mathbf{w} via:

$$46 \quad 47 \quad 48 \quad 49 \quad 1009 \quad \varepsilon = \nabla \cdot \mathbf{w}$$

50 1010 We illustrate this model by assuming that the microcirculation can be simplified to three
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52 1011 blood compartments, as this has been the most common choice. Multiple blood
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54 1012 compartments are created by grouping blood vessels based on their diameter or the structure
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3 1013 of the vessel wall, although there is no rigorous definition or general agreement on how this
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6 1014 is best done, so methods remain somewhat ad hoc in nature.

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10 1016 Conservation of mass, assuming an incompressible fluid, in an Eulerian co-ordinate system
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13 1017 then gives:

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$$\frac{\partial}{\partial t} \left(\alpha_a \varepsilon + \frac{p_a}{Q_a} \right) - \nabla \cdot (\mathbf{K}_a \nabla p_a) = -\beta_{ac}(p_a - p_c)$$

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19 1019
$$\frac{\partial}{\partial t} \left(\alpha_c \varepsilon + \frac{p_c}{Q_c} \right) - \nabla \cdot (\mathbf{K}_c \nabla p_c) = \beta_{ac}(p_a - p_c) - \beta_{cv}(p_c - p_v)$$

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23 1020
$$\frac{\partial}{\partial t} \left(\alpha_v \varepsilon + \frac{p_v}{Q_v} \right) - \nabla \cdot (\mathbf{K}_v \nabla p_v) = \beta_{cv}(p_c - p_v)$$

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25
26 1021 where the subscripts a , c , and v refer to the arterial, capillary, and venous compartments
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28 1022 respectively, each of which has pressure p_i and blood velocity \mathbf{u}_i , all of which are functions
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30 1023 of space and time. The sum of the volume occupancy fractions is equal to the blood volume
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32 1024 fraction (which can be directly related to measurements of cerebral blood volume, as
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35 1025 discussed earlier).

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41 1027 The flow between the different compartments is governed by the coupling coefficients, β_{ij} ,
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43 1028 which govern the rate of flow from compartment i to compartment j ; it is normally assumed
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45 1029 that there is a linear relationship between the pressures in the two compartments and the
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48 1030 flux. It should be noted that most mathematical models of CBF in the microvasculature also
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50 1031 assume that the volume fraction is constant, although this remains to be fully validated.

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56 1033 In the solid compartment, a constitutive law establishes the stress-strain relationship,
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58 1034 typically based on the Young's modulus and Poisson's ratio of the tissue. Computational
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3 1035 approaches tend either explicitly consider tissue displacement (for example, as they wish to
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6 1036 examine the effects of post-ischaemic swelling, where there can be significant movement of
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8 1037 brain tissue) or to neglect it. In the former case, a constitutive model for the tissue must be
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10 1038 assumed; although we will not have space to consider this in any detail here, we note that
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13 1039 the simplest model, i.e., that of a linear, elastic, isotropic material, can be applied via:

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$$15$$

$$16$$

$$17 \quad 1040 \quad G \nabla^2 \mathbf{w} + \left(\frac{G}{1 - 2\nu} \right) \nabla (\nabla \cdot \mathbf{w}) - \sum_j \alpha_j \nabla \cdot p_j \mathbf{I} = \rho_s \frac{\partial^2 \mathbf{w}}{\partial t^2}$$

$$18$$

$$19$$

20 1041 where the tissue has density ρ_s , shear modulus G , and Poisson ratio ν . Note that other
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23 1042 authors sometimes also include additional terms to account for the acceleration of the fluid
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25 1043 inside the solid matrix, but these terms are negligible except at very high frequencies and can
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28 1044 thus nearly always be neglected in this context. Even the acceleration term on the RHS of the
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30 1045 equation above is generally found to be small.

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35 1047 The governing equations are typically solved by the finite element method, but finite volume
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37 1048 simulations have been also reported. Steady state results can be obtained using a multi-core
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40 1049 desktop within minutes whereas unsteady simulations can take much longer depending
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42 1050 primarily on the time window of interest. Derived quantities of interest might be the midline
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45 1051 shift of the brain caused by large deformations or perfusion, Mokhtarudin and Payne (2017)
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47 1052 and Chou et al. (2016). Previously, several pathologies have been considered using this
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50 1053 modelling framework, such as Alzheimer's disease, Guo et al. (2018) and Vardakis et al. (2020),
51
52 1054 hydrocephalus, Chou et al. (2016), oedema, Vardakis et al. (2016) and Mokhtarudin and Payne
53
54 1055 (2017), and acute ischaemic stroke, Jozsa et al. (2021). Validation of the resulting deformation
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56
57 1056 and velocity fields is an ongoing effort. Simulations show promising agreement with
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59 1057 perfusion-weighted images, phase-contrast imaging, and deformations quantified based on
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3 1058 non-contrast computed tomography, Vardakis et al. (2019) and Mokhtarudin and Payne
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5 1059 (2017), although further detailed studies are still required for full validation.
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10 1061 4.4 Coupling models of blood flow

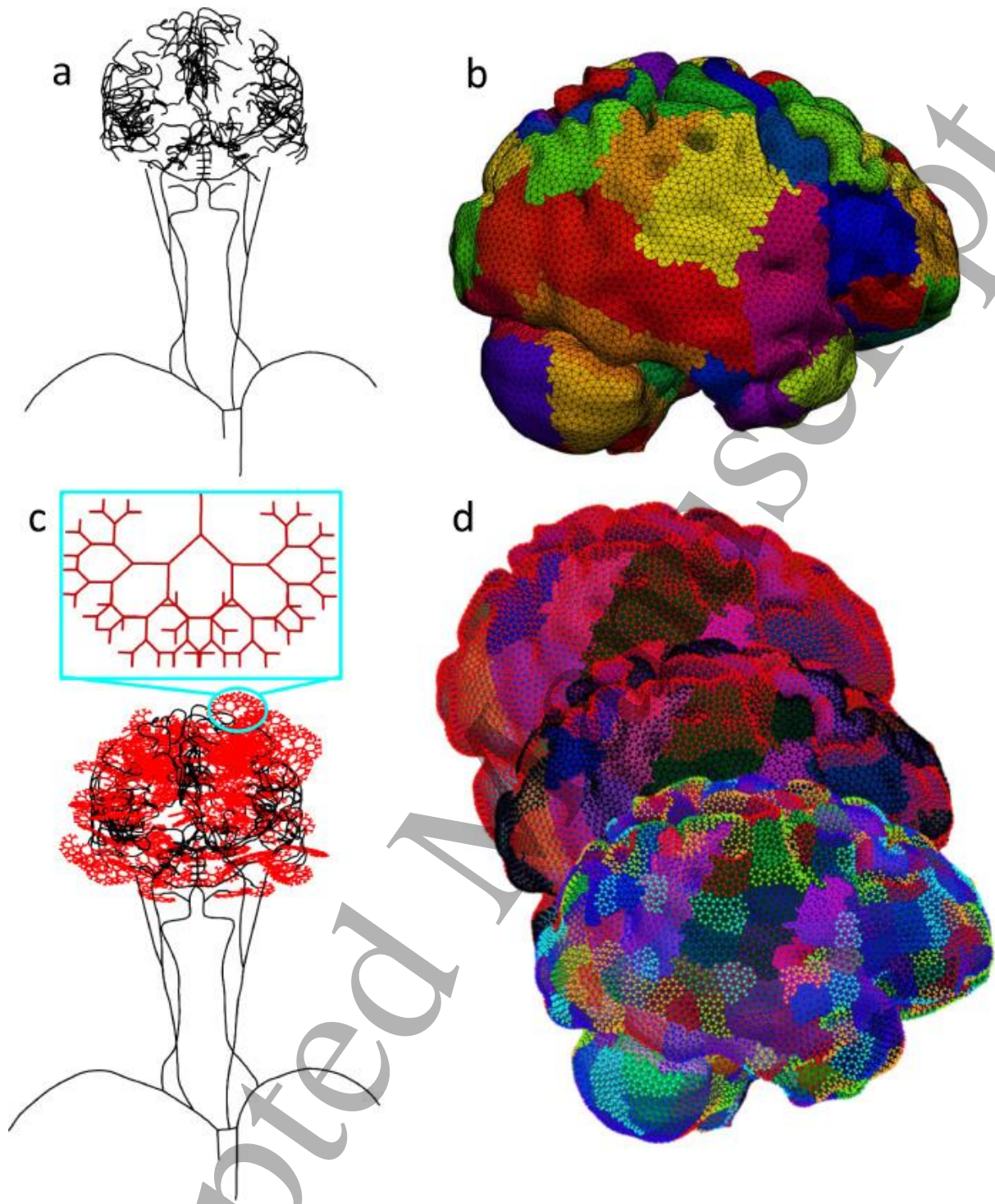
11 1062 The modelling frameworks adopted for various length scales are clearly very different and
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13 1063 coupling them together is a non-trivial task. Since it is not possible to simulate every blood
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15 1064 vessel individually, in any whole brain model a division must be made at some length scale
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17 1065 between a continuum approach and a discrete approach. This is normally either done at the
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19 1066 arteriole-capillary boundary (typically when examining models at the length scale of a single
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21 1067 voxel), see for example El-Bouri and Payne (2016), or at the cortical surface (typically when
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23 1068 simulating the whole brain), see for example Jozsa et al. (2021). Models of the pial circulation
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25 1069 have been limited by the lack of available experimental data regarding their anatomy and
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27 1070 geometry, which also makes the coupling challenging.
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37 1072 The key difficulty in coupling a continuum model with a discrete model in this context is that
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39 1073 the flow from or to the discrete vessels introduces a singularity in the governing equations for
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41 1074 the continuum (essentially because this flow appears as a point source or point sink). A means
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43 1075 of 'spreading' these terms out spatially needs to be adopted within the confines of the
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45 1076 discretisation of the space that is typically used in solving the governing equations within the
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47 1077 continuum phase. One rigorous way of doing this was proposed by Peyrounette et al. (2018),
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49 1078 based on analytical approximations of the pressure field in the vicinity of the coupling points
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51 1079 that enable continuum solutions to be considered.
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3 1081 At a whole brain model, the procedure proposed by Padmos et al. (2021) and extended by
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6 1082 Jozsa et al. (2021) is based on the estimation of perfusion territories, as shown in Figure 9.
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8 1083 Flow from the large vessels is then distributed across the relevant perfusion territories to
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10 1084 ensure approximately constant perfusion across the territories, essentially mimicking the pial
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12 1085 circulation. This highly simplified approach enables whole brain models to be run without very
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14 1086 high computational expense and allows for the inclusion of information about the collateral
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16 1087 circulation (about which imaging data can be acquired), Padmos et al. (2021).
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1090 **Figure 9** "(a) The large arteries used in the model, starting at the heart and ending at the

1091 major cerebral arteries. (b) The surface mapping on the surface of the brain. Each colour

1092 denotes the perfusion territory of a single outlet of the large arteries in (a). Shown is the right

1093 side of the brain. (c) At the outlets of the large cerebral arteries in (a), a bifurcating tree is

1094 generated. (d) The bifurcating trees are mapped on the surface by iterative division of the

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3 1095 perfusion territories in (b). Shown is the right side of the brain.” Figure and legend reproduced
4
5
6 1096 with permission from Padmos et al. (2021).
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10 1098 4.5 Conclusion

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13 1099 In this section, we have examined the mathematical foundations for models of cerebral blood
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16 1100 flow. These have been divided into approaches for the macrovasculature and the
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18 1101 microvasculature for convenience, as they have followed very different paths in model
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21 1102 development. The coupling of these models together has also been considered briefly,
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23 1103 although this is a challenging mathematical problem that has only been tackled by a few
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26 1104 authors. In the next section, we consider the implementation of these models.
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30 31 32 1106 5. In silico clinical trials

33 34 35 1107 5.0 Introduction

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37 1108 In this section, we consider the use of models of CBF in in silico clinical trials of stroke and
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39 1109 aneurysms, both the development of the disease and the interventions made to treat it. This
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42 1110 is because, to the authors knowledge, in silico trials have only been attempted for ischaemic
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45 1111 stroke and aneurysms. Other conditions related to CBF, for example dementia and traumatic
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47 1112 brain injury, have been much less studied in this context, although the work presented here
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50 1113 would have obvious applications in these other clinical conditions where the role of CBF in
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52 1114 disease progression is now increasingly appreciated, see for example de la Torre (2018), Rius-
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55 1115 Perez et al. (2018) and Scheffer et al. (2021). It should also be carefully noted that the choice
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57 1116 of model is very much guided by the specific application of the model, with different models
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60 1117 (and different accuracies) being appropriate for different situations.

1118

1119 **5.1 Methodology**

1120 Recently, both the European Parliament and the United States Congress have drawn attention
1121 to the potential benefits of employing computational models in medical device and drug
1122 development. An in silico clinical trial builds on computational models to evaluate the safety
1123 and efficacy of therapies and treatments. An in silico trial thus “simulates a disease and its
1124 corresponding therapies on a cohort of virtual patients to support the development and
1125 evaluation of medical devices, drugs, and treatment”, Miller et al. (2021). These have the
1126 potential to reduce significantly the time and cost of clinical trials, helping to avoid clinical
1127 trial failure and to support clinical trials for diseases with low prevalence.

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1129 Models of cerebral blood flow are now becoming sufficiently mature to estimate the impact
1130 of clinical operations on physiological variables. Haemodynamic variables, such as brain
1131 perfusion, play an important role in patients’ health and thus simulations can be suitable to
1132 estimate how patient cohorts, and eventually individuals, would react to a specific treatment.
1133 These trials do not yet attempt to mimic individual (patient-specific) subjects, rather they aim
1134 to provide an accurate statistical representation of the population being studied. This enables
1135 studies to be performed in a similar manner to a clinical trial. For more information about in
1136 silico trials, see Viceconti and Hunter (2016).

1137

1138 A virtual patient is a set of models and parameters determined by the medical device or drug
1139 of interest. In the context of cerebral blood flow, a virtual patient relies on one or more of the
1140 models described above, and the input parameters such as viscosity, vessel wall stiffness etc.

1141 Thanks to advances in clinical measurement techniques, it is nowadays possible to infer some

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3 1142 of these parameters in a patient-specific manner. Conceptually, such “one-to-one mirroring”
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6 1143 is the most straightforward method to obtain virtual patients. For example, an individual’s
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8 1144 blood viscosity can be measured from a blood sample, and the stiffness of a blood vessel
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10 1145 segment can be estimated using magnetic resonance imaging. However, the choice of
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12 1146 parameter values remains one of the most challenging aspects of any physiological model.
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15 1147 Both mathematical and computational approaches will be needed to constrain the parameter
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18 1148 space to a reasonable size.

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23 1150 Therefore, the concept of ‘patient-specific simulations’ is now starting to be considered and
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25 1151 such computations might be part of an individual's digital twin covering an individual’s most
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27 1152 relevant pathophysiological behaviour. It is important to acknowledge though that, strictly
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29 1153 speaking, patient-specific simulations have *not* been carried out yet, Steinman and Pereira
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31 1154 (2019), and numerical investigations to date have been limited to integrating *some* patient
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33 1155 specific parameters, such as the computational geometry inferred from angiography.
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35 1156 Inferring the comprehensive set of input parameters for cerebral blood flow models is an
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37 1157 unresolved challenge. For example, in the case of arterial Navier-Stokes simulators, difficulties
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39 1158 associated with absolute pressure measurements undermine the patient-specific treatment
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41 1159 of boundary conditions. Although patient-specific cerebral haemodynamic simulations might
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43 1160 become possible in the future, until then another approach is needed to determine
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45 1161 parameters for virtual patients. To date, *in silico* trials have inferred the necessary parameters
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47 1162 from different patient cohorts and merged them for simulations based on statistical models.
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49 1163 This approach might be referred to as chimerisation and allows the user to exploit information
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51 1164 available from different sources.
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3 1166 It should be noted that the uncertainty present in the details of the model means that the
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6 1167 demonstration of model credibility to regulatory bodies and clinical organisations will be
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8 1168 critical in translating these models into routine clinical practice. Amongst other aspects of this
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11 1169 are reproducibility, replicability, verification, and validation, see for example Mulugeta et al.
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13 1170 (2018). The recent VVUQ protocols provide a basis for starting these processes. Techniques
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15 1171 such as sensitivity analysis will also be an important aspect of this process.
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20 1173 5.2 Acute ischaemic stroke

23 1174 One of two in silico trials related to cerebral blood flow is described in Miller et al. (2021),
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25 1175 where patients with large vessel occlusion acute ischaemic stroke who were eligible for
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27
28 1176 mechanical thrombectomy were considered. The MR CLEAN registry, Berkhemer et al. (2015),
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30 1177 was used within the INSIST project, Konduri et al. (2020), to generate a model to predict brain
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33 1178 injury and stroke treatment in terms of a statistical clinical outcome model. The authors note
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35 1179 that the study is a “proof of concept” at this stage, following on from other studies in other
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38 1180 body organs such as the coronary circulation, Chiastra et al. (2019).
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42 1182 Early models of thrombolysis focused on the biochemical processes, considering idealised
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44 1183 geometries, see for example Anand and Diamond (1996), Wootton et al. (2002), Pleydell et al.
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47 1184 (2002) and Bannish et al. (2014). These models link kinetic compartmental models of
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49
50 1185 molecules such as plasminogen, plasmin, fibrinogen and antiplasmin, with transport
51
52 1186 equations for these molecules through the clot; for a review of these see Fogelson and Neeves
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54
55 1187 (2015). More recent work has expanded these models to patient-specific geometries, Piebalgs
56
57 1188 et al. (2018), Gu et al. (2019) and Gu et al. (2022), with one study combining both thrombolysis
58
59 1189 and thrombectomy in one patient-specific geometry, Manchester et al. (2021).
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6 1191 For thrombectomy, several additional computational models are required to simulate both
7
8 1192 the mechanical properties of the clot and the interaction between the clot and the device (of
9
10 1193 which there are now a number, see for example Chueh et al. (2021), Kuhn et al. (2020) and
11
12 1194 Ospel et al. (2019)). Recent studies of mechanical thrombectomy include those by Chueh et
13
14 1195 al. (2017), Talayero et al. (2019), Weafer et al. (2019), Madjidyar et al. (2020) and Mousavi et
15
16 1196 al. (2021), investigating the performances of different retrievers both experimentally (in
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18 1197 vascular replicas) and computationally in response to clots of varying mechanical properties.
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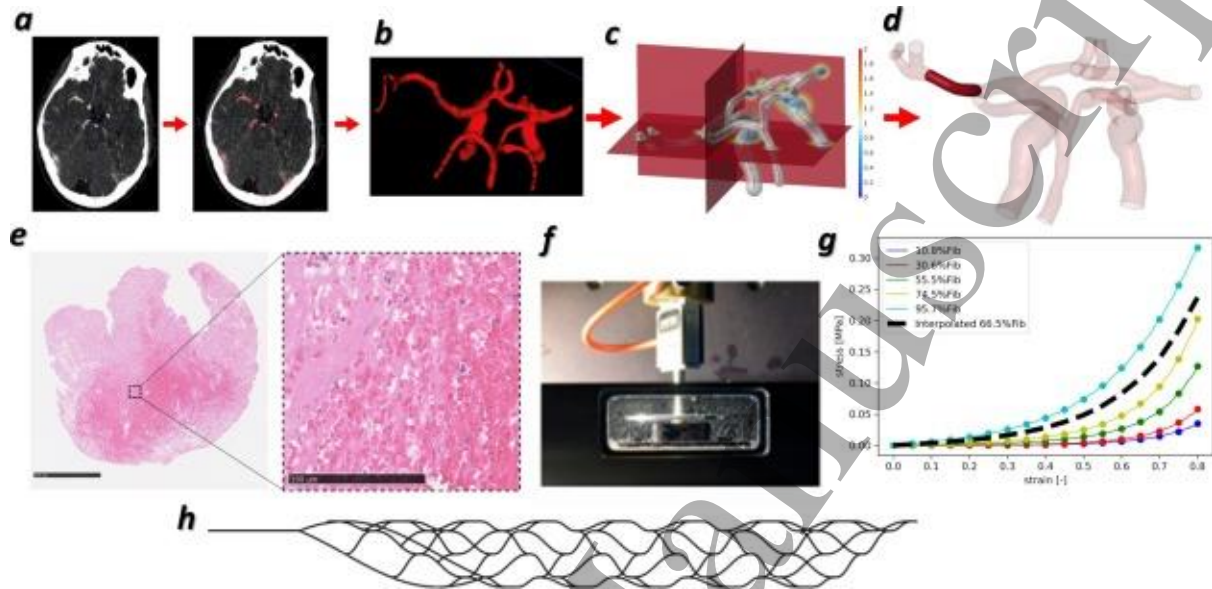
24
25 1199 Mechanical testing of clot analogues (i.e., clots prepared from blood mixtures of varying
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27 1200 haematocrit) has shown that the mechanical behaviour is strongly dependent upon the clot
28
29 1201 composition with the microstructure of the clot also a function of age. A hyper-viscoelastic
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31 1202 constitutive model is suitable to capture the mechanical behaviour, Johnson et al. (2021), and
32
33 1203 this has recently been implemented in models using simplified geometries to provide
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35 1204 accurate predictions of the clot deformed shape, Fereidoonzhad et al. (2021) and
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37 1205 Fereidoonzhad and McGarry (2022).
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45 1207 These models have now been implemented within the first patient-specific simulations of
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47 1208 mechanical thrombectomy using a stent-retriever, Luraghi et al. (2021a) and Luraghi et al.
48
49 1209 (2021b), Figure 10. This also included a study of the risk of clot fragmentation during
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51 1210 mechanical thrombectomy using a damage initiation criterion based on Fereidoonzhad et
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53 1211 al. (2021). Fragmentation is a significant risk in this procedure, as it can lead to a 'shower' of
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55 1212 microthrombi passing into the downstream circulation and preventing reperfusion; this has
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59 1213 previously been considered in models of perfusion, El-Bouri et al. (2021), and the mechanical
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1214 properties of the clot have been shown to affect the change in permeability of the
 1215 downstream circulation. It is worth noting that the prediction agreed with the clinical
 1216 observation that the clot remained intact and was not removed on the first attempt.

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1219 **Figure 10** “(a) CT images are segmented to extract the (b) intracranial arteries. (c) Centerlines
 1220 are processed, and the vessel surface meshes are derived with the aid of a levelset image. (d)
 1221 Clot location is visible in the transparent representation. (e) Hematoxylin and Eosin
 1222 histological staining of a clot tested to derive mechanical properties from (f) unconfined
 1223 compression tests. (g) With increasing fibrin/platelet content, the clot stiffness increases. The
 1224 stress–strain curve for the patient-specific clot considered in this study (black dotted line) was
 1225 obtained by interpolating the known curves. (h) Model of the stent-retriever.” Figure and
 1226 legend reproduced with permission from Luraghi et al. (2021a).

1227

1228 These new models of thrombectomy thus have great potential predicting the displacement
 1229 and movement of clots, although given the variability in their properties, which are unlikely

1230 to be known in advance of the intervention, there is considerable uncertainty in any

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3 1231 prediction (and thus in the optimal choice of device); this remains an area requiring further
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6 1232 investigation, to determine the amount of information required to decide on the intervention.
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8 1233 The event-based in silico trial pipeline reported by Miller et al. (2021) uses ANM and PETM
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10 1234 together to quantify how both successful and unsuccessful stroke treatments impact blood
11
12 1235 flow both in the macrocirculation and the microcirculation. Thereafter, a statistical model
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14 1236 predicts patients' functional outcome based on the location and size of brain territories with
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17 1237 low perfusion.
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23 1239 5.3 Cerebral aneurysms

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25 1240 Simulations of the treatment of aneurysms have a much more substantial track record than
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27 1241 the treatment of ischaemic stroke. There now exist substantial databases of clinical and
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29 1242 imaging data from patients with both ruptured and unruptured aneurysms, for example
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31 1243 through the @neurIST project (with nearly 500 patients); for full details of the processing
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33 1244 toolchain, see Bogunovic et al. (2011) and Villa-Uriol et al. (2011). These provide populations
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35 1245 from which virtual populations can be reconstructed and studies performed to quantify the
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37
38 1246 effects of parameters such as hypertension and hypotension, Sarrami-Foroushani et al. (2015).
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41 1247
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43 1248 Likewise, virtual models of devices, including coils and flow diverters, have been developed,
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45 1249 see for example Larrabide et al. (2012) and Shapiro et al. (2013) that can be included within
46
47 1250 the simulations to quantify the changes in the haemodynamics in and around the aneurysm
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49 1251 caused by the intervention, see for example Jeong and Rhee (2012) Goubergrits et al. (2014),
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51 1252 Mut et al. (2014), Peach et al. (2019) and Tikhvinskii et al. (2022). Multiple parameters,
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53
54 1253 including wall shear stress and pressure can be calculated, although it is worth noting that
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3 1254 many assumptions must be made about the haemodynamic environment and the wall
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6 1255 properties, as described earlier.
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10 1257 A schematic of the pipeline that is used in this context is shown in Figure 11 also highlighting
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13 1258 the uncertainty in the model outputs that play an important part in decision making. In this
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16 1259 context, the coupling between high-fidelity 3D models and OD Windkessel models is of
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18 1260 particular importance. This particular in silico trial was thus based on modelling the treatment
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20 1261 of intracranial aneurysms using flow diverters (the FD-PASS study), and this showed good
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23 1262 agreement with values reported in three clinical trials, Sarrami-Foroushani et al. (2021). The
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26 1263 pipeline aimed to evaluate the efficacy of flow diverters based on the flow field and clot
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28 1264 formation in the aneurysm sac.
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33 1266 This trial opens two possibilities for modelling the cerebral vasculature: patient-specific
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35 1267 models, which are directly constructed based on an individual patient's imaging data, and
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38 1268 population-based models, which are constructed based on all available data. At present, there
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40 1269 are no clear guidelines about which is the preferred approach, although multiple factors must
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43 1270 be taken into consideration here, including the quality of the available patient data relative
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45 1271 to the population-based data. It is likely that in future both approaches will be used in
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48 1272 separate contexts. In the same manner as for randomised clinical trials, power calculations
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50 1273 must be performed to calculate the size of the virtual population to identify a given type I
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52 1274 error, see for example the calculation in Sarrami-Foroushani et al. (2021).
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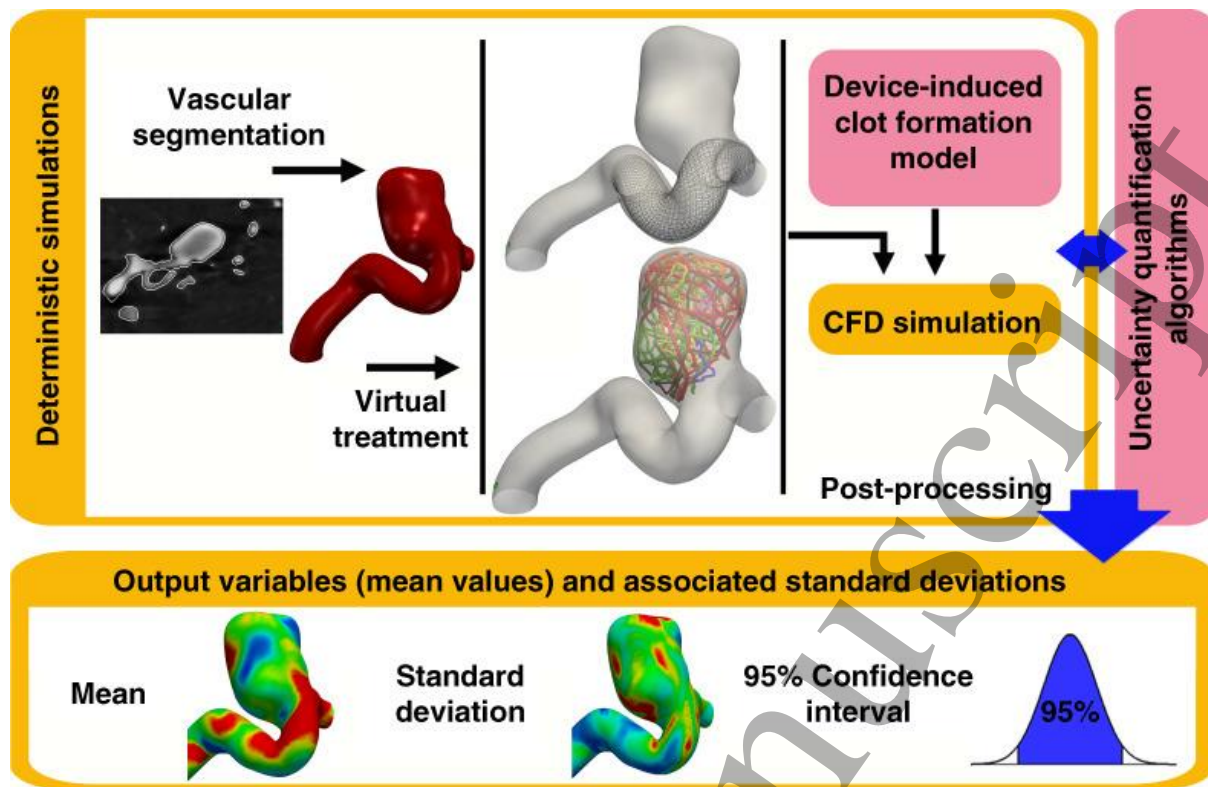


Figure 11 Schematic of simulation of cerebral aneurysm and resulting outputs, reproduced with permission from Sarrani-Foroushani et al. (2017)

5.4 Conclusion

In this section, we have examined computational models that aim to simulate pathological conditions that involve CBF. Although the models of aneurysms and their treatment are now relatively well-established, those of ischaemic stroke and its treatment are much earlier in their development with only very recent successful attempts to simulate interventions. In both cases, the potential for examining the differing outcomes of alternative interventions and therapies via a computational model in advance of treatment is clear, although it should be noted that the clinical timescales in these two cases are very different. It is also worth noting that there are many confounding factors (such as age) that have yet to be included

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3 1289 within these models. These are, however, very promising avenues for future studies, as will
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6 1290 be discussed briefly in the final section below.
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11 1292 6. Conclusions

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14 1293 In this review, we have considered the modelling of CBF, both the theory behind these models
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17 1294 and the practical implementation. The last fifteen years have seen a considerable amount of
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20 1295 work carried out to model the cerebral vasculature with much greater accuracy, although
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22 1296 much work remains to be done to improve our understanding of this highly complicated
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25 1297 network, both in terms of its anatomy and geometry and its active behaviour. The transition
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27 1298 towards the development of in silico trials however opens the possibility of providing a much
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29 1299 better understanding of different cerebral pathologies and the appropriate interventions.
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34 1301 The computational frameworks for pathological cerebral conditions that are being developed
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37 1302 here also provide opportunities for simulating other aspects of the cerebral circulation. Some
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39 1303 work has been performed on modelling the transport of oxygen through the cerebral
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42 1304 vasculature at multiple length scales, although this is still in need of detailed validation, which
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44 1305 is a highly challenging task. These models can also be used to simulate the transport of
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46 1306 contrast agents (to help to improve our understanding of medical imaging techniques) and
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49 1307 pharmaceutical interventions (to help to improve our understanding of drug delivery to the
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51 1308 brain, a notoriously difficult technical problem).
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56 1310 The major limitation on all models of the cerebral circulation however remains the lack of
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59 1311 high-quality experimental data, both anatomical data about the different generations of the
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3 1312 vasculature, and geometrical and mechanical properties of the blood vessels. Similar
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5 1313 difficulties exist in mechanical models of brain tissue, emphasising the need for improved
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8 1314 measurement techniques and more innovative methods to extract information from data sets.
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10 1315 There has been an increase in the use of machine learning techniques in other fluid dynamics
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13 1316 contexts, and the potential for these to add value in understanding and simulating CBF could
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15 1317 be significant.
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