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#### The CV is dead, long live the CV!

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| Manuscript ID<br>Wiley - Manuscript Type:<br>Keywords:<br>Abstract: | <ol> <li>Biology has an increasing need to reconsider the tools used to<br/>assess the variability of measurements, in addition to their central<br/>tendency. More than 100 years after Pearson's publication, most<br/>biologists still use the "good old" Pearson's coefficient of variation, PCV,<br/>despite its documented flaws such as sensitivity to excess zero values<br/>and/or irrelevant low mean values, which may compromise its use in<br/>some biological applications.</li> <li>A new statistic was developed in 2017 by Kvålseth, KCV, which is<br/>easy to implement. Unlike PCV, KCV is bounded (between 0 and 1), and<br/>it can be computed from PCV, ensuring backward compatibility with past<br/>studies. In addition to simulated data, we used the recent MASTREE+<br/>database comprising the time series of the fruiting dynamics of perennial<br/>plants worldwide to compare the properties of PCV and KCV.</li> <li>Using as a benchmark the loose hump-shaped relationship between<br/>the interannual variability of fruiting and latitude, KCV led to significant<br/>increase in statistical power as it required almost half as many time<br/>series as PCV to detect the relationship. Perhaps most importantly,<br/>simulated data showed that KCV allows huge reductions in the length of<br/>time series required to estimate the population true variability, saving<br/>more than half the duration of long-term monitoring if fruiting<br/>fluctuations are very large, which is common in perennial plant species.<br/>Compared to the widely used PCV, KCV has great accuracy for<br/>estimating and analysing variability in biology, while strongly increasing<br/>statistical power.</li> <li>Selecting appropriate tools to assess the variability is of primary<br/>biological interest. Using Kvålseth's KCV is a promising avenue to<br/>circumvent the well-known issues of the former Pearson' PCV, its<br/>properties remain to be explored in other fields of biology, for purposes<br/>other than purely statistical ones (e.g. estimating heritability or<br/>evolvability of traits).</li> </ol> |
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## 1 The CV is dead, long live the CV!

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## 12 Abstract

Biology has an increasing need to reconsider the tools used to assess the variability
 of measurements, in addition to their central tendency. More than 100 years after
 Pearson's publication, most biologists still use the "good old" Pearson's coefficient of
 variation, <sup>P</sup>CV, despite its documented flaws such as sensitivity to excess zero values
 and/or irrelevant low mean values, which may compromise its use in some biological
 applications.

A new statistic was developed in 2017 by Kvålseth, <sup>k</sup>CV, which is easy to implement.
 Unlike <sup>P</sup>CV, <sup>k</sup>CV is bounded (between 0 and 1), and it can be computed from <sup>P</sup>CV,
 ensuring backward compatibility with past studies. In addition to simulated data, we
 used the recent MASTREE+ database comprising the time series of the fruiting
 dynamics of perennial plants worldwide to compare the properties of <sup>P</sup>CV and <sup>k</sup>CV.

25 3. Using as a benchmark the loose hump-shaped relationship between the interannual 26 variability of fruiting and latitude, <sup>K</sup>CV led to significant increase in statistical power as 27 it required almost half as many time series as <sup>P</sup>CV to detect the relationship. 28 Perhaps most importantly, simulated data showed that <sup>K</sup>CV allows huge reductions in 29 the length of time series required to estimate the population true variability, saving 30 more than half the duration of long-term monitoring if fruiting fluctuations are very 31 large, which is common in perennial plant species. Compared to the widely used 32  $^{P}CV$ ,  $^{K}CV$  has great accuracy for estimating and analysing variability in biology, while 33 strongly increasing statistical power.

Selecting appropriate tools to assess the variability of measurements is crucial,
 particularly where the variability is of primary biological interest. Using Kvålseth's <sup>K</sup>CV
 is a promising avenue to circumvent the well-known issues of the former Pearson'
 <sup>P</sup>CV, its properties remain to be explored in other fields of biology, for purposes other
 than purely statistical ones (*e.g.* estimating heritability or evolvability of traits).

## 39 Keywords

- 40 Kvålseth's coefficient of variation
- 41 Masting
- 42 MASTREE+
- 43 Pearson's coefficient of variation
- 44 Scale invariant statistics of variability

## 45 Introduction

46 Biology has an increasing need to reconsider the tools used to assess the variability of 47 measurements, in addition to their central tendency. This is particularly important in the fields 48 of ecology and evolution, especially in the context of ongoing global change. For example, it 49 is necessary to properly quantify the variability of population abundance in order to compare 50 population dynamics and assess extinction risk. The dynamics and evolution of populations 51 also depend strongly on the degree of variability of the environment or of individual 52 phenotypes, which must be carefully assessed. There is general agreement that the 53 appropriate statistic to estimate variability has to be scaled to the mean to facilitate 54 comparisons (Gaston & McArdle, 1994; Inchausti & Halley, 2002; McArdle & Gaston, 1995; 55 Pélabon et al., 2020; Pimm, 1991). In this respect, Pearson's coefficient of variation, PCV 56 (Pearson, 1896), is the statistic almost exclusively used in biological studies to date. <sup>P</sup>CV is 57 computed from a series x of n non-negative elements as the sample standard deviation to 58 the sample mean ratio. Note that <sup>P</sup>CV is unaffected by the order of elements in **x** so that if 59 you are interested in this aspect you should use order-dependent statistics (see for example 60 Bogdziewicz et al., 2023) and that there is a loss of information if your interest is the 61 variance-mean relationship (see for instance figure 2 in Pélabon et al., 2020).

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<sup>63</sup> <sup>P</sup>CV is commonly used as a convenient *dimensionless* statistics of variability, for instance in <sup>64</sup> repeatability experiments it facilitates between-laboratories comparisons as they may use <sup>65</sup> different units. Another interest is when there are widely different means between groups, for <sup>66</sup> example in finance to compare the variability of securities in a stock exchange. In biology, it <sup>67</sup> is perhaps the scale invariance property of <sup>P</sup>CV,

$${}^{\mathrm{P}}\mathrm{CV}(\lambda \boldsymbol{x}) = {}^{\mathrm{P}}\mathrm{CV}(\boldsymbol{x})$$

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69 where  $\lambda$  is a strictly positive constant, that may explain its success. Intuitively, this statistic 70 fits well with our need to assess the same *relative* variability in **x** = (1, 2, 3) as in **x** = (100, 71 200, 300).

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73 Non-negative series is a common situation since all *extensive* variables (*e.g.* mass, length, 74 surface, volume, meristic variables such as seed counts) belong to this class. Calculating 75 variability in the case of *intensive* variables (e.g. speed, pressure, density, temperature) 76 could be more tricky, for example the same set of temperature data (Kimber, 1991) gives 77 different <sup>P</sup>CV values when expressed in degree Celsius or in degree Fahrenheit (Eisenhauer, 78 1993) because <sup>P</sup>CV is not invariant by translation. Scale types that are meaningless for <sup>P</sup>CV 79 are given in table 1 in Pélabon et al., 2020. Even if the scale type is appropriate, there are 80 still other well-known issues with PCV (Gaston & McArdle, 1994; Kvålseth, 2017; Lewontin, 81 1966; McArdle et al., 1990; McArdle & Gaston, 1995; Pélabon & Hansen, 2008; Silveira & 82 Sigueira, 2022) such as its sensitivity to outliers and the fact that it is strongly affected by 83 small variation in the mean, or errors in the estimation of the mean.

84 A few attempts have been made to find alternatives to <sup>P</sup>CV: Lewontin (1966) proposed to 85 work with the standard deviation of log-transformed values which can easily be mobilised in 86 the context of allometric studies, where sample values are strictly positive. While this does 87 not work, however, for counts including zero values, correcting the problem by using an 88 arbitrary constant  $\alpha$  to enforce positivity in log( $\alpha + x_i$ ) is inappropriate because the scale 89 invariance property is lost in the process. The proportional variability statistic, PV, was 90 proposed (Heath, 2006; Heath & Borowski, 2013) to address these challenges, but itself has 91 major weaknesses (see table 1).

| Dataset number | Ten-year dataset |       |       |       |       |       |       |       |       | $\mathbf{PV}$ | $^{\mathrm{P}}\mathrm{CV}$ | $^{\rm K}{\rm CV}$ |      |
|----------------|------------------|-------|-------|-------|-------|-------|-------|-------|-------|---------------|----------------------------|--------------------|------|
|                | $t_1$            | $t_2$ | $t_3$ | $t_4$ | $t_5$ | $t_6$ | $t_7$ | $t_8$ | $t_9$ | $t_{10}$      |                            |                    |      |
| 1              | 5                | 5     | 5     | 5     | 5     | 5     | 5     | 5     | 5     | 1000          | 0.20                       | 2.86               | 0.94 |
| 2              | 995              | 995   | 995   | 995   | 995   | 995   | 995   | 995   | 995   | 0             | 0.20                       | 0.33               | 0.32 |
|                |                  |       |       |       |       |       |       |       |       |               |                            |                    |      |
| 3              | 1                | 2     | 3     | 4     | 5     | 6     | 7     | 8     | 9     | 1000          | 0.60                       | 2.86               | 0.94 |
| 4              | 0                | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 1000          | 0.20                       | 3.00               | 0.95 |

93 Table 1. Comparison of PV (Heath, 2006), <sup>P</sup>CV (Pearson, 1896) and <sup>K</sup>CV (Kvålseth, 2017) 94 values on the same time series. The first issue is that the same PV values are obtained for 95 time series composed mainly of very low values and including seldom high values and those 96 mirror series mostly composed of high values with seldom low values (sets 1 and 2). The 97 second issue is that very different PV values are obtained for time series that are nearly 98 identical from a biological perspective (sets 3 and 4). The differences between sets 3 and 4 99 are minute or even meaningless yet commonly encountered as they may arise due to sampling fluctuations. In these case studies, both <sup>P</sup>CV and <sup>K</sup>CV are sensitive to meaningful 100 101 differences and are insensitive to artifactual differences.

102 Recently, a new coefficient of variation has been proposed (Kvålseth, 2017), called hereafter 103 Kvålseth's coefficient of variation, <sup>K</sup>CV , which has gone largely unnoticed by biologists. <sup>K</sup>CV 104 is as easy to compute as <sup>P</sup>CV, since it is the sample standard deviation divided by the 105 square root of the mean of squared values. What is more, <sup>K</sup>CV can be seen as a variance 106 stabilisation transformation of <sup>P</sup>CV:

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$${}^{\mathrm{K}}\mathrm{C}\mathrm{V} = \sqrt{\frac{{}^{\mathrm{P}}\mathrm{C}\mathrm{V}^2}{1+ \; {}^{\mathrm{P}}\mathrm{C}\mathrm{V}^2}}$$

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This relationship allows us to compute <sup>K</sup>CV from formerly reported <sup>P</sup>CV values, even if the original dataset is no longer available. This relationship also shows that when <sup>P</sup>CV tends to infinity, <sup>K</sup>CV is still bounded below 1. The other advantages of <sup>K</sup>CV over <sup>P</sup>CV are theoretically demonstrated in Kvålseth's paper. For instance <sup>K</sup>CV can be used with a signed ratio type scale mixing positive and negative values since it is not undefined, unlike <sup>P</sup>CV, when the mean is 0, and, at least for someone familiar with multivariate analyses, there is a nice geometrical interpretation of <sup>K</sup>CV in terms of Euclidean distances in  $\mathbb{R}^n$ .

117 Here, we highlight the interest of  ${}^{K}CV$  on the basis of a practical case study and of 118 simulations used to compare the gain in statistical power or in the sampling effort associated 119 with the use of <sup>K</sup>CV vs <sup>P</sup>CV. For that purpose, we used annual seed production in perennial 120 plant populations as a case study. These populations show diverse fruiting dynamics, 121 ranging from nearly constant annual production, through extreme interannual variation 122 (masting), to semelparity in some species such as the mainland Chinese bamboo 123 Phyllostachys bambusoides with its seeding cycle of about 120 years (Janzen, 1976). This 124 may represent the greatest known variation ever recorded among biological variables in 125 terrestrial ecosystems, providing an ideal example of the challenges with measuring 126 variability.

## 127 Material and Methods

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The demonstration of the statistical power gain associated with <sup> $\kappa$ </sup>CV rather than <sup>P</sup>CV in a biological context is carried out in two complementary steps, one based on quantified biological data in the field and the other on simulated data from true parameters known *a priori*.

133 In the first step, we used the numerous time series describing fruiting dynamics quantified at 134 the scale of perennial plant populations and species, and in various localities around the 135 world. Data recently made available in MASTREE+ (Hacket-Pain et al., 2022) offer a great opportunity to compare the behaviour of <sup>P</sup>CV and <sup>K</sup>CV because the series cover a very wide 136 137 range of variability. This is a libre database available under a CC-BY-4.0 licence. We used 138 the initial (2022-03-03) version. Quantitative time series with at least 12 documented values 139 were selected (n = 1433 time series). From this database we describe the relationship 140 between  $^{P}CV$  and  $^{K}CV$  and then analyse the gain in statistical power associated with using 141 <sup>K</sup>CV (compared to <sup>P</sup>CV) using a test to detect a previously published relationship between 142 the degree of variability in fruiting and latitude (Pearse et al., 2020). To do this, we sub-143 sample the MASTREE database by randomly drawing time series. For each sub-sample 144 size, we simulate 10,000 independent tests (either with <sup>K</sup>CV or with <sup>P</sup>CV) and determine the 145 proportion of tests that detected a significant (p < 0.05) quadratic relationship between CVs 146 and latitude. The power gain of using KCV instead of PCV is quantified by the difference 147 between the sub-sample size needed by each statistic to detect a significant relationship in 148 95% of the tests .

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150 In a second step, we use a simulation experiment based on a lognormal distribution to 151 generate the fruiting dynamics over a longer or shorter time series. The use of lognormal 152 distribution has two advantages: (i) it allows the generation of fruiting dynamics consistent 153 with observations, (ii) it requires the use of only one parameter. Once this parameter is fixed, 154 the true <sup>P</sup>CV and <sup>K</sup>CV are known (Kvålseth, 2017). From these simulation experiments, the 155 gain in statistical power associated with the use of <sup>K</sup>CV can be estimated by the savings in 156 sampling effort (number of years saved) to estimate the true CVs with a chosen degree of 157 precision. To illustrate the approach, we initially used sdlog = 1.010768 in the rinorm() 158 function, which corresponds to variability at the boundary between the "large" and "very 159 large" ranges for  ${}^{K}CV$ , typical of masting studies, and the theoretical time series have true <sup>P</sup>CV and <sup>K</sup>CV of 1.33 and 0.8 respectively. For each length of time series, 10,000 replicates 160 161 were sampled and statistics were calculated on the same time series. Zero-inflated 162 distributions were simulated by forcing a given fraction of the smallest values to zero. Then 163 we generalised the procedure by using lognormal distributions to generate true  $^{K}CV$  ranging from 0.4 to 0.95 (in steps of 0.25). 164

All computations were done under the R statistical software (R Core Team, 2013). Nonparametric confidence intervals for statistics were computed with the boot package (Canty & Ripley, 2021; Davison, 1997) using the adjusted bootstrap percentile (BCa) method (Efron, 1987) and 9999 replicates. The R code to reproduce the analyses is available in the file CVisDead.zip at pbil.univ-lyon1.fr/R/donnees/ in the form of an RMarkdown document (Allaire et al., 2020; Xie et al., 2018, 2020) compiled with knitr (Xie, 2014, 2015, 2020).

## 171 Results and discussion

## 172 Comparison of <sup>P</sup>CV and <sup>K</sup>CV general properties based on true 173 datasets

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Paired calculations of <sup>P</sup>CV and <sup>K</sup>CV over a large dataset of field time series of fruiting 175 176 dynamics by perennial plant species show that they are essentially the same up to moderate 177 variability range, but for greater variability PCV tends to stretch values to infinity. This is a 178 common situation in masting studies since 74% of time series in MASTREE+ are in the large 179 -or very large- variability range (Fig. 1). The <sup>K</sup>CV estimates are accurate enough (with 180 confidence intervals for  ${}^{k}CV$  typically ±0.1) to consider as relevant the 5-class categorization 181 of the [0,1] range values proposed by Kvålseth for verbal interpretation. The consistency of results when switching from <sup>P</sup>CV to <sup>K</sup>CV is ensured by their monotonic relationship, for 182 183 instance all non-parametric rank based tests are equivalent since ranks are preserved. The 184 "too many zeros issue", common in masting studies, is solved neither by PCV nor by KCV but 185 it can be at least detected by the confidence intervals including zero, meaning that the 186 corresponding data set does not allow us to reject the null hypothesis " $H_0$ : CV = 0".



Figure 1. Comparison of <sup>P</sup>CV and <sup>K</sup>CV statistics for 1433 masting time-series with at least 12 observations from MASTREE+ (Hacket-Pain et al., 2022). The grey lines are the 95% bootstrap confidence interval (Efron, 1987). The vertical blue lines are the boundaries of Kvålseth's ranges for verbal interpretation of variability. The red curve is the theoretical relationship ( $y^2 = x^2/(1 - x^2)$ ) between <sup>P</sup>CV and <sup>K</sup>CV.

## <sup>194</sup> Comparison of <sup>P</sup>CV and <sup>K</sup>CV power with actual data

196 The level of variability in the population-scale fruit production has been recently examined 197 over a large range of plant species and spatial scale in the Northern Hemisphere (Pearse et 198 al., 2020) and the time-series variability exhibits a loose hump-shaped relationship with 199 latitude (Fig. 2). This is a perfect benchmark because the small part of total variability 200 accounted for by the model ( $r^2 = 0.0481$ ) requires a lot of data to get a significant 201 relationship. With <sup>P</sup>CV the relationship is questionable because there is an 202 overrepresentation of data in the intermediate latitude range [35°-55°] likely including by 203 chance most of the outliers (anomalously high <sup>P</sup>CV values), which could be responsible for 204 an artificial quadratic relationship. In this case, using <sup>K</sup>CV the hump-shaped relationship is 205 much more convincing because its values are bounded between 0 and 1 so that no heavy 206 tailed distributions are possible. In this way, <sup>K</sup>CV is similar to using a log scale when dealing 207 with highly skewed data, but avoids the need for data transformations. In this case the 208 advantage of a bounded statistic is obvious, preventing highly skewed distributions for <sup>P</sup>CV 209 values (Fig. 1) and helps, using Kelly's words (Kelly, 2023), in "fighting the urge to put things 210 in bins".



Figure 2. Quantifying the relationship between variability and latitude using the two methods of calculating CV. Points show a subset of 1138 time-series from the Northern Hemisphere showing the relationship between <sup>P</sup>CV (left) or <sup>K</sup>CV (right) and latitude. The red line is the quadratic fit that minimises the sum of squared residuals.

Based on these data and from sub-sampling simulation, we found a massive gain in statistical power when using <sup>K</sup>CV instead of <sup>P</sup>CV (Fig. 3): we may save about 40% of the sampling effort to reach a significant result. The advantage of using the <sup>K</sup>CV instead of <sup>P</sup>CV is worth considering, given the logistical difficulties in long-term field monitoring of seed production, which pose a major obstacle to progress in the field (Clark et al., 2021; Koenig, 2021).





Figure 3. Sub-sampling simulation showing how <sup>K</sup>CV dramatically reduces the number of samples required to detect a significant relationship between CV and latitude, as shown in Fig. 2. Lines show the percentage of simulations for a given sub-sample size that produce a significant (p < 0.05) quadratic relationship between CVs and latitude, based on 10,000 replicates for each sub-sample size. Sub-samples were randomly selected from the 1138 MASTREE+ time-series. Detecting the relationship using <sup>K</sup>CV saved 43% of the sampling effort as compared with <sup>P</sup>CV.

#### <sup>232</sup> In silico comparison of <sup>P</sup>CV and <sup>K</sup>CV power

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234 Using simulated data allows us to study the impact of sampling effort (the length of time-235 series) on the sampling fluctuation of statistics values, from both a central tendency and a 236 dispersion point of view (Fig. 4). The dispersion of sampling fluctuations decreases with 237 sampling effort with <sup>K</sup>CV but is almost unchanged with <sup>P</sup>CV, an undesirable property. 238 Examining the central tendencies, the convergence to the true value is faster with <sup>K</sup>CV than 239 with <sup>P</sup>CV (Fig. 4). For example, reaching 80% of the true population value requires 22 years with <sup>P</sup>CV while it takes only 9 years with <sup>K</sup>CV, corresponding to a 13-year gain (*i.e.* more than 240 241 50% saved years). A similar gain was observed with zero-inflated time-series (not shown). At 242 the expense of no extra cost, with the same dataset, we are always closer to the true 243 population value with <sup>K</sup>CV. Crucially in the case of masting analyses, this enables substantial 244 reduction in the number of years of monitoring needed prior to accurately measuring the 245 intensity of masting (Fig. 4).



247 248 Figure 4. Simulation experiment using a log-normal distribution to demonstrate that while <sup>P</sup>CV and <sup>K</sup>CV both underestimate the true population value, <sup>K</sup>CV converges more rapidly 249 250 than <sup>P</sup>CV, reducing the number of years of observation required to estimate its value. The dotted lines are the true population values for PCV and KCV. The x-axis scale is 251 252 representative of the length of the masting series available in MASTREE+, whose median is 253 10 years, and 50% of the time-series are between 4 and 17 years (indicated by the grey 254 shading). The black point is at the mean and the bars represent plus or minus one standard 255 deviation (not confidence interval for the mean) to illustrate the dispersion of the sample 256 statistics. The red point indicates the time-series length where 80% of the true population 257 value is reached.



Not shown, for reviewers only. Same as Fig. 4 but the blue line is what is obtained with a zero-inflated-log-normal distribution with a fraction of 15.15% of zero, corresponding to the last quartile (25% of time-series in our subset of MASTREE+ have more than 15.15% of zero). The blue point indicates the time-series length where 80% of the true population value is obtained (17 years with  $^{P}CV$  and 5 years with  $^{K}CV$ , a gain of 12 years).

The amount of sampling effort saved when shifting from <sup>P</sup>CV to <sup>K</sup>CV was also found to increase along with the degree of variability in the data series (Fig. 5). For instance, considering that 80% of the true population value was reached, 13 years could theoretically be saved when <sup>K</sup>CV = 0.8, 25 years when <sup>K</sup>CV = 0.85 and even 56 years when <sup>K</sup>CV = 0.9. To summarise, whatever the length of the time series, <sup>K</sup>CV always outcompetes <sup>P</sup>CV and the reduction in the length of the time series allowed by <sup>K</sup>CV increases along with the intrinsic variability level of the dataset.







Figure 5. The reduction in sampling effort (years) when switching from PCV to KCV to 275 276 measure variability of reproduction. Simulations plotted show the reduction in effort required 277 to reach, on average, a given fraction (0.5, 0.6, ..., 0.9 as indicated in the top-left box) of the 278 true population value as a function of the variability level (<sup>K</sup>CV). The red arrow highlights the 279 example shown in Fig. 4. The vertical blue lines are the boundaries of Kvålseth's ranges for 280 verbal interpretation. The grey area is the interquartile range for <sup>K</sup>CV in our subset of 281 MASTREE+: 50% of quantitative time-series with at least 12 observations are between 0.59 282 and 0.82.

## 283 Conclusion

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285 Kvålseth concludes his article by stating that "except for a long tradition of the use of <sup>P</sup>CV, there appears to be no reason not to prefer the use of <sup>K</sup>CV over <sup>P</sup>CV". The double negation 286 287 in Kvålseth's delicate wording appears to us as an understatement: at least in studies 288 devoted to understand the temporal or spatial variability of biological quantities, we do have 289 good reasons to shift from <sup>P</sup>CV to <sup>K</sup>CV as a scale invariant statistic to properly quantify 290 variability. Other applications of the <sup>K</sup>CV deserve to be explored, such as in evolutionary 291 biology where inferring the evolvability of a trait, its phenotypic plasticity, or its selective 292 value relies on accurate, and still debated, measures of variability (Hansen et al., 2011; 293 Houle, 1992; Houle et al., 2011; Pélabon et al., 2020). Nonetheless, while <sup>K</sup>CV has a number 294 of advantages for focal applications as presented in our paper, the choice of statistics will 295 depend on the questions being asked.

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## 305 Conflict of Interest

306 None

## 307 Author Contributions

- 308 All authors contributed critically to the drafts and gave final approval for publication. JLO
- 309 coordinated the ideas from all authors and led the writing of the manuscript.

## 310 Statement of where we intend to archive our data

311 Not relevant: we used already published data from MASTREE+ (Hacket-Pain et al., 2022).

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