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Operationalizing appropriate sepsis definitions in children worldwide: Considerations for the Pediatric Sepsis Definition Taskforce --Manuscript Draft--

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14 February 2023

Dear Editor

We wish to submit a revised version of our Concise Clinical Science Review entitled "**Operationalizing appropriate sepsis definitions in children worldwide: Considerations for the Pediatric Sepsis Definition Taskforce** "for consideration by *Pediatric Critical Care Medicine*. We have addressed all your previous comments, which have served to improve the manuscript.

In this paper, we present a conceptual framework and a rationale of the critical aspects and context-specific factors that must be considered for the operationalization of sepsis definitions applicable to children around the world. Sepsis is a leading cause of global mortality, with almost half of cases affecting children, yet definitions for pediatric sepsis remain outdated, despite their known limitations in terms of global applicability, validity, and relevance. In adults, the new Sepsis-3 definition was developed in 2016, using databases from high resource countries, to develop and validate the criteria, which were then claimed to be globally applicable. While the merit of this definition has been widely acknowledged, it has created controversy because it fails to account for less resourced and more diverse settings, posing great challenges towards the implementation of these criteria in sepsis quality improvement initiatives around the world.

There are inherent challenges in developing a set of paediatric sepsis criteria that address similar presentations of illness, but with vastly different aetiologies and underlying mechanisms. The criteria need to be unambiguous yet flexible, capable of adapting to the widely different contexts in which children with suspected infection present around the globe, so they can be implemented locally. These criteria need to facilitate early recognition and timely escalation of treatment to prevent progression, and support life-threatening organ dysfunction in children with sepsis. At the same time, in order to improve outcomes of all children with suspected infection, it is imperative too that the criteria are able to identify children with infections other than sepsis early, for whom management with specific interventions other than intravenous antibiotics and vasoactive drugs will be life-saving. In this review, we discuss the challenges and opportunities in operationalizing sepsis in the global and diverse settings in which children present, and highlight that any criteria developed must be applicable locally in order to identify, manage and study children with sepsis.

We present locally adaptable solutions are required, which permit individualized care based on available resources and the pre-test probability of sepsis. This should facilitate affordable diagnostics which support risk stratification and prediction of likely treatment response, and solutions for locally relevant outcome measures.

We have no conflicts of interest to disclose. Please address all correspondence concerning this manuscript to Professor Enitan Carrol at <u>edcarrol@liverpool.ac.uk</u>

Thank you for your consideration of this manuscript.

Sincerely,

Professor Enitan Carrol Corresponding Author

on behalf of the Pediatric Sepsis Definition Taskforce.

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1 2	2	A brief summary, not to exceed 280 characters and suitable for a social medium communication, must
3 4	3	be included in the submission. This summary will be used for publicity and promotion if the manuscript
5 6 7	4	is accepted and published.
8 9 10	5	To facilitate rapid identification, optimal management and rigorous study of children with
11 12	6	sepsis, future criteria defining pediatric sepsis must capture manifestations of illnesses
13 14 15	7	across varying pathogen and patient subgroups, and be applicable both locally and globally.
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1 Operationalizing appropriate sepsis definitions in children worldwide: Considerations

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Abstract

Sepsis is a leading cause of global mortality in children, yet definitions for pediatric sepsis are outdated and lack global applicability and validity. In adults, the Sepsis-3 Definition Taskforce queried databases from high-income countries to develop and validate the criteria. The merit of this definition has been widely acknowledged, however, important considerations about less resourced and more diverse settings pose challenges towards its use globally. To improve applicability and relevance globally, the Pediatric Sepsis Definition Taskforce sought to develop a conceptual framework and rationale of the critical aspects and context-specific factors that must be considered for the optimal operationalization of future pediatric sepsis definitions.

It is important to address challenges in developing a set of pediatric sepsis criteria which capture manifestations of illnesses with vastly different etiologies and underlying mechanisms. Ideal criteria need to be unambiguous, and capable of adapting to the different contexts in which children with suspected infection present around the globe. Additionally, criteria need to facilitate early recognition and timely escalation of treatment to prevent progression, and to limit life-threatening organ dysfunction.

To address these challenges, locally adaptable solutions are required, which permit individualized care based on available resources and the pre-test probability of sepsis. This should facilitate affordable diagnostics which support risk stratification and prediction of likely treatment response, and solutions for locally relevant outcome measures. For this purpose, global collaborative databases need to be established, using minimum variable datasets from routinely collected data. In summary, a "Think globally, act locally" approach is required.

23 (**248 words**)

Introduction

Across the world substantial morbidity, mortality, expense and adverse impacts on healthcare systems are attributed to pediatric sepsis ¹. Unfortunately, variability in the operationalization of pediatric sepsis definitions leads to difficulties in measuring the burden of sepsis and optimising management, and may compromise quality improvement initiatives. In many settings, "sepsis" has been used synonymously with "severe infection" resulting in substantial challenges and variability in recognition and coding for sepsis ². Although Sepsis-3 definitions ³ were intended to unify the various definitions and terminologies, they have some limitations when applied to the global healthcare community, limitations which are even more pronounced when considering pediatric age groups. There are significant differences in the epidemiology, biology, susceptibility, and approach to sepsis management between adults and children, which are magnified between high income (HIC) and upper middle-income country (UMIC) settings compared to low and low middle-income country (LMIC) settings, where the majority of pediatric sepsis occurs.

Sepsis is defined as life-threatening organ dysfunction caused by a dysregulated host response to infection, but there are no validated measures that determine when a child transitions from having an "infection" to having "sepsis"⁴. The purpose of establishing criteria for pediatric sepsis is to support a structured process for the early diagnosis of sepsis, development of appropriate interventions for that diagnosis, and to facilitate post-hoc assessment of the accuracy of management decisions. It is particularly important to recognise children on a trajectory towards infection-induced organ dysfunction early, rather than when the signs are florid, especially in resource-limited settings where advanced organ support may be lacking. A recent international survey with over 2,500 respondents highlighted that the currently available diagnostic frameworks for sepsis in children fall short of the desirable requirements in terms of benchmarking, correct disease classification, clinical recognition, and outcome

prognostication ⁵. Operationalizing criteria for pediatric sepsis may require a more contextspecific approach (e.g. considering time from onset, resources available, geography, prevalent pathogens etc.). There is therefore an urgent need for a data- and evidence-driven, approach to derive and validate criteria for sepsis in children, applicable across global settings and reflective of different clinical situations (from first contact in primary care through to intensive care) ⁵.

Sepsis varies in its clinical manifestations over time, which necessitates different requirements for identification of sepsis across the various stages of its progression. In the early phases, clinicians may have limited information available, but require criteria to make a *presumptive* diagnosis which is sufficient to implement effective therapeutic approaches. At later stages, clinicians usually have access to substantive information, including results of diagnostic testing, clinical progression, and response to therapy, to allow a *definitive* diagnosis. This concept enables clinicians to consider parameters for early initiation of antibiotic therapy, while allowing early termination of antibiotic therapy, once additional information allows re-evaluation of the diagnosis and risk. To ensure widespread adoption and patient benefit, criteria for sepsis should correlate with clinically relevant intervention points (e.g. invasive bacterial infection = antibiotic therapy, organ dysfunction = organ support therapy), as well as the risk of mortality in each situation.

Sepsis represents a broad umbrella term covering a condition that may be caused by a wide range of pathogens (bacterial, viral, fungal, and parasitic). There are no simple and unambiguous clinical, biological, imaging, or laboratory features that uniquely identify a patient with sepsis. The lack of rapid and reliable sepsis tests hampers the ability to make an definitive diagnosis of life-threatening organ dysfunction caused by a dysregulated host response to infection, ³, ² at time of presentation.

Dysregulation of host response includes imbalances in pro- and anti-inflammatory states driven by the innate and adaptive immune systems and contributing to new or worsening organ injury and death. The adult Sepsis-3 criteria emphasize the importance of identification of organ dysfunction in patients with infection and have led to research that improves our mechanistic understanding of the pathobiology of sepsis, including infection-specific biology and responses to specific interventions ⁶, ⁷. In this context, phenotyping utilizing biological and clinical characteristics, and specific pathophysiology, has great potential to be employed as an enrichment strategy in the evaluation of treatment responses ⁸.

The 2005 International Pediatric Sepsis Consensus Conference (IPSCC) ⁹ provided criteria for categories of increasing disease severity (infection, sepsis, severe sepsis, septic shock), and definitions of organ dysfunction in children. Since then, our understanding of organ dysfunction and practice of pediatric critical care have changed considerably. In 2022, the Pediatric Organ Dysfunction Information Update Mandate (PODIUM) proposed 43 criteria to characterize children with single or multiple organ dysfunction, to identify phenotypes associated with poor outcome, and to serve as entry criteria for clinical trials ¹⁰. The number of concurrent PODIUM organ dysfunction has been shown to have good-to-excellent discrimination for in-hospital mortality at two U.S. centers, and compared favorably to the IPSCC criteria¹¹. However, although the PODIUM criteria were designed to be feasible, minimally invasive, generalizable and easily operationalizable ¹², their application to LMIC settings could be challenging. Moreover, these criteria have never been validated across the world and may not be applicable to children of different age-groups; countries; nutritional and genetic backgrounds; and in the context of conditions rarely found in HIC settings.

More recently, a multicentre study of children with sepsis and organ dysfunction, demonstrated that mortality risk increased in children with high CRP or ferritin alone, with greater mortality risk when CRP and ferritin were both elevated ¹³. Additionally, the biomarker combination

stratified groups of children with differing systemic inflammation cytokine profiles. As both
 these markers are easily measurable and affordable, the study results open up the possibility of
 stratification in randomised controlled trials of novel therapies in pediatric sepsis, including in
 LMICs.

Ideally, future criteria for pediatric sepsis should be:

 Sensitive enough to enable clinicians to recognise the condition early, but specific enough to not waste potentially limited resources ¹⁴, and avoid harming patients as a consequence of over- or inappropriate treatment.

 Flexible enough to allow clinicians to consider other possibilities with the resolution/progression of signs ¹⁴.

- 3) Applicable globally, and adaptable locally.
- Correlated with biologically relevant phenotypes of sepsis to ensure correct selection of patients that will benefit from specific therapies and organ support.

In this Special Article from the Pediatric Sepsis Definition Taskforce of the *Society of Critical Care Medicine* (SCCM), we present a conceptual framework and a rationale of the critical aspects and context-specific factors that must be considered for the operationalisation of sepsis definitions in children around the world (**Figure 1**). These include biological factors, epidemiology, differences in the pathways to care, pre-test probabilities of sepsis at different levels of care, and the resources that are available to provide care.

Biological factors impacting sepsis

21 Pathogen-related biology

The pathogens responsible for severe infections and illnesses in children are often different from those in adults. Even responses to the same viral infections may be different, as

highlighted by the manifestations of SARS-CoV-2 infections in adults and children ¹⁵. The pathogens responsible for severe pediatric infections in LMIC settings, where most deaths from infection occur, are different than those in HIC settings.

The Fluid Expansion As Supportive Therapy (FEAST) trial included many patients with malaria and anemia and no access to intensive care ¹⁶, and demonstrated that fluid boluses significantly increased 48-hour mortality in critically ill children. Scepticism by many clinicians in HIC settings to findings of the FEAST trial may have been based on their perception that the spectrum of disease differed to what they considered to be "sepsis", and specifically, bacterial sepsis. This conclusion has major implications for future criteria of pediatric sepsis, as a large proportion of the world's children are in countries where infections like malaria and dengue are common. In children, there are many conditions caused by non-bacterial pathogens such as viruses (e.g., enterovirus shock, dengue haemorrhagic fever/dengue shock syndrome, severe bronchiolitis, gastroenteritis with severe dehydration), and parasites (e.g., severe malarial anaemia), which result in organ dysfunction causing severe morbidity and mortality, yet clinicians may not consider these to be "sepsis". Host response profiles and endotypes may be influenced by co-infection with organisms such as HIV, malaria and helminths, by severe acute malnutrition, and immunisation against vaccine-preventable invasive bacterial infections ^{17, 18}. As per the pediatric Surviving Sepsis Campaign, patients with shock due to bacterial sepsis require broad-spectrum antibiotics within an hour ⁴. Children with non-bacterial sepsis may require specific antimicrobials/immunosuppressives or only supportive care. Difficulties in differentiation, especially during the early stages, often lead to the prescription of broad-spectrum antimicrobials to all patients with a presumed infection and shock/organ dysfunction and could have far-reaching consequences in terms of antimicrobial resistance ¹⁹. For example, based on endemicity (e.g., monsoon season) and the typical pattern of illness, clinicians in certain geographic regions may correctly suspect dengue in patients

with shock following defervescence, hemoconcentration and thrombocytopenia, as opposed to malaria in patients with fever with mental status changes, pallor and metabolic acidosis.

Patient-related biology

Primary or secondary immunodeficiencies, and genetic conditions such as sickle cell disease, influence predisposition to infection and host responses to infection and disease severity ²⁰, ²¹, ²² and are fundamentally differently in children. Malnutrition, invasive bacterial disease and HIV co-infection are risk factors for death among children with moderate-to-severe diarrhea. The presence of malarial anemia and/or malnutrition may profoundly affect the response to therapy such as bolus fluid administration. Finally, there is growing awareness of the significance of specific genetic common (polymorphisms) and rare variation in the manifestations and treatment of infection-associated critical illness ²³,²⁴.

12 Age-related biology

The term "pediatric" encompasses a range of age-groups, from neonates through to young adults, which are characterised by sometimes profound variations in physiology, immune maturation and specific responses to infection. The fine balance between pro- and antiinflammation and the interconnectedness between inflammation and other host responses (neuroendocrine, metabolic, coagulation, endothelial, and immunosuppression) have been shown to vary substantially across age groups. Prematurity, low birth weight and younger age remain major risk factors for sepsis and poor outcome ²⁵.

Due to their ability to initially maintain blood pressure in septic shock, overall, infants and young children have lesser reserves to compensate for serious illness compared to adults; thus shortening the window of opportunity for clinicians to recognise signs, respond quickly, and avoid the progression of organ deterioration. However, in the early phases of infection, children

who progress to life-threatening illness may be virtually indistinguishable clinically from those who will not progress ²⁶.

Previous and current therapies

Vaccine-preventable infections have substantially decreased in high income (HIC) and upper middle-income (UMIC) country settings but may still present in some LMIC settings. Morbidity and mortality from childhood pneumonia has decreased in LMIC settings due to more widespread availability of conjugate vaccines, but a considerable burden remains from viruses, Staphylococcus aureus, Escherichia coli and other bacteria for which vaccines are not available²⁷. Antimicrobial resistant infections remain highly prevalent in many LMIC settings, due to underlying complex and multifactorial problems. In the Burden of Antibiotic Resistance in Neonates from Developing Societies (BARNARDS) neonatal sepsis study, only a third of Gram-negative isolates were susceptible to the WHO recommended first-line regimen ²⁸. Poor sanitation and hygiene, malnutrition and overcrowding also contribute to increased transmission of multi-resistant pathogens²⁹.

16 Epidemiology

Pneumonia, malaria, HIV, TB, dengue, meningitis, and neonatal infection represent a large proportion of emergency presentations in LMIC settings, whereas self-limiting (mainly viral) infections are common presentations in pediatric Emergency Departments (EDs) in HIC/UMIC settings. In South-East Asia, common causes of childhood sepsis include dengue, rickettsia, typhoid, non-typhoidal Salmonella, Streptococcus suis, and Burkholderia pseudomallei, all of which are rarely seen in North America and Europe, and most of which do not respond to standard antimicrobial interventions. Mixed infections are also common in LMICs and may be difficult to diagnose because of rudimentary laboratory support.

The highest burden of bacterial meningitis occurs in the "Meningitis belt" in Africa, with increased incidence and epidemics in the dry season (October to March)³⁰. Colder weather also promotes higher transmission due to individuals spending more time indoors, or in close proximity outdoors, with exposure to smoke from wood fires ³¹. The co-occurrence of viral respiratory infections further facilitates invasive disease. Climate change has a significant influence on incidence and severity of infections through environmental effects of floods, heat, drought, and freshwater decline ³². For example, the incidence of dengue, a mosquito-borne viral disease which may present as septic shock, has increased due to global warming, and increasing wetland areas facilitating transmission (Figure 1).

11 Processes and pathways influencing timing of presentation

In HIC settings, many presentations to primary and emergency care settings are for those who are "worried well" (requiring reassurance), or early presentations who may require a period of observation. In LMIC settings, health facilities may be in remote locations with inaccessible emergency and specialised critical care transport services, and consequently are often faced with mostly late presentations. In early presentations, symptoms and signs may relate to direct effects of the pathogen, but in late presentations, they may be related to organ dysfunction as consequences and progression of infection and the host response.

The experience and specialization of health care professionals assessing children with suspected infection influences how sepsis criteria are operationalized. In primary care, there are fewer specialist assessments, fewer investigations, and potentially more antibiotics prescribed ³³. Some EDs have co-located primary care physicians, which allows for sicker children to be referred to more specialised ED physicians onsite. In a LMIC setting, children

might first present to a village health centre before referral to a district/tertiary hospital, and there may be delay in securing intravenous access and administering antibiotics, or intravenous fluids and vasoactive-inotropic drugs if available at all. In the latter setting, florid multi-organ dysfunction may be established by the time the child receives advanced care.

Resources and access

A classification of countries or regions into high, middle or low-income setting has significant limitations: the resources available to a particular child who presents to a health care facility with suspected infection may vary significantly. In certain HIC/UMIC settings, some disadvantaged populations may show features otherwise seen in LMIC, and yet some LMIC settings have highly resourced tertiary ICU facilities depending on the location. Differential resources and access thus relate to: a) within institution differences (ED, ward, PICU), b) within country differences (urban, rural, academic, general/district), and c) within high, middle or low-income country differences³⁴. Literature on the epidemiology of presentations to the ED in LMIC is scant. Many PICUs in LMICs do not have specialised transport teams, so many children will die of sepsis outside PICUs. Under-reporting of sepsis incidence, and limited research funding for sepsis in LMIC countries further limits the impact of any quality improvement initiatives ³⁵. In many LMIC settings, facilities are challenged by patient load and inadequate human resources, as well as limited availability of oxygen saturation and blood pressure monitoring, oxygen, balanced IV fluids, vasoactive-inotropic drugs, and staff with expertise in skilled intravenous/arterial access and intubation/ventilation. Conversely, in many HIC/UMIC settings, a variety of fluid types, antibiotics, vasoactive-inotropic drugs, renal replacement therapy, electronic data capture, and even sepsis algorithms embedded into the electronic patient record, are routinely available.

New considerations

While concepts such as sepsis and pediatric acute respiratory distress syndrome have enabled the development of management strategies, standardized research cohorts and research protocols, our understanding of sepsis is evolving thanks to more detailed phenotyping, providing opportunities for enrichment of cohorts towards precision medicine⁸. As we focus on criteria for sepsis across the world, we need to develop global databases which are granular enough in content to examine the details of specific communities, and broad enough to contain information on multiple additional factors. Databases ideally will capture the full "narrative" of illness (not just presentation), and incorporate concepts of probability rather than definitive "certainty of diagnosis". While the Pediatric Sepsis Definition Taskforce has included primary care and emergency practitioners into the "definition" process, during the implementation of new criteria it will be necessary to reach out to other practitioners such as those who provide long-term care; laboratory teams; and those with expertise in implementation science. Social determinants of health (SDOH) in pediatric sepsis studies are not commonly reported, with marked variability in categorizations and definitions of SDOH variables. Standardized reporting of SDOH in pediatric sepsis studies is needed to understand the role these factors play in the development, progression, and recovery of sepsis, in order to implement policies aimed at addressing socioeconomic conditions related to sepsis ³⁶.

 Actionable solutions to the considerations above are described in **Table 1**, and include individualized approaches dependent on setting, affordable diagnostics for accurate risk stratification, optimisation of data capture from routinely collected datasets to support collaborative registries, and development of locally relevant outcome measures.

Conclusions

Subsequent to the wide uptake of adult data-driven Sepsis-3 criteria, it is important to address the practical challenges in developing pediatric sepsis criteria which capture manifestations of illness in a range of settings and with vastly different aetiologies and underlying mechanisms. The criteria will need to be unambiguous yet flexible, capable of adapting to the widely different contexts in which children with suspected infection present around the globe. These criteria should facilitate early recognition of patients at risk, improved diagnosis and risk stratification to enable timely escalation of treatment to prevent progression. At the same time, we must endeavour to avoid the problems associated with over-diagnosis and treatment.

In summary, there are challenges and opportunities in operationalising sepsis in the global and
diverse settings in which children present, but we present potential actionable solutions that
can be applied locally in order to identify, manage and study children with sepsis.

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Figure 1: Operationalizing Sepsis Definitions in Children

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Tailored approaches for	Consider pre-test probability of sepsis, available
different settings	resources and prevalence of other diseases with "sepsis-
unter ent settings	like" presentations
Consis hundle common sets	
Sepsis bundle components	Impact of individual components on improving
individualized for specific	outcomes, should be evaluated
settings	
Develop minimum variable	Minimum variable datasets and a common dictionary
datasets using routinely	for data labelling can allow merging of data for
collected data	collaborative development and assessment of data-
	driven criteria and patient-relevant outcomes
Develop datasets from	The datasets should include information on patients
multiple settings around the	through the continuum of care (primary care,
world	emergency department, ward and PICU). Ideally the
	datasets should originate from diverse settings
	(geographical, sociodemographic, resource availability
	etc)
Determine locally relevant	Depending on setting, first presentations of sepsis may
time zero	be to local health centre, primary or secondary care
Determine locally relevant	T CHINCAL DECISION SUDDON SYSTEMS CAN DE INCONDOLATED
Determine locally relevant clinical decision support	Clinical decision support systems can be incorporated into electronic patient records in HIC/UMIC settings
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Table 1: Actionable solutions to support operationalization of pediatric sepsis criteria